

1 **Improvement and Uncertainties of Global Simulation of Sulfate Concentration and**
2 **Radiative Forcing in CESM2**

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11 **Key Points:**

- 12 • The global simulations of sulfate distribution and radiative forcing are significantly
13 improved with the usage of CESM2.
- 14 • Wet deposition is the key process governing both the horizontal and vertical distributions
15 of global sulfate concentrations.
- 16 • The uncertainty of sulfate forcing is very important to the formulation of global carbon
17 neutral policies.
18

19 **Abstract**

20 Sulfate is a major atmospheric pollutant and radiative forcing (RF) factor that influences air
21 quality, cloud microphysics and climate. Therefore, a better evaluation of sulfate concentrations
22 and RF patterns is essential for policy-making and the management of air pollution and climate
23 change. This study comprehensively estimates the global distribution of sulfate concentrations
24 and RFs and analyzes the sources of uncertainty in the Community Earth System Model version
25 2 (CESM2) and the Parallel Offline Radiative Transfer (PORT) model. Compared with the
26 observations, the incorporation of detailed in-cloud aqueous-phase chemistry and the enhanced
27 wet deposition flux of sulfate significantly improved the simulations of sulfur species both near
28 the ground and at high altitudes, which is beneficial for a more accurate estimation of the global
29 sulfate RF. The improved simulated RF of sulfate from 1850 to 2015 is $-0.382 \text{ W} \cdot \text{m}^{-2}$. This study
30 finds that wet deposition is the key process governing both the horizontal and vertical
31 distributions of sulfate concentrations. The overestimation of surface sulfate and the
32 underestimation of high-altitude sulfate made by the model are essential uncertainty factors of
33 the sulfate RF estimation. This study emphasizes the importance of improving the simulation of
34 global sulfate distribution as well as its RF, which may strongly pressure the near-future
35 warming potential when witnessing a rapid transition to a carbon neutral world that is phasing
36 out fossil fuel. A more accurate assessment of sulfate levels and radiation effects will play a
37 remarkable guiding role in the formulation of global emission reduction-related policies in the
38 future.

39 **Plain Language Summary**

40 Sulfate aerosols play an essential role in the entire atmosphere and climate system. Therefore, it
41 is necessary to better simulate the distribution and radiative forcing of sulfate. By using a global
42 climate model, we improved the simulation of sulfate distribution both near the ground and at
43 high altitudes and achieved a more accurate estimation of the global radiative forcing of sulfate.
44 We found that wet deposition is the key process influencing the distribution of sulfate
45 concentrations. The overestimation of surface sulfate and the underestimation of high-altitude
46 sulfate are important uncertainty factors of sulfate radiative forcing. Our results suggest that
47 more field studies (e.g., aircraft campaigns, surface measurements over remote regions, etc.) of
48 sulfate are urgently needed. This study indicates that large uncertainties may exist in current
49 global climate models, which may impact the formulation of future policy-making in carbon
50 neutrality.

51 **1 Introduction**

52 Sulfate is one of the major species of aerosol. Both natural sources (such as oxidation of
53 dimethyl sulfur (DMS)) over the ocean and anthropogenic emissions of sulfur dioxide (SO_2) are
54 important sources of sulfate (Hussain & Lun, 2019; Sanchez et al., 2018; Yan & Xu, 2021).
55 Human industrial activities have increased significantly since the industrial era, and the gas-
56 phase, liquid-phase and surface heterogeneous oxidations of SO_2 have gradually accounted for
57 the main sources of sulfate (B. Liu et al., 2017; Xue et al., 2016). As an important component of
58 atmospheric particulate matter (PM), sulfate at high concentrations will aggravate the formation
59 of haze, thus causing serious air pollution and influencing human health (Sha et al., 2019; B.
60 Zheng et al., 2015; Zhou et al., 2020). Moreover, sulfate is also one of the main species of acid
61 deposition, reducing the pH values of rain droplets and aggravating acid rain (M. Liu et al., 2020;
62 Lu, Fung, & Wu, 2015; K. Zheng et al., 2019). At the same time, sulfate is also the main

63 component of cloud condensation nuclei (CCN), which affects microphysical processes,
64 including the formation of clouds and precipitation, thus affecting solar radiation and climate
65 (Cziczo et al., 2013). Furthermore, sulfate itself is also one of the key short-lived species that has
66 negative radiative forcing (RF), which could influence the climate directly (Richardson et al.,
67 2019). Therefore, sulfate plays an essential role in the entire atmosphere and climate system.

