Electrostatic waves around a magnetopause reconnection diffusion region and their associations with whistler and lower-hybrid waves

Shan Wang[1*], Daniel B. Graham[2], Xin An[3], Li Li[1], Qiu-Gang Zong[1], Xu-Zhi Zhou[1], Wen-Ya Li[4], and Zhi-Yang Liu[1]

[1]Institute of Space Physics and Applied Technology, Peking University, Beijing, China 100871

[2]Swedish Institute of Space Physics, Uppsala SE-75121, Sweden

[3]Department of Earth, Planetary, and Space Sciences, University of California, Los Angeles, California, USA, 90095

[1]State Key Laboratory of Space Weather, National Space Science Center, Chinese Academy of Sciences, Beijing, China, 100190

*coralwang90@gmail.com

Key points

- Oblique electrostatic waves occur with but likely not due to nongyrotropic electron beams in the magnetospheric side EDR

- Parallel electron beam-mode waves are modulated by whistler near the current sheet mid-plane, by driving beams through Landau resonance

- Electron beam-mode and cyclotron waves are modulated by lower-hybrid waves near separatrices, with beam and loss cone distributions

Abstract

We investigate electrostatic waves in a magnetopause reconnection diffusion region event. In the electron diffusion region on the magnetospheric side, an oblique electrostatic wave is observed. The local distribution exhibits fast non-gyrotropic electron beams with drifts comparable to the electron thermal speed, but the wave has a much lower phase speed. Response of ions and possible cold electrons may contribute to wave excitation. Near the current sheet mid-plane, parallel electron beam-mode waves are modulated by whistler waves. In the separatrix region, parallel waves associated with field-aligned electron beams and perpendicular electron cyclotron waves with loss cone distributions exhibit modulation frequencies in the lower-hybrid wave frequency range. We infer that lower-hybrid waves scatter electrons to produce beams and alter loss cones to modulate electrostatic waves. The results advance our understanding about the regimes and mechanisms of electrostatic waves in reconnection and their coupling with lower-frequency waves.

Plain Language Summary

Magnetic reconnection is an important energy dissipation process at the Earth's dayside magnetopause. In its central region, plasmas deviate from the thermal equilibrium and form structured distribution functions, which excite plasma waves. We investigate high-frequency electrostatic waves in an event, where the waves are associated with electron beam – plasma interaction or anisotropy of distribution functions. A rarely presented case of an oblique wave is observed, and the wave property is unexpected compared to local distribution features. We further find that electrostatic waves are driven and modulated by lower-frequency waves, as the latter alters the particle distribution functions. The results help us understand how various processes couple with each other to achieve the energy dissipation.

1. Introduction

Magnetic reconnection explosively converts energies from electromagnetic fields to plasmas. Highly structured non-Maxwellian distributions are created in reconnection, which can be unstable to a variety of plasma waves that further interact with particles, so waves may be potential pathways of achieving the energy dissipation in reconnection.

Quasi-electrostatic waves at the electron Debye length (D_e) scale are ubiquitous plasma phenomena, and the responsible excitation mechanisms are often associated with beam-plasma interactions, e.g., an electron beam drifting with respect to background electrons and ions. For one-dimensional electrostatic waves in unmagnetized (or field-aligned) plasmas with multiple populations, the dispersion relation is (modified from eq. (8.4.18) in Gurnett and Bhattacharjee, 2005):

$$1 - \frac{1}{2}\sum_s \frac{\omega_{ps}^2}{k^2 v_{ts}^2} Z'(\zeta^s) = 0 \quad (1)$$

where for each species s, ω_{ps} is the plasma frequency, $v_{ts} = \sqrt{2T_s/m_s}$ is the thermal speed, Z' is the derivative of the plasma dispersion function, $\zeta^s = (\omega - kV_s)/(kv_{ts})$, and V_s is the bulk velocity. For populations with $|\zeta^s| \gg 1$, the contribution to eq. (1) is of the cold beam type $\sim \frac{\omega_{ps}^2}{(\omega - kV_s)^2}$ or with thermal corrections such as in Langmuir waves $\sim \frac{\omega_{ps}^2}{(\omega - kV_s)^2}\left[1 + \frac{3k^2 v_{ts}^2}{2(\omega - kV_s)^2}\right]$. For $|\zeta^s| \ll 1$, the term is $\sim \frac{\omega_{ps}^2}{3k^2 v_{ts}^2}$, such as for hot electrons in ion/electron acoustic waves. The Langmuir wave has $\omega \geq \omega_{pe}$, and it tends to be the dominant mode if the beam is weak and suprathermal (Omura et al., 1996; Lu et al., 2005; An et al., 2019). The beam or

70   acoustic modes often have $\omega < \omega_{pe}$, though occasions exist in the Earth's

71   magnetosphere regime where $\omega > \omega_{pe}$ (Fuselier et al., 1985). When the electron

72   beam speed is comparable to the background electron thermal speed ($V_{beam} \sim v_{te,bg}$),

73   it tends to excite instabilities through electron-electron interactions, and the resulting

74   wave has high frequencies ($\omega \gg \omega_{pi}$) and high phase speeds (V_{ph}) comparable to

75    $v_{te,bg}$; a slow electron beam ($V_{beam} \ll v_{te,bg}$) tends to excite waves through

76   electron-ion interactions, and the resulting Buneman-like or ion acoustic-like waves

77   have low frequencies ($\omega \lesssim \omega_{pi}$) and low V_{ph} (Norgren et al., 2015; Graham et al.,

78   2016). Such quasi-parallel waves are commonly observed in reconnection regions

79   (e.g., Khotyaintsev et al., 2019 and references therein), which may trap particles and

80   thermalize distributions (e.g., Khotyaintsev et al., 2020). For quasi-perpendicular

81   waves, non-gyrotropic electron distributions in the electron diffusion region (EDR)

82   may excite upper hybrid waves (e.g., Graham et al., 2017; Burch et al., 2019) and

83   electron Bernstein waves (e.g., Li et al., 2020), which may alter the distributions,

84   pressure and potentially the reconnection electric field (Dokgo et al., 2020a, b; Li et

85   al., 2020, 2021).

86

87   Waves at higher frequencies may be modulated by those at lower-frequencies, a way

88   to cause energy transfer across scales. For example, inside the magnetosphere,

89   ultra-low-frequency waves may modulate electromagnetic-ion-cyclotron waves (e.g.,

90   Liu et al., 2022), whistler and electron cyclotron harmonic (ECH) waves (e.g., Zhang

91   et al., 2019); kinetic Alfven waves may modulate time domain structures around

92   injection fronts (An et al., 2021). Whistler waves with oblique propagations may

93   produce parallel beams that drive Langmuir or electron acoustic waves (An et al.,

94   2019), observed in magnetopause reconnection (Li et al., 2018) as well as other

95   environments like the radiation belt (Li et al., 2017) and foreshock (Wang et al.,

96   2020).

97

98   During magnetopause reconnection, electrostatic waves are commonly observed and

99   they are capable of penetrating to the central EDR region, e.g., statistical results by

100   Wilder et al (2019). However, there still lacks a systematic picture about what regimes

101   of electrostatic waves are applicable in each reconnection sub-region, and whether

102   and how they are coupled with other waves. Using burst-mode measurements of the

103   Magnetosphere Multiscale (MMS) mission (detailed data descriptions in the

104   Supplementary Information), we find rich electrostatic waves around an EDR event at

105   magnetopause. We analyze the wave properties and corresponding plasma conditions,

106   trying to advance the comprehension of the questions above.

