

# What can we learn from observed temperature and salinity isopycnal anomalies at eddy generation sites?

## Application in the Tropical Atlantic Ocean

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### Key points:

- At their generation site, ~50% of observed eddies have non-significant isopycnal temperature/salinity anomalies in the TAO.
- Anticyclonic and cyclonic eddies having significant isopycnal temperature/salinity anomalies can exhibit both positive and negative anomalies.
- Frictional effects play a major role for eddy potential vorticity anomaly generation in the TAO, followed by isopycnal advection and diapycnal mixing.

28 **Abstract:**

29 Potential vorticity (PV) is a key parameter to analyze the generation and dynamics of oceanic mesoscale  
30 eddies. Adiabatic and diabatic processes can be involved in the generation of localized PV anomalies and  
31 vortices. However, PV is difficult to evaluate at mesoscale. In this study we argue that eddies created by  
32 diapycnal mixing or isopycnal advection of water-masses are associated with PV anomalies and significant  
33 isopycnal temperature/salinity anomalies ( $\Theta'/S'$ ). In contrast, eddies created by friction are associated with PV  
34 anomalies but with non-significant isopycnal  $\Theta'/S'$ . Based on 18 years of satellite altimetry data and vertical  
35  $\Theta/S$  profiles from Argo floats, we analyze the isopycnal  $\Theta'/S'$  within new-born eddies in the tropical Atlantic  
36 Ocean (TAO) and discuss the possible mechanisms involved in their generation. Our results show that on  
37 density-coordinates system, both anticyclonic (AEs) and cyclonic (CEs) eddies can exhibit positive, negative or  
38 non-significant isopycnal  $\Theta'/S'$ . Almost half of the sampled eddies do not have significant  $\Theta'/S'$  at their  
39 generation site, suggesting that frictional effects play a significant role in the generation of their PV anomalies.  
40 The other half of eddies, likely generated by diapycnal mixing or isopycnal advection, exhibits significant  
41 positive or negative anomalies with typical  $\Theta'$  of  $\pm 0.5^\circ\text{C}$ . More than 70% of these significant eddies are  
42 subsurface-intensified, having their cores below the seasonal pycnocline. Refined analyses of the vertical  
43 structure of new-born eddies in three selected subregions of the TAO, show the dominance of cold (warm)  
44 subsurface AEs (CEs) likely due to isopycnal advection of large scale PV and temperature.

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46 Keywords: mesoscale eddies; isopycnal temperature/salinity anomalies; eddy generation mechanisms; potential  
47 vorticity; tropical Atlantic Ocean.

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## 54 **Plain Language Summary**

55        Mesoscale eddies are common features in the global ocean, having typical length scales of 10 to 100 km  
56 and lifespans from days to several weeks. These quasi-circular rotating structures can be formed by several  
57 physical processes, such as surface friction due to wind-stress, or the isopycnal or diapycnal mixing of water  
58 masses having different temperature/salinity properties. In this study, we argue that the main mechanism  
59 involved in the eddy generation can be determined from the knowledge of the temperature/salinity vertical  
60 structure in the eddies close to their generation site. Based on the complementary analysis of satellite and in-situ  
61 data in the tropical Atlantic Ocean, we show that 50% of the eddies do not present significant isopycnal  
62 temperature anomalies and are thus likely formed by frictional effects. The major part of these eddies do not  
63 participate to heat and salt transports. In contrast, the remaining 50% of eddies present significant isopycnal  
64 temperature anomalies and are likely formed by diapycnal mixing or advection of specific water-masses into a  
65 different background (through instability or other adiabatic processes). These eddies, that are mainly intensified  
66 in subsurface layers, likely conserve their initial anomalies and can transport heat and salt from their formation  
67 region.

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## 1. Introduction

The Tropical Atlantic Ocean (TAO) is a key region for the inter-hemispheric exchange of heat, salt and mass by thermohaline circulation, large-scale currents and mesoscale eddies (e.g. [Thomas and Zhai, 2013](#); [Saenko et al., 2018](#)). In the TAO, the upper ocean circulation is mainly composed of i) equatorial limbs of the North and South Atlantic anticyclonic subtropical gyres, ii) zonal equatorial currents and iii) near-coastal current systems ([Fig. 1](#)).

In the surface layer of the North and South Atlantic subtropical gyres, excess of evaporation over precipitation leads to the formation of relatively salty North and South Atlantic Waters (NAW and SAW, respectively; [Fig. 1a](#)) ([Tsuchiya et al., 1992](#); [Stramma and Schott, 1999](#); [Bourlès et al., 1999a](#) ; [Stramma et al., 2005a-b](#)). These water-masses have typical maximum salinities exceeding 37 in their formation region with densities of  $\sim 25.0 - 25.5 \text{ kg.m}^{-3}$  ([Bourlès et al., 1999a](#); [Stramma and Schott, 1999](#); [Kirchner et al. 2009](#)). In contrast, in the surface layer of the equatorial Atlantic, the excess of precipitations associated with the atmospheric inter-tropical convergence zone leads to the formation of the relatively warm and fresh Tropical Surface Water (TSW; [Fig. 1a](#)) (e.g. [Tsuchiya et al., 1992](#); [Tomczak and Godfrey, 1994](#); [Stramma et al., 2005b](#)). With typical densities lower than  $24.5 \text{ kg.m}^{-3}$ , TSW extends within the mixed layer of the TAO (e.g. [Stramma and Schott, 1999](#) ; [Stramma et al., 2005a-b](#)).

A fraction of NAW and SAW subducts from the mixed-layer into subsurface layers during winter to form North and South Atlantic Central Waters (NACW and SACW, respectively; [Fig 1b](#)) in the subtropical convergence zones around  $\pm 30-40^\circ$  of latitude (e.g. [Emery, 2003](#); [Liu and Tanhua., 2019](#)). This transport is mostly ensured by the large scale circulation forced by the Ekman wind-driven circulation that advects NACW and SACW equatorward along isopycnal surfaces in the main thermocline ( $\sim 100-500 \text{ m}$ ) (e.g. [Sprintall and Tomczak, 1993](#); [Tomczak and Godfrey, 1994](#)). The eastern part of these central water-masses can also be distinguished from their western part based on higher salinity especially in the northern TAO (e.g. [Emery, 2003](#); [Liu and Tanhua., 2019](#)). These central water-masses, that are characterized by a linear temperature-salinity ( $\Theta$ - $S$ ) relationship in the density range  $\sigma_\theta \sim 25.8 - 27.1 \text{ kg.m}^{-3}$ , are connected at around  $15^\circ\text{N}$  ([Sverdrup et al. 1942](#); [Emery and Meincke, 1986](#); [Stramma and Schott, 1999](#); [Stramma et al., 2005b](#)). Another fraction of NAW and

SAW is advected west-equatorward by the North Equatorial Current (NEC) and the southern South Equatorial Current (sSEC), respectively (Fig. 1a). In the equatorial region these water-masses subduct and spread below the lighter TSW forming a subsurface salinity maximum in the upper thermocline, known as Subtropical Underwater (STUW; Fig 1b). STUW spreads within the density range  $\sigma_\theta \sim 24.5\text{-}26.3 \text{ kg.m}^{-3}$  (Snowden and Molinari, 2003; Tsuchiya et al., 1992; Stramma and Schott, 1999; Stramma et al., 2005b). In the upper thermocline ( $\sigma_\theta \sim 24 - 24.5 \text{ kg.m}^{-3}$ ) of the eastern TAO, another subsurface salinity maximum water-mass is observed, but noticeably fresher than STUW (Wilson et al., 1994; Boulrès et al., 1999a; Urbano et al. 2008; Kirchner et al. 2009). This water-mass, known as East Atlantic Water (EAW, e.g. Boulrès et al., 1999a) is advected westward across the TAO by the northern SEC (nSEC; Fig 1b). In the western TAO, the observed subsurface salinity maximum in the upper-thermocline results from the advection, and mixing of the NAW, SAW and EAW by the complex circulation (Fig. 1a-b) (Boulrès et al. 2009a; Urbano et al., 2008; Kirchner et al. 2009). Below the base of the pycnocline ( $\sigma_\theta > 26.0 \text{ kg.m}^{-3}$ ), water-masses present  $\Theta$ -S properties close to the Atlantic Subarctic Intermediate Water (ASIW) in the Northern TAO and Antarctic Intermediate Water (AAIW) in the Southern TAO (e.g. Emery, 2003).

Although the relatively complex large-scale circulation shown in Fig. 1 is important for the redistribution of water-masses, mesoscale eddies are also known to play a key role in the transfer and redistribution of energy, heat, salt and physical/biogeochemical properties from their generation regions to their dissipation sites (Chaigneau et al., 2011; Gaube et al., 2014; McGillicuddy 2016). These quasi-circular structures are ubiquitous in the TAO. They have typical radii of 30–100 km (Aguedjou et al., 2019) and can modulate ocean-atmosphere fluxes and thus the upper-ocean water-mass characteristics (Frenger et al., 2013; Villas Bôas et al., 2015; Renault et al., 2019; Seo et al., 2016; Foussard et al., 2019). They contribute to the mixing and redistribution of water-masses through several mechanisms such as eddy horizontal stirring, eddy-induced upwelling/downwelling, subduction or trapping and self-advection over long distances across the basin (McWilliams and Flierl, 1979; Herbette et al, 2004; Chelton et al., 2011), being able to connect eastern and western boundaries (e.g., Laxenaire et al., 2018). One final objective of this study is to determine which fraction of eddies could efficiently contribute to the potential transport and redistribution of heat and salt anomalies from

132 their region of formation to their dissipation site. An important point, further discussed below, is that transport by  
133 eddies is mostly adiabatic and along isopycnal surfaces. Contrarily to what has been commonly done in previous  
134 studies, it is thus important to evaluate temperature and salinity anomalies associated with eddies along  
135 isopycnal surfaces instead of considering isobaric (or iso-depth) levels.

136         The vorticity of an eddy in geostrophic equilibrium is proportional to its isopycnal potential vorticity  
137 (PV) anomaly ([Hoskins, 1985](#); [Morel and McWilliams 1997](#); [Herbette et al., 2003](#)), which is a key quantity to  
138 analyze the formation and dynamics of eddies. PV is a conservative property for fluid particles in adiabatic  
139 evolution and many studies have shown how vortices can be formed by the displacement of particles in a  
140 background PV gradient. For instance, the formation of eddies by barotropic and/or baroclinic instabilities of  
141 mean currents is related to the existence of isopycnal PV gradient of opposite signs and can be interpreted as the  
142 result of the creation of opposite sign PV anomalies or dipolar vortical structures ([Charney and Stern, 1962](#);  
143 [Morel and McWilliams, 2001](#)). Meridional advection on the planetary beta-plane ([Wang, 2005](#)), interaction of  
144 currents with seamounts, islands, or continental shelves ([Aristégui et al., 1994](#); [Herbette et al, 2004](#)) can also be  
145 interpreted as creation of PV anomalies by adiabatic advection of particles. These processes are thought to play a  
146 significant role in the formation of mesoscale eddies at least in some regions of the TAO, east of the North Brazil  
147 Current (NBC) retroflection (e.g. [Aguedjou et al., 2019](#)). Some recent studies have shown that diabatic processes  
148 could also lead to the generation of PV anomalies and vortices. Indeed, theoretical and numerical studies have  
149 shown that diapycnal mixing ([Haynes & McIntyre, 1987](#); [Morel and McWilliams, 2001](#)) and frictional effects,  
150 associated with lateral viscous layers ([D’Asaro, 1988](#); [Morel and McWilliams 2001](#); [Akueteve and Wirth, 2015](#)),  
151 the wind ([Thomas, 2005](#); [Morel et al, 2006](#); [Holmes et al, 2014](#); [Holmes and Thomas, 2016](#)) or with the bottom  
152 boundary layer ([Benthuyssen and Thomas, 2012](#); [Gula et al, 2015 & 2016](#); [Morvan et al, 2019](#)), are all efficient  
153 mechanisms to modify PV and create vortical structures ([Morel et al, 2019](#); [Assene et al, 2020](#)).

