

1 **What can we learn from observed temperature and salinity isopycnal anomalies at eddy generation sites?**
2 **Application in the Tropical Atlantic Ocean**

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15 **Key points:**

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- 17 ● At their generation site, ~50% of observed eddies have non-significant isopycnal temperature/salinity
18 anomalies in the TAO.
- 19 ● Anticyclonic and cyclonic eddies having significant isopycnal temperature/salinity anomalies can exhibit
20 both positive and negative anomalies.
- 21 ● Frictional effects play a major role for eddy potential vorticity anomaly generation in the TAO, followed
22 by isopycnal advection and diapycnal mixing.

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28 **Abstract:**

29 Potential vorticity (PV) is a key parameter to analyze the generation and dynamics of oceanic mesoscale
30 eddies. Adiabatic and diabatic processes can be involved in the generation of localized PV anomalies and
31 vortices. However, PV is difficult to evaluate at mesoscale. In this study we argue that eddies created by
32 diapycnal mixing or isopycnal advection of water-masses are associated with PV anomalies and significant
33 isopycnal temperature/salinity anomalies (Θ'/S'). In contrast, eddies created by friction are associated with PV
34 anomalies but with non-significant isopycnal Θ'/S' . Based on 18 years of satellite altimetry data and vertical
35 Θ/S profiles from Argo floats, we analyze the isopycnal Θ'/S' within new-born eddies in the tropical Atlantic
36 Ocean (TAO) and discuss the possible mechanisms involved in their generation. Our results show that on
37 density-coordinates system, both anticyclonic (AEs) and cyclonic (CEs) eddies can exhibit positive, negative or
38 non-significant isopycnal Θ'/S' . Almost half of the sampled eddies do not have significant Θ'/S' at their
39 generation site, suggesting that frictional effects play a significant role in the generation of their PV anomalies.
40 The other half of eddies, likely generated by diapycnal mixing or isopycnal advection, exhibits significant
41 positive or negative anomalies with typical Θ' of $\pm 0.5^\circ\text{C}$. More than 70% of these significant eddies are
42 subsurface-intensified, having their cores below the seasonal pycnocline. Refined analyses of the vertical
43 structure of new-born eddies in three selected subregions of the TAO, show the dominance of cold (warm)
44 subsurface AEs (CEs) likely due to isopycnal advection of large scale PV and temperature.

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46 **Keywords:** mesoscale eddies; isopycnal temperature/salinity anomalies; eddy generation mechanisms; potential
47 vorticity; tropical Atlantic Ocean.

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54 **Plain Language Summary**

55 Mesoscale eddies are common features in the global ocean, having typical length scales of 10 to 100 km
56 and lifespans from days to several weeks. These quasi-circular rotating structures can be formed by several
57 physical processes, such as surface friction due to wind-stress, or the isopycnal or diapycnal mixing of water
58 masses having different temperature/salinity properties. In this study, we argue that the main mechanism
59 involved in the eddy generation can be determined from the knowledge of the temperature/salinity vertical
60 structure in the eddies close to their generation site. Based on the complementary analysis of satellite and in-situ
61 data in the tropical Atlantic Ocean, we show that 50% of the eddies do not present significant isopycnal
62 temperature anomalies and are thus likely formed by frictional effects. The major part of these eddies do not
63 participate to heat and salt transports. In contrast, the remaining 50% of eddies present significant isopycnal
64 temperature anomalies and are likely formed by diapycnal mixing or advection of specific water-masses into a
65 different background (through instability or other adiabatic processes). These eddies, that are mainly intensified
66 in subsurface layers, likely conserve their initial anomalies and can transport heat and salt from their formation
67 region.

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80 1. Introduction

81 The Tropical Atlantic Ocean (TAO) is a key region for the inter-hemispheric exchange of heat, salt and
82 mass by thermohaline circulation, large-scale currents and mesoscale eddies (e.g. [Thomas and Zhai, 2013](#);
83 [Saenko et al., 2018](#)). In the TAO, the upper ocean circulation is mainly composed of i) equatorial limbs of the
84 North and South Atlantic anticyclonic subtropical gyres, ii) zonal equatorial currents and iii) near-coastal
85 current systems ([Fig. 1](#)).

86 In the surface layer of the North and South Atlantic subtropical gyres, excess of evaporation over
87 precipitation leads to the formation of relatively salty North and South Atlantic Waters (NAW and SAW,
88 respectively; [Fig. 1a](#)) ([Tsuchiya et al., 1992](#); [Stramma and Schott, 1999](#); [Bourlès et al., 1999a](#) ; [Stramma et al.,](#)
89 [2005a-b](#)). These water-masses have typical maximum salinities exceeding 37 in their formation region with
90 densities of $\sim 25.0 - 25.5 \text{ kg.m}^{-3}$ ([Bourlès et al., 1999a](#); [Stramma and Schott, 1999](#); [Kirchner et al. 2009](#)). In
91 contrast, in the surface layer of the equatorial Atlantic, the excess of precipitations associated with the
92 atmospheric inter-tropical convergence zone leads to the formation of the relatively warm and fresh Tropical
93 Surface Water (TSW; [Fig. 1a](#)) (e.g. [Tsuchiya et al., 1992](#); [Tomczak and Godfrey, 1994](#); [Stramma et al., 2005b](#)).
94 With typical densities lower than 24.5 kg.m^{-3} , TSW extends within the mixed layer of the TAO (e.g. [Stramma](#)
95 [and Schott, 1999](#) ; [Stramma et al., 2005a-b](#)).

96 A fraction of NAW and SAW subducts from the mixed-layer into subsurface layers during winter to form
97 North and South Atlantic Central Waters (NACW and SACW, respectively; [Fig 1b](#)) in the subtropical
98 convergence zones around $\pm 30-40^\circ$ of latitude (e.g. [Emery, 2003](#); [Liu and Tanhua., 2019](#)). This transport is
99 mostly ensured by the large scale circulation forced by the Ekman wind-driven circulation that advects NACW
100 and SACW equatorward along isopycnal surfaces in the main thermocline ($\sim 100-500 \text{ m}$) (e.g. [Sprintall and](#)
101 [Tomczak, 1993](#); [Tomczak and Godfrey, 1994](#)). The eastern part of these central water-masses can also be
102 distinguished from their western part based on higher salinity especially in the northern TAO (e.g. [Emery, 2003](#);
103 [Liu and Tanhua., 2019](#)). These central water-masses, that are characterized by a linear temperature-salinity (Θ
104 -S) relationship in the density range $\sigma_\theta \sim 25.8 - 27.1 \text{ kg.m}^{-3}$, are connected at around 15°N ([Sverdrup et al. 1942](#);
105 [Emery and Meincke, 1986](#); [Stramma and Schott, 1999](#); [Stramma et al., 2005b](#)). Another fraction of NAW and

106 SAW is advected west-equatorward by the North Equatorial Current (NEC) and the southern South Equatorial
107 Current (sSEC), respectively (Fig. 1a). In the equatorial region these water-masses subduct and spread below the
108 lighter TSW forming a subsurface salinity maximum in the upper thermocline, known as Subtropical Underwater
109 (STUW; Fig 1b). STUW spreads within the density range $\sigma_\theta \sim 24.5\text{-}26.3 \text{ kg.m}^{-3}$ (Snowden and Molinari, 2003;
110 Tsuchiya et al., 1992; Stramma and Schott, 1999; Stramma et al., 2005b). In the upper thermocline ($\sigma_\theta \sim 24 -$
111 24.5 kg.m^{-3}) of the eastern TAO, another subsurface salinity maximum water-mass is observed, but noticeably
112 fresher than STUW (Wilson et al., 1994; Bourlès et al., 1999a; Urbano et al. 2008; Kirchner et al. 2009). This
113 water-mass, known as East Atlantic Water (EAW, e.g. Bourlès et al., 1999a) is advected westward across the
114 TAO by the northern SEC (nSEC; Fig 1b). In the western TAO, the observed subsurface salinity maximum in the
115 upper-thermocline results from the advection, and mixing of the NAW, SAW and EAW by the complex
116 circulation (Fig. 1a-b) (Bourlès et al. 2009a; Urbano et al., 2008; Kirchner et al. 2009). Below the base of the
117 pycnocline ($\sigma_\theta > 26.0 \text{ kg.m}^{-3}$), water-masses present Θ -S properties close to the Atlantic Subarctic Intermediate
118 Water (ASIW) in the Northern TAO and Antarctic Intermediate Water (AAIW) in the Southern TAO (e.g. Emery,
119 2003).

120 Although the relatively complex large-scale circulation shown in Fig. 1 is important for the
121 redistribution of water-masses, mesoscale eddies are also known to play a key role in the transfer and
122 redistribution of energy, heat, salt and physical/biogeochemical properties from their generation regions to their
123 dissipation sites (Chaigneau et al., 2011; Gaube et al., 2014; McGillicuddy 2016). These quasi-circular structures
124 are ubiquitous in the TAO. They have typical radii of 30–100 km (Aguedjou et al., 2019) and can modulate
125 ocean-atmosphere fluxes and thus the upper-ocean water-mass characteristics (Frenger et al., 2013; Villas Bôas
126 et al., 2015; Renault et al., 2019; Seo et al., 2016; Foussard et al., 2019). They contribute to the mixing and
127 redistribution of water-masses through several mechanisms such as eddy horizontal stirring, eddy-induced
128 upwelling/downwelling, subduction or trapping and self-advection over long distances across the basin
129 (McWilliams and Flierl, 1979; Herbette et al, 2004; Chelton et al., 2011), being able to connect eastern and
130 western boundaries (e.g., Laxenaire et al., 2018). One final objective of this study is to determine which fraction
131 of eddies could efficiently contribute to the potential transport and redistribution of heat and salt anomalies from

132 their region of formation to their dissipation site. An important point, further discussed below, is that transport by
133 eddies is mostly adiabatic and along isopycnal surfaces. Contrarily to what has been commonly done in previous
134 studies, it is thus important to evaluate temperature and salinity anomalies associated with eddies along
135 isopycnal surfaces instead of considering isobaric (or iso-depth) levels.

