

Potential Predictability of the Spring Bloom in the Southern Ocean Sea Ice Zone

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Key Points:

- Southern Ocean net primary production (NPP) is potentially predictable seven to ten years in advance in a perfect model experiment
- The peak predictability of NPP in November lags the peak predictability of sea ice extent and net shortwave radiation by two to three months
- Seasonal progression of predictability suggests that sea ice and light limitation control the inherent predictability of the spring bloom

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Abstract

Every austral spring when Antarctic sea ice melts, favorable growing conditions lead to an intense phytoplankton bloom, which supports much of the local marine ecosystem. Recent studies have found that Antarctic sea ice is predictable several years in advance, suggesting that the spring bloom might exhibit similar predictability. Using a suite of perfect model predictability experiments, we find that November net primary production (NPP) is potentially predictable seven to ten years in advance in many Southern Ocean regions. Sea ice extent predictability peaks in late winter, followed by absorbed shortwave radiation and NPP with a two to three months lag. This seasonal progression of predictability supports our hypothesis that sea ice and light limitation control the inherent predictability of the spring bloom. Our results suggest skillful interannual predictions of NPP may be achievable, with implications for managing fisheries and the marine ecosystem, and guiding conservation policy in the Southern Ocean.

Plain Language Summary

In very much the same way as we do for the weather, we can make forecasts of many aspects of the earth system. For example, rather than trying to predict how much rain will fall next Tuesday, we can explore how much algal growth might take place in the oceans around Antarctica in several months time. Such predictions could be extremely useful for managing the fragile ecosystems of these regions, for example informing fishing quotas in an upcoming season. However, just like for weather forecasts, there are upper limits for how far into the future we can expect to accurately make such predictions. It's this upper limit that we try to understand in this theoretical modeling study. We find that the upper limit is actually rather long (as much as 10 years!), and show that this is because of the close relationship between algal growth and sea ice (ice formed at the ocean surface) in this cold polar region. In turn, the extent of the sea ice can be predicted a long time in advance because there is a lot "memory" in this component of the earth system.

1 Introduction

Marine ecosystems are sustained at their base by net primary production (NPP). Variations in NPP cascade upward to higher trophic levels, driving variations in living marine organisms (e.g., zooplankton, or krill), which are sensitive to changing environmental conditions (Chassot et al., 2010; Stock et al., 2014; Tagliabue et al., 2021). In the Southern Ocean's seasonal ice zone, where sea ice seasonally extends and retreats, phytoplankton grow intensely for a relatively short period (<10 weeks) during the austral spring, resulting in a rapid increase in NPP (Moore & Abbott, 2000; Arrigo et al., 2008; Uchida et al., 2019; Arteaga et al., 2020; Douglas et al., 2023). In the subpolar Southern Ocean, across both the seasonal ice zone and Antarctic coastal polynyas (a region that we collectively call the sea ice zone), the spring increase in NPP from intense phytoplankton growth accounts for as much as 15% of total annual NPP in the Southern Ocean (Arrigo et al., 2008; Taylor et al., 2013). These short annual periods of intense growth, or blooms, are thus an important driver of the Southern Ocean marine ecosystem. Even though the relationship between NPP and upper trophic level biomass is complex (Friedland et al., 2012; Stock et al., 2017), skillful predictions of monthly NPP on seasonal-to-interannual time scales that capture the fluctuations in spring bloom production may help to better constrain predictions of ecological quantities and assist stakeholders in fishery management and marine conservation (Deppeler & Davidson, 2017; Moreau et al., 2020; Brooks & Ainley, 2022).

The spring bloom is closely linked to the seasonal retreat of sea ice (Moore & Abbott, 2000; Arrigo et al., 2008; Uchida et al., 2019; Arteaga et al., 2020), which has been shown to be predictable. Perfect model (PM) experiments, which assess the "potential

121 trol simulation is branched from a 1000-year quasi-steady-state simulation initialized with
 122 conditions from 1860 (Dunne et al., 2012). The PM experiments branch off from the prein-
 123 dustrial control simulation at six different start dates: January 1st in the years 22, 64,
 124 106, 170, 232, and 295 (years chosen at random). Each start date contains 40 ensemble
 125 members, each initialized with an infinitesimal perturbation in SST added to a single
 126 grid cell in the Weddell Sea. The perturbations applied to the ensemble members were
 127 evenly distributed between 0.002 and -0.002°C . Each ensemble member was forced with
 128 identical preindustrial boundary conditions and was run for a duration of 10 years with
 129 the last ensemble group extending beyond the preindustrial control simulation by five
 130 years. The temporal resolution of all variables analyzed here is monthly mean.

