

1       **Impact of sea-ice dynamics on the spatial distribution of diatom resting stages in**  
2       **sediments of the Pacific-Arctic Ocean**

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22       **Key Points:**

- 23       • Diatom resting stages in sediments were quantified and explored their relationship to the  
24       sea-ice dynamics in the Pacific-Arctic Ocean.
- 25       • Diatom assemblage are spatially different depending on the variable timing of the sea-ice  
26       retreat and accompanying light conditions.
- 27       • Distribution of diatom resting stages had similar geographic features with primary  
28       productivity over the Pacific-Arctic Ocean.  
29

**30 Abstract (229 words / up to 250 words)**

31 The Pacific-Arctic Ocean is characterized by seasonal sea-ice, the spatial extent and  
32 duration of which varies considerably. In this region, diatoms are the dominant phytoplankton  
33 group during spring and summer. To facilitate survival during periods that are less favorable for  
34 growth, many diatom species produce resting stages that settle to the seafloor and can serve as a  
35 potential inoculum for subsequent blooms. Since diatom assemblage composition is closely  
36 related to sea-ice dynamics, detailed studies of biophysical interactions are fundamental to  
37 understanding the lower trophic levels of ecosystems in the Pacific-Arctic Ocean. One way to  
38 explore this relationship is by comparing the distribution and abundance of diatom resting stages  
39 with patterns of sea-ice coverage. In this study, we quantified viable diatom resting stages in  
40 sediments in 2018 and explored their relationship to sea-ice extent during the previous winter.  
41 Diatom assemblages were clearly dependent on the variable timing of the sea-ice retreat and  
42 accompanying light conditions. In areas where sea-ice retreated earlier, open-water species such  
43 as *Chaetoceros* spp. and *Thalassiosira* spp. were abundant. In contrast, proportional abundances  
44 of *Attheya* spp. and pennate diatom species that are commonly observed in sea-ice were higher in  
45 areas where diatoms experienced higher light levels and longer day length in/under the sea-ice  
46 due to the late seasonal ice retreat. This study demonstrates that sea-ice dynamics are an  
47 important determinant of diatom species composition in the Pacific-Arctic.

48

**49 Plain Language Summary (197 words / up to 200 words)**

50 The Pacific-Arctic Ocean is characterized by seasonal sea-ice, and when and how long the sea-  
51 ice is present varies considerably. In this region, diatoms are the dominant phytoplankton group  
52 during spring and summer. Under conditions of unfavorable for growth, many diatom species  
53 produce resting stages that are similar to “seeds” of plants, which settle to the seafloor and can  
54 reflect the diatom assemblages in the water column. Since what diatom species distribute is  
55 closely related to sea-ice dynamics, detailed studies of this relationship are fundamental to  
56 understanding the basis of marine ecosystems in the Pacific-Arctic Ocean. In this study, we  
57 explored the relationship by comparing the distribution of diatom resting stage assemblages with  
58 patterns of sea-ice coverage. Diatom assemblages were dependent on the variable timing of the  
59 sea-ice retreat and accompanying light conditions. In areas where sea-ice retreated earlier, open-  
60 water species which mainly grow in the water column were abundant, while proportional  
61 abundances of ice-associated diatoms that are commonly observed in sea-ice were higher in  
62 areas where diatoms experienced favorable light conditions in/under the sea-ice due to the late  
63 seasonal ice retreat. This study demonstrates that sea-ice dynamics are an important determinant  
64 of diatom composition in the Pacific-Arctic.

65

**66 1 Introduction**

67 The southeastern Pacific-Arctic ocean extends from the northern Bering Sea to the  
68 Chukchi and Beaufort Seas. Within this region, the northern Bering and Chukchi Seas are among  
69 the most productive waters in the world (Springer et al., 1996). Phytoplankton are responsible for  
70 high primary productivity in the euphotic layer, and most of the cells settle to the seafloor due to

71 low zooplankton grazing pressure, supporting high benthic biomass with patchy distributions  
72 called “benthic hotspots” (Grebmeier et al., 1988, 2006). By contrast, the southwestern Beaufort  
73 Sea is low in annual primary productivity (Frost & Lowry, 1984). Here, ice algae production  
74 occurs in and under the sea ice, and is followed by phytoplankton blooms (primarily  
75 *Chaetoceros* spp.) during the summer retreat of sea-ice (Horner, 1984; Horner & Schrader,  
76 1982). Mean daily water column integrated primary productivity in the southwestern Beaufort  
77 Sea is about half of that of the Chukchi Sea, even during peak periods in June and July (Hill et  
78 al., 2018). Overall, annual primary production is much higher in the Chukchi shelf than on the  
79 Beaufort shelf (Grebmeier et al., 2006).

80 The Pacific-Arctic is characterized by the presence of seasonal sea-ice, which varies  
81 considerably in extent and duration from year to year. The extent of sea-ice has been shown to  
82 influence regional phytoplankton assemblages (Neeley et al., 2018), but this relationship is not  
83 fully understood. Sea-ice decline has been reported in the Pacific-Arctic ocean (Frey et al., 2018;  
84 Grebmeier et al., 2015; Markus et al., 2009), and Arrigo et al. (2008) used satellite observations  
85 to show that this decline was associated with significant changes in primary productivity.  
86 However, changes in phytoplankton assemblages and particularly in ice-associated assemblages,  
87 cannot be evaluated by satellite observations only, necessitating field-based studies to examine  
88 the structure of these communities in more detail.

89 Phytoplankton assemblages during spring and summer blooms in the Pacific-Arctic  
90 region are dominated by diatoms (von Quillfeldt, 2000; Sergeeva et al., 2010). In particular,  
91 *Chaetoceros socialis* s.l. and *Thalassiosira* spp. are known to form dense blooms in this region  
92 (von Quillfeldt, 2000; Sergeeva et al., 2010). The centric diatom *Attheya* spp. is reported to be  
93 present in the sea-ice of the Bering Sea and Chukchi Sea (Campbell et al., 2018; Melnikov et al.,  
94 2002; von Quillfeldt et al., 2003; Szymanski & Gradinger, 2016; Werner et al., 2007), and  
95 pennate diatoms are also known to make up a large proportion of the sea-ice algal community  
96 (von Quillfeldt et al., 2003; Szymanski & Gradinger, 2016).

97 Many diatom species form resting stages under unfavorable growth conditions such as  
98 nutrient limitation (Durbin, 1978; Garrison, 1984; McQuoid & Hobson, 1996; Smetacek, 1985),  
99 Fe limitation (Sugie & Kuma, 2008) and low light conditions (Hargraves & French, 1983).  
100 Resting stages that sink to and accumulate in bottom sediments can germinate and resume  
101 growth in response to favorable light levels (Hollibaugh et al., 1981). The ability to form resting

102 stages is thus an important life cycle strategy for survival under low temperature and light  
103 conditions during winter in seasonal sea-ice areas (Tsukazaki et al., 2013, 2018).

104 The distribution of diatom resting stage assemblages in sediments is thought to reflect  
105 the extent and magnitude of past blooms (Itakura et al., 1997; Pitcher, 1990) and can be used to  
106 investigate determinants of community structure and bloom dynamics. For example, in the  
107 northern Bering Sea, analysis of the diatom resting stage assemblages in sediments showed that  
108 diatom assemblages in early spring were dependent upon the timing of the sea-ice retreat: ice-  
109 associated diatoms were abundant in 2017 when the sea-ice remained until early April, but open-  
110 water diatoms dominated in 2018 when the TSR was approximately two weeks earlier than the  
111 previous year (Fukai et al., 2019).

112 In this study, we enumerated viable diatom resting stages in sediments collected in a  
113 broad area across the Pacific-Arctic ocean, from the northern Bering Sea to the Chukchi Sea and  
114 the southwestern Beaufort Sea. To this end, we describe the features of diatom resting stage  
115 assemblages over these regions, and discuss two hypotheses: 1) the magnitude of diatom resting  
116 stage assemblages is correlated with primary production in the water column, and 2) extent and  
117 duration of sea-ice during the previous winter determines community structure of diatom resting  
118 stage assemblages. In addition, we discuss how observed variations in diatom assemblages may  
119 impact organisms at higher trophic levels that rely on diatoms as an important food source.

120

## 121 **2 Materials and Methods**

### 122 2.1 Sea-ice, primary production and daylight hours

123 To evaluate the sea-ice extent in each region, the Advanced Microwave Scanning  
124 Radiometer 2 (AMSR2) standard sea-ice concentration (SIC) product was obtained from the  
125 Japan Aerospace Exploration Agency (JAXA) web portal (<https://gportal.jaxa.jp/gpr/>) at a 10-  
126 km resolution. The timing of the sea-ice retreat (TSR) was defined as the last date when the SIC  
127 fell below 20% of the observed annual sea-ice minimum across the study region during the  
128 previous summer.