154         The origin of the processes (adiabatic, frictional or diapycnal mixing) involved in the generation of PV  
155 anomalies and vortices remains to be evaluated in nature. This is another key objective of the present study,  
156 which is very challenging, since the calculation of PV and its evolution require a three-dimensional description  
157 of currents and stratification. However, in the present study, we propose to derive qualitative information arguing

158 that diapycnal mixing or isopycnal advection leads to PV anomalies with significant isopycnal  $\Theta/S$  anomalies  
159 (e.g. [Assene et al, 2020](#)), in contrast to frictional effects which are expected to create PV anomalies but without  
160 significant isopycnal  $\Theta/S$  anomalies.

161 In this study, we thus propose to combine in-situ  $\Theta/S$  measurements with satellite altimetry data to  
162 estimate the isopycnal  $\Theta/S$  anomalies of eddy cores at their generation sites in the TAO. In Section 2 we  
163 describe the datasets and methods used to characterize the  $\Theta/S$  anomalies inside eddies. In Section 3 we first  
164 present the  $\Theta/S$  characteristics of the large-scale water-masses over selected isopycnal levels. Second, we show  
165 case studies of  $\Theta/S$  anomalies inside individual eddies to illustrate that  $\Theta/S$  anomalies computed from isopycnal  
166 levels can strongly differ from the ones obtained along isobaric levels. Third, we characterize the  $\Theta/S$  isopycnal  
167 structure of eddies in the entire TAO and focus in some particular areas of the northern TAO. These diagnostics  
168 help i) to estimate the fraction of eddies that can participate to the trapping and redistribution of heat and salt in  
169 the TAO and ii) to depict the mean isopycnal  $\Theta/S$  anomalies in surface and subsurface intensified eddies.  
170 Finally, the possibility to infer where diapycnal mixing, isopycnal advection and/or frictional effects could play  
171 a significant role in their generation processes is discussed in Section 4 as well as the coherency of this  
172 information with the known dynamical features of the TAO.

## 173 2. Data and methods

### 174 2.1 Altimetry data and eddy tracking

175 Mesoscale eddies are identified and tracked in the TAO from daily maps of the Salto/Duacs Absolute  
176 Dynamic Topography (ADT) gridded product. This multimission satellite altimetry product, was optimally  
177 interpolated onto a  $0.25^\circ \times 0.25^\circ$  longitude/latitude daily grid ([Ducet et al., 2000](#); [Le Traon et al., 1998](#);  
178 [Duacs/AVISO+, 2018](#); [Pujol et al., 2016](#)) and is freely distributed by the Copernicus Marine Environment  
179 Monitoring Service (<http://marine.copernicus.eu/>).

180 Eddies were identified from January 2000 to December 2017, using the widely used algorithm  
181 developed by [Chaigneau et al. \(2008; 2009\)](#). An eddy is identified by its center, corresponding to a local  
182 extremum in Absolute Dynamic Topography (ADT), being maximum for anticyclonic eddies (AE) and minimum

183 for cyclonic eddies (CE), and its external edge which corresponds to the outermost closed ADT contour around  
184 each detected eddy center. Eddy trajectories are constructed according to their polarity (cyclonic or anticyclonic)  
185 using the algorithm developed by Pegliasco et al. (2015). Briefly, this algorithm considers as part of the same  
186 trajectory, overlapping eddies with the same polarity detected at time  $t$  and  $t + 1$  day. If several eddies overlap, a  
187 cost function is computed to determine the most similar eddy at the time  $t + 1$  day. When no overlapping eddy is  
188 found neither at time  $t + 1$  day nor  $t + 2$  days, the trajectory is stopped and the eddy is considered as dissipated.  
189 As in Aguedjou et al. (2019), in order to consider only long-lived and coherent structures, we retained eddies  
190 lasting more than 30 days and having amplitudes and radii greater than 1 cm and 30 km, respectively. A total of  
191 ~7800 long-lived AE and ~8100 long-lived CE were detected in the TAO between 2000 and 2017. Readers  
192 interested in more detail regarding the main characteristics and seasonality of these eddies are invited to refer to  
193 Aguedjou et al. (2019).

## 194 2.2 Argo data

195 The vertical/isopycnal structure of mesoscale eddies is investigated using  $\Theta/S$  profiles acquired by Argo  
196 floats in the TAO during the 2000-2017 period. These data were collected and made freely available by the  
197 Coriolis project and programs that contribute to it (<http://www.coriolis.eu.org>). Only profiles flagged as “good”  
198 were retained for our analysis. After an additional rigorous quality control (see supplementary material), retained  
199 vertical profiles were classified into three categories depending on whether Argo floats surfaced within AEs or  
200 CEs (detected from altimetry) or outside eddies. In our study we only considered  $\Theta/S$  data between the surface  
201 ( $\sigma_\theta < 23.5 \text{ kg.m}^{-3}$  in the TAO) and 1000 m depth ( $\sigma_\theta \sim 27.5 \text{ kg.m}^{-3}$  in the TAO). In the TAO, a total of ~115000  
202  $\Theta/S$  Argo profiles, representing ~80% of the initial dataset passed the quality control procedure, among which  
203 ~14500 (12.6%) surfaced within AEs and ~15300 (13.3 %) surfaced within CEs. Long-lived vortices detected by  
204 altimetry can thus be sampled several times by similar or different Argo floats at different phases of their  
205 evolution.

## 206 2.3 Isopycnal temperature anomalies at eddy generation sites



207 In order to investigate the isopycnal structure of eddies at their generation sites and estimate the  
 208 mechanisms involved in their generation, depth-dependent  $\Theta/S$  profiles were first projected onto density-  
 209 coordinates (here density refers to potential density referenced to the sea-surface). Second, isopycnal  
 210 temperature and salinity anomalies ( $\Theta'$  and  $S'$ , respectively) were inferred for each profile by removing a local  
 211 climatological profile representative of the large-scale background (e.g. [Chaigneau et al., 2011](#); [Pegliasco et al.,](#)  
 212 [2015](#)), also computed on density-coordinates. The local climatological profiles ( $\bar{P}$ ) were obtained by  
 213 weighted arithmetic means of all the available profiles ( $P_i$ ) acquired outside eddies, within a radius of 200 km  
 214 and separated by less than  $\pm 30$  days (independently of the year) from the date of the considered profile (see  
 215 supplementary materials). Third, we only retained profiles acquired in AEs or CEs close to their generation  
 216 (within a radius of 200 km from their generation site identified from altimetry). Vortices were then further  
 217 classified into three main categories, depending on whether isopycnal temperature anomalies were i) significant  
 218 (positive or negative) in the surface layer, extending from the surface to the base of the pycnocline, ii) significant  
 219 (positive or negative) in the subsurface layer below the base of the pycnocline, or iii) not significant neither  
 220 above nor below the pycnocline (see supplementary materials). The corresponding eddies are then qualified as  
 221 surface intensified, subsurface intensified or eddies with non-significant anomalies, respectively. Eddies having  
 222 both surface and subsurface significant anomalies are considered as subsurface intensified.

223 In order to determine whether  $\Theta'/S'$  are significant or not, an isopycnal temperature anomaly threshold  
 224 was defined in a  $1^\circ \times 1^\circ$  longitude/latitude grid at seasonal scale, from Argo profiles that surfaced outside eddies  
 225 (see supplementary material). [Fig. 2a-b](#) show the annual mean of temperature anomaly thresholds for the surface  
 226 and subsurface layers, respectively. In general, a given temperature anomaly profile is significant within the  
 227 surface layer when the square root of its quadratic mean values (see Eq. E4 in supplementary material) integrated  
 228 over the surface layer is greater than  $0.2\text{--}0.5^\circ\text{C}$ , except for some regions such as the frontal zone separating  
 229 NAW from TSW, where  $\Theta'$  threshold reaches up to  $0.8^\circ\text{C}$  ([Fig. 2a](#)). In contrast, threshold values of  $\Theta'$  within the  
 230 subsurface layer are much lower and on average less than  $0.3^\circ\text{C}$ . However, around the frontal zone along which  
 231 the NEC is flowing, high threshold values are still noticed reaching up to  $0.8^\circ\text{C}$  ([Fig. 2b](#)). For a given density,  $\Theta'$

232 and  $S'$  are proportional and of the same sign, so that isopycnal maps of  $\Theta'$  or  $S'$  are similar by definition. We thus  
233 hereinafter only focus on  $\Theta'$  along isopycnal levels.

### 234 3. Results

#### 235 3.1 Large-scale distribution of isopycnal temperature in the TAO

236 In order to better understand the eddy signature on  $\Theta$  along isopycnal surfaces, we first briefly depict the  
237 large-scale water-mass temperature distribution in the TAO along two isopycnal levels. As such, [Fig. 3](#) presents  
238 the mean  $\Theta$  on  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$  and  $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$  levels, obtained from Argo floats that surfaced outside  
239 eddies. On the shallower/lighter density-level, we can observe the noticeable warmer areas located within the  
240 subtropical gyres where NAW and SAW are located ([Fig. 3a,d](#)). On  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$ , these water-masses have  
241 typical temperatures of  $24^\circ\text{C}$  and  $22.5^\circ\text{C}$ , respectively ([Fig. 3a,d](#)). The along-isopycnal  $\Theta$  decreases equatorward  
242 from the gyre centers. In the eastern TAO, where EAW is originated,  $\Theta$  decreases to  $\sim 20^\circ\text{C}$  and  $S$  is lower than  
243 36 (see also on [Fig. 3d](#)). Along the equator a relative warm core ( $\Theta \sim 22^\circ\text{C}$ ) water-mass is advected eastward by  
244 the EUC (see [Fig. 1](#) and [Fig. 3a](#)). The temperature of this water-mass, also characterized by relatively high  
245 salinities (not shown but see, e.g. [Hormann and Brandt, 2007](#); [Kolodziejczyk et al., 2009](#) [Da-Allada et al., 2017](#)),  
246 slightly decreases eastward. Finally, in the eastern boundary upwelling systems (Canary and Benguela), the  
247 mean  $\Theta$  is lower than  $18^\circ\text{C}$  on  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$  due to the presence of the wind-forced coastal upwelling ([Fig.](#)  
248 [3a](#)). The  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$  isopycnal layer deepens from  $\sim 40 \text{ m}$  in the eastern TAO to  $\sim 140 \text{ m}$  in the western TAO  
249 at latitudes of  $\pm 20^\circ$  (black lines in [Fig. 3a](#)). The westward deepening of this isopycnal layer, which is associated  
250 with the lower part of the thermocline/pycnocline, is reduced along the equator where its depth varies from  $50 \text{ m}$   
251 in the Gulf of Guinea to  $\sim 100 \text{ m}$  off Brazil.

