

# Breaking the Ice: Exploring the Changing Dynamics of Winter Breakup Events in the Beaufort Sea

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## Key Points:

- Modelled leads in the Beaufort Sea during wintertime are increasing at 4% per decade over the period 2000-2018
- The shift to thinner and younger sea ice, particularly after 2007, makes the Beaufort Sea more vulnerable to large breakup events by winds
- Winter breakup increases ice export from the Beaufort Sea and leads to a thinner and weaker ice cover at the end of the cool season

## Abstract

The Beaufort Sea has experienced a significant decline in sea ice, with thinner first-year ice replacing thicker multi-year ice. This transition makes the ice cover weaker and more mobile, making it more vulnerable to breakup during winter. Using a coupled ocean-sea-ice model, we investigated the impact of these changes on sea-ice breakup events and lead formation from 2000 to 2018. The simulation shows an increasing trend in the Beaufort Sea lead area fraction during winter, with a pronounced transition around 2007. A high lead area fraction in winter promotes a significant growth of new, thin ice within the Beaufort region while also leading to enhanced sea ice transport out of the area. The export offsets ice growth, resulting in negative volume anomalies and preconditioning a thinner and weaker ice pack at the end of the cool season. Our results indicate that large breakup events may become more frequent as the sea-ice cover thins and that such events only became common after 2007. This result highlights the need to represent these processes in global-scale climate models to improve projections of the Arctic.

## Plain Language Summary

The sea ice cover in the Beaufort Sea has been changing - it is getting thinner and weaker. This makes the ice more likely to break apart from strong winds. Using a computer model, we study how these changes may have affected the frequency of large sea-ice breakup events from 2000 to 2018. We find that the amount of open areas in the sea ice, called leads, is increasing during winter. This allows new, thin ice to form, but also causes more ice to move out of the region under the action of winds and currents. This movement of ice cancels the growth of new ice, resulting in less ice overall at the end of winter in this region. Interestingly, these events became more common after 2007 and the results suggests that bigger breakup events might happen more often as the sea ice continues to thin. This study highlights how important it is to include these changes in large climate models to better predict what might happen in the Arctic in the future.

## 1 Introduction

Recent decades have seen dramatic reductions in the extent, age, and thickness of Arctic sea ice (e.g. Kwok, 2018). Those changes are particularly pronounced in the Beaufort Sea, which has experienced a rapid decline in sea ice extent and sea ice thickness, during both summer and winter. There has been a notable shift in the composition of

sea ice in the early-2000s (Babb et al., 2022), where the Beaufort ice cover transitioned from a state which was dominated by thick and old multi-year ice (MYI) to an increasingly thinner, more fragmented and mobile seasonal ice cover around 2007 (Moore et al., 2022; Wood et al., 2013). This regime shift towards younger, thinner sea ice is affecting the dynamical properties of the ice cover (Zhang et al., 2012), reducing the ice’s mechanical strength, thereby making it more vulnerable to atmospheric forcing (Petty et al., 2016) and contributing to the observed increase in sea ice deformation and drift speeds in the Arctic Ocean and the Beaufort Sea in particular (Rampal et al., 2009; Kwok & Cunningham, 2010; Spreen et al., 2011). These changes in sea ice properties and ice dynamics have consequences for the stability and persistence of the Beaufort Sea ice cover, potentially resulting in more frequent sea ice breakup and lead formation (Maslanik et al., 2007). This potentially has important implications for the overall mass balance of sea ice, ice-ocean interactions, and the Arctic climate system. However, due to the lack of long-term observations and the difficulties in modelling sea-ice breakup, our knowledge is currently limited when it comes to understanding the relationship between these changing sea ice characteristics and the frequency and intensity of breakup events.

During winter months, lead formation exposes the ocean to the colder atmosphere resulting in large air-sea heat, moisture and gas fluxes. The intense heat loss from the ocean promotes new ice formation, contributing to the sea ice mass balance in the Arctic winter (accounting for between 10 and 20% of the total ice growth in the Arctic during winter Heil & Hibler, 2002; Kwok, 2006). Recent estimates from Boutin et al. (2023) show that this number could be as high as 25–35%. Brine rejection from sea ice formation increases the stability of the Arctic halocline (Shimada et al., 2005), which protects sea ice from melting by suppressing the entrainment of subsurface heat into the surface layer. Brine-driven eddies under sea ice leads can affect thermohaline structure of the mixed layer by transporting heat and salt laterally under the sea ice (Matsumura & Hasumi, 2008; Peralta-Ferriz & Woodgate, 2015). Leads are also key regions for marine biological productivity due to increased access to sunlight, which is otherwise very limited due to the presence of thick, snow-covered sea ice. For example, recent observations show that leads in Arctic pack ice can enable early phytoplanktonic blooms (Assmy et al., 2017) impacting primary production and Arctic marine food webs. This could become more frequent due to thinner and more dynamic sea ice that is more vulnerable to breakup (Fadeev et al., 2021).

In the Beaufort Sea, leads regularly form throughout the winter season in response to divergent sea ice motion driven by atmospheric weather systems or ocean currents (Lewis & Hutchings, 2019; Jewell & Hutchings, 2023). Meanwhile, several large breakup events have been identified from satellite observations in recent decades (most noteworthy in the winters of 2013 (Beitsch et al., 2014; Rheinländer et al., 2022) and 2016 (Babb et al., 2019)). Wintertime breakup events are characterized by extensive fracturing of the ice cover associated with atmospheric synoptic conditions persisting from a few days to several weeks (Jewell & Hutchings, 2023). Such events have been shown to significantly impact sea ice conditions in the Beaufort Sea, with potential implications for the wider Arctic sea ice mass balance. The large breakup events in winter 2013 and 2016 resulted in anomalous sea ice drift and enhanced ice export out of the Beaufort Sea (e.g. Babb et al., 2016; Rheinländer et al., 2022). This led to an overall reduction in the Beaufort ice volume in April and a thinner, less compact ice cover prior to the onset of the melting season. This conditions the ice cover for rapid summer melt (e.g. Maslanik et al., 2007) and could contribute to the low regional September sea ice area seen in recent decades (Williams et al., 2016; Babb et al., 2019; Moore et al., 2022).

Winter breakup events can also have important consequences for the MYI cover. Enhanced ice export during winter may increase the flushing of MYI through the Beaufort Sea which increases the amount of MYI being advected into the region from the central Arctic (as was seen in 2013, e.g. Richter-Menge & Farrell, 2013). This could mobilize the oldest and thickest sea ice residing north of Greenland, also known as the Last Ice Area, which is subsequently advected into the Beaufort Sea. For example, during summer 2020/21 Moore et al. (2022) found large concentrations of thick and old ice in the Beaufort Sea, which could be traced back to enhanced winter transport from the Last Ice Area. Less MYI now survives through the summer melt season, making the Beaufort Sea a major contributor to MYI loss in the Arctic (Howell et al., 2016; Babb et al., 2022).

Despite their importance, sea ice breakup and lead formation are generally not adequately reproduced in large-scale sea-ice and climate models (e.g. Spreen et al., 2017). This is partly due to the difficulty in representing small-scale deformation features, like cracks and leads, for horizontal resolutions coarser than  $\sim 5$  km (Hutter et al., 2022). And while higher resolution sea-ice models (4–5 km) have demonstrated a certain degree of proficiency in representing the large-scale distribution of sea-ice leads in the Arctic (e.g.

Wang et al., 2016), they are currently considered too costly for global-scale climate models.

In this study we present a newly developed coupled ocean-sea ice model based on the neXtSIM sea-ice model which employs a brittle sea-ice rheology making it particularly suitable for simulating small-scale ice deformation and linear kinematic features like fractures and leads in sea ice at comparatively low resolution (about 12 km here) (Rampal et al., 2019; Bouchat et al., 2022; Ólason et al., 2022). Rheinländer et al. (2022) recently demonstrated neXtSIM’s ability to provide a realistic and accurate representation of sea ice fracturing and lead propagation associated with the 2013 breakup event in the Beaufort Sea. The study highlighted that such extreme breakup events could become more frequent as the sea ice thins, raising concerns about the vulnerability of the Beaufort ice cover. Here, we seek to understand how changes in the Beaufort sea-ice regime during the early 21st century have affected the stability of the ice cover and the occurrence of extreme breakup events focusing on the winters of 2000–2018. By addressing this question, this study aims to provide new insights into the ongoing transformations of the Beaufort Sea ice cover and its implications for regional sea ice volume, MYI coverage, and sea-ice transport.

