

# Sea ice production in the 2016 and 2017 Maud Rise polynyas

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## Key Points:

- Large ice production is found in the 2016 and 2017 Maud Rise open-ocean polynyas, as large as the second largest productive coastal polynyas
- Heat flux from the upper ocean within the polynya is calculated from in-situ measurements for the first time and larger than expected
- Sea ice production within the Maud Rise polynyas is strongly correlated to the 2 m temperature yet most sensitive to the 1000 hPa temperature

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**Abstract**

Sea ice production within polynyas, an outcome of the atmosphere - ice - ocean interaction, is a major source of dense water and hence key to the global overturning circulation, but is poorly quantified over open-ocean polynyas. Using the two recent extensive open-ocean polynyas within the wider Maud Rise region of the Weddell Sea in 2016 and 2017, we here explore the surface ice energy budget and estimate their ice production based on satellite retrievals, in-situ hydrographic observations, and the Japanese 55-year Reanalysis (JRA55). We find that the oceanic heat flux amounts to 36.1 and 30.7 W m<sup>-2</sup> within the 2016 and 2017 polynyas, respectively. We find that the 2017 open-ocean polynya produced nearly 200 km<sup>3</sup> of new sea ice, which is comparable to the production in the largest Antarctic coastal polynyas. Finally, we find that ice production is highly correlated with the 2 m air temperature and wind speed, which affect the turbulent fluxes. It is also highly sensitive to uncertainties in the atmospheric air temperature and mixed layer depth, which urgently need to be better monitored at high latitudes.

**Plain Language Summary**

Polynyas, openings in the sea ice, are crucial places for sea ice formation and are often referred to as “ice factories”. However, this ice production, which is critical to the formation of very dense and cold Antarctic Bottom Water (AABW), is rarely quantified for open-ocean polynyas. Here, we determine the sea ice volume produced within the latest 2016 and 2017 Maud Rise polynyas based on various satellite-retrieved observations by computing the surface heat budget. For the first time, we estimate the upper oceanic heat flux before and during a polynya from in-situ measurements within the polynya. This oceanic heat flux is crucial, as it strongly impacts ice formation. We estimate that the 2017 polynya produced an amount of ice comparable to that in the largest coastal polynyas. Finally, we find a link between air temperature, wind speed, ocean current and our estimated sea ice formation and show that the accuracy of the estimation largely depends on the near-surface atmospheric conditions. The sea ice production estimated in this study has substantial implications for AABW formation and can be used to better assess the air - ice - ocean interactions in the rapidly changing polar regions.

**1 Introduction**

Polynyas are regions of low sea ice concentration or thickness where the comparatively warm ocean is exposed to the cold atmosphere. Coastal polynyas are mainly driven by katabatic winds, while sensible heat convection results in open-ocean/offshore polynyas (Morales Maqueda et al., 2004). Morales Maqueda et al. (2004) (and references therein) summarized that air - ice - ocean interactions in polynyas could impact: (1) the moisture and heat exchange between atmosphere and ocean and, therefore, the local wind dynamics; (2) the upper ocean properties and vertical flows of dense saline water through brine rejection and buoyancy loss; (3) the biogeochemical fluxes, e.g. acting as the sinks of atmospheric CO<sub>2</sub>, between air and sea; (4) the local ecosystems with extra phytoplankton and zooplankton, served as “oases” in a polar ocean desert. In the Southern Ocean, open-ocean polynyas occur rarely but can reach a large extent. Such an open-ocean polynya, the Maud Rise polynya, opened in the vicinity of the Maud Rise seamount (centered on 64°S, 3°E) in 2016 and 2017, reaching up to 100 000 km<sup>2</sup> (Swart et al., 2018; Jena et al., 2019; Campbell et al., 2019). As Weddell Sea polynya, it had previously reached a size of 300 000 km<sup>2</sup> in 1974-1976 (Carsey, 1980). In contrast, Antarctic coastal polynyas occur frequently, with a variable extent, from 130 km<sup>2</sup> to 20 000 km<sup>2</sup> (Barber & Massom, 2007). Apart from the extent, the satellites have allowed to analyze the thinning sea ice thickness anomalies within the recent two Maud Rise polynyas (Mchedlishvili et al., 2022) from the satellites.

The chain of events leading to open-ocean polynyas over Maud Rise is not yet fully understood, but various hypotheses have been suggested, including the spinup of the Weddell Gyre; the warm Circumpolar Deep Water (CDW) shoaling; salinity injection through vertical entrainment; Maud Rise topography interaction with the flow (Cheon & Gordon, 2019; Wilson et al., 2019; Zhou et al., 2022), and anomalous atmospheric dynamic stresses; positive energy surface

62 flux (A. L. Gordon et al., 2007; Campbell et al., 2019; Francis et al., 2019, 2020). After its open-  
63 ing, the polynya will remain open, changing size and position, until the heat at depth is depleted,  
64 heat loss to the atmosphere ceases, or freshwater restratifies the surface in the region (T. Martin  
65 et al., 2013; Dufour et al., 2017). However, the impact of brine rejection from sea ice production  
66 in open-ocean polynyas remains unclear and needs better quantification (A. Gordon, 1982; Fran-  
67 cis et al., 2020). Most studies of sea ice production in the Southern Ocean have so far focused  
68 on coastal polynyas instead, which contribute as much as 10% of the total Antarctic sea ice pro-  
69 duction (Tamura et al., 2008). Moreover, ice production-related brine rejection there leads to the  
70 formation of Antarctic Bottom Water (AABW) (S. Martin et al., 2007), the densest water mass  
71 that occupies the abyssal layer of the global ocean.

72 As the results of air - ice - ocean interactions, several studies have recently addressed the  
73 sea ice thickness and production within the polynya through satellites. Multiple algorithms ex-  
74 ist to retrieve thin sea ice thickness by combining L-band microwave radiometry Soil Moisture  
75 Ocean Salinity (SMOS) and Soil Moisture Active Passive (SMAP); that is merged thin sea ice  
76 thickness product (SMOS-SMAP) from Pařilea et al. (2019). However, Pařilea et al. (2019) pointed  
77 out that this retrieved ice thickness (or “apparent sea ice thickness” (Mchedlishvili et al., 2022)  
78 instead) is only valid under the assumption that the ice concentration is near-100% and has quite  
79 large uncertainties (up to 50 cm) in low concentration.

80 Sea ice production has been computed from hydrographic profiles in the Ross Sea (Thompson  
81 et al., 2020), or more commonly, using satellite-based techniques (Drucker et al., 2011; Ohshima  
82 et al., 2016; Tamura et al., 2016). However, to the best of our knowledge, no study quantified sea  
83 ice production in Southern Ocean open-ocean polynyas from observations. Sea ice production  
84 in coastal polynyas has also been studied using coupled models (Haid & Timmermann, 2013),  
85 especially the high-resolution ones that can simulate their formation and maintenance (Marsland  
86 & Wolff, 2001) and determine the relative contribution of each heat flux, including the radiative,  
87 turbulent and ocean heat ones (Wu et al., 2003). Similar studies have been conducted for the open-  
88 ocean polynyas, generally through coupled (Timmermann et al., 1999; Walkington & Willmott,  
89 2006) and/or high-resolution climate models (Weijer et al., 2017; Kaufman et al., 2020). Weijer  
90 et al. (2017) in particular highlighted the enhanced sensible and latent heat fluxes over the open-  
91 ocean polynyas, which, in turn, have local impacts on precipitation, cloud characteristics, and ra-  
92 diative fluxes, using CESM at a synoptic-scale-resolving resolution (Small et al., 2014). Some  
93 of these modelling studies also quantify the heat transfer from the ocean to the atmosphere in South-  
94 ern Ocean open-ocean polynyas (Campbell et al., 2019; Kaufman et al., 2020), but more often  
95 in coastal polynyas (Yao & Tang, 2003; Haid & Timmermann, 2013). Similarly, although the role  
96 of oceanic heat on sea ice production within coastal polynyas is already described in Guo et al.  
97 (2019), few studies, be it through observations of modelling, examine its influence on open-ocean  
98 polynyas.

99 Therefore, this study provides the first quantification of sea ice production in the Maud Rise  
100 open-ocean polynyas of 2016 and 2017, from observational data sets only, along with a critical  
101 analysis of the current methods and datasets available to compute sea ice production. The satel-  
102 lite retrievals and in-situ measurements that we use are described in Section 2, and the methods  
103 to calculate sea ice production within the polynyas as they develop are in Section 3. For the first  
104 time, when in-situ observations are available, we determine the upper oceanic heat flux within  
105 the polynya (Section 4.1). In Section 4.2, after computing the sea ice production, we investigate  
106 the local atmospheric and oceanic conditions that dominated the ice production in 2016 and 2017,  
107 respectively. We further explore the sensitivity and impacts of all input parameters that are re-  
108 lated to the ice production calculation in Section 4.3. We then compare our results to other meth-  
109 ods and those within coastal polynya and also address the other effects on the ice changes within  
110 the polynya in Section 5. Finally, a summary and conclusions and an outlook on future work are  
111 presented in Section 6.