131 We use the prognostic potential predictability (PPP) metric to assess the predictabil-
 132 ity of NPP and quantities relevant to the spring bloom. The PPP is an estimate of the
 133 inherent upper limit of prediction skill of a given model. From Pohlmann et al. (2004),
 134 PPP is given by the following equation:

$$PPP(\tau) = 1 - \frac{\frac{1}{N(M-1)} \sum_{j=1}^N \sum_{i=1}^M (X_{ij}(\tau) - \bar{X}_j(\tau))^2}{\sigma_c^2}$$

135 where X_{ij} is the value of a given variable for the i th ensemble member of the j th ensem-
 136 ble, \bar{X}_j is the j th ensemble mean, σ_c^2 is the variance of the control simulation for a given
 137 target month, N is the number of ensembles ($N = 6$), M is the number of ensemble
 138 members ($M = 40$), and τ is the forecast lead time. Intuitively, PPP assesses how en-
 139 semble members chaotically diverge over time by comparing the ensemble spread to the
 140 natural variability of the control simulation. When PPP is equal to zero, the ensemble
 141 spread is identical to the simulated natural variability of the control simulation, which
 142 indicates that the variable could not have been skillfully predicted from the initial con-
 143 ditions. When PPP is equal to one, the spread of the ensemble members is perfectly dis-
 144 tinguishable from the simulated natural variability which indicates that the model is ca-
 145 pable of perfectly predicting the variable given accurate initial conditions.

146 For our diagnostic analysis, we compute the Pearson correlation coefficient between
 147 NPP at a target month and a predictor variable at months leading the target month.
 148 We perform this correlation analysis for all twelve target months with a maximum lead
 149 time of 13 months. For both the PM predictability assessment and diagnostic correla-
 150 tion analysis, we consider six sectors of the Southern Ocean in our study: Weddell (60°W-
 151 20°E), Indian (20°E-90°E), West Pacific (90°E-160°E), Ross (160°E-130°W), and Amund-
 152 sen and Bellingshausen (130°W-60°W), plus the pan-Antarctic region, which encompasses
 153 all aforementioned sectors, following Bushuk et al. (2021). To capture the sea ice zone,
 154 the northern boundary for all sectors is 55°S and the southern boundary is the Antarc-
 155 tic continent. The sector boundaries are shown in Supporting Fig. S1, and seasonal cli-
 156 matologies of relevant variables in each sector are shown in Supporting Fig. S2. We per-
 157 form an F -test with the ensemble and control run variances to determine significant PPP
 158 values above the 95% confidence level ($PPP > 0.183$), and use a t -test that accounts for
 159 autocorrelation following Bretherton et al. (1999) to determine significant correlation co-
 160 efficients above the 95% confidence level.

161 3 Results

162 Fig. 1 shows PPP time series for NPP over the ten-year forecast period. Since the
 163 suite of PM experiments are initialized on January 1st, near perfect NPP potential pre-
 164 dictability ($PPP > 0.9$) exists in January of the first year (Fig. 1; see bottom-left corner
 165 of each panel). At longer forecast times, NPP potential predictability decreases as the
 166 initial perturbations of the ensemble members grow chaotically and diverge, making it
 167 more difficult to predict their future state from the initial conditions. Across all regions,
 168 the highest PPP values occur in spring, from October to December, indicating that spring
 169 NPP is potentially predictable. NPP in the Weddell sector (Fig. 1b) has the highest spring

PPP throughout the forecast period, maintaining predictability for NPP in September through December beyond the 10 year lead times. The Indian (Fig. 1c) and West Pacific sectors (Fig. 1d) have lower PPP than the Weddell sector, but PPP remains significant in November for several years. NPP in the Amundsen/Bellingshausen sector (Fig. 1f) has high PPP for up to ten years in the spring with maximum PPP in December. Unlike the other sectors, Ross sector NPP does not have consistently significant PPP in the spring (Fig. 1e). While we show that NPP is predictable on interannual time scales, the highest PPP values (>0.4) occur in November of the first forecast year, suggesting that nearly half of the spring NPP variance can be predicted almost one year in advance. We focus our further analysis on this first-year November maximum to elucidate the key drivers of NPP predictability.