## 2 Methods

### 2.1 Model setup

The model used in this study is the new coupled sea-ice-ocean model recently presented in Boutin et al. (2023). In brief, the ocean component is the Océan PARallélisé model (OPA), which is part of the NEMO3.6 modelling platform (Madec, 2008). We use the regional CREG025 configuration (Talandier & Lique, 2021), which encompasses the Arctic and parts of the North Atlantic down to 27°N, and has 75 vertical levels and a nominal horizontal resolution of  $1/4^\circ$  ( $\simeq 12$  km in the Arctic basin). The sea ice component is neXtSIM, a state-of-the-art, finite element, sea ice model using a moving Lagrangian mesh (Bouillon & Rampal, 2015; Rampal et al., 2016). Sea ice dynamics rely on the Brittle Bingham-Maxwell (BBM) rheology described in Ólason et al. (2022), while sea ice thermodynamics are simulated following the Winton (2000) model. We refer to Boutin et al. (2023) for detailed information about the model setup.

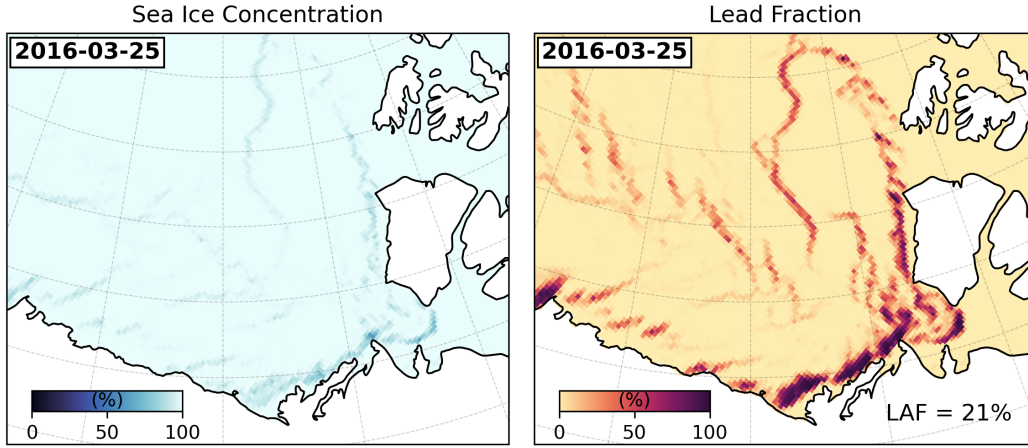
As noted by Hutter et al. (2022), sea ice models generally struggle to simulate sea ice dynamics when run at resolutions coarser than about 5 km; in particular, features like fractures, shear zones, and lead openings. However, the BBM rheology has demonstrated its capability to reproduce deformations consistent with observations when running at a resolution of O(10 km) in the neXtSIM model (Ólason et al., 2022) and in the SI3 model (L. Brodeau, personal communication). Specifically, these models exhibit excellent capability in accurately capturing the divergence rates associated with the opening of leads when using the BBM rheology (Ólason et al., 2022; Rheinländer et al., 2022).

The simulation presented in this study is the same as in Regan et al. (2023) and Boutin et al. (2023). The simulation starts in 1995 and ends in 2018. The first five years were considered a spin-up period and disregarded for analysis. Atmospheric forcing is taken from the hourly ERA5 reanalysis at a 1/4-degree horizontal resolution. This simulation has been thoroughly evaluated in two recent publications (Boutin et al., 2023; Regan et al., 2023). Boutin et al. (2023) showed that simulating key sea-ice quantities like volume, extent, large-scale drift, and sea ice deformations are consistent with satellite observations. Regan et al. (2023) demonstrated that the simulation successfully reproduces the spatial distribution and evolution of observed MYI extent. They also found a good agreement with observed estimates of the regional dynamic and thermodynamic components of the winter sea ice mass balance from Ricker et al. (2021).

## 2.2 Lead definition

neXtSIM uses three ice categories; open water, young ice, and consolidated ice. Newly formed ice, thinner than  $h_{\max}$  (here set to 18 cm), is assigned to the young ice category, representing the formation and growth of frazil and young ice in open water. Ice in the young ice category is transferred to the consolidated ice category as its thickness exceeds  $h_{\max}$  (see appendix A of Rampal et al., 2009). In winter, when the Beaufort Sea is fully ice-covered, lead opening is the only way open water can be exposed to the atmosphere, and young ice can be formed. Therefore, we assume (as in Rheinländer et al., 2022; Boutin et al., 2023) that open water and young ice formed in winter are a proxy for the presence of leads in the model. We use this assumption to estimate the rapid growth of thin, newly formed ice in open-water and thin ice regions. A grid cell is considered a lead when the combined fraction of open water and young ice exceeds a critical threshold  $c_{lim}$ , thereby excluding the thicker pack ice. We found that a value of  $c_{lim} = 5\%$  gives a reasonable

lead distribution. The sensitivity of the simulated lead fraction to the value of  $c_{lim}$  is included in the Supplementary Material. The total lead area fraction (LAF) can then be calculated by multiplying the lead fraction with the area of each grid cell. A snapshot of the simulated sea ice concentration and lead fraction on 25 March 2016 is shown in Fig. 1. Here, leads are clearly identified as areas of open water and newly formed ice, whereas the pack ice is associated with low lead fraction values. The LAF calculated over the Beaufort region for this instance is 21%, which means that 21% of the Beaufort area is covered by leads.



**Figure 1.** Snapshots of sea ice concentration (%) and lead fraction (%) in the Beaufort Sea on March 25, 2016. The total lead area fraction (LAF) is calculated using a threshold value of 5% (see 2).

### 3 Results

#### 3.1 Simulated changes in the Beaufort ice cover

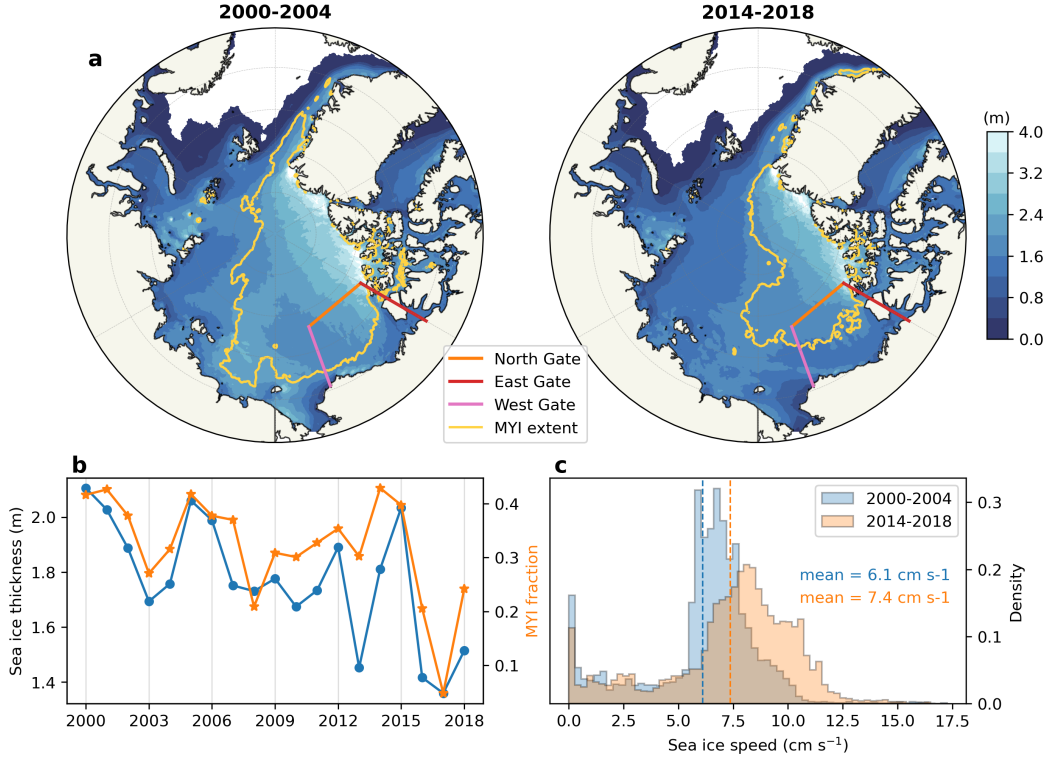
Since the 2000s, the MYI extent in the Arctic has declined considerably (Fig. 2a). During the period 2000–2018, the model simulates a decline in the winter MYI area, which is part of a long-term negative trend in the Arctic as seen from satellite observations (e.g. Babb et al., 2022). In the Beaufort Sea region (outlined in Fig. 2a), extensive areas of thicker and older MYI were present during January–March in the early 2000s (i.e. 2000–2004). For the later part of the simulation (years 2014–2018), the MYI extent is significantly reduced and is consistent with the observed trend towards reduced MYI concentration (Howell et al., 2016). Both the average sea ice thickness and MYI concentration computed over the Beaufort region exhibit considerable year-to-year variations (Fig. 2b), but overall there is a shift towards thinner and younger ice types. The average winter sea-ice thickness decreased from 1.9 m in 2000–2004 to 1.6 m in 2014–2018. Meanwhile, some old and thick sea ice still remains located north of Canada and the Last Ice Area, which are important source regions for MYI import to the Beaufort Sea (Moore et al., 2022).