## 112 2 Satellite, reanalysis, and in-situ data

### 113 2.1 Sea ice concentration from ASI

114 We focus on the region of Maud Rise within the Weddell Sea between 20°W and 20°E and  
 115 from 75°S to 60°S, i.e., the area where open-ocean polynyas occasionally form (Heuzé et al., 2021).  
 116 We use the daily ARTIST Sea Ice (ASI) Version 5 sea ice concentration (SIC) (Spreen et al., 2008;  
 117 Melsheimer & Spreen, 2019) with a resolution of 6.25 km×6.25 km on a polar stereographic grid  
 118 from the Advanced Microwave Scanning Radiometer 2 (AMSR2). We determine the extent of  
 119 the 2016 and 2017 polynyas during the austral winter (May-October) by applying to these a stan-  
 120 dard 60% sea ice concentration threshold (Campbell et al., 2019), and “flood fill” algorithm to  
 121 mask out the open ocean and the Antarctic continent, ice shelves, and adjacent coastal polynyas  
 122 (Mohrmann et al., 2021). Fig. 1a shows the daily polynya area using this definition (lines) in 2016  
 123 (red) and 2017 (blue), but also the range of areas obtained when varying this threshold between  
 124 40 and 80% concentration (red/blue shading). It re-demonstrates that the open-ocean polynya de-  
 125 tection does not depend on the threshold and that the open-ocean polynyas largely occurred in  
 126 August and October 2016 and in September - October 2017, with a maximum extent over 100  
 127 000 km<sup>2</sup> during the 2017 event.

### 128 2.2 Radiative fluxes from CERES-SYN

129 As we explain in Section 3, we compute sea ice production via the energy budget. To quan-  
 130 tify the radiative fluxes in the surface energy budget, we use solar and long-wave downwelling/upwelling  
 131 surface fluxes from the Edition 4 Clouds and the Earth’s Radiant Energy System (CERES) SYN1deg-  
 132 Day (Doelling et al., 2013, 2016) dataset (hereafter referred to simply as CERES-SYN), which  
 133 currently extends from 2002 until now. The data are computed from a radiative transfer model  
 134 combining MODIS Terra, MODIS Aqua, and Geostationary imager satellites, meteorological pro-  
 135 files from the NASA Global Modeling and Assimilation Office (GMAO), and surface properties  
 136 from several sources providing a global 1°×1° grid. To achieve the consistency between the com-  
 137 puted and observed top of the atmosphere (TOA) fluxes from Terra and Aqua, small adjustments  
 138 are made and then labelled as ‘tuned’ fluxes while the originally computed surface fluxes are ‘un-  
 139 tuned’ (Rose et al., 2013). Despite its comparatively coarse resolution, CERES-SYN performs  
 140 better than the reanalysis ERA5 in estimating radiative fluxes over the ocean surface (Tang et al.,  
 141 2021). Here, we use the ‘tuned’ adjusted all-sky profile fluxes to quantify the energy budget in  
 142 Section 4.2 and ‘untuned’ initial surface fluxes to carry out sensitivity studies in Section 4.3. All  
 143 fluxes are interpolated to the ASI SIC spatial resolution.

### 144 2.3 Turbulent fluxes from reanalyses

145 To determine the turbulent flux in the energy budget, we use the method from L. Boisvert  
 146 et al. (2020), described in Section 3.1; this method was previously applied to the satellite-based  
 147 Atmospheric Infrared Sounder (AIRS) (Menzel et al., 2018). We here instead complement the  
 148 ASI and CERES-SYN data with the 2 m air temperature, 1000 hPa air temperature, 1000 hPa re-  
 149 lative humidity, 1000 hPa geopotential height, and 10 m wind speeds from a reanalysis instead  
 150 of AIRS due to the missing/unknown values within the polynya region during thick cloud con-  
 151 dition. In addition, the net radiative (longwave and shortwave) and turbulent (sensible heat: SH  
 152 and latent heat: LH) fluxes from four different reanalyses are also used to quantify the consis-  
 153 tencies and discrepancies between/among different reanalyses and methods proposed in our pa-  
 154 per.

155 To decide which reanalysis to use for our region, we compared four reanalysis data sets to  
 156 buoy measurements: ERA5 (Hersbach et al., 2020), the Japanese 55-year Reanalysis (JRA55)  
 157 (Kobayashi et al., 2015), NCEP Climate Forecast System Version 2 (CFSv2) (Saha et al., 2014),  
 158 and the Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA2)  
 159 (Gelaro et al., 2017). The daily snow and automatic weather station buoy measurements (Nicolaus,  
 160 Arndt, et al., 2017; Nicolaus, Hoppmann, et al., 2017) provide daily sea level pressure (SLP), 2 m

air temperature, and relative humidity that we compared to the reanalyses. We selected the buoys that had (1) been deployed in the Weddell Sea and (2) survived at least one austral winter (forty buoys in total, Fig. S1). Among the four reanalyses, JRA55 has the overall lowest bias and maximum correlation to all buoys (Fig. S2 to Fig. S4). Although ERA5 and MERRA2 also have low biases and high correlations for SLP (Fig. S2) and the 2 m air temperature (Fig. S3), JRA55 is the only reanalysis with a realistic relative humidity (Fig. S4). Therefore, for the rest of this manuscript, we use the daily atmospheric variables at a 1.25-degree resolution from JRA55. Note that investigating the reasons for the biases of each reanalysis would be beyond the scope of this paper.

## 2.4 Hydrographic data from SOCCOM floats

We also use hydrographic measurements from two Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) floats, with the WMOIDs 5904468 and 5904471, which fortuitously sampled the 2016 and 2017 open-ocean polynyas (see their trajectories in Fig. 1 (b) and (c)). The profiling floats measure with a 10-day cycle the temperature and salinity with an accuracy of  $0.005^\circ\text{C}$  and 0.01 psu, respectively, binned into 2 dbar intervals above 1000 dbar and 100 dbar intervals for levels between 1000 and 2000 dbar (Riser et al., 2018). From the individual SOCCOM profiles, we determine the mixed layer depth (MLD) using a potential density threshold  $\Delta\sigma_\theta = 0.03 \text{ kg m}^{-3}$  from the 10 dbar value (de Boyer Montégut et al., 2004; d’Ortenzio et al., 2005). Although this method is standard for the Southern Ocean, we investigate the sensitivity of our results to the use of another MLD calculation method in Section 4.3.

## 3 Methods

In this study, as has been done before for coastal polynyas (Tamura et al., 2008, 2011; Nakata et al., 2021), we determine sea ice production within the open-ocean polynyas via daily energy budgets. This section first describes the different terms of the energy budget, including the oceanic heat flux, and finishes with sea ice production and other methods for ice volume changes.

### 3.1 Energy balance within the polynya

Above the sea ice surface, the energy exchange between atmosphere, ice, and ocean is expressed as:

$$F_{net}^{top} = F_{SW} + F_{LW} + F_{SH} + F_{LH} + F_{TC} \quad (1)$$

where  $F_{net}$ ,  $F_{SW}$  and  $F_{LW}$  are the net-, short- and longwave radiative fluxes, respectively,  $F_{SH}$  and  $F_{LH}$  are the sensible and the latent heat flux, and  $F_{TC}$  is the top surface conductive heat flux through the ice. Meanwhile, at the bottom of the ice, the balance is described as:

$$F_{net}^{bottom} = F_{OW} - F_{BC} \quad (2)$$

where  $F_{OW}$  is the oceanic heat flux into the ice and  $F_{BC}$  the basal conductive flux. Here, we assume that the conductive flux is continuous through the sea ice (West et al., 2019), which means that  $F_{TC} = F_{BC}$  and the net flux used to produce/melt ice is either at the top or bottom. Thus, the net heat flux through the sea ice from the ocean to the atmosphere is further improved by combining Eq. 1 and Eq. 2 as:

$$F_{net} = F_{SW} + F_{LW} + F_{SH} + F_{LH} - F_{OW} \quad (3)$$

We now give more details about each term in dedicated subsections.

#### 3.1.1 Turbulent flux

Satellite-based surface turbulent flux ( $F_{SH}$  and  $F_{LH}$ ) retrievals rely on the bulk aerodynamic method (Monin-Obukhov similarity theory or MOST) (Panofsky, 1984) and an iterative calculation scheme (Launiainen & Vihma, 1990) following L. N. Boisvert et al. (2022), expressed as:

$$\begin{aligned} F_{LH} &= \rho S_r [C_{Ez,i} L_{e,i} SIC (q_{s,i} - q_z) + C_{Ez,w} L_{e,w} (1 - SIC) (q_{s,w} - q_z)] \\ F_{SH} &= c_p S_r [C_{Hz,i} SIC (T_{s,i} - T_z) + C_{Hz,w} (1 - SIC) (T_{s,w} - T_z)] \end{aligned} \quad (4)$$

where  $\rho$  is the air density,  $S_r$  the effective wind speed at 10 m that accounts for the enhancement of SH and LH fluxes by wind gustiness (and differs for stable and unstable boundary layers, (Taylor et al., 2018)),  $C_{Ez}$  ( $C_{Hz}$ ) is the bulk water vapor (heat) transfer coefficient over ice ( $i$ ) and water ( $w$ ),  $L_{e,i}$  ( $L_{e,w}$ ) is the latent heat transfer of sublimation (vaporization) over ice (water),  $SIC$  is the sea ice concentration from ASI SIC,  $q_{s,i}$  ( $T_{s,i}$ ) is the saturation specific humidity (2 m air temperature) at the surface of the ice,  $q_{s,w}$  ( $T_{s,w}$ ) is the same but at the ocean surface, and  $q_z$  ( $T_z$ ) is the air specific humidity (temperature) at 2 m, and  $c_p$  is the specific heat of air.