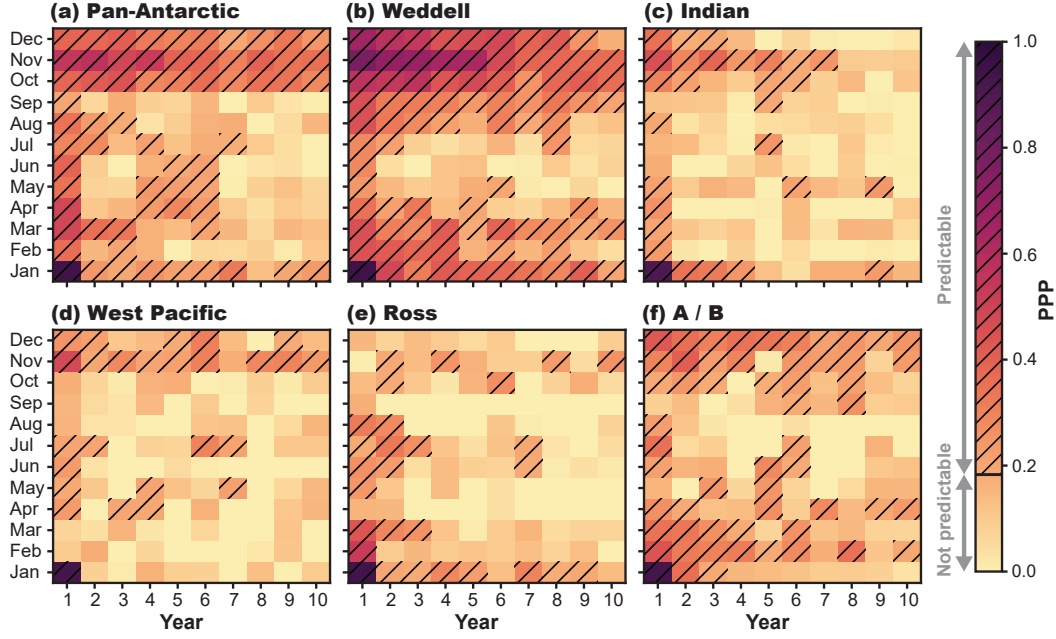


Figure 1. Regional predictability of net primary production (NPP) given by the prognostic potential predictability (PPP) metric computed from a suite of perfect model (PM) experiments with the GFDL-ESM2M model. The full ten-year forecast period from the PM ensembles is displayed with forecast years on the x-axis and months on the y-axis. PPP values above the 0.183 significance threshold are hatched and have a 95% confidence level based on an F -test.

Fig. 2 shows the regional predictability of NPP and potential key drivers of the sea ice zone spring bloom (SIE, mixed-layer depth, surface irradiance, and surface dissolved iron) for the first 13 months of the forecast period. As in Fig. 1, NPP predictability peaks in November for the pan-Antarctic (Fig. 2a), Weddell (Fig. 2b), Indian (Fig. 2c), and West Pacific (Fig. 2d) sectors while the Amundsen/Bellingshausen (A/B; Fig. 2f) sector has maximum NPP predictability in December. Spring NPP is generally unpredictable in the Ross sector (Fig. 2e). In the Pan-Antarctic case, as well as prominently in the Weddell, Indian, West Pacific, and A/B, the November peak in NPP predictability is preceded by — at one to two month leads — that of SIE and surface irradiance, indicating that the alleviation of light limitation could be a prominent source of NPP predictability. Peaks in SIE predictability are accompanied, or slightly preceded, by peaks in MLD predictability (in all except the West Pacific and Ross sectors) consistent with the link between SIE predictability and the upward mixing of subsurface heat (Bushuk et al., 2021). In the A/B and, to a lesser extent, Indian sectors, the timing of high surface iron pre-

dictability — which follows that of the MLD and precedes that of NPP — indicates that alleviation of nutrient limitation could be an important source of NPP predictability in that area. While wintertime iron predictability is high in other areas (specifically the Weddell and Ross sectors), its alignment with spring bloom NPP predictability is less clear. In the following, we highlight the potential role played by SIE and surface irradiance as a source of NPP predictability, and revisit the role of iron in the discussion. The role of temperature in mediating NPP and its predictability is addressed in Supporting Text S1.