The ice drift speeds in the Beaufort Sea are also increasing (Fig. 2c) with a 12% increase over the 2000–2018 period (not shown). Previously, this increase in ice drift speeds has been linked to thinning of the sea ice cover and enhanced deformation rates leading to more fracturing and lead opening (Rampal et al., 2009). In the following, we examine the impact of transitioning to a more seasonal and thinner ice cover on the formation of leads and sea-ice breakup in the Beaufort Sea.

#### 3.2 Simulated changes in wintertime leads

We show the simulated wintertime LAF in the Beaufort Sea for the period 2000–2018 in Fig. 3. The LAF shows a large day-to-day variability ranging from 5% to 40%, which reflects the intermittent nature of sea-ice fracturing. Winter-mean values (January–March) generally fall between 10% and 25% with a climatological average of 20%. The lead fraction is generally higher in January and decreases during February and March as the ice becomes thicker and more compact (Fig. 3b and c).





**Figure 2.** (a) Maps of simulated sea-ice thickness and MYI extent (yellow contour corresponding to the MYI fraction of 0.4) in the Arctic for January–March averaged from 2000–2004 and 2014–2018. (b) Time series of JFM-mean sea-ice thickness and MYI extent averaged in the Beaufort region. (c) Histogram of the winter sea-ice drift speed ( $\text{cm s}^{-1}$ ) distributions for the 2000–2004 and 2014–2018 period. The Beaufort region is bounded by three gates shown in (a); North gate ( $78^{\circ}\text{N}$ ), East gate ( $120^{\circ}\text{W}$ ), and West gate ( $160^{\circ}\text{W}$ ). The definition of the Beaufort region is the same as in Moore et al. (2022).

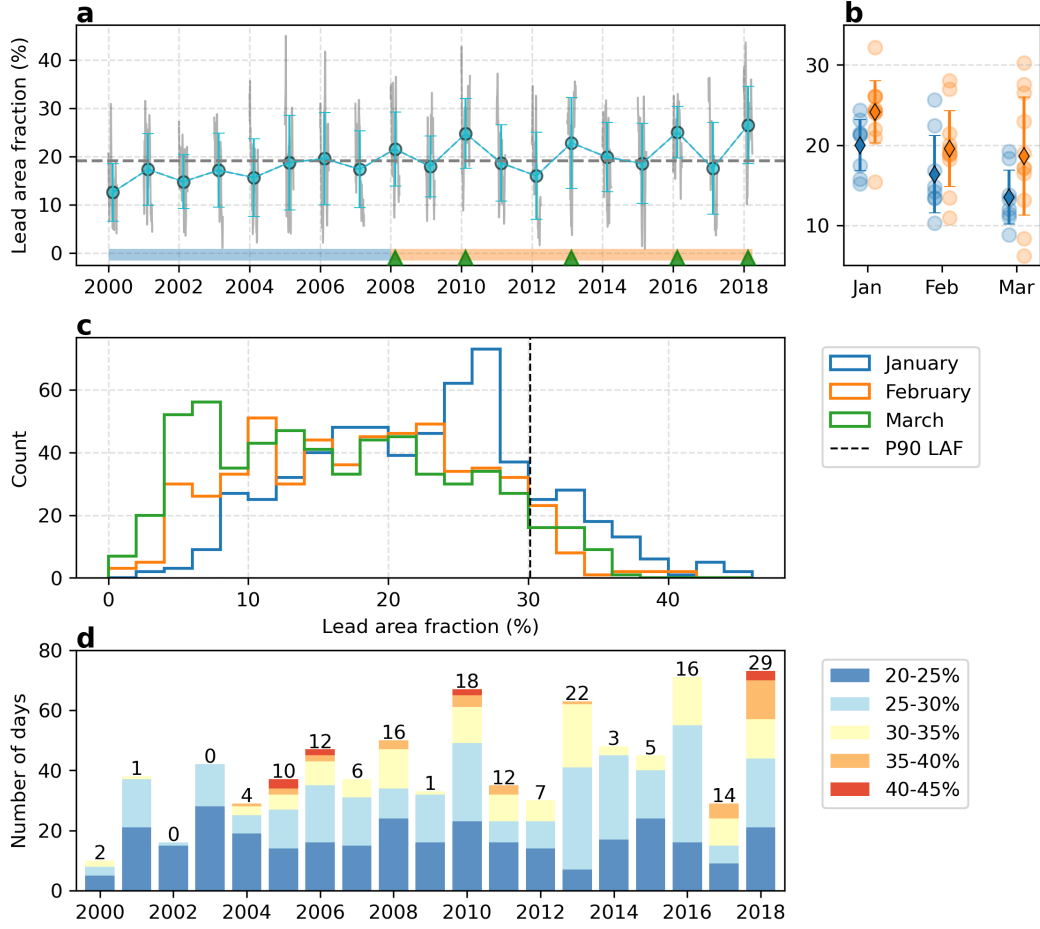
We find a statistically significant increase in wintertime lead occurrences (4.2% per decade) over the period 2000–2018 based on a simple linear regression analysis (Supplementary Fig. S1). However, the linear relationship becomes less significant when we consider individual months, likely due to the larger spread in the monthly data (Fig. 3b). Here, we note that the modelled LAF is affected by the cutoff value used in the lead definition (see section 2), but the choice of this cutoff value has no impact on the simulated variability and trends (Supplementary Fig. S2).

The LAF values simulated by neXtSIM are consistent with observations of sea-ice leads from MODIS at  $1 \text{ km}^2$  spatial resolution with observed winter-mean (November–

223 April) lead fraction area ranging between 10–20% in the Beaufort Sea (Willmes et al.,  
 224 2023). Willmes et al. (2023) also found a significant trend in leads over the 2002–2021  
 225 period, but only for April. It is worth noting that the MODIS observations have uncer-  
 226 tainties due to contamination by clouds and will only see opening leads that are rela-  
 227 tively large. This highlights the need for a dedicated intercomparison study to determine  
 228 how to best use MODIS imagery to classify and evaluate lead formation in sea-ice mod-  
 229 els, but this is beyond the scope of this paper.

230 Based on the LAF time series, several larger breakup events can be identified, all  
 231 occurring after 2007. Most noteworthy are the years 2008, 2010, 2013, 2016, and 2018,  
 232 which have high average wintertime LAFs (green triangles in Fig. 3a). Many of these  
 233 events have also been identified in satellite observations (e.g. Jewell & Hutchings, 2023).  
 234 For example, large breakup events were observed in 2008, 2013 and 2016 and have been  
 235 described in earlier studies (Wang et al., 2016; Babb et al., 2019; Rheinländer et al., 2022).  
 236 The breakup being simulated by the model in 2018 is not seen in observations and is likely  
 237 a result of too strong melting simulated by the model in the summer of 2016 (not shown),  
 238 leading to thinner sea ice that could break up more easily. During these events, the daily  
 239 LAF exceeds the 90th percentile (about 30%; Fig. 3c) for a period of more than 15 days  
 240 during winter (Fig. 3d). We therefore expect these events to have a significant impact  
 241 on the Beaufort ice cover. Meanwhile, smaller breakup events are also present in other  
 242 years. For example, 2005 and 2006 exhibit high LAFs (daily values exceeding 35%), but  
 243 these occurrences are relatively short-lived and result in low winter-mean values over-  
 244 all. Consequently, they will likely have less impact.