136 The vorticity of an eddy in geostrophic equilibrium is proportional to its isopycnal potential vorticity
137 (PV) anomaly ([Hoskins, 1985](#); [Morel and McWilliams 1997](#); [Herbette et al., 2003](#)), which is a key quantity to
138 analyze the formation and dynamics of eddies. PV is a conservative property for fluid particles in adiabatic
139 evolution and many studies have shown how vortices can be formed by the displacement of particles in a
140 background PV gradient. For instance, the formation of eddies by barotropic and/or baroclinic instabilities of
141 mean currents is related to the existence of isopycnal PV gradient of opposite signs and can be interpreted as the
142 result of the creation of opposite sign PV anomalies or dipolar vortical structures ([Charney and Stern, 1962](#);
143 [Morel and McWilliams, 2001](#)). Meridional advection on the planetary beta-plane ([Wang, 2005](#)), interaction of
144 currents with seamounts, islands, or continental shelves ([Aristégui et al., 1994](#); [Herbette et al, 2004](#)) can also be
145 interpreted as creation of PV anomalies by adiabatic advection of particles. These processes are thought to play a
146 significant role in the formation of mesoscale eddies at least in some regions of the TAO, east of the North Brazil
147 Current (NBC) retroflection (e.g. [Aguedjou et al., 2019](#)). Some recent studies have shown that diabatic processes
148 could also lead to the generation of PV anomalies and vortices. Indeed, theoretical and numerical studies have
149 shown that diapycnal mixing ([Haynes & McIntyre, 1987](#); [Morel and McWilliams, 2001](#)) and frictional effects,
150 associated with lateral viscous layers ([D'Asaro, 1988](#); [Morel and McWilliams 2001](#); [Akuetevi and Wirth, 2015](#)),
151 the wind ([Thomas, 2005](#); [Morel et al, 2006](#); [Holmes et al, 2014](#); [Holmes and Thomas, 2016](#)) or with the bottom
152 boundary layer ([Benthuisen and Thomas, 2012](#); [Gula et al, 2015 & 2016](#); [Morvan et al, 2019](#)), are all efficient
153 mechanisms to modify PV and create vortical structures ([Morel et al, 2019](#); [Assene et al, 2020](#)).

154 The origin of the processes (adiabatic, frictional or diapycnal mixing) involved in the generation of PV
155 anomalies and vortices remains to be evaluated in nature. This is another key objective of the present study,
156 which is very challenging, since the calculation of PV and its evolution require a three-dimensional description
157 of currents and stratification. However, in the present study, we propose to derive qualitative information arguing

158 that diapycnal mixing or isopycnal advection leads to PV anomalies with significant isopycnal Θ/S anomalies
159 (e.g. Assene et al, 2020), in contrast to frictional effects which are expected to create PV anomalies but without
160 significant isopycnal Θ/S anomalies.

161 In this study, we thus propose to combine in-situ Θ/S measurements with satellite altimetry data to
162 estimate the isopycnal Θ/S anomalies of eddy cores at their generation sites in the TAO. In Section 2 we
163 describe the datasets and methods used to characterize the Θ/S anomalies inside eddies. In Section 3 we first
164 present the Θ/S characteristics of the large-scale water-masses over selected isopycnal levels. Second, we show
165 case studies of Θ/S anomalies inside individual eddies to illustrate that Θ/S anomalies computed from isopycnal
166 levels can strongly differ from the ones obtained along isobaric levels. Third, we characterize the Θ/S isopycnal
167 structure of eddies in the entire TAO and focus in some particular areas of the northern TAO. These diagnostics
168 help i) to estimate the fraction of eddies that can participate to the trapping and redistribution of heat and salt in
169 the TAO and ii) to depict the mean isopycnal Θ/S anomalies in surface and subsurface intensified eddies.
170 Finally, the possibility to infer where diapycnal mixing, isopycnal advection and/or frictional effects could play
171 a significant role in their generation processes is discussed in Section 4 as well as the coherency of this
172 information with the known dynamical features of the TAO.

173 2. Data and methods

174 2.1 Altimetry data and eddy tracking

175 Mesoscale eddies are identified and tracked in the TAO from daily maps of the Salto/Duacs Absolute
176 Dynamic Topography (ADT) gridded product. This multimission satellite altimetry product, was optimally
177 interpolated onto a $0.25^\circ \times 0.25^\circ$ longitude/latitude daily grid (Ducet et al., 2000; Le Traon et al., 1998;
178 Duacs/AVISO+, 2018; Pujol et al., 2016) and is freely distributed by the Copernicus Marine Environment
179 Monitoring Service (<http://marine.copernicus.eu/>).

180 Eddies were identified from January 2000 to December 2017, using the widely used algorithm
181 developed by Chaigneau et al. (2008; 2009). An eddy is identified by its center, corresponding to a local
182 extremum in Absolute Dynamic Topography (ADT), being maximum for anticyclonic eddies (AE) and minimum

183 for cyclonic eddies (CE), and its external edge which corresponds to the outermost closed ADT contour around
184 each detected eddy center. Eddy trajectories are constructed according to their polarity (cyclonic or anticyclonic)
185 using the algorithm developed by [Pegliasco et al. \(2015\)](#). Briefly, this algorithm considers as part of the same
186 trajectory, overlapping eddies with the same polarity detected at time t and $t + 1$ day. If several eddies overlap, a
187 cost function is computed to determine the most similar eddy at the time $t + 1$ day. When no overlapping eddy is
188 found neither at time $t + 1$ day nor $t + 2$ days, the trajectory is stopped and the eddy is considered as dissipated.
189 As in [Aguedjou et al. \(2019\)](#), in order to consider only long-lived and coherent structures, we retained eddies
190 lasting more than 30 days and having amplitudes and radii greater than 1 cm and 30 km, respectively. A total of
191 ~ 7800 long-lived AE and ~ 8100 long-lived CE were detected in the TAO between 2000 and 2017. Readers
192 interested in more detail regarding the main characteristics and seasonality of these eddies are invited to refer to
193 [Aguedjou et al. \(2019\)](#).

194 **2.2 Argo data**

195 The vertical/isopycnal structure of mesoscale eddies is investigated using Θ/S profiles acquired by Argo
196 floats in the TAO during the 2000-2017 period. These data were collected and made freely available by the
197 Coriolis project and programs that contribute to it (<http://www.coriolis.eu.org>). Only profiles flagged as “good”
198 were retained for our analysis. After an additional rigorous quality control (see supplementary material), retained
199 vertical profiles were classified into three categories depending on whether Argo floats surfaced within AEs or
200 CEs (detected from altimetry) or outside eddies. In our study we only considered Θ/S data between the surface
201 ($\sigma_\theta < 23.5 \text{ kg.m}^{-3}$ in the TAO) and 1000 m depth ($\sigma_\theta \sim 27.5 \text{ kg.m}^{-3}$ in the TAO). In the TAO, a total of ~ 115000
202 Θ/S Argo profiles, representing $\sim 80\%$ of the initial dataset passed the quality control procedure, among which
203 ~ 14500 (12.6%) surfaced within AEs and ~ 15300 (13.3 %) surfaced within CEs. Long-lived vortices detected by
204 altimetry can thus be sampled several times by similar or different Argo floats at different phases of their
205 evolution.

206 **2.3 Isopycnal temperature anomalies at eddy generation sites**

207 In order to investigate the isopycnal structure of eddies at their generation sites and estimate the
208 mechanisms involved in their generation, depth-dependent Θ/S profiles were first projected onto density-
209 coordinates (here density refers to potential density referenced to the sea-surface). Second, isopycnal
210 temperature and salinity anomalies (Θ' and S' , respectively) were inferred for each profile by removing a local
211 climatological profile representative of the large-scale background (e.g. [Chaigneau et al., 2011](#); [Pegliasco et al.,](#)
212 [2015](#)), also computed on density-coordinates. The local climatological profiles (\bar{P}) were obtained by
213 weighted arithmetic means of all the available profiles (P_i) acquired outside eddies, within a radius of 200 km
214 and separated by less than ± 30 days (independently of the year) from the date of the considered profile (see
215 supplementary materials). Third, we only retained profiles acquired in AEs or CEs close to their generation
216 (within a radius of 200 km from their generation site identified from altimetry). Vortices were then further
217 classified into three main categories, depending on whether isopycnal temperature anomalies were i) significant
218 (positive or negative) in the surface layer, extending from the surface to the base of the pycnocline, ii) significant
219 (positive or negative) in the subsurface layer below the base of the pycnocline, or iii) not significant neither
220 above nor below the pycnocline (see supplementary materials). The corresponding eddies are then qualified as
221 surface intensified, subsurface intensified or eddies with non-significant anomalies, respectively. Eddies having
222 both surface and subsurface significant anomalies are considered as subsurface intensified.

223 In order to determine whether Θ'/S' are significant or not, an isopycnal temperature anomaly threshold
224 was defined in a $1^\circ \times 1^\circ$ longitude/latitude grid at seasonal scale, from Argo profiles that surfaced outside eddies
225 (see supplementary material). [Fig. 2a-b](#) show the annual mean of temperature anomaly thresholds for the surface
226 and subsurface layers, respectively. In general, a given temperature anomaly profile is significant within the
227 surface layer when the square root of its quadratic mean values (see Eq. E4 in supplementary material) integrated
228 over the surface layer is greater than $0.2\text{-}0.5^\circ\text{C}$, except for some regions such as the frontal zone separating
229 NAW from TSW, where Θ' threshold reaches up to 0.8°C ([Fig. 2a](#)). In contrast, threshold values of Θ' within the
230 subsurface layer are much lower and on average less than 0.3°C . However, around the frontal zone along which
231 the NEC is flowing, high threshold values are still noticed reaching up to 0.8°C ([Fig. 2b](#)). For a given density, Θ'

232 and S' are proportional and of the same sign, so that isopycnal maps of Θ' or S' are similar by definition. We thus
233 hereinafter only focus on Θ' along isopycnal levels.

234 3. Results

235 3.1 Large-scale distribution of isopycnal temperature in the TAO

236 In order to better understand the eddy signature on Θ along isopycnal surfaces, we first briefly depict the
237 large-scale water-mass temperature distribution in the TAO along two isopycnal levels. As such, Fig. 3 presents
238 the mean Θ on $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ and $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$ levels, obtained from Argo floats that surfaced outside
239 eddies. On the shallower/lighter density-level, we can observe the noticeable warmer areas located within the
240 subtropical gyres where NAW and SAW are located (Fig. 3a,d). On $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$, these water-masses have
241 typical temperatures of 24°C and 22.5°C , respectively (Fig. 3a,d). The along-isopycnal Θ decreases equatorward
242 from the gyre centers. In the eastern TAO, where EAW is originated, Θ decreases to $\sim 20^\circ\text{C}$ and S is lower than
243 36 (see also on Fig. 3d). Along the equator a relative warm core ($\Theta \sim 22^\circ\text{C}$) water-mass is advected eastward by
244 the EUC (see Fig. 1 and Fig. 3a). The temperature of this water-mass, also characterized by relatively high
245 salinities (not shown but see, e.g. Hormann and Brandt, 2007; Kolodziejczyk et al., 2009 Da-Allada et al., 2017),
246 slightly decreases eastward. Finally, in the eastern boundary upwelling systems (Canary and Benguela), the
247 mean Θ is lower than 18°C on $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ due to the presence of the wind-forced coastal upwelling (Fig.
248 3a). The $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ isopycnal layer deepens from $\sim 40 \text{ m}$ in the eastern TAO to $\sim 140 \text{ m}$ in the western TAO
249 at latitudes of $\pm 20^\circ$ (black lines in Fig. 3a). The westward deepening of this isopycnal layer, which is associated
250 with the lower part of the thermocline/pycnocline, is reduced along the equator where its depth varies from 50 m
251 in the Gulf of Guinea to $\sim 100 \text{ m}$ off Brazil.

