



1 **Estimating phytoplankton primary productivity in the Changjiang estuary, East**  
2 **China Sea from coupled Fast Repetition Rate (FRR) fluorometry and Chlorophyll-a**  
3 **measurements**

4  
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25 **Key Points:**

- 26
- 27 • Parallel *in-situ* measurements of net primary productivity and Fast Repetition Rate  
28 fluorometry were conducted in the Changjiang estuary.
  - 29 • We observed substantial variations of phytoplankton photophysiology and net PP in this  
30 dynamic system.
  - 31 • A generalized additive model was developed to predict net PP, providing a high-throughput  
approach for assessing regional PP.

## 32 Abstract

33 Phytoplankton primary productivity (PP) varies significantly over environmental gradients,  
34 particularly in physically-dynamic systems such as estuaries and coastal seas. As the Changjiang  
35 River runoff peaks during summer time, large environmental gradients appear in both the  
36 Changjiang estuary and adjacent East China Sea (ECS), likely driving significant variability in PP.  
37 As satellite models of PP often underperform in coastal waters, we aimed to develop a novel  
38 approach for net PP estimation in such a dynamic environment. Parallel *in situ* measurements of  
39 Fast Repetition Rate (FRR) fluorometry and carbon (C) uptake rates were conducted for the first  
40 time in this region during two summer cruises in 2019 and 2021. A series of  $^{13}\text{C}$ -incubations ( $n=31$ )  
41 were performed, with measured PP ranging from  $\sim 6 - 1700 \text{ mgC m}^{-3} \text{ d}^{-1}$ . Net PP values were  
42 significantly correlated with salinity ( $r = 0.45$ ), phytoplankton chlorophyll *a* (Chl-*a*,  $r = 0.88$ ),  
43 Photosystem II (PSII) functional absorption cross-section ( $\sigma_{PSII}$ ,  $r = -0.76$ ) and maximum PSII  
44 quantum yield ( $F_v/F_m$ ,  $r = 0.59$ ). Stepwise regression analysis showed that Chl-*a* and  $\sigma_{PSII}$  were  
45 the strongest predictors of net PP. A generalized additive model (GAM) was also used to estimate  
46 net PP considering nonlinear effects of Chl-*a* and  $\sigma_{PSII}$ . We demonstrate that GAM outperforms  
47 linear modelling approaches in predicting net PP in this study, as evidenced by a lower root mean  
48 square error ( $\sim 140$  vs.  $250 \text{ mgC m}^{-3} \text{ d}^{-1}$ ). Our novel approach provides a high resolution means to  
49 examine carbon cycling dynamics in this important region.

## 50 Plain Language Summary

51 The East China Sea (ECS) has a complex current system that creates a highly dynamic  
52 physical environment for phytoplankton, particularly during the summer months. Net primary  
53 productivity (PP) is highly variable in this region, but characterising the spatial patterns in PP is  
54 difficult due to the lack of a high-resolution data collecting method. Therefore, there is a strong  
55 need for a quick and easily implemented method for monitoring PP to capture variations in this  
56 dynamic system. Based on parallel measurements of phytoplankton biomass and photophysiology,  
57 we have developed a model that allows us to rapidly and easily assess regional PP at a high  
58 resolution. The high data volume potentially provided by our net PP model could not only  
59 contribute to a better understanding of PP variations in such a dynamic environment, but also help  
60 fill the large gaps in field data needed for validating satellite-based primary productivity models.

## 61 1 Introduction

62 Phytoplankton primary productivity (PP) is a key process mediating the transfer of carbon  
63 (C) between the atmosphere and ocean interior, and thus plays a key role in regulating the global  
64 climate. However, PP varies greatly over space and time, depending upon environmental  
65 conditions including light, temperature and nutrient availability, together with the composition of

66 the phytoplankton community (Arrigo et al., 2000; Behrenfeld & Falkowski, 1997; Behrenfeld et  
67 al., 2006; Cermeño et al., 2005; Marra, 2015; Moore et al., 2008; Ning et al., 1988; Platt & Jassby,  
68 1976). Estimation of phytoplankton PP is therefore extremely challenging, particularly within  
69 physically-dynamic systems such as estuaries and coastal waters (Gong and Liu, 2003; Firme et  
70 al. 2023).

71 Satellite assessments of phytoplankton PP based on ocean color are routinely used in the  
72 open ocean over large scales (Arrigo et al., 2008; Behrenfeld et al., 2005; Kameda & Ishizaka,  
73 2005; Lee et al., 2015; Ning et al., 1998), yet are not easily applied to shallow coastal regions due  
74 to interference from colored dissolved organic matter (CDOM), suspended sediment and land run-  
75 off (Moreno-Madriñán & Fischer, 2013). Consequently, PP estimates for estuaries and coastal  
76 regions are largely derived from direct, *in situ* observations (Cloern et al., 2014), usually performed  
77 via carbon isotope ( $^{14}\text{C}$  or  $^{13}\text{C}$ ) incubations (Hama et al., 1983; Nielsen, 1952). While carbon  
78 isotope methods are highly-sensitive, they also require long incubation periods, resulting in a very  
79 low sampling resolution (Morelle et al., 2018). The lack of spatial and temporal coverage afforded  
80 by incubation-based approaches limits our ability to fully understand environmental controls upon  
81 PP variability in dynamic coastal waters (Cloern et al, 2014).

82 Fast Repetition Rate (FRR) fluorometry is a bio-optical technique capable of non-  
83 invasively evaluating changes in photosystem II (PSII) photochemistry – allowing estimation of  
84 phytoplankton productivity as photosynthetic electron transport rates (ETRs) (Kolber & Falkowski,  
85 1993; Kolber et al., 1998). FRR fluorometry has rapidly become a widely-used oceanographic tool  
86 for evaluating photosynthetic rates in coastal and open ocean waters (Cermeño et al., 2005; Hughes  
87 et al., 2020; Moore et al., 2003; Robinson et al., 2014; Schuback et al., 2015; Suggett et al., 2006).  
88 However, estimation of PP from FRR fluometry measurements requires conversion of ETRs to  
89 C-uptake rates - i.e., from a photosynthetic “currency” of electrons to carbon (see Suggett et al.,  
90 2009). This conversion requires knowledge (or assumption) of: i) the number of PSII reaction  
91 centers per Chl-a ( $n_{PSII}$ ), ii) the electron requirement for carbon fixation ( $K_C$ , or  $\Phi_{e,C}$ ) and iii) an  
92 appropriate spectral correction factor (scf) to account for spectral properties of the *in-situ* light  
93 field versus the absorption spectra of the phytoplankton sample (reviewed by Hughes et al. 2018a).  
94 All three parameters exhibit considerable variability but are not easily measured under field  
95 conditions (Oxborough et al., 2012; Suggett et al., 2011), thus assumed values are often used,

96 which can introduce significant uncertainty into PP estimates (Cheah et al., 2011; Fujiki et al.,  
97 2008; Kromkamp et al., 2008; Raateoja et al., 2004; Smyth et al., 2004; Zhu et al., 2016, 2019).

98 Importantly, ETRs are constructed from specific fluorescence parameters that are  
99 retrievable from the single-turnover FRR measurement protocol (see Hughes et al. 2018a;  
100 Schuback et al. 2021). These include the maximum quantum efficiency of PSII ( $F_v / F_m$ ) and the  
101 functional absorption cross-section of PSII ( $\sigma_{PSII}$ ). Under actinic light, both  $F_v / F_m$  and  $\sigma_{PSII}$  are  
102 modified according to how the absorbed light is utilized by PSII and as such,  $F_v / F_m$  and  $\sigma_{PSII}$  are  
103 both likely (in)directly related to C-fixation, since light absorption is one of the key factors  
104 governing PP (Behrenfeld et al., 2006; Moore et al., 2006). Interestingly, both fluorescence  
105 parameters may contain signatures of both the nutritional status and taxonomy (Hughes et al.,  
106 2018b, 2021; Suggett et al., 2009b), suggesting that it might be possible to establish predictable  
107 relationships between FRRf-derived biophysical characteristics and photosynthetic rates within  
108 dynamic systems where light, nutrients, and phytoplankton assemblage composition are highly-  
109 variable in space and time (Moore et al., 2003).