245 Around 2007, we identify a shift in the interannual variability of the LAF based  
 246 on the monthly values in Fig. 3b. For the 2000–2007 period, the variability (shown by  
 247 the standard deviation for each month) ranges from 3.1–4.8% during winter. After 2007,  
 248 this increases to 3.9–7.4% for 2008–2018, and the average LAF increases during all win-  
 249 ter months. This coincides with more extreme breakups during this period. March, in  
 250 particular, stands out, showing a 38% increase in the mean LAF relative to the 2000–  
 251 2007 period while also exhibiting the highest variability (standard deviation of 7.4%).  
 252 We will investigate this in more detail in section 3.3 and identify some common char-  
 253 acteristics of the simulated breakup events and their impacts (section 3.4).



**Figure 3.** Simulated lead area fraction (LAF; %) in the Beaufort Sea from January through March. (a) Daily LAF (grey) from 2000–2018 with circles showing the winter-mean values along with the standard deviation. The dashed line shows the 2000–2018 winter climatology. (b) Monthly LAF climatologies for the 2000–2007 (blue) and 2008–2018 (orange) periods. Diamonds represent the monthly mean with the standard deviation in whiskers. (c) Histograms of monthly LAF distributions, with the 90th percentile ( $\sim 30\%$ ) shown by the dashed line. (d) Stacked barplot of binned LAF from 20% to 45% where the height of the bars corresponds to the number of days. Numbers denote the total number of days where the daily average LAF exceeds the 90th percentile.

### 3.3 Driving mechanisms of sea-ice breakup events

The sea ice movement driven by wind and ocean currents can create stresses within the ice pack, leading to fracturing and the formation of leads (Lewis & Hutchings, 2019). In addition, changes in the material properties of the ice, such as ice thickness, concen-

tration, and strength, can also influence the susceptibility to breakup. Here we look at the drivers of the simulated changes in winter lead formation, focusing particularly on the shift occurring around 2007.

### 3.3.1 Winds

Jewell and Hutchings (2023) analysed the synoptic conditions during breakup events in the Beaufort Sea during winters 1993–2013. They show a consistent connection between wind forcing and lead formation, where a breakup is typically associated with high sea level pressure and relatively strong anticyclonic winds over the Beaufort Sea. Similarly, Wang et al. (2016) concluded that a stronger Beaufort High results in stronger southeasterly winds in the Beaufort Sea, which pushes sea ice away from the coast and thus promotes higher ice divergence and lead formation.

In general, high wintertime LAFs in the Beaufort Sea are linked with persistently higher wind speeds (Figure 4b and c) in agreement with Jewell and Hutchings (2023). In 2010, 2013, and 2016, the daily wind speed exceeds  $8.5 \text{ m s}^{-1}$  (one standard deviation above the mean) for more than 20 days (Fig. 4d). These conditions are typically associated with a positive sea level pressure difference across the Beaufort Sea and easterly winds (Supplementary Fig. S3), creating favourable conditions for off-shore ice drift and enhanced breakup. It is worth noting that there is considerable variability between the different months (Figure 4c and Supplementary Fig. S3), and we do not find a simple relationship between wind speed and sea-ice breakup. Jewell and Hutchings (2023) came to the same conclusion indicating that breakup may occur for a wide range of atmospheric conditions. Both the duration of strong winds as well as the wind direction appear to be important for initiating a breakup. For example, in 2005, conditions were comparable to other breakup years (e.g. 2013), with relatively strong and persistent winds during winter, however the LAF remained relatively low throughout the winter of 2005 (Supplementary Fig. S4). This could be due to the fact that the ice was thicker and stronger (Fig. 2b) and thus less sensitive to winds.

We find no trend in the ERA5 winds in the Beaufort region during winter over the period 2000 to 2018 (Fig. 4b). The year-to-year variability is also relatively similar for the 2000–2007 and the 2008–2018 winter periods. The same is true if we consider individual months rather than the winter-mean values (Fig. 4c), showing no major differ-

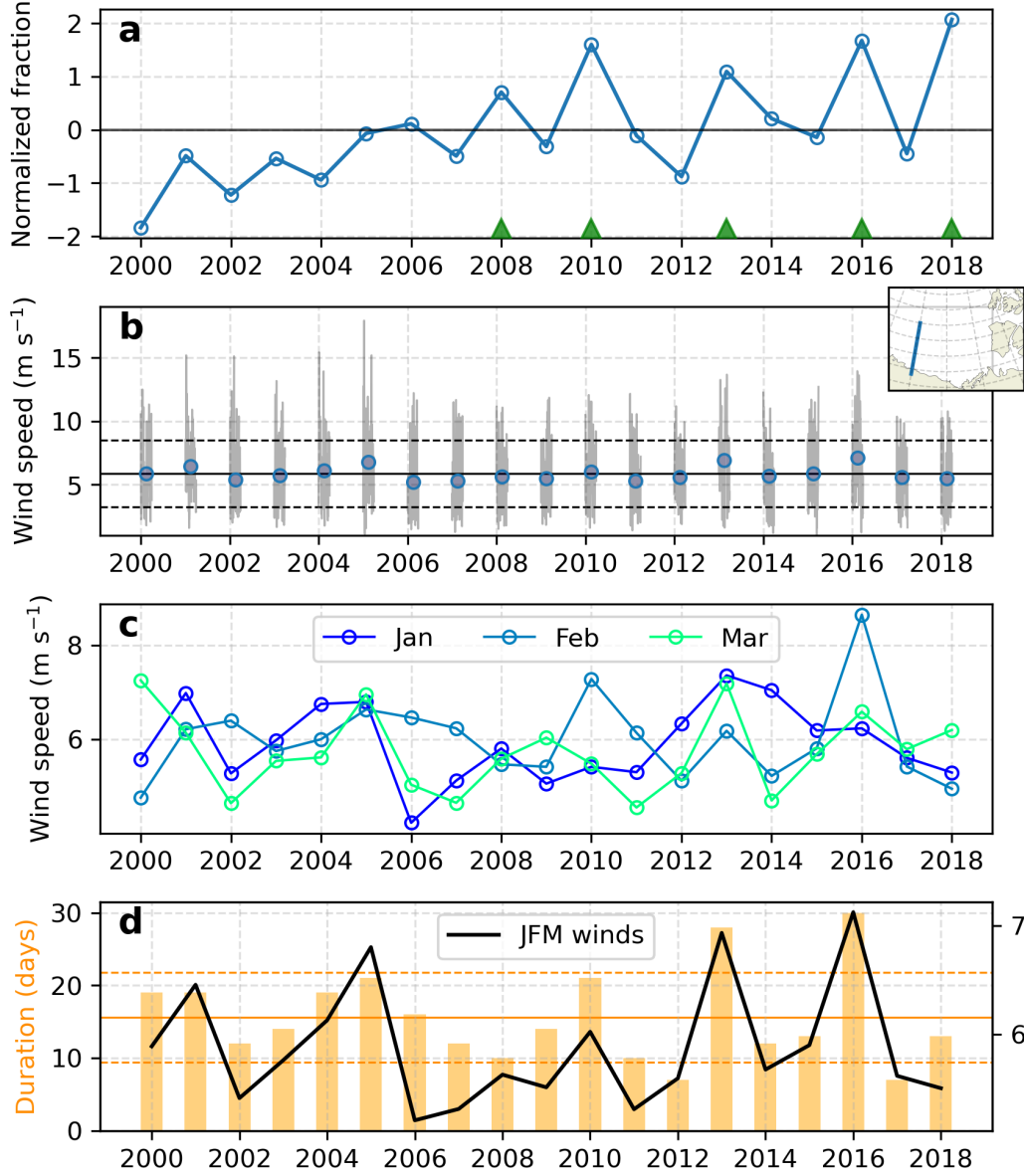
ence in wind strength for January versus March. Thus, changes in the wind forcing cannot explain the fact that we are seeing the strongest increase in lead variability in March. Based on this, we speculate that the shift in the lead formation dynamics seen after 2007 is linked to the thinning of the Beaufort ice cover (Fig. 2), making it more vulnerable to atmospheric forcing during winter.

