



Estimating phytoplankton primary productivity in the Changjiang estuary, East China Sea from coupled Fast Repetition Rate (FRR) fluorometry and Chlorophyll-a measurements

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Key Points:

- Parallel *in-situ* measurements of net primary productivity and Fast Repetition Rate fluorometry were conducted in the Changjiang estuary.
- We observed substantial variations of phytoplankton photophysiology and net PP in this dynamic system.
- A generalized additive model was developed to predict net PP, providing a high-throughput approach for assessing regional PP.

Abstract

Phytoplankton primary productivity (PP) varies significantly over environmental gradients, particularly in physically-dynamic systems such as estuaries and coastal seas. As the Changjiang River runoff peaks during summer time, large environmental gradients appear in both the Changjiang estuary and adjacent East China Sea (ECS), likely driving significant variability in PP. As satellite models of PP often underperform in coastal waters, we aimed to develop a novel approach for net PP estimation in such a dynamic environment. Parallel *in situ* measurements of Fast Repetition Rate (FRR) fluorometry and carbon (C) uptake rates were conducted for the first time in this region during two summer cruises in 2019 and 2021. A series of ^{13}C -incubations ($n=31$) were performed, with measured PP ranging from $\sim 6 - 1700 \text{ mgC m}^{-3} \text{ d}^{-1}$. Net PP values were significantly correlated with salinity ($r = 0.45$), phytoplankton chlorophyll *a* (Chl-*a*, $r = 0.88$), Photosystem II (PSII) functional absorption cross-section (σ_{PSII} , $r = -0.76$) and maximum PSII quantum yield (F_v/F_m , $r = 0.59$). Stepwise regression analysis showed that Chl-*a* and σ_{PSII} were the strongest predictors of net PP. A generalized additive model (GAM) was also used to estimate net PP considering nonlinear effects of Chl-*a* and σ_{PSII} . We demonstrate that GAM outperforms linear modelling approaches in predicting net PP in this study, as evidenced by a lower root mean square error (~ 140 vs. $250 \text{ mgC m}^{-3} \text{ d}^{-1}$). Our novel approach provides a high resolution means to examine carbon cycling dynamics in this important region.

Plain Language Summary

The East China Sea (ECS) has a complex current system that creates a highly dynamic physical environment for phytoplankton, particularly during the summer months. Net primary productivity (PP) is highly variable in this region, but characterising the spatial patterns in PP is difficult due to the lack of a high-resolution data collecting method. Therefore, there is a strong need for a quick and easily implemented method for monitoring PP to capture variations in this dynamic system. Based on parallel measurements of phytoplankton biomass and photophysiology, we have developed a model that allows us to rapidly and easily assess regional PP at a high resolution. The high data volume potentially provided by our net PP model could not only contribute to a better understanding of PP variations in such a dynamic environment, but also help fill the large gaps in field data needed for validating satellite-based primary productivity models.

1 Introduction

Phytoplankton primary productivity (PP) is a key process mediating the transfer of carbon (C) between the atmosphere and ocean interior, and thus plays a key role in regulating the global climate. However, PP varies greatly over space and time, depending upon environmental conditions including light, temperature and nutrient availability, together with the composition of

the phytoplankton community (Arrigo et al., 2000; Behrenfeld & Falkowski, 1997; Behrenfeld et al., 2006; Cermeño et al., 2005; Marra, 2015; Moore et al., 2008; Ning et al., 1988; Platt & Jassby, 1976). Estimation of phytoplankton PP is therefore extremely challenging, particularly within physically-dynamic systems such as estuaries and coastal waters (Gong and Liu, 2003; Firme et al. 2023).

Satellite assessments of phytoplankton PP based on ocean color are routinely used in the open ocean over large scales (Arrigo et al., 2008; Behrenfeld et al., 2005; Kameda & Ishizaka, 2005; Lee et al., 2015; Ning et al., 1998), yet are not easily applied to shallow coastal regions due to interference from colored dissolved organic matter (CDOM), suspended sediment and land runoff (Moreno-Madriñán & Fischer, 2013). Consequently, PP estimates for estuaries and coastal regions are largely derived from direct, *in situ* observations (Cloern et al., 2014), usually performed via carbon isotope (^{14}C or ^{13}C) incubations (Hama et al., 1983; Nielsen, 1952). While carbon isotope methods are highly-sensitive, they also require long incubation periods, resulting in a very low sampling resolution (Morelle et al., 2018). The lack of spatial and temporal coverage afforded by incubation-based approaches limits our ability to fully understand environmental controls upon PP variability in dynamic coastal waters (Cloern et al., 2014).

Fast Repetition Rate (FRR) fluorometry is a bio-optical technique capable of non-invasively evaluating changes in photosystem II (PSII) photochemistry – allowing estimation of phytoplankton productivity as photosynthetic electron transport rates (ETRs) (Kolber & Falkowski, 1993; Kolber et al., 1998). FRR fluorometry has rapidly become a widely-used oceanographic tool for evaluating photosynthetic rates in coastal and open ocean waters (Cermeño et al., 2005; Hughes et al., 2020; Moore et al., 2003; Robinson et al., 2014; Schuback et al., 2015; Suggett et al., 2006). However, estimation of PP from FRR fluorometry measurements requires conversion of ETRs to C-uptake rates - i.e., from a photosynthetic “currency” of electrons to carbon (see Suggett et al., 2009). This conversion requires knowledge (or assumption) of: i) the number of PSII reaction centers per Chl-a (n_{PSII}), ii) the electron requirement for carbon fixation (K_C , or $\Phi_{e,C}$) and iii) an appropriate spectral correction factor (scf) to account for spectral properties of the *in-situ* light field versus the absorption spectra of the phytoplankton sample (reviewed by Hughes et al. 2018a). All three parameters exhibit considerable variability but are not easily measured under field conditions (Oxborough et al., 2012; Suggett et al., 2011), thus assumed values are often used,

which can introduce significant uncertainty into PP estimates (Cheah et al., 2011; Fujiki et al., 2008; Kromkamp et al., 2008; Raateoja et al., 2004; Smyth et al., 2004; Zhu et al., 2016, 2019).

Importantly, ETRs are constructed from specific fluorescence parameters that are retrievable from the single-turnover FRR measurement protocol (see Hughes et al. 2018a; Schuback et al. 2021). These include the maximum quantum efficiency of PSII (F_v / F_m) and the functional absorption cross-section of PSII (σ_{PSII}). Under actinic light, both F_v / F_m and σ_{PSII} are modified according to how the absorbed light is utilized by PSII and as such, F_v / F_m and σ_{PSII} are both likely (in)directly related to C-fixation, since light absorption is one of the key factors governing PP (Behrenfeld et al., 2006; Moore et al., 2006). Interestingly, both fluorescence parameters may contain signatures of both the nutritional status and taxonomy (Hughes et al., 2018b, 2021; Suggett et al., 2009b), suggesting that it might be possible to establish predictable relationships between FRRf-derived biophysical characteristics and photosynthetic rates within dynamic systems where light, nutrients, and phytoplankton assemblage composition are highly-variable in space and time (Moore et al., 2003).

The East China Sea (ECS) is situated in the vicinity of China, South Korea and Japan, and is one of the largest and most productive marginal seas in the world (Chang et al., 2003; Wong et al., 1998). The ECS notably features a complex current system, particularly during summer when it is influenced by both fresh Changjiang Diluted Water (CDW) freshwater discharge and saline Kuroshio saltwater intrusion (Guo et al., 2006; Figure 1A). It is well-documented that phytoplankton biomass, photophysiology and production can vary significantly in response to rapidly-changing gradients of light and nutrients along the coastal to the offshore waters of the ECS (Chen et al., 2004; Gong et al., 2000; Jiang et al., 2014, 2015; Liu et al., 2019; Ning et al., 1988; Yoshikawa & Furuya, 2008). Due to the physical and biological dynamics within the Changjiang estuary and adjacent coastal waters, development of a reliable, high-resolution tool to monitor PP variability in this region would be highly-advantageous.