129 To obtain a continuous primary production time-series, we used Level-3 standard mapped  
130 image (9-km resolution) of Aqua-MODIS data downloaded as spectral remote sensing  
131 reflectance ( $R_{rs}$ ) and daily photosynthetically available radiation (PAR) from the Goddard Space

132 Flight Centre/Distributed Active Archive Centre, NASA. The absorption coefficient for 443 nm  
133 ( $a_{\text{ph}}(443)$ ) and euphotic zone depth ( $Z_{\text{eu}}$ ) were computed from  $R_{\text{rs}}(\lambda)$  using Quasi-Analytical  
134 Algorithm (QAA) version 5 (Lee et al., 2007, 2009) and daylength (DL) for the study area  
135 calculated according to Brock (1981). We then computed the daily euphotic-depth-integrated  
136 primary production ( $\text{PP}_{\text{eu}}$ ) using  $a_{\text{ph}}(443)$ ,  $Z_{\text{eu}}$ , PAR, and DL as inputs to an absorption-based  
137 productivity model (ABPM, (Hirawake et al., 2012). Missing values in  $a_{\text{ph}}(443)$  and  $Z_{\text{eu}}$  due to  
138 cloud cover were interpolated using their annual medians and hence  $\text{PP}_{\text{eu}}$  was derived for the  
139 cloud-covered pixels. From these values we calculated cumulative  $\text{PP}_{\text{eu}}$  ( $\text{IP}_{\text{eu}}$ ) and median value  
140 of  $\text{PP}_{\text{eu}}$  ( $\text{MedPP}_{\text{eu}}$ ) from TSR to the date of the *in situ* sediment sampling was conducted for each  
141 shipboard observation site.

## 142 2.2 Sampling

143 Sediment sampling was conducted in the southeast Pacific-Arctic region (the northern  
144 Bering Sea, Chukchi Sea and the southwestern Beaufort Sea; Fig. 1) from 2–12 July 2018 aboard  
145 T/S *Oshoro-Maru* of Hokkaido University, and from 9–23 August 2018 and 30 October to 15  
146 November 2018 aboard the U.S. Coast Guard icebreaker *Healy* (*HLY 1801* and *HLY 1803*,  
147 respectively). Sediment samples were collected using a multiple corer (*Oshoro-Maru* cruise), a  
148 Van Veen Grab sampler, or a HAPS core sampler (*Healy* cruises) at each station. A portion of  
149 the 0–1 cm of each sediment core was extruded and stored in darkness at 5°C for *Oshoro-Maru*  
150 samples, and for *Healy* samples, a portion of the 0–3 cm layer was collected from the grab or the  
151 core and stored in air-tight amber jars at 1–4°C. The sediment samples were stored for more than  
152 one month in order to eliminate vegetative cells.

## 153 2.3 Quantification of diatom resting stages

154 The abundance of viable resting stages of diatoms in the sediment samples was analyzed  
155 using the most probable number (MPN) method (Imai et al., 1984, 1990). Homogenized wet  
156 sediment samples were suspended in Whatman GF/F filtered sterile seawater at a concentration  
157 of 0.1 g mL<sup>-1</sup> (=10<sup>0</sup> dilution), and the subsequent serial tenfold dilutions (10<sup>-1</sup> to 10<sup>-6</sup>) were made  
158 with modified SWM-3 medium (Chen et al., 1969; Imai et al., 1996). Then 1 mL aliquots of  
159 diluted suspensions were inoculated into five replicate wells of disposable tissue culture plates  
160 (48 wells). Incubation was carried out at a temperature of 5°C and under white fluorescent light  
161 of 50 or 116  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  with a 14 h light:10 h dark photcycle for 10 days. The

162 appearance of vegetative cells of planktonic diatoms in each well was examined using an  
163 inverted optical microscope. The most probable number (MPN for a series of 5 tenfold dilutions)  
164 of diatoms in the sediment sample (MPN cells g<sup>-1</sup> wet sediment) was then calculated according  
165 to the statistical table by Throndsen (1978). Note that we used the dataset of Fukai et al. (2019)  
166 for the *Oshoro-Mar* expedition.

## 167 2.4 Statistical analyses

168 The diatom resting stage communities were distinguished by cluster analysis. To  
169 reduce the bias for abundant species, the cell concentration data (X: MPN cells g<sup>-1</sup> wet sediment)  
170 for each species were transformed to  $\sqrt[4]{X}$  prior to cluster analysis (Quinn & Keough, 2002).  
171 Dissimilarities between samples were examined using the Bray-Curtis index based on the  
172 differences in the species composition. To group the samples, the dissimilarity indices were  
173 coupled using hierarchical agglomerative clustering with a complete linkage method (an  
174 unweighted pair group method using the arithmetic mean). A Mann-Whitney *U*-test was  
175 conducted to evaluate environmental factors (the TSR, IP<sub>eu</sub>, MedP<sub>eu</sub>, and the growth period of  
176 ice-associated assemblages (GP)) between the distinguished groups. The GP was defined as the  
177 integrated daylength during the periods with SIC >20% after the daylight exceeded 10 hours, as  
178 Gilstad and Sakshaug (1990) indicated that ice-associated assemblages could increase their  
179 growth rate when daylight hours exceeded 10 h.

180 We defined the open-water assemblages as the community with centric diatoms,  
181 excluding *Attheya* spp., and the ice-associated assemblages as the community with pennate  
182 diatoms and *Attheya* spp., as *Attheya* spp. and pennate diatoms are often reported to be present in  
183 the sea-ice (e.g. Campbell et al., 2018; Melnikov et al., 2002; von Quillfeldt et al., 2003;  
184 Szymanski & Gradinger, 2016; Werner et al., 2007). Based on this definition, we analyzed the  
185 relationships of ice-associated assemblages with the TSR and the GP using Spearman's rank  
186 correlation coefficient.

187 All statistical analyses were conducted using R software (version 3.6.1, R Development  
188 Core Team, 2019).

189

## 190 **3 Results**

### 191 3.1 Sea-ice and primary production

192 The TSR was different among regions (Table 1). The sea-ice retreated from south to  
193 north in the northern Bering and the Chukchi Seas, and from west to east in the southwestern  
194 Beaufort Sea (Fig.S1 (a)).

195 The  $IP_{eu}$  had a regional feature in which high values were observed in the  
196 southern Chukchi Sea and low values in the southwestern Beaufort Sea (Table 1, Fig.S1 (b)). By  
197 contrast,  $MedP_{eu}$  was locally high in value in some coastal stations in the southwestern Beaufort  
198 Sea (Table 1, Fig.S1 (c)).

### 199 3.2 Diatom concentrations and species composition

200 The viable diatom resting stages determined by the MPN method ranged over four orders  
201 of magnitude, from  $1.2 \times 10^3$  to  $6.1 \times 10^7$  MPN cells  $g^{-1}$  wet sediment (Fig. 2). Highest  
202 concentrations were found to the south of St. Lawrence Island ( $3.4 \times 10^6$ – $6.1 \times 10^7$  MPN cells  $g^{-1}$   
203 wet sediment). In the Chirikov Basin, which extends northwards from St. Lawrence Island to the  
204 Bering Strait (DBO2-1, DBO2-4, OS14, OS19, OS20, OS22), diatom concentrations were  
205 relatively high ( $2.8 \times 10^5$ – $3.0 \times 10^6$  MPN cells  $g^{-1}$  wet sediments). Diatom concentrations near  
206 Utqiagvik (DBO5-10) were also relatively high ( $1.2 \times 10^6$  MPN cells  $g^{-1}$  wet sediments). In  
207 contrast, cell concentrations were lower in samples from the coastal region of the southwestern  
208 Beaufort Sea (DBO6-5, PRW-7, PRB-4, PRB-7, KTO-5, MCK-1, MCK-2, MCK-3, MCK-4)  
209 ( $1.2 \times 10^3$ – $7.8 \times 10^3$  MPN cells  $g^{-1}$  wet sediments). Nineteen genera and twenty species were  
210 observed over the study region - 12 genera and 14 species of centric diatoms and 7 genera and 6  
211 species of pennates. Centric diatoms were dominant at almost all stations, although dominant  
212 species varied geographically; proportional abundance of *Chaetoceros* spp. and *Thalassiosira*  
213 spp. were found in samples collected from the northern Bering Sea and Chukchi Sea, whereas  
214 *Attheya* spp. were highest in the southwestern Beaufort Sea (Fig. 3). Pennate diatoms comprised  
215 over 50% of the diatom assemblages at some stations (DBO4-4, MCK-1, MCK-2, MCK-3),  
216 with highest proportional abundance found in samples from the southwestern Beaufort coastal  
217 region (Fig. 3). Total cell concentration in sediments were positively correlated with the cell  
218 concentrations of *Chaetoceros* spp. and *Thalassiosira* spp. (Spearman,  $\rho = 0.973$ ,  $p < 0.05$ ) (Fig.  
219 S2).