252 On the  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$  ( $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$ , respectively) density layer,  $\Theta$  distribution shows a strong  
253 isopycnal  $\Theta$  front that separates the warmer and saltier NAW (NACW) from the colder and fresher EAW  
254 (SACW) and extends across the basin. East of  $\sim 30^\circ\text{W}$ , this front is known as the Cape Verde Frontal Zone  
255 (CVFZ) ([Zenk et al; 1990](#); [Pérez-Rodríguez and Marrero-Díaz, 2001](#); [Martínez-Marrero et al., 2008](#); [Tiedemann](#)  
256 [et al., 2018](#)), which is an active area of water-mass exchange associated with the formation of mesoscale eddies

257 (e.g. Dadou et al., 1996; Schütte et al., 2016). However, we hereafter simply refer to the frontal zone to indicate  
 258 the connection area between NACW and SACW. The NEC flows along this frontal zone, which is oriented  
 259 southwestward from 20°N in the eastern TAO to 10°N in the western TAO (see also Fig. 1). This front is clearly  
 260 visible down to  $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$  (Fig. 3b) where it is more diffuse and the strongest  $\Theta$  gradients are observed  
 261 southward, between 10°N and the equator. At this density level, the frontal zone is much more zonal, and NACW  
 262 and SACW have typical temperatures of 10-11°C and 5-6°C, respectively (Fig. 3b,d). The mean depth of this  
 263 isopycnal level is of ~650 m in a large part of the TAO (black lines in Fig. 3b), and deepens to 750-900 m  
 264 poleward of latitudes  $\pm 20^\circ$ .

265 Figure 3c presents the meridional  $\Theta$  section at 35°W. Warmer waters with  $\Theta$  greater than 20°C are  
 266 located above the  $\sigma_\theta = 26.0 \text{ kg.m}^{-3}$  isopycnal layer and are associated with the previously described NAW, SAW  
 267 and TSW. TSW is the warmest water-mass, with  $\Theta$  reaching 28°C around the equator, but exhibits the lowest  
 268 surface salinity of 35.5-36 (not shown) due to the excess of precipitation to evaporation in this area. Below the  $\sigma_\theta$   
 269 =  $26.0 \text{ kg.m}^{-3}$  density layer, are located the distinguishable warmer NACW and cooler SACW, separated by the  
 270  $\Theta$  front that becomes more diffuse below  $\sigma_\theta = 27.0 \text{ kg.m}^{-3}$ .

271  $\Theta/S$  diagrams and the main water-mass characteristics found in the TAO are depicted in Figure 3d. They  
 272 were constructed from Argo float that surfaced outside eddies within the northern, southern and eastern parts of  
 273 the TAO (see boxes delimited in magenta in Fig 3.a). This Figure confirms that TSW is much fresher and lighter  
 274 than NAW or SAW and that NACW is warmer and saltier than SACW along isopycnal levels.

### 275 3.2 Case studies of iso-depth versus isopycnal temperature anomalies in mesoscale eddies

276 Estimates of  $\Theta$  and  $S$  anomalies within an eddy can strongly differ whether we use a depth-coordinate  
 277 system or a density-coordinate system. In order to better familiarize the reader with this concept, and to help the  
 278 interpretation of the results described in the following Sections, we here describe temperature anomalies  
 279 observed in three individual mesoscale anticyclonic eddies at their generation sites, using both the depth- and  
 280 density-coordinate systems. These three eddies (AE1, AE2 and AE3, respectively), detected by their ADT  
 281 signature, were sampled by Argo floats in the western (AE1, Fig. 4a), central (AE2, Fig. 4b) and eastern parts

282 (AE3, Fig. 4c) of the northern TAO. Their centers are approximately located at (55°W;15°N), (32°W-19°N) and  
283 (22°W-10°N), respectively. They have typical amplitudes of 3.3 cm, 4.2 cm, and 1.7 cm, and associated radii of  
284 ~100 km, ~70 km and ~80 km, respectively. Their eddy kinetic energy was relatively similar, varying between  
285 85 and 100 cm<sup>2</sup> s<sup>-2</sup>, a typical range for the northern TAO (Aguedjou et al., 2019), and their mean vorticity was of  
286  $4.3 \times 10^{-5} \text{ s}^{-1}$ ,  $5.1 \times 10^{-5} \text{ s}^{-1}$  and  $2.2 \times 10^{-5} \text{ s}^{-1}$  respectively.

287 Although the 3 eddies were sampled by Argo floats within their core, AE1 and AE2 were sampled  
288 relatively close to their edge (Fig. 4a-b), whereas A3 was sampled in the vicinity of its eddy center (Fig. 4c).  
289 Temperature anomalies observed using a depth-coordinate system are positive for the 3 case-study eddies (Fig.  
290 4d-f, magenta lines), as expected for AEs, except for A2 whose anomaly is slightly negative below 200 m.  
291 Indeed, in depth-coordinates, due to the isopycnal depression (heaving, respectively) occurring inside surface-  
292 intensified AEs (CEs), they induce positive (negative) anomalies (e.g. Assassi et al., 2016; Keppler et al., 2018).  
293 AE1, has a maximum temperature anomaly of 3.5°C centered at 400 m depth and is likely a subsurface  
294 intensified eddy with a core extending from 100 m to 600 m depth (Fig. 4d). When eddy temperature anomalies  
295 are first computed in density-coordinates and then re-projected on depth-levels, the maximum  $\Theta'$  is of ~1°C and  
296 rather observed along  $\sigma_\theta \sim 27.1 - 27.2 \text{ kg.m}^{-3}$  isopycnal levels located between 400 and 600 m depth (Fig. 4d,  
297 red line) thus confirming that A1 is subsurface-intensified. Fig. 4g compares the  $\Theta/S$  diagrams for the Argo  
298 profile acquired inside the vortex (red) and the corresponding climatological profile obtained from profiles  
299 outside eddies (green). It can be misleading since there exists strong discrepancies between both profiles in low  
300 density ranges ( $\sigma_\theta = 24.0\text{-}25.5 \text{ kg.m}^{-3}$ ) (Fig. 4d). However, given the curvatures of isopycnal lines and of the  
301 vertical profiles, the strongest isopycnal eddy  $\Theta/S$  anomalies are obtained in the subsurface layer containing  
302 NACW. Thus, for this particular AE1 case-study, temperature anomalies are located in subsurface and of the  
303 same sign (positive) for both coordinate systems.

304 In AE2, temperature anomalies computed in depth-coordinates are positive between the surface and 250  
305 m depth, and slightly negative below 250 m (magenta line in Fig. 4e). The maximum anomaly is of ~1.5°C at  
306 ~150 m depth, thus AE2 is likely surface intensified. However, when computing  $\Theta'$  in density-coordinates, AE2  
307 is characterized by negative  $\Theta'$  in the surface layers reaching maximum negative anomalies of -1.5°C at ~170 m

depth (red line in Fig. 4e). In fact, the vertical displacement of isopycnal surfaces associated with vortices in geostrophic balance explains most of the anomalies in depth-coordinates (e.g. Assassi et al., 2016; Keppler et al., 2018). As a consequence, the downwelling of isopycnal surfaces in surface intensified AEs, results in positive temperature anomalies in depth-coordinates, as observed in Fig. 4e. In contrast, isopycnal anomalies are observed only if eddies contain water from a remote region having distinct  $\Theta$ -S characteristics, or if diapycnal mixing locally modifies the thermohaline structure of the water column. In AE2, maximum negative isopycnal anomalies were observed in the surface layer containing NAW (Fig. 4h). AE2 is thus a striking example of differences that can exist when computing anomalies using depth versus density-coordinates. These discrepancies are problematic in particular for the estimates of anomalous heat or salt eddy contents, and their associated transport, which are generally computed in depth-coordinates instead of isopycnal-coordinates.

In AE3, significant positive temperature anomalies computed from depth-coordinates are observed from the surface to 200 m depth (Fig 4f). Maximum anomalies of  $\sim 3.5^\circ\text{C}$  are observed at  $\sim 50$  m depth, revealing that AE3 is a surface-intensified AE using depth-coordinates. However, isopycnal  $\Theta'$  anomalies, re-projected on depths, show that this eddy does not contain significant water-mass anomalies relative to the background large-scale environment (Fig. 4f). The isopycnal  $\Theta$ -S structure within the eddy is similar to the one usually observed in this region, with EAW and SACW in the surface and subsurface layers, respectively (Fig. 4i).

To summarize, based on 3 case-study AEs, we have shown that isopycnal  $\Theta'$  anomalies can strongly differ from anomalies computed from the more commonly used depth-coordinate system. Obviously, similar conclusions hold for CEs that generally depict negative anomalies in depth-coordinate system, but that can show positive, negative or null anomalies in density-coordinate system. Significant eddy anomalies along isopycnal levels are associated to isopycnal advection from remote regions or diapycnal mixing.

### 3.3 Spatial distribution of isopycnal temperature anomalies in TAO new-born eddies

Figure 5 shows the spatial distribution of surface-intensified, subsurface-intensified eddies and eddies with negligible anomalies at their generation sites in the TAO. A first striking result is that about half of the analyzed new-born AEs and CEs have a weak and non-significant isopycnal  $\Theta'$  relatively to their local

environment close to their generation site. They cover all areas and their spatial distribution is quite identical for AEs and CEs (Fig. 5e-f and Table 1).

Thus, about half of the analyzed new-born AEs (52%) and CEs (50%) have a significant  $\Theta'$  anomaly at their generation sites. Among AEs (CEs, respectively) having significant anomalies, ~25% (27%) are only surface-intensified whereas ~75% (~73%) exhibit maximum isopycnal anomalies at subsurface (Table 1). Note however, that vortices with subsurface anomalies can also sometimes have significant surface anomalies. This category of eddies represents ~34% (~32%) of subsurface-intensified AEs (CEs). The spatial distribution of AEs and CEs having significant isopycnal  $\Theta'$  is almost similar in surface and subsurface layers (Fig. 5a-b and 5c-d). About 57% (~53%) of surface (subsurface) intensified eddies are characterized by positive  $\Theta'$  (Fig. 5 and Table 1). However, within the surface layer of the Southern Hemisphere, more than 82% (55%, respectively) of AE (CE) with significant anomalies have positive (negative)  $\Theta'$ . In this region of the TAO, eddies with significant  $\Theta'$  are less numerous and their maximum isopycnal  $\Theta'$  ( $\pm 0.3^\circ\text{C}$ ) are observed around the Benguela upwelling system. In contrast, in the Northern Hemisphere, positive and negative  $\Theta'$  are more similarly distributed with magnitude reaching up to  $\pm 0.8^\circ\text{C}$  along the frontal zone (Fig. 5a-b). Within the subsurface layer, ~56% (65%, respectively) of the significant AEs (CEs) in the Northern Hemisphere have a negative (positive)  $\Theta'$  versus ~38% (44%) of AEs (CEs) in the Southern Hemisphere (Fig. 5c-d and Table 1). Again, the dominance of relatively cold AEs and warm CEs in subsurface may seem unusual for those who are used to work in depth-coordinates where the sign of the anomalies are largely driven by the deepening/heaving of isopycnal layers (see Section 3.2). Moreover, strong anomalies of  $\pm 0.4^\circ\text{C}$  are observed within the frontal zone separating SACW and NACW (Fig. 5c,d). As shown in Fig.2, this area is characterized by a high value of the temperature threshold highlighting the strong spatio-temporal temperature variability of this frontal zone.

