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3 **A New GFSv15 based Climate Model Large Ensemble and Its Application to**

4 **Understanding Climate Variability, and Predictability**

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6 **Tao Zhang[1,2], Weiyu Yang[2,3], Xiao-Wei Quan[4,5], Jieshun Zhu[2], Bhaskar Jha[2,3],**

7 **Arun Kumar[2], Martin P. Hoerling[4,5], Joseph J. Barsugli[4,5], and Wanqiu**

8 **Wang[2]**

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10 *[1]ESSIC, University of Maryland, College Park, MD*

11 *[2]NOAA/National Centers for Environmental Prediction, College Park, MD*

12 *[3]ERT Inc Laurel, MD*

13 *[4]NOAA/Physical Sciences Laboratory, Boulder, CO*

14 *[5]CIRES, Univ. of Colorado Boulder, Boulder, CO*

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16 Corresponding Author: Tao Zhang, tao.zhang@noaa.gov

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22   **Abstract**

23   NOAA Climate Prediction Center (CPC) has generated a 100-member ensemble of Atmospheric

24   Model Intercomparison Project (AMIP) simulations from 1979 to present using the GFSv15 with

25   FV3 dynamical core. The intent of this study is to document a development in an infrastructure

26   capability with a focus to demonstrate the quality of these new simulations is on par with the

27   previous GFSv2 AMIP simulations. These simulations are part of CPC's efforts to attribute

28   observed seasonal climate variability to SST forcings and get updated once a month by available

29   observed SST.

30   The performance of these simulations in replicating observed climate variability and trends,

31   together with an assessment of climate predictability and the attribution of some climate events is

32   documented. A particular focus of the analysis is on the US climate trend, Northern Hemisphere

33   winter height variability, US climate response to three strong El Niño events, the analysis of signal

34   to noise ratio (SNR), the anomaly correlation for seasonal climate anomalies, and the South Asian

35   flooding of 2022 summer, and thereby samples wide aspects that are important for attributing

36   climate variability. Results indicate that the new model can realistically reproduce observed

37   climate variability and trends as well as extreme events, better capturing the US climate response

38   to extreme El Niño events and the 2022 summer South Asian record-breaking flooding than GFSv2.

39   The new model also shows an improvement in the wintertime simulation skill of US surface

40   climate, mainly confined in the Northern and Southeastern US for precipitation and in the east for

41   temperature.

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Key points

45   • A large AMIP ensemble based on NOAA's GFSv15 with FV3 dynamical core is created to

46   support attribution of observed climate anomalies at CPC.

47   • The new simulations can replicate the observed climate variability and trends as well as extreme

48   seasonal events.

49   • There are some improvements in simulating the extreme events in the new model compared to

50   the older version.

Plain Language Summary

52   To correctly account for extreme weather and climate events such as heatwaves, floods and

53   droughts that have devastating effects on the US economy and human lives, climate model

54   experiments have become a key tool to disentangle numerous responsible factors. A recent

55   development of an updated modeling framework at the National Centers for Environmental

56   Prediction (NCEP) to support the attribution of observed seasonal anomalies is reported in this

57   study. We have generated a 100-member ensemble of simulations in which each member has

58   identical SST forcing but differs only by the initial atmospheric condition. These simulations are

59   updated once a month when the observed SST data becomes available. We use the ensemble mean

60   of these simulations to describe the responses to SST (referred to as the potentially predictable

61   component of observed anomalies) and use the departure of individual members from the ensemble

62   mean to assess the unpredictable component in the atmospheric variability. We document the

63   performance of these simulations in replicating the observed climate variability, trends and

64   extreme events, and find that the new model can realistically reproduce the observed key features

65   and has a better simulation of extreme seasonal events compared to the previous version.

66

1. Introduction

Needs for understanding climate variability and predictability, understanding of long-lasting climate anomalies, and reasons for success and failures for long-range predictions, can be well served by ensembles of AMIP-style simulations, that is, atmosphere-only simulations that are constrained by the evolution of realistic SSTs and sea ice (Gates et al. 1998). The AMIP approach allows for the isolation of the atmospheric sensitivity to observed and specified evolution of SSTs, though it cannot explain the origin for the SSTs themselves. The ensemble mean of AMIP simulations documents the response to SSTs, often referred to as the potentially predictable (or attributable) component of the observed anomalies, or potential for predictions well beyond the limits of when initial atmosphere conditions constrain weather. The contribution of the unpredictable component in the atmospheric variability can also be assessed from the analysis of the departure of individual AMIP model simulations from the ensemble mean anomalies. In addition, the analysis of individual simulations can evaluate the role of noise in the level of discrepancy of the observed anomalies from the predictable (attributable) component because of the correspondence between observed anomalies and a realization of a single model run (Kumar et al. 2013).

AMIP simulations are well suited to understand causes for extreme weather and climate events including floods, droughts, and heat waves that are known to have devastating effects on human lives and the economy of the United States (Changnon 1999; Seager et al. 2015; NOAA 2017; Philip et al. 2021). For example, southern states and California were plagued by storms attributed to El Niño 1997-98. In addition to the losses of 189 lives, the estimated economic losses nationally were about $4 billion (Changnon 1999). The California drought of 2014 cost California $2.2

90   billion in damages and 17000 agricultural jobs (Howitt et al. 2014; Seager et al. 2015). The recent

91   heatwave of June 2021, whose temperature records were historically highest in some cities in the

92   Pacific northwest of the U.S. and Canada, caused a sharp increase in sudden deaths and hospital

93   visitations for heat-related illnesses and emergencies (Philip et al. 2021). The key for predicting

94   these events depends strongly on understanding their causal relationship with external drivers (an

95   exercise often referred to as attribution), for example, slowly evolving SST anomalies, decadal

96   variability, and long-term trends. However, as causal relationships seldom explain a large fraction

97   of total variability and are superimposed on the internal variability (e.g., Kumar et al. 2013; Zhang

98   et al. 2018), observations, due to their limited sample, alone are inadequate to fully establish such

99   relationships, particularly on an individual event basis. For this purpose, climate model

100   experiments, for example, the aforementioned AMIP simulations, have become an indispensable

101   tool to disentangle the various factors accounting for extreme weather and climate variability on

102   different time scales (Murray et al. 2020; Barsugli et al. 2022). In this paper, a recent development

103   of such a modeling framework at NCEP in support of the attribution of observed climate anomalies

104   is reported.

105

106   Climate attribution is a scientific process for establishing the principal causes or physical

107   explanation for observed climate conditions and phenomena. To date, the attribution efforts at CPC

108   have relied on the current operational seasonal prediction system - the Climate Forecast System

109   v2 (CFSv2) (https://www.cpc.ncep.noaa.gov/products/people/mchen/AttributionAnalysis/). To

110   provide a historical perspective, the first Climate Forecast System (CFS), called CFSv1, was

111   implemented into operations at the NCEP in August 2004 and was the first fully coupled

112   atmosphere–ocean–land model used at NCEP for seasonal prediction (Saha et al. 2006).

113   Subsequently, the CFSv2 was made operational in March 2011 (Saha et al. 2014), with upgrades

114   to all aspects of the data assimilation and forecast model components. CFSv2 generates a set of 9-

115   month retrospective forecasts with forecasts initialized using the analysis from the corresponding

116   Climate Forecast System Reanalysis (CFSR) (Saha et al. 2014).

117

118   In addition to the initialized CFSv2 forecasts, at CPC a large ensemble of AMIP simulations based

119   on GFSv2, the atmospheric component of the CFSv2, updated in real-time, has also been

120   maintained to attribute causes for the observed real-time seasonal climate anomalies by identifying

121   the impacts of anomalous boundary forcing (particularly due to SSTs). The ensemble of AMIP

122   simulations with GFSv2 has been used to diagnose the forced response to observed SSTs, such as

123   the forced atmospheric teleconnections during 1979-2014 (Hartmann 2015; Zhang et al. 2016), the

124   causality of California rains (Seager et al. 2015; Zhang et al. 2018), and US surface climate

125   response associated with El Niño flavors (Zhang et al. 2020).

126

127   Despite continued improvements in spatial resolution, energy conservation, and computational

128   efficiency, the hydrostatic spectral dynamical core of the NCEP Global Forecast System (GFS)

129   [Global Spectral Model (GSM)] has not been upgraded since the 1980s. In 2016, the Finite-

130   Volume Cubed-Sphere Dynamical Core (FV3), developed at the NOAA/Geophysical Fluid

131   Dynamics Laboratory (GFDL), was selected as the dynamical core of NOAA Next Generation

132   Global Prediction System (NGGPS) project as an upgrade for the GSM. The advantage of FV3

133   includes its high efficiency and scalability, run-time switchable nonhydrostatic solver allowing for

134   convective-scale simulation, exact mass and approximate energy conservation, skillful forecasts

135 and adaptability to the present GFS physics and data assimilation system and its robust kinetic

136 energy spectrum (Zhou et al. 2019).

137

138 In recent years, a new global model coupling the FV3 with GFS physical parameterizations, called

139 the finite-volume Global Forecast System, or FV3/GFS (Zhou et al. 2019), has been developed.

140 The FV3 GFS was implemented into the operational Global Forecast System as version 15

141 (GFSv15) in 2019 (https://www.emc.ncep.noaa.gov/users/meg/fv3gfs/).

142

143 To continue supporting requirements for the attribution of seasonal climate anomalies and to assess

144 the reasons for the success and failures of operational seasonal forecasts, CPC also upgraded AMIP

145 simulations from the GSM based atmospheric model to one based on FV3GFS. As part of this

146 effort, a large 100-member ensemble of AMIP simulations from 1979 to present using the GFSv15

147 with FV3 dynamical core has been generated.

148 The goal of present analysis is to introduce this data set that can be used for understanding various

149 aspects of climate variability, document the performance of these simulations in replicating

150 observed climate variability and trends, development in an infrastructure capability by comparing

151 the quality of FV3 GFS model simulations with those of GFSv2, and give some examples of the

152 assessment of climate predictability and attribution of some climate events. The focus of this study

153 is on the evaluation of the performance of FV3 GFS AMIP simulations relative to GFSv2 in

154 replicating observed climate variability and trends for the period of 1979-2021.

155

156 This paper is organized as follows: We introduce the observational and model datasets as well as

157 analysis methods in section 2. Section 3 first presents an assessment of the climatology in the

158 model, and then the simulation of observed trend and northern hemisphere winter height variability.

159 Finally, the simulation of US climate response to ENSO, the assessment of climate predictability

160 and simulation of extreme seasonal events are also presented. Conclusions and discussions are

161 given in section 4.

162 **2. Datasets and methods**

163 *a. Observed and model data*

164

165 The characteristics of observed estimates for land surface climate conditions are based on analysis

166 of the Global Historical Climatology Network/Climate Anomaly Monitoring System

167 (GHCN/CAMS) 2-meter temperature (T2m) (Fan and van den Dool 2008) and gauge-based

168 gridded monthly Global Precipitation Climatology Centre (GPCC) data sets (Schneider et al. 2014),

169 available at 1°-by-1° resolution. Same as the data used in Zhang et al. (2006), observed estimates

170 of the upper-level circulation pattern are based on 200-hPa geopotential height fields using the

171 National Centers for Environmental Prediction –National Center for Atmospheric Research

172 reanalysis (Kalnay et al. 1996). To explore the possible tropical drivers for land surface climate

173 conditions and upper-level circulation patterns, we also analyzed global teleconnection associated

174 with the tropical SST and precipitation variability. The observed SST data, on a 1°-by-1° grid, are

175 from the Hurrell data set (Hurrell et al. 2008), which is a combined version of the Hadley Centre's

176 SST version 1.1 (HADISST1) and the NOAA Optimal Interpolation (OI) SST version 2 (OISSTv2)

177 from November 1981 onward. Global precipitation fields are from the CPC Merged Analysis of

178 Precipitation (CMAP; Xie and Arkin 1997) and are available at 2.5°-by-2.5° resolution.

179

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180   We utilize an atmospheric model simulation [also referred to as AMIP experiments] based on

181   NOAA's GFSv15 model with the Finite-Volume (FV3) dynamical core (Putman and Lin, 2007)

182   on a cubed-sphere grid (https://www.emc.ncep.noaa.gov/users/meg/fv3gfs/). The GFSv15 uses the

183   Rapid Radiative Transfer Method for General Circulation Models (RRTMG) scheme for

184   shortwave and longwave radiation (Iacono et al. 2008), hybrid eddy-diffusivity mass flux

185   turbulence scheme (Han et al. 2016), GFDL microphysics (Zhou et al. 2019), and scale-aware

186   mass flux convection scheme (Han and Pan 2011). The GFSv15 physics also includes Noah land

187   surface model and a revised bare-soil evaporation scheme. A three-layer thermodynamic sea ice

188   model (Winton 2000) has been coupled to the GFSv15 and it predicts sea ice thickness. Detailed

189   description of parameterization schemes, with associated references, can be found at

190   https://dtcenter.ucar.edu/GMTB/v3.0/sci_doc/GFS_v15_page.html.

191

192   A version of this atmospheric model is currently the operational global weather prediction system

193   at NCEP. The FV3 GFS model used in our simulations is run at C96 horizontal resolution with 64

194   vertical levels and forced with specified observed monthly varying SSTs, sea ice (Hurrell et al.

