

1 **Estimation of maize yield incorporating the synergistic effect of climatic and land use
2 change: A case study of Jilin, China**

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10 **Abstract**

11 Yield forecasting can give early warning of food risks and provide theoretical support for food
12 security planning. Climate change and land use change directly influence the regional yield and
13 planting area of maize, but few existing studies have examined their synergistic impact on maize
14 production. In this study, we combine system dynamic (SD), the future land use simulation
15 (FLUS) and a statistical crop model to predict future maize yield variation in response to climate
16 change and land use change. Specifically, SD predicts the future land use demand, FLUS
17 simulates future spatial land use patterns, and a statistical maize yield model based on regression
18 analysis is utilized to adjust the per hectare maize yield under four representative concentration
19 pathways (RCPs). A phaeozem region in central Jilin Province of China is taken as a case study.
20 The results show that the future land use pattern will significantly change from 2030 to 2050.
21 Although the cultivated land is likely to reduce by 862.84 km², the total maize yield in 2050 will
22 increase under all four RCP scenarios due to the promotion of per hectare maize yield. RCP4.5
23 will be more beneficial to maize production than other scenarios, with a doubled total yield in
24 2050. Notably, the yield gap between different counties will be further widened, which
25 necessitates the differentiated policies of agricultural production and farmland protection, e.g.,
26 strengthening cultivated land protection and crop management in low-yield areas, as well as
27 taking adaptation and mitigation measures to coordinate climate change and crop production.

28

29 **Keywords**

30 Maize yield forecast; land use simulation; RCP scenarios; models

31

32

1 Introduction

33 Agriculture plays a vital role in food security, poverty elimination and sustainable
34 development ([Loboguerrero et al., 2019](#)). With the remarkable growth of the global population,
35 agricultural production has faced a significant challenge in meeting the increasing food demand
36 and varying diet structure of human beings. Moreover, farmland loss and degradation caused by
37 urban expansion and economic development have exacerbated this situation ([Vermeulen et al.,](#)
38 [2012](#)). In this context, forecasting food production can give an early warning of food risk and
39 support agricultural land use activities and the corresponding policy making([\[Preprint\] Wen et al.,](#)
40 [2022](#)).

41 The existing yield prediction methods can be categorized into statistical models and
42 process-based models. The traditional statistical models have been commonly employed to
43 forecast seasonal variations of crop yield, e.g., linear and non-linear regression analysis and their
44 integration with principal component analysis. Currently, machine learning approaches, e.g.,
45 random forest ([Sakamoto, 2020](#)), XGBoost, long-short-term memory (LSTM), and convolutional
46 neural network (CNN), have received more and more attention due to their ability to describe
47 complicated relationships of crop production and the driving forces ([Hengl et al., 2017](#); [Kang et](#)
48 [al., 2020](#); [Leng and Hall, 2020](#); [Poornima and Pushpalatha, 2019](#); [Yang et al., 2019](#); [Zhong et al.,](#)
49 [2019](#)). These statistical models can relate historical yield data with the agrometeorological
50 variables, for example, march temperature difference, daily relative humidity changes, sunshine
51 hours, and the remote sensing-based variables ([Banakara et al., 2019](#); [Camberlin and Diop, 1999](#);
52 [Giri et al., 2017](#); [Sharma et al., 2017](#)), such as Normalized Difference Vegetation Index ([Peralta](#)
53 [et al., 2016](#)), Vegetation Condition Index ([Kowalik et al., 2014](#)), and Vegetation Health Index
54 ([Wang et al., 2010](#)).

55 Process-based crop models employ integrated mathematical methods to describe crop
56 growth status driven by climate, nutrient and water cycling, soil properties and agricultural
57 management practices ([Basso et al., 2016](#)). This type of models includes CERES-Millet, EARS-
58 CGS, PUTU, WOFOST and SWAP ([Manatsa et al., 2011](#); [Roebeling et al., 2004](#); [Rojas, 2007](#);
59 [Tripathy et al., 2013](#)), which have been applied to maize, wheat, barley, and millet prediction.
60 Although these models have been proven efficient in practice, they still suffer from significant
61 uncertainties because of complex parameters calibration and initialization ([Kolotii et al., 2015](#)).

62 For example, a number of these models will be calibrated using genetic information of plants that
63 is hardly quantified. In contrast, statistical models allow us to capture essential processes that
64 may be overlooked in the process model, including the impact of extreme temperatures on
65 canopy transpiration and photosynthesis and the damage to crops caused by weather, pests, and
66 diseases ([Urban et al., 2012](#)). Therefore, this study adjusted a statistical model to predict maize
67 yield per hectare instead of a process-based crop model.

68 Climate and land use change have been regarded as two worldwide influencing factors of
69 maize production ([Basso and Liu, 2019](#)). Climate change affects crop growth by changing
70 temperature, precipitation, CO₂, nitrogen, and other critical ecological factors, during the
71 growing season. Land use change analysis can improve yield forecasts' accuracy by identifying
72 the chop's changed planting areas ([Vancutsem et al., 2013](#)). However, a better understanding of
73 the synergistic effect of climate change and land use change on maize yield in a spatially explicit
74 way is still lacking at present. Combining statistical models and spatial land use simulation
75 models have been proven promising to address this issue. Land use simulation approaches
76 originated from cellular automata enable us to project changes in quantity and spatial pattern of
77 agricultural land, and incorporate the effect of land use change into the crop yield estimation
78 ([Akpoti et al., 2019](#); [Liu et al., 2017](#)). Moreover, these simulation models can be equipped with
79 various complex approaches, e.g., neural network, multi-agent system, and multinomial logistic
80 regression, to pursue better simulation performance ([Basse et al., 2014](#); [Mustafa et al., 2018](#);
81 [Yeldan et al., 2012](#)). Due to the flexible model framework, numerous driving factors can also be
82 incorporated into maize yields, like urbanization, agricultural machinery advancement, and
83 population economic growth, etc. ([Abate and Kuang, 2021](#); [Takeshima et al., 2013](#); [Yu et al.,
84 2020](#); [Zhang et al., 2017b](#)).

85 We demonstrated a new crop prediction framework based on the integration of a statistical
86 crop yield approach and a spatial land use simulation model, and examined the synergistic
87 effects of climate change and land use change on maize yields. Further, we designed four future
88 scenarios based on representative concentration paths (RCPs) to examine the direct effects of
89 climate change and socio-economic development on maize yield per hectare. We conducted a
90 case study in the phaeozem region of central Jilin Province, China, and validated the proposed
91 model. Our work is expected to provide a generic framework for the spatially explicit forecast of
92 maize yield.

93 **2 Materials and Methods**

94 ***2.1 Study area***

95 A phaeozem region in central Jilin Province of China was selected as the study area,
96 consisting of Changchun, Jilin, Siping, Liaoyuan, and Tonghua City (**Figure 1**). This region is
97 located in the major golden maize belts across the world, and plays an irreplaceable role in
98 national food security as one of the primary grain production bases and commodity grain export
99 bases in China ([Asseng et al., 2013](#); [Li et al., 2020](#)). The rain-fed maize system was selected as
100 the research object to eliminate the effect of irrigation on crop yield ([Urban et al., 2012](#)).

101 The region features a short growing season of maize from May to September ([Feng et al.,](#)
102 [2021](#); [Jiang et al., 2021](#); [Yang et al., 2007](#)). Over the past 50 years, the average annual
103 temperature has increased significantly by 0.38°C per decade, precipitation has decreased
104 slightly, and droughts and floods have become more frequent ([Liu et al., 2009](#); [Yin et al., 2016](#)).
105 Climate change will directly affect maize production. Existing studies have also shown that
106 climate change has an indirect impact on land use ([Pan et al., 2020](#); [Yang et al., 2020](#)). Therefore,
107 it is necessary to assess the future impact of climate change and land use change on maize yields
108 to support the decision-making of agricultural production.

