

37 process are analyzed. It is found that the proposed model can extract decisive features
38 of VLF/LF lightning signals closely related to the physical process of lightning
39 discharges, which is similar to the human expert's behavior. The proposed model is
40 validated by using an open-source dataset from Argentina. It is indicated that the
41 proposed model can resist the impact of unexpected waveform oscillation and achieve
42 a higher accuracy of 98.39% than that of the support vector method. It is demonstrated
43 that our model is less dependent on the training dataset.

44 **Plain Language Summary**

45 Electromagnetic waveforms in very low frequency and low frequency (VLF/LF) band
46 are usually used to detect and locate different lightning activities. Traditional
47 classification methods often misclassify in multi-type lightning discharge waveform
48 classification. The machine learning models show promising potential in the multi-type
49 classification task. However, these models cannot explain which part of the input
50 waveform leads to the classification result, which makes the classification model
51 unreliable. In this paper, we propose an improved and interpretable convolution neural
52 network model, which is adapted to the lightning waveform classification task with
53 changes in model structure. By utilizing the convolution outputs, the model can
54 visualize the contribution of different parts of the waveform to the classification result.
55 The analysis of the visualization results show that the high accuracy and generalization
56 of the proposed model comes from the capture of waveform features corresponding to
57 the key physical process in waveform generation. The dataset for model training comes
58 from five provinces in China, which contains different meteorological conditions. The
59 trained model based on the dataset reached a classification accuracy of 98.5% on test
60 set and 98.39% on another open-source dataset from Argentina, which validated the
61 generalization of the proposed model.

62

63

65 **1 Introduction**

66 Remote sensing the electromagnetic radiation generated by lightning discharges is
67 an effective approach to detect and locate lightning activities. It is recognized that the
68 radio emission in the VHF regime is primarily emitted by the streamer and leader
69 involved in lightning discharges, while most of the radiation power is concentrated in
70 the VLF/LF band that is mainly produced by the return stroke (RS) in cloud-to-ground
71 flashes (CGs) and the active stage of intro-cloud flashes (ICs). The detection of VLF/LF
72 radiation was initially introduced to sense the occurrence of CG remotely. Combined
73 with the VLF/LF sensing and the time of arrival (TOA) method, the lightning location
74 system (LLS) was proposed in 1980s which becomes an important technique to support
75 the lightning protection for ground infrastructures nowadays. In order to exclude the
76 impact of ICs, a fundamental task of LLS based on the VLF/LF detection is to recognize
77 the characteristic waveforms produced by return strokes. In recent years, with the
78 development of hardware performance, the emission source location of CGs and ICs
79 can be achieved by using the short-baseline VLF/LF sensing technique and the 3D TOA
80 method. The updated VLF/LF system not only can be utilized as an effective tool for
81 lightning protection engineering applications, but also has the potential in lightning
82 physics research. The lightning leader development process were investigated by using
83 this technique, including the propagation of negative downward leader, the preliminary
84 breakdown (PB), and the narrow bipolar event (NBE) etc. (Bitzer et al., 2013; Y. Wang
85 et al., 2016; Wu et al., 2018). In order to improve the performance of the short-baseline
86 system in lightning detection and lightning physics research, challenges arise in the
87 accurate and automatic identification of waveform characteristics that produced by
88 different lightning discharges.

89 For most LLS, the multi-parameter method is employed as the criterion to classify
90 the CG and IC, which is derived from extensive field records(Murphy et al., 2021). It
91 adopts specific parameters that can describe the primary profile of VLF/LF waveform,
92 such as the amplitude, the rise and fall time, and the zero-cross time, etc. According to
93 the results of validation studies, the RS detection efficiency of typical lightning location
94 systems (including National Detection Networks (NLDN) and Earth Networks Total
95 Lightning Network (ENTLN) in US, European Cooperation for Lightning Detection
96 network (EUCLID) in Europe ranges from 71% to 92%, while the ICs detection
97 efficiency varies from 73% to 96%(Biagi et al., 2007; Mallick et al., 2015; Schulz et al.,
98 2016). Despite the difference in hardware performance, the deviation in detection
99 efficiency of different systems is mainly attributed to the classification accuracy of CGs

100 and ICs. On the one hand, since the multi-parameter method is difficult to extract
101 characteristic parameters from VLF/LF signals with low-amplitude, the small signals
102 were often abandoned, resulting in the decrease of detection efficiency(Kohlmann et al.,
103 2017; Nag et al., 2014). On the other hand, the characteristic parameter involved in the
104 multi-parameter method may vary in regions with different meteorological
105 conditions(Cooray, 2009; Said et al., 2010; Shao & Jacobson, 2009; Wooi et al., 2015).
106 For instance, the rise time and zero cross time of RS in Vitemölla, Sweden is of 5-25 μ s
107 and approximately 40 μ s respectively, while the rise time decreases to about 2.5-9 μ s and
108 the zero cross time increases to the range of 40-160 μ s in Sri Lanka(Cooray & Lundquist,
109 1982, 1985). Accurately determining the thresholds of characteristic parameters
110 requires the support of long-term data. Recently, the machine learning methods such as
111 the support vector machines (SVM) and the convolutional neural networks (CNN) are
112 introduced to improve the classification efficiency of lightning VLF/LF signals. The
113 SVM method is utilized to classify the VLF/LF lightning waveforms of CGs and ICs.
114 A classification accuracy of 97% is achieved, which shows an excellent adaptability
115 and automation(Zhu et al., 2021). The CNN models with different structures are
116 proposed to perform the classification of VLF/LF signals generated by multiple
117 lightning processes, including RS, PB, and NBE, etc. (Peng et al., 2019; J. Wang et al.,
118 2020). It indicates that CNN has the potential to realize signal classification produced
119 by various complex lightning discharge processes.

120 Although extensive efforts have been paid to improve the classification accuracy
121 of lightning VLF/LF waveforms, towards to the development of high-performance
122 short baseline VLF/LF lightning detection system, the following limitations still exist:

- 123 • Using the multi-parameter method, the classification accuracy of RS and IC in the
124 LLS system has reached more than 90%. The classification accuracy may be further
125 improved by optimizing thresholds of the multi-parameter method based on long
126 term operation experience. However, since the VLF/LF waveforms produced by
127 lightning leader discharges has more pulses and other high frequency components,
128 it is difficult to determine thresholds involved in the multi-parameter method which
129 can effectively discriminate different lightning events correlated to lightning leader
130 propagation. Recently, it was found that the VLF/LF signals generated by NBE are
131 wrongly identified as RS by the multi-parameter method(Leal et al., 2019; Lyu et
132 al., 2015).
- 133 • The machine learning methods show promising performance in multi-object
134 classification tasks, the challenges of applying machine learning methods in
135 lightning VLF/LF waveform classification come from two aspects. Firstly, note
136 that the data-driven nature of the machine learning methods means that the

137 performance is highly dependent on the balance and quality of the original dataset.
138 An CNN model derived from imbalance data set is not reliable, because the model
139 will tends to classify the objective waveform into the category which has the most
140 samples in training dataset (Kaur et al., 2019). Secondly, since the characteristics
141 of lightning VLF/LF signals can change in different regions, the accuracy of
142 machine learning methods largely depends on whether the training dataset covers
143 all possible variations of the objective waveform characteristics. Meanwhile, we
144 need to note that most of the classification process by using machine learning
145 methods acts like black box models, which makes it is difficult to ensure the
146 classification accuracy of different lightning events. As discussed by Zhu et al.,
147 misclassification of RS signal can still occur by using SVM, although the
148 characteristics of the misclassified waveform can be easily recognized manually.
149 Since it is difficult to obtain the lightning waveforms in all regions of the world to
150 expand the database, it is necessary to develop interpretable machine learning
151 models to open the black box, which can reveal the classification process (Lipton,
152 2018) and assess whether the model is able to capture the essential characteristics
153 of different types of lightning VLF/LF signals.

154 In this paper, a new interpretable CNN model which utilizes the class activation
155 map (CAM) to represent the contribution of different waveform parts during the
156 classification process is proposed. A four-class dataset including RS, PB, NB and IC is
157 established for model training. The dataset is based on 17,441 waveforms recorded from
158 five provinces in China with the latitude ranging from 29.1° to 33.5° and the longitude
159 from 91.1° to 120.2° . The classification accuracy of the trained CNN is compared with
160 that of the SVM model. The classification process of the four types of lightning
161 waveforms is visualized by the CAM, which throws light on the relationship between
162 the high-weighted waveform features and the physical process of leader discharge in
163 lightning. The classification results are analyzed for the range of variation of the
164 characteristic parameters of different waveforms in turn. The generalization of the
165 proposed CNN model is test on another open dataset in Argentina used by Zhu et al.
166 This paper is organized as follows: Section 2 introduces the data sources and the
167 improved CNN network structure used in this paper. Section 3 shows the classification
168 performance of the trained model and discusses the interpretability of the classification
169 results. Section 4 discusses the universality of the CNN model, and Section 5 makes
170 the conclusion.

171 **2 Data and Methodology**

172 **2.1 Dataset**

173 The dataset used in this paper comes from 17,441 lightning radiation waveform
174 data recorded during 2019-2020. The measurement device is the VLF/LF electric field
175 change meter (EFCM). The EFCM consists of an antenna and a digital data acquisition
176 unit. The frequency band of the EFCM is 10Hz to 500kHz, with a sampling rate of
177 5Ms/s and a GPS synchronization error of less than 50ns (Y. Wang et al., 2020). The
178 EFCMs were installed in five different provinces of China, including Hubei, Jiangsu,
179 Zhejiang, Anhui and Tibet, as shown in Table 1. When the dataset covers waveforms in
180 a variety of meteorological and terrain conditions, the model can be more generalized
181 and the classification accuracy may be improved. The installation sites of these EFCMs
182 have altitude between 190m to 4000m above sea level, within the longitude from 91.1°
183 to 120.2° and the latitude from 29.1° to 33.5°. In order to improve the quality of
184 recorded waveforms and exclude the impact of measurement noises, a combination of
185 empirical mode decomposition (EMD) method and wavelet denoise method were used
186 to pre-process the lightning radiation signal.

187 **Table 1** Location of the deployed VLF/LF lightning waveform measurement meters

Location	Longitude	Latitude
Wuhan, Hubei	114.409	30.514
Sihong, Jiangsu	118.219	33.482
Wuxi, Jiangsu	120.256	31.618
Lishui, Zhejiang	119.656	27.976
Taizhou, Zhejiang	121.38	29.125
Hefei, Anhui	117.202	31.761
Anqing, Anhui	116.123	30.231
Lasa, Tibet	91.14	29.666
Linzhi, Tibet	94.373	29.636
Changdu, Tibet	97.179	31.146

188

189 Compared to the multi-parameter classification method, the machine learning
190 algorithms can utilize the entire data information of time-resolved waveforms instead
191 of several characteristic parameters. The SVM method was employed to classify the
192 full VLF/LF waveform of lightning signals (Zhu et al. 2021). Considering the
193 computational resources required for the deployment, the waveform is down sampled
194 and divided into equal lengths, where each waveform slice is 100 μ s in duration and
195 contains 101 sample points. With the development of microprocessor technology in

196 recent years, the main frequency of LS1043A ARM board we use has reached 1.6GHz,
197 which significantly improves the ability to process waveform data per unit of time. In
198 this paper, each waveform slice contains 2500 points corresponding to a time duration
199 of 500 μ s, which is beneficial to preserve the essential features of the original waveform.
200 In the following discussion, each waveform slice is called as a sample. The dataset is
201 constructed based on the prior knowledge of RS, PB, NB and active stage of IC, which
202 can be found in reported literatures. We manually selected samples with the highest
203 signal-to-noise ratio (SNR) and divided them into these four categories with a total of
204 8000 samples. To ensure a balanced dataset, each of the four categories contains 2000
205 samples. Note that our dataset is not classified by the polarity of lightning event,
206 because the polarity can be easily intensified according to the polarity of the first pulse.
207 It should be emphasized that this simplification will not affect the classification results
208 discussed in the following parts. A 5-fold cross validation approach was adopted for the
209 dataset, with the training set containing 6000 samples and the rest 2000 samples
210 participate in the test.

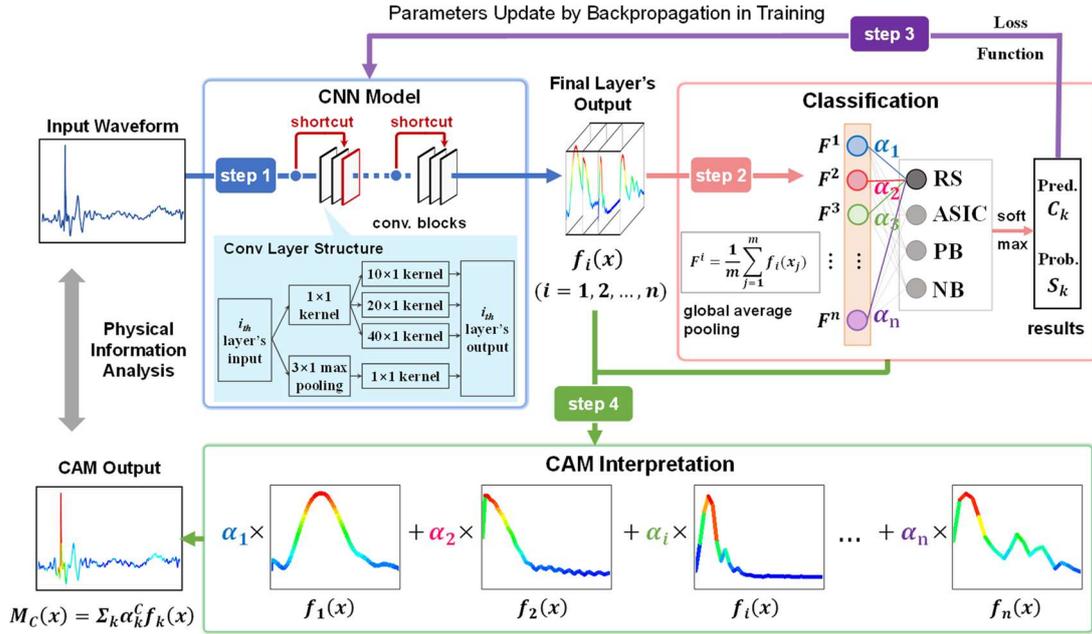
211 **2.2 Method**

212 The CNN model performs feature extraction by convolving a convolution kernel
213 with the input data. The convolution kernel is a weight matrix representing the features
214 learned by the CNN model. The convolution kernel is usually initialized with random
215 values, and the CNN model compares the output results with the true labels and updates
216 the convolution kernel by backpropagation during the iterations. Thus, the convolution
217 kernel can better match the core features of the data and improve the model's
218 performance. When making VLF/LF lightning waveform classification, traditional
219 CNN networks (plain CNN) have the following limitations:

- 220 • The same size of the convolutional kernel in each convolutional layer in plain CNN
221 makes it difficult to handle the possible multi-scale features in waveforms.
- 222 • We use a high computing capability development board to process the data, the
223 sample time in the dataset is longer and the sample includes more information,
224 requiring more convolution layers to fully extract these features. However, the
225 backpropagation process calculates derivatives in chains to update network
226 parameters. The gradient information may vanish gradually when simply
227 increasing the number of convolution layers. It can also cause model degradation
228 and make the model difficult to converge (He et al., 2016).
- 229 • The CNN model flattens the features extracted from the convolutional layers into
230 a one-dimensional vector, and output the classification results by means of full
231 connection. It is difficult to obtain which part of the waveform determines the
232 classification results of the model, which makes it impossible to judge whether the

233 classification process of the model is reliable.

234 In order to fix those issues, we proposed an interpretable CNN model with
 235 improved performance in feature extraction and convergence speed. The model
 236 includes a CNN classifier and a visualization module as shown in Figure 1.



237
 238 **Figure 1** Structure of the proposed interpretable CNN model
 239

240 a) **The CNN classifier:** The proposed CNN classifier takes waveforms as input
 241 and gives out classification results with probabilities. Step 1-3 describes how the CNN
 242 classifier works and self-upgrades iteratively in training.

243 In step1 the waveform is fed into the CNN model and the high-dimensional
 244 feature maps are obtained. Compared with the plain CNN, the proposed CNN model
 245 adopts shortcut connections and parallel convolutional kernels. The CNN model contains
 246 two convolutional blocks, which is formed by stacking three convolutional layers. In each
 247 block, part of the input data is directly transferred to the second layer of the block
 248 through a shortcut connection. The shortcut connection aims to solve the problem of
 249 model degrading in multi-layer networks and accelerates the convergence in training.
 250 In each convolutional layer, the convolutional kernels with the size of 40, 20, 10 and 1
 251 are introduced in a parallel structure. The kernels with the size of 40, 20 and 10 give
 252 the model a more various feature matching range after multiple layers, enabling the
 253 extraction of long-scale waveform features. The kernels with the size of 1 ensure that
 254 the model can also capture detailed waveform features. Each layer can be expressed as:

$$f^l = b^l + \sum_{i=1}^{N_{l-1}} conv1D(w^l, f_i^{l-1}) \quad (1)$$

255 Where x^l is defined as the input of layer l , b^l is defined as the bias layer l , f_i^{l-1}

256 is the i_{th} output part of layer $l-1$, w^l is the multi-size convolution kernels at layer l ,
 257 N_{l-1} is the number of output in layer $l-1$.

258 In step2, the feature vector is obtained through the global average pooling based
 259 on the feature maps produced in step 1. Compared with the plain CNN methods, which
 260 flatten the high-dimensional feature maps as feature vectors, the proposed model uses
 261 the global average pooling to form the feature vectors and greatly reduces the
 262 computations of the model.

263 The classification probability of the waveform is computed by the fully connected
 264 layer and the SoftMax function. The probability S_c that a waveform belongs to a
 265 category c can be obtained from equation (3):

$$S_c = \sum_i \alpha_i^c F^i \quad (2)$$

266 Where α_i^c represents the contribution of feature map $f_i(x)$ to model's
 267 classification result of category c .

268 During the model training, the model's classification will be compared with the
 269 true label of the waveform by the loss function as shown in step 3. The result is referred
 270 as the loss value in training. The model uses the back propagation algorithm to make
 271 the loss information flow backward to update model parameters, which can be
 272 expressed as:

$$\frac{\partial u^n}{\partial u^j} = \sum_{i: j \in Pa(u^i)} \frac{\partial u^n}{\partial u^i} \frac{\partial u^i}{\partial u^j} \quad (3)$$

273 Equation 5 describes how to calculate the gradient of an output node u^n (such as
 274 the loss value) over several input nodes from u^1 to u^j to achieve gradient descent
 275 update of the parameters. Where u^i refers to the intermediate nodes in all possible paths
 276 (Pa) from u^n to u^j . The gradient is essential for the gradient descent optimizing method
 277 during parameters update.

278 b) **Model Interpretation:** In order to open the black-box of the CNN model, we
 279 introduce the CAM method in the proposed CNN model as shown in step 4. The CAM
 280 method multiply the weight vector produced in step 3 with the high-dimension feature
 281 maps produced in step 1 to obtain a class activation map (CAM) which can mark the
 282 important waveform features in the classification. The CAM values for the class can be
 283 defined as:

$$M_C(x) = \sum_i \alpha_i^c f_i(x) \quad (4)$$

284 We denote $M_C(x)$ as the CAM value of the waveform under category c . By using
 285 heat maps the CAM value provides a direct indication of the importance of each
 286 datapoint x to the classification result of category c .