By performing parallel in-situ measurements of net PP and FRR in the Changjiang estuary and adjacent ECS during two summer cruises (Figure 1B), here we develop a generalized additive model (GAM) to predict the net PP data as a function of phytoplankton biomass (Chl-a) and photophysiological parameters which can both be measured with relatively little time and effort. A growing number of research applications are using GAMs to evaluate and predict water environment changes of nutrient, phytoplankton biomass as well as primary productivity (Harding

et al., 2016, 2020; Liu et al., 2016; Richards et al., 2013; Testa et al., 2018). Our modeling approach based on GAMs allows for broad-scale retrieval of net PP across the Changjiang estuary and associated coastal waters. Such capability could greatly enhance our understanding of carbon cycling dynamics and long-term changes in ecosystem health within this ecologically important region.

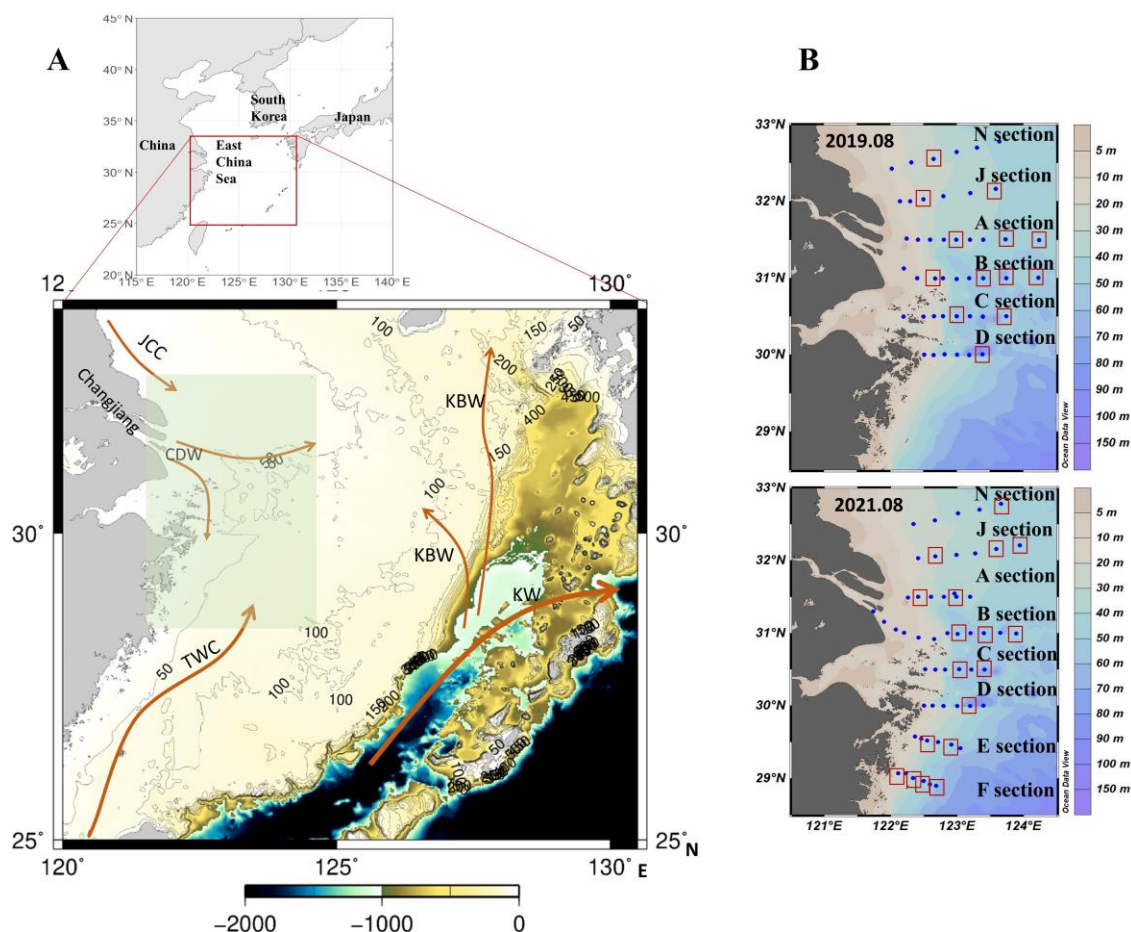


Figure 1. (a) Map of the East China Sea (ECS) indicating the main study region (light green shaded area) from which most of our data were collected. The main currents observed during the summer period are also shown: Kuroshio Water (KW), Kuroshio Branch Water (KBW), Taiwan Warm Current (TWC), Jiangsu Coastal Current (JCC) and Changjiang Diluted Water (CDW) (Guo et al., 2006). Light grey lines indicate the isobath. (b) Sampling stations during the summer cruise of 2019 and 2021 performed onboard the R/V *Runjiang*. Red squares indicate stations where net primary productivity (PP) data were measured.

2 Materials and Methods

2.1 Sample Collection and Physicochemical Properties

Two summer cruises were conducted as a part of the Long-term Observation and Research Plan in the Changjiang Estuary and the Adjacent East China Sea Project (LORCE I&II) from 15th - 25th August 2019, and 15th August – 4th September 2021 (Figure 1). Hydrographic measurements (temperature and salinity) and surface water samples (~1m) were collected using Niskin bottles attached to a rosette sampler that was equipped with a conductivity-temperature-depth (CTD) profiler (Seabird SBE CTD 911). Upper mixed layer depth (MLD) was defined as a density change from the ocean surface of 0.125 sigma units as per Huang & Russell (1994). Nutrient samples were collected from surface waters and filtered using a 0.4 µm polycarbonate membrane filter into 100 mL high-density polyethylene (HDPE) bottles. Ammonium–nitrogen ($\text{NH}_4^+\text{-N}$) was immediately measured onboard via indophenol blue spectrophotometric method (Grasshoff et al., 1999), while samples for nitrate + nitrite ($\text{NO}_3^- + \text{NO}_2^-$) and phosphate (PO_4^{3-}) analyses were stored at -20°C for later analysis using an automated nutrient analyzer (SEAL Analytical, Germany). Dissolved inorganic nitrogen (DIN) was determined as the sum of NH_4^+ , NO_3^- and NO_2^- .

2.2 Chl-a and ^{13}C uptake-based net primary productivity

Chlorophyll-a concentrations were determined from 100 mL seawater samples filtered through GF/F filters, using a pre-calibrated fluorometer (Trilogy, Turner Design, USA) following the non-acidification method (Welschmeyer, 1994). GF/F filters were soaked in 90% Acetone and pigments were extracted for 24 h at -20°C in darkness before subsequent fluorometric evaluation. ^{13}C uptake experiments were carried out via 24 h on-deck bottle incubations. Seawater was pre-filtered through 200-µm mesh to remove zooplankton grazers and then used to fill three 500 mL polycarbonate bottles. ^{13}C -labeled sodium bicarbonate (99 atom %; $\text{NaH}^{13}\text{CO}_3$, CIL, USA) was added to each bottle where the final ^{13}C atom % of total dissolved inorganic carbon was ca. 10 % of that in the ambient seawater (Hama et al., 1983). Samples were placed in a shipboard incubator connected to the ship's underway seawater system to control incubation temperature. The incubator was shaded with neutral density filter to achieve 50% transmission of measured surface irradiance. After 24 h, all samples were filtered through 25mm pre-combusted GF/F filters (450°C , 6 h) and stored at -80°C until further analysis. Filter samples were then vacuum-dried after exposure to fumes of HCl to remove excess particulate inorganic carbon. The concentration

of particulate organic carbon (POC) and the isotopic ratio of ^{13}C and ^{12}C (^{13}C atomic %) on the filters were then determined using an isotope ratio mass spectrometer (Delta^{PLUS}, Thermo Fisher Scientific, USA) equipped with an elemental analyzer (EA 1110, CE Instruments). Finally, volumetric C-fixation rates were calculated according to Hama et al. (1983) (Eq. 1):

$$P^C = \frac{POC \times (a_{is} - a_{ns})}{t \times (a_{ic} - a_{ns})} \quad (1)$$

where P^C is the net carbon fixation rate ($\text{mgC m}^{-3} \text{d}^{-1}$), t is the time of incubation in days (for this study, 1d). a_{is} is the atomic% of ^{13}C in the incubated sample, a_{ns} is the atomic% of ^{13}C in the natural sample, and a_{ic} is the atomic% of ^{13}C in the total inorganic C. Chl-a specific primary productivity (P_B^C , $\text{mgC [mgChl-a]}^{-1} \text{d}^{-1}$) was calculated as P^C normalized to measured Chl-a concentration.

