

Missing earthquake data reconstruction in the space-time-magnitude domain

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Key Points:

- A new Python toolbox for the replenishment of incomplete seismic catalogs is developed
- The code is freely-available, data-driven and minimizes the users' inputs
- Numerical and real-case tests are provided

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Abstract

Short term aftershock incompleteness (STAI) can strongly bias any analysis built on the assumption that seismic catalogs have a complete record of events. Despite several attempts to tackle this issue, we are far from trusting any dataset in the immediate future of a large shock occurrence. Here we introduce RESTORE (REal catalogs STOchastic REplenishment), a Python toolbox implementing a stochastic gap-filling method, which automatically detects the STAI gaps and reconstructs the missing events in the space-time-magnitude domain. The algorithm is based on empirical earthquake properties and relies on a minimal number of assumptions about the data. Through a numerical test, we show that RESTORE returns an accurate estimation of the number of missed events and correctly reconstructs their magnitude, location and occurrence time. We also conduct a real-case test, by applying the algorithm to the M_W 6.2 Amatrice aftershocks sequence. The STAI-induced gaps are filled and missed earthquakes are restored in a way which is consistent with data. RESTORE, which is made freely available, is a powerful tool to tackle the STAI issue, and will hopefully help to implement more robust analyses for advancing operational earthquake forecasting and seismic hazard assessment.

1 Introduction

It is well known that analyzing an incomplete seismic catalog could severely bias studies aimed to: 1) estimate the Gutenberg-Richter parameters, their uncertainty, together with their variation in space and/or time (e.g. Knopoff et al., 1982; Schorlemmer et al., 2003; Woessner & Wiemer, 2005; Mignan & Woessner, 2012b; Marzocchi et al., 2020); 2) estimate the Epidemic-Type Aftershock Sequence (ETAS model: Ogata, 1988, 1998) parameters by maximum-likelihood techniques (Helmstetter et al., 2005, 2006; Hainzl et al., 2013; Omi et al., 2014; Seif et al., 2017; Zhuang et al., 2017); 3) perform a statistical analysis of earthquake data (e.g. Helmstetter et al., 2006; Christophersen & Smith, 2008; Iwata, 2008; Brodsky, 2011; Felzer et al., 2015; Stallone & Marzocchi, 2019).

The first two types of studies, in particular, have application in operational earthquake forecasting and seismic hazard assessment (Woessner et al., 2015), this implying that complete recording of seismic events is of primary importance in any analysis of this kind. Unfortunately, a careful estimation of the magnitude of completeness M_c is a necessary but not sufficient condition for a robust seismicity analysis. As a matter of fact, temporal changes in M_c can occur, mainly due to short term aftershock incompleteness (STAI from now on) (Ogata & Katsura, 1993; Kagan, 2004; Mignan & Woessner, 2012b; Omi et al., 2013), which arise from the under-reporting of small events after large earthquakes. These fluctuations, although transient, can severely alter the final results. For instance, (Zhuang et al., 2017) demonstrate how severe can be the influence of short-term missing aftershocks on the estimation of the ETAS parameter α (which is linked to earthquakes triggering capability). A solution to this issue would be improving the detection of early aftershocks of a large earthquake. This is possible by implementing waveform-based techniques (Peng et al., 2006, 2007; Enescu et al., 2007, 2009; Peng & Zhao, 2009). However, even in these cases, the detection capability of the missing events is far from being optimal. A quick fix could be to draw out earthquakes occurred after a large shock, for as long as the time required to the magnitude of completeness to return to the average value estimated for the whole catalog. Alternatively, one could model the magnitude of completeness as a function of time $M_c(t)$ and keep only those events whose magnitude is $\geq M_c(t)$ (e.g. Helmstetter et al., 2006; Lippiello et al., 2012). However, these approaches are not trivial, since they rely on user-defined criteria for identifying the critical events to be removed. Furthermore, a cut-and-run strategy could yield to a severe diminishment of the analyzed data, which is not always desirable. More recently, (Zhuang et al., 2017, 2019) have proposed a stochastic algorithm to replenish the portions of a seismic catalog where smaller events are missing. This approach is based on empirical

distribution functions estimated for the range of data where the catalog can be considered complete. However, it cannot be easily extended to the spatial domain and the detection of the missing area is not fully automated. Here we present RESTORE, a Python toolbox based on a stochastic gap-filling method, which reconstructs missing events in the space-time-magnitude domain and implements an automatized recognition of the critical regions with missing events (no input required from the user).

