

1 **Electrostatic waves around a magnetopause reconnection diffusion region and**
2 **their associations with whistler and lower-hybrid waves**

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16 **Key points**

17 ● Oblique electrostatic waves occur with but likely not due to nongyrotropic
18 electron beams in the magnetospheric side EDR

19 ● Parallel electron beam-mode waves are modulated by whistler near the current
20 sheet mid-plane, by driving beams through Landau resonance

21 ● Electron beam-mode and cyclotron waves are modulated by lower-hybrid waves
22 near separatrices, with beam and loss cone distributions

23 **Abstract**

24 We investigate electrostatic waves in a magnetopause reconnection diffusion region
25 event. In the electron diffusion region on the magnetospheric side, an oblique
26 electrostatic wave is observed. The local distribution exhibits fast non-gyrotropic
27 electron beams with drifts comparable to the electron thermal speed, but the wave has
28 a much lower phase speed. Response of ions and possible cold electrons may
29 contribute to wave excitation. Near the current sheet mid-plane, parallel electron
30 beam-mode waves are modulated by whistler waves. In the separatrix region, parallel
31 waves associated with field-aligned electron beams and perpendicular electron
32 cyclotron waves with loss cone distributions exhibit modulation frequencies in the
33 lower-hybrid wave frequency range. We infer that lower-hybrid waves scatter
34 electrons to produce beams and alter loss cones to modulate electrostatic waves. The
35 results advance our understanding about the regimes and mechanisms of electrostatic
36 waves in reconnection and their coupling with lower-frequency waves.

37 **Plain Language Summary**

38 Magnetic reconnection is an important energy dissipation process at the Earth's
39 dayside magnetopause. In its central region, plasmas deviate from the thermal
40 equilibrium and form structured distribution functions, which excite plasma waves.
41 We investigate high-frequency electrostatic waves in an event, where the waves are
42 associated with electron beam – plasma interaction or anisotropy of distribution
43 functions. A rarely presented case of an oblique wave is observed, and the wave
44 property is unexpected compared to local distribution features. We further find that
45 electrostatic waves are driven and modulated by lower-frequency waves, as the latter
46 alters the particle distribution functions. The results help us understand how various
47 processes couple with each other to achieve the energy dissipation.

48 1. Introduction

49 Magnetic reconnection explosively converts energies from electromagnetic fields to
50 plasmas. Highly structured non-Maxwellian distributions are created in reconnection,
51 which can be unstable to a variety of plasma waves that further interact with particles,
52 so waves may be potential pathways of achieving the energy dissipation in
53 reconnection.

54

55 Quasi-electrostatic waves at the electron Debye length (D_e) scale are ubiquitous
56 plasma phenomena, and the responsible excitation mechanisms are often associated
57 with beam-plasma interactions, e.g., an electron beam drifting with respect to
58 background electrons and ions. For one-dimensional electrostatic waves in
59 unmagnetized (or field-aligned) plasmas with multiple populations, the dispersion
60 relation is (modified from eq. (8.4.18) in Gurnett and Bhattacharjee, 2005):

$$61 \quad 1 - \frac{1}{2} \sum_s \frac{\omega_{ps}^2}{k^2 v_{ts}^2} Z'(\zeta^s) = 0 \quad (1)$$

62 where for each species s , ω_{ps} is the plasma frequency, $v_{ts} = \sqrt{2T_s/m_s}$ is the
63 thermal speed, Z' is the derivative of the plasma dispersion function, $\zeta^s = (\omega -$
64 $kV_s)/(kv_{ts})$, and V_s is the bulk velocity. For populations with $|\zeta^s| \gg 1$, the
65 contribution to eq. (1) is of the cold beam type $\sim \frac{\omega_{ps}^2}{(\omega - kV_s)^2}$ or with thermal corrections
66 such as in Langmuir waves $\sim \frac{\omega_{ps}^2}{(\omega - kV_s)^2} \left[1 + \frac{3k^2 v_{ts}^2}{2(\omega - kV_s)^2} \right]$. For $|\zeta^s| \ll 1$, the term is
67 $\sim \frac{\omega_{ps}^2}{3k^2 v_{ts}^2}$, such as for hot electrons in ion/electron acoustic waves. The Langmuir wave
68 has $\omega \geq \omega_{pe}$, and it tends to be the dominant mode if the beam is weak and
69 suprathermal (Omura et al., 1996; Lu et al., 2005; An et al., 2019). The beam or

70 acoustic modes often have $\omega < \omega_{pe}$, though occasions exist in the Earth's
71 magnetosphere regime where $\omega > \omega_{pe}$ (Fuselier et al., 1985). When the electron
72 beam speed is comparable to the background electron thermal speed ($V_{beam} \sim v_{te,bg}$),
73 it tends to excite instabilities through electron-electron interactions, and the resulting
74 wave has high frequencies ($\omega \gg \omega_{pi}$) and high phase speeds (V_{ph}) comparable to
75 $v_{te,bg}$; a slow electron beam ($V_{beam} \ll v_{te,bg}$) tends to excite waves through
76 electron-ion interactions, and the resulting Buneman-like or ion acoustic-like waves
77 have low frequencies ($\omega \lesssim \omega_{pi}$) and low V_{ph} (Norgren et al., 2015; Graham et al.,
78 2016). Such quasi-parallel waves are commonly observed in reconnection regions
79 (e.g., Khotyaintsev et al., 2019 and references therein), which may trap particles and
80 thermalize distributions (e.g., Khotyaintsev et al., 2020). For quasi-perpendicular
81 waves, non-gyrotropic electron distributions in the electron diffusion region (EDR)
82 may excite upper hybrid waves (e.g., Graham et al., 2017; Burch et al., 2019) and
83 electron Bernstein waves (e.g., Li et al., 2020), which may alter the distributions,
84 pressure and potentially the reconnection electric field (Dokgo et al., 2020a, b; Li et
85 al., 2020, 2021).