287 Due to the model structure difference, CAM method is not applicable on traditional

288 machine learning methods like the SVM. We use the SHAP method to visualize the
 289 important waveform features in the classification of traditional machine learning
 290 methods for comparison. SHAP method derives from cooperative game theory, which
 291 provides global and local interpretability of the features(Lundberg & Lee, 2017). The
 292 SHAP value is based on the marginal contribution of the features amongst all the feature
 293 arrangements. In waveform classification, we regard each waveform datapoint as a
 294 feature, the SHAP value can be expressed as:

$$\varphi_j(val) = \sum_{S \subseteq x_1, \dots, x_M/x_j} \frac{|S|! (M - |S| - 1)!}{M!} (val(S \cup x_j) - val(S)) \quad (5)$$

295 Where the x_j is the j_{th} feature and refers to the j_{th} point of input waveform. φ_j is
 296 the contribution value of x_j to the classification result. S is the subset of features and M
 297 is the total number of features. The value function $val(*)$ refers to the model's
 298 classification results in machine learning. In the following section, SHAP is referred to
 299 the φ_j and used to describe the contribution of different waveform features to the
 300 classification results in traditional machine learning methods.

301 2.3 Model Training

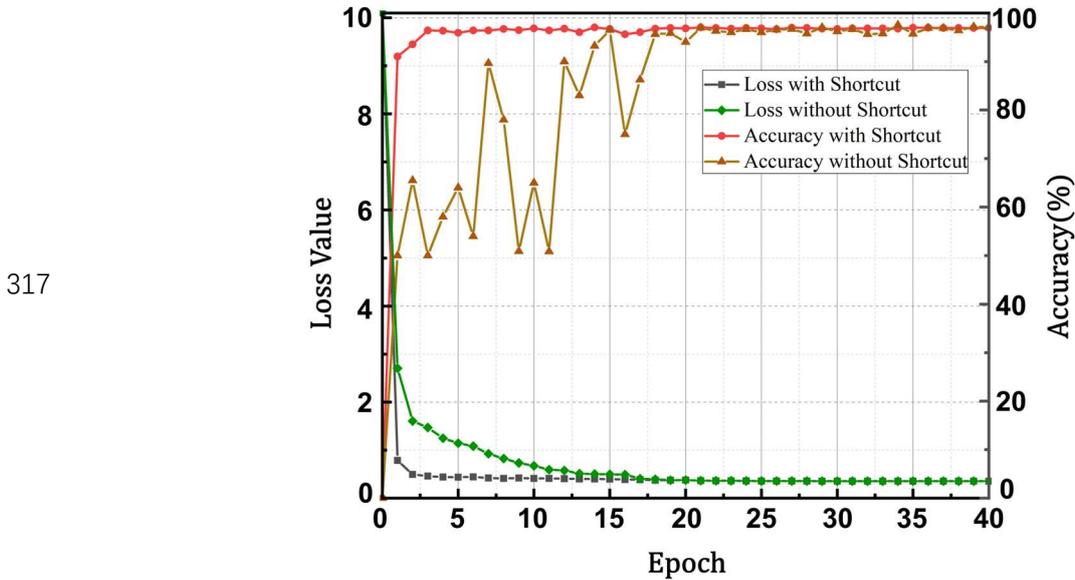
302 In this paper, the proposed CNN model is deployed on a Tesla A100 graphics card
 303 and the training framework is Pytorch 1.9.1. The hyperparameters required for training
 304 are shown in Table 2.

305 **Table 2** Hyperparameters used for training

Hyperparameter	Value
Batch Size	48
Epoch	40
Loss Function	CrossEntropy
Optimizer	Adam
Learning Rate	0.01
Momentum	0.5

306 Batch Size means that the model is fed with 48 data samples at a time in model
 307 training, and the parameter Epoch specifies that the model performs a total of 40
 308 forward calculations and back propagation processes. The loss function used in the
 309 model is the CrossEntropy function and the Adam is used as the optimization
 310 algorithm. The Learning Rate and Momentum together control the convergence rate
 311 and the efficiency of the model, which are set to 0.01 and 0.5 respectively after pre-test.
 312 The model was trained under the above conditions, and the training loss and
 313 classification accuracy are shown in Figure 2. Due to the introduction of the shortcut
 314 connection to solve the vanishing gradient problem, the model converges faster and

315 reaches convergence after only five epochs, with an overall classification accuracy of
 316 about 98.5%.



318 **Figure2** Loss and accuracy changes of plain CNN model without shortcut and improved CNN
 319 model with shortcut in training

320 **3 Results**

321 **3.1 Comparison for classification results**

322 After training, we compared the classification results of the proposed CNN method
 323 with other machine learning methods such as SVM and RF under the same dataset. The
 324 feature vector used for training SVM is obtained by data down sampling method (Zhu
 325 et al., 2021) and the amplitude-frequency features were extracted as feature vectors
 326 when training the RF model (Nassralla et al., 2017) . The performance of these methods
 327 is shown in table 3:

328 **Table 3** Comparison of the results of different models

Method	CNN				SVM	RF	
Metrics	Accuracy	Precision	Recall	F1	Accuracy	Accuracy	
Class	RS	96.8%	1.00	1.00	1.00	90%	88.3%
	PB	100%	0.94	0.97	0.96	91%	83%
	IC	100%	1.00	1.00	1.00	86.20%	84.5%
	NB	97.8%	0.97	0.94	0.96	92%	92.7%

329
 330 Table 3 shows the comparison of classification accuracy in the four kinds of
 331 waveforms. For waveforms with short duration such as RS and NB, both CNN method
 332 and traditional machine learning methods achieve good classification results in

333 classification accuracy, while the CNN model has an improvement of about 6%.
334 However, for waveforms like PB and IC which last longer and is more difficult to
335 classify, the CNN method shows a significant improvement of up to 17% in accuracy
336 compared to traditional machine learning methods. The performance of SVM and RF
337 is not as expected as that in the original literature. This may be due to the fact that the
338 dataset we used contains longer slices of waveform which can preserve more waveform
339 features. Therefore, the hyperparameters used in the original literature may be no longer
340 suitable and need to be adjusted. In contrast, the CNN model supports raw waveforms
341 as inputs, without the need of manual adjustment of hyperparameters after changing
342 datasets, which can bring stronger robustness. In addition, the CNN model performs
343 well on metrics like precision, recall and F1, proving that the model does not have an
344 imbalance problem.

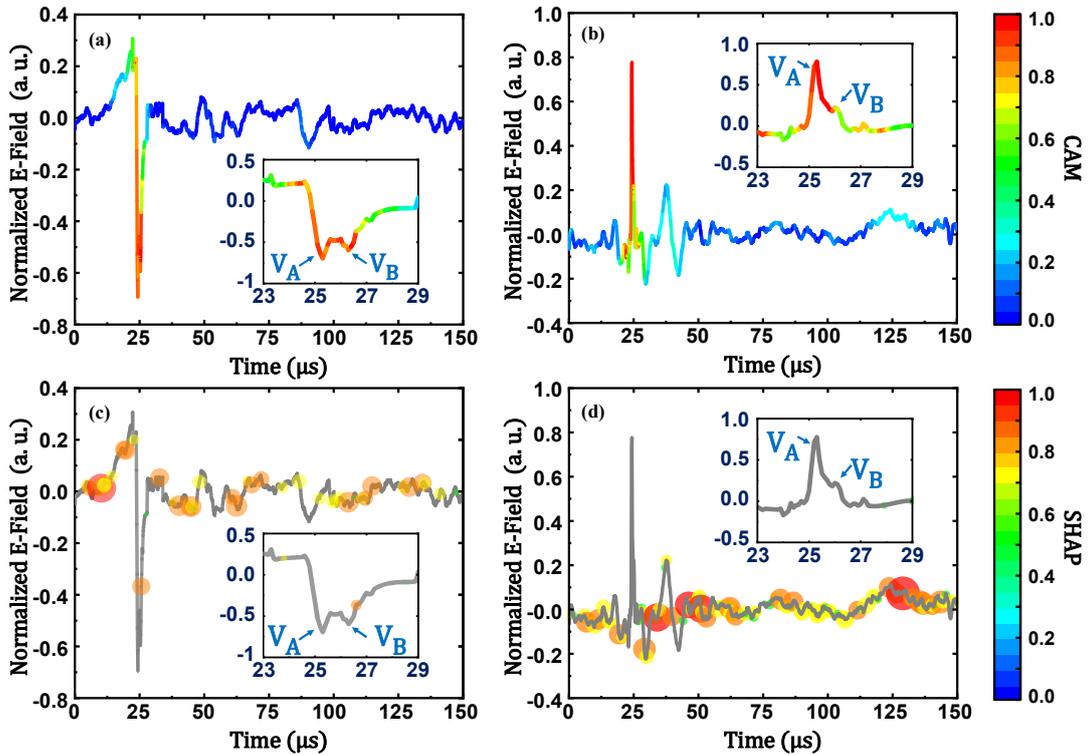
345 **3.2 Model interpretability analysis based on CAM visualization**

346 The proposed CNN model achieves higher performance in all kinds of waveforms,
347 which may be related to a better understanding of the physical process. To investigate
348 the feature the model has learned, we use CAM visualization method mentioned in
349 section 2.2 to estimate which part of the waveform lead to its classification result. For
350 comparison, the SHAP method is used to mark the waveform which owns higher
351 contribution to affect the classification results in SVM(Ribeiro et al., 2016). In this
352 section we will discuss the classification process of the four typical VLF/LF waveforms
353 in both cloud to ground flashes (CG) and intracloud flashes (IC), including return stokes
354 (RS), active stage of IC (ASIC), preliminary breakdown (PB) and narrow bipolar pulses
355 (NB). The accurate classification of RS helps to distinguish between CG and IC events
356 and can improve the location efficiency of LLS. The classification of PB, NB and ASIC
357 is important for further research of the initiation mechanisms of the lightning discharges.

358 **3.2.1 Return Stroke Produced by CG**

359 The LLS allows for real-time detection of return strokes (RS) in cloud to ground
360 flashes (CG) due to the strong and widely spread VLF/LF waves of RS, which is
361 generated by the propagation of high amplitude currents in the lightning channel. The
362 waveshape and amplitude of RS are closely related to factors like current strength,
363 propagation speed and propagation path etc. Compared to other stages of the lightning
364 discharge, the velocity of RS is high and the current of RS is strong. Therefore, the LLS
365 often use the amplitude or pulse width of the electrical field waveform to identify RS.
366 The traditional multi-parameter classification method concludes that the pulse width of
367 the RS waveform is typically between 10 and 200 us. However, recent reports indicate
368 that using pulse width as a criterion can easily misclassify several kinds of intracloud

369 lightning pulses as RS (Biagi et al., 2007; Leal et al., 2019; Nag et al., 2014). In recent
 370 years, scholars have already tried using machine learning methods like SVM to perform
 371 RS/IC classification (Zhu et al., 2021). This section applied the CNN model trained in
 372 Section 3.1 for RS classification. By analyzing the classification process of our CNN
 373 model under several typical RS cases, we explored the key physical features the model
 374 learned and compared it with the SVM method.



375
 376 **Figure 2** Visualized classification results of negative RS waveform #190912135803-001RS and
 377 positive RS waveform #190912135803-003RS waveform. **a)** Visualized CNN classification result
 378 based on CAM for the negative RS case with a detailed demonstration for the main pulse part **b)**
 379 Visualized CNN classification result based on CAM for the positive RS case with a detailed
 380 demonstration for the main pulse part **c)** Visualized SVM classification result based on SHAP for
 381 the same case and detailed demonstration as (a) **d)** Visualized SVM classification result based on
 382 SHAP for the same case and detailed demonstration as (b)

383 Figure 3(a) shows the classification result of the CNN model for a negative RS,
 384 which was recorded at 13:58:03 September 2019 at Anqing, Anhui, China. We define
 385 data points with CAM weight values above 0.5 as hotspots during classification. The
 386 pulse width of this case is about 11 μs, which is at the lower threshold according to the
 387 multi-parameter method, leading to great possibility for misclassification. However, the
 388 CNN model gives out a hotspot region between 25μs and 27μs, which means the CNN
 389 model accomplished the classification mainly by the main peak part with a duration of
 390 only 2μs. It can be seen from this part that the main pulse contains a sequential double-
 391 peak characteristic with a primary peak V_A and a subpeak V_B . Based on observation

392 results, Le Vine et al. conclude that the subpeak structure of the RS waveform is related
393 to the geometry change of the lightning channel (Le Vine, 1980). Cooray et al. propose
394 that the abrupt changes in current amplitude or channel development velocity will result
395 in the subpeak structure in the VLF/LF waveform (Cooray & Lundquist, 1985). Figure
396 3(a) demonstrates that the CNN model successfully captured the double-peak
397 characteristic of the RS waveform, which represents a key part of the physical process
398 of RS.

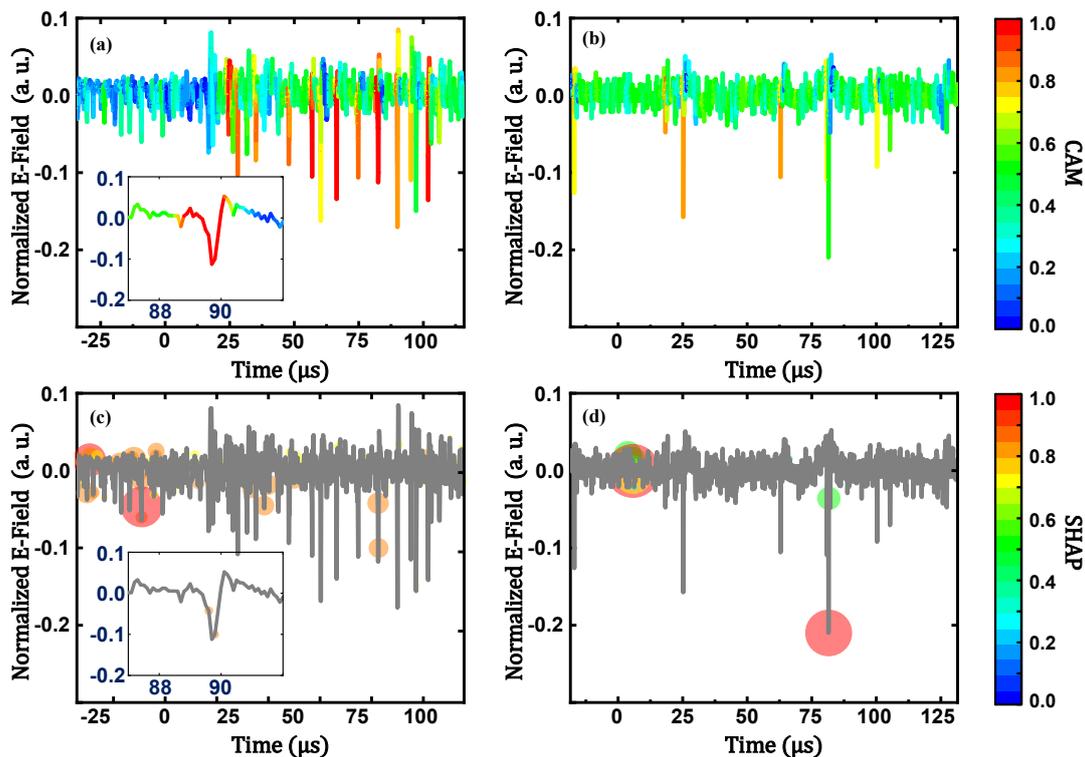
399 Figure 3(c) gives the SVM classification result for the same negative RS waveform.
400 The orange and red circles represent the high weight points given by the SVM with a
401 SHAP value greater than 0.5. The high weight points distribution shows that the SVM
402 model is able to depict the profile of the waveform. It can be inferred from this that the
403 SVM method may classify the RS waveform by marking the high-amplitude part of the
404 RS waveform. Although the SVM model was also able to correctly classify this RS
405 event, it failed to capture other physical information of the RS waveform.

406 The classification results for another positive RS are given in Figure 3(b) and
407 Figure 3(d). The hotspot region in Figure 3(b) shows that the CNN model also captured
408 the two-peak feature of both PA and PB, which means a better understanding about the
409 correlation between the RS waveform and physical process such as the change in the
410 channel development velocity and the channel geometry. However, the SVM method
411 fails to classify this positive RS waveform. As can be seen from Figure 3(d), the high
412 weight points given out by the SVM model also tends to depict the entire waveshape.
413 But due to the bipolar pulse following the main pulse of this waveform, the SVM
414 method failed to mark the main pulse, which resulted in a 42% probability for RS while
415 an 82% probability for NB. The CNN model proposed in this paper not only marks the
416 true main pulse part of the RS waveform but also captured the double-peak feature in
417 the main pulse. Compared to multi-parameter method, the proposed CNN model can
418 overcome the problem that an applicable general criterion for parameters like pulse
419 duration is difficult to be determined. As for traditional machine learning method like
420 SVM, the anti-disturbance capability of the proposed CNN model also gets improved,
421 which means stronger robustness.

422 3.2.2 Active Stage of ICs

423 Intra-cloud lightning discharge (IC) occurs in a single storm cloud or between
424 different storm clouds. The intra-cloud lightning discharge can be divided into two
425 stages, including the active stage and the final stage (Bils et al., 1988). The VLF/LF
426 radiation signal generated during the active stage of intra-cloud lightning (ASIC)
427 presents a sequence of pulse activities. A variety of transient processes appears in the
428 following final stage, including the narrow bipolar events, the stepped leader, the J

429 process and the K process, etc. (Rakov & Uman, 2003). The VLF/LF radiation signal
 430 of the final stage of ICs is usually not used to identify the intra-cloud lightning events,
 431 because it owes an overlapping amplitude range with the RS. Conversely, during the
 432 ASIC, repetitive VLF/LF electric field pulses can be detected. These pulses are
 433 characterized by low amplitude and unipolarity and are related to the stepped growth
 434 of the negative leader, which are applicable to distinguish the IC and CG (Brunner,
 435 2016). However, the statistics of characteristics of the pulses during the ASICs are
 436 rarely reported. In this section, attempts were made to demonstrate that an interpretable
 437 CNN model can be used as an effective approach for the classification of ICs events.