2 The algorithm

RESTORE allows to generate time, location and magnitude of those earthquakes that have not been detected by the seismic network due to the overlap of earthquake signals in seismic records after the occurrence of a large earthquake. Given the transient characteristic of STAI, the replenishment of missing data only pertains to limited portions of the catalog, i.e. those being affected by the occurrence of a large event. First, the temporal variability of M_c is assessed by means of a sliding overlapping windows approach, which collects estimates of M_c at the end of each window. Since the window has a fixed number of events k and its shift δk is constant, estimates of M_c are elapsed by δk events. The algorithm implements a statistic-based approach to pinpoint those time intervals where a threshold value for the magnitude of completeness M_c^* is significantly exceeded ("STAI gaps" from now on). For each interval, fluctuations in the completeness magnitude, represented by the δk -shifted moving-window estimates of M_c , are accounted for to reconstruct the missing earthquakes: the higher the estimated M_c , the higher the number of earthquakes to be replenished. It follows that the moving-window approach is functional for both the identification of STAI gaps and for their discretization. The latter is essential for a high-resolution temporal reconstruction of M_c inside the STAI gaps. The number of missing events within each δk -step is estimated by calculating the difference between the observed counts and the counts predicted by the Gutenberg-Richter relationship. Magnitude, occurrence time and location of the simulated events are reconstructed implementing Monte Carlo sampling techniques. More specifically, magnitudes are randomly sampled from the Gutenberg-Richter's law, bounded between the reference value M_c^* and the value within the δk -step. Occurrence times are simulated from an uniform distribution whose support are the time limits of the δk -step. The latter is based on the assumption that earthquake detection rate can be assumed constant within intervals including few events, i.e within very short time intervals. In other words, the probability of missing events within a δk -step can be considered time-independent if the step width is much shorter than the whole STAI gap width. As regards the spatial information, latitude and longitude of missing events are assigned with a probability that increases as the average rate of earthquake increases, the latter being derived from a Gaussian smoothing kernel. In the following, we examine the algorithm steps in more detail.

2.1 Query user inputs

The user is required to load the catalog as a csv file, in ZMAP format (i.e., Longitude, Latitude, Year, Month, Day, Magnitude, Depth, Hour, Minute, Second). Alternatively, he/she can download it from web services based on FDSN specification, by providing the parameters listed in Table 1, left column. There are two main requirements: 1) the magnitude type in the seismic catalog must be M_w (a bin size of 0.1 is assumed by default); 2) the catalog should include a period of seismic quiescence before the onset of one or more relatively strong seismic sequences. This is necessary for the estimation of the reference value for the magnitude of completeness (M_c^*), which needs not to be affected by STAI. For an unbiased estimation of M_c^* we recommend to include at least 1000 events in the seismic quiescent period. The parameters that need to be set for running RESTORE are reported in Table 1, right column. They will be explained in more detail in the subsequent sections.

Table 1. RESTORE input parameters^a.

CATALOG PARAMETERS (optional)	INPUT PARAMETERS
Minimum magnitude	Minimum magnitude
Minimum longitude	Moving-window size
Maximum longitude	Moving-window step
Minimum latitude	Spatial map domain limits
Maximum latitude	t_{seq}
Maximum depth	b -value
t_{start}	
t_{end}	

^aLeft: catalog parameters (to be provided only when downloading the catalog from web services based on FDSN specification) - t_{start} : string representing the start time of the catalog in a recognizably valid format; t_{end} : string representing the end time of the catalog in a recognizably valid format.

Right: RESTORE parameters - t_{seq} : starting time of the seismic sequence (i.e., end of the seismic quiescent period).

2.2 Reference value for the magnitude of completeness

The reference value M_c^* must be evaluated for the seismically quiescent period preceding the onset of one or more relatively strong seismic sequences. It is estimated as the first magnitude value such that the hypothesis of exponentially-distributed data cannot be rejected at a significance level α (Lilliefors test, Lilliefors, 1969; Clauset et al., 2009). By default, $\alpha = 0.05$, but different values can be assigned. Alternatively, the user could input his/her own value for M_c^* , based on a priori information. The bootstrap method is implemented to estimate the uncertainty σ about the estimate of M_c^* returned by the Lilliefors test.

2.3 Temporal variations in M_c

RESTORE implements a moving window approach to analyze the variation of the magnitude of completeness as a function of time. By default, the window size is $k = 1000$ events (following Mignan & Woessner, 2012a), but it could be increased or decreased, depending on both the catalog size and the resolution the user needs to achieve. Intuitively, a small window highlights short-term variation in M_c , but it could return a biased estimate of M_c if the sample size is too small (due to the decreased power of the Lilliefors test); on the contrary, a larger window returns a faster and more robust estimate of M_c , but it is less sensitive to its transient fluctuations. The window is shifted by a step of δk events. By default, $\delta k = 250$ (following Mignan & Woessner, 2012a). The same considerations made for a larger/smaller window apply for a larger/smaller step. M_c is estimated by means of the Lilliefors test and its values are collected at the end of each window. Since the window has a fixed number of events k and its shift δk is constant, estimates of M_c are elapsed by δk events.

