

13 **Abstract**

14 The atmospheric measurements made by the six Mars orbiters in operation (as of July
 15 2020) significantly improved our understanding of the Martian weather and climate. How-
 16 ever, while some of these orbiters will reach their lifetime, innovative and cost-effective
 17 missions are requested - not only to guarantee continued observation but also to address
 18 potential gaps in the existing observing network. Inspired by the success of the two Mars
 19 Cube One (MarCO) satellites we have established a mission concept, which is based on
 20 a series of cubesats, carried to Mars and injected into a low-Mars orbit as secondary pay-
 21 load on a larger orbiter. Each cubesat will be equipped with the necessary features for
 22 cross-link radio occultation (RO) measurements in X-band. Intelligent attitude control
 23 will allow for maintaining the cubesats in a so-called "string-of-pearls" formation over
 24 a period of about 150 solar days. During this period, a series of RO experiments will be
 25 carried out with the larger orbiter for up to 180 measurement series per day. Due to the
 26 specific observation geometry, we will obtain a unique set of globally distributed cross-
 27 link occultations. For processing of the observations, tomographic principles are applied
 28 to the RO measurements for reconstruction of high-resolution 2D temperature and pres-
 29 sure fields of the lower Martian atmosphere. The obtained products will give an insight
 30 into various unresolved atmospheric phenomena - especially of those which are charac-
 31 terized by distinct horizontal gradients in pressure and temperature, e.g. as observed at
 32 the day-night terminator, during dust storms, or over complex terrain.

33 **Plain Language Summary**

34 Satellite missions to Mars are crucial for monitoring the atmospheric state and to
 35 derive valuable information about the weather and climate on our red fellow planet. When
 36 traveling through the atmosphere, the radio links between orbiting satellites are delayed
 37 and the frequency shifts can be used to carefully study the atmospheric processes in de-
 38 tail. However, the existing Mars orbiters are not designed for cross-link measurements
 39 between the orbiters and thus, the number of radio observations is limited. In order to
 40 overcome current limitations, we present a new mission concept, which is based on four
 41 cubesats, deployed into in a so-called "string-of-pearls" formation around Mars. The es-
 42 tablished constellation will allow for 180 globally distributed measurement series per day
 43 and each series opens the ability to study horizontal and vertical structures in the Mar-
 44 tian atmosphere with fine resolution. A new processing strategy based on tomographic
 45 principles applied to the radio observations will allow to further increase the horizontal
 46 resolution. The obtained products will give an insight into various unresolved atmospheric
 47 phenomena, e.g. at the day-night terminator, during dust storms or at the edge of the
 48 polar ice caps.

49 **1 Introduction**

50 Since the very beginning of planetary exploration, communication links between
 51 the Earth and spacecraft are used not only for data transfer but also to "examine im-
 52 portant properties of planetary atmospheres [...] by carefully studying small changes in
 53 the radio signal's frequency" (Asmar et al., 2019) when the spacecraft occults behind the
 54 planet. The first radio science experiment through the Martian atmosphere was carried
 55 out during the flyby of the Mariner 4 spacecraft in 1965 (Harrington et al., 1968). Since
 56 then, almost every mission to Mars, either flyby or orbiter mission, has been used for plan-
 57 etary radio occultation (RO) experiments to obtain a better insight into the atmospheric
 58 processes on Mars.

59 The six Mars orbiters in operation (as of July 2020): Mars Odyssey, Mars Express,
 60 Mars Reconnaissance Orbiter, India's Mars Orbiter Mission, Mars Atmosphere and Volatile
 61 Evolution Orbiter (MAVEN), and ExoMars Trace Gas Orbiter, are equipped with S-band
 62 (2.3 GHz), X-band (8.4 GHz) or Ka-band (31.8 GHz) communication links suited for ra-

63 dio science experiments. Nevertheless, the typical Earth-to-spacecraft geometry for plan-
 64 etary RO results in limited latitude and local time distribution for the profiles. In ad-
 65 dition, longer observation gaps appear, e.g. when the orbital plane of the spacecraft is
 66 perpendicular to the Earth-Mars line or if the Earth-Mars line is too close to the Sun.

67 In order to further increase the number of observations, Ao et al. (2015) have car-
 68 ried out a series of cross-link occultation experiments using the UHF-band (0.4 GHz) Elec-
 69 tra transceivers of Mars Odyssey (ODY) and Mars Reconnaissance Orbiter (MRO). The
 70 UHF communication link is designed for proximity communications with the Mars lan-
 71 ders and rovers. During regular relay service between ODY and a ground asset, MRO
 72 was eavesdropping to the UHF signal of ODY and recorded the in-phase and quadra-
 73 ture components in open-loop tracking mode. The analysis of the phase measurements
 74 revealed that the signal-to-noise ratio of the received UHF signal and the clock stabil-
 75 ity is sufficient for RO studies of the electron density in the ionosphere between 50 km
 76 and 200 km altitude.

77 Motivated by the success of the first cross-link experiments, further cross-link mea-
 78 surements are being planned, e.g. between Mars Express and the ExoMars Trace Gas
 79 Orbiter (Hakan Svedhem, personal communication, Sep 2019). Various studies (Asmar
 80 et al., 2016; Tellmann et al., 2019; Mannucci et al., 2015) confirm that cross-link RO can
 81 produce high signal-to-noise ratio of the received signal, and further increase the planetary-
 82 scale distribution of the RO measurements. Though, if cross-link RO purely relies on ex-
 83 isting Mars orbiters, its full potential cannot be exploited due to the limitations in ra-
 84 dio frequency and orbital geometry. For example, the single-frequency UHF link does
 85 not allow for separation of ionospheric and neutral atmospheric effects.