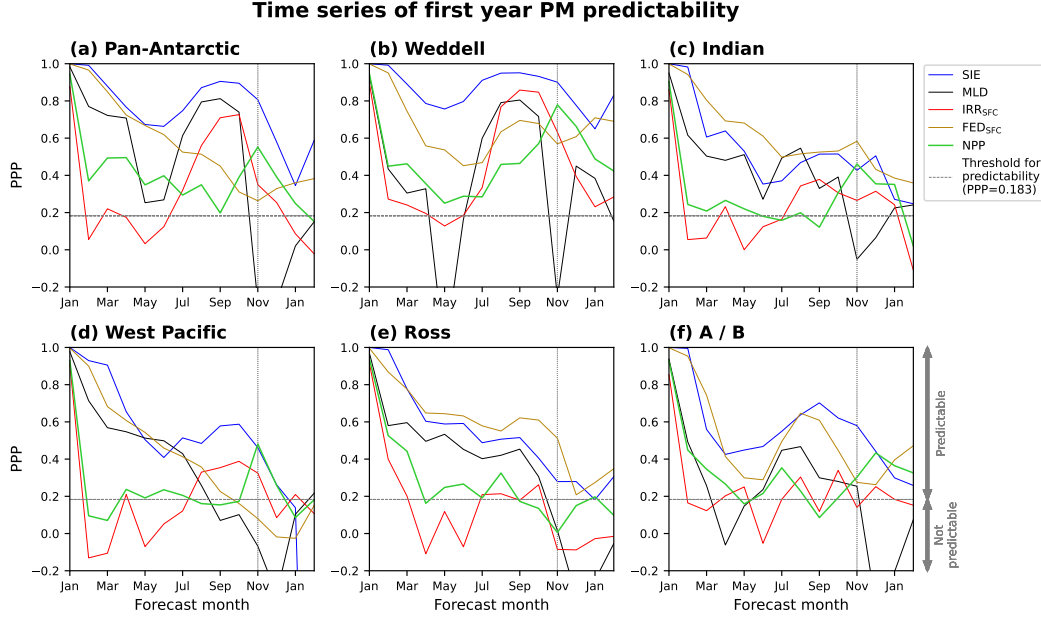


Figure 2. Regional predictability of sea ice extent (SIE), mixed layer depth (MLD), surface irradiance (IRR_{SFC}), surface dissolved iron (FED_{SFC}), and net primary production (NPP) determined by the PPP metric. The dotted vertical line marks November in the first forecast year. PPP values above 0.183 (horizontal dashed line) are significant at a 95% confidence level based on an F -test. PPP values above the significance threshold indicate that anomalies of the given variable are predictable with the ESM2M model given perfect initial conditions.

In Fig. 3, we arrange key spring bloom drivers and NPP according to the timing of their respective peaks in predictability. We also add the PM predictability of surface chlorophyll a (Chl a) concentration and surface biomass since these metrics can be estimated using satellite (Behrenfeld et al., 2017) and biogeochemical float (Arteaga et al., 2020) data, and could be integrated into operational forecasts informed by these PM predictability results. Aside from the Ross sector, all regions exhibit a diagonal structure in their predictability peaks in Fig. 3, suggesting a progression of predictability starting with SIE and MLD, followed by surface irradiance, and finally NPP. The pan-Antarctic (Fig. 3a), Weddell (Fig. 3b), and Indian (Fig. 3c) sectors have the most defined progression of predictability with a two to three months lag between maximum SIE and NPP predictability. In these regions, we also see maximum predictability for Chl a and surface biomass lagging the November peak in NPP predictability by one to three months. The West Pacific (Fig. 3d) and Amundsen/Bellingshausen (Fig. 3f) sectors display a less defined diagonal structure but still exhibit a two to three months lag between maximum SIE and NPP predictability. An equivalent perspective for the progression of predictability from MLD, to surface iron, to NPP (Supporting Fig. S5) shows that while it may

be present in some sectors (specifically A/B, Weddell, and Indian), it is notably absent in others, and for the Pan-Antarctic. In either case, these results support the interpretation that the spring bloom mechanism (Fig. 3g, further discussed below) causes the elevated predictability of spring NPP in the model simulations.

