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3 **A New GFSv15 based Climate Model Large Ensemble and Its Application to**
4 **Understanding Climate Variability, and Predictability**

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22 **Abstract**

23 NOAA Climate Prediction Center (CPC) has generated a 100-member ensemble of Atmospheric
24 Model Intercomparison Project (AMIP) simulations from 1979 to present using the GFSv15 with
25 FV3 dynamical core. The intent of this study is to document a development in an infrastructure
26 capability with a focus to demonstrate the quality of these new simulations is on par with the
27 previous GFSv2 AMIP simulations. These simulations are part of CPC’s efforts to attribute
28 observed seasonal climate variability to SST forcings and get updated once a month by available
29 observed SST.

30 The performance of these simulations in replicating observed climate variability and trends,
31 together with an assessment of climate predictability and the attribution of some climate events is
32 documented. A particular focus of the analysis is on the US climate trend, Northern Hemisphere
33 winter height variability, US climate response to three strong El Niño events, the analysis of signal
34 to noise ratio (SNR), the anomaly correlation for seasonal climate anomalies, and the South Asian
35 flooding of 2022 summer, and thereby samples wide aspects that are important for attributing
36 climate variability. Results indicate that the new model can realistically reproduce observed
37 climate variability and trends as well as extreme events, better capturing the US climate response
38 to extreme El Niño events and the 2022 summer South Asian record-breaking flooding than GFSv2.
39 The new model also shows an improvement in the wintertime simulation skill of US surface
40 climate, mainly confined in the Northern and Southeastern US for precipitation and in the east for
41 temperature.

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Key points

- A large AMIP ensemble based on NOAA’s GFSv15 with FV3 dynamical core is created to support attribution of observed climate anomalies at CPC.
- The new simulations can replicate the observed climate variability and trends as well as extreme seasonal events.
- There are some improvements in simulating the extreme events in the new model compared to the older version.

Plain Language Summary

To correctly account for extreme weather and climate events such as heatwaves, floods and droughts that have devastating effects on the US economy and human lives, climate model experiments have become a key tool to disentangle numerous responsible factors. A recent development of an updated modeling framework at the National Centers for Environmental Prediction (NCEP) to support the attribution of observed seasonal anomalies is reported in this study. We have generated a 100-member ensemble of simulations in which each member has identical SST forcing but differs only by the initial atmospheric condition. These simulations are updated once a month when the observed SST data becomes available. We use the ensemble mean of these simulations to describe the responses to SST (referred to as the potentially predictable component of observed anomalies) and use the departure of individual members from the ensemble mean to assess the unpredictable component in the atmospheric variability. We document the performance of these simulations in replicating the observed climate variability, trends and extreme events, and find that the new model can realistically reproduce the observed key features and has a better simulation of extreme seasonal events compared to the previous version.

67 **1. Introduction**

68 Needs for understanding climate variability and predictability, understanding of long-lasting
69 climate anomalies, and reasons for success and failures for long-range predictions, can be well
70 served by ensembles of AMIP-style simulations, that is, atmosphere-only simulations that are
71 constrained by the evolution of realistic SSTs and sea ice (Gates et al. 1998). The AMIP approach
72 allows for the isolation of the atmospheric sensitivity to observed and specified evolution of SSTs,
73 though it cannot explain the origin for the SSTs themselves. The ensemble mean of AMIP
74 simulations documents the response to SSTs, often referred to as the potentially predictable (or
75 attributable) component of the observed anomalies, or potential for predictions well beyond the
76 limits of when initial atmosphere conditions constrain weather. The contribution of the
77 unpredictable component in the atmospheric variability can also be assessed from the analysis of
78 the departure of individual AMIP model simulations from the ensemble mean anomalies. In
79 addition, the analysis of individual simulations can evaluate the role of noise in the level of
80 discrepancy of the observed anomalies from the predictable (attributable) component because of
81 the correspondence between observed anomalies and a realization of a single model run (Kumar
82 et al. 2013).

83
84 AMIP simulations are well suited to understand causes for extreme weather and climate events
85 including floods, droughts, and heat waves that are known to have devastating effects on human
86 lives and the economy of the United States (Changnon 1999; Seager et al. 2015; NOAA 2017;
87 Philip et al. 2021). For example, southern states and California were plagued by storms attributed
88 to El Niño 1997-98. In addition to the losses of 189 lives, the estimated economic losses nationally
89 were about \$4 billion (Changnon 1999). The California drought of 2014 cost California \$2.2

90 billion in damages and 17000 agricultural jobs (Howitt et al. 2014; Seager et al. 2015). The recent
91 heatwave of June 2021, whose temperature records were historically highest in some cities in the
92 Pacific northwest of the U.S. and Canada, caused a sharp increase in sudden deaths and hospital
93 visitations for heat-related illnesses and emergencies (Philip et al. 2021). The key for predicting
94 these events depends strongly on understanding their causal relationship with external drivers (an
95 exercise often referred to as attribution), for example, slowly evolving SST anomalies, decadal
96 variability, and long-term trends. However, as causal relationships seldom explain a large fraction
97 of total variability and are superimposed on the internal variability (e.g., Kumar et al. 2013; Zhang
98 et al. 2018), observations, due to their limited sample, alone are inadequate to fully establish such
99 relationships, particularly on an individual event basis. For this purpose, climate model
100 experiments, for example, the aforementioned AMIP simulations, have become an indispensable
101 tool to disentangle the various factors accounting for extreme weather and climate variability on
102 different time scales (Murray et al. 2020; Barsugli et al. 2022). In this paper, a recent development
103 of such a modeling framework at NCEP in support of the attribution of observed climate anomalies
104 is reported.

105
106 Climate attribution is a scientific process for establishing the principal causes or physical
107 explanation for observed climate conditions and phenomena. To date, the attribution efforts at CPC
108 have relied on the current operational seasonal prediction system - the Climate Forecast System
109 v2 (CFSv2) (<https://www.cpc.ncep.noaa.gov/products/people/mchen/AttributionAnalysis/>). To
110 provide a historical perspective, the first Climate Forecast System (CFS), called CFSv1, was
111 implemented into operations at the NCEP in August 2004 and was the first fully coupled
112 atmosphere–ocean–land model used at NCEP for seasonal prediction ([Saha et al. 2006](#)).

113 Subsequently, the CFSv2 was made operational in March 2011 (Saha et al. 2014), with upgrades
114 to all aspects of the data assimilation and forecast model components. CFSv2 generates a set of 9-
115 month retrospective forecasts with forecasts initialized using the analysis from the corresponding
116 Climate Forecast System Reanalysis (CFSR) (Saha et al. 2014).

117

118 In addition to the initialized CFSv2 forecasts, at CPC a large ensemble of AMIP simulations based
119 on GFSv2, the atmospheric component of the CFSv2, updated in real-time, has also been
120 maintained to attribute causes for the observed real-time seasonal climate anomalies by identifying
121 the impacts of anomalous boundary forcing (particularly due to SSTs). The ensemble of AMIP
122 simulations with GFSv2 has been used to diagnose the forced response to observed SSTs, such as
123 the forced atmospheric teleconnections during 1979-2014 (Hartmann 2015; Zhang et al. 2016), the
124 causality of California rains (Seager et al. 2015; Zhang et al. 2018), and US surface climate
125 response associated with El Niño flavors (Zhang et al. 2020).

126

127 Despite continued improvements in spatial resolution, energy conservation, and computational
128 efficiency, the hydrostatic spectral dynamical core of the NCEP Global Forecast System (GFS)
129 [Global Spectral Model (GSM)] has not been upgraded since the 1980s. In 2016, the Finite-
130 Volume Cubed-Sphere Dynamical Core (FV3), developed at the NOAA/Geophysical Fluid
131 Dynamics Laboratory (GFDL), was selected as the dynamical core of NOAA Next Generation
132 Global Prediction System (NGGPS) project as an upgrade for the GSM. The advantage of FV3
133 includes its high efficiency and scalability, run-time switchable nonhydrostatic solver allowing for
134 convective-scale simulation, exact mass and approximate energy conservation, skillful forecasts

135 and adaptability to the present GFS physics and data assimilation system and its robust kinetic
136 energy spectrum (Zhou et al. 2019).

137

138 In recent years, a new global model coupling the FV3 with GFS physical parameterizations, called
139 the finite-volume Global Forecast System, or FV3/GFS (Zhou et al. 2019), has been developed.

140 The FV3 GFS was implemented into the operational Global Forecast System as version 15
141 (GFSv15) in 2019 (<https://www.emc.ncep.noaa.gov/users/meg/fv3gfs/>).

142

143 To continue supporting requirements for the attribution of seasonal climate anomalies and to assess
144 the reasons for the success and failures of operational seasonal forecasts, CPC also upgraded AMIP
145 simulations from the GSM based atmospheric model to one based on FV3GFS. As part of this
146 effort, a large 100-member ensemble of AMIP simulations from 1979 to present using the GFSv15
147 with FV3 dynamical core has been generated.

148 The goal of present analysis is to introduce this data set that can be used for understanding various
149 aspects of climate variability, document the performance of these simulations in replicating
150 observed climate variability and trends, development in an infrastructure capability by comparing
151 the quality of FV3 GFS model simulations with those of GFSv2, and give some examples of the
152 assessment of climate predictability and attribution of some climate events. The focus of this study
153 is on the evaluation of the performance of FV3 GFS AMIP simulations relative to GFSv2 in
154 replicating observed climate variability and trends for the period of 1979-2021.

155

156 This paper is organized as follows: We introduce the observational and model datasets as well as
157 analysis methods in section 2. Section 3 first presents an assessment of the climatology in the

158 model, and then the simulation of observed trend and northern hemisphere winter height variability.
159 Finally, the simulation of US climate response to ENSO, the assessment of climate predictability
160 and simulation of extreme seasonal events are also presented. Conclusions and discussions are
161 given in section 4.

162 **2. Datasets and methods**

163 *a. Observed and model data*

164

165 The characteristics of observed estimates for land surface climate conditions are based on analysis
166 of the Global Historical Climatology Network/Climate Anomaly Monitoring System
167 (GHCN/CAMS) 2-meter temperature (T2m) (Fan and van den Dool 2008) and gauge-based
168 gridded monthly Global Precipitation Climatology Centre (GPCC) data sets (Schneider et al. 2014),
169 available at 1°-by-1° resolution. Same as the data used in Zhang et al. (2006), observed estimates
170 of the upper-level circulation pattern are based on 200-hPa geopotential height fields using the
171 National Centers for Environmental Prediction –National Center for Atmospheric Research
172 reanalysis (Kalnay et al. 1996). To explore the possible tropical drivers for land surface climate
173 conditions and upper-level circulation patterns, we also analyzed global teleconnection associated
174 with the tropical SST and precipitation variability. The observed SST data, on a 1°-by-1° grid, are
175 from the Hurrell data set (Hurrell et al. 2008), which is a combined version of the Hadley Centre's
176 SST version 1.1 (HADISST1) and the NOAA Optimal Interpolation (OI) SST version 2 (OISSTv2)
177 from November 1981 onward. Global precipitation fields are from the CPC Merged Analysis of
178 Precipitation (CMAP; Xie and Arkin 1997) and are available at 2.5°-by-2.5° resolution.

179

180 We utilize an atmospheric model simulation [also referred to as AMIP experiments] based on
181 NOAA’s GFSv15 model with the Finite-Volume (FV3) dynamical core (Putman and Lin, 2007)
182 on a cubed-sphere grid (<https://www.emc.ncep.noaa.gov/users/meg/fv3gfs/>). The GFSv15 uses the
183 Rapid Radiative Transfer Method for General Circulation Models (RRTMG) scheme for
184 shortwave and longwave radiation (Iacono et al. 2008), hybrid eddy-diffusivity mass flux
185 turbulence scheme (Han et al. 2016), GFDL microphysics (Zhou et al. 2019), and scale-aware
186 mass flux convection scheme (Han and Pan 2011). The GFSv15 physics also includes Noah land
187 surface model and a revised bare-soil evaporation scheme. A three-layer thermodynamic sea ice
188 model (Winton 2000) has been coupled to the GFSv15 and it predicts sea ice thickness. Detailed
189 description of parameterization schemes, with associated references, can be found at
190 https://dtcenter.ucar.edu/GMTB/v3.0/sci_doc/GFS_v15_page.html.

191
192 A version of this atmospheric model is currently the operational global weather prediction system
193 at NCEP. The FV3 GFS model used in our simulations is run at C96 horizontal resolution with 64
194 vertical levels and forced with specified observed monthly varying SSTs, sea ice (Hurrell et al.
195 2008), and carbon dioxide concentrations from the World Data Centre for Greenhouse Gases
196 (WDCGG) operated by the Japan Meteorological Agency (JMA) for 1979–2021. Climatological
197 values are specified for other greenhouse gases, aerosols, solar, and volcanic aerosols. A 100-
198 member ensemble of AMIP simulations is maintained at NOAA’s CPC. Each member in the
199 ensemble has identical external forcing but differs only by its initial atmospheric condition. The
200 forced response to external forcings is derived from the statistics of 100-member simulations, e.g.,
201 ensemble average.

202

203 To assess the robustness of key features in replicating observed climate variability and trends by
204 FV3 GFS, we also diagnose the AMIP simulations from a 30-member ensemble of the GFSv2
205 model that spans the same period. As the atmospheric component of the NCEP CFSv2 (Saha et al.
206 2014), the GFSv2 model is the previous version of CPC AMIP simulations and is run at spectral
207 T126 horizontal resolution with 64 vertical levels.

208

209 *b. Methods*

210 In the present study, we follow the methodology of Zhang et al. (2016) to obtain the observed
211 leading structures of the Northern Hemisphere (NH) wintertime circulation variability by applying
212 empirical orthogonal function (EOF) analysis to DJF seasonally averaged 200-hPa heights for the
213 42 years of data during 1979-2021 period. The EOF analysis is based on the covariance matrix for
214 20°N-90°N latitude band and the EOF patterns are presented as regressions against the principal
215 component (PC) time series. Note that unrotated EOFs utilized here are constructed to be both
216 spatially and temporally uncorrelated with each other.

217

218 Leading EOF modes of observational variability have contributions both from the atmospheric
219 internal and forced variability. We complement this analysis with the EOF mode analysis of
220 ensemble mean AMIP data to isolate the forced signals. We then provide a comparison of the first
221 three leading modes of variability of the observed and FV3 GFS simulated DJF 200-hPa
222 geopotential heights from the individual members of AMIP simulations, which demonstrates that
223 the model can well capture the observed three leading modes of interannual variability.

224

225 Based on a 100-member ensemble of FV3 GFS AMIP simulations, probability density function
226 (PDF) is analyzed to reveal the statistics of US climate trends by examining the frequency
227 distributions of surface climate conditions over two different periods. We also plot the PDF of
228 California rainfall from the large ensemble of FV3 GFS to explore the possible cause of observed
229 failed California rains during the strong 2016 El Niño winter.

230

231 Finally, climate predictability in our analysis is further assessed by examining the signal-to-noise
232 ratio (SNR) which quantifies predictable (signal) and unpredictable (noise) components. The
233 signal component in the SNR is the variance of ensemble mean while the noise component is the
234 variance of departure in the individual members from the ensemble mean (Kumar and Hoerling
235 1995). Higher SNR values indicate larger predictability. The anomaly correlation (AC), defined
236 as the correlation of anomalies between AMIP ensemble means and observations, is calculated to
237 complement SNR analysis. It is expected that larger SNR would correspond to larger AC (Kumar
238 and Hoerling 2000). Anomalies are computed relative to a 1991-2020 reference period for AMIP
239 simulations and observations.

240

241 **3. Results**

242 a. Assessment of the climatology

243 Instead of a direct comparison of the climatology between model and observations, we focus on
244 the assessment of the seasonal cycle of climatology because observed estimates of quantities like
245 surface air temperature and rainfall can be problematic (Fan and van den Dool 2008; Xie and Arkin
246 1997).