This method has regularly been applied for AIRS-based surface turbulent flux calculation (L. N. Boisvert et al., 2013, 2015; Monroe et al., 2021) with some modifications, including stable conditions and roughness lengths estimation of sea ice from Grachev et al. (2007); Andreas, Horst, et al. (2010); Andreas, Persson, et al. (2010). The 1000 hPa air temperature and specific humidity measured at different heights are consistently interpolated to a 2 m reference height based on the iterative process. Further considering the surface differences, the daily turbulent heat flux (LH and SH) are computed based on JRA55 and then interpolated for a 6.25 km $\times$ 6.25 km pixel on a polar stereographic grid. The reader can find a more detailed description of the parameterization of these terms in L. N. Boisvert et al. (2013, 2015).

### 3.1.2 Oceanic heat flux

Similar to Ackley et al. (2020), the oceanic heat flux ( $F_{OW}$ ) in Eq. 3 is calculated from the float data as (Maykut & McPhee, 1995):

$$F_{OW} = \rho_w c_w C_H u_{*0} \delta T, \quad (5)$$

where  $\rho_w = 1024 \text{ kg m}^{-3}$  is the seawater density,  $c_w = 3980 \text{ J kg}^{-1} \text{ K}^{-1}$  the specific heat capacity of seawater,  $C_H = 0.0056$  the heat transfer coefficient that can be used in the Weddell Sea (McPhee et al., 1999),  $u_{*0}$  the friction velocity at the ice/ocean interface, and  $\delta T = T_{ML} - T_f(S_{ML})$  the deviation of the seawater temperature from the freezing point within the mixed layer. Here,  $T_f(S_{ML})$  is defined as (Fujino et al., 1974):  $-0.057 S_{ML} + 1.710523 \times 10^{-3} S_{ML}^2 - 2.154996 \times 10^{-4} S_{ML}^3 - 7.53 \times 10^{-4} P_{ML}$ , where  $S_{ML}$  is the water salinity within the mixed layer in psu and  $P_{ML}$  is the pressure in decibars.

The magnitude of  $u_{*0}$  is obtained from the geostrophic drag relation as in Maykut and McPhee (1995):

$$\frac{|U_0|}{u_{*0}} = \left| \frac{1}{\kappa} [\log(R_{O*} - A - iB)] \right|, \quad (6)$$

where  $U_0 = U_{ice} - U_g$  is the vector describing the ice velocity  $U_{ice}$  relative to the surface geostrophic current in the ocean  $U_g$ ,  $\kappa = 0.4$  the von Karman's constant, and  $A$  and  $B$  the Rossby similarity constants.  $R_{O*} = \frac{u_{*0}}{f z_0}$  is the surface friction Rossby number, where  $f$  is the Coriolis parameter and  $z_0$  the roughness length for the under the ice surface. Based on Untersteiner et al. (2007), we use the constant values ( $A = 1.91$ ,  $B = 2.12$  and  $z_0 = 0.1\text{m}$ ) obtained from the Arctic Ice Dynamics Joint Experiment (AIDJEX) stations measurements that have also been applied to the Weddell Sea (McPhee et al., 1999). Sea ice velocity ( $U_{ice}$ ) is available from the NSIDC 25 km  $\times$  25 km daily sea ice drifting product through merging multiple passive microwave satellite and buoy observations (Tschudi et al., 2019). For the ocean geostrophic currents ( $U_g$ ), we use the near-surface (0.50576 m depth) ocean current from the daily GLORYS (Global ocean reanalysis) 2V4 (Lellouche et al., 2013) in the Global Reanalysis multi-model Ensemble Product (GREP) product in 0.25 $^\circ$   $\times$  0.25 $^\circ$  horizontal resolution.

## 3.2 Sea ice production estimation

In this study, we compute the daily frazil ice production rate as:

$$\frac{\partial hi}{\partial t} = \frac{-F_{net}}{\rho_{ice} L_{ice}}, \quad (7)$$

where  $F_{net}$  is computed as described in Eq. 3,  $\rho_{ice}$  is the ice density ( $= 920 \text{ kg m}^{-3}$ ), and  $L_{ice} = 279 \text{ KJ kg}^{-1}$  the latent heat of fusion for ice production determined for the Ross Sea (Cheng et al., 2017), and which we assume can be applied to the Weddell Sea. Sea ice production within the polynya is then defined as the daily change within one grid cell ( $V_p$ ):

$$V_p = \frac{-tA(1 - SIC)F_{net}}{\rho_{ice}L_{ice}}, \quad (8)$$

where  $t$  ( $= 86,400 \text{ s}$ ) represents the seconds of one day, and  $A$  ( $= 6.25 \text{ km} \times 6.25 \text{ km}$ ) is the area of a AMSR2 ice concentration grid cell. Earlier studies have used this algorithm to analyze ice production in coastal polynyas (Tamura et al., 2008, 2011; Nakata et al., 2021), but to the best of our knowledge, this study is the first to apply it to Southern Ocean open-ocean polynyas.

### 3.3 Sensitivity configuration

The computation of the produced sea ice volume  $V_p$  stems mainly from (1) the bulk parameter and threshold chosen in Eq. 8 and Eq. 5, (2) the radiative fluxes from CERES-SYN, and (3) the JRA55 atmospheric input. As acquiring the real uncertainties from the nonlinear heat flux calculation and the errors from the reanalysis data is near-impossible, we perform sensitivity tests to assess the relative changes in  $V_p$  when perturbing these parameters.

In Section 4.3, we, therefore, conduct the following perturbations on the sea ice, ocean, and atmospheric parameters:

- The sea ice concentration (SIC) threshold to detect a polynya, the sea ice latent heat of fusion ( $L_{ice}$ ), the ice density ( $\rho_{ice}$ ), and the ocean heat transfer coefficient ( $C_H$ ) are perturbed by the upper and lower values from the references;
- We compute the mixed layer depth using the other five common algorithms: temperature threshold ( $\Delta T = 0.2^\circ\text{C}$ ), temperature gradient threshold ( $\Delta \frac{\partial T}{\partial z} = 0.005^\circ\text{C m}^{-1}$ ), intersection of the mixed layer and seasonal-thermocline fits (MLTFIT:  $T_{MLfit} = T_{Thermfit}$ ), potential density gradient threshold ( $\Delta \frac{\partial \sigma_\theta}{\partial z} = 0.0005 \text{ kg m}^{-4}$ ), and potential-density fit ( $\sigma_{\theta_{MLfit}}$ ) from Holte and Talley (2009). We also quantify the effect of three choices of freezing temperature of sea water thresholds in  $-2.0^\circ\text{C}$ ,  $-1.9^\circ\text{C}$ , and  $-1.8^\circ\text{C}$ , sea ice drifting error from NSIDC (Tschudi et al., 2019), and ocean surface current uncertainties from GLORYS multi-model ensembles (Garric & Parent, 2018);
- CERES-SYN ‘tuned’ data are replaced by ‘untuned’ data (see Section 2);
- and all JRA55 atmosphere parameters are perturbed within eight scenarios ( $\pm 10$ ,  $\pm 20$ ,  $\pm 50$ ,  $\pm 80\%$ ) of each value.

## 4 Results

### 4.1 Oceanic heat flux and net flux

Campbell et al. (2019) discussed deep overturning and upper ocean haline stratification in the Weddell Sea using the data from two SOCCOM floats that were sampled within the 2016 and 2017 polynyas. We here expand their study to compute the oceanic heat flux from the mixed layer within the polynyas using the same data set (Fig. 2, vertical dashed lines within the polynyas labelled with the ice concentration). As noted in Campbell et al. (2019), the mixed layer becomes shallower during the polynya events (white line, float 5904468 and 5904471 in Fig. 2a and c), probably as a result of ocean surface freshening from ice melt (Fig. 2b and d). Meanwhile, ocean temperatures both below and within the mixed layer are above freezing while the polynya is open (positive values on Fig. 2a and c, most clearly visible during the 2017 polynya).

Although the apparent sea ice thickness from SMOS-SMAP (purple line) decreases at the location of the floats during the polynyas, the oceanic heat flux  $F_{OW}$  (green lines), calculated from the floats and Eq. 5, is not statistically significantly different in the presence and absence of a polynya. The average oceanic heat flux along the float trajectory for the period during which sea ice con-

267 centration is lower than 60% is  $36.08 \text{ Wm}^{-2}$  during the 2016 polynya, and  $30.72 \text{ Wm}^{-2}$  during  
 268 the 2017 polynya in Table 1. By combining the two floats measurements, the monthly mean oceanic  
 269 heat flux is thus presented in Table 1 within 2016 and 2017, with the maximum being about 67.28  
 270 and  $52.26 \text{ Wm}^{-2}$ , respectively. These values are close to that from A. L. Gordon and Huber (1990)  
 271 ( $41 \text{ Wm}^{-2}$ ) but larger than the annual mean oceanic heat flux in Petit and Norro (2000) (see their  
 272 Fig. 15), although none of these was measured in a polynya; the discrepancy will nonetheless be  
 273 addressed later in this manuscript.