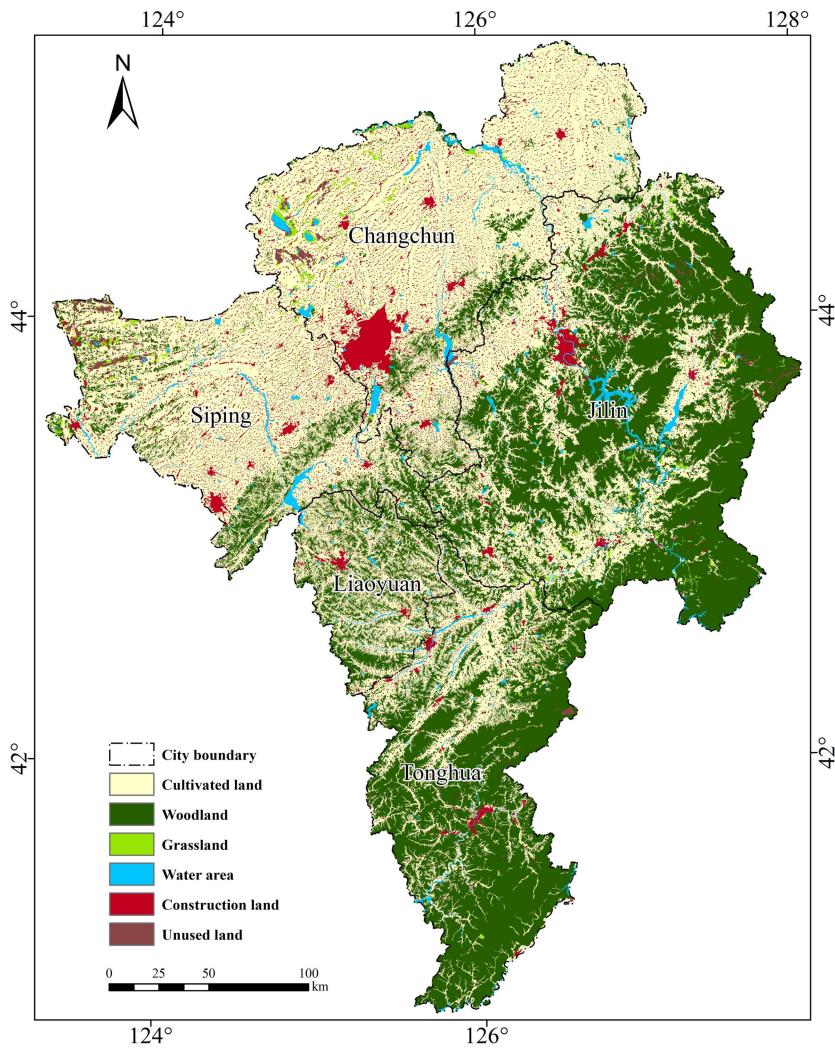


Figure 1. Location of the Study Area (background: empirical land use map in 2015).

2.2 Data source

The data collected in this study includes climate data, land use maps, socio-economic data and geographical information. Future climate data, i.e., precipitation and surface temperatures, were collected from WDCC (<https://doi.org/10.1594/WDCC/ETHr2>), which were generated using general circulation modelthe Beijing Climate Center Climate System Model version 1.1 m (BCC_CSM1.1 m)([Knutti, 2014](#)). The data are at a T106 horizontal resolution ($1.125^{\circ} \times 1.125^{\circ}$) ([Liu et al., 2021](#); [Wu et al., 2010](#)), and have been widely used to explore maize, wheat and other grain planting systems in northeast China ([Gao et al., 2020](#); [He et al., 2018](#); [Jiang et al., 2021](#)). Meanwhile, a time series of historical climate data was downloaded from the China Meteorological Data Network (<http://data.cma.cn>).

121 Empirical land use maps in 2000, 2005, 2010 and 2015 were derived from the Chinese
122 Academy of Sciences (CAS; <http://www.resdc.cn>), categorized into six land use/cover types:
123 cultivated land, woodland, grassland, construction land, unused land and water area([Ning et al.,](#)
124 [2018](#)). Socio-economic data, including urban/rural population, agriculture production, forestry,
125 animal husbandry and fishery, were obtained from the Statistical Yearbook of Jilin Province
126 (2000-2015). The raster datasets of population density and GDP([Xinliang, 2017a, b](#)), and other
127 geographic maps, including administrative boundaries, roads and railways, were derived from
128 the Chinese Academy of Sciences database (<http://www.resdc.cn/DOI>). On the ArcGIS 10.5
129 platform, all spatial data were converted into raster maps at a spatial resolution of 30m. See
130 **Table 1** for detailed data information.

131 **Table 1**

132 *Research data and sources*

Data	Data type	Temporal coverage	Source
Expenditure and production value of agriculture, forestry, animal husbandry and fishery	Excel	2000-2015	Jilin Province Statistical Yearbook
Total mechanical power, total grain production			
The proportion of urban population, total urban and rural population			
Science and technology expenditure			
County-level maize yield data			
Historical climate data	Excel	2000-2015	http://data.cma.cn/
Annual average precipitation and annual average temperature	NetCDF	2006-2100	https://doi.org/10.1594/WDCC/ETHr2
Land use map	TIFF	2000-2015	http://data.casearth.cn/ n/
GDP spatial distribution		2000, 201	http://www.resdc.cn
Spatial distribution of population density			

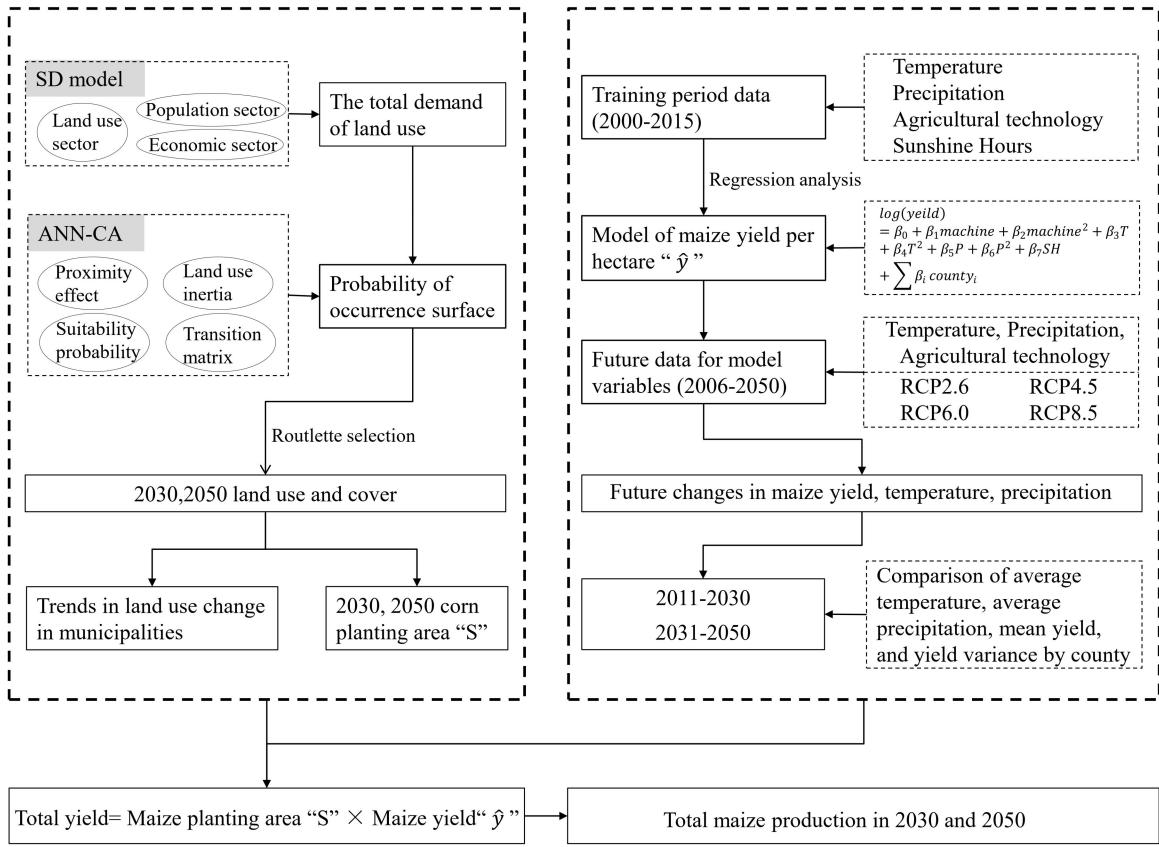
Digital Elevation Model (DEM)		5	/
Road network	shapefile		https://www.openstreetmap.org/
Administrative boundary	shapefile	2015	http://www.resdc.cn/

