

1                   **South Asian Summer Monsoon Precipitation is**  
2                   **Sensitive to Southern Hemisphere Subtropical**  
3                   **Radiation Changes**

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12                  **Key Points:**

- 13                  • We test if biases in southern hemisphere shortwave radiation contributes to biases  
14                  in South Asian Summer Monsoon Precipitation in the CESM2.
- 15                  • Reducing incoming shortwave radiation in the subtropical southern hemisphere  
16                  reduces dry biases over continental South Asia.
- 17                  • This effect is mostly due to forcing in the South Pacific, with less impact from the  
18                  Atlantic or Indian ocean.

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**Abstract**

We study the sensitivity of South Asian Summer Monsoon (SASM) precipitation to Southern Hemisphere (SH) subtropical Absorbed Solar Radiation (ASR) changes using Community Earth System Model 2 simulations. Reducing positive ASR biases over the SH subtropics impacts SASM, and is sensitive to the ocean basin where changes are imposed. Radiation changes over the SH subtropical Indian Ocean (IO) shifts rainfall over the equatorial IO northward causing 1-2 mm/day drying south of equator, changes over the SH subtropical Pacific increases precipitation over northern continental regions by 1-2 mm/day, and changes over the SH subtropical Atlantic have little effect on SASM precipitation. Radiation changes over the subtropical Pacific impacts the SASM through zonal circulation changes, while changes over the IO modify meridional circulation to bring about changes in precipitation over northern IO. Our findings suggest that reducing SH subtropical radiation biases in climate models may also reduce SASM precipitation biases.

**Plain Language Summary**

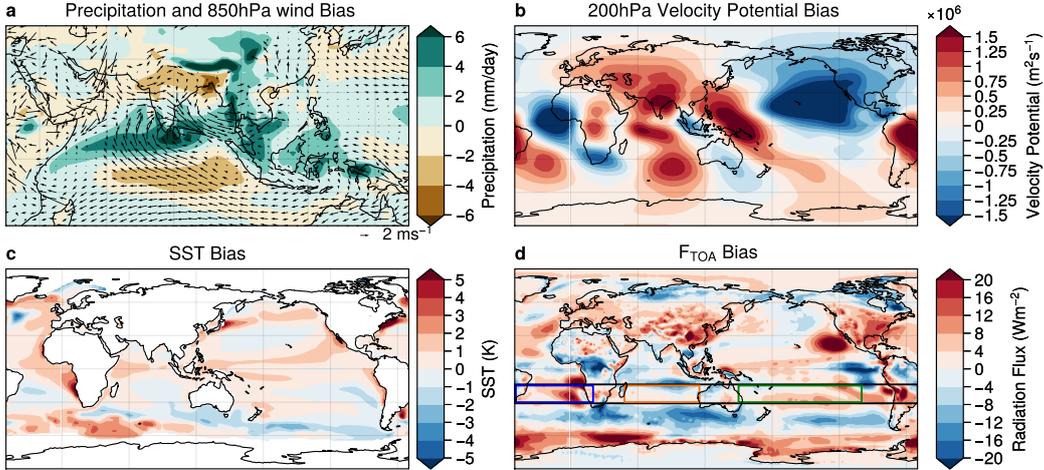
Precipitation from South Asian Summer Monsoon (SASM) is of high significance to the livelihoods of over a billion people. As the global climate continues to evolve, it is essential to have a clear understanding of the possible future changes to the SASM. However, current state-of-the-art climate models have difficulties in simulating climatological mean SASM precipitations. Here we study sensitivity of SASM precipitation to subtropical southern ocean radiation as one of the possible causes of SASM precipitation bias. Our experiments indicate that SASM precipitation is sensitive to southern hemisphere subtropical radiation changes particularly to those in subtropical Pacific. These findings imply that improving southern hemisphere subtropical radiation biases might improve SASM precipitation simulations in climate models.