### 3.3.2 *Changes in ice conditions*

Changes in the dynamic properties of sea ice are generally attributed to changes in concentration and thickness, which in turn affect the strength of the ice (Zhang et al., 2012). In sea ice models, including neXtSIM, ice strength is parameterized as a function of ice thickness and concentration (Hibler, 1979). Therefore, we expect the simulated decline in ice thickness (Fig. 2b) to weaken the ice pack and reduce the internal ice stress. As a result, this leads to an overall increase in the simulated deformation rates (Fig. 5b) and increased drift speeds (Fig. 5a) in the Beaufort Sea. The positive trend in sea ice drift speeds is consistent with earlier modelling studies (e.g. Zhang et al., 2012) and observations (e.g. Rampal et al., 2009; Spreen et al., 2011).

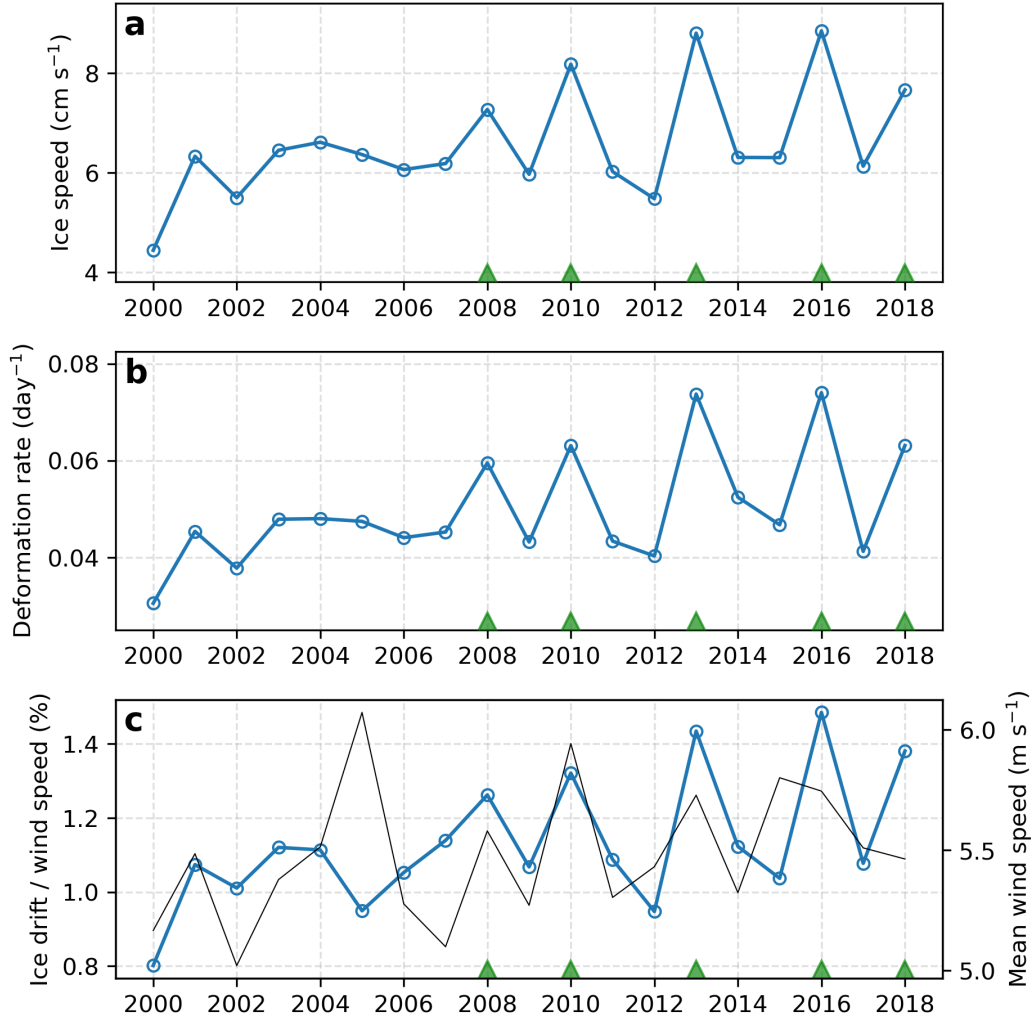
Comparing the simulated LAF in Fig. 4a to the time series of the mean ice speed and deformation rates (Fig. 5) strongly points to a shift in the dynamic sea ice properties and lead formation dynamics after 2007. Both sea ice drift and deformation rates show a pronounced change in the variability, fluctuating between relatively low and high values mirroring the changes in the LAF. High LAF is associated with high deformation rates and increased ice speed in the Beaufort Sea (Fig. 5a and 5b), exceeding  $7 \text{ cm s}^{-1}$  during breakup events. It is worth noting that both drift and deformation values remain relatively low for winters without significant breakup, i.e. their baseline values do not seem to change much over the 2000–2018 period. This suggests that individual extreme events can substantially alter the overall trend seen in the data.

By plotting the ratio between ice drift to wind speed (Fig. 5c) we see a clear increase in the ice drift to wind speed ratio, especially during breakup events. This reflects an increased sensitivity of the Beaufort ice cover to wind forcing during the late 2000s, whereas in the earlier period (e.g. in 2005 when the ice was thicker), there is a larger disconnect between strong winds and ice drift speeds. Overall, this points to changes in the



**Figure 4.** Time series of (a) normalized wintertime lead fraction (%) in Beaufort region, (b) ERA5 daily mean wind speed ( $\text{m s}^{-1}$ ) between  $70\text{--}75^\circ\text{N}$  along  $150^\circ$ . The January–March average wind speed is highlighted by blue circles. (c) Monthly mean wind speed for January, February and March. (d) The number of days where the daily wind speed exceeds  $8.5 \text{ m s}^{-1}$  (one standard deviation above the mean) is shown in bars. Its mean and standard deviation is shown by solid and dashed lines. The solid black line shows the wintertime (January through March) mean wind speed. The time series in (a) is normalized by subtracting its mean and dividing by its standard deviation. The transect used for calculating the winds is indicated in Figure 4b.

319 material properties of sea ice being a major factor in driving the shift we see in the sim-  
 320 ulated lead area fraction.



**Figure 5.** Time series of (a) mean ice speed (cm s<sup>-1</sup>), (b) total deformation rate (1/day) and (c) ratio (%) between mean sea-ice drift and mean wind speed. The thin black line shows the average wind speed. All time series are based on wintertime (January through March) means and averaged over the Beaufort region. The area used for averaging is shown in Fig. 2. Green triangles highlight winters with significant breakup identified in Figure 3a.

### 321 3.4 Impacts on Beaufort ice volume and MYI

322 In this section, we seek to understand how winter sea ice breakup impacts the ice  
 323 volume in the Beaufort Sea. Changes in regional ice volume during winter can be sep-

arated into two terms: (i) thermodynamic ice growth and (ii) sea ice transport. Note that we are omitting the term associated with sea ice melting as this can be considered negligible during winter (Graham et al., 2019).

#### 3.4.1 *Thermodynamic ice growth in leads*

In winter, the opening of leads results in intense heat loss from the underlying ocean and promotes new ice formation. More sea ice breakup could therefore increase the local winter ice growth overall and modulate the composition of the Beaufort ice pack by increasing the fraction of thinner and younger ice types.