195   2008), and carbon dioxide concentrations from the World Data Centre for Greenhouse Gases

196   (WDCGG) operated by the Japan Meteorological Agency (JMA) for 1979–2021. Climatological

197   values are specified for other greenhouse gases, aerosols, solar, and volcanic aerosols. A 100-

198   member ensemble of AMIP simulations is maintained at NOAA's CPC. Each member in the

199   ensemble has identical external forcing but differs only by its initial atmospheric condition. The

200   forced response to external forcings is derived from the statistics of 100-member simulations, e.g.,

201   ensemble average.

202

203   To assess the robustness of key features in replicating observed climate variability and trends by

204   FV3 GFS, we also diagnose the AMIP simulations from a 30-member ensemble of the GFSv2

205   model that spans the same period. As the atmospheric component of the NCEP CFSv2 (Saha et al.

206   2014), the GFSv2 model is the previous version of CPC AMIP simulations and is run at spectral

207   T126 horizontal resolution with 64 vertical levels.

208

209   *b. Methods*

210   In the present study, we follow the methodology of Zhang et al. (2016) to obtain the observed

211   leading structures of the Northern Hemisphere (NH) wintertime circulation variability by applying

212   empirical orthogonal function (EOF) analysis to DJF seasonally averaged 200-hPa heights for the

213   42 years of data during 1979-2021 period. The EOF analysis is based on the covariance matrix for

214   20ºN-90ºN latitude band and the EOF patterns are presented as regressions against the principal

215   component (PC) time series. Note that unrotated EOFs utilized here are constructed to be both

216   spatially and temporally uncorrelated with each other.

217

218   Leading EOF modes of observational variability have contributions both from the atmospheric

219   internal and forced variability. We complement this analysis with the EOF mode analysis of

220   ensemble mean AMIP data to isolate the forced signals. We then provide a comparison of the first

221   three leading modes of variability of the observed and FV3 GFS simulated DJF 200-hPa

222   geopotential heights from the individual members of AMIP simulations, which demonstrates that

223   the model can well capture the observed three leading modes of interannual variability.

224

225   Based on a 100-member ensemble of FV3 GFS AMIP simulations, probability density function

226   (PDF) is analyzed to reveal the statistics of US climate trends by examining the frequency

227   distributions of surface climate conditions over two different periods. We also plot the PDF of

228   California rainfall from the large ensemble of FV3 GFS to explore the possible cause of observed

229   failed California rains during the strong 2016 El Niño winter.

230

231   Finally, climate predictability in our analysis is further assessed by examining the signal-to-noise

232   ratio (SNR) which quantifies predictable (signal) and unpredictable (noise) components. The

233   signal component in the SNR is the variance of ensemble mean while the noise component is the

234   variance of departure in the individual members from the ensemble mean (Kumar and Hoerling

235   1995). Higher SNR values indicate larger predictability. The anomaly correlation (AC), defined

236   as the correlation of anomalies between AMIP ensemble means and observations, is calculated to

237   complement SNR analysis. It is expected that larger SNR would correspond to larger AC (Kumar

238   and Hoerling 2000). Anomalies are computed relative to a 1991-2020 reference period for AMIP

239   simulations and observations.

240

241   **3. Results**

242   a. Assessment of the climatology

243   Instead of a direct comparison of the climatology between model and observations, we focus on

244   the assessment of the seasonal cycle of climatology because observed estimates of quantities like

245   surface air temperature and rainfall can be problematic (Fan and van den Dool 2008; Xie and Arkin

246   1997).

247

248   Figure 1 shows the difference in climatology between JJA and DJF (JJA minus DJF) for

249   observations (left panel) and FV3 GFS AMIP ensemble mean (right panel). The largest difference

250   in eddy (zonal mean removed) 200-hPa height is in the Northern Hemisphere (NH) middle latitude.

251   The observed positive centers over the Asia and North American Continent and negative centers

252   over the North Pacific and North Atlantic are well captured in the model, with a high pattern

253   correlation of 0.94.

254

255   Observed precipitation difference shows that there is increased precipitation in the north of the

256   equator and decreased precipitation in the south of the equator. This feature is realistically

257   reproduced in the model. Surface air temperature difference pattern is also similar between model

258   and observation, with warming in the northern hemisphere land and cooling in the southern

259   hemisphere land. The pattern correlation is 0.98.

260

261   The global mean values of the differences in climatology between summer and winter for eddy

262   200-hPa, precipitation and surface air temperature are also comparable in the model and

263   observations (see the first value in the titles of maps). The results suggest that FV3 GFS can

264   realistically capture the observed seasonal cycle of climatology. Table 1 lists the global mean

265   values of the difference in climatology (JJA minus DJF) for GFSv2 and FV3 GFS and the

266   respective global pattern correlations with observations. For eddy 200-hPa, the global mean values

267   of climatology differences in two models and the pattern correlations with observations are

268   comparable. Compared to GFSv2, the pattern correlation with observations for precipitation and

269   the global mean value for surface air temperature are improved in FV3 GFS model to some extent.

270

271   We further use Taylor diagram (Taylor 2001) to provide a summary of the relative skill with which

272   two models simulate the spatial pattern of annual mean precipitation and surface air temperature

273   over different regions (Figure 2). Two models generally demonstrated a similar ability to simulate

274   the annual mean surface air temperature and precipitation, featuring the largest pattern correlation

275   (greater than 0.98) and the lowest normalized root-mean-square (RMS) error (less than 0.2) for

276   global temperature, and the smallest pattern correlation (about 0.81) and largest RMS error (about

277   0.65) for tropical precipitation. The standard deviation of global and tropical precipitation is

278   somewhat overestimated, and the standard deviation of tropical temperature is slightly

279   underestimated in the models. Over the contiguous US, the pattern correlations for both

280   temperature and precipitation are greater than 0.90 in two models, while the standard deviations

281   of these two fields are closer to observations in FV3 GFS relative to GFSv2. The simulation of

282   observed trends and climate variability are discussed in the following sections.

283

284   b. Simulation of observed trends

285   Human activities, especially emissions of greenhouse gases, are extremely likely to be the

286   dominant cause of the observed warming trends of global land temperature since the mid-20th

287   century (Wuebbles et al. 2017). A large fraction of these changes is communicated to the

288   atmosphere via the indirect influence of trends in SSTs (Hoerling et al. 2006; Compo and

289   Sardeshmukh 2009; Fahad and Burls 2022). Because the oceans also continuously interact with

290   the atmosphere, SSTs can have considerable effects on global climate variability on different time

291   scales. In addition to the effect of warming oceans on continental temperature trends, increases in

292   SST have also led to an increase in the amount of atmospheric water vapor over the oceans (Yang

293   and Tung 1998). The increased water vapor can enhance the amplitude of climate feedback in

294   response to anthropogenic activities through positive feedback (Held and Soden 2000; Soden et al.

295   2005).

296

297   Because trends contribute to seasonal anomalies especially for temperature-related variables,

298   attribution analysis includes the influence of anthropogenic forcings (either through their direct

299   influence via the radiative forcing or indirect influence via changes in SST that are specified in the

300   AMIP simulations). We therefore document the ability of the AMIP runs to simulate observed

301   trends, particularly in temperature where the influence is most prominent.

302

303   Figure 3 shows the time series of DJF (top) and JJA (bottom) surface air temperature anomalies

304   averaged over global land for 1979-2021. The red line indicates observations, and the blue line

305   and black line show the ensemble means of GFSv2 and FV3 GFS AMIP runs, respectively. To

306   compare observations against the individual runs, and to see if the observed variability is within

307   the envelope of model solutions, the time-series of land temperature in the 100 individual runs

308   from FV3 GFS are also shown (gray lines). It is clear that observations have an upward trend of

309   about 1° C since 1979 for both winter and summer. The FV3 GFS model ensemble mean agrees

310   well with the observed trends. In most cases, the observed value is within the envelope of ensemble

311   spread (gray lines) (that, as expected, has larger variability during winter compared to summer).

312   The previous version GFSv2 ensemble mean has a similar temporal correlation with observed

313   trend as FV3 GFS for winter, but the correlation is somewhat smaller in GFSv2 for summer.

314

315   To explore whether FV3 GFS model can capture the observed trends, Figure 4 shows the frequency

316   distributions [also called probability density functions (PDF)] of wintertime surface air

14

317   temperature (top left) and precipitation (top right) from AMIP runs for the first 5-yr period (blue

318   curve) and last 5-yr period (red curve) of the simulations over the contiguous United States. These

319   two curves, which are significantly different according to the Kolmogorov-Smirnov test, are based

320   on 1500 (100 members multiply by 15 months for 5-yr period) model samples. Short tick marks

321   across the bottom indicate 15 observed values during the corresponding 5-yr period.

322

323   For the two periods the observed values are located within the spread of model samples for both

324   wintertime temperature and precipitation. A feature to note is that the red curve is shifted toward

325   warmer and drier conditions compared to the blue curve. This indicates that the latter period is

326   warmer and drier than the earlier period for spatial average over the contiguous US. In other words,

327   there is a US warming and drying trend during the winter (Weaver et al. 2014).

328

329   The results for summer shown in Figure 4 bottom are similar to those for winter, and two curves

330   are also significantly different through the Kolmogorov-Smirnov test, confirming a US warming

331   and drying trend during the summer as well. Also, as expected, the variability is smaller in summer

332   compared to winter, which is a common feature both in the model and the observation. The US

333   warming trend is also found for both DJF and JJA seasons based on GFSv2 AMIP 30-member

334   ensemble. However, there is no consensus on the precipitation trend for these two seasons in

335   GFSv2 (Fig. S1 in the supplementary material).

336

337   c. Simulation of Northern Hemisphere wintertime height variability

338   The long-lasting climate anomalies are usually related to the leading modes of climate variability

339   (e.g., Hartmann 2015; Zhang et al. 2016). Atmospheric teleconnections associated with ENSO are

340 known to be the underpinnings for North American seasonal climate predictability (Horel and

341 Wallace 1981; Trenberth et al. 1998). Further, understanding the atmospheric response patterns

342 beyond the canonical response to ENSO is also an outstanding problem in quantifying the sources

343 of predictability and attribution of climate variations, and further, may result in improvements in

344 our understanding of seasonal predictability (Hoerling and Kumar 2002; Barnston et al. 2005;

345 Kumar et al. 2005; Zhang et al. 2016). It is thus important to assess the capability of FV3 GFS in

346 reproducing the leading modes of climate variability.

347

348 Figure 5 shows wintertime (DJF) 200-hPa height structures based on the leading three EOFs of

349 the reanalysis data, which explain a combined 56.6% of the height variability poleward of 20°N.

350 Contours in the left panels and shaded values in the right panels are the observed 200-hPa heights

351 and SSTs regressed against each eigenvector's PC time series shown in the middle panels for 1979-

352 2021, respectively.

353

354 The structure of the first leading mode of the observed variability consists of positive height

355 anomalies in the NH middle latitudes and negative anomalies in the polar regions while the time

356 series for this mode is uncorrelated (the value is -0.026) with Niño-3.4 SST variability. This

357 pattern explains 26.1% of extratropical NH wintertime height variability. Zhang et al. (2016) found

358 a similar mode of observed height variability, though ranked second in its EOF decomposition and

359 explaining a somewhat small fraction of height variance for 1979-2014 period. They further noted

360 that this mode can also be reproduced in a climate simulation having no interannual variability in

361 boundary SSTs or external radiative forcing. It is clear that the observed first mode, therefore, is

362 mainly due to internal atmospheric variability. SST regression map (top right) confirms that this

363   mode, resembling Arctic Oscillation (AO) pattern (Thompson and Wallace 1998), is not related to

364   tropical SST forcing.

365

366   Explaining 17.1% of the NH extratropical height variability, the observed second EOF pattern

367   consists of a prominent wave train over the Pacific-North American (PNA) region, resembling the

368   tropical/Northern Hemisphere (TNH) pattern (Mo and Livezey 1986). The time series for the

369   second mode has a moderate correlation (0.58) with Niño-3.4 SST variability. The corresponding

370   SST regression map (middle right) reveals a feature of El Niño SST warming pattern, indicating

371   that the second mode describes the canonical atmospheric teleconnection response associated with

372   ENSO.

373

374   The third EOF of the observed variability explains 13.4% of the variance in height variability,

375   whose pattern, temporal variability and the corresponding SST regression (bottom panels) suggest

376   a possible connection with global warming. The EOF3 pattern largely features a same sign

377   hemisphere-wide pattern and the PC3 times series has a distinct upward trend associated with a

378   dominance of SST warming over the global oceans, suggesting a tendency for NH heights

379   (corresponding to a tropospheric warming) to rise since 1979. This observed EOF3 is very similar

380   to the dominant EOF mode in a large ensemble of CMIP simulations in which the only forcing is

381   anthropogenic greenhouse gases (Zhang et al. 2016), supporting the argument that this mode is

382   related to the anthropogenically forced climate change.

383

384   We evaluate the model's ability to replicate the leading modes of observed variability. For model

385   simulations, however, the leading EOFs can be computed for each of the 100 individual members.

Further, because of sampling, the spatial pattern and the corresponding PC time series has variations from one ensemble member to another. To quantify the fidelity of leading modes of model variability against observations, one approach is to compute pattern correlations between model and observed EOFs and repeat this process for all 100 individual members. These correlations are shown in Fig. 6 (right panels).

