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9 **Subseasonal prediction of the state and evolution of the North Pacific jet stream**

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11 *By*

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13 ANDREW C. WINTERS<sup>1\*</sup>

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17 <sup>1</sup>Department of Atmospheric and Oceanic Sciences  
18 University of Colorado Boulder  
19 Boulder, CO 80309  
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30 **Key Points:**

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32 **1)** Skillful predictions of the prevailing North Pacific jet regime extend into the week 3  
33 forecast period.  
34 **2)** Large model errors at lead times longer than 2 weeks are often associated with low  
35 forecast frequency biases of North Pacific jet regimes.  
36 **3)** The worst 21-day forecasts from each model are associated with the development,  
37 maintenance, and decay of upper-tropospheric ridges.  
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\* *Corresponding author address:* Andrew C. Winters, Dept. of Atmospheric and Oceanic Sciences, University of Colorado Boulder, 311 UCB, Boulder, CO 80309. E-mail: andrew.c.winters@colorado.edu

## Abstract

The state and evolution of the North Pacific jet (NPJ) stream strongly influences the character of the downstream synoptic-scale flow pattern over North America. This study employs data from nine models within the Subseasonal-to-Seasonal Reforecast Database hosted by the European Centre for Medium-Range Weather Forecasts to examine the subseasonal (2 weeks–1 month) predictability of the NPJ through the lens of an NPJ phase diagram. The NPJ phase diagram provides a visual representation of the state and evolution of the NPJ with respect to the two leading modes of NPJ variability. The first mode of NPJ variability corresponds to a zonal extension or retraction of the climatological jet-exit region, whereas the second mode corresponds to a poleward or equatorward shift of the climatological jet-exit region. The analysis reveals that ensemble forecasts of the prevailing NPJ regime, as determined from the NPJ phase diagram, are skillful into week 3 of the forecast period. Forecasts initialized during a jet retraction, or verifying during a jet retraction and equatorward shift, feature the largest forecast errors during weeks 1–2 of the forecast period for all models. Beyond week 2, the verifying NPJ regime characterized by the largest forecast error varies by model and is related to forecast frequency biases in the prediction of each NPJ regime at subseasonal time scales. Examination of the worst-performing 21-day forecasts from each model demonstrates that the worst-performing forecasts are uniformly associated with development, maintenance, and decay of upper-tropospheric ridges over the high-latitude North Pacific.

## **Plain Language Summary**

The jet stream is a ribbon of rapidly moving air that circumnavigates the globe approximately 12 km above the Earth's surface. The evolution of a segment of the jet stream over the North Pacific, hereafter referred to as the North Pacific jet (NPJ), exerts an important influence on downstream weather conditions over North America. Consequently, this study examines the extent to which forecast models can accurately capture the state and evolution of the NPJ 2–4 weeks in advance. The analysis reveals that an elongated or poleward shifted NPJ is generally characterized by enhanced forecast accuracy, whereas a wavier or split NPJ is generally characterized by reduced forecast accuracy. Recognition of these NPJ configurations within a real time forecast environment can provide “windows of opportunity”, in which forecast conditions over the North Pacific and North America can be anticipated with a higher degree of confidence up to 4 weeks in advance.

## 1. Introduction

The improvement of subseasonal (2 weeks to 1 month) forecasts has been a priority for the meteorological community and its partners (NRC, 2010; NAS, 2018). The subseasonal time scale represents a forecast skill gap within numerical weather prediction models, as forecast lead times on this scale are too long to benefit from knowledge of atmospheric initial conditions, but also too short to benefit from knowledge of low frequency climate variations such as sea-surface temperature and soil moisture fluctuations (e.g., NRC, 2010; NAS, 2018; Vitart et al., 2017; Pegion et al., 2019). Nevertheless, subseasonal forecasts offer considerable value to stakeholders, including individuals in emergency management, agriculture, water management, and public health (White et al., 2017; Pegion et al., 2019), who can act to mitigate risks from the occurrence of anomalous weather conditions.

The identification and prediction of “weather regimes”, which are defined as reoccurring and/or persistent large-scale atmospheric patterns maintained by synoptic-scale weather systems (e.g., Reinhold & Pierrehumbert, 1982; Vautard, 1990; Ferranti et al., 2015, 2018; Straus et al., 2017; Vigaud et al. 2018; Lee et al. 2019; Winters et al. 2019a; Robertson et al., 2020), represent burgeoning areas of research relevant to the subseasonal time scale. Weather regimes can be defined over a spectrum of spatial domains, such as the Northern Hemisphere (e.g., Mo & Ghil, 1988; Kimoto & Ghil, 1993; Corti et al., 1999), the Euro–Atlantic sector (e.g., Vautard, 1990; Michelangeli et al., 1995; Cassou, 2008; Dawson & Palmer, 2014; Ferranti et al., 2015, 2018; Grams et al., 2017; Matsueda & Palmer, 2018), and the Pacific–North American sector (e.g., Robertson & Ghil, 1999; Straus et al., 2007; Riddle et al., 2013; Matsueda & Kyouda, 2016; Vigaud et al., 2018; Amini & Straus, 2019; Lee et al., 2019; Winters et al., 2019a; Robertson et al., 2020). Knowledge of the prevailing or forecasted weather regime subsequently provides



insight into the character of the large-scale flow pattern over a region as well as the relative likelihood for anomalous sensible weather to develop in conjunction with that regime.

Examinations into the predictability of weather regimes have been predominantly focused on the Euro-Atlantic sector (e.g., Ferranti et al. 2015, 2018; Matsueda & Palmer, 2018). A common thread among these examinations is that forecast models have difficulty capturing the onset, maintenance, and decay of upper-tropospheric blocking events, which has implications for the occurrence of high-impact weather events over Europe, such as cold-air outbreaks and heat waves (e.g., Jung et al., 2011; Ferranti et al., 2018; Quandt et al., 2019). Evaluation of the predictability of weather regimes over North America has recently received greater attention. In particular, Vignaud et al. (2018) and Robertson et al. (2020) demonstrate that the predictability of North American weather regimes, as defined from a  $k$ -means clustering analysis of 500-hPa geopotential height, is generally on the order of two weeks. Robertson et al. (2020) observe, however, that there are “forecasts of opportunity” in which the prevailing weather regime may be predicted up to four weeks in advance. These forecasts of opportunity were found to coincide with periods influenced by low frequency modes of variability such as the El Niño–Southern Oscillation and the Madden–Julian Oscillation.

The North Pacific jet (NPJ) stream represents a synoptic-scale feature whose state and evolution serves as a conduit between the aforementioned modes of low frequency variability and the character of the downstream large-scale flow pattern over North America (e.g., Cordeira & Bosart, 2010; Archambault et al., 2015; Bosart et al., 2017; Griffin & Martin, 2017; Vignaud et al. 2018; Winters et al., 2019a,b; Robertson et al., 2020). Therefore, accurate forecasts of the state and evolution of the NPJ exhibit the potential to inform predictions of weather conditions over North America. Winters et al. (2019a) developed an NPJ phase diagram on the basis of this

observation to objectively track the state and evolution of the NPJ using output from reanalysis products and numerical weather prediction models. The NPJ phase diagram is constructed from the two-leading empirical orthogonal functions (EOFs) of 250-hPa zonal wind anomalies over the North Pacific during September–May. The first EOF corresponds to a zonal extension or retraction of the climatological exit region of the NPJ, whereas the second EOF corresponds to a poleward or equatorward shift of the climatological exit region of the NPJ. Figure 1 shows the characteristic large-scale flow patterns associated with the four primary NPJ regimes derived from the NPJ phase diagram and reveals that each NPJ regime is associated with distinct temperature and sea-level pressure anomaly patterns across the Pacific–North American sector. Winters et al. (2019b) and Turasky (2019) further demonstrate that the frequencies of continental U.S. extreme temperature events and landfalling atmospheric river events, respectively, are significantly modulated by the antecedent state and evolution of the NPJ as determined from the NPJ phase diagram.

Predicated on the relationship between each NPJ regime and the large-scale flow pattern over North America, Winters et al. (2019a) conducted an evaluation of the medium-range (6–10-day) forecast skill associated with each NPJ regime by calculating 9-day ensemble forecasts of the state and evolution of the NPJ in the context of the NPJ phase diagram using the GEFS Reforecast Version 2 dataset (Hamill et al., 2013). Their analysis found that ensemble mean forecasts verifying during jet retraction and equatorward shift regimes were associated with larger medium-range forecast errors than forecasts verifying during jet extension and poleward shift regimes. Consideration of the worst-performing 9-day NPJ phase diagram forecasts also found that the worst forecasts occurred in conjunction with rapid NPJ regime transitions towards an equatorward shift regime as well as the development of North Pacific blocking ridges.

A limitation to the Winters et al. (2019a) analysis is that it focuses on one ensemble prediction system and does not consider the extent to which the forecast skill of the NPJ extends into subseasonal time scales. Furthermore, prior work on North American weather regimes does not quantify the forecast skill associated with regime transitions, which are periods that often lead to substantial downstream impacts over North America (e.g., Bosart et al., 2017). The NPJ phase diagram is well suited for such an analysis, much like those diagrams used by Matsueda & Palmer (2018) and Ferranti et al. (2018) to describe Euro-Atlantic weather regimes, since it allows for observable transitions between regimes and serves as an objective tool to evaluate the ability of models to simulate the cumulative upper-tropospheric flow response to tropical and midlatitude forcing. The remainder of this study is organized as follows. Section 2 discusses the data and methodology used to construct the NPJ phase diagram and NPJ phase diagram forecasts. Section 3 examines the multi-model skill of NPJ phase diagram forecasts. Section 4 considers the evolution of the synoptic-scale flow pattern associated with the best- and worst-performing NPJ phase diagram forecasts from each model, and section 5 provides a discussion of the main conclusions from this work.

## **2. Data and methods**

### *2.1. Data*

This study uses data at 6-h intervals during September–May 1979–2019 from the National Centers for Environmental Prediction (NCEP) Climate Forecast System Reanalysis (CFSR; Saha et al., 2010, 2014) as well as data during September–May from the Subseasonal-to-Seasonal (S2S) Reforecast Database hosted by the European Centre for Medium-Range Weather Forecasts (ECMWF; Vitart et al., 2017). The CFSR features 0.5° horizontal grid spacing and 64

vertical levels that extend from the surface to 0.26 hPa. The S2S Reforecast Database consists of reforecasts from 11 operational centers, each with a different reforecast period, ensemble size, forecast frequency, forecast length, and model version. Reforecast data are stored on 10 pressure levels and a  $1.5^{\circ} \times 1.5^{\circ}$  latitude-longitude grid, are initialized at 0000 UTC, and are available at forecast lead times as long as 32–61 days at 24-h intervals. Exceptions to this format are reforecasts from the Australian Bureau of Meteorology (BoM), which are stored on a gaussian grid, and reforecasts from the Japan Meteorological Agency (JMA), which are initialized at 1200 UTC.