2.4 Automatic detection of STAI gaps

STAI gaps are identified as those where $M_c \geq M_c^* + 2\sigma$, i.e. where M_c is significantly larger than the reference value. The onset time of each gap is set equal to the time of the largest earthquake in the first step. Intuitively, it is the one responsible for the raise of the magnitude of completeness among the δk events. The end time of each gap is coincident with the occurrence time of the last event in the last step. In order to ac-

count for statistical fluctuations of the magnitude of completeness, small gaps - defined as those with a number of events $< 2 * \delta k$ - are removed.

2.5 Simulation of missing earthquakes

For a given STAI gap, the algorithm stores as many M_c estimates as the number of δk -steps in the gap. This information is used to evaluate the expected number of missing events at the step level by means of the following formula (derived from the Gutenberg-Richter frequency-magnitude relationship):

$$N(M_c^* \leq M < M_c^S) = N(M \geq M_c^S) * 10^{b*n*mbin} - N(M \geq M_c^S), \quad (1)$$

where $N(M \geq M_c^S)$ is the observed number of events with a magnitude $\geq M_c^S$, the M_c value within the step, b represents the b -value estimated for the whole catalog, n is the number of magnitude bins, $mbin$ is the magnitude bin (usually $mbin = 0.1$). We refer to Appendix 1 for the derivation of Equation 1.

2.5.1 Simulation of magnitudes

Within each δk -step, the algorithm performs an iterative random sampling from the Gutenberg-Richter frequency-magnitude relationship, bounded between M_c^* and M_c^S . This is done by means of the inverse method (Devroye, 1986). The main steps are summarized in Algorithm 1.

Algorithm 1: Magnitude simulation

```

1 for each STAI gap do
2   for each step in the gap do
3     while count ≤ Number of earthquakes missing in the step do
4       U = RAND(0,1);
5       M = (-1/b * log(U) + M_c^* - mbin/2) * 10/10;
6       if M ≤ M_c^S then
7         keep M;
8         count + = 1;
9       else
10        reject M ;
11        count + = 0;
12      end
13    end
14  end
15 end

```

2.5.2 Simulation of occurrence times

Occurrence times are simulated from an uniform distribution whose support are the time limits of the δk -step. As already discussed, earthquake detection rate can be assumed constant within intervals including few events, i.e within very short time intervals. The main steps are summarized in Algorithm 2.

Algorithm 2: Occurrence times simulation

```

1 for each STAI gap do
2   for each step in the gap do
3     while count  $\leq$  Number of earthquakes missing in the step do
4        $t_{i-1}$  = start time of the step;
5        $t_i$  = end time of the step;
6        $U = \text{RAND}(0,1)$ ;
7        $T = t_{i-1} + U * (t_i - t_{i-1})$ ;
8       count + = 1;
9     end
10  end
11 end

```

2.5.3 Simulation of epicenter coordinates

Latitude and longitude of missing events are assigned with a probability that increases as the rate of earthquakes increases, i.e. as the distance from the large event diminishes. The rationale is based on kernel smoothing techniques, commonly implemented to forecast the density of future seismicity given the spatial distribution of past events (e.g. Frankel, 1995; Helmstetter et al., 2006; Zechar & Jordan, 2010). Specifically, a Gaussian kernel (Zechar & Jordan, 2010) is used, which is a function of the smoothing distance σ only.

For each STAI gap, RESTORE extracts the pertaining subset from the catalog, that is all the events meeting the following two criteria: 1) their occurrence times range between the start and end time of the STAI gap; 2) their epicenter coordinates fall within a rectangular grid representing the large shock "influence area". As a proxy for the latter, the algorithm uses the estimation of the subsurface rupture length through the relation proposed by (Mai & Beroza, 2000):

$$M_o = 10^{\frac{3}{2} * (M_w + 10.7)} * 10^{-7}; \quad (2)$$

$$R_l = 10^{-5.20 + 0.35 * \log(M_o)} \quad (3)$$

The grid is discretized in cells, whose width depends on the bin in the latitude and longitude direction *sbin* (*sbin* = 0.01 deg in both the directions, by default). The smoothing kernel is defined as follow:

$$K_\sigma = \frac{1}{2\pi\sigma^2} \exp\left(\frac{-R^2}{2\sigma^2}\right), \quad (4)$$

where σ is the smoothing distance (set to 1 by default) and R is the distance of a given earthquake from a given grid node. The kernel smoothing technique offers an intuitive representation of seismicity clustering in space: as a matter of fact, events that are close in space will mainly contribute to the same (few) nodes in the grid. The events count at each grid node is estimated by summing up the contributions from all the events in the grid to that specific point. Normalizing the smoothed rate by the total rate yields the expected earthquake density over all the grid nodes. The latter is used as the basis for assigning epicenter locations to a given grid point, i.e. with a probability that is proportional to the expected earthquake rate at that location. This is achieved by simply applying the discrete version of the inverse method to the cumulative distribution of the normalized smoothed rate. Once an epicenter has been linked to a specific grid

point XY , its latitude (longitude) is simulated from an uniform distribution whose support is $([lat(XY) - sbin, lat(XY) + sbin] [lon(XY) - sbin, lon(XY) + sbin])$. Main steps are summarized in Algorithm 3:

Algorithm 3: Epicenters latitude and longitudes simulation

```

input: CUMSUM: Cumulative sum of the (sorted) smoothed rate
1 for each STAI gap do
2   while count  $\leq$  Number of earthquakes missing in the STAI gap do
3      $U = \text{RAND}(0,1);$ 
4     for each grid point XY do
5       if  $CUMSUM(XY - 1) \leq U < CUMSUM(XY)$  then
6          $U2 = \text{RAND}(0,1);$ 
7          $LON = LON(XY - 1) + U2 * sbin;$ 
8          $LAT = LAT(XY - 1) + U2 * sbin;$ 
9       end
10    end
11    count  $+= 1;$ 
12  end
13 end

```

2.6 Output

RESTORE replenishes the original catalog with the reconstructed events, by properly taking into account the occurrence time of the latter. The resulting catalog is saved in ZMAP format and differs from the original one only for two aspects: 1) the depth column is now a zeros vector, as this information has not been taken into account for the spatial simulation of missing earthquakes; 2) there is an additional column which flags events to 0 or 1, depending on whether they belong to the original catalog or they have been simulated. Additionally, several graphical outputs are returned:

- Time evolution of magnitude of completeness, with highlighted all detected STAI gaps (the plot neglects the seismic quiescent period);
- Magnitudes versus sequential numbers for the original and replenished catalogs: this is a great, tough qualitative, tool to highlight STAI issues which could possible affect earthquake magnitudes through time;
- Magnitude versus time for 1) the original catalog and the reconstructed events; 2) the original catalog only;
- Spatial map of the original events with overlapping reconstructed events;
- Magnitude-frequency distribution (MFD) for both the original and the replenished catalogs.

Finally, the magnitude of completeness is estimated by the Lilliefors test for both the original and the replenished catalogs. This provides an additional test for validating the outputs by RESTORE: intuitively, we expect the M_c estimated for the replenished catalog to be very close to the pre-sequence value M_c^* . However, the user should keep in mind that the statistical power of the Lilliefors test (and, more in general, of the Kolmogorov-Smirnov test) greatly increases with the sample size (Stallone, 2018; Marzocchi et al., 2020). It follows that for a large number of events, which can be the case for the replenished catalog, the Lilliefors test becomes very sensitive to even slight deviations from an exponential distribution. This is not necessary ideal, since the detected departures could actually arise from magnitude errors. We therefore strongly recommend to inspect the replenished catalog by both the Lilliefors test and the outputs listed above.

3 Synthetic test

As a validation test, we implement numerical modeling, which enables us to control the number of missing events and their collocation in the magnitude-time-space domain. The goal is to check whether the algorithm is capable of reconstructing this information with an acceptable degree of accuracy. First, we simulate a seismic catalog by implementing the stochastic program described in (Felzer et al., 2002), which simulates the ETAS model (Ogata, 1988) as a branching process. In the original code, earthquakes with a magnitude larger than 6.5 are modeled as planar sources. We change that by modeling all the events as point sources. We use the program to simulate a 2-years-long catalog in Southern California, with magnitudes ranging from 2 to 6.9. We leave unchanged the remaining parameters needed for the simulation as indicated in the code. The b -value is set equal to 1. Since our next step is to simulate incompleteness of aftershocks following the largest earthquake in the catalog, we select a subset of the simulated dataset, which ranges from 1 year before to 3 months after the occurrence of the largest earthquake ($M = 6.9$). After this step, the original catalog includes 11,169 events.

We simulate the STAI issue for the largest event by following the approach described in (Ogata & Katsura, 2006). Specifically, earthquakes are filtered out at a magnitude-dependent rate, according to the cumulative normal distribution:

$$F(M|\mu, \sigma) = \int_{-\infty}^M \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(x-\mu)^2}{2\sigma^2}} dx, \quad (5)$$

where μ and σ are constant: the first is the magnitude with a detection rate of 50%; the latter is the standard deviation of the normal distribution. $F(M|\mu, \sigma)$ is the probability of detection at magnitude M . See (Stallone, 2018) for more details. For our simulations, we set $\mu = 3$ and $\sigma = 0.2$; we assume that the magnitude of completeness is restored to the reference value 3 days after the occurrence of the large event. The catalog after STAI modeling includes 7,744 events.

Figure 1 shows the frequency-magnitude distribution for the original (left) and incomplete (right) catalog, for which the STAI issue has been modeled. Figure 2 plots the magnitude of events as a function of time (over a period of 0-3 days from the mainshock) for the original (top) and incomplete (bottom) catalog.