The EOF1 pattern correlation between individual members of the AMIP simulations and observations based on 42 winters ranges from 0.038 to 0.80, and the EOF2 pattern correlation ranges from 0.0076 to 0.72. The corresponding mean value of EOF1 pattern correlations with observation from 100 individual AMIP members is 0.61, much larger than the mean value (0.28) of 100 EOF2 pattern correlations. The range of EOF3 pattern correlation is more scattered, with values ranging from 0.0035 to 0.86, and the corresponding mean value of 0.47. In general, due to sampling variability, there is large uncertainty in the spatial details of EOF structures from one ensemble member to another leading to a similar variability in spatial correlations, especially for the last two modes.

FV3 GFS can reproduce the pattern of observed first three leading modes with moderate to high correlations (see figure captions for correlation values), as is evident from the results of a single member (Figure 6 left) for which the mean correlation for the first three modes with observations is largest. The explained variance for each mode from this run is also very close to observed values. But the correlation of the PC1 time series from this member with the observed PC1 time series is 0.21, much smaller than the PC2 counterpart (0.55). The correlation of PC3 time series between this member and observations (0.44) is roughly double the corresponding value for PC1. We note

409   that the amplitude of these correlations depends on to what extent these modes are a result of

410   atmospheric internal variability and to what extent they are constrained by the evolution of SSTs.

411   If a mode is dominated by the atmospheric internal variability, then even though the spatial pattern

412   of the EOF between observations and model simulation may be the similar, the corresponding

413   time-series could still be uncorrelated.

414

415   Since one of the applications of AMIP simulations is to understand the forced response to SSTs,

416   our analysis further explores the forced atmospheric variability during 1979-2021 by using the

417   100-member ensemble mean of AMIP simulations (Figure 7). The three leading EOFs of the

418   ensemble mean AMIP simulations together explain 84.8% of the total boundary forced ensemble

419   mean height variance.

420

421   The height pattern associated with the first mode of forced AMIP response describes a prominent

422   wave train over the PNA region that resembles the TNH pattern. The time series for this leading

423   mode shows a high correlation (0.93) with Niño-3.4 SST variability, featuring positive polarity

424   during warm events (e.g. 1982/83, 1991/92, 1997/98, 2002/03, 2009/10, 2015/16) and negative

425   polarity during cold events (e.g. 1988/89, 1998/99, 1999/2000, 2007/08, 2011/12, 2020/21). The

426   corresponding SST regression against PC1 time series confirms that this mode is clearly related to

427   ENSO, similar to the observed second mode shown in the middle of Figure 5. This forced pattern

428   alone explains 41.5% of the total boundary forced component of extratropical NH wintertime

429   model simulated ensemble mean height response.

430

431 Associated with a ubiquitous warming over the global oceans, the second mode of forced AMIP

432 solutions is characterized by a hemisphere-wide increase in heights. This forced mode resembles

433 the observed third mode shown in the bottom of Figure 5 that is strongly related to climate change

434 discussed earlier. The explained variance by this forced mode is 28.0%.

435

436 Explaining 15.3% of the total boundary forced height variability over the NH extratropics, the

437 height pattern associated with the third mode of forced AMIP response describes a wave train

438 resembling the classic PNA pattern. Its action centers are in spatial quadrature with the leading

439 forced solution, similar to the second EOF pattern in Zhang et al. (2016). The larger amplitudes in

440 the corresponding PC3 time series tend to occur during ENSO events (e.g. 1982/83, 1997/98,

441 2015/16 warm events, and 1988/89, 1998/99, 1999/2000, 2007/08, 2011/12 cold events), large

442 projections also occur during several ENSO-neutral years (e.g. 1985/86, 1996/97, 2013/14). Zhang

443 et al. (2016) found that there is a high correlation between PC time series for this forced mode and

444 trans-Niño (TNI) SST index, which measures the evolution of ENSO during its transition phase

445 (Trenberth and Stepaniak 2001). The SST regression map (Figure 7 bottom right) is very close to

446 the SST asymmetry between El Niño and La Niña events (Zhang et al. 2016). Stronger El Niños

447 have larger SST magnitudes in the eastern equatorial Pacific while stronger La Niñas have larger

448 magnitudes in the western equatorial Pacific, causing a positive skew in the Niño-3 index

449 indicative of nonlinearity in SST forcings (Burgers and Stephenson 1999; An and Jin 2004; Zhang

450 et al. 2009; Zhang and Sun 2014). Therefore, the positive phase of the forced third mode is linked

451 to the asymmetry in ENSO teleconnections between their extreme opposite warm and cold phases.

452 Zhang et al. (2016) also argued that for the negative phase of this mode, the SST pattern is

453 analogous to a pattern that is the precursor to El Niño development (e.g., Penland and Sardeshmukh,

454   1995), featuring warmth in the far western Pacific and coolness in the far eastern Pacific. This may

455   indicate that the negative phase of third mode is shown to be an expression of atmospheric response

456   to a tropical precursor SST for ENSO development that occurs mostly during ENSO-neutral

457   winters.

458

459   To assess the robustness of key features of the forced atmospheric variability, we repeat the

460   analysis of Figure 7 by using the 30-member ensemble mean of GFSv2 AMIP simulations (Fig.

461   S2 in the supplementary material). The results are found to be similar, including the EOF ranking

462   and explained variance of three leading forced modes.

463

464   The observed leading mode, i.e., the AO pattern is absent among the first three leading modes of

465   AMIP forced solutions. The results lend further support to the previous argument that the observed

466   first mode is very likely attributed to unforced variability.

467

468   d. Simulation of US climate response to ENSO

469   ENSO is the largest source of atmospheric predictability and an important aspect of climate

470   attribution (e.g., Kumar and Hoerling 1998; Goddard and Dilley 2005; Quan et al. 2006), and

471   therefore, it is essential to quantify the fidelity of ENSO response in AMIP simulations.

472

473   Figure 8 compares the spatial pattern of the regressions of wintertime 200-hPa height, precipitation

474   and surface air temperature anomalies on the observed Niño-3.4 SST index between FV3 GFS

475   AMIP simulations (right panel) and observations (left panel). The regressions for the model are

476   obtained by first calculating the regressions for individual runs and then averaging 100 regression

477   estimates.

478

479   In response to El Niño warming, the observed upper-tropospheric circulation anomaly shows the

480   classic El Niño-related teleconnection pattern consisting of anomalous tropical anticyclones,

481   cyclonic anomalies over the North Pacific and anticyclonic anomalies over the North American

482   continent. The observed precipitation is characterized by reduced convection over the tropical

483   western Pacific and enhanced convection over the tropical Indian Ocean and tropical central and

484   eastern Pacific. The temperature response reveals warming (cooling) over the northern (southern)

485   United States, similar to the observed surface temperature composite during Eastern Pacific (EP)

486   El Niño (Zhang et al. 2020). Appreciable warmth is also observed over Eurasia in the middle

487   latitude.

488

489   FV3 GFS AMIP results reproduce the observed key features associated with ENSO. The

490   magnitude of the negative surface temperature anomalies, however, is overestimated over the

491   Southern United States, where the simulated cyclonic anomalies are also stronger. We also note

492   that the magnitude of observed warmth is somewhat underestimated over Eurasia, South Africa,

493   and Australia and overestimated over the Northern South American continent. It should be noted

494   that while the ENSO response in the model simulations is the average of 100 estimates, and

495   therefore, has a higher statistical significance, the observed estimate could be influenced by

496   sampling variability.

497

498   Next, we compare the seasonal climate variability for extreme El Niño events, and further, discuss

499   the role of internal variability in shaping the observed anomalies. We also evaluate how well the

500   FV3 GFS model simulates the US climate response to ENSO compared to the previous GFSv2

501   model that has been used for attribution studies.

502

503   Figure 9 shows the wintertime surface air temperature anomalies for three strong El Niño events

504   from observations (left), GFSv2 simulated ensemble mean (middle) and FV3 GFS simulated

505   ensemble mean (right). During the 1982/83 El Niño, maximum warm temperature anomalies are

506   located over the northern United States, but the surface temperature is colder than normal over the

507   southern United States. The above normal anomalies shift gradually from north to south in recent

508   two strong El Niño (1997/98 and 2015/16) events.

509

510   Similar to observations, there is a clear southward shift of warm anomalies from 1982/83 El Niño

511   to 2015/16 El Niño for two model ensemble mean results. This is consistent with the US warming

512   trends documented using PDFs (Fig. 4). The models have a moderate (0.4~0.5) pattern correlation

513   with observations in 1982/83 El Niño and a higher pattern correlation (above 0.7) with

514   observations in recent two strong El Niño events. Despite the comparable pattern correlations with

515   observation for two models, there is an improvement in FV3 GFS model relative to GFSv2 in the

516   south-eastern coastal regions of the U.S. where the GFSv2 has too strong cold anomalies but the

517   simulations from FV3 GFS are closer to observations.

518

519   Figure 10 shows the corresponding precipitation anomalies for three strong El Niño events from

520   observations and simulations from two models. The observed precipitation patterns for both

521   1982/83 and 1997/98 are very similar, with wetter anomalies in the west and central US and

522   southern coast. However, negative rainfall anomalies over southern California are observed for

523   2015/16 winter. It can be seen that the 1997/98 El Niño has the largest wetness in the southwest.

524

525   In contrast to the observed anomalies, the ensemble mean precipitation response in two models

526   has a very similar pattern for all three strong El Niño events, characterized by a wetness across the

527   west, central US and southern coast that resembles the observed precipitation responses to 1982/83

528   and 1997/98 El Niño events. Further, opposite to the observed dryness in Southern California, the

529   model ensemble mean response shows that the Southern California has wet conditions in 2015/16

530   El Niño, consistent with previous studies (e.g., Chen and Kumar 2018; Zhang et al. 2018).

531   Compared to GFSv2, FV3 GFS model has an increased (more than double) precipitation pattern

532   correlation (0.32 vs. 0.14) with observation during 2015/16 El Niño. Generally, the precipitation

533   response in the models has a high pattern correlation with observations during 1997/98 El Niño.

534   These results indicate that during 2015/16 the observed rainfall anomalies may have been

535   influenced by the atmospheric internal variability.

536

537   To explore the role of internal variability in determining the seasonal mean rainfall over California,

538   Figure 11 shows probability density functions (PDFs, estimated as nonparametric fits to the

539   histograms of the raw data) of California winter precipitation during three strong El Niño events

540   based on FV3 GFS AMIP simulations. The long tick marks indicate the corresponding observed

541   values for the three winters. The black PDF, drawn from 100-member ensemble FV3 GFS AMIP

542   simulations of 2015/16, is statistically indistinguishable from the blue PDF drawn from 100-

543   member ensemble FV3 GFS AMIP simulations of 1982/83, and for both, the mean of the PDF is

544   shifted to the right. The results indicate that the most likely California winter precipitation

545   condition is one for wetness in the presence of strong El Niño, with a statistical mode of +42% in

546   2015/16 runs and +52% in 1982/83 runs. The PDFs also illustrate the fact that even during strong

547   El Niño events, there is also an appreciable probability for California seasonal mean rainfall to be

548   negative. Further, for each PDF since all model simulations that went into its estimation have the

549   same SST forcing, the spread in the PDF is due to atmospheric internal variability. The PDF of

550   California winter precipitation for 1997/98 runs is significantly different from the PDFs for

551   2015/16 and 1982/83 runs, with a statistical mode of +70%. This is consistent with the observations

552   for which the strongest California rains are for the 1997/98 winter among three extreme El Niño

553   events. The observed California 2015/16 dryness was almost certainly an articulation of unforced

554   variability and is supported by the fact that the observed condition resides within the dry tail of the

555   forced PDF (black curve).

556

557   To further understand the cause for observed Southern California failed rains, we calculate the

558   2015/16 winter precipitation pattern correlation with observation from 100 individual members

559   and make composites for the four runs that had the best or the worst correlation among the sample

560   of 100 (Figure 12). The analysis approach follows that of Kumar et al. (2013).

561

562   The analysis based on individual model simulations indicates that on an individual run basis the

563   observed dryness over Southern California can be replicated. This is evident from the composite

564   of best four runs for which the anomaly correlation is the largest positive (left panels). For the

565   composite of four runs that have the largest negative anomaly correlation, the simulated rainfall

566   anomaly is opposite to the observed rainfall over California, and further, the wet condition over

567   Southern California is similar to that in SST forced signal in ensemble mean results (top right

568   panel). Thus, the internal atmospheric variability, rather than a boundary-forced signal, was the

569   likely cause for the failed Southern California rains in 2016 even in the presence of one of the

570   largest El Niño. In summary, the FV3 GFS model can realistically capture the observed US climate

571   variability associated with ENSO.

572

573   e. Assessment of climate predictability

574   Predictability of seasonal atmospheric climate variability depends on the fraction of total

575   variability that is related to boundary conditions (referred to as the external, or potentially

576   predictable variability) and the fraction of variability unrelated to external forcings (referred to as

577   the internal, or unpredictable variability). Extensive efforts have been made in the past several

578   decades to quantify potential predictability of seasonal mean climate variability by using either

579   AMIP simulations or initialized coupled forecast systems (Kumar and Hoerling 1995; Kumar et

580   al. 2007; Jha et al. 2019). The purpose of the analysis in this section is to assess the climate

581   predictability based on a large ensemble FV3 GFS AMIP simulations and to quantify how the

582   predictability measured by signal-to-noise ratio (SNR) is changing as the modeling systems are

583   being improved.