107

108   **2. Observations**

109   **2.1 Overview of the context and waves in the event**

110   The event was on 26 February, 2018 (Figure 1). MMS crossed the magnetopause

111   reconnection current sheet with B_L reversals (Figure 1b), where $B_L>0$ indicates the

112   magnetospheric side. The LMN coordinate is determined by MVA during

113   09:52:04.4-09:52:07.1 UT, where L=[-0.3561, -0.2697, 0.8946], M=[-0.2353, -0.9007,

114 -0.3652], N=[0.9043, -0.3406, 0.2593] GSM. Overall the spacecraft was in a

115 reconnection exhaust with large V_{iL}>0 (Figure 1c) and dense magnetosheath-origin

116 electrons dominate the spectrogram (Figure 1a). Around 09:52:08 UT, a strong

117 positive V_{eM} (Figure 1d) is observed. The associated V_{eL} reversal indicates a possible

118 crossing from the +L to -L sides of an X-line. As shown later, MMS1 observed

119 non-gyrotropic electron beams with $v_\parallel$<0 at 08:52:08.1 UT (Figure 2i) while MMS3

120 observed intense electron beams with $v_\parallel$>0 around 09:52:08.5 - 09:52:08.7 UT (Figure

121 4g), further supporting the encounter of an EDR (embedded in a primary reconnection

122 outflow) with a break of the magnetic field topology and electron demagnetization.

123

124 The event has rich wave activities as seen in the FFT power spectra of electric (Figure

125 1e) and magnetic fields (Figure 1g). Lower-hybrid waves (LHWs) are observed with

126 electromagnetic fluctuations mainly below the lower-hybrid frequency (f_{lh}), with

127 occasions extending much above f_{lh}. The wave power is strongest where B_L has large

128 positive values and V_{eM} is strong, indicating the close vicinity to the density gradient

129 near the magnetospheric separatrix/boundary of the EDR current sheet. LHWs are

130 possibly also excited near the magnetosheath side separatrix with large negative B_L,

131 and the wave fields penetrate to the current sheet mid-plane with small $|B_L|$, where the

132 wave is weaker (e.g., around 09:51:30 and 09:52:30 UT). In this study, we refer to

133 whistler waves as the narrow-band enhancement slightly below $f_{ce}/2$ (marked in

134 Figure 1g) close to the current sheet mid-plane.

135

136   Our focus is the electrostatic wave mainly above $f_{ce}/2$ with the power enhancements

137   marked by a purple oval. On the magnetospheric side ($B_L > 0$, e.g., 09:52:10-09:52:30

138   UT), quasi-parallel waves dominate with $|E_{||}|^2/|E|^2$ close to unity (Figure 1f). On

139   the magnetosheath side ($B_L < 0$, e.g., 09:51:40-09:52:05 UT), bands of perpendicular

140   waves ($|E_{||}|^2/|E|^2 \sim 0$) are present slightly above f_{ce}, co-existing with parallel waves.

141

142   **2.2 An isolated oblique wave packet in the EDR**

143   We first zoom in to look at waves in the EDR (Figure 2). Appreciable wave

144   enhancements occur around the ion plasma frequency (f_{pi}) mainly in $E_{||}$ (Figures

145   2c-2e). One encounter of oblique waves stands out (marked in Figures 2c-2d) with

146   comparable $E_{||}$ and $E_{\perp}$, indicated by the greenish color in $|E_{||}|^2/|E|^2$ (Figure 2e) and

147   the field-aligned coordinate (FAC) waveform (Figure 2f) that further indicates a linear

148   polarization. Based on the 1D FFT spectrum of fields between dashed vertical lines in

149   Figure 2f, the strong wave power expands at 1~15 f_{ce} (f_{ce}=313 Hz) or 0.4-5.9 f_{pi}

150   (f_{pi}=802 Hz, marked in Figure 2g). The wave propagation direction is determined to

151   be $\hat{k} = [-0.8464, 0.3464, 0.4000]LMN$ by the maximum variance direction of

152   electric fields above f_{ce}, $\theta_{kB} = 125°$. The wave number is estimated by the wavelet

153   coherence analysis of probe-to-satellite potentials at a pair of the spin-plane probes

154   (Graham et al., 2016), oriented 44° from k. The resulting dispersion relation is shown

155   as dots in Figure 2h, where colors represent the wave power. k is mainly at 0.01-0.02

156   m^{-1}, corresponding to kD_e=0.17-0.34, where $D_e \sim 17$ m. The presented frequencies

157   have been down-shifted to the ion-rest-frame by a small amount of 50-100 Hz. V_{ph} for

158 individual frequency channels are in the range of 200-1000 km/s, and the solid

159 magenta line in Figure 2h is a linear fit of *f-k* that requires crossing the origin, which

160 gives a representative V_{ph}=662 km/s. Thus, V_{ph} is mostly greater than the $v_{ti} \sim 330$

161 km/s and much smaller than $v_{te} \sim 5370$ km/s (82eV).

162

163 The electron distribution partly sampled in the wave interval

164 (09:52:08.088-09:52:08.118 UT) exhibits a non-gyrotropic population (asymmetric

165 along $v_{\perp 1}$ (bulk $V_{e\perp}$ direction)) with a $v_{||}<0$ drift. It indicates a location around the

166 magnetospheric boundary of the EDR current layer where magnetosheath-origin

167 electrons are not fully magnetized and move away from the X-line (e.g., Burch et al.,

168 2016; Chen et al., 2016a). Additional background electrons exist, and the extension to

169 large $v_{||}>0$ is consistent with electrons from the magnetospheric inflow region with

170 parallel heating (e.g., Le et al., 2017; Wang et al., 2017). The non-gyrotropic electrons

171 provide a possible energy source of exciting electrostatic waves. However, we find

172 that the bulk $V_{e\perp}$ is almost perpendicular to $k_{\perp}$ with an angle of 74°, inconsistent

173 with the expectation that $k_{\perp}$ should be aligned with $V_{e\perp}$.

174

175 In order to further test whether the local distribution with non-gyrotropic electron

176 beams can excite the observed waves, we model the distribution and apply a linear

177 instability analysis using the dispersion solver 'BO' (Xie, 2019). The electron

178 distribution is modeled with 5 populations shown in Figure 2j (velocity ranges used

179 for fitting individual populations are marked in Figure 2i, details in the

180   Supplementary Information). Populations 1&2 may be treated as a background, and

181   populations 3-5 together form a non-gyrotropic beam. Calculating the partial

182   moments, the ratio of relative drift ($v_{d,12}$) between the background (1&2) and the beam

183   (3-5) to the parallel thermal speed of the background ($v_{t,345}$) is 1.12 (greater than

184   unity), and the relative drift is 159° from the magnetic field direction. Ions are

185   approximately at rest, and $\omega_{pe}/\omega_{ce} = 80$. The linear instability analysis predicts a

186   maximum growth rate at $\theta_{kB} = 155°$, along which positive growth occurs at $kD_e =$

187    $0.15 - 1.30$, $\omega_r/\omega_{pi} = 3.5 - 38.0$ (solid curves in Figure 2k). The maximum

188   growth is at $kD_e = 0.62$, $\omega_r/\omega_{pi} = 28$, with $V_{ph}=1.5v_{t,345}$ close to the beam drift

189   speed. The high frequency and V_{ph} demonstrate that the instability is mainly

190   associated with the electron-electron interactions.