86

87 Waves at higher frequencies may be modulated by those at lower-frequencies, a way
88 to cause energy transfer across scales. For example, inside the magnetosphere,
89 ultra-low-frequency waves may modulate electromagnetic-ion-cyclotron waves (e.g.,
90 Liu et al., 2022), whistler and electron cyclotron harmonic (ECH) waves (e.g., Zhang
91 et al., 2019); kinetic Alfvén waves may modulate time domain structures around

92 injection fronts (An et al., 2021). Whistler waves with oblique propagations may
93 produce parallel beams that drive Langmuir or electron acoustic waves (An et al.,
94 2019), observed in magnetopause reconnection (Li et al., 2018) as well as other
95 environments like the radiation belt (Li et al., 2017) and foreshock (Wang et al.,
96 2020).

97

98 During magnetopause reconnection, electrostatic waves are commonly observed and
99 they are capable of penetrating to the central EDR region, e.g., statistical results by
100 Wilder et al (2019). However, there still lacks a systematic picture about what regimes
101 of electrostatic waves are applicable in each reconnection sub-region, and whether
102 and how they are coupled with other waves. Using burst-mode measurements of the
103 Magnetosphere Multiscale (MMS) mission (detailed data descriptions in the
104 Supplementary Information), we find rich electrostatic waves around an EDR event at
105 magnetopause. We analyze the wave properties and corresponding plasma conditions,
106 trying to advance the comprehension of the questions above.

107

108 **2. Observations**

109 **2.1 Overview of the context and waves in the event**

110 The event was on 26 February, 2018 (Figure 1). MMS crossed the magnetopause
111 reconnection current sheet with B_L reversals (Figure 1b), where $B_L > 0$ indicates the
112 magnetospheric side. The LMN coordinate is determined by MVA during
113 09:52:04.4-09:52:07.1 UT, where $L = [-0.3561, -0.2697, 0.8946]$, $M = [-0.2353, -0.9007,$

114 -0.3652], $N=[0.9043, -0.3406, 0.2593]$ GSM. Overall the spacecraft was in a
115 reconnection exhaust with large $V_{iL}>0$ (Figure 1c) and dense magnetosheath-origin
116 electrons dominate the spectrogram (Figure 1a). Around 09:52:08 UT, a strong
117 positive V_{eM} (Figure 1d) is observed. The associated V_{eL} reversal indicates a possible
118 crossing from the +L to -L sides of an X-line. As shown later, MMS1 observed
119 non-gyrotropic electron beams with $v_{\parallel}<0$ at 08:52:08.1 UT (Figure 2i) while MMS3
120 observed intense electron beams with $v_{\parallel}>0$ around 09:52:08.5 - 09:52:08.7 UT (Figure
121 4g), further supporting the encounter of an EDR (embedded in a primary reconnection
122 outflow) with a break of the magnetic field topology and electron demagnetization.

123

124 The event has rich wave activities as seen in the FFT power spectra of electric (Figure
125 1e) and magnetic fields (Figure 1g). Lower-hybrid waves (LHWs) are observed with
126 electromagnetic fluctuations mainly below the lower-hybrid frequency (f_{lh}), with
127 occasions extending much above f_{lh} . The wave power is strongest where B_L has large
128 positive values and V_{eM} is strong, indicating the close vicinity to the density gradient
129 near the magnetospheric separatrix/boundary of the EDR current sheet. LHWs are
130 possibly also excited near the magnetosheath side separatrix with large negative B_L ,
131 and the wave fields penetrate to the current sheet mid-plane with small $|B_L|$, where the
132 wave is weaker (e.g., around 09:51:30 and 09:52:30 UT). In this study, we refer to
133 whistler waves as the narrow-band enhancement slightly below $f_{ce}/2$ (marked in
134 Figure 1g) close to the current sheet mid-plane.

135

136 Our focus is the electrostatic wave mainly above $f_{ce}/2$ with the power enhancements
137 marked by a purple oval. On the magnetospheric side ($B_L > 0$, e.g., 09:52:10-09:52:30
138 UT), quasi-parallel waves dominate with $|E_{||}|^2/|E|^2$ close to unity (Figure 1f). On
139 the magnetosheath side ($B_L < 0$, e.g., 09:51:40-09:52:05 UT), bands of perpendicular
140 waves ($|E_{||}|^2/|E|^2 \sim 0$) are present slightly above f_{ce} , co-existing with parallel waves.

141

142 **2.2 An isolated oblique wave packet in the EDR**

143 We first zoom in to look at waves in the EDR (Figure 2). Appreciable wave
144 enhancements occur around the ion plasma frequency (f_{pi}) mainly in $E_{||}$ (Figures
145 2c-2e). One encounter of oblique waves stands out (marked in Figures 2c-2d) with
146 comparable $E_{||}$ and E_{\perp} , indicated by the greenish color in $|E_{||}|^2/|E|^2$ (Figure 2e) and
147 the field-aligned coordinate (FAC) waveform (Figure 2f) that further indicates a linear
148 polarization. Based on the 1D FFT spectrum of fields between dashed vertical lines in
149 Figure 2f, the strong wave power expands at $1 \sim 15 f_{ce}$ ($f_{ce} = 313$ Hz) or $0.4 \sim 5.9 f_{pi}$
150 ($f_{pi} = 802$ Hz, marked in Figure 2g). The wave propagation direction is determined to
151 be $\hat{\mathbf{k}} = [-0.8464, 0.3464, 0.4000]LMN$ by the maximum variance direction of
152 electric fields above f_{ce} , $\theta_{kB} = 125^\circ$. The wave number is estimated by the wavelet
153 coherence analysis of probe-to-satellite potentials at a pair of the spin-plane probes
154 (Graham et al., 2016), oriented 44° from \mathbf{k} . The resulting dispersion relation is shown
155 as dots in Figure 2h, where colors represent the wave power. k is mainly at $0.01 \sim 0.02$
156 m^{-1} , corresponding to $kD_e = 0.17 \sim 0.34$, where $D_e \sim 17$ m. The presented frequencies
157 have been down-shifted to the ion-rest-frame by a small amount of 50-100 Hz. V_{ph} for

158 individual frequency channels are in the range of 200-1000 km/s, and the solid
159 magenta line in Figure 2h is a linear fit of $f-k$ that requires crossing the origin, which
160 gives a representative $V_{ph}=662$ km/s. Thus, V_{ph} is mostly greater than the $v_{ti} \sim 330$
161 km/s and much smaller than $v_{te} \sim 5370$ km/s (82eV).