68 In view of the fundamental roles of sulfate in many related fields, previous studies on
69 sulfate have made extensive, in-depth progress in recent decades. First, most regional
70 atmospheric monitoring studies have fully analyzed the appearance, source, temporal fluctuation
71 and spatial distribution of sulfate, as well as its proportion in PM. At the same time, modeling
72 studies have made great advances in revealing various mechanisms of SO₂ oxidation and sulfate
73 formation under different conditions and have greatly improved the performance of sulfate
74 simulation (Gao et al., 2016; G. Li et al., 2017; Luo, Yu, & Moch, 2020; Shao et al., 2019). For
75 instance, multiple studies emphasized the importance of aqueous-phase oxidation catalyzed by
76 transition metals in haze episodes (X. Huang et al., 2014; J. Li et al., 2020; Wang et al., 2021),
77 while other studies interpreted heterogeneous oxidation by NO₂ or HONO on the aerosol surface
78 as the dominant pathway, especially under conditions of high pH values and high NH₃
79 concentrations (Cheng et al., 2016; L. Huang et al., 2019; Yue et al., 2019; H. Zheng et al.,
80 2020). Some studies also analyzed the potential enhancement of sulfate formation by black
81 carbon (BC) (F. Zhang et al., 2020). In addition, wet deposition (WD) is the dominant removal
82 process for sulfate, accounting for more than 90% of its sink (Dentener et al., 2006; Driscoll,
83 Driscoll, Fakhraei, & Civerolo, 2016; B. Li et al., 2018; Qiao et al., 2015). Multiple studies have
84 discussed the simulation and observation of sulfate wet deposition in different regions and
85 periods, illustrating its great fluctuation and uncertainty in different models (Conradie et al.,
86 2016; Horowitz, 2006; Keene et al., 2015; Luo et al., 2020).

87 Furthermore, more studies have focused on RF and the climate response of sulfate. Some
88 of them discussed the sulfate RF both from anthropogenic sources and volcanic eruptions in the
89 upper troposphere and stratosphere (Aubry et al., 2021; C.-C. Chen & Gettelman, 2016; Flanner,
90 Gardner, Eckhardt, Stohl, & Perket, 2014; B. Li et al., 2018). Li et al. (2016) compared the
91 cooling effect of sulfate and warming effect of CO₂ and BC in China and concluded that the
92 negative RF of sulfate from intensive power and industry activities has offset most of the
93 warming factors. In contrast, studies have emphasized the weakened cooling effect or even
94 warming effect due to the rapid reduction in SO₂ emissions in China (Kasoar et al., 2016; M. Liu
95 & Matsui, 2021; X.-x. Ma, Liu, Wang, & Zhang, 2016). Moreover, sensitivity tests are often
96 used for sulfate to estimate its influence on global temperature and precipitation (e.g., increasing
97 the sulfate concentration to 5 or 10 times or removing anthropogenic SO₂ emissions) (Asutosh,
98 Fadnavis, Nuncio, Mueller, & Tripathy, 2021; Kasoar et al., 2016; L. Liu et al., 2018; Shawki,
99 Voulgarakis, Chakraborty, Kasoar, & Srinivasan, 2018).

100 However, all the studies mentioned above are still insufficient, especially in the
101 performance of sulfate simulation. First, although the surface monitoring networks in most
102 regions of the world have been gradually established and improved in recent years, the aircraft
103 measurement campaigns of sulfate are still relatively insufficient. For model studies, some of
104 them could only roughly reproduce the seasonal pattern of sulfate but had obvious biases in
105 simulating the magnitude of both near-surface concentrations and vertical distribution (Yang,
106 Wang, Smith, Easter, & Rasch, 2018). Although the chemical mechanisms have improved
107 remarkably, there are still significant overestimations of sulfate in different regions and seasons