191

192   We tentatively conclude that the observed wave is not directly related to the free

193   energy provided by the local fast non-gyrotropic electron beam. The predicted

194   electron beam/acoustic instability is roughly along the beam direction, at frequencies

195   much higher than f_{pi} and V_{ph} comparable to v_{te}. In contrast, the observed wave is only

196   up to a few f_{pi} with a rather small V_{ph}, and $\boldsymbol{k}_\perp$ is almost perpendicular to $\boldsymbol{V}_{e\perp}$.

197

198   The source of the observed wave is inconclusive, but it has a couple of indications.

199   The measurement of low-energy electrons near V_{ph} of a few hundred km/s is missing;

200   however, MMS observed cold ions in the magnetospheric inflow region about 20 min

201   later (not shown). These cold ions and electrons may enter the reconnection region,

202 which may excite ion/electron acoustic waves (e.g., Ergun et al., 2016). In fact, V_{ph} is

203 close to the acoustic speed $c_s = \sqrt{(3T_i + T_e)/m_i} \sim 624\ km/s$.

204 **2.3 Parallel electron beam mode waves in whistler**

205 A series of electrostatic waves are present and modulated in the whistler wave in the

206 vicinity of the current sheet mid-plane ($B_L\sim 0$, Figure 3). Whistler occurs at slightly

207 below $f_{ce}/2$ (Figure 3c), associated with the perpendicular anisotropy of energized

208 magnetosheath electrons (seen in Figure 3j) slightly downstream of the central EDR

209 (Wang et al., 2022). We select whistler waves as bins in FFT spectrograms that have

210 magnetic field powers >10 times of the background noise level, degree of

211 polarization > 0.7, and ellipticity > 0.5 (using the spectral analysis (Samson and Olson,

212 1980)). The parallel Poynting flux for the identified whistler wave is positive (Figure

213 3d), indicating a propagation away from the mid-plane toward the magnetosheath side.

214 Enhancements of high-frequency electrostatic wave powers (Figures 3b-3c) lie in

215 $f_{pi}{<}f{<}f_{pe}$, with the peak power sometimes slightly below f_{pe} such as around

216 09:52:09.15-09:52:09.40 UT and sometimes just above f_{pi} such as around 09:52:09.6

217 UT (the peak power ~3000 Hz is well resolved). The zoom-in plots (Figures 3g-3i)

218 show that the electrostatic waves are mainly along $E_{||}$ and the occurrence is clearly

219 modulated by whistler. For example, eight wave packets occur during an interval of

220 0.05s from 09:52:09.32 to 09:52:09.37 UT, corresponding to a modulation frequency

221 of 160 Hz, equal to the whistler frequency. Figure 3i further shows that the

222 electrostatic wave occurs at the negative $E_{||}$ phase of whistler, a feature consistent

223 with the secondary wave produced by an oblique whistler with $V_{ph||}{>}0$ (e.g., Li et al.,

224 2018; An et al., 2019). Electrons with velocities close to $V_{ph||}$ can be trapped by

225 whistler through nonlinear Landau resonance. The trapped population gets accelerated

226 toward larger $v_{||}$ during the negative $E_{||}$ phase, forming a beam to trigger secondary

227 instabilities.

228

229 The above scenario is more quantitatively supported by wave properties and electron

230 distribution features. For selected whistler bins in Figure 3d, we estimate $V_{ph||}$ using

231 $\frac{|E|}{|B|cos\theta_{kB}}$ (magenta dots in Figure 3e) with the median values at each time shown with

232 a black curve, where the calculated θ_{kB} is around 30°. The values are 3000-5000

233 km/s, slightly larger than those from the cold plasma dispersion relation ($V_{ph||} =$

234 $\sqrt{\frac{\omega(\Omega_{ce}cos\theta_{kB}-\omega)}{\omega_{pe}^2}}$) of 2000-2500 km/s (blue diamonds). The electron $v_{||}$ spectrogram

235 (Figure 3f) exhibits holes between background and beams around the estimated

236 median values of $V_{ph||}$ at 09:52:09.2-09:52:09.4 UT, also seen in the 2D distribution

237 (Figure 3j). Beam speeds oscillate, which indicates possible modulations by whistler,

238 though data resolution is not sufficient to fully resolve the whistler-frequency

239 signature.

240

241 In the magnetopause reconnection context, electrostatic waves driven and modulated

242 by whistler have been reported (Li et al., 2018). They mainly discussed an event in the

243 magnetospheric separatrix region, where whistler originates from anisotropy of hot

244 magnetospheric electrons. The $V_{ph||}$ is around 2×10^4 km/s above local v_{te}, such that

245 the dominant secondary electrostatic wave is Langmuir wave (An et al., 2019). Our

246 event, as well as an event mentioned in Li et al. (2018), occurs in the vicinity of the

247 current sheet mid-plane, where whistler arises from the anisotropy of energized

248 magnetosheath-origin electrons in the reconnection exhaust. The corresponding $V_{ph||}$

249 (a few thousand km/s) is comparable to v_{te}, which theoretically excites electron

250 beam/acoustic mode waves at a fraction of f_{pe} (An et al., 2019). Li et al. (2018)

251 observed waves slightly below f_{pe}; our event has occasions of waves slightly below f_{pe}

252 and also at lower frequencies just above f_{pi}.

253

254 **2.4 Electron beam modes and cyclotron waves in LHWs**

255 Modulations of electrostatic waves are also found inside LHWs. Figure 4 (left) shows

256 MMS3 observations in the magnetospheric side separatrix region around the density

257 gradient (Figure 4a). LHWs are present mainly below f_{lh}, and extend to higher

258 frequencies in the most intense intervals near 09:52:07 UT (Figures 4e and 4f). We

259 find that separate high-frequency electrostatic waves mainly along $E_{||}$ are present,

260 seen in the waveform that co-exist with $E_\perp$ of LHWs (Figure 4c). Their power

261 spectrum is extracted by plotting $\left|E_{||}\right|^2 - |E_\perp|^2$ (Figure 4d), mainly at $f_{pi} \lesssim f \lesssim f_{pe}$. The

262 electron $v_{||}$ spectrogram (Figure 4g) exhibits beams. Persistent intense beams at $v_{||} > 0$

263 like those around 09:52:06.7-09:52:07.0 UT, with an example distribution in Figure

264 4h, are possible magnetosheath electrons moving away from the X-line as the outflow.

265 Later in the lower-density region, the less intense beams repeatedly change directions,

266 also seen in 2D distributions (Figures 4i-4k).

267

268 It has been reported that large-amplitude $E_\parallel$ exist in LHWs (e.g., Ergun et al., 2019).

269 We further analyze that high-frequency $E_\parallel$ waves may be modulated by LHWs,

270 readily suggested by wave power enhancements that are discrete in time. The

271 recurrence frequency is quantified with the waveform filtered at 0.3-33 kHz during

272 09:52:06.7-09:52:07.1UT inside the most intense LHWs (Figure 4h). $E_\parallel$ wave packets

273 are present with amplitudes up to ~60 mV/m. We identify the envelops of the wave

274 packets (black curve) and extract their maxima (black dots, required to be >2 mV/m).