110 The East China Sea (ECS) is situated in the vicinity of China, South Korea and Japan, and  
111 is one of the largest and most productive marginal seas in the world (Chang et al., 2003; Wong et  
112 al., 1998). The ECS notably features a complex current system, particularly during summer when  
113 it is influenced by both fresh Changjiang Diluted Water (CDW) freshwater discharge and saline  
114 Kuroshio saltwater intrusion (Guo et al., 2006; Figure 1A). It is well-documented that  
115 phytoplankton biomass, photophysiology and production can vary significantly in response to  
116 rapidly-changing gradients of light and nutrients along the coastal to the offshore waters of the  
117 ECS (Chen et al., 2004; Gong et al., 2000; Jiang et al., 2014, 2015; Liu et al., 2019; Ning et al.,  
118 1988; Yoshikawa & Furuya, 2008). Due to the physical and biological dynamics within the  
119 Changjiang estuary and adjacent coastal waters, development of a reliable, high-resolution tool to  
120 monitor PP variability in this region would be highly-advantageous.

121 By performing parallel in-situ measurements of net PP and FRR in the Changjiang estuary  
122 and adjacent ECS during two summer cruises (Figure 1B), here we develop a generalized additive  
123 model (GAM) to predict the net PP data as a function of phytoplankton biomass (Chl-a) and  
124 photophysiological parameters which can both be measured with relatively little time and effort.  
125 A growing number of research applications are using GAMs to evaluate and predict water  
126 environment changes of nutrient, phytoplankton biomass as well as primary productivity (Harding



## 141 2 Materials and Methods

### 142 2.1 Sample Collection and Physicochemical Properties

143 Two summer cruises were conducted as a part of the Long-term Observation and Research  
144 Plan in the Changjiang Estuary and the Adjacent East China Sea Project (LORCE I&II) from 15<sup>th</sup>  
145 - 25<sup>th</sup> August 2019, and 15<sup>th</sup> August – 4<sup>th</sup> September 2021 (Figure 1). Hydrographic measurements  
146 (temperature and salinity) and surface water samples (~1m) were collected using Niskin bottles  
147 attached to a rosette sampler that was equipped with a conductivity-temperature-depth (CTD)  
148 profiler (Seabird SBE CTD 911). Upper mixed layer depth (MLD) was defined as a density change  
149 from the ocean surface of 0.125 sigma units as per Huang & Russell (1994). Nutrient samples were  
150 collected from surface waters and filtered using a 0.4 µm polycarbonate membrane filter into 100  
151 mL high-density polyethylene (HDPE) bottles. Ammonium–nitrogen (NH<sub>4</sub><sup>+</sup>-N) was immediately  
152 measured onboard via indophenol blue spectrophotometric method (Grasshoff et al., 1999), while  
153 samples for nitrate + nitrite (NO<sub>3</sub><sup>-</sup> + NO<sub>2</sub><sup>-</sup>) and phosphate (PO<sub>4</sub><sup>3-</sup>) analyses were stored at –20 °C  
154 for later analysis using an automated nutrient analyzer (SEAL Analytical, Germany). Dissolved  
155 inorganic nitrogen (DIN) was determined as the sum of NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup>.

### 156 2.2 Chl-a and <sup>13</sup>C uptake-based net primary productivity

157 Chlorophyll-a concentrations were determined from 100 mL seawater samples filtered  
158 through GF/F filters, using a pre-calibrated fluorometer (Trilogy, Turner Design, USA) following  
159 the non-acidification method (Welschmeyer, 1994). GF/F filters were soaked in 90% Acetone and  
160 pigments were extracted for 24 h at -20°C in darkness before subsequent fluorometric  
161 evaluation. <sup>13</sup>C uptake experiments were carried out via 24 h on-deck bottle incubations. Seawater  
162 was pre-filtered through 200-µm mesh to remove zooplankton grazers and then used to fill three  
163 500 mL polycarbonate bottles. <sup>13</sup>C-labeled sodium bicarbonate (99 atom %; NaH<sup>13</sup>CO<sub>3</sub>, CIL, USA)  
164 was added to each bottle where the final <sup>13</sup>C atom % of total dissolved inorganic carbon was ca.  
165 10 % of that in the ambient seawater (Hama et al., 1983). Samples were placed in a shipboard  
166 incubator connected to the ship's underway seawater system to control incubation temperature.  
167 The incubator was shaded with neutral density filter to achieve 50% transmission of measured  
168 surface irradiance. After 24 h, all samples were filtered through 25mm pre-combusted GF/F filters  
169 (450 °C, 6 h) and stored at –80 °C until further analysis. Filter samples were then vacuum-dried  
170 after exposure to fumes of HCl to remove excess particulate inorganic carbon. The concentration

171 of particulate organic carbon (POC) and the isotopic ratio of  $^{13}\text{C}$  and  $^{12}\text{C}$  ( $^{13}\text{C}$  atomic %) on the  
 172 filters were then determined using an isotope ratio mass spectrometer (Delta<sup>PLUS</sup>, Thermo Fisher  
 173 Scientific, USA) equipped with an elemental analyzer (EA 1110, CE Instruments). Finally,  
 174 volumetric C-fixation rates were calculated according to Hama et al. (1983) (Eq. 1):

$$176 \quad P^C = \frac{POC \times (a_{is} - a_{ns})}{t \times (a_{ic} - a_{ns})} \quad (1)$$

177 where  $P^C$  is the net carbon fixation rate ( $\text{mgC m}^{-3} \text{d}^{-1}$ ),  $t$  is the time of incubation in days  
 178 (for this study, 1d).  $a_{is}$  is the atomic% of  $^{13}\text{C}$  in the incubated sample,  $a_{ns}$  is the atomic% of  $^{13}\text{C}$   
 179 in the natural sample, and  $a_{ic}$  is the atomic% of  $^{13}\text{C}$  in the total inorganic C. Chl-a specific primary  
 180 productivity ( $P_B^C$ ,  $\text{mgC [mgChl-a]}^{-1} \text{d}^{-1}$ ) was calculated as  $P^C$  normalized to measured Chl-a  
 181 concentration.

### 182 2.3 Fast Repetition Rate (FRR) fluorometry

183 Variable chlorophyll fluorescence was measured using a Fast Repetition Rate (FRR)  
 184 fluorometer (FastOcean) integrated with a FastAct laboratory base unit system (Act2, Chelsea  
 185 Technologies Ltd, London, United Kingdom). Water samples collected during daytime (8:00 –  
 186 17:00) were measured after 30 min dark adaptation to relax non-photochemical quenching (NPQ)  
 187 processes, and measurements for all samples were completed inside an hour. FRR fluorometry  
 188 measurements were corrected for blank (or baseline) fluorescence using 0.2  $\mu\text{m}$  filtrates (Cullen  
 189 & Davis, 2003; Schuback et al. 2021). Since diatoms and dinoflagellates are known to dominate  
 190 phytoplankton assemblages in the study area (Jiang et al., 2014, 2015; Yang et al., 2014), the blue  
 191 (447 nm) excitation band was solely used for photophysiological measurements of all samples  
 192 (e.g., Zhu et al., 2017, 2022). The FRR fluorometer was programmed to deliver a single-turnover  
 193 protocol with a saturation phase comprising 100 flashlets on a 2  $\mu\text{s}$  pitch, followed by a relaxation  
 194 phase comprising of 50 flashlets on a 150  $\mu\text{s}$  pitch. Each FRRf acquisition was subsequently fitted  
 195 to the KPF model of Kolber et al. (1998) to derive the minimum fluorescence yield ( $F_o$ ), maximum  
 196 fluorescence yield ( $F_m$ ), functional absorption cross section of PSII ( $\sigma_{\square\square\square\square}^{447}$ ), and maximum  
 197 photochemical efficiency of PSII ( $F_v/F_m$ , where  $F_v = F_m - F_o$ ).

## 2.4 Statistical Analyses

Correlations between the independent parameters were analyzed using Spearman's rank order correlation coefficient. Stepwise regression was used to examine the contribution of physicochemical variables in explaining variance of net PP (Probability of F to enter  $\leq 0.05$ ) and to develop a multiple linear model for net PP estimation. The comparison of mean values of grouped samples was tested by one-way non-parametric ANOVA (Kruskal-Wallis test). In addition, a Generalized Additive Modelling (GAM) approach was proposed for modeling of phytoplankton PP to meet current and future assessment needs. The mathematical formulation of a typical GAM is presented in Eq.2:

$$g(E[Y]) = \mu + f_1(x_1) + f_2(x_2) + \dots + f_n(x_n) \quad (2)$$

where a function  $g()$  is applied to the expected value (E) of the dependent variable Y (in this case, Net PP).  $\mu$  is the modeled intercept.  $f()$  is the smoothing function of the independent variables  $x_1, x_2, \dots, x_n$ . Analyses were implemented using the *mgcViz* package in R (Fasiolo et al, 2018), where cubic regression spline was used to optimize the smoothing functions, and correlation coefficient ( $R^2$ ), root mean square error (RMSE) and Akaike information criterion (AIC) were used to evaluate model explanatory power, goodness of fit, and parsimony respectively. Due to the small sample size, the RMSE between actual values and predicted values was calculated using the Leave-One-Out Cross-Validation (LOOCV) procedure (Yada & Shukla, 2016).