To ensure uniformity in the forthcoming analyses, this study does not consider reforecasts from the BoM and JMA, and only those reforecasts from the nine operational centers identified in Table 1. These centers include Environment and Climate Change Canada (ECCC), Météo-France/Centre National de Recherche Meteorologiques (CNRM), the Institute of Atmospheric Sciences and Climate of the National Research Council (ISAC), the Korea Meteorological Administration (KMA), NCEP, the UK Met Office (UKMO), the Hydrometeorological Center of Russia (HMCR), ECMWF, and the China Meteorological Administration (CMA). The reforecasts from a particular center are constructed using either a “fixed” version of a forecast model or “on the fly” using the current version of a forecast model on the date reforecasts were conducted. For this study, the most recent version of a forecast model prior to 2019 is used to acquire “fixed” reforecast data, and those reforecasts that were conducted during 2019 represent reforecast data that was compiled “on the fly”. Some “on the fly” reforecasts from the CMA model were also conducted during 2020 to ensure that reforecasts are available throughout September–May during the CMA’s 15-year reforecast period. Full details on the characteristics of each reforecast dataset are discussed at length in Vitart et al.

(2017).

## 2.2. *The NPJ phase diagram*

The NPJ phase diagram is constructed in an identical manner as in Winters et al. (2019a) with slight modifications to align with the format of the S2S Reforecast Database. Therefore, the forthcoming discussion in this subsection mirrors that from Winters et al. (2019a). First, CFSR data are regridded to  $1.5^\circ$  horizontal grid spacing to match the grid spacing of the reforecast data. Next, 300-hPa zonal wind anomalies from the CFSR are calculated at 6-h intervals during September–May 1979–2019 for each grid point within a North Pacific domain ( $10.5\text{--}79.5^\circ\text{N}$ ;  $100.5\text{--}240^\circ\text{E}$ ) that aligns with that used in prior work on NPJ variability (e.g., Jaffe et al., 2011; Griffin & Martin, 2017; Winters et al., 2019a,b). 300-hPa zonal wind anomalies are determined with respect to the CFSR climatology, which is calculated at 6-h intervals for each grid point by retaining the first four harmonics of the mean annual cycle. Note that S2S reforecast data are only available at 300 hPa and 200 hPa. Therefore, the use of 300-hPa zonal wind anomalies in this study represents a departure from the 250-hPa zonal wind anomalies that Winters et al. (2019a) employ in their development of the NPJ phase diagram.

A traditional EOF analysis (Wilks, 2011) is performed on the aforementioned 300-hPa zonal wind anomaly data from the CFSR to reveal the two leading modes of NPJ variability (Figs. 2a,b). EOF 1 explains 9.9% of the variance and corresponds to a zonal extension or retraction of the climatological jet-exit region. EOF 2 explains 7.2% of the variance and corresponds to a poleward or equatorward shift of the climatological jet-exit region. The two leading EOFs, and their explained variance, are similar to those found in prior work (e.g., Athanasiadis et al., 2010; Jaffe et al., 2011; Griffin & Martin, 2017; Winters et al., 2019a), and are statistically well separated (North et al., 1982). To instill confidence that the identified NPJ

regimes are robust, the same modes of NPJ variability found using 6-h data from the CFSR were also observed when an EOF analysis was performed on monthly-averaged zonal wind anomaly data (not shown).

The temporal evolution of the NPJ with respect to the two leading EOFs is characterized using the principal component (PC) time series that are returned from the traditional EOF analysis. For this study, 6-h PC data are normalized to unit variance and are averaged over a 5-day period centered on each analysis time. This 5-day average of the PCs removes the high frequency variability of the jet on daily timescales but retains the lower frequency variability of the jet on synoptic timescales. The PCs at a particular analysis time can be visualized by plotting them on the NPJ phase diagram shown in Fig. 2c. The distance along the  $x$ -axis in the NPJ phase diagram identifies how strongly 300-hPa zonal wind anomalies at that time project onto EOF 1, where positive values represent a jet extension and negative values represent a jet retraction. The distance along the  $y$ -axis in the NPJ phase diagram identifies how strongly 300-hPa zonal wind anomalies at that time project onto EOF 2, where positive values represent a poleward shift and negative values represent an equatorward shift. The projection of PCs onto the two leading EOFs over a selected time period produces a trajectory within the NPJ phase diagram that describes the NPJ evolution in the context of the two leading EOFs.

The NPJ phase diagram is subsequently used to classify the state of the NPJ into four NPJ regimes based on whether the magnitude of PC 1 or PC 2 is larger and whether the NPJ resides at a distance of greater than 1 PC unit from the origin. A projection that falls within a radius of 1 PC unit of the origin of the NPJ phase diagram represents an NPJ that does not project well onto the two leading EOFs or that resembles climatology. Composites of the upper- and lower-tropospheric flow pattern during periods characterized by each NPJ regime (not shown) align

with those shown in Fig. 1. This alignment lends confidence that the alterations made to the NPJ phase diagram for this study do not impact the character of the large-scale flow pattern associated with each NPJ regime.

### *2.3. NPJ phase diagram reforecasts and verification*

300-hPa zonal wind anomalies from the nine reforecast datasets identified in Table 1 are used to construct ensembles of NPJ phase diagram forecasts with forecast lead times as long as 32–61 days, depending on the model. In particular, 300-hPa zonal wind anomalies are calculated for each ensemble member and at every forecast lead time based on the CFSR climatology. The zonal wind anomalies associated with each ensemble member forecast are then projected onto the two leading modes of NPJ variability shown in Fig. 2 to construct an ensemble of trajectories within the NPJ phase diagram that describe the forecast evolution of the NPJ. As with the CFSR data, the forecast PCs within a 5-day window centered on each forecast lead time are averaged together to remove high frequency variations of the NPJ on daily timescales. The 5-day average forecast PCs at 0-h, 24-h, and 48-h lead times are calculated by appending CFSR PCs 48-h, 24-h, and 0-h prior to the start of the forecast period onto the beginning of the forecast PC time series associated with each ensemble member. All ensemble member NPJ phase diagram forecasts initialized at the same time from a particular model are averaged together to produce an ensemble mean NPJ phase diagram forecast.

NPJ phase diagram forecasts are evaluated by calculating the Euclidean distance between the ensemble mean forecast position of the NPJ within the NPJ phase diagram at a particular forecast lead time and the verifying position of the NPJ at that same forecast lead time using the CFSR. These statistics are calculated for individual ensemble member NPJ phase diagram forecasts, as well. Forecasts are then classified based on the NPJ regime at the time of forecast

initialization as well as the NPJ regime at the time of forecast verification using the position of the NPJ within the NPJ phase diagram according to Fig. 2c. This classification of forecasts permits an examination of the extent to which forecast performance varies across models and the four primary NPJ regimes. Note that any forecasts verifying during the month of June are excluded from any calculated forecast statistics given that the NPJ phase diagram is derived solely from zonal wind anomaly data during September–May.

The present study also identifies the synoptic-scale flow patterns and evolutions that are associated with the best- and worst-performing NPJ phase diagram forecasts from each model. The best- and worst-performing forecasts are identified in a similar manner as Winters et al. (2019a) using both the cumulative ensemble mean Euclidean distance error in the context of the NPJ phase diagram throughout the first 21 days of the forecast period and the cumulative ensemble member Euclidean distance error. The best-performing forecasts are those forecasts that rank in the lowest 10% in terms of both the cumulative ensemble mean error and the cumulative ensemble member error for a particular model, whereas the worst-performing forecasts are those forecasts that rank in the highest 10% in terms of both the cumulative ensemble mean error and the cumulative ensemble member error for a particular model. The use of both of these criteria identifies the best-performing forecasts as those that are accurate and confident (i.e., small ensemble spread) and the worst-performing forecasts as those that are inaccurate and uncertain (i.e., large ensemble spread).

### **3. Multi-model performance of NPJ phase diagram forecasts**

The total number of valid NPJ phase diagram forecasts from each model is shown as a function of forecast lead time in Fig. 3a. Each model is associated with at least 500 valid NPJ



phase diagram forecasts at every forecast lead time, with those models that feature a greater forecast frequency (i.e., the NCEP, ISAC, and ECMWF) characterized by larger sample sizes. While each model is initialized at different frequencies and over different years (Table 1), Fig. 3a reveals that there is a suitable sample size of reforecasts from each model from which to draw conclusions concerning the predictability of the NPJ on subseasonal timescales.

The average ensemble mean distance error of NPJ phase diagram forecasts increases exponentially during week 1 of the forecast period, increases linearly during week 2, and levels off during week 3 for all models. The average ensemble mean error remains approximately constant thereafter, suggesting that any differentiable skill of NPJ phase diagram forecasts diminishes after 21 days (Fig. 3b). The difference in the average ensemble mean error between models at any forecast lead time is also no larger than 0.5 PC units, with the ECWMF model exhibiting the lowest average ensemble mean error at all forecast lead times for which it features a valid forecast. Note that the larger ensembles (e.g., ECMWF, CNRM, HMCR) aren't uniformly associated with lower average ensemble mean errors, as the HMCR model ranks in the top 50% of all models in terms of its average ensemble mean error at every forecast lead time. Figure 3c shows the percent of ensemble member forecasts from each model that correctly identify the verifying NPJ regime at each forecast lead time and reveals that all models are significantly more skillful at identifying the prevailing NPJ regime compared to random chance at lead times shorter than 21–28 days. The largest difference in performance between forecast models is maximized near the end of week 1 (Fig. 3c), where some models are approximately 20% less accurate at identifying the prevailing NPJ regime than the best-performing model (i.e., ECMWF).

Reliability diagrams that evaluate the probabilistic detection of the verifying NPJ regime

for the three largest ensembles (i.e., CNRM, ECMWF, HMCR) demonstrate that NPJ phase diagram forecasts are underdispersive at forecast lead times exceeding 7 days (Fig. 4). Consequently, ensemble forecasts from these three models tend to be overconfident in the development of a particular NPJ regime at medium-range and subseasonal lead times. In particular, both CNRM (Fig. 4a) and ECMWF (Fig. 4b) forecast probabilities exceeding 50% are overconfident by 10–30% at forecast lead times exceeding 14 days, whereas HMCR forecast probabilities exceeding 50% are overconfident by 20–50% (Fig. 4c). The reduced performance of HMCR forecasts compared to CNRM and ECMWF forecasts is also apparent in Fig. 2b, which reveals that the average ensemble mean forecast error for HMCR forecasts is larger than those from the CNRM and ECMWF models at all forecast lead times.