252 On the $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ ($\sigma_\theta = 27.2 \text{ kg.m}^{-3}$, respectively) density layer, Θ distribution shows a strong
253 isopycnal Θ front that separates the warmer and saltier NAW (NACW) from the colder and fresher EAW
254 (SACW) and extends across the basin. East of $\sim 30^\circ\text{W}$, this front is known as the Cape Verde Frontal Zone
255 (CVFZ) (Zenk et al; 1990; Pérez-Rodríguez and Marrero-Díaz, 2001; Martínez-Marrero et al., 2008; Tiedemann
256 et al., 2018), which is an active area of water-mass exchange associated with the formation of mesoscale eddies

257 (e.g. Dadou et al., 1996; Schütte et al., 2016). However, we hereafter simply refer to the frontal zone to indicate
258 the connection area between NACW and SACW. The NEC flows along this frontal zone, which is oriented
259 southwestward from 20°N in the eastern TAO to 10°N in the western TAO (see also Fig. 1). This front is clearly
260 visible down to $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$ (Fig. 3b) where it is more diffuse and the strongest Θ gradients are observed
261 southward, between 10°N and the equator. At this density level, the frontal zone is much more zonal, and NACW
262 and SACW have typical temperatures of 10-11°C and 5-6°C, respectively (Fig. 3b,d). The mean depth of this
263 isopycnal level is of ~650 m in a large part of the TAO (black lines in Fig. 3b), and deepens to 750-900 m
264 poleward of latitudes $\pm 20^\circ$.

265 Figure 3c presents the meridional Θ section at 35°W. Warmer waters with Θ greater than 20°C are
266 located above the $\sigma_\theta = 26.0 \text{ kg.m}^{-3}$ isopycnal layer and are associated with the previously described NAW, SAW
267 and TSW. TSW is the warmest water-mass, with Θ reaching 28°C around the equator, but exhibits the lowest
268 surface salinity of 35.5-36 (not shown) due to the excess of precipitation to evaporation in this area. Below the σ_θ
269 = 26.0 kg.m^{-3} density layer, are located the distinguishable warmer NACW and cooler SACW, separated by the
270 Θ front that becomes more diffuse below $\sigma_\theta = 27.0 \text{ kg.m}^{-3}$.

271 Θ/S diagrams and the main water-mass characteristics found in the TAO are depicted in Figure 3d. They
272 were constructed from Argo float that surfaced outside eddies within the northern, southern and eastern parts of
273 the TAO (see boxes delimited in magenta in Fig 3.a). This Figure confirms that TSW is much fresher and lighter
274 than NAW or SAW and that NACW is warmer and saltier than SACW along isopycnal levels.

275 3.2 Case studies of iso-depth versus isopycnal temperature anomalies in mesoscale eddies

276 Estimates of Θ and S anomalies within an eddy can strongly differ whether we use a depth-coordinate
277 system or a density-coordinate system. In order to better familiarize the reader with this concept, and to help the
278 interpretation of the results described in the following Sections, we here describe temperature anomalies
279 observed in three individual mesoscale anticyclonic eddies at their generation sites, using both the depth- and
280 density-coordinate systems. These three eddies (AE1, AE2 and AE3, respectively), detected by their ADT
281 signature, were sampled by Argo floats in the western (AE1, Fig. 4a), central (AE2, Fig. 4b) and eastern parts

282 (AE3, Fig. 4c) of the northern TAO. Their centers are approximately located at (55°W;15°N), (32°W-19°N) and
283 (22°W-10°N), respectively. They have typical amplitudes of 3.3 cm, 4.2 cm, and 1.7 cm, and associated radii of
284 ~100 km, ~70 km and ~80 km, respectively. Their eddy kinetic energy was relatively similar, varying between
285 85 and 100 cm² s⁻², a typical range for the northern TAO (Aguedjou et al., 2019), and their mean vorticity was of
286 $4.3 \times 10^{-5} \text{ s}^{-1}$, $5.1 \times 10^{-5} \text{ s}^{-1}$ and $2.2 \times 10^{-5} \text{ s}^{-1}$ respectively.

287 Although the 3 eddies were sampled by Argo floats within their core, AE1 and AE2 were sampled
288 relatively close to their edge (Fig. 4a-b), whereas A3 was sampled in the vicinity of its eddy center (Fig. 4c).
289 Temperature anomalies observed using a depth-coordinate system are positive for the 3 case-study eddies (Fig.
290 4d-f, magenta lines), as expected for AEs, except for A2 whose anomaly is slightly negative below 200 m.
291 Indeed, in depth-coordinates, due to the isopycnal depression (heaving, respectively) occurring inside surface-
292 intensified AEs (CEs), they induce positive (negative) anomalies (e.g. Assassi et al., 2016; Keppler et al., 2018).
293 AE1, has a maximum temperature anomaly of 3.5°C centered at 400 m depth and is likely a subsurface
294 intensified eddy with a core extending from 100 m to 600 m depth (Fig. 4d). When eddy temperature anomalies
295 are first computed in density-coordinates and then re-projected on depth-levels, the maximum Θ' is of ~1°C and
296 rather observed along $\sigma_\theta \sim 27.1 - 27.2 \text{ kg.m}^{-3}$ isopycnal levels located between 400 and 600 m depth (Fig. 4d,
297 red line) thus confirming that A1 is subsurface-intensified. Fig. 4g compares the Θ/S diagrams for the Argo
298 profile acquired inside the vortex (red) and the corresponding climatological profile obtained from profiles
299 outside eddies (green). It can be misleading since there exists strong discrepancies between both profiles in low
300 density ranges ($\sigma_\theta = 24.0\text{-}25.5 \text{ kg.m}^{-3}$) (Fig. 4d). However, given the curvatures of isopycnal lines and of the
301 vertical profiles, the strongest isopycnal eddy Θ/S anomalies are obtained in the subsurface layer containing
302 NACW. Thus, for this particular AE1 case-study, temperature anomalies are located in subsurface and of the
303 same sign (positive) for both coordinate systems.

304 In AE2, temperature anomalies computed in depth-coordinates are positive between the surface and 250
305 m depth, and slightly negative below 250 m (magenta line in Fig. 4e). The maximum anomaly is of ~1.5°C at
306 ~150 m depth, thus AE2 is likely surface intensified. However, when computing Θ' in density-coordinates, AE2
307 is characterized by negative Θ' in the surface layers reaching maximum negative anomalies of -1.5°C at ~170 m

308 depth (red line in Fig. 4e). In fact, the vertical displacement of isopycnal surfaces associated with vortices in
309 geostrophic balance explains most of the anomalies in depth-coordinates (e.g. [Assassi et al., 2016](#); [Keppler et al.,](#)
310 [2018](#)). As a consequence, the downwelling of isopycnal surfaces in surface intensified AEs, results in positive
311 temperature anomalies in depth-coordinates, as observed in [Fig. 4e](#). In contrast, isopycnal anomalies are
312 observed only if eddies contain water from a remote region having distinct Θ -S characteristics, or if diapycnal
313 mixing locally modifies the thermohaline structure of the water column. In AE2, maximum negative isopycnal
314 anomalies were observed in the surface layer containing NAW ([Fig. 4h](#)). AE2 is thus a striking example of
315 differences that can exist when computing anomalies using depth versus density-coordinates. These
316 discrepancies are problematic in particular for the estimates of anomalous heat or salt eddy contents, and their
317 associated transport, which are generally computed in depth-coordinates instead of isopycnal-coordinates.

318 In AE3, significant positive temperature anomalies computed from depth-coordinates are observed from
319 the surface to 200 m depth ([Fig 4f](#)). Maximum anomalies of $\sim 3.5^\circ\text{C}$ are observed at ~ 50 m depth, revealing that
320 AE3 is a surface-intensified AE using depth-coordinates. However, isopycnal Θ' anomalies, re-projected on
321 depths, show that this eddy does not contain significant water-mass anomalies relative to the background large-
322 scale environment ([Fig. 4f](#)). The isopycnal Θ -S structure within the eddy is similar to the one usually observed in
323 this region, with EAW and SACW in the surface and subsurface layers, respectively ([Fig. 4i](#)).

324 To summarize, based on 3 case-study AEs, we have shown that isopycnal Θ' anomalies can strongly
325 differ from anomalies computed from the more commonly used depth-coordinate system. Obviously, similar
326 conclusions hold for CEs that generally depict negative anomalies in depth-coordinate system, but that can show
327 positive, negative or null anomalies in density-coordinate system. Significant eddy anomalies along isopycnal
328 levels are associated to isopycnal advection from remote regions or diapycnal mixing.

329 **3.3 Spatial distribution of isopycnal temperature anomalies in TAO new-born eddies**

330 [Figure 5](#) shows the spatial distribution of surface-intensified, subsurface-intensified eddies and eddies
331 with negligible anomalies at their generation sites in the TAO. A first striking result is that about half of the
332 analyzed new-born AEs and CEs have a weak and non-significant isopycnal Θ' relatively to their local

333 environment close to their generation site. They cover all areas and their spatial distribution is quite identical for
334 AEs and CEs (Fig. 5e-f and Table 1).

335 Thus, about half of the analyzed new-born AEs (52%) and CEs (50%) have a significant Θ' anomaly at
336 their generation sites. Among AEs (CEs, respectively) having significant anomalies, ~25% (27%) are only
337 surface-intensified whereas ~75% (~73%) exhibit maximum isopycnal anomalies at subsurface (Table 1). Note
338 however, that vortices with subsurface anomalies can also sometimes have significant surface anomalies. This
339 category of eddies represents ~34% (~32%) of subsurface-intensified AEs (CEs). The spatial distribution of AEs
340 and CEs having significant isopycnal Θ' is almost similar in surface and subsurface layers (Fig. 5a-b and 5c-d).
341 About 57% (~53%) of surface (subsurface) intensified eddies are characterized by positive Θ' (Fig. 5 and Table
342 1). However, within the surface layer of the Southern Hemisphere, more than 82% (55%, respectively) of AE
343 (CE) with significant anomalies have positive (negative) Θ' . In this region of the TAO, eddies with significant
344 Θ' are less numerous and their maximum isopycnal Θ' ($\pm 0.3^\circ\text{C}$) are observed around the Benguela upwelling
345 system. In contrast, in the Northern Hemisphere, positive and negative Θ' are more similarly distributed with
346 magnitude reaching up to $\pm 0.8^\circ\text{C}$ along the frontal zone (Fig. 5a-b). Within the subsurface layer, ~56% (65%,
347 respectively) of the significant AEs (CEs) in the Northern Hemisphere have a negative (positive) Θ' versus
348 ~38% (44%) of AEs (CEs) in the Southern Hemisphere (Fig. 5c-d and Table 1). Again, the dominance of
349 relatively cold AEs and warm CEs in subsurface may seem unusual for those who are used to work in depth-
350 coordinates where the sign of the anomalies are largely driven by the deepening/heaving of isopycnal layers (see
351 Section 3.2). Moreover, strong anomalies of $\pm 0.4^\circ\text{C}$ are observed within the frontal zone separating SACW and
352 NACW (Fig. 5c,d). As shown in Fig.2, this area is characterized by a high value of the temperature threshold
353 highlighting the strong spatio-temporal temperature variability of this frontal zone.