### 3.4 Eddy isopycnal structures in selected TAO regions

Three sub-regions (R1 to R3, see Fig. 2-5) were defined according to their large-scale dynamics and characteristics (Fig.1, Fig. 2 and Fig. 3a-b). R1 extends along the frontal zone separating NACW from SACW (Fig 2). The westward NEC which flows along the frontal zone is mainly fed by the eastern branch of the North

358 Atlantic subtropical gyre but also by the northern branch of the Guinean Dome (Fig. 1). Further west, a part of  
 359 the NEC retroflects cyclonically and feeds both the eastward North Equatorial Counter Current (NECC) and the  
 360 eastward North Equatorial Undercurrent (NEUC) (Fig. 1a-b; e.g. Stramma and Schott, 1999; Bourlès et al.  
 361 1999a; Schott et al., 2004). The large-scale isopycnal temperature distribution (Fig. 3) shows that the NEC flows  
 362 along the frontal zone that separates relatively warm and salty waters of the North Atlantic subtropical gyre from  
 363 cooler and fresher waters of the equatorial Atlantic Ocean (Fig. 3). This frontal zone is known to exhibit strong  
 364 meanderings and eddy generations (e.g. Dadou et al., 1996; Shütte et al., 2016; Aguedjou et al., 2019). In R2,  
 365 that extends from north-east Brazil to west Africa between 0°N and 10°N (Fig. 5), is found the zonal equatorial  
 366 dynamics (Fig. 1). In this region, strong instabilities are frequently observed, in particular due to the horizontal  
 367 shear between the nSEC and NECC (Fig. 1) (e.g. Weisberg and Weingartner, 1988; Kelly et al., 1995; Athié and  
 368 Marin, 2008; Von Schuckmann et al., 2008, Aguedjou et al., 2019). Finally, the subregion R3 includes the NBC  
 369 retroflexion (Fig. 1a-b), and is populated by relatively large and energetic eddies whose surface properties (size,  
 370 amplitude, eddy kinetic energy) exhibit a strong seasonal variability (e.g. Aguedjou et al., 2019).

371 Figure 6 shows for these 3 subregions the mean vertical  $\Theta'$  profiles inside AEs and CEs at their  
 372 generation sites, using density-coordinates. As already discussed, the 3 sub-regions show a high number of non-  
 373 significant anomaly profiles representing 40% to 60% of observed eddies (Fig. 6, in black).

374 In R1, between 75% (72%) of the new-born AEs (CEs) sampled by Argo floats and that have a  
 375 significant temperature anomaly, are subsurface intensified (Fig. 6a,d). Surface AEs (solid lines in Fig. 6a) and  
 376 CEs (solid lines in Fig. 6d) are characterized by average temperature anomalies of  $\pm 0.5$ - $0.7^\circ\text{C}$  between  $\sigma_\theta \sim 25.5$   
 377 and  $26.0 \text{ kg.m}^{-3}$ . Subsurface AEs (dotted lines in Fig. 6a) and CEs (dotted lines in Fig. 6d) show maximum  
 378 anomalies of  $\pm 0.4^\circ\text{C}$  between  $\sigma_\theta \sim 27 \text{ kg.m}^{-3}$  and  $\sigma_\theta \sim 27.2 \text{ kg.m}^{-3}$  except subsurface cold CEs whose mean  
 379 anomaly of  $-0.5^\circ\text{C}$  is found between  $\sigma_\theta \sim 26$ - $26.5 \text{ kg.m}^{-3}$ . Interestingly, around 73% (65%, respectively) of these  
 380 subsurface eddies are cold (warm) for AEs (CEs).

381 In R2, similarly to what is observed in R1, 65% of the CEs and  $\sim 70\%$  of the AEs that present a  
 382 significant  $\Theta'$  are of subsurface (Fig. 6b,e). Around 82% (65%, respectively) of the subsurface sampled AEs



383 (CEs) have cold (warm) anomalies and their maximum anomalies of  $\pm 0.3\text{--}0.4^\circ\text{C}$  are located at  $\sigma_\theta \sim 27.2 \text{ kg.m}^{-3}$   
384 (Fig. 6b,e). The remaining surface-intensified eddies have anomalies of  $\pm 0.5^\circ\text{C}$ , with the maximum located in  
385 the density range of the eastward NECC and the westward nSEC, which carry relatively warm and cold water,  
386 respectively (Fig. 1a & 3a).

387 In R3 (Fig. 6c,f), unlike in the two previous areas, very few new-born eddies were sampled by Argo  
388 floats. Moreover  $\sim 55\%$  of the AEs and  $\sim 65\%$  of the CEs sampled at their generation sites do not exhibit  
389 significant temperature anomalies. The remaining sampled new-born eddies are characterized by relatively large  
390 anomalies, reaching  $\pm 1^\circ\text{C}$  for CEs and  $\sim \pm 0.7^\circ\text{C}$  for AEs. Note, that the relatively small number of sampled  
391 eddies is due both to the very few number of Argo floats in R3 and to the reduced size of this area. AEs  
392 characterized by positive  $\Theta'$  (red lines in Fig. 6c) show anomalies of  $\sim 0.5^\circ\text{C}$ , observed at  $\sigma_\theta = 26 \text{ kg.m}^{-3}$  for  
393 surface AEs, and  $\sigma_\theta = 26.8 \text{ kg.m}^{-3}$  for subsurface AEs. Surface intensified CEs predominantly show strong  
394 positive and negative anomalies that are maximum at  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$  (solid lines in Fig 6f). In contrast,  
395 subsurface CEs mainly show positive temperature anomalies of  $0.5^\circ\text{C}$  at the base of the thermocline or at  $\sigma_\theta \sim$   
396  $27 \text{ kg.m}^{-3}$  (dotted lines in Fig. 6f). Note that the 3 profiles classified as cold subsurface CEs (blue dotted line in  
397 Fig. 6e) are characterized by strong positive anomalies between  $\sigma_\theta = 24.5 \text{ kg.m}^{-3}$  and  $\sigma_\theta = 26.7 \text{ kg.m}^{-3}$  and weak  
398 negative anomalies in deeper levels. Integrated in the water column, these deeper negative anomalies, that  
399 occupy a thicker layer, prevail and lead to a negative heat content anomaly that explains the classification of  
400 these profiles as “cold subsurface CEs. In R3, the sampled new-born eddies were mainly formed on the eastern  
401 flank of the NBC retroflection (not shown), where relatively strong temperature gradients exist. In the  
402 subsurface layer, isopycnal mixing of NACW and SACW takes place (eg. [Kirchner et al., 2009](#)).

#### 403 4. Qualitative analysis and discussion

##### 404 4.1 Eddy vertical structure and potential implications for heat and salt transports

405 The first striking result of our analysis, underlined in Fig. 5, is that about half of the analyzed eddies  
406 have non-significant isopycnal  $\Theta'/S'$  at their generation site. Among the other half of the eddies, characterized by  
407 significant isopycnal  $\Theta'/S'$ , more than 70% are subsurface-intensified, with maximum anomalies below the



408 pycnocline. Within these eddies, the strongest temperature anomalies, averaged within the surface (subsurface,  
 409 respectively) layer, are of  $\pm 1.2^{\circ}\text{C}$  ( $\pm 0.8^{\circ}\text{C}$ ). These maximum anomalies are found in the Northern Hemisphere,  
 410 especially within the frontal zone separating the NACW and SACW, and along which the NEC flows westward  
 411 across the TAO (Fig. 5). In the Southern Hemisphere, averaged isopycnal  $\Theta'/S'$  anomalies in the  
 412 surface/subsurface layers are generally weaker, except in the Benguela upwelling system where the anomalies  
 413 are as high as in the Northern Hemisphere. The high number of subsurface intensified eddies obtained in our  
 414 study have been also previously reported in different areas of the TAO, from both numerical simulations and in-  
 415 situ data. For instance, in the Canary and Benguela upwelling systems, Pegliasco et al. (2015) estimated that  
 416 subsurface-intensified eddies represent between 40 and 60% of the total number of eddies. Although their  
 417 analysis was based on depth-coordinates and did not specifically focused on new-born eddies, these authors also  
 418 showed that 40-60% of the sampled eddies do not have statistically significant  $\Theta'/S'$ , in agreement with our  
 419 results. Other studies also revealed the presence and persistence of subsurface-intensified structures in various  
 420 parts of the Atlantic Ocean, from eastern to western boundary currents regions and from the north to the south  
 421 Atlantic subtropical gyres (e.g. Schutte et al., 2016; Garraffo et al., 2003; Assene et al., 2020; Amores et al.,  
 422 2017; Laxenaire et al., 2020). However, as already discussed by Pegliasco et al. (2015), the high number of  
 423 subsurface eddies could be influenced by a slight sampling bias in the Argo data. Indeed, Argo floats drift for 10  
 424 days at a nominal parking depth of 500-1000 m and may therefore be preferentially trapped within subsurface  
 425 eddies that reach these depths.

426 In terms of tracer transport, our results would suggest that only half of the analyzed eddies (eddies with  
 427 significant anomalies) might potentially contribute to the heat and salt transport within the basin. However, the  
 428 efficiency of the eddies to redistribute heat and salt from their formation region strongly depends on the ambient  
 429  $\Theta/S$  characteristics at their dissipation sites and whether or not the transported water-masses exhibit similar  
 430 properties than the ambient ones. Thus, in order to estimate whether the sampled mesoscale eddies significantly  
 431 contribute to the  $\Theta/S$  transport and redistribution in the TAO, we computed the temperature anomalies that these  
 432 eddies would create at their dissipation site if they advected isopycnally, and without any mixing or  
 433 modification, the water-mass properties from their region of formation. First, for each sampled eddy, isopycnal

434  $\Theta'$  was estimated by removing the mean climatological isopycnal  $\Theta$  profile at its dissipation site (which  
 435 corresponds to the last position of the altimeter-derived eddy trajectory) from the isopycnal  $\Theta$  profile inside the  
 436 eddy at its birth location. Second, the obtained  $\Theta'$  profile was compared to the temperature threshold (e.g.  
 437 Figure 2) at the dissipation site to determine whether  $\Theta'$  are significant, and the eddy contribute to the heat and  
 438 salt transport, or not. Applying this methodology, we show that more than 80% of eddies that are formed in the  
 439 TAO with non-significant  $\Theta'$  remain non-significant when they dissipate and do not participate to the heat and  
 440 salt transport. The remaining  $\sim 20\%$  of eddies whose anomalies become significant, and therefore may modify  
 441 their environment, are distributed throughout the basin without any particular spatial structuring. In contrast,  
 442  $\sim 80\%$  of subsurface-intensified eddies (independently of warm and cold) at their generation sites are  
 443 characterized by significant anomalies when they dissipate, suggesting that these eddies play a key role in the  
 444 heat and salt transports. This fraction is somewhat reduced to 60-65% for surface-intensified eddies. To  
 445 conclude, these estimates suggest that  $\sim 20\%$  of the non-significant and  $\sim 80\%$  of the significant new-born eddies,  
 446 thus representing  $\sim 50\%$  of the total sampled eddy population, can significantly impact heat and salt  
 447 redistributions. It is important to recall that  $\Theta/S$  anomalies computed in depth-coordinates are largely influenced  
 448 by the vertical displacement of isopycnal layers and do not reflect the eddy heat and salt contents that participate  
 449 to the redistribution of tracers. As such, isopycnal coordinates must be considered when evaluating heat/salt  
 450 transport by eddies.