86 The Integration Report from the 9th International Conference on Mars (Yingst et
 87 al., 2019) highlights current gaps in our knowledge about the diurnal atmospheric cy-
 88 cle and various meso- to small-scale processes in the lower atmosphere such as gravity
 89 waves, clouds or other phenomena on short timescales. In order to guarantee continued
 90 observation about the Martian atmospheric state and to close potential gaps in the ex-
 91 isting observing network in a cost effective way, small-satellite missions seem to be an
 92 ideal candidate. Inspired by the success of the two Mars Cube One (MarCO) spacecraft
 93 (Klesh & Krajewski, 2015; Asmar & Matousek, 2016) and the widening use of small satel-
 94 lites for GNSS RO for sensing the Earth’s atmosphere, we present a new mission con-
 95 cept which addresses the current limitations. In Section 2 the general mission concept
 96 is outlined. Section 3 highlights details about the spatio-temporal distribution of the ex-
 97 pected observations. Section 4 provides more details about the processing of the RO sig-
 98 nals using tomographic principles. The tomography case study itself is described in Sec-
 99 tion 5. A conclusion and outlook will be provided in Section 6.

100 2 Mission Concept

101 The proposed mission concept is based on four cubesats and a main orbiter, which
 102 deploys the cubesats into a dense local constellation during aerobraking. The use of RO
 103 cubesats flying in close formation has recently been proposed for an Earth observation
 104 concept (Turk et al., 2019). The advantage from such configuration is that we can get
 105 simultaneous RO observations that are closely located. Although the mission concept
 106 is not particularly dependent on a specific main orbiter, we selected ESA’s Mars Sam-
 107 ple Return (MSR) orbiter (Joffre et al., 2018) as a potential candidate - primarily be-
 108 cause detailed aerocapture studies for cubesats are not available yet and the MSR or-
 109 biter would be one of the next possibilities to deploy cubesats into a low Mars orbit.

110 Based on the intended orbital elements of the main orbiter (see Table 1), we de-
 111 veloped a deployment plan, which is illustrated in Figure 1. For the four cubesats, we
 112 suggest a so-called ”string-of-pearls” formation (Tan et al., 2002). It provides the nec-

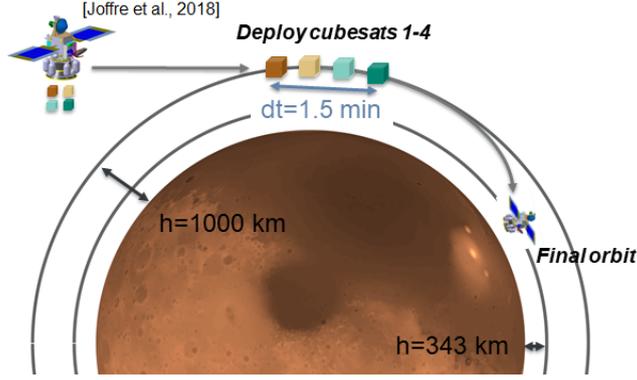


Figure 1. Overview of the cubesat deployment. The four cubesats are carried to Mars by a main orbiter and deployed into a so-called string-of-pearls formation

Table 1. Orbital elements of the main orbiter and the four cubesats

Spacecraft	h [km]	i [deg]	M_0 [deg]	e	$\dot{\Omega}$ [deg/sol]	Period (hh:mm)
main orbiter	343	60	0	0.0	-5.2 ^a	01:55
cubesats 1-4	1000	60	0, 1.2, 2.4, 3.6	0.0	-3.2 ^a	02:27

^a Nodal precession rate $\dot{\Omega}$ according to Eq. 1

113 necessary observation geometry for sensing meso- to small-scale structures in the Martian
 114 atmosphere (see Section 3 for details). After deployment of the cubesats, the main orbiter
 115 carries out a series of maneuvers to reach its final orbit. The radio link between
 116 main orbiter and each of the four cubesats can be used to provide RO measurements.
 117 In the following, the orbit geometry and the individual components of the observation
 118 concept are described in detail.

119 2.1 Selection of Orbits

120 The orbital elements of the main orbiter are based on the numbers provided by Joffre
 121 et al. (2018), except for the inclination. Instead of $40deg$ we propose an inclination of
 122 $60deg$, which enables nearly global coverage for limb sounding and a reasonable nodal
 123 precession rate ($\dot{\Omega}$)

$$124 \quad \dot{\Omega}(a, i) = \frac{-3}{2} \cdot n \cdot J_2 \cdot \frac{R^2}{(a \cdot (1 - e^2))^2} \cdot \cos(i), \quad (1)$$

125 where $n = \sqrt{GM/a^3}$ is the mean motion of the spacecraft, $a = R+h$ is the semi-
 126 major axis, e is the eccentricity and i is the inclination of the orbital plane, with $GM =$
 127 $42828.375214 km^3/s^{-2}$ and $R = 3389.5 km$. The term $J_2 = 1.96045 \cdot 10^{-3}$ of the geopo-
 128 tential compensates for the non-sphericity of Mars (higher order terms are not consid-
 129 ered). Assuming a spacecraft altitude of $343 km$ and an inclination of $60deg$, the nodal
 130 precession of the main orbiter works out to be $-5.2 deg/sol$ (solar day on Mars).