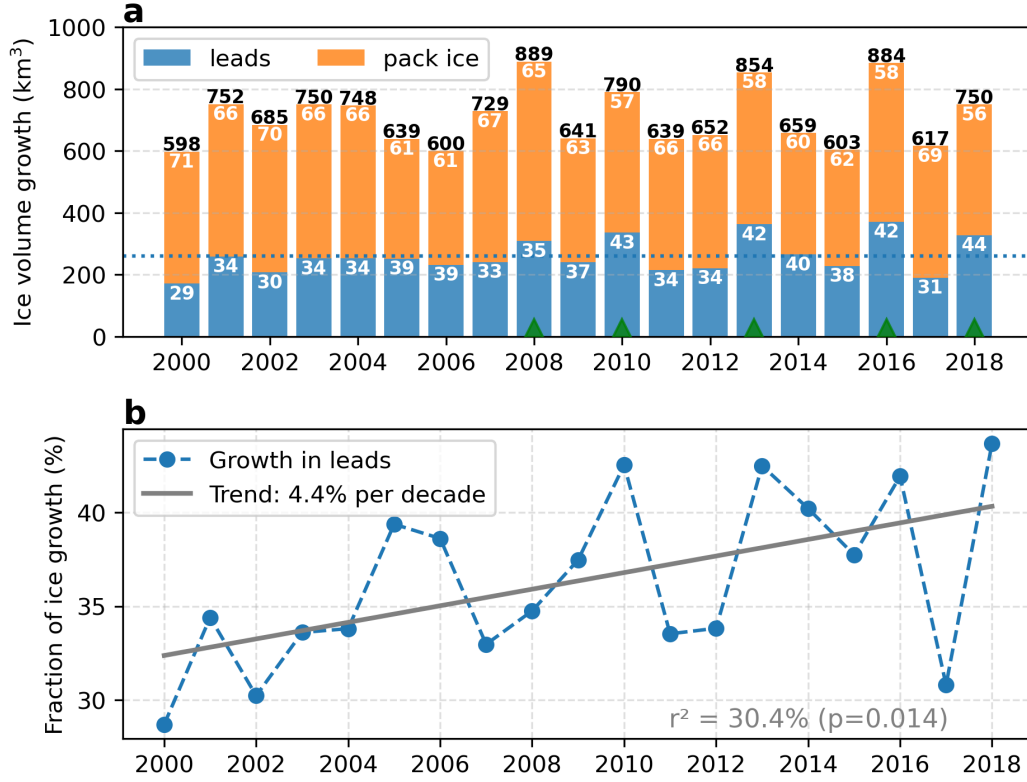
The thermodynamic ice growth from January through March is shown in Fig. 6 for leads and pack ice, respectively, where growth in leads is associated with the formation of new and thin sea ice (Rheinländer et al., 2022). Note that the ice growth in leads is independent of the lead detection algorithm. Overall, the growth of new ice in leads is increasing over the period 2000–2018 (Fig. 6b). We find a statistically significant linear trend of 4% per decade for wintertime ice production in leads. This is consistent with the results of Boutin et al. (2023), who used the same model to find a 4.3% per decade trend on the pan-Arctic scale. Our result is also consistent with the simulated trend in LAF (4.2%; Supplementary Fig. S1) and suggests that leads play an increasingly key role in the local sea-ice volume budget as the ice cover becomes thinner and more fractured.

By comparing the growth estimates to the LAF time series in Figure 3a, we see that winters with more breakups also have larger ice production overall. New ice production in leads is consistently higher for these years (top 5) compared to the climatology, and the fraction relative to the total growth is above 40% (except for 2008). Overall, these results show that winter breakup can significantly increase the local ice volume by enhancing ice growth.

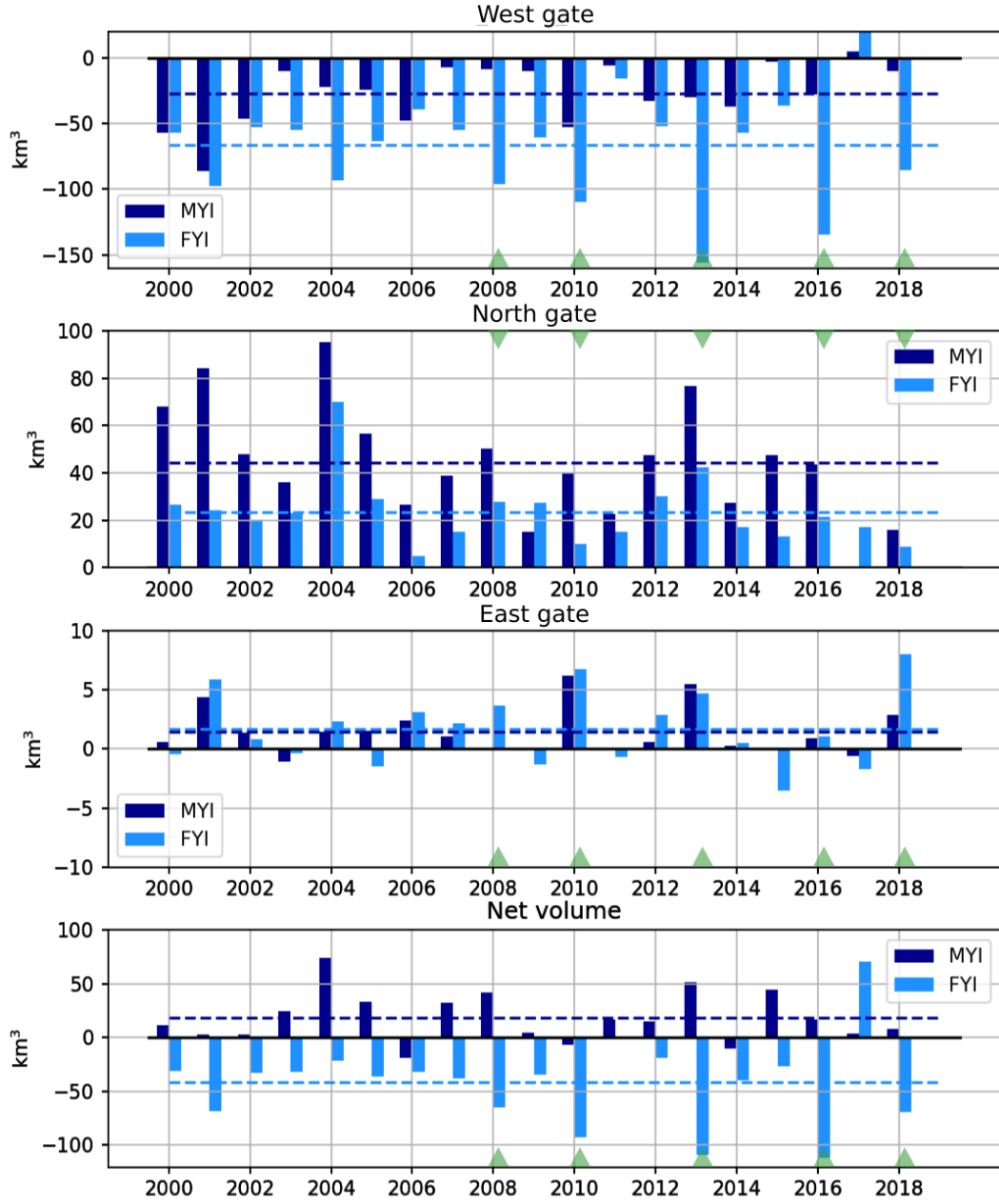
#### 3.4.2 *Volume transports*

The simulated winter-mean ice volume fluxes are shown in Fig. 7 across the Beaufort Sea’s eastern, northern and western gates. The transport has been separated into FYI and MYI contributions. The western gate captures primarily the ice export from the central Beaufort to the Chukchi Sea, whereas the northern and eastern gate captures the import of thicker and older sea ice from the Canadian Archipelago and the LIA. This





**Figure 6.** (a) Thermodynamic sea-ice growth (km<sup>3</sup>) in leads (blue) and pack ice (orange) in the Beaufort Sea during winter (January–March). The dashed line shows the winter climatological mean ice volume growth in leads. Numbers in white indicate the fraction (in %) of the respective growth relative to the total growth (shown by black numbers above the bars). (b) Fraction of ice volume growth in leads relative to the total thermodynamic growth. A linear regression model has been fitted to the data and is shown by the grey line. Breakup years are highlighted by green triangles in (a).



**Figure 7.** Time series of January–March ice volume fluxes ( $\text{km}^3$ ) into the Beaufort Sea across the eastern ( $160^\circ\text{W}$ ), northern ( $78^\circ\text{N}$ ), and western gates ( $120^\circ\text{W}$ ) and the total fluxes in the bottom panel. The transport is separated into contributions from FYI (light blue) and MYI (dark blue), with dashed lines showing the winter-mean climatologies. Positive values indicate sea ice transport into the Beaufort region.