## 451 **4.2 Vorticity, PV anomalies and diabatic effects**

452 The vorticity of an eddy is related to its PV anomaly which, as mentioned in Section 1, is determined by  
 453 diapycnal mixing, frictional effects and adiabatic isopycnal advection of water-masses by large scale currents.  
 454 Large-scale isopycnal advection and diapycnal mixing lead to the creation of both isopycnal  $\Theta/S$  and PV  
 455 anomalies. Conversely, frictional effects, here associated with wind-stress because bottom and lateral frictions  
 456 play little role in the offshore TAO, modify the PV and contribute to the formation of eddies but with only weak  
 457 and non-significant tracer anomalies. Vortices whose PV is shaped by diapycnal mixing or isopycnal advection  
 458 by large scale currents are thus expected to be associated with specific  $\Theta/S$  and PV anomalies. In order to better

understand this process and interpret the significant  $\Theta/S$  anomalies observed in the TAO eddies, we computed a mean large-scale rescaled PV (see [Morel et al., 2019](#); [Ass  n   et al., 2020](#); [Delpech et al., 2020](#)) as:

$$PV = -(\bar{\nabla} U + \bar{f}) \cdot \bar{\nabla} Z(\rho)$$

where  $U$  is the geostrophic velocity field computed from the World Ocean Atlas  $\Theta/S$  climatology ([Locarnini et al., 2018](#); [Zweng et al., 2018](#)) with a level of no motion at 1000 m depth,  $f$  is the Earth rotation vector, whose projection on the local vertical axis defines the Coriolis parameter  $f$ , and  $Z(\rho)$  is the depth as a function of the potential density. The rescaled PV is close to the quasi-geostrophic PV and it scales as a vorticity with a reference value at rest close to  $f$  (see [Morel et al., 2019](#); [Ass  n   et al., 2020](#); [Delpech et al., 2020](#)). It also has the same properties as the traditional Ertel PV but for this traditional form, vertical sections are dominated by the signature of the pycnocline, and the dynamical signal associated with isopycnal variations of PV is difficult to identify. This difficulty is overcome by choosing  $Z(\rho^*) = z$  for a specific location, where the density profile  $\rho^*(z)$  is typical of the stratification of the area and can be taken as a reference to rescale PV. This methodology was applied by [Ass  n   et al. \(2020\)](#) and following them, we choose our reference profile at  $27^\circ\text{W} - 7.5^\circ\text{N}$ , a dynamically less intense area corresponding also to a lower surface density. [Figure 7a,c](#) shows the distribution of the obtained rescaled PV, averaged within both a near-surface ( $\sigma_\theta = 25.75 - 26.5 \text{ kg.m}^{-3}$ ) and a subsurface layer ( $\sigma_\theta = 26.9 - 27.4 \text{ kg.m}^{-3}$ ). Superimposed to the PV distributions, are also shown the mean  $\Theta$  contours averaged within the same layers ([Fig. 7a,c](#)). In R1, a reservoir of relatively strong (weak, respectively) positive PV, associated with relatively cold (warm) water is observed on the southern (northern) edge of the thermal front in the surface layer ([Fig. 7a](#)). Thus in this layer, an isopycnal PV advection tends to generate either i) positive PV anomalies associated with negative  $\Theta'$ , leading to the formation of cold core surface CEs, or ii) negative PV anomalies associated with positive  $\Theta'$ , leading to the generation of warm-core surface AEs. This PV- $\Theta$  relationship is better depicted in [Fig. 7b](#). In contrast, in R2, maximum positive PV are associated with warmer  $\Theta$ , suggesting that isopycnal advection tends to generate cold AEs and warm CEs in the surface layer of this region ([Fig. 7a-b](#)). In R3, as shown in [Fig. 7b](#), the PV- $\Theta$  relationship is more complex and not strictly monotonic, suggesting that both warm and cold CEs and AEs can be generated by isopycnal advection, although the general tendency is closer to the R2 region (cold AEs and warm CEs). From [Figure 6](#), we effectively observed a higher

number of cold AEs in the surface layer in R2 and R3, representing  $\sim 66\%$  of the significant surface-intensified eddies. Note, that in the very dynamic surface layer, which is in constant interaction with the atmosphere, other processes can modify the isopycnal  $\Theta/S$  and PV structures, such as wind-stress, diapycnal mixing, or outcropping of isopycnal layers.

PV- $\Theta$  relationships were also examined in the subsurface layer where we note a general northward increase of both the PV and  $\Theta$  (Fig. 7c). This distribution, as well as the main PV- $\Theta$  relationship shown in Fig. 7d, suggests that large-scale isopycnal advection leads to the formation of cold subsurface AEs and warm subsurface in the TAO. Thus, isopycnal advection likely explains the dominance (65-80% of the subsurface structures) of warm CEs and cold AEs observed from the Argo profiles in R1 and R2 (Fig. 6). To conclude, the most likely mechanism for the generation of PV anomalies of eddies without isopycnal  $\Theta'/S'$  signature is friction. Likewise, friction probably also plays a major role in the generation of PV anomalies for vortices exhibiting significant but unstructured  $\Theta'/S'$  signature. Note that even a constant wind (with weak Ekman pumping effects) is able to modify PV along a front (Thomas, 2005), a process that has been shown to lead to the destabilization of upwelling currents (Morel et al., 2006), strong modification of Ekman drift (Morel and Thomas, 2009) or the reinforcement of preexisting vortical structures (Holmes et al., 2014).

#### 4.3 Limitations of the study

Diagnostics proposed in this study remain mostly qualitative, but, to our knowledge, it is the first attempt to determine the importance of diabatic effects in the generation of eddies and their associated  $\Theta/S$  and PV properties from observations. The respective influence of the wind-stress and diapycnal mixing in the formation of surface and subsurface vortices can serve as reference for realistic numerical models, for which diabatic processes are parameterized. Given the reasonable number of eddies sampled in this study, the statistics calculated here are thought to be significant, but could obviously be refined in the future, when more observations become available.

Although our classification of significant versus non-significant  $\Theta'/S'$  is robust, it is important to point out some limitations of our diagnostics. First, the fact that eddies must be sampled at their generation ( $\pm 200$  km) sites strongly reduces the number of analyzed eddies. Second, some of Argo profiles classified as outside eddy

511 profiles might have sampled vortices which were not detectable by altimetry, such as within the NBC  
 512 retroflection or the eastern equatorial Atlantic where numerous subsurface eddies were numerically identified  
 513 with no surface signal ([Garraffo et 2003](#), [Ass  n   et al., 2020](#)). The isopycnal  $\Theta$  climatology, obtained from  
 514 profiles supposedly acquired outside eddies and used to evaluate eddy anomalies could be slightly spoiled by  
 515 this effect. However, given the very large number of Argo profiles available, we believe this remains marginal.  
 516 Likewise, some regions such as equatorial areas, the Gulf of Guinea or the central part of the Southern  
 517 Hemisphere are characterized by a few number of detected eddies (see [Aguedjou et al., 2019](#)). This compromises  
 518 the evaluation of robust statistics in such regions. Third, the exact location, relative to the eddy-centers, of Argo  
 519 floats that surfaced within eddies were not considered when calculating the eddy  $\Theta'/S'$ . However, both  
 520 theoretically and practically, Argo vertical profiles are on average acquired at a distance of  $2/3$  the eddy radius  
 521 from the eddy center (e.g. [Chaigneau and Pizarro, 2005](#); [Pegliasco et al., 2015](#)). The mean distribution of Argo  
 522 floats suggests that  $\Theta'/S'$  obtained in our study are more likely representative of the outer eddy structure than the  
 523 eddy center. Although it may slightly impact our results, eddy cores can generally be considered as  
 524 homogeneous in  $\Theta/S$  and the general discussion on the mechanisms involved in the generation of  $\Theta/S$ , that shape  
 525 the whole eddy structure from the eddy center to the eddy edge, remains valid.

## 526 **5. Summary and perspectives**

527 Combining 18 years of satellite altimetry and  $\Theta/S$  data acquired by Argo floats in the TAO, we first  
 528 showed that isopycnal  $\Theta/S$  anomalies can strongly differ from the ones obtained using depth-coordinates.  
 529 Indeed, although AE (CE, respectively) mostly induced positive (negative)  $\Theta/S$  anomalies in depth-coordinates,  
 530 both AE and CE can exhibit positive, negative or non-significant isopycnal  $\Theta/S$  anomalies. In fact,  $\Theta/S$   
 531 anomalies in depth-coordinates are largely influenced by the vertical displacement of isopycnal layers (see also  
 532 [Keppler et al., 2018](#)) and do not reflect the heat and salt contents of the eddies that participate to the net transport  
 533 of tracers in the ocean. We then focused on the vertical structure of eddies close to their generation site, and  
 534 investigated the proportion and distribution of eddies having significant and non-significant isopycnal  
 535 temperature anomalies. Our results show that more than half of the total analyzed new-born eddies in the TAO  
 536 are characterized by non-significant  $\Theta'$ , underlining the effect of the wind-stress in their generation. In contrast,

537 the second half, composed of 70% of subsurface-intensified eddies, having their maximum anomalies below the  
538 pycnocline, and of 30% of near surface-intensified eddies, are likely formed by mixing and/or lateral advection.  
539 As a consequence, in terms of tracer transport, our results would suggest that eddies that are generated with a  
540 significant  $\Theta'/S'$  mostly contribute to heat and salt transport in the TAO. Indeed  $\sim 80\%$  of subsurface-intensified  
541 and  $\sim 65\%$  of surface-intensified eddies remained with a significant  $\Theta'/S'$  at their dissipation regions. Thus, they  
542 can potentially modify the  $\Theta/S$  properties of their environment when the water initially trapped in their cores is  
543 released. In contrast, among eddies that are generated with non-significant  $\Theta'/S'$ , only 20% have shown a  
544 significant anomaly at their dissipation sites. Refined diagnostics in three selected subregions in the northern  
545 TAO, were proposed. Along the frontal zone and in the northern equatorial subregions, the mean vertical  
546 structure of eddies is dominated by the subsurface-intensified eddies (65-75%) with maximum anomalies  
547 reaching up to  $\pm 0.5$  °C mostly found between  $\sigma_\theta = 27$  and  $27.2$  kg.m<sup>-3</sup> isopycnal layers. Strikingly, for these  
548 subsurface-intensified eddies,  $\sim 73\%$  of AEs exhibit a negative maximum anomaly whereas  $\sim 65\%$  of CEs  
549 maximum anomalies are positive. In the third subregion, within the NBC retroflection, results are questionable  
550 because of the reduced number of eddies sampled by Argo profiles at their generation sites.