2.3 Fast Repetition Rate (FRR) fluorometry

Variable chlorophyll fluorescence was measured using a Fast Repetition Rate (FRR) fluorometer (FastOcean) integrated with a FastAct laboratory base unit system (Act2, Chelsea Technologies Ltd, London, United Kingdom). Water samples collected during daytime (8:00 – 17:00) were measured after 30 min dark adaptation to relax non-photochemical quenching (NPQ) processes, and measurements for all samples were completed inside an hour. FRR fluorometry measurements were corrected for blank (or baseline) fluorescence using 0.2 μm filtrates (Cullen & Davis, 2003; Schuback et al. 2021). Since diatoms and dinoflagellates are known to dominate phytoplankton assemblages in the study area (Jiang et al., 2014, 2015; Yang et al., 2014), the blue (447 nm) excitation band was solely used for photophysiological measurements of all samples (e.g., Zhu et al., 2017, 2022). The FRR fluorometer was programmed to deliver a single-turnover protocol with a saturation phase comprising 100 flashlets on a 2 μs pitch, followed by a relaxation phase comprising of 50 flashlets on a 150 μs pitch. Each FRRf acquisition was subsequently fitted to the KPF model of Kolber et al. (1998) to derive the minimum fluorescence yield (F_o), maximum fluorescence yield (F_m), functional absorption cross section of PSII ($\sigma_{\square\square\square\square}^{447}$), and maximum photochemical efficiency of PSII (F_v/F_m , where $F_v = F_m - F_o$).

2.4 Statistical Analyses

Correlations between the independent parameters were analyzed using Spearman's rank order correlation coefficient. Stepwise regression was used to examine the contribution of physicochemical variables in explaining variance of net PP (Probability of F to enter ≤ 0.05) and to develop a multiple linear model for net PP estimation. The comparison of mean values of grouped samples was tested by one-way non-parametric ANOVA (Kruskal-Wallis test). In addition, a Generalized Additive Modelling (GAM) approach was proposed for modeling of phytoplankton PP to meet current and future assessment needs. The mathematical formulation of a typical GAM is presented in Eq.2:

$$g(E[Y]) = \mu + f_1(x_1) + f_2(x_2) + \dots + f_n(x_n) \quad (2)$$

where a function $g()$ is applied to the expected value (E) of the dependent variable Y (in this case, Net PP). μ is the modeled intercept. $f()$ is the smoothing function of the independent variables x_1, x_2, \dots, x_n . Analyses were implemented using the *mgcViz* package in R (Fasiolo et al, 2018), where cubic regression spline was used to optimize the smoothing functions, and correlation coefficient (R^2), root mean square error (RMSE) and Akaike information criterion (AIC) were used to evaluate model explanatory power, goodness of fit, and parsimony respectively. Due to the small sample size, the RMSE between actual values and predicted values was calculated using the Leave-One-Out Cross-Validation (LOOCV) procedure (Yada & Shukla, 2016).

All statistical analyses and curve fitting were performed using the open-source statistical software R (Version 3.6.1, R Core Team 2019). Data were visualized using GMT 4, Ocean Data View 5 (Schlitzer, 2018) and R Studio software.

3 Results

3.1 Hydrography and Chlorophyll-a biomass

Sampling while transiting from the Changjiang mouth to offshore, revealed a strong gradient of physico-biological conditions present in ECS surface waters during summer (Table 1; Figure 2). High sea surface temperatures (SST) and low sea surface salinity (SSS) values were observed along Changjiang mouth sections (section A and B, Figure 2A, B, C, D). Highest Chl-a concentrations were observed in the centre of the study area along the 123°E longitude, as well as in the coastal upwelling zone known as Zhoushan upwelling (Figure 2B). Relatively low Chl-a values were measured in both coastal and offshore waters (Figure 2E, F). Notably, a diatom bloom

(where a bloom is defined as a Chl-a concentration $> 30 \mu\text{g L}^{-1}$) occurred outside of the Changjiang mouth during the 2021 cruise (Figure 2F).

Biophysical variables were notably different observed between two cruises, likely caused by the variations of Changjiang runoff at the times of sampling. Averaged runoff (between August 15th - 31st) from the Changjiang river measured at the Datong hydrological station, was $39,152 \pm 3860 \text{ m}^3\text{s}^{-1}$ in 2010 but was $\sim 10\%$ higher in 2021 at $43,341 \pm 1448 \text{ m}^3\text{s}^{-1}$. Consequently, CDW (featured as low salinity water) extended further east in 2021 compared to 2019 (Figure 2C, D). Area-averaged nutrient concentrations were also higher in 2021, with DIN and PO_4^{3-} values of $22.2 \pm 26.3 \mu\text{mol L}^{-1}$ and $0.46 \pm 0.51 \mu\text{mol L}^{-1}$ respectively, compared to 19.3 ± 18.8 and $0.32 \pm 0.39 \mu\text{mol L}^{-1}$ in 2019. In conjunction with higher nutrient levels, the average Chl-a concentration in 2021 was approximately twice that of 2019 ($6.14 \pm 6.88 \mu\text{g L}^{-1}$ vs. $2.71 \pm 2.61 \mu\text{g L}^{-1}$) and also spanned a much wider range ($0.27 - 38.4 \mu\text{g L}^{-1}$) compared to 2019 (0.18 to $11.1 \mu\text{g L}^{-1}$; Figure 2E, F).

Table 1. Geographical locations and surface values of physico-chemical and biological variables measured at stations where primary productivity (PP) incubations were performed in the Changjiang estuary and adjacent East China Sea during two summer cruises in 2019 and 2021. Lon: longitude, Lat:latitude, Temp: temperature ($^{\circ}\text{C}$), Sal: salinity, MLD: mixed layer depth (m), DIN: dissolved inorganic nitrogen ($\mu\text{mol L}^{-1}$), Chl-a: chlorophyll a ($\mu\text{g L}^{-1}$). BLD represents those samples below the limit of detection, and N/A = data not available.

Station	Lon	Lat	Temp	Sal	MLD	DIN	PO_4^{3-}	Chl-a
2019-N3	122.65	32.5	26.0	29.7	5	10.04	0.05	4.08
2019-J3	122.5	32.0	28.5	25.4	3	17.9	0.05	0.86
2019-J6	123.58	32.2	27.8	30.1	10	5.2	BLD	1.16
2019-A5	122.99	31.5	28.5	23.0	5	17.1	BLD	2.44
2019-A8	123.73	31.5	28.8	30.9	8	1.35	BLD	0.64
2019-A9	124.24	31.5	28.9	30.3	16	0.8	BLD	0.47

2019-B6	122.67	31.0	7.1	22.8	2	34.8	0.55	2.1
2019-B10	123.40	31.0	28.2	33.5	10	0.23	BLD	0.57
2019-B11	123.75	31.0	28.8	31.1	11	0.99	BLD	0.45
2019-B12	124.23	31.0	28.6	30.8	10	0.55	BLD	0.40
2019-C5	123.0	30.5	28.1	30.4	5	2.0	BLD	0.61
2019-C8	123.75	30.5	28.9	33.5	8	2.5	BLD	0.21
2019-D6	123.38	30.0	28.9	32.2	6	N/A	N/A	0.18
2021-N6	123.66	32.8	27.5	30.0	10	N/A	N/A	1.61
2021-J2	122.41	32.0	28.3	26.9	8	28.1	0.49	4.52
2021-J5	123.3	32.1	28.4	31.6	10	1.9	BLD	0.87
2021-J6	123.6	32.1	28.0	32.6	9	0.93	0.05	1.72
2021-A2	122.4	31.5	27.9	11.7	4	16.5	0.67	3.84
2021-A5	123	31.5	29.1	15.6	5	32.7	0.11	38.4
2021-B8	123	31	28.3	23.2	5	23.8	0.16	21.2
2021-B10	123.4	31.0	28.5	26.7	10	8.14	0.07	4.35
2021-B12	123.9	31.0	28.5	29.6	14	0.14	0.05	1.4
2021-C5	123.0	30.5	27.4	27.5	5	1.0	0.09	18.1
2021-C7	123.4	30.5	28.1	31.7	17	N/A	N/A	0.57