438
 439 **Figure 3** Visualized classification results of negative IC waveform #190818114117-001IC and
 440 #190817184223-001IC. **a)** Visualized CNN classification result based on CAM for the first case
 441 with a detailed demonstration for the one single pulse **b)** Visualized CNN classification result
 442 based on CAM for the second case with a detailed demonstration for one single pulse **c)**
 443 Visualized SVM classification result based on SHAP for the first case **d)** Visualized SVM
 444 classification result based on SHAP for the second case

445 Figure 4(a) shows the visualized CNN classification result for a pulse train during
 446 the active period of the IC lightning. This waveform is captured at 11:41:17 18th August
 447 2019 at Lishui, Zhejiang, China. The electrical waveform at this stage consists of a
 448 sequence of pulses. The tail of each single pulse is followed by a small, slowly changing
 449 polarity-reversed process (Krider et al., 1975). The pulses repeat slowly at this stage,
 450 with an average pulse interval of 10.7 μ s in this case, which is consistent with the

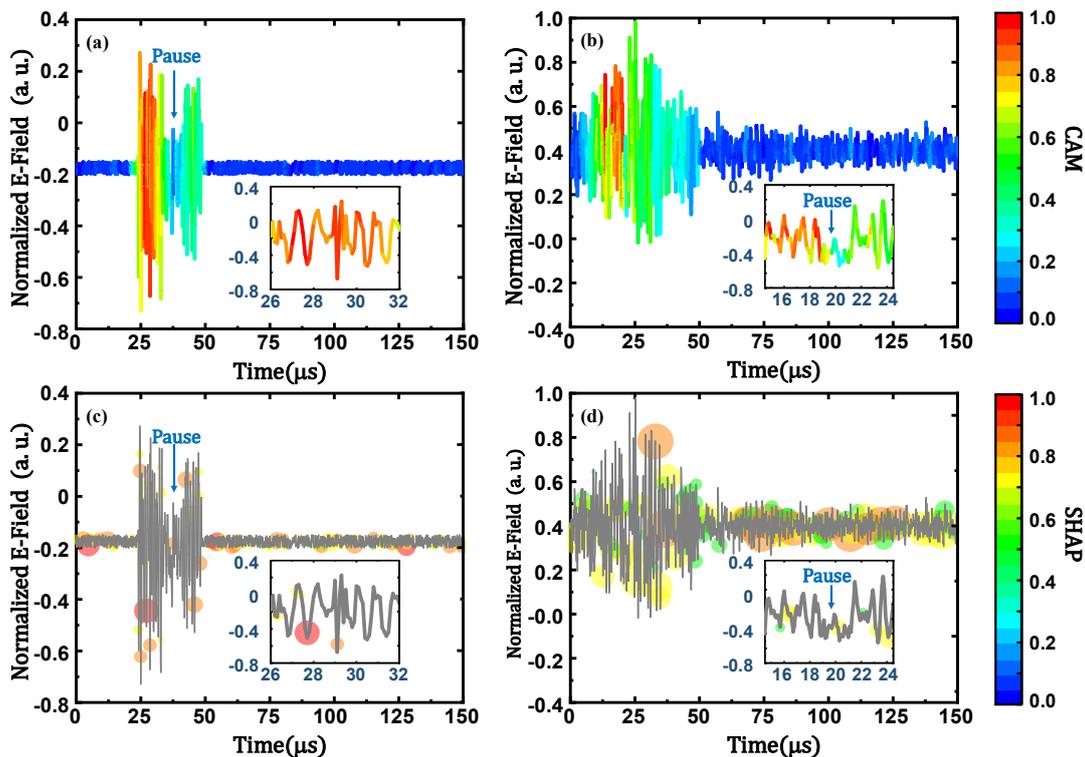
451 existing observations (Gomes & Cooray, 2001; Krider et al., 1975). In Figure 4(a), the
452 hotspot region given out by the CNN model mainly contains two parts. Firstly, the
453 model focuses on the pulse peak and its subsequent polarity-reversed part. The mean
454 CAM value of this region is greater than 0.8. It shows that the CNN model provides a
455 good understanding of the causal relationship between the main peak and the
456 subsequent polarity-reversed waveform and treat them as a whole part. Secondly, it
457 should be noted that the waveform between the two pulses is mainly background
458 waveform, but the model still gives out CAM values of 0.5 to 0.6, significantly higher
459 than the CAM values of the background waveform outside the active stage. It can be
460 inferred that the model not only captures the characteristics of single pulses of ICs, but
461 also find the pattern of continuously pulse repeat during the ASIC. In Figure 4(c), the
462 SVM method is used to classify the same case. The circles in Figure 4(c) represent the
463 high weight points given out by the SVM with a SHAP value greater than 0.5. The high
464 weighted points mainly locate near the peak of the pulse. This suggests that although
465 the SVM method can also capture the peak of the pulse, it fails to capture the causal
466 relationship of main peak and the succeeding part.

467 Figure 4(b) and Figure 4(d) compare the classification results of CNN and SVM
468 method for another IC waveform. For the CNN model, the hotspot region is similar to
469 that in Figure 4(a) which also concentrate on the single pulses and the pulse intervals.
470 However, the SVM method misclassifies the waveform as RS. Figure 4(d) shows that
471 the high weighted points of the SVM model mainly locates around $0\mu\text{s}$ and $75\mu\text{s}$. The
472 waveform around $0\mu\text{s}$ is mainly the background electric field and the waveform around
473 $75\mu\text{s}$ has the highest pulse peak. The results show that the SVM model mainly focus on
474 the peak of the electric field pulses. Besides, since the SVM model mainly focuses on
475 the pulse peaks, it makes the high weight points in this case locate around the largest
476 pulse, leading to the misclassification as RS. By the comparison, it can be concluded
477 that the CNN model is able to learn the detailed features like the temporal relationship
478 between the first peak and subsequent polarity-reversed part of pulses, and is also able
479 to effectively identify the macro features like the repetition of pulses in active stage of
480 ICs.

481 3.2.3 Preliminary Breakdown Pulses

482 The preliminary breakdown (PB) is the initiation and development of the leaders
483 in cloud, which is considered to be the initial stage of the lightning. The VLF/LF electric
484 field waveform generated by PB is composed of consecutive bipolar pulses with a total
485 duration of microseconds. It is concluded from theoretical simulations that the
486 waveform of the PB process has a similar physical mechanism to that of the NB, which
487 is probably related to the consecutive stepped elongation of the negative leader channel

488 within the thundercloud(Da Silva & Pasko, 2015). The multi-parameter method usually
 489 utilizes the SNR to make classification. It is considered to be a PB process while at least
 490 three consecutive bipolar pulses are found with peaks twice the average noise level or
 491 more (Nag & Rakov, 2008). However, according to the discussion in section 3.2.2, the
 492 waveforms during the ASICs are also characterized by repetitive bipolar pulses, which
 493 makes it difficult to achieve an accurate distinction between the PBs and ASICs using
 494 multi-parameter method. In this section, the visualized result of the CNN model for PB
 495 classification is analyzed to illustrate the CNN model's ability to capture the physical
 496 features of PB waveforms, which improves the model's accuracy and robustness.

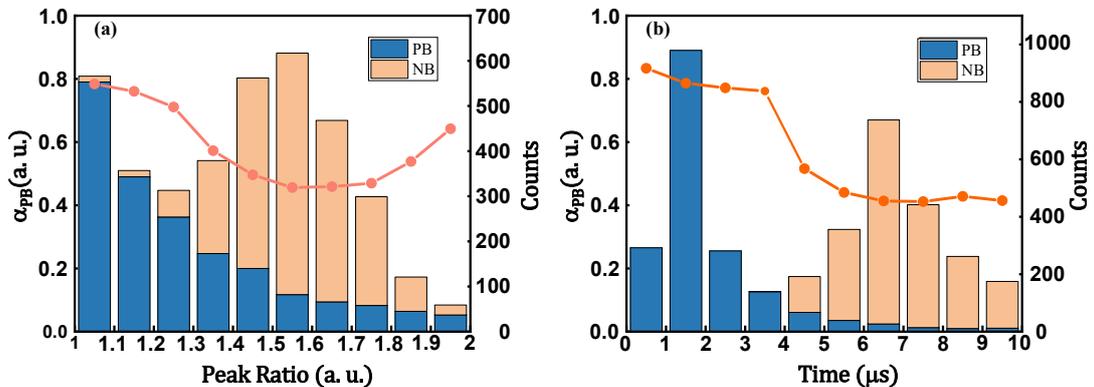


497
 498 **Figure 4** Visualized classification results of PB waveform #190727133157-001PB and
 499 #1907271314423-001PB. **a)** Visualized CNN classification result based on CAM for the first PB
 500 case with a detailed demonstration for the main pulse and the pause part **b)** Visualized CNN
 501 classification result based on CAM for the second PB case with a detailed demonstration for the
 502 pause part **c)** Visualized SVM classification result based on SHAP for the first case **d)** Visualized
 503 SVM classification result based on SHAP for the second case

504 Figure 5(a) shows the visualized CNN classification result for an PB waveform,
 505 which is captured at 13:31:57 27th July 2019 at Wuxi, Jiangsu, China. The hotspot
 506 region given out by the CNN model covers the entire period between 23 and 54 μ s in
 507 which the pulses exist. However, it is obvious that two partitions with different CAM
 508 values exist during this period. The first partition is between 26 and 32 μ s where the
 509 CAM values are all above 0.8. It can be seen from Figure 5(a) that the waveform within

510 this partition is notably characterized by significant continuous bipolar oscillations. The
 511 second partition is between 38 and 54 μ s where the CAM values range between 0.4 and
 512 0.6 and also has significant continuous bipolar oscillations. It is interesting that in the
 513 time from 32 to 38 μ s between the two partitions, there is a pause interval where the
 514 CAM values are less than 0.3. Figure 5(a) shows that the amplitude is low and bipolar
 515 oscillation is not obvious during the interval. The above analysis shows that the model
 516 in this paper is able to adaptively mark intervals that match the PB characteristics based
 517 on the bipolar oscillation frequency and amplitude characteristics of the waveform.
 518 Figure 5(c) shows the visualized SVM classification result for the same case. It can be
 519 seen from Figure 5(c) that the high weight points distribute on both the background
 520 waveform and the pulse part, which indicates that the SVM completes the PB
 521 identification by depicting the overall profile of the waveform without an understanding
 522 of PB's core physical features.

523 To further compare the proposed CNN model with the SVM model, another case
 524 of PB is shown in Figure 5(b) and Figure 5(d). In Figure 5(b) the CNN model gives a
 525 similar distribution of hotspot regions as in Figure 5(a). The CNN model accurately
 526 marks the pulse part which is also divided into two partitions by a pause interval, and
 527 the duration of the pause interval in Figure 5(b) is shorter by about 1 μ s compared to
 528 figure 5(a). This represents a better ability of the CNN model to adaptively classify
 529 continuous pulses that conform to bipolarity, with better robustness. The above
 530 phenomenon means that the CNN model is able to adaptively find PB-like waveforms,
 531 and even a very short non-PB interval will cause the CAM value to drop sharply. In
 532 comparison, Figure 5(d) shows that the SVM method misclassifies this case, with the
 533 highest weight points distributing at the start of the waveform around 30 μ s. Besides,
 534 the other high weight points mainly exist in the negative part of the waveform, leading
 535 to the misclassification. It can be inferred that the CNN model has higher classification
 536 accuracy compared to traditional machine learning methods for the ability to recognize
 537 temporal features like the continuous bipolar pulses.

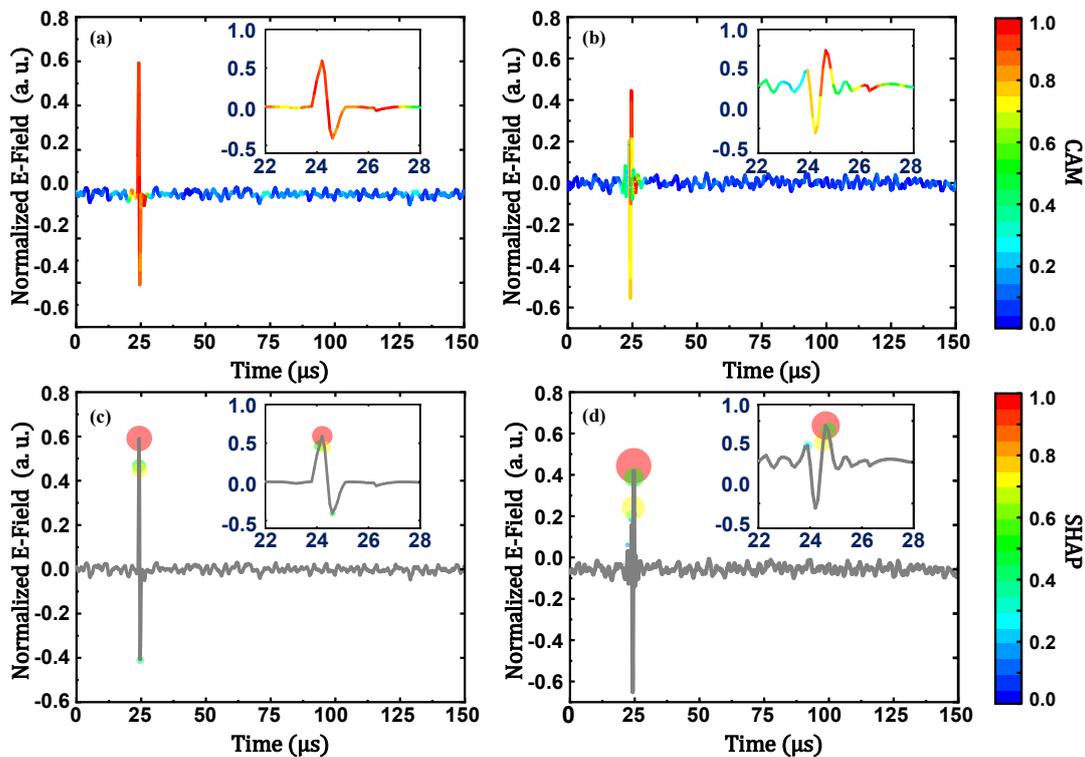


539 **Figure 6** (a) relationship of CAM values α_{PB} and peak ratio P (b) relationship of CAM values
540 α_{PB} and pulse duration T

541 To demonstrate our model's ability to help to find adequate threshold for multi-
542 parameter classification. The average CAM values α_{PB} , peak ratio P and pulse duration
543 T are estimated and compared with the results of similar waveforms like NB. We define
544 a single pulse as a segment of the waveform between two zero crossing points, which
545 must contain a polarity change. The α_{PB} refers to the average CAM value given by the
546 model when the waveform is considered to be a PB. The peak ratio P is the absolute
547 value of the first and second peak amplitude ratio, and the pulse duration T is the time
548 interval between two crossing points. The α_{PB} -P relationship is given in Figure 6(a).
549 The result shows that the bipolar peak ratio of the PBs waveform ranges between 1 and
550 2, which is in agreement with the range of peak ratios of the NB. The difference is that
551 63% of the PBs have a P of less than 1.3, while 81% of the NBEs have a P between 1.3
552 and 1.7. It is notable that when the P is less than 1.3, the α_{PB} is greater than 0.5 and
553 decreases as the P increases. This suggests that the more P is close to 1, the more likely
554 the pulse considered to be PB in our model, which is consistent with the simulation
555 results of Silva et al. (Da Silva & Pasko, 2015). However, when the P is greater than
556 1.8, the α_{PB} increases as the P increases. This suggests that the peak ratio cannot be
557 used as an effective way to distinguish PB from NB. This may be because that the P
558 changes as the conductivity of the leader channel changes in which the PBs radiation
559 source locate, thus deviating from the theoretical result of Silva(Kašpar et al., 2017).
560 Figure 6(b) demonstrates the relation of α_{PB} -T, where T is within 10 μ s for both PB
561 and NB. 91% of PB had T of less than 4.0 μ s, while all of NB have T between 4.0 and
562 10.0 μ s. As can be seen from the trend of α_{PB} , the proposed model suggests that the
563 shorter the pulse duration is the more likely the pulse is to be a PB, especially when the
564 pulse duration is less than 4 μ s. It should be pointed out that as the T increases, the α_{PB}
565 gradually decreases to around 0.4. According to the results, overlaps exist in the
566 parameter distribution of PB and NB, which lead to the difficulties to set an adequate
567 threshold for multi-parameter classification. However, the turning points of α_{PB} -P and
568 α_{PB} -T is generally consistent with the actual peak ratio and pulse duration distribution
569 of PB and NB. This indicates the CAM values from the proposed model is helpful in
570 threshold determination.

571 3.2.4 Narrow Bipolar events (NBs)

572 Narrow bipolar event (NB) is a special type of intracloud discharge, often occurring
 573 in isolation from other discharge events. The amplitude of NB is usually high, which
 574 can be close to that of RS(Rakov & Uman, 2003; Smith et al., 1999). The pulse duration
 575 of NB is short, ranging from 2 to 20 μ s (Jacobson and Light 2012; Wu et al. 2014).
 576 Increasing evidences indicate that NB may be the initiating process for other lightning
 577 discharge events. It is demonstrated through simulation that the electric field waveform
 578 of the NBE is related to the abrupt elongation of the initial negative leader channel
 579 in the thundercloud(Da Silva & Pasko, 2015). Because of the distribution overlap of
 580 several essential characteristic like the amplitude and pulse width between NB and RS,
 581 the NB is thus an important factor affecting the accuracy of RS classification in
 582 LLS.(Leal et al., 2019; Nag et al., 2014). In this section, the visualization of which part
 583 of the NB waveform causes the model to make the correct classification will be
 584 analyzed.