220 In order to test for seasonal effects, diatom assemblages were compared over time in  
221 stations in the northern Bering Sea and Southern Chukchi Sea, which included locations from  
222 each sampling period (OS14, 19, 20, 22, 30 by *Oshoro-Mar*, DBO2-1, 2-4, 3-6, 3-8 in *HLY*  
223 *1801*, and DBO 3-1, 3-5, 3-7 in *HLY 1803*). With the exception of *Attheya* spp. and *C. debilis*,  
224 there were no significant differences in species or genera among these samples (one-way  
225 ANOVA,  $p > 0.05$ ).

### 226 3.3 Diatom assemblages by cluster analysis

227 Cluster analysis based on concentrations of diatom resting stages classified the diatom  
228 assemblages into two groups (A, B) and four outgroups at 52% and 64% dissimilarity levels  
229 (Fig. 4 (a)). Group A was distributed from the northern Bering Sea to the Chukchi Sea near  
230 Utqiagvik (Fig. 4 (b)). Cell concentrations in group A were very high ( $7.9 \times 10^4$ – $1.1 \times 10^7$  MPN  
231 cells  $g^{-1}$  wet sediments, avg =  $1.2 \times 10^6$  MPN cells  $g^{-1}$  wet sediment), and samples in this group  
232 with dominated by *Chaetoceros* spp. and *Thalassiosira* spp. (35% and 51%, respectively) (Fig. 4  
233 (c)). Group B included stations from the southwestern Beaufort Sea, where cell concentrations  
234 ranged from  $3.2 \times 10^3$  to  $2.1 \times 10^5$  MPN cells  $g^{-1}$  wet sediment (avg =  $5.8 \times 10^4$  MPN cells  $g^{-1}$   
235 wet sediment) and *Attheya* spp. was dominant (47%) (Fig. 4 (b), (c)). All stations from the  
236 easternmost transect in the study region (MCK) were classified as outgroups (Fig. 4 (b), (c)).

### 237 3.4 Relationships with environmental factors

238 Environmental factors differed between samples comprising diatom groups A and B. The  
239 TSR was significantly later at the group B locations compared to group A ( $U$ -test,  $p < 0.05$ )  
240 (Fig.5 a), and the GP was significantly longer at group B locations than group A ( $U$ -test,  $p <$   
241  $0.05$ ) (Fig. 5 b). The swithing between the two diatom groups occurred around 200 Julian day of  
242 the TSR and approximately 2500 hours of the GP (Fig. 5 a, b, Fig.6). By contrast, the  $IP_{eu}$ ,  
243  $MedP_{eu}$  and the sampling depth were not significantly different between groups ( $U$ -test,  $p > 0.05$ )  
244 (Fig.5 c, d, e).

245 In addition, the TSR and the GP were significantly positively correlated with the  
246 proportion of pennate diatoms and *Attheya* spp. ( $\rho = 0.63$  and  $0.29$ , respectively,  $p < 0.05$ )  
247 (Fig.6). Here, we supposed the community of the pennate diatoms and *Attheya* spp. as the ice-  
248 associated assemblages, because the pennate diatoms and *Attheya* spp. are predominant in sea-ice

249 (e.g. Campbell et al., 2018; Melnikov et al., 2002; von Quillfeldt et al., 2003; Szymanski &  
250 Gradinger, 2016; Werner et al., 2007).

251

## 252 **4 Discussion**

253 This study examined the distribution and abundance of diatom resting stages in the  
254 Pacific Arctic sediments and demonstrated a strong correlation with the timing of sea ice retreat  
255 and the growth period of ice-associated assemblages. Details regarding spatial community  
256 dynamics and relationships between diatom assemblages and TSR, GP, and environmental  
257 parameters are discussed below.

### 258 4.1 Distribution of diatom resting stages and the relationships with primary production in 259 the Pacific-Arctic Region

260 Cell concentrations of diatom resting stages exhibited geographic variability that roughly  
261 corresponded with levels of primary production previously reported in the region. However,  
262 primary production values estimated by satellite in this study did not have any statistically  
263 significant relationships with diatom resting stage assemblages.

264 This study found high concentrations of diatom resting stages in the northern Bering Sea  
265 and the Chukchi Sea (avg =  $3.1 \times 10^6$  MPN cells g<sup>-1</sup> wet sediments), but low concentrations (avg  
266 =  $6.2 \times 10^4$  MPN cells g<sup>-1</sup> wet sediments) in the southwestern Beaufort Sea. Prior studies of  
267 primary productivity within the study region documented high annual water-column integrated  
268 primary production in the northern Bering and Chukchi Seas and low productivity in the western  
269 Beaufort Sea (Grebmeier et al., 2006; Hill et al., 2018). Since the distribution of diatom resting  
270 stages is thought to reflect primary productivity in the water column (Imai et al., 1990; Itakura et  
271 al., 1997; Pitcher, 1990), the resting stage distribution over the Pacific-Arctic region can be used  
272 as a proxy for productivity in the water column in that region. In the western Arctic Ocean,  
273 grazing rates of micro- and meso-zooplankton are lower than rates measured in another Arctic  
274 system, the Barents Sea; consequently, grazing impacts to chlorophyll standing stock and  
275 primary production are low (Campbell et al., 2009; Sherr et al., 2009). Under low grazing  
276 pressure across the shallow shelves in the Pacific-Arctic region, higher proportions of diatom  
277 resting cells would settle from the water column to the seafloor, and the distribution of resting  
278 stage assemblages would reflect the high levels of water-column primary production. While we

279 were not able to strictly quantify primary production at each station, this assessment of diatom  
280 assemblages in sediments allows us to characterize broad features of primary productivity over a  
281 wide region in the Pacific-Arctic Ocean.

282 We considered the impact that variable sampling times may have had upon the  
283 assemblages observed in this study. The sediments collected for this study were obtained over  
284 several different time periods (2–12 July 2018, 9–23 August 2018, and 30 October to 15  
285 November 2018), and it is possible that the community structure changed from summer to fall.  
286 However, there were no significant differences in species or genera except for *Attheya* spp. and  
287 *C. debilis* between samples at replicated stations in the northern Bering Sea and Southern  
288 Chukchi Sea, where sampling was conducted over multiple time periods. Dissimilarity among  
289 almost all the samples was less than 40%, and they were also grouped in the cluster analysis.  
290 Thus, differences over sampling periods appeared to be almost negligible in this study.

#### 291 4.2 The relationship of diatom resting stage assemblages with the TSR and the GP

292 Prior investigators have shown that the magnitude and composition of diatom  
293 assemblages in the Arctic spring bloom are influenced by the presence of the sea-ice and the  
294 timing of the sea-ice retreat (Fujiwara et al., 2016; Fukai et al., 2019; Neeley et al., 2018). In this  
295 study, the distribution of diatom resting stage assemblages were clearly related to spatial  
296 differences in the TSR. In locations where the ice retreat was early, such as the northern Bering  
297 and the Chukchi Seas, *Chaetoceros* spp. including *C. socialis s.l.* and *Thalassiosira* spp. were  
298 dominant in sediments (*C. socialis s.l.*: 0.36–93.1%, *Chaetoceros* spp.: 0.76–93.6%,  
299 *Thalassiosira* spp.: 2.0–96.4%). Because they are known to form dense spring blooms in these  
300 regions (von Quillfeldt, 2000; Sergeeva et al., 2010), these data indicate that diatom resting  
301 stages were formed and settled to the seafloor after spring blooms of *Chaetoceros* spp. and  
302 *Thalassiosira* spp. in the northern Bering Sea and the Chukchi Sea. In addition, the positive  
303 correlation between *Chaetoceros* spp. and *Thalassiosira* spp. cell concentrations with total cell  
304 concentrations indicates that where the TSR was early and the open-water period was long, large  
305 diatom blooms of *Chaetoceros* spp. and *Thalassiosira* spp. produced high concentrations of  
306 resting stage cells (Fujiwara et al., 2016; Fukai et al., 2019).