2021-D5	123.2	30.0	28.4	31.2	6	0.48	0.06	1.05
2021-E3	122.5	29.5	26.9	26.9	5	14.7	0.12	8.36
2021-E5	122.9	29.4	28.7	30.8	7	0.46	0.17	2.34
2021-F1	122.1	29.1	27.7	28.0	5	25.6	1.01	1.62
2021-F3	122.3	29.0	26.7	26.2	6	20.5	0.33	15.01
2021-F4	122.5	28.9	28.0	31.3	11	1.27	0.07	3.96
2021-F6	122.7	28.9	28.1	30.7	11	0.67	0.08	5.26

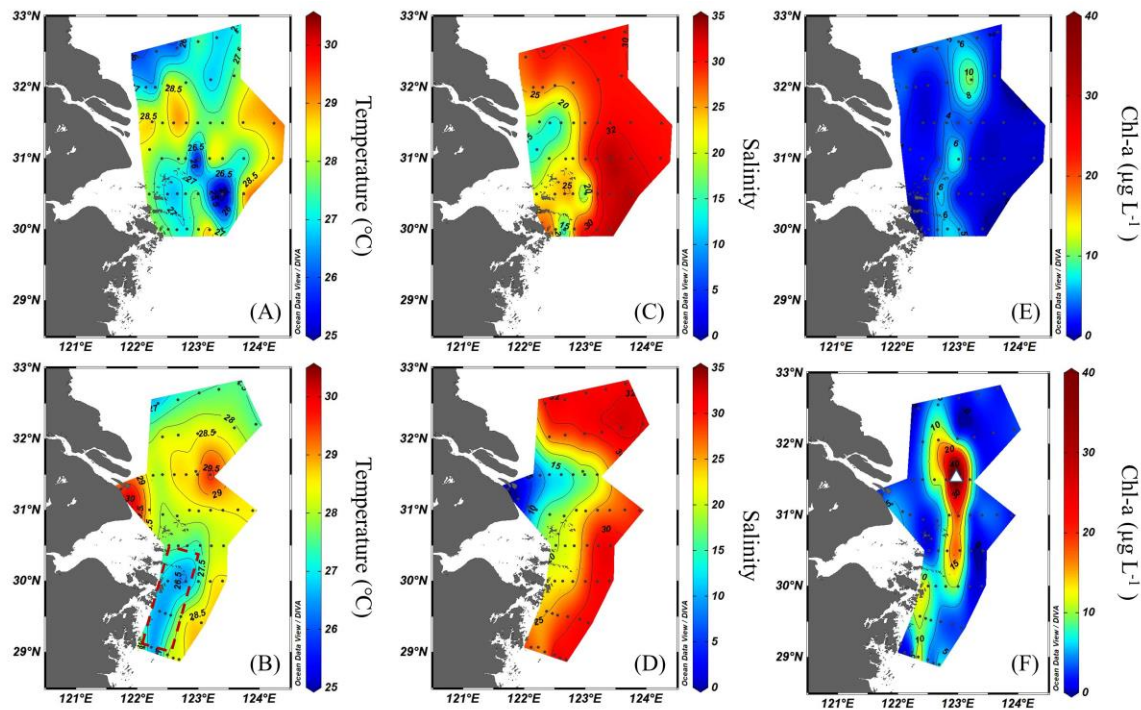


Figure 2. Spatial variability in temperature (°C), salinity (PSU) and Chlorophyll-*a* (Chl-*a*, µg L⁻¹) in 2019 (a, c, e) and 2021 (b, d, f) cruise. The red dashed box in (b) indicates the upwelling zone. The white triangle in (f) indicates the location of an observed diatom bloom.

3.2 Phytoplankton photophysiology

Surface F_v/F_m and σ_{PSII}^{447} values showed wide ranges and significant variability when all data were pooled (Figure 3). F_v/F_m ranged from 0.14 - 0.61, averaging 0.45 ± 0.06 over the study area. Overall, higher values of F_v/F_m (> 0.5) were mostly associated with high Chl-a, while low F_v/F_m values (< 0.4) were mostly observed in the offshore waters characterized by low phytoplankton biomass (Figure 3A, B). There were however some notable exceptions where F_v/F_m values > 0.5 were observed in several offshore stations that also exhibited low Chl-a concentrations (e.g., B12 in Figure 3A and J6, C7 in Figure 3B), likely reflecting the different photosynthetic strategies used by phytoplankton to cope with this varied environment. Surface σ_{PSII}^{447} values ranged from 2.96 - 7.46 $\text{nm}^2 \text{PSII}^{-1}$ and exhibited a clear spatial trend (Figure 3C, D). Generally, σ_{PSII}^{447} values were low in the Changjiang mouth and adjacent waters ($< 4.5 \text{ nm}^2 \text{PSII}^{-1}$) and increased with distance offshore (Figure 3C, D). No significant correlation was found between F_v/F_m and σ_{PSII}^{447} ($r = -0.22$, $n = 80$, $p = 0.053$). Meanwhile, F_o was found significantly and positively correlated with Chl-a (Spearman, $r = 0.818$, $n = 80$; $p < 0.001$; Supplementary Figure S1).

While comparing phytoplankton physiological features between datasets of 2019 and 2021, it was notable that both the highest and lowest F_v/F_m and σ_{PSII}^{447} values were observed in 2021, perhaps reflecting more drastic environmental changes during the cruise period in this year. The mean F_v/F_m value was almost identical in both years (0.45 ± 0.04 compared to 0.46 ± 0.08 in 2019 and 2021, respectively), while σ_{PSII}^{447} was on average ~10% lower in 2021 (5.01 ± 1.07 vs. $5.45 \pm 0.77 \text{ nm}^2 \text{PSII}^{-1}$) (ANOVA, $p = 0.028$).

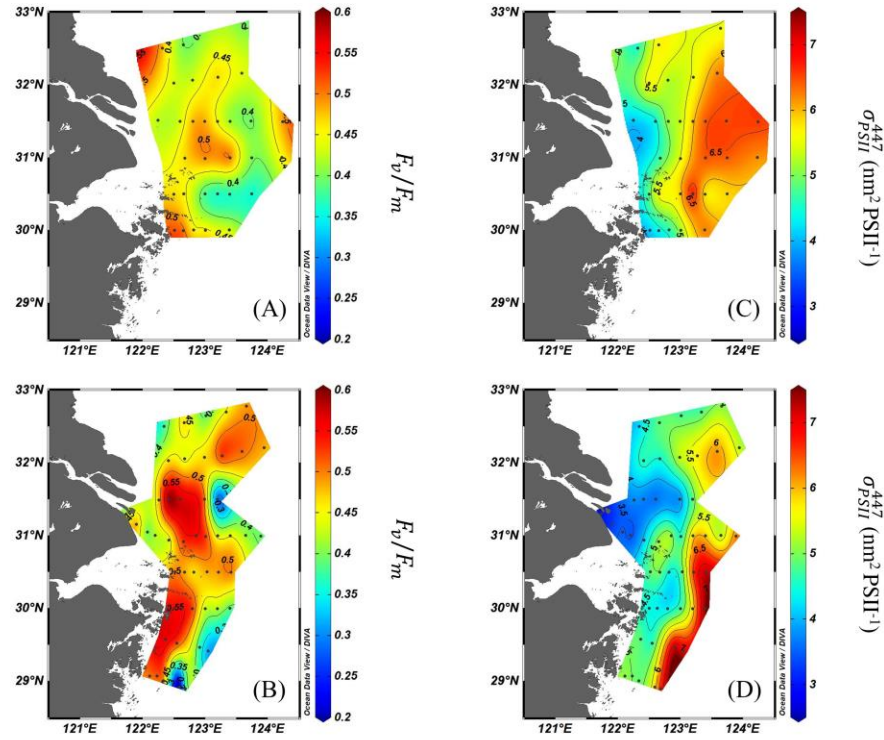


Figure 3. Spatial variability in photophysiological parameters: the maximum quantum yield of Photosystem II (PSII), F_v/F_m (unitless) and the functional absorption cross-section of PSII, σ_{PSII}^{447} ($\text{nm}^2 \text{PSII}^{-1}$) during the 2019 (a, c) and 2021 (b, d) cruises.