As a next step, we implement RESTORE for reconstructing the missing events in the magnitude-time-space domain. We leave the default values for the window size (1000 events) and the step (250). The reference value for the magnitude of completeness equals the minimum magnitude in the synthetic catalog, i.e. 2.0. We set the b -value for the Gutenberg-Richter law to 1. Figure 3 shows some of the graphical outputs returned by the algorithm. We observe that occurrence times, magnitude range and locations of missing events have been correctly reconstructed. The replenished catalog includes 11,106 events, i.e. the number of missing earthquakes is correct within an acceptable error bound (1.8%). The magnitude of completeness estimated by the Lilliefors test is 2.8 and 2.1 for the incomplete and replenished catalog, respectively.

In order to further inspect the algorithm performance, we compare the frequency-magnitude distribution and the magnitude-time plot (this latter within 3 days from the large shock) for 1) the original synthetic catalog before STAI modeling; 2) the original synthetic catalog after STAI modeling; 3) the replenished catalog. Results are shown in Figure 4 and Figure 5. This comparison further proves the good performance of the algorithm when reconstructing missing events in the magnitude-time-space domain.

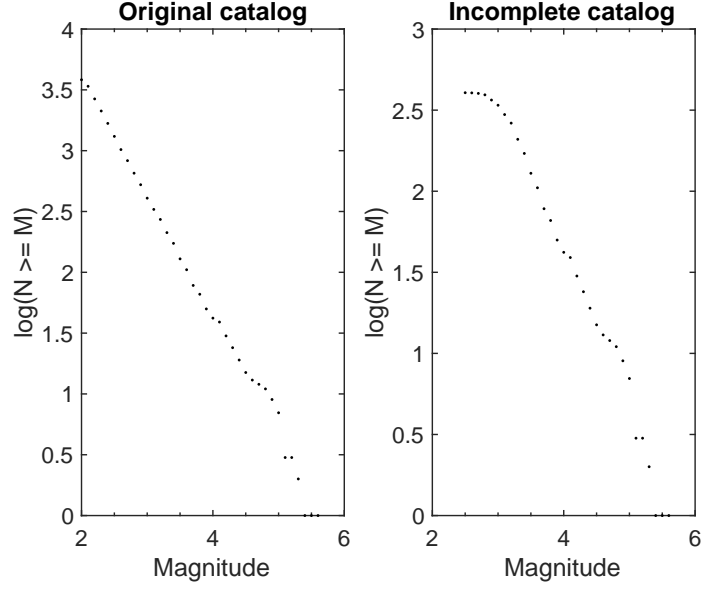


Figure 1. Frequency-magnitude distribution for the original synthetic catalog before STAI modeling (left) and after STAI modeling (right).

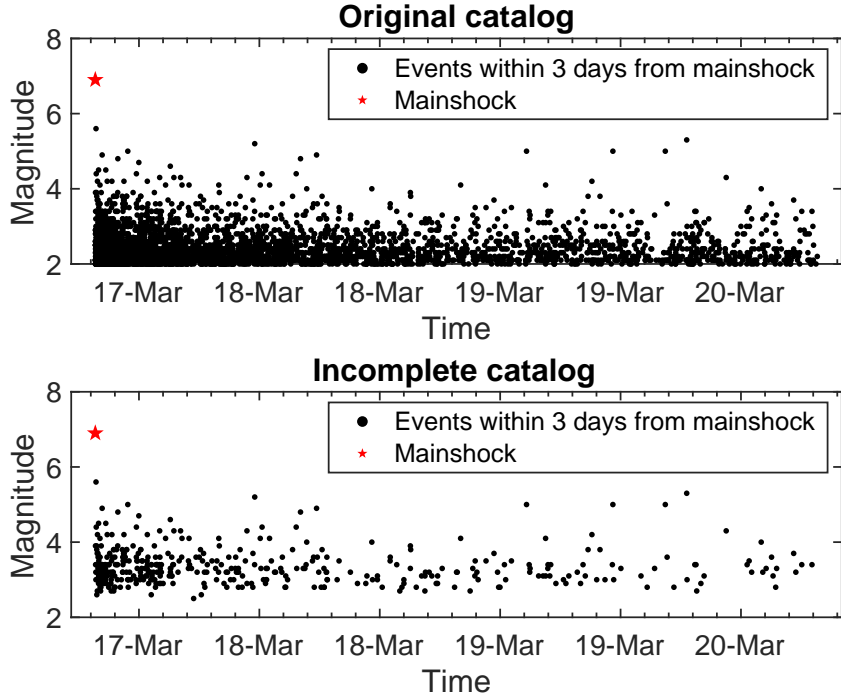


Figure 2. Magnitude-time plot for events occurred within 3 days from the large shock. Top: before STAI modeling. Bottom: after STAI modeling.