All statistical analyses and curve fitting were performed using the open-source statistical software R (Version 3.6.1, R Core Team 2019). Data were visualized using GMT 4, Ocean Data View 5 (Schlitzer, 2018) and R Studio software.

## 3 Results

### 3.1 Hydrography and Chlorophyll-a biomass

Sampling while transiting from the Changjiang mouth to offshore, revealed a strong gradient of physico-biological conditions present in ECS surface waters during summer (Table 1; Figure 2). High sea surface temperatures (SST) and low sea surface salinity (SSS) values were observed along Changjiang mouth sections (section A and B, Figure 2A, B, C, D). Highest Chl-a concentrations were observed in the centre of the study area along the 123°E longitude, as well as in the coastal upwelling zone known as Zhoushan upwelling (Figure 2B). Relatively low Chl-a values were measured in both coastal and offshore waters (Figure 2E, F). Notably, a diatom bloom

228 (where a bloom is defined as a Chl-a concentration  $> 30 \mu\text{g L}^{-1}$ ) occurred outside of the Changjiang  
 229 mouth during the 2021 cruise (Figure 2F).

230 Biophysical variables were notably different observed between two cruises, likely caused  
 231 by the variations of Changjiang runoff at the times of sampling. Averaged runoff (between August  
 232 15<sup>th</sup> - 31<sup>st</sup>) from the Changjiang river measured at the Datong hydrological station, was  $39,152 \pm$   
 233  $3860 \text{ m}^3\text{s}^{-1}$  in 2010 but was  $\sim 10\%$  higher in 2021 at  $43,341 \pm 1448 \text{ m}^3 \text{ s}^{-1}$ . Consequently, CDW  
 234 (featured as low salinity water) extended further east in 2021 compared to 2019 (Figure 2C, D).  
 235 Area-averaged nutrient concentrations were also higher in 2021, with DIN and  $\text{PO}_4^{3-}$  values of  $22.2$   
 236  $\pm 26.3 \mu\text{mol L}^{-1}$  and  $0.46 \pm 0.51 \mu\text{mol L}^{-1}$  respectively, compared to  $19.3 \pm 18.8$  and  $0.32 \pm 0.39$   
 237  $\mu\text{mol L}^{-1}$  in 2019. In conjunction with higher nutrient levels, the average Chl-a concentration in  
 238 2021 was approximately twice that of 2019 ( $6.14 \pm 6.88 \mu\text{g L}^{-1}$  vs.  $2.71 \pm 2.61 \mu\text{g L}^{-1}$ ) and also  
 239 spanned a much wider range ( $0.27 - 38.4 \mu\text{g L}^{-1}$ ) compared to 2019 ( $0.18$  to  $11.1 \mu\text{g L}^{-1}$ ; Figure  
 240 2E, F).

241

242 **Table 1.** Geographical locations and surface values of physico-chemical and biological variables  
 243 measured at stations where primary productivity (PP) incubations were performed in the  
 244 Changjiang estuary and adjacent East China Sea during two summer cruises in 2019 and 2021.  
 245 Lon: longitude, Lat:latitude, Temp: temperature ( $^{\circ}\text{C}$ ), Sal: salinity, MLD: mixed layer depth (m),  
 246 DIN: dissolved inorganic nitrogen ( $\mu\text{mol L}^{-1}$ ), Chl-a: chlorophyll a ( $\mu\text{g L}^{-1}$ ). BLD represents those  
 247 samples below the limit of detection, and N/A = data not available.

248

Station	Lon	Lat	Temp	Sal	MLD	DIN	$\text{PO}_4^{3-}$	Chl-a
2019-N3	122.65	32.5	26.0	29.7	5	10.04	0.05	4.08
2019-J3	122.5	32.0	28.5	25.4	3	17.9	0.05	0.86
2019-J6	123.58	32.2	27.8	30.1	10	5.2	BLD	1.16
2019-A5	122.99	31.5	28.5	23.0	5	17.1	BLD	2.44
2019-A8	123.73	31.5	28.8	30.9	8	1.35	BLD	0.64
2019-A9	124.24	31.5	28.9	30.3	16	0.8	BLD	0.47

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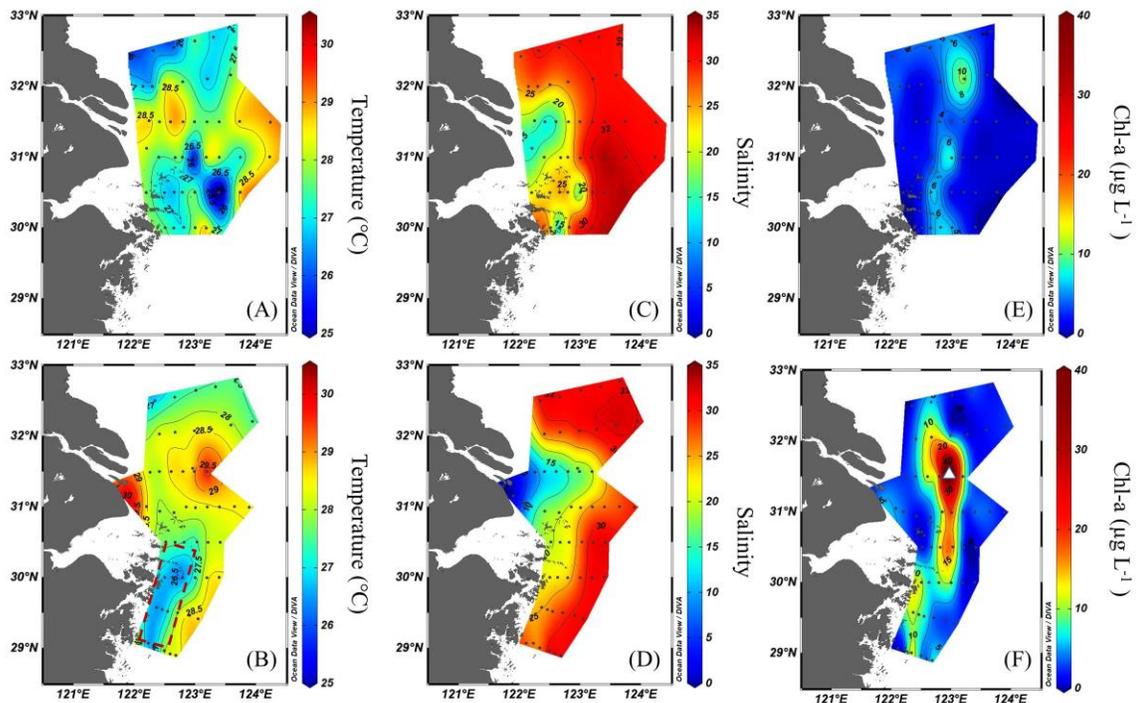
2019-B6	122.67	31.0	7.1	22.8	2	34.8	0.55	2.1
2019-B10	123.40	31.0	28.2	33.5	10	0.23	BLD	0.57
2019-B11	123.75	31.0	28.8	31.1	11	0.99	BLD	0.45
2019-B12	124.23	31.0	28.6	30.8	10	0.55	BLD	0.40
2019-C5	123.0	30.5	28.1	30.4	5	2.0	BLD	0.61
2019-C8	123.75	30.5	28.9	33.5	8	2.5	BLD	0.21
2019-D6	123.38	30.0	28.9	32.2	6	N/A	N/A	0.18
2021-N6	123.66	32.8	27.5	30.0	10	N/A	N/A	1.61
2021-J2	122.41	32.0	28.3	26.9	8	28.1	0.49	4.52
2021-J5	123.3	32.1	28.4	31.6	10	1.9	BLD	0.87
2021-J6	123.6	32.1	28.0	32.6	9	0.93	0.05	1.72
2021-A2	122.4	31.5	27.9	11.7	4	16.5	0.67	3.84
2021-A5	123	31.5	29.1	15.6	5	32.7	0.11	38.4
2021-B8	123	31	28.3	23.2	5	23.8	0.16	21.2
2021-B10	123.4	31.0	28.5	26.7	10	8.14	0.07	4.35
2021-B12	123.9	31.0	28.5	29.6	14	0.14	0.05	1.4
2021-C5	123.0	30.5	27.4	27.5	5	1.0	0.09	18.1
2021-C7	123.4	30.5	28.1	31.7	17	N/A	N/A	0.57