247

248 Figure 1 shows the difference in climatology between JJA and DJF (JJA minus DJF) for
249 observations (left panel) and FV3 GFS AMIP ensemble mean (right panel). The largest difference
250 in eddy (zonal mean removed) 200-hPa height is in the Northern Hemisphere (NH) middle latitude.
251 The observed positive centers over the Asia and North American Continent and negative centers
252 over the North Pacific and North Atlantic are well captured in the model, with a high pattern
253 correlation of 0.94.

254

255 Observed precipitation difference shows that there is increased precipitation in the north of the
256 equator and decreased precipitation in the south of the equator. This feature is realistically
257 reproduced in the model. Surface air temperature difference pattern is also similar between model
258 and observation, with warming in the northern hemisphere land and cooling in the southern
259 hemisphere land. The pattern correlation is 0.98.

260

261 The global mean values of the differences in climatology between summer and winter for eddy
262 200-hPa, precipitation and surface air temperature are also comparable in the model and
263 observations (see the first value in the titles of maps). The results suggest that FV3 GFS can
264 realistically capture the observed seasonal cycle of climatology. Table 1 lists the global mean
265 values of the difference in climatology (JJA minus DJF) for GFSv2 and FV3 GFS and the
266 respective global pattern correlations with observations. For eddy 200-hPa, the global mean values
267 of climatology differences in two models and the pattern correlations with observations are
268 comparable. Compared to GFSv2, the pattern correlation with observations for precipitation and
269 the global mean value for surface air temperature are improved in FV3 GFS model to some extent.

270

271 We further use Taylor diagram (Taylor 2001) to provide a summary of the relative skill with which
272 two models simulate the spatial pattern of annual mean precipitation and surface air temperature
273 over different regions (Figure 2). Two models generally demonstrated a similar ability to simulate
274 the annual mean surface air temperature and precipitation, featuring the largest pattern correlation
275 (greater than 0.98) and the lowest normalized root-mean-square (RMS) error (less than 0.2) for
276 global temperature, and the smallest pattern correlation (about 0.81) and largest RMS error (about
277 0.65) for tropical precipitation. The standard deviation of global and tropical precipitation is
278 somewhat overestimated, and the standard deviation of tropical temperature is slightly
279 underestimated in the models. Over the contiguous US, the pattern correlations for both
280 temperature and precipitation are greater than 0.90 in two models, while the standard deviations
281 of these two fields are closer to observations in FV3 GFS relative to GFSv2. The simulation of
282 observed trends and climate variability are discussed in the following sections.

283

284 b. Simulation of observed trends

285 Human activities, especially emissions of greenhouse gases, are extremely likely to be the
286 dominant cause of the observed warming trends of global land temperature since the mid-20th
287 century (Wuebbles et al. 2017). A large fraction of these changes is communicated to the
288 atmosphere via the indirect influence of trends in SSTs (Hoerling et al. 2006; Compo and
289 Sardeshmukh 2009; Fahad and Burls 2022). Because the oceans also continuously interact with
290 the atmosphere, SSTs can have considerable effects on global climate variability on different time
291 scales. In addition to the effect of warming oceans on continental temperature trends, increases in
292 SST have also led to an increase in the amount of atmospheric water vapor over the oceans (Yang
293 and Tung 1998). The increased water vapor can enhance the amplitude of climate feedback in

294 response to anthropogenic activities through positive feedback (Held and Soden 2000; Soden et al.
295 2005).

296

297 Because trends contribute to seasonal anomalies especially for temperature-related variables,
298 attribution analysis includes the influence of anthropogenic forcings (either through their direct
299 influence via the radiative forcing or indirect influence via changes in SST that are specified in the
300 AMIP simulations). We therefore document the ability of the AMIP runs to simulate observed
301 trends, particularly in temperature where the influence is most prominent.

302

303 Figure 3 shows the time series of DJF (top) and JJA (bottom) surface air temperature anomalies
304 averaged over global land for 1979-2021. The red line indicates observations, and the blue line
305 and black line show the ensemble means of GFSv2 and FV3 GFS AMIP runs, respectively. To
306 compare observations against the individual runs, and to see if the observed variability is within
307 the envelope of model solutions, the time-series of land temperature in the 100 individual runs
308 from FV3 GFS are also shown (gray lines). It is clear that observations have an upward trend of
309 about 1° C since 1979 for both winter and summer. The FV3 GFS model ensemble mean agrees
310 well with the observed trends. In most cases, the observed value is within the envelope of ensemble
311 spread (gray lines) (that, as expected, has larger variability during winter compared to summer).
312 The previous version GFSv2 ensemble mean has a similar temporal correlation with observed
313 trend as FV3 GFS for winter, but the correlation is somewhat smaller in GFSv2 for summer.

314

315 To explore whether FV3 GFS model can capture the observed trends, Figure 4 shows the frequency
316 distributions [also called probability density functions (PDF)] of wintertime surface air

317 temperature (top left) and precipitation (top right) from AMIP runs for the first 5-yr period (blue
318 curve) and last 5-yr period (red curve) of the simulations over the contiguous United States. These
319 two curves, which are significantly different according to the Kolmogorov-Smirnov test, are based
320 on 1500 (100 members multiply by 15 months for 5-yr period) model samples. Short tick marks
321 across the bottom indicate 15 observed values during the corresponding 5-yr period.

322
323 For the two periods the observed values are located within the spread of model samples for both
324 wintertime temperature and precipitation. A feature to note is that the red curve is shifted toward
325 warmer and drier conditions compared to the blue curve. This indicates that the latter period is
326 warmer and drier than the earlier period for spatial average over the contiguous US. In other words,
327 there is a US warming and drying trend during the winter (Weaver et al. 2014).

328
329 The results for summer shown in Figure 4 bottom are similar to those for winter, and two curves
330 are also significantly different through the Kolmogorov-Smirnov test, confirming a US warming
331 and drying trend during the summer as well. Also, as expected, the variability is smaller in summer
332 compared to winter, which is a common feature both in the model and the observation. The US
333 warming trend is also found for both DJF and JJA seasons based on GFSv2 AMIP 30-member
334 ensemble. However, there is no consensus on the precipitation trend for these two seasons in
335 GFSv2 (Fig. S1 in the supplementary material).

336
337 c. Simulation of Northern Hemisphere wintertime height variability
338 The long-lasting climate anomalies are usually related to the leading modes of climate variability
339 (e.g., Hartmann 2015; Zhang et al. 2016). Atmospheric teleconnections associated with ENSO are

340 known to be the underpinnings for North American seasonal climate predictability (Horel and
341 Wallace 1981; Trenberth et al. 1998). Further, understanding the atmospheric response patterns
342 beyond the canonical response to ENSO is also an outstanding problem in quantifying the sources
343 of predictability and attribution of climate variations, and further, may result in improvements in
344 our understanding of seasonal predictability (Hoerling and Kumar 2002; Barnston et al. 2005;
345 Kumar et al. 2005; Zhang et al. 2016). It is thus important to assess the capability of FV3 GFS in
346 reproducing the leading modes of climate variability.

347
348 Figure 5 shows wintertime (DJF) 200-hPa height structures based on the leading three EOFs of
349 the reanalysis data, which explain a combined 56.6% of the height variability poleward of 20°N.
350 Contours in the left panels and shaded values in the right panels are the observed 200-hPa heights
351 and SSTs regressed against each eigenvector's PC time series shown in the middle panels for 1979-
352 2021, respectively.

353
354 The structure of the first leading mode of the observed variability consists of positive height
355 anomalies in the NH middle latitudes and negative anomalies in the polar regions while the time
356 series for this mode is uncorrelated (the value is -0.026) with Niño-3.4 SST variability. This
357 pattern explains 26.1% of extratropical NH wintertime height variability. Zhang et al. (2016) found
358 a similar mode of observed height variability, though ranked second in its EOF decomposition and
359 explaining a somewhat small fraction of height variance for 1979-2014 period. They further noted
360 that this mode can also be reproduced in a climate simulation having no interannual variability in
361 boundary SSTs or external radiative forcing. It is clear that the observed first mode, therefore, is
362 mainly due to internal atmospheric variability. SST regression map (top right) confirms that this

363 mode, resembling Arctic Oscillation (AO) pattern (Thompson and Wallace 1998), is not related to
364 tropical SST forcing.

365
366 Explaining 17.1% of the NH extratropical height variability, the observed second EOF pattern
367 consists of a prominent wave train over the Pacific-North American (PNA) region, resembling the
368 tropical/Northern Hemisphere (TNH) pattern (Mo and Livezey 1986). The time series for the
369 second mode has a moderate correlation (0.58) with Niño-3.4 SST variability. The corresponding
370 SST regression map (middle right) reveals a feature of El Niño SST warming pattern, indicating
371 that the second mode describes the canonical atmospheric teleconnection response associated with
372 ENSO.

373
374 The third EOF of the observed variability explains 13.4% of the variance in height variability,
375 whose pattern, temporal variability and the corresponding SST regression (bottom panels) suggest
376 a possible connection with global warming. The EOF3 pattern largely features a same sign
377 hemisphere-wide pattern and the PC3 times series has a distinct upward trend associated with a
378 dominance of SST warming over the global oceans, suggesting a tendency for NH heights
379 (corresponding to a tropospheric warming) to rise since 1979. This observed EOF3 is very similar
380 to the dominant EOF mode in a large ensemble of CMIP simulations in which the only forcing is
381 anthropogenic greenhouse gases (Zhang et al. 2016), supporting the argument that this mode is
382 related to the anthropogenically forced climate change.

383
384 We evaluate the model's ability to replicate the leading modes of observed variability. For model
385 simulations, however, the leading EOFs can be computed for each of the 100 individual members.

386 Further, because of sampling, the spatial pattern and the corresponding PC time series has
387 variations from one ensemble member to another. To quantify the fidelity of leading modes of
388 model variability against observations, one approach is to compute pattern correlations between
389 model and observed EOFs and repeat this process for all 100 individual members. These
390 correlations are shown in Fig. 6 (right panels).

391
392 The EOF1 pattern correlation between individual members of the AMIP simulations and
393 observations based on 42 winters ranges from 0.038 to 0.80, and the EOF2 pattern correlation
394 ranges from 0.0076 to 0.72. The corresponding mean value of EOF1 pattern correlations with
395 observation from 100 individual AMIP members is 0.61, much larger than the mean value (0.28)
396 of 100 EOF2 pattern correlations. The range of EOF3 pattern correlation is more scattered, with
397 values ranging from 0.0035 to 0.86, and the corresponding mean value of 0.47. In general, due to
398 sampling variability, there is large uncertainty in the spatial details of EOF structures from one
399 ensemble member to another leading to a similar variability in spatial correlations, especially for
400 the last two modes.

401
402 FV3 GFS can reproduce the pattern of observed first three leading modes with moderate to high
403 correlations (see figure captions for correlation values), as is evident from the results of a single
404 member (Figure 6 left) for which the mean correlation for the first three modes with observations
405 is largest. The explained variance for each mode from this run is also very close to observed values.
406 But the correlation of the PC1 time series from this member with the observed PC1 time series is
407 0.21, much smaller than the PC2 counterpart (0.55). The correlation of PC3 time series between
408 this member and observations (0.44) is roughly double the corresponding value for PC1. We note

409 that the amplitude of these correlations depends on to what extent these modes are a result of
410 atmospheric internal variability and to what extent they are constrained by the evolution of SSTs.
411 If a mode is dominated by the atmospheric internal variability, then even though the spatial pattern
412 of the EOF between observations and model simulation may be the similar, the corresponding
413 time-series could still be uncorrelated.

414

415 Since one of the applications of AMIP simulations is to understand the forced response to SSTs,
416 our analysis further explores the forced atmospheric variability during 1979-2021 by using the
417 100-member ensemble mean of AMIP simulations (Figure 7). The three leading EOFs of the
418 ensemble mean AMIP simulations together explain 84.8% of the total boundary forced ensemble
419 mean height variance.

420

421 The height pattern associated with the first mode of forced AMIP response describes a prominent
422 wave train over the PNA region that resembles the TNH pattern. The time series for this leading
423 mode shows a high correlation (0.93) with Niño-3.4 SST variability, featuring positive polarity
424 during warm events (e.g. 1982/83, 1991/92, 1997/98, 2002/03, 2009/10, 2015/16) and negative
425 polarity during cold events (e.g. 1988/89, 1998/99, 1999/2000, 2007/08, 2011/12, 2020/21). The
426 corresponding SST regression against PC1 time series confirms that this mode is clearly related to
427 ENSO, similar to the observed second mode shown in the middle of Figure 5. This forced pattern
428 alone explains 41.5% of the total boundary forced component of extratropical NH wintertime
429 model simulated ensemble mean height response.

430

431 Associated with a ubiquitous warming over the global oceans, the second mode of forced AMIP
432 solutions is characterized by a hemisphere-wide increase in heights. This forced mode resembles
433 the observed third mode shown in the bottom of Figure 5 that is strongly related to climate change
434 discussed earlier. The explained variance by this forced mode is 28.0%.

435

436 Explaining 15.3% of the total boundary forced height variability over the NH extratropics, the
437 height pattern associated with the third mode of forced AMIP response describes a wave train
438 resembling the classic PNA pattern. Its action centers are in spatial quadrature with the leading
439 forced solution, similar to the second EOF pattern in Zhang et al. (2016). The larger amplitudes in
440 the corresponding PC3 time series tend to occur during ENSO events (e.g. 1982/83, 1997/98,
441 2015/16 warm events, and 1988/89, 1998/99, 1999/2000, 2007/08, 2011/12 cold events), large
442 projections also occur during several ENSO-neutral years (e.g. 1985/86, 1996/97, 2013/14). Zhang
443 et al. (2016) found that there is a high correlation between PC time series for this forced mode and
444 trans-Niño (TNI) SST index, which measures the evolution of ENSO during its transition phase
445 (Trenberth and Stepaniak 2001). The SST regression map (Figure 7 bottom right) is very close to
446 the SST asymmetry between El Niño and La Niña events (Zhang et al. 2016). Stronger El Niños
447 have larger SST magnitudes in the eastern equatorial Pacific while stronger La Niñas have larger
448 magnitudes in the western equatorial Pacific, causing a positive skew in the Niño-3 index
449 indicative of nonlinearity in SST forcings (Burgers and Stephenson 1999; An and Jin 2004; Zhang
450 et al. 2009; Zhang and Sun 2014). Therefore, the positive phase of the forced third mode is linked
451 to the asymmetry in ENSO teleconnections between their extreme opposite warm and cold phases.
452 Zhang et al. (2016) also argued that for the negative phase of this mode, the SST pattern is
453 analogous to a pattern that is the precursor to El Niño development (e.g., Penland and Sardeshmukh,

454 1995), featuring warmth in the far western Pacific and coolness in the far eastern Pacific. This may
455 indicate that the negative phase of third mode is shown to be an expression of atmospheric response
456 to a tropical precursor SST for ENSO development that occurs mostly during ENSO-neutral
457 winters.

458

459 To assess the robustness of key features of the forced atmospheric variability, we repeat the
460 analysis of Figure 7 by using the 30-member ensemble mean of GFSv2 AMIP simulations (Fig.
461 S2 in the supplementary material). The results are found to be similar, including the EOF ranking
462 and explained variance of three leading forced modes.

463

464 The observed leading mode, i.e., the AO pattern is absent among the first three leading modes of
465 AMIP forced solutions. The results lend further support to the previous argument that the observed
466 first mode is very likely attributed to unforced variability.

467

468 d. Simulation of US climate response to ENSO

469 ENSO is the largest source of atmospheric predictability and an important aspect of climate
470 attribution (e.g., Kumar and Hoerling 1998; Goddard and Dilley 2005; Quan et al. 2006), and
471 therefore, it is essential to quantify the fidelity of ENSO response in AMIP simulations.

472

473 Figure 8 compares the spatial pattern of the regressions of wintertime 200-hPa height, precipitation
474 and surface air temperature anomalies on the observed Niño-3.4 SST index between FV3 GFS
475 AMIP simulations (right panel) and observations (left panel). The regressions for the model are

476 obtained by first calculating the regressions for individual runs and then averaging 100 regression
477 estimates.