274 Through the floats measurements, the ocean heat flux is estimated every ten days. There-  
 275 fore, the daily ocean flux is acquired through linear interpolation between the successive two (10-  
 276 day resolution) float values for each float. And then, the mean daily ocean flux (thick light green  
 277 lines in Fig. 2b and d) is averaged from float 5904468 and 5904471. Here, the daily resolution  
 278 average heat energy from the ocean is included in the daily net heat flux in the 2016 and 2017  
 279 polynya energy budget, subtracting them as in Eq. 3, and denoted as “F + O” in the following anal-  
 280 ysis. As described in Section 3, F + O includes the radiative flux retrieved from CERES-SYN,  
 281 the turbulent flux computed from the MOST method with JRA55 input, and the float-based oceanic  
 282 heat flux. Fig. 3 contrasts "our" (F + O) net flux within the 2016 and 2017 Maud Rise polynyas  
 283 to the one from JRA55. Generally, the net flux is negative, i.e., the ocean loses heat to the atmo-  
 284 sphere, which is to be expected when sea ice is thin or open. In particular, in 2016 (Fig. 3a), F + O  
 285 shows an extreme heat loss (over  $300 \text{ Wm}^{-2}$ ) from the ocean near the end of July, when the polynya  
 286 is opening. The heat flux mainly remained negative during the entire polynya event. The larger  
 287 2017 polynya (Fig. 3b) had several positive heat intrusions into the ocean, which would melt the  
 288 ice. Especially by the end of September, all computations agree to reveal a positive net flux of  
 289  $25 \text{ Wm}^{-2}$ , by the ocean losing over  $350 \text{ Wm}^{-2}$  heat before the polynya reaches its maximum on  
 290 23-Sep.

291 The flux changes are consistent among all data sets. In fact, the computed net fluxes are  
 292 significantly correlated with each other (Table S1). Table S1 also compares the net flux calcu-  
 293 lation from F + O but with the other three reanalyses input, denoted as F + O (ERA5, CFSv2, MERRA2)  
 294 in the following. Due to the lack of partial sea-ice cover in the grid cells used by JRA55 (Kobayashi  
 295 et al., 2015; Tsujino et al., 2018), those from F + O and JRA55 have the lowest correlation com-  
 296 pared to others. The 0 or 100% sea ice treatment in JRA55 also explains that the spatial variabil-  
 297 ity of net flux is the smallest in JRA55 during the freezing seasons, while the temporal variabil-  
 298 ity is the largest in F + O. However, high correlations ( $>0.85$ ), which are between direct flux from  
 299 the reanalyses and those from F + O feeding in those reanalyses, e.g., between ERA5 and F + O  
 300 (ERA5), prove the robustness of the F + O algorithms.

301 To summarise, we computed the upper ocean heat flux when the open-ocean polynya oc-  
 302 curred based on the floats measurements. Then, for the first time, the net flux proposed here, F + O,  
 303 also incorporates this observationally-deduced flux in the heat budget over the Maud Rise open-  
 304 ocean polynya. We now use this heat budget to examine the sea ice production within the latest  
 305 two Maud Rise polynyas.

## 306 4.2 Sea ice production in the polynyas, and the role of the atmosphere and ocean

307 Using the net flux estimated from F + O, we compute the daily sea ice production through  
 308 Eq. 7. Fig. 4a and b show the daily ice produced within the day of maximum polynya extent in  
 309 2016 and 2017 (the same two days as in Fig. 1). Average (maximum) sea ice produced on 8-Aug-  
 310 2016 is about 0.073 m (0.149 m) and reaches 0.097 m (0.179 m) on 23-Sep-2017. Note for both  
 311 years the region-dependent distribution, in which the production is more prominent in the north-  
 312 ern part of the polynya in response to the sensible flux from the ocean (not shown).

313 The monthly distribution and the related statistical parameters of sea ice production for each  
 314 cell within the 2016 (2017) polynya are shaded in red (blue) in Fig. 4c. The peak production for  
 315 2016 is in July, with  $1.8 \times 10^{-3} \text{ km}^3/\text{day}$  on average. In 2017, although the polynya has a large ex-  
 316 tent throughout October, the amount of ice produced is highest in September while the polynya  
 317 grows, corresponding to the September significant heat loss (Fig. 3). The average September 2017

318 sea ice production for each  $6.25 \text{ km} \times 6.25 \text{ km}$  cell is over  $2.5 \times 10^{-3} \text{ km}^3/\text{day}$ , with over 70% among  
 319 them over  $2.0 \times 10^{-3} \text{ km}^3/\text{day}$ . There are also negative ice production (melting) values over the  
 320 wider Maud Rise (within the polynya area), mainly in May before the seasonal ice cover has fully  
 321 settled and in (late) October as the sunlight returns. Note that the dynamics aspect is compara-  
 322 tively small and cannot explain these values, as we will show in Section 5. Finally, the accumu-  
 323 lated ice produced during the freezing season (May to October) is summarized in Table 2:  $80.11 \text{ km}^3$   
 324 in 2016 and  $197.86 \text{ km}^3$  in 2017 in total within the polynyas. As we will discuss later in this manuscript,  
 325 this is the same order of magnitude as produced by the largest coastal polynyas.

326 We further examine the relationship between daily sea ice production, near-surface atmo-  
 327 sphere condition from JRA55, and ocean current from GLORYS to find the determining factors  
 328 for the variation of  $V_p$  (Table 2) in 2016 and 2017. As expected, we find that the sea ice produc-  
 329 tion  $V_p$  is highly correlated with the polynya extent  $S_p$ , especially in 2016 (correlation coefficient  
 330 of 0.64). The volume  $V_p$  is also negatively correlated with the 2 m air temperature ( $T$ ), especially  
 331 in 2017 (-0.51), and those anti-correlations dominate the north of the Maud Rise region (Fig. S5a).  
 332 That is, as expected, a cold atmosphere is associated with large ice production. There is also a  
 333 slight positive correlation (Fig. S5b) between the volume of ice produced and the 10 m wind speed  
 334 ( $|U_{\text{wind}}|$ ) for both years, probably because the turbulent heat flux to the atmosphere is determined  
 335 by  $T$  and  $|U_{\text{wind}}|$ . Correlations between ice production and ocean surface currents ( $|U_{\text{ocean}}|$ ), al-  
 336 though significant, are very small, especially in 2017 (0.08). Note that there are large uncertain-  
 337 ties in the time series of these potential drivers, not least due to the differences in spatial reso-  
 338 lutions, so it is no surprise that the dominating causality cannot be established. In addition, tak-  
 339 ing the dependent relationship between  $|U_{\text{wind}}|$  and  $|U_{\text{ocean}}|$  into account, we perform two mul-  
 340 tiple linear regression analyses in which  $V_p$  is taken as a variable explained by (1)  $T$  and  $|U_{\text{wind}}|$ ,  
 341 (2)  $T$  and  $|U_{\text{ocean}}|$ , respectively (Table 2). We find again that  $T$  is the dominant factor that deter-  
 342 mines  $V_p$  in the open-ocean polynyas while  $|U_{\text{ocean}}|$  has the least influence. Interestingly, the con-  
 343 tributions differ between the 2016 and 2017 polynyas; The wind influence on  $V_p$  is larger within  
 344 the relatively small 2016 polynya, while the 2 m air temperature clearly dominates in the large  
 345 2017 polynya. Lastly, we find that unlike ice production within coastal polynyas (Nihashi & Ohshima,  
 346 2015; Nakata et al., 2021), geostrophic winds exert a negligible influence (not shown) on the 2016/2017  
 347 open-ocean polynyas.

### 348 4.3 Sensitivity study

349 As introduced in Section 3.3, we acknowledge that all parameters involved in the sea ice  
 350 volume computation have inherent uncertainties that impact our results, but these uncertainties,  
 351 unfortunately, cannot be obtained directly. We, therefore, instead quantify the sensitivity of  $V_p$   
 352 for the 2016 and 2017 open-ocean polynyas to perturbations of all the bulk, sea ice, oceanic, and  
 353 atmospheric parameters (Table 3, Table S2 and Fig. S6). When possible, to clarify, Table 3 also  
 354 gives  $\alpha$ , the ratio of relative changes in  $V_p$  to those in the input value.

355 The bulk ice parameters are varied within the ranges found in the literature (see Table 3).  
 356 These include the sea ice concentration threshold for polynya determination,  $L_{\text{ice}}$ ,  $\rho_{\text{ice}}$ , and  $C_H$ .  
 357 Among them, the SIC threshold for polynya determination exerts the largest impact on sea ice  
 358 production, with the  $\alpha$  being over 1. This is no surprise given the results of the previous section:  
 359 a different threshold changes the detected area of the polynya, which we found to be strongly cor-  
 360 related to  $V_p$ .

361 For the sensitivity to the oceanic terms, we notably recompute the mixed layer depth us-  
 362 ing the other algorithms/thresholds used in the literature, as well as different values for the freez-  
 363 ing point of sea water, commonly used instead of the actual salinity and pressure-dependent value.  
 364 We also quantify the sensitivity to the NSIDC ice drift and GLORYS ocean currents. We find  
 365 that among these, the MLD algorithm has the largest impact (Table 3): nearly 40% of the ice pro-  
 366 duction in 2017 (Table S2) is reduced if the MLD comes from the MLFIT algorithm, i.e. is com-  
 367 puted as the intersection between the MLD and thermocline (Holte & Talley, 2009). The ML-  
 368 FIT algorithm suggests the average MLD is 28.2 m deeper in 2017, corresponding to over 70%

369 extra heat storage in the upper ocean. However, Holte and Talley (2009) showed that this method  
 370 might misidentify the thermocline from the polar profile for the polar regions. Overall, compared  
 371 to the potential density threshold, the gradient threshold algorithms for both temperature and den-  
 372 sity always obtain a larger ice production, while those based on the fit algorithm tend to produce  
 373 significantly less ice.