551 The potential links between  $\Theta'/S'$  and adiabatic or diabatic generation of PV anomalies were also  
552 discussed in each subregion. For eddies with significant anomalies intensified in the surface layer, PV- $\Theta$   
553 relationships suggest that isopycnal water-mass advection mostly explains the generation of cold core AEs  
554 observed in R2 and R3 areas. In contrast, in R1 isopycnal advection would preferentially lead to the generation  
555 of cold (warm, respectively) core CEs (AEs). Observations show no preference in  $\Theta'/S'$  anomalies, suggesting  
556 that other processes such as wind-stress, diapycnal mixing, or outcropping of isopycnal layers are likely to  
557 modify the  $\Theta'/S'$  and PV structure of water-masses. In the subsurface-layer, water-mass advection is also  
558 suggested to explain the formation of warm (cold, respectively) core CEs (AEs) especially in R1 and R2, which  
559 indeed corresponds to the distribution dominantly observed.

560 Our analysis remains qualitative, but an important result is that the wind-stress is a major source for the  
561 generation of PV anomalies and vortices in the TAO given the fraction of eddies formed with non-significant  $\Theta'$ .

562 This is coherent with recent numerical studies invoking the strong influence of the wind-stress on the generation  
563 or reinforcement of vortices (Thomas, 2005; Morel et al, 2006; Holmes et al, 2014; Holmes and Thomas, 2016).  
564 It is the first time that such a diagnostic has been obtained from observations. Note that numerical results have  
565 also revealed a strong influence of bottom friction (Benthuisen and Thomas, 2012; Gula et al, 2015, 2016;  
566 Morvan et al, 2019), but this seems very challenging to confirm with observations since it is difficult to identify  
567 Argo profiles associated with newly born deep subsurface vortices, as the latter generally have weak SSH  
568 signature.

569 As far as perspectives are concerned, this study proposed new diagnostics (Fig. 6) that can be useful for  
570 numerical models. As mentioned above, diabatic processes are parameterized in the models, and thus imperfectly  
571 represented. We here argue that it cannot only be problematic for the representation of the water-mass  
572 characteristics, but it also strongly influences the PV and vorticity structure of generated eddies. Combined  
573 diagnostics involving isopycnal  $\Theta/S$  and vorticity of eddies is thus challenging for numerical results. In  
574 particular, since a major fraction of the observed eddies are associated with non-significant  $\Theta/S$  anomalies,  
575 frictional effects are thought to play a major role in the generation of their PV structure. Parameterizations of  
576 frictional effects are very difficult to evaluate and remain one of the Achille's heel of circulation models at  
577 mesoscale. The proposed diagnostics can thus be very useful to compare different parameterizations. Further  
578 diagnostics based on observations are possible too. First, it would be interesting to combine isopycnal  $\Theta/S$   
579 structures with trajectories to infer the transport of water-masses from a region to another. It would also be  
580 informative to study the long term behavior of specific long-lived eddies, having been sampled at different times  
581 by Argo floats, to analyze the evolution of their heat/salt contents. Applying the present general approach to  
582 other regions is of course interesting too. In particular, in the energetic area such as the Gulf Stream and  
583 Kuroshio or in specific region where wind-stress curl lead to the eddy generation (Canaries, Ierapetra, etc.).  
584 Finally, when a significant number of Argo floats will be equipped with biogeochemical sensors (dissolved  
585 oxygen, nutrients, chlorophyll-a, pH, ...), it will be very interesting to evaluate if there exists some structuring in  
586 the transport of biogeochemical tracers by AEs and CE, in particular in the TAO.

587

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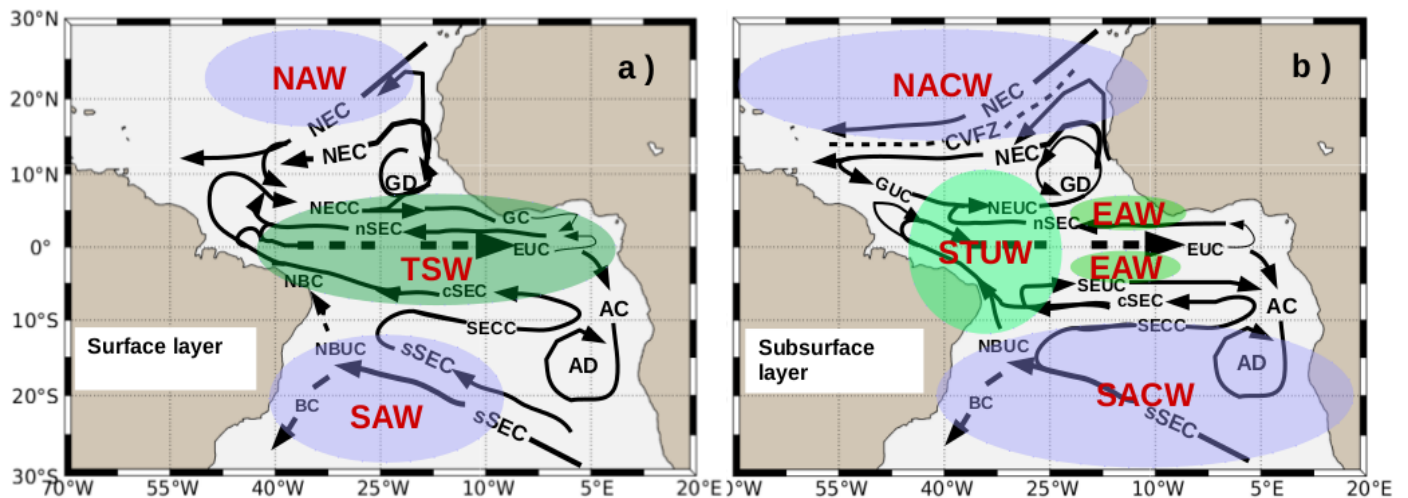
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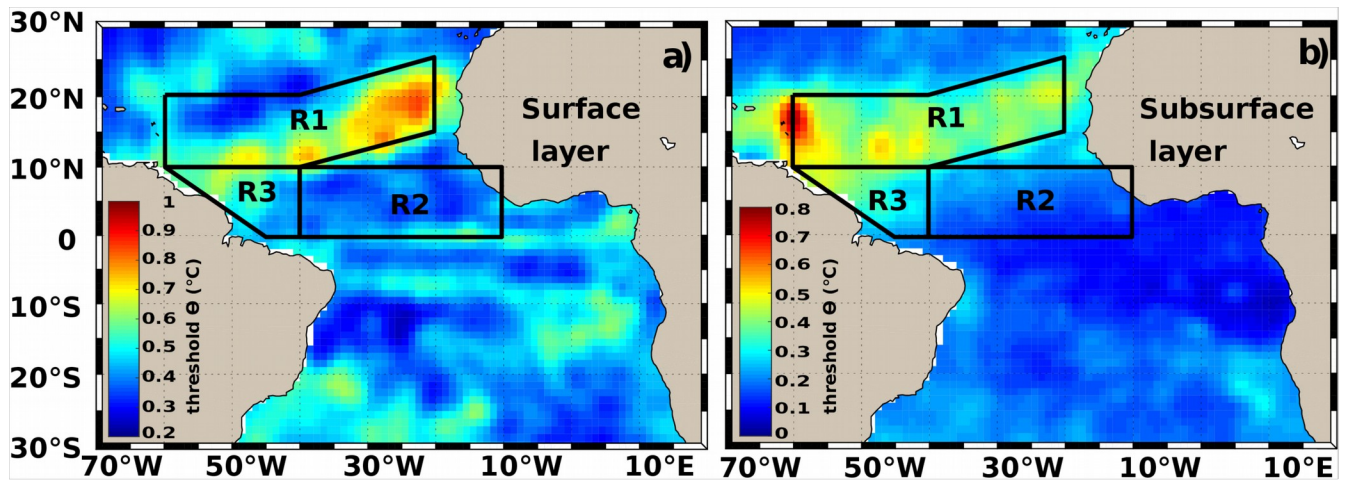
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801 **Figure1:** Schematic view of **a)** surface and **b)** subsurface circulation in the TAO (adapted from Stramma and  
 802 Schott, 1999). Main currents are: Brazil Current (BC), North Brazil Current (NBC), North Brazil Undercurrent  
 803 (NBUC), North Equatorial Current (NEC), North Equatorial Countercurrent (NECC), northern, central and  
 804 southern branches of South Equatorial Current (nSEC, cSEC, sSEC), Guinea Current (GC), Angola Current  
 805 (AC), Angola Dome (AD), Guinea Dome (GD), South Equatorial Countercurrent (SECC), Equatorial  
 806 Undercurrent (EUC), North Equatorial Undercurrent (NEUC), South Equatorial Undercurrent (SEUC), Guiana  
 807 Undercurrent (GUC). Tropical surface water-masses and central water-masses are also indicated: Tropical  
 808 Surface water (TSW), Northern, southern and eastern tropical Atlantic water (NAW, SAW, EAW), Subtropical  
 809 Underwater (STUW), North and South Atlantic Central Water (NACW, SACW).