162

163 The electron distribution partly sampled in the wave interval
164 (09:52:08.088-09:52:08.118 UT) exhibits a non-gyrotropic population (asymmetric
165 along $v_{\perp 1}$ (bulk $V_{e\perp}$ direction)) with a $v_{\parallel} < 0$ drift. It indicates a location around the
166 magnetospheric boundary of the EDR current layer where magnetosheath-origin
167 electrons are not fully magnetized and move away from the X-line (e.g., Burch et al.,
168 2016; Chen et al., 2016a). Additional background electrons exist, and the extension to
169 large $v_{\parallel} > 0$ is consistent with electrons from the magnetospheric inflow region with
170 parallel heating (e.g., Le et al., 2017; Wang et al., 2017). The non-gyrotropic electrons
171 provide a possible energy source of exciting electrostatic waves. However, we find
172 that the bulk $V_{e\perp}$ is almost perpendicular to \mathbf{k}_{\perp} with an angle of 74° , inconsistent
173 with the expectation that \mathbf{k}_{\perp} should be aligned with $V_{e\perp}$.

174

175 In order to further test whether the local distribution with non-gyrotropic electron
176 beams can excite the observed waves, we model the distribution and apply a linear
177 instability analysis using the dispersion solver 'BO' (Xie, 2019). The electron
178 distribution is modeled with 5 populations shown in Figure 2j (velocity ranges used
179 for fitting individual populations are marked in Figure 2i, details in the

180 Supplementary Information). Populations 1&2 may be treated as a background, and
181 populations 3-5 together form a non-gyrotropic beam. Calculating the partial
182 moments, the ratio of relative drift ($v_{d,12}$) between the background (1&2) and the beam
183 (3-5) to the parallel thermal speed of the background ($v_{t,345}$) is 1.12 (greater than
184 unity), and the relative drift is 159° from the magnetic field direction. Ions are
185 approximately at rest, and $\omega_{pe}/\omega_{ce} = 80$. The linear instability analysis predicts a
186 maximum growth rate at $\theta_{kB} = 155^\circ$, along which positive growth occurs at $kD_e =$
187 $0.15 - 1.30$, $\omega_r/\omega_{pi} = 3.5 - 38.0$ (solid curves in Figure 2k). The maximum
188 growth is at $kD_e = 0.62$, $\omega_r/\omega_{pi} = 28$, with $V_{ph} = 1.5v_{t,345}$ close to the beam drift
189 speed. The high frequency and V_{ph} demonstrate that the instability is mainly
190 associated with the electron-electron interactions.

191

192 We tentatively conclude that the observed wave is not directly related to the free
193 energy provided by the local fast non-gyrotropic electron beam. The predicted
194 electron beam/acoustic instability is roughly along the beam direction, at frequencies
195 much higher than f_{pi} and V_{ph} comparable to v_{te} . In contrast, the observed wave is only
196 up to a few f_{pi} with a rather small V_{ph} , and \mathbf{k}_\perp is almost perpendicular to $\mathbf{V}_{e\perp}$.

197

198 The source of the observed wave is inconclusive, but it has a couple of indications.
199 The measurement of low-energy electrons near V_{ph} of a few hundred km/s is missing;
200 however, MMS observed cold ions in the magnetospheric inflow region about 20 min
201 later (not shown). These cold ions and electrons may enter the reconnection region,

202 which may excite ion/electron acoustic waves (e.g., Ergun et al., 2016). In fact, V_{ph} is
203 close to the acoustic speed $c_s = \sqrt{(3T_i + T_e)/m_i} \sim 624 \text{ km/s}$.

204 **2.3 Parallel electron beam mode waves in whistler**

205 A series of electrostatic waves are present and modulated in the whistler wave in the
206 vicinity of the current sheet mid-plane ($B_L \sim 0$, Figure 3). Whistler occurs at slightly
207 below $f_{ce}/2$ (Figure 3c), associated with the perpendicular anisotropy of energized
208 magnetosheath electrons (seen in Figure 3j) slightly downstream of the central EDR
209 (Wang et al., 2022). We select whistler waves as bins in FFT spectrograms that have
210 magnetic field powers >10 times of the background noise level, degree of
211 polarization > 0.7 , and ellipticity > 0.5 (using the spectral analysis (Samson and Olson,
212 1980)). The parallel Poynting flux for the identified whistler wave is positive (Figure
213 3d), indicating a propagation away from the mid-plane toward the magnetosheath side.
214 Enhancements of high-frequency electrostatic wave powers (Figures 3b-3c) lie in
215 $f_{pi} < f < f_{pe}$, with the peak power sometimes slightly below f_{pe} such as around
216 09:52:09.15-09:52:09.40 UT and sometimes just above f_{pi} such as around 09:52:09.6
217 UT (the peak power ~ 3000 Hz is well resolved). The zoom-in plots (Figures 3g-3i)
218 show that the electrostatic waves are mainly along $E_{||}$ and the occurrence is clearly
219 modulated by whistler. For example, eight wave packets occur during an interval of
220 0.05s from 09:52:09.32 to 09:52:09.37 UT, corresponding to a modulation frequency
221 of 160 Hz, equal to the whistler frequency. Figure 3i further shows that the
222 electrostatic wave occurs at the negative $E_{||}$ phase of whistler, a feature consistent
223 with the secondary wave produced by an oblique whistler with $V_{ph||} > 0$ (e.g., Li et al.,

224 2018; An et al., 2019). Electrons with velocities close to $V_{ph\parallel}$ can be trapped by
225 whistler through nonlinear Landau resonance. The trapped population gets accelerated
226 toward larger v_{\parallel} during the negative E_{\parallel} phase, forming a beam to trigger secondary
227 instabilities.