3.3 Phytoplankton Primary productivity (PP)

A total of 31 ^{13}C -incubations were performed over both years, with surface carbon uptake rates (P^C) spanning several orders of magnitude, ranging between $6.0 - 1,679 \text{ mgC m}^{-3} \text{ d}^{-1}$. Higher PP values were generally found in coastal waters, while the majority of lower PP values were measured at offshore stations (Figure 4A, B). Interestingly, the spatial distribution of Chl-a normalized carbon uptake rates (P_B^C) differed from that of P^C , where high P_B^C values were measured in both coastal and offshore waters (Figure 4C, D), likely reflecting the interactive effects of different environmental factors (e.g., nutrient, light, mixing layer depth, etc.) and phytoplankton community structure on the efficiency of phytoplankton C-uptake (e.g., Firme et al. 2023). Similar to the observed trend in Chl-a distribution, a wider range and higher average net PP values were observed in 2021 ($74.3 - 1,679 \text{ mgC m}^{-3} \text{ d}^{-1}$, mean: $527 \pm 511 \text{ mgC m}^{-3} \text{ d}^{-1}$) compared to 2019 ($6.0 - 260 \text{ mg C m}^{-3} \text{ d}^{-1}$, mean: $66.0 \pm 68.5 \text{ mg C m}^{-3} \text{ d}^{-1}$). Average P_B^C was ~ 1.5 times higher in 2021 than 2019 (97.7 ± 68.1 vs. $69.5 \pm 48.5 \text{ mgC [mg Chl-a]}^{-1} \text{ d}^{-1}$). Therefore, it appeared

that the higher PP values observed for 2021 were likely driven by both higher Chl-a concentrations
and greater phytoplankton C-uptake efficiency.

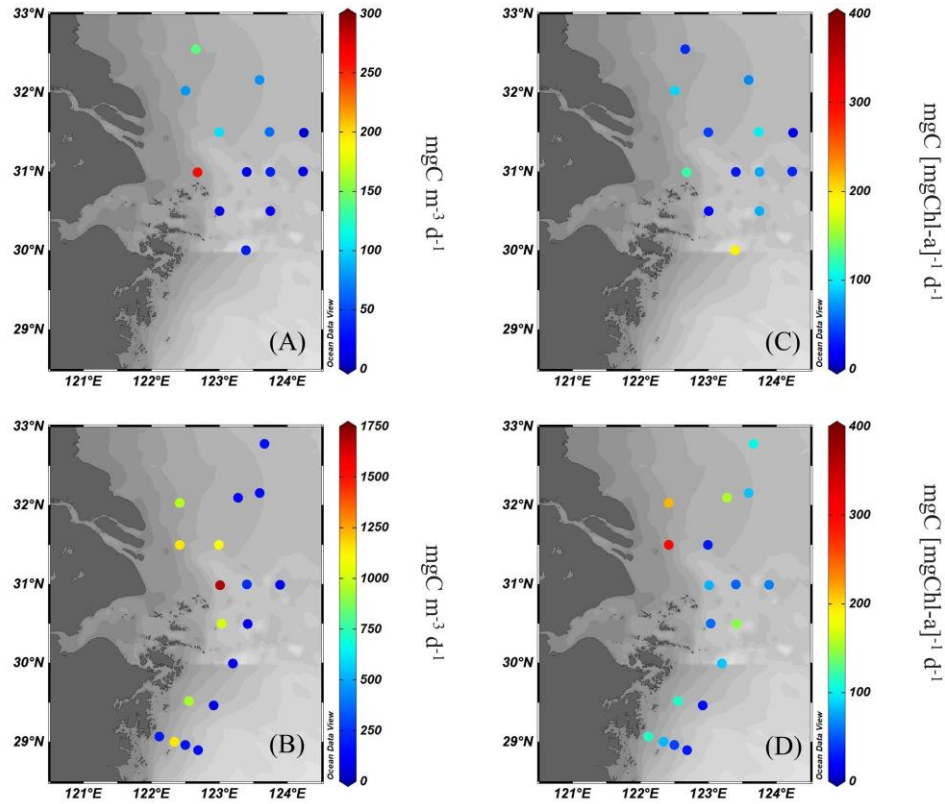


Figure 4. Spatial variability in surface net carbon fixation rates, P^C ($\text{mgC m}^{-3} \text{d}^{-1}$) and Chlorophyll-a (Chl-a) specific carbon fixation rates, P_B^C ($\text{mgC [mgChl-a]}^{-1} \text{d}^{-1}$) during the 2019 (a, c) and 2021 (b, d) cruises.

3.4 Net PP Modelling

Spearman rank correlation analysis between net PP and various physical, biological and physiological variables revealed that salinity, F_v/F_m , σ_{PSII}^{447} and Chl-a were all correlated with net PP (Table 2), with Chl-a and σ_{PSII}^{447} exhibiting the highest correlation coefficients ($r = 0.881$ and $r = -0.759$ respectively, $p < 0.001$). Stepwise linear regression (SLR) analysis further confirmed that Chl-a and σ_{PSII}^{447} were key predictor variables of PP variability. While σ_{PSII}^{447} alone explained the most variability of NPP (61%), adding Chl-a further improved the predictive power of the model, increasing R^2 to 0.73 ($p < 0.001$, Table 3). The multiple linear model ($P^C = -a \times \sigma_{PSII}^{447} + b \times Chla + c$) yielded an RMSE of $248.7 \text{ mgC m}^{-3} \text{d}^{-1}$ (Table 3).

Table 2. Spearman rank analysis for correlations between net primary productivity (P^C , $\text{mg C m}^{-3} \text{ d}^{-1}$) and environmental variables (temperature, salinity) and photophysiological parameters (Photosystem II (PSII) maximum quantum yield [F_v/F_m], the functional absorption cross-section of PSII [σ_{PSII}^{447} , $\text{nm}^2 \text{ PSII}^{-1}$]) and Chlorophyll-a concentration (Chl-a, $\mu\text{g L}^{-1}$)

		Temp	Sal	F_v/F_m	σ_{PSII}^{447}	Chl-a
P^C	r	0.186	0.451*	0.596*	-0.759**	0.881**
	$p \text{ value}$	0.318	0.011	0.01	0.000	0.000
	n	31	31	30	30	31

* and ** denotes statistical significance at $p < 0.05$ and 0.01 respectively

Table 3. Influence of various physiological and biological variable on carbon fixation rates, P^C , estimated by stepwise linear regression.

No. of predictor variables	Variables	R^2	RMSE
1	σ_{PSII}^{447}	0.61	/
2*	σ_{PSII}^{447}, Chl-a	0.73	248.7

$$*P^C = -a \times \sigma_{PSII}^{447} + b \times Chla + c, \text{ where } a = 246.75, b = 25.77, c = 1611.2$$

To account for the potential non-linear impacts of σ_{PSII}^{447} and Chl-a on P^C (Figure 5), a Generalized Additive Model (GAM) approach was applied to develop a predictive model of net PP including the following two predictors: ($P^C \sim s(\sigma_{PSII}^{447}) + s(\text{Chl-a})$). Seven GAM sub-models based on different combinations of knots number (k) were tested (Table 4), with sub-model GAM6 found to be best-performing model (Table 4). The RMSE of GAM was smaller than that of the multiple linear model (144.2 vs. 248.7 $\text{mgC m}^{-3} \text{ d}^{-1}$), indicating net PP in this study area could be better predicted by its nonlinear relationship with Chl-a and σ_{PSII}^{447} (see Figure 6 for response plots of best-fitting GAM with both σ_{PSII}^{447} and Chl-a). Overall, GAMs predicted similar distribution patterns of surface net PP to observed values, with high rates mainly distributed in the coastal areas,

and relatively low rates tended to occur in the offshore waters ($R^2 = 0.94$, $n = 30$; $p < 0.001$; Supplementary Figure S2, Figure 7).