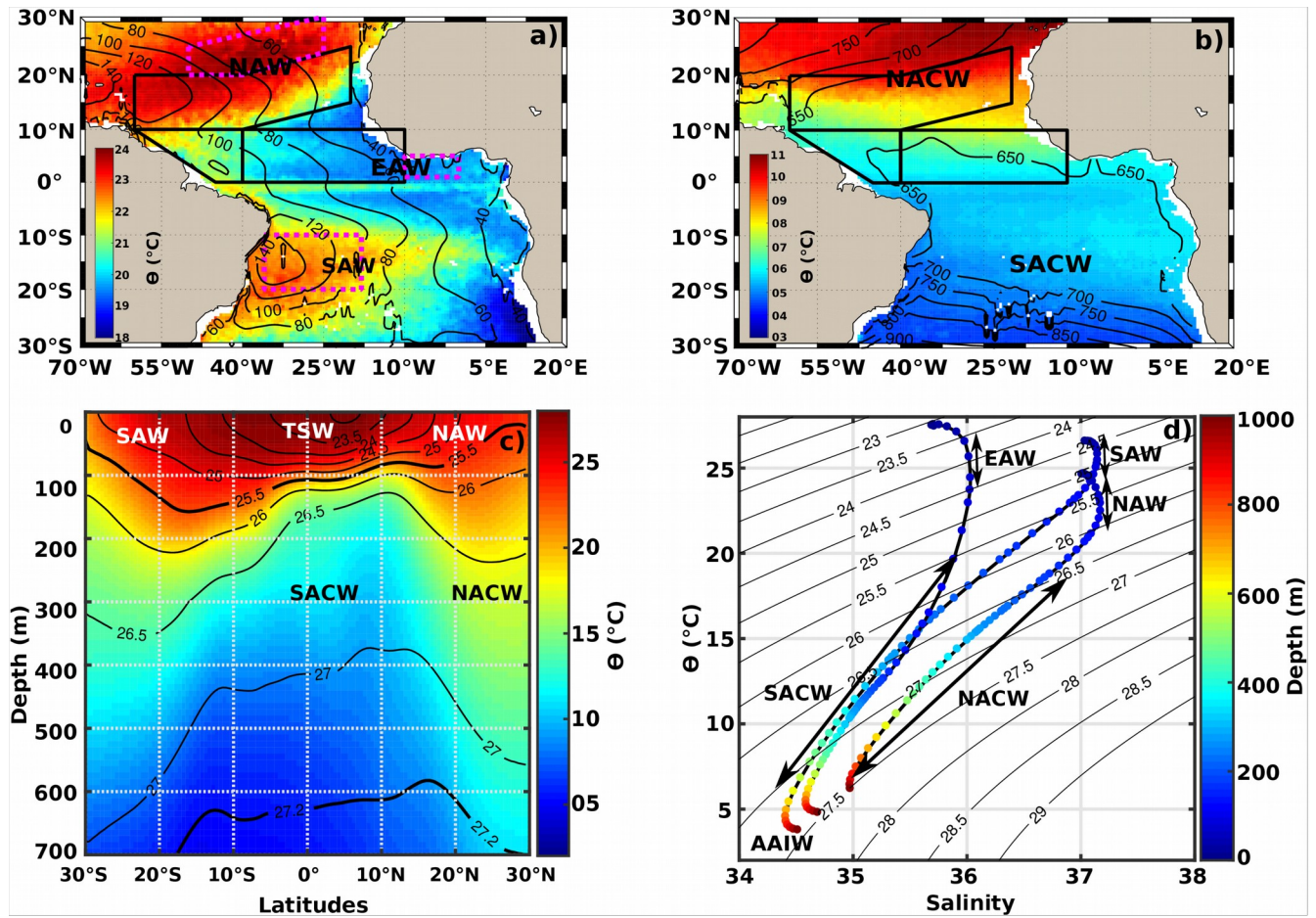
815 **Figure2:** Annual mean of the isopycnal temperature anomaly threshold within the **a)** surface and **b)** subsurface  
 816 layers. Three dynamically different subregions (R1, R2, R3) used in this study are delimited in black (see in-text  
 817 description of these regions). Surface layer extends from the surface to the base of the pycnocline, whereas  
 818 subsurface layer extends below the thermocline to 1000 m depth.

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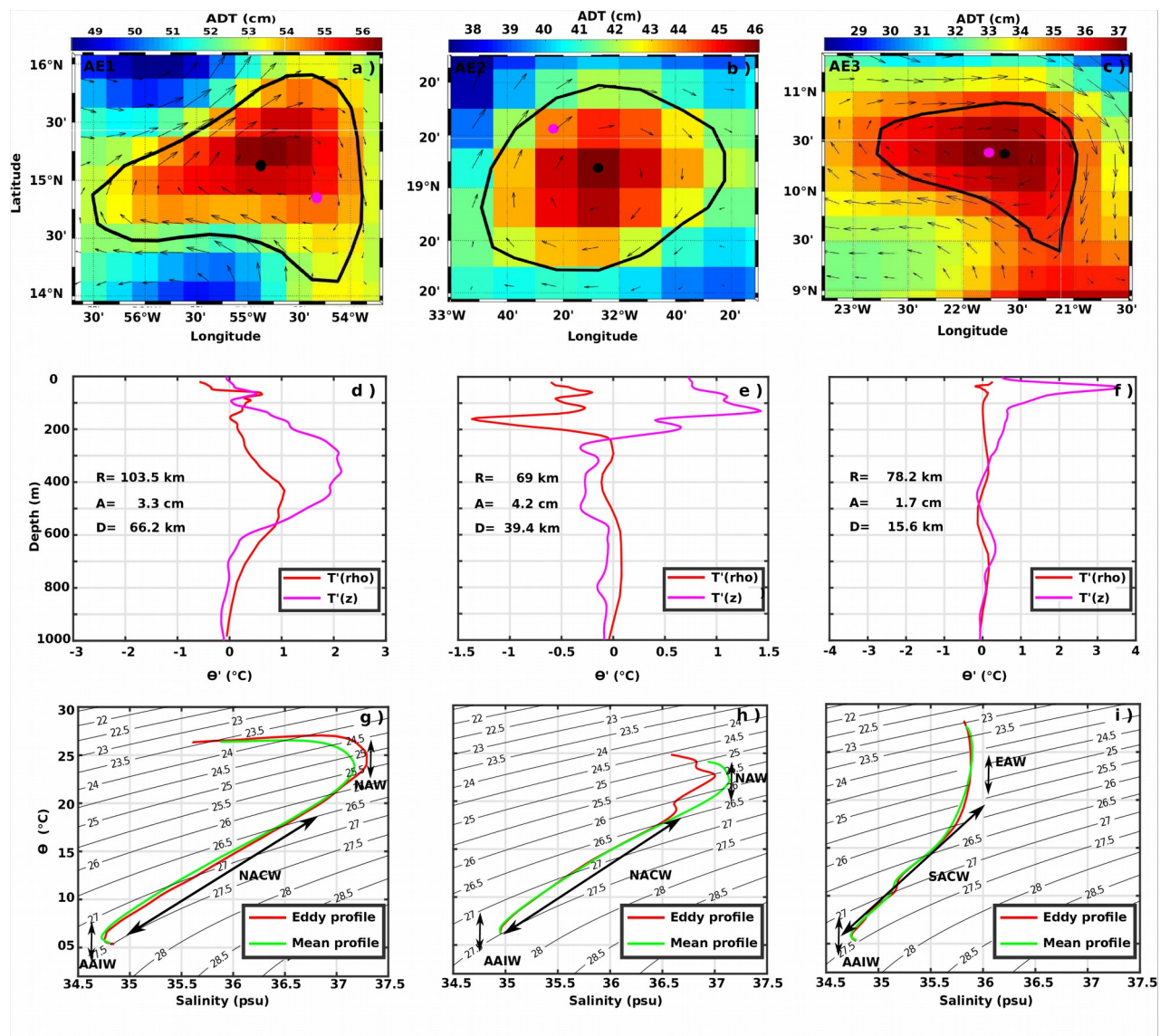
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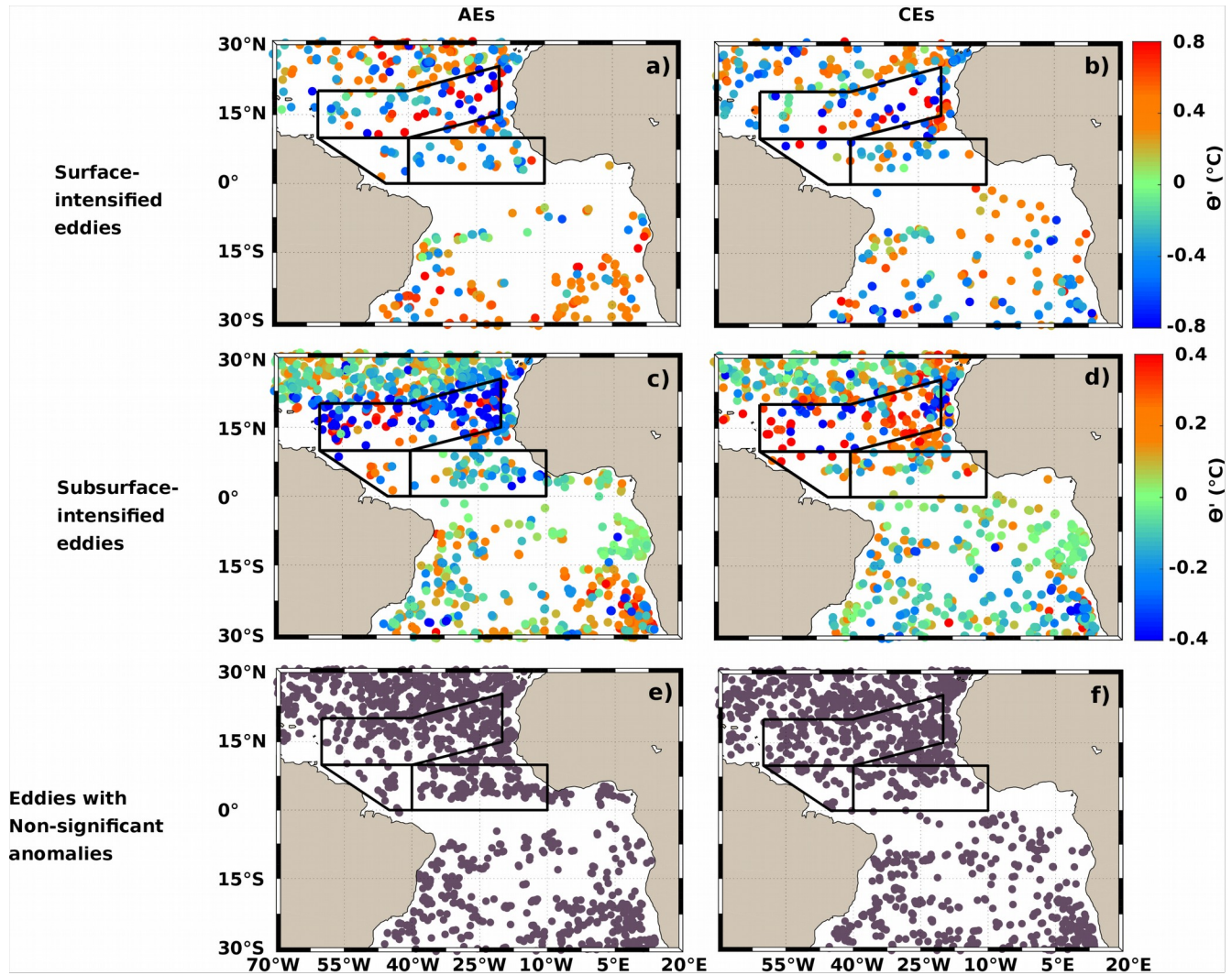
824 **Figure 3:** Large-scale temperature distribution (in °C) on **a**)  $\sigma_\theta = 25.5 \text{ kg.m}^{-3}$  and **b**)  $\sigma_\theta = 27.2 \text{ kg.m}^{-3}$ . Black  
825 contours correspond to the depth (in m) of the corresponding  $\sigma_\theta$  layer, whereas black boxes delimits the R1-R3  
826 subregions. **c**) Mean meridional temperature section along 35°W; black contours depict  $\sigma_\theta$  levels. **d**) Mean  $\Theta$ -S  
827 diagram and main water-masses observed within the 3 magenta boxes delimited in a]. Water-masses: NAW:  
828 North Atlantic Water; SAW: South Atlantic Water; TSW: Tropical Surface Water; EAW: Eastern Atlantic Water;  
829 NACW: North Atlantic Central Water; SACW: South Atlantic Central Water; AAIW: Antarctic Intermediate  
830 Water.