307 The TSR had also an effect on the diatom community composition, especially the  
308 proportion of ice-associated diatoms in diatom assemblages. Here, we defined ice-associated  
309 diatoms as “pennate diatoms and *Attheya* spp.” because pennate diatoms comprise a large

310 proportion of the sea-ice algal community (von Quillfeldt et al., 2003; Szymanski & Gradinger,  
311 2016), and *Attheya* spp. was often reported in the sea-ice of the Bering Sea and Chukchi Sea  
312 (Campbell et al., 2018; Melnikov et al., 2002; von Quillfeldt et al., 2003; Szymanski &  
313 Gradinger, 2016; Werner et al., 2007). Under this definition, diatom assemblages in the  
314 southwestern Beaufort Sea, where the TSR was late, were dominated by ice-associated species  
315 (Groups B and outgroups in the transect MCK), again demonstrating that sea-ice is a driver of  
316 benthic diatom community structure. In addition, the prevalence of ice-associated species was  
317 positively correlated with the TSR, suggesting that the proportion of ice algae in diatom  
318 assemblages is higher when sea-ice persists. This is likely due in part to their ability to sustain  
319 growth under low light levels ( $< 1 \mu\text{mol photon s}^{-1} \text{ m}^{-2}$ ) (Cota & Smith, 1991; Mock &  
320 Gradinger, 1999); notably, Tsukazaki et al. (2018) demonstrated that the centric genus *Attheya*  
321 spp. could survive in dark for more than six months, and thus can withstand low light conditions  
322 in the Arctic. It is possible that this study underestimated the concentrations of pennate diatoms  
323 in sediments compared with *Attheya* spp. and other centric diatoms, as few marine pennate  
324 diatoms are known to form resting stages, while many centric diatoms do (McQuoid & Hobson,  
325 1996), and the fate of the pennate diatoms in sediment is largely unknown. Despite this potential  
326 bias, these data indicate that the proportion of ice-associated species was higher where the TSR  
327 occurred later. In addition, interestingly, a spatial change from the assemblage dominated by  
328 open-water species to that with high proportion of ice-associated diatoms would have occurred  
329 around 200 Julian day (mid-July) of the TSR. This may indicate that swithing of dominant  
330 diatom group in spring blooms may occur based on this TSR parameter.

331 For ice-associated assemblages in the surface sediments, the length of the growth period  
332 during which algae receive sufficient light before the TSR is important (Fukai et al., 2019). We  
333 defined the growth period of the ice-associated assemblages (GP) as the integrated daylength  
334 during the periods with SIC  $> 20\%$  after the daylight hours exceed 10 hours, the threshold for  
335 increased growth in ice-associated assemblages (Gilstad & Sakshaug, 1990). The proportional  
336 abundance of ice-associated diatoms was significantly higher when GP was longer, suggesting  
337 that photoperiod during sea-ice presence is another important driver of diatom community  
338 structure (Cota & Home, 1989; Gosselin et al., 1990; Smith et al., 1988). In addition, a GP  
339 boundary of 2500 hours may be an important parameter for the distribution of ice-associated

340 assemblages. Future efforts to evaluate and predict diatom assemblages should consider both the  
341 TSR and the GP.

#### 342 4.3 Connecting diatom distribution to higher trophic levels

343 The diatom assemblages had clear relationships with the TSR and the GP. In the northern  
344 Bering Sea, the early timing of the sea-ice retreat and subsequent changes in diatom assemblages  
345 in the water column and the sediment was reported in 2018 (Fukai et al., 2019, 2020). This  
346 indicates that the recent drastic reduction of sea-ice in the Pacific-Arctic region may induce a  
347 shift in diatom assemblages from relative dominance of ice-associated species to open-water  
348 species.

349 Changes in diatom species composition will perturb prey environments of higher trophic  
350 level organisms. The distribution and composition of diatom species in this study seemed to be  
351 associated with the zooplankton feeding environment in the Pacific-Arctic region. The spatial  
352 trend of diatom resting stage concentrations exhibited a similar gradient to zooplankton  $\delta^{13}\text{C}$   
353 values showed by Pomerleau et al. (2014), which reported values that were more enriched in the  
354 western Bering Strait and less enriched on the Beaufort shelf. Typically, zooplankton that feed  
355 on fast-growing diatoms (e.g. *Chaetoceros* spp. and *Thalassiosira* spp.) are enriched with  $^{13}\text{C}$   
356 (Perry et al., 2011). The inflow of nutrient rich Anadyr waters from the western Bering Strait is  
357 known to fuel huge blooms of *Chaetoceros* spp. and *Thalassiosira* spp. (Danielson et al., 2017;  
358 Sergeeva et al., 2010), explaining the high concentrations of these species in sediments of the  
359 northern Bering and the Chukchi Seas reported here. In addition, regions of high diatom resting  
360 stage concentrations roughly corresponded to benthic hotspots, which include waters to the south  
361 of St. Lawrence Island, the Chirikov Basin, the southeastern Chukchi Sea and the northeastern  
362 Chukchi Sea (Grebmeier et al., 2015). In these regions, ice algae and phytoplankton are more  
363 important food resources for benthic communities than benthic microalgae (Grebmeier et al.,  
364 2015), and diatoms are particularly valuable taxa because they are rich in polyunsaturated fatty  
365 acids (PUFAs) (Brown et al., 1997). Furthermore, Wang et al. (2016) analyzed the blubber fatty  
366 acid composition and stable carbon isotope ratios of ice seals, who feed on pelagic and benthic  
367 fishes, in the northern Bering and the southern Chukchi Seas to show that ice algae contributed  
368 more to their food web in the cold years than in warm years. Therefore, changes in diatom  
369 assemblages caused by sea-ice dynamics will directly influence zooplankton and benthos  
370 production, with indirect effects upon higher trophic levels.

371

## 372 **5 Conclusions**

373           This study demonstrated that the distribution and community composition of diatom  
374 resting stages in the southeastern Pacific-Arctic region was significantly influenced by the  
375 presence of sea-ice and the light environment. We were also able to capture general features of  
376 primary productivity over the wider Pacific-Arctic region by assessing diatoms in sediments,  
377 although no clear relationship with primary productivity estimated by satellite observations was  
378 found. Important drivers of the diatom assemblages, the TSR and the GP, significantly  
379 influenced the composition of diatoms in sediments. In particular, diatom assemblages changed  
380 spatially from open-water species dominated composition to high proportion of ice-associated  
381 diatoms in the region where the TSR occurred after mid-July (around 200 Julian day) and the  
382 GP was over 2500 hours. This result may indicate that shift to earlier TSR in the future induce  
383 not only change in diatom bloom timing but also change in the composition of diatom assemblages  
384 forming the spring bloom. The distribution of diatom resting stages is a valuable approach for  
385 investigating the diatom community, particularly on the Arctic shelves where it is logistically  
386 challenging to characterize the rapid seasonal succession in community composition that occurs  
387 across this remote and dynamic geographic region. Moreover, this approach provides species-  
388 level resolution lacking in satellite observations, providing a more robust assessment of the  
389 ecosystem implications of community changes. As for the marine ecosystems, it is interesting  
390 that the distribution of diatom resting stages corresponded spatially with the benthic hot spots  
391 and the feeding environment of zooplankton. Based on this research, it is clear that future  
392 changes in sea-ice extent and duration will impact diatom communities, and that resulting  
393 fluctuations in primary productivity and community structure will impact other components of  
394 Arctic marine ecosystems.

395

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412

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- 587

588 **Figure and Table legends**

589 **Figure 1.** Locations of the sediment sampling in the northern Bering Sea, Chukchi Sea, and  
 590 Beaufort Sea in 2018. Color contours indicate the timing of the sea-ice retreat (rainbow contour)  
 591 and the bottom depth (blue contour). Abbreviations indicate the transect names during Healy  
 592 cruises 1801 and 1803.

593 **Figure 2.** Horizontal distribution of diatom resting stages in the north Bering, Chukchi and  
 594 Beaufort Seas in 2018.

595 **Figure 3.** Cell concentrations and species composition of diatom resting stages in the northern  
 596 Bering, Chukchi and Beaufort Seas in 2018.

597 **Figure 4.** (a) Spatial distribution of diatom resting stage communities by group. (b) Species  
 598 composition and cell concentrations in each group.

599 **Figure 5.** Comparison of environmental factors between diatom resting stage groups. (a) the  
 600 timing of the sea-ice retreat (TSR). (b) the growth period of ice-associated assemblages (GP). (c)  
 601 the daily cumulative euphotic-depth-integrated primary production ( $IP_{eu}$ ). (d) the median values  
 602 of primary productivity from the TSR to the observation date ( $MedP_{eu}$ ). (e) the bottom depth of  
 603 sampling station.

604 **Figure 6.** Relationships between the proportion of the ice-associated species (*Attheya* spp. and  
 605 pennate diatoms) in MPN and the TSR (a), and the GP (b). Each color indicate the diatom groups  
 606 (pink: group A, green: group B, and gray: out groups).

607 **Figure S1.** Horizontal values of the integrated primary productivity from the TSR to the  
 608 observation date ( $IP_{eu}$ ) (a), the median values of primary productivity from the TSR to the  
 609 observation date ( $MedP_{eu}$ ) (b), and the observation date (c).