131 For the four cubesats, we assume the same inclination as for the main orbiter, since
 132 a change of inclination requires a large amount of delta v (change in the orbiter vector
 133 velocity). Besides, we propose an altitude of $1000 km$ (orbital period of 2 h 27 min), which
 134 is not in resonance with the rotation rate of Mars ($24.659722 h/rev$) and therefore, guar-
 135 antees good longitudinal coverage. The resulting nodal precession of the cubesats is $-3.2 deg/sol$.

136 The spacing between the cubesats is set to $dM = 1.2deg$. At an altitude of $1000km$
 137 this equals to a temporal spacing of about $30sec$. For the mission concept, the cubesat
 138 spacing is an important parameter. It defines not only the time between the RO mea-
 139 surements but also the observation geometry, i.e. the horizontal resolution and area cov-
 140 ered (see Section 3 for further details). Over the minimum lifetime of 150 solar days (see
 141 Section 3), we expect that the four cubesats can be kept in formation with minor orbital
 142 corrections. Therefore, one possibility would be the use of an intelligent attitude con-
 143 trol system using solar radiation pressure forces (Kumar et al., 2014). Assuming a six-
 144 unit cubesat with deployable $30cm \times 30cm$ solar panels (as used for the two MarCO space-
 145 craft), the maximum and minimum area pointing in direction of the Sun may vary from
 146 $0.05m^2$ to $0.15m^2$, respectively. This will cause an acceleration due to solar radiation pres-
 147 sure of about $9 \cdot 10^{-9}ms^{-2}$ to $3 \cdot 10^{-8}ms^{-2}$, assuming a solar radiation pressure coeffi-
 148 cient of 1.3 and a satellite mass of $13.5kg$. After one revolution, this leads to a poten-
 149 tial correction of the cubesat orbit of a few meters. This small correction could be es-
 150 sential for formation maintenance by compensating the remaining atmospheric drag or
 151 higher order gravitational anomalies. In contrast, the orbital drift of the main spacecraft
 152 is less critical, i.e. a change of the orbital elements of the main orbiter due to gravita-
 153 tional and non-gravitational forces has little impact on the observation geometry.

154 2.2 Observation Concept

155 The RO technique is an active sounding technique that requires stable frequency
 156 reference on both the transmitter and the receiver ends. In the following, we will dis-
 157 tinguish two possible observation scenarios.

158 Scenario 1: Two-way RO experiment. The main orbiter is equipped with an X-band
 159 radio, e.g. IRIS radio (Kobayashi et al., 2019), with 4 receive and transmit ports and
 160 an Ultra Stable Oscillator (USO, Allan Dev: $10^{-13}/100s$). Each cubesat provides dual-
 161 frequency signal relay only (requires less complex cubesat design), either in X-band or
 162 Ka-band, which allows for the separation of ionospheric and neutral atmospheric effects
 163 - as already successfully applied for Mars Express orbiter, see (Pätzold et al., 2004).

164 Scenario 2: Dual one-way RO experiment (active cubesats). Each satellite is equipped
 165 with a dual-frequency radio (e.g. a modified version of the IRIS radio). Both, main or-
 166 biter and cubesats will transmit simultaneously in slightly different frequency bands. As
 167 a consequence, the clock error can be largely canceled out in post-processing. The dif-
 168 ferent frequency bands are used so that hardware filters can prevent the sensitive receive
 169 module from being saturated by leakage from the transmit antenna.

170 Figure 2 highlights the system architecture of the dual one-way concept. The ob-
 171 servable is the result of summing the phases measured by the two receivers, one on ve-
 172 hicle A and the other on vehicle B. The summation occurs in post-processing in the sci-
 173 ence data system. In contrast to scenario 1, where a USO is required to reduce the im-
 174 pact of the clock error term, the stability of the clock can be relaxed since what enters
 175 into the retrieval error is clock error variation over the time it takes for the signal to travel
 176 between the two spacecraft (see Appendix A for a mathematical description). Given the
 177 typical light travel time of $0.01sec$ between the two spacecraft during an occultation, clock
 178 stability of $\sim 10^{-11}$ is sufficient to provide the same clock performance of an USO (though
 179 at the expense of a $\sqrt{2}$ increase in thermal noise).

180 In addition to the RO measurements, the established link between main orbiter and
 181 cubesats is used for the determination of the cubesat orbits. According to Williamson
 182 et al. (2017) the orbit errors during the RO event can be minimized if the necessary ob-
 183 servations are scheduled directly before or after the cubesat is occulting. In order to re-
 184 solve a frequency shift of $0.01Hz$ (like for the Mars Global Surveyor radio occultation ex-
 185 periment, see Hinson et al. (1999)) in X-band caused by atmospheric refraction, the line-

186 of-sight velocity between main orbiter and cubesat has to be known with an accuracy
 187 of about 0.5mm/s .