584

585   We start our analysis by comparing the total variance of observed and FV3 GFS simulated DJF

586   200-hPa height anomalies over 1979-2021 period (Figure 13 right panel). It is evident that the

587   model can realistically reproduce the observed total variance of upper-tropospheric circulation

588   anomaly during winter that is characterized by the small variability in the tropical regions and a

589   larger variability in the extratropical regions. The observed maximum centers of variability over

590   Aleutian and Greenland in the northern hemisphere and those over the southern higher latitude are

591   also well captured in the model.

592

593   Shown in the left panel of Figure 13 is the two components of the simulated total variance,

594   predictable (top) and unpredictable (bottom), which are derived from the variance of ensemble

595   mean and the variance of departure in the individual members from the ensemble mean,

596   respectively (Kumar and Hoerling 1995). The external variance for DJF 200-hPa height simulated

597   by FV3 GFS is mainly located in the tropical eastern Pacific, the North Pacific and North American

598   continent, similar to previous findings based on different periods (Kumar et al. 2007; Jha et al.

599   2019). This is to be expected since the ensemble mean variance is dominated by SST-forced

600   atmospheric variability and its spatial structure is in agreement with the atmospheric response to

601   ENSO (Trenberth et al. 1998; see also Fig. 7 and associated discussion). The simulated internal

602   variance is largest in the middle and high latitudes, especially in the North American continent and

603   the northern Asia and is similar to the best estimate of the internal variance of observed winter

604   200-hPa height using multiple models as noted in previous studies (Kumar et al. 2007; Jha et al.

605   2019).

606

607   Next, we calculate the ratio of the external and the internal variance in dimensionless units as

608   signal-to-noise ratio to assess potential predictability, the results of which is given in Figure 14

609   that shows FV3 GFS simulated winter (left) and summer (right) SNR pattern for 200-hPa height

610   (top), precipitation (middle) and surface air temperature (bottom). It is found that the largest SNR

611   values for DJF 200-hPa height reside in the tropics and decrease gradually polewards due to an

612   increase in atmospheric internal variability from tropics to extratropics (Figure 13), consistent with

613   the previous findings that the predictability is larger in the tropics than the extratropics (e.g., Quan

614   et al. 2004). The summer SNR pattern is very similar to the winter pattern, while the SNR values

615   of heights are somewhat stronger in the tropical Atlantic. This difference is likely associated with

616   the stronger height trend in the model over the tropical Atlantic for the summer compared to the

617   winter. The analysis of previous GFSv2 AMIP runs also indicates that there is a somewhat larger

618   height trend over the tropical Atlantic during summer relative to winter (not shown).

619

620   It should be noted that there is little consensus in the scientific community on the difference of

621   seasonal predictability of 200-hPa height between winter and summer. Based on the NMME

622   coupled forecast system, Jha et al. (2019) showed that SNR values for summer are lower than SNR

623   for winter because of the weaker SST forcing during summer. However, Kumar et al. (2003)

624   argued that due to a reduction in the internal variability, the magnitude of seasonal predictability

625   for winter and summer are quite similar by using two atmospheric general circulation model

626   (AGCM) simulations. A close examination of their results also reveals that the seasonal

627   predictability is slightly stronger over the tropical Atlantic for summer than for winter, consistent

628   with our findings.

629

630   SNR pattern for precipitation is also quite similar for two seasons, with larger values located in

631   the tropical oceans. By comparing the results with those in the coupled forecast system (Jha et al.

632   2019), we notice that the improvement of seasonal predictability of precipitation is evident in the

633   tropical Indian Ocean and tropical Atlantic. Similar to the spatial structure of SNR for 200-hPa

634   height, the precipitation SNR also shows a large decline from the tropics to the extratropics and

635   the relatively larger values over the tropical Atlantic in summer than in winter.

636

637 Consistent with SNR for 200-hPa height and precipitation, SNR of surface air temperature is also

638 confined within the tropical land for both seasons, having larger values over North Africa, the

639 Middle East, Asia, Northern Mexico and South America. Except for Northern Mexico, SNR values

640 are larger over other regions in summer than in winter. The larger SNR value for winter over

641 Northern Mexico could be related to the amplitude of ENSO SST variability. Consistent with

642 increased SNR for precipitation over the northern Indian Ocean, SNR for surface air temperature

643 is higher over North Africa, the Middle East, and Asia in summer compared to winter.

644

645 If the SNR estimates based on the AMIP simulations are correct estimates of corresponding

646 predictability in observations, then generally larger SNR values imply a higher skill for seasonal

647 prediction (Kumar and Hoerling 2000). To assess this, the corresponding maps of anomaly

648 correlation (AC) (Figure 15), the value of which at each grid point is computed between AMIP

649 ensemble mean and observed anomaly over the analysis period, confirms this relationship and is

650 consistent with the theoretical analysis and model results in previous studies (Kumar et al. 2007;

651 Jha et al. 2019). The stronger AC values for 200-hPa height over the tropical Atlantic extend

652 northward in summer compared to winter, in agreement with larger SNR values there. The increase

653 in AC values for surface air temperature over the Middle East and Asia in summer relative to

654 winter is also in line with the increase of AC for precipitation over the Northern Indian Ocean.

655

656 A close look of the wintertime US prediction skill reveals that the stronger AC values for

657 precipitation in FV3 GFS are located in the northwest, western coast and southern coast, where

658 the 200-hPa AC values are higher (Figure 16 left). The surface air temperature AC pattern is

659   characterized by maximum values in the west and the east and minimum values in the central US,

660   largely consistent with the 200-hPa AC distribution.

661

662   We also calculated the AC values in GFSv2 and made the difference in the AC for two models to

663   examine the changes in prediction skill. Some US regions experience an increase of prediction

664   skill, as indicated by the shaded regions shown in Figure 16 right. Compared to GFSv2, FV3 GFS

665   shows an increase of precipitation AC values over the north and the southeast, where the increase

666   of 200-hPa AC values is also visible. The obvious improvement of surface air temperature

667   prediction skill is located in the east, consistent with the improvement of surface air temperature

668   response to three strong El Niño events over these regions as seen in Figure 9.

669

670   f. Simulation of extreme events—2022 summer South Asia flooding

671   In this section, we will evaluate the model's capability in simulating the extreme events by

672   considering a case study for a specific extreme event in 2022. Most regions of Pakistan experienced

673   record-breaking monsoonal rainfall from mid-June until the end of August 2022 that resulted in

674   considerable losses of human lives and the economy of Pakistan

675   (https://www.worldweatherattribution.org/analysis/rainfall/). Observed SST anomaly for 2022

676   summer had a La Niña condition in tropical Pacific and warm condition in the eastern Indian Ocean

677   and coastal regions (not shown). How well does the FV3 GFS model simulate the observed South

678   Asia flooding for 2022 summer compared to previous GFSv2 model?

679

680   Figure 17 shows the spatial map of precipitation anomalies for JJA 2022 from observations (top),

681   FV3 GFS (middle) and GFSv2 (bottom) ensemble mean AMIP simulations. Observations show a

682 large increase in south Asia flooding shown in black box region which includes Pakistan and

683 northwest India. The ensemble mean results from FV3 GFS can reproduce the observed wet

684 condition in South Asia, but the magnitude is somewhat weaker. This is to be expected when

685 comparing ensemble mean anomalies with observations that are equivalent to a single model

686 realization. Opposite to observations and FV3 GFS simulations, the previous version GFSv2 did

687 not replicate the observed wet condition but indicates dry conditions. It is clear that the FV3 GFS

688 model has a better simulation of South Asian flooding compared to old version GFSv2.

689

690 We also examined the FV3 GFS individual members to better understand the ensemble mean

691 results. The top panel of Figure 18 shows the precipitation anomaly averaged over the box region

692 from 100 individual members. The black line is the observed value, and the green line is the model

693 ensemble mean value. Among 100 members, only 5 members produce the dry condition. This

694 suggests that the observed SSTs specified as the forcing favor wet conditions over South Asia for

695 2022 summer. It can be seen from the bar plot that the magnitude of a single member (member

696 100) is very close to observations. Examining the spatial map of this member (bottom right panel)

697 confirms that the model is capable of realistically simulating both the magnitude and spatial

698 structure of observed wet conditions over South Asia for 2022 summer (bottom left panel). The

699 results suggest that the FV3 GFS model can serve as a suitable tool to examine the causality of the

700 extreme events.

701

702 **4. Summary and discussion**

703 The intent of this paper is to document an update in infrastructure of AMIP simulations that are

704 used for real-time attribution of seasonal climate anomalies at CPC, i.e., based on the FV3 GFS.

705   These simulations are updated in real-time as SST observations become available. We evaluated

706   the performance of these simulations in reproducing observed climate trends and variability and

707   assessed climate predictability and the model's capability in capturing the extreme events.

708

709   We demonstrated that the FV3 GFS model can realistically capture the observed temperature trend

710   over global land that has an upward trend of about 1° C since 1979 for both winter and summer.

711   Associated with the warming trend over the global land, there is also a US warming and drying

712   climate trend for DJF and JJA seasons as demonstrated by the frequency distributions of a large

713   ensemble of model samples. The observed feature of the larger variability during winter compared

714   to summer is also replicated in the model.

715

716   Observed three leading modes of variability for the period of 1979-2021 were identified based on

717   the EOF analysis of wintertime extratropical Northern Hemisphere 200-hPa heights. The observed

718   leading mode describes the extratropical atmospheric circulation pattern associated with Arctic

719   Oscillation (AO) variability and is found to be independent of tropical SST variability. The

720   observed second mode describes the canonical atmospheric teleconnection associated with ENSO

721   resembling the tropical/Northern Hemisphere (TNH) pattern and the third mode features a

722   hemisphere-scale increasing trend in heights associated with global warming. The FV3 GFS model

723   is able to replicate the three primary modes of observed variability but there is an appreciable

724   variability in the detailed EOF structures among individual members associated with sampling

725   resulting in a large scatter in the spatial correlation with observations, especially for the last two

726   modes.

727

728   Forced atmospheric teleconnections during 1979-2021 were examined using the 100-member

729   ensemble mean of AMIP simulations. The leading mode of the forced variability is similar to the

730   observed second mode that describes the TNH pattern associated with ENSO. The second forced

731   mode resembles the observed third mode which is related to anthropogenically forced climate

732   change. The forced third mode describes a wave train resembling the PNA pattern resulting from

733   atmospheric sensitivity to ENSO asymmetry and from sensitivity to a tropical precursor SST for

734   ENSO development. Our results are similar to the three primary forced modes of Zhang et al.

735   (2016) except for a different EOF ranking for the latter two modes, implying that the forced

736   primary modes do not depend on the selection of a particular model but are more determined by

737   the nature of boundary forcing used.

738

739   FV3 GFS AMIP simulations realistically captured the observed key features including US climate

740   variability associated with ENSO. Consistent with the US warming trend, a gradual southward

741   shift of stronger warm anomalies from early strong El Niño (1982/83) to recent strong El Niño

742   (2015/16) was evident in both observations and model ensemble mean results. There was an

743   improvement in FV3 GFS relative to previous GFSv2 in simulating the surface temperature

744   response to extreme El Niño events over the US southeastern coastal regions. The observed US

745   precipitation pattern featured wetness in the west and central US and southern coast for both

746   1982/83 and 1997/98 El Niño events but had dry conditions over Southern California for 2015/16

747   winter. The ensemble mean precipitation response in the model was similar for three strong El

748   Niño events, having a wetness across the west and central US and southern coast. We explored the

749   role of internal variability in determining the seasonal mean rainfall in California based on a large

750   ensemble of FV3 GFS AMIP simulations. The results indicate that the observed California

751   2015/16 dryness was likely an articulation of unforced variability (the internal atmospheric noise),

752   rather than a boundary-forced signal, in agreement with previous findings (Zhang et al. 2018;

753   Kumar and Chen 2020).

754

755   The climate predictability measured by SNR was also assessed based on FV3 GFS AMIP

756   simulations. The SNR pattern, in general, was similar to each other between winter and summer,

757   with largest values in the tropical regions and a decrease in the SNR towards high latitudes. The

758   SNR values for 200-hPa heights and precipitation over the tropical Atlantic and those for surface

759   air temperature over North Africa, the Middle East, Asia and South America were larger in summer

760   than in winter. It is noted that there was an improvement of seasonal predictability of precipitation

761   over the tropical Indian Ocean and tropical Atlantic, compared to the results in the initialized

762   forecast system (Jha et al. 2019). The seasonal prediction skill measured by AC generally follows

763   the SNR pattern, supporting previous theoretical analysis and model results (Kumar et al. 2007;

764   Jha et al. 2019). The comparison of the wintertime AC pattern of US surface climate between FV3

765   GFS and GFSv2 reveals that the prediction skill of precipitation over the Northern and

766   Southeastern US, and that of surface air temperature over the eastern US are somewhat improved

767   in the new model relative to the old version.

768

769   The model's capability in simulating the extreme events was evaluated for a case study for the

770   2022 summer South Asia record-breaking flooding. The ensemble mean results from FV3 GFS

771   reproduced the observed wet conditions but those from previous GFSv2 indicated dry conditions

772   in South Asia, suggesting a better simulation of extreme events in the new model relative to the

773   old model. Analysis of FV3 GFS individual runs further confirmed that the model could replicate

774 the magnitude and spatial pattern of South Asian flooding and indicates that the 2022 summer

775 flooding over that region could have been driven by the observed SST forcing.