**1 Introduction**

The South Asian Summer Monsoon (SASM) is a highly influential monsoon system, known for its strength, spatial extent, and significance to the livelihoods of over a billion people. Future greenhouse gas forcing is projected to increase SASM precipitation, but the magnitude and pattern of these changes remain uncertain (Katzenberger et al., 2021; Kumar et al., 2023). Earth System Models (ESMs) serve as the primary means of projecting these changes, but ESMs have long-standing biases in simulating the SASM's climatological precipitation intensity, spatial pattern, and seasonality, among other elements (Mitra, 2021; Konda & Vissa, 2022; Rajendran et al., 2022). These biases have been attributed to various sources, including errors in representation of orography (Boos & Hurley, 2013), land-atmosphere interactions (Ashfaq et al., 2017), air-sea interactions (Annamalai et al., 2017; Hanf & Annamalai, 2020), and sea surface temperatures over the Indian Ocean (Levine et al., 2013; He et al., 2022). ESMs participating in the Coupled Model Intercomparison Project 6 (CMIP6) better simulate the SASM compared to previous generations of models, but significant biases persist (Gusain et al., 2020; Choudhury et al., 2021).

The Community Earth System Model 2 (CESM2) (Danabasoglu et al., 2020), like other climate models, has systematic biases in simulating SASM precipitation. Figure 1a displays the June to September (JJAS) precipitation and lower tropospheric circulation biases for the 1979-2014 period compared to observations and reanalysis. CESM2 does not produce enough precipitation in two regions of the SASM system: (1) over the central land region between 20°-30°N, and (2) over the Indian Ocean between 10°S-0°N with dry biases of 2-4 mm/day over both regions. Between these two dry biased areas, there is a region of excessive precipitation (4-6 mm/day) in the northern Indian ocean surrounding the South Asian landmass producing a meridional dry-wet-dry bias pattern.

68 The model also tends to overestimate precipitation over the high orography of the East-  
 69 ern Himalayas, Southeast Asia, and the Maritime continent.



**Figure 1. Existing biases in CESM2:** Ensemble mean bias of 11 members of CESM2 historical simulations from CMIP dataset in (a) JJAS precipitation, and 850 hPa wind with respect to GPCP (Adler et al., 2018) and ERA5 (Hersbach et al., 2020), respectively; (b) JJAS 200 hPa velocity potential relative to ERA5; and (c) annual mean SST relative to ERSST (Huang et al., 2015); and (d) annual mean net downward top-of-atmosphere ( $F_{TOA}$ ) radiation flux relative to CERES-EBAF (Loeb et al., 2018). Biases are calculated based on climatology of period 2004-2014 for  $F_{TOA}$  and 1979-2014 for all other variables. Boxes in panel d show the specific region where CDNC perturbations are applied for Atlantic (ATL, blue), Indian Ocean (IND, orange), Pacific (PAC, green) and Zonal (ZON, black) experiments.

70 SASM biases are linked to biases in the regional circulation, which partly arise from  
 71 local Sea Surface Temperature (SST) biases (Annamalai et al., 2017; He et al., 2022).  
 72 In CESM2, lower tropospheric winds are biased southeasterly and southerly over the equa-  
 73 torial Indian ocean and parts of Arabian Sea (Figure 1a) and are biased northwesterly  
 74 over Indian land regions and the Bay of Bengal. Figure 1b displays the upper troposphere  
 75 circulation bias in terms of the 200hPa velocity potential (VP200). The VP200 bias is  
 76 greatest over the land regions of India and south of the equator up to 10°S. These posi-  
 77 tive VP200 biases correspond to subsidence and weaker convergence at lower tropospheric  
 78 levels, thereby creating dry biases. CESM2 also displays positive SST biases in the tropics  
 79 globally, which affects the Walker circulation and thus the SASM (Walker, 1923; Jain  
 80 et al., 2021).

81 An emerging view is that regional tropical monsoons are local manifestations of seasonal  
 82 Intertropical Convergence Zone (ITCZ) shifts, and are an important feature of an  
 83 energy-constrained global circulation (Bordoni & Schneider, 2008; Biasutti et al., 2018;  
 84 Hill, 2019; Geen et al., 2020). SASM variability in particular is strongly linked to seasonal  
 85 migrations of the ITCZ over the Indian subcontinent (Gadgil, 2018; Hari et al., 2020).  
 86 These seasonal ITCZ migrations are in turn driven by inter-hemispheric energy gradients,  
 87 as the Hadley circulation, and thus the ITCZ shift, towards the warmer hemisphere  
 88 to transport excess energy to the opposite hemisphere (Kang et al., 2009; Schneider et  
 89 al., 2014). Thus, a systematic Absorbed Solar Radiation (ASR) bias could alter this inter-  
 90 hemispheric energy gradient, thereby disrupting this seasonal ITCZ migration and in-  
 91 ducing biases in the monsoon. While ASR biases over the Southern Ocean have been re-