133

134 **3 Methods**

135 ***3.1 Integrated assessment framework***

136 To examine the effect of climate change and land use changes on regional maize yield, we
 137 proposed an analytical framework based on the integration of system dynamics (SD), cellular
 138 automata (CA) and a statistical maize yield model (**Figure 2**). The SD projects land use demands
 139 from a top-down perspective based on socio-economic development and policy planning. The
 140 CA simulates spatial land use patterns from a bottom-up perspective. The integration of SD and
 141 CA enable us to predict land use changes in the study area from 2015 to 2050. Next, the
 142 statistical maize yield model was incorporated to predict maize yield per hectare under the
 143 impact of temperature, precipitation, agricultural technology and sunshine hours in four
 144 Representative Concentration Pathways (RCPs). Then, total maize yields under different
 145 scenarios were assessed based on the product of the simulated maize planting area and the
 146 predicted maize yield per hectare, and compared at two time periods of 2011-2030 and 2031-
 147 2050.



148

149 **Figure 2.** The analytical framework of future maize yield.150 **3.2 Future climate scenario design**

151 Future scenarios are designed based on four RCP descriptions in CMIP5, a standard
 152 experiment protocol to define a series of coupled atmosphere-ocean general circulation models
 153 developed by Climate Modeling Groups, World Climate Research Project (WCRP), and
 154 International Geosphere-Biosphere Project (IGBP) ([Kriegler et al., 2014](#); [O'Neill et al., 2014](#);
 155 [Pan et al., 2020](#); [van Vuuren and Carter, 2014](#)). The four RCPs reflect the radiative forcing levels
 156 of 2.6, 4.5, 6.0 and 8.5 W/m² by 2100. Each RCP pathway describes a range of climatic and
 157 socio-economic characteristics related to different levels of carbon emissions ([van Vuuren and](#)
 158 [Carter, 2014](#)), i.e., average temperature and precipitation in the growing season (**Figure A1**), and
 159 agricultural mechanization promotion ([Rotz et al., 2019](#)). Average temperature and precipitation
 160 under four RCPs were set according to historical and projected climate datasets. The growth
 161 rates of agricultural technology under four RCPs were determined to simulate the future maize
 162 yield based on the actual development of Jilin Province and previous research experience (**Table**
 163 **2**).

164 **Table 2**

165 *The growth rate of agriculture technology*

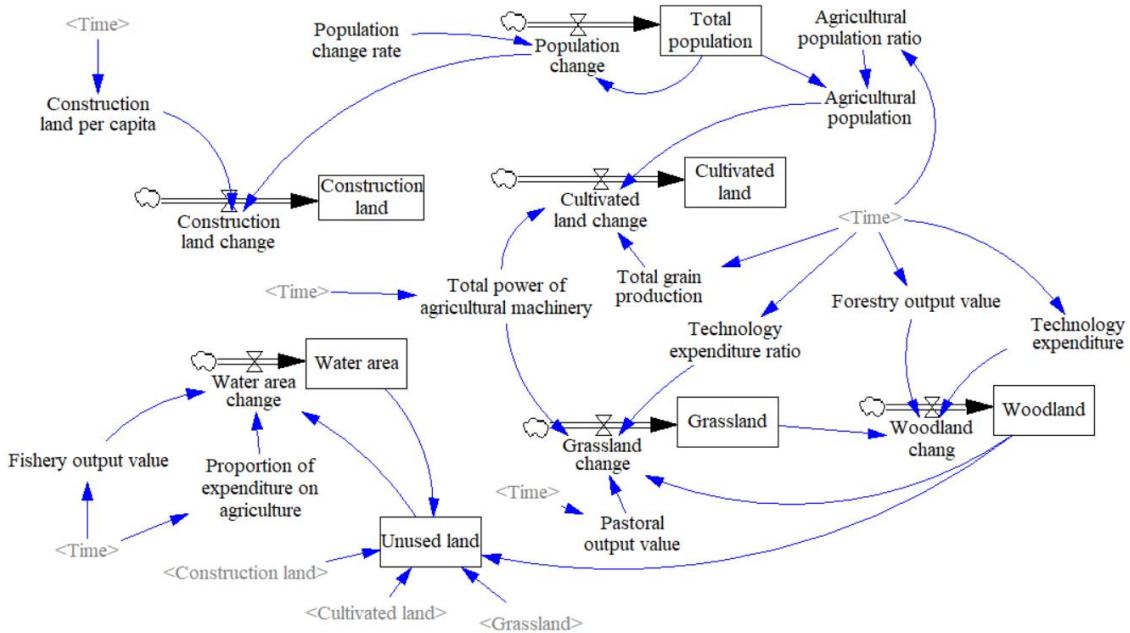
Scenarios		Growth rate
RCP 2.6	Level	High
	Growth rate	+7%
RCP 4.5	Level	Relatively high
	Growth rate	+5%
RCP 6.0	Level	Moderate
	Growth rate	+3%
RCP 8.5	Level	Low
	Growth rate	0

166

167 ***3.3 Projection of future land use demand***

168 The prediction of the planting area of maize consists of two steps: land use demand
169 projection and spatial pattern allocation. In the first step, future land use demands were projected
170 using the system dynamic (SD) model. The SD model enables us to simulate the complex
171 evolution process of the land system through the feedback and interaction between different
172 elements ([Akhtar et al., 2013](#)).

173 The SD model in this study comprises three sections: population, social economy, and land
174 use (**Figure 3**). The population section represents urban and rural changes related to socio-
175 economic development and land use demands for urban and rural settlements and agricultural
176 production. The socio-economic section considers the effect of agricultural technology
177 development and fixed asset investment change on agriculture, forestry, and fishing production.
178 Further, the land use section illustrates land use conversions and their driving forces in terms of
179 population, socio-economic development and interaction among various land use types ([Liu et al.,](#)
180 [2017](#)). For example, cultivated land may expand due to a series of farmland supplementation
181 measures, e.g., the consolidation of rural settlements and the reclamation of wild grassland, and
182 will decline because of farmland reforestation and urban encroachment. The interaction and
183 feedback among the three sections are defined through regression methods. The time range of the
184 SD model in this study is from 2011 to 2050, and the time step is one year. Outputs of the SD
185 were used to limit land use quantities in the spatial land use pattern allocation.



186

187 **Figure 3.** Interaction and feedback relationships in the system dynamic model.

188 **3.4 Allocation of spatial land use pattern**

189 The spatial pattern of land use was allocated using the FLUS model based on the land use
 190 demand from the SD. The FLUS consists of two modules ([Liu et al., 2017](#)): (1) estimating the
 191 occurrence probability of each land use type on a specific grid unit based on a three-layer
 192 artificial neural network (ANN); (2) determining the land use type of each grid cell based on the
 193 cellular automata (CA) approach. Specifically, the three-layer ANN was trained using the
 194 empirical land use data and various driving factors that combine socio-economic and natural
 195 effects, including population density, GDP, elevation, slope, aspect, distance to main highways,
 196 distance to primary railways, distance to rivers, and distance to cities ([Yang et al., 2020](#)). The
 197 CA calculates the combined probability of a specific land use type on each grid cell based on the
 198 product of the occurrence probability, land use conversion cost, spatial neighborhood effect and
 199 land use inertia coefficient ([Li et al., 2017](#)), and then allocates the suitable land use type to each
 200 grid cell using the roulette selection method ([Pan et al., 2020](#)). See [Yang et al. \(2020\)](#) for detailed
 201 model descriptions and parameterizations.