776

777 In summary, the FV3 GFS model can realistically replicate the observed climate variability and

778 trends as well as extreme events. We also plan to use the same infrastructure for other sensitivity

779 studies to understand various aspects of climate variability, e.g., atmospheric responses to Central

780 Pacific (CP) vs. Eastern Pacific (EP) events, role of SST anomalies in different ocean basins etc.

781 In future, counterfactual simulations in which an estimate of the observed long-term changes in

782 the SST due to anthropogenic forcing is removed will also be conducted in parallel with the current

783 AMIP simulations to understand the influence of climate change on extreme events.

784

787

788 **Open Research**

789 **Data Availability Statement**

790 The version of GFSv15 is available from https://www.emc.ncep.noaa.gov/users/meg/fv3gfs/. The

791 data were analyzed with the NCAR Command Language version 6.6.2 (National Center for

792 Atmospheric Research, 2019) available from https://www.ncl.ucar.edu/ and the Grid Analysis and

793 Display System (GrADS) Version 2.1.1.b0 available from http://cola.gmu.edu/grads/. The Data

794 and   scripts   for   analysis   are   publicly   available   at   (Zhang   et   al.,   2023)

795 https://doi.org/10.5281/zenodo.8023560.

796

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971 Table 1. The global mean values of the difference in climatology between JJA and DJF (JJA minus

972 DJF) for eddy 200-hPa height (m), precipitation (mm/day) and land surface air temperature (ºC)

973 from observation, GFSv2 and FV3 GFS ensemble mean AMIP simulations, and the global pattern

974 correlations between models and observation for the corresponding climatology difference.

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Variables	Global mean values			Global pattern correlations with observation	
	Observation	GFSv2	FV3GFS	GFSv2	FV3GFS
Eddy Z200	0.17	0.079	0.056	0.96	0.94
Precip	0.076	0.11	0.17	0.72	0.82
T2m	12.95	10.73	12.09	0.98	0.98

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Figure 1. Differences in climatology between JJA and DJF (JJA minus DJF) for (top) eddy 200-hPa height, (middle) precipitation and (bottom) surface air temperature from (left) observations and (right) FV3 GFS simulated 100-member AMIP ensemble mean results. The observed and simulated global mean values (the first number) and the pattern correlation values (the second number) are listed in the titles of the plots.

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1007 Figure 2. Taylor diagram displaying changes in normalized pattern statistics between
1008 GFSv2 and FV3 GFS model estimates for the (red dots) global, (brown dots) tropical,
1009 and (blue dots) contiguous US pattern of annual mean climatology of precipitation and
1010 land surface air temperature. The standard deviations have been normalized by the
      observed standard deviation of each field.
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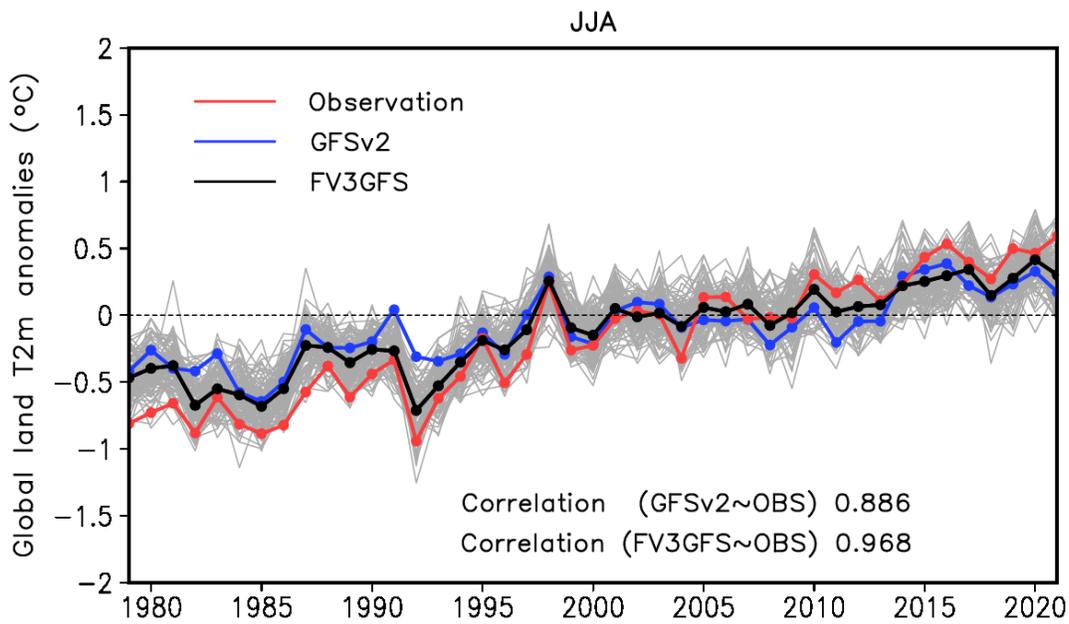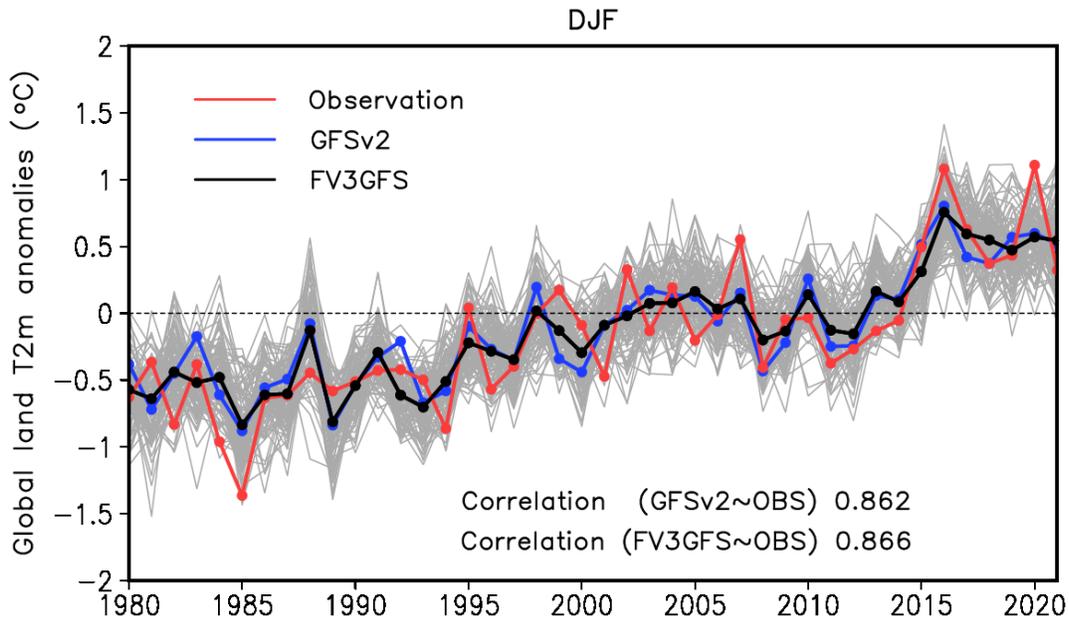
Figure 3. Time series of surface air temperature anomalies for (top) DJF and (bottom) JJA averaged over global land regions from (red line) observations, (blue line) GFSv2 simulated 30-member ensemble mean and (black line) FV3 GFS simulated 100-member ensemble mean of AMIP simulations. The gray lines show the spread of individual members of FV3 GFS model. The temporal correlations of the global mean land surface air temperature anomalies between model ensemble mean and observations are listed in the plot.
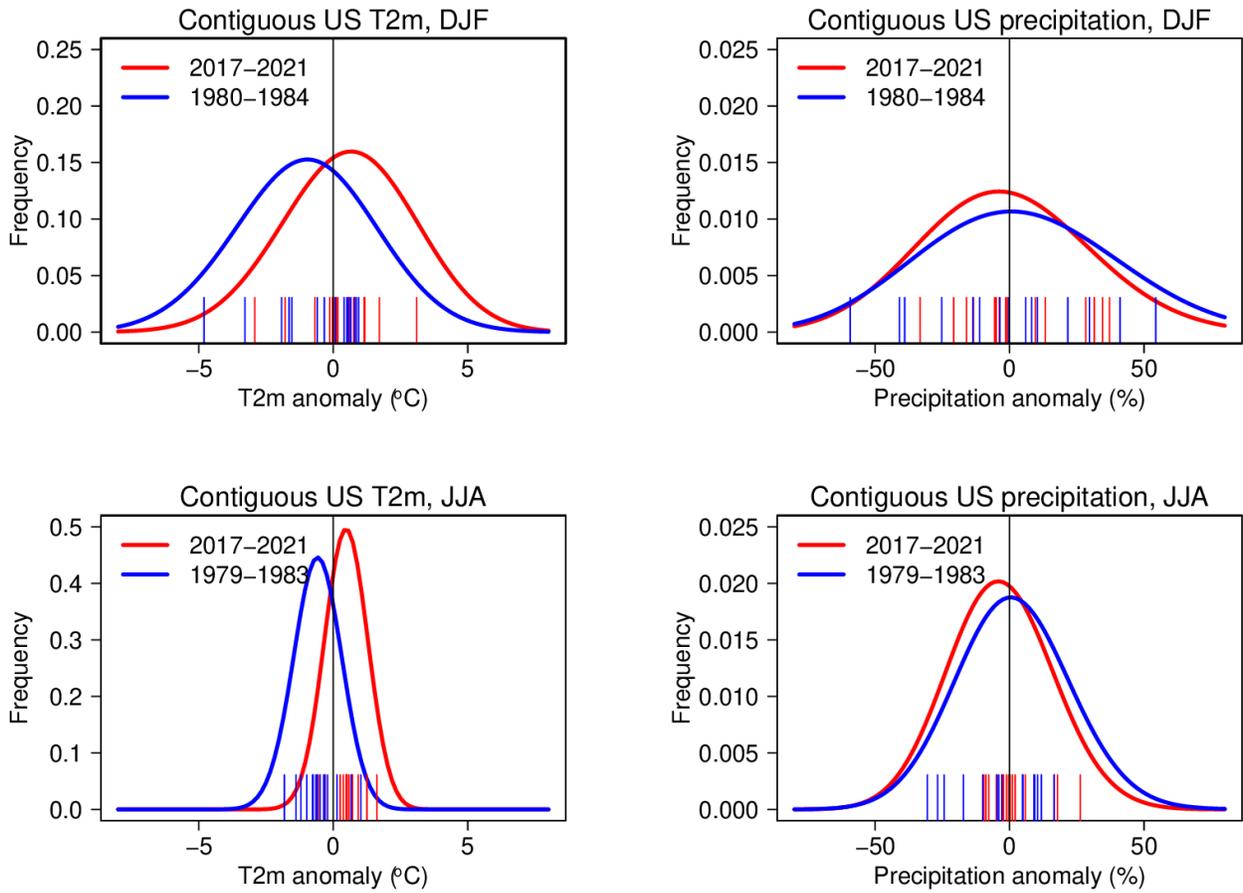
Figure 4. PDFs of contiguous U.S. (top) DJF and (bottom) JJA (left) surface air temperature anomalies (ºC) and (right) precipitation anomalies (percent departure) for the first (blue curves) and last (red curves) 5-yr periods of 1979-2021. Results are based on 100-member FV3 GFS AMIP simulations. Large tick marks at the bottom show observed values for 15 months of the first (blue) and last (red) 5-yr periods of 1979-2021.

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1050 Figure 5: (left) The spatial pattern and (middle) standardized PC time series of the leading
1051 three EOFs of DJF 200-hPa heights from observations. (right) Regressions of observed
1052 DJF SST on the PC time series of the leading three EOFs of observed DJF 200-hPa
1053 heights. The EOF analysis is computed over the 20º–90ºN domain for 1979/80–2020/21.
1054 The EOF patterns are shown as the regressions of the heights onto the standardized PC
1055 time series and drawn at the interval of 5m for a 1 standardized departure of PC index.