834 **Figure 4:** Density-coordinate anomalies compared to depth-coordinate anomalies for three case-study  
835 anticyclonic eddies. **a-c)** Eddy characteristics in AVISO maps. Black and magenta dots correspond to the eddy  
836 centers and the location of surfaced Argo floats, respectively, whereas black contours delimit eddy edges. **d-f)**  
837 Temperature anomalies observed within eddies in depth-coordinates (magenta lines) and in density-coordinates  
838 (red lines) re-projected on depths. R, A and D indicate the eddy radius, amplitude and the distance of the  
839 surfaced Argo float to the eddy center. **g-i)**  $\theta$ -S diagram obtained within eddies (red lines) and for the mean  
840 climatology at the same location (green curves). Water-masses: NAW: North Atlantic Water; EAW: Eastern



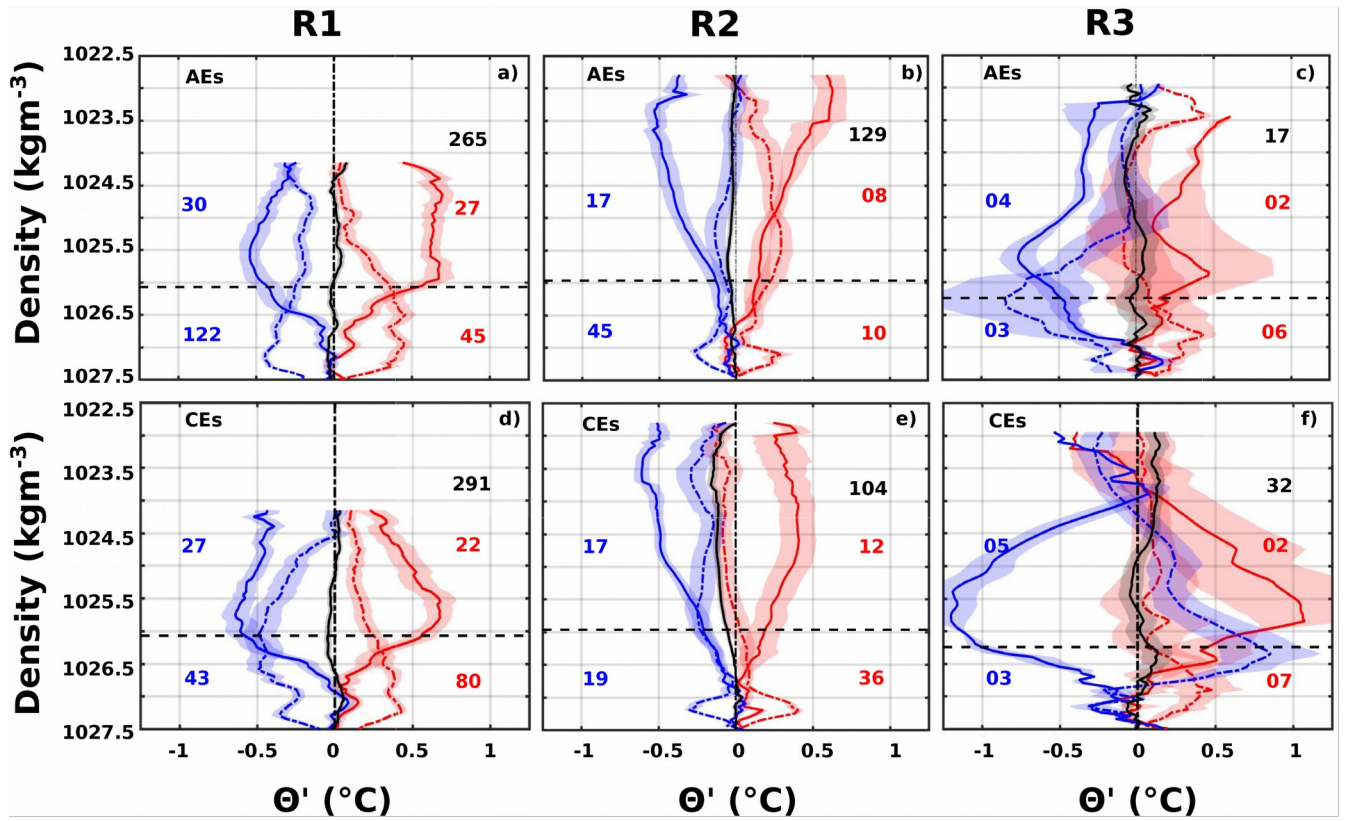
841 Atlantic Water; NACW: North Atlantic Central Water; SACW: South Atlantic Central Water; AAIW: Antarctic  
842 Intermediate Water.  
843



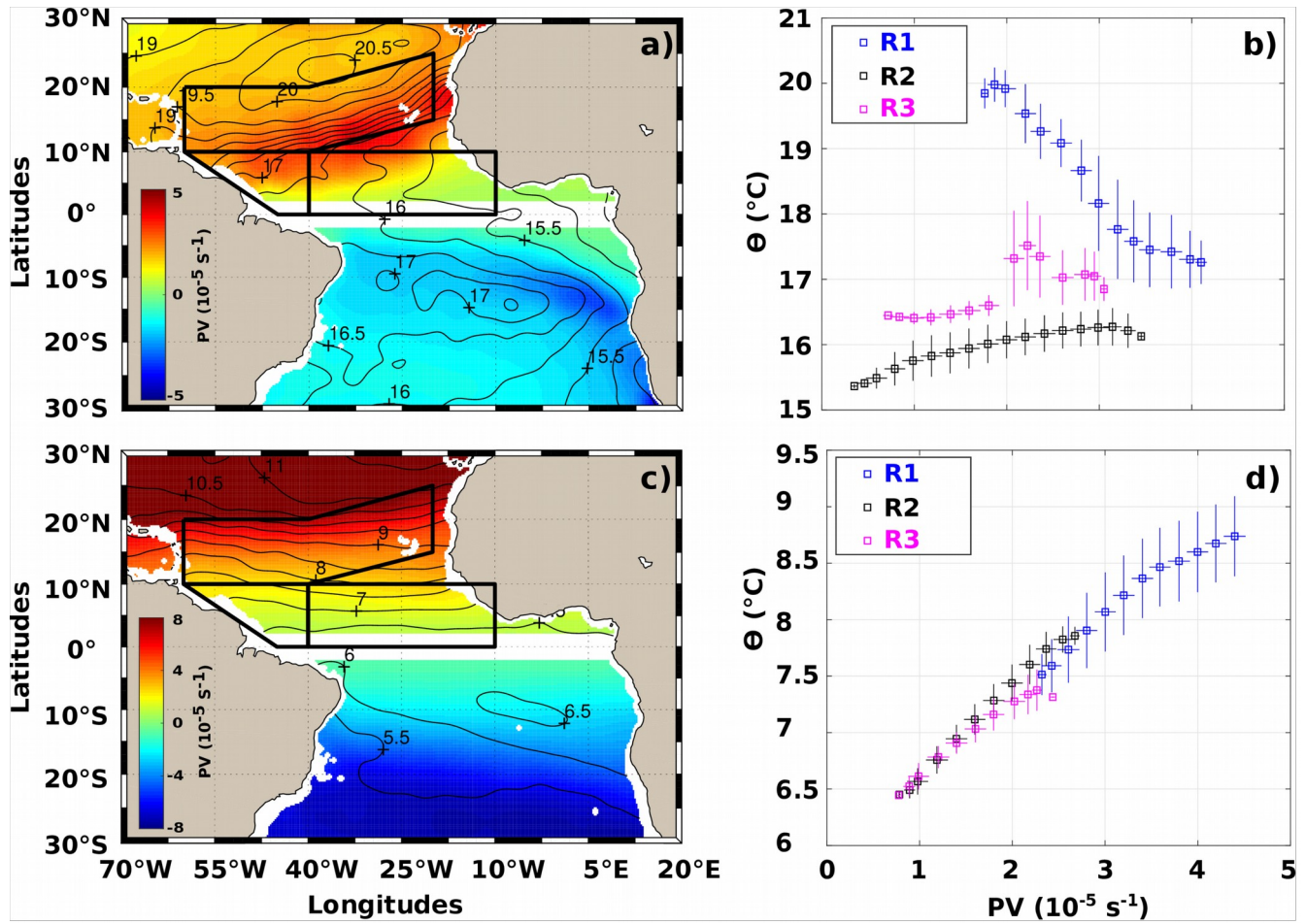
845 **Figure 5:** Spatial distribution of significant **a-b)** surface and **c-d)** subsurface temperature anomalies in  
846 anticyclonic (**AEs**, left column) and cyclonic (**CEs**, right column) eddies. **e-f)** Spatial distribution of new-born  
847 eddies having non-significant isopycnal anomalies. Black boxes delimit the R1-R3 subregions.

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850 **Figure 6:** Mean isopycnal temperature structures of **a-c)** Anticyclonic (AEs) and **d-f)** Cyclonic (CEs) new-born  
 851 eddies within 3 sub-regions. Surface and subsurface intensified anomalies are represented in solid and dashed  
 852 lines respectively, whereas positive anomalies are in red and negative anomalies in blue. Non-significant  
 853 anomalies are represented in black. For each subplot, black numbers indicate the number of eddies having non-  
 854 significant  $\Theta'$ , the right red top (bottom, respectively) numbers indicate the number of surface (subsurface)  
 855 intensified-eddies with positive anomalies and the left blue top (bottom, respectively) numbers indicate the  
 856 number of surface (subsurface) intensified eddies with negative anomalies. R1-R3 are the defined subregions.



862 **Figure 7:** Large-scale rescaled potential vorticity (PV, color shading) and  $\Theta$  (in °C, black contours), averaged  
863 between a)  $\sigma_\theta = 25.75 - 26.5 \text{ kg.m}^{-3}$  and c)  $\sigma_\theta = 26.9 - 27.4 \text{ kg.m}^{-3}$ . Black boxes delimit the R1-R3 subregions. **b**  
864 & **d)** PV-  $\Theta$  relationships in R1-R3 subregions. PV (and corresponding  $\Theta$ ) were averaged in intervals of  $0.2 \cdot 10^{-5}$   
865  $\text{s}^{-1}$  (dots) and the corresponding standard deviations are indicated by solid lines.

866 **Table1:** Number of eddies with significant and non-significant anomalies in the TAO. The corresponding percentages are indicated between brackets.  
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		Northern Hemisphere					Southern Hemisphere				
		Positive anomalies	Negative anomalies	Non-significant anomalies	Ratio significant/ non-significant	Ratio Subsurface/ surface	Positive anomalies	Negative anomalies	Non-significant anomalies	Ratio significant/ non-significant	Ratio Subsurface/ surface
AEs	surface-intensified	151 (6.79%)	131 (5.89%)	1124 (50.52%)	0.98	2.90	130 (11.97%)	28 (2.58%)	474 (43.65%)	1.29	2.87
	Subsurface-intensified	359 (16.13%)	460 (20.67%)				282 (25.97%)	172 (15.84%)			
CEs	surface-intensified	130 (6.90%)	121 (6.43%)	1100 (58.42%)	0.82	2.58	75 (6.78%)	93 (8.41%)	475 (42.95%)	1.33	2.75
	Subsurface-intensified	422 (22.41%)	227 (12.06%)				202 (18.26%)	261 (23.60%)			

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