228

229 The above scenario is more quantitatively supported by wave properties and electron
230 distribution features. For selected whistler bins in Figure 3d, we estimate $V_{ph\parallel}$ using

231 $\frac{|E|}{|B|\cos\theta_{kB}}$ (magenta dots in Figure 3e) with the median values at each time shown with

232 a black curve, where the calculated θ_{kB} is around 30° . The values are 3000-5000

233 km/s, slightly larger than those from the cold plasma dispersion relation ($V_{ph\parallel} =$

234 $\sqrt{\frac{\omega(\Omega_{ce}\cos\theta_{kB}-\omega)}{\omega_{pe}^2}}$) of 2000-2500 km/s (blue diamonds). The electron v_{\parallel} spectrogram

235 (Figure 3f) exhibits holes between background and beams around the estimated

236 median values of $V_{ph\parallel}$ at 09:52:09.2-09:52:09.4 UT, also seen in the 2D distribution

237 (Figure 3j). Beam speeds oscillate, which indicates possible modulations by whistler,

238 though data resolution is not sufficient to fully resolve the whistler-frequency

239 signature.

240

241 In the magnetopause reconnection context, electrostatic waves driven and modulated

242 by whistler have been reported (Li et al., 2018). They mainly discussed an event in the

243 magnetospheric separatrix region, where whistler originates from anisotropy of hot

244 magnetospheric electrons. The $V_{ph\parallel}$ is around 2×10^4 km/s above local v_{te} , such that

245 the dominant secondary electrostatic wave is Langmuir wave (An et al., 2019). Our

246 event, as well as an event mentioned in Li et al. (2018), occurs in the vicinity of the
247 current sheet mid-plane, where whistler arises from the anisotropy of energized
248 magnetosheath-origin electrons in the reconnection exhaust. The corresponding $V_{ph\parallel}$
249 (a few thousand km/s) is comparable to v_{te} , which theoretically excites electron
250 beam/acoustic mode waves at a fraction of f_{pe} (An et al., 2019). Li et al. (2018)
251 observed waves slightly below f_{pe} ; our event has occasions of waves slightly below f_{pe}
252 and also at lower frequencies just above f_{pi} .

253

254 **2.4 Electron beam modes and cyclotron waves in LHWs**

255 Modulations of electrostatic waves are also found inside LHWs. Figure 4 (left) shows
256 MMS3 observations in the magnetospheric side separatrix region around the density
257 gradient (Figure 4a). LHWs are present mainly below f_{lh} , and extend to higher
258 frequencies in the most intense intervals near 09:52:07 UT (Figures 4e and 4f). We
259 find that separate high-frequency electrostatic waves mainly along E_{\parallel} are present,
260 seen in the waveform that co-exist with E_{\perp} of LHWs (Figure 4c). Their power
261 spectrum is extracted by plotting $|E_{\parallel}|^2 - |E_{\perp}|^2$ (Figure 4d), mainly at $f_{pi} < f < f_{pe}$. The
262 electron v_{\parallel} spectrogram (Figure 4g) exhibits beams. Persistent intense beams at $v_{\parallel} > 0$
263 like those around 09:52:06.7-09:52:07.0 UT, with an example distribution in Figure
264 4h, are possible magnetosheath electrons moving away from the X-line as the outflow.
265 Later in the lower-density region, the less intense beams repeatedly change directions,
266 also seen in 2D distributions (Figures 4i-4k).

267

268 It has been reported that large-amplitude E_{\parallel} exist in LHWs (e.g., Ergun et al., 2019).
269 We further analyze that high-frequency E_{\parallel} waves may be modulated by LHWs,
270 readily suggested by wave power enhancements that are discrete in time. The
271 recurrence frequency is quantified with the waveform filtered at 0.3-33 kHz during
272 09:52:06.7-09:52:07.1UT inside the most intense LHWs (Figure 4h). E_{\parallel} wave packets
273 are present with amplitudes up to ~ 60 mV/m. We identify the envelopes of the wave
274 packets (black curve) and extract their maxima (black dots, required to be >2 mV/m).
275 The reciprocal of intervals between the adjacent maxima are calculated to estimate the
276 recurrence frequency. With 40 identified maxima, the median recurrence frequency is
277 131 Hz, and the 25% and 75% quartiles are 73 Hz and 164 Hz, respectively. The
278 values well lie in the range of the high-frequency part of LHWs, indicating
279 modulations of E_{\parallel} waves by LHWs. LHWs typically have quasi-perpendicular
280 propagations with non-zero k_{\parallel} , and the associated E_{\parallel} may modulate and resonate with
281 electrons (e.g., Cairns and McMillan, 2005; Graham et al., 2019; Wang et al., 2021;
282 Ng et al., 2023). Therefore, LHWs may modify the distribution near their $V_{ph\parallel}$ and
283 excite secondary parallel waves, in a similar way with whistlers. In addition, LHWs
284 produce diffusion for plasmas across boundaries (e.g., Price et al., 2017; Le et al.,
285 2017; Graham et al., 2022), where field-aligned beams can be produced (Le et al.,
286 2018). We infer that electron beams with positive/negative v_{\parallel} (not necessarily near
287 $V_{ph\parallel}$ of LHWs) may be generated during the diffusion process, and the beams excite
288 electrostatic waves.