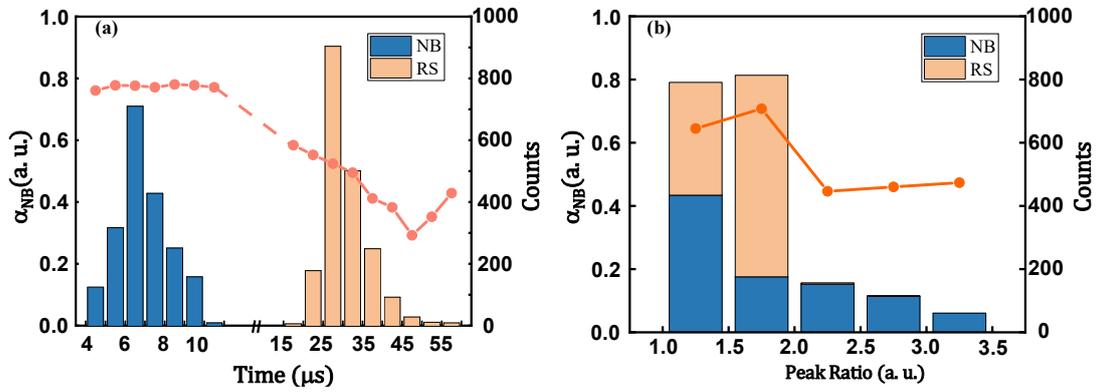
585
 586 **Figure 7** Visualized classification results of NB waveform #190818114306-001NB and
 587 #190818114919-001NB. **a)** Visualized CNN classification result based on CAM for the first NB
 588 case with a detailed demonstration for the main pulse part **b)** Visualized CNN classification result
 589 based on CAM for the second NB case with a detailed demonstration for the main pulse part **c)**
 590 Visualized SVM classification result based on SHAP for the first case and detailed demonstration
 591 as (a) **d)** Visualized SVM classification result based on SHAP for the second case and detailed
 592 demonstration as (b)

593 Figure 7(a) shows the visualized CNN classification result for an NB waveform,

594 which is captured at 11:43:06 18th August 2019 at Anqing, Anhui, China. It can be seen
595 that the proposed CNN locates the main pulse part of this waveform within $24.5 \pm 1.5 \mu\text{s}$
596 accurately, which contains both positive and negative peaks as well as a steep polarity
597 change process. It is notable that the average CAM value of the main pulse is higher
598 than 0.8, while the average CAM value of the other part is less than 0.2, indicating that
599 the CNN model focuses on the waveform pulse and pays less attention to the
600 background waveform. The CAM value difference shows that the CNN model captures
601 the feature of isolation of the NB waveform, with attention focused on the pulse part in
602 the waveform which contains the most information of the waveform. Figure 7(b) shows
603 the visualized SVM classification result for the same case. Compared to the CNN model,
604 the SVM model also focuses on the pulse part and the high weight point is distributed
605 at the positive and negative peak tops, with almost no high weight point distributed in
606 the background part. Figure 7(c) shows that the weight values positive is positively
607 correlated to the pulse magnitude, indicating that the SVM model may classify
608 waveforms by identifying the high amplitude parts in the waveform. It is important to
609 point out that the two core characteristics of the NB require the model to be able to
610 recognize time-dependent feature. The short pulse duration feature requires the model
611 to be able to determine if the pulse presents for a certain period of time. The bipolar
612 pulse feature requires the model to be able to determine if positive and negative peaks
613 appear in succession. As depicted in 2.3.2, the parallel design of multiple convolutional
614 kernels in our CNN model allows the model to capture features at different time scales
615 during the training process. Due to the variable scale of the features extracted by the
616 CNN model, the model can learn the temporal causality features in waveforms during
617 the learning process. Therefore, the hotspot region given out includes the entire main
618 pulse part. In contrast, the SVM model only focuses on the high amplitude point
619 distribution of the waveform and therefore may lead to misclassification of some NB
620 waveforms. Figure 7(b) and Figure 7(d) show another case of NB. The bipolar pulse in
621 this case is located around 23.9 to 24.9 μs , but there is a large positive disturbance at
622 23.5 μs with a peak ratio of approximately 0.5 to the main pulse. Figure 7(b) shows that
623 the CNN model successfully classifies the waveform, with the given hotspot region
624 distributed between 23.8-24.9 μs , which is coincides with the main pulse duration. It
625 should be noted that the CAM value of the positive part disturbance is less than 0.5.
626 However, the SVM model is unable to classify this case correctly. As can be seen from
627 Figure 7(d), the SVM model only locates the positive peak top of the main pulse as well
628 as the positive peak top of the disturbance, ignoring the negative period of the main
629 pulse, and thus makes incorrect judgments as a result. As can be seen from the above
630 comparison, the SVM model only classifies waveforms by the distribution of high

631 amplitude points, which lacks consideration of temporal correlation. The classification
 632 of SVM is not supported by physical process and is prone to misclassification. The
 633 CNN model takes into account the temporal correlation patterns in the timeseries data
 634 at an adaptive scale during the classification process, and is more likely to capture the
 635 core features of the NB waveform, providing higher classification accuracy and
 636 robustness.

637 To further demonstrate that the CNN model can help to find threshold to
 638 distinguish similar waveforms like RS and NB, we calculated the peak ratio P, pulse
 639 duration T and average CAM values α_{NB} of the NB and RS waveforms in the dataset.
 640 The definitions of P and T are identical to those in 3.2.3. The α_{NB} refers to the CAM
 641 value given by the model when the waveform is considered to be a NB. The result is
 642 shown in Figure 8.



643
 644 Figure 8 (a) relationship of CAM values α_{NB} and pulse duration T (b) relationship of CAM
 645 values α_{NB} and peak ratio P

646 Figure 8(a) shows the relationship between the average CAM value α_{NB} and the
 647 pulse duration T. When the pulse duration T is between 2-5.5μs, the average CAM value
 648 α_{NB} remains high within 0.75-0.8. As the T increases, α_{NB} drops rapidly to a minimum
 649 value of 0.22. It can be concluded from figure 8(a) that the model suggests that the pulse
 650 duration of the NB should not exceed 15μs. Figure 8(b) shows the relationship between
 651 the average CAM value α_{NB} and the peak ratio P. The peak ratio quantifies the degree
 652 of bipolarity of the waveform. When the P is between 1 and 2, the bipolarity of the
 653 waveform is more obvious and the average CAM value is at a high level between 0.6
 654 and 0.8. When the P is greater than 2 and increases further, the bipolar characteristics
 655 of the waveform can be considered to have gradually disappeared and the unipolar
 656 characteristics become prominent. The CAM value drops steeply and remains at a low
 657 level of around 0.4. Figure 8(a) and (b) show that the turning points of α_{NB} -P and α_{NB}

658 -T is generally consistent with the actual peak ratio and pulse duration distribution of
659 RS and NB.

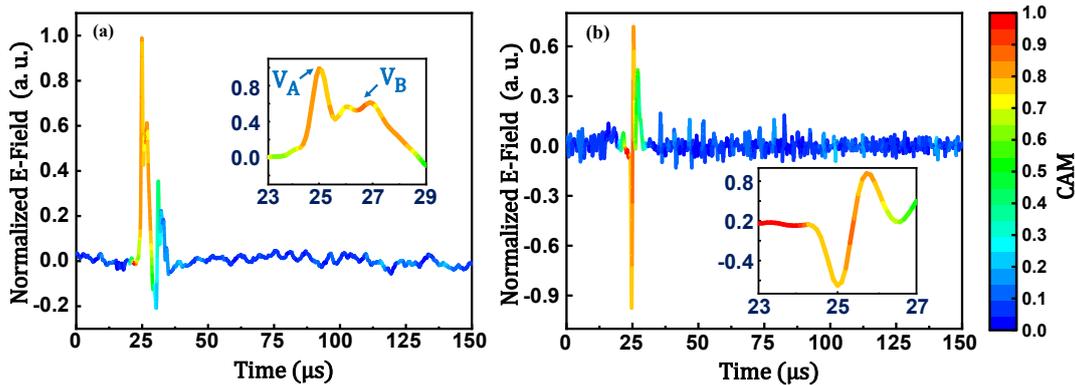
660 **4 Discussion**

661 Compared to plain CNN models, our model employs the shortcut connection to
662 improve the rate of convergence, which enables the model to deal with longer input
663 waveforms. The multi-size kernels of our model can capture multi-scale temporal
664 features of VLF/LF waveforms. In section 3.2, we demonstrate that the improved
665 model can extract physical information of VLF/LF waveforms which is related to
666 different lightning discharge processes. It means that the classification accuracy of our
667 model is much less dependent on the dataset, and it can be extended to recognize the
668 lightning VLF/LF waveform recorded from other regions.

669 The open dataset measured at Córdoba in central Argentina (Zhu et al., 2021) is
670 employed as a test dataset here to further exam the portability of our model. The
671 waveforms in the original dataset are classified into four types, including +CG, - CG,
672 + IC and – IC. We ignore the impact of polarity on the classification accuracy since it
673 is convenient to be recognized. The original dataset is reclassified into two groups of
674 RS and IC. We down sample these waveforms to the sample rate of 5MS/s, which is
675 corresponding to our devices. Since the input waveform of our model must possess
676 2500 points, we manually add noise data to the waveforms meet the required length.
677 Higher classification accuracy is obtained by using our model than the result reported
678 by Zhu et al. The classification accuracy of RS in our model is 99.41%, while it is 97%
679 by using SVM. The classification accuracy of IC in our model is 97.38%, while it is
680 97% by using SVM. Note that the classification accuracy of IC here equals to the sum
681 of PB, NB, and ASIC for convenience of comparison.

682 It should be emphasized that traditional machine learning methods can only give
683 out the probability for different categories. The proposed model can visualize the
684 contribution of different parts of the waveform to the classification result. One can
685 observe in figure 9 that our model can effectively capture the physical features of
686 waveforms which cannot be classified correctly by the SVM. Fig. 9 (a) shows the CAM
687 visualization of a RS event. This case is misclassified as an IC by the SVM, which may
688 be caused by the unexpected bipolar oscillation around $30\mu\text{s}$ according to the discussion
689 of Zhu et al. It can be seen from figure 9(a) that the proposed model marks the dual
690 peaks of the waveform which is believed to be an instinct feature of RS events.
691 Moreover, the unexpected bipolar oscillation is neglected by our model, since the
692 corresponding CAM are less than 0.5 there. Figure 9(b) shows the CAM visualization
693 of a NB event. It is misclassified as a CG by the SVM for the positive disturbance

694 around 27 μs according to Zhu's report. It can be seen in figure 9(b) that the steep
 695 polarity change process is marked with high CAM values, which is the key feature in
 696 the NB waveforms. The positive disturbance is neglected with the CAM values less
 697 than 0.5. According to these comparisons, the proposed CNN model is more effective
 698 in extracting the key features related to the physical process. These key features are
 699 relatively invariant in different regions. Therefore, the CNN model is able to accurately
 700 classify data from different regions.



701

702 **Figure 9** The CAM values of two misclassified waveforms by the SVM in the open dataset. (a) a
 703 RS waveform misclassified as IC. (b) an IC waveform misclassified as RS

704 5 Conclusion

705 In this paper, the main conclusions are summarized as follows:

706 (1) In this paper, an interpretable CNN model for VLF/LF lightning waveform
 707 classification is proposed. The proposed model uses multi-scale convolutional kernels
 708 to enhance the ability to capture local waveform features. The output of the final
 709 convolutional layer and the fully-connection weights are used to visualize the
 710 contribution of different waveform parts to the classification result. A shortcut
 711 connection is built in the proposed CNN model to promote the convergence speed and
 712 make the model capable of waveforms with higher sampling rate. Based on 8000
 713 waveforms recorded in five provinces in China, the four-type classification of
 714 waveforms including RS, ASIC, PB and NB, is achieved with an accuracy of 98.5%,
 715 which is better than the traditional SVM and RF methods.

716 (2) Based on the distribution of the high-contribution waveform parts in the
 717 classification process, we analyzed the correlation between the model's focused
 718 features and the lightning discharge process. The model considers the double peak
 719 structure superimposed on the main pulse as the main feature of the RS, which is mainly
 720 caused by the abrupt change of the current or the branches of the lightning channel. The
 721 proposed model is able to identify the separated, repetitive pulses generated by the
 722 ASIC event, which are associated with the stepped growth of the negative leader in

723 thunderstorms. The continuous bipolar pulse train is considered as the main feature of
724 PB by the model, which is generated by the continuous development of the initial leader
725 in thunderstorms. The model in this paper is also able to identify the narrow bipolar
726 pulse generated by the sudden elongation of the initial leader in NB events. This
727 indicates that compared to traditional machine learning methods, the model in this paper
728 extracts features which are in line with the human experts in the VLF/VF waveform
729 model classification process.

730 (3) We analyzed the relationship between the average waveform weights given
731 by the model and the pulse duration, peak ratios. For NB and PB events with similar
732 physical mechanisms, the weight value α_{PB} for PB events varies in a U-shape with the
733 increase of the peak ratio. When the pulse duration T_{width} is greater than $4.0 \mu s$, α_{PB}
734 decreases monotonically with the increase of T_{width} . This indicates that the pulse
735 duration is more suitable than the peak ratio to distinguish the NB and PB waveforms.
736 Compared to the RS, the weight value α_{NB} for NB does not vary significantly (which is
737 between 0.4 and 0.7) with the peak ratio. And when the pulse duration T_{width} is greater
738 than $5.0 \mu s$, α_{NB} decreases significantly with the increase of T_{width} , which indicates that
739 the pulse duration can better solve the problem of easy confusion between RS and NB
740 events in the lightning location system.

741 (4) We validated the model in this paper using an open source dataset reported
742 in literature, which has a total of 32,754 samples from central Argentina. The model in
743 this paper achieved an accuracy of 98.39%, which is better than the result using the
744 SVM according to the literature. Based on the contribution weights obtained in this
745 paper, it can be seen that the model in this paper considers the double-peaked structure
746 superimposed on the main pulse as the key feature of the RS, which avoids the influence
747 of unexpected waveform oscillation and NB waveforms on the RS/IC classification
748 accuracy. It is proved that the model in this paper not only reduces the dependence of
749 the classification performance on the training set, but also is more robust in the
750 classification of waveforms from different regions.

751 **Acknowledgement**

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754 **Open Research**

755 The interpretable CNN model proposed in this study and related data(Xiao et al., 2022)
756 are available at: <https://doi.org/10.5281/zenodo.7549481>

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Figure1.

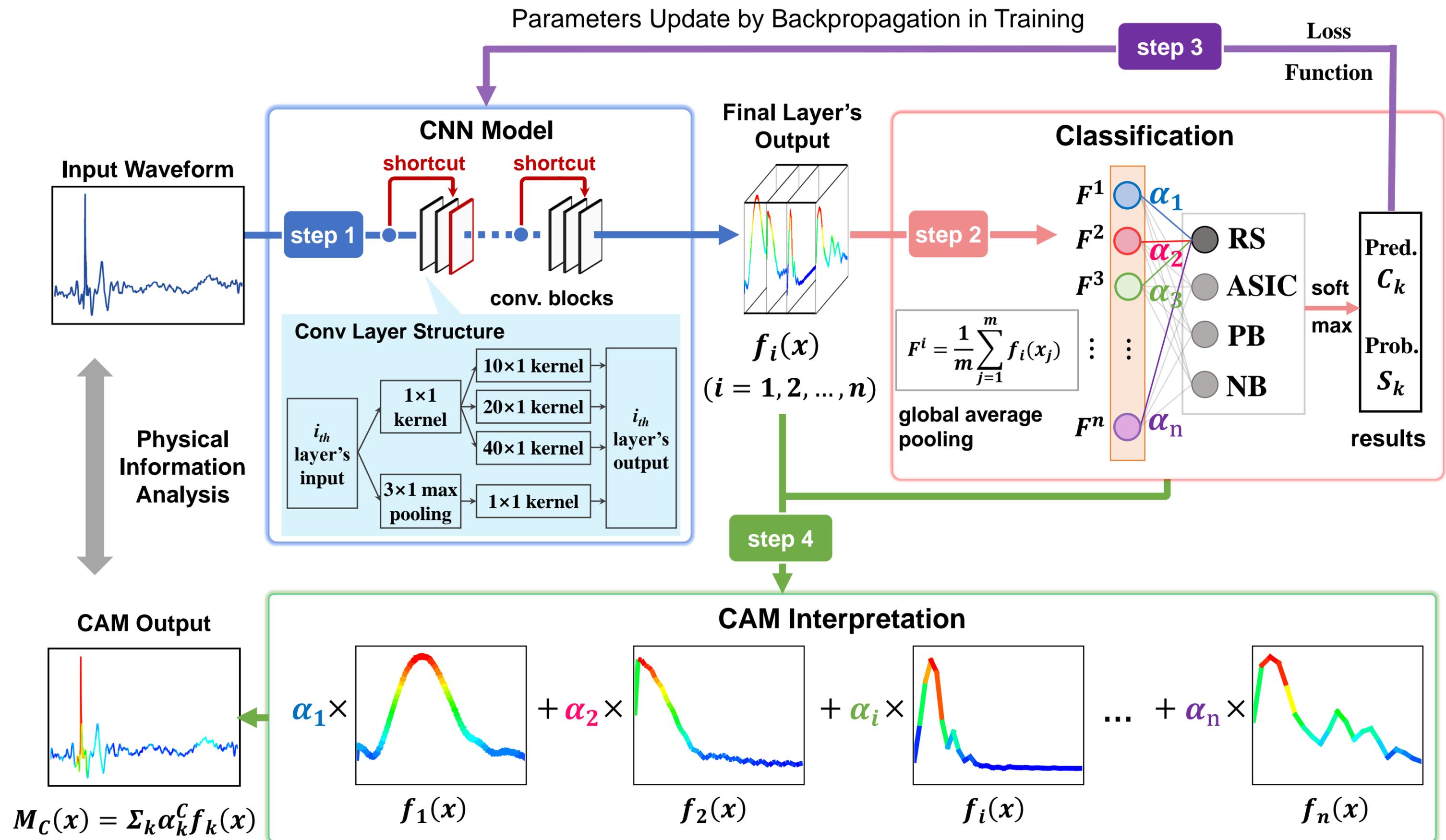


Figure2.