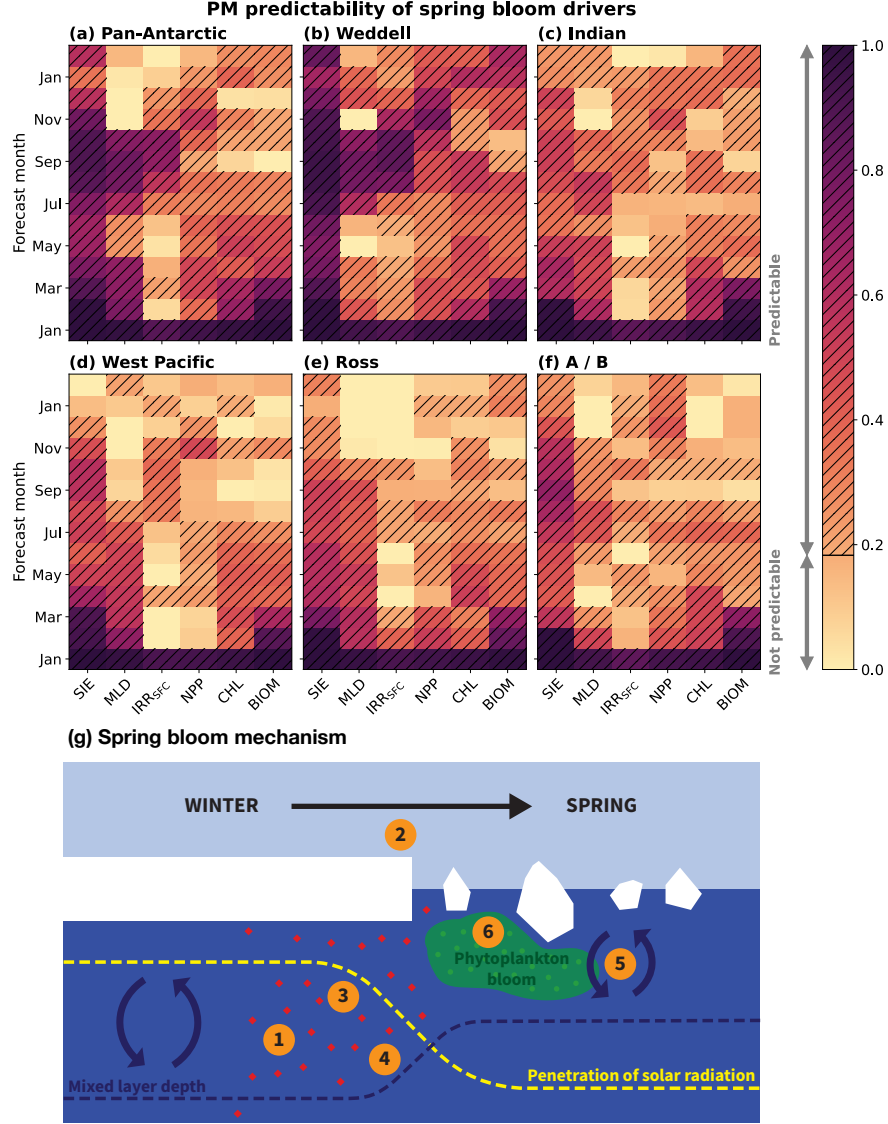


Figure 3. (a-f) Regional predictability of SIE, MLD, surface irradiance, NPP, Chl *a*, and surface biomass given by the PPP metric computed from a suite of PM experiments. Here, we display the first year of forecast time and arrange the variables on the x-axis following what we expect from the climatological spring bloom mechanism. (g) The mechanism of the climatological spring phytoplankton bloom. 1) Accumulation of nutrients in mixed layer during winter. 2) Sea ice melts and retreats. 3) Ocean surface receives more solar radiation, penetrates deeper into the water column. 4) The MLD shoals due to an influx of fresh melt water and greater solar radiation. 5) The shallow MLD traps phytoplankton and nutrients near the surface where light is abundant. 6) Phytoplankton grows intensely in the favorable conditions, forming the spring bloom.