Motivated by the observation that NPJ phase diagram forecasts exhibit skill compared to climatology into weeks 3 and 4 of the forecast period (Figs. 2b,c), the forthcoming analysis considers the extent to which NPJ phase diagram forecast errors vary based on the initial NPJ configuration. Figures 5a,c,e,g show the number of forecasts from each model that are initialized within each of the four primary NPJ regimes as a function of forecast lead time. In contrast to earlier analyses, forecast error (Figs. 5b,d,f,h) is now expressed as a percentage relative to the average ensemble mean error of all forecasts from a particular model that are initialized within one of the four primary NPJ regimes. Forecasts that are initialized within the origin of the NPJ phase diagram are not factored into this analysis since the NPJ does not project strongly onto one of the leading modes of NPJ variability. Positive percentages indicate that ensemble mean forecast errors are larger than average when a model is initialized during a certain NPJ regime, whereas negative percentages indicate that ensemble mean forecast errors are smaller than average.

Figure 5d reveals that forecasts initialized during a jet retraction feature an ensemble mean forecast error that is 10–20% greater than each model’s average at a 7-day lead time, whereas forecasts initialized during a poleward shift feature errors that are 5–15% less than each model’s average at the same lead time (Fig. 5f). Forecasts initialized during a jet extension are characterized by errors that are between 10% less and 5% greater than each model’s average at 0–2-week lead times (Fig. 5b), and forecasts initialized during an equatorward shift are characterized by errors that are between 5% less and 10% greater than each model’s average at 0–2-week lead times. At lead times beyond 2 weeks, the forecast errors associated with each NPJ regime are comparable to one another. Consequently, there does not appear to be a systematic difference in forecast performance based on the initial NPJ regime at lead times longer than 2 weeks as the forecasts are further removed from the influence of the model’s initial conditions.

Figure 6 considers the ensemble mean forecast error associated with each model based on the NPJ regime at the time of forecast verification. This approach evaluates the extent to which forecast performance varies based on the character of the NPJ evolution following forecast initialization. The number of forecasts associated with each model as a function of the verifying NPJ regime are shown in Figs. 6a,c,e,g. Overall, NPJ phase diagram forecasts that verify during a jet retraction (Fig. 6d) or equatorward shift (Fig. 6h) exhibit systematically larger ensemble mean forecast errors than forecasts that verify during a jet extension (Fig. 6b) or poleward shift (Fig. 6f) at lead times less than 7 days. This result aligns with that found by Winters et al. (2019a) using the GEFS Reforecast Version 2 dataset and implies that forecasts associated with the development of a North Pacific ridge (Figs. 1c,f) during week 1 feature greater ensemble mean forecast errors across all models.

At lead times longer than 7 days, the performance of NPJ phase diagram forecasts

verifying during each NPJ regime is dependent on the model. In particular, the forecasts with the largest errors at lead times exceeding 2 weeks verify during an equatorward shift regime for the ECCC, CNRM, HMCR, ECMWF, and CMA models, during a poleward shift for the KMA and UKMO models, during a jet retraction for the NCEP model, and during a jet extension for the ISAC model (cf. Figs. 6b,d,f,h). Similar variability across models is also observed when considering the verifying NPJ regimes that exhibit the lowest forecast errors at lead times exceeding 2 weeks. This variability suggests that there is not one common type of NPJ evolution across models that is characterized by enhanced or reduced forecast skill at subseasonal lead times. Further analysis of the variability in NPJ evolutions that characterize the best- and worst-performing forecasts at lead times exceeding 2 weeks is reserved for section 4.

Some of the observed differences in forecast model performance based on the verifying NPJ regime at lead times exceeding 2 weeks may be related to frequency biases in the prediction of each NPJ regime. To this aim, Figures 7a–d depict the percent frequency that each NPJ regime is overforecast or underforecast in each model with respect to verification. Note that the ISAC model is not included in this initial analysis and will be discussed separately. For this analysis, each ensemble member initialized using a particular model is treated as a separate forecast of the NPJ regime. Figure 7a reveals that the NCEP model overforecasts the occurrence of jet extensions by approximately 30–40% compared to verification at lead times exceeding 2 weeks, whereas jet extensions are underforecast by all other models by as much as 20%. Conversely, all models overforecast the occurrence of jet retractions by as much as 30% at lead times exceeding 2 weeks, except for the NCEP model, which underforecasts the occurrence of jet retractions by approximately 30% (Fig. 7b).

The frequency of poleward shift and equatorward shift forecasts compared to verification

is more variable across models compared to jet extension and jet retraction forecasts. In particular, the HMCR, ECCC, and CNRM models overforecast the occurrence of poleward shifts at lead times exceeding 2 weeks, with an overforecast of poleward shifts by as much as 70–90% during week 4 in the HMCR model (Fig. 7c). Poleward shifts are underforecast by the CMA, ECMWF, NCEP, KMA, and UKMO models by as much as 30% compared to verification at lead times exceeding 2 weeks. Last, equatorward shifts are overforecast by 10–50% in the NCEP, CMA, UKMO, and KMA models, while the ECCC and HMCR models underforecast the occurrence of equatorward shifts by 20–60% (Fig. 7d). Notably, the frequency of CNRM and ECMWF forecasts of equatorward shifts is comparable to verification throughout the forecast period. The ISAC model is a particularly interesting case (Fig. 7e), in which jet extensions are underforecast by close to 80% during weeks 2–4, and jet retractions are overforecast by 140–200%.

Biases in the forecast frequency of each NPJ regime in Fig. 7 can be associated with the forecast errors identified in Fig. 6. Namely, the largest ensemble mean forecast errors during weeks 2–4 in the ECCC, HMCR, KMA, UKMO, CFSR, and ISAC models are associated with the same verifying NPJ regime for which those models exhibit a low forecast frequency bias (cf. Figs. 6–7). As suggested by Ferranti et al. (2015), this observation implies that the reduced performance of model forecasts that verify in those respective NPJ regimes may be due to the misrepresentation of physical processes that lead to the development of those NPJ regimes. For the ECMWF, CNRM, and CMA models, which feature their largest forecast errors during periods that verify during an equatorward shift, there is not a clear low forecast frequency bias for equatorward shifts. In fact, the CMA exhibits a high forecast frequency bias for equatorward shifts compared to verification. This result implies that these three models are able to represent

the physical processes that lead to the development of equatorward shifts with fidelity, but that equatorward shifts may be characterized by low intrinsic predictability.

#### **4. Synoptic-scale flow patterns associated with the best- and worst-performing forecasts**

Results from the previous section suggest that the best- and worst-performing subseasonal NPJ phase diagram forecasts from each model are associated with different NPJ evolutions (e.g., Fig. 6). Consequently, the forthcoming analysis considers the synoptic-scale characteristics of the 21-day period following the initiation of a best- and worst-performing forecast from each model. As mentioned in section 2.3, the best-performing forecasts are those in which there is both a low cumulative ensemble mean distance error in the context of the NPJ phase diagram (i.e., an accurate forecast) throughout a 21-day forecast and a low cumulative ensemble member distance error (i.e., a confident forecast). The worst-performing forecasts are those in which there is both a high cumulative ensemble mean distance error in the context of the NPJ phase diagram (i.e., an inaccurate forecast) throughout a 21-day forecast and a high cumulative ensemble member distance error (i.e., an uncertain forecast).

The average position of the NPJ within the NPJ phase diagram on the date a best-performing forecast is initialized from each forecast model is shown in Fig. 8a and reveals that the NPJ is displaced slightly towards a poleward shift. The models are clustered near the origin, however, which suggests that the NPJ may be close to its climatological state or may exhibit considerable variability in its initial state at the time a best-performing forecast is initialized. The state of the NPJ at the start of a worst-performing forecast period shows a displacement towards a jet retraction for all models (Fig. 8b). This result aligns well with Fig. 4d, which indicates that forecast errors are 10–20% higher than each model’s average during the first 2 weeks of the

forecast period when a model is initialized during a jet retraction.

Figures 8c,d illustrate the composite evolution of the NPJ during the 21-day period following the initialization of a best- and worst-performing forecast from each model. The composite evolution of the NPJ associated with each model is calculated by projecting 300-hPa zonal wind anomalies from the CFSR onto the NPJ phase diagram during the 21-day period following the initialization of each best- or worst-performing forecast, resulting in a series of trajectories within the NPJ phase diagram. These trajectories are then shifted so that they all begin at the origin of the NPJ phase diagram and the PCs corresponding to the same day after forecast initialization are averaged together to construct a composite trajectory. Note that the trajectories shown in Figs. 8c,d do not show forecast trajectories, but instead depict the how the NPJ evolved in reality following a best- or worst-performing forecast.

The composite CFSR trajectories during the 21-day period following a best-performing forecast from each model are clustered near the origin and do not deviate substantially from that location (Fig. 8c). This result implies that the best-performing forecast periods occur during persistent NPJ regimes that prevail after forecast initialization. The 21-day period following a worst-performing forecast, on the other hand, exhibits a different character (Fig. 8d). First, the NPJ trajectories that correspond to the worst-performing forecast periods from each model are considerably longer, implying that the worst-performing forecasts occur during periods in which the NPJ undergoes a substantial regime transition. Second, the worst-performing forecast periods generally feature an NPJ that evolves towards an equatorward shift and/or a jet extension. Exceptions to this observation are the NCEP and KMA models, which feature an NPJ evolution towards a jet retraction and poleward shift, respectively.

Figure 8e shows a composite of the ensemble mean NPJ phase diagram forecast

trajectory associated with a worst-performing forecast from each model. Overall, each model's forecast trajectory (Fig. 8e) is generally in the opposite direction of its respective CFSR verification (Fig. 8d). Furthermore, the forecast trajectories are biased towards a jet retraction and equatorward shift as opposed to the equatorward shift and jet extension that is observed in the verification. Given that both a jet retraction and an equatorward shift feature upper-tropospheric ridging over the North Pacific (Figs. 1c,g), these differences between the forecast and verification trajectories suggest that forecast errors may be related to each model's representation of physical processes that govern North Pacific flow amplification, such as the magnitude of diabatic heating and concomitant upper-level irrotational outflow associated with midlatitude cyclogenesis events along the North Pacific storm track (e.g., Torn & Hakim 2015; Teubler & Riemer 2016; Martinez-Alvarado et al., 2016; Bosart et al., 2017).

The synoptic-scale flow patterns associated with the worst-performing forecasts from each model are examined further by compositing CFSR mass and wind fields 0 days (Fig. 9), 10 days (Fig. 10), and 20 days (Fig. 11) following the initialization of a worst-performing forecast. At the time of forecast initialization, every model features some degree of anomalous upper-tropospheric ridging over the central North Pacific (Fig. 9). For some models, such as the ECCC, KMA, UKMO, ECMWF, and CMA (Figs. 9a,d,f,h,i), the North Pacific ridge is more anomalous, suggesting that the worst-performing forecasts for those models may be preferentially initialized during or immediately following ridge amplification rather than prior to ridge amplification. Ten days after forecast initialization, the synoptic-scale flow pattern features a well-developed upper-tropospheric ridge across the high-latitude North Pacific within each model (Fig. 10). The presence of a high-latitude ridge is consistent with an equatorward shift regime (Fig. 1g), which is the NPJ regime characterized by the greatest forecast errors at the time of forecast verification



during the week 1–2 forecast period for all models (Fig. 6h).