478

479 In response to El Niño warming, the observed upper-tropospheric circulation anomaly shows the
480 classic El Niño-related teleconnection pattern consisting of anomalous tropical anticyclones,
481 cyclonic anomalies over the North Pacific and anticyclonic anomalies over the North American
482 continent. The observed precipitation is characterized by reduced convection over the tropical
483 western Pacific and enhanced convection over the tropical Indian Ocean and tropical central and
484 eastern Pacific. The temperature response reveals warming (cooling) over the northern (southern)
485 United States, similar to the observed surface temperature composite during Eastern Pacific (EP)
486 El Niño (Zhang et al. 2020). Appreciable warmth is also observed over Eurasia in the middle
487 latitude.

488

489 FV3 GFS AMIP results reproduce the observed key features associated with ENSO. The
490 magnitude of the negative surface temperature anomalies, however, is overestimated over the
491 Southern United States, where the simulated cyclonic anomalies are also stronger. We also note
492 that the magnitude of observed warmth is somewhat underestimated over Eurasia, South Africa,
493 and Australia and overestimated over the Northern South American continent. It should be noted
494 that while the ENSO response in the model simulations is the average of 100 estimates, and
495 therefore, has a higher statistical significance, the observed estimate could be influenced by
496 sampling variability.

497

498 Next, we compare the seasonal climate variability for extreme El Niño events, and further, discuss
499 the role of internal variability in shaping the observed anomalies. We also evaluate how well the
500 FV3 GFS model simulates the US climate response to ENSO compared to the previous GFSv2
501 model that has been used for attribution studies.

502

503 Figure 9 shows the wintertime surface air temperature anomalies for three strong El Niño events
504 from observations (left), GFSv2 simulated ensemble mean (middle) and FV3 GFS simulated
505 ensemble mean (right). During the 1982/83 El Niño, maximum warm temperature anomalies are
506 located over the northern United States, but the surface temperature is colder than normal over the
507 southern United States. The above normal anomalies shift gradually from north to south in recent
508 two strong El Niño (1997/98 and 2015/16) events.

509

510 Similar to observations, there is a clear southward shift of warm anomalies from 1982/83 El Niño
511 to 2015/16 El Niño for two model ensemble mean results. This is consistent with the US warming
512 trends documented using PDFs (Fig. 4). The models have a moderate (0.4~0.5) pattern correlation
513 with observations in 1982/83 El Niño and a higher pattern correlation (above 0.7) with
514 observations in recent two strong El Niño events. Despite the comparable pattern correlations with
515 observation for two models, there is an improvement in FV3 GFS model relative to GFSv2 in the
516 south-eastern coastal regions of the U.S. where the GFSv2 has too strong cold anomalies but the
517 simulations from FV3 GFS are closer to observations.

518

519 Figure 10 shows the corresponding precipitation anomalies for three strong El Niño events from
520 observations and simulations from two models. The observed precipitation patterns for both

521 1982/83 and 1997/98 are very similar, with wetter anomalies in the west and central US and
522 southern coast. However, negative rainfall anomalies over southern California are observed for
523 2015/16 winter. It can be seen that the 1997/98 El Niño has the largest wetness in the southwest.

524

525 In contrast to the observed anomalies, the ensemble mean precipitation response in two models
526 has a very similar pattern for all three strong El Niño events, characterized by a wetness across the
527 west, central US and southern coast that resembles the observed precipitation responses to 1982/83
528 and 1997/98 El Niño events. Further, opposite to the observed dryness in Southern California, the
529 model ensemble mean response shows that the Southern California has wet conditions in 2015/16
530 El Niño, consistent with previous studies (e.g., Chen and Kumar 2018; Zhang et al. 2018).
531 Compared to GFSv2, FV3 GFS model has an increased (more than double) precipitation pattern
532 correlation (0.32 vs. 0.14) with observation during 2015/16 El Niño. Generally, the precipitation
533 response in the models has a high pattern correlation with observations during 1997/98 El Niño.
534 These results indicate that during 2015/16 the observed rainfall anomalies may have been
535 influenced by the atmospheric internal variability.

536

537 To explore the role of internal variability in determining the seasonal mean rainfall over California,
538 Figure 11 shows probability density functions (PDFs, estimated as nonparametric fits to the
539 histograms of the raw data) of California winter precipitation during three strong El Niño events
540 based on FV3 GFS AMIP simulations. The long tick marks indicate the corresponding observed
541 values for the three winters. The black PDF, drawn from 100-member ensemble FV3 GFS AMIP
542 simulations of 2015/16, is statistically indistinguishable from the blue PDF drawn from 100-
543 member ensemble FV3 GFS AMIP simulations of 1982/83, and for both, the mean of the PDF is

544 shifted to the right. The results indicate that the most likely California winter precipitation
545 condition is one for wetness in the presence of strong El Niño, with a statistical mode of +42% in
546 2015/16 runs and +52% in 1982/83 runs. The PDFs also illustrate the fact that even during strong
547 El Niño events, there is also an appreciable probability for California seasonal mean rainfall to be
548 negative. Further, for each PDF since all model simulations that went into its estimation have the
549 same SST forcing, the spread in the PDF is due to atmospheric internal variability. The PDF of
550 California winter precipitation for 1997/98 runs is significantly different from the PDFs for
551 2015/16 and 1982/83 runs, with a statistical mode of +70%. This is consistent with the observations
552 for which the strongest California rains are for the 1997/98 winter among three extreme El Niño
553 events. The observed California 2015/16 dryness was almost certainly an articulation of unforced
554 variability and is supported by the fact that the observed condition resides within the dry tail of the
555 forced PDF (black curve).

556

557 To further understand the cause for observed Southern California failed rains, we calculate the
558 2015/16 winter precipitation pattern correlation with observation from 100 individual members
559 and make composites for the four runs that had the best or the worst correlation among the sample
560 of 100 (Figure 12). The analysis approach follows that of Kumar et al. (2013).

561

562 The analysis based on individual model simulations indicates that on an individual run basis the
563 observed dryness over Southern California can be replicated. This is evident from the composite
564 of best four runs for which the anomaly correlation is the largest positive (left panels). For the
565 composite of four runs that have the largest negative anomaly correlation, the simulated rainfall
566 anomaly is opposite to the observed rainfall over California, and further, the wet condition over

567 Southern California is similar to that in SST forced signal in ensemble mean results (top right
568 panel). Thus, the internal atmospheric variability, rather than a boundary-forced signal, was the
569 likely cause for the failed Southern California rains in 2016 even in the presence of one of the
570 largest El Niño. In summary, the FV3 GFS model can realistically capture the observed US climate
571 variability associated with ENSO.

572

573 e. Assessment of climate predictability

574 Predictability of seasonal atmospheric climate variability depends on the fraction of total
575 variability that is related to boundary conditions (referred to as the external, or potentially
576 predictable variability) and the fraction of variability unrelated to external forcings (referred to as
577 the internal, or unpredictable variability). Extensive efforts have been made in the past several
578 decades to quantify potential predictability of seasonal mean climate variability by using either
579 AMIP simulations or initialized coupled forecast systems (Kumar and Hoerling 1995; Kumar et
580 al. 2007; Jha et al. 2019). The purpose of the analysis in this section is to assess the climate
581 predictability based on a large ensemble FV3 GFS AMIP simulations and to quantify how the
582 predictability measured by signal-to-noise ratio (SNR) is changing as the modeling systems are
583 being improved.

584

585 We start our analysis by comparing the total variance of observed and FV3 GFS simulated DJF
586 200-hPa height anomalies over 1979-2021 period (Figure 13 right panel). It is evident that the
587 model can realistically reproduce the observed total variance of upper-tropospheric circulation
588 anomaly during winter that is characterized by the small variability in the tropical regions and a
589 larger variability in the extratropical regions. The observed maximum centers of variability over

590 Aleutian and Greenland in the northern hemisphere and those over the southern higher latitude are
591 also well captured in the model.

592

593 Shown in the left panel of Figure 13 is the two components of the simulated total variance,
594 predictable (top) and unpredictable (bottom), which are derived from the variance of ensemble
595 mean and the variance of departure in the individual members from the ensemble mean,
596 respectively (Kumar and Hoerling 1995). The external variance for DJF 200-hPa height simulated
597 by FV3 GFS is mainly located in the tropical eastern Pacific, the North Pacific and North American
598 continent, similar to previous findings based on different periods (Kumar et al. 2007; Jha et al.
599 2019). This is to be expected since the ensemble mean variance is dominated by SST-forced
600 atmospheric variability and its spatial structure is in agreement with the atmospheric response to
601 ENSO (Trenberth et al. 1998; see also Fig. 7 and associated discussion). The simulated internal
602 variance is largest in the middle and high latitudes, especially in the North American continent and
603 the northern Asia and is similar to the best estimate of the internal variance of observed winter
604 200-hPa height using multiple models as noted in previous studies (Kumar et al. 2007; Jha et al.
605 2019).

606

607 Next, we calculate the ratio of the external and the internal variance in dimensionless units as
608 signal-to-noise ratio to assess potential predictability, the results of which is given in Figure 14
609 that shows FV3 GFS simulated winter (left) and summer (right) SNR pattern for 200-hPa height
610 (top), precipitation (middle) and surface air temperature (bottom). It is found that the largest SNR
611 values for DJF 200-hPa height reside in the tropics and decrease gradually polewards due to an
612 increase in atmospheric internal variability from tropics to extratropics (Figure 13), consistent with

613 the previous findings that the predictability is larger in the tropics than the extratropics (e.g., Quan
614 et al. 2004). The summer SNR pattern is very similar to the winter pattern, while the SNR values
615 of heights are somewhat stronger in the tropical Atlantic. This difference is likely associated with
616 the stronger height trend in the model over the tropical Atlantic for the summer compared to the
617 winter. The analysis of previous GFSv2 AMIP runs also indicates that there is a somewhat larger
618 height trend over the tropical Atlantic during summer relative to winter (not shown).

619

620 It should be noted that there is little consensus in the scientific community on the difference of
621 seasonal predictability of 200-hPa height between winter and summer. Based on the NMME
622 coupled forecast system, Jha et al. (2019) showed that SNR values for summer are lower than SNR
623 for winter because of the weaker SST forcing during summer. However, Kumar et al. (2003)
624 argued that due to a reduction in the internal variability, the magnitude of seasonal predictability
625 for winter and summer are quite similar by using two atmospheric general circulation model
626 (AGCM) simulations. A close examination of their results also reveals that the seasonal
627 predictability is slightly stronger over the tropical Atlantic for summer than for winter, consistent
628 with our findings.

629

630 SNR pattern for precipitation is also quite similar for two seasons, with larger values located in
631 the tropical oceans. By comparing the results with those in the coupled forecast system (Jha et al.
632 2019), we notice that the improvement of seasonal predictability of precipitation is evident in the
633 tropical Indian Ocean and tropical Atlantic. Similar to the spatial structure of SNR for 200-hPa
634 height, the precipitation SNR also shows a large decline from the tropics to the extratropics and
635 the relatively larger values over the tropical Atlantic in summer than in winter.

636

637 Consistent with SNR for 200-hPa height and precipitation, SNR of surface air temperature is also
638 confined within the tropical land for both seasons, having larger values over North Africa, the
639 Middle East, Asia, Northern Mexico and South America. Except for Northern Mexico, SNR values
640 are larger over other regions in summer than in winter. The larger SNR value for winter over
641 Northern Mexico could be related to the amplitude of ENSO SST variability. Consistent with
642 increased SNR for precipitation over the northern Indian Ocean, SNR for surface air temperature
643 is higher over North Africa, the Middle East, and Asia in summer compared to winter.

644

645 If the SNR estimates based on the AMIP simulations are correct estimates of corresponding
646 predictability in observations, then generally larger SNR values imply a higher skill for seasonal
647 prediction (Kumar and Hoerling 2000). To assess this, the corresponding maps of anomaly
648 correlation (AC) (Figure 15), the value of which at each grid point is computed between AMIP
649 ensemble mean and observed anomaly over the analysis period, confirms this relationship and is
650 consistent with the theoretical analysis and model results in previous studies (Kumar et al. 2007;
651 Jha et al. 2019). The stronger AC values for 200-hPa height over the tropical Atlantic extend
652 northward in summer compared to winter, in agreement with larger SNR values there. The increase
653 in AC values for surface air temperature over the Middle East and Asia in summer relative to
654 winter is also in line with the increase of AC for precipitation over the Northern Indian Ocean.

655

656 A close look of the wintertime US prediction skill reveals that the stronger AC values for
657 precipitation in FV3 GFS are located in the northwest, western coast and southern coast, where
658 the 200-hPa AC values are higher (Figure 16 left). The surface air temperature AC pattern is

659 characterized by maximum values in the west and the east and minimum values in the central US,
660 largely consistent with the 200-hPa AC distribution.

661
662 We also calculated the AC values in GFSv2 and made the difference in the AC for two models to
663 examine the changes in prediction skill. Some US regions experience an increase of prediction
664 skill, as indicated by the shaded regions shown in Figure 16 right. Compared to GFSv2, FV3 GFS
665 shows an increase of precipitation AC values over the north and the southeast, where the increase
666 of 200-hPa AC values is also visible. The obvious improvement of surface air temperature
667 prediction skill is located in the east, consistent with the improvement of surface air temperature
668 response to three strong El Niño events over these regions as seen in Figure 9.

669
670 f. Simulation of extreme events—2022 summer South Asia flooding

671 In this section, we will evaluate the model’s capability in simulating the extreme events by
672 considering a case study for a specific extreme event in 2022. Most regions of Pakistan experienced
673 record-breaking monsoonal rainfall from mid-June until the end of August 2022 that resulted in
674 considerable losses of human lives and the economy of Pakistan
675 (<https://www.worldweatherattribution.org/analysis/rainfall/>). Observed SST anomaly for 2022
676 summer had a La Niña condition in tropical Pacific and warm condition in the eastern Indian Ocean
677 and coastal regions (not shown). How well does the FV3 GFS model simulate the observed South
678 Asia flooding for 2022 summer compared to previous GFSv2 model?

679
680 Figure 17 shows the spatial map of precipitation anomalies for JJA 2022 from observations (top),
681 FV3 GFS (middle) and GFSv2 (bottom) ensemble mean AMIP simulations. Observations show a

682 large increase in south Asia flooding shown in black box region which includes Pakistan and
683 northwest India. The ensemble mean results from FV3 GFS can reproduce the observed wet
684 condition in South Asia, but the magnitude is somewhat weaker. This is to be expected when
685 comparing ensemble mean anomalies with observations that are equivalent to a single model
686 realization. Opposite to observations and FV3 GFS simulations, the previous version GFSv2 did
687 not replicate the observed wet condition but indicates dry conditions. It is clear that the FV3 GFS
688 model has a better simulation of South Asian flooding compared to old version GFSv2.

689
690 We also examined the FV3 GFS individual members to better understand the ensemble mean
691 results. The top panel of Figure 18 shows the precipitation anomaly averaged over the box region
692 from 100 individual members. The black line is the observed value, and the green line is the model
693 ensemble mean value. Among 100 members, only 5 members produce the dry condition. This
694 suggests that the observed SSTs specified as the forcing favor wet conditions over South Asia for
695 2022 summer. It can be seen from the bar plot that the magnitude of a single member (member
696 100) is very close to observations. Examining the spatial map of this member (bottom right panel)
697 confirms that the model is capable of realistically simulating both the magnitude and spatial
698 structure of observed wet conditions over South Asia for 2022 summer (bottom left panel). The
699 results suggest that the FV3 GFS model can serve as a suitable tool to examine the causality of the
700 extreme events.

701
702 **4. Summary and discussion**

703 The intent of this paper is to document an update in infrastructure of AMIP simulations that are
704 used for real-time attribution of seasonal climate anomalies at CPC, i.e., based on the FV3 GFS.

705 These simulations are updated in real-time as SST observations become available. We evaluated
706 the performance of these simulations in reproducing observed climate trends and variability and
707 assessed climate predictability and the model's capability in capturing the extreme events.

708

709 We demonstrated that the FV3 GFS model can realistically capture the observed temperature trend
710 over global land that has an upward trend of about 1° C since 1979 for both winter and summer.
711 Associated with the warming trend over the global land, there is also a US warming and drying
712 climate trend for DJF and JJA seasons as demonstrated by the frequency distributions of a large
713 ensemble of model samples. The observed feature of the larger variability during winter compared
714 to summer is also replicated in the model.