275 The reciprocal of intervals between the adjacent maxima are calculated to estimate the

276 recurrence frequency. With 40 identified maxima, the median recurrence frequency is

277 131 Hz, and the 25% and 75% quartiles are 73 Hz and 164 Hz, respectively. The

278 values well lie in the range of the high-frequency part of LHWs, indicating

279 modulations of $E_\parallel$ waves by LHWs. LHWs typically have quasi-perpendicular

280 propagations with non-zero $k_\parallel$, and the associated $E_\parallel$ may modulate and resonate with

281 electrons (e.g., Cairns and McMillan, 2005; Graham et al., 2019; Wang et al., 2021;

282 Ng et al., 2023). Therefore, LHWs may modify the distribution near their $V_{ph\parallel}$ and

283 excite secondary parallel waves, in a similar way with whistlers. In addition, LHWs

284 produce diffusion for plasmas across boundaries (e.g., Price et al., 2017; Le et al.,

285 2017; Graham et al., 2022), where field-aligned beams can be produced (Le et al.,

286 2018). We infer that electron beams with positive/negative $v_\parallel$ (not necessarily near

287 $V_{ph\parallel}$ of LHWs) may be generated during the diffusion process, and the beams excite

288 electrostatic waves.

289

290 LHW-modulated high-frequency waves are also observed in the magnetosheath

291 separatrix region with large B_L<0 (Figure 4, right). Waveforms show high-frequency

292 waves (Figure 4m) in the midst of LHWs (dominant fluctuations in Figure 4n). LHWs

293 are mainly below f_{lh}~25 Hz (Figure 4q), while high-frequency waves are mainly

294 above f_{ce} (Figure 4o-4p). High-frequency $E_\perp$ waves exhibit harmonic features,

295 possibly ECH waves. ECHs have been reported in the magnetosheath separatrix

296 region (Zhou et al., 2016). The FFT spectra of electric and magnetic fields for an

297 example interval between vertical dashed lines are shown in Figures 4s-4t. The

298 harmonics are right at integers of f_{ce} up to $6f_{ce}$ in electric fields, and one peak can be

299 seen in magnetic fields at $1f_{ce}$, indicating a weak electromagnetic component.

300 Additional broadband $E_\parallel$ waves are present at higher frequencies than ECH and below

301 f_{pe}. The electron distribution (Figure 4u) that covers the interval of this wave burst

302 shows a loss cone feature (or perpendicular anisotropy) at $v_\parallel$>0. The spacecraft was at

303 +L side of the X-line at this time, so energetic electrons moving away from the X-line

304 are at $v_\parallel$<0, causing the asymmetry between field-aligned directions (Fuselier et al.,

305 2013; Chen et al., 2016b). ECHs are likely excited by loss cone distributions.

306

307 ECH wave powers are modulated (Figure 4o): seven bursts show up during ~0.5s at

308 09:52:03.02-09:52:03.52 UT, corresponding to a modulation frequency of 14Hz in the

309 LHW range. We further calculate the LHW potential in Figure 4r (method of Norgren

310 et al. (2012)), which shows that ECH enhancements (marked by vertical dotted lines)

311 tend to occur at the slopes of the potential, corresponding to peak wave electric fields

possibly driving electron vortices in LHWs (e.g., Ergun et al., 2019; Chen et al., 2020). These gyro-scale potential structures may inflate/compress electron distributions and generate non-gyrotropic features (e.g., Chen et al., 2020; Wang et al., 2021). We infer that the LHW structures modify the loss cone electron distributions in the magnetosheath separatrix region, possibly changing the phase-space gradient of distributions, and modulate ECHs.

3. Summary and Discussions

Based on MMS observations of one magnetopause reconnection diffusion region crossing, we identify widely presented electrostatic waves in different sub-regions. We analyze the wave and plasma properties, and find their associations with lower-frequency waves. The findings are summarized in Figure 1h.

(1) Inside the EDR on the magnetospheric side of the mid-plane, isolated waves are observed, and one particular wave packet is highly oblique. The wave extends from a fraction of to a few f_{pi}, with a low V_{ph} much smaller than the electron thermal speed. Oblique electrostatic waves have been rarely discussed. Zhong et al. (2021) showed one example downstream of the EDR within the ion diffusion region (illustrated with a magenta word in Figure 1h). The diffusion region provides a special environment of unmagnetized plasmas, which should be critical for the presence of such oblique waves. In both Zhong et al. (2021) and the present case, V_{ph} are low. It indicates that ions and/or cold ionosphere/plasmaspheric electrons may play a role for the wave excitation, and

334   the mechanism needs to be further understood.

335

336   The electron distribution accompanied with the wave has a fast non-gyrotropic

337   beam, expected to excite beam-mode waves at higher frequencies. It requires

338   future work to understand whether and how such typical EDR electron

339   distributions affect electrostatic instabilities.

340 (2) Parallel electron beam mode waves driven and modulated by whistler are

341   observed in the vicinity of the mid-plane. Adding to Li et al. (2018), with their

342   finding about the Langmuir wave in whistler near the magnetospheric separatrix

343   (included in Figure 1h), we further complete the regimes of whistler and the

344   associated secondary waves in the context of magnetopause reconnection.

345 (3) Analogous to the idea for whistler, we infer that LHWs can also modulate

346   high-frequency waves. In LHWs near both magnetospheric and magnetosheath

347   side separatrices, high-frequency wave powers are periodically enhanced with

348   recurrence frequencies in the range of the LHW frequency. On the magnetospheric

349   side, field-aligned electron beams that change directions over time are observed.

350   We infer that LHWs may periodically scatter and produce these beams as they

351   diffuse the density gradient, and the beams excite electron beam mode waves. On

352   the magnetosheath side, ECHs tend to occur at the slopes of LHW potentials. We

353   infer that LHWs modify the loss cone distribution in the separatrix region and

354   modulate ECHs. The exact dynamics about LHW modulations still acquire a

355   better understanding.

This event helps us step forward on building a map of waves in reconnection regions, learning about the applicable wave regimes and understanding the coupling of different processes in reconnection. We expect that more systematic and statistical studies of electrostatic waves in reconnection will help consolidate our understanding and solve the open questions.

Data Availability

MMS data are available at https://lasp.colorado.edu/mms/sdc/public/. One can go to the tab of 'About the Data', 'Browse the SDC', and select data for specific satellites, e.g., 'mms1'.

References

An, X., Li, J., Bortnik, J., Decyk, V., Kletzing, C., & Hospodarsky, G. (2019). Unified view of nonlinear wave structures associated with whistler‐mode chorus.

Physical Review Letters, 122(4), 045101.

https://doi.org/10.1103/PhysRevLett.122.045101

Burch, J. L., et al. (2016), Electron-scale measurements of magnetic reconnection in

space, Science, 352(6290), 1189, doi:10.1126/science.aaf2939.

Burch, J. L., Dokgo, K., Hwang, K. J., Torbert, R. B., Graham, D. B., Webster, J. M.,

et al. (2019). High‐frequency wave generation in magnetotail reconnection:

Linear dispersion analysis. Geophysical Research Letters, 46, 4089–4097.

https://doi.org/10.1029/2019GL082471

Cairns, I. H., and B. F. McMillan (2005), Electron acceleration by lower hybrid waves

in magnetic reconnection regions, Phys. Plasmas, 12, 102110,

doi:10.1063/1.2080567.

Chen, L.-J., M. Hesse, S. Wang, N. Bessho, and W. Daughton (2016a), Electron

energization and structure of the diffusion region during asymmetric reconnection,

Geophys. Res. Lett., 43, 2405–2412, doi:10.1002/2016GL068243.

Chen, L.-J., et al. (2016), Electron energization and mixing observed by MMS in the

vicinity of an electron diffusion region during magnetopause reconnection,

Geophys. Res. Lett., 43, 6036–6043, doi:10.1002/2016GL069215.

Chen, L.-J., Wang, S., Le Contel, O., Rager, A., Hesse, M., Drake, J., et al. (2020).