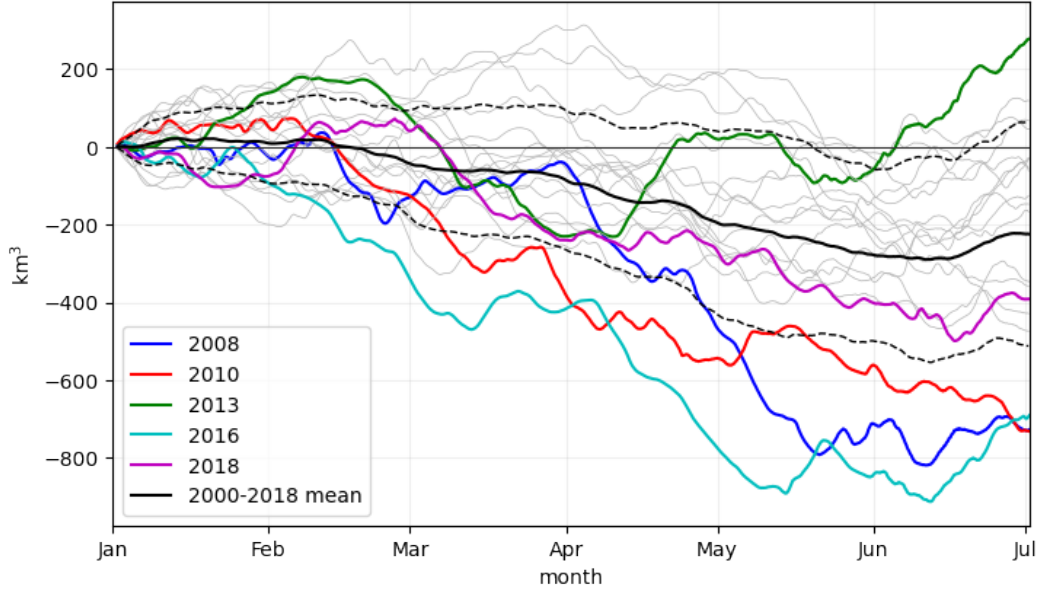
circulation pattern is associated with the anti-cyclonic circulation of the Beaufort Gyre (Howell et al., 2016). Overall, the total fluxes from January through March show a net import of MYI and a net export of FYI. The majority of the transport occurs at the northern and western gates, while the transport through the eastern gate is generally small (only accounting for about 6% of the total import).

During winters with enhanced lead activity, the ice transport through the Beaufort region increases (bottom panel in Fig. 7) and is consistent with the higher mean ice drift speeds seen in Fig. 5a. At the western gate, there is a large export of primarily thinner and younger FYI during breakup events. A smaller fraction of MYI is also exported, especially in the early 2000s, but is reduced during the later part of the simulation in line with previous studies (e.g. Howell et al., 2016; Babb et al., 2022).

At the northern gate, the simulated volume transports are primarily dominated by the import of MYI from the central Arctic. There is generally a higher MYI transport into the Beaufort Sea during breakup events, for example, in 2013 which shows a net import of  $85 \text{ km}^3$  across the eastern and northern gates. In comparison, the average MYI import is  $\sim 45 \text{ km}^3$  over the period 2000–2018. However, years with relatively low lead fractions (in the early 2000s and in 2015) also show high MYI import (and export), while the breakup events in 2016 and 2018 have lower MYI fluxes despite high lead fractions. A possible explanation is that there is simply less MYI in the Arctic in total and, therefore, less to be transported into the Beaufort region (Babb et al., 2022). This is likely the case in this simulation, which underestimates MYI extent in 2017 and 2018 partly due to unrealistically high melting in the summer of 2016.

In total, ice export is larger than import during winter breakup events, which suggests that sea ice breakup contributes to regional dynamic ice loss in the short term. This will likely also affect ice transport in the following months and impact the regional ice volume before the beginning of the next melt season. For example, winter export from the Beaufort region could lead to enhanced flushing of MYI through the Beaufort Sea (e.g. Babb et al., 2019) providing dynamical replenishment for the ice loss during winter.

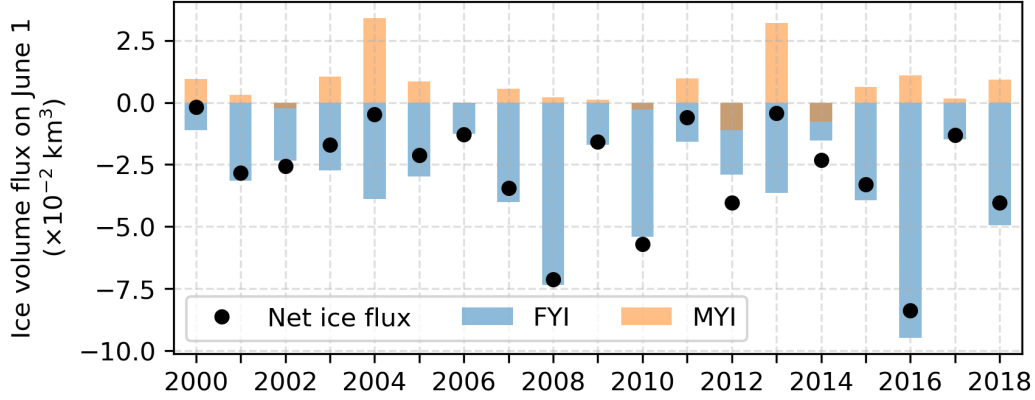
To understand the cumulative effects of winter breakups, we look at the transport into the Beaufort Sea through the entire cool season from January through June in Figure 8. The 2000–2018 climatology shows a net ice export at the end of the cool season



**Figure 8.** Cumulative sea ice volume fluxes from January to June for all years from 2000 to 2018. Years with high wintertime lead fractions (2008, 2010, 2013, 2016 and 2018) are shown in colours. The 2000–2018 climatology is shown in black with the  $\pm 1$  standard deviation.

(about  $-200 \text{ km}^3$  in June). Years with higher lead activity in winter (2008, 2010, 2016, 2018) exhibit larger cumulative net ice export (more than one standard deviation below the mean). A notable exception is 2013, which shows as net ice import, despite a large export in February–March (Babb et al., 2019; Rheinländer et al., 2022). This was caused by enhanced advection of thicker MYI through the northern boundary from mid-April (Fig. 9) offsetting ice export (primarily thin FYI) at the western boundary. Meanwhile, the other breakup events show little evidence of MYI flushing associated with the increased winter ice export from the Beaufort Sea.

Overall, these results suggest that winter breakup events may have a negative impact on the Beaufort ice mass balance by enhancing ice export, despite also promoting significant new ice growth. But what is the combined effect of winter breakup on the Beaufort ice volume? In Figure 10, we show the relationship between wintertime lead area fraction, i.e. over the period from January through March, and the ice conditions in the Beaufort Sea at the end of the cool season (from January 1 to June 1). The cumulative cool season transport out of the Beaufort Sea is generally larger when the LAF is high and we see a clear separation of the breakup years that also have enhanced export. The

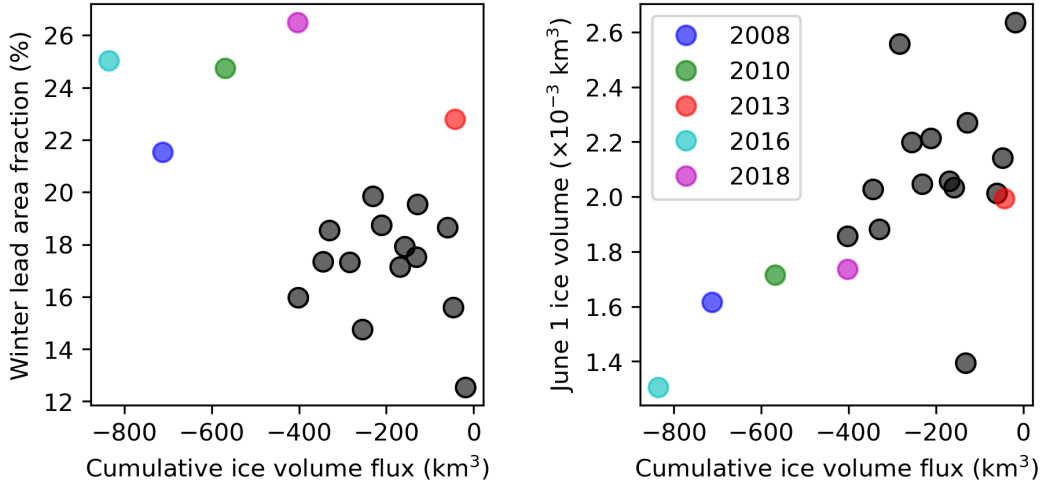


**Figure 9.** June 1 cumulative ice volume fluxes separated into FYI (blue) and MYI (orange) contributions from 2000 to 2018 in the Beaufort region. The net June 1 ice volume flux is shown by black circles. Positive (negative) values corresponds to a net import (export).

correlation between cool season transport and the Beaufort ice volume at the beginning of June exhibits a similar grouping, with breakup years showing lower ice volume values on June 1. A similar relationship was also found based on satellite observations (e.g. Babb et al., 2019; Moore et al., 2022), suggesting that high winter export from the Beaufort Sea results in an anomalously thin ice cover and negative regional volume anomalies. This could preconditioning the ice cover for increased summer melt and ultimately result in record low regional September sea ice minima as shown by Babb et al. (2019).