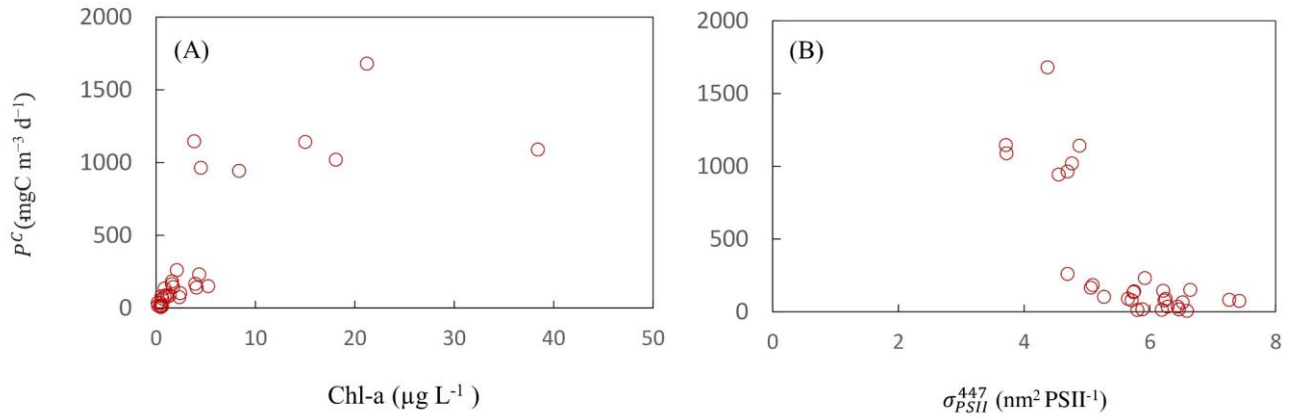


Figure 5. Scatter plots of net primary productivity, P^C ($\text{mgC m}^{-3} \text{d}^{-1}$) against (a) the functional absorption cross-section of PSII, σ_{PSII}^{447} ($\text{nm}^2 \text{PSII}^{-1}$) and (b) Chl-a concentration ($\mu\text{g L}^{-1}$).

Table 4. Statistics for generalized additive models (GAM) of net primary productivity (PP) in the Changjiang estuary and East China Sea using predictor variables (Table 3). Submodels GAM1 to GAM7 involve different combinations of k for σ_{PSII}^{447} and Chl-a, respectively; AIC =Akaike information criterion; RMSE =root mean square error.

No. of GAM submodel	predictor variables	k	R^2	AIC	RMSE
GAM 1	σ_{PSII}^{447} , Chl-a	3, 3	0.902	390.7	165.0
GAM 2	σ_{PSII}^{447} , Chl-a	4, 3	0.906	389.9	161.7
GAM 3	σ_{PSII}^{447} , Chl-a	3, 4	0.929	381.8	141.3
GAM 4	σ_{PSII}^{447} , Chl-a	4, 4	0.929	382.16	143.7
GAM 5	σ_{PSII}^{447} , Chl-a	5, 4	0.928	382.8	144.7
GAM 6*	σ_{PSII}^{447}, Chl-a	4, 5	0.933	380.42	144.2
GAM 7	σ_{PSII}^{447} , Chl-a	5, 5	0.932	381.3	153.4

* represents the best-fitting GAM

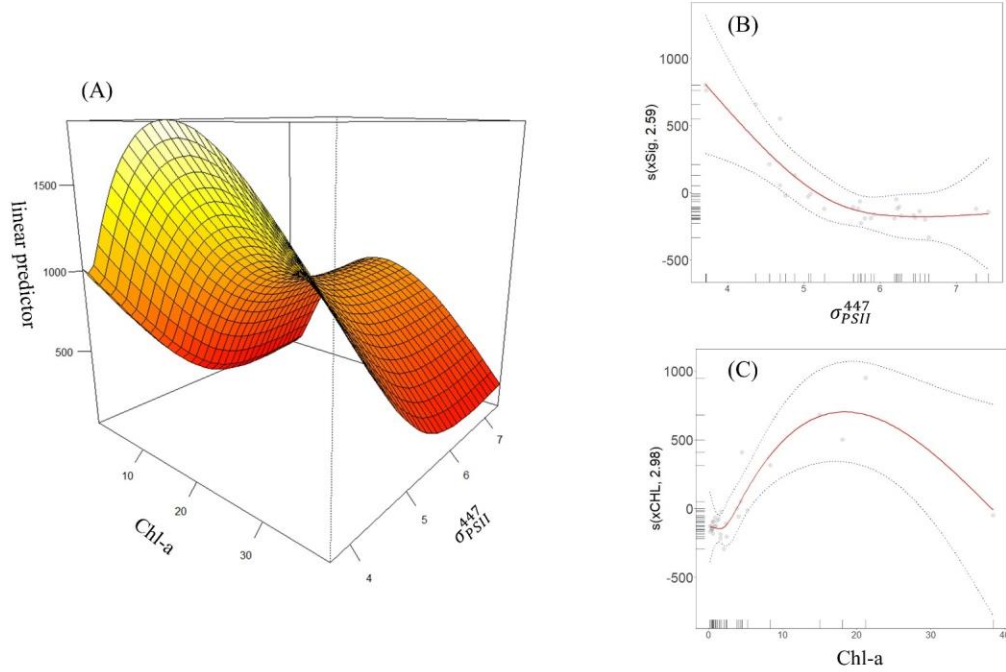


Figure 6. Interaction effects of the optimal Generalized Additive Model (GAM), showing (a) significant interaction between σ_{PSII}^{447} and Chl-a. (b-c) GAM results describing P^C ($\text{mgC m}^{-3} \text{d}^{-1}$) variability with σ_{PSII}^{447} ($\text{nm}^2 \text{PSII}^{-1}$) and Chl-a concentration ($\mu\text{g L}^{-1}$).

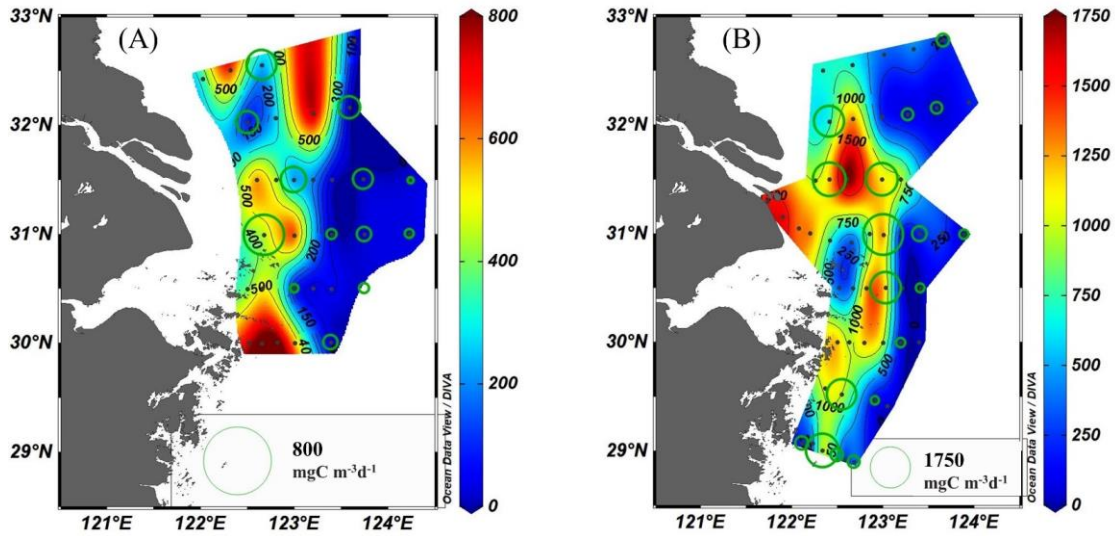


Figure 7. Overlaid plots between observed (sized dots) and predicted net primary productivity (PP) (based on the best-fitting Generalized Additive Model [GAM] shown in Table 4) during (a) 2019 and (b) 2021 summer cruises.