374 The sensitivities from all atmospheric parameters are considered through changing into ‘un-  
 375 tuned’ CERES-SYN data or proportionally increasing/decreasing the JRA55 input. The sensi-  
 376 tivity results show that the most substantial impact arises from the temperature at 1000 hPa ( $\alpha$   
 377 of 1.43 to 3.40). That is, 1% of temperature changes can at least result in 1.4% of ice production  
 378 variation (see, e.g. 2016 on Fig. S6). This is no surprise considering that in the previous para-  
 379 graph, we found that sea ice production was most strongly correlated to near-surface air temper-  
 380 ature.

381 Generally, the most dominant factor for ice production is near-surface (1000 hPa) temper-  
 382 ature, followed by polynya threshold, mixed layer depth definition, the latent heat of fusion, and  
 383 2 m temperature.

## 384 5 Discussion

### 385 5.1 Comparison of the methods for sea ice production

386 The method we used here to compute sea ice production is the most commonly used for  
 387 Antarctic coastal polynyas, but it is not the only method. Sea ice production can also be estimated  
 388 from the “freezing degree days” (FDD) or simply by comparing day-to-day changes in SMOS-  
 389 SMAP thin ice thickness, although large uncertainties (Ludwig et al., 2019; Macdonald et al.,  
 390 2022), see Text S1. Here, we will use the term apparent ‘sea ice change’ ( $V_{\text{apparent}}$ ) instead of ‘sea  
 391 ice production’ for the above FDD and SMOS-SMAP methods for less ambiguity. Preußer et al.  
 392 (2019) pointed out that flux-deduced sea ice production, e.g. F + O, is typically regarded as a po-  
 393 tential upper limit for thermodynamically induced ice changes.

394 We compare these three methods in Fig. S7: red and blue shadings are from our F + O es-  
 395 timation, identical to Fig. 4; purple lines are for the FDD sea ice and green light for the SMOS-  
 396 SMAP one. Sea ice changes from SMOS-SMAP are much smaller than from the other two meth-  
 397 ods, with monthly means hardly above 0 even in 2017. The result is the same when accumulated  
 398 over the entire May-October season (second column in Table 2 and dotted shadings and circle  
 399 lines in Fig. 5):  $V_{\text{apparent}}$  from SMOS-SMAP (square bracket, left) is only 19.5 km<sup>3</sup> in 2017, while  
 400 that derived from FDD (square bracket, right) and F + O (no bracket) are comparable, over 50 km<sup>3</sup>  
 401 in 2016 and over 180 km<sup>3</sup> in 2017. This result can partly be explained by the definition of these  
 402 two auxiliary methods. The FDD estimation constantly accumulates values. Thus the daily  $V_{\text{apparent}}$   
 403 is always positive, i.e. ice can only grow with this method. In contrast, most of the SMOS-SMAP  
 404  $V_{\text{apparent}}$  is negative, indicating half of the sea ice melts during the occurrence of the polynya, which  
 405 is in agreement with the oscillations in heat fluxes from Fig. 3. Considerable uncertainties ex-  
 406 ist in the SMOS-SMAP product (Pařilea et al., 2019), up to 30 cm. Its current retrievability limit  
 407 of 50 cm also hampers its use for sea ice volume change studies. Therefore, although the con-  
 408 sistency between potential ice production and actual sea ice changes cannot be definitely veri-  
 409 fied, our results provide an uppermost limitation of ice production, which can impact the sea ice  
 410 budget in general.

### 411 5.2 Comparison of the Maud Rise sea ice production to that of Antarctic coastal polynyas

412 Although open-ocean polynyas rarely occur in the Southern Ocean, we find that the ice pro-  
 413 duction within them is comparable to or even more extensive than that in coastal polynyas. Fig. 5  
 414 compares sea ice production among the 13 major Antarctic coastal polynyas computed by (1) Nihashi  
 415 and Ohshima (2015) (yellow patches, see their Table 1, average over 2003-2010) and (2) Tamura  
 416 et al. (2016) (yellow circles, see their Table 1, average over 1992-2013) to that from our F + O

(red and blue patches). The differences between the Nihashi and Ohshima (2015) and Tamura et al. (2016) estimate result from different methods, data sets and spatial coverage. The most considerable contrast (271 v.s. 38 km<sup>3</sup>) is over the Weddell Sea (W), where Tamura et al. (2016) integrated ice production in coastal polynyas along an extensive stretch of coastline, while most of them are labelled as fast ice and hence excluded by Nihashi and Ohshima (2015). There, an extra estimation of 155 km<sup>3</sup> from 1992 to 1998 is provided by Renfrew et al. (2002).

There is more agreement at the other coastal polynya locations (Fig. 5), highlighting that the Ross Ice Shelf (R) polynya is the largest producer (over 300 km<sup>3</sup> sea ice from March to October). We find that the second-largest is the 2017 Maud Rise open-ocean polynya (197.86 km<sup>3</sup>), ahead of the Cape Darnley (CD: ~158 km<sup>3</sup>) and Mertz (M: ~152 km<sup>3</sup>) coastal polynyas. Although lower, the sea ice production in the 2016 Maud Rise polynya is nonetheless the 8th highest (80.11 km<sup>3</sup>). Therefore, a sizeable open-ocean polynya can lead to extensive ice production that is comparable to or even larger than the coastal polynya “ice factories”.

High ice production in a coastal polynya can produce dense shelf water (DSW) that contributes to AABW formation (Ohshima et al., 2016). For example, polynyas in Ross Ice Shelf and Terra Nova Bay are taken as the drivers for Ross Sea Bottom Water (Fusco et al., 2009; Comiso et al., 2011); sea ice production in the Cape Darnley polynya is directly linked to very saline DSW (>34.8) (Roquet et al., 2013), and the primary DSW source of Cape Darnley bottom water (CDBW) (Ohshima et al., 2013), and the Mertz polynya is identified as the source of Adélie Land Bottom Water (Williams et al., 2008). Therefore, such a large ice production and brine rejection in the open ocean would significantly impact the local stratification and potentially lead to dense water formation (Kusahara et al., 2017), although, e.g. Campbell et al. (2019) found no indication of deep convection following the 2016 and 2017 polynyas.

### 5.3 Dynamics inside the polynya

Another significant contributor to the sea ice budget in the polynya is the sea ice motion. Sea ice convergence from outside of the polynya to the polynya causes a net ice gain for the polynya, while ice divergence out of the polynya causes a net ice loss. Several studies compared the contribution of the dynamic and thermodynamic processes changing sea ice area in the Weddell Sea (Holland & Kwok, 2012; von Albedyll et al., 2022). In particular, based on a concentration budget analysis, Holland and Kwok (2012) found that during a typical freezing season, sea ice concentration over Maud Rise (named King Håkon Sea in their paper) is mainly controlled by thermodynamic component. Huot et al. (2021) found a similar result in the polynya in their 5 km sea ice-ocean model. Besides, unlike coastal polynyas that are controlled by dynamic processes (katabatic wind, latent heat), open-ocean polynyas are dominated by sensible fluxes, i.e. thermodynamics. Finally, the insignificant correlation between  $V_p$  and geostrophic wind, which is correlated with the ice motion, in Section 4.2 also supports the hypothesis of a minor impact from the dynamics.

Nonetheless, we quantify the relative contributions from thermodynamic and dynamic processes in the ice production/volume changes with the development of the 2017 Maud Rise open-ocean polynyas. We here show only the period September-October 2017 (49 days in total), which exhibited the largest changes in sea ice production and polynya area. Here, in a very simple case, we focus on the sea ice horizontal transport/advection and consider the “free drift” condition in which the rheology term, ridging redistribution, and internal force are neglected. See more computation details in Text S2 and Fig. S8. We find that the 49 days of accumulated daily ice volume changes due to ice advection computed from the NSIDC sea ice drift data (~ 5.6 km<sup>3</sup>) is only 30% of the total sea ice volume change over the same period (~ 18.5 km<sup>3</sup>). This estimation is but an approximation, owing to the low resolution of the sea ice drift dataset, e.g. 25 km×25 km NSIDC products used here. We expect that the new daily sea ice velocity data sets from the proposed “swath-to-swath” algorithm (Lavergne et al., 2021), combined with more accurate sea ice thickness retrievals from satellites, will reduce the uncertainty of that estimation.

#### 467 **5.4 Discussion of the oceanic heat flux estimation**

468 As explained, e.g. in Guo et al. (2019), sea ice production in open-ocean polynyas is re-  
 469 duced by the upwelling of oceanic heat flux from the comparatively warm, modified Circumpolar  
 470 Deep Water (CDW). We here estimated the oceanic heat flux from direct observations col-  
 471 lected by drifting profilers. Noted that within the sea ice energy budget, the ocean heat effects  
 472 are within the mixed layer (up to 200 m in Fig. 2). Previous studies have found that the average  
 473 upper-ocean heat flux in the western Weddell Sea varies from  $7 \text{ W m}^{-2}$  (Lytle & Ackley, 1996)  
 474 to  $41 \text{ W m}^{-2}$  (A. L. Gordon & Huber, 1990) during autumn and early winter.