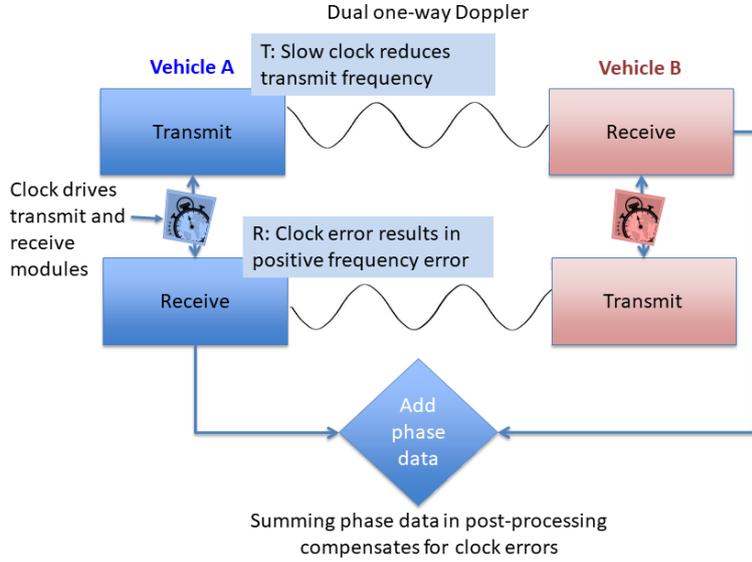


Figure 2. System architecture of the dual one-way observation concept

188 **3 Spatio-temporal Distribution of Observations**

189 Based on the orbital elements listed in Table 1, all possible cross-link radio occul-
 190 tation events between main orbiter and the four cubesats have been identified. In the
 191 following, the results are shown for a period of 150 sols (about 5 months). This period
 192 reflects approximately the duration of the 2018 global dust storm, which lasted from May
 193 to September 2018. Due to nodal precession, this is also the time needed to reach again
 194 the same orbit configuration (2.4 deg/sol relative drift between orbital planes), i.e. cov-
 195 ers the possible observation geometries.

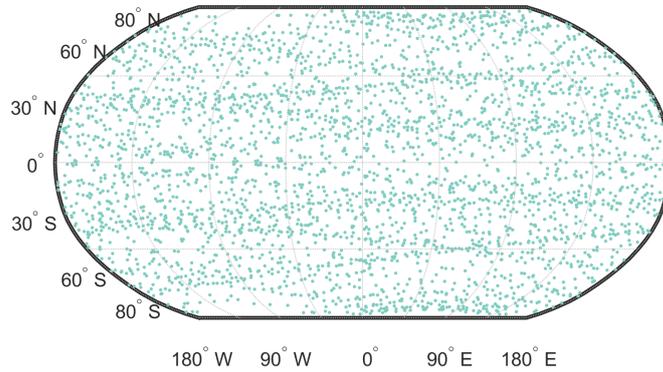


Figure 3. Global distribution of radio occultation events as expected between main orbiter and each cubesat over a period of 150 sols. Each dot represents the tangent point of a RO event, i.e. the point where the signal grazes the surface of Mars

196 Figure 3 shows the global distribution of the 3200 RO events as identified between
 197 main orbiter and cubesat 1 over the period of 150 sols. Due to the limb sounding geom-
 198 etry, a full global distribution can be obtained in which not only the latitude bands be-
 199 tween $60\text{ deg } S$ and $60\text{ deg } N$ are covered (as expected from the inclination of the orbits)
 200 but also the polar regions up to 85 deg latitude. A similar distribution is expected be-
 201 tween the main orbiter and the other three cubesats. Due to the relatively close spacing,
 202 no occultation measurements are possible between the cubesats themselves. However,
 203 in the following it is shown why this configuration is beneficial.

204 The angle between the two orbital planes (main orbiter and cubesats 1-4) is con-
 205 stantly changing due to nodal precession. In consequence, the number of observations
 206 per sol, their global distribution but also the observation geometry of the RO events change
 207 too. In order to characterize the observation geometry and to better understand the tem-
 208 poral variations, in the following we will distinguish between two scenarios. In the first
 209 scenario, the orbital planes are perpendicular to each other and radio occultation mea-
 210 surements are obtained in cross-track direction. In consequence, from the four cubesats
 211 in formation we obtain four ray paths which are widely parallel to each other, see Fig-
 212 ure 4 left. In the second scenario (about 35 sols later) the orbital planes are aligned. As
 213 a consequence, radio occultation measurements are obtained in flight direction. This pro-
 214 vides a unique observation geometry, in which consecutive observations overlap, see Fig-
 215 ure 4 right. With these two configurations the distribution of observations is explained.
 216 All other cases can be described as a combination of the two scenarios.

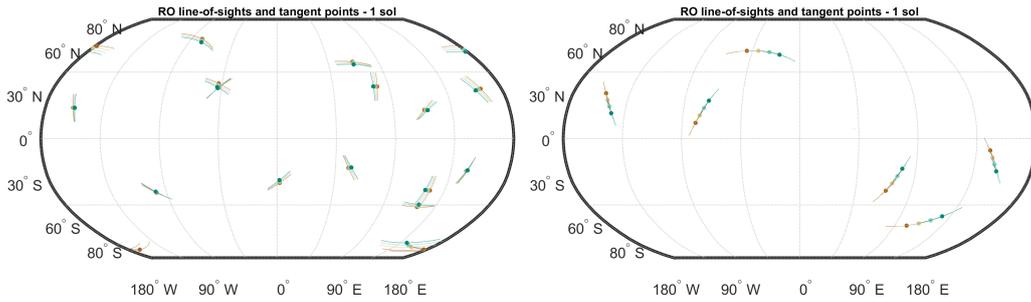


Figure 4. Geometry and distribution of RO events - exemplary for two solar days on Mars. The left plot results from a 90 deg angle between the orbital planes. The right plot is obtained, if the orbital planes are aligned. Each line represents a ray path between main orbiter and one cubesat, each dot the corresponding RO tangent point.