Twenty days after the initialization of a worst-performing forecast, the composite upper-tropospheric flow patterns feature considerable differences across models (Fig. 11). In particular, the ECCC, CNRM, CFSR, HMCR, ECMWF, and CMA models (Figs. 11a,b,e,g,h,i) feature a persistent upper-tropospheric ridge over the North Pacific, albeit a bit farther west than observed in Fig. 10. Conversely, the composite flow pattern following the worst-performing forecasts from the ISAC, KMA, and UKMO models (Figs. 11c,d,f) indicate that the NPJ evolves towards a poleward shift or jet extension 20 days after forecast initialization, consistent with the presence of anomalously low geopotential heights over the midlatitude North Pacific in each of those composites. To synthesize the composite evolutions shown in Figs. 9–11, the largest NPJ phase diagram forecast errors from each model are clearly associated with North Pacific ridge amplification during the week 1–2 period. After that, the variable synoptic-scale flow patterns that prevail 20 days after forecast initialization imply that aspects of the life cycle of North Pacific ridges, such as their persistence, retrogression, and decay, may hinder model performance.

Last, Winters et al. (2019b, their Fig. 13) demonstrate that periods in which the NPJ evolves towards a jet extension and equatorward shift, similar to those trajectories shown in Fig. 8d, increase the likelihood of extreme cold events across the continental U.S. Indeed, the composite upper-tropospheric flow pattern 10 days after a worst-performing forecast from each model features an anomalous trough over central Canada, except for the NCEP and UKMO models (Fig. 10). The longitudinal juxtaposition of a high-latitude ridge over the North Pacific and trough over central Canada subsequently favors the development of an anomalous surface anticyclone across Alaska and western Canada in the aforementioned composites (Fig. 12). To

the east of this anticyclone, perturbation northerly geostrophic flow is conducive to the equatorward transport of anomalously cold air towards southern Canada and the northern U.S. Therefore, the composite lower-tropospheric temperature patterns following a worst-performing forecast suggest that the worst-performing forecasts may coincide with the occurrence of North American cold-air outbreaks during the week 2 period, potentially limiting the prediction of those events.

## **5. Conclusions**

This study examines the subseasonal predictability of the state and evolution of the NPJ across nine models within the S2S Reforecast Database hosted by ECMWF (Vitart et al., 2017). The state and evolution of the NPJ is specifically examined in the context of an NPJ phase diagram (Winters et al., 2019a), which identifies periods during which the NPJ is characterized by an extended or retracted state, and during which the NPJ is poleward or equatorward shifted relative to its climatological position. 300-hPa zonal wind anomaly data from the S2S Reforecast Database are then projected onto the NPJ phase diagram to construct ensemble forecasts describing the state and evolution of the NPJ at subseasonal time scales. NPJ phase diagram forecasts are evaluated by considering the Euclidean distance between the forecast position of the NPJ within the NPJ phase diagram at a particular lead time and the verification position of the NPJ in the CFSR. Forecasts are also partitioned based on whether a forecast is initialized or verified during a particular NPJ regime to determine the extent to which verification statistics vary depending on those metrics. Last, the best- and worst-performing forecasts associated with each model are identified to examine the synoptic-scale flow evolution that characterizes the 21-day period following a best- or worst-performing forecast from each model.

An evaluation of NPJ phase diagram forecasts reveals that skillful predictions of the state and evolution of the NPJ can extend into the week 3 forecast period, with the ECMWF model featuring the lowest forecast errors among all models at every forecast lead time. The fact that the skill of NPJ phase diagram forecasts extends into the week 3 period is consistent with prior work on North American weather regimes, which suggest that skillful predictions are generally possible at lead times of 15 days (e.g., Vigaud et al., 2018; Robertson et al., 2020). NPJ phase diagram forecasts of the verifying NPJ regime from the three largest ensembles considered as part of this study (i.e., ECMWF, CNRM, HMCR) are also generally reliable at forecast lead times extending into weeks 2–3, but are uniformly underdispersive, and thus overconfident in the development of a particular NPJ regime.

Forecast errors in the context of the NPJ phase diagram vary depending on the NPJ regime at the time of forecast initialization during the first two weeks of the forecast period. Thereafter, forecast errors do not show much dependence on the initial NPJ regime as the model forecast is further removed from knowledge of atmospheric initial conditions. Overall, forecasts initialized during a jet retraction feature 7-day forecast errors that are 10–20% larger than all forecasts that are initialized during one of the four primary NPJ regimes, whereas forecasts initialized during a poleward shift feature forecast errors that are 5–15% smaller. Forecasts verifying during jet retractions and equatorward shifts also exhibit larger errors during the first two weeks of the forecast period compared to forecasts verifying during jet extensions and poleward shifts. Notably, both jet retractions and equatorward shifts are associated with the development of an upper-tropospheric North Pacific ridge, which can be strongly influenced by diabatic processes that occur within midlatitude cyclones along the Pacific storm track or in conjunction with tropical convection (e.g., Torn & Hakim, 2015; Teubler & Riemer, 2016;

Martinez-Alvarado et al., 2016; Bosart et al., 2017; Breeden et al., 2020). The inability for models to represent the extent, magnitude, and cumulative influence of these diabatic processes on the upper-tropospheric flow pattern is hypothesized to contribute to the larger-than-average forecast errors associated with jet retractions and equatorward shifts during the first two weeks of the forecast period.

At lead times longer than two weeks, forecast errors associated with each NPJ regime at the time of forecast verification depend on the model under consideration. An examination of the forecast frequency of each NPJ regime at lead times exceeding two weeks revealed that NPJ regimes characterized by a low forecast frequency bias within a particular model were often the verifying NPJ regimes that were associated with the largest forecast errors for that same model. This result implies that models within the S2S Reforecast Database may have difficulty representing the physical processes that lead to the development of certain NPJ regimes at subseasonal lead times. Exceptions to this statement are the CNRM, ECMWF, and CMA models. Namely, these models feature the largest subseasonal forecast errors during periods that verify within an equatorward shift but do not feature a low forecast frequency bias for that NPJ regime. Therefore, these three models are able to capture the physical processes associated with the development of an equatorward shift with fidelity, however, equatorward shifts may simply be characterized by lower intrinsic predictability.

The best-performing forecasts associated with each model occurred during periods in which the NPJ featured a slight poleward shift and was persistent over the subsequent 21-day period. The worst-performing forecasts from each model were preferentially initialized during a jet retraction and generally featured an NPJ evolution towards an equatorward shift and/or jet extension. Composites of the 21-day period following the initiation of a worst-performing

forecast from each model indicated that the largest NPJ forecast errors coincided with the development of an upper-tropospheric North Pacific ridge during the first 10 days after forecast initialization and the subsequent maintenance, retrogression, or decay of that ridge over the next 10 days. This result generalizes the analysis from Winters et al. (2019a), who found a similar flow pattern was associated with the worst-performing forecasts on medium-range time scales in the GEFS Reforecast Version 2 dataset, and reaffirms that the life cycle of upper-tropospheric blocks remains a considerable predictability challenge at subseasonal lead times (e.g., D’Andrea et al., 1998; Pelly & Hoskins, 2003; Ferranti et al., 2015; Matsueda & Palmer, 2018).

The results from this study motivate a number of new avenues for future work. First, differences in the forecast frequency of NPJ regimes at lead times exceeding two weeks within each model motivate further investigation into each model’s representation of physical processes that lead to the development of each NPJ regime (i.e., diabatic heating from midlatitude and tropical sources and its subsequent influence on the character of the upper-tropospheric flow pattern). Second, the present results do not consider the extent to which forecast errors associated with each NPJ regime translate to forecast errors over the North American continent. Therefore, a study that considers the relationship between the prevailing NPJ regime and downstream forecast errors would be a worthwhile endeavor. Finally, North American weather is also influenced by the state and evolution of the synoptic-scale flow pattern over the North Atlantic. A similar approach as used in this study can be applied to the North Atlantic jet to examine the ability of models to accurately capture the state and evolution of that jet.

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#### **Data Availability Statement**

CFSR data utilized for this study is publicly available from the NCAR Research Data Archive (<https://doi.org/10.5065/D69K487J>). This work is based on S2S Reforecast data available from ECMWF. S2S is a joint initiative of the World Weather Research Programme (WWRP) and the World Climate Research Programme (WCRP). The original S2S database is hosted at ECMWF as an extension of the TIGGE database (Vitart et al., 2017). A database of NPJ phase diagram forecasts derived from the S2S Reforecast Database is archived at the University of Colorado Boulder (Winters, 2021; <https://scholar.colorado.edu/concern/datasets/0v838153k>) Any computer programs necessary to reproduce the results shown in this study are available from the author upon request.

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772 **Tables**

| <b>Model</b> | <b>Horizontal Resolution</b> | <b>Reforecast Type</b> | <b>Model Version</b> | <b>Reforecast Period</b> | <b>Reforecast Frequency</b> | <b>Forecast Length</b> | <b>Ensemble Members</b> |
|--------------|------------------------------|------------------------|----------------------|--------------------------|-----------------------------|------------------------|-------------------------|
| <b>ECCC</b>  | 1.5°×1.5°                    | On the fly             | 2019                 | 1998–2017                | Every 7 days                | 32 days                | 4                       |
| <b>CNRM</b>  | 1.5°×1.5°                    | Fixed                  | 12/01/14             | 1993–2014                | 4 / month                   | 61 days                | 15                      |
| <b>ISAC</b>  | 1.5°×1.5°                    | Fixed                  | 06/08/17             | 1981–2010                | Every 5 days                | 32 days                | 5                       |
| <b>KMA</b>   | 1.5°×1.5°                    | On the fly             | 2019                 | 1991–2010                | 4 / month                   | 60 days                | 3                       |
| <b>NCEP</b>  | 1.5°×1.5°                    | Fixed                  | 03/01/11             | 1999–2010                | Daily                       | 44 days                | 4                       |
| <b>UKMO</b>  | 1.5°×1.5°                    | On the fly             | 2019                 | 1993–2016                | 4 / month                   | 60 days                | 7                       |
| <b>HMCR</b>  | 1.5°×1.5°                    | On the fly             | 2019                 | 1985–2010                | Every 7 days                | 61 days                | 10                      |
| <b>ECMWF</b> | 1.5°×1.5°                    | On the fly             | 2019                 | 1999–2018                | 2 / week                    | 46 days                | 11                      |
| <b>CMA</b>   | 1.5°×1.5°                    | On the fly             | 2019–2020            | 2005–2019                | 2 / week                    | 60 days                | 4                       |

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774 **TABLE 1.** Characteristics of the nine forecast models within the S2S Reforecast Database that  
775 are utilized as part of this study.