289

290 LHW-modulated high-frequency waves are also observed in the magnetosheath
291 separatrix region with large $B_L < 0$ (Figure 4, right). Waveforms show high-frequency
292 waves (Figure 4m) in the midst of LHWs (dominant fluctuations in Figure 4n). LHWs
293 are mainly below $f_{lh} \sim 25$ Hz (Figure 4q), while high-frequency waves are mainly
294 above f_{ce} (Figure 4o-4p). High-frequency E_{\perp} waves exhibit harmonic features,
295 possibly ECH waves. ECHs have been reported in the magnetosheath separatrix
296 region (Zhou et al., 2016). The FFT spectra of electric and magnetic fields for an
297 example interval between vertical dashed lines are shown in Figures 4s-4t. The
298 harmonics are right at integers of f_{ce} up to $6f_{ce}$ in electric fields, and one peak can be
299 seen in magnetic fields at $1f_{ce}$, indicating a weak electromagnetic component.
300 Additional broadband E_{\parallel} waves are present at higher frequencies than ECH and below
301 f_{pe} . The electron distribution (Figure 4u) that covers the interval of this wave burst
302 shows a loss cone feature (or perpendicular anisotropy) at $v_{\parallel} > 0$. The spacecraft was at
303 +L side of the X-line at this time, so energetic electrons moving away from the X-line
304 are at $v_{\parallel} < 0$, causing the asymmetry between field-aligned directions (Fuselier et al.,
305 2013; Chen et al., 2016b). ECHs are likely excited by loss cone distributions.
306
307 ECH wave powers are modulated (Figure 4o): seven bursts show up during ~ 0.5 s at
308 09:52:03.02-09:52:03.52 UT, corresponding to a modulation frequency of 14Hz in the
309 LHW range. We further calculate the LHW potential in Figure 4r (method of Norgren
310 et al. (2012)), which shows that ECH enhancements (marked by vertical dotted lines)
311 tend to occur at the slopes of the potential, corresponding to peak wave electric fields

312 possibly driving electron vortices in LHWs (e.g., Ergun et al., 2019; Chen et al.,
313 2020). These gyro-scale potential structures may inflate/compress electron
314 distributions and generate non-gyrotropic features (e.g., Chen et al., 2020; Wang et al.,
315 2021). We infer that the LHW structures modify the loss cone electron distributions in
316 the magnetosheath separatrix region, possibly changing the phase-space gradient of
317 distributions, and modulate ECHs.

318

319 **3. Summary and Discussions**

320 Based on MMS observations of one magnetopause reconnection diffusion region
321 crossing, we identify widely presented electrostatic waves in different sub-regions.
322 We analyze the wave and plasma properties, and find their associations with
323 lower-frequency waves. The findings are summarized in Figure 1h.

324 (1) Inside the EDR on the magnetospheric side of the mid-plane, isolated waves are
325 observed, and one particular wave packet is highly oblique. The wave extends
326 from a fraction of to a few f_{pi} , with a low V_{ph} much smaller than the electron
327 thermal speed. Oblique electrostatic waves have been rarely discussed. Zhong et
328 al. (2021) showed one example downstream of the EDR within the ion diffusion
329 region (illustrated with a magenta word in Figure 1h). The diffusion region
330 provides a special environment of unmagnetized plasmas, which should be critical
331 for the presence of such oblique waves. In both Zhong et al. (2021) and the
332 present case, V_{ph} are low. It indicates that ions and/or cold
333 ionosphere/plasmaspheric electrons may play a role for the wave excitation, and

334 the mechanism needs to be further understood.

335

336 The electron distribution accompanied with the wave has a fast non-gyrotropic
337 beam, expected to excite beam-mode waves at higher frequencies. It requires
338 future work to understand whether and how such typical EDR electron
339 distributions affect electrostatic instabilities.

340 (2) Parallel electron beam mode waves driven and modulated by whistler are
341 observed in the vicinity of the mid-plane. Adding to Li et al. (2018), with their
342 finding about the Langmuir wave in whistler near the magnetospheric separatrix
343 (included in Figure 1h), we further complete the regimes of whistler and the
344 associated secondary waves in the context of magnetopause reconnection.

345 (3) Analogous to the idea for whistler, we infer that LHWs can also modulate
346 high-frequency waves. In LHWs near both magnetospheric and magnetosheath
347 side separatrices, high-frequency wave powers are periodically enhanced with
348 recurrence frequencies in the range of the LHW frequency. On the magnetospheric
349 side, field-aligned electron beams that change directions over time are observed.
350 We infer that LHWs may periodically scatter and produce these beams as they
351 diffuse the density gradient, and the beams excite electron beam mode waves. On
352 the magnetosheath side, ECHs tend to occur at the slopes of LHW potentials. We
353 infer that LHWs modify the loss cone distribution in the separatrix region and
354 modulate ECHs. The exact dynamics about LHW modulations still acquire a
355 better understanding.

356

357 This event helps us step forward on building a map of waves in reconnection regions,
358 learning about the applicable wave regimes and understanding the coupling of
359 different processes in reconnection. We expect that more systematic and statistical
360 studies of electrostatic waves in reconnection will help consolidate our understanding
361 and solve the open questions.

362

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368

369 **Data Availability**

370 MMS data are available at <https://lasp.colorado.edu/mms/sdc/public/>. One can go to
371 the tab of ‘About the Data’, ‘Browse the SDC’, and select data for specific satellites,
372 e.g., ‘mms1’.