354 **3.4 Eddy isopycnal structures in selected TAO regions**

355 Three sub-regions (R1 to R3, see Fig. 2-5) were defined according to their large-scale dynamics and
356 characteristics (Fig.1, Fig. 2 and Fig. 3a-b). R1 extends along the frontal zone separating NACW from SACW
357 (Fig 2). The westward NEC which flows along the frontal zone is mainly fed by the eastern branch of the North

358 Atlantic subtropical gyre but also by the northern branch of the Guinean Dome (Fig. 1). Further west, a part of
359 the NEC retroflects cyclonically and feeds both the eastward North Equatorial Counter Current (NECC) and the
360 eastward North Equatorial Undercurrent (NEUC) (Fig. 1a-b; e.g. Stramma and Schott, 1999; Bourlès et al.
361 1999a; Schott et al., 2004). The large-scale isopycnal temperature distribution (Fig. 3) shows that the NEC flows
362 along the frontal zone that separates relatively warm and salty waters of the North Atlantic subtropical gyre from
363 cooler and fresher waters of the equatorial Atlantic Ocean (Fig. 3). This frontal zone is known to exhibit strong
364 meanderings and eddy generations (e.g. Dadou et al., 1996; Shütte et al., 2016; Aguedjou et al., 2019). In R2,
365 that extends from north-east Brazil to west Africa between 0°N and 10°N (Fig. 5), is found the zonal equatorial
366 dynamics (Fig. 1). In this region, strong instabilities are frequently observed, in particular due to the horizontal
367 shear between the nSEC and NECC (Fig. 1) (e.g. Weisberg and Weingartner, 1988; Kelly et al., 1995; Athié and
368 Marin, 2008; Von Schuckmann et al., 2008, Aguedjou et al., 2019). Finally, the subregion R3 includes the NBC
369 retroflection (Fig. 1a-b), and is populated by relatively large and energetic eddies whose surface properties (size,
370 amplitude, eddy kinetic energy) exhibit a strong seasonal variability (e.g. Aguedjou et al., 2019).

371 Figure 6 shows for these 3 subregions the mean vertical Θ' profiles inside AEs and CEs at their
372 generation sites, using density-coordinates. As already discussed, the 3 sub-regions show a high number of non-
373 significant anomaly profiles representing 40% to 60% of observed eddies (Fig. 6, in black).

374 In R1, between 75% (72%) of the new-born AEs (CEs) sampled by Argo floats and that have a
375 significant temperature anomaly, are subsurface intensified (Fig. 6a,d). Surface AEs (solid lines in Fig. 6a) and
376 CEs (solid lines in Fig. 6d) are characterized by average temperature anomalies of ± 0.5 - 0.7°C between $\sigma_\theta \sim 25.5$
377 and 26.0 kg.m^{-3} . Subsurface AEs (dotted lines in Fig. 6a) and CEs (dotted lines in Fig. 6d) show maximum
378 anomalies of $\pm 0.4^\circ\text{C}$ between $\sigma_\theta \sim 27 \text{ kg.m}^{-3}$ and $\sigma_\theta \sim 27.2 \text{ kg.m}^{-3}$ except subsurface cold CEs whose mean
379 anomaly of -0.5°C is found between $\sigma_\theta \sim 26$ - 26.5 kg.m^{-3} . Interestingly, around 73% (65%, respectively) of these
380 subsurface eddies are cold (warm) for AEs (CEs).

381 In R2, similarly to what is observed in R1, 65% of the CEs and $\sim 70\%$ of the AEs that present a
382 significant Θ' are of subsurface (Fig. 6b,e). Around 82% (65%, respectively) of the subsurface sampled AEs

383 (CEs) have cold (warm) anomalies and their maximum anomalies of $\pm 0.3\text{-}0.4^\circ\text{C}$ are located at $\sigma_\theta \sim 27.2 \text{ kg.m}^{-3}$
384 (Fig. 6b,e). The remaining surface-intensified eddies have anomalies of $\pm 0.5^\circ\text{C}$, with the maximum located in
385 the density range of the eastward NECC and the westward nSEC, which carry relatively warm and cold water,
386 respectively (Fig. 1a & 3a).

387 In R3 (Fig. 6c,f), unlike in the two previous areas, very few new-born eddies were sampled by Argo
388 floats. Moreover $\sim 55\%$ of the AEs and $\sim 65\%$ of the CEs sampled at their generation sites do not exhibit
389 significant temperature anomalies. The remaining sampled new-born eddies are characterized by relatively large
390 anomalies, reaching $\pm 1^\circ\text{C}$ for CEs and $\sim \pm 0.7^\circ\text{C}$ for AEs. Note, that the relatively small number of sampled
391 eddies is due both to the very few number of Argo floats in R3 and to the reduced size of this area. AEs
392 characterized by positive Θ' (red lines in Fig. 6c) show anomalies of $\sim 0.5^\circ\text{C}$, observed at $\sigma_\theta = 26 \text{ kg.m}^{-3}$ for
393 surface AEs, and $\sigma_\theta = 26.8 \text{ kg.m}^{-3}$ for subsurface AEs. Surface intensified CEs predominantly show strong
394 positive and negative anomalies that are maximum at $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ (solid lines in Fig 6f). In contrast,
395 subsurface CEs mainly show positive temperature anomalies of 0.5°C at the base of the thermocline or at $\sigma_\theta \sim$
396 27 kg.m^{-3} (dotted lines in Fig. 6f). Note that the 3 profiles classified as cold subsurface CEs (blue dotted line in
397 Fig. 6e) are characterized by strong positive anomalies between $\sigma_\theta = 24.5 \text{ kg.m}^{-3}$ and $\sigma_\theta = 26.7 \text{ kg.m}^{-3}$ and weak
398 negative anomalies in deeper levels. Integrated in the water column, these deeper negative anomalies, that
399 occupy a thicker layer, prevail and lead to a negative heat content anomaly that explains the classification of
400 these profiles as “cold subsurface CEs. In R3, the sampled new-born eddies were mainly formed on the eastern
401 flank of the NBC retroflection (not shown), where relatively strong temperature gradients exist. In the
402 subsurface layer, isopycnal mixing of NACW and SACW takes place (eg. Kirchner et al., 2009).

403 4. Qualitative analysis and discussion

404 4.1 Eddy vertical structure and potential implications for heat and salt transports

405 The first striking result of our analysis, underlined in Fig. 5, is that about half of the analyzed eddies
406 have non-significant isopycnal Θ'/S' at their generation site. Among the other half of the eddies, characterized by
407 significant isopycnal Θ'/S' , more than 70% are subsurface-intensified, with maximum anomalies below the

408 pycnocline. Within these eddies, the strongest temperature anomalies, averaged within the surface (subsurface,
409 respectively) layer, are of $\pm 1.2^{\circ}\text{C}$ ($\pm 0.8^{\circ}\text{C}$). These maximum anomalies are found in the Northern Hemisphere,
410 especially within the frontal zone separating the NACW and SACW, and along which the NEC flows westward
411 across the TAO (Fig. 5). In the Southern Hemisphere, averaged isopycnal Θ/S' anomalies in the
412 surface/subsurface layers are generally weaker, except in the Benguela upwelling system where the anomalies
413 are as high as in the Northern Hemisphere. The high number of subsurface intensified eddies obtained in our
414 study have been also previously reported in different areas of the TAO, from both numerical simulations and in-
415 situ data. For instance, in the Canary and Benguela upwelling systems, Pegliasco et al. (2015) estimated that
416 subsurface-intensified eddies represent between 40 and 60% of the total number of eddies. Although their
417 analysis was based on depth-coordinates and did not specifically focused on new-born eddies, these authors also
418 showed that 40-60% of the sampled eddies do not have statistically significant Θ/S' , in agreement with our
419 results. Other studies also revealed the presence and persistence of subsurface-intensified structures in various
420 parts of the Atlantic Ocean, from eastern to western boundary currents regions and from the north to the south
421 Atlantic subtropical gyres (e.g. Schutte et al., 2016; Garraffo et al., 2003; Assene et al., 2020; Amores et al.,
422 2017; Laxenaire et al., 2020). However, as already discussed by Pegliasco et al. (2015), the high number of
423 subsurface eddies could be influenced by a slight sampling bias in the Argo data. Indeed, Argo floats drift for 10
424 days at a nominal parking depth of 500-1000 m and may therefore be preferentially trapped within subsurface
425 eddies that reach these depths.

426 In terms of tracer transport, our results would suggest that only half of the analyzed eddies (eddies with
427 significant anomalies) might potentially contribute to the heat and salt transport within the basin. However, the
428 efficiency of the eddies to redistribute heat and salt from their formation region strongly depends on the ambient
429 Θ/S characteristics at their dissipation sites and whether or not the transported water-masses exhibit similar
430 properties than the ambient ones. Thus, in order to estimate whether the sampled mesoscale eddies significantly
431 contribute to the Θ/S transport and redistribution in the TAO, we computed the temperature anomalies that these
432 eddies would create at their dissipation site if they advected isopycnally, and without any mixing or
433 modification, the water-mass properties from their region of formation. First, for each sampled eddy, isopycnal

434 Θ' was estimated by removing the mean climatological isopycnal Θ profile at its dissipation site (which
435 corresponds to the last position of the altimeter-derived eddy trajectory) from the isopycnal Θ profile inside the
436 eddy at its birth location. Second, the obtained Θ' profile was compared to the temperature threshold (e.g.
437 [Figure 2](#)) at the dissipation site to determine whether Θ' are significant, and the eddy contribute to the heat and
438 salt transport, or not. Applying this methodology, we show that more than 80% of eddies that are formed in the
439 TAO with non-significant Θ' remain non-significant when they dissipate and do not participate to the heat and
440 salt transport. The remaining $\sim 20\%$ of eddies whose anomalies become significant, and therefore may modify
441 their environment, are distributed throughout the basin without any particular spatial structuring. In contrast,
442 $\sim 80\%$ of subsurface-intensified eddies (independently of warm and cold) at their generation sites are
443 characterized by significant anomalies when they dissipate, suggesting that these eddies play a key role in the
444 heat and salt transports. This fraction is somewhat reduced to 60-65% for surface-intensified eddies. To
445 conclude, these estimates suggest that $\sim 20\%$ of the non-significant and $\sim 80\%$ of the significant new-born eddies,
446 thus representing $\sim 50\%$ of the total sampled eddy population, can significantly impact heat and salt
447 redistributions. It is important to recall that Θ/S anomalies computed in depth-coordinates are largely influenced
448 by the vertical displacement of isopycnal layers and do not reflect the eddy heat and salt contents that participate
449 to the redistribution of tracers. As such, isopycnal coordinates must be considered when evaluating heat/salt
450 transport by eddies.