Lower-hybrid drift waves driving electron nongyrotropic heating and vortical

flows in a magnetic reconnection layer. Physical Review Letters, 125, 025103.

https://doi.org/10.1103/PhysRevLett.125.025103

398 Dokgo, K., Hwang, K.-J., Burch, J. L., Choi, E., Yoon, P. H., Sibeck, D. G., &

399   Graham, D. B. (2019). High-frequency wave generation in magnetotail

400   reconnection: Nonlinear harmonics of upper hybrid waves. Geophysical Research

401   Letters, 46, 7873–7882. https://doi.org/10.1029/2019gl083361

402 Dokgo, K., Hwang, K.-J., Burch, J. L., Yoon, P. H., Graham, D. B., & Li, W. (2020a).

403   High-frequency waves driven by agyrotropic electrons near the electron diffusion

404   region. Geophysical Research Letters, 47(5), e2020GL087111.

405   https://doi.org/10.1029/2020gl087111

406 Dokgo, K., Hwang, K.-J., Burch, J. L., Yoon, P. H., Graham, D. B., & Li, W. (2020b).

407   The Effects of Upper-Hybrid Waves on Energy Dissipation in the Electron

408   Diffusion Region. Geophysical Research Letters, 47(19), e2020GL089778.

409   https://doi.org/10.1029/2020GL089778

410 Ergun, R., Holmes, J., Goodrich, K., Wilder, F., Stawarz, J., & Eriksson, S. (2016).

411   Magnetospheric multiscale observations of large-amplitude, parallel, electrostatic

412   waves associated with magnetic reconnection at the magnetopause. Geophysical

413   Research Letters, 43(11), 5626–5634. https://doi.org/10.1002/2016GL068992

414 Ergun, R., Tucker, S., Westfall, J., Goodrich, K., Malaspina, D., & Summers, D.

415   (2016). The axial double probe and fields signal processing for the MMS mission.

416   Space Science Reviews, 199(1–4), 167–188.

417 Ergun, R. E., Hoilijoki, S., Ahmadi, N., Schwartz, S. J., Wilder, F. D., Drake, J. F., et

418   al. (2019). Magnetic reconnection in three dimensions: Modeling and analysis of

419   electromagnetic drift waves in the adjacent current sheet. Journal of Geophysical

Research: Space Physics, 124,10085‑10103.

https://doi.org/10.1029/2019JA027275

Fuselier, S. A., Gurnett, D. A., and Fitzenreiter, R. J. (1985), The downshift of

electron plasma oscillations in the electron foreshock region, J. Geophys.

Res., 90(A5), 3935– 3946, doi:10.1029/JA090iA05p03935.

Fuselier, S. A., K. J. Trattner, and S. M. Petrinec (2011), Antiparallel and component

reconnection at the dayside magnetopause, J. Geophys. Res., 116, A10227,

doi:10.1029/2011JA016888.

Graham, D. B., Khotyaintsev, Y. V., Vaivads, A., & André, M. (2015). Electrostatic

solitary waves with distinct speeds associated with asymmetric reconnection.

Geophysical Research Letters, 42(2), 215–224.

https://doi.org/10.1002/2014GL062538

Graham, D. B., Khotyaintsev, Y. V., Vaivads, A., & Andre, M. (2016). Electrostatic

solitary waves and electrostatic waves at the magnetopause. Journal of

Geophysical Research: Space Physics, 121(4), 3069–3092.

https://doi.org/10.1002/2015JA021527

Graham, D. B., Khotyaintsev, Y. V., Vaivads, A., Norgren, C., André, M., Webster, J.

M., et al. (2017). Instability of agyrotropic electron beams near the electron

diffusion region. Physical Review Letters, 119, 025101.

https://doi.org/10.1103/PhysRevLett.119.025101

Graham, D. B., Khotyaintsev, Y. V., Norgren, C., Vaivads, A., André, M., Drake, J.

F., et al. (2019). Universality of lower hybrid waves at Earth's magnetopause.

Journal of Geophysical Research: Space Physics, 124, 8727–8760.

https://doi.org/10.1029/2019JA027155

Graham, D. B., Khotyaintsev, Y. V., André, M., Vaivads, A., Divin, A., Drake, J. F.,

et al. (2022). Direct observations of anomalous resistivity and diffusion in

collisionless plasma. Nature Communications, 13(1),

2954. https://doi.org/10.1038/s41467-022-30561-8

Gurnett, D. A. and Bhattacharjee, A. (2005), Chapter 8: Electrostatic waves in hot

unmagnetized plasma, in Introduction to plasma physics with space and

laboratory applications, Cambridge University Press, ISBN: 0521367301.

Jiang, K., Huang, S. Y., Yuan, Z. G., Sahraoui, F., Deng, X. H., Yu, X. D., et al.

(2019). The role of upper hybrid waves in the magnetotail reconnection electron

diffusion region. The Astrophysical Journal Letters, 881(2), L28.

https://doi.org/10.3847/2041-8213/ab36b9

Khotyaintsev, Y. V., Graham, D. B., Norgren, C., & Vaivads, A. (2019). Collisionless

magnetic reconnection and waves: Progress review. Frontiers in Astronomy and

Space Sciences, 6(70), 1–20. https://doi.org/10.3389/fspas.2019.00070

Khotyaintsev, Y. V., Graham, D. B., Steinvall, K., Alm, L., Vaivads, A., & Johlander,

A. (2020). Electron heating by debye-scale turbulencein guide-field reconnection.

Physical Review Letters, 124(4), 045101.

Le Contel, O., Leroy, P., Roux, A., Coillot, C., Alison, D., & Bouabdellah, A. (2016).

The search-coil magnetometer for mms. Space Science Reviews, 199(1–4), 257–

282.

Le, A., Daughton,W., Chen, L.-J., & Egedal, J. (2017). Enhanced electron mixing and heating in 3-d asymmetric reconnection at the earth's magnetopause. Geophysical Research Letters, 44, 2096–2104. https://doi.org/10.1002/2017GL072522

Li, J., Bortnik, J., Li, W., Thorne, R. M., Ma, Q., Chu, X., et al. (2017). Coherently modulated whistler mode waves simultaneously observed over unexpectedly large spatial scales. Journal of Geophysical Research: Space Physics, 122, 1871–1882. https://doi.org/10.1002/2016JA023706

Li, J., Bortnik, J., An, X., Li, W., Russell, C. T., Zhou, M., et al. (2018). Local excitation of whistler mode waves and associated Langmuir waves at dayside reconnection regions. Geophysical Research Letters, 45, 8793‒8802. https://doi.org/10.1029/2018GL078287

Li, W. Y., Graham, D. B., Khotyaintsev, Y. V., Vaivads, A., André, M., Min, K., et al. (2020). Electron Bernstein waves driven by electron crescents near the electron diffusion region. Nature Communications, 11(1), 141. https://doi.org/10.1038/s41467-019-13920-w

Li, W.-Y., Khotyaintsev, Y. V., Tang, B.-B., Graham, D. B., Norgren, C., Vaivads, A., et al. (2021). Upper-hybrid waves driven by meandering electrons around magnetic reconnection X line. Geophysical Research Letters, 48, e2021GL093164. https://doi.org/10.1029/2021GL093164

Lindqvist, P.-A., Olsson, G., Torbert, R., King, B., Granoff, M., & Rau, D. (2016). The spin-plane double probe electric field instrument for mms. Space Science Reviews, 199(1–4), 137–165.

486 Liu, Z.-Y, Q.-G Zong, R. Rankin, H. Zhang, Y. F. Wang, X.-Z. Zhou, S.-Y. Fu, C.

487   Yue, X.-Y Zhu, C. J. Pollock, S. A. Fuselier, and G. Le (2022), Simultaneous

488   macroscale and microscale wave-ion interaction in near-earth space plasmas. Nat.