373

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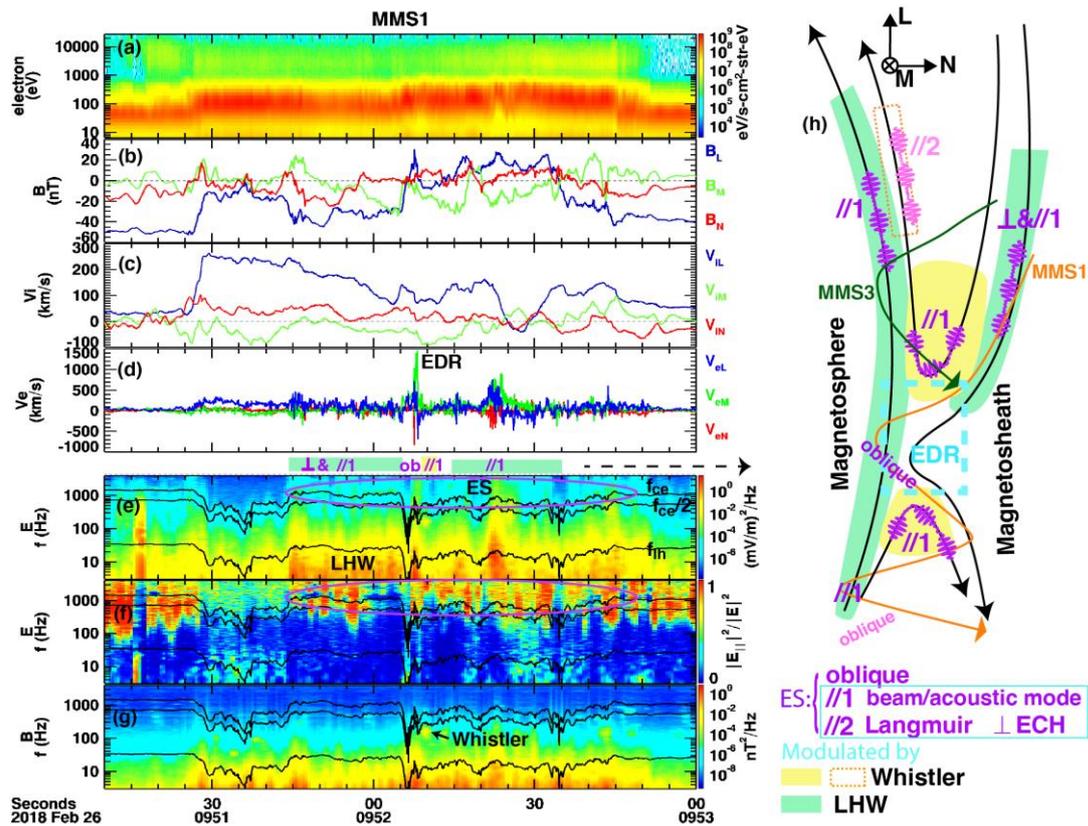
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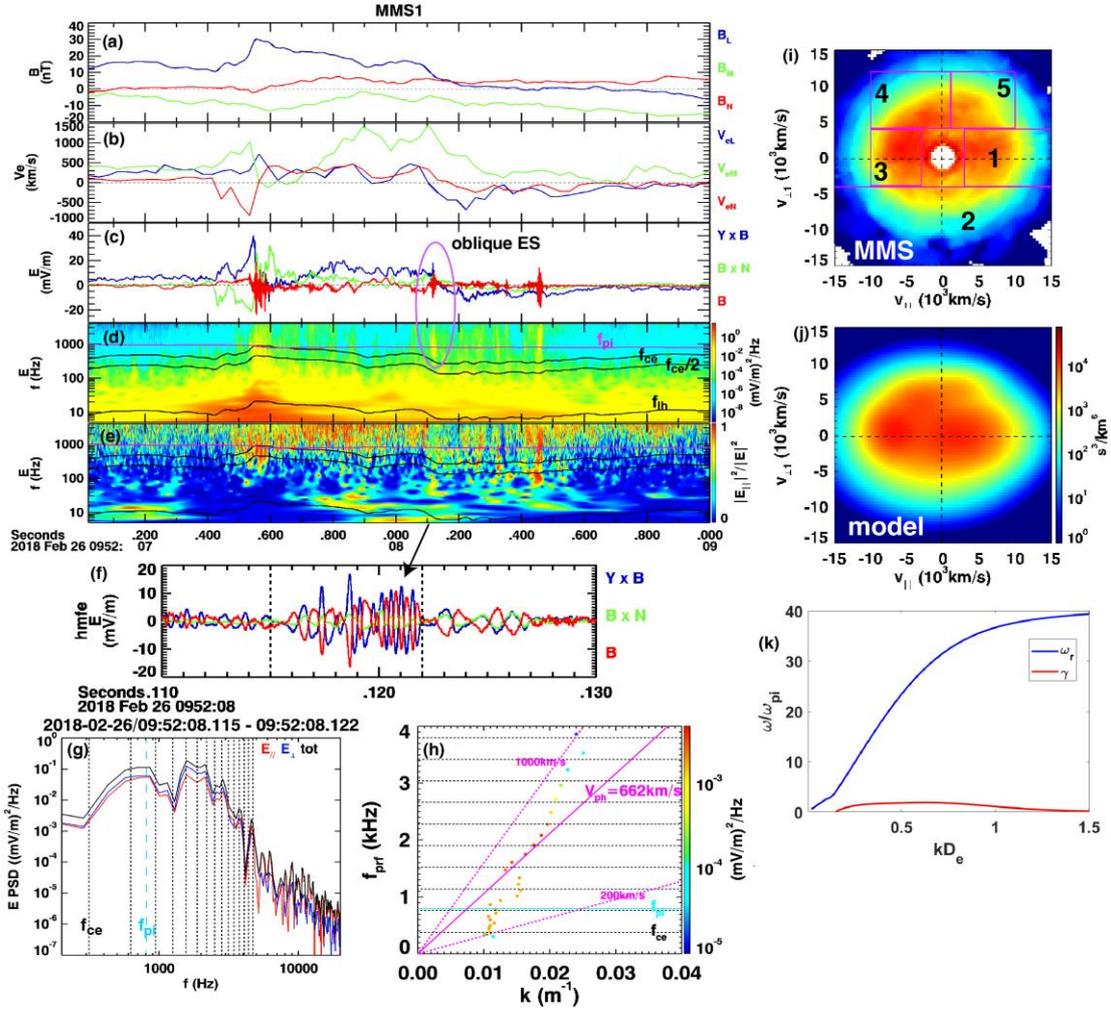
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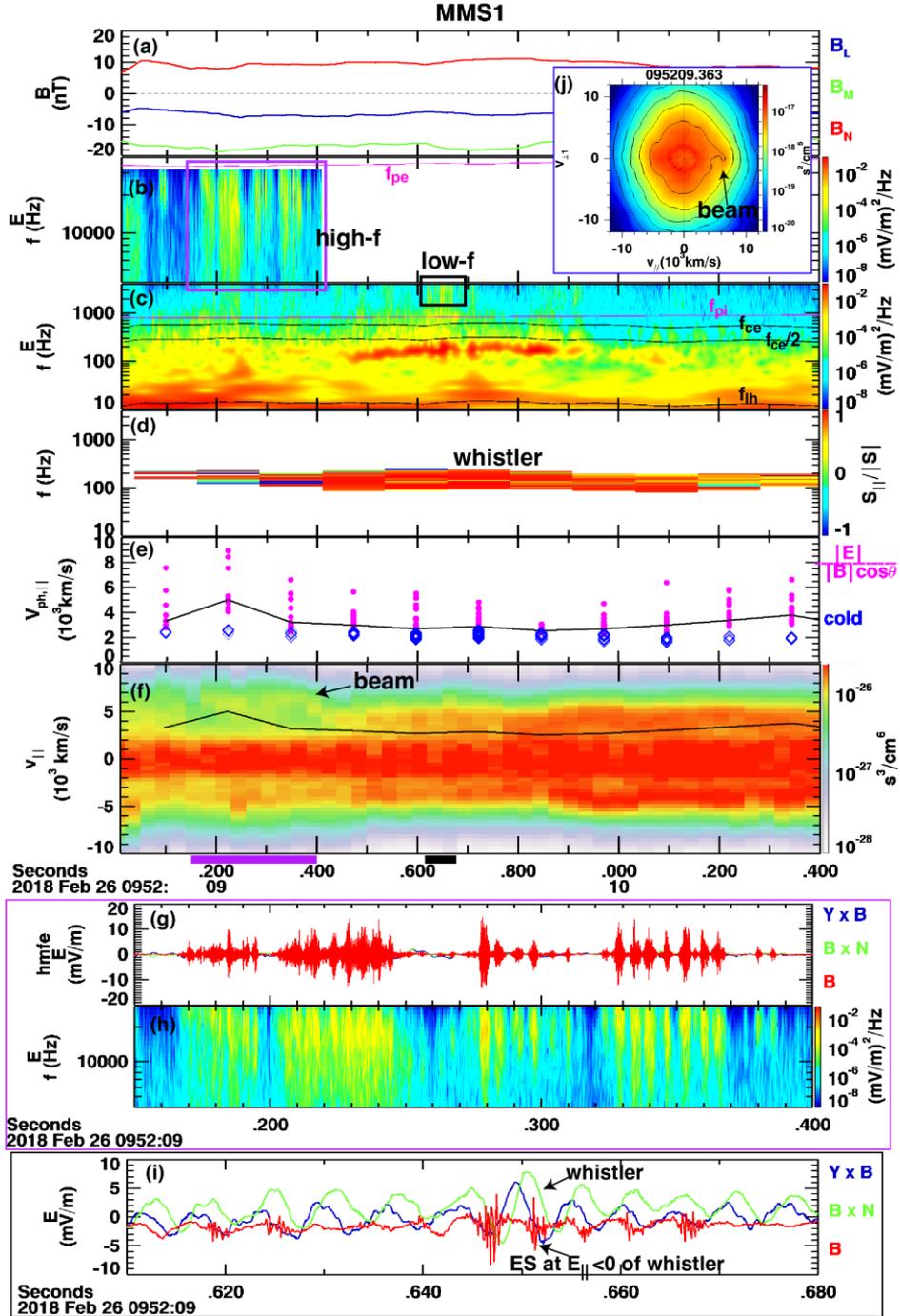
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553 **Figure 1.** Overview of the event. (a) electron spectrogram; (b) B ; (c) V_i ; (d) V_e ; (e) E
 554 power spectrum; (f) $|E_{||}|^2/|E|^2$; (g) B power spectrum. (h) Illustrative summary of
 555 electrostatic waves in magnetopause reconnection. Repeated wave packets indicate
 556 modulations electrostatic waves by whistler or LHWs.