108 in many regional and global models (Breider et al., 2017; Buchard et al., 2014; Georgiou et al.,
109 2018; Lamarque et al., 2012; Lee et al., 2020; X. Li & Liu, 2013; X. Liu et al., 2012; Xiaohong
110 Liu et al., 2007; Sobhani, Kulkarni, & Carmichael, 2018; Wei et al., 2019; Wu et al., 2020; Yang
111 et al., 2018; B. Zhang et al., 2019). On the one hand, it may be related to the rapid reduction in
112 SO₂ and sulfate emissions in many regions (especially in Europe, the United States (US) and
113 China) during recent years, which has resulted in the sharp decline in the observed concentration
114 of sulfate (de Meij et al., 2006; Fedkin, Li, Dickerson, Canty, & Krotkov, 2019; Syuichi Itahashi
115 et al., 2018; H. Li et al., 2019; McClure & Jaffe, 2018; Sickles & Shadwick, 2015; Xie, Liu,
116 Wang, & Wang, 2016). On the other hand, considering that the wet deposition of sulfate is
117 derived from cloud formation and has great uncertainty (Breider et al., 2017; Z. Chen et al.,
118 2019; Dentener et al., 2006; Echeverria, Abreu, Gonzalez, Ortega, & Echeverria, 2016;
119 Horowitz, 2006; Luo et al., 2020), it also tends to be underestimated in some studies (S. Itahashi,
120 2018; Sedefian, Ku, Civerolo, Hao, & Zalewsky, 2016; Vivanco et al., 2017). An analysis of
121 modeling studies suggests that the greatest uncertainty in global sulfur cycling is derived from
122 the wet deposition of aerosol sulfate and the heterogeneous oxidation of SO₂ in clouds and
123 aerosols (Faloona, 2009). As a result, the further evaluation of RF and the climate response of
124 sulfate is likely to contain great uncertainty (Goto, Nakajima, Takemura, & Sudo, 2011; Ming,
125 Ramaswamy, Ginoux, Horowitz, & Russell, 2005; Paulot, Paynter, Ginoux, Naik, & Horowitz,
126 2018; Stevens, 2015; Thornhill et al., 2021; Yang et al., 2017). Therefore, it is necessary to
127 conduct further research on the simulation of sulfate concentration and RF and analyze the
128 factors that lead to their uncertainties in detail.

129 This study aims to comprehensively evaluate and improve the performance of sulfate
130 simulation in the Community Earth System Model 2 (CESM2), estimate the global RF of sulfate
131 with the Parallel Offline Radiative Transfer (PORT) model, and, on this basis, discuss the factors
132 influencing the simulation of sulfate concentration and RF. The descriptions of the CESM2 and
133 PORT configuration as well as the observational data are introduced in section 2. The evaluation
134 of sulfate simulations both near the ground and at high altitude will be shown in section 3. Next,
135 the global RF of sulfate is estimated in section 4. Then, section 5 discusses the uncertainties
136 associated with our simulations. Finally, key conclusions are presented in section 6.

137 **2 Methodology**

138 **2.1 Model description**

139 The simulations in this study are conducted with the Community Earth System Model 2
140 (CESM2 v2.1.3) (Danabasoglu et al., 2020; Louisa K. Emmons et al., 2020) and the Parallel
141 Offline Radiative Transfer (PORT) model (Conley, Lamarque, Vitt, Collins, & Kiehl, 2013),
142 both developed by the National Center for Atmospheric Research (NCAR,
143 <https://www.cesm.ucar.edu/models/cesm2/>, last access: 13 June 2022). The CESM2 is a fully
144 coupled global climate model configured with Community Atmosphere Model version 4.0
145 (CAM4) and coupled with the chemistry of Model for Ozone and Related chemical Tracers
146 version 4 (MOZART-4) in this study (L. K. Emmons et al., 2010; Lamarque et al., 2012).
147 Furthermore, we also incorporated a detailed in-cloud aqueous-phase chemistry module into
148 MOZART-4 chemistry in the improved cases illustrated below, referring to the study of Ge et al.
149 (2021).

150 The PORT model is a stand-alone radiative transfer model isolated with the CAM in the
151 CESM so that radiative fluxes can be computed without feedback on the surface. PORT can be
152 used for any radiation calculation. It implements stratospheric temperature adjustment under the
153 assumption of fixed dynamical heating, which is necessary for the computation of RF and
154 instantaneous radiative forcing (IRF) (Conley et al., 2013). Updated physics (CAM5) and a
155 radiation scheme (RRTMG) are used in this study.

156 Both models are configured with a horizontal resolution of 0.95° latitude and 1.25°
157 longitude and 30 levels from approximately 993 (near-surface layer) to 3.6 hPa in the vertical
158 direction. The offline meteorological data for model nudging are obtained from the Modern-Era
159 Retrospective analysis for Research and Applications version 2 (MERRA2,
160 <https://rda.ucar.edu/datasets/ds313.3/>, last access: 13 June 2022) (Gelaro et al., 2017; Molod,
161 Takacs, Suarez, & Bacmeister, 2015), all of whose temporal resolution is 3 h. All the emission
162 inventories needed for both models are obtained from the CESM database developed for CMIP6
163 projects ([https://svn-ccsm-
164 inputdata.cgd.ucar.edu/trunk/inputdata/atm/cam/chem/emis/emissions_ssp245/](https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/cam/chem/emis/emissions_ssp245/), last access: 13
165 June 2022) (L. Feng et al., 2020; Hoesly et al., 2018; McDuffie et al., 2020; Steven, Yuyu, &
166 Page, 2015). These inventories include both historical emissions from as early as 1750 to 2015
167 (annual) and predicted emissions from 2020 to 2100 (decade) under different climate scenarios
168 and are thus in accordance with the demands of the simulation periods in this study.