202 **3.5 Estimation of maize yield per hector**

203 Maize yield per hector was estimated using a regression analysis based on the historical data
204 of maize production from 2000 to 2015. A series of essential factors for photosynthesis and plant
205 growth in terms of county-level differences, socio-economic development and physical
206 conditions were selected as independent variables, including the mean and variance of
207 temperature and precipitation in the growing season ([Lobell et al., 2011](#); [Urban et al., 2012](#)), the
208 total power of agricultural machinery, and sunshine hours ([Murchie and Niyogi, 2011](#)).
209 Considering the non-linear relationship between climate variables and maize yields and
210 moderately/strongly skewed distribution of maize yields ([Huang et al., 2021](#)), the logarithm of
211 the maize yield rather than the yield *per se* was used as the dependent variable. Moreover, the
212 quadratic function has been proved promising in simulating the dynamic relationship between
213 climate conditions and maize yield ([Grassini et al., 2009](#); [Lobell and Burke, 2010](#)).

214 The regression model for the estimation of per unit maize yield can be expressed as follows:

$$215 \quad \log(\hat{y}) = \beta_0 + \beta_1 machine + \beta_2 machine^2 + \beta_3 T + \beta_4 T^2 + \beta_5 P + \beta_6 P^2 + \beta_7 SH + \\ 216 \quad \sum \beta_i county_i \quad (1)$$

217 where T , P , and SH represent the temperature, precipitation, and sunshine hours during the
218 growing season from May to September. $county$ is a dummy variable to capture the spatially
219 heterogeneous influence of physical and socio-economic factors at the county level, such as soil
220 quality and agronomic. $machine$ accounts for an improvement in agricultural mechanization.
221 Square terms of independent variables denote a certain degree of nonlinearity (see **Text A1** and
222 **Table A1** for detailed parameters).

223 Moreover, the average change of maize yield often accompanies its variance change. The
224 variance of per hectare yield can measure the stability of the inter-annual production of maize,
225 which is significant in maintaining the steady income of farmers and ensuring regional food
226 security. The yield variance can be calculated in the following:

$$227 \quad Var(y) = (E[\log(\hat{y})])^2 \times Var(\log(\epsilon)) + (E[\log(\epsilon)])^2 \times Var(\log(\hat{y})) + Var(\log(\hat{y})) \times \\ 228 \quad Var(\log(\epsilon)) \quad (2)$$

229 where $Var(y)$ refers to the variance of yield per hectare in each county, and ϵ refers to the
230 residual yield per hectare.

231 We used the residuals of training data (**Table A2**) to calculate the expected $Var(y)$.
232 Therefore, we assumed that the yield residual would not change with the change of predicted
233 climate. To verify this hypothesis, we conducted least square regression between the yield
234 residuals' square $[\log(\epsilon)]^2$ and the average T and P in the training period. The results showed
235 that climate change causes a slight change in $[\log(\epsilon)]^2$ (**Figure A2**). Therefore, in this study, the
236 assessment results of yield variation under future climate will be relatively conservative.

237 **3.6 Model implementation and evaluation**

238 The SD model was built with Vensim (<https://vensim>), and the FLUS was performed in the
239 GEOSOS platform. The empirical land use data in 2000 and 2015 were used to train and validate
240 the simulation model. Kappa coefficient was used to evaluate the accuracy of land use simulation.
241 Overall, the average accuracy rate exceeds 80%, and the Kappa coefficient reaches 0.65,
242 indicating the positive performance of the FLUS. Further, regression analysis was conducted in
243 SPSS. The standardized residuals of the regression model obey the normal distribution, and R^2
244 equals 0.436. These experimental results indicate the good performance of the proposed
245 framework for maize yield projections.

246 **4 Results & discussion**

247 **4.1 Dynamic land use changes**

248 The study area will experience slight changes in cultivated land and woodland, and
249 remarkable changes in construction land, grassland, water areas and unused land by 2050. Land
250 use changes will exhibit evident spatial differences across the study area (**Figure 4** and **Figure**
251 **A3**). As for cultivated land, the total area will slightly increase from $43,321.70 \text{ km}^2$ in 2010 to
252 $43,556.00 \text{ km}^2$ in 2050, with an inverted U-shaped trend. Specifically, the cultivated land will
253 increase to $44,424.08 \text{ km}^2$ in 2030 and then drop by 867.61 km^2 from 2030 to 2050. However,
254 the trend will differ from at the city level. The cultivated land in Changchun and Liaoyuan will
255 increase by 485.68 km^2 and 19.62 km^2 in 2010-2050, while those in Tonghua, Jilin and Siping
256 will decrease by 252.12 km^2 , 11.33 km^2 , and 3.88 km^2 .