duced in the latest generation of climate models (Kay et al., 2012; Bodas-Salcedo et al., 2014; Zhao et al., 2022), positive radiation biases still exist over the subtropical Southern Hemisphere (SH) oceans in many ESMs (Li et al., 2013, 2020). In CESM2, the mid-latitude SH displays a negative net downward top-of-atmosphere ( $F_{TOA}$ ) radiation bias while the subtropical SH has a positive  $F_{TOA}$  bias between 15°-30°S (Figure 1d), which may be due to insufficient low cloud cover (Xiao et al., 2014) and too weak stratocumulus cloud feedbacks (Kim et al., 2022). This positive SH subtropical  $F_{TOA}$  bias is greatest over the Atlantic and Pacific Oceans, but is relatively small in the Indian Ocean.

In the present study, we aim to address the following: does improving SH subtropical radiation biases impact ITCZ position and the simulation of the SASM? And if so, by what mechanism? To test this, we perform CESM2 experiments where we decrease ASR in SH regions with high ASR biases by changing the cloud albedo. We change the cloud properties by prescribing the Cloud Droplet Number Concentration (CDNC) that influences the cloud microphysics and radiation. Our results indicate that reducing ASR biases over the subtropical SH modestly improve SASM precipitation biases over the Indian subcontinent.

## 2 Methods

To understand the effect of reducing SH ASR biases on SASM, we performed a series of experiments with the Community Earth System model 2 (CESM2, Danabasoglu et al., 2020), the latest generation ESM developed by the National Center for Atmospheric Research and collaborators. Our configuration uses CAM6 for atmosphere, POP2 for ocean, CLM5 for land and CICE5 for sea ice. In our experiments, we perturbed the radiative fluxes in CESM2 by prescribing the in-cloud liquid CDNC at all vertical levels where liquid and mixed phase clouds exist over oceanic regions in the SH subtropics. This reduces the average cloud droplet radius, increasing cloud albedo and lifetime, and thus reducing ASR.

First we conducted Fixed Sea Surface Temperature (Fixed-SST) experiments to identify the sensitivity of  $F_{TOA}$  fluxes to CDNC, and determined the CDNC values that would minimize the ASR bias compared to observations. We then carried out five fully coupled simulations with repeating year-2000 forcings - one control simulation (2000CNT) without any perturbations and four experiments which introduced the identified CDNC perturbations in different regions of SH subtropics (15°-30°S) (listed in Table 1). The first three simulations introduced a perturbation in each of the Atlantic (ATL) [50°W-20°E], Indian (IND) [48°-115°E], and Pacific (PAC) [150°E-80°W] oceans and the fourth simulation perturbed ocean regions in the entire zonal band (ZON) (180°W-180°E). We set the CDNC value to 150 cm<sup>-3</sup> for ATL and 75 cm<sup>-3</sup> for the PAC, IND, and ZON experiments. When compared to existing CESM2  $F_{TOA}$  bias with EBAF (Figure 1d), the applied perturbations correct most of the  $F_{TOA}$  bias in the subtropical SH Atlantic but over the subtropical SH Pacific and Indian Ocean the perturbation exceeds  $F_{TOA}$  bias, resulting in a negative bias (Figure S1 and Table 1). Fixed-SST experiments were run for 7 years and fully coupled experiments for 150 years. We calculate the response as the climatological average difference between perturbation experiment and control run for the last 5 and 30 years of the fixed-SST and fully coupled simulations respectively. Statistical significance of response is tested using the Student's t-test with  $p < 0.05$ .

### 2.1 ITCZ position

We compute the ITCZ latitude as the centroid of the zonal-mean, time-mean precipitation between 30°N and 30°S (Frierson & Hwang, 2012; Voigt et al., 2016). As demonstrated by Atwood et al. (2020), regional rainbelts do not shift uniformly in response to hemispherically asymmetric forcing, therefore we also analyse regional ITCZs in the Atlantic (10°W-40°W), Indian (70°E-90°E) and Pacific (110°E-100°W) oceans.