217 In total, from the four cubesats we expect 20 to 180 cross-link ROs per day, which
 218 is substantially more than currently recorded by six operational orbiters in the typical
 219 Earth-spacecraft geometry. The varying number of events is explained by the changing
 220 observation geometry over the course of 150 sols. In case of aligned orbits (see Figure
 221 4 right), all spacecraft fly in common directions with similar speed. This leads to a tem-
 222 poral clustering of RO events and longer observation gaps between the clusters.

223 According to Figure 4, the clustering of RO events seems to be beneficial for sens-
 224 ing of meso- to small-scale structures in the atmosphere. Especially due to the small tem-
 225 poral spacing of the four cubesats of about 30 sec nearby regions in the atmosphere can
 226 be sensed almost simultaneously. In Figure 5, the spatio-temporal separation of consec-
 227 utive ROs is highlighted - exemplary for the first two cubesats. Over the course of 150
 228 sols, the time between two consecutive ROs varies between a few seconds, but can be up
 229 to 100 sec . In consequence, the horizontal distance between the tangent points varies sig-
 230 nificantly - for the proposed constellation between 30 km in case of perpendicular orbits,

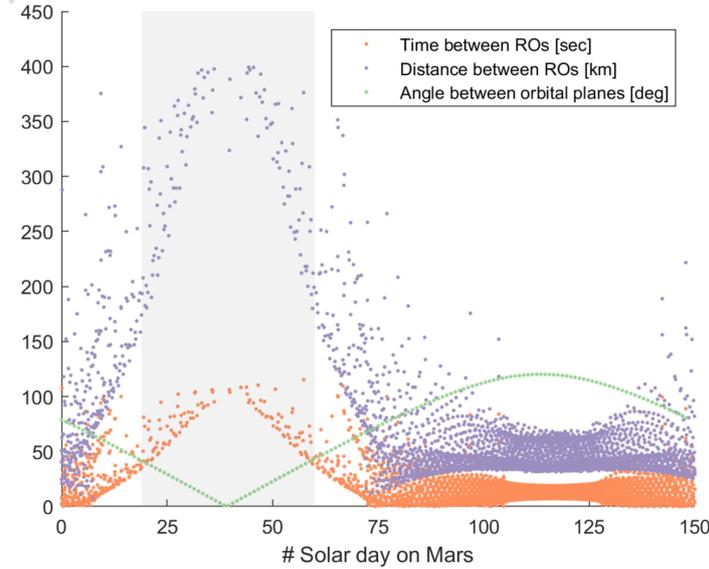


Figure 5. Selected parameters describing the clustering of RO events. In case cubesat 1 becomes visible at the horizon, the "Time between ROs [sec]" indicates how long it takes until the second cubesat becomes visible for the main orbiter. The "Distance between ROs [km]" describes the horizontal distance between the RO events of cubesat 1 and 2.

231 up to 400km in case of aligned orbits. In addition, we analyzed also the temporal res-
 232 olution as seen by an observer on Mars (not presented here). It turned out, that with
 233 the suggested constellation we are sensitive to the diurnal cycle. It lasts about 40 sols
 234 until the entire diurnal cycle is covered - a reasonable time to decorrelate sub-daily at-
 235 mospheric effects from seasonal variations (Kursinski et al., 2004).

236 4 Processing Strategy for Cross-link Occultations

237 The frequency residuals, as expected from cross-link occultations between main or-
 238 biter and the four cubesats in formation, allow for computation of the atmospheric state
 239 variables (pressure and temperature) along the path of signal propagation. However, the
 240 processing is not straight forward, but requires ancillary information (e.g. satellite ephemerides)
 241 and is based on assumptions concerning signal propagation and the atmospheric strata.

242 4.1 Conventional Retrieval Method

243 Ignoring diffraction effects and assuming spherical atmospheric symmetry, the one-
 244 way or two-way frequency residuals may be processed using the Abel transform to ob-
 245 tain vertical profiles of index of refraction (Fjeldbo et al., 1971; Hinson et al., 1999; With-
 246 ers et al., 2014), which can be further converted into temperature and pressure. Applied
 247 to cross-link occultations, this method will lead to vertical profiles of pressure and tem-
 248 perature with a resolution of about 500m (limited by the Fresnel-scale) and thus, will
 249 not only provide a better insight into the vertical atmospheric structure during all lo-
 250 cal times but also into horizontal structures smaller than a few hundred kilometers - re-
 251 alized by the small separation of the cubesats (see Figure 5).

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4.2 Tomography Processing

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In order to overcome the limitations of the Abel transform - especially the symmetry assumption - and to further increase the horizontal resolution, we propose a new processing strategy which is based on tomographic principles. As shown in the following, tomographic principles are well suited for the processing of "clustered" RO measurements, as expected from dense cubesat formations. According to Iyer and Hirahara (1993), the general principle of tomography is described as follows:

$$f_s = \int_S g(s) \cdot ds \quad (2)$$

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where f_s is the projection function, $g(s)$ is the object property function and ds is a small element of the ray path S along which the integration takes place. For the processing of radio occultation data, $g(s)$ is replaced by index of refraction n and f_s is the signal phase delay (dL). If Doppler shift (df) is provided instead of phase delay, with sampling rate dt , it can be converted as follows:

$$dL = \sum_i \Delta dL(i) \quad (3)$$

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with

$$\Delta dL(i) = -\frac{df(i)}{1 + f_t/f_r} \cdot \frac{c}{f_r} \cdot dt. \quad (4)$$

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In Eq. 4, the correction term (f_t/f_r) has to be applied if the received frequency (f_r) differs from the transmitted frequency (f_t), e.g. if the transmitted signal is multiplied with a certain ratio before re-transmission, which is beneficial in the two-way RO concept. In any case, the ionospheric effect on the Doppler shift has to be corrected beforehand using dual-frequency observations or ionospheric models like the one described by Pi et al. (2008). The resulting basic function of tomography reads:

$$dL = \int_S n \cdot ds - \int_{S_0} ds \quad (5)$$

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where S is the "true" signal path and S_0 is the theoretical straight line signal path in vacuum.