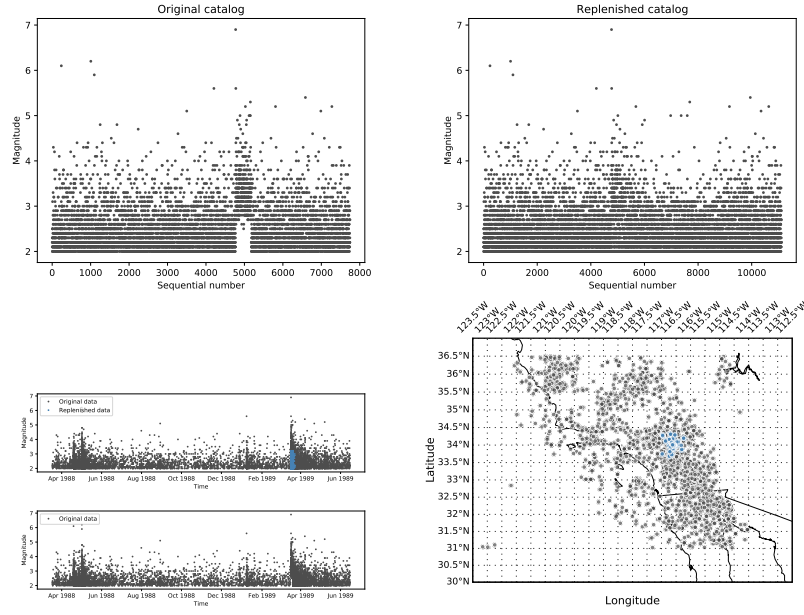


Figure 3. Main graphical outputs of the algorithm. Top Left: Magnitudes versus sequential numbers for the original (synthetic) catalog; Top Right: Magnitudes versus sequential numbers for the replenished catalog; Bottom Left: Magnitude versus time for 1) the original catalog and the reconstructed events 2) the original catalog only; Bottom Right: Spatial map of the original events with overlapping reconstructed events.

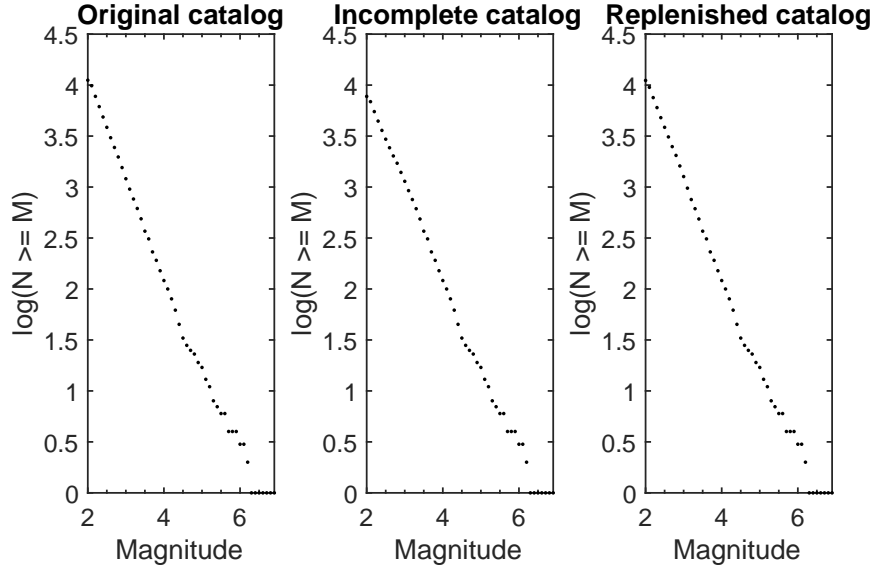


Figure 4. Frequency-magnitude distribution. From left to right: original synthetic catalog before STAI modeling, original synthetic catalog after STAI modeling, replenished catalog.