610 **Figure S2.** The relationship between the total cell concentrations and, *Chaetoceros* spp. and  
 611 *Thalassiosira* spp. in MPN.

612 **Table1.** Locations of sediment sampling stations in the Bering, Chukchi, and Beaufort Seas from  
 613 July to November in 2018. In sample type column, “core” and “Van Veen” indicate that the  
 614 samples were collected by multiple corer and Van Veen grab sampler, respectively. The timing  
 615 of sea ice retreat (TSR) indicates the last date when the sea ice concentration falls below 20%,  
 616 prior to observed annual sea ice minimum across the study region during summer.  $IP_{eu}$  and  
 617  $MedP_{eu}$  indicate daily integrated value and median value of primary production from TSR to the  
 618 date of the *in situ* sediment sampling was conducted. The growth period of the ice-associated  
 619 assemblages (GP) indicates the integrated daylength during the periods with SIC > 20% after the  
 620 daylight hours exceed 10 hours.

**Figure.**

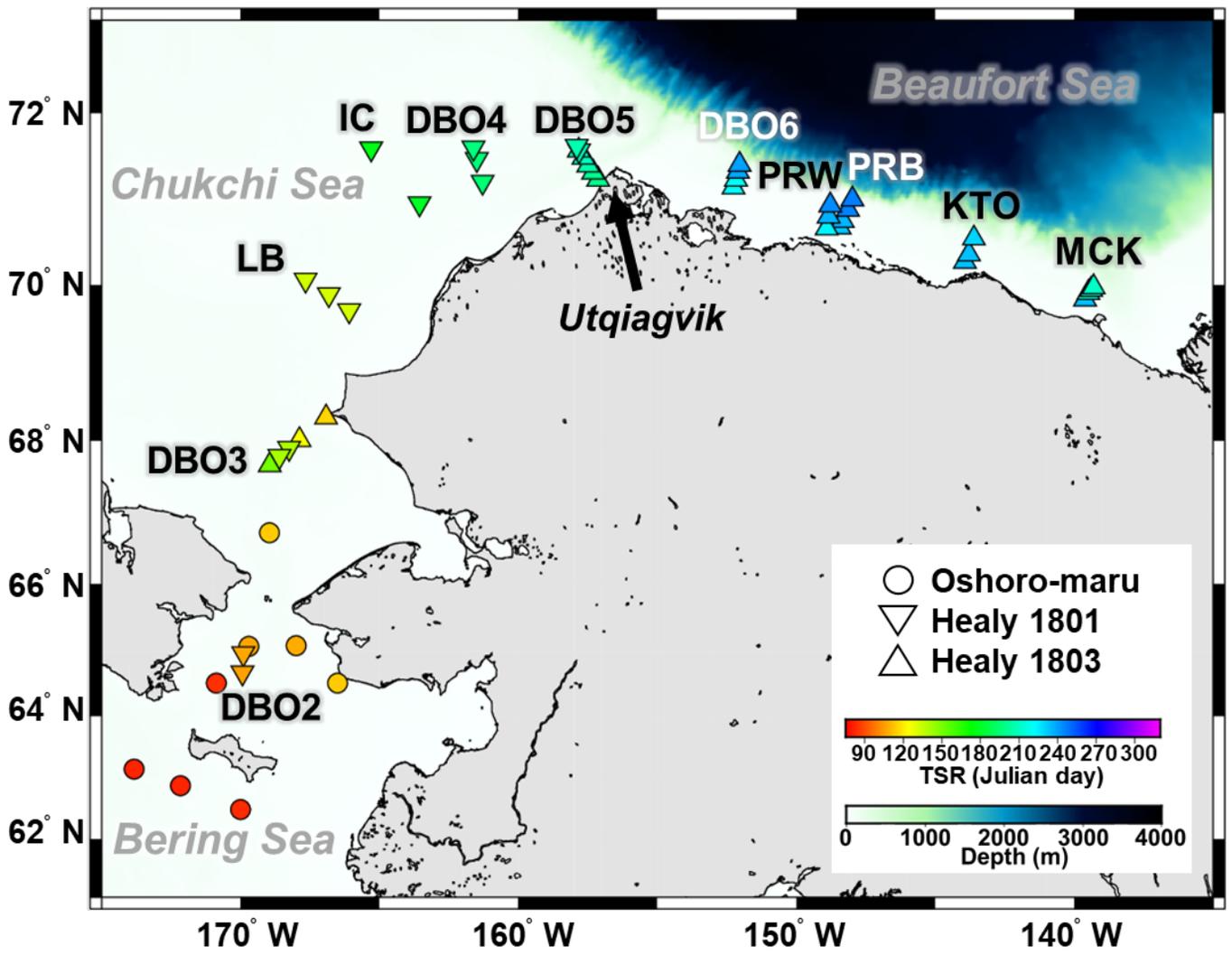


Fig. 1. Locations of the sediment sampling in the northern Bering Sea, Chukchi Sea, and Beaufort Sea in 2018. Color contours indicate the timing of the sea-ice retreat (rainbow contour) and the bottom depth (blue contour). Abbreviations indicate the transect names during Healy cruises 1801 and 1803.

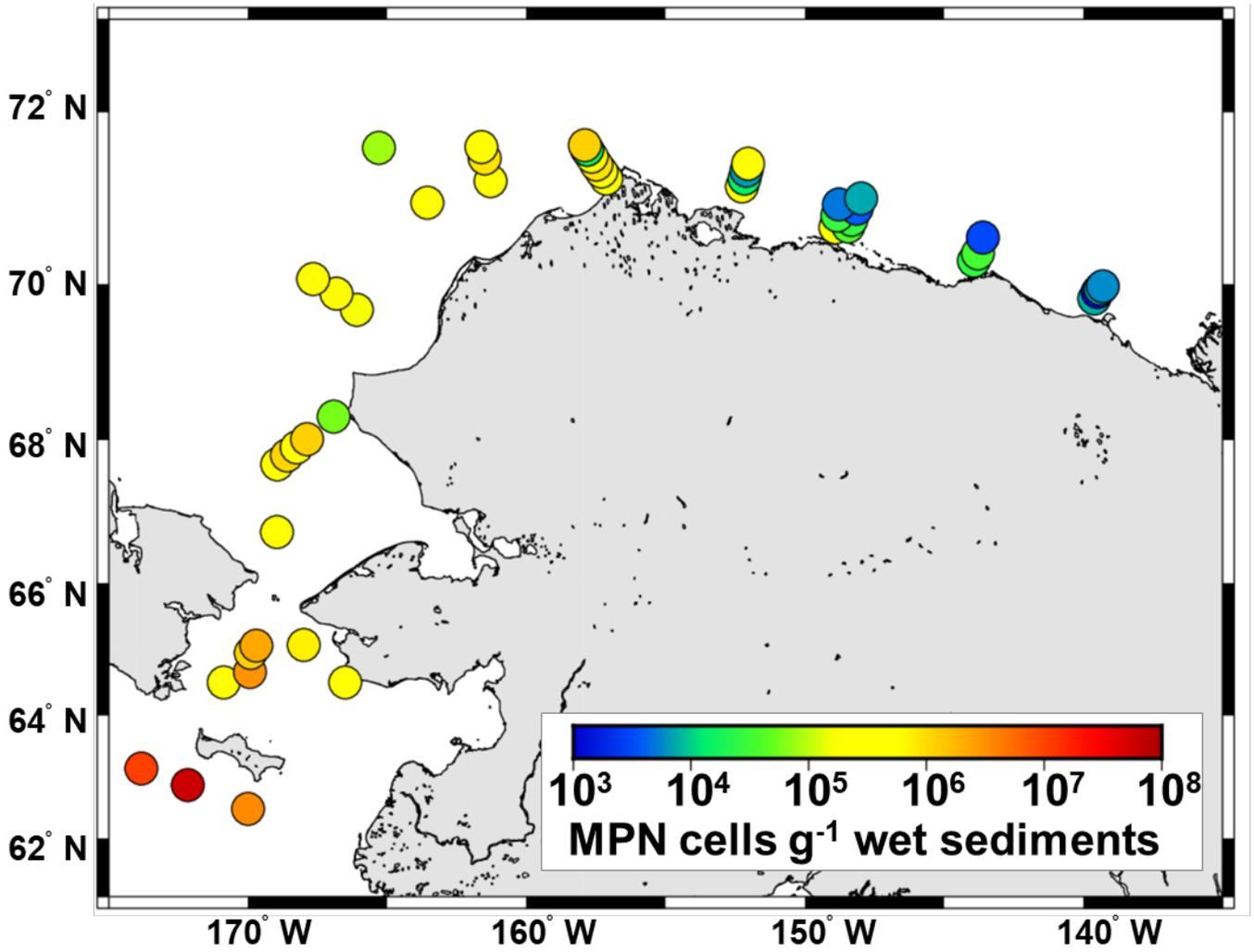


Fig. 2. Horizontal distribution of diatom resting stages in the north Bering, Chukchi and Beaufort Seas in 2018.