169 2.2 Model configuration

170 The basic information of all simulations conducted in this study is summarized in Table
171 S1. First, we conducted the simulation without any modification to the model (i.e., the Original
172 case). Then, the default parameterized SO₂ aqueous-phase reactions in the Original model were
173 replaced with detailed in-cloud aqueous-phase chemical mechanisms (Ge et al., 2021).
174 Furthermore, considering the high uncertainty of sulfate wet deposition parameterization in
175 models, a series of sensitivity simulations of sulfate wet deposition flux with different
176 adjustments (increased by factors of 5, 10, 15 and 20 (i.e., the Improved case)) were performed
177 to further optimize the simulation performance (Dentener et al., 2006; Horowitz, 2006).

178 On the basis of the Original and Improved simulations of CESM, the PORT model was
179 performed to determine the RF of sulfate. PORT is driven by model-generated datasets. In
180 addition to the datasets mentioned above, PORT also requires the sulfate concentrations
181 simulated by CESM cases as input files and then simulates and calculates the RF of sulfate
182 through the differences in its concentrations between different CESM cases. Therefore, we
183 further replaced the SO₂ emissions with their corresponding inventories in the preindustrial
184 period (1849-1850) and then simulated the global distributions of sulfate concentrations both in
185 the preindustrial period and without anthropogenic sources and their corresponding RFs with
186 PORT cases (Carslaw et al., 2017; Shawki et al., 2018; Smith et al., 2020; Thornhill et al., 2021).
187 Finally, in consideration of the factors that increase the uncertainty of sulfate RF simulation, a
188 series of sensitivity tests with the PORT model were conducted, which will be illustrated in
189 section 5 in detail.

190 Ultimately, all the simulations of this study run for a two-year period from 1 January
191 2014 to 31 December 2015, which is representative of the present day, or from 1 January 1849 to
192 31 December 1850, which is representative of the preindustrial era. The first year (2014 or 1849)
193 is used for model spin-up. The timestep used in both CESM and PORT is the default of 30

194 minutes. The output of the CESM simulation is the daily mean and is then converted to monthly
195 or seasonal means for analysis. The time interval of the input files of PORT is a default of 73
196 timesteps (i.e., 36.5 hours), and the output RF used in this study is in the form of a monthly mean
197 and is converted to an annual mean for analysis.

198 2.3 Surface monitoring networks and aircraft measurement campaigns

199 To better evaluate the performance of the model in simulating sulfate concentrations, the
200 observed data used in this study are collected from three surface monitoring networks and three
201 aircraft measurement campaigns. The locations of surface monitoring stations of different
202 networks and the flight regions and tracks of different aircraft campaigns are shown in Figure 1.
203 For surface observations, the data in Europe (EU) are obtained from the European Monitoring
204 and Evaluation Programme (EMEP, <https://www.emep.int/>, last access: 14 June 2022). The
205 observations in the US are obtained from the Interagency Monitoring of Protected Visual
206 Environments (IMPROVE, <http://vista.cira.colostate.edu/Improve/>, last access: 14 June 2022).
207 The observations in east and south Asia (EA and SA) are obtained from the Acid Deposition
208 Monitoring Network in East Asia (EANET, <https://monitoring.eanet.asia/document/public/index>,
209 last access: 14 June 2022). With regard to the aircraft observations, the Atmospheric
210 Tomography Mission data (ATom, https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1925, last
211 access: 14 June 2022) are mostly measured over the ocean (Wofsy et al., 2021). The Wintertime
212 Investigation of Transport, Emission, and Reactivity observations (WINTER,
213 <https://data.eol.ucar.edu/dataset/483.025>, last access: 14 June 2022) are mainly carried out over
214 the sea and land of the eastern US (Green et al., 2019). The International Cooperative Air
215 Quality Field Study in Korea (KORUS-AQ, <https://espo.nasa.gov/korus-aq/content/KORUS-AQ>,
216 last access: 14 June 2022) is concentrated around South Korea (Lee et al., 2020).