4 Discussion

Assessing carbon cycling in dynamic coastal systems such as the Changjiang estuary and adjacent ECS is challenging due to the low sampling resolution of conventional, incubation-based methods. Here, we developed an empirical model to assess PP at high-resolution. We demonstrate for the first time how knowledge of Chl-a biomass and phytoplankton photophysiology can be used to predict PP across the strong environmental gradient present in this region during summertime. Importantly, unlike previous work which has estimated C-uptake rates from FRR-derived measurements (ETRs) by calculating (or assuming) K_C and n_{PSII} values (e.g., Hancke et al., 2015; Schuback et al., 2015; 2017; Wei et al., 2019; Zhu et al., 2015, 2017), our approach allows for retrieval of PP estimates without knowledge or assumption of either, or both, parameters which are difficult to measure in nature. We discuss insights gleaned from application of FRR fluorometry to this study area, and how our GAM modelling approach provides a high-resolution solution to rapidly assess regional PP at and carbon cycling dynamics.

4.1 Insights into phytoplankton photophysiology

During summer, the spatial distribution of Chl-a within the Changjiang estuary and nearby East China Sea is mainly influenced by the equilibrium effects of light availability and nutrient supply, shaping a so-called “sandwich” pattern (Ning et al., 1988; Li et al., 2021). Specifically, Chl-a values were mostly higher along the boundaries of Changjiang plume front compared to either coastal and offshore waters (Li et al., 2021, Figure 2E, F). Overall, a similar spatial pattern was observed for F_v/F_m values when all data were pooled, revealing a significant positive correlation between these two parameters (Spearman’s Rank, $r = 0.31$, $n = 76$, $p = 0.006$) - as also observed in previous studies (Gutiérrez-Rodríguez et al., 2020; Liu et al., 2022; Zhu et al., 2019). Several factors are thought to control F_v/F_m including the light environment, nutrient availability, and the taxonomic composition of the phytoplankton community itself (Suggett et al., 2009b). Decreases in F_v/F_m are commonly observed in nutrient-starved phytoplankton (Geider et al., 1993, 1998a, b; Parkhill et al., 2001) and may explain the low F_v/F_m values recorded at offshore stations in this study where nutrient availability was low (Figure 3A, B). Meanwhile, at the Changjiang mouth where nutrient levels are elevated - and presumably not limiting for phytoplankton growth - the lower F_v/F_m values are likely explained by another factor. While the presence of smaller phytoplankton cells can contribute to lower F_v/F_m values (Suggett et al. 2009b), size-fractionated Chl-a analysis found that our sampling sites near the Changjiang mouth were actually dominated

by phytoplankton cells $>10\ \mu\text{m}$ ($\sim 90\%$, data not shown) and thus taxonomy is unlikely to account for the low F_v/F_m values observed here. Due to increased stratification and shallow mixed layer at this location, it is likely that low F_v/F_m values are instead caused by PSII photoinactivation (Osmond, 1994; Moore et al., 2006; Fisher et al. 2020) - which occurs when phytoplankton are exposed to higher light levels for longer periods – and manifests as reduced PSII photochemical efficiency (Fisher et al. 2020). Conversely, relatively high F_v/F_m values were also found at several offshore stations where nutrients were likely limiting ($\text{DIN} < 0.5\ \mu\text{M}$, $\text{PO}_4^{3-} < 0.05\ \mu\text{M}$; Figure 3A, B). This result is not surprising because it is consistent with the interpretation that phytoplankton cells can maintain F_v/F_m values under steady-state macronutrient limitation, rather than starvation (MacIntyre et al., 1997; Parkhill et al., 2001; Behrenfeld et al., 2006; Kruskopf & Flynn, 2006; Moore et al., 2008; Schrader et al., 2011).

In addition to light spectrum dependence, changes in σ_{PSII} are typically related to the photoacclimational status, cellular nutrient status and/or taxonomic shifts in the phytoplankton assemblage (Moore et al., 2006; Suggett et al., 2009b). While σ_{PSII} is expected to increase as cell size declines (see Suggett et al., 2009b), we observed no correlation between σ_{PSII}^{447} and proportion of Chl-a $>10\ \mu\text{m}$ (Spearman, $p = 0.09$; data not shown), further reinforcing the notion that phytoplankton community structure and composition is unlikely the primary driver of physiological variability in our dataset. Higher values of σ_{PSII}^{447} measured in the nutrient-poor offshore waters are consistent with previous studies showing that σ_{PSII} increases with decreasing nutrient supply (Kolber et al., 1988; Berges et al., 1996; Moore et al., 2003, 2005; Kulk et al., 2018). Meanwhile, the light environment experienced by phytoplankton from nearshore to offshore waters in the Changjiang estuary is likely to vary considerably with changing upper MLDs (Table 1). Typically, when experiencing an increase in growth irradiance, phytoplankton reduce their light harvesting capacity by decreasing σ_{PSII} to avoid photodamage (Falkowski et al., 1981). Conversely, phytoplankton cells acclimated to low light usually increase the number and/or the ‘size’ of their photosynthetic units, which also resulting in an increased PSII functional cross-section (σ_{PSII}) (Moore et al., 2006; Six et al., 2008). Unsurprisingly, higher σ_{PSII}^{447} values were mostly measured in deeper mixed offshore waters – where a larger PSII functional cross-section is conducive to increased light absorption per reaction center II (RCII), and is clearly advantageous at the low irradiance levels characteristic of this environment (Kolber et al., 1988; McKew et al., 2013; Schuback et al., 2017).

4.2 Controls of phytoplankton primary productivity

Surface PP values exhibited remarkable variability, ranging from ~6 - 1600 mgC m⁻³ d⁻¹ over the two summer sampling campaigns. Overall, phytoplankton PP and Chl-a were positively correlated (Table 2), reflecting that phytoplankton biomass is a key driver of C-uptake rates. However, pooling of the data revealed a decoupling between these two parameters, suggesting a maximum level of PP exists, beyond which further increase in Chl-a do not necessarily translate to increased production (Figure 5A). An apparent decoupling was also observed in the spatial distributions of Chl-a and PP, with high PP observed in coastal waters despite relatively low Chl-a (Figure 2, 4). Such a decoupling between Chl-a and PP is consistent with previous observations in the ECS that attributed this phenomenon to grazing pressure by microzooplankton (Liu et al., 2019). It is well-known that Chl-a concentrations are extremely plastic, with measured changes arising from light-driven (photoacclimation) and nutrient-driven physiological responses, which are not necessarily indicative of proportional changes in productivity (Behrenfeld et al., 2016; Liu et al., 2019). Previous laboratory studies of microalgal cultures have found a strong increase in the carbon-to-chlorophyll ratio (C:Chl-a) with increasing growth irradiance, i.e., where phytoplankton cells become less pigmented (Laws & Bannister, 1980; Geider et al., 1987, 1998a). Thus, according to traditional photoacclimation models, when mixing is shallow and light is saturating (e.g., as in the Changjiang mouth, Zhu et al., 2009), less Chl-a is required to sustain a given production rate (Behrenfeld et al., 2016) – and likely explains the spatial uncoupling of phytoplankton Chl-a and productivity observed in this study.

Nutrient-driven physiological responses, which also lead to variations in production rates per unit Chl-a could also explain the high production rate yet low Chl-a values sometimes observed in this study. Declines of photosynthetic rates normalized to Chl-a, P_B^C in oligotrophic subtropical gyres is presumably due to nutrient limitation (Behrenfeld & Falkowski, 1997). Marañón et al. (2003) also proposed the existence of nutrient-dependent changes in photosynthetic performance, demonstrating a positive correlation between nutrient supply rates and Chl-a-normalized C-fixation rates. Thus, it is plausible to suggest that higher production rates per Chl-a could be sustained in the coastal water and Changjiang mouth with enhanced nutrient supply (Figure 4, 7). On the other hand, however, a shift in bloom stages associated with depleted nutrient concentrations (Table 1) is likely to decrease P_B^C and thus may contribute to inconsistent observed trends for net PP and Chl-a (Figure 5A, Waga et al., 2022).