451 **4.2 Vorticity, PV anomalies and diabatic effects**

452 The vorticity of an eddy is related to its PV anomaly which, as mentioned in Section 1, is determined by
453 diapycnal mixing, frictional effects and adiabatic isopycnal advection of water-masses by large scale currents.
454 Large-scale isopycnal advection and diapycnal mixing lead to the creation of both isopycnal Θ/S and PV
455 anomalies. Conversely, frictional effects, here associated with wind-stress because bottom and lateral frictions
456 play little role in the offshore TAO, modify the PV and contribute to the formation of eddies but with only weak
457 and non-significant tracer anomalies. Vortices whose PV is shaped by diapycnal mixing or isopycnal advection
458 by large scale currents are thus expected to be associated with specific Θ/S and PV anomalies. In order to better

459 understand this process and interpret the significant Θ/S anomalies observed in the TAO eddies, we computed a
460 mean large-scale rescaled PV (see [Morel et al., 2019](#); [Ass  n   et al., 2020](#); [Delpech et al., 2020](#)) as:

$$461 \quad PV = -(\bar{\nabla} U + \bar{f}) \cdot \bar{\nabla} Z(\rho)$$

462 where U is the geostrophic velocity field computed from the World Ocean Atlas Θ/S climatology ([Locarnini et](#)
463 [al., 2018](#); [Zweng et al., 2018](#)) with a level of no motion at 1000 m depth, f is the Earth rotation vector, whose
464 projection on the local vertical axis defines the Coriolis parameter f , and $Z(\rho)$ is the depth as a function of the
465 potential density. The rescaled PV is close to the quasi-geostrophic PV and it scales as a vorticity with a
466 reference value at rest close to f (see [Morel et al., 2019](#); [Ass  n   et al., 2020](#); [Delpech et al., 2020](#)). It also has the
467 same properties as the traditional Ertel PV but for this traditional form, vertical sections are dominated by the
468 signature of the pycnocline, and the dynamical signal associated with isopycnal variations of PV is difficult to
469 identify. This difficulty is overcome by choosing $Z(\rho^*) = z$ for a specific location, where the density profile
470 $\rho^*(z)$ is typical of the stratification of the area and can be taken as a reference to rescale PV. This methodology
471 was applied by [Assene et al. \(2020\)](#) and following them, we choose our reference profile at 27  W – 7.5  N, a
472 dynamically less intense area corresponding also to a lower surface density. [Figure 7a,c](#) shows the distribution
473 of the obtained rescaled PV, averaged within both a near-surface ($\sigma_\theta = 25.75 - 26.5 \text{ kg.m}^{-3}$) and a subsurface layer
474 ($\sigma_\theta = 26.9 - 27.4 \text{ kg.m}^{-3}$). Superimposed to the PV distributions, are also shown the mean Θ contours averaged
475 within the same layers ([Fig. 7a,c](#)). In R1, a reservoir of relatively strong (weak, respectively) positive PV,
476 associated with relatively cold (warm) water is observed on the southern (northern) edge of the thermal front in
477 the surface layer ([Fig. 7a](#)). Thus in this layer, an isopycnal PV advection tends to generate either i) positive PV
478 anomalies associated with negative Θ' , leading to the formation of cold core surface CEs, or ii) negative PV
479 anomalies associated with positive Θ' , leading to the generation of warm-core surface AEs. This PV- Θ
480 relationship is better depicted in [Fig. 7b](#). In contrast, in R2, maximum positive PV are associated with warmer Θ ,
481 suggesting that isopycnal advection tends to generate cold AEs and warm CEs in the surface layer of this region
482 ([Fig. 7a-b](#)). In R3, as shown in [Fig. 7b](#), the PV- Θ relationship is more complex and not strictly monotonic,
483 suggesting that both warm and cold CEs and AEs can be generated by isopycnal advection, although the general
484 tendency is closer to the R2 region (cold AEs and warm CEs). From [Figure 6](#), we effectively observed a higher

485 number of cold AEs in the surface layer in R2 and R3, representing $\sim 66\%$ of the significant surface-intensified
486 eddies. Note, that in the very dynamic surface layer, which is in constant interaction with the atmosphere, other
487 processes can modify the isopycnal Θ/S and PV structures, such as wind-stress, diapycnal mixing, or
488 outcropping of isopycnal layers.

489 PV- Θ relationships were also examined in the subsurface layer where we note a general northward
490 increase of both the PV and Θ (Fig. 7c). This distribution, as well as the main PV- Θ relationship shown in Fig.
491 7d, suggests that large-scale isopycnal advection leads to the formation of cold subsurface AEs and warm
492 subsurface in the TAO. Thus, isopycnal advection likely explains the dominance (65-80% of the subsurface
493 structures) of warm CEs and cold AEs observed from the Argo profiles in R1 and R2 (Fig. 6). To conclude, the
494 most likely mechanism for the generation of PV anomalies of eddies without isopycnal Θ/S signature is
495 friction. Likewise, friction probably also plays a major role in the generation of PV anomalies for vortices
496 exhibiting significant but unstructured Θ/S signature. Note that even a constant wind (with weak Ekman
497 pumping effects) is able to modify PV along a front (Thomas, 2005), a process that has been shown to lead to the
498 destabilization of upwelling currents (Morel et al., 2006), strong modification of Ekman drift (Morel and
499 Thomas, 2009) or the reinforcement of preexisting vortical structures (Holmes et al., 2014).

500 **4.3 Limitations of the study**

501 Diagnostics proposed in this study remain mostly qualitative, but, to our knowledge, it is the first attempt
502 to determine the importance of diabatic effects in the generation of eddies and their associated Θ/S and PV
503 properties from observations. The respective influence of the wind-stress and diapycnal mixing in the formation
504 of surface and subsurface vortices can serve as reference for realistic numerical models, for which diabatic
505 processes are parameterized. Given the reasonable number of eddies sampled in this study, the statistics
506 calculated here are thought to be significant, but could obviously be refined in the future, when more
507 observations become available.

508 Although our classification of significant versus non-significant Θ/S is robust, it is important to point
509 out some limitations of our diagnostics. First, the fact that eddies must be sampled at their generation (± 200 km)
510 sites strongly reduces the number of analyzed eddies. Second, some of Argo profiles classified as outside eddy

511 profiles might have sampled vortices which were not detectable by altimetry, such as within the NBC
512 retroflection or the eastern equatorial Atlantic where numerous subsurface eddies were numerically identified
513 with no surface signal ([Garraffo et 2003](#), [Asséné et al., 2020](#)). The isopycnal Θ climatology, obtained from
514 profiles supposedly acquired outside eddies and used to evaluate eddy anomalies could be slightly spoiled by
515 this effect. However, given the very large number of Argo profiles available, we believe this remains marginal.
516 Likewise, some regions such as equatorial areas, the Gulf of Guinea or the central part of the Southern
517 Hemisphere are characterized by a few number of detected eddies (see [Aguedjou et al., 2019](#)). This compromises
518 the evaluation of robust statistics in such regions. Third, the exact location, relative to the eddy-centers, of Argo
519 floats that surfaced within eddies were not considered when calculating the eddy Θ/S . However, both
520 theoretically and practically, Argo vertical profiles are on average acquired at a distance of 2/3 the eddy radius
521 from the eddy center (e.g. [Chaigneau and Pizarro, 2005](#); [Pegliasco et al., 2015](#)). The mean distribution of Argo
522 floats suggests that Θ/S obtained in our study are more likely representative of the outer eddy structure than the
523 eddy center. Although it may slightly impact our results, eddy cores can generally be considered as
524 homogeneous in Θ/S and the general discussion on the mechanisms involved in the generation of Θ/S , that shape
525 the whole eddy structure from the eddy center to the eddy edge, remains valid.

526 **5. Summary and perspectives**

527 Combining 18 years of satellite altimetry and Θ/S data acquired by Argo floats in the TAO, we first
528 showed that isopycnal Θ/S anomalies can strongly differ from the ones obtained using depth-coordinates.
529 Indeed, although AE (CE, respectively) mostly induced positive (negative) Θ/S anomalies in depth-coordinates,
530 both AE and CE can exhibit positive, negative or non-significant isopycnal Θ/S anomalies. In fact, Θ/S
531 anomalies in depth-coordinates are largely influenced by the vertical displacement of isopycnal layers (see also
532 [Keppler et al., 2018](#)) and do not reflect the heat and salt contents of the eddies that participate to the net transport
533 of tracers in the ocean. We then focused on the vertical structure of eddies close to their generation site, and
534 investigated the proportion and distribution of eddies having significant and non-significant isopycnal
535 temperature anomalies. Our results show that more than half of the total analyzed new-born eddies in the TAO
536 are characterized by non-significant Θ' , underlining the effect of the wind-stress in their generation. In contrast,

537 the second half, composed of 70% of subsurface-intensified eddies, having their maximum anomalies below the
538 pycnocline, and of 30% of near surface-intensified eddies, are likely formed by mixing and/or lateral advection.
539 As a consequence, in terms of tracer transport, our results would suggest that eddies that are generated with a
540 significant Θ/S' mostly contribute to heat and salt transport in the TAO. Indeed $\sim 80\%$ of subsurface-intensified
541 and $\sim 65\%$ of surface-intensified eddies remained with a significant Θ/S' at their dissipation regions. Thus, they
542 can potentially modify the Θ/S properties of their environment when the water initially trapped in their cores is
543 released. In contrast, among eddies that are generated with non-significant Θ/S' , only 20% have shown a
544 significant anomaly at their dissipation sites. Refined diagnostics in three selected subregions in the northern
545 TAO, were proposed. Along the frontal zone and in the northern equatorial subregions, the mean vertical
546 structure of eddies is dominated by the subsurface-intensified eddies (65-75%) with maximum anomalies
547 reaching up to ± 0.5 °C mostly found between $\sigma_\theta = 27$ and 27.2 kg.m^{-3} isopycnal layers. Strikingly, for these
548 subsurface-intensified eddies, $\sim 73\%$ of AEs exhibit a negative maximum anomaly whereas $\sim 65\%$ of CEs
549 maximum anomalies are positive. In the third subregion, within the NBC retroflection, results are questionable
550 because of the reduced number of eddies sampled by Argo profiles at their generation sites.