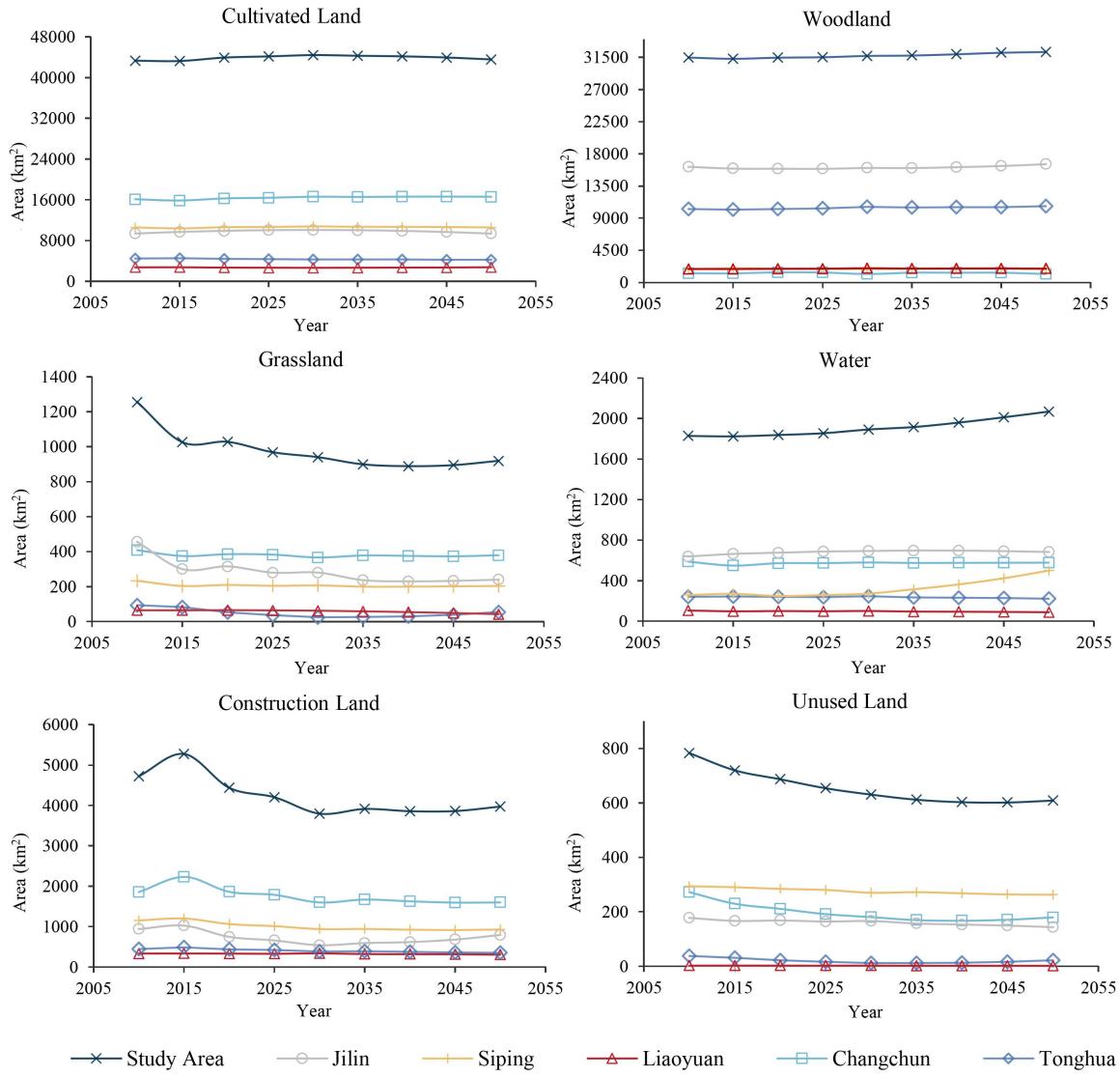
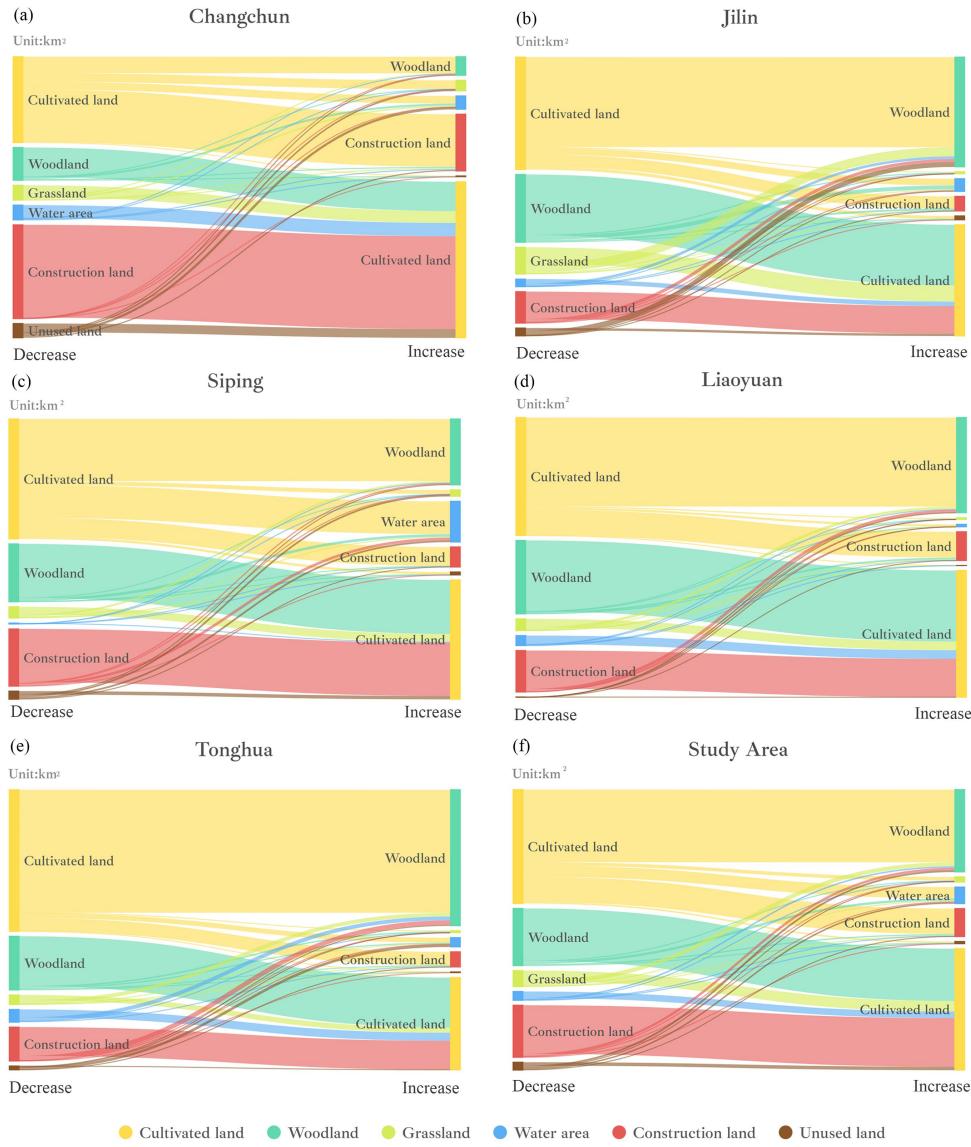


Figure 4. The changes in land use quantities from 2010 to 2050.

The gain and loss of cultivated land will be 3,796.69 km² and 3,561.53 km², respectively (**Figure 5f**). Specifically, 43.09% and 40.03% of farmland gain will be attributed to the reduction of woodland and construction land, for example, the consolidation of scattered rural settlements originating from rural population shrinkage ([Liu et al., 2013b](#)). In turn, 63.71% of farmland loss will be attributed to farmland reforestation, which indicates the Chinese government's emphasis on ecological protection ([Shan et al., 2020](#)). At the city level, Changchun has the highest farmland gain (**Figure 5a**). The gain of cultivated land will be 1,080.04 km², and 59.45% comes from the consolidation of construction land. As a central city in Northeast China, increasing cultivated land will alleviate the pressure of increasing population on food production ([Zhang et](#)

268 al., 2012). Conversely, Tonghua has the largest reduction of arable land (**Figure 5e**). The gain
 269 and loss of cultivated land will be 471.00 km² and 722.52 km², respectively. It can be observed
 270 that 627.28 km² of cultivated land in this city will be converted into forest land. Liaoyuan, Siping
 271 and Jilin are likely to experience slight farmland gain or loss; these changes are less than 20 km²
 272 (**Figure 5b, c, and d**).



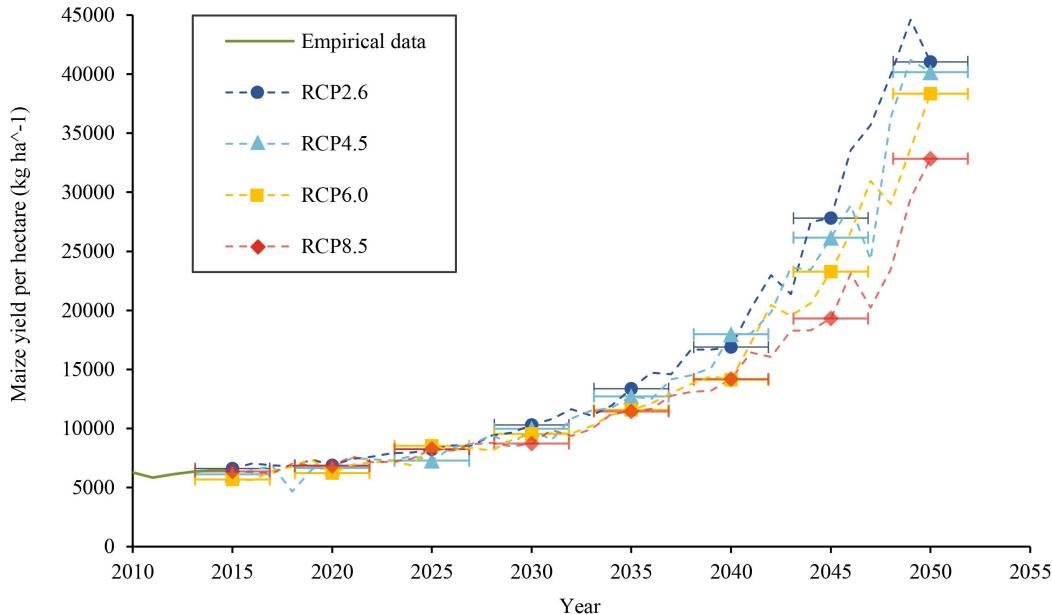
273
 274 **Figure 5.** Land use conversions from 2010 to 2050.