4.3 Relationship between primary productivity and PSII functional absorption cross section

We found a strong negative correlation between σ_{PSII}^{447} and net PP in the this study (Table 2, Figure 5B), suggesting σ_{PSII}^{447} and net PP likely co-varied as a result of physiological and/or taxonomic responses to changing environmental conditions in this dynamic sampling area (Moore et al., 2003). Under nutrient limitation, phytoplankton species tend to reduce the abundance of the key D1 protein associated with PSII repair, together with CP43 and CP47, which leads to an increase in σ_{PSII} . Meanwhile, the increased turnover of D1 under nutrient limitation could lead to a reduction in the steady state level of the proteins, which consequently decreased photochemical energy conversion efficiency and phytoplankton growth rates (Greene et al., 1992; Kolber et al., 1988). Upon relief of nutrient deficiency, reduction in σ_{PSII} associated with increased D1 may be apparent in coastal waters where growth rates and primary productivity of phytoplankton are higher. In contrast, under light-limited systems, all PSII traps appear to be fully functional which increase the σ_{PSII} (Kolber et al., 1988). With increasing light, surplus energy supply relative to metabolic demand stimulates a decrease in σ_{PSII} (Huner et al., 1998). Whilst the major factor driving the co-variation of σ_{PSII} and net PP in our study remains unclear, Suggett et al (2009b) suggested that σ_{PSII} is less sensitive to nutrient limitation and thus the change in light availability might outweigh the potential influence of nutrient limitation upon PSII functioning.

Along with changes driven by nutrient and light availability, σ_{PSII} and PSII efficiency also appears to vary across algal taxa. Diatoms and larger phytoplankton are generally characterized by lower σ_{PSII} values, whereas smaller phytoplankton or dinoflagellates and nanoflagellates exhibit relatively larger σ_{PSII} values (Suggett et al., 2009b). Furthermore, increased photosynthetic efficiency and growth rates have also been observed for larger phytoplankton under nutrient-replete conditions, which may explain higher PSII photochemical efficiency observed in certain phytoplankton taxa such as diatoms (Cermeno et al., 2005). In summary, NPP and σ_{PSII} are unlikely to vary independently but as a result of some common physiological/ecological mechanism (Moore et al., 2003).

Regardless of the underlying cause, the relationship between σ_{PSII} and NPP provides the opportunity of investigating changes in productivity at high resolution via FRR fluorometry in dynamic systems. However, with the decreasing of σ_{PSII}^{447} , the non-linear increasing of net PP may limit the power of σ_{PSII}^{447} alone as a predictor of net PP in linear modelling approaches (Figure 5B).

Therefore, it is crucial that models consider the non-linear co-variation between net PP and σ_{PSII}^{447} together with Chl-a in order to accurately predict net PP in this study area.

4.4 Modeling primary productivity by GAM

Modeling phytoplankton PP remains an important approach for oceanographers to better understand marine ecosystem functioning. While several attempts including ours have been made to estimate PP in the ECS, few works to date have focused on developing predictive models in the Changjiang estuarine-coastal waters, due to the inadequate sampling resolution of incubation-based approaches in such dynamic systems (Gong & Liu, 2003). Satellite-derived Chl-a has been widely-used as an input into PP algorithms (Arrigo et al., 2011) yet there is not always a clear relationship between phytoplankton biomass and production (Behrenfeld et al., 2005; Huot et al., 2007; Laws et al., 2016). Efforts to estimate PP through scaling FRR-derived ETRs have gained significant traction in recent years, however, are constrained by a still-limited understanding of how K_C varies in nature (Lawrenz et al., 2013; Hughes et al. 2020). More recent work has demonstrated the potential for fluorescence parameters relating to phytoplankton light history (e.g., NPQ) to correlate well with K_C (e.g., Schuback et al. 2015, 2016; Zhu et al., 2016; 2017); however, the slope describing the relationship varies unpredictably in space and time (Hughes et al. 2018b) or may break even down entirely under light-limited conditions (Hughes et al. 2021). Given the inherent uncertainties in predicting K_C based off NPQ data, we opted to retrieve PP via a novel modelling approach incorporating easy-to-measure fluorescence parameters and Chl-a. In the current work, correlation analysis revealed that σ_{PSII} was negatively correlated with photosynthetic rates in this highly-dynamic region. Thus, together with Chl-a which has been routinely measured by fluorescence sensors (e.g. FRRf; Supplementary Figure S1), it provides an opportunity to quickly assess variability of PP at high spatial resolution once the appropriate model is established.

The empirical model of PP by stepwise multiple linear regression was first developed including Chl-a and σ_{PSII}^{447} . The RMSE of SLR model was $248.7 \text{ mgC m}^{-3} \text{ d}^{-1}$, which is close to that of satellite-based empirical model developed in the ECS (Siswanto et al., 2006). Nevertheless, negative values of PP were observed in the predicted results either due to particularly small Chl-a or large σ_{PSII}^{447} values, highlight a notable drawback of linear models in such scenarios. In fact, the growth rates of phytoplankton and the photosynthetic rates per unit Chl-a are not likely to infinitely

increase but at a decreasing rate when phytoplankton exhibit the so-called “package effect” (e.g., Laiolo et al. 2021) or photodamage conditions as well as insufficient nutrient supply (Cullen et al., 1992; Platt et al., 1980; Siswanto et al., 2009), thus non-linear models are likely to better describe C-fixation responses to changes in environmental variables (Siswanto et al., 2009). After fitting data to a best GAM model, PP prediction was significantly improved through consideration of non-linear relationships of Chl-a and σ_{PSII}^{447} on net PP, with RMSE decreasing from 248.7 to 144.2 $\text{mgC m}^{-3} \text{d}^{-1}$ and R^2 increasing from 0.73 to 0.93, compared to the linear regression model (Tables 3, 4). This result confirms the robustness of the non-linear relationship between net PP and the two fluorometric predictors. From initial setting with k -values of 3 on the σ_{PSII}^{447} and Chl-a smoothing, we tested several combinations of k -values, showing the best-fitting GAM with k -values of 4 and 5 for σ_{PSII}^{447} and Chl-a, respectively. This suggests that the performance of GAM does not always improve with increasing k -values, reinforcing recommendations by Wood (2018) to employ a manual k -value selection process. It is also important to note that the selection of k -values is very application-specific and should be re-evaluated with any new dataset (Murphy et al., 2019).

The developed PP model based on a GAM method in this study allows for assessment of C-uptake rates at high spatial and temporal resolutions in the ECS – particularly with increasing opportunities for ship-based sampling campaigns and use of autonomous measurement platforms (Fujiki et al., 2008; Ryan-Keogh et al., 2020). The higher data volume afforded through our PP model would not only benefit a better understanding of phytoplankton productivity in this dynamic environment, but also be able to fill the large gaps in data required to validate satellite-based PP models (Hughes et al., 2018a; Kerkar et al. 2021; Tripathy et al. 2012).

5 Conclusions

Our study found that surface PP in the Changjiang estuary and adjacent East China Sea exhibits dramatic variability with a range spanning several orders of magnitude – highlighting the dynamic nature of this system. According to our model, much of the variability in PP can be predicted from knowledge of phytoplankton photophysiology and Chl-a biomass. Satellite models routinely perform poorly in coastal systems, so development of high-resolution tools to assess PP is an important step yielding greater understanding of carbon-cycling within this (and indeed other) region(s). As active Chl-a fluorescence tools such as Fast Repetition Rate fluorometry become

smaller and less expensive, opportunities to deploy such instruments on vessels of opportunity, gliders and other autonomous platforms are rapidly opening-up. If such sensors are calibrated to measure *in-situ* chlorophyll-a concentration, our capacity to develop and utilize region-specific PP estimation tools such as the one presented here can help fill the void of satellite-based PP models which are so important in estimating phytoplankton productivity in other areas of the global ocean.

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Data Availability Statement

Data set for this paper are freely available online through Figshare (https://figshare.com/articles/dataset/Dataset_of_phytoplankton_primary_productivity_FRRf_Chla_in_the_Changjiang_estuary_East_China_Sea/23691141).

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