715

716 Observed three leading modes of variability for the period of 1979-2021 were identified based on
717 the EOF analysis of wintertime extratropical Northern Hemisphere 200-hPa heights. The observed
718 leading mode describes the extratropical atmospheric circulation pattern associated with Arctic
719 Oscillation (AO) variability and is found to be independent of tropical SST variability. The
720 observed second mode describes the canonical atmospheric teleconnection associated with ENSO
721 resembling the tropical/Northern Hemisphere (TNH) pattern and the third mode features a
722 hemisphere-scale increasing trend in heights associated with global warming. The FV3 GFS model
723 is able to replicate the three primary modes of observed variability but there is an appreciable
724 variability in the detailed EOF structures among individual members associated with sampling
725 resulting in a large scatter in the spatial correlation with observations, especially for the last two
726 modes.

727

728 Forced atmospheric teleconnections during 1979-2021 were examined using the 100-member
729 ensemble mean of AMIP simulations. The leading mode of the forced variability is similar to the
730 observed second mode that describes the TNH pattern associated with ENSO. The second forced
731 mode resembles the observed third mode which is related to anthropogenically forced climate
732 change. The forced third mode describes a wave train resembling the PNA pattern resulting from
733 atmospheric sensitivity to ENSO asymmetry and from sensitivity to a tropical precursor SST for
734 ENSO development. Our results are similar to the three primary forced modes of Zhang et al.
735 (2016) except for a different EOF ranking for the latter two modes, implying that the forced
736 primary modes do not depend on the selection of a particular model but are more determined by
737 the nature of boundary forcing used.

738

739 FV3 GFS AMIP simulations realistically captured the observed key features including US climate
740 variability associated with ENSO. Consistent with the US warming trend, a gradual southward
741 shift of stronger warm anomalies from early strong El Niño (1982/83) to recent strong El Niño
742 (2015/16) was evident in both observations and model ensemble mean results. There was an
743 improvement in FV3 GFS relative to previous GFSv2 in simulating the surface temperature
744 response to extreme El Niño events over the US southeastern coastal regions. The observed US
745 precipitation pattern featured wetness in the west and central US and southern coast for both
746 1982/83 and 1997/98 El Niño events but had dry conditions over Southern California for 2015/16
747 winter. The ensemble mean precipitation response in the model was similar for three strong El
748 Niño events, having a wetness across the west and central US and southern coast. We explored the
749 role of internal variability in determining the seasonal mean rainfall in California based on a large
750 ensemble of FV3 GFS AMIP simulations. The results indicate that the observed California

751 2015/16 dryness was likely an articulation of unforced variability (the internal atmospheric noise),
752 rather than a boundary-forced signal, in agreement with previous findings (Zhang et al. 2018;
753 Kumar and Chen 2020).

754

755 The climate predictability measured by SNR was also assessed based on FV3 GFS AMIP
756 simulations. The SNR pattern, in general, was similar to each other between winter and summer,
757 with largest values in the tropical regions and a decrease in the SNR towards high latitudes. The
758 SNR values for 200-hPa heights and precipitation over the tropical Atlantic and those for surface
759 air temperature over North Africa, the Middle East, Asia and South America were larger in summer
760 than in winter. It is noted that there was an improvement of seasonal predictability of precipitation
761 over the tropical Indian Ocean and tropical Atlantic, compared to the results in the initialized
762 forecast system (Jha et al. 2019). The seasonal prediction skill measured by AC generally follows
763 the SNR pattern, supporting previous theoretical analysis and model results (Kumar et al. 2007;
764 Jha et al. 2019). The comparison of the wintertime AC pattern of US surface climate between FV3
765 GFS and GFSv2 reveals that the prediction skill of precipitation over the Northern and
766 Southeastern US, and that of surface air temperature over the eastern US are somewhat improved
767 in the new model relative to the old version.

768

769 The model's capability in simulating the extreme events was evaluated for a case study for the
770 2022 summer South Asia record-breaking flooding. The ensemble mean results from FV3 GFS
771 reproduced the observed wet conditions but those from previous GFSv2 indicated dry conditions
772 in South Asia, suggesting a better simulation of extreme events in the new model relative to the
773 old model. Analysis of FV3 GFS individual runs further confirmed that the model could replicate

774 the magnitude and spatial pattern of South Asian flooding and indicates that the 2022 summer
775 flooding over that region could have been driven by the observed SST forcing.

776

777 In summary, the FV3 GFS model can realistically replicate the observed climate variability and
778 trends as well as extreme events. We also plan to use the same infrastructure for other sensitivity
779 studies to understand various aspects of climate variability, e.g., atmospheric responses to Central
780 Pacific (CP) vs. Eastern Pacific (EP) events, role of SST anomalies in different ocean basins etc.
781 In future, counterfactual simulations in which an estimate of the observed long-term changes in
782 the SST due to anthropogenic forcing is removed will also be conducted in parallel with the current
783 AMIP simulations to understand the influence of climate change on extreme events.

784

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787

788 **Open Research**

789 **Data Availability Statement**

790 The version of GFSv15 is available from <https://www.emc.ncep.noaa.gov/users/meg/fv3gfs/>. The
791 data were analyzed with the NCAR Command Language version 6.6.2 (National Center for
792 Atmospheric Research, 2019) available from <https://www.ncl.ucar.edu/> and the Grid Analysis and
793 Display System (GrADS) Version 2.1.1.b0 available from <http://cola.gmu.edu/grads/>. The Data
794 and scripts for analysis are publicly available at (Zhang et al., 2023)
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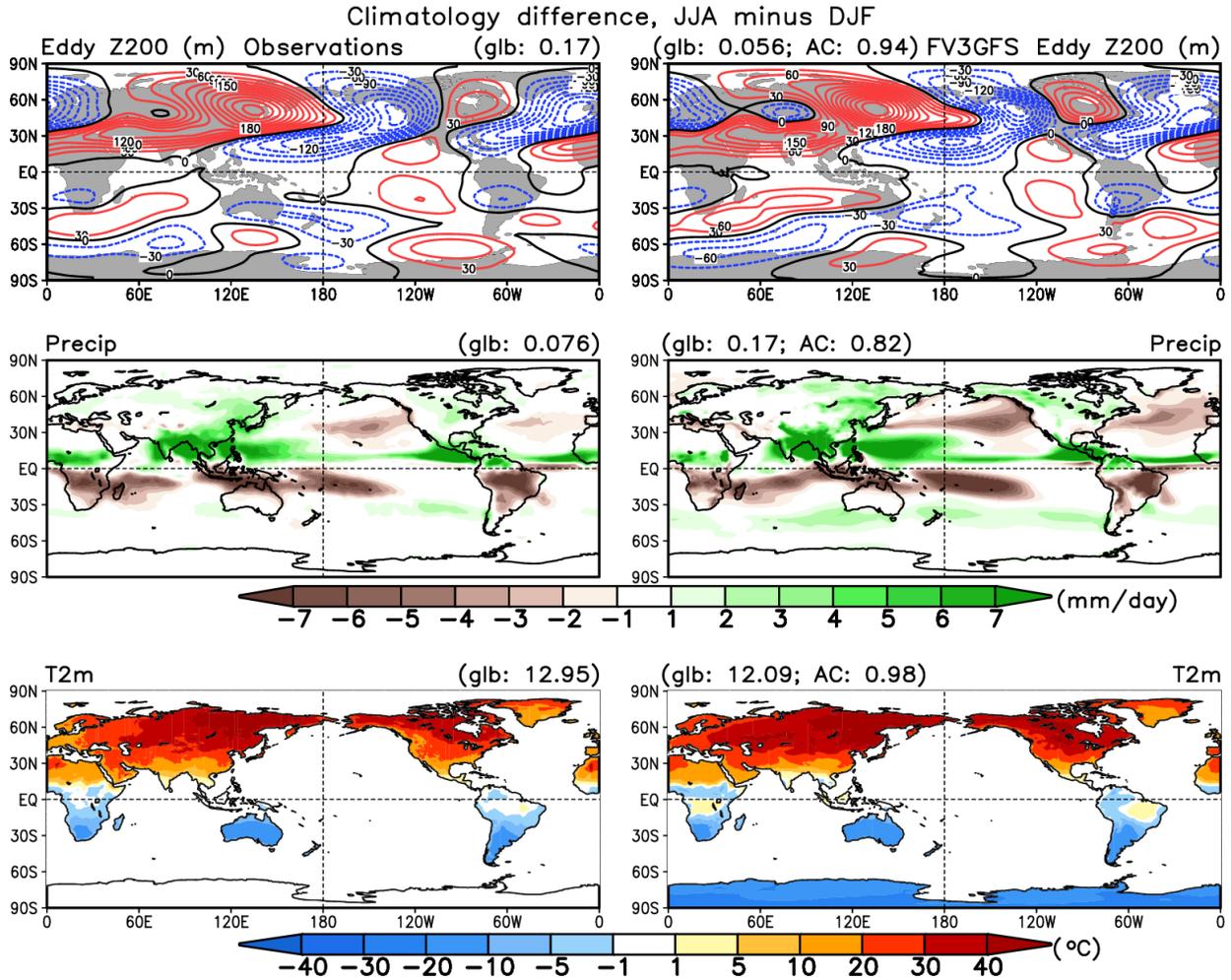
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Table 1. The global mean values of the difference in climatology between JJA and DJF (JJA minus DJF) for eddy 200-hPa height (m), precipitation (mm/day) and land surface air temperature (°C) from observation, GFSv2 and FV3 GFS ensemble mean AMIP simulations, and the global pattern correlations between models and observation for the corresponding climatology difference.

Variables	Global mean values			Global pattern correlations with observation	
	Observation	GFSv2	FV3GFS	GFSv2	FV3GFS
Eddy Z200	0.17	0.079	0.056	0.96	0.94
Precip	0.076	0.11	0.17	0.72	0.82
T2m	12.95	10.73	12.09	0.98	0.98

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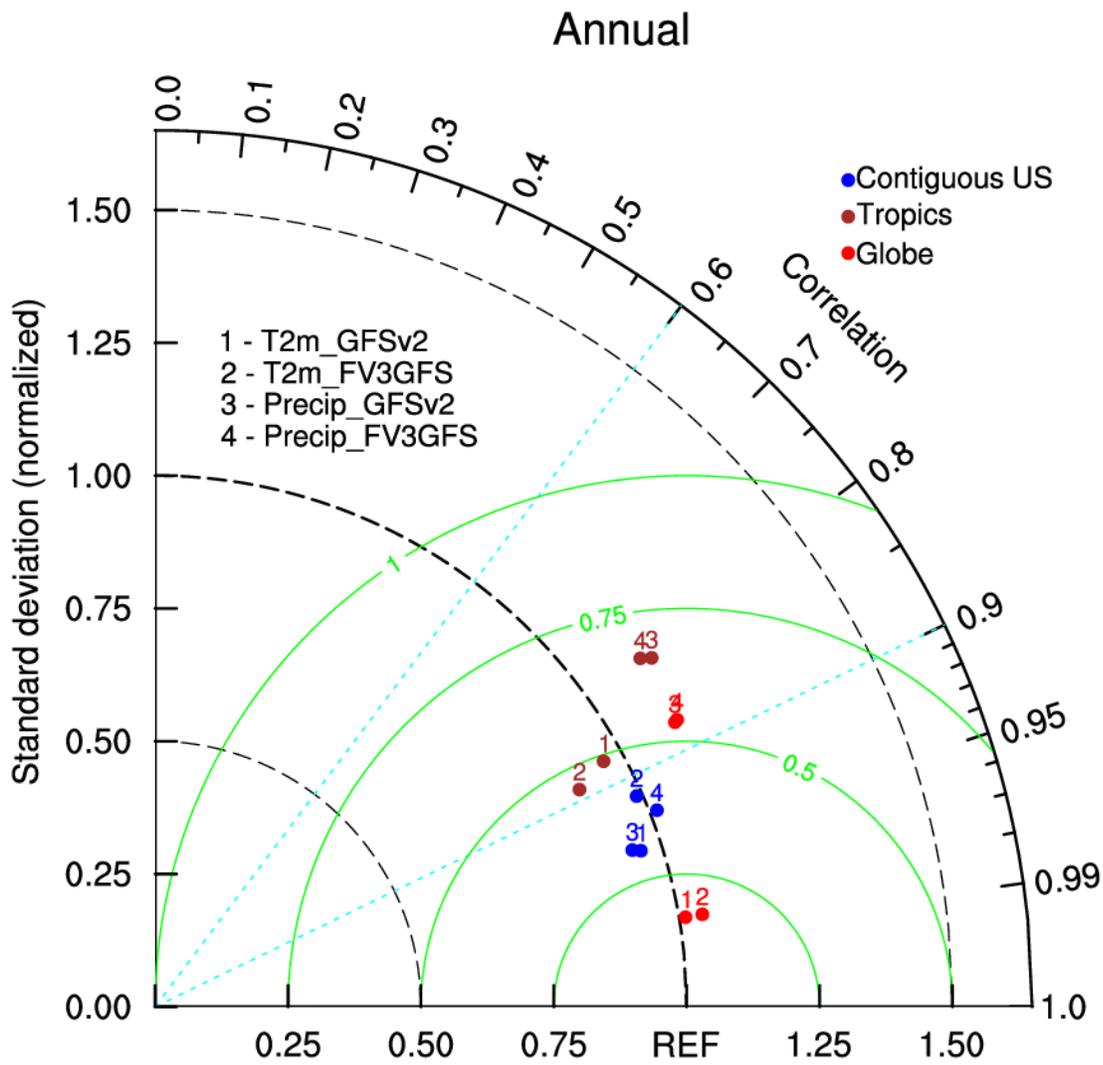
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Figure 1. Differences in climatology between JJA and DJF (JJA minus DJF) for (top) eddy 200-hPa height, (middle) precipitation and (bottom) surface air temperature from (left) observations and (right) FV3 GFS simulated 100-member AMIP ensemble mean results. The observed and simulated global mean values (the first number) and the pattern correlation values (the second number) are listed in the titles of the plots.