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2021-D5	123.2	30.0	28.4	31.2	6	0.48	0.06	1.05
2021-E3	122.5	29.5	26.9	26.9	5	14.7	0.12	8.36
2021-E5	122.9	29.4	28.7	30.8	7	0.46	0.17	2.34
2021-F1	122.1	29.1	27.7	28.0	5	25.6	1.01	1.62
2021-F3	122.3	29.0	26.7	26.2	6	20.5	0.33	15.01
2021-F4	122.5	28.9	28.0	31.3	11	1.27	0.07	3.96
2021-F6	122.7	28.9	28.1	30.7	11	0.67	0.08	5.26

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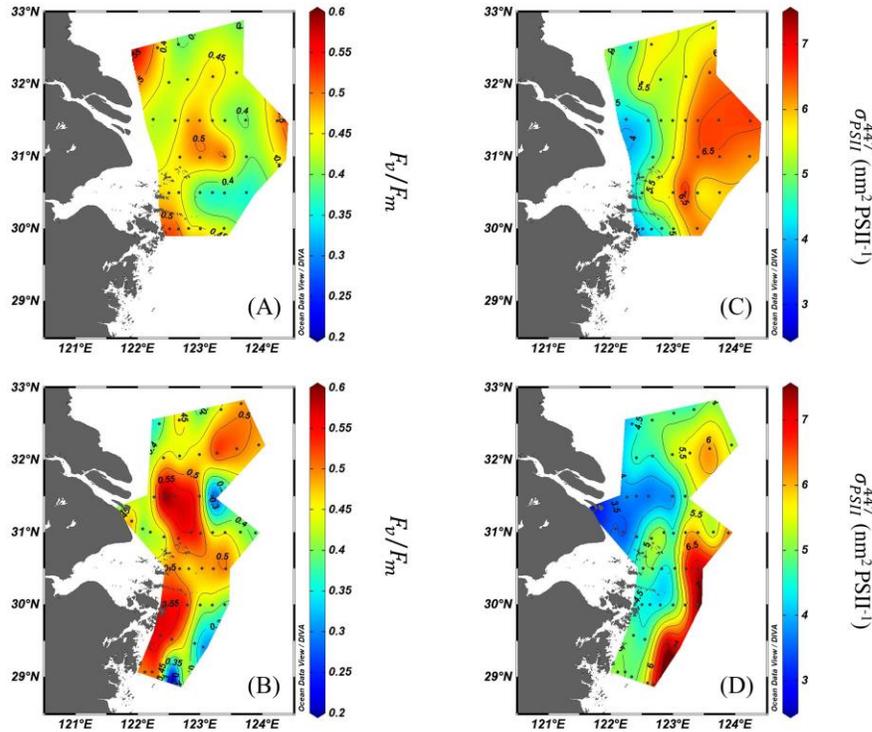
251

252 **Figure 2.** Spatial variability in temperature ( $^{\circ}\text{C}$ ), salinity (PSU) and Chlorophyll-*a* (Chl-*a*,  $\mu\text{g L}^{-1}$ )  
 253 in 2019 (a, c, e) and 2021 (b, d, f) cruise. The red dashed box in (b) indicates the upwelling zone.  
 254 The white triangle in (f) indicates the location of an observed diatom bloom.

## 255 3.2 Phytoplankton photophysiology

256 Surface  $F_v/F_m$  and  $\sigma_{PSII}^{447}$  values showed wide ranges and significant variability when all  
257 data were pooled (Figure 3).  $F_v/F_m$  ranged from 0.14 - 0.61, averaging  $0.45 \pm 0.06$  over the study  
258 area. Overall, higher values of  $F_v/F_m$  ( $> 0.5$ ) were mostly associated with high Chl-a, while low  
259  $F_v/F_m$  values ( $< 0.4$ ) were mostly observed in the offshore waters characterized by low  
260 phytoplankton biomass (Figure 3A, B). There were however some notable exceptions where  
261  $F_v/F_m$  values  $> 0.5$  were observed in several offshore stations that also exhibited low Chl-a  
262 concentrations (e.g., B12 in Figure 3A and J6, C7 in Figure 3B), likely reflecting the different  
263 photosynthetic strategies used by phytoplankton to cope with this varied environment. Surface  
264  $\sigma_{PSII}^{447}$  values ranged from 2.96 - 7.46  $\text{nm}^2 \text{PSII}^{-1}$  and exhibited a clear spatial trend (Figure 3C, D).  
265 Generally,  $\sigma_{PSII}^{447}$  values were low in the Changjiang mouth and adjacent waters ( $< 4.5 \text{ nm}^2 \text{PSII}^{-1}$ )  
266 and increased with distance offshore (Figure 3C, D). No significant correlation was found between  
267  $F_v/F_m$  and  $\sigma_{PSII}^{447}$  ( $r = -0.22$ ,  $n = 80$ ,  $p = 0.053$ ). Meanwhile,  $F_o$  was found significantly and  
268 positively correlated with Chl-a (Spearman,  $r = 0.818$ ,  $n = 80$ ;  $p < 0.001$ ; Supplementary Figure  
269 S1 ).

270 While comparing phytoplankton physiological features between datasets of 2019 and 2021,  
271 it was notable that both the highest and lowest  $F_v/F_m$  and  $\sigma_{PSII}^{447}$  values were observed in 2021,  
272 perhaps reflecting more drastic environmental changes during the cruise period in this year. The  
273 mean  $F_v/F_m$  value was almost identical in both years ( $0.45 \pm 0.04$  compared to  $0.46 \pm 0.08$  in 2019  
274 and 2021, respectively), while  $\sigma_{PSII}^{447}$  was on average ~10% lower in 2021 ( $5.01 \pm 1.07$  vs.  $5.45 \pm$   
275  $0.77 \text{ nm}^2 \text{PSII}^{-1}$ ) (ANOVA,  $p = 0.028$ ).



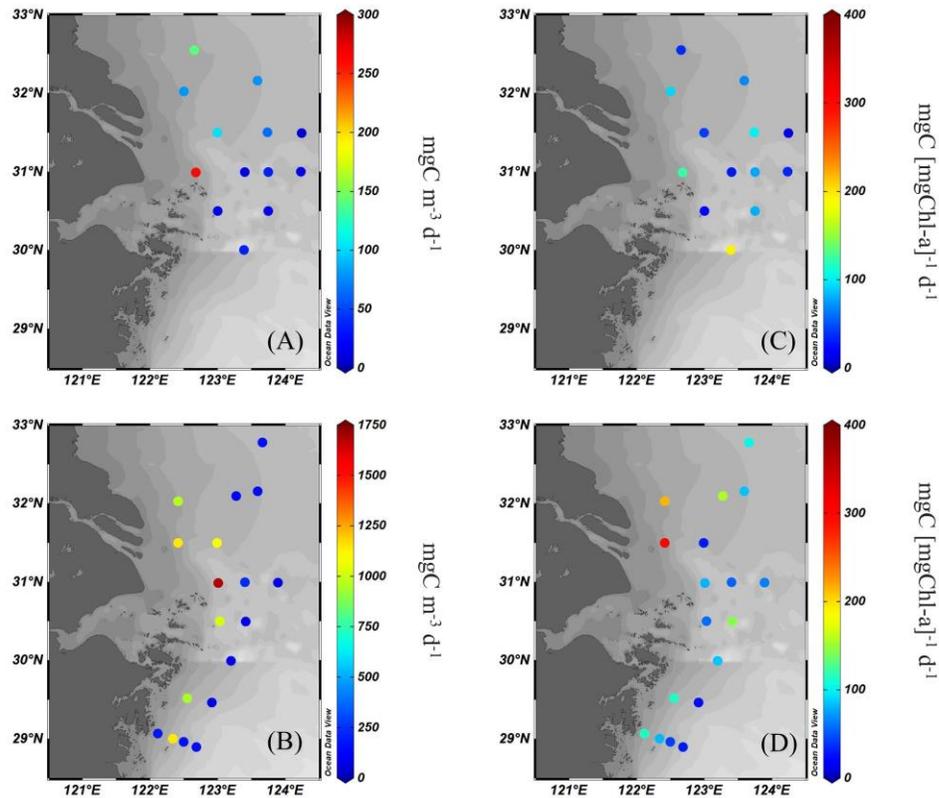
276

277 **Figure 3.** Spatial variability in photophysiological parameters: the maximum quantum yield of  
 278 Photosystem II (PSII),  $F_v/F_m$  (unitless) and the functional absorption cross-section of PSII,  $\sigma_{PSII}^{447}$   
 279 ( $\text{nm}^2 \text{PSII}^{-1}$ ) during the 2019 (a, c) and 2021 (b, d) cruises.