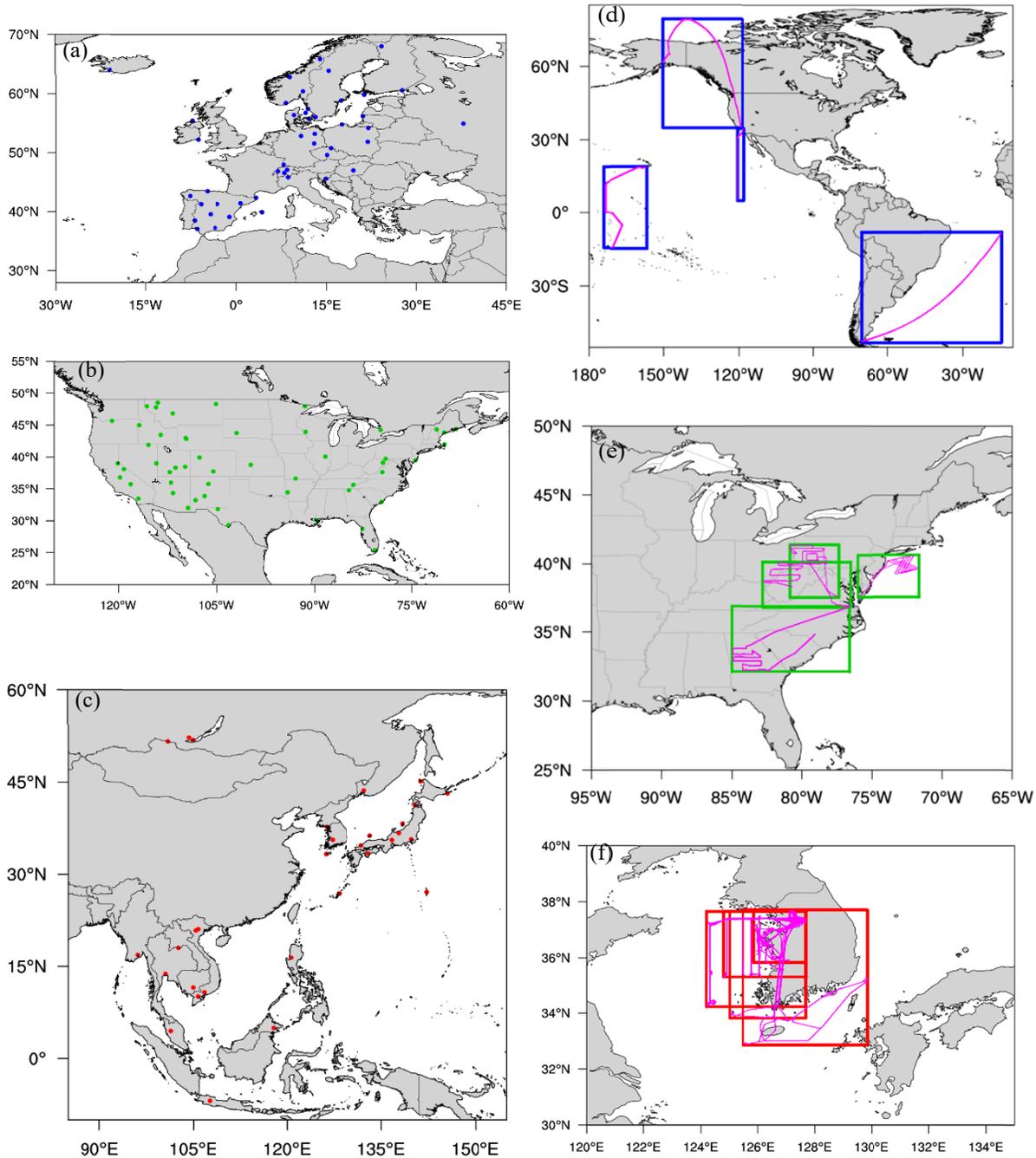
217 All the surface observational data were directly collected from 1 January 2015 to 31
218 December 2015 in the form of daily averages and were further converted to monthly averages for
219 analysis. However, the aircraft measurements are relatively limited, and the observation year and
220 date may not match those of the simulation. Because the sulfate concentration in the same period
221 of adjacent years will not change significantly in terms of the order of magnitude, all the
222 simulated concentrations of sulfate were uniformly selected on the corresponding dates in 2015
223 for comparison. The flight dates of all aircraft measurements referred to in this study are listed in
224 Figures 3 and S2. For the convenience of comparison, the aircraft observed concentrations of
225 each flight were averaged by different altitude segments within the whole rectangular region as
226 defined in Figure 1. Then, the simulated concentrations were daily averages within the whole
227 rectangular flight region in each layer that covered the height range of the corresponding aircraft
228 measurements (J. Liu, Fan, Horowitz, & Levy, 2011). To make the comparison more
229 representative, these aircraft measurements cover different regions (as described above), altitudes
230 (approximately 2 km to 13 km) and months (March to August).