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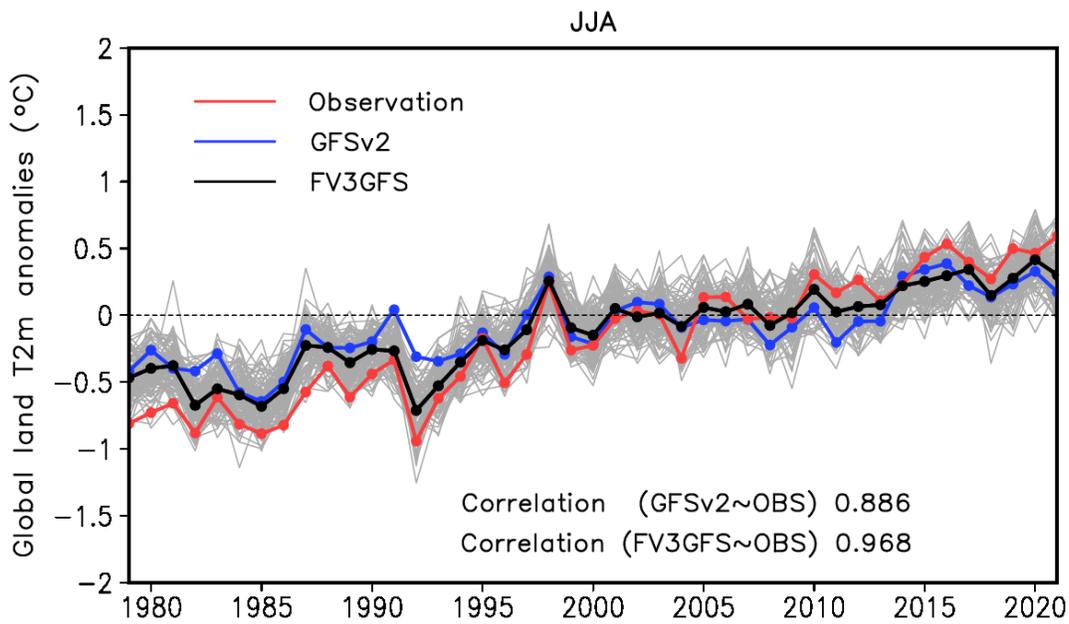
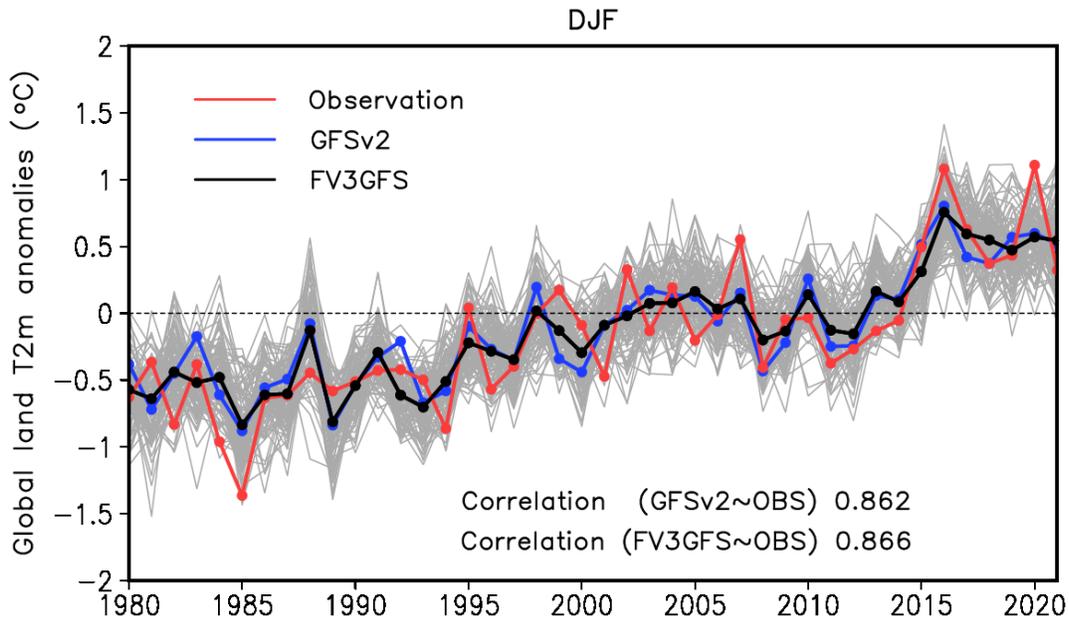
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Figure 2. Taylor diagram displaying changes in normalized pattern statistics between GFSv2 and FV3 GFS model estimates for the (red dots) global, (brown dots) tropical, and (blue dots) contiguous US pattern of annual mean climatology of precipitation and land surface air temperature. The standard deviations have been normalized by the observed standard deviation of each field.



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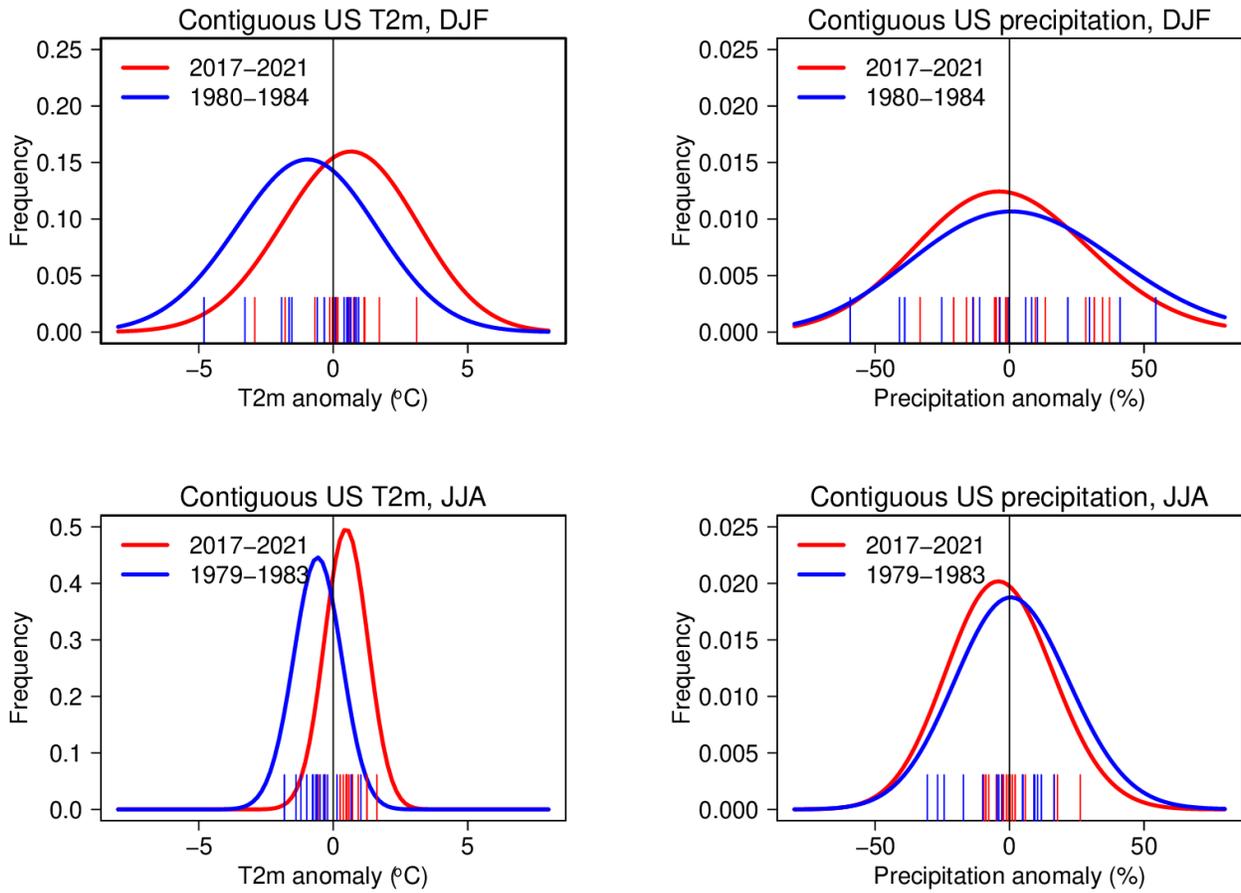
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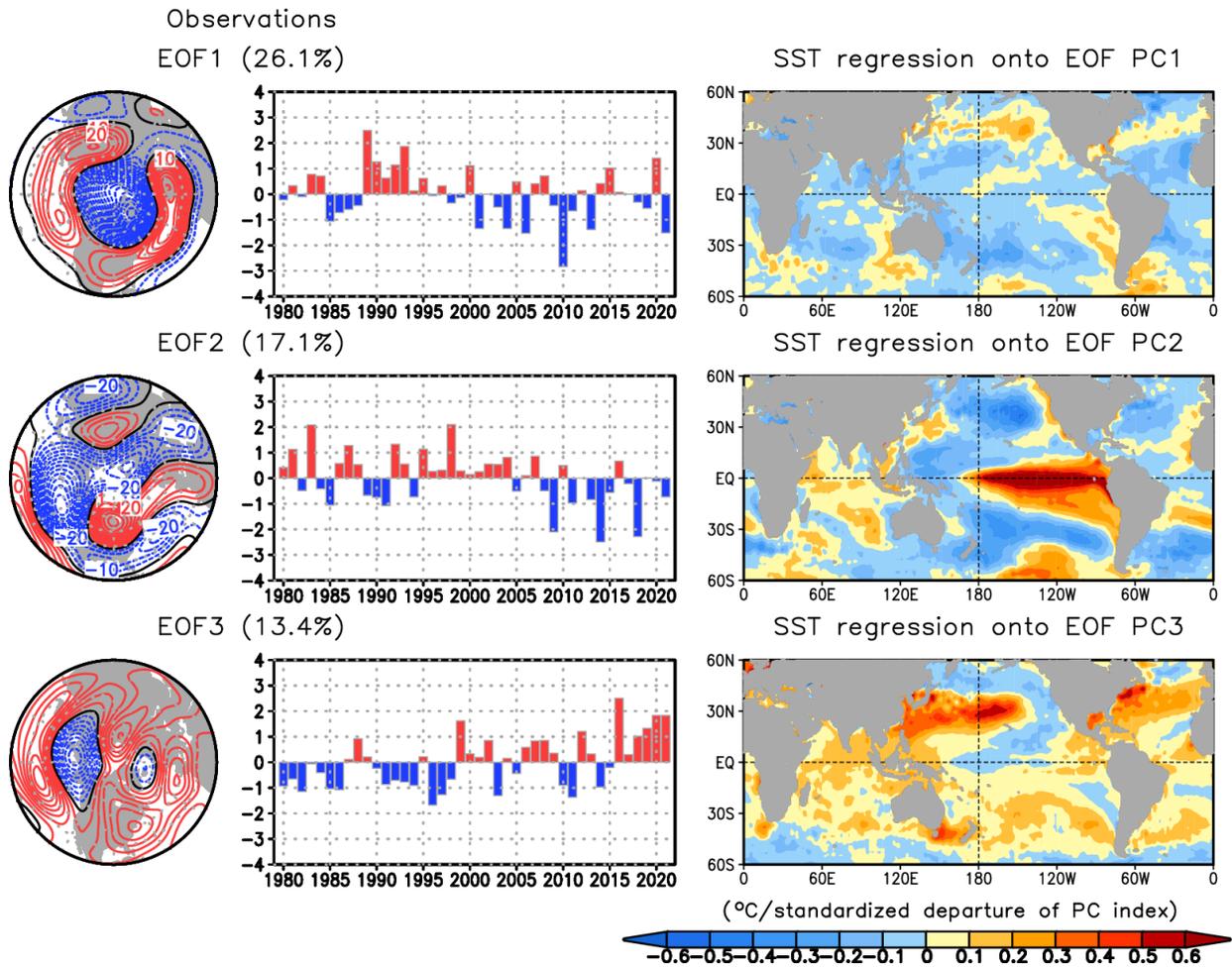
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Figure 3. Time series of surface air temperature anomalies for (top) DJF and (bottom) JJA averaged over global land regions from (red line) observations, (blue line) GFSv2 simulated 30-member ensemble mean and (black line) FV3 GFS simulated 100-member ensemble mean of AMIP simulations. The gray lines show the spread of individual members of FV3 GFS model. The temporal correlations of the global mean land surface air temperature anomalies between model ensemble mean and observations are listed in the plot.



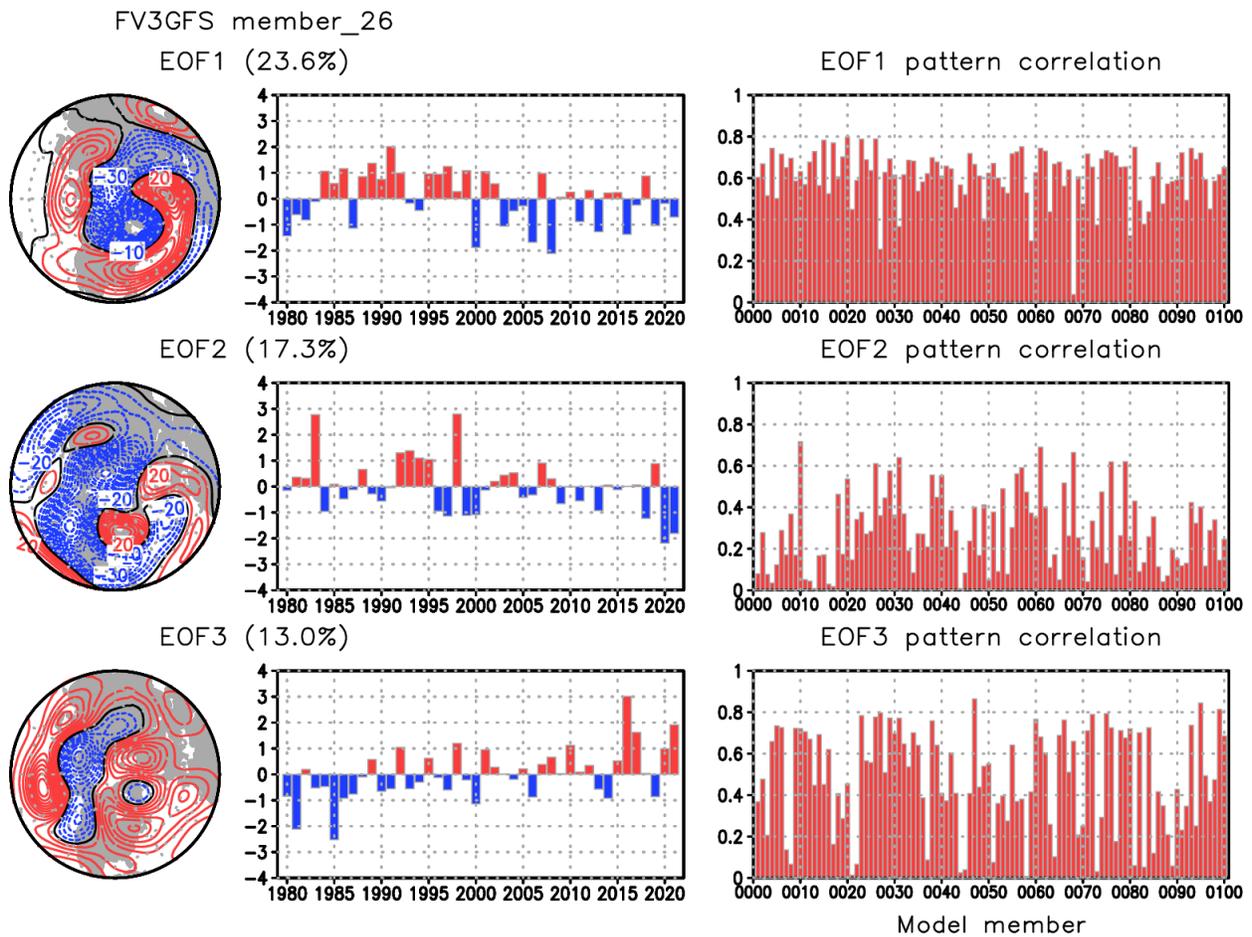
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Figure 4. PDFs of contiguous U.S. (top) DJF and (bottom) JJA (left) surface air temperature anomalies (°C) and (right) precipitation anomalies (percent departure) for the first (blue curves) and last (red curves) 5-yr periods of 1979-2021. Results are based on 100-member FV3 GFS AMIP simulations. Large tick marks at the bottom show observed values for 15 months of the first (blue) and last (red) 5-yr periods of 1979-2021.