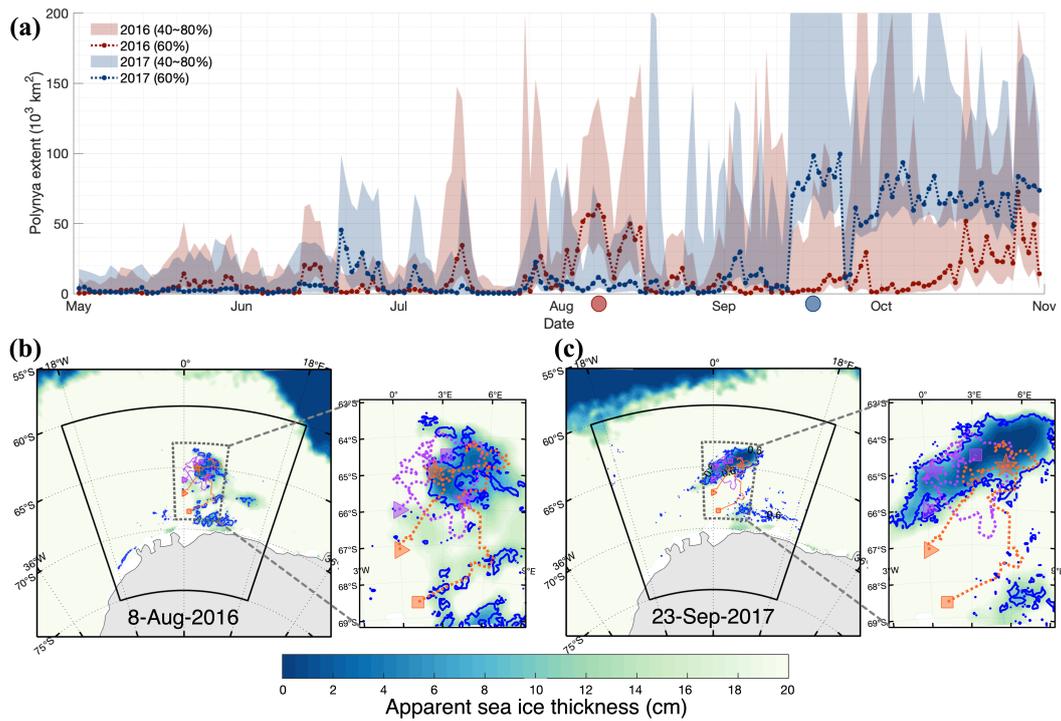
475 Over Maud Rise, in particular, McPhee et al. (1999) found about  $23 \text{ W m}^{-2}$  during the ANZFLUX  
 476 crewed drift in 1994. Our maximum (and average) estimations from the float measurements col-  
 477 lected during the 2016 and 2017 polynyas (within 60% threshold in Table 1),  $58.9$  ( $36.1$ )  $\text{W m}^{-2}$   
 478 and  $66.6$  ( $30.7$ )  $\text{W m}^{-2}$ , reveal that the oceanic heat flux during a polynya is high compared to  
 479 the previously observed values. To the best of our knowledge, this is the first estimation of the  
 480 upper oceanic flux before and during the recent Maud Rise polynyas. Admittedly, the parame-  
 481 terizations/choices of the above bulk values in Eq. 6 require more idealized laboratory experi-  
 482 ments and actual field works to better represent the current Weddell Sea or Antarctic research.  
 483 The largest uncertainty comes from the measurement method itself though: be it from a moor-  
 484 ing, a ship, or a float, all oceanic observations are single profiles. Our results and that of others,  
 485 e.g. Mohrmann et al. (2022) show in contrast a large spatial variability over the polynya region  
 486 and a daily (or higher) temporal variability. We need observations at a high spatio-temporal res-  
 487 olution, for example from a flotilla of floats sampling daily, to resolve these scales of variabil-  
 488 ity in the polynya, and more accurately quantify the contribution of the ocean through its life cy-  
 489 cle.

#### 490 **6 Conclusions**

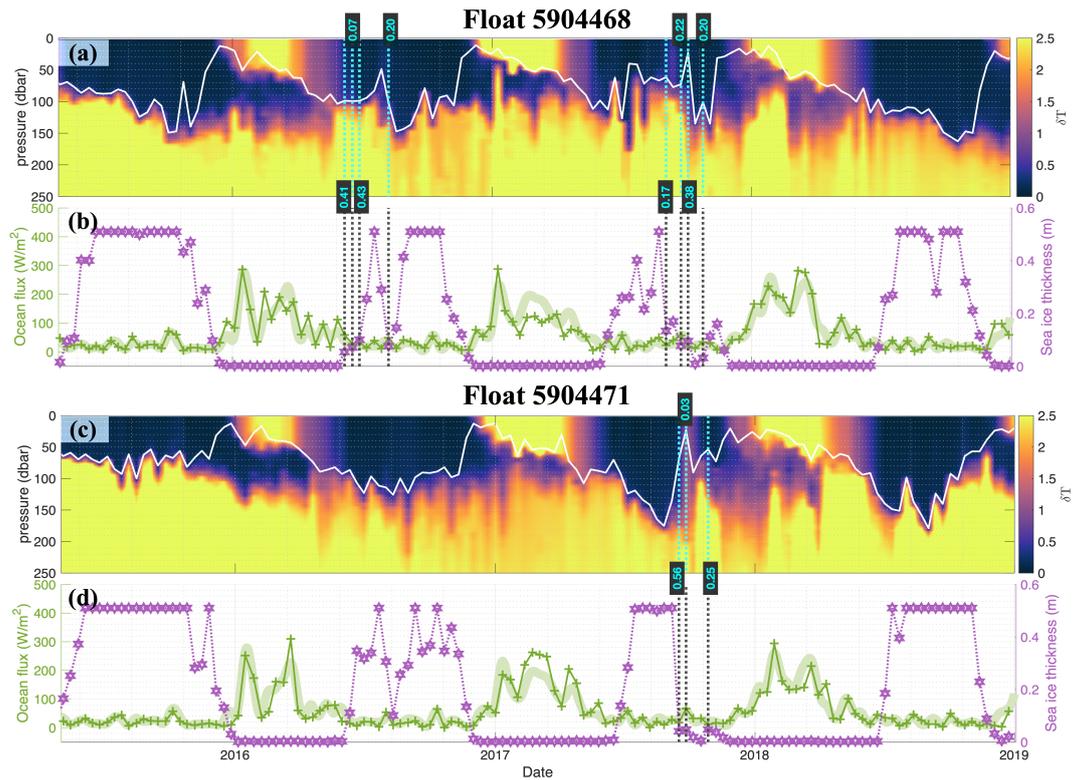
491 We estimate sea ice production within the 2016/2017 large Maud Rise open-ocean polynyas  
 492 from the sea ice surface energy budget computed from the CERES-SYN satellite product and JRA55  
 493 atmosphere reanalysis. We show that this thermodynamics contribution dominates ice growth  
 494 and production. For the first time, we also incorporate the oceanic flux into the heat balance, which  
 495 is evaluated from direct observations collected by drifted profilers. This oceanic heat flux is  $36.08$   
 496 ( $30.72$ )  $\text{W m}^{-2}$  within the 2016 (2017) open-ocean polynya, on average.

497 We find large ice volumes of ice produced in these two polynyas, especially in September  
 498 2017, when the open-ocean polynya was large. We find that the accumulated volume of 2017,  
 499  $198 \text{ km}^3$ , is the second-largest compared to 13 main Antarctic coastal polynyas (Fig 5). Consis-  
 500 tent with the formation mechanism of open-ocean/sensible heat polynya, we find that the daily  
 501 ice production is most correlated to the 2 m air temperature but only weakly correlated to winds,  
 502 further showing that these polynya events are primarily thermodynamically controlled. In addi-  
 503 tion, we found significant sensitivities of the sea ice volume calculation to the 1000 hPa air tem-  
 504 perature, the sea ice concentration threshold for polynya definition, and the mixed layer depth com-  
 505 putation. These could explain why polynyas are so poorly represented in climate models (Mohrmann  
 506 et al., 2021): the atmosphere, sea ice, and ocean diagnostics need to be accurate simultaneously.  
 507 Our results suggest that the rarely-occurring but extensive open-ocean polynya can result in sub-  
 508 stantial ice production, which is closely related to open-ocean deep convection, another process  
 509 that is poorly represented in current climate models (Heuzé, 2021). High-resolution models per-  
 510 form better (Kaufman et al., 2020), but modelling and observation studies, including this one,  
 511 remain limited as lateral, and bottom ice melting cannot be directly estimated from the heat flux  
 512 (Nihashi et al., 2012). These atmosphere - ice - ocean interactions and their variability in polynyas  
 513 urgently need to be better understood and disentangled, especially for deep ocean ventilation, AABW  
 514 formation, and carbon sequestration (Bernardello et al., 2014) given the increasingly vital role  
 515 of polar processes on the global climate system.