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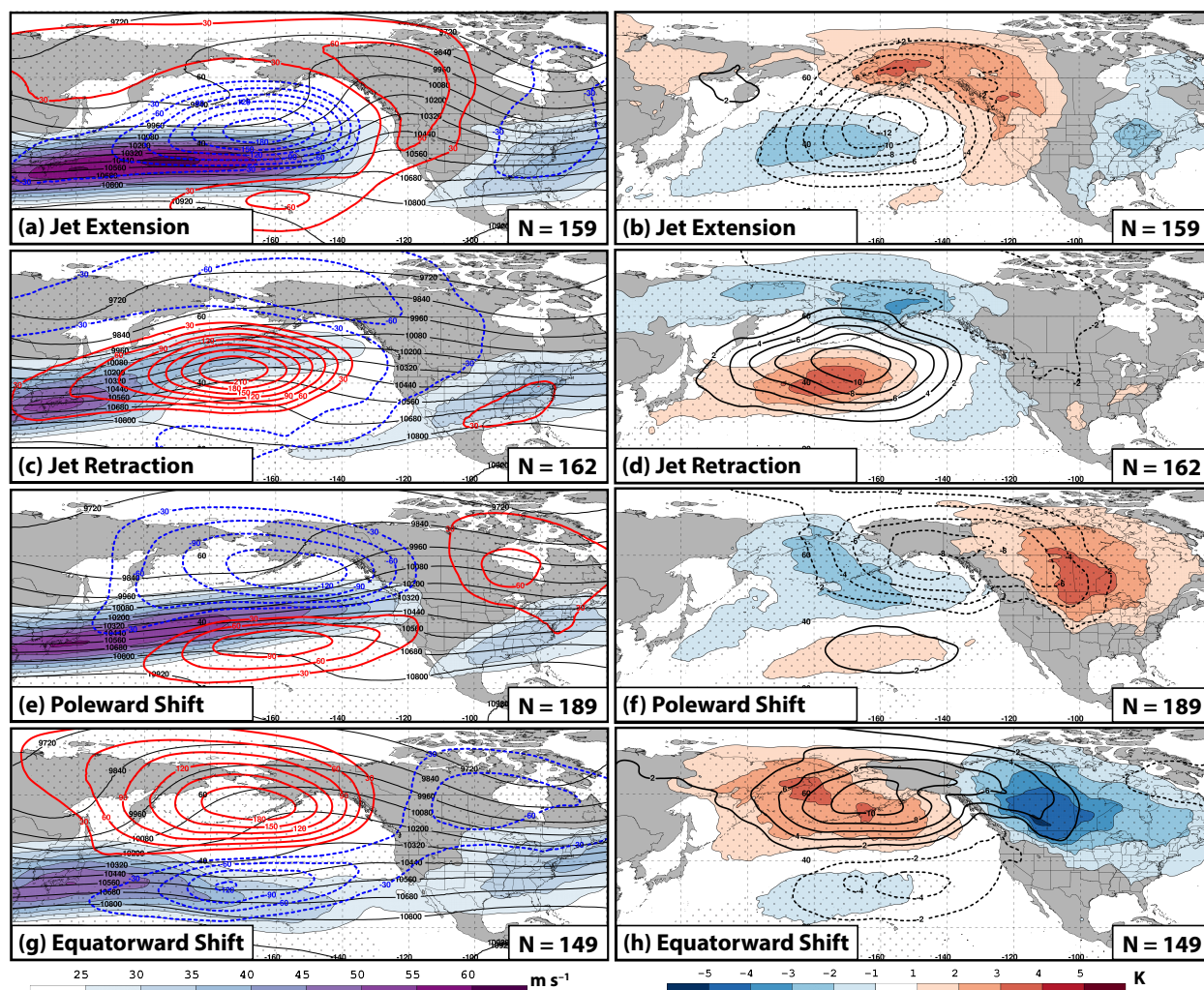
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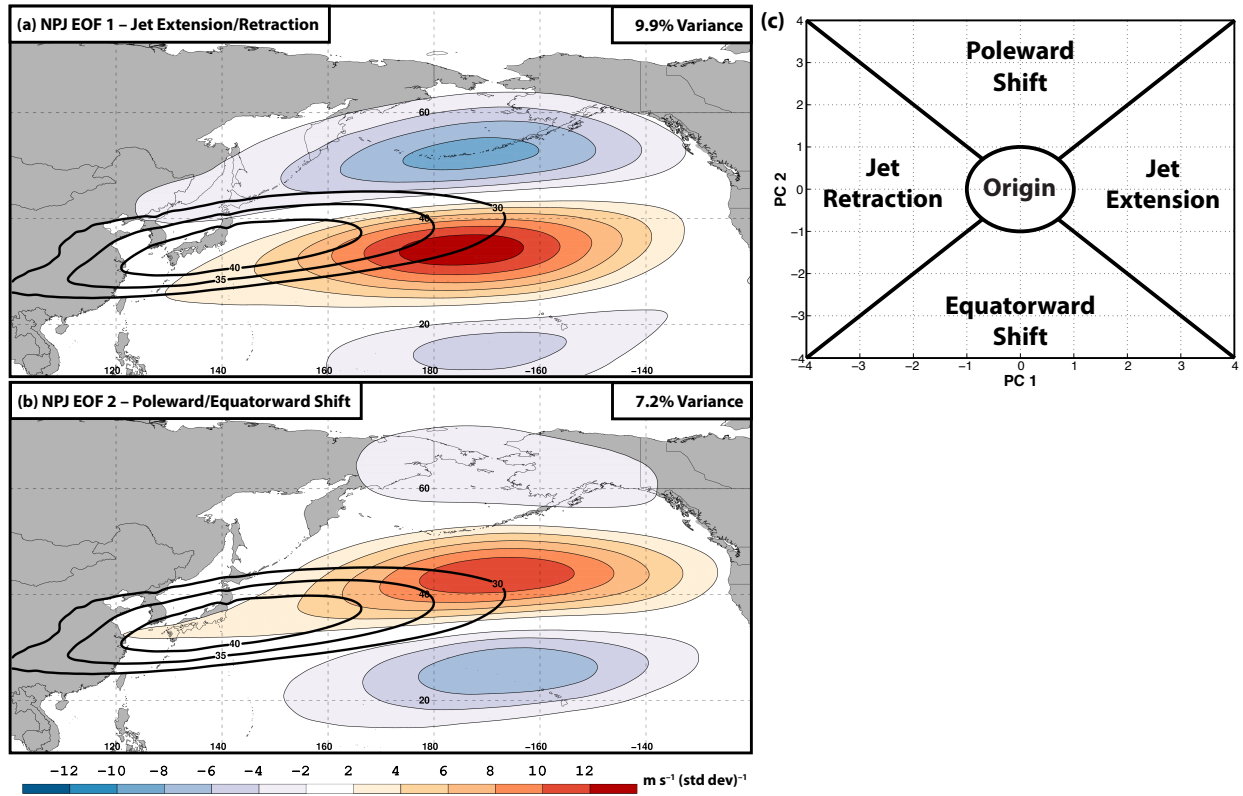
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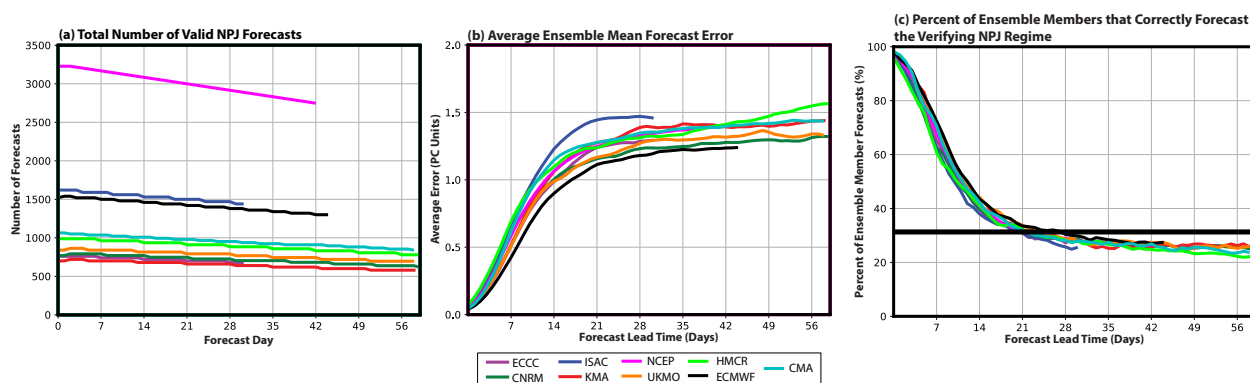
**FIG. 1.** Composite mean 250-hPa wind speed (shaded according to the fill pattern;  $\text{m s}^{-1}$ ), 250-hPa geopotential height (contoured in black every 120 m), and 250-hPa geopotential height anomalies (contoured every 30 m in red where positive and in dashed blue where negative) 4 days following the initiation of (a) a jet extension, (c) a jet retraction, (e) a poleward shift, and (g) an equatorward shift NPJ regime. Composite anomalies of mean sea-level pressure (contoured every 2 hPa in solid black where positive and in dashed black where negative) and 850-hPa temperature (shaded according to the legend every 1 K) 4 days following the initiation of (b) a jet extension, (d) a jet retraction, (f) a poleward shift, and (h) an equatorward shift NPJ regime. The numbers in the bottom right of each panel indicate the number of cases included in each composite. Stippled areas represent locations where the 250-hPa geopotential height anomalies or 850-hPa temperature anomalies are statistically distinct from climatology at the 99% confidence level based on a two-sided Student's  $t$  test. Figure and caption adapted from Winters et al. (2019a; their Fig. 5). © American Meteorological Society. Used with permission.