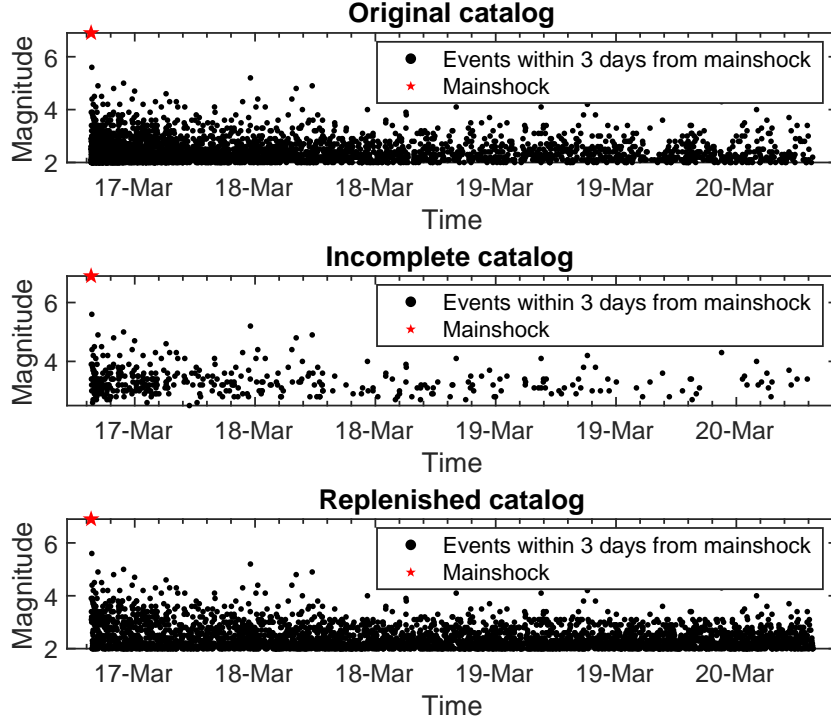


Figure 5. Magnitude-time plot. From top to bottom: original synthetic catalog before STAI modeling, original synthetic catalog after STAI modeling, replenished catalog.

4 Real-case test (Amatrice earthquake)

We apply RESTORE to the 24 August 2016 Mw 6.2 Amatrice earthquake. The downloaded catalog covers the period from 1st January 2016 to 30 September 2016 and includes 18,623 events. We leave the default values for the window size (1000 events) and the step (250). The seismically quiescent period ranges from 1st January 2016 to 24 August 2016 and includes 2,351 events. We estimate the reference value for the magnitude of completeness M_c^* with the Lilliefors test provided by the algorithm, which returns $M_c^* = 1.3$. This leaves 11,429 earthquakes with $M \geq M_c^*$. Finally, we set the b -value for the Gutenberg-Richter law equal to 1. The replenished catalog includes 17,213 events. Figure 6 plots the magnitude of completeness as a function of time, with highlighted the detected STAI gaps (four in this case). The magnitude of completeness is recovered to the reference value M_c^* after about 1 month. Figure 7 shows the other graphical outputs returned by the algorithm. While the ground truth is not known in the real-case test, we observe that the missing events are correctly reconstructed in a way which is consistent with data.

5 Conclusions

We have presented RESTORE, a new Python toolbox for the reconstruction of magnitude, time and location of missed events in the coda of large shocks. It relies on very few assumptions - e.g. the detection rate of events can be assumed to be constant within periods of time that are much shorter than the STAI extent. It also relies on a data-driven approach, which is built on well-known empirical properties of earthquake data, such as the Gutenberg-Richter law for the frequency-magnitude distribution and the aftershocks

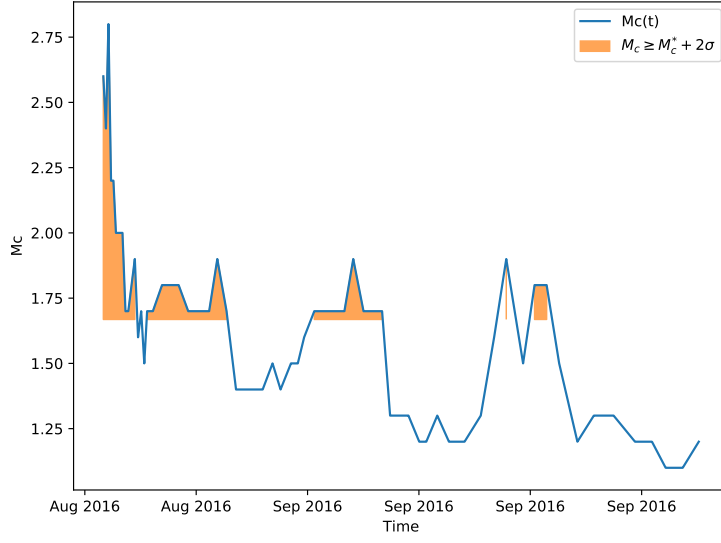


Figure 6. Temporal evolution of the magnitude of completeness, with highlighted the detected STAI gaps. The moving-window includes 1000 events and is shifted by 250 events.