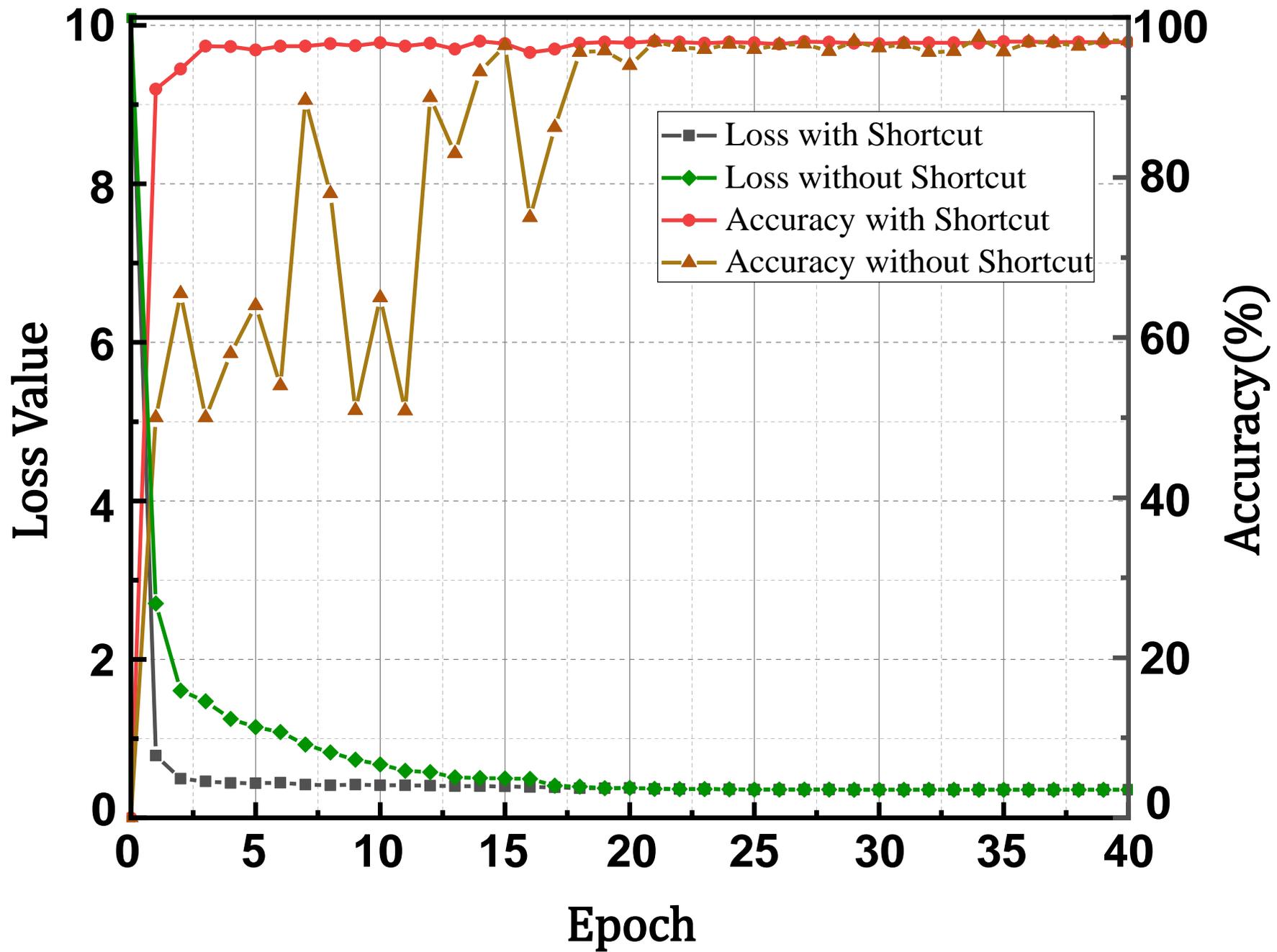


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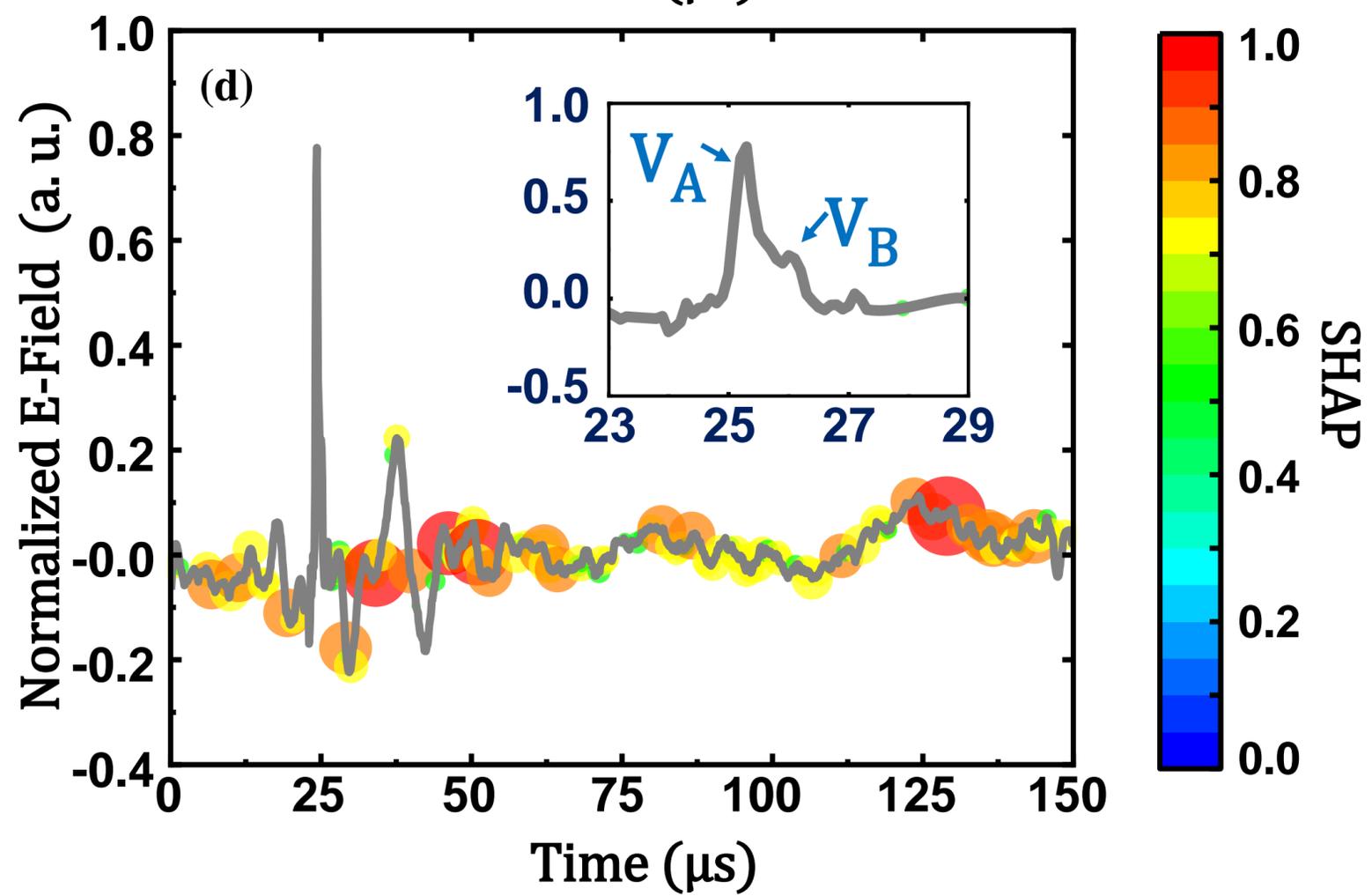
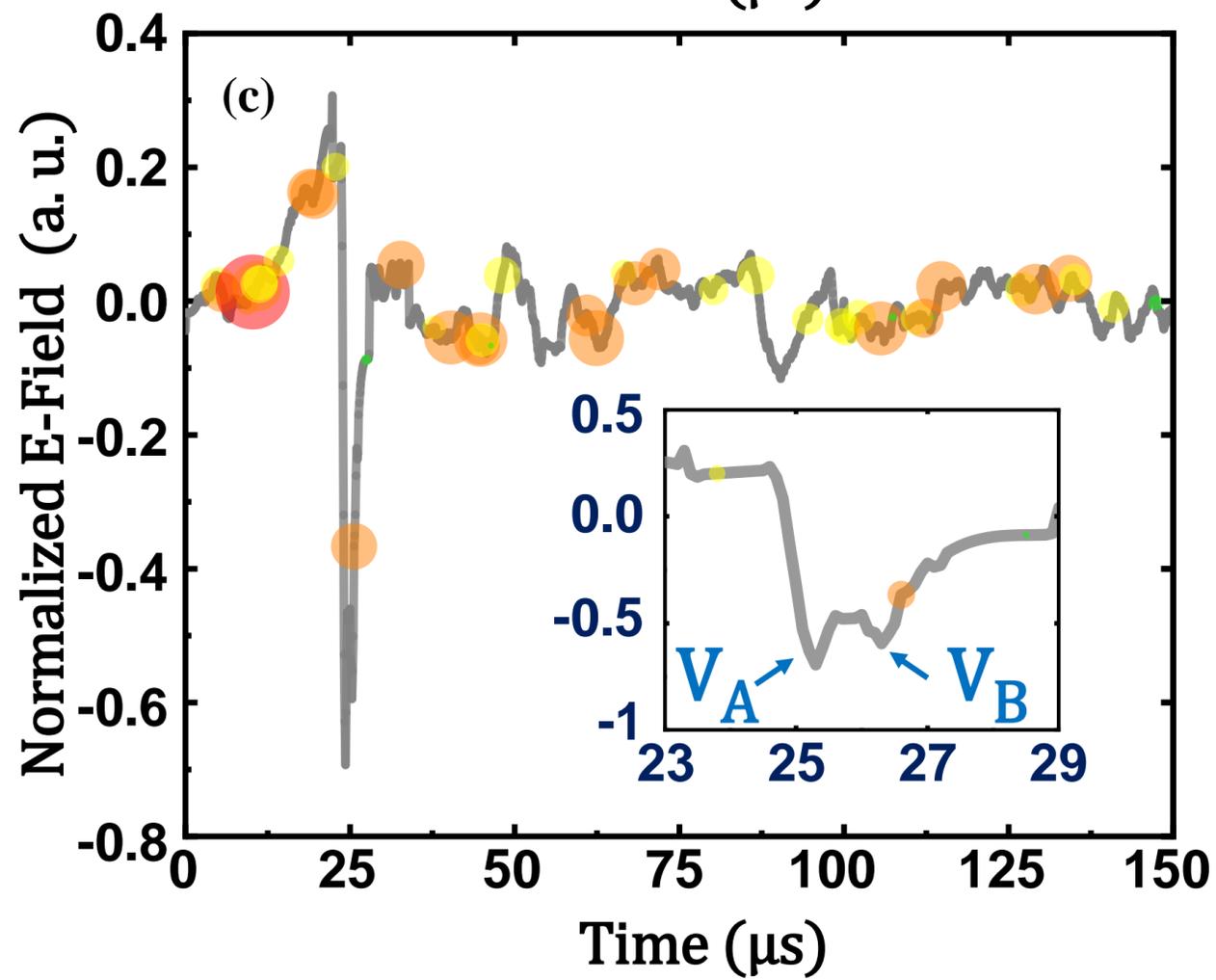
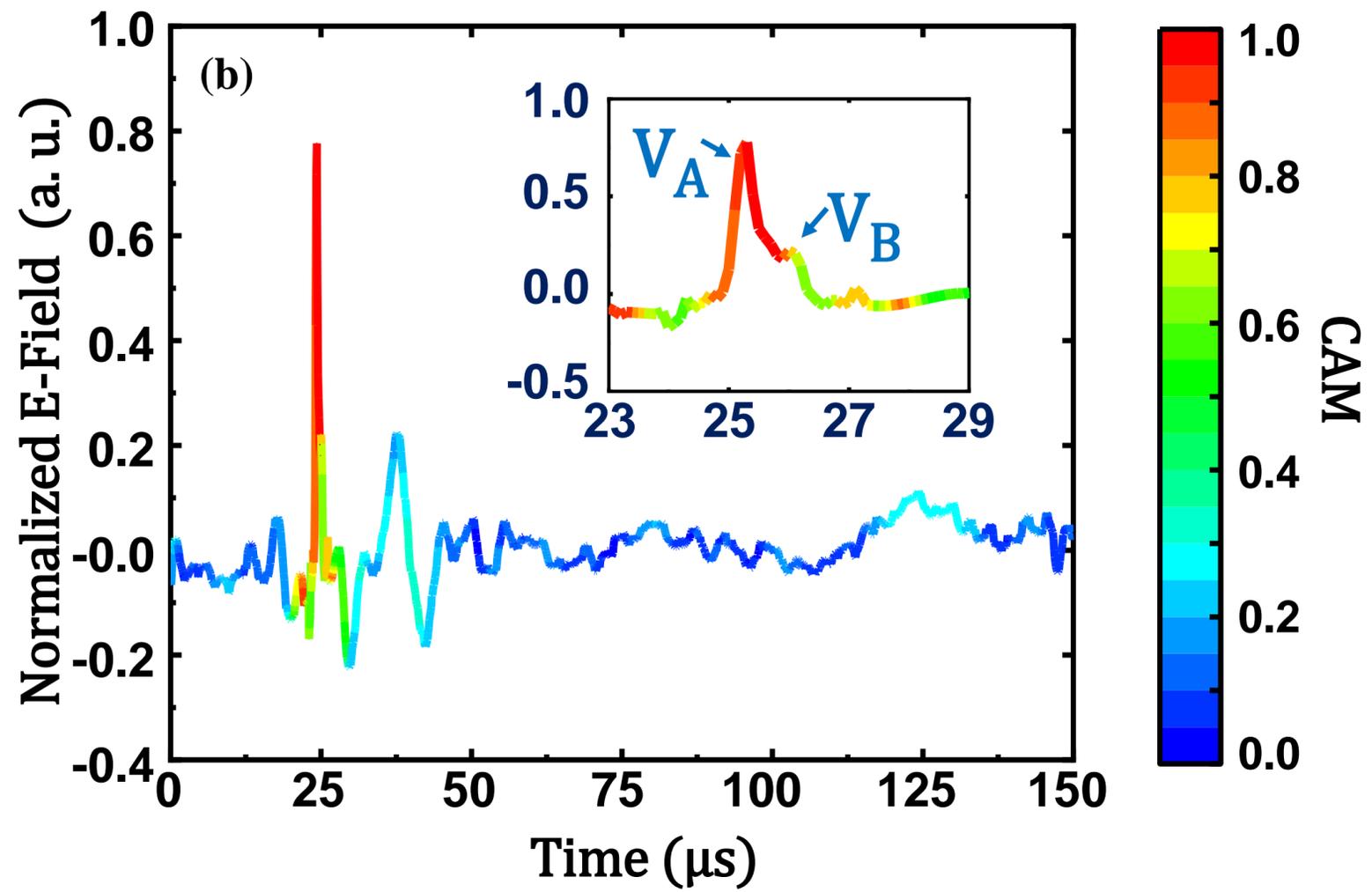
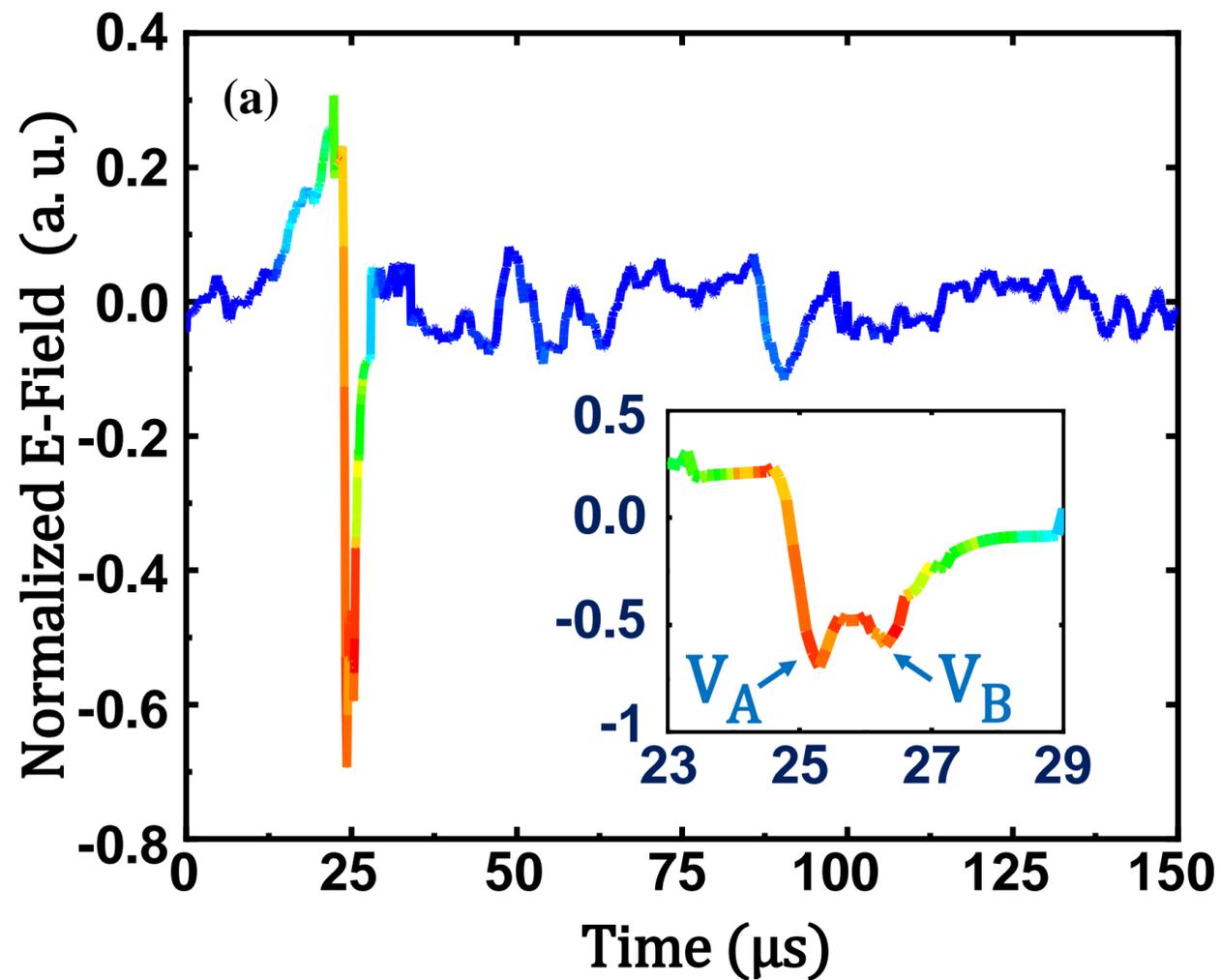