231 3 Improvements of global sulfate simulations

232 3.1 Differences in sulfate concentrations between the Original and Improved simulations

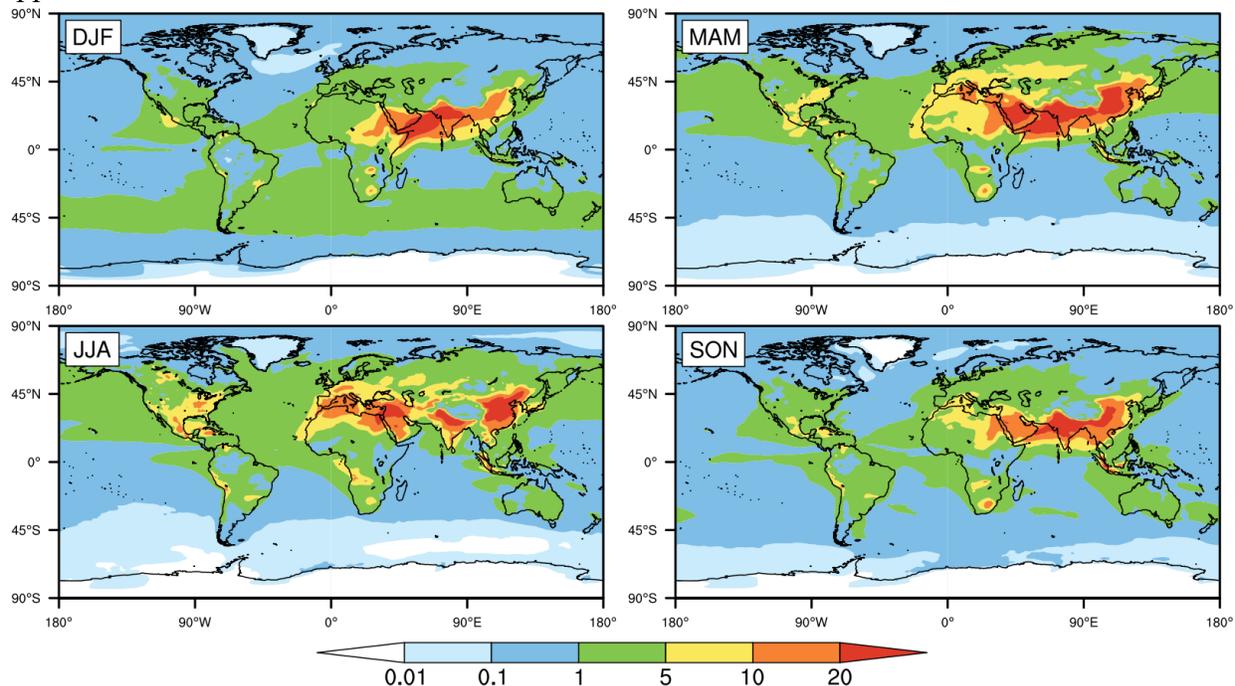
233 First, the seasonally averaged global distribution of the sulfate surface concentration
234 simulated in the Original case is shown in Figure 2, which exhibits significant spatial variability.
235 Most sulfate is concentrated in continental regions of the Northern Hemisphere, including

236 Europe (EU), North America (NAM), EA and SA, mainly from anthropogenic SO₂ emissions,
 237 such as energy and industrial activities), the Middle East (ME) and North Africa (NAF, mainly
 238 from natural sources). The sulfate concentrations in most of these regions exceed 5 μg·m⁻³ and
 239 even 20 μg·m⁻³ in some regions, especially in China and India, indicating pollution from
 240 intensive industrial activities. On the other hand, the sulfate concentrations are relatively low
 241 over oceans and generally less than 5 μg·m⁻³ in most regions, mainly from the oxidation of DMS.
 242