#### 4 Discussion

In an earlier modelling study, Wang et al. (2016) simulated the time evolution of lead formation in the Beaufort Sea over the last three decades (1985–2014) using a high-resolution (4.5 km) sea-ice model (Finite Element Sea Ice-Ocean Model; FESOM). In contrast to the neXtSIM simulation, they observed no increase in the number of large-scale breakup events in winter, which they related to the absence of wind stress trends in the Beaufort region. However, one of the notable contrasts between these two models is the difference in sea ice rheology; m-EVP for FESOM versus BBM in neXtSIM. This could lead to significant differences in how the ice cover responds dynamically to changes in the mechanical ice properties and the sensitivity to wind forcing. Another difference is the definition of leads in Wang et al. (2016), which are defined as locations where the sea ice is at least 20% thinner than at its surroundings (within a 25-km radius). Firstly,



**Figure 10.** Scatterplot of the cool season (January 1 - June 1) ice volume flux and (a) winter mean (January–March) lead area fraction and (b) June 1 ice volume. Breakup years (2008, 2010, 2013, 2016 and 2018) are highlighted in colours.

this excludes very wide leads, and secondly may fail to capture very localized divergence events leading to drops in sea ice concentration as seen in the neXtSIM simulation. Meanwhile, recent observational data based on MODIS imagery (Willmes et al., 2023) show a significant positive trend of 2% per decade in lead frequencies in the Beaufort Sea over the period from 2002 to 2021 (during April only). This is similar to Hoffman et al. (2022) who observed a small, but significant increase in pan-Arctic leads from satellite data over the same period, despite large uncertainty due to the increasing cloud cover in the Arctic.

We find that the change in lead formation dynamics simulated by the neXtSIM model, notably the increased variability in lead formation after 2007, can be linked to a shift in the Beaufort ice dynamics. Such transitions have been reported in observations. Long-term sea ice data from satellites dating back to the 1980s show evidence that the Beaufort Sea transitioned to a thinner state in 1998 (Hutchings & Rigor, 2012 and Fig. 1 in Babb et al., 2019). Another transition occurred around 2007 (e.g. Moore et al., 2022; Babb et al., 2022), which reflected a shift from an old ice regime (1979–2007) when the region was dominated by MYI to a young ice regime (2007–present). Similarly, Wood et al. (2013) pointed to a “new normal” climate in the Beaufort Sea since 2007, char-

acterized by an increasingly mobile and thus more dynamic ice pack, which agrees with the increase in ice drift in Figure 2c.

Our results indicate, that sea ice thinning and loss of MYI in the Beaufort region makes the ice cover less resilient to wind forcing thus increasing the likelihood of large breakups. This could lead to enhanced inter-annual variability in Beaufort Sea ice conditions and may increase the potential for rapid sea ice loss (Moore et al., 2022; Maslanik et al., 2007). Similarly, Petty et al. (2016) found an amplified sensitivity of the Beaufort sea ice circulation in winter to wind forcing during the late-2000s. This increase in winter ice drift is commonly attributed to general sea ice thinning and reduction in mechanical ice strength (Zhang et al., 2012; Rampal et al., 2009), which is also evident from our results in Fig. 5. Meanwhile, Jewell and Hutchings (2023) noted that changes in ice thickness is not the only factor controlling breakup. Atmospheric conditions such as wind direction, storm propagation and duration of strong winds are also important factors that contribute to sea-ice breakup. In fact, the LAF timeseries in Figure 3 show that breakup events also occurred during the early-2000s (for example in 2005 and 2006) when the ice was considerably thicker. This emphasises the importance of atmospheric forcing in initiating breakup.

While the atmosphere plays a dominant role in triggering sea-ice breakup on short time scales (days to weeks), the ocean may also play a role in preconditioning sea-ice breakup on seasonal time scales (Willmes et al., 2023). For example, enhanced ocean heat fluxes during summer and autumn may predispose the ice cover to enhanced melt, resulting in a thinner and weaker ice cover before the beginning of the cooling season (Herbaut et al., 2022; Graham et al., 2019). Lead formation can also be expected to have significant impacts on the ocean underneath, for example by enhancing mechanical energy input available for mixing and through brine formation thereby affecting mixed layer properties and halocline stability (Matsumura & Hasumi, 2008; Peralta-Ferriz & Woodgate, 2015; Shimada et al., 2005). Mixing up warmer waters through lead opening could enhance basal melting and limit new ice growth in the leads (e.g. Graham et al., 2019). Such feedbacks could be important for ice-ocean interactions even on longer time scales but they are not assessed explicitly in this study.

## 5 Summary and conclusions

This paper presents a multi-decadal simulation using the coupled ocean-sea-ice neXtSIM-OPA model and investigates the temporal changes in wintertime sea ice leads in the Beaufort Sea and their impacts. The simulation shows a small but significant increasing trend in the Beaufort lead area fraction (4% per decade) over the winter season (January through March) for the period 2000 to 2018. This is consistent with a general decrease in ice thickness and MYI cover as well as enhanced drift speeds during winter in the Beaufort region.

Around 2007 we find a notable increase in the simulated lead area fraction variability associated with enhanced sea ice breakup, high deformation rates and an increase in the mean ice velocities. These changes coincide with the observed regime shift that occurred in the Beaufort Sea in 2007 (Wood et al., 2013; Moore et al., 2022), characterized by a transition from a state dominated by thicker and older MYI towards more seasonal, thinner and younger sea ice. We find no significant trend in the surface winds during winter over the simulated time period. This suggests that the changes in lead formation dynamics can be attributed to changes in the sea ice conditions (i.e. thinning, loss of ice strength and enhanced deformation) rather than changes in the atmospheric forcing. Consequently, the ice cover becomes more sensitive to wind forcing, which may lead to enhanced inter-annual variability in Beaufort Sea ice conditions and more extreme breakup during winter.

Several large breakup events are identified which significantly impact the regional thermodynamic ice production, with new ice growth in leads contributing up to 40% of the total winter ice growth. This implies that sea ice leads play an important role in the local ice mass balance in the Arctic (as Boutin et al., 2023, also found). Meanwhile, years with high lead activity in winter consistently exhibit increased ice export, primarily FYI, from the Beaufort Sea throughout the entire cool season (January 1 to June 1). While some breakup events also show an enhanced import of MYI to the Beaufort from the central Arctic (e.g. in 2013), we find no consistent evidence that winter breakup leads to the flushing of MYI through the Beaufort Sea.

Overall, these results suggest that winter breakups have a negative impact on the Beaufort ice volume, preconditioning a thinner and weaker ice pack at the end of the cool season (see also Babb et al., 2016, 2019; Moore et al., 2022). This could lead to earlier



breakup in spring and enhanced summer melt, thereby contributing to accelerating sea ice loss in the Beaufort Sea. This further highlights the need to include small-scale sea-ice deformation and fracturing in global climate models to accurately simulate future Arctic sea-ice mass balance, particularly the evolution of MYI in the Arctic.

## Data Availability Statement

The output from the neXtSIM-OPA simulation is available as NetCDF files at <https://doi.org/10.5281/Zenodo.7277523>. Jupyter Notebooks for data analysis and plotting are located in a public GitHub repository at <https://zenodo.org/badge/latestdoi/682991902>. The ERA-5 data was downloaded from the Copernicus Climate Change Service Climate Data Store (CDS) <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview>.

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