**Table 1.** Details of coupled CESM2 experiments used in this study

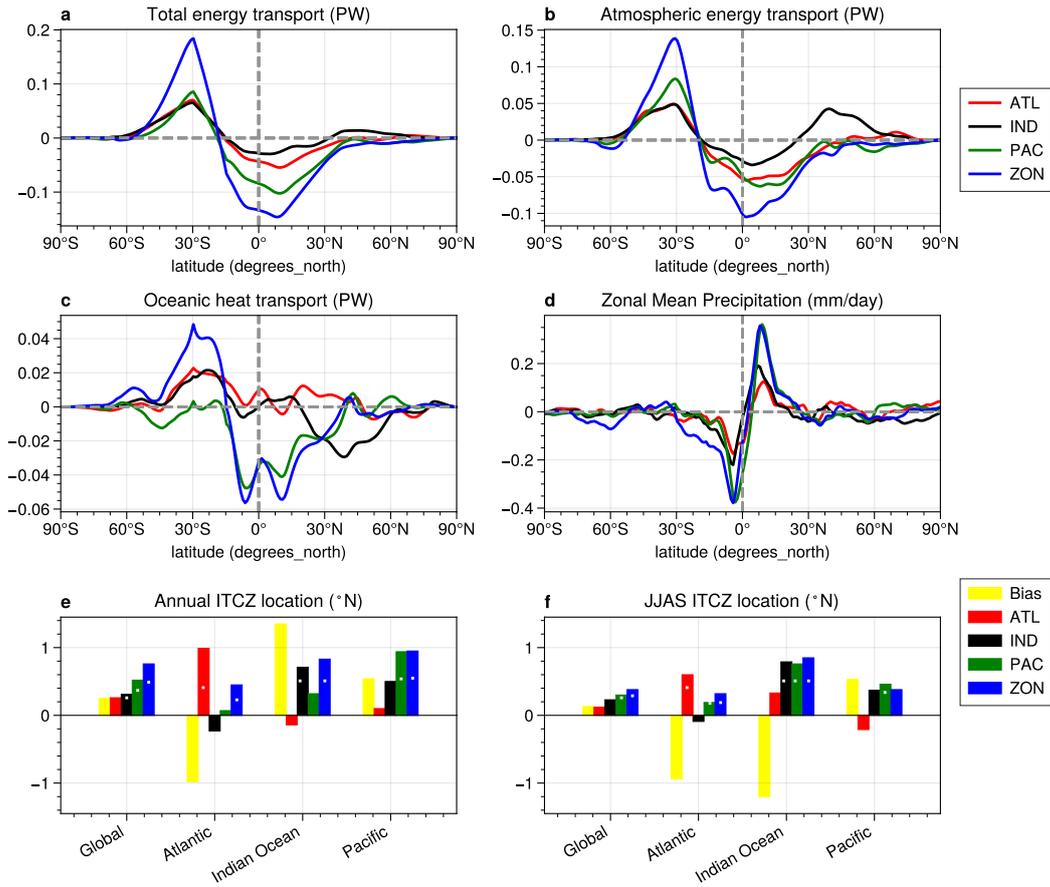
Experiment name	Abbreviation	CDNC perturbation applied	Perturbation Region	Global $F_{TOA}$ response in W/m <sup>2</sup>	Regional $F_{TOA}$ response in W/m <sup>2</sup> (Existing CESM2 bias)
Control	2000CNT	0	0	0	0
Atlantic	ATL	150 cm <sup>-3</sup>	50°W-20°E, 15°-30°S	-0.21±0.87	-5.43 ±1.28 (5.77 ±0.19)
Indian Ocean	IND	75 cm <sup>-3</sup>	48°-115°E, 15°-30°S	-0.08±0.88	-5.71 ±1.5 (-2.50 ±0.2)
Pacific	PAC	75 cm <sup>-3</sup>	150°E-80°W, 15°-30°S	-0.39 ±0.85	-7.27 ±1.21 (3.31 ±0.3)
Zonal	ZON	75 cm <sup>-3</sup>	180°W-180°E, 15°-30°S	-0.53±0.88	-4.34 ±1.23 (2.43 ±0.23)