275 **4.2 Changes in maize yield per hectare in different scenarios**

276 The maize yield per hectare is likely to exhibit a two-stage upward trend from 2011 to 2050
 277 (**Figure 6**). From 2011 to 2030, it will moderately increase by 76.32%, 70.63%, 63.278%,

278 49.66% under RCP2.6, 4.5, 6.0, 8.5, respectively. From 2031 to 2050, however, it will
279 experience a corresponding sharp promotion of 280.74%, 344.91%, 299.64%, and 233.352%.

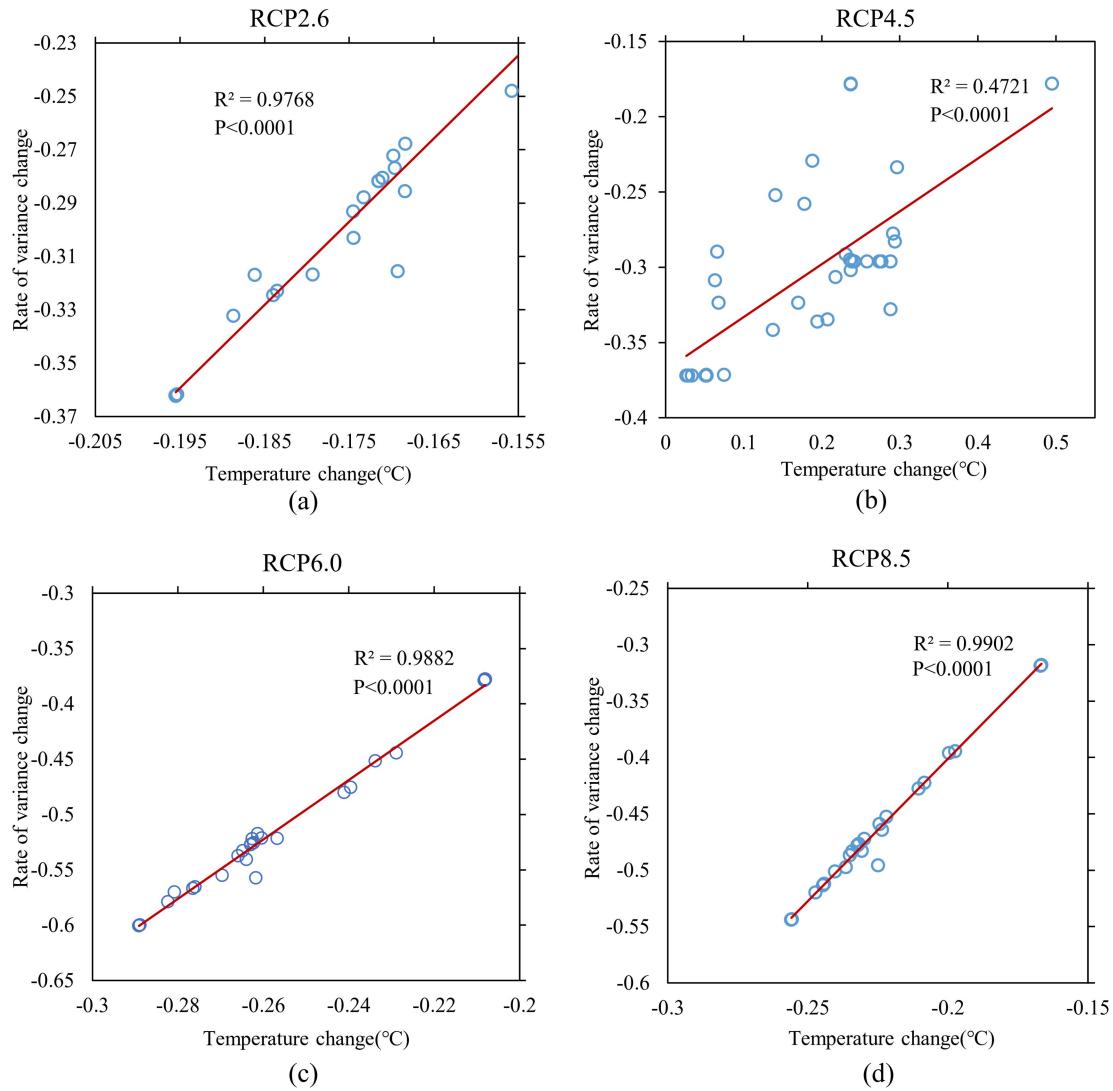
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281

282 **Figure 6.** Changes in average maize yield per hectare under four RCP scenarios from 2011 to
283 2050. Standard Errors of Mean (SEM) of RCP 2.6, 4.5, 6.0, and 8.5 are 1575.51, 1401.41,
284 1252.26, and 975.38 kg ha⁻¹, respectively.

285 Climate change (**Figure A4**) may exert different effects on per unit maize yield over time.
286 RCP 2.6 will have the maximum annual growth rate of the per-unit yield up to 34.73%, with a
287 mean value of 14175.00 kg ha⁻¹. Conversely, RCP 8.5 is likely to exhibit the minimum increase
288 of the per-unit yield by 11324.47 kg ha⁻¹ with an annual growth rate of 33.78%. A positive
289 correlation between the per-unit yield promotion and the radiative forcing levels caused by
290 greenhouse gas emissions can be observed, and a growing gap in the per unit yields under four
291 RCP scenarios will also arise over time. We further found that temperature strongly correlates
292 with the changing rate of the maize yield variance (**Figure 7**). In RCP2.6, RCP6.0, RCP8.5, R²
293 can reach up to 0.99 (p<0.0001), while that in RCP4.5 is only 47.21%. The temperature changes
294 primarily lead to yield variance.