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1068 Figure 6: (left) The spatial pattern and (middle) standardized PC time series of the leading
1069 three EOFs of DJF 200-hPa heights from a single member (member 26) of FV3 GFS
1070 AMIP simulations. (right) The respective EOF pattern correlation values of the leading
     three EOFs of DJF 200-hPa heights with observations from 100 individual members of
1071 FV3 GFS AMIP simulations. This single member is selected among members that
1072 resemble observations, subject to the largest mean value of three leading EOF pattern
1073 correlations of DJF 200-hPa heights with observations, with EOF1 pattern correlation of
1074 0.79, EOF2 pattern correlation of 0.61, and EOF3 pattern correlation of 0.78. The EOF
     analysis is computed over the 20º–90ºN domain for 1979/80–2020/21. The EOF patterns
1075 are shown as the regressions of the heights onto the standardized PC time series and
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FV3GFS Ensemble Mean

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Figure 7: (left) The spatial pattern and (middle) standardized PC time series of the leading three EOFs of FV3 GFS simulated 100-member ensemble mean DJF 200-hPa heights. (right) Regressions of observed DJF SST on the PC time series of the leading three EOFs of FV3 GFS simulated 100-member ensemble mean DJF 200-hPa heights. The EOF analysis is computed over the 20º–90ºN domain for 1979/80–2020/21. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series and drawn at the interval of 5m for a 1 standardized departure of PC index.
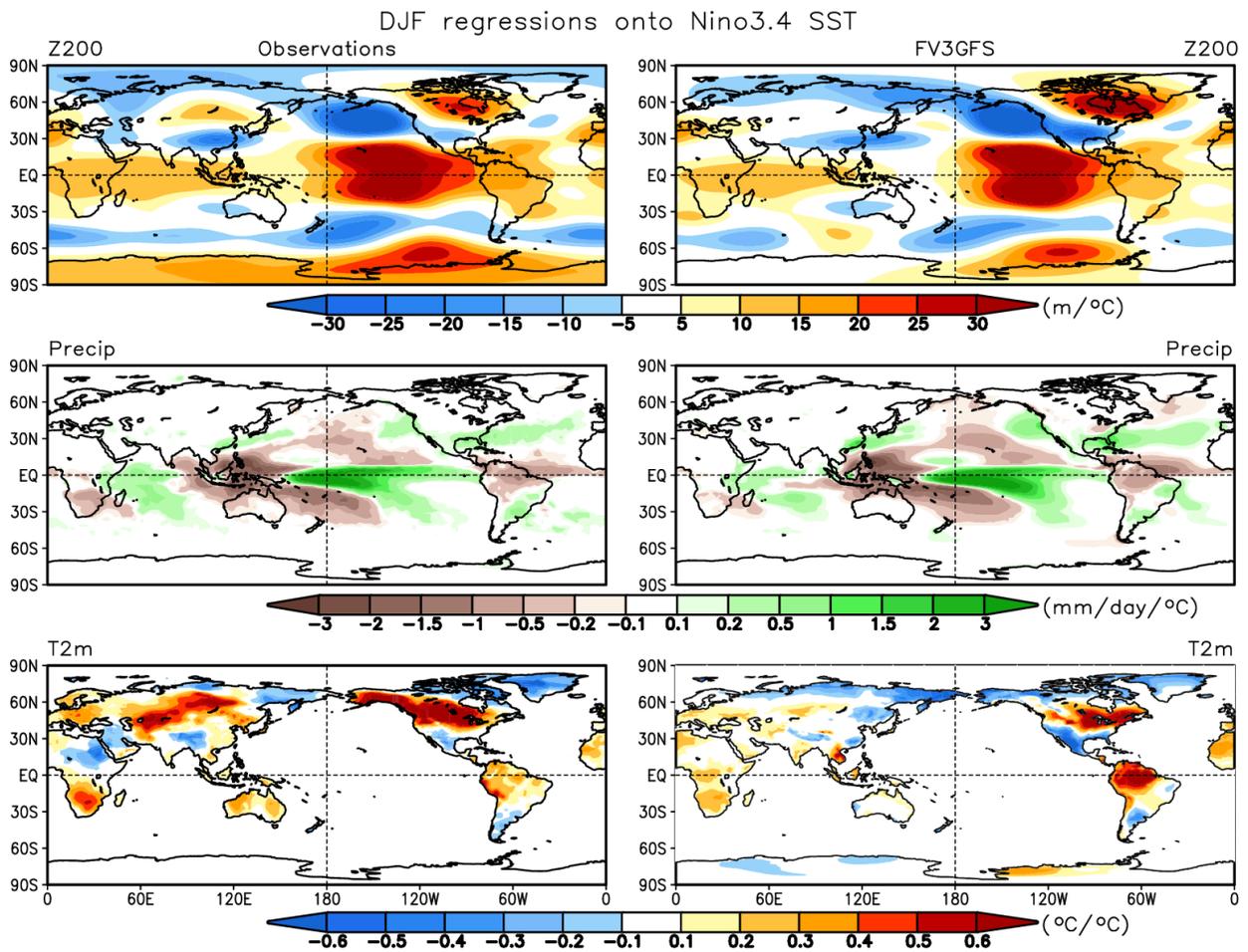
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Figure 8: The spatial pattern of regressions of DJF (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature anomalies on the observed Niño3.4 SST index from (left) observations and (right) FV3 GFS AMIP simulations. We first calculate the regressions from individual runs and then average 100 regression estimates as the regressions for the model.
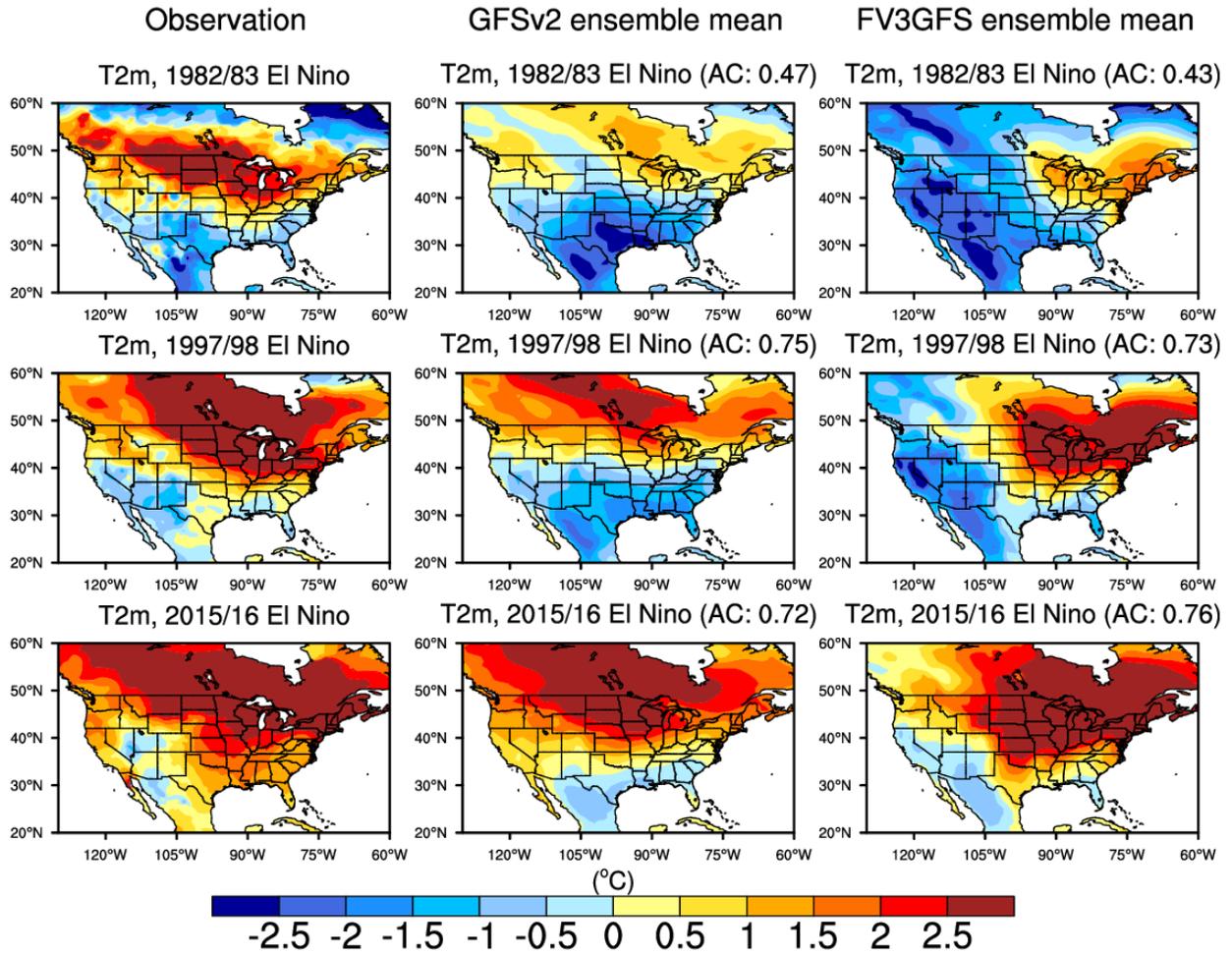
Figure 9. Surface air temperature anomalies for (top) 1982/83 DJF, (middle) 1997/98 DJF and (bottom) 2015/16 DJF from (left) observations, (middle) GFSv2 simulated 30-member ensemble mean, and (right) FV3 GFS simulated 100-member ensemble mean. The pattern correlations between models and observations are listed in the titles of the plots.

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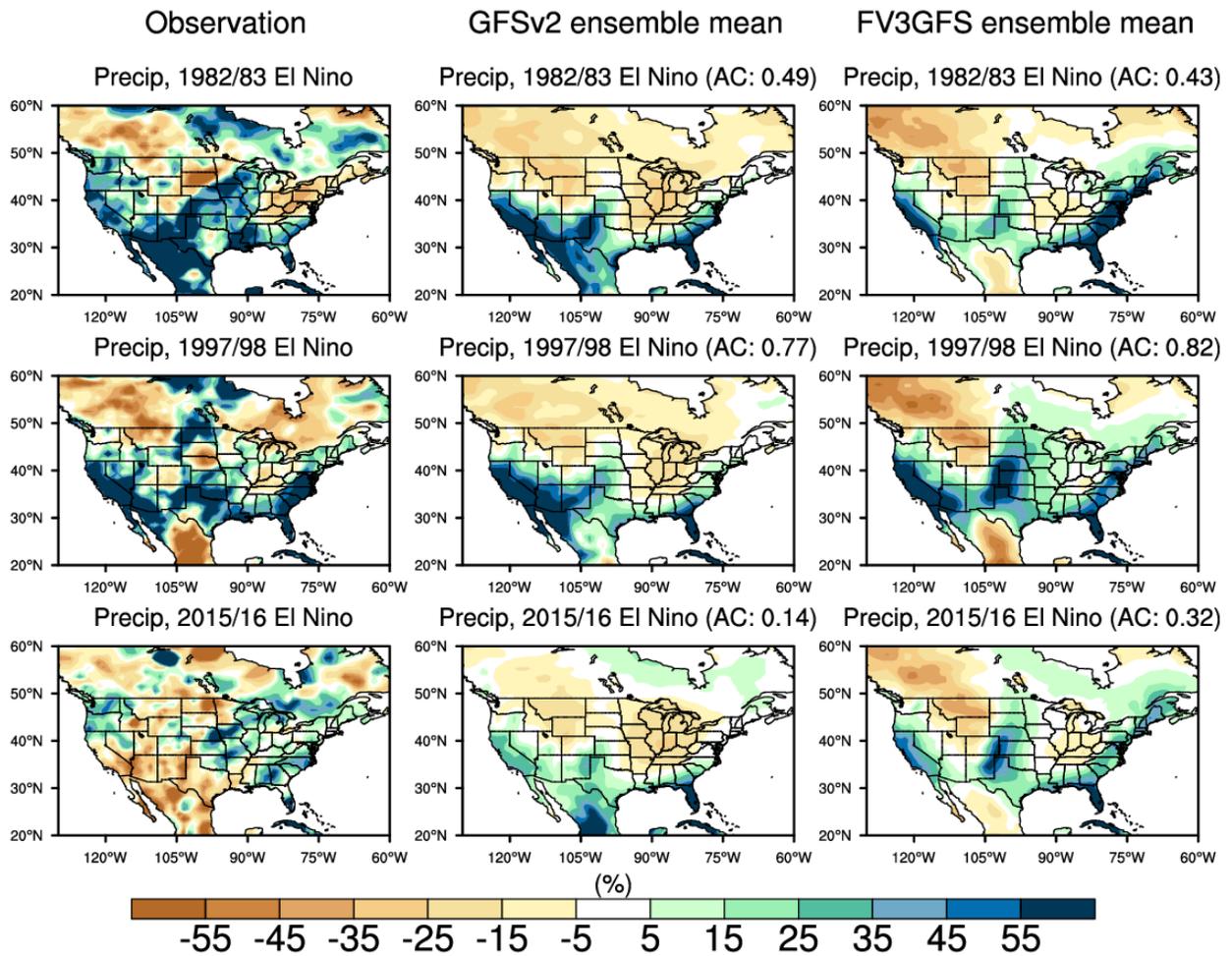
Figure 10. Precipitation anomalies (percent departures) for (top) 1982/83 DJF, (middle) 1997/98 DJF and (bottom) 2015/16 DJF from (left) observations, (middle) GFSv2 simulated 30-member ensemble mean, and (right) FV3 GFS simulated 100-member ensemble mean. The pattern correlations between models and observations are listed in the titles of the plots.
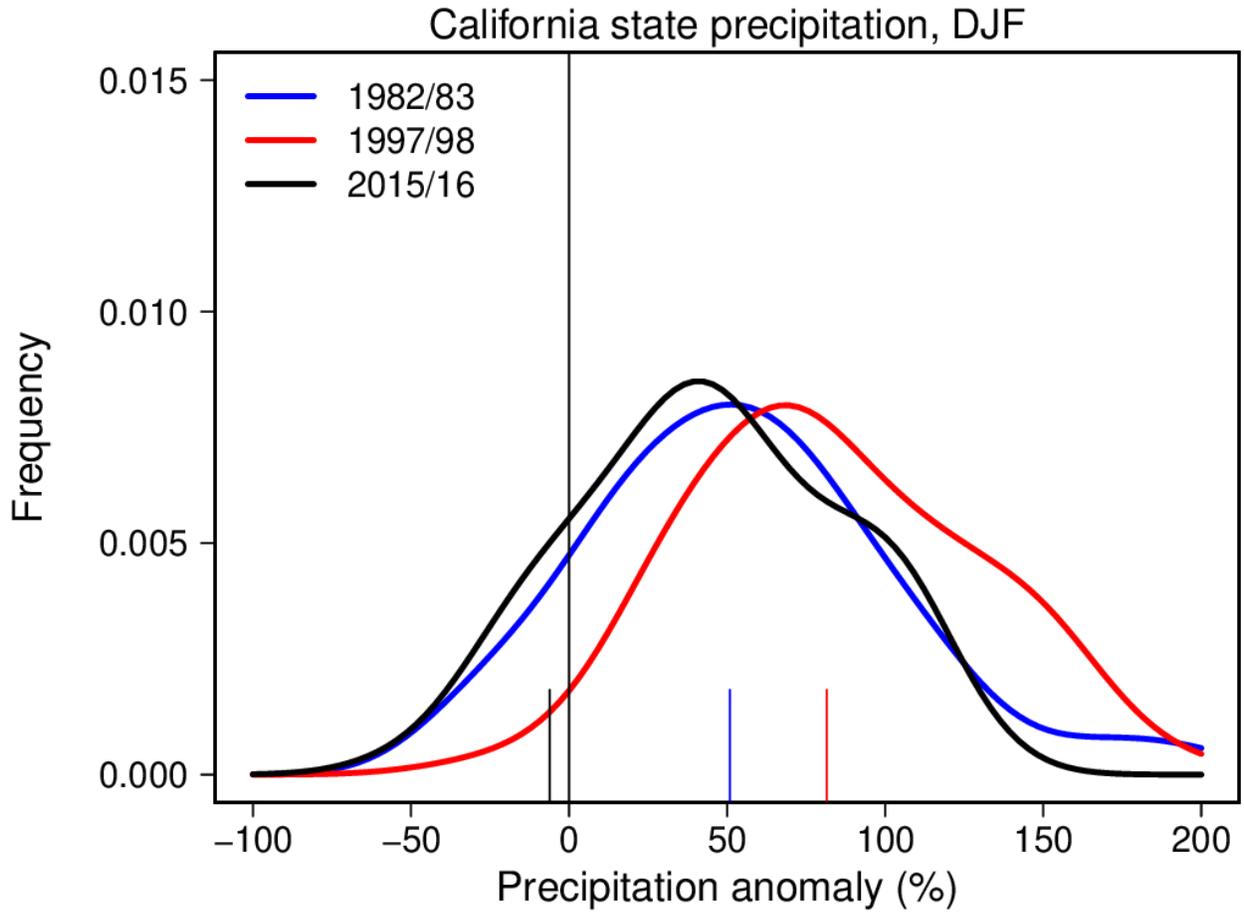
California state precipitation, DJF