### 3 Results

#### 3.1 Energetically constrained global precipitation response

All experiments show energy being transported towards the SH subtropics, where we created an ASR depression by artificially increasing CDNC, producing increasing northward transport between 60-30 S (positive values) and southward transport between 15S-30N (negative values) (Figure 2a). The experiments show similar responses in terms of direction of transport however the magnitude of response differs. The magnitude is proportional to the  $F_{TOA}$  anomaly e.g. ZON shows highest absolute  $F_{TOA}$  and total energy transport response while as IND shows least absolute values for the both (See Table 1 and Figure 2a). Most of this energy transport is carried out by atmospheric transport as shown by Atmospheric Energy Transport (AET) response in Figure 2b. Experiments show varied ocean heat transport responses but the changes are one order less than that of AET (Figure 2c). These results confirm the findings of Xiang et al. (2018) that atmosphere transports energy more effectively than oceans when energy perturbations are applied at lower latitudes.

Following the energetics framework (Kang et al., 2009; Schneider et al., 2014; Donohoe et al., 2013), AET changes bring about changes in precipitations as shown in Figure 2d. All the experiments show increase of precipitation in the tropical northern hemisphere and reductions in the tropical SH, indicating northward migration of the ITCZ. Consistent with AET response, the precipitation change is larger in ZON and PAC and weaker in IND and ATL. In Figure 2e and 2f, we quantify these ITCZ shifts and their biases. In CESM2, the annual mean ITCZ position has northward bias of 0.25 ° (Figure 2e). However, this bias is regionally and seasonally dependent. In the context of the SASM we are interested in JJAS ITCZ location in Indian Ocean, which is biased 1.2° southwards (Figure 2f). All of the experiments show significant northward shifts in respective regional annual and JJAS global ITCZs (Figure 2e and 2f). Notably, IND, PAC and ZON show significant northward shifts in annual and JJAS mean ITCZ over the Indian Ocean. Thus, as hypothesized, our experiments show that the ITCZ responses followed the energetic framework.



**Figure 2. Global energetic and precipitation response:** Zonal mean response of (a) total energy transport, (b) atmospheric energy transport, (c) oceanic heat transport, (d) annual mean precipitation, (e) annual and (f) JJAS ITCZ position shift in fully coupled simulation for ATL (red), IND (black), PAC (green) and ZON (blue) experiments and CESM2 existing biases (yellow in e and f panels). White dots in panels e and f indicate the statistically significant shifts of ITCZ.

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### 3.2 SASM precipitation response

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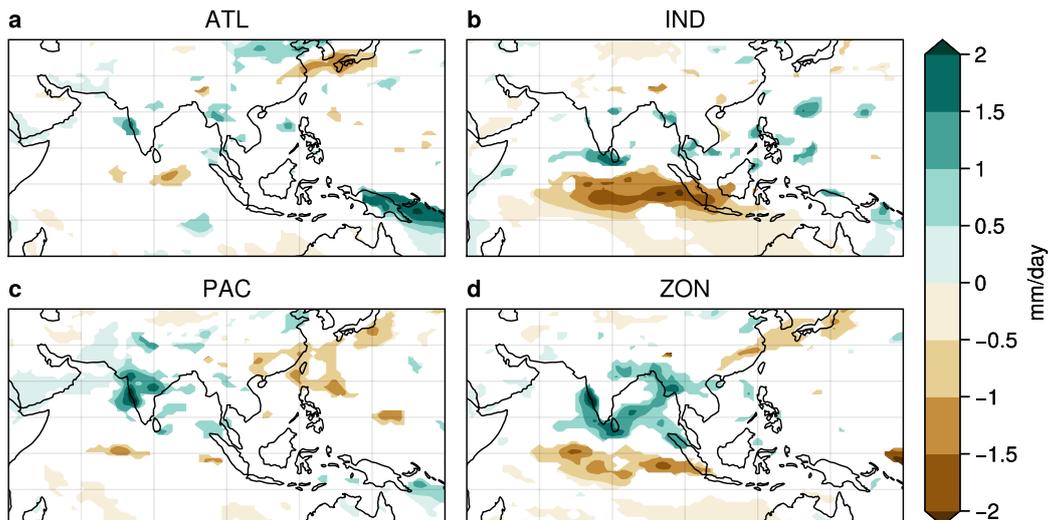
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We now consider how the ITCZ shifts influence SASM precipitation. Despite the similar effect of IND, PAC and ZON on the JJAS Indian Ocean ITCZ, the SASM precipitation response differ considerably in these experiments (Figure 3). Reducing ASR in the PAC causes significant precipitation increases over the central continental India. This stands out from the other experiments and contributes to a reduction of the JJAS dry bias over this region (Figure 3c; see Figure 1a for bias). However, the increase in precipitation of 1-2 mm/day for the PAC is only a partial compensation for the 2-4 mm/day dry bias of SASM precipitation in CESM2 over this region. For the ATL experiment, whose main ITCZ response is in the Atlantic, the JJAS SASM precipitation change is largely non-significant over the SASM region (Figure 3a). It is also interesting to see that IND experiment moves the annual and JJAS ITCZ northwards (Figure 2e and 2f) and produces precipitation decrease of 1-2 mm/day (Figure 3b) south of equator thus adding to JJAS SASM dry bias over there (Figure 1a). The ZON experiment is similar to IND experiment: significant precipitation increase of 1-2mm/day add to existing wet bias over oceanic regions surrounding Indian land and precipitation decrease of 1mm/day add to