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One difficulty in performing the first integral in Eq. 5 is that the ray path is not a straight line but rather dependent on the object properties along the signal path. A change in n leads to a change in S and f_s . However, from Fermat's principle it can be assumed that first order changes of the ray path lead to second order changes in travel time, i.e. for small perturbation of the path, the travel-time is stationary. In fact, we make use of this principle for setting up the tomography approach. The resulting "non-linear" approach ignores the path-dependency in the inversion of n along ds but takes the signal bending into account by the definition of the ray paths. In consequence, the tomography solution is derived iteratively. After each processing step the ray paths are re-computed by solving the Eikonal equation using, e.g. ray-tracing shooting techniques, see Moeller and Landskron (2019).

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In order to find a numerical solution for Eq. 5, the object of interest, e.g. the neutral atmosphere is discretized in area elements (in two-dimensions) in which the index of refraction is assumed as constant. Consequently and by replacing the index of refraction with refractivity $N = (n - 1) \cdot 10^6$, Eq. 5 reads:

$$dL = 10^{-6} \sum_{k=1}^m N_k \cdot d_k \quad (6)$$

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where N_k is the refractivity and d_k is the ray length in area element k .

Table 2. Tomography settings applied for the reconstruction of refractivity fields from (simulated) cross-link RO observations

Parameter	Settings
Case study domain	High northern latitudes (40 – 80 deg N)
Case study period	Late autumn ($L_s = 270$ deg)
Model resolution	60km (horizontally) \times 1km (vertically)
Tomography software	Modified version of ATom software package*
Initial field	Mars GRAM model (Justus et al., 2002)
Inversion method	Singular value decomposition ($eigenv_{min} = 0.01km^2$)
Estimation method	Iterative weighted least squares adjustment
Convergence criteria	RMS of weighted residuals

* <https://github.com/GregorMoeller/ATom>

289 In case of overlapping signal paths (e.g. in case of aligned orbital planes) a linear
 290 equation system can be set up for the reconstruction of the refractivity along the signal
 291 paths. In matrix notation it reads:

$$\mathbf{dL} = \mathbf{A} \cdot \mathbf{N} \quad (7)$$

292 where \mathbf{dL} is the observation vector, \mathbf{N} is the vector of unknowns and \mathbf{A} is a ma-
 293 trix which contains the spatial derivatives of the observations with respect to the unknowns,
 294 i.e. the ray lengths (d_k) in each area element. For determining the unknown vector \mathbf{N} ,
 295 the inverse \mathbf{A}^{-1} must be formed. However, in most cases matrix \mathbf{A} is not of full rank,
 296 thus regularization methods have to be applied to determine the pseudo inverse. There-
 297 fore, we make use of truncated singular value decomposition methods as described by
 298 Strang and Borre (1997) and Moeller (2017).

299 5 Tomography Case Study

300 For technique demonstration, a closed-loop simulation was carried out using plan-
 301 etWRF (Richardson et al., 2007) - a modified version of the Weather Research and Fore-
 302 casting (WRF) model for planetary atmospheres - to simulate the atmospheric state along
 303 the RO signal paths of the proposed cubesat-orbiter constellation. The model data used
 304 are atmospheric pressure and temperature, provided on a global 5 deg \times 5 deg grid for
 305 40 vertical layers, with a 3-hour temporal resolution. In a first step, the signal paths through
 306 the atmosphere were reconstructed every 500ms using ray-tracing shooting techniques
 307 (Moeller & Landskron, 2019) with a step size of 1km. Since atmospheric density is ex-
 308 ponentially decreasing with altitude, refractivity N was computed for signals penetrat-
 309 ing into the lower 50km of the atmosphere only. The simulated refractivities along the
 310 RO signal paths were converted into phase delays using Eq. 6 and the area covered by
 311 the observations was parametrized in area elements with a grid size of 60km (horizon-
 312 tally) \times 1km (vertically). Figure 6 shows the observation geometry together with the
 313 ray paths through the simulated refractivity field. For the case study, only observations
 314 with an azimuth angle less than 25 deg were simulated. For these observations tomographic
 315 principles are most beneficial - mainly due to overlapping signal paths (see Figure 4 right).
 316 The tomographic processing itself, i.e. the estimation of refractivity fields from phase
 317 measurements, was carried out using a modified version of the ATom software package
 318 (Moeller, 2017). Table 2 summarizes the major settings.