Figure 11. PDFs of California state precipitation anomalies (percent departure) for 1982/83 DJF (blue curve), 1997/98 DJF (red curve) and 2015/16 DJF (black curve). Results are based on 100-member FV3 GFS AMIP simulations. Large tick marks at the bottom show corresponding observed values.
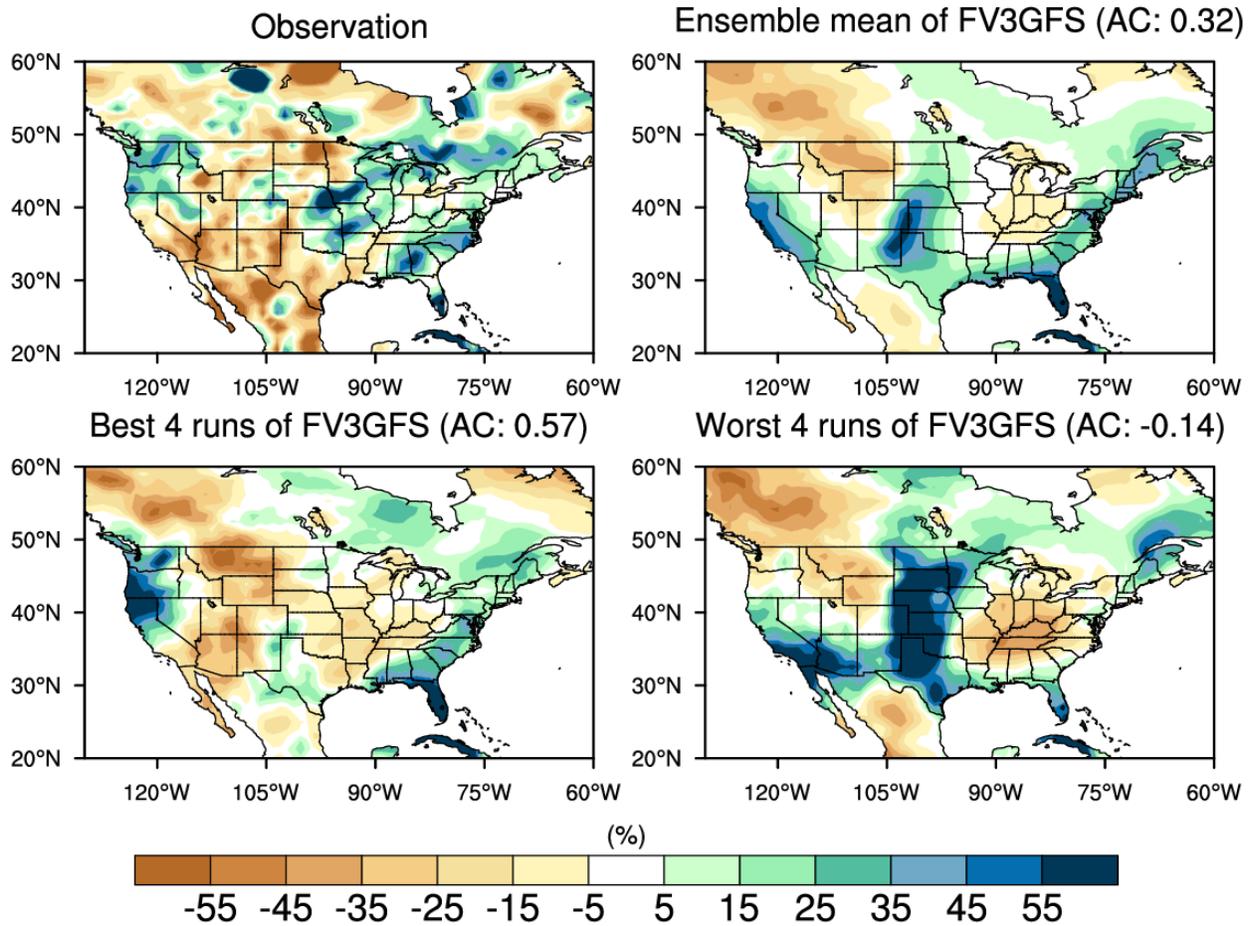
Figure 12. Precipitation anomalies (percent departures) for 2015/16 DJF from (top left) observations, (top right) FV3 GFS simulated 100-member ensemble mean, (bottom left) the composite of 4 best runs, and (bottom right) the composite of 4 worst runs among 100-member FV3 GFS AMIP simulations. The pattern correlations between the model and observation are listed in the titles of the plots.

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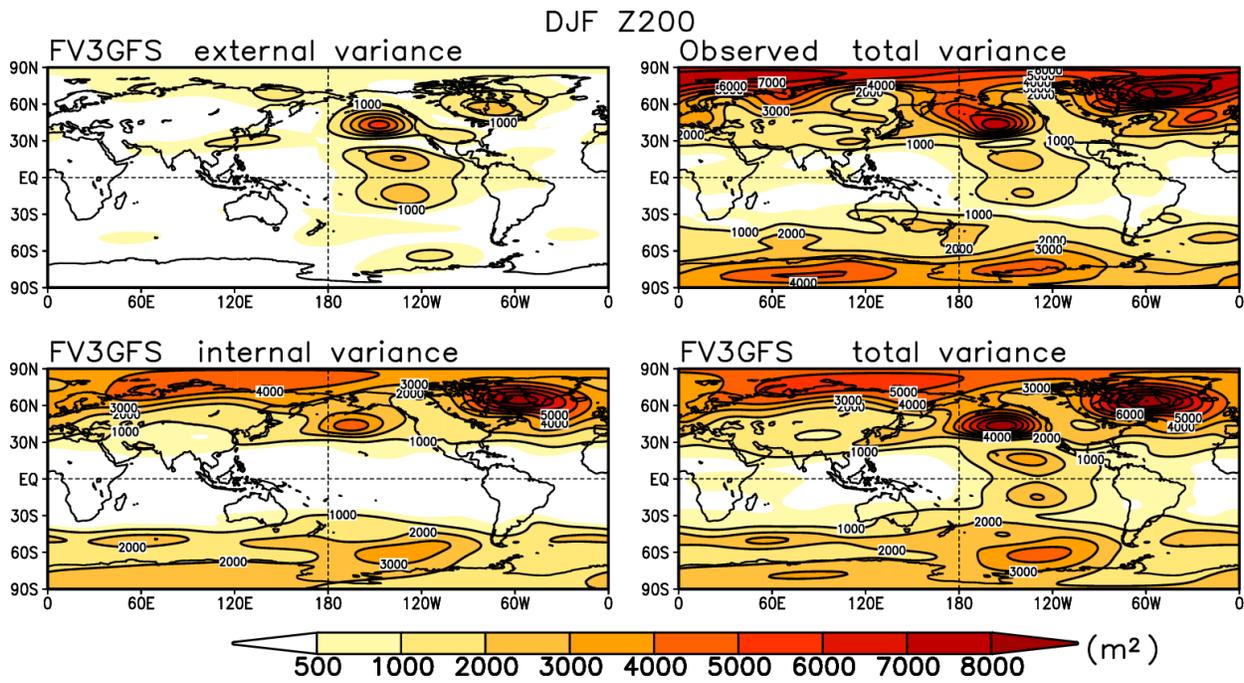
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1196 Figure 13: The spatial pattern of FV3 GFS simulated (top left) external variance, (bottom
1197 left) internal variance, (top right) observed total variance and (bottom right) FV3 GFS
1198 simulated total variance of DJF 200-hPa height anomaly. Model results are based on 100-
1199 member FV3 GFS AMIP simulations.
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Figure 14: The spatial pattern of (left) DJF and (right) JJA signal-to-noise ratio (SNR) estimate of (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature anomalies computed as the ratio of external-to-internal variance in 100-member FV3 GFS AMIP simulations.
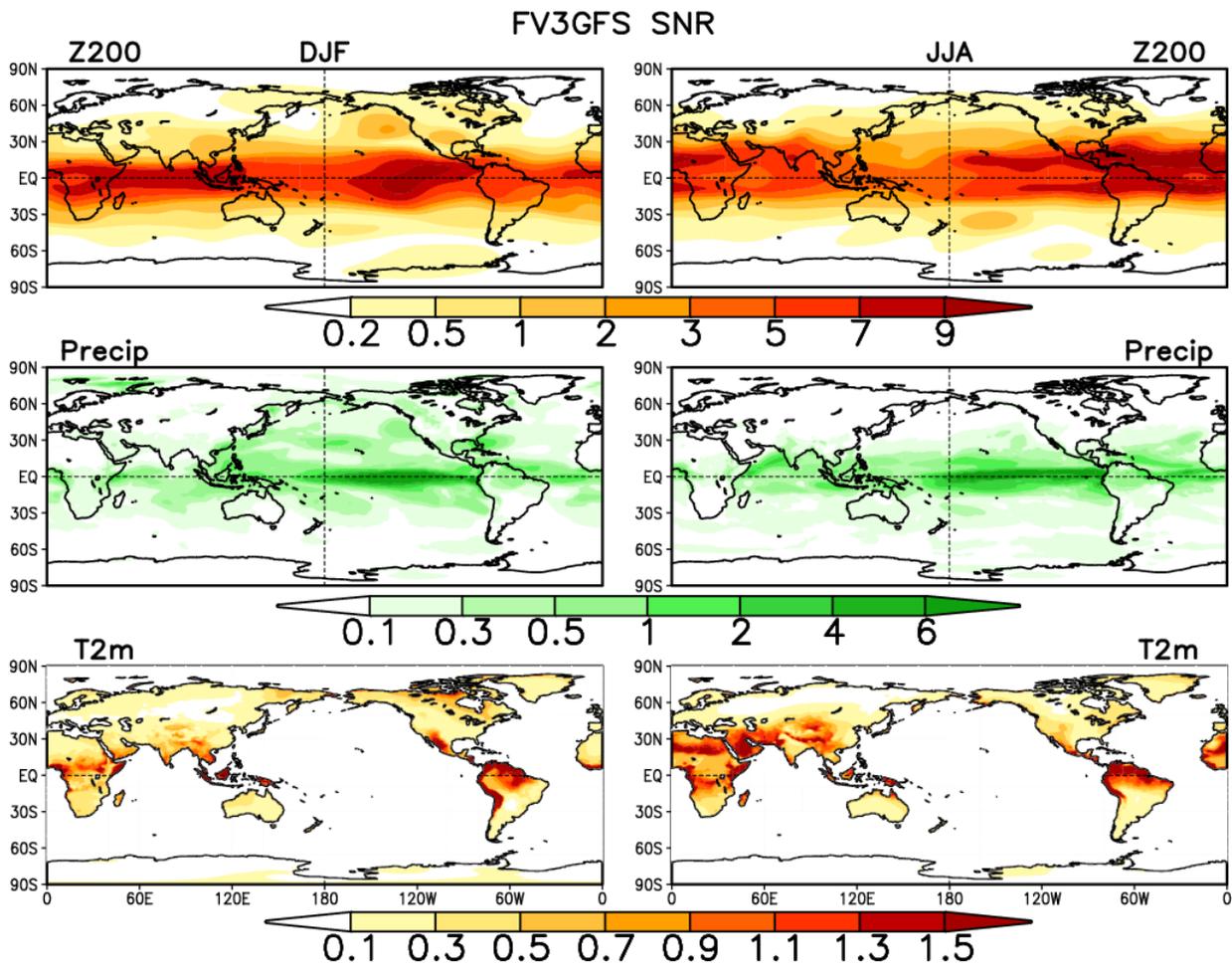
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Figure 15: The spatial pattern of (left) DJF and (right) JJA anomaly correlation of (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature between observations and FV3 GFS simulated 100-member ensemble mean.
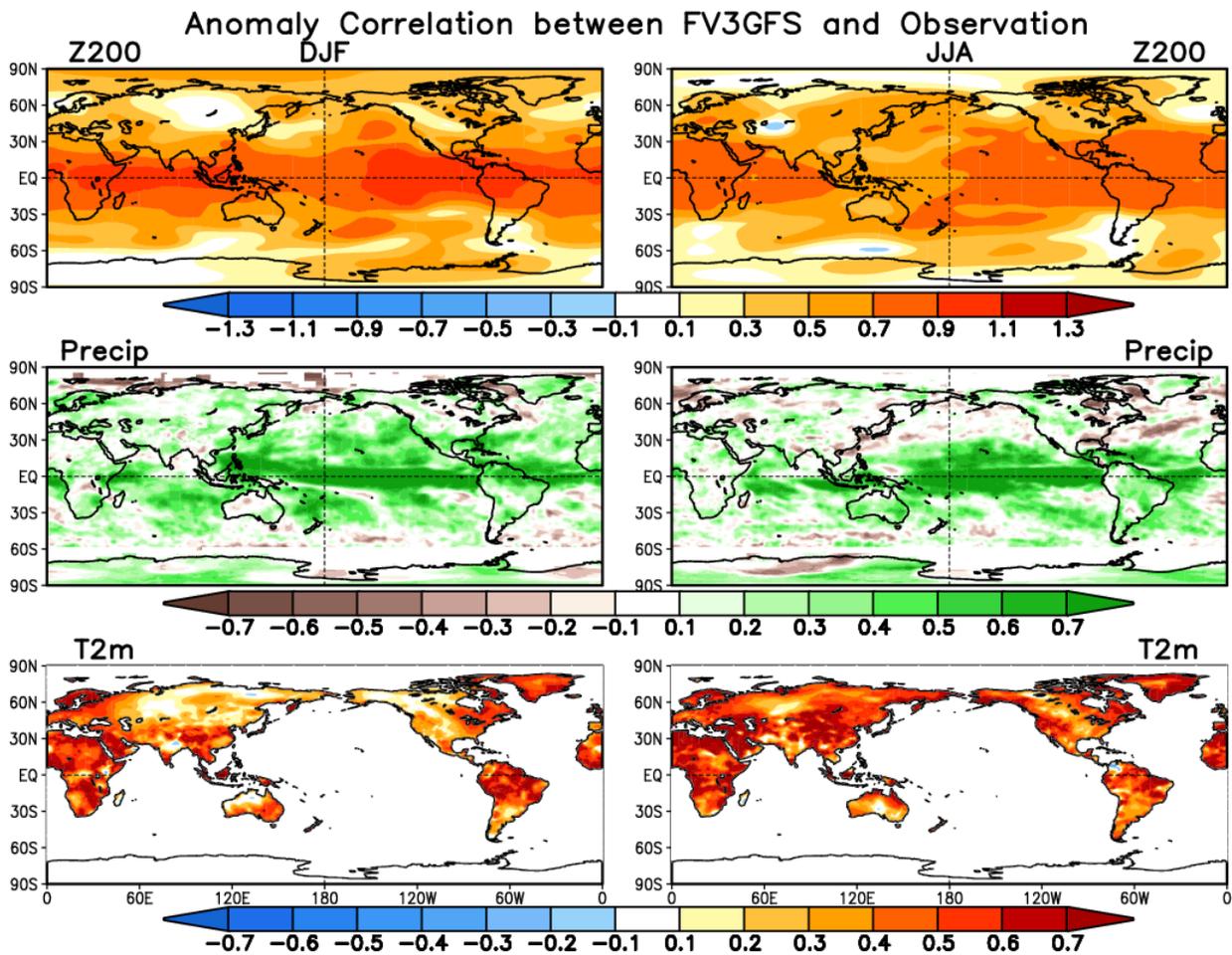
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Figure 16: The spatial pattern of DJF anomaly correlation with observations of (top) 200-hPa height, (middle) precipitation and (bottom) surface air temperature from (left) FV3 GFS AMIP ensemble mean and (right) the difference in anomaly correlation with observations between FV3 GFS and GFSv2 AMIP ensemble mean.