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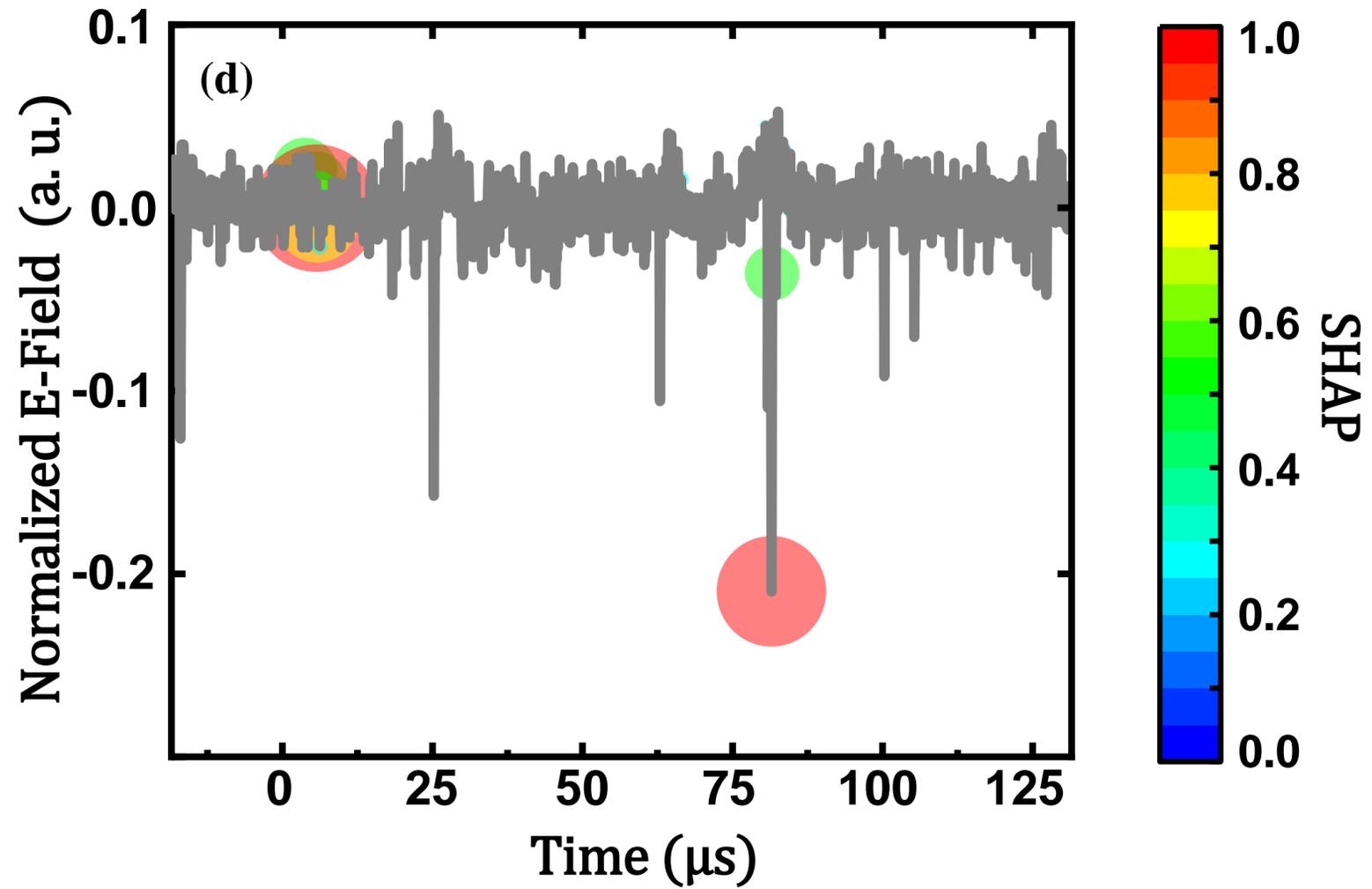
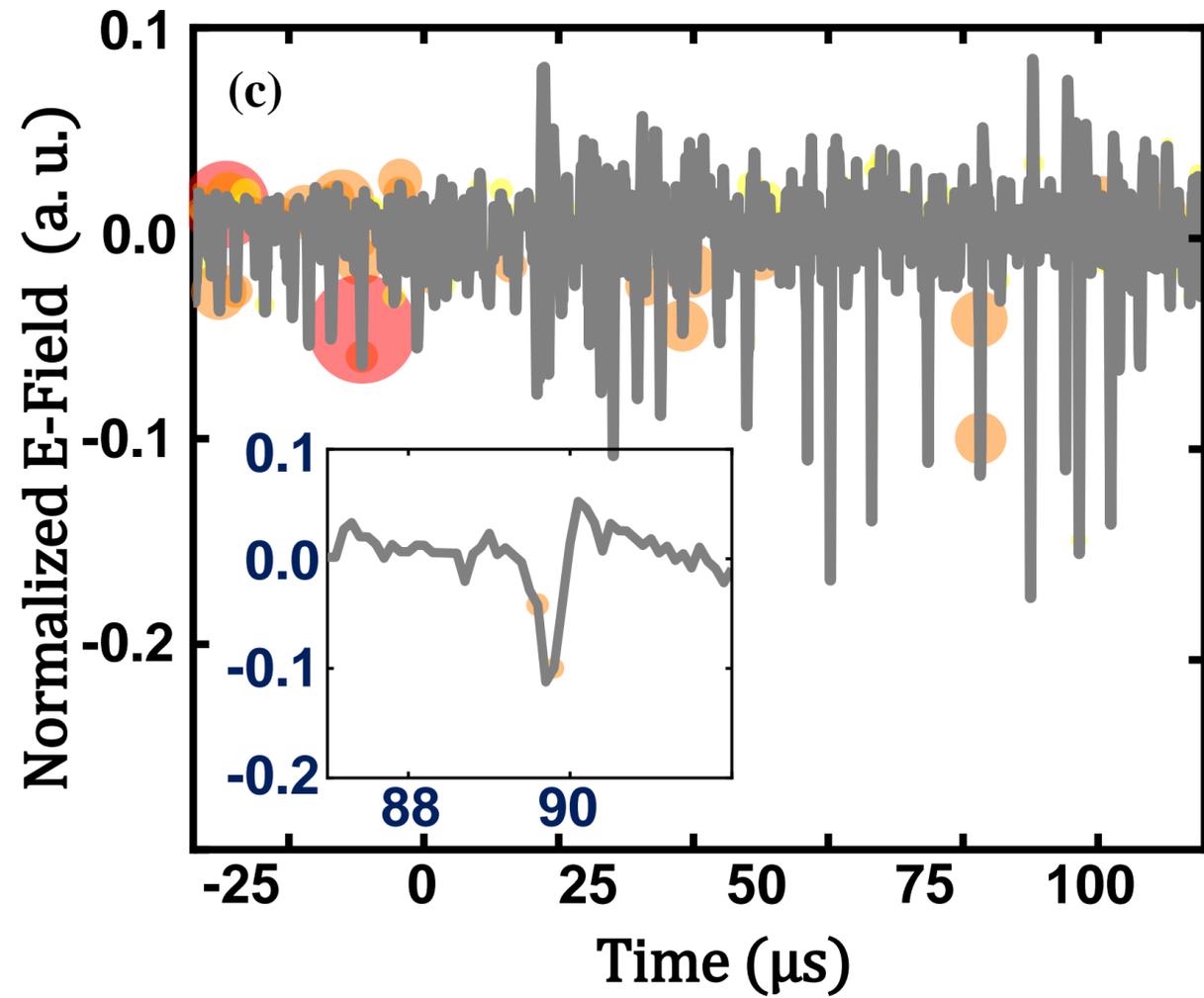
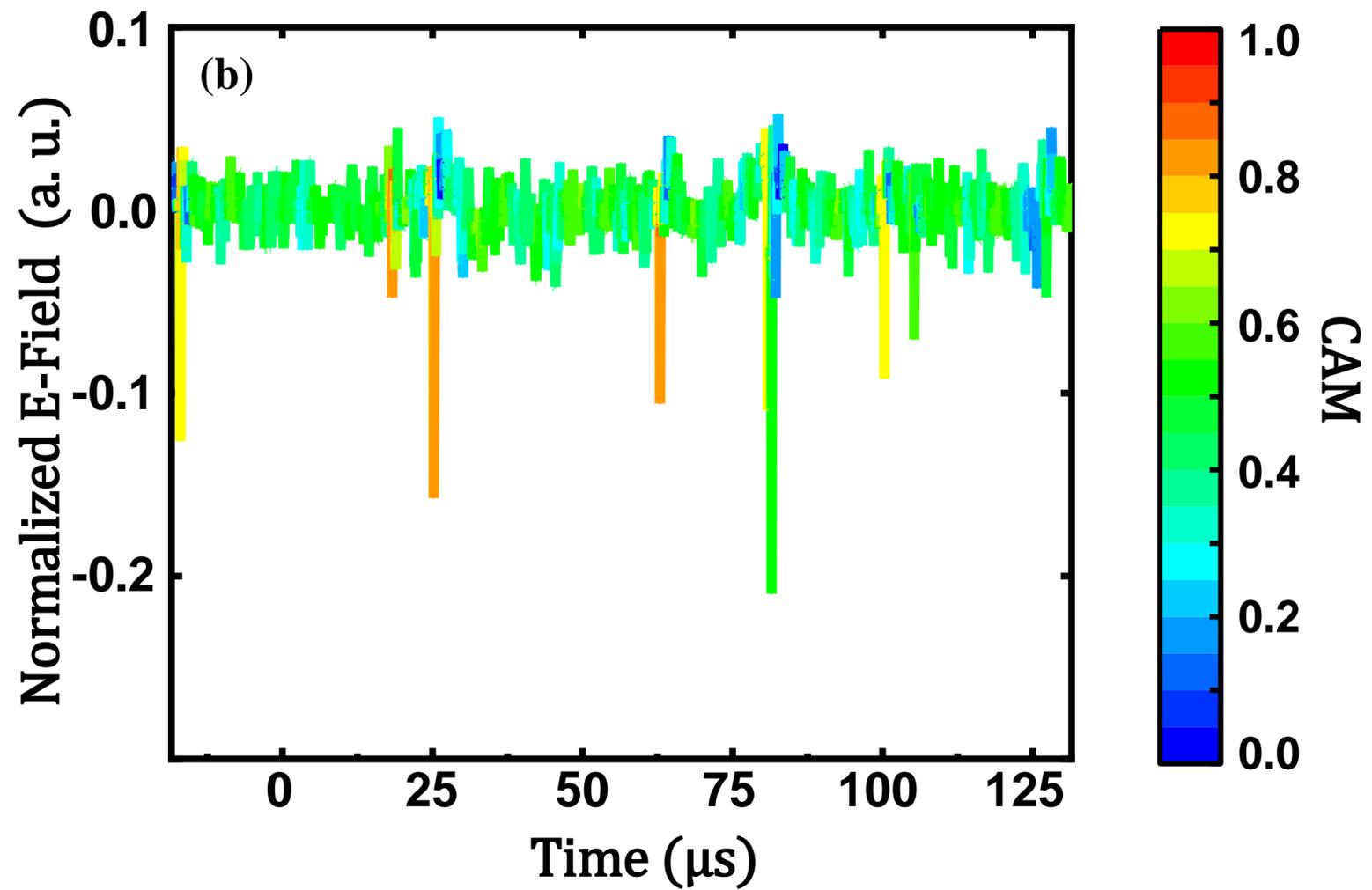
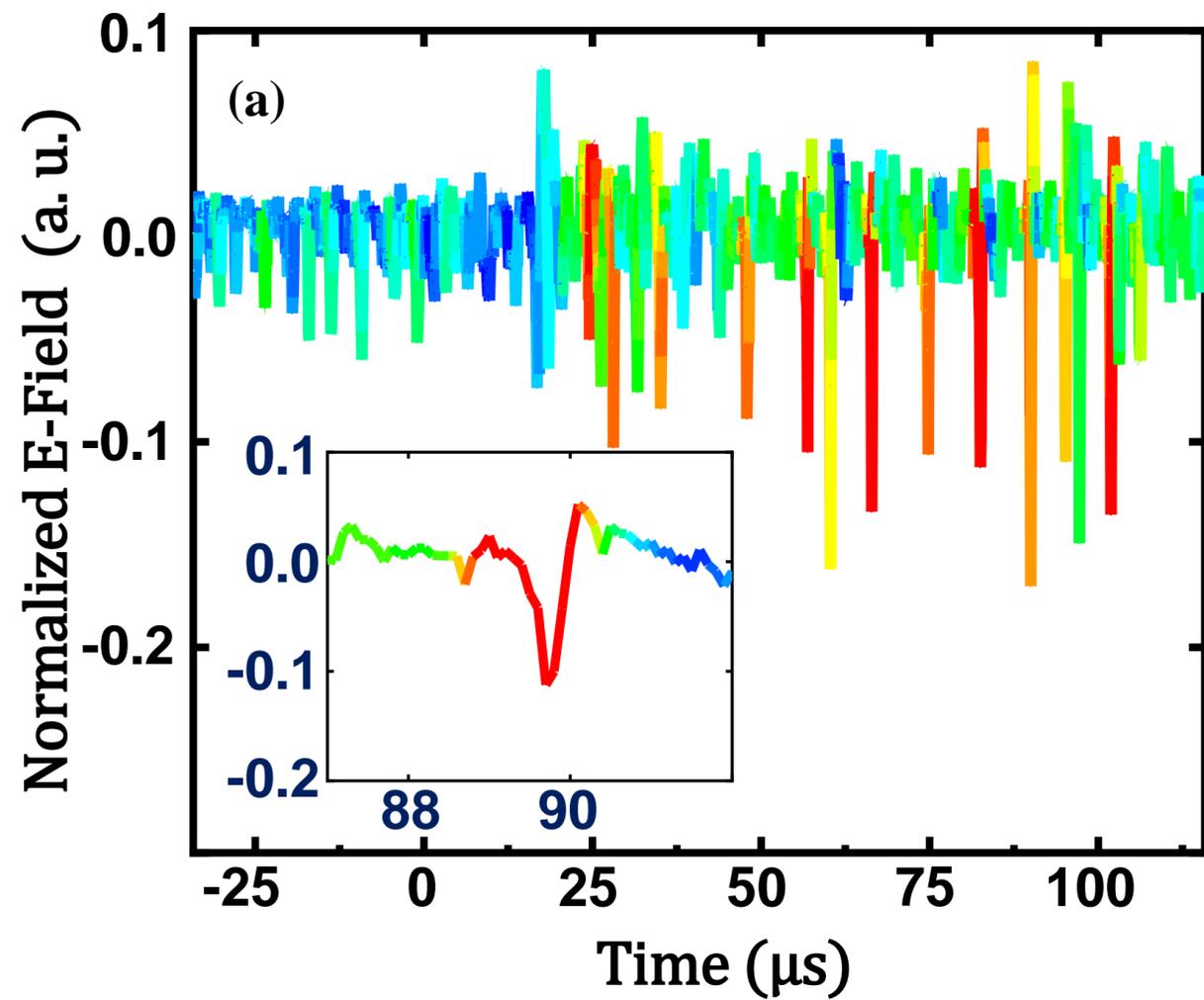