319 For visualization and validation against WRF, the tomography derived refractiv-
 320 ity fields were converted into atmospheric pressure and temperature, assuming hydro-
 321 static equilibrium and an initial temperature of 220K at 50km altitude. Figure 7 shows

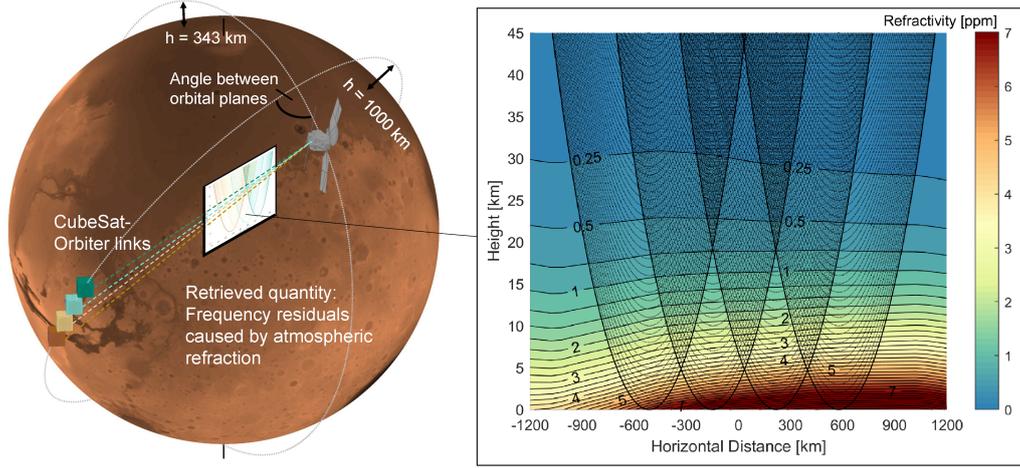


Figure 6. Left: Observation geometry for cross-link occultations. Right: The planetWRF (Richardson et al., 2007) derived refractivity field along the RO signal paths

322 the resulting temperature field and temperature differences for a case study in high north-
 323 ern latitudes ($40 - 80 \text{ deg } N$) in late autumn ($L_s = 270 \text{ deg}$). The WRF-based tem-
 324 perature field is characterized by strong vertical inversions and distinct negative hori-
 325 zontal temperature gradients in direction of the North Pole. According to Tellmann et
 326 al. (2013), the strong temperature gradients are associated with strong zonal jets in the
 327 Martian winter hemisphere and adiabatic heating in the subsiding branch of the Hadley
 328 circulation. These temperature structures are very well resolvable by the proposed cube-
 329 sat formation and processing of the RO signals using tomographic principles.

330 For the tomography derived temperature field, a RMSE of $6.5K$ and a bias of $0.5K$
 331 was obtained for the lowest $30km$ of the atmosphere. Above, the RMSE increases - main-
 332 ly due to temperature initialization problems. Overall, the best solution was obtained within
 333 the horizontal range $[-600km, 600km]$ in which multiple observations overlap (see Fig-
 334 ure 6 right) and therefore, help to further stabilize the tomography solution. The RMSE
 335 in this "core" domain of the tomography model is about $3.5K$, and therefore, by a fac-
 336 tor of 2-3 better than in the outer regions.

337 6 Conclusions and Outlook

338 In this study, we address the basic components of a cubesat mission to Mars for
 339 remote sensing of the Martian atmosphere using the radio occultation technique. In an
 340 optimization process we have identified a circular low-Mars orbit with an inclination of
 341 about 60 deg as beneficial for deployment of the cubesats. However, detailed aerocap-
 342 ture studies for cubesats are not available yet. Hence, the final deployment plan will de-
 343 pend on the spacecraft that will be selected as transit vehicle for the cubesats to Mars.

344 The goals of this mission is to provide valuable measurements about the diurnal
 345 cycle and various meso- to small-scale processes in the lower Martian atmosphere. Both
 346 have been identified by the Integration Report from the 9th International Conference
 347 on Mars (Yingst et al., 2019) as the major gaps in the current Martian observing net-
 348 work. In order to fulfill the mission goal, four cubesats in a so-called string-of-pearls for-
 349 mation have been identified as minimum requirement. The challenge will be to main-
 350 tain such kind of formation over a period of 150 solar days at least - if needed without