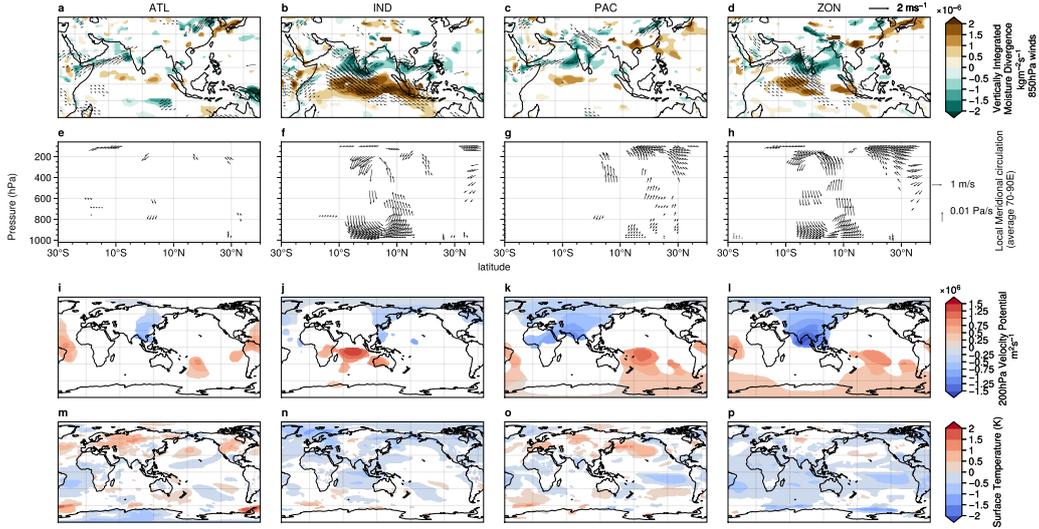
**Figure 3. SASM precipitation response:** JJAS precipitation response (at simulation period 121-150 years) in fully coupled simulation for experiments (a) ATL, (b) IND, (c) PAC and (d) ZON. Non-significant responses (at level of significance 0.05) are masked in white.

187 dry biases (Figure 3d) south of the equator. It also reduces dry bias of a small portion  
 188 over central India east of  $80^{\circ}\text{E}$ . To better decipher these intriguing SASM precipitation  
 189 responses in different experiments we further explore the large-scale circulation changes  
 190 in each of these experiments.