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Figure 17. Precipitation anomalies for 2022 JJA from (top) observations, (middle) FV3 GFS simulated 100-member ensemble mean, and (bottom) GFSv2 simulated 30-member ensemble mean. The outlined box shows the South Asia region bounded by 58º-80ºE, 20º-38ºN.
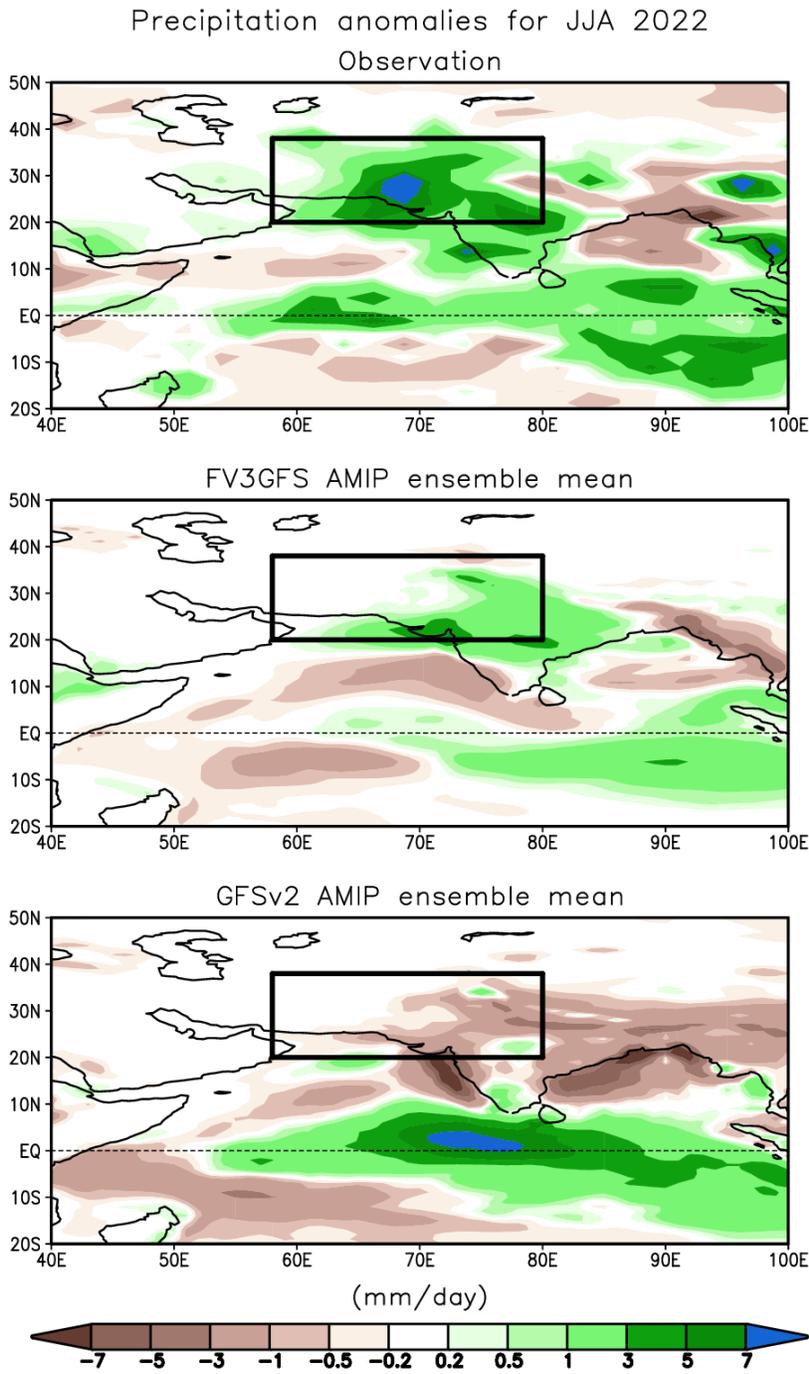
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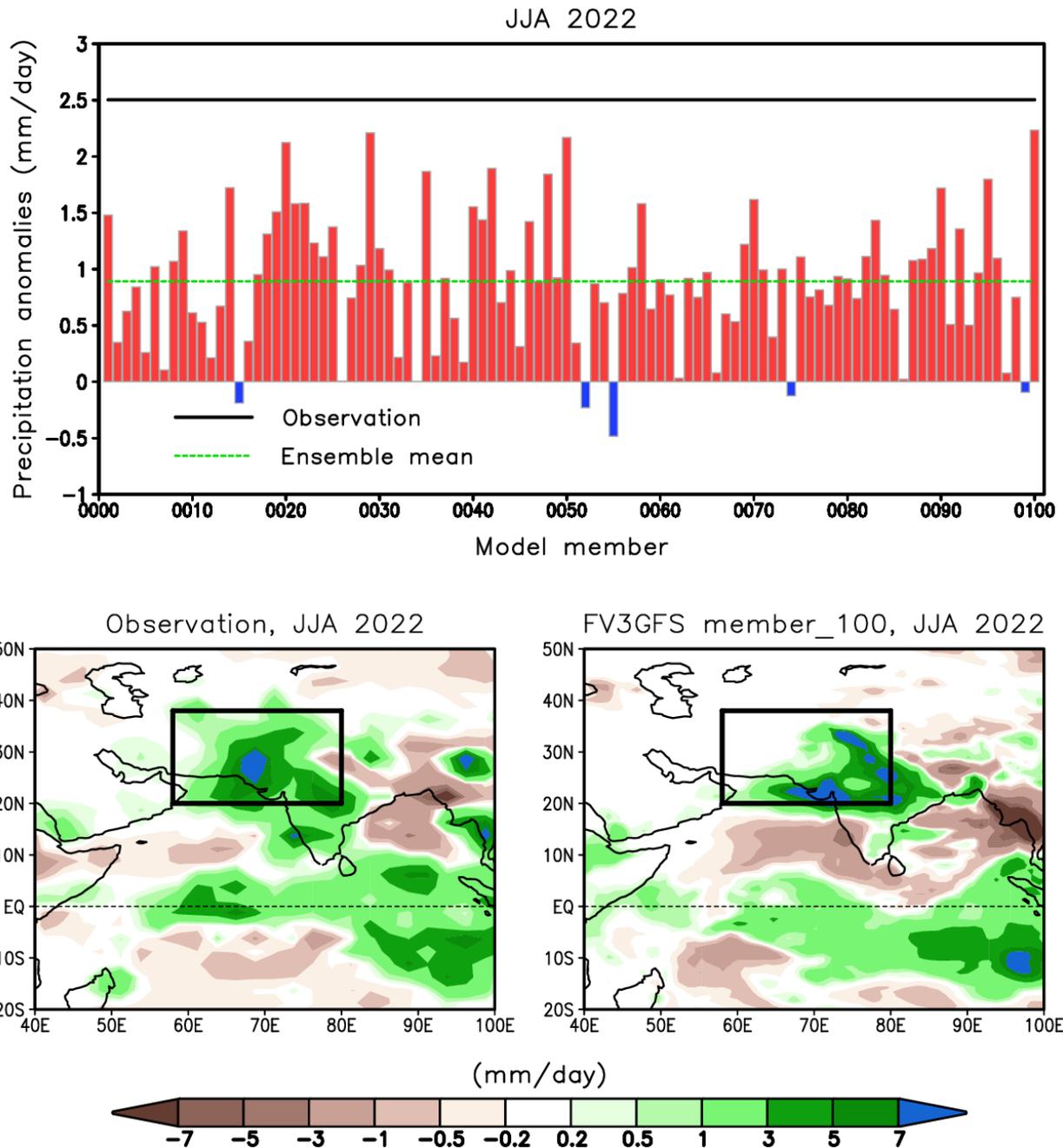
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1283 Figure 18. (top) precipitation anomalies for 2022 JJA averaged over the South Asia region
1284 (58º-80ºE, 20º-38ºN) from observations (black line), FV3 GFS simulated 100 individual
1285 members (red and blue bars) and 100-member ensemble mean (green line), and (bottom) the
1286 comparison of the spatial pattern of precipitation anomalies for 2022 JJA between (left)
1287 observations and (right) a single member (100th member) from FV3 GFS AMIP simulations.
1288 The outlined box shows the South Asia region bounded by 58º-80ºE, 20º-38ºN.

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