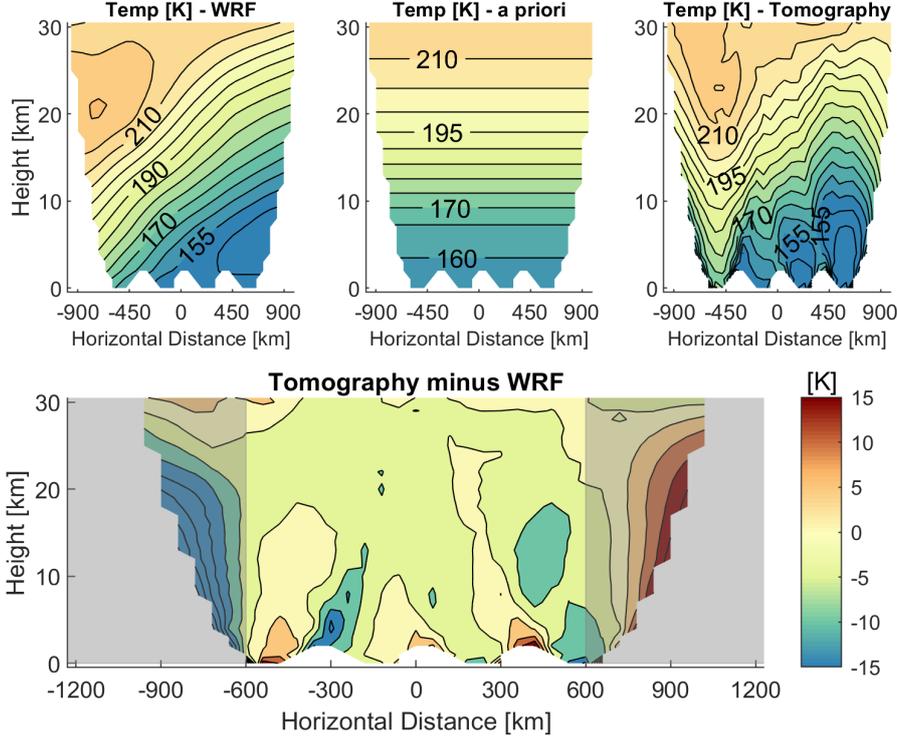


Figure 7. Top left: planetWRF temperature field for high northern latitudes in late autumn (reference). Top middle: Symmetric a priori field derived from Mars GRAM model. Top right: Estimated temperature field using tomographic principles. Bottom: Closed-loop validation (Tomography minus WRF) to assess the performance of the tomography approach under rather realistic atmospheric conditions

351 active propulsion systems. The details of orbit control, e.g. using an intelligent attitude
 352 control system, have to be examined in further studies.

353 For realization of the occultation measurements, a dual one-way observation con-
 354 cept is foreseen between the cubesats and the main orbiter. The advantage of this ob-
 355 servation concept is lower demands on the frequency stability but requires a dual-frequency
 356 radio on both sides, and active cubesats for signal tracking and data transfer to the main
 357 orbiter - or any communication satellite for data transfer to the Earth. However, the data
 358 rate per satellite pair seems to be less critical. According to our simulations, each satel-
 359 lite pair will generate about $2Mb$ of data per day, including radio occultation and ad-
 360 ditional range measurements for orbit determination.

361 In a number of close-loop validations, the expected observations but also possible
 362 processing strategies have been evaluated. Due to the unique observation geometry, a
 363 combined processing of the radio occultation measurements using tomographic princi-
 364 ples seems to be promising and allow to further increase the horizontal resolution of the
 365 reconstructed temperature and pressure fields. In addition, tomographic principles are
 366 not based on spherical symmetric assumption and thus, allow for resolving distinct tem-
 367 perature gradients and inversion layers in the lower Martian atmosphere. In the conducted
 368 tomography case study, we have identified a core domain in which an accuracy in tem-
 369 perature of a few Kelvin is achieved. The extent of the domain but also the tempera-

370 ture accuracy might be further increased by additional cubesats added to the proposed
 371 constellation.

372 **Appendix A Mathematical derivation of the dual one-way concept**

373 In the following we show mathematically how the dual one-way configuration leads
 374 to reduction of the effects of clock error.

375 The transmitted phase from vehicle A at frequency f_a and at time τ_0 is given by
 376 $\Phi_a^T(\tau_0)$. The received phase at vehicle B, accounting for various forms of delay along the
 377 path between vehicles A and B, can be written as:

$$\begin{aligned} \phi_b(\tau_0 + \rho/c + d/c + I_a/c) = & \Phi_a^T(\tau_0) + \nu_a + (\rho/\lambda_a) + (d/\lambda_a) + (I_a/\lambda_a) \\ & + c_a(\tau_0)f_a - c_b(\tau_0 + \rho/c + d/c + I_a/c)f_a + \mu_b \end{aligned} \quad (\text{A1})$$

378 where we have defined the following distances, clock terms, frequencies and noise
 379 terms as:

- 380 ρ = geometric range between the vehicles
- 381 d = phase delay due to atmosphere
- 382 I_a = phase delay due to ionosphere, at A's transmit frequency f_a
- 383 $c_a(\tau_0)$ = clock error at vehicle A in seconds, at time τ_0
- 384 $c_b(\tau_0 + \rho/c + d/c + I_a/c)$ = clock error at vehicle B, at the receive time
- 385 λ_a = wavelength of A's transmit frequency
- 386 ν_a = phase noise on A's transmitter
- 387 μ_b = phase noise on B's receiver

388 where analogous quantities are defined for vehicle B which transmits at frequency
 389 f_b . We can similarly write the received phase at vehicle A as:

$$\begin{aligned} \phi_a(\tau_0 + \rho/c + d/c + I_b/c) = & \Phi_b^T(\tau_0) + \nu_b + (\rho/\lambda_b) + (d/\lambda_b) + (I_b/\lambda_b) \\ & + c_b(\tau_0)f_b - c_a(\tau_0 + \rho/c + d/c + I_b/c)f_b + \mu_a \end{aligned} \quad (\text{A2})$$

390 If we add the measured phases ϕ_a and ϕ_b in post-processing, we can derive the fol-
 391 lowing expression for the atmospheric delay d :

$$\begin{aligned} d = & \frac{\lambda_a \lambda_b}{\lambda_a + \lambda_b} (\phi_a + \phi_b - \Phi_a^T(\tau_0) - \Phi_b^T(\tau_0) \\ & + [c_b(\tau_0 + \delta t)f_a - c_b(\tau_0)f_b] + [c_a(\tau_0 + \delta t)f_b - c_a(\tau_0)f_a]) \end{aligned} \quad (\text{A3})$$

392 where we have neglected noise terms and assumed that geometric delay and iono-
 393 spheric delay are removed in post-processing. We have isolated the two nearly-cancelling
 394 clock terms as the final two bracketed expressions. The term δt now represents the transit
 395 time for the signal between vehicles A and B.

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