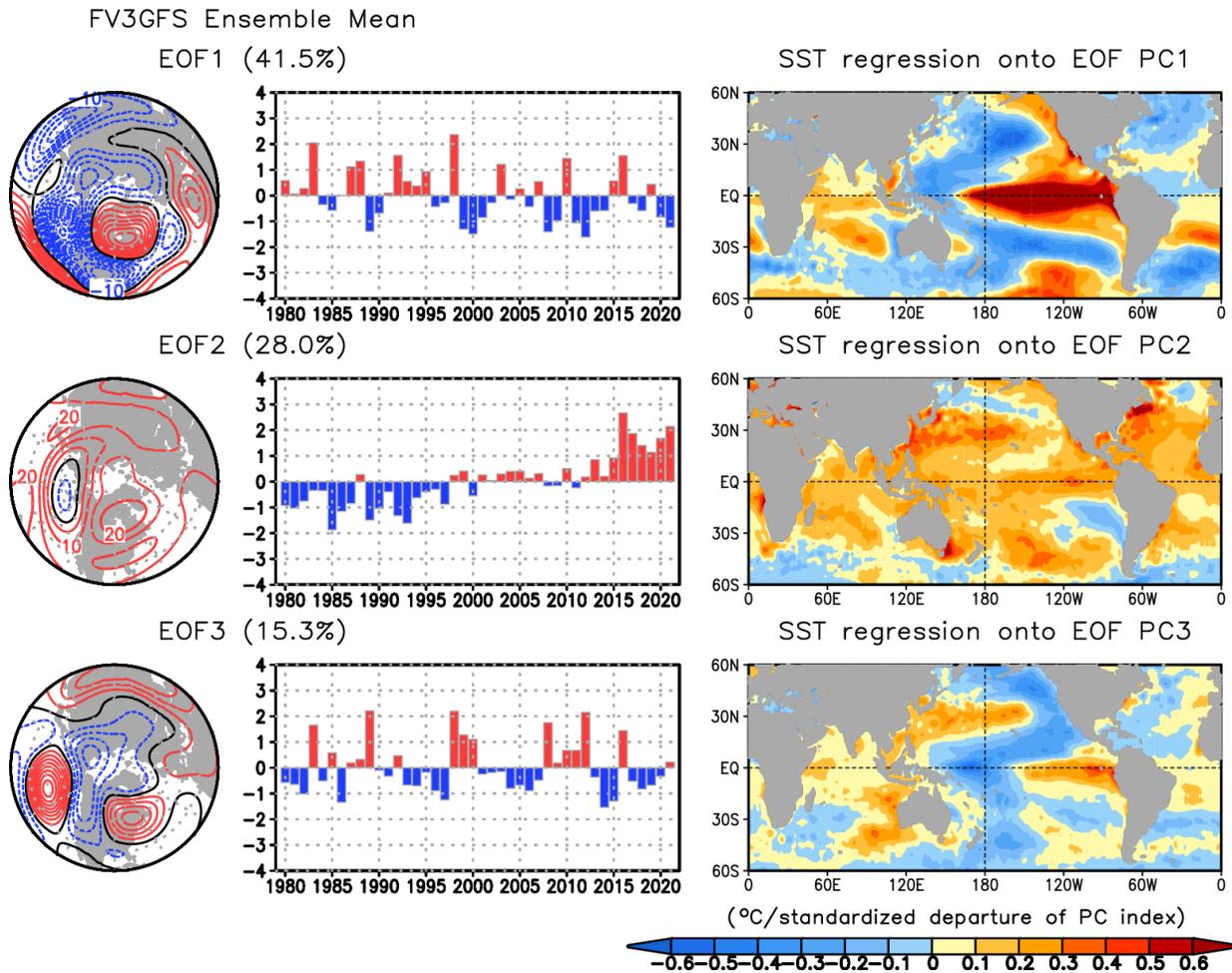
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Figure 5: (left) The spatial pattern and (middle) standardized PC time series of the leading three EOFs of DJF 200-hPa heights from observations. (right) Regressions of observed DJF SST on the PC time series of the leading three EOFs of observed DJF 200-hPa heights. The EOF analysis is computed over the 20°–90°N domain for 1979/80–2020/21. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series and drawn at the interval of 5m for a 1 standardized departure of PC index.



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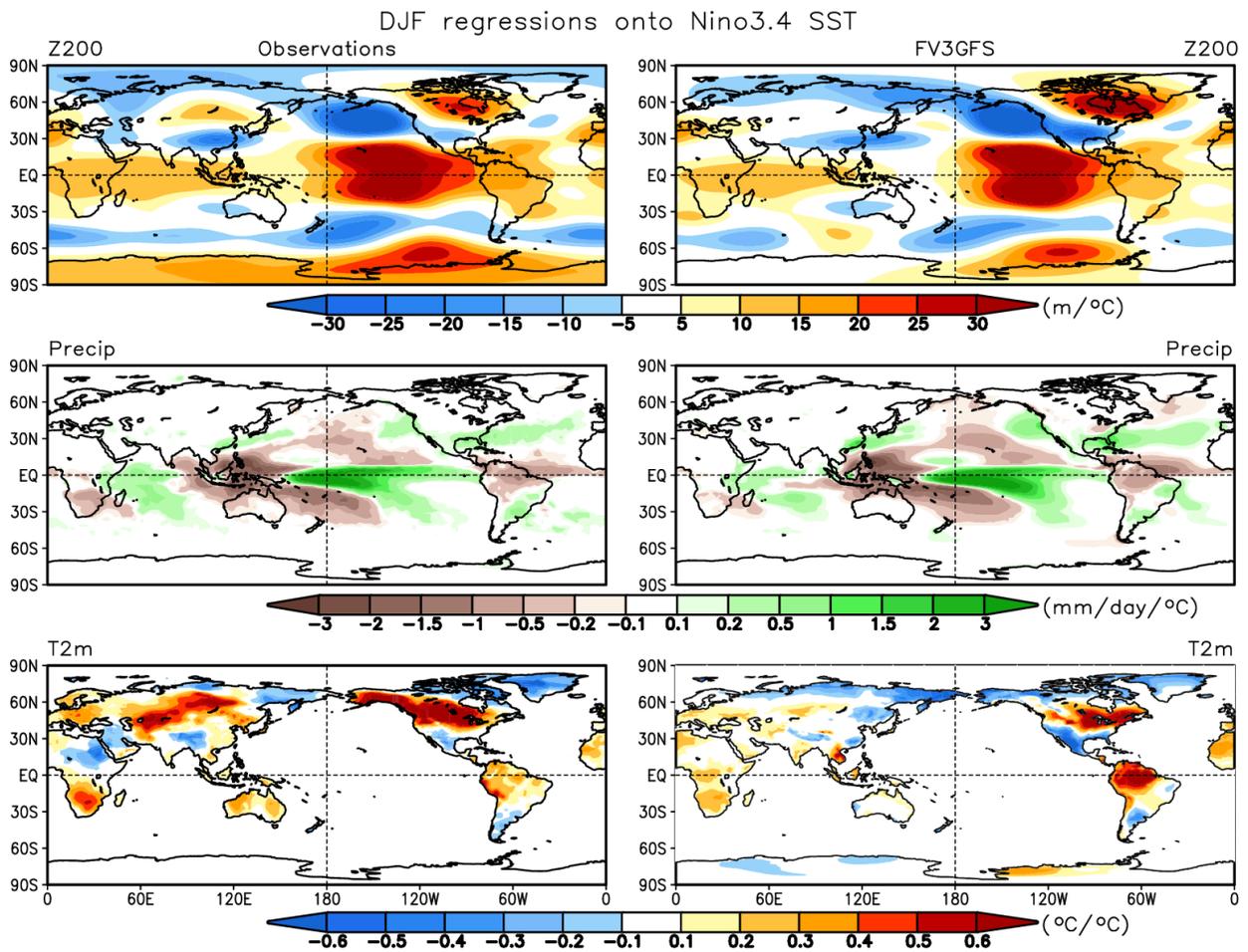
Figure 6: (left) The spatial pattern and (middle) standardized PC time series of the leading three EOFs of DJF 200-hPa heights from a single member (member 26) of FV3 GFS AMIP simulations. (right) The respective EOF pattern correlation values of the leading three EOFs of DJF 200-hPa heights with observations from 100 individual members of FV3 GFS AMIP simulations. This single member is selected among members that resemble observations, subject to the largest mean value of three leading EOF pattern correlations of DJF 200-hPa heights with observations, with EOF1 pattern correlation of 0.79, EOF2 pattern correlation of 0.61, and EOF3 pattern correlation of 0.78. The EOF analysis is computed over the 20°–90°N domain for 1979/80–2020/21. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series and drawn at the interval of 5m for a 1 standardized departure of PC index.



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Figure 7: (left) The spatial pattern and (middle) standardized PC time series of the leading three EOFs of FV3 GFS simulated 100-member ensemble mean DJF 200-hPa heights. (right) Regressions of observed DJF SST on the PC time series of the leading three EOFs of FV3 GFS simulated 100-member ensemble mean DJF 200-hPa heights. The EOF analysis is computed over the 20°–90°N domain for 1979/80–2020/21. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series and drawn at the interval of 5m for a 1 standardized departure of PC index.

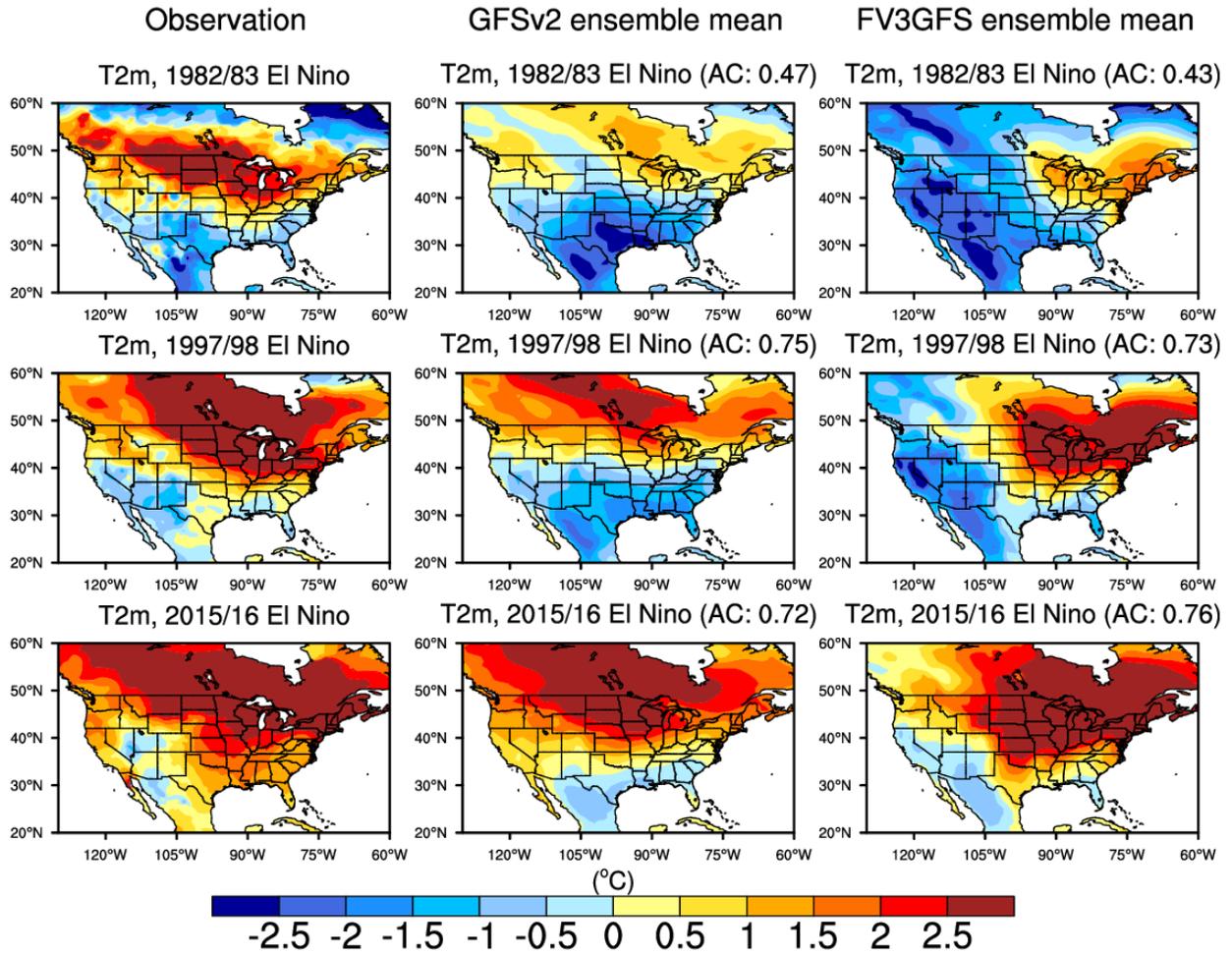
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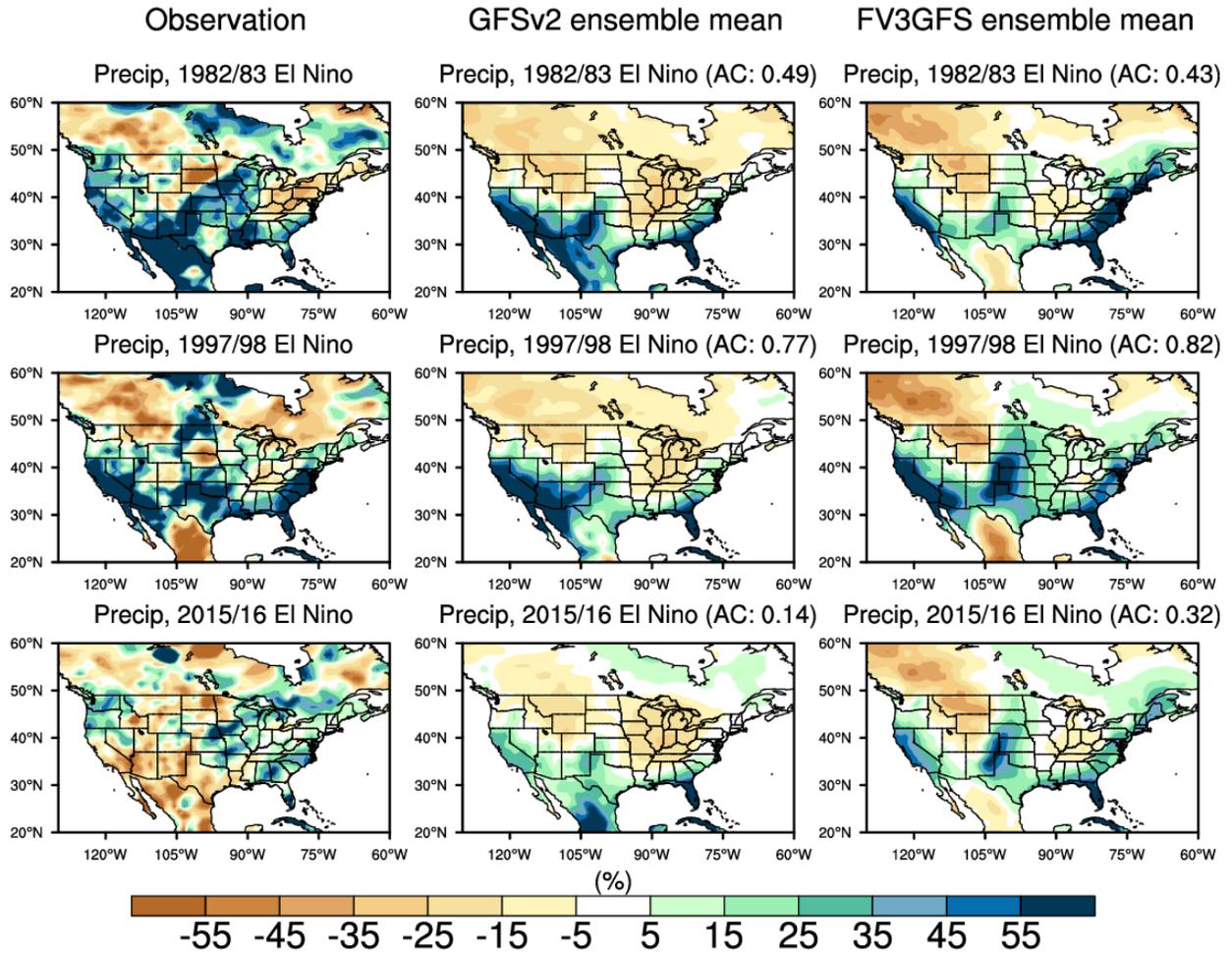
Figure 8: The spatial pattern of regressions of DJF (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature anomalies on the observed Niño3.4 SST index from (left) observations and (right) FV3 GFS AMIP simulations. We first calculate the regressions from individual runs and then average 100 regression estimates as the regressions for the model.