489   Commun. 13, 5593. https://doi.org/10.1038/s41467-022-33298-6

490 Lu, Q., S. Wang, and X. Dou (2005), Electrostatic waves in an electron-beam plasma

491   system, Phys. Plasmas, 12, 072903, https://doi.org/10.1063/1.1951367

492 Ng, J., J. Yoo, L.-J. Chen, N. Bessho, and H. Ji (2023), 3D simulation of lower-hybrid

493   drift waves in strong guide field asymmetric reconnection in laboratory

494   experiments, Phys. Plasmas, 30, 042101, doi: 10.1063/5.0138278

495 Norgren, C., Vaivads, A., Khotyaintsev, Y. V., & Andre, M. (2012). Lower hybrid

496   drift waves: Space observations. Physical Review Letters, 109, 55001.

497   https://doi.org/10.1103/PhysRevLett.109.055001

498 Norgren, C., André, M., Graham, D., Khotyaintsev, Y. V., & Vaivads, A. (2015).

499   Slow electron holes in multicomponent plasmas. Geophysical Research Letters,

500   42(18), 7264–7272. https://doi.org/10.1002/2015GL065390

501 Omura, Y., Matsumoto, H., Miyake, T., & Kojima, H. (1996). Electron beam

502   instabilities as generation mechanism of electrostatic solitary waves in the

503   magnetotail. Journal of Geophysical Research, 101(A2), 2685–2697.

504 Pollock, C., Moore, T., Jacques, A., Burch, J., Gliese, U., & Saito, Y. (2016). Fast

505   plasma investigation for magnetospheric multiscale. Space Science Reviews,

506   199(1–4), 331–406.

507   Price, L., Swisdak, M., Drake, J. F., Burch, J. L., Cassak, P. A., & Ergun, R. E. (2017).

508   Turbulence in three-dimensional simulations of magnetopause reconnection.

509   Journal of Geophysical Research: Space Physics, 122, 11,086–11,099.

510   https://doi.org/10.1002/2017JA024227

511   Russell, C., Anderson, B., Baumjohann, W., Bromund, K., Dearborn, D., & Fischer,

512   D. (2016). The magnetospheric multiscale magnetometers. Space Science

513   Reviews, 199(1–4), 189–256.

514   Samson, J. C., & Olson, J. V. (1980). Some comments on the descriptions of the

515   polarization states of waves. Geophysical Journal International, 61(1), 115–129.

516   Wang, S., Chen, L. J., Hesse, M., Wilson, L. B., Bessho, N., Gershman, D. J., et al.

517   (2017). Parallel electron heating in the magnetospheric inflow region.

518   Geophysical Research Letters, 44, 4384–4392.

519   https://doi.org/10.1002/2017GL073404

520   Wang, S., Chen, L.-J., Ng, J., Bessho, N., Le, G., Fung, S. F., et al. (2020). A case

521   study of nonresonant mode 3-s ULF waves observed by MMS. Journal of

522   Geophysical Research: Space Physics, 125, e2020JA028557.

523   https://doi.org/10.1029/2020JA028557

524   Wang, S., Chen, L.-J., Bessho, N., Ng, J., Hesse, M., Graham, D. B., et al. (2021).

525   Lower-hybrid wave structures and interactions with electrons observed in

526   magnetotail reconnection diffusion regions: Simulation dataset,

527   https://doi.org/10.5281/zenodo.5651243

Wang, S., Bessho, N., Graham, D. B., Le Contel, O., Wilder, F. D., Khotyaintsev, Y.

V., et al. (2022). Whistler waves associated with electron beams in magnetopause

reconnection diffusion regions. Journal of Geophysical Research: Space Physics,

127, e2022JA030882. https://doi.org/10.1029/2022JA030882

Wilder, F. D., Ergun, R. E., Hoilijoki, S., Webster, J., Argall, M. R., Ahmadi, N., &

Giles, B. L. (2019). A survey of plasma waves appearing near dayside

magnetopause electron diffusion region events. Journal of Geophysical Research:

Space Physics, 124(10), 7837–7849. https://doi.org/10.1029/2019JA027060

Xie, H. (2019). BO: A unified tool for plasma waves and instabilities analysis.

Computer Physics Communications, 244, 343 – 371.

https://doi.org/10.1016/j.cpc.2019.06.014

Zhang, X.-J., Chen, L., Artemyev, A. V., Angelopoulos, V., & Liu, X. (2019).

Periodic excitation of chorus and ECH waves modulated by ultralow frequency

compressions. Journal of Geophysical Research: Space Physics, 124,

https://doi.org/10.1029/2019JA027201

Zhong, Z. H., Graham, D. B., Khotyaintsev, Y. V., Zhou, M., Le Contel, O., Tang, R.

X., & Deng, X. H. (2021). Whistler and broadband electrostatic waves in the

multiple X-line reconnection at the magnetopause. Geophysical Research Letters,

48, e2020GL091320. https://doi.org/10.1029/2020GL091320

Zhou, M., AshourAbdalla, M., Berchem, J., Walker, R. J., Liang, H., ElAlaoui, M., &

Chandler, M. O. (2016). Observation of high-frequency electrostatic waves in the

vicinity of the reconnection ion diffusion region by the spacecraft of the

550   magnetospheric multiscale (mms) mission. Geophysical Research Letters, 43(5),