### 191 3.3 Large-scale circulation response

192 Panels a-d in Figure 4 show lower tropospheric circulation and associated moisture  
 193 divergence for each of the experiments. For IND and ZON, the 850hPa wind responses  
 194 add to existing southeasterly and southerly biases in the equatorial Indian Ocean and  
 195 parts of the Arabian sea (Figure 4b and d, see Figure 1a for bias). The important wind  
 196 response of PAC is a southeasterly response over land regions of central India (Figure  
 197 4c) which reduces northwesterly circulation bias (Figure 1a). In tandem with lower tropo-  
 198 spheric circulation changes, we observe that moisture convergence increases over Indi-  
 199 an land region for PAC but over the surrounding oceanic regions for ZON and IND  
 200 (Figure 4b,c and d). The ATL shows statistically significant increase in lower tropospheric  
 201 westerlies and the moisture convergence along the west coast (Figure 4a) over a small  
 202 region. The improved representation of lower level winds and increased moisture flux con-  
 203 vergence over central continental India in PAC, contributes to a partial reduction of the  
 204 dry precipitation bias over this region.

205 The SASM regional circulation is tightly linked to the large-scale Hadley circula-  
 206 tion in the meridional plane and the Walker circulation in the zonal plane (Goswami &  
 207 Chakravorty, 2017). ZON and IND, show strengthening of the meridional overturning  
 208 circulation with enhanced south of equator descent and north of equator ascent (Figure  
 209 4h,f) but the enhancement in ascending motion extends only upto  $15^{\circ}\text{N}$ . ATL shows  
 210 no significant circulation changes in the meridional plane (Figure 4e). The PAC is quite  
 211 different than others that it shows ascent over a broader latitudinal band concentrated  
 212 in upper levels above 500hPa and no enhanced descent is observed south of the equator  
 213 over Indian longitudes, indicating a Walker circulation change (Figure 4g).



**Figure 4. Circulation response:** Response of (a-d) Vertically integrated moisture divergence between 1000-700hPa (shading) and 850hPa winds (vectors), (e-h) local meridional overturning circulation (average 70°-90°E), (i-l) 200hPa velocity potential and (m-p) surface temperature in fully-coupled simulations for different experiments - (a,e,i,m) ATL, (b,f,j,n) IND, (c,g,k,o) PAC and (d,h,l,p) ZON for the climatology of simulation years 121-150. Only significant ( $p < 0.05$ ) changes are shown in colour.

214 We confirm this by analysing the VP200 response of these experiments as proxy  
 215 to the Walker circulation changes in the Indo-Pacific (Tanaka et al., 2004; Vecchi & So-  
 216 den, 2007). For ZON and PAC, VP200 is reduced over the Asian continent (Figure 4k,l),  
 217 reducing the existing positive bias. On the other hand, for IND, the main response is  
 218 an increase in VP200 south of the equator over the Indian Ocean (Figure 4j) which adds  
 219 to the existing positive bias (Figure 1b). For ZON and PAC we see a positive VP200 re-  
 220 sponse in the Pacific Ocean south of the equator. Increases in VP200 in the Pacific and  
 221 reduction over the Indian ocean are indications of a La Niña like Walker circulation re-  
 222 sponse in these experiments. However existing strong negative VP200 biases in the north  
 223 Pacific and the equatorial Atlantic remained same in all of the experiments (Figure 1b).  
 224 The emergence of La Niña like conditions are further confirmed by the SST response,  
 225 which shows cooling in the eastern equatorial Pacific in PAC and ZON (Figure 4o,p).  
 226 This reduces the positive existing SST bias in this region (Figure 1c). In summary, the  
 227 SASM changes in IND and ZON are brought about by changes in the meridional over-  
 228 turning circulation over Indian longitudes, but for PAC the driving mechanism is the zonal  
 229 circulation change influenced by the equatorial Pacific SST. The ATL perturbation pro-  
 230 duces negligible changes in the large-scale circulation over South Asia. Reducing ASR  
 231 biases over the subtropical Pacific modestly improve SASM precipitation biases over the  
 232 Indian subcontinent. However, the key dynamic mechanism driving these improvements  
 233 is not inter-hemispheric energy gradients, but instead zonal walker circulation changes  
 234 caused the observed improvements. Our results imply that improving southern hemi-  
 235 sphere subtropical radiation biases might improve SASM precipitation in climate mod-  
 236 els.