551 The potential links between Θ/S' and adiabatic or diabatic generation of PV anomalies were also
552 discussed in each subregion. For eddies with significant anomalies intensified in the surface layer, PV- Θ
553 relationships suggest that isopycnal water-mass advection mostly explains the generation of cold core AEs
554 observed in R2 and R3 areas. In contrast, in R1 isopycnal advection would preferentially lead to the generation
555 of cold (warm, respectively) core CEs (AEs). Observations show no preference in Θ/S' anomalies, suggesting
556 that other processes such as wind-stress, diapycnal mixing, or outcropping of isopycnal layers are likely to
557 modify the Θ/S' and PV structure of water-masses. In the subsurface-layer, water-mass advection is also
558 suggested to explain the formation of warm (cold, respectively) core CEs (AEs) especially in R1 and R2, which
559 indeed corresponds to the distribution dominantly observed.

560 Our analysis remains qualitative, but an important result is that the wind-stress is a major source for the
561 generation of PV anomalies and vortices in the TAO given the fraction of eddies formed with non-significant Θ' .

562 This is coherent with recent numerical studies invoking the strong influence of the wind-stress on the generation
563 or reinforcement of vortices (Thomas, 2005; Morel et al, 2006; Holmes et al, 2014; Holmes and Thomas, 2016).
564 It is the first time that such a diagnostic has been obtained from observations. Note that numerical results have
565 also revealed a strong influence of bottom friction (Benthuisen and Thomas, 2012; Gula et al, 2015, 2016;
566 Morvan et al, 2019), but this seems very challenging to confirm with observations since it is difficult to identify
567 Argo profiles associated with newly born deep subsurface vortices, as the latter generally have weak SSH
568 signature.

569 As far as perspectives are concerned, this study proposed new diagnostics (Fig. 6) that can be useful for
570 numerical models. As mentioned above, diabatic processes are parameterized in the models, and thus imperfectly
571 represented. We here argue that it cannot only be problematic for the representation of the water-mass
572 characteristics, but it also strongly influences the PV and vorticity structure of generated eddies. Combined
573 diagnostics involving isopycnal Θ/S and vorticity of eddies is thus challenging for numerical results. In
574 particular, since a major fraction of the observed eddies are associated with non-significant Θ/S anomalies,
575 frictional effects are thought to play a major role in the generation of their PV structure. Parameterizations of
576 frictional effects are very difficult to evaluate and remain one of the Achille's heel of circulation models at
577 mesoscale. The proposed diagnostics can thus be very useful to compare different parameterizations. Further
578 diagnostics based on observations are possible too. First, it would be interesting to combine isopycnal Θ/S
579 structures with trajectories to infer the transport of water-masses from a region to another. It would also be
580 informative to study the long term behavior of specific long-lived eddies, having been sampled at different times
581 by Argo floats, to analyze the evolution of their heat/salt contents. Applying the present general approach to
582 other regions is of course interesting too. In particular, in the energetic area such as the Gulf Stream and
583 Kuroshio or in specific region where wind-stress curl lead to the eddy generation (Canaries, Ierapetra, etc.).
584 Finally, when a significant number of Argo floats will be equipped with biogeochemical sensors (dissolved
585 oxygen, nutrients, chlorophyll-a, pH, ...), it will be very interesting to evaluate if there exists some structuring in
586 the transport of biogeochemical tracers by AEs and CEs, in particular in the TAO.

587

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598 available by the Coriolis project and programs that contribute to it (<http://www.coriolis.eu.org>).

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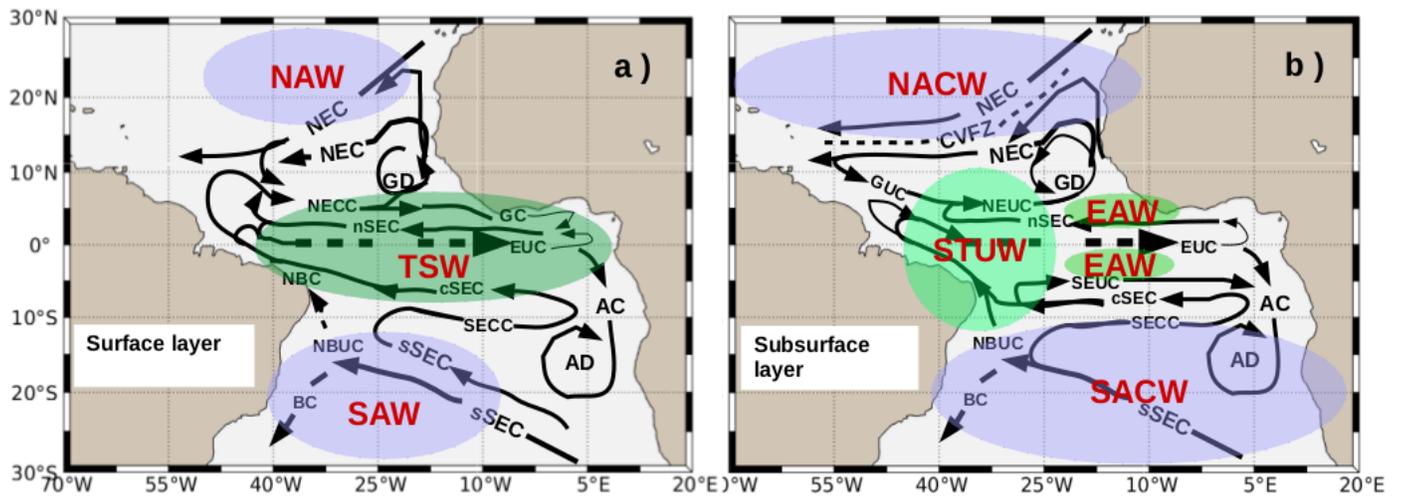
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801 **Figure1:** Schematic view of **a)** surface and **b)** subsurface circulation in the TAO (adapted from Stramma and
 802 Schott, 1999). Main currents are: Brazil Current (BC), North Brazil Current (NBC), North Brazil Undercurrent
 803 (NBUC), North Equatorial Current (NEC), North Equatorial Countercurrent (NECC), northern, central and
 804 southern branches of South Equatorial Current (nSEC, cSEC, sSEC), Guinea Current (GC), Angola Current
 805 (AC), Angola Dome (AD), Guinea Dome (GD), South Equatorial Countercurrent (SECC), Equatorial
 806 Undercurrent (EUC), North Equatorial Undercurrent (NEUC), South Equatorial Undercurrent (SEUC), Guiana
 807 Undercurrent (GUC). Tropical surface water-masses and central water-masses are also indicated: Tropical
 808 Surface water (TSW), Northern, southern and eastern tropical Atlantic water (NAW, SAW, EAW), Subtropical
 809 Underwater (STUW), North and South Atlantic Central Water (NACW, SACW).

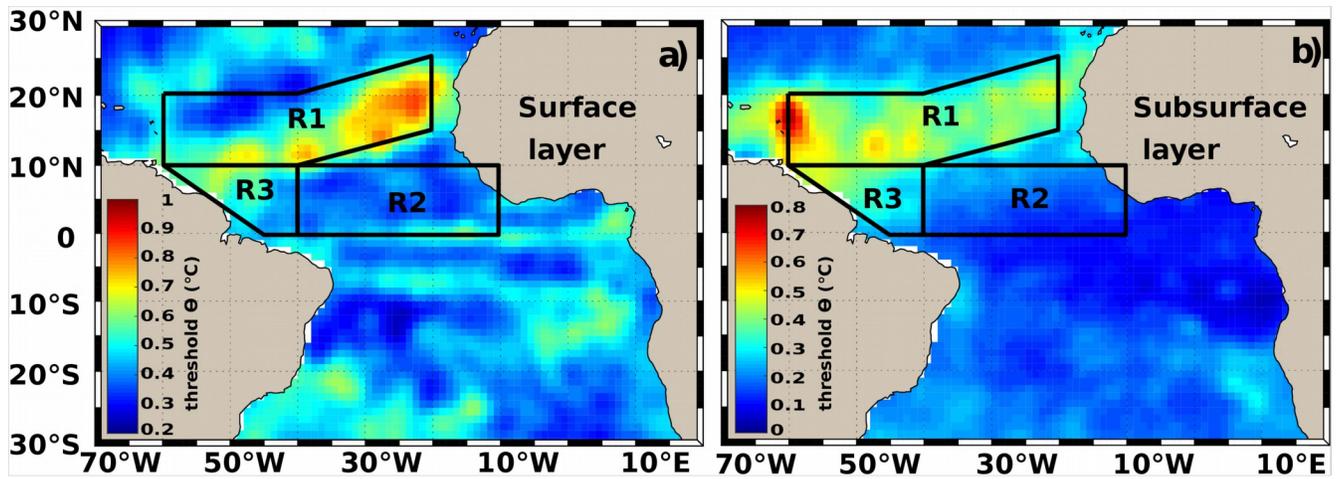
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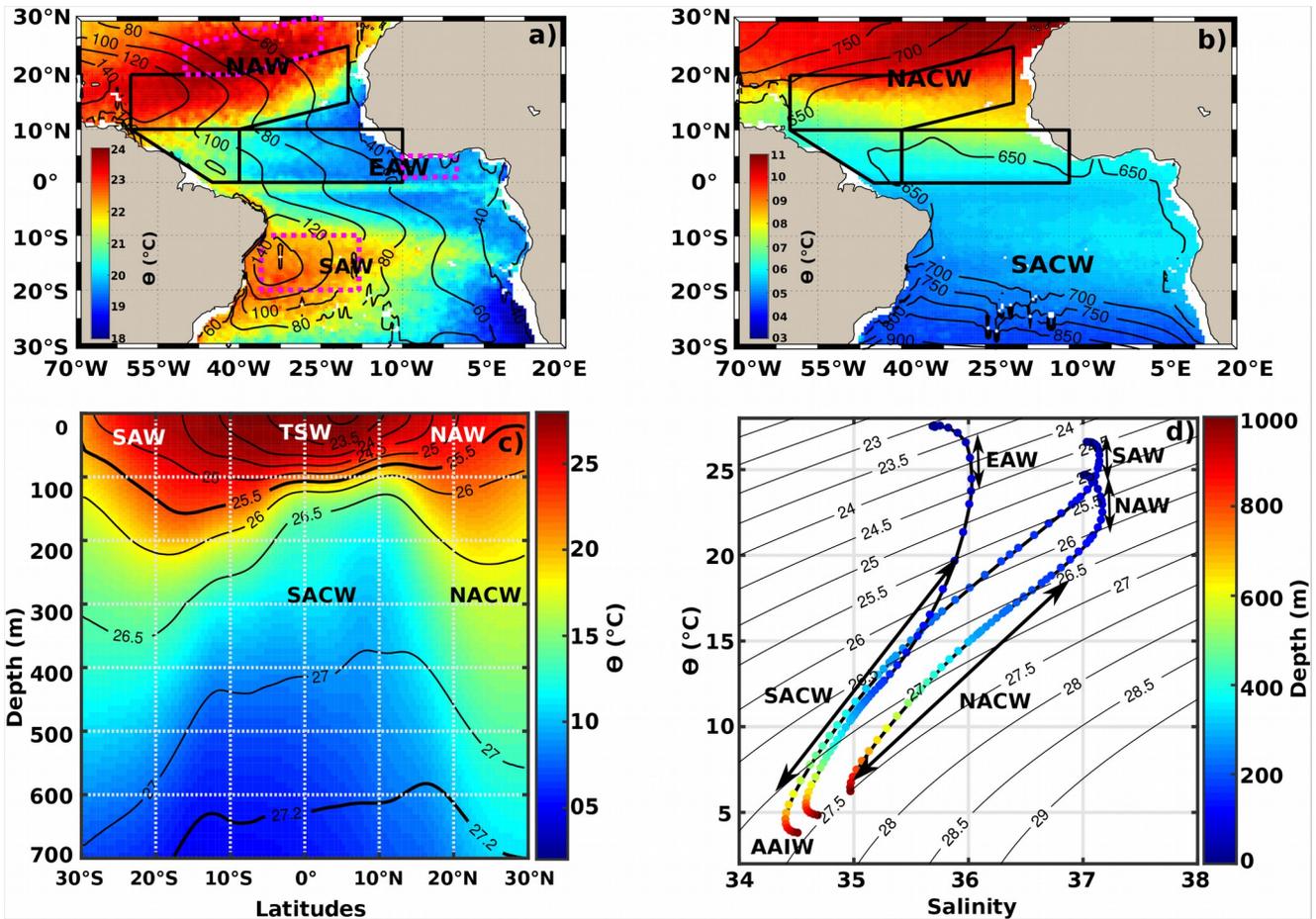
815 **Figure2:** Annual mean of the isopycnal temperature anomaly threshold within the **a)** surface and **b)** subsurface
 816 layers. Three dynamically different subregions (R1, R2, R3) used in this study are delimited in black (see in-text
 817 description of these regions). Surface layer extends from the surface to the base of the pycnocline, whereas
 818 subsurface layer extends below the thermocline to 1000 m depth.