551   4808–4815. https://doi.org/10.1002/2016GL069010
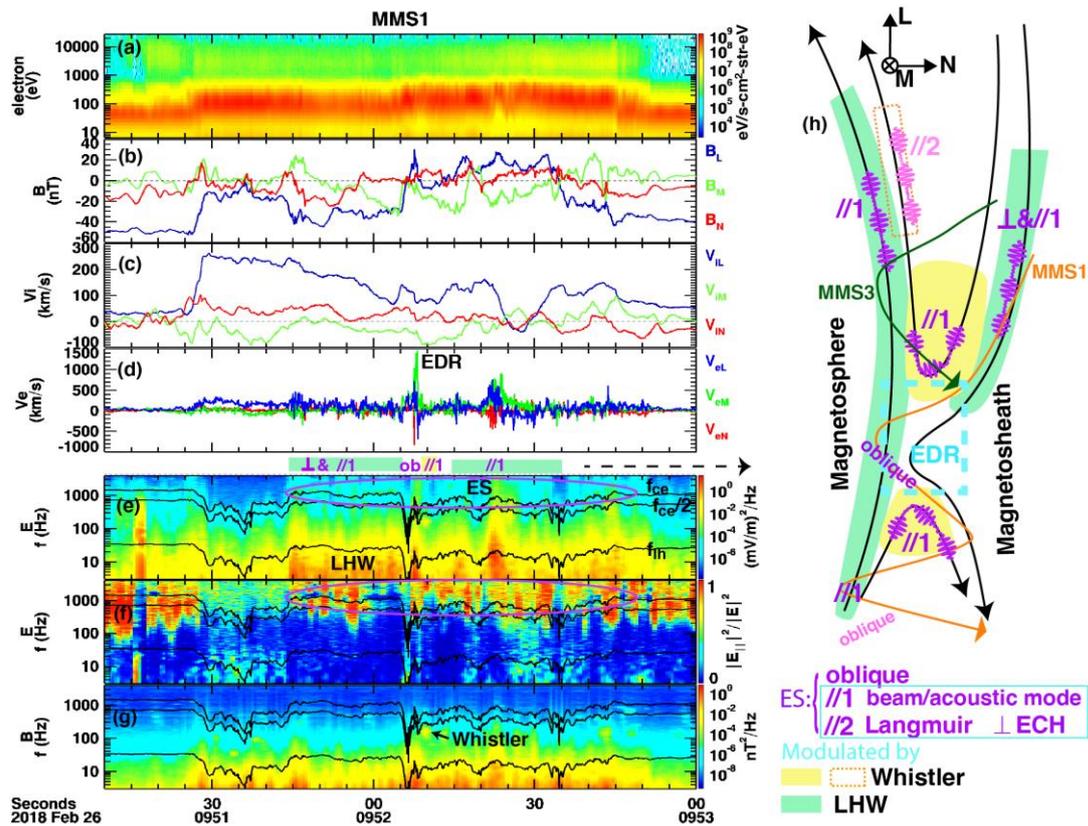
Figure 1. Overview of the event. (a) electron spectrogram; (b) $\boldsymbol{B}$; (c) $\boldsymbol{V_i}$; (d) $\boldsymbol{V_e}$; (e) $\boldsymbol{E}$ power spectrum; (f) $|E_{||}|^2/|E|^2$; (g) $\boldsymbol{B}$ power spectrum. (h) Illustrative summary of electrostatic waves in magnetopause reconnection. Repeated wave packets indicate modulations electrostatic waves by whistler or LHWs.
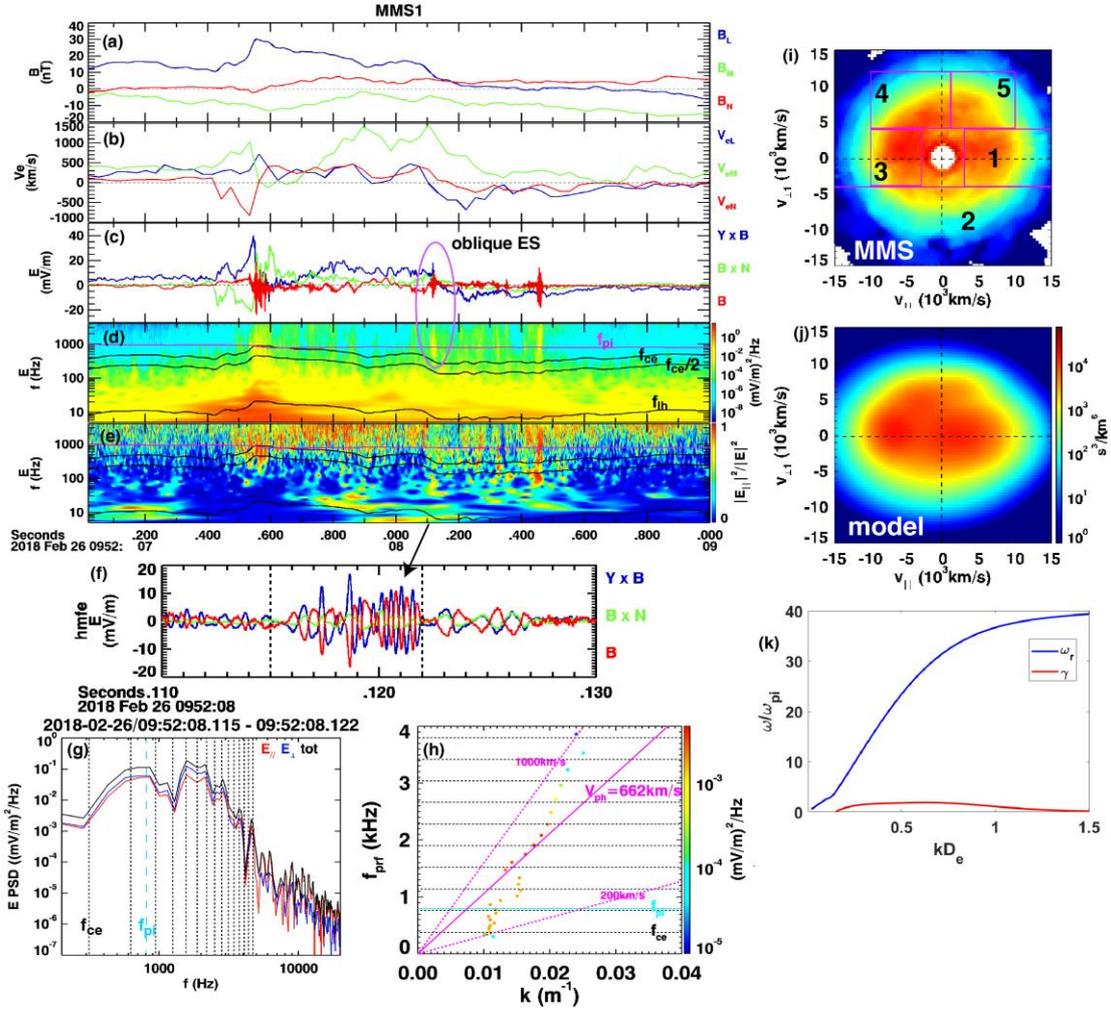
Figure 2. An isolated oblique wave in the EDR. (a) **B**; (b) electron velocity showing large V_{eM} and V_{eL} reversal; (c) **E** in FAC; (d) **E** power spectrum; (e) $|E_{||}|^2/|E|^2$; (f) electric field waveform of the oblique wave; (g) FFT spectrum of the oblique wave; (h) deduced dispersion relation of the observed wave; (i)-(j) observed and modeled electron distribution at the wave; (k) dispersion relations for the instability analysis of the model distribution, which shows much higher frequencies than the observed wave.
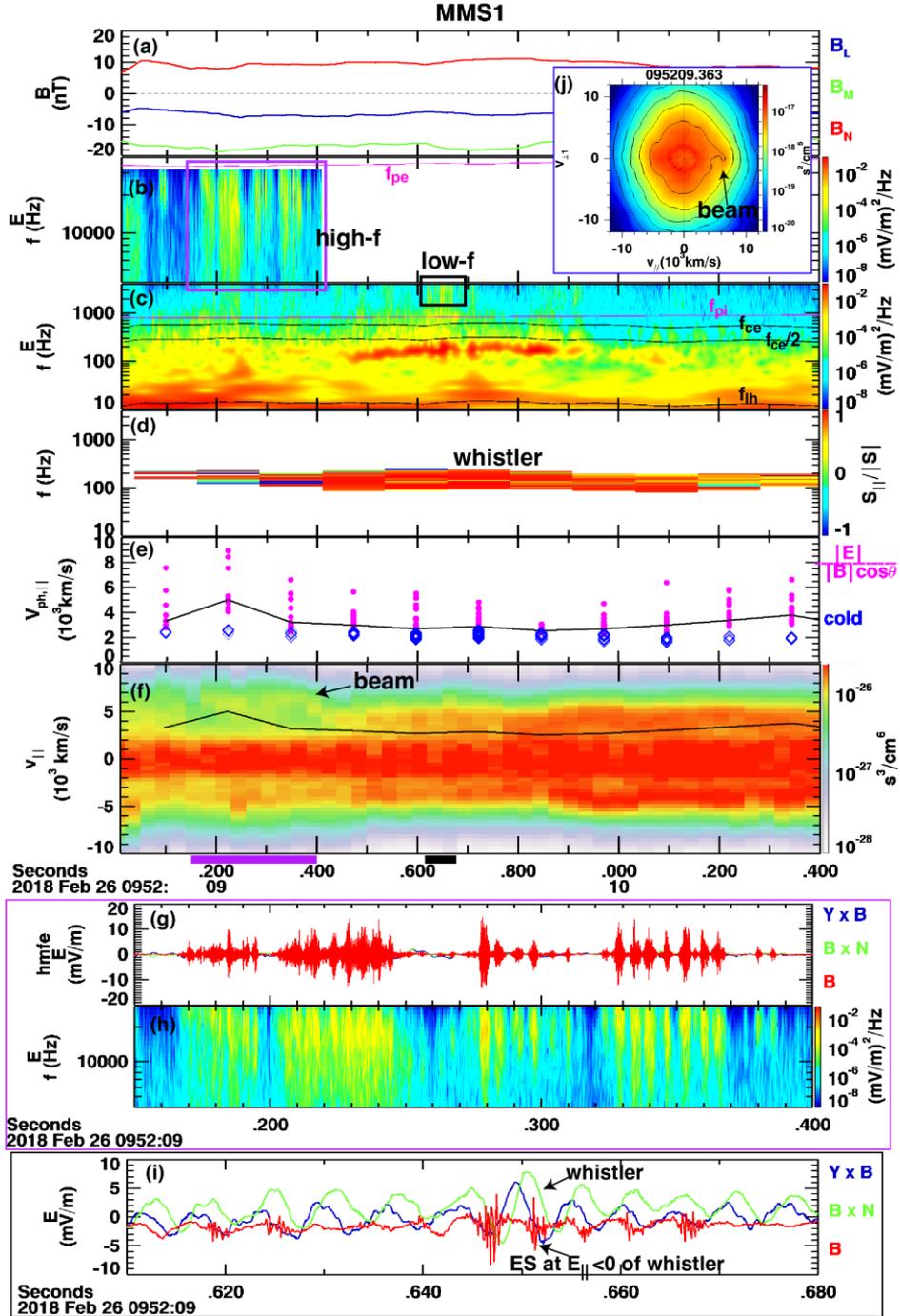
Figure 3. Parallel electrostatic waves (beam/acoustic mode below f_{pe}) modulated by whistler waves near the current sheet mid-plane. (a) B; (b)-(c) E power spectrum, showing whistler slightly below $f_{ce}/2$ and electrostatic waves at $f_{pi}<f<f_{pe}$. (d) parallel Poynting flux for selected whistler wave bins; (e) estimated parallel phase speed ($V_{ph//}$) of whistler, magenta: $|E|/(|B|cos\theta_{kB})$, black: median of magenta dots, blue: theoretical values in the cold plasma limit. (f) electron $v_{//}$ spectrogram, showing holes near the whistler $V_{ph//}$ (black curve), also seen in the 2D distribution in (j). (g)-(h) example waveform and power spectrum of modulated electrostatic waves slightly below f_{pe}. (i) example waveform showing electrostatic waves slightly above f_{pi} occurring at the negative $E_{//}$ phase of whistler.
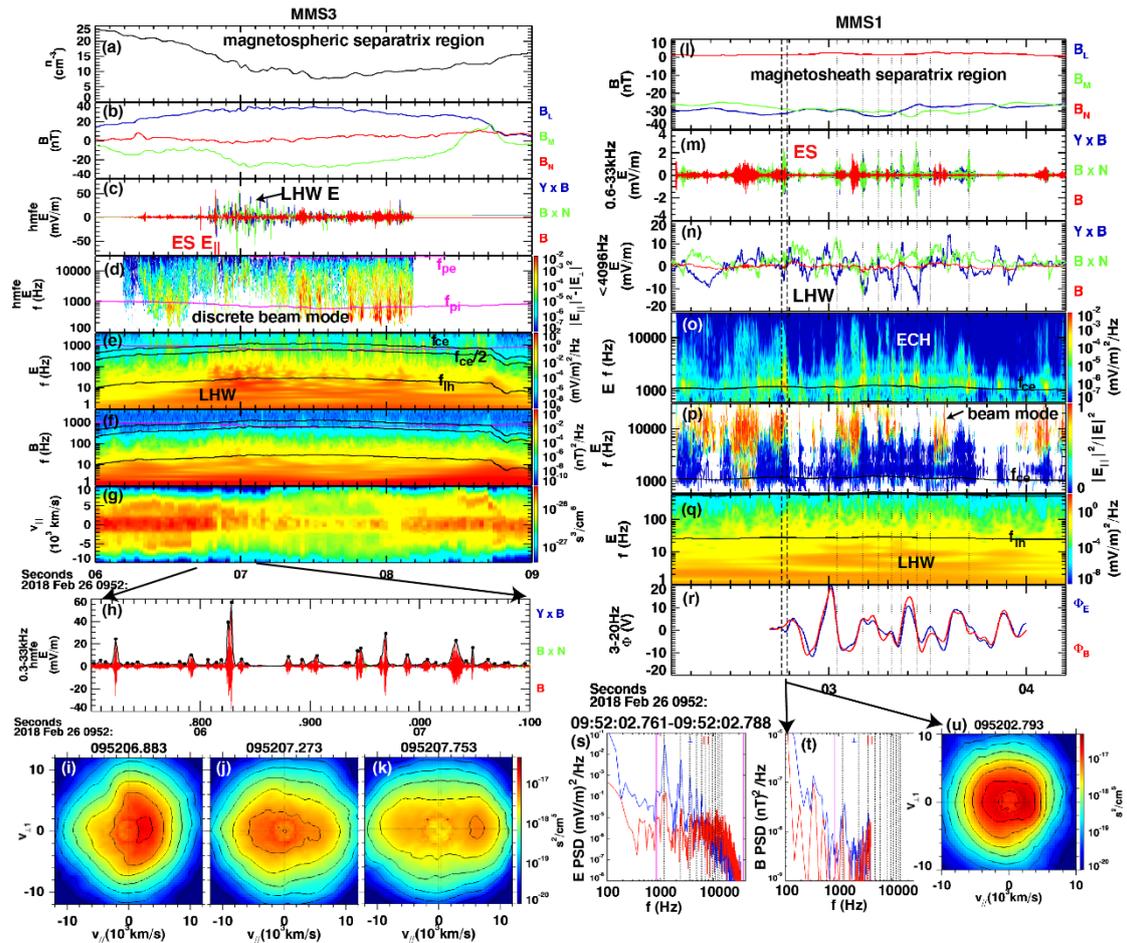
Figure 4. Left: parallel electrostatic waves modulated by lower-hybrid waves in the magnetospheric separatrix region. (a) electron density; (b) B; (c) AC electric field; (d) power spectrum of $|E_{||}|^2 - |E_\perp|^2$ showing enhancements of parallel electrostatic waves; (e) E power spectrum at low frequencies showing lower-hybrid waves; (f) B power spectrum; (g) electron $v_{||}$ spectrogram; (h) filtered electric field waveform showing $E_{||}$ waves (red); black curve: envelop of the wave; black dots: extracted maxima of the envelops showing a recurrence rate in the lower-hybrid wave frequency range. (i)-(k) example electron distributions showing field-aligned beams with varying directions. Right: perpendicular ECH waves modulated by lower-hybrid waves in the magnetosheath separatrix region. (l) B; (m)-(n) high- and low-frequency electric field waveforms; (o)-(p) E power spectrum and $|E_{||}|^2/|E|^2$ showing modulated perpendicular ECH and additional parallel waves. (q) low-frequency electric field power spectrum showing lower-hybrid waves. (r) lower-hybrid wave potential, with ECH enhancements at potential slopes. (s)-(t) electric and magnetic field FFT spectra for the interval between vertical dashed lines in (l)-(r). The corresponding electron distribution exhibits a loss cone at $v_{||}>0$ (u).