## 4 Discussion

In this study we investigated whether correcting an existing SH subtropical ASR bias in CESM2 will drive the ITCZ northwards and thereby correct the SASM precipitation biases during JJAS. Using CESM2 coupled simulations, we demonstrate that radiation biases in subtropical regions can indeed influence biases in simulations of regional monsoon precipitations. In general, when we correct SH subtropical ASR biases, global mean annual ITCZ shift northward following the energetic framework. Three of the experiments; IND, PAC and ZON; moved JJAS ITCZ northwards in the Indian Ocean reducing a southward bias. However these JJAS ITCZ corrections could not completely erase the SASM biases. The responses are regionally specific. An ASR correction in the ATL region has weak impacts on the SASM precipitation. Reducing the ASR bias over the subtropical southern Indian Ocean induces changes in meridional circulation over the SASM region and reduces precipitation in the equatorial Indian Ocean. In contrast reducing the bias over the subtropical south Pacific induces a La Niña-like temperature anomaly and circulation response thereby modestly increases the precipitation over the central land region of the SASM.

Our experiments suggest that the mean precipitation bias over the central land of the SASM region may be partially connected to the subtropical south Pacific radiation biases. It is interesting to see that a reduction in sunlight reaching the PAC can induce cooling in the equatorial Pacific and trigger a La Niña-like temperature and circulation response. However, the  $F_{TOA}$  corrections we applied here do not improve the biases in ocean regions surrounding South Asia; although the IND experiment hints to the meridional circulation anomalies that contribute to the dry bias south of equator in the Indian Ocean. Previous studies (Annamalai et al., 2017; He et al., 2022) have suggested that some of biases in oceanic precipitation can be explained by the local SST biases and errors in air-sea interactions over the northern Indian Ocean regions.

These results have implications for improvements in climate model monsoon fidelity, as we demonstrate that the SASM precipitation biases in CMIP6 climate models are influenced by the  $F_{TOA}$  radiation biases over subtropical southern hemisphere, particularly in the Indian and Pacific ocean basins (Figure S8). Given that a poor representation of marine stratocumulus clouds in climate models is the main cause of the ASR biases in subtropics (Jian et al., 2021), it is important to improve their representations in the climate models for better monsoon simulations. Coupled models used for seasonal prediction exhibit similar SASM biases i.e. dry bias over Indian land region and wet bias in surrounding oceanic region (Pillai et al., 2018). Major efforts are underway to understand and reduce these biases (e.g. Krishna et al. (2019); Fousiya et al. (2023)) and our findings indicate improvements in subtropical stratocumulus cloud biases may also provide improvements in seasonal prediction. Though here we presented results in context of the SASM precipitation only, other monsoon regions may see benefits from reducing low cloud biases. Notably, the Atlantic experiment shows reduction of the South American December-February precipitation biases over Brazil (Figures S2 and S7)

One important caveat of this work is that the sensitivity of southern subtropical radiation changes to SASM precipitation explained here could be different in different climate models. We performed experiments in only one model, CESM2, so the results should be interpreted cautiously, to account for CESM2 idiosyncrasies (like the high climate sensitivity (Gettelman et al., 2019) and large ENSO amplitude (Planton et al., 2021)). Despite this, our findings suggest that improving SH subtropical radiation biases may have the co-benefit of reducing SASM precipitation biases as well.

## 5 Open Research

CESM2 code modifications, model outputs and analysis scripts used to produce plots presented in the paper are available on Zenodo at <https://doi.org/10.5281/zenodo.10536019>.

Following are the freely available observation and climate model datasets used in the study - CMIP6 models used in this study are listed in Table S1 and the data is downloaded from the ESGF data portal (<https://esgf-node.llnl.gov/search/cmip6>) (Eyring et al., 2016), the ERA5 reanalysis data downloaded from the Copernicus Climate Data Store at [doi:10.24381/cds.6860a573](https://doi.org/10.24381/cds.6860a573) (Hersbach et al., 2020), CERES-EBAF version 4.2 data downloaded from <https://ceres.larc.nasa.gov/data/> (Loeb et al., 2018), the ERSST version 4 data downloaded from their website at [doi:10.7289/V5KD1VVF](https://doi.org/10.7289/V5KD1VVF) (Huang et al., 2015), and monthly GPCP precipitation version 2.3 data downloaded from National Centers for Environmental Information website [doi:10.7289/V56971M6](https://doi.org/10.7289/V56971M6) (Adler et al., 2018).

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