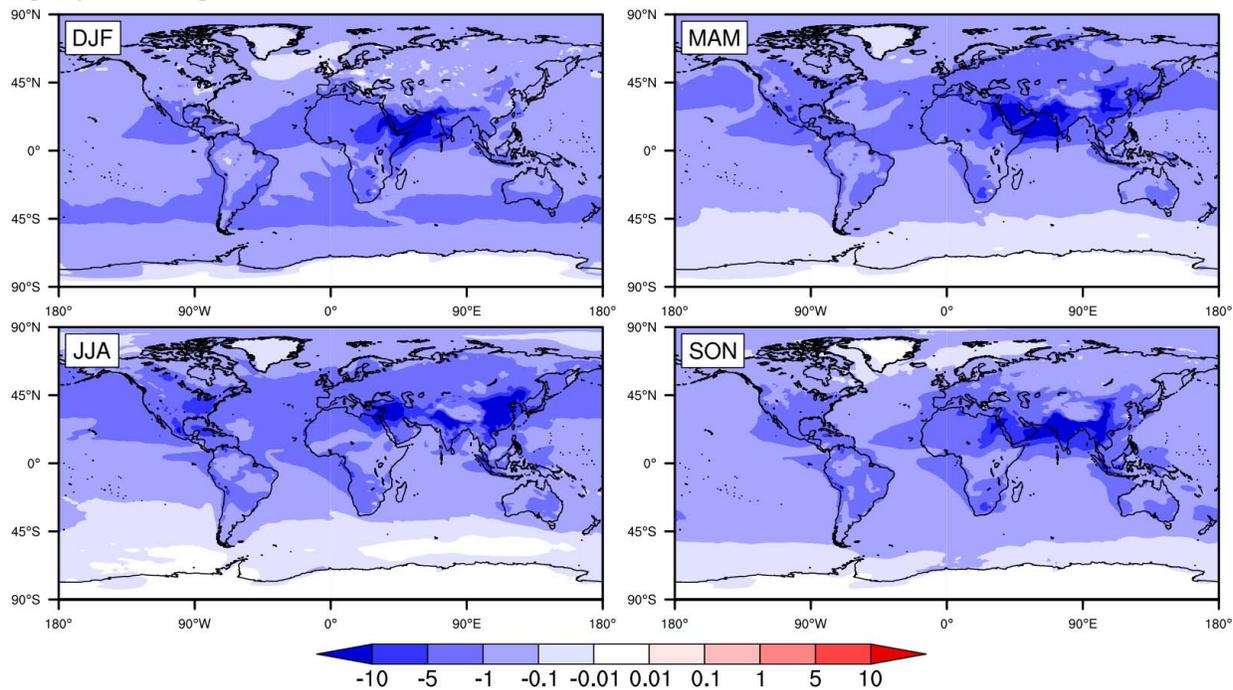
243 **Figure 1. Locations of monitoring sites from three surface measurement networks in (a) EU**
 244 **(EMEP), (b) US (EPA) and (c) EA and flight ranges of three aircraft measurement**
 245 **campaigns, including (d) ATom, (e) WINTER and (f) KORUS-AQ, at different times. The**
 246 **pink lines are the tracks of each flight.**

247 In terms of seasons, however, there is no significant and consistent distributional
 248 characteristic worldwide. In general, the concentrations in both hemispheres are slightly higher
 249 in spring and summer than in autumn and winter but do not fluctuate intensively in different
 250 seasons, which is obviously different from that of SO₂ (Ge et al., 2021). Such differences are
 251 related to the combination of precursor emissions and atmospheric oxidation capacity. In
 252 summer, the sulfur emissions are relatively weak due to the decreased demand for heating.
 253 Sufficient sunlight and high temperature in summer enhance the oxidation capacity at the same
 254 time, which promotes the formation of ·OH radicals and sulfate. These simulations are the
 255 opposite in winter.



256 **Figure 2. Global distribution of seasonally averaged surface concentrations of sulfate (unit:**
 257 **$\mu\text{g}\cdot\text{m}^{-3}$) in 2015, simulated by CESM2 with standard configuration (i.e., the Original case).**
 258 **DJF, MAM, JJA and SON represent December-January-February, March-April-May,**
 259 **June-July-August and September-October-November, respectively, which is the same**
 260 **below.**

262 After incorporating the detailed in-cloud aqueous-phase chemical mechanisms and
 263 enhancing the WD rate of sulfate, the concentrations of sulfate dropped markedly in all seasons,
 264 as shown in Figure 3. In general, the reductions in sulfate do not exceed $5 \mu\text{g}\cdot\text{m}^{-3}$ in most
 265 regions, especially over the ocean. In some regions, such as EA, SA and ME, the sulfate
 266 concentrations can still decrease by more than $10 \mu\text{g}\cdot\text{m}^{-3}$. This distribution is high, corresponding
 267 to that of the simulated background sulfate concentrations shown in Figure 2. Similarly, there are
 268 no distinct seasonal differences in sulfate reduction. The reduction in spring and summer is only
 269 slightly more significant than that in autumn and winter.