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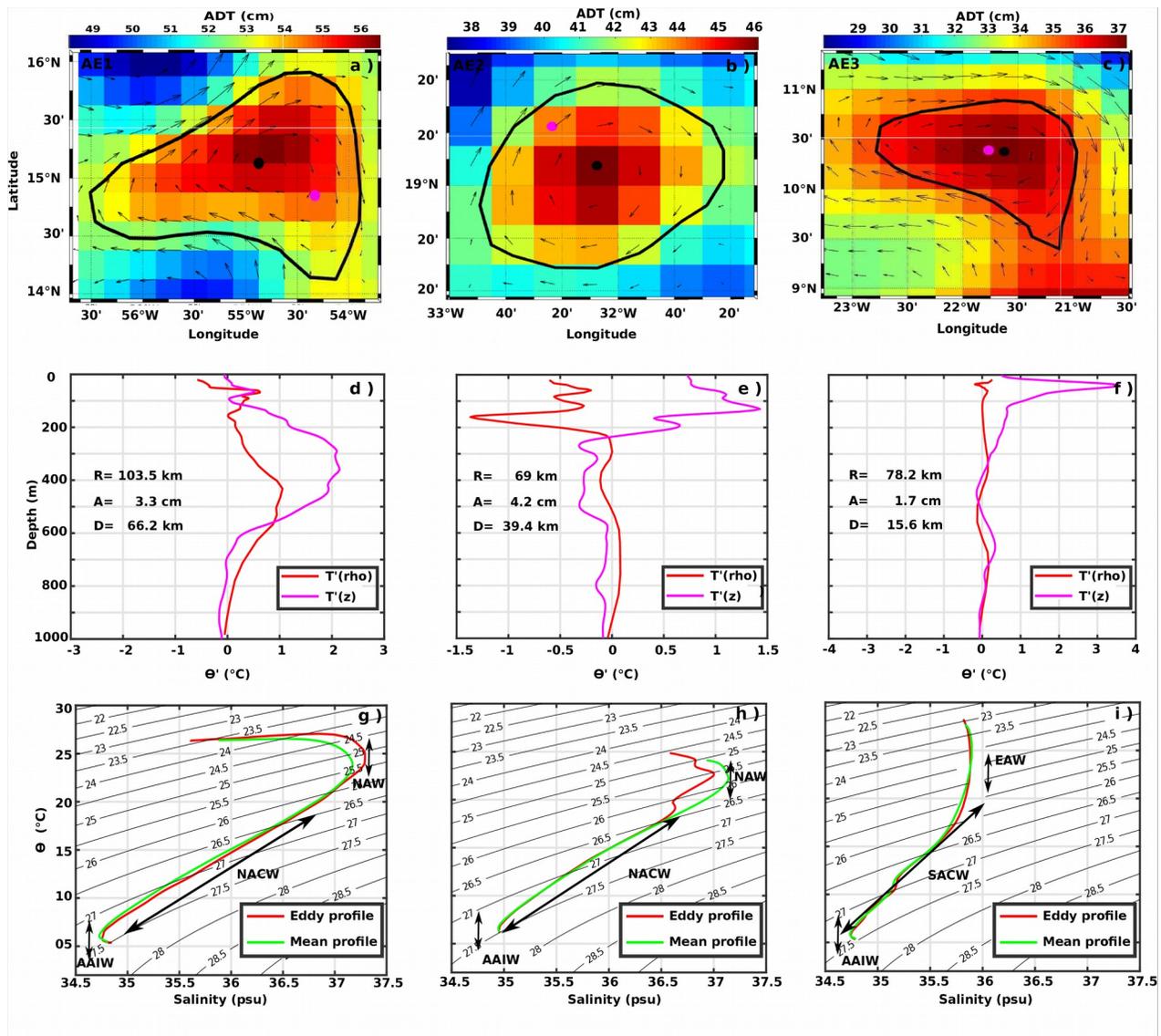


824 **Figure 3:** Large-scale temperature distribution (in °C) on **a**) $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ and **b**) $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$. Black
 825 contours correspond to the depth (in m) of the corresponding σ_θ layer, whereas black boxes delimits the R1-R3
 826 subregions. **c**) Mean meridional temperature section along 35°W; black contours depict σ_θ levels. **d**) Mean Θ -S
 827 diagram and main water-masses observed within the 3 magenta boxes delimited in a]. Water-masses: NAW:
 828 North Atlantic Water; SAW: South Atlantic Water; TSW: Tropical Surface Water; EAW: Eastern Atlantic Water;
 829 NACW: North Atlantic Central Water; SACW: South Atlantic Central Water; AAIW: Antarctic Intermediate
 830 Water.

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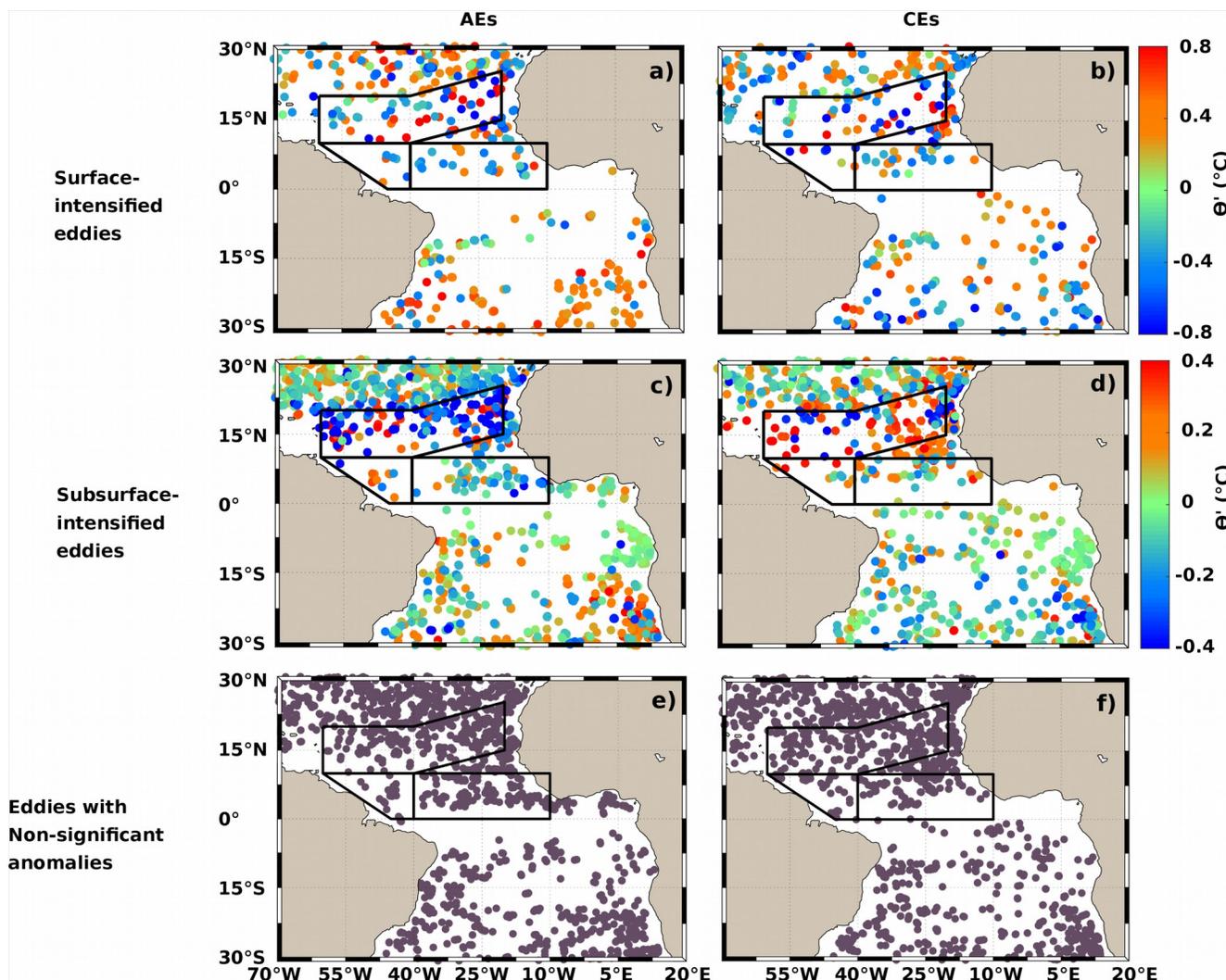
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834 **Figure 4:** Density-coordinate anomalies compared to depth-coordinate anomalies for three case-study
835 anticyclonic eddies. **a-c)** Eddy characteristics in AVISO maps. Black and magenta dots correspond to the eddy
836 centers and the location of surfaced Argo floats, respectively, whereas black contours delimit eddy edges. **d-f)**
837 Temperature anomalies observed within eddies in depth-coordinates (magenta lines) and in density-coordinates
838 (red lines) re-projected on depths. R, A and D indicate the eddy radius, amplitude and the distance of the
839 surfaced Argo float to the eddy center. **g-i)** θ -S diagram obtained within eddies (red lines) and for the mean
840 climatology at the same location (green curves). Water-masses: NAW: North Atlantic Water; EAW: Eastern