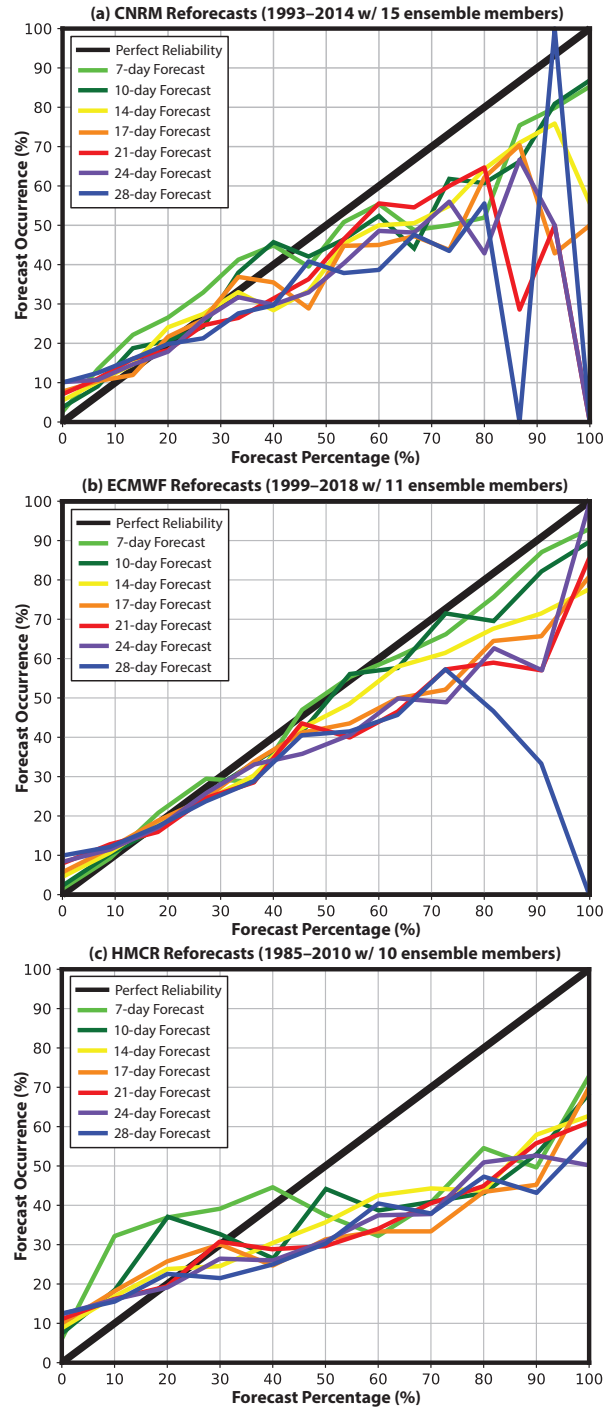


**FIG. 2.** September–May 300-hPa mean zonal wind is contoured in black every  $5 \text{ m s}^{-1}$  above  $30 \text{ m s}^{-1}$ , and the regression of 300-hPa zonal wind anomaly data onto standardized PC 1 (i.e., EOF 1) is shaded. The variance of 300-hPa zonal wind anomalies during September–May that is explained by EOF 1 is listed in the top right of the panel. (b) As in (a), but for the regression of 300-hPa zonal wind anomaly data onto standardized PC 2 (i.e., EOF 2). (c) Schematic depicting the NPJ phase diagram and the method used to classify the NPJ into an NPJ regime.

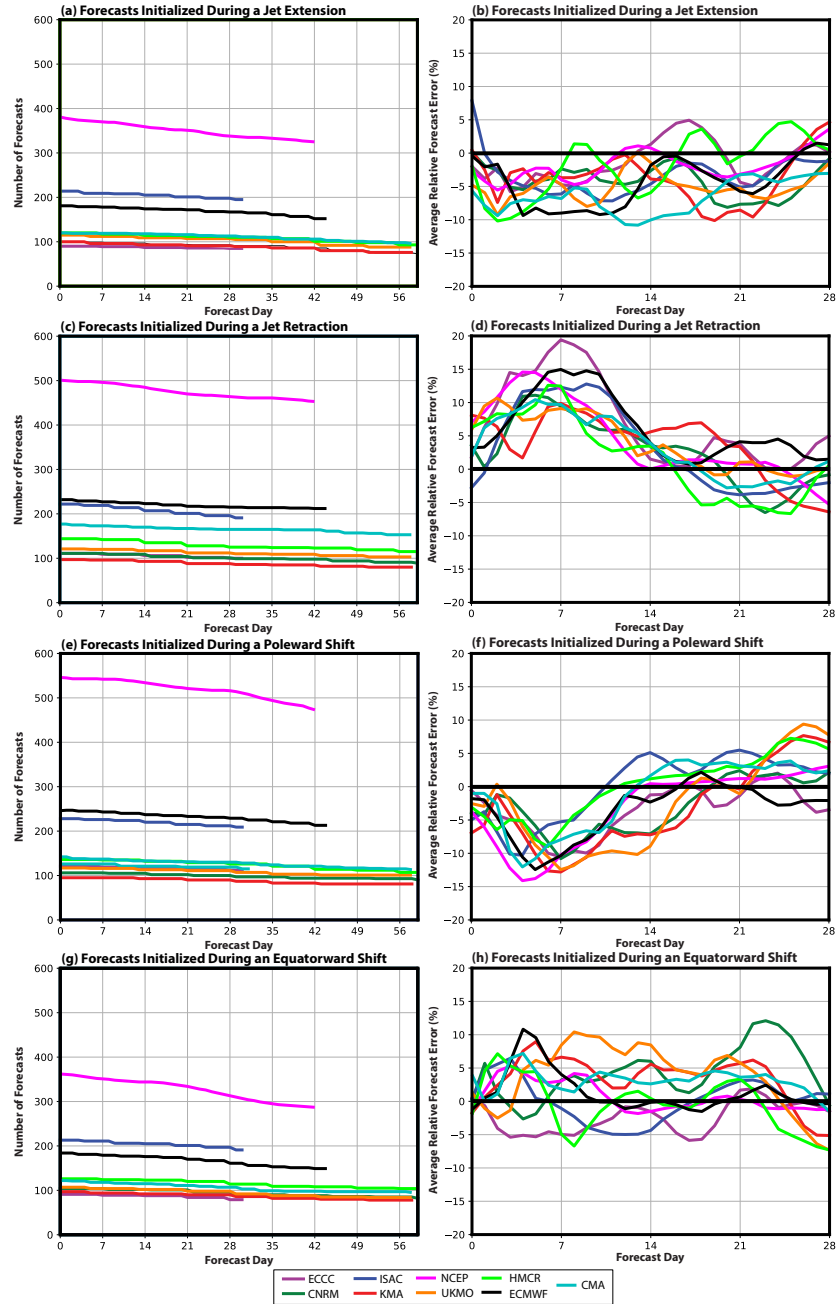
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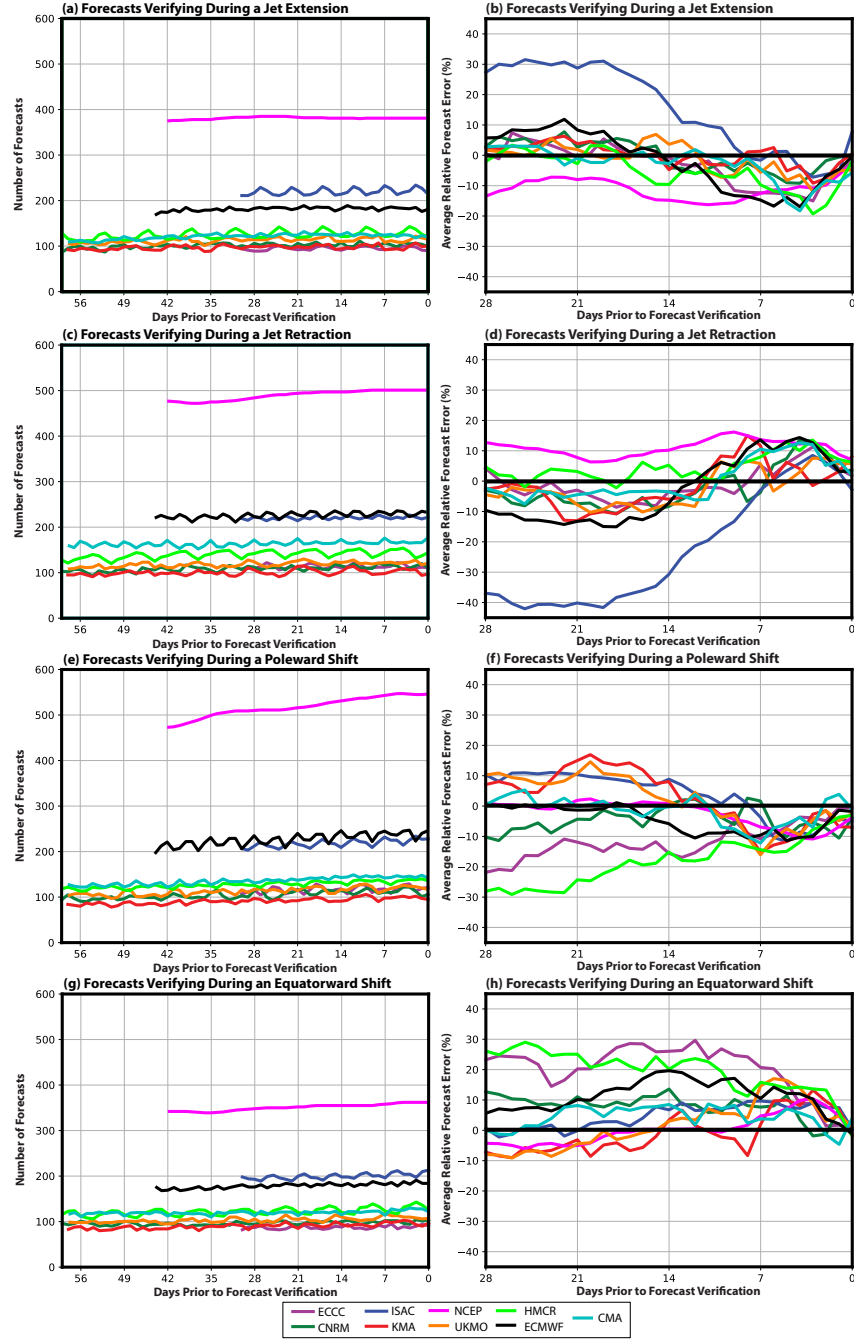
**FIG. 3.** (a) The total number of valid NPJ phase diagram forecasts initialized by each model at each forecast lead time. (b) The average Euclidean distance error (in principal component (PC) units) of ensemble mean NPJ phase diagram forecasts from each model as a function of forecast lead time. (c) The percent of ensemble member forecasts initialized from each model that correctly forecasted the verifying NPJ regime as a function of forecast lead time. The horizontal black bar identifies percentages that are statistically significant at the 99% confidence interval compared to random chance based on a bootstrap resampling test with replacement.