### 280 3.3 Phytoplankton Primary productivity (PP)

281 A total of 31  $^{13}\text{C}$ -incubations were performed over both years, with surface carbon uptake  
 282 rates ( $P^C$ ) spanning several orders of magnitude, ranging between  $6.0 - 1,679 \text{ mgC m}^{-3} \text{ d}^{-1}$ . Higher  
 283 PP values were generally found in coastal waters, while the majority of lower PP values were  
 284 measured at offshore stations (Figure 4A, B). Interestingly, the spatial distribution of Chl-a  
 285 normalized carbon uptake rates ( $P_B^C$ ) differed from that of  $P^C$ , where high  $P_B^C$  values were  
 286 measured in both coastal and offshore waters (Figure 4C, D), likely reflecting the interactive  
 287 effects of different environmental factors (e.g., nutrient, light, mixing layer depth, etc.) and  
 288 phytoplankton community structure on the efficiency of phytoplankton C-uptake (e.g., Firme et al.  
 289 2023). Similar to the observed trend in Chl-a distribution, a wider range and higher average net PP  
 290 values were observed in 2021 ( $74.3 - 1,679 \text{ mgC m}^{-3} \text{ d}^{-1}$ , mean:  $527 \pm 511 \text{ mgC m}^{-3} \text{ d}^{-1}$ ) compared  
 291 to 2019 ( $6.0 - 260 \text{ mg C m}^{-3} \text{ d}^{-1}$ , mean:  $66.0 \pm 68.5 \text{ mg C m}^{-3} \text{ d}^{-1}$ ). Average  $P_B^C$  was  $\sim 1.5$  times  
 292 higher in 2021 than 2019 ( $97.7 \pm 68.1$  vs.  $69.5 \pm 48.5 \text{ mgC [mg Chl-a]}^{-1} \text{ d}^{-1}$ ). Therefore, it appeared

293 that the higher PP values observed for 2021 were likely driven by both higher Chl-a concentrations  
 294 and greater phytoplankton C-uptake efficiency.



296 **Figure 4.** Spatial variability in surface net carbon fixation rates,  $P^C$  ( $\text{mgC m}^{-3} \text{d}^{-1}$ ) and Chlorophyll-  
 297 a (Chl-a) specific carbon fixation rates,  $P_B^C$  ( $\text{mgC [mgChl-a]}^{-1} \text{d}^{-1}$ ) during the 2019 (a, c) and 2021  
 298 (b, d) cruises.

### 299 3.4 Net PP Modelling

300 Spearman rank correlation analysis between net PP and various physical, biological and  
 301 physiological variables revealed that salinity,  $F_v/F_m$ ,  $\sigma_{PSII}^{447}$  and Chl-a were all correlated with net  
 302 PP (Table 2), with Chl-a and  $\sigma_{PSII}^{447}$  exhibiting the highest correlation coefficients ( $r = 0.881$  and  $r$   
 303  $= -0.759$  respectively,  $p < 0.001$ ). Stepwise linear regression (SLR) analysis further confirmed that  
 304 Chl-a and  $\sigma_{PSII}^{447}$  were key predictor variables of PP variability. While  $\sigma_{PSII}^{447}$  alone explained the  
 305 most variability of NPP (61%), adding Chl-a further improved the predictive power of the model,  
 306 increasing  $R^2$  to 0.73 ( $p < 0.001$ , Table 3). The multiple linear model ( $P^C = -a \times \sigma_{PSII}^{447} +$   
 307  $b \times Chla + c$ ) yielded an RMSE of  $248.7 \text{ mgC m}^{-3} \text{d}^{-1}$  (Table 3).

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311 **Table 2.** Spearman rank analysis for correlations between net primary productivity ( $P^C$ ,  $\text{mg C m}^{-3} \text{ d}^{-1}$ ) and environmental variables (temperature, salinity) and photophysiological parameters  
 312 (Photosystem II (PSII) maximum quantum yield [ $F_v/F_m$ ], the functional absorption cross-section  
 313 of PSII [ $\sigma_{PSII}^{447}$ ,  $\text{nm}^2 \text{ PSII}^{-1}$ ]) and Chlorophyll-a concentration (Chl-a,  $\mu\text{g L}^{-1}$ )  
 314

		Temp	Sal	$F_v/F_m$	$\sigma_{PSII}^{447}$	Chl-a
$P^C$	$r$	0.186	0.451*	0.596*	<b>-0.759**</b>	<b>0.881**</b>
	$p$ value	0.318	0.011	0.01	0.000	0.000
	n	31	31	30	30	31

315

316 \* and \*\* denotes statistical significance at  $p < 0.05$  and  $0.01$  respectively

317

318

319 **Table 3.** Influence of various physiological and biological variable on carbon fixation rates,  $P^C$ ,  
 320 estimated by stepwise linear regression.

No. of predictor variables	Variables	$R^2$	RMSE
1	$\sigma_{PSII}^{447}$	0.61	/
<b>2*</b>	<b><math>\sigma_{PSII}^{447}</math>, Chl-a</b>	<b>0.73</b>	<b>248.7</b>

321

322  $*P^C = -a \times \sigma_{PSII}^{447} + b \times Chla + c$ , where  $a = 246.75$ ,  $b = 25.77$ ,  $c = 1611.2$

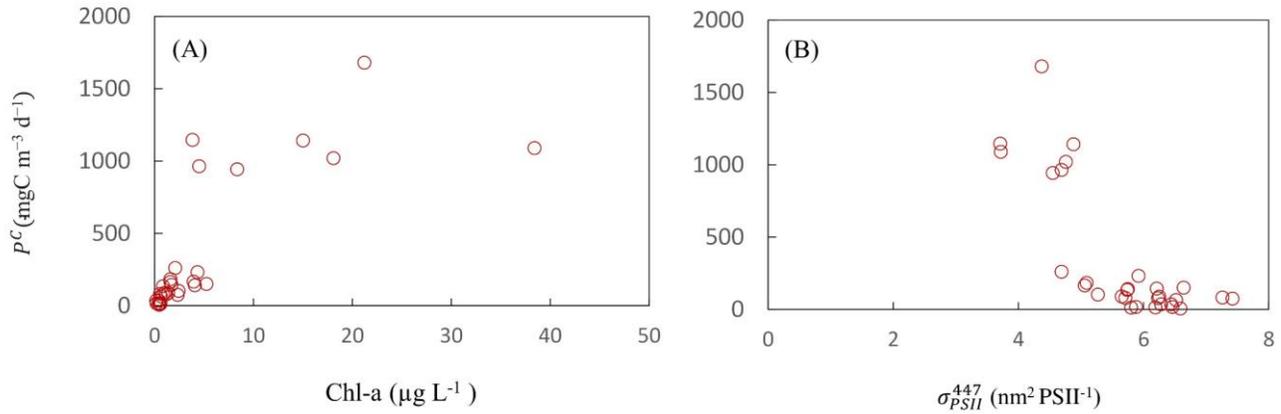
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325 To account for the potential non-linear impacts of  $\sigma_{PSII}^{447}$  and Chl-a on  $P^C$  (Figure 5), a  
 326 Generalized Additive Model (GAM) approach was applied to develop a predictive model of net  
 327 PP including the following two predictors: ( $P^C \sim s(\sigma_{PSII}^{447}) + s(\text{Chl-a})$ ). Seven GAM sub-models  
 328 based on different combinations of knots number ( $k$ ) were tested (Table 4), with sub-model GAM6  
 329 found to be best-performing model (Table 4). The RMSE of GAM was smaller than that of the  
 330 multiple linear model (144.2 vs. 248.7  $\text{mgC m}^{-3} \text{ d}^{-1}$ ), indicating net PP in this study area could be  
 331 better predicted by its nonlinear relationship with Chl-a and  $\sigma_{PSII}^{447}$  (see Figure 6 for response plots  
 332 of best-fitting GAM with both  $\sigma_{PSII}^{447}$  and Chl-a). Overall, GAMs predicted similar distribution  
 333 patterns of surface net PP to observed values, with high rates mainly distributed in the coastal areas,

334 and relatively low rates tended to occur in the offshore waters ( $R^2 = 0.94$ ,  $n = 30$ ;  $p < 0.001$ ;  
 335 Supplementary Figure S2, Figure 7).