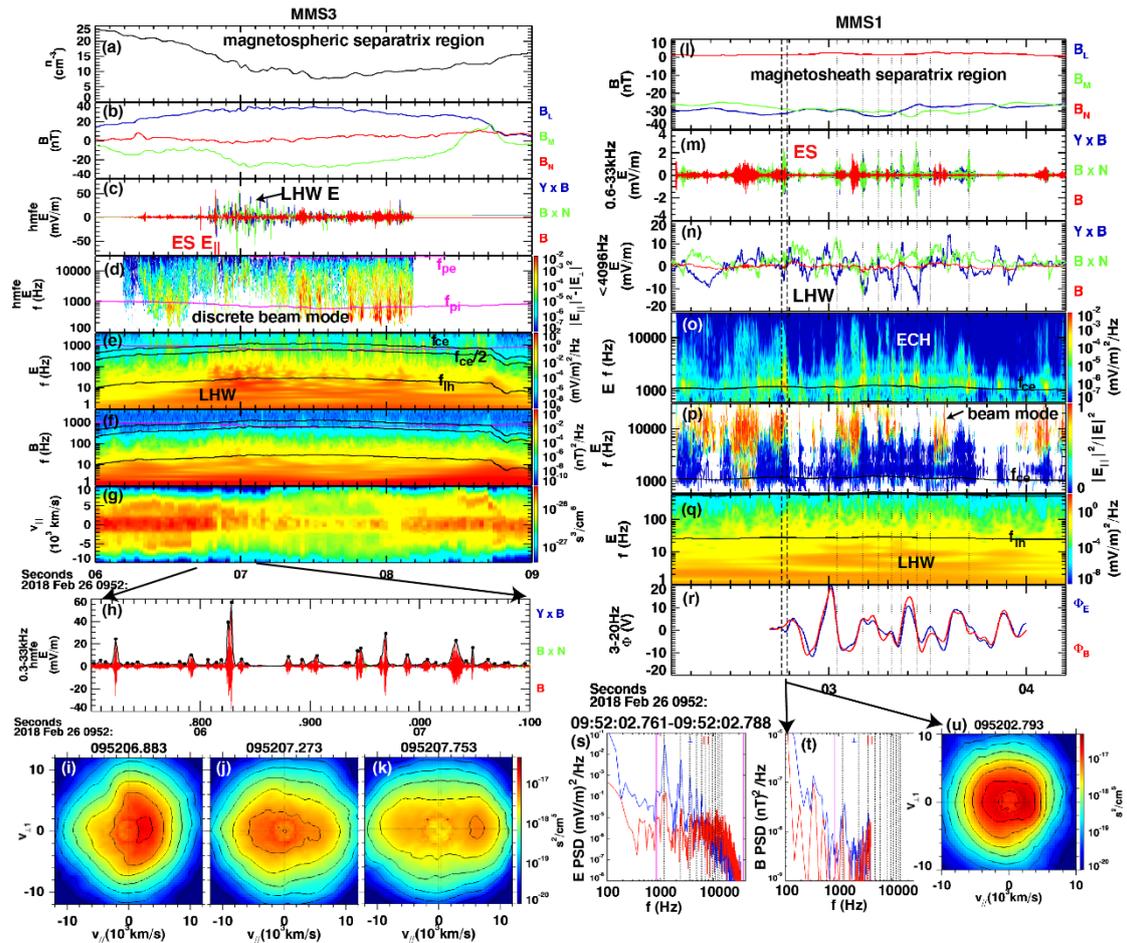
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558 **Figure 2.** An isolated oblique wave in the EDR. (a) B ; (b) electron velocity showing
 559 large V_{eM} and V_{eL} reversal; (c) E in FAC; (d) E power spectrum; (e) $|E_{||}|^2/|E|^2$; (f)
 560 electric field waveform of the oblique wave; (g) FFT spectrum of the oblique wave; (h)
 561 deduced dispersion relation of the observed wave; (i)-(j) observed and modeled
 562 electron distribution at the wave; (k) dispersion relations for the instability analysis of
 563 the model distribution, which shows much higher frequencies than the observed wave.