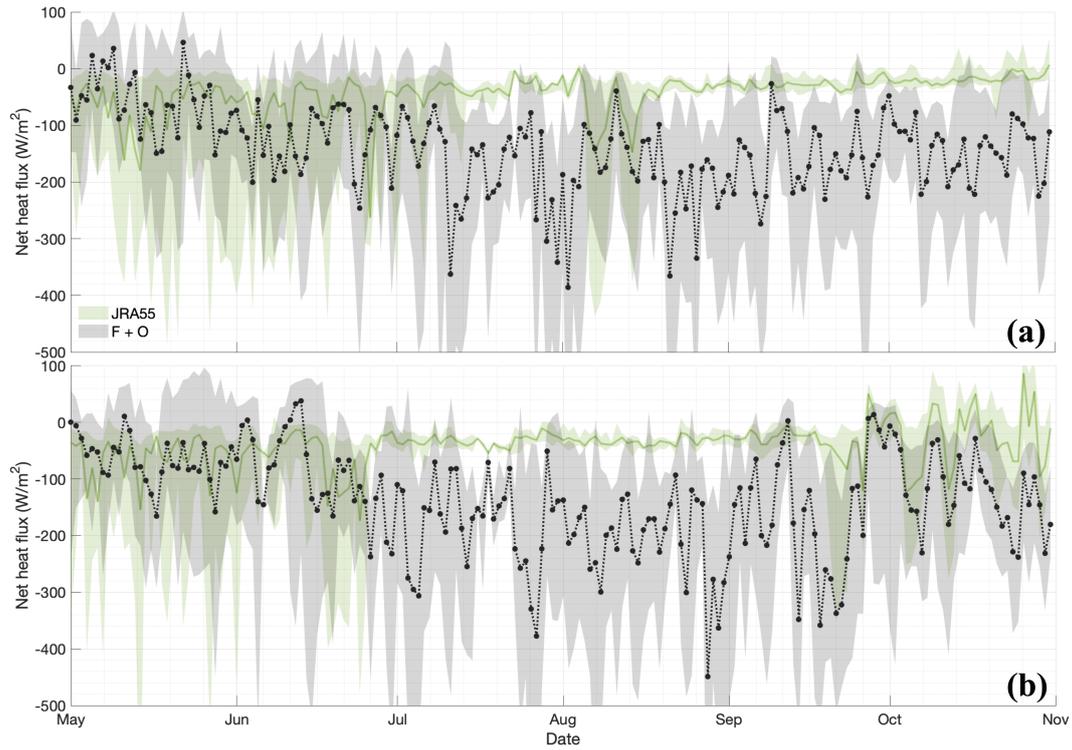
516            Still, more in-situ observations and model studies need to be combined to explain (1) the  
517 detailed feedback between the ocean, ice, and air above polynyas; (2) how ice production responds  
518 to the pan-Antarctic open-ocean polynya and influences the local atmosphere circulation and deep  
519 convection; and (3) how polynya heat loss influences local/high-latitude climate variability. For-  
520 tunately, more specifically-designed satellites such as the Ice, Cloud, and Land Elevation Satel-  
521 lite (ICESat)–second-generation ICESat (ICESat-2) (Xu et al., 2021) and the second Cryosphere  
522 Satellite (CryoSat-2) (Kacimi & Kwok, 2020) are coming. They will allow for a more realistic  
523 detection of sea ice distributions and even snow cover parameters and help to answer the far-reaching  
524 consequences of extensive open-ocean polynya activity for the global ocean circulation and ice  
525 sheet mass balance with climate change.



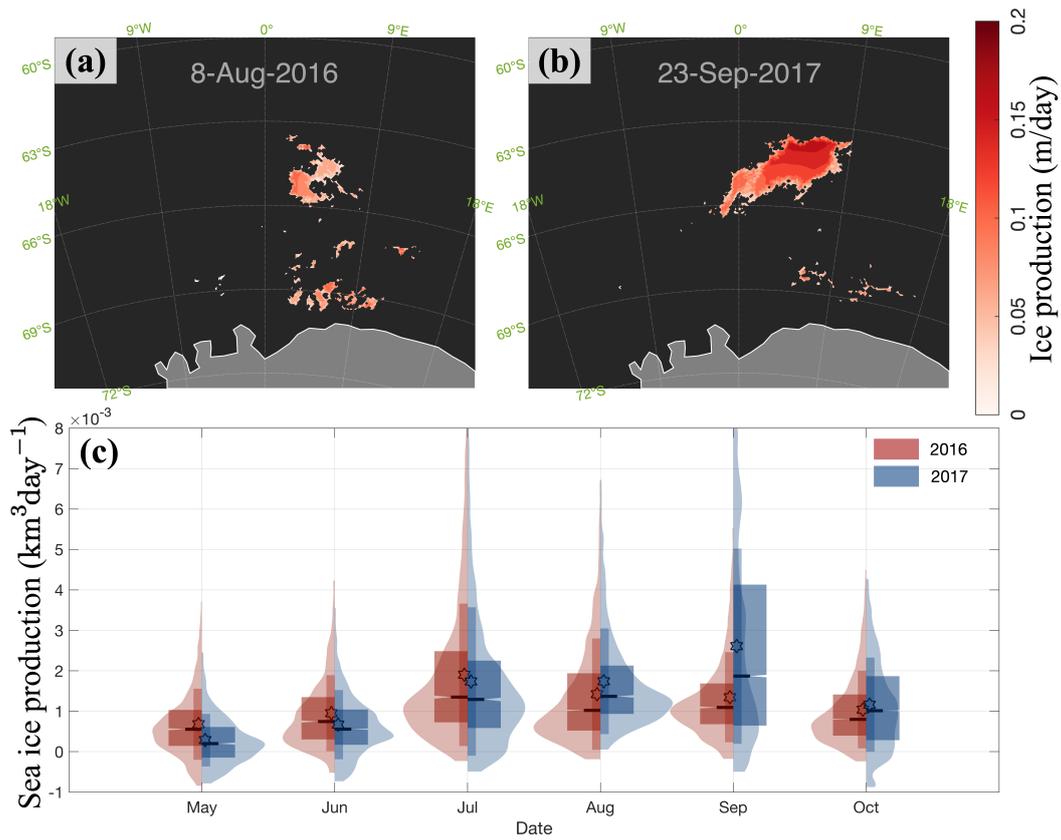
**Figure 1.** (a) Daily extent (in  $10^3 \text{ km}^2$ ) of the polynya in 2016 (red) and 2017 (blue) based on the 60% sea ice concentration threshold (dashed lines) and within the range of 40 and 80% thresholds during the period from May to October within the wider Maud Rise region (solid lines outlined in (b) and (c)). (b) and (c) are apparent sea ice thickness from SMOS-SMAP (shadings) at the largest polynya extent day of each event on 8-Aug-2016 and 23-Sep-2017, respectively. Their dates are denoted with circles in (a). Orange (purple) dots are the trajectory of profiling float 5904468 (5904471) (triangle: starting on 19-Jan-2015 and 12-Dec-2014; square: ending on 14-Oct-2019 and 7-Jun-2019), identical on both panels, while the stars indicate the float location on 8-Aug-2016 and 23-Sep-2017. Right corner inset figures: zoom in the dashed lines region.



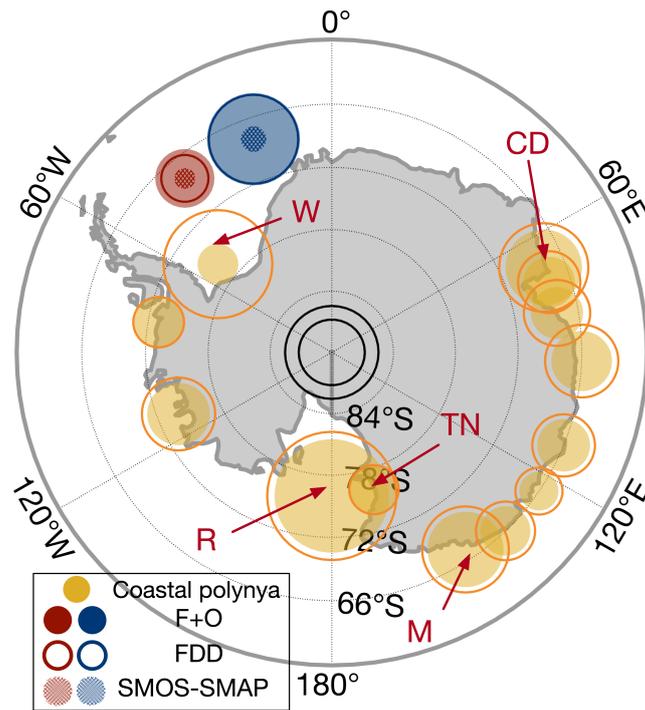
**Figure 2.** Time-depth sections from the profiling float 5904468 and 5904471: (a) and (c), temperature difference ( $\delta T$ , Units:  $^{\circ}\text{C}$ ) between the measured temperature and the freezing temperature of seawater (shading), mixed layer depth (MLD, white line), and sea ice concentration value from ASI SIC during the polynya (vertical dashed cyan line); (b) and (d), sea ice thickness (purple) and oceanic heat flux calculated from Eq.5 (thin green). Thick light green lines are the mean daily ocean heat flux from float 5904468 and 5904471.



**Figure 3.** Daily upward net heat flux (Units:  $\text{W m}^{-2}$ ) from JRA55 (light green) and from Eq. 3 (grey), within the 2016 (a) and 2017 (b) Maud Rise polynyas (60% concentration threshold) during the freezing seasons (May to October). The shadings indicate the 1% to 99% percentiles, and solid, and dashed lines are the mean flux value within the polynyas.