336



337  
 338 **Figure 5.** Scatter plots of net primary productivity,  $P^C$  ( $\text{mgC m}^{-3} \text{d}^{-1}$ ) against (a) the functional  
 339 absorption cross-section of PSII,  $\sigma_{PSII}^{447}$  ( $\text{nm}^2 \text{PSII}^{-1}$ ) and (b) Chl-a concentration ( $\mu\text{g L}^{-1}$ ).  
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356 **Table 4.** Statistics for generalized additive models (GAM) of net primary productivity (PP) in the  
 357 Changjiang estuary and East China Sea using predictor variables (Table 3). Submodels GAM1 to  
 358 GAM7 involve different combinations of  $k$  for  $\sigma_{PSII}^{447}$  and Chl-a, respectively; AIC =Akaike  
 359 information criterion; RMSE =root mean square error.

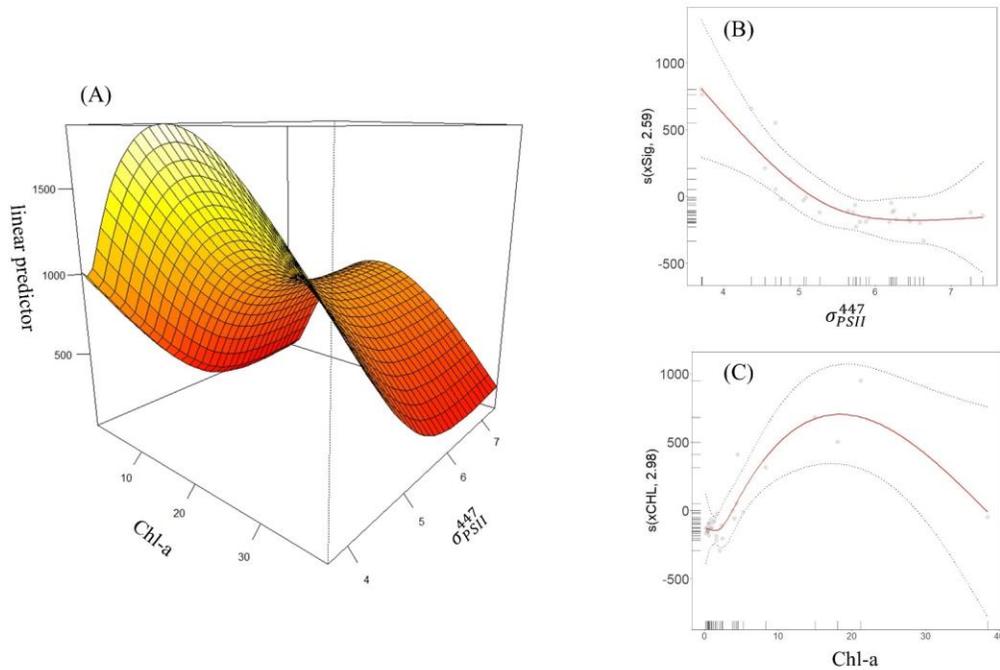
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No. of GAM submodel	predictor variables	$k$	$R^2$	AIC	RMSE
GAM 1	$\sigma_{PSII}^{447}$ , Chl-a	3, 3	0.902	390.7	165.0
GAM 2	$\sigma_{PSII}^{447}$ , Chl-a	4, 3	0.906	389.9	161.7
GAM 3	$\sigma_{PSII}^{447}$ , Chl-a	3, 4	0.929	381.8	141.3
GAM 4	$\sigma_{PSII}^{447}$ , Chl-a	4, 4	0.929	382.16	143.7
GAM 5	$\sigma_{PSII}^{447}$ , Chl-a	5, 4	0.928	382.8	144.7
<b>GAM 6*</b>	<b><math>\sigma_{PSII}^{447}</math>, Chl-a</b>	<b>4, 5</b>	<b>0.933</b>	<b>380.42</b>	<b>144.2</b>
GAM 7	$\sigma_{PSII}^{447}$ , Chl-a	5, 5	0.932	381.3	153.4

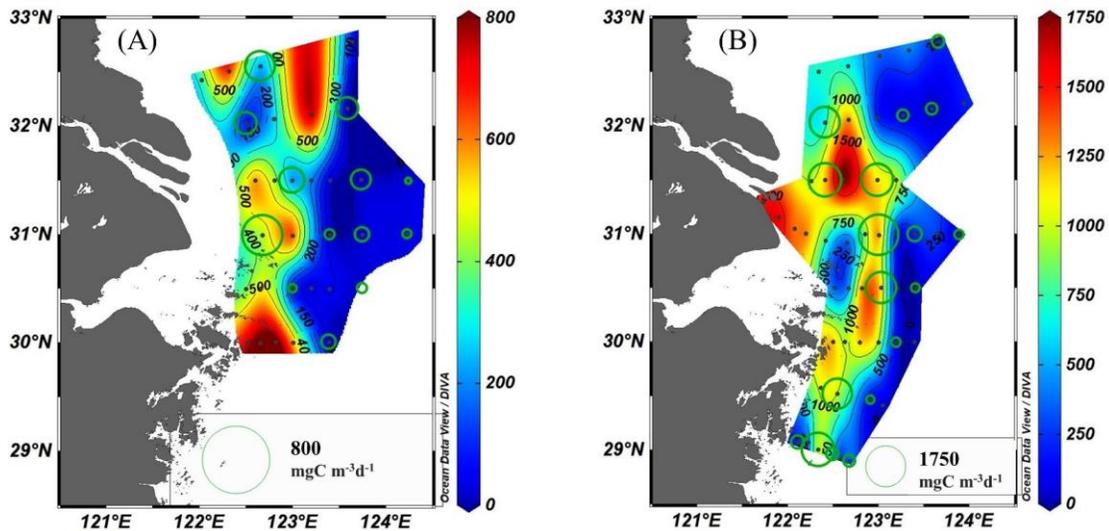
362 \* represents the best-fitting GAM

363



364

365 **Figure 6.** Interaction effects of the optimal Generalized Additive Model (GAM), showing (a)  
 366 significant interaction between  $\sigma_{PSII}^{447}$  and Chl-a. (b-c) GAM results describing  $P^C$  ( $\text{mgC m}^{-3} \text{d}^{-1}$ )  
 367 variability with  $\sigma_{PSII}^{447}$  ( $\text{nm}^2 \text{PSII}^{-1}$ ) and Chl-a concentration ( $\mu\text{g L}^{-1}$ ).  
 368



370 **Figure 7.** Overlaid plots between observed (sized dots) and predicted net primary productivity (PP)  
 371 (based on the best-fitting Generalized Additive Model [GAM] shown in Table 4) during (a) 2019  
 372 and (b) 2021 summer cruises.

## 373 4 Discussion

374 Assessing carbon cycling in dynamic coastal systems such as the Changjiang estuary and  
375 adjacent ECS is challenging due to the low sampling resolution of conventional, incubation-based  
376 methods. Here, we developed an empirical model to assess PP at high-resolution. We demonstrate  
377 for the first time how knowledge of Chl-a biomass and phytoplankton photophysiology can be  
378 used to predict PP across the strong environmental gradient present in this region during  
379 summertime. Importantly, unlike previous work which has estimated C-uptake rates from FRR-  
380 derived measurements (ETRs) by calculating (or assuming)  $K_C$  and  $n_{PSII}$  values (e.g., Hancke et  
381 al., 2015; Schuback et al., 2015; 2017; Wei et al., 2019; Zhu et al., 2015, 2017), our approach  
382 allows for retrieval of PP estimates without knowledge or assumption of either, or both, parameters  
383 which are difficult to measure in nature. We discuss insights gleaned from application of FRR  
384 fluorometry to this study area, and how our GAM modelling approach provides a high-resolution  
385 solution to rapidly assess regional PP at and carbon cycling dynamics.