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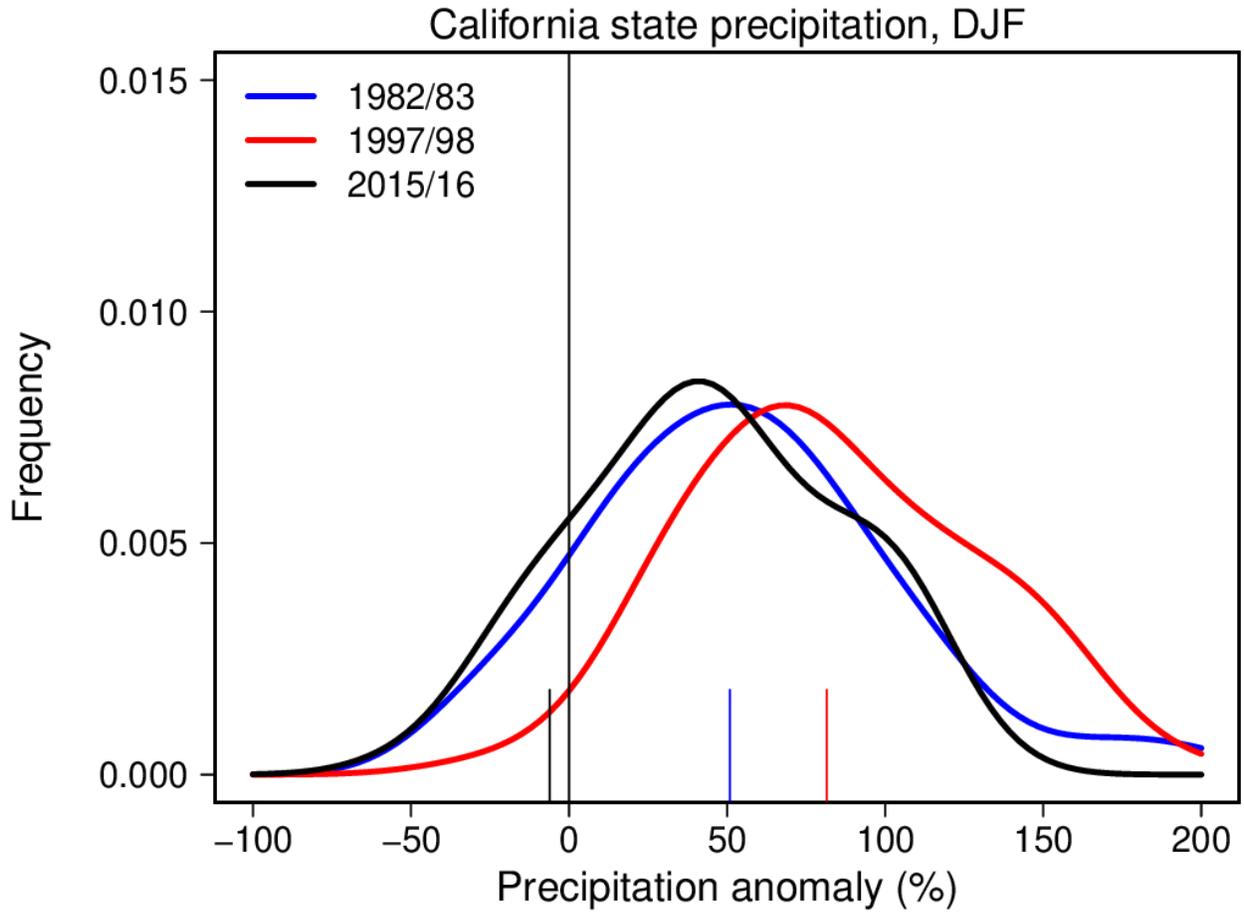
Figure 9. Surface air temperature anomalies for (top) 1982/83 DJF, (middle) 1997/98 DJF and (bottom) 2015/16 DJF from (left) observations, (middle) GFSv2 simulated 30-member ensemble mean, and (right) FV3 GFS simulated 100-member ensemble mean. The pattern correlations between models and observations are listed in the titles of the plots.



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Figure 10. Precipitation anomalies (percent departures) for (top) 1982/83 DJF, (middle) 1997/98 DJF and (bottom) 2015/16 DJF from (left) observations, (middle) GFSv2 simulated 30-member ensemble mean, and (right) FV3 GFS simulated 100-member ensemble mean. The pattern correlations between models and observations are listed in the titles of the plots.

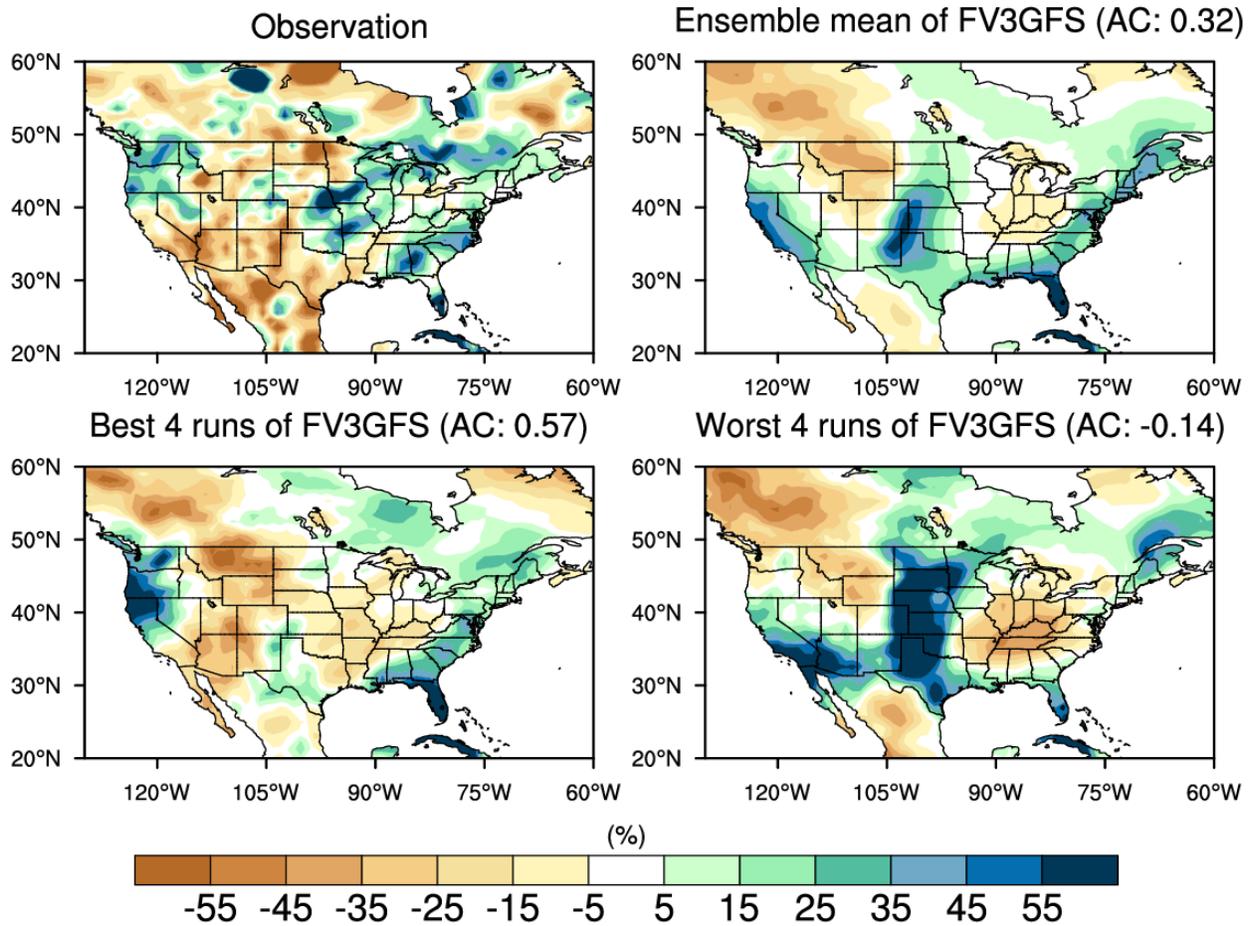
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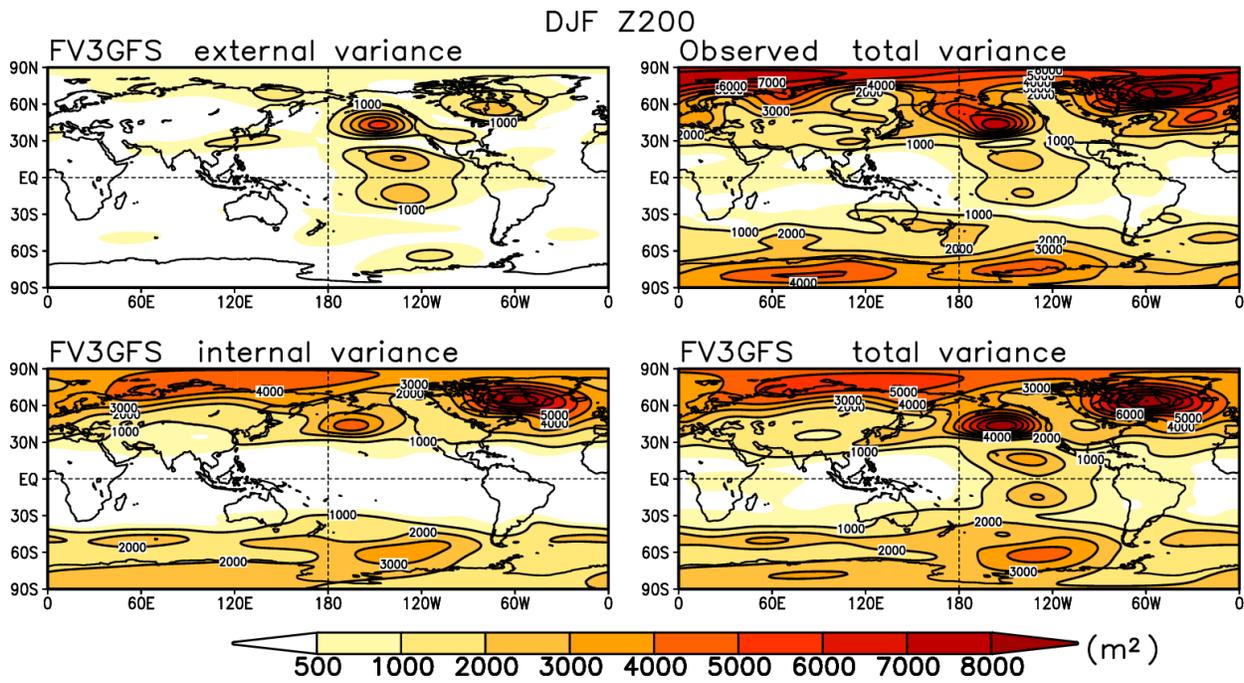
Figure 11. PDFs of California state precipitation anomalies (percent departure) for 1982/83 DJF (blue curve), 1997/98 DJF (red curve) and 2015/16 DJF (black curve). Results are based on 100-member FV3 GFS AMIP simulations. Large tick marks at the bottom show corresponding observed values.

Precipitation anomalies for 2015/16



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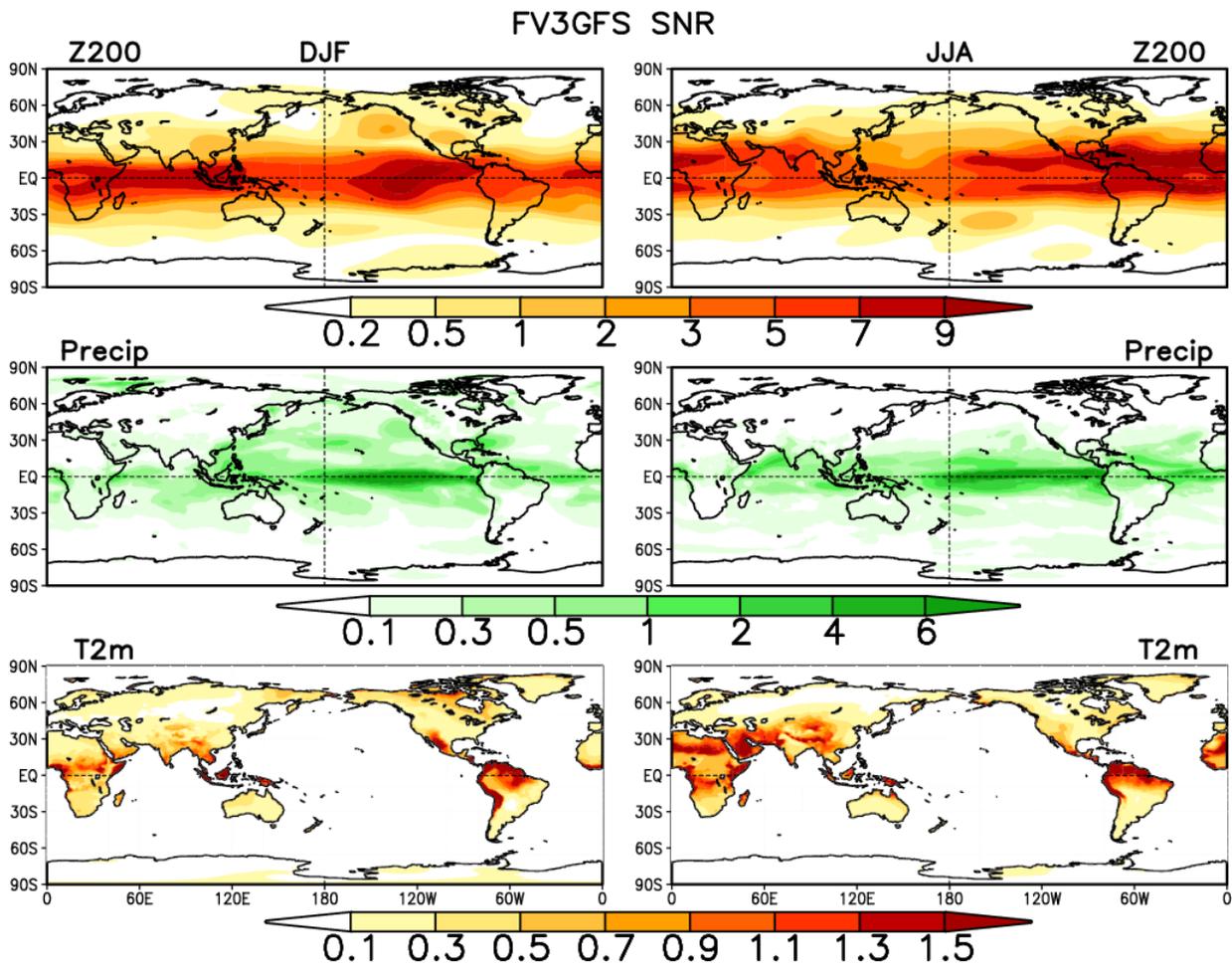
Figure 12. Precipitation anomalies (percent departures) for 2015/16 DJF from (top left) observations, (top right) FV3 GFS simulated 100-member ensemble mean, (bottom left) the composite of 4 best runs, and (bottom right) the composite of 4 worst runs among 100-member FV3 GFS AMIP simulations. The pattern correlations between the model and observation are listed in the titles of the plots.



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Figure 13: The spatial pattern of FV3 GFS simulated (top left) external variance, (bottom left) internal variance, (top right) observed total variance and (bottom right) FV3 GFS simulated total variance of DJF 200-hPa height anomaly. Model results are based on 100-member FV3 GFS AMIP simulations.