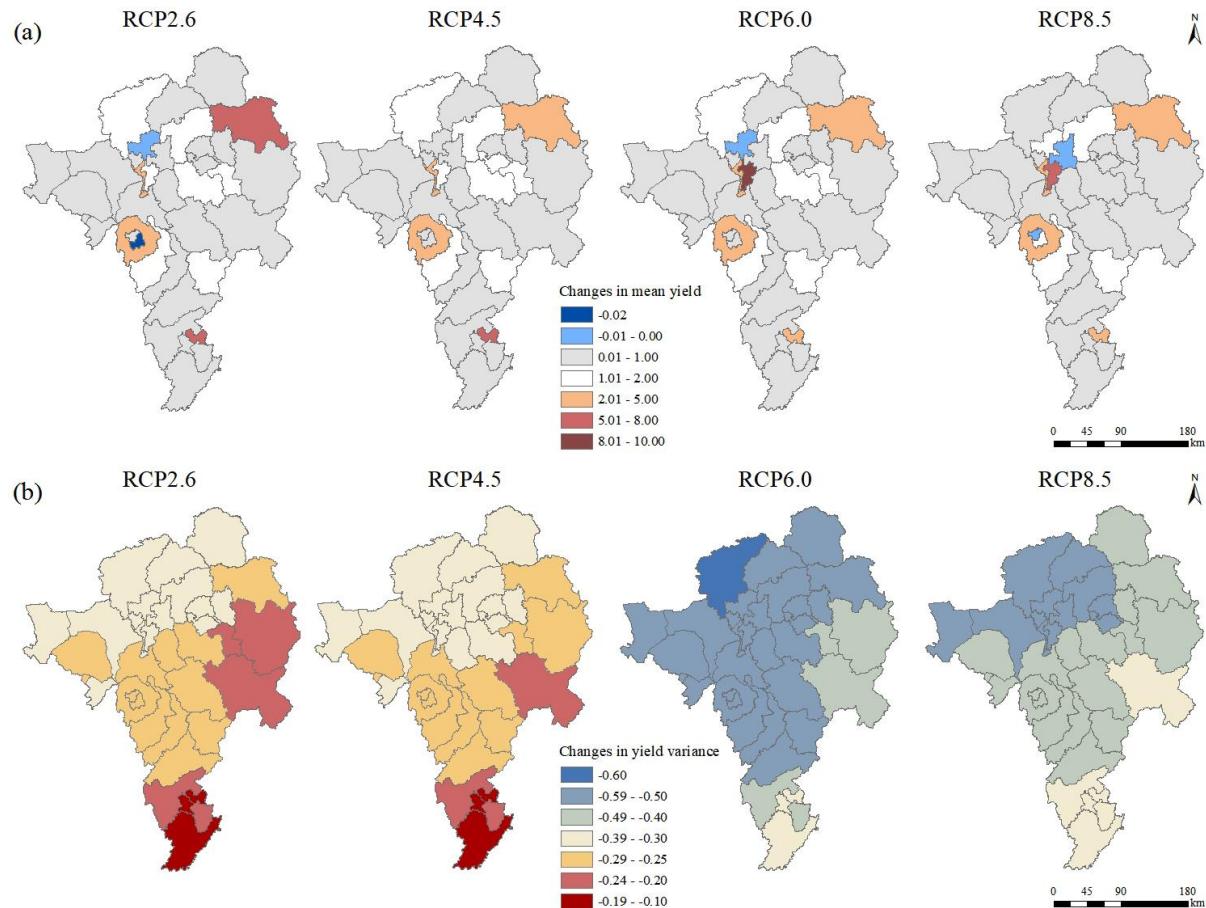


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296 **Figure7.** Correlation analysis between temperature and variance transformation rate under four
297 RCP scenarios.

298 At the county level, the yield variations under the four RCPs range from 0.72 to 32.82 from
299 2011 to 2030, varying from 0.82 to 32.87 in 2031-2050. In contrast, the mean per unit yield gap
300 in the four RCPs will be much greater from 2031 to 2050. For example, the range of RCP2.6 in
301 2031-2050 can expand to 10 times that in 2011-2030. Despite the different distribution of values,
302 the mean yields still exhibit a positive correlation with the variances. The spatial distribution of
303 relative change in the mean yield per hectare and its variance in these two periods are similar,
304 with a significant increase in the northern and central regions and a slight increase or decrease in
305 the western region. Most counties had a similar change rate of average yield under the four RCPs,

306 but the gaps under RCP2.6 and RCP6.5 are much larger (**Figure 8a**). From the perspective of the
 307 distribution area, RCP6.5 and RCP8.5 have a greater relative reduction of variance from 2011-
 308 2030 to 2031-2050 (**Figure 8b**).



309

310 **Figure 8.** Rate of changes in means (a) and variances (b) of the per unit maize yield during the
 311 periods of 2011-2030 and 2031-2050.

312 *4.3 Changes in total maize yield*

313 The total maize yield will significantly increase from 2011 to 2050, with a growth rate of
 314 78.71% (RCP2.6), 79.40% (RCP4.5), 79.01% (RCP6.0) and 78.63% (RCP8.5). In the first two
 315 decades, the total yield under RCP2.6, RCP4.5, RCP6.0, and RCP8.5 moderately increase by
 316 38.61%, 35.61%, 30.03% and 18.28%, then exhibit sharp promotion to 124.92%, 149.01%,
 317 148.19% and 161.00% in the latter twenty years. The total maize yields under four RCP
 318 scenarios will remarkably differ. Specifically, RCP 2.6 has the maximum total yield of 24.02
 319 megatons in 2030, but it will rank third in 2050. RCP4.5 ranks second in 2030 with 23.50

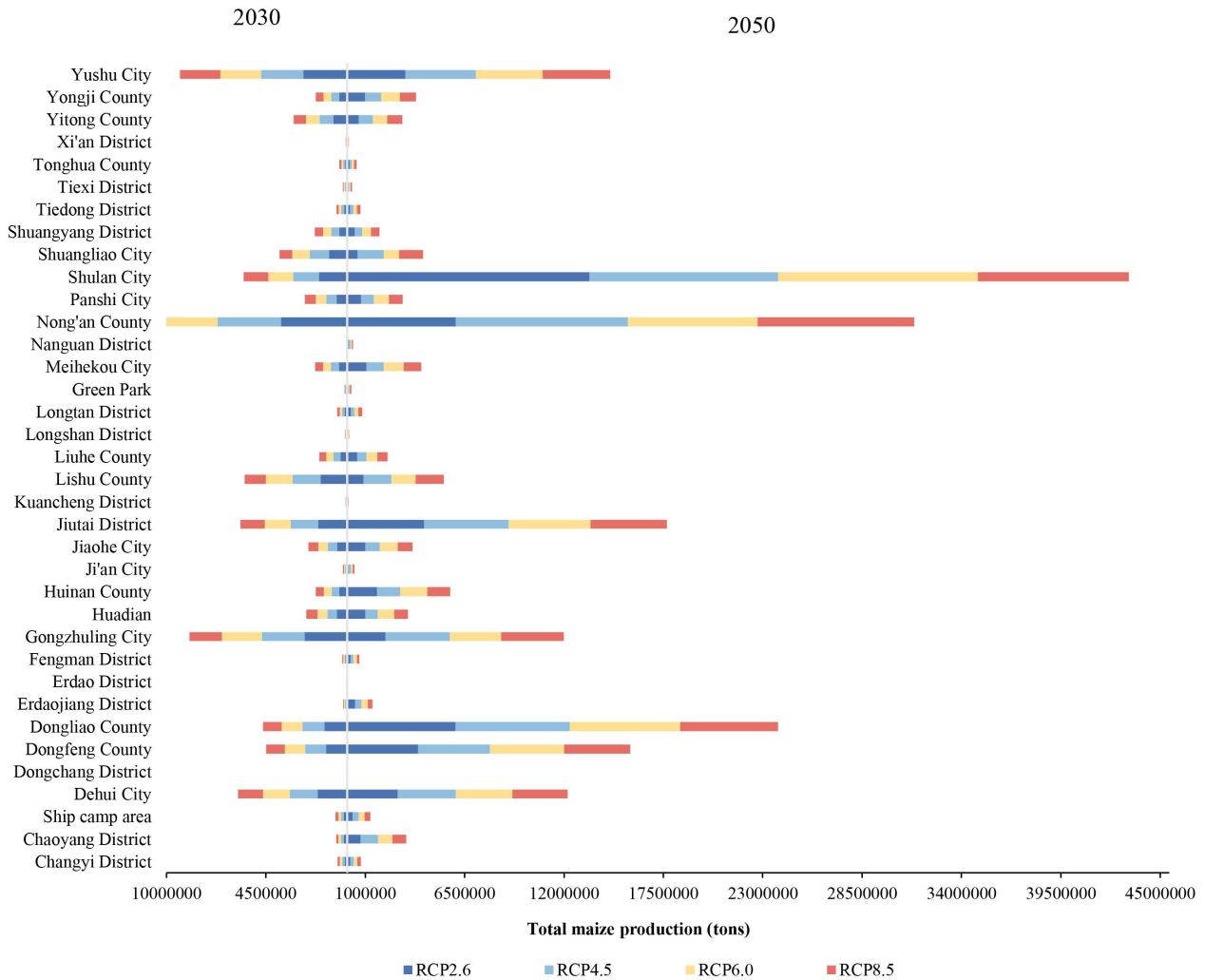
320 megatons of maize yield, while it will reach the highest value of 58.52 megatons in 2050.
321 Notably, the total maize yield under RCP 8.5 will remain the minimum in 2030 and 2050 (**Table**
322 **3**).