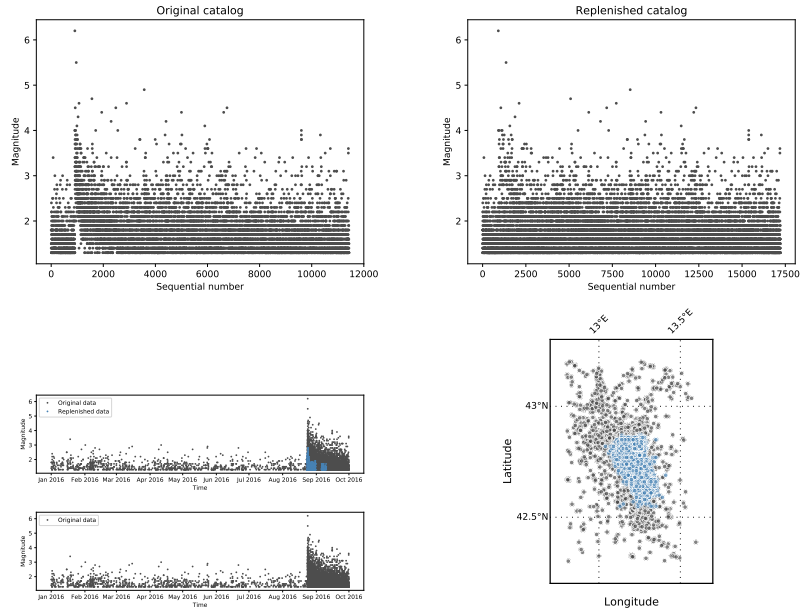


Figure 7. Main graphical outputs of the algorithm. Top Left: Magnitudes versus sequential numbers for the original catalog; Top Right: Magnitudes versus sequential numbers for the replenished catalog; Bottom Left: Magnitude versus time for 1) the original catalog and the reconstructed events 2) the original catalog only; Bottom Right: Spatial map of the original events with overlapping reconstructed events.

clustering in space. The critical subsets of the catalog that are affected by STAI are automatically detected through a moving-window approach, which identifies statistically significant departures of the magnitude of completeness with respect to a reference value. We demonstrate the robustness of the algorithm by means of a numerical and a real-case test. In the first case, the ground truth is accurately recovered: not only the number of missing earthquakes is correctly retrieved, but their space-time-magnitude stochastic distribution is correctly resolved as well. The real-test case, which applies to the Mw 6.2 Amatrice earthquake, further proves the good performance of the algorithm, which reconstructs the missed events in a way that is consistent with the data. RESTORE is made freely available and can be downloaded at the link provided in the Acknowledgments. It promises to become a valuable research tool to tackle the STAI issue, which can severely bias any study based on the analysis of real seismic catalogs. Hopefully, it will help reducing these sources of bias, thus leading to better operational earthquake forecasting and seismic hazard assessment.

6 Data Availability Statement

The algorithm RESTORE is available at the following Zenodo repository: <https://doi.org/10.5281/zenodo.3952182>, and can also be downloaded from GitHub at this link: <https://github.com/angystallone/RESTORE>. The repository includes the dataset used for the synthetic test as well. The seismic catalog used for the real-case test (Amatrice earthquake) is the HOMogenized instrUMENTal Seismic catalog (HORUS) of Italy (Lolli et al., 2020) and it can be downloaded at this link: <https://horus.bo.ingv.it/>.

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Appendix A Calculation of number of missing events

Here we derive Equation 1 of the text. The frequency-magnitude distribution of earthquakes is typically described by the Gutenberg-Richter (G-R) exponential law (Gutenberg & Richter, 1944):

$$N(M) = 10^{a-b*M}, \quad (\text{A1})$$

where $N(M)$ is the number of events with magnitude $\geq M$ ($M \geq M_{min}$, i.e. the minimum magnitude in the earthquake catalog), a is a constant related to the total seismic rate and b is the b -value, controlling the relative number of large earthquakes in the catalog.

Let us consider the case where $M_2 \geq M_1$. We have:

$$\begin{aligned} N(M \geq M_1) &= 10^{a-b*M_1} \\ N(M \geq M_2) &= 10^{a-b*M_2} \end{aligned}$$

When considering the whole catalog, the number of expected events with magnitude M , $M_1 \leq M < M_2$ is simply given by $N(M \geq M_1) - N(M \geq M_2)$.

However, when considering a subset of the catalog, we need to rescale our problem (in other words, we need to get rid of the term 10^a).

We start by expressing $N(M \geq M_1)$ as a function of $N(M \geq M_2)$ and b only, by calculating their ratio:

$$\frac{N(M \geq M_1)}{N(M \geq M_2)} = 10^{-b*(M_1-M_2)} \quad (\text{A2})$$

It follows that:

$$N(M \geq M_1) = N(M \geq M_2) * 10^{-b*(M_1-M_2)} \quad (\text{A3})$$

We observe that $M_2 = M_1 + n*mbin$, where $mbin$ is the magnitude binning (usually equal to 0.1). It follows that:

$$N(M \geq M_1) = N(M \geq M_2) * 10^{b*n*mbin} \quad (\text{A4})$$

This simple relationship allows us to write the number of expected events with magnitude M , $M_1 \leq M < M_2$, as a function of the number of events with magnitude M , $M \geq M_2$, observed in the catalog subset:

$$N(M_1 \leq M < M_2) = N(M \geq M_2) * 10^{b*n*mbin} - N(M \geq M_2) \quad (\text{A5})$$

This enables us to extrapolate the frequency of earthquakes above a given magnitude to any lower magnitude cutoff. We implicitly assume that the b -value is constant for any subset of the whole catalog.

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