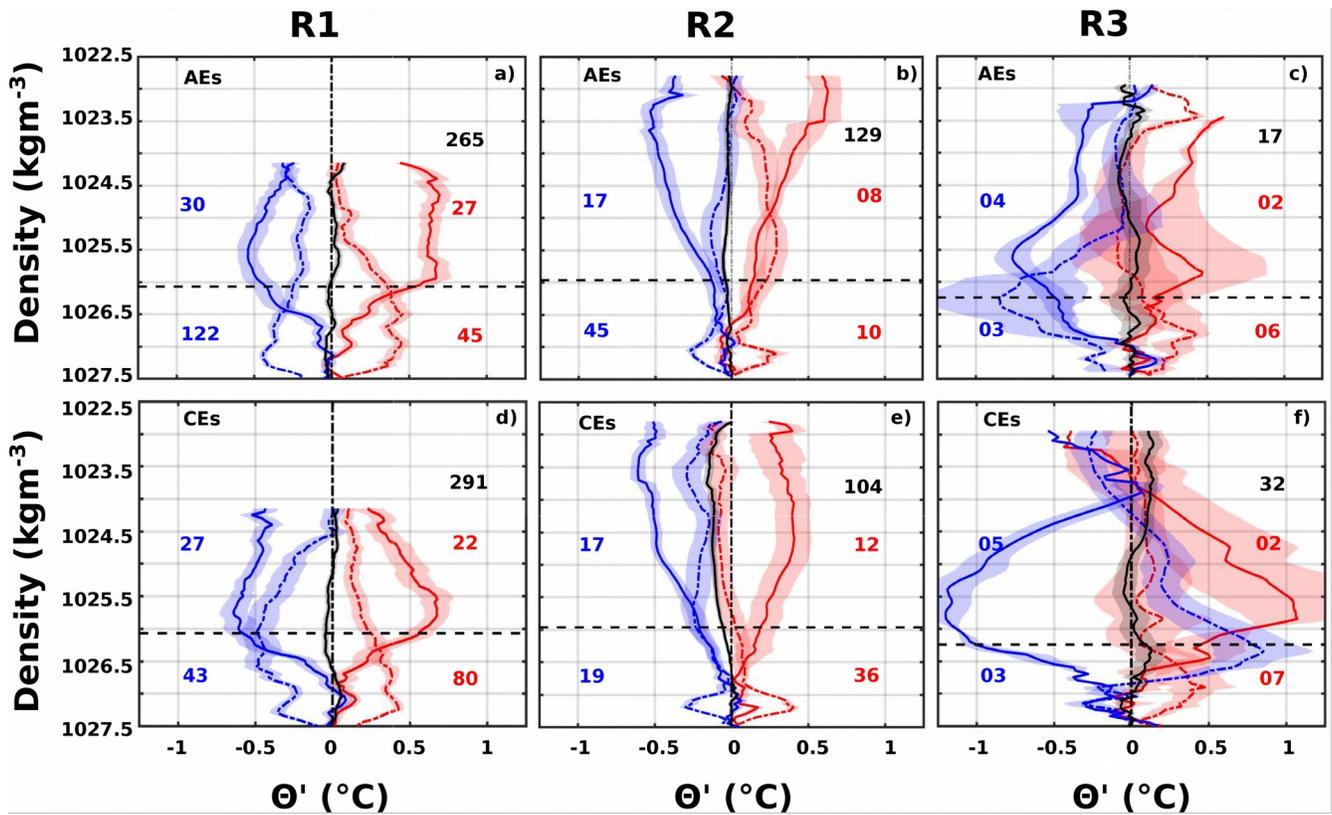
841 Atlantic Water; NACW: North Atlantic Central Water; SACW: South Atlantic Central Water; AAIW: Antarctic
842 Intermediate Water.
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845 **Figure 5:** Spatial distribution of significant **a-b)** surface and **c-d)** subsurface temperature anomalies in
846 anticyclonic (**AEs**, left column) and cyclonic (**CEs**, right column) eddies. **e-f)** Spatial distribution of new-born
847 eddies having non-significant isopycnal anomalies. Black boxes delimit the R1-R3 subregions.

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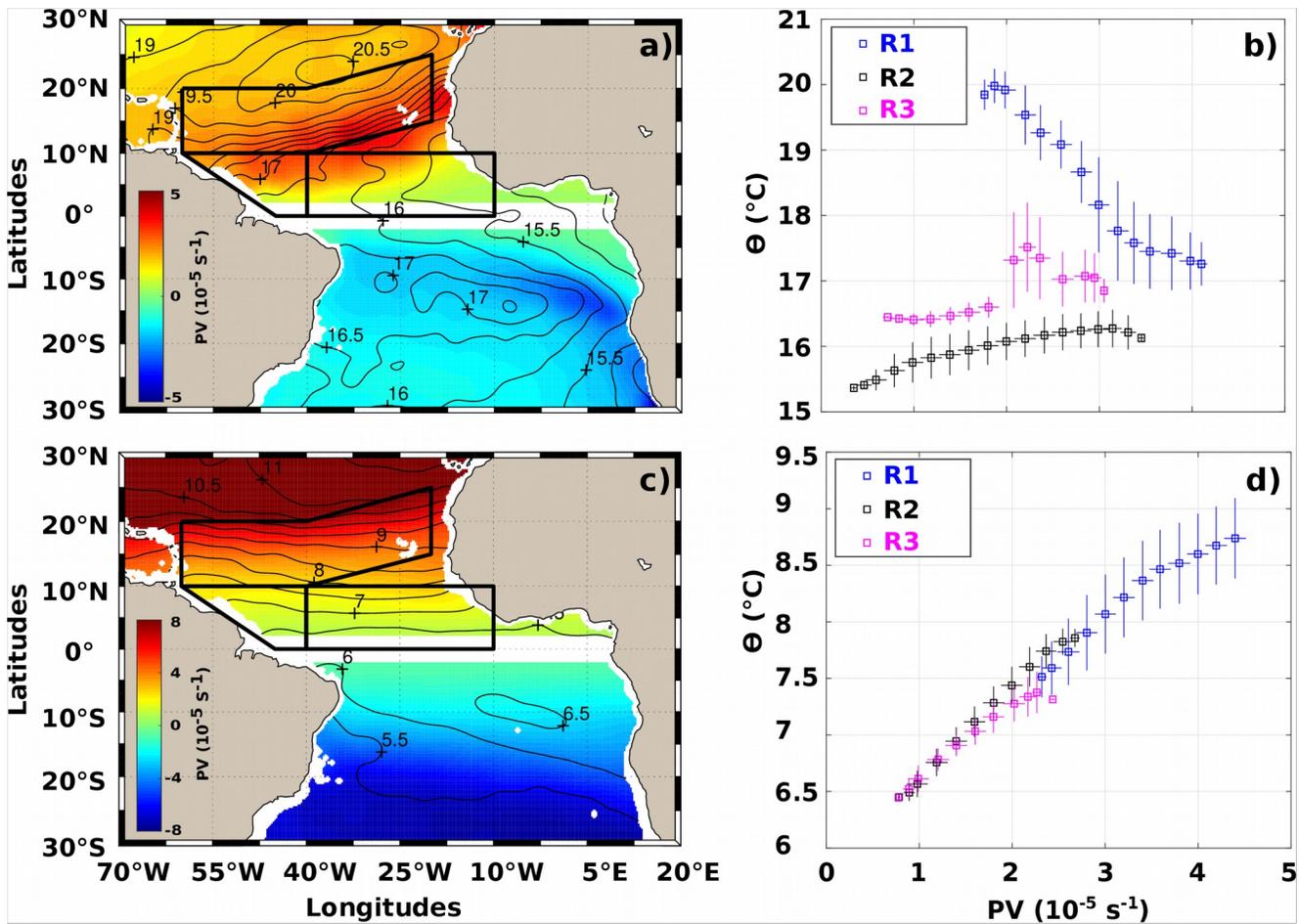
850 **Figure 6:** Mean isopycnal temperature structures of **a-c)** Anticyclonic (AEs) and **d-f)** Cyclonic (CEs) new-born
 851 eddies within 3 sub-regions. Surface and subsurface intensified anomalies are represented in solid and dashed
 852 lines respectively, whereas positive anomalies are in red and negative anomalies in blue. Non-significant
 853 anomalies are represented in black. For each subplot, black numbers indicate the number of eddies having non-
 854 significant Θ' , the right red top (bottom, respectively) numbers indicate the number of surface (subsurface)
 855 intensified-eddies with positive anomalies and the left blue top (bottom, respectively) numbers indicate the
 856 number of surface (subsurface) intensified eddies with negative anomalies. R1-R3 are the defined subregions.

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862 **Figure 7:** Large-scale rescaled potential vorticity (PV, color shading) and Θ (in °C, black contours), averaged
 863 between a) $\sigma_\theta = 25.75 - 26.5 \text{ kg.m}^{-3}$ and c) $\sigma_\theta = 26.9 - 27.4 \text{ kg.m}^{-3}$. Black boxes delimit the R1-R3 subregions. **b**
 864 & **d)** PV- Θ relationships in R1-R3 subregions. PV (and corresponding Θ) were averaged in intervals of $0.2 \cdot 10^{-5}$
 865 s^{-1} (dots) and the corresponding standard deviations are indicated by solid lines.

866 **Table1:** Number of eddies with significant and non-significant anomalies in the TAO. The corresponding percentages are indicated between brackets.
 867

		Northern Hemisphere					Southern Hemisphere				
		Positive anomalies	Negative anomalies	Non-significant anomalies	Ratio significant/ non-significant	Ratio Subsurface/ surface	Positive anomalies	Negative anomalies	Non-significant anomalies	Ratio significant/ non-significant	Ratio Subsurface/ surface
AEs	surface-intensified	151 (6.79%)	131 (5.89%)	1124 (50.52%)	0.98	2.90	130 (11.97%)	28 (2.58%)	474 (43.65%)	1.29	2.87
	Subsurface-intensified	359 (16.13%)	460 (20.67%)				282 (25.97%)	172 (15.84%)			
CEs	surface-intensified	130 (6.90%)	121 (6.43%)	1100 (58.42%)	0.82	2.58	75 (6.78%)	93 (8.41%)	475 (42.95%)	1.33	2.75
	Subsurface-intensified	422 (22.41%)	227 (12.06%)				202 (18.26%)	261 (23.60%)			

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