To further examine the spring bloom and the relationship between its drivers and NPP, we perform a correlation analysis of SIE and surface irradiance anomalies preceding NPP anomalies up to 13 months in advance using the 300-year preindustrial control simulation (Fig. 4). The colormap reveals the correlation of NPP in each target month (displayed along the x-axis) with SIE (top) and IRR_{SFC} (bottom; positive downwards) for each lead time (displayed along the y-axis). For example, the value at target month November and lead three months provides the correlation between November NPP and SIE/ IRR_{SFC} in the previous August. Correlation values for NPP target months outside September to March should be viewed cautiously due to the low absolute magnitude and variance of NPP during those months. Consistent with our proposed spring bloom predictability mechanism (Fig. 3g), we find a strong inverse relationship between NPP and earlier SIE in all sectors, which means anomalously low SIE leads to anomalously high NPP, and vice versa. The relationship is strongest in the Weddell sector (Fig. 4a) where November NPP anomalies have high correlation ($r < -0.75$) with SIE anomalies up to five lead months. The correlation is lower in the other sectors, but these sectors also exhibit statistically significant negative correlation of November NPP anomalies with earlier SIE anomalies up to five lead months. In all sectors aside from the Ross Sea, we also find significant correlation between November NPP anomalies and SIE anomalies from the previous year, corresponding to a winter-to-winter reemergence of SIE anomalies. When examining surface irradiance as a predictor of NPP, we find a strong direct relationship in all sectors, consistent with the expectation that increased light availability drives enhanced NPP. The positive IRR correlations with November NPP anomalies are significant at shorter lead times than the SIE correlations anomalies, but significant correlation is maintained up to four months lead, as well as lead time beyond one year in all regions except for the Ross Sea. This analysis suggests that if late winter and early spring SIE and surface irradiance can be skillfully predicted, they should provide associated predictability for spring NPP, supporting the proposed predictability mechanism shown in Fig. 3. The same correlation analysis was carried out for surface iron (Supporting Fig. S6). Spring and summertime NPP is positively correlated with the previous winter's surface iron concentrations in most sectors, but with correlation coefficients somewhat lower than that of surface irradiance and SIE, particularly for a target month of November, the month of maximum NPP predictability.

4 Discussion and Conclusions

Given the significant influence of NPP variations on marine ecosystems and emerging capabilities in biogeochemical modelling and data assimilation, there have been multiple recent studies assessing the predictability of NPP using ESMs on interannual time scales (e.g., Frölicher et al., 2020; Séférian et al., 2014; Park et al., 2019; Taboada et al., 2019; Chikamoto et al., 2015; Brune et al., 2022; Krumhardt et al., 2020). However, the Southern Ocean seasonal ice zone, which differs from other regions due to the seasonal advance and retreat of sea ice and associated drastic changes in the environmental conditions, has received little attention so far. Here, we use a suite of PM experiments performed with the GFDL-ESM2M model to assess the predictability of NPP and then examine how variations in sea ice retreat influence the predictability of NPP. We find that NPP is predictable seven to ten years in advance in all regions except the Ross sector (Fig. 1). NPP predictability tends to peak in November (eleven months from the January first initialization date), suggesting that skillful predictions of NPP on seasonal to interannual time scales could be possible given accurate initial conditions. Moreover, since SIE provides the dominant source of spring NPP predictability and recent studies have shown skillful operational seasonal predictions of Antarctic SIE (e.g., Morioka et al., 2019; Bushuk et al., 2021), skillful NPP predictions may be practically within reach.

In a Pan-Antarctic sense, and across most sectors, the progression of predictability from SIE and MLD, to surface irradiance, and to NPP with a two to three months