### 386 4.1 Insights into phytoplankton photophysiology

387 During summer, the spatial distribution of Chl-a within the Changjiang estuary and nearby  
388 East China Sea is mainly influenced by the equilibrium effects of light availability and nutrient  
389 supply, shaping a so-called “sandwich” pattern (Ning et al., 1988; Li et al., 2021). Specifically,  
390 Chl-a values were mostly higher along the boundaries of Changjiang plume front compared to  
391 either coastal and offshore waters (Li et al., 2021, Figure 2E, F). Overall, a similar spatial pattern  
392 was observed for  $F_v/F_m$  values when all data were pooled, revealing a significant positive  
393 correlation between these two parameters (Spearman’s Rank,  $r = 0.31$ ,  $n = 76$ ,  $p = 0.006$ ) - as also  
394 observed in previous studies (Gutiérrez-Rodríguez et al., 2020; Liu et al., 2022; Zhu et al., 2019).  
395 Several factors are thought to control  $F_v/F_m$  including the light environment, nutrient availability,  
396 and the taxonomic composition of the phytoplankton community itself (Suggett et al., 2009b).  
397 Decreases in  $F_v/F_m$  are commonly observed in nutrient-starved phytoplankton (Geider et al., 1993,  
398 1998a, b; Parkhill et al., 2001) and may explain the low  $F_v/F_m$  values recorded at offshore stations  
399 in this study where nutrient availability was low (Figure 3A, B). Meanwhile, at the Changjiang  
400 mouth where nutrient levels are elevated - and presumably not limiting for phytoplankton growth  
401 – the lower  $F_v/F_m$  values are likely explained by another factor. While the presence of smaller  
402 phytoplankton cells can contribute to lower  $F_v/F_m$  values (Suggett et al. 2009b), size-fractionated  
403 Chl-a analysis found that our sampling sites near the Changjiang mouth were actually dominated

404 by phytoplankton cells  $>10\ \mu\text{m}$  ( $\sim 90\%$ , data not shown) and thus taxonomy is unlikely to account  
405 for the low  $F_v/F_m$  values observed here. Due to increased stratification and shallow mixed layer at  
406 this location, it is likely that low  $F_v/F_m$  values are instead caused by PSII photoinactivation  
407 (Osmond, 1994; Moore et al., 2006; Fisher et al. 2020) - which occurs when phytoplankton are  
408 exposed to higher light levels for longer periods – and manifests as reduced PSII photochemical  
409 efficiency (Fisher et al. 2020). Conversely, relatively high  $F_v/F_m$  values were also found at several  
410 offshore stations where nutrients were likely limiting ( $\text{DIN} < 0.5\ \mu\text{M}$ ,  $\text{PO}_4^{3-} < 0.05\ \mu\text{M}$ ; Figure 3A,  
411 B). This result is not surprising because it is consistent with the interpretation that phytoplankton  
412 cells can maintain  $F_v/F_m$  values under steady-state macronutrient limitation, rather than starvation  
413 (MacIntyre et al., 1997; Parkhill et al., 2001; Behrenfeld et al., 2006; Kruskopf & Flynn, 2006;  
414 Moore et al., 2008; Schrader et al., 2011).

415 In addition to light spectrum dependence, changes in  $\sigma_{PSII}$  are typically related to the  
416 photoacclimational status, cellular nutrient status and/or taxonomic shifts in the phytoplankton  
417 assemblage (Moore et al., 2006; Suggett et al., 2009b). While  $\sigma_{PSII}$  is expected to increase as cell  
418 size declines (see Suggett et al., 2009b), we observed no correlation between  $\sigma_{PSII}^{447}$  and proportion  
419 of Chl-a  $>10\ \mu\text{m}$  (Spearman,  $p = 0.09$ ; data not shown), further reinforcing the notion that  
420 phytoplankton community structure and composition is unlikely the primary driver of  
421 physiological variability in our dataset. Higher values of  $\sigma_{PSII}^{447}$  measured in the nutrient-poor  
422 offshore waters are consistent with previous studies showing that  $\sigma_{PSII}$  increases with decreasing  
423 nutrient supply (Kolber et al., 1988; Berges et al., 1996; Moore et al., 2003, 2005; Kulk et al.,  
424 2018). Meanwhile, the light environment experienced by phytoplankton from nearshore to  
425 offshore waters in the Changjiang estuary is likely to vary considerably with changing upper  
426 MLDs (Table 1). Typically, when experiencing an increase in growth irradiance, phytoplankton  
427 reduce their light harvesting capacity by decreasing  $\sigma_{PSII}$  to avoid photodamage (Falkowski et al.,  
428 1981). Conversely, phytoplankton cells acclimated to low light usually increase the number and/or  
429 the ‘size’ of their photosynthetic units, which also resulting in an increased PSII functional cross-  
430 section ( $\sigma_{PSII}$ ) (Moore et al., 2006; Six et al., 2008). Unsurprisingly, higher  $\sigma_{PSII}^{447}$  values were  
431 mostly measured in deeper mixed offshore waters – where a larger PSII functional cross-section  
432 is conducive to increased light absorption per reaction center II (RCII), and is clearly advantageous  
433 at the low irradiance levels characteristic of this environment (Kolber et al., 1988; McKew et al.,  
434 2013; Schuback et al., 2017).

## 4.2 Controls of phytoplankton primary productivity

435  
436 Surface PP values exhibited remarkable variability, ranging from  $\sim 6 - 1600 \text{ mgC m}^{-3} \text{ d}^{-1}$   
437 over the two summer sampling campaigns. Overall, phytoplankton PP and Chl-a were positively  
438 correlated (Table 2), reflecting that phytoplankton biomass is a key driver of C-uptake rates.  
439 However, pooling of the data revealed a decoupling between these two parameters, suggesting a  
440 maximum level of PP exists, beyond which further increase in Chl-a do not necessarily translate  
441 to increased production (Figure 5A). An apparent decoupling was also observed in the spatial  
442 distributions of Chl-a and PP, with high PP observed in coastal waters despite relatively low Chl-  
443 a (Figure 2, 4). Such a decoupling between Chl-a and PP is consistent with previous observations  
444 in the ECS that attributed this phenomenon to grazing pressure by microzooplankton (Liu et al.,  
445 2019). It is well-known that Chl-a concentrations are extremely plastic, with measured changes  
446 arising from light-driven (photoacclimation) and nutrient-driven physiological responses, which  
447 are not necessarily indicative of proportional changes in productivity (Behrenfeld et al., 2016; Liu  
448 et al., 2019). Previous laboratory studies of microalgal cultures have found a strong increase in the  
449 carbon-to-chlorophyll ratio (C:Chl-a) with increasing growth irradiance, i.e., where phytoplankton  
450 cells become less pigmented (Laws & Bannister, 1980; Geider et al., 1987, 1998a). Thus,  
451 according to traditional photoacclimation models, when mixing is shallow and light is saturating  
452 (e.g., as in the Changjiang mouth, Zhu et al., 2009), less Chl-a is required to sustain a given  
453 production rate (Behrenfeld et al., 2016) – and likely explains the spatial uncoupling of  
454 phytoplankton Chl-a and productivity observed in this study.

455 Nutrient-driven physiological responses, which also lead to variations in production rates  
456 per unit Chl-a could also explain the high production rate yet low Chl-a values sometimes observed  
457 in this study. Declines of photosynthetic rates normalized to Chl-a,  $P_B^C$  in oligotrophic subtropical  
458 gyres is presumably due to nutrient limitation (Behrenfeld & Falkowski, 1997). Marañón et al.  
459 (2003) also proposed the existence of nutrient-dependent changes in photosynthetic performance,  
460 demonstrating a positive correlation between nutrient supply rates and Chl-a-normalized C-  
461 fixation rates. Thus, it is plausible to suggest that higher production rates per Chl-a could be  
462 sustained in the coastal water and Changjiang mouth with enhanced nutrient supply (Figure 4, 7).  
463 On the other hand, however, a shift in bloom stages associated with depleted nutrient  
464 concentrations (Table 1) is likely to decrease  $P_B^C$  and thus may contribute to inconsistent observed  
465 trends for net PP and Chl-a (Figure 5A, Waga et al., 2022).

### 4.3 Relationship between primary productivity and PSII functional absorption cross section

We found a strong negative correlation between  $\sigma_{PSII}^{447}$  and net PP in the this study (Table 2, Figure 5B), suggesting  $\sigma_{PSII}^{447}$  and net PP likely co-varied as a result of physiological and/or taxonomic responses to changing environmental conditions in this dynamic sampling area (Moore et al., 2003). Under nutrient limitation, phytoplankton species tend to reduce the abundance of the key D1 protein associated with PSII repair, together with CP43 and CP47, which leads to an increase in  $\sigma_{PSII}$ . Meanwhile, the increased turnover of D1 under nutrient limitation could lead to a reduction in the steady state level of the proteins, which consequently decreased photochemical energy conversion efficiency and phytoplankton growth rates (Greene et al., 1992; Kolber et al., 1988). Upon relief of nutrient deficiency, reduction in  $\sigma_{PSII}$  associated with increased D1 may be apparent in coastal waters where growth rates and primary productivity of phytoplankton are higher. In contrast, under light-limited systems, all PSII traps appear to be fully functional which increase the  $\sigma_{PSII}$  (Kolber et al., 1988). With increasing light, surplus energy supply relative to metabolic demand stimulates a decrease in  $\sigma_{PSII}$  (Huner et al., 1998). Whilst the major factor driving the co-variation of  $\sigma_{PSII}$  and net PP in our study remains unclear, Suggett et al (2009b) suggested that  $\sigma_{PSII}$  is less sensitive to nutrient limitation and thus the change in light availability might outweigh the potential influence of nutrient limitation upon PSII functioning.