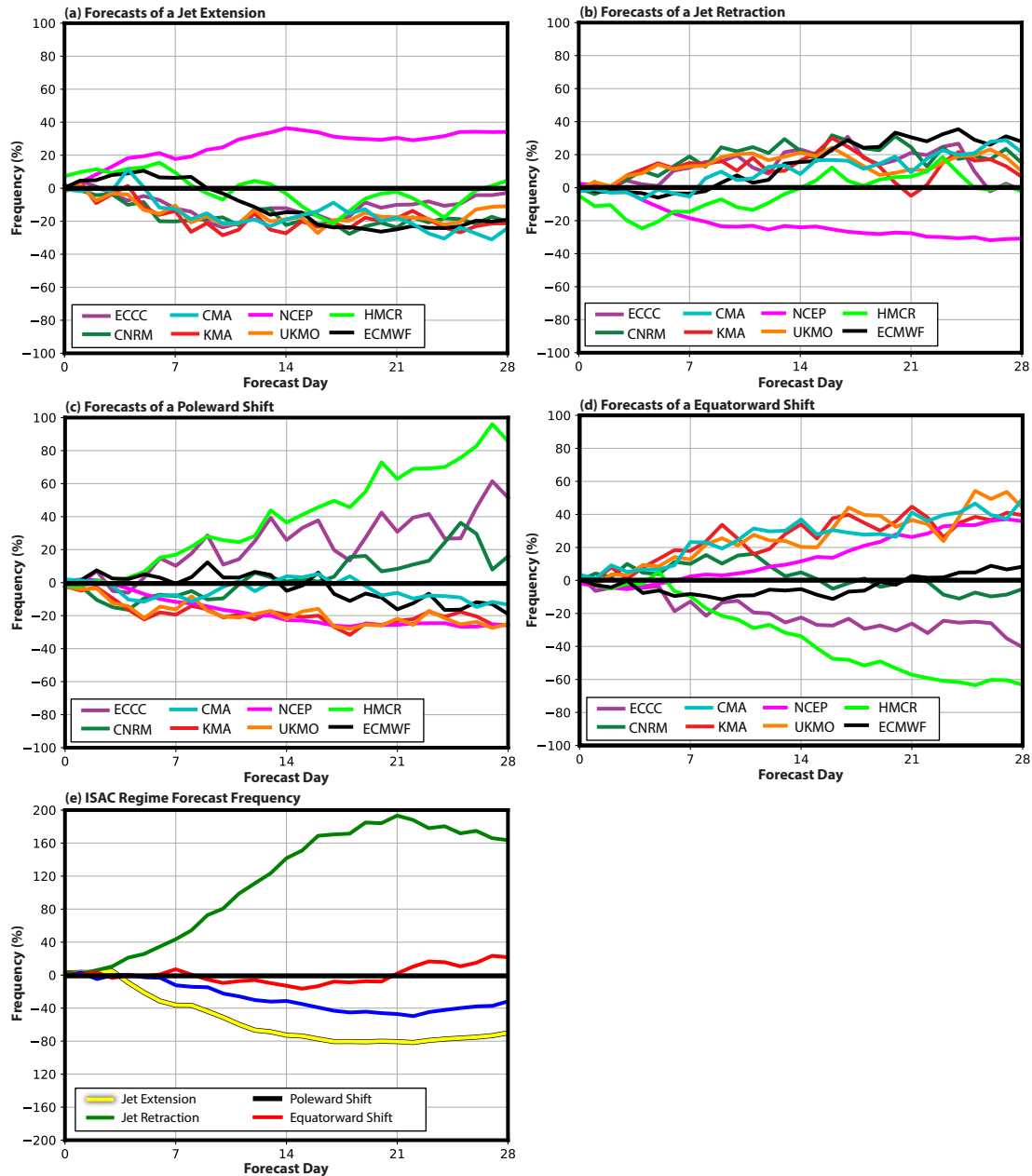
**FIG. 4.** Reliability diagrams at a variety of forecast lead times for the (a) CNRM, (b) ECMWF, and (c) HMCR ensembles. Shown in these diagrams are the probability that a particular NPJ regime is forecast to occur at a given lead time versus the percent of time that the forecasted NPJ regime verified. The thick black line represents a perfectly reliable forecast.



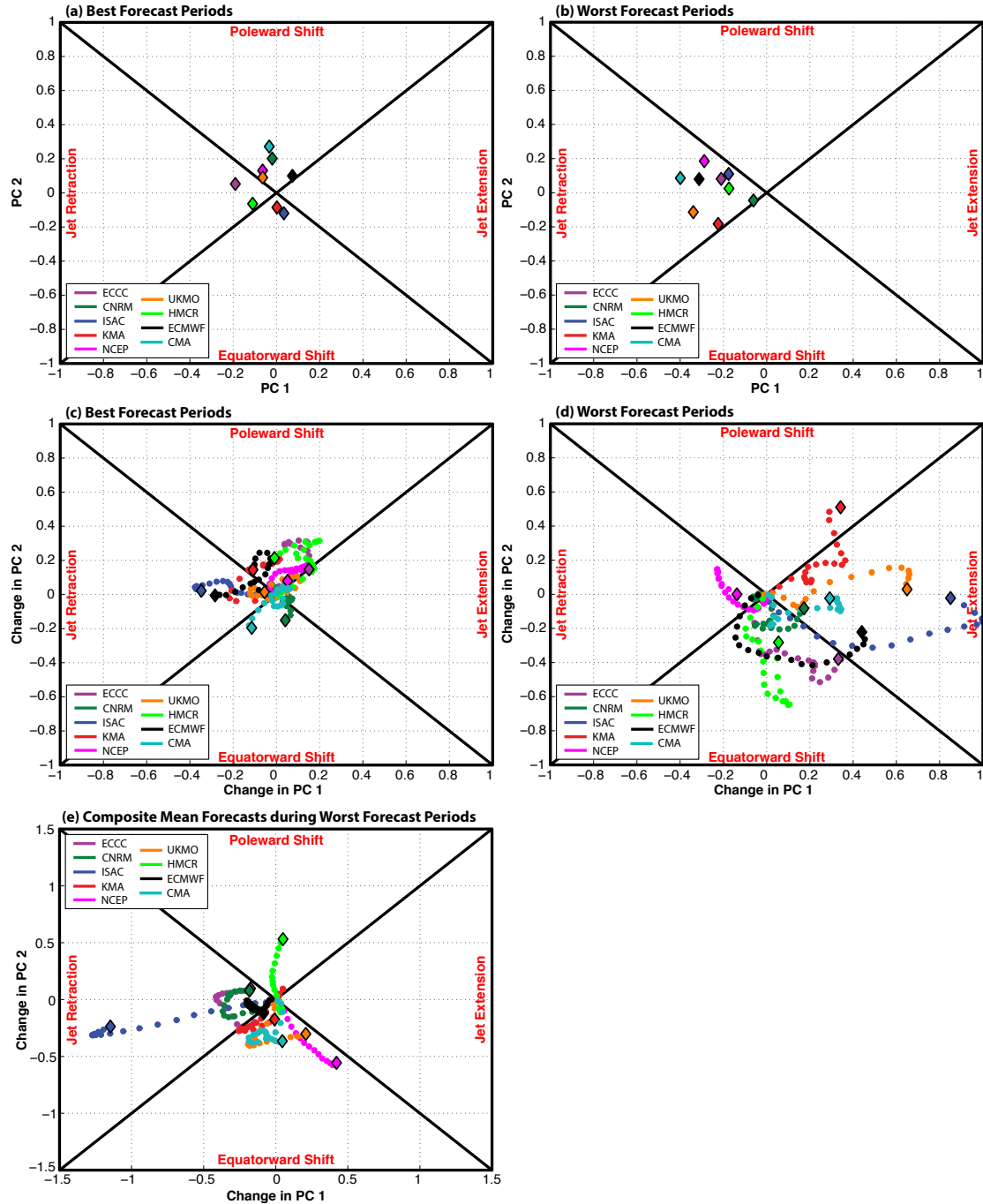
**FIG. 5.** The number of NPJ phase diagram forecasts from each model that were initialized during (a) a jet extension, (c) a jet retraction, (e) a poleward shift, and (g) an equatorward shift as a function of forecast lead time. The average Euclidean distance error of ensemble mean NPJ phase diagram forecasts from each model that were initialized during (b) a jet extension, (d) a jet retraction, (f) a poleward shift, and (h) an equatorward shift. All forecast model errors in (b,d,f,h) are expressed as a percentage greater or less than the average error of all NPJ phase diagram forecasts from that model that were initialized within one of the four primary NPJ regimes.



**FIG. 6.** The number of NPJ phase diagram forecasts from each model that verified during (a) a jet extension, (c) a jet retraction, (e) a poleward shift, and (g) an equatorward shift as a function of forecast lead time prior to verification. The average Euclidean distance error of ensemble mean NPJ phase diagram forecasts from each model that verified during (b) a jet extension, (d) a jet retraction, (f) a poleward shift, and (h) an equatorward shift. All forecast model errors in (b,d,f,h) are expressed as a percentage greater or less than the average error of all NPJ phase diagram forecasts from that model that verified within one of the four primary NPJ regimes.

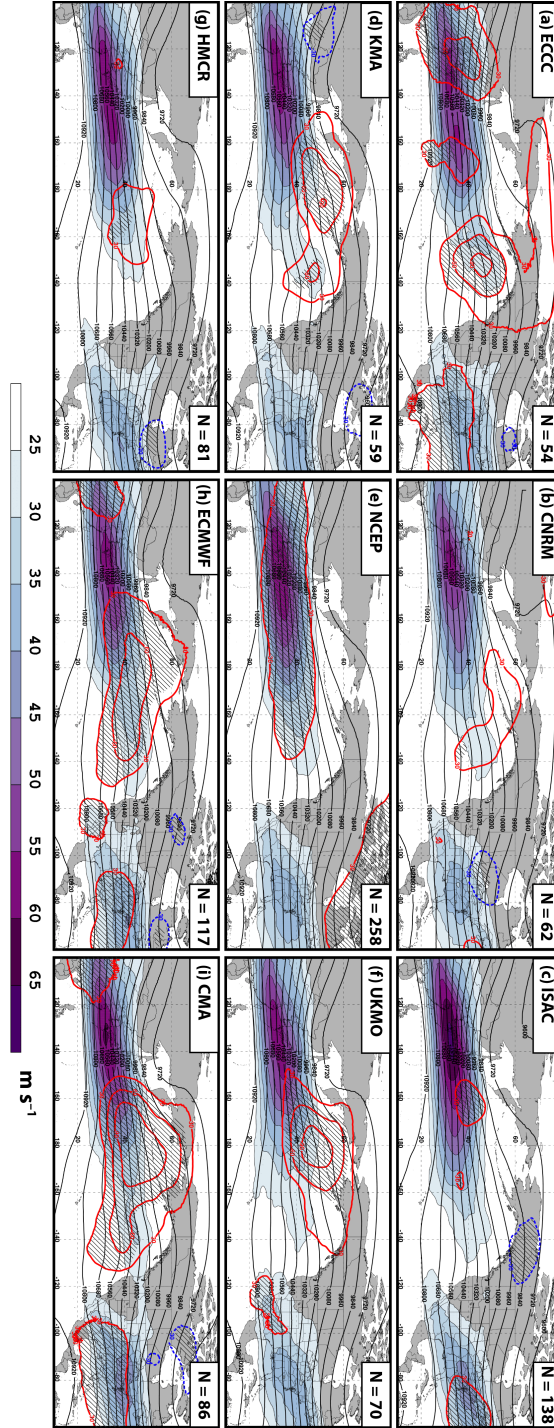


**FIG. 7.** The percent frequency that (a) a jet extension, (b) a jet retraction, (c) a poleward shift, and (d) an equatorward shift is overforecast (positive percentages) or underforecast (negative percentages) by ensemble member NPJ phase diagram forecasts from each model relative to verification at every forecast lead time. (e) The percent frequency that each NPJ regime is overforecast or underforecast relative to verification at each forecast lead time for the ISAC model.