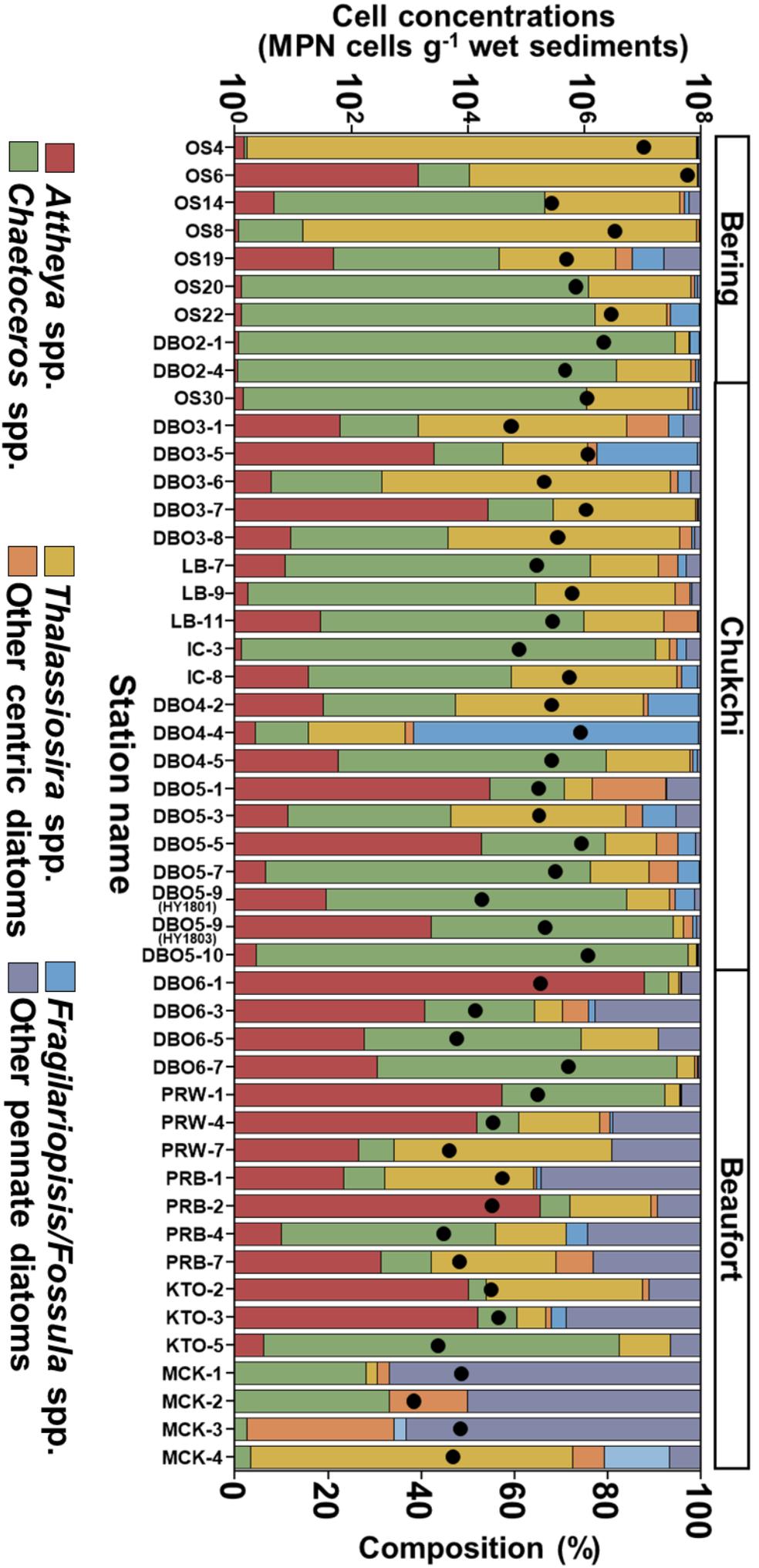


Fig. 3. Cell concentrations and species composition of diatom resting stages in the northern Bering, Chukchi and Beaufort Seas in 2018.

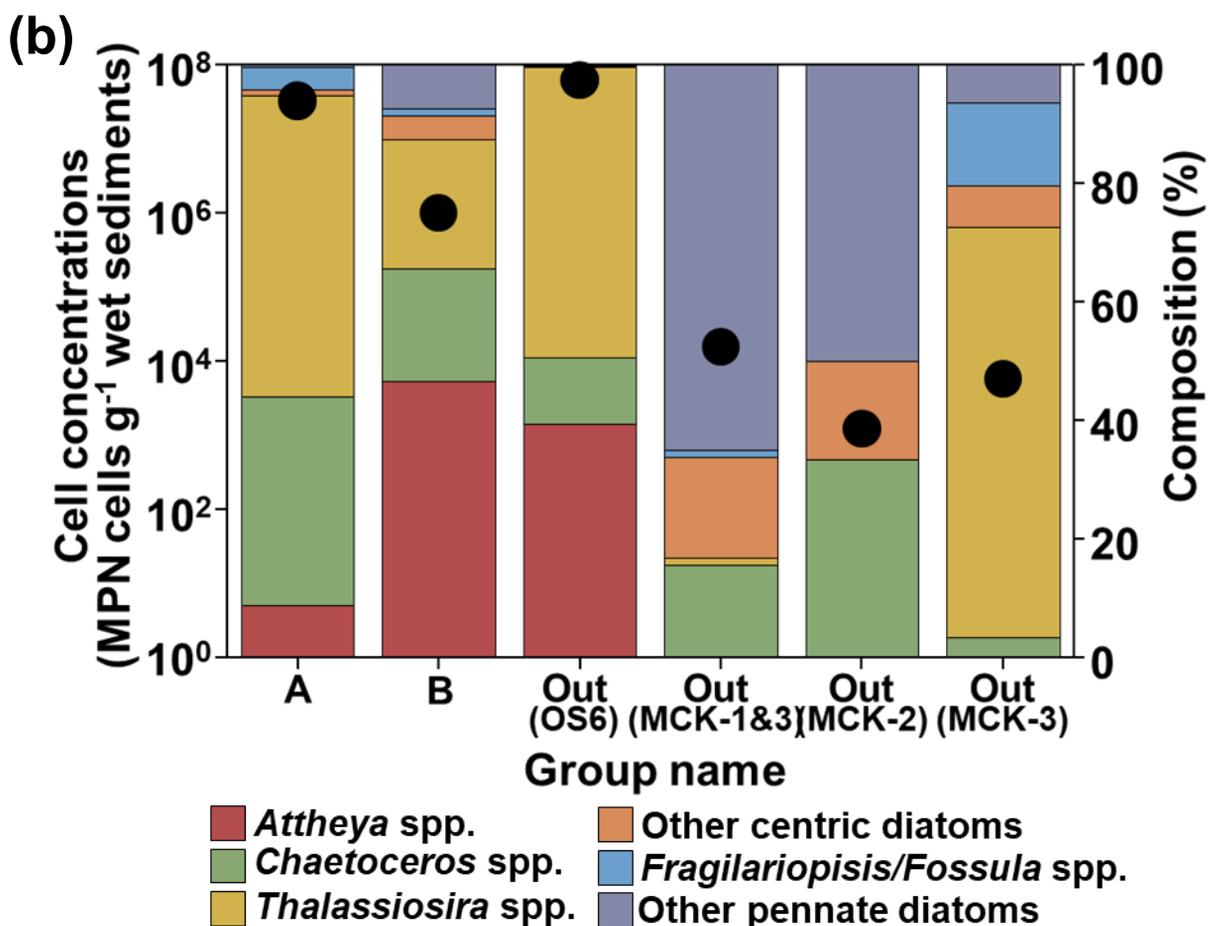
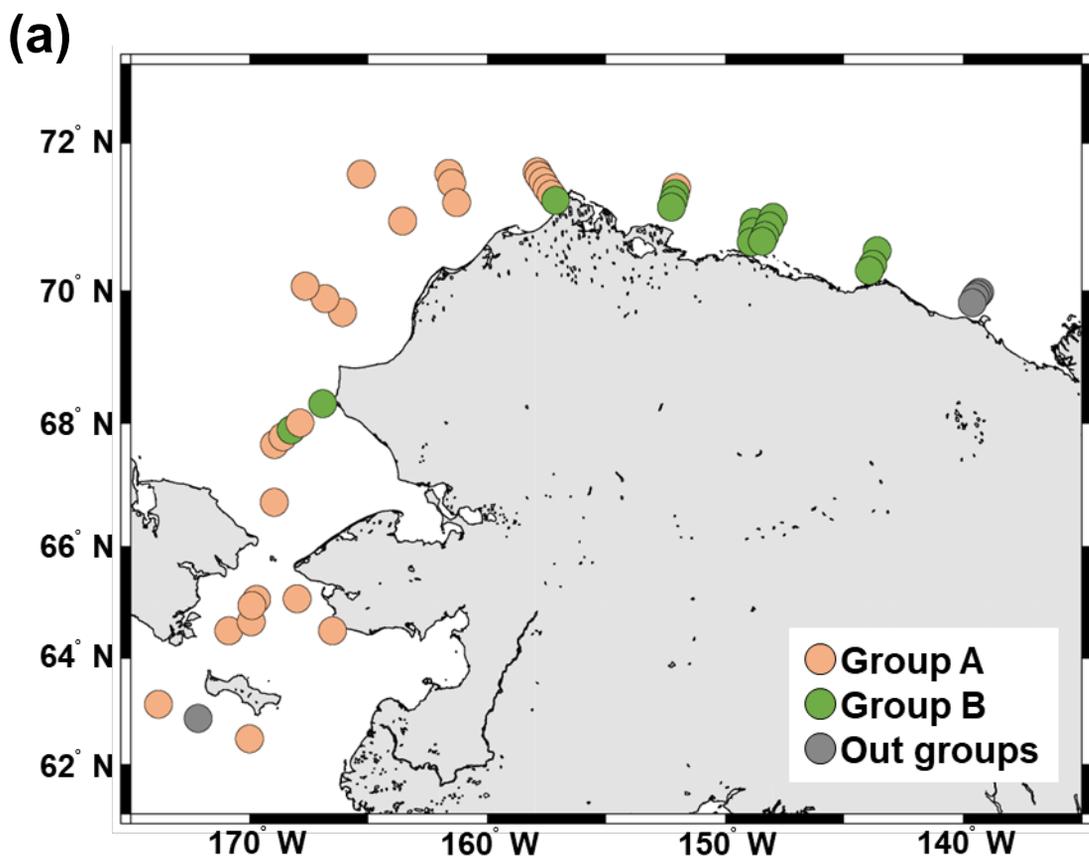