323 **Table 3**

324 *Total maize yields in 2030 and 2050 under four RCP scenarios*

Scenarios	2030(megatons)	change rate 2011-2030	2050(megatons)	change rate 2030-2050
RCP2.6	24.02	38.61%	54.03	124.92%
RCP4.5	23.50	35.61%	58.52	149.01%
RCP6.0	22.54	30.03%	55.93	148.19%
RCP8.5	20.50	18.28%	53.50	161.00%

325
326 Changes in total maize yields will be simultaneously influenced by the per-unit yield and
327 the planting area. In urban areas, e.g., Changchun, Jilin, and Chaoyang, Nanguan and Erdao
328 District of Liaoyuan only have low total yields of maize even if the per-unit yield is at the middle
329 or upper level. In contrast, some counties, such as Nong'an and Gongzhuling, with low per-unit
330 yields will feature higher maize production due to their larger maize planting areas (**Figure 9**).
331 From 2030 to 2050, 67% of counties will experience a decline in cultivated land (**Figure A5**),
332 but the total maize yields of these counties will increase due to the promotion of per hectare
333 maize yield. Furthermore, climate change will alter the orders of some counties with large
334 planting areas of maize in terms of total yields, e.g., Liuhe, Lishu, Fengman, Dongliao, and
335 Dongfeng County. Under PCR2.6, a slowdown of growth rate in maize yield per hectare in these
336 counties leads to the decline of the total yield ranking. Conversely, RCP8.5 will ensure that most
337 counties have a high total production ranking due to its relatively high growth rate of per-unit
338 yield.



339

340

Figure 9. Total maize production at the county level under four scenarios.

341

342 **5 Discussion**343 **5.1 Comprehensive impact on maize yield**

344 Unlike the previous study, our framework examines the synergistic effects of climate
 345 change and land use change on the yield of rain-fed maize in a phaeozem region of Jilin Province.
 346 The results show that there appears to be a clear contrast in total yield, potential increment, and
 347 spatial pattern between different scenarios, and balanced development is more conducive to
 348 maintaining a steady increase in total maize production. For example, Potential maize yield per
 349 hectare will significantly increase under the four climate change scenarios from 2011 to 2050,

ranked as: RCP2.6>RCP4.5>RCP6.0>RCP8.5. However, RCP2.6 and RCP6.0 will have differences in the maize yield among counties, while RCP4.5 will exhibit a balanced regional pattern of maize production (**Figure 8a**). The total maize yield in 2050 will peak under the RCP4.5 scenario, suggesting the combined effect of temperature, precipitation, and technological progress on maize growth is the best. This scenario's moderate carbon emissions and population and economic growth will help coordinate the conflicts between farmland protection and vegetation conservation and increase overall maize production simultaneously ([Hou and Li, 2021](#); [Zhang and Qi, 2010](#)). Notably, an increase in per hectare yield could mitigate the impact of farmland loss on maize yields. The total yield of RCP2.6, RCP4.5, RCP6.0, and RCP8.5 will reach 54.03, 58.52, 55.93, and 53.50 megatons by 124.92%, 149.01%, 148.19% and 161.00% from 2030 to 2050. Although a large amount of cultivated land will be occupied by forest and grassland, the total maize yield under all scenarios still increased exponentially.

The variance of temperature and precipitation during the growing season will affect yield variance ([Urban et al., 2012](#)). With the increase in precipitation variance, the variance of maize yields during the period of 2031-3050 will get higher than that in 2011-2030. Under the threat of maize yield reduction caused by variable or extreme climates ([Feng et al., 2021](#); [Malik et al., 2021](#)), how to formulate adaptation and mitigation strategies will be a challenging long-term issue for land managers ([Iglesias and Garrote, 2015](#); [Zobeidi et al., 2021](#)).

5.2 Policy implications

Our study suggested several implications for agricultural land use and maize production. We can solve many uncertain problems in agricultural production by considering the present and predicted near future land-use, economic and climate scenarios. For example, agricultural technology development can balance land use change, climate change and maize production due to its positive impact on per unit yield ([Rojas-Downing et al., 2017](#)). Previous studies suggested that diversification of maize varieties can improve maize resistance to external disturbances caused by extreme weather events and human activities ([Altieri and Nicholls, 2017](#)). Maize breeding and biotechnology also have the enormous biological potential to increase grain yield ([Foulkes et al., 2011](#)). Researchers have proven that organic matter enhances underground biodiversity, thereby creating suitable conditions for plant roots ([Diaz-Zorita et al., 1999](#); [Morungan-Coronado et al., 2022](#)). And proper agricultural management, such as organic

380 agriculture, residue management and crop rotation, can also improve soil quality([Morugan-](#)
381 [Coronado et al., 2022](#)). Moreover, regular training and technical guidance for farmers can
382 improve their risk awareness and ability to deal with the risk ([Olesen et al., 2011](#)). We suggest
383 that the investment in maize variety and planting technology development should be encouraged
384 to promote the per unit yield of maize. Indeed, accurate prediction of climate change and rational
385 planning of planting scale and planting pattern can advance the reasonability of agricultural
386 management strategies.

387 *5.3 Advantages and limitations*

388 By combining the FLUS and the statistical yield model, this research framework can better
389 describe the joint impact of climate change and land use change on maize yield. Meanwhile, the
390 framework is flexible and can be used as a general decision-making tool for land planning and
391 maize management in different situations. This study documented that climate change will
392 positively impact maize yields in the study area, which is consistent with other simulation studies
393 ([Liang et al., 2019](#); [Pu et al., 2020](#); [Zhang et al., 2017a](#)). Since the study area locates in the cold
394 temperate zone, global warming could reduce cold damage and extend the growing season,
395 which will benefit maize yields ([Zongruing et al., 2007](#)). From an optimistic point of view, we
396 expect further improvement in planting efficiency (maize yield) as agricultural technology
397 advances and planting management improves in the future. Moreover, the effect of human
398 irrigation on maize growth has been excluded by selecting the study area in a rain-fed region.

399 This work still has several limitations. First, uncertainty in future climate change will
400 impact the simulation accuracy. The climate conditions shown by different general circulation
401 models (GCMs) in the same region may be quite different ([Liu et al., 2013a](#); [Tatsumi et al.,
402 2011](#)). The BCC_CSM1.1 m model was selected for this study to better eliminate the possible
403 errors in the prediction results. Although the BCC has been applied to a number of studies on
404 grain production in northeast China ([Pu et al., 2020](#); [Xie et al., 2020](#)), there is still room for
405 improvement. Second, existing studies have shown that incorporating remote sensing into
406 statistical models can improve forecasting accuracy, especially for large-scale regions ([Laudien
407 et al., 2020](#)).

408

409

410 **6 Conclusion**

411 This study proposes an integrated framework for maize yield prediction by combining the
412 SD and the FLUS model with the statistical model. Future maize yield change can be simulated
413 under the four RCP scenarios. The proposed framework is flexible and suitable for applications
414 in any other regional studies. The simulations help provide scientific guidance for the decision-
415 making of agricultural management.

416 We conclude that an increase in per-unit yield in the study area will mitigate the negative
417 impact of farmland loss on the total maize yield. Although cultivated land is likely to decrease
418 from 2030 to 2050, the total maize yields under RCP2.6, 4.5, 6.0, and 8.5 will still increase by
419 124.92%, 149.01%, 148.19% and 161.00%. Under the four RCPs, disparities in total maize
420 yields will differ across the region, especially under RCP2.6. In comparison, RCP 4.5 features
421 more balanced and stable, which will be conducive to ensuring maize yields and benefitting
422 regional sustainable development in the future.

423 Facing the threat of variable or extreme climates and the further widened yield gap between
424 different counties, we need to implement the differentiated policies of agricultural production
425 and farmland protection, including strengthening cultivated land protection and crop
426 management in low-yield areas, as well as adoption of adaptation and mitigation measures.

427 **Declaration of Competing Interest**

428 The authors declare that they have no known competing financial interests or personal
429 relationships that could have appeared to influence the work reported in this paper.

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