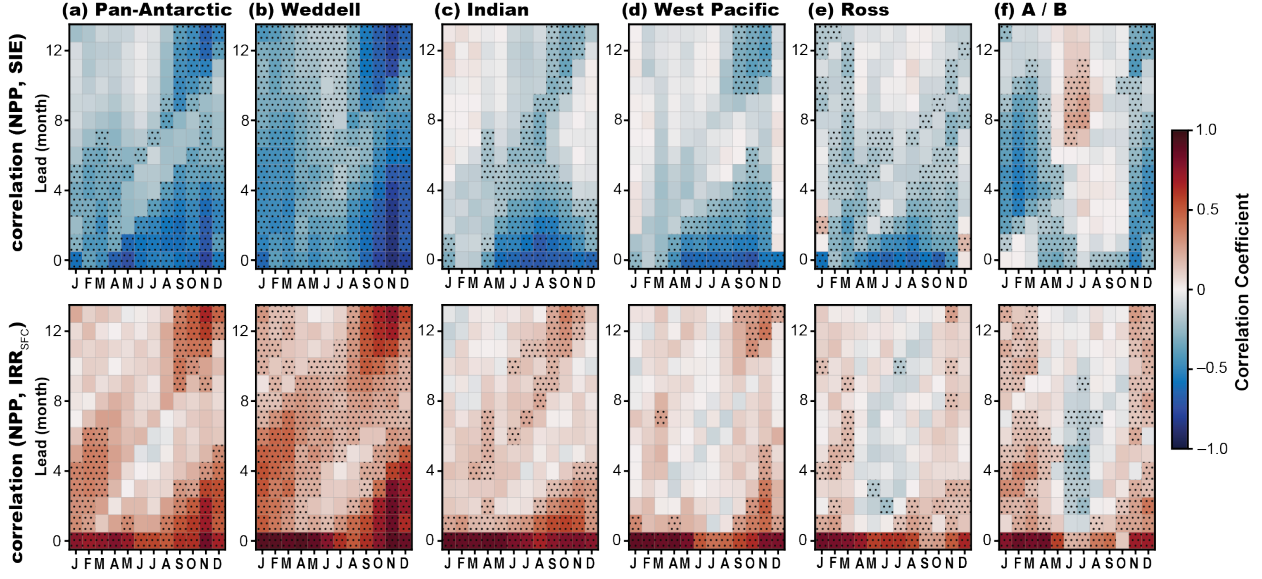


Figure 4. In the upper row, the Pearson correlation coefficient of net primary production (NPP) anomalies at target months January through December and sea ice extent (SIE) anomalies at 0-13 lead months in the (a) pan-Antarctic, (b) Weddell, (c) Indian, (d) West Pacific, (e) Ross, and (f) Amundsen/Bellingshausen sectors. In the lower row, the Pearson correlation coefficient of NPP anomalies at the same target months and surface irradiance (SFC_IRR) anomalies at the same lead months. Correlation values are computed from the 300-year preindustrial control simulation. The dotting indicates Pearson correlation coefficient values significant at the 95% confidence level according to a *t*-test accounting for autocorrelation.

lag (Fig. 2 and 3a-f) supports our hypothesis that the spring bloom mechanism — relating the seasonal growth and melt of sea ice to both nutrient and light availability (Fig. 3g) — exerts control over the inherent predictability time scales of NPP and other spring bloom quantities. The correlation analysis (Fig. 4) shows a strong relationship between springtime NPP anomalies and earlier SIE and surface irradiance anomalies, supporting the PM predictability results. The sequence of these relationships aligns with what we causally expect given the spring bloom mechanism. Negative correlation between NPP and earlier SIE is expected since greater SIE inhibits phytoplankton growth by limiting light. Positive correlation between surface irradiance and NPP also agrees with the spring bloom mechanism since greater surface irradiance increases light availability, which promotes phytoplankton growth.

Nutrient availability could also play an important role in the predictability of NPP in some regions. The PM and correlation analyses (Fig. 2, and Supporting Fig. S5 and S6) indicate that predictability of wintertime nutrient concentrations are important for springtime NPP predictability in the A/B sector, and could play a role in the Weddell and Indian sectors. As prior work has indicated (Krumhardt et al., 2020), the major source of predictability is likely to come from whichever factor (light or nutrients) is most commonly limiting growth during the month of the spring bloom. While the model diagnostics necessary to assess this comprehensively are not available, the model's climatological seasonal cycle indicates that surface iron concentrations are not exhausted until January or February, supporting the possibility that November-time growth is not iron limited (Supporting Fig. S2). Further work, including assessing nutrient and light limitation within a PM framework, is required to fully assess the relative impact of these drivers

on NPP. The balance of these mechanisms has significant ramifications for the translation of “potential predictability” into real world prediction skill, since observational constraints for sea-ice extent and MLD are notably more abundant than those for nutrients.