Fig. 4. (a) Spatial distribution of diatom resting stages communities by group. (b) Species composition and cell concentrations in each group.

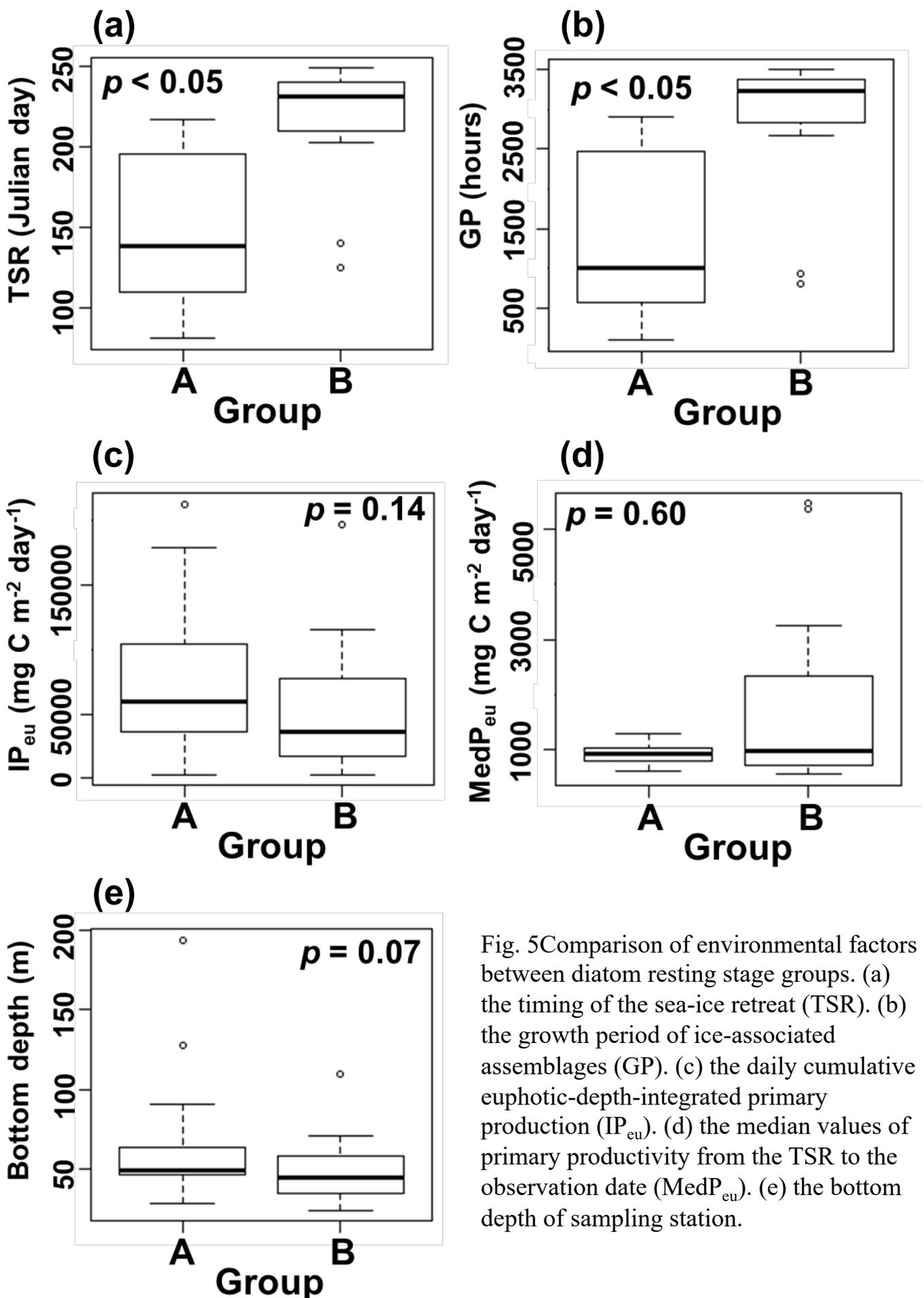


Fig. 5 Comparison of environmental factors between diatom resting stage groups. (a) the timing of the sea-ice retreat (TSR). (b) the growth period of ice-associated assemblages (GP). (c) the daily cumulative euphotic-depth-integrated primary production ( $IP_{eu}$ ). (d) the median values of primary productivity from the TSR to the observation date ( $MedP_{eu}$ ). (e) the bottom depth of sampling station.

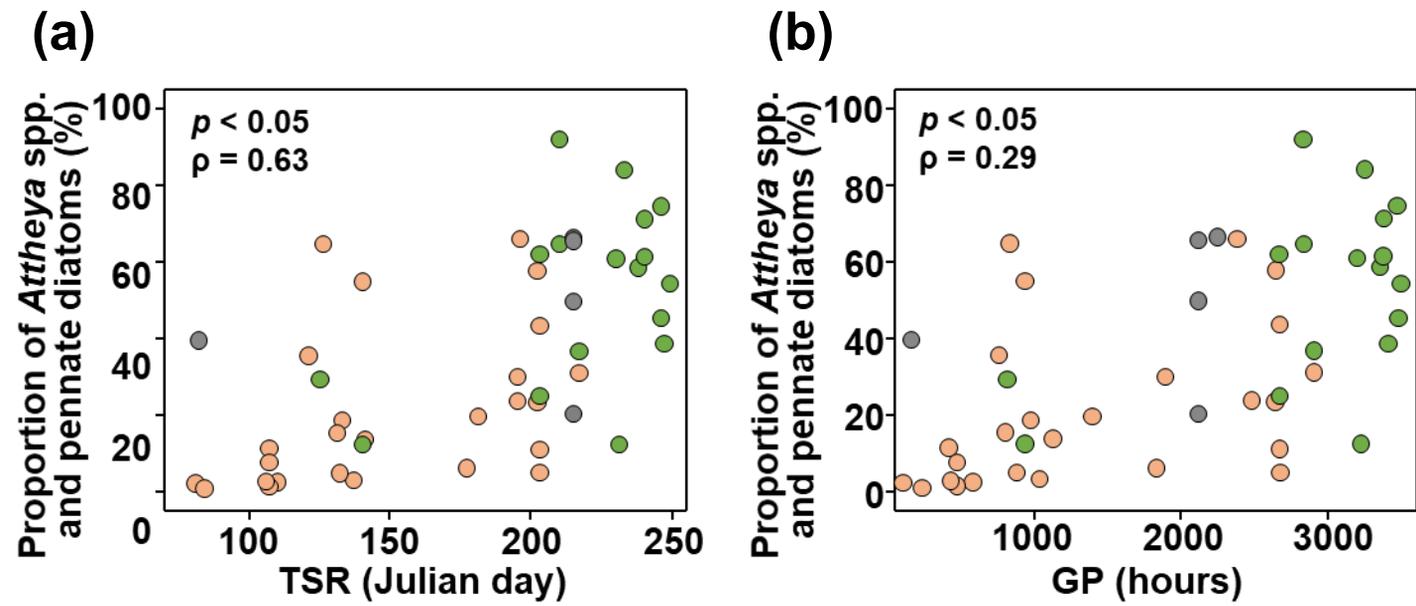


Fig. 6. Relationships between the proportion of the ice-associated species (*Attheya* spp. and pennate diatoms) in MPN and the TSR (a), and the GP (b). Each color indicate the diatom groups (pink: group A, green: group B, and gray: out groups).