564

565 **Figure 3.** Parallel electrostatic waves (beam/acoustic mode below f_{pe}) modulated by
566 whistler waves near the current sheet mid-plane. (a) B ; (b)-(c) E power spectrum,
567 showing whistler slightly below $f_{ce}/2$ and electrostatic waves at $f_{pi} < f < f_{pe}$. (d) parallel
568 Poynting flux for selected whistler wave bins; (e) estimated parallel phase speed ($V_{ph||}$)
569 of whistler, magenta: $|E|/(|B|\cos\theta_{kB})$, black: median of magenta dots, blue:
570 theoretical values in the cold plasma limit. (f) electron $v_{||}$ spectrogram, showing holes
571 near the whistler $V_{ph||}$ (black curve), also seen in the 2D distribution in (j). (g)-(h)
572 example waveform and power spectrum of modulated electrostatic waves slightly
573 below f_{pe} . (i) example waveform showing electrostatic waves slightly above f_{pi}
574 occurring at the negative $E_{||}$ phase of whistler.



575

576 **Figure 4.** Left: parallel electrostatic waves modulated by lower-hybrid waves in the
 577 magnetospheric separatrix region. (a) electron density; (b) \mathbf{B} ; (c) AC electric field; (d)

578 power spectrum of $|E_{\parallel}|^2 - |E_{\perp}|^2$ showing enhancements of parallel electrostatic

579 waves; (e) E power spectrum at low frequencies showing lower-hybrid waves; (f) B

580 power spectrum; (g) electron v_{\parallel} spectrogram; (h) filtered electric field waveform
 581 showing E_{\parallel} waves (red); black curve: envelop of the wave; black dots: extracted

582 maxima of the envelops showing a recurrence rate in the lower-hybrid wave
 583 frequency range. (i)-(k) example electron distributions showing field-aligned beams

584 with varying directions. Right: perpendicular ECH waves modulated by lower-hybrid
 585 waves in the magnetosheath separatrix region. (l) \mathbf{B} ; (m)-(n) high- and low-frequency

586 electric field waveforms; (o)-(p) E power spectrum and $|E_{\parallel}|^2/|E|^2$ showing

587 modulated perpendicular ECH and additional parallel waves. (q) low-frequency

588 electric field power spectrum showing lower-hybrid waves. (r) lower-hybrid wave
 589 potential, with ECH enhancements at potential slopes. (s)-(t) electric and magnetic

590 field FFT spectra for the interval between vertical dashed lines in (l)-(r). The
 591 corresponding electron distribution exhibits a loss cone at $v_{\parallel} > 0$ (u).