Along with changes driven by nutrient and light availability,  $\sigma_{PSII}$  and PSII efficiency also appears to vary across algal taxa. Diatoms and larger phytoplankton are generally characterized by lower  $\sigma_{PSII}$  values, whereas smaller phytoplankton or dinoflagellates and nanoflagellates exhibit relatively larger  $\sigma_{PSII}$  values (Suggett et al., 2009b). Furthermore, increased photosynthetic efficiency and growth rates have also been observed for larger phytoplankton under nutrient-replete conditions, which may explain higher PSII photochemical efficiency observed in certain phytoplankton taxa such as diatoms (Cermeño et al., 2005). In summary, NPP and  $\sigma_{PSII}$  are unlikely to vary independently but as a result of some common physiological/ecological mechanism (Moore et al., 2003).

Regardless of the underlying cause, the relationship between  $\sigma_{PSII}$  and NPP provides the opportunity of investigating changes in productivity at high resolution via FRR fluorometry in dynamic systems. However, with the decreasing of  $\sigma_{PSII}^{447}$ , the non-linear increasing of net PP may limit the power of  $\sigma_{PSII}^{447}$  alone as a predictor of net PP in linear modelling approaches (Figure 5B).

497 Therefore, it is crucial that models consider the non-linear co-variation between net PP and  $\sigma_{PSII}^{447}$   
498 together with Chl-a in order to accurately predict net PP in this study area.

#### 499 4.4 Modeling primary productivity by GAM

500 Modeling phytoplankton PP remains an important approach for oceanographers to better  
501 understand marine ecosystem functioning. While several attempts including ours have been made  
502 to estimate PP in the ECS, few works to date have focused on developing predictive models in the  
503 Changjiang estuarine-coastal waters, due to the inadequate sampling resolution of incubation-  
504 based approaches in such dynamic systems (Gong & Liu, 2003). Satellite-derived Chl-a has been  
505 widely-used as an input into PP algorithms (Arrigo et al., 2011) yet there is not always a clear  
506 relationship between phytoplankton biomass and production (Behrenfeld et al., 2005; Huot et al.,  
507 2007; Laws et al., 2016). Efforts to estimate PP through scaling FRR-derived ETRs have gained  
508 significant traction in recent years, however, are constrained by a still-limited understanding of  
509 how  $K_C$  varies in nature (Lawrenz et al., 2013; Hughes et al. 2020). More recent work has  
510 demonstrated the potential for fluorescence parameters relating to phytoplankton light history (e.g.,  
511 NPQ) to correlate well with  $K_C$  (e.g., Schuback et al. 2015, 2016; Zhu et al., 2016; 2017); however,  
512 the slope describing the relationship varies unpredictably in space and time (Hughes et al. 2018b)  
513 or may break even down entirely under light-limited conditions (Hughes et al. 2021). Given the  
514 inherent uncertainties in predicting  $K_C$  based off NPQ data, we opted to retrieve PP via a novel  
515 modelling approach incorporating easy-to-measure fluorescence parameters and Chl-a. In the  
516 current work, correlation analysis revealed that  $\sigma_{PSII}$  was negatively correlated with  
517 photosynthetic rates in this highly-dynamic region. Thus, together with Chl-a which has been  
518 routinely measured by fluorescence sensors (e.g. FRRf; Supplementary Figure S1), it provides an  
519 opportunity to quickly assess variability of PP at high spatial resolution once the appropriate model  
520 is established.

521 The empirical model of PP by stepwise multiple linear regression was first developed  
522 including Chl-a and  $\sigma_{PSII}^{447}$ . The RMSE of SLR model was  $248.7 \text{ mgC m}^{-3} \text{ d}^{-1}$ , which is close to that  
523 of satellite-based empirical model developed in the ECS (Siswanto et al., 2006). Nevertheless,  
524 negative values of PP were observed in the predicted results either due to particularly small Chl-a  
525 or large  $\sigma_{PSII}^{447}$  values, highlight a notable drawback of linear models in such scenarios. In fact, the  
526 growth rates of phytoplankton and the photosynthetic rates per unit Chl-a are not likely to infinitely

527 increase but at a decreasing rate when phytoplankton exhibit the so-called “package effect” (e.g.,  
528 Laiolo et al. 2021) or photodamage conditions as well as insufficient nutrient supply (Cullen et al.,  
529 1992; Platt et al., 1980; Siswanto et al., 2009), thus non-linear models are likely to better describe  
530 C-fixation responses to changes in environmental variables (Siswanto et al., 2009). After fitting  
531 data to a best GAM model, PP prediction was significantly improved through consideration of  
532 non-linear relationships of Chl-a and  $\sigma_{PSII}^{447}$  on net PP, with RMSE decreasing from 248.7 to 144.2  
533  $\text{mgC m}^{-3} \text{d}^{-1}$  and  $R^2$  increasing from 0.73 to 0.93, compared to the linear regression model (Tables  
534 3, 4). This result confirms the robustness of the non-linear relationship between net PP and the two  
535 fluorometric predictors. From initial setting with  $k$ -values of 3 on the  $\sigma_{PSII}^{447}$  and Chl-a smoothing,  
536 we tested several combinations of  $k$ -values, showing the best-fitting GAM with  $k$ -values of 4 and  
537 5 for  $\sigma_{PSII}^{447}$  and Chl-a, respectively. This suggests that the performance of GAM does not always  
538 improve with increasing  $k$ -values, reinforcing recommendations by Wood (2018) to employ a  
539 manual  $k$ -value selection process. It is also important to note that the selection of  $k$ -values is very  
540 application-specific and should be re-evaluated with any new dataset (Murphy et al., 2019).

541 The developed PP model based on a GAM method in this study allows for assessment of  
542 C-uptake rates at high spatial and temporal resolutions in the ECS – particularly with increasing  
543 opportunities for ship-based sampling campaigns and use of autonomous measurement platforms  
544 (Fujiki et al., 2008; Ryan-Keogh et al., 2020). The higher data volume afforded through our PP  
545 model would not only benefit a better understanding of phytoplankton productivity in this dynamic  
546 environment, but also be able to fill the large gaps in data required to validate satellite-based PP  
547 models (Hughes et al., 2018a; Kerkar et al. 2021; Tripathy et al. 2012).

548

## 549 **5 Conclusions**

550 Our study found that surface PP in the Changjiang estuary and adjacent East China Sea  
551 exhibits dramatic variability with a range spanning several orders of magnitude – highlighting the  
552 dynamic nature of this system. According to our model, much of the variability in PP can be  
553 predicted from knowledge of phytoplankton photophysiology and Chl-a biomass. Satellite models  
554 routinely perform poorly in coastal systems, so development of high-resolution tools to assess PP  
555 is an important step yielding greater understanding of carbon-cycling within this (and indeed other)  
556 region(s). As active Chl-a fluorescence tools such as Fast Repetition Rate fluorometry become

557 smaller and less expensive, opportunities to deploy such instruments on vessels of opportunity,  
558 gliders and other autonomous platforms are rapidly opening-up. If such sensors are calibrated to  
559 measure *in-situ* chlorophyll-a concentration, our capacity to develop and utilize region-specific PP  
560 estimation tools such as the one presented here can help fill the void of satellite-based PP models  
561 which are so important in estimating phytoplankton productivity in other areas of the global ocean.

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## 574 **Data Availability Statement**

575 Data set for this paper are freely available online through Figshare  
576 ([https://figshare.com/articles/dataset/Dataset\\_of\\_phytoplankton\\_primary\\_productivity\\_FRRf\\_Chla\\_in\\_the\\_Changjiang\\_estuary\\_East\\_China\\_Sea/23691141](https://figshare.com/articles/dataset/Dataset_of_phytoplankton_primary_productivity_FRRf_Chla_in_the_Changjiang_estuary_East_China_Sea/23691141)).

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