Table 1. Locations of sediment sampling stations in the Bering, Chukchi, and Beaufort Seas from July to November in 2018. In sample type column, “core” and “Van Veen” indicate that the samples were collected by multiple corer and Van Veen grab sampler, respectively. The timing of sea ice retreat (TSR) indicates the last date when the sea ice concentration falls below 20%, prior to observed annual sea ice minimum across the study region during summer.  $IP_{eu}$  and  $MedP_{eu}$  indicate daily integrated value and median value of primary production from TSR to the date of the *in situ* sediment sampling was conducted. The growth period of the ice-associated assemblages (GP) indicates the integrated daylength during the periods with SIC > 20% after the daylight hours exceed 10 hours.

Cruise	Station	Date (Julian day)	Latitude (°N)	Longitude (°W)	Bottom depth (m)	Sample Type	TSR (Julian day)	$IP_{eu}$ (mg C m <sup>-2</sup> day <sup>-1</sup> )	$MedP_{eu}$ (mg C m <sup>-2</sup> day <sup>-1</sup> )	GP (hours)
Oshoro-maru C056	OS4	2018/7/2 (183)	63.15	173.83	75	Core	2018/3/23 (82)	80253.9	831.4	103.2
	OS6	2018/7/3 (184)	62.88	172.16	55	Core	2018/3/23 (82)	56305.7	554.5	158.4
	OS8	2018/7/3 (184)	62.49	170.00	37	Core	2018/3/24 (83)	77752.8	791.7	234.2
	OS14	2018/7/5 (186)	64.51	170.87	46	Core	2018/3/25 (84)	66311.6	924.2	414.7
	OS19	2018/7/6 (187)	64.51	166.51	28	Core	2018/4/24 (114)	39181.0	921.5	755.1
	OS20	2018/7/6 (187)	65.08	168.00	46	Core	2018/4/18 (108)	32002.1	777.2	581.4
	OS22	2018/7/7 (188)	65.07	169.70	51	Core	2018/4/17 (107)	106377.2	1300.4	469.0
	OS30	2018/7/11 (192)	66.73	168.96	42	Core	2018/4/24 (114)	59460.1	1030.9	1033.1
Healy 1801	DBO2-1	2018/8/9 (221)	64.67	169.93	48	Van Veen	2018/4/16 (106)	104868.8	840.7	427.2
	DBO2-4	2018/8/9 (221)	64.96	169.90	49	Van Veen	2018/4/17 (107)	143193.9	1094.8	469.0
	DBO3-6	2018/8/10 (222)	67.90	168.25	59	Core	2018/5/20 (140)	95858.0	1157.3	935.9
	DBO3-7	2018/8/11 (223)	67.79	168.60	51	Core	2018/5/21 (141)	104109.1	1276.0	935.1
	IC-3	2018/8/13 (225)	71.60	165.30	43	Van Veen	2018/6/26 (177)	32304.9	1008.3	1829.9
	IC-8	2018/8/14 (226)	70.97	163.56	46	Van Veen	2018/6/29 (180)	48394.7	1229.5	1391.3
	DBO4-2	2018/8/15 (227)	71.22	161.29	50	Core	2018/7/14 (195)	17824.0	886.5	1891.3
	DBO4-4	2018/8/15 (227)	71.48	161.50	49	Core	2018/7/15 (196)	15406.3	797.0	2377.8
	DBO4-5	2018/8/15 (227)	71.61	161.62	47	Core	2018/7/16 (197)	19747.3	924.1	2477.9
	DBO5-9	2018/8/17 (229)	71.58	157.82	66	Van Veen	2018/7/27 (208)	2915.4	751.2	2667.8
	DBO5-10	2018/8/17 (229)	71.63	157.90	64	Core	2018/7/26 (207)	2813.1	693.9	2669.9
	LB-11	2018/8/22 (234)	70.06	167.66	50	Van Veen	2018/5/13 (133)	95614.2	960.7	973.8
LB-9	2018/8/23 (235)	69.88	166.82	47	Van Veen	2018/5/12 (132)	105276.1	993.8	877.1	
LB-7	2018/8/23 (235)	69.68	166.09	42	Van Veen	2018/5/12 (132)	96511.2	966.2	800.6	

Healy 1803

DBO6-1	2018/10/30 (303)	71.16	152.26	32	Van Veen	2018/8/9 (221)	42213.8	5355.0	2824.9
DBO6-3	2018/10/30 (303)	71.25	152.17	48	Van Veen	2018/8/8 (220)	55326.5	2401.6	2829.3
DBO6-5	2018/10/30 (303)	71.34	152.10	71	Van Veen	2018/8/27 (239)	63070.1	1143.7	2899.2
DBO6-7	2018/10/31 (304)	71.42	152.04	194	Van Veen	2018/8/28 (240)	52153.7	1024.0	2902.0
PRB-1	2018/11/2 (306)	70.69	148.44	26	Van Veen	2018/8/21 (233)	—	—	3347.2
PRB-2	2018/11/2 (306)	70.77	148.33	35	Van Veen	2018/8/24 (236)	17622.4	2275.3	3465.0
PRB-4	2018/11/2 (306)	70.90	148.14	45	Van Veen	2018/9/3 (246)	16083.4	559.4	3405.6
PRB-7	2018/11/2 (306)	71.02	147.98	58	Van Veen	2018/9/4 (247)	19897.2	751.8	3490.4
MCK-1	2018/11/4 (308)	69.82	139.61	38	Van Veen	2018/8/22 (234)	40557.1	596.0	2240.4
MCK-2	2018/11/4 (308)	69.90	139.49	44	Van Veen	2018/8/4 (216)	47209.6	792.0	2111.3
MCK-3	2018/11/4 (308)	69.94	139.39	55	Van Veen	2018/8/1 (213)	47343.2	759.2	2113.9
MCK-4	2018/11/4 (308)	69.97	139.30	60	Van Veen	2018/7/30 (211)	46782.5	753.7	2113.9
KTO-2	2018/11/5 (309)	70.28	143.93	38	Van Veen	2018/8/22 (234)	30870.8	963.7	3191.8
KTO-3	2018/11/5 (309)	70.37	143.79	48	Van Veen	2018/8/22 (234)	14101.3	564.3	3247.5
KTO-5	2018/11/5 (309)	70.56	143.61	110	Van Veen	2018/8/18 (230)	13958.0	601.1	3221.7
PRW-1	2018/11/7 (311)	70.68	148.91	24	Van Veen	2018/8/12 (224)	115525.9	5453.4	3369.1
PRW-4	2018/11/7 (311)	70.82	148.84	33	Van Veen	2018/8/25 (237)	76570.9	3243.0	3373.2
PRW-7	2018/11/7 (311)	70.95	148.78	38	Van Veen	2018/9/1 (244)	26140.8	709.0	3474.0
DBO5-1	2018/11/14 (318)	71.25	157.13	47	Van Veen	2018/7/19 (200)	79117.8	839.1	2661.3
DBO5-3	2018/11/41 (318)	71.33	157.31	91	Van Veen	2018/7/20 (201)	59407.8	903.6	2637.3
DBO5-5	2018/11/14 (318)	71.41	157.49	128	Van Veen	2018/7/25 (206)	44093.7	745.2	2639.4
DBO5-7	2018/11/14 (318)	71.50	157.66	85	Van Veen	2018/7/29 (210)	35765.2	676.0	2667.8
DBO5-9	2018/11/14 (318)	71.58	157.83	66	Van Veen	2018/7/27 (208)	35857.3	620.0	2667.8
DBO3-1	2018/11/15 (319)	68.31	166.92	35	Van Veen	2018/4/26 (116)	196800.9	1013.0	812.4
DBO3-5	2018/11/15 (319)	68.01	167.88	54	Van Veen	2018/5/5 (125)	212878.6	1053.2	828.9
DBO3-8	2018/11/15 (319)	67.67	168.95	50	Van Veen	2018/5/31 (151)	179194.6	1012.5	1124.6

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