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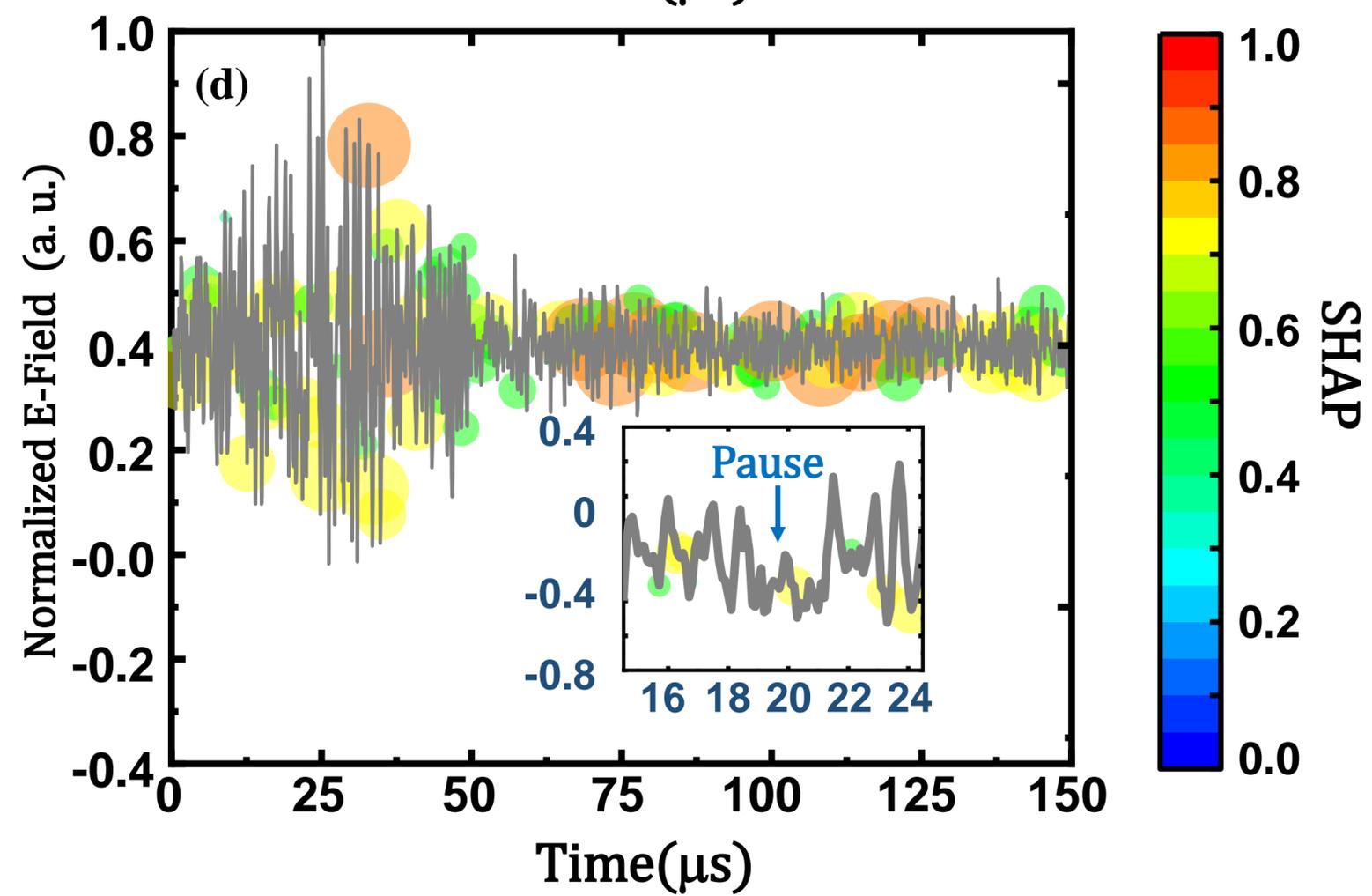
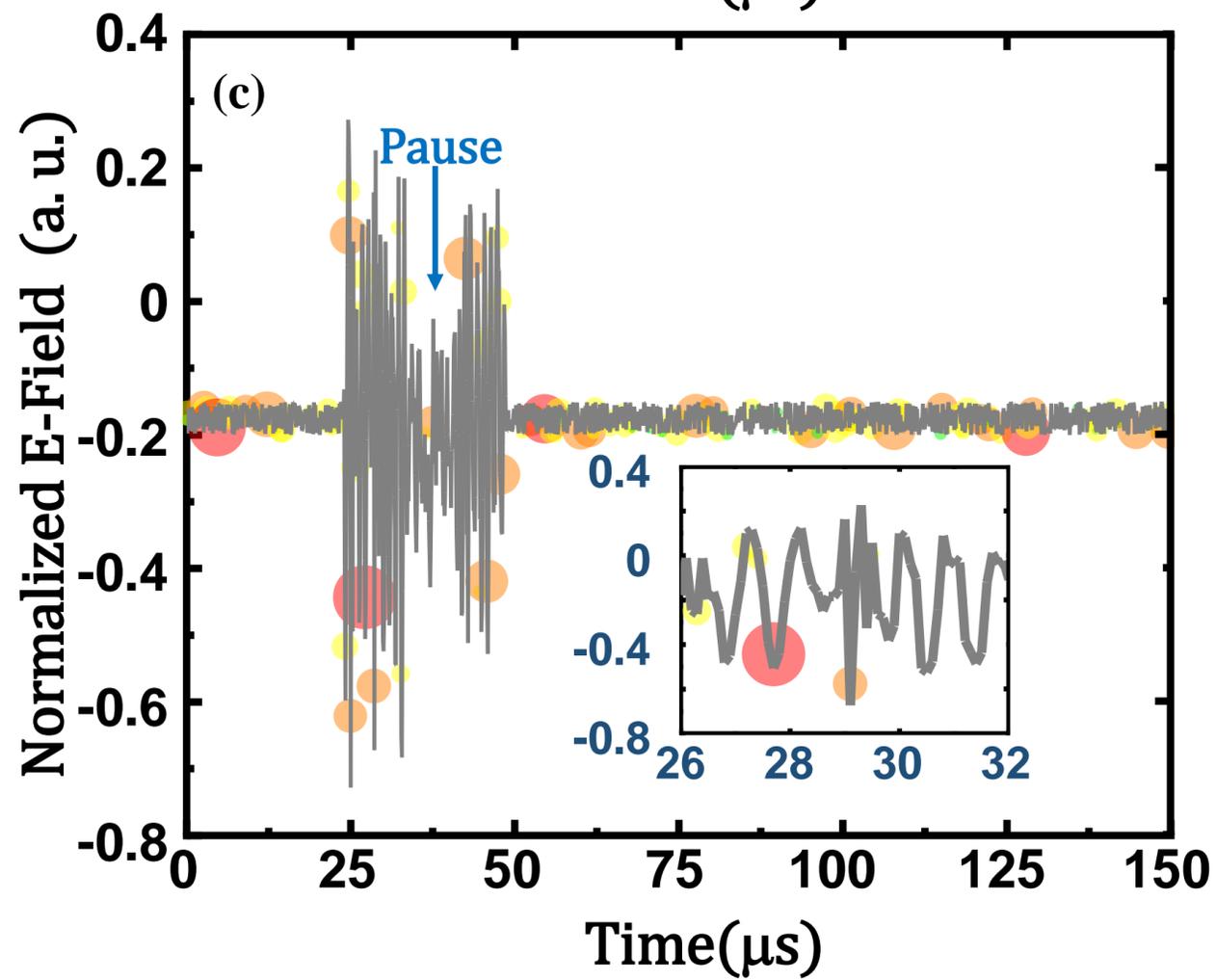
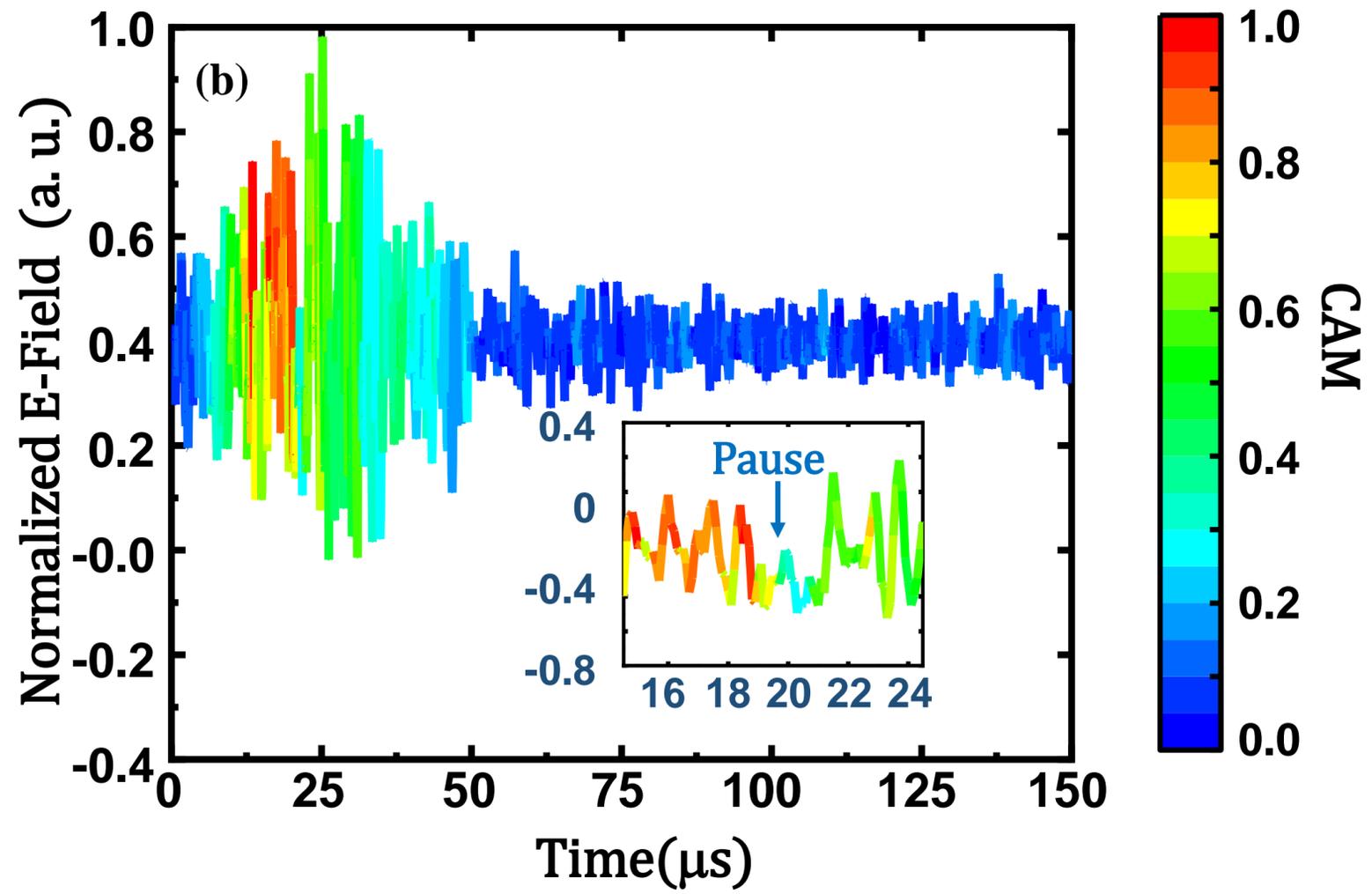
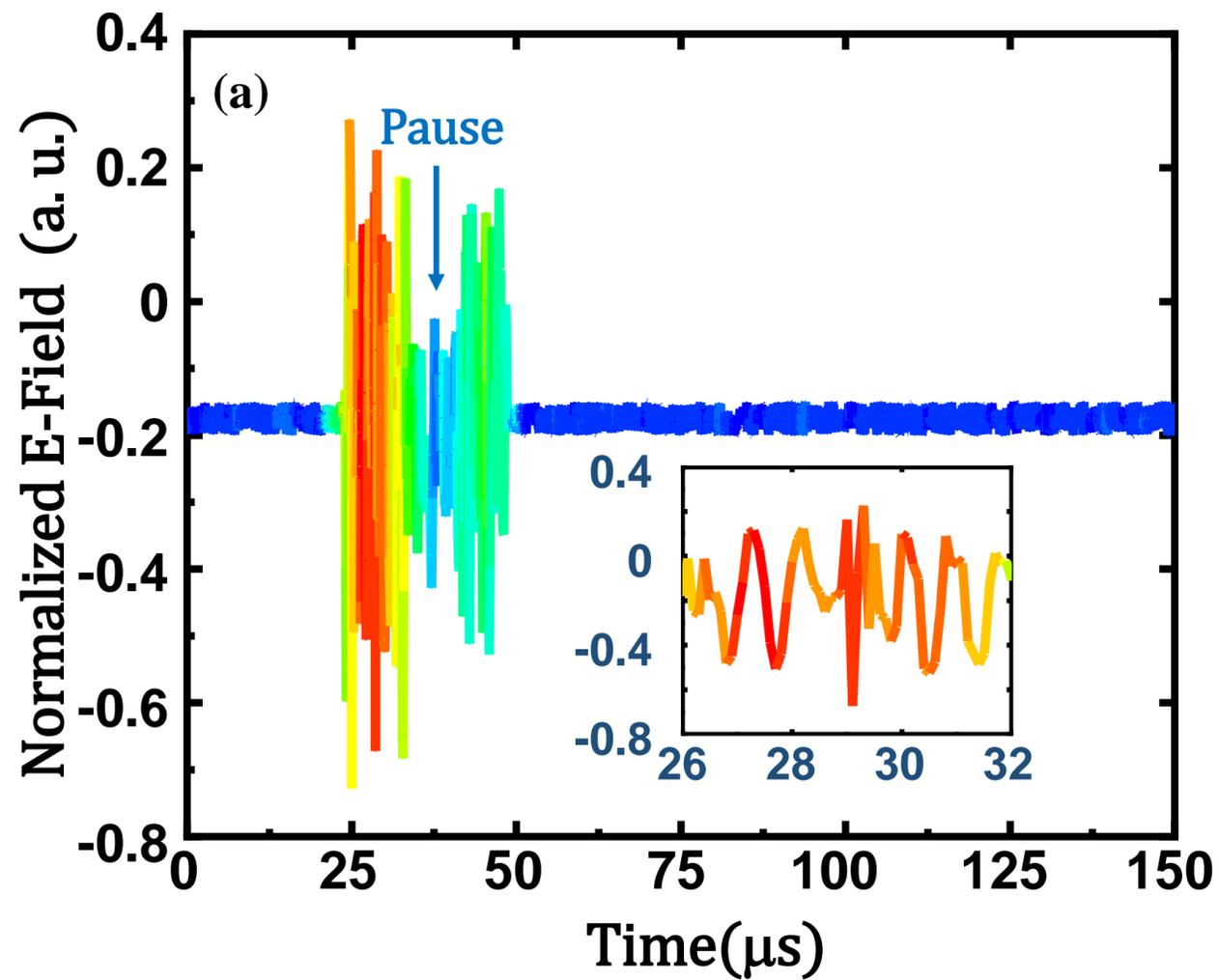


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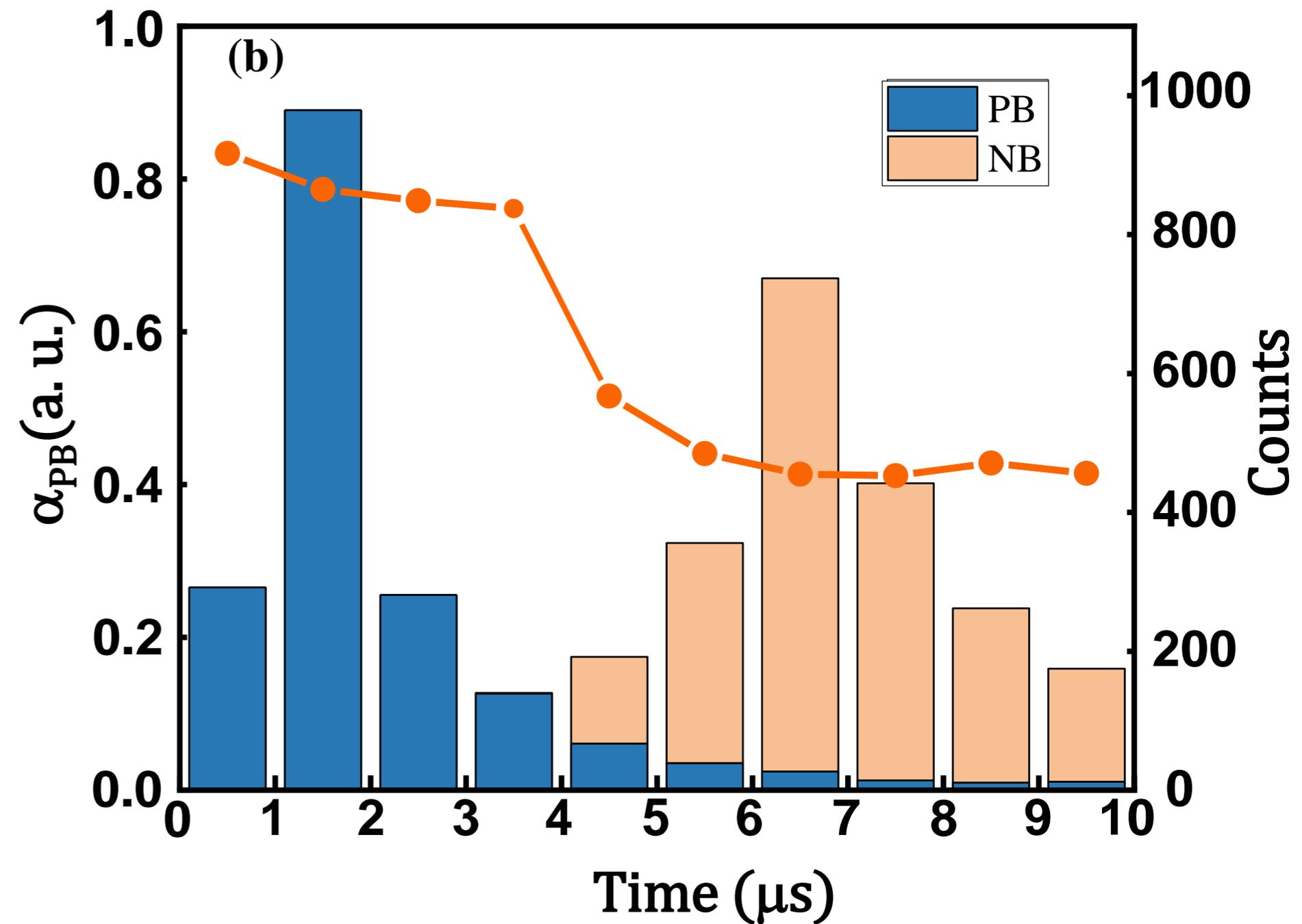
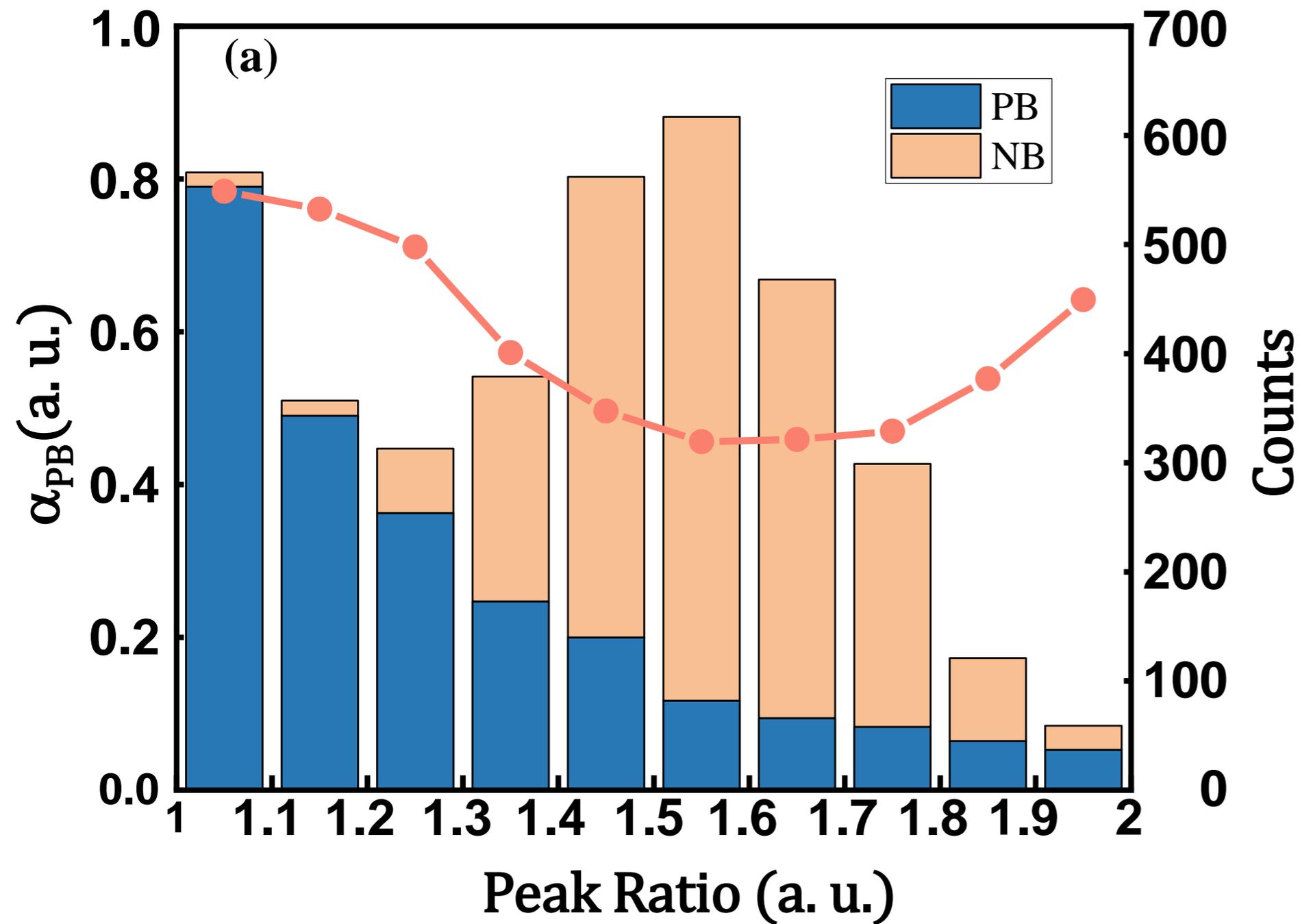