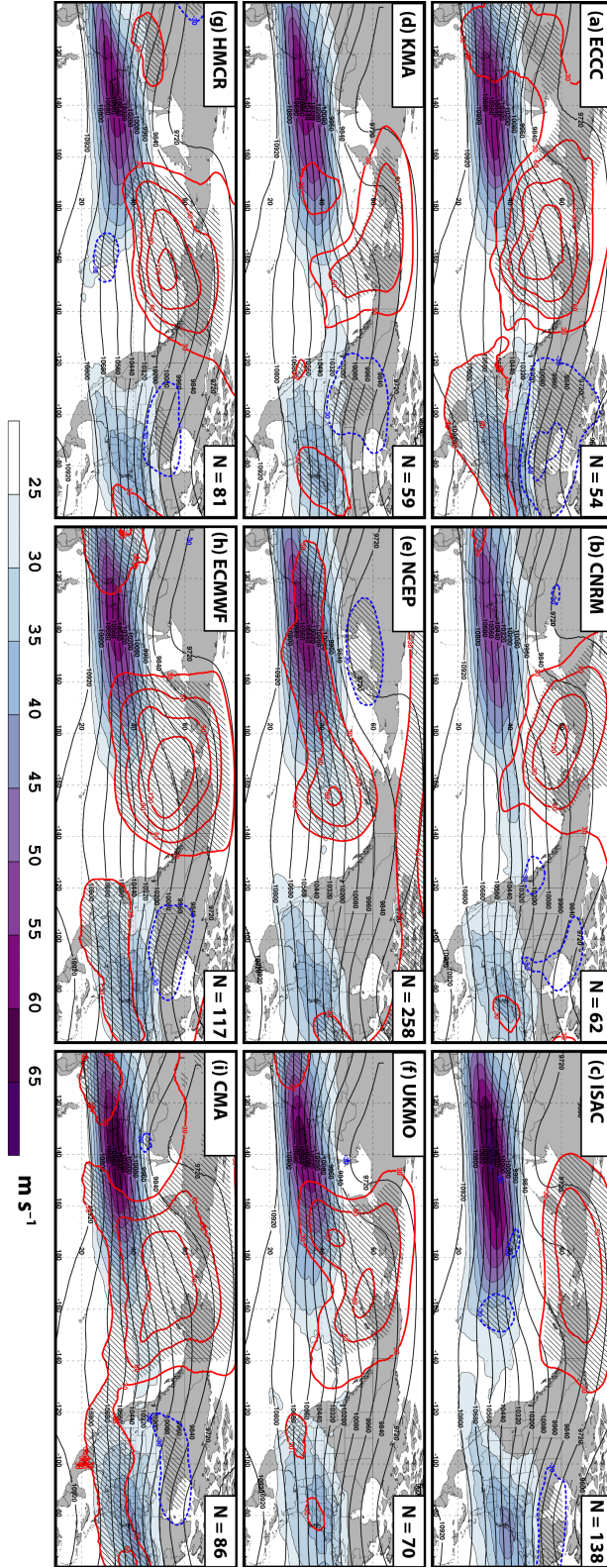
**FIG. 8.** The average position of the NPJ within the NPJ phase diagram at the time (a) a best-performing forecast and (b) a worst-performing forecast is initialized from each model. Trajectories showing the composite evolution of the NPJ within the NPJ phase diagram during the 21-day period after the initiation of (c) a best-performing forecast and (d) a worst-performing forecast from each model. (e) The composite ensemble mean 21-day forecast trajectories constructed from the worst-performing NPJ phase diagram forecasts from each model.



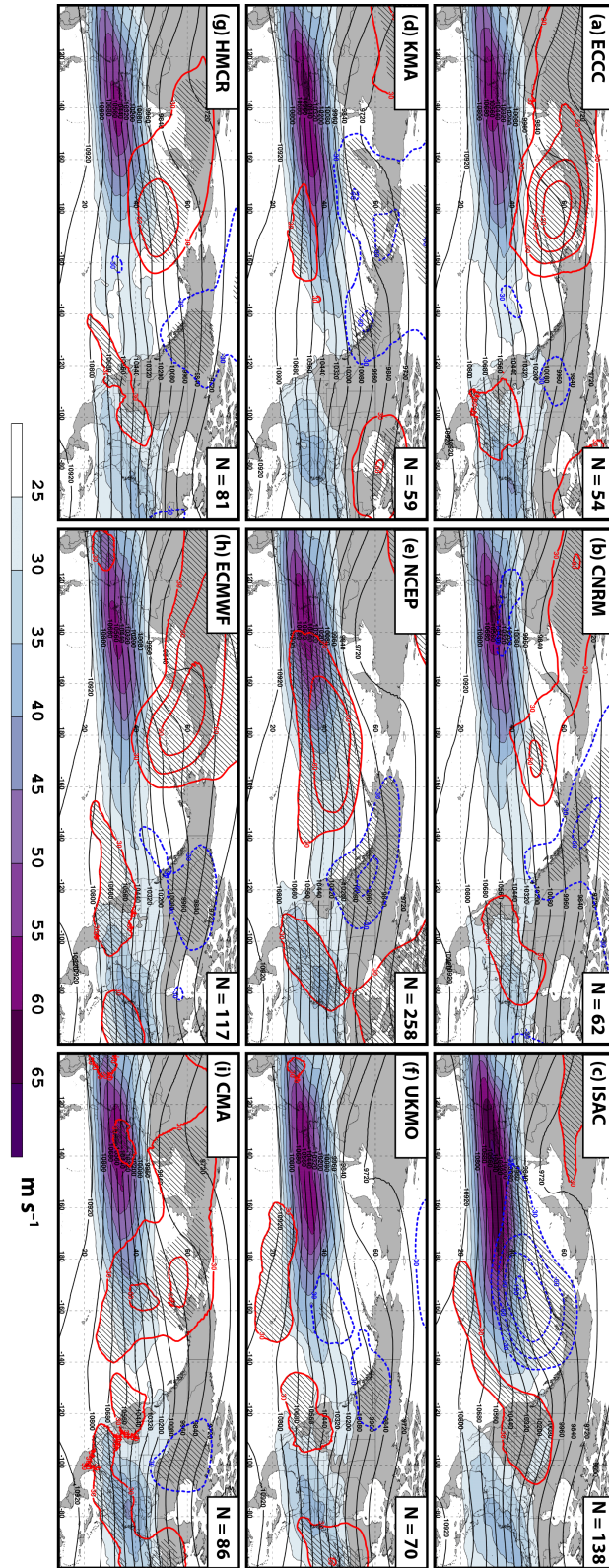


**FIG. 9.** Composite mean 250-hPa wind speed (shaded according to the fill pattern;  $\text{m s}^{-1}$ ), 250-hPa geopotential height (contoured in black every 120 m), and 250-hPa geopotential height anomalies (contoured every 30 m in red where positive and in dashed blue where negative) from the CFSR at the time a worst-performing forecast is initialized from the (a) ECCC, (b) CNRM, (c) ISAC, (d) KMA, (e) NCEP, (f) UKMO, (g) HMCR, (h) ECMWF, and (i) CMA model. Hatched regions indicate geopotential height anomalies that are statistically distinct from climatology at the 95% confidence interval using a two-sided Student's  $t$  test.

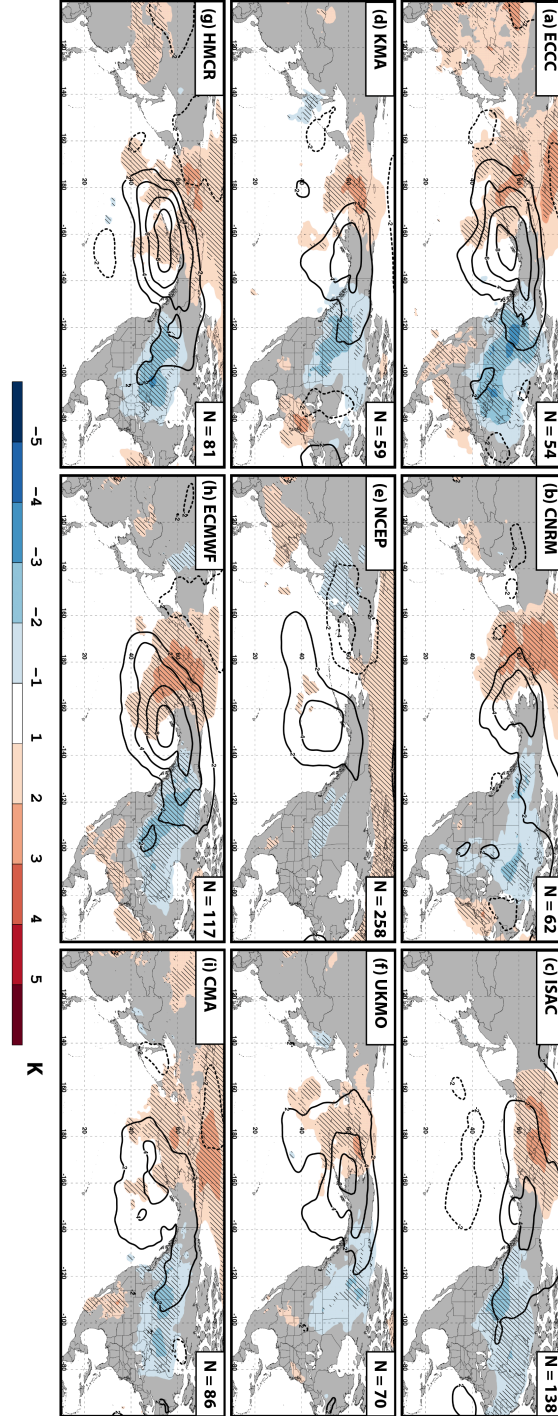




**FIG. 10.** As in Fig. 9, but showing composites from the CFSR 10 days after the initialization of a worst-performing forecast from each model.



**FIG. 11.** As in Fig. 9, but showing composites from the CFSR 20 days after the initialization of a worst-performing forecast from each model.



**FIG. 12.** Composite mean 850-hPa temperature anomalies (shaded according to the legend every 1 K), and mean sea-level pressure anomalies (contoured every 2 hPa in solid black where positive and in dashed black where negative) from the CFSR 10 days after a worst-performing forecast is initialized from the (a) ECCC, (b) CNRM, (c) ISAC, (d) KMA, (e) NCEP, (f) UKMO, (g) HMCR, (h) ECMWF, and (i) CMA model. Hatched regions indicate 850-hPa temperature anomalies that are statistically distinct from climatology at the 95% confidence interval using a two-sided Student's  $t$  test.