**Figure 4.** Spatial distribution of sea ice production on (a) 8-Aug-2016 and (b) 23-Sep-2017, i.e. days of maximum polynya extent for each year (same days as in Fig. 1). (c) Distribution of monthly sea ice production (Units:  $\text{km}^3 \text{ day}^{-1}$ ) from Eq. 7 within the 2016 (red, left) and 2017 (blue, right) Maud Rise polynyas. The violin shadings cover the range of 1st and 99th percentiles, the upper (lower) boundaries of slim boxes are the mean  $\pm$  standard deviation, while the upper (lower) ones in thick boxes are the 3rd and 1st quartiles of ice production. The stars (short-solid horizontal lines) represent the mean (median) of monthly production.



**Figure 5.** May-Oct accumulated polynya ice production within the Maud Rise region in 2016 (red) and 2017 (blue) from all three methods; “F + O” in plain circles, “FDD” in solid circles lines and “SMOS-SMAP” in dotted areas. The yellow patches represent the mean coastal polynya ice production between 2003 and 2010 (March to October) from Nihashi and Ohshima (2015) Table 1, while the yellow circle lines are the estimation between 1992 and 2013 from Tamura et al. (2016) Table 1. The centred small (large) white circle corresponds to 100 (200) km<sup>3</sup> ice production. (W for Weddell Sea, CD for Cape Darnley, M for Mertz, TN for Terra Nova Bay, and R for Ross Ice shelf).

**Table 1.** Mean ocean mean flux (Units:  $\text{W m}^{-2}$ ) from float observations during the winter months and within the 60% ice concentration regions, denoted in Fig. 2.

Years	May	Jun	Jul	Aug	Sep	Oct	Within 60% threshold
2016	67.28	27.17	23.62	24.18	18.90	30.00	36.08
2017	52.26	23.55	32.37	22.87	41.13	23.78	30.72

**Table 2.** 2nd column: accumulated polynya ice production ( $V_p$ ) during the freezing seasons (May to October) within the key region, while the italic values in the brackets represent the  $V_{\text{apparent}}$  estimation from “SMOS-SMAP” (left) and “FDD” (right). 3th-6th columns: correlation coefficient between the daily May-October ice production  $V_p$  and polynya extent ( $S_p$ ), 2 m air temperature ( $T$ ), 10 m wind speed ( $|U_{\text{wind}}|$ ) from JRA55, ocean surface current ( $|U_{\text{ocean}}|$ ) from GLORYS, respectively. 7th-10th columns: standardized partial regression coefficients ( $\beta_T, \beta_{|U_{\text{wind}}|}, \gamma_T, \gamma_{|U_{\text{ocean}}|}$ ) from two multiple linear regression analysis consisting of (1)  $T$  and  $|U_{\text{wind}}|$ , (2)  $T$  and  $|U_{\text{ocean}}|$ . All of the values are significant at the 99% confidence level.

Years	Winter ice production ( $\text{km}^3$ )	$V_p$ v.s. $S_p$	$V_p$ v.s. $T$	$V_p$ v.s. $ U_{\text{wind}} $	$V_p$ v.s. $ U_{\text{ocean}} $	$\beta_T$	$\beta_{ U_{\text{wind}} }$	$\gamma_T$	$\gamma_{ U_{\text{ocean}} }$
2016	80.11 <i>[15.61, 51.13]</i>	0.64	-0.36	0.26	0.10	-0.41	0.36	-0.36	0.20
2017	197.86 <i>[19.53, 183.74]</i>	0.37	-0.51	0.10	0.08	-0.50	0.25	-0.46	0.11

**Table 3.** Sensitivities in accumulated May–October polynya sea ice production in 2016 and 2017 to the input data, including sea ice concentration (SIC) threshold, sea ice latent heat of fusion ( $L_{ice}$ ), sea ice density ( $\rho_{ice}$ ), ocean heat transfer coefficient ( $C_H$ ), the other five algorithms for mixed layer depth definition ( $\Delta T = 0.2^\circ\text{C}$ ;  $\Delta \frac{\partial T}{\partial z} = 0.005^\circ\text{C m}^{-1}$ ;  $\Delta \frac{\partial \sigma_\theta}{\partial z} = 0.0005\text{kg m}^{-4}$ ;  $T_{MLfit} = T_{Thermfit}$ ;  $\sigma\theta_{MLfit}$ ), freezing temperature of sea water, NSIDC sea ice drift, GLORYS ocean current, radiative flux from ‘untuned’ CERES-SYN, and five atmospheric variables from JRA55. The slash’s left and right sides are each input’s lower and upper perturbation. The respective production changes for 2016 and 2017 polynyas are shown in brackets. The literature in which they were used is also cited. When meaningful,  $\alpha$  is the ratio of  $V_p$  changes (Units: %) over the perturbed changes (Units: %).

Variable	Perturbed value	$V_p$ change (%)	$\alpha$
SIC (%)	50 (Campbell et al., 2019) / 70 (Parmiggiani, 2011)	-19.0 / 21.2	1.14~1.27
$L_{ice}$ (J kg <sup>-1</sup> )	276 (Yu & Rothrock, 1996) / 334 (Nihashi & Ohshima, 2015)	1.1 / -16.5	0.83~1.01
$\rho_{ice}$ (kg m <sup>-3</sup> )	900 (Tamura et al., 2008) / 950 (Jardon et al., 2014)	2.2 / -3.2	≈1.0
$C_H$	0.005 / 0.006 (McPhee, 1992)	[5.82,3.52] / [-3.9,-2.35]	0.33~0.55
Mixed layer depth <sup>+</sup> (m)	$\Delta T$ ; $\Delta \frac{\partial T}{\partial z}$ ; $\Delta \frac{\partial \sigma_\theta}{\partial z}$ ; $T_{MLfit} = T_{Thermfit}$ ; $\sigma\theta_{MLfit}$ (Holte & Talley, 2009)	-39.10~6.37	-
Freezing temperature of sea water <sup>+</sup> (°C)	-2.0(Thurman, 2002); -1.9(Wetzel et al., 2004); -1.8(Hunke et al., 2017)	-4.32~7.6	-
NSIDC sea ice drift <sup>+</sup> (m s <sup>-1</sup> )	Error variance (Tschudi et al., 2019)	-8.8~1.69	-
GLORYS ocean current <sup>+</sup> (m s <sup>-1</sup> )	Multi-model ensemble uncertainties (Garric & Parent, 2018)	-1.54~2.03	-
CERES-SYN	‘untuned’	[-0.73,1.94]	-
$T_{2m}$ (°C)		3.58~85.68 / -65.20~-3.46	0.31~1.07
$T_{1000\text{ hPa}}$ (°C)		-172.36~-16.51 / 16.75~271.91	1.43~3.40
Geopotential height (m)	-80, -50, -20, -10/10, 20, 50, 80%*	0.23~5.81 / -1.49~-0.19	0.01~0.07
Relative humidity (%)		3.23~31.69 / -30.66~-3.23	0.32~0.40
Wind speed (m s <sup>-1</sup> )		-81.14~-6.92 / 6.85~73.82	0.65~1.01

<sup>+</sup>Detailed ice production changes (Units: %) for each parameter perturbation can be found in Table S2.

\*Detailed ice production changes (Units: %) for each parameter can be found in Fig. S6.

## 7 Open Research

The ASI AMSR2 sea ice concentration datasets (Spreen et al., 2008; Melsheimer & Spreen, 2019) are available from Bremen University data center: <https://seaice.uni-bremen.de/data>. The measurements from the two profiling floats were downloaded from the SOCCOM quality-controlled archive (Johnson et al., 2017): <http://library.ucsd.edu/dc/collection/bb4473712z>. The sea ice thickness from SMOS (Huntemann et al., 2014) and SMOS-SMAP (Pařilea et al., 2019) is provided by Bremen University at: <https://seaice.uni-bremen.de/databrowser/>. ERA5 reanalysis data are from the European Centre for Medium Range Weather Forecast (ECMWF), available via: <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels>. The hourly Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2) datasets are available via: <https://goldsmr4.gesdisc.eosdis.nasa.gov/data/MERRA2/M2T1NXSLV.5.12.4/> The 3-hourly Japanese 55-year Reanalysis (JRA55) are available via: <https://rda.ucar.edu/datasets/ds628.0/> The hourly NCEP Climate Forecast System Version 2 (CFSv2) are available via: <https://rda.ucar.edu/datasets/ds094.1/> The radiative fluxes datasets from CERES and GEO-Enhanced TOA, Within-Atmosphere and Surface Fluxes, Clouds and Aerosols Daily Terra-Aqua Edition4A [CER\_SYN1DEG-DAY\_TERRA-AQUA-MODIS\_EDITION4A]. NASA Langley Atmospheric Science Data Center DAAC. Retrieved from [https://doi.org/10.5067/Terra+Aqua/CERES/SYN1degDay\\_L3.004A](https://doi.org/10.5067/Terra+Aqua/CERES/SYN1degDay_L3.004A). The surface ocean current datasets from GLORYS [GLOBAL\_REANALYSIS\_PHY\_001\_031] can be access provided by Copernicus Marine Environment Monitoring Service (CMEMS) via: <https://doi.org/10.48670/moi-00024>.

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