There are clear regional differences in the predictability of NPP and other spring bloom quantities. The Weddell sector is consistently more predictable than all other regions, while the Ross sector is consistently the least predictable. The low predictability of NPP in the Ross sector is accompanied by low predictability in sea-ice (Fig. 2). The anomalously low sea ice predictability of the Ross Sea has also been identified in earlier work on seasonal predictions with other GFDL models (Bushuk et al., 2021), PM experiments performed with CCSM3 (Holland et al., 2013), and multi-model predictions submitted to SIPN-South (Massonnet et al., 2020). (Bushuk et al., 2021) speculated that the low Ross Sea sea ice predictability could be related to the strong meridional ice drift in this region, which implies that sea ice dynamics have a larger influence on the Ross sea ice edge position compared to other Antarctic regions. Since these ice dynamics are largely driven by unpredictable winds, this potentially makes the sea ice edge more difficult to predict in this region. The spring bloom mechanism described above suggests that the inherent challenges in predicting Ross sea ice may translate to inherently low predictability of Ross NPP. However, the robustness of low Ross Sea predictability is still quite uncertain, as the multi-model PM study of Marchi et al. (2019) shows that there is substantial model diversity in Ross sea ice predictability, with some models exhibiting high predictability in this region.

While our PM framework allows us to examine the predictability of key variables in the GFDL-ESM2M model, it does have limitations. First, the ensemble members were initialized on a single date (January 1st) instead of choosing initialization dates throughout the year. The prediction skill of sea ice, for example, is highly dependent on the initialization date of the dynamical prediction system (Bonan et al., 2019; Bushuk et al., 2021), which suggests that expanding our initialization dates could lead to different seasonal patterns of NPP predictability. Additionally, like many global models, GFDL-ESM2M exhibits multi-decadal variability in the subpolar Southern Ocean. In Supporting Text S2, we show that our results are not sensitive to the timing of initialization with respect to the phase of this variability. Second, our suite of PM experiments only uses a single model. While previous studies have shown that the GFDL-ESM2M model captures natural variability and large-scale biogeochemical processes reasonably well (Dunne et al., 2012, 2013), there are unique features of the model that deviate from the real world and require us to interpret our results carefully. For example, it is questionable to what extent current models are able to accurately capture the exact timing of the phytoplankton bloom in the Southern Ocean. While observations suggest that biomass starts increasing under sea ice prior to its retreat, peak biomass accumulation is expected in November (Arteaga et al., 2020; Llort et al., 2015), which is consistent with the month of peak predictability in our experiments. Additionally, the biogeochemical model in ESM2M (TOPAZv2) lacks an explicit representation of zooplankton (Dunne et al., 2013), with phytoplankton loss via grazing represented as a function of phytoplankton abundance and temperature. Consequently, top-down controls, which could play an important role in the evolution of the spring bloom in the Southern Ocean (Rohr et al., 2017), are not fully represented.

In summary, we have assessed the predictability of NPP in the GFDL-ESM2M model using a suite of PM experiments. Given the important role of sea ice retreat in the spring bloom mechanism and recent work indicating that sea ice is predictable on seasonal-to-interannual time scales, we hypothesized that NPP and quantities relevant to the spring bloom should be predictable on similar time scales. Supporting our hypothesis, we find that November NPP is potentially predictable in all regions except the Ross sector for seven to ten years in advance, with highest predictability in the Weddell sector. By examining the timing of the peak in predictability across quantities relevant to the spring

bloom, we find a temporal progression of maximum predictability from SIE and MLD, to surface irradiance, and to NPP with a two to three months lag, aligning with the climatological spring bloom mechanism. Lead-time correlations of SIE predicting NPP and surface irradiance predicting NPP further support the progression of predictability. While the robustness of these results still must be corroborated with other ESMs, the existence of NPP predictability and the progression of predictability from SIE suggests that if we can initialize a model accurately and skillfully predict SIE, then prediction skill should exist for November NPP, potentially extending years in advance. Such skillful NPP predictions would be critical for predicting ecosystem changes and the biomass of living marine organisms, guiding fishery management, and informing marine conservation.

5 Open Research

Data and Jupyter notebooks to reproduce the figures in this manuscript are available on Zenodo (Buchovecky et al., 2023, <https://doi.org/10.5281/zenodo.8003803>).

Acknowledgments

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