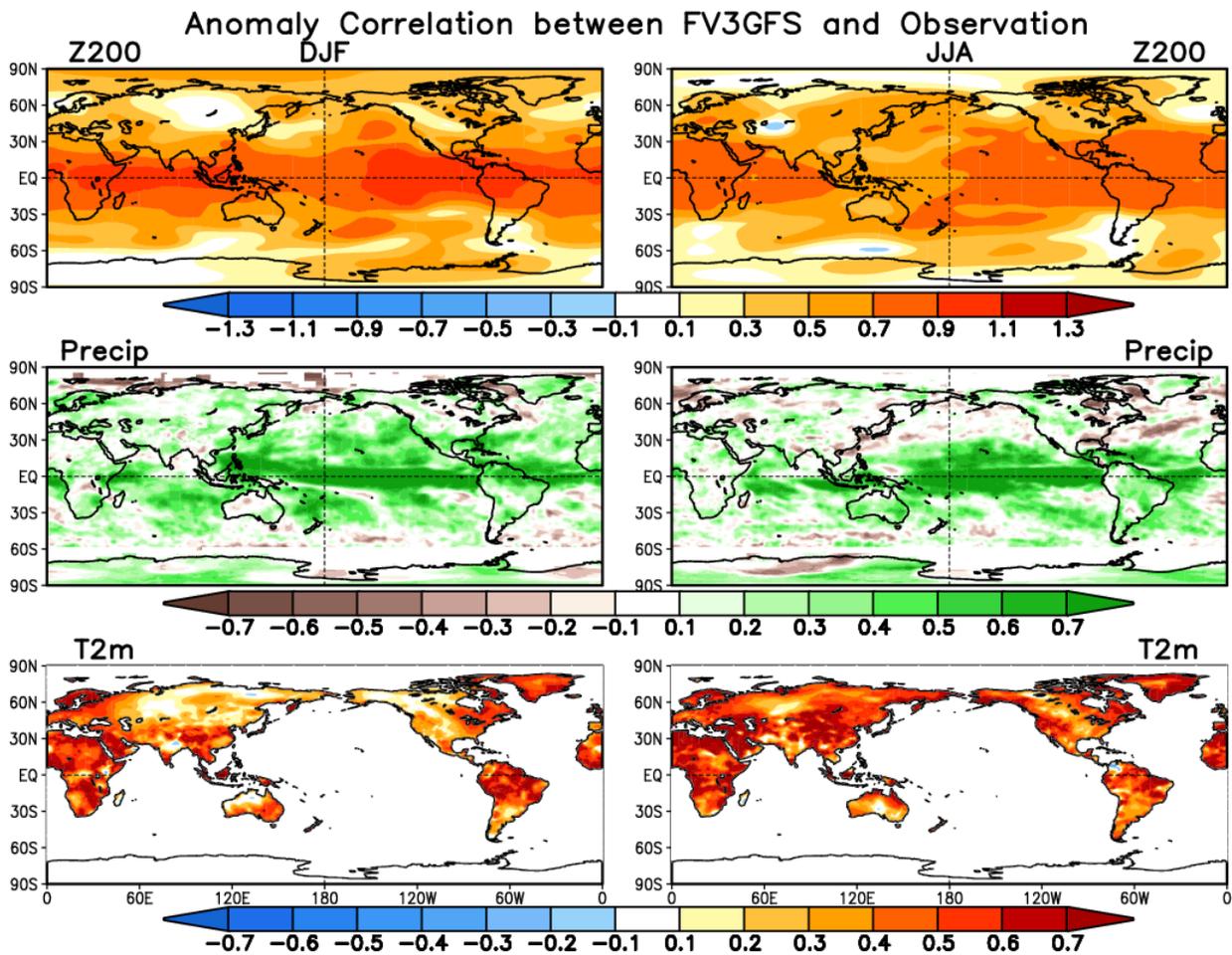
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Figure 14: The spatial pattern of (left) DJF and (right) JJA signal-to-noise ratio (SNR) estimate of (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature anomalies computed as the ratio of external-to-internal variance in 100-member FV3 GFS AMIP simulations.

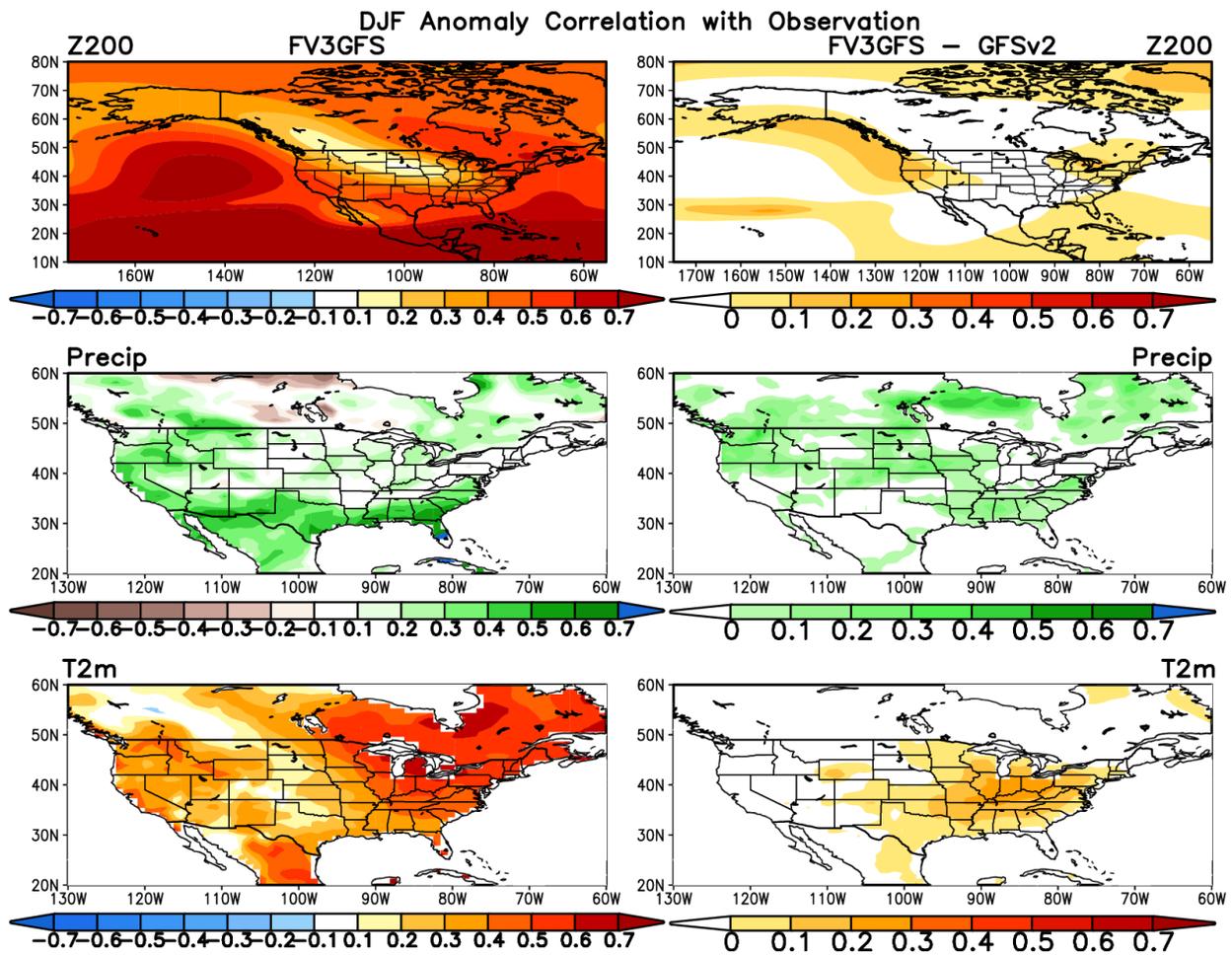
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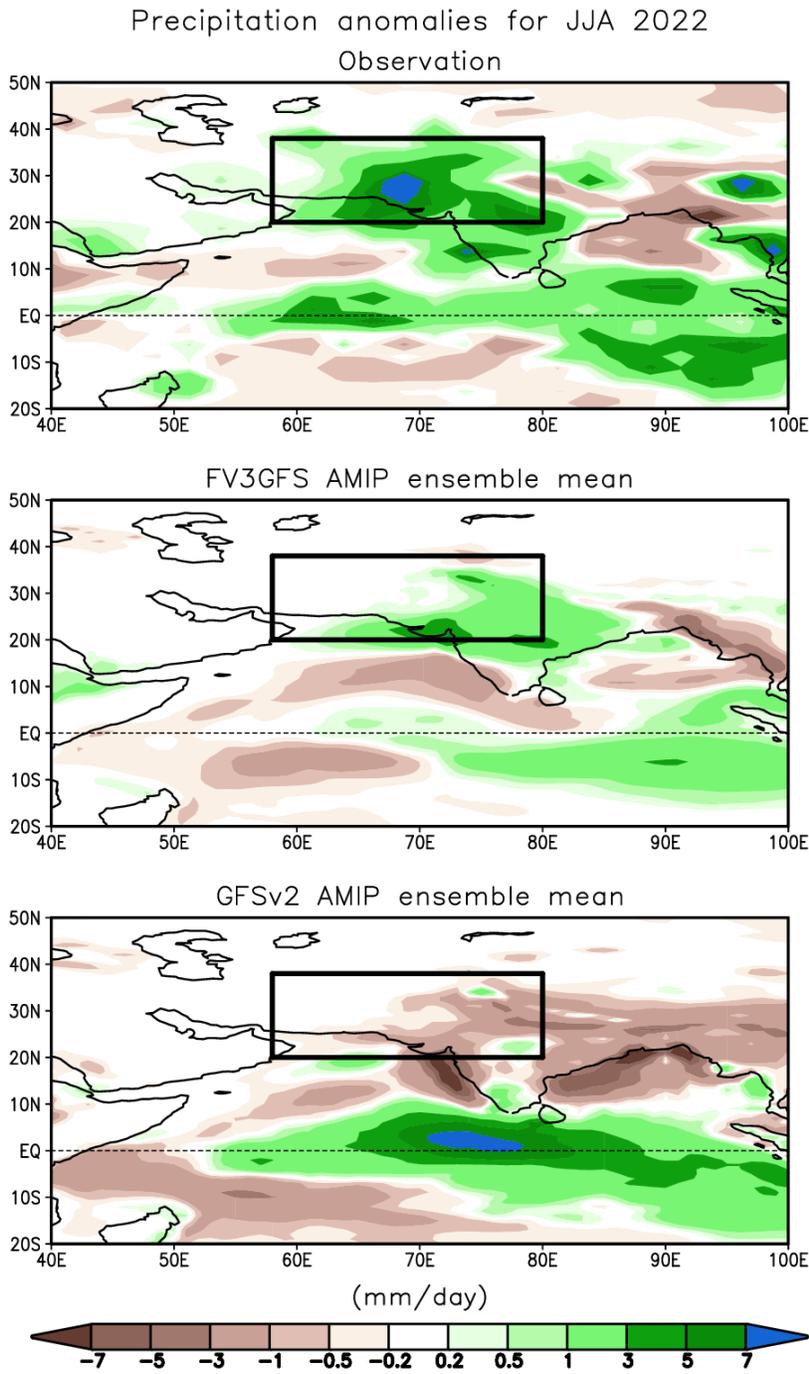
Figure 15: The spatial pattern of (left) DJF and (right) JJA anomaly correlation of (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature between observations and FV3 GFS simulated 100-member ensemble mean.

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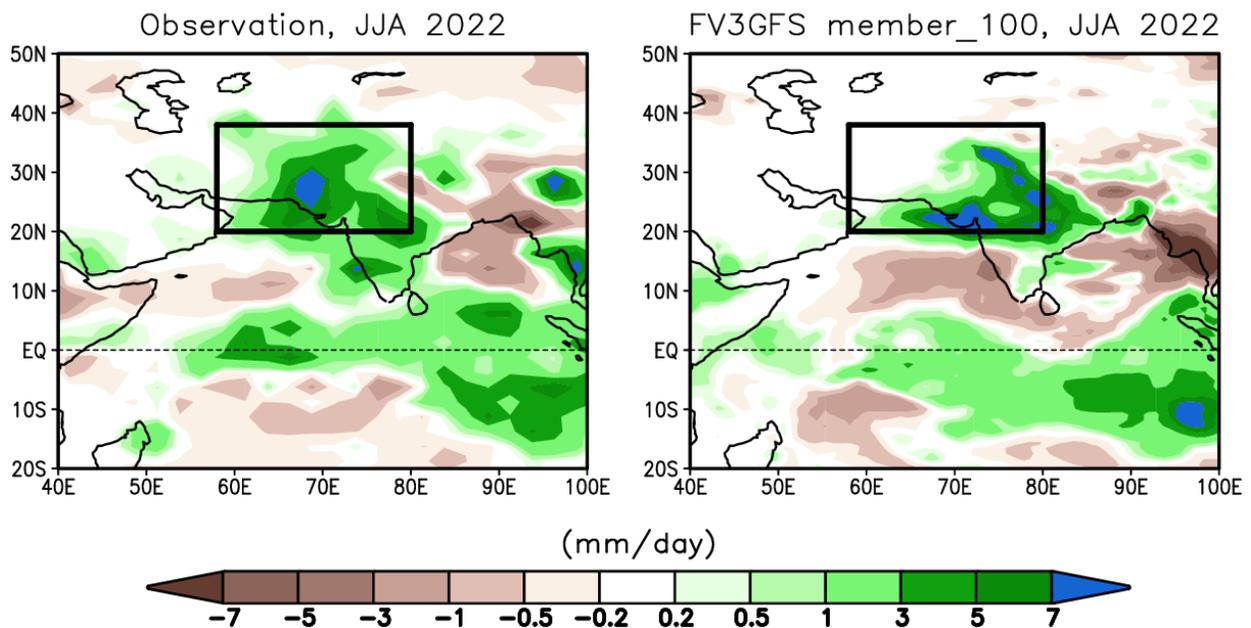
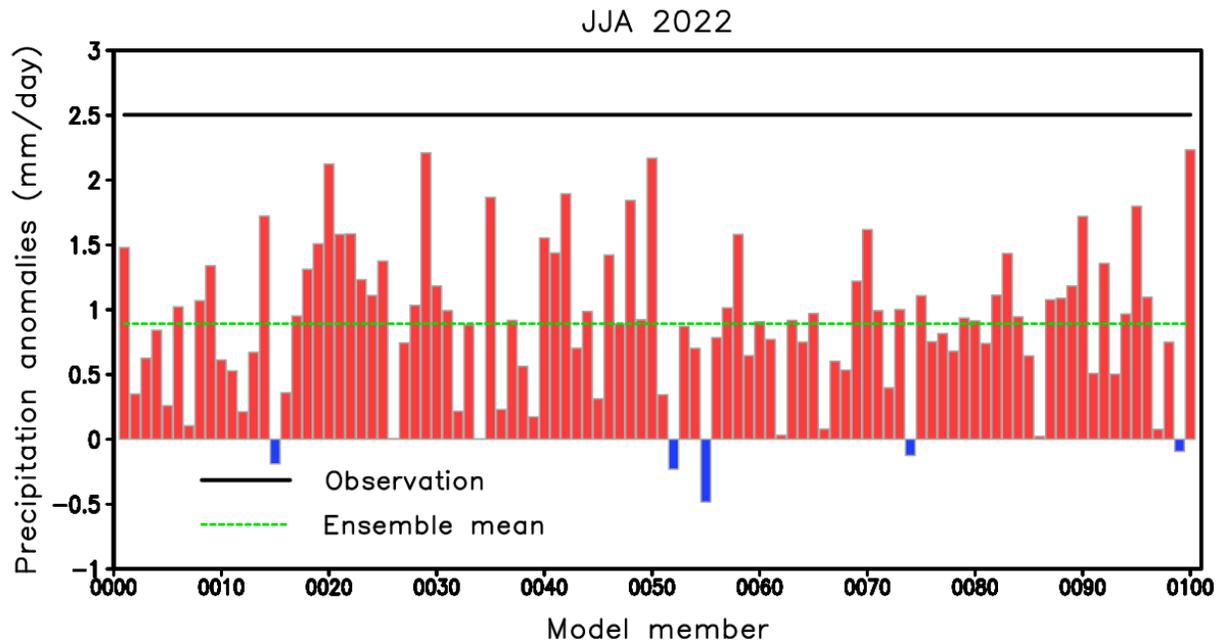
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Figure 16: The spatial pattern of DJF anomaly correlation with observations of (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature from (left) FV3 GFS AMIP ensemble mean and (right) the difference in anomaly correlation with observations between FV3 GFS and GFSv2 AMIP ensemble mean.



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Figure 17. Precipitation anomalies for 2022 JJA from (top) observations, (middle) FV3 GFS simulated 100-member ensemble mean, and (bottom) GFSv2 simulated 30-member ensemble mean. The outlined box shows the South Asia region bounded by 58°-80°E, 20°-38°N.



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Figure 18. (top) precipitation anomalies for 2022 JJA averaged over the South Asia region (58°-80°E, 20°-38°N) from observations (black line), FV3 GFS simulated 100 individual members (red and blue bars) and 100-member ensemble mean (green line), and (bottom) the comparison of the spatial pattern of precipitation anomalies for 2022 JJA between (left) observations and (right) a single member (100th member) from FV3 GFS AMIP simulations. The outlined box shows the South Asia region bounded by 58°-80°E, 20°-38°N.