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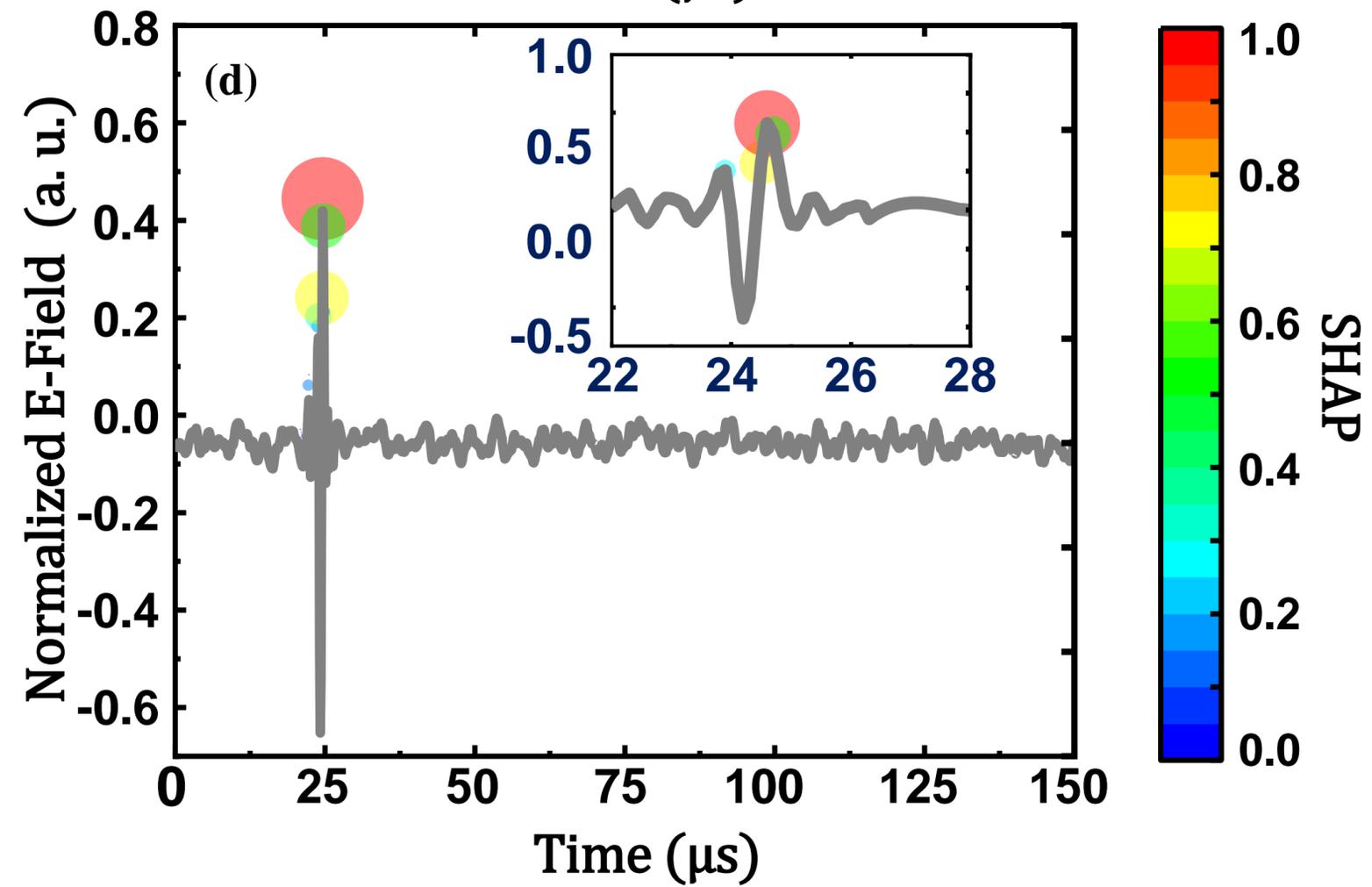
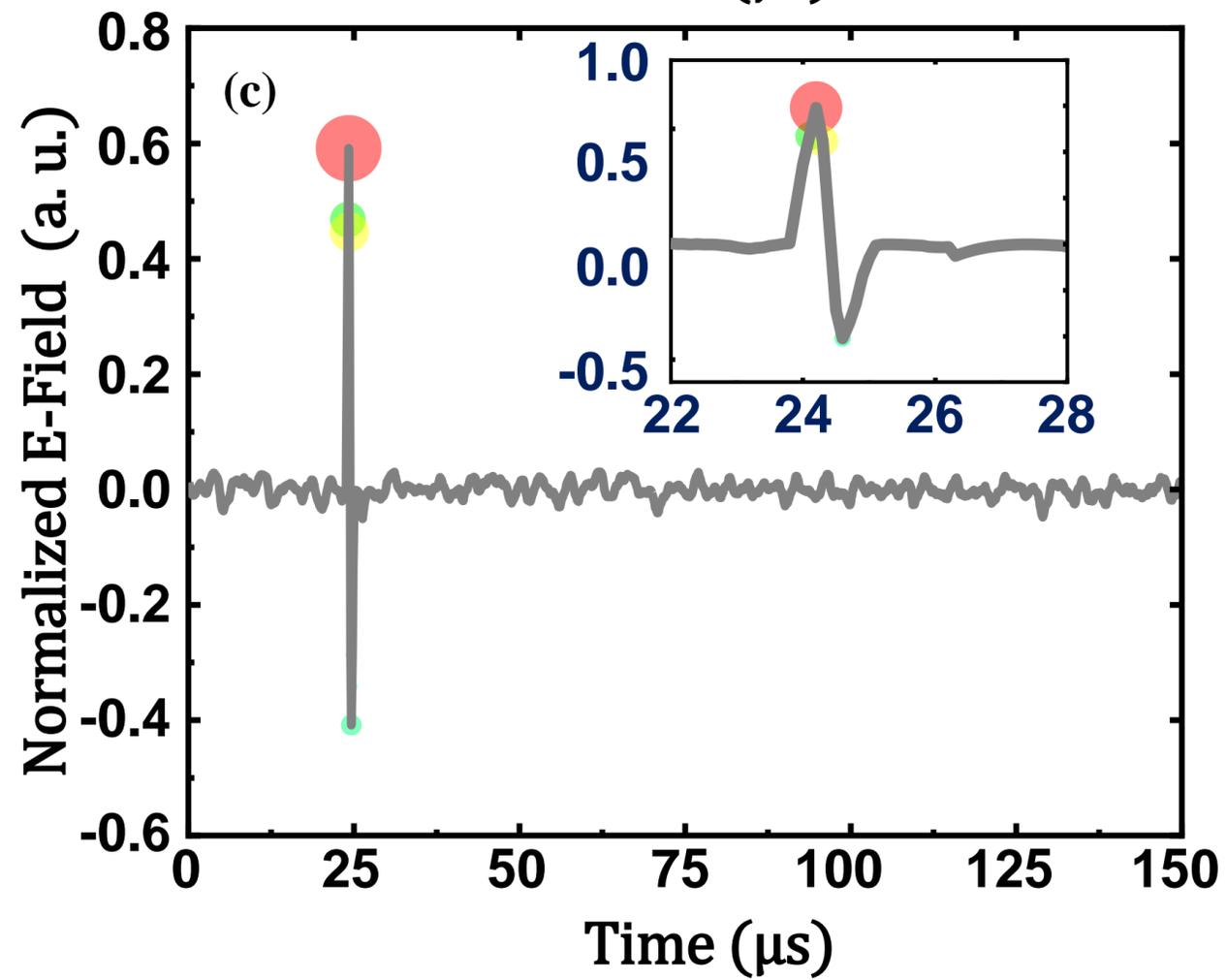
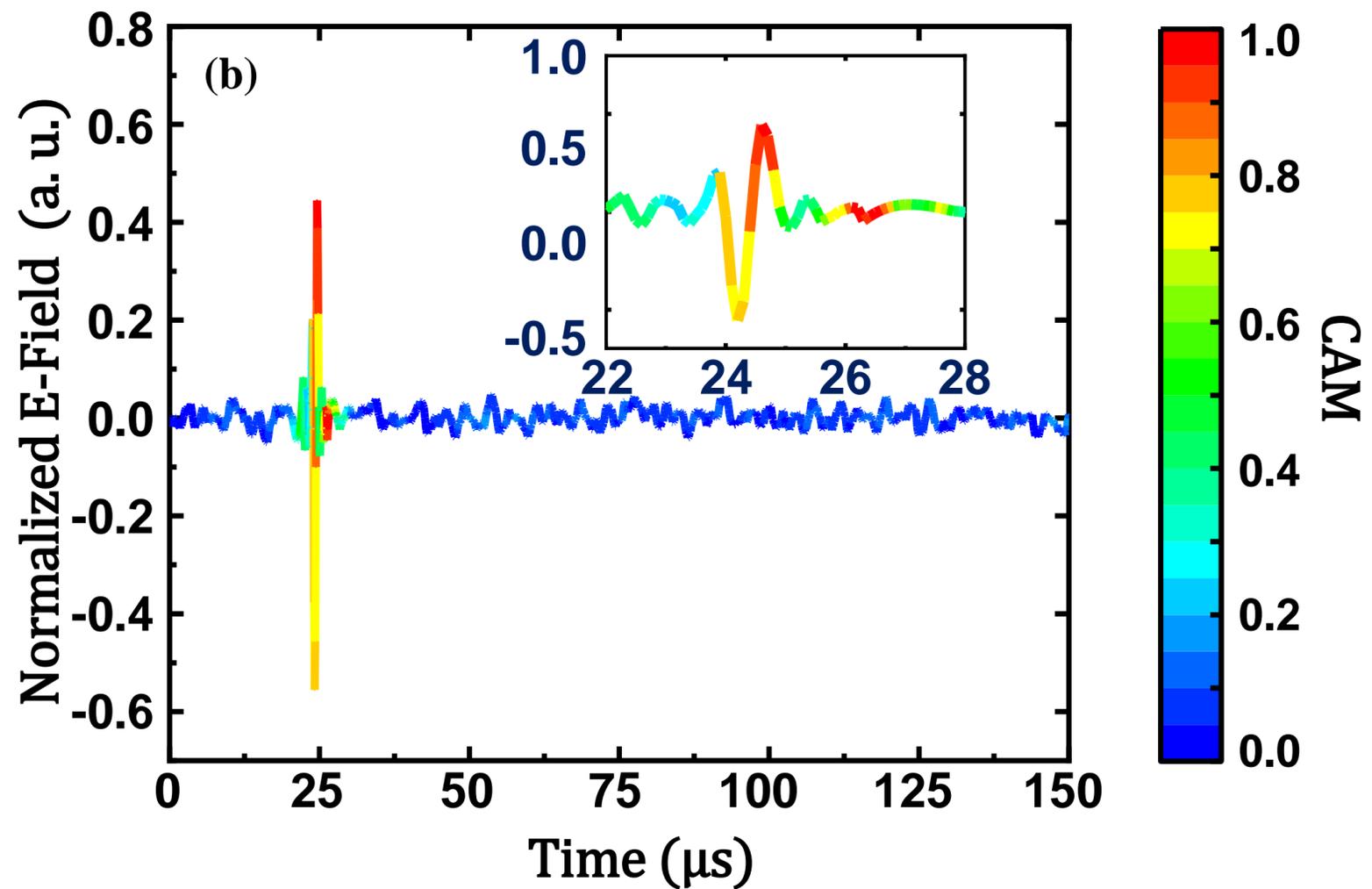
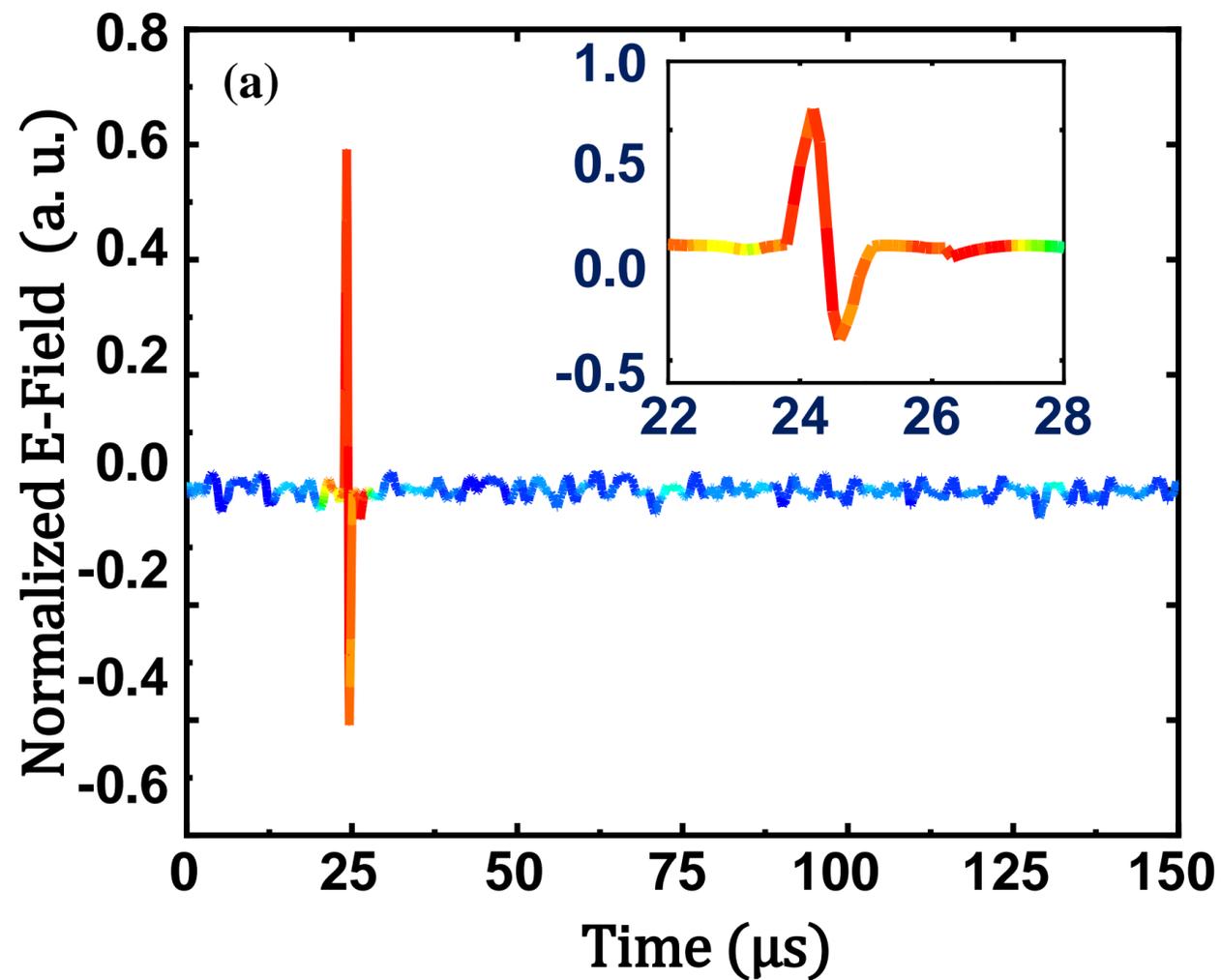


Figure8.

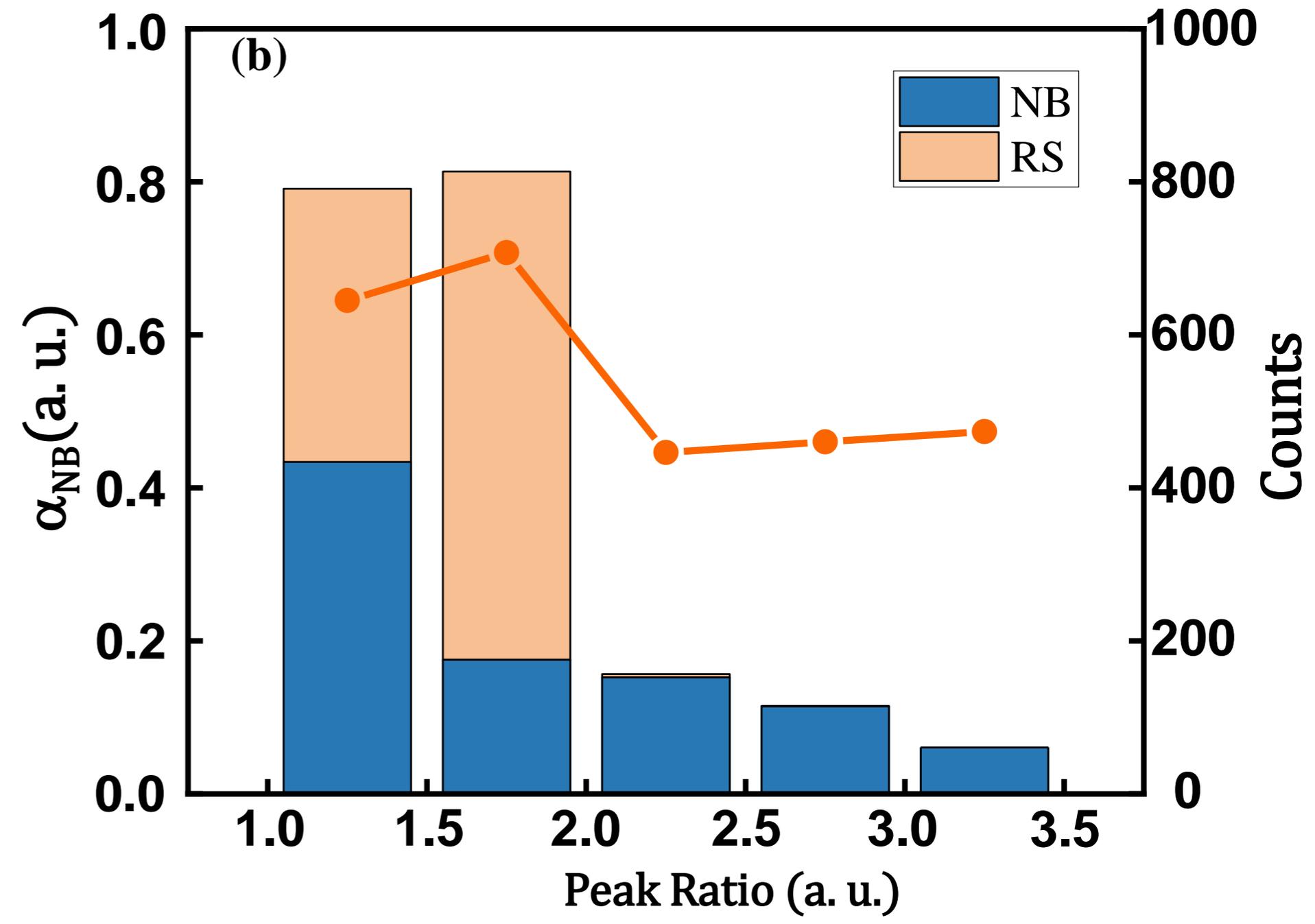
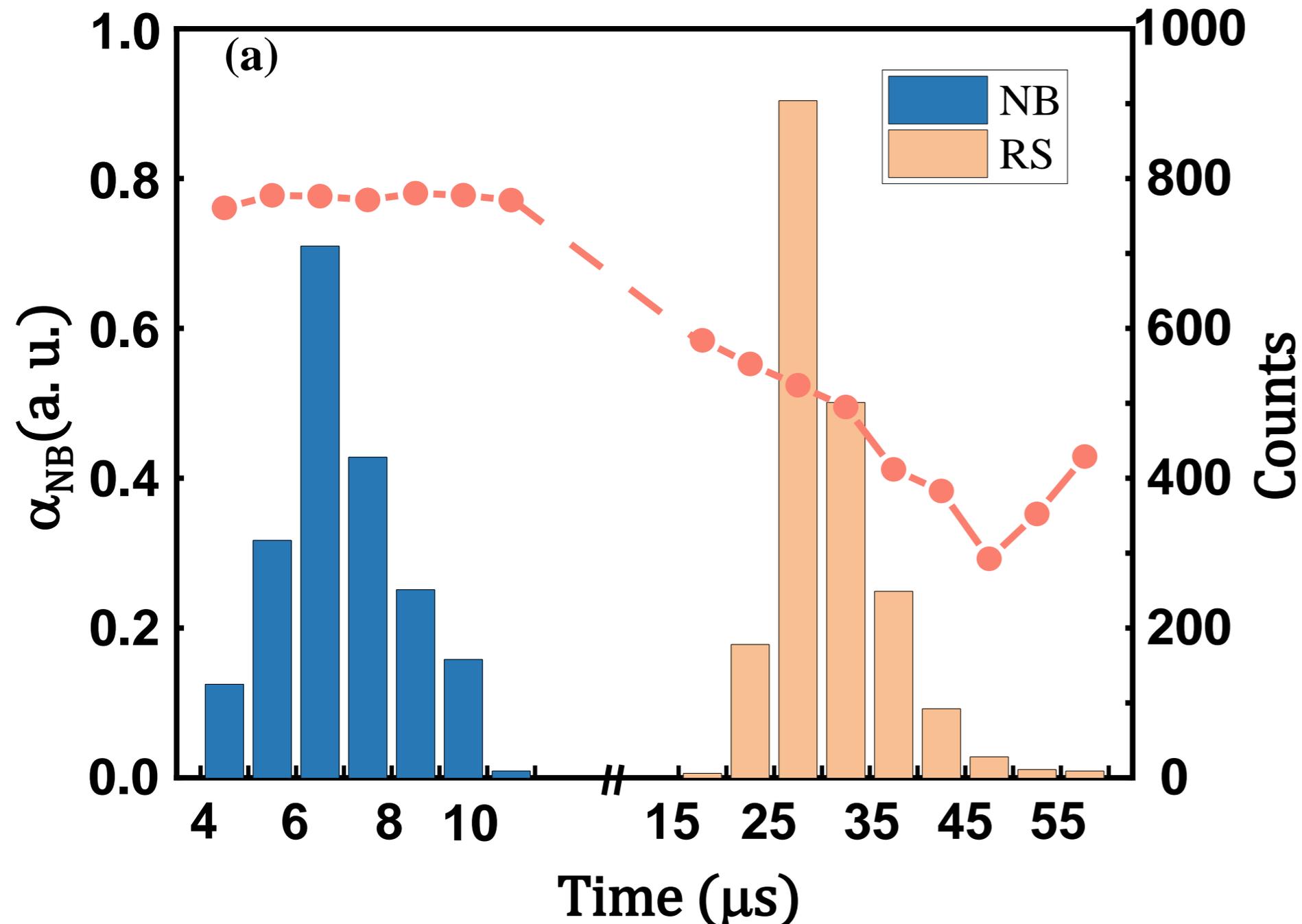


Figure9.