270 **Figure 3. The differences in global seasonally averaged surface sulfate concentrations (unit:**
 271 **$\mu\text{g}\cdot\text{m}^{-3}$) between the Improved case and the Original case in 2015 after incorporation of**
 272 **detailed in-cloud aqueous-phase chemical mechanisms and enhanced wet deposition flux of**
 273 **sulfate (i.e., Case 6 minus Case 1).**

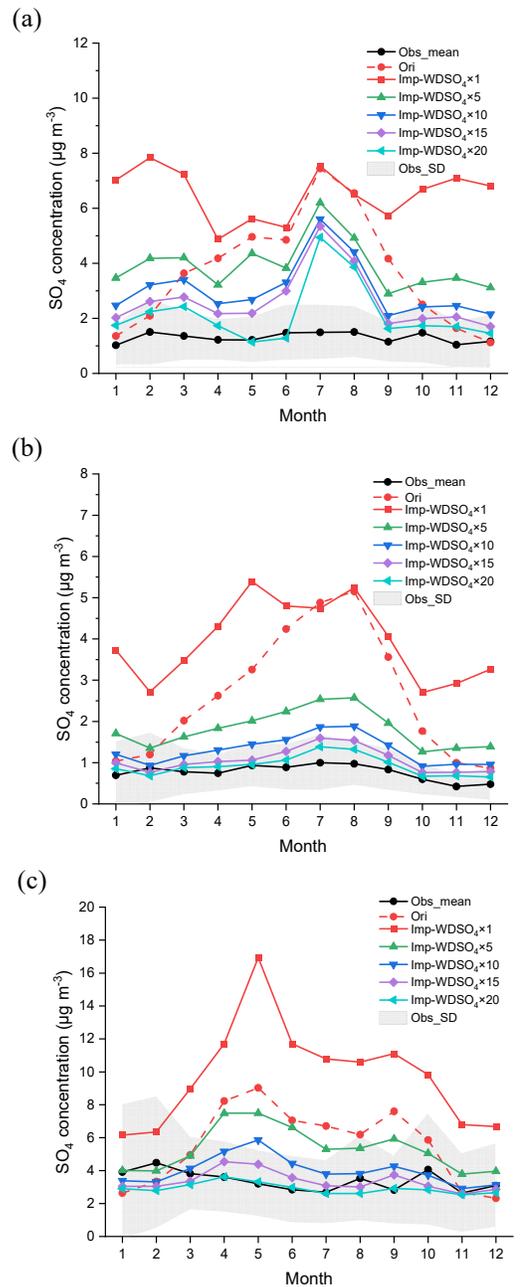
275 3.2 Comparison between the simulated and observed surface sulfate concentrations

276 A comparison with the observations of sulfate in different regions exhibits the accuracy
 277 of the model simulation of sulfate. Figure 4 shows the observed and simulated monthly average
 278 surface sulfate concentrations in the three monitoring networks over the EU (EMEP), NAM
 279 (IMPROVE) and Asia (EANET). The observations from the EANET monitoring network are
 280 further divided into “Japan and South Korea” and “Other Asia countries”, as shown in Figure S1.
 281 First, the observed concentrations of sulfate in all three regions mentioned above are relatively
 282 consistent throughout the year without large fluctuations. Compared with the observations, it is
 283 noteworthy that the surface sulfate concentrations in the Original simulation are severely
 284 overestimated in all three regions and in almost all months, especially from April to September.

285 The coupling of detailed in-cloud aqueous-phase
 286 chemical mechanisms enhances the oxidation
 287 capacity of SO₂ and further increases the sulfate
 288 concentration, especially in autumn and winter in
 289 EU and NAM and throughout the year in AS. As
 290 indicated in Ge et al. (2021), the coupling of
 291 detailed in-cloud chemistry significantly lowers SO₂
 292 concentrations and fits well with the observations.
 293 However, this results in a larger overestimation of
 294 sulfate. After adjusting the WD flux of sulfate, the
 295 concentrations decreased considerably in nearly all
 296 months. The greater the WD flux is, the lower the
 297 sulfate concentration is. Notably, the sulfate
 298 concentrations drop the most significantly at 5 times
 299 the Original WD flux and show a small, further
 300 decrease when switching to 10 or 20 times the
 301 Original WD flux. Regardless of the region, the
 302 simulations show remarkable improvement, and
 303 nearly all the Improved surface sulfate
 304 concentrations are within the range of the standard
 305 deviation of the observations, with the exception of
 306 summer in the EU. The notable overestimates in the
 307 EU in July and August may be related to the
 308 Mediterranean climate with insufficient
 309 precipitation in summer in parts of the EU, leading
 310 to the limited effect of sulfate WD.

311 **Figure 4. Monthly average surface sulfate**
 312 **concentrations ($\mu\text{g m}^{-3}$) in EU, NAM, and Asia in**
 313 **2015. The black solid lines and red dashed lines**
 314 **represent the observed and Original simulated**
 315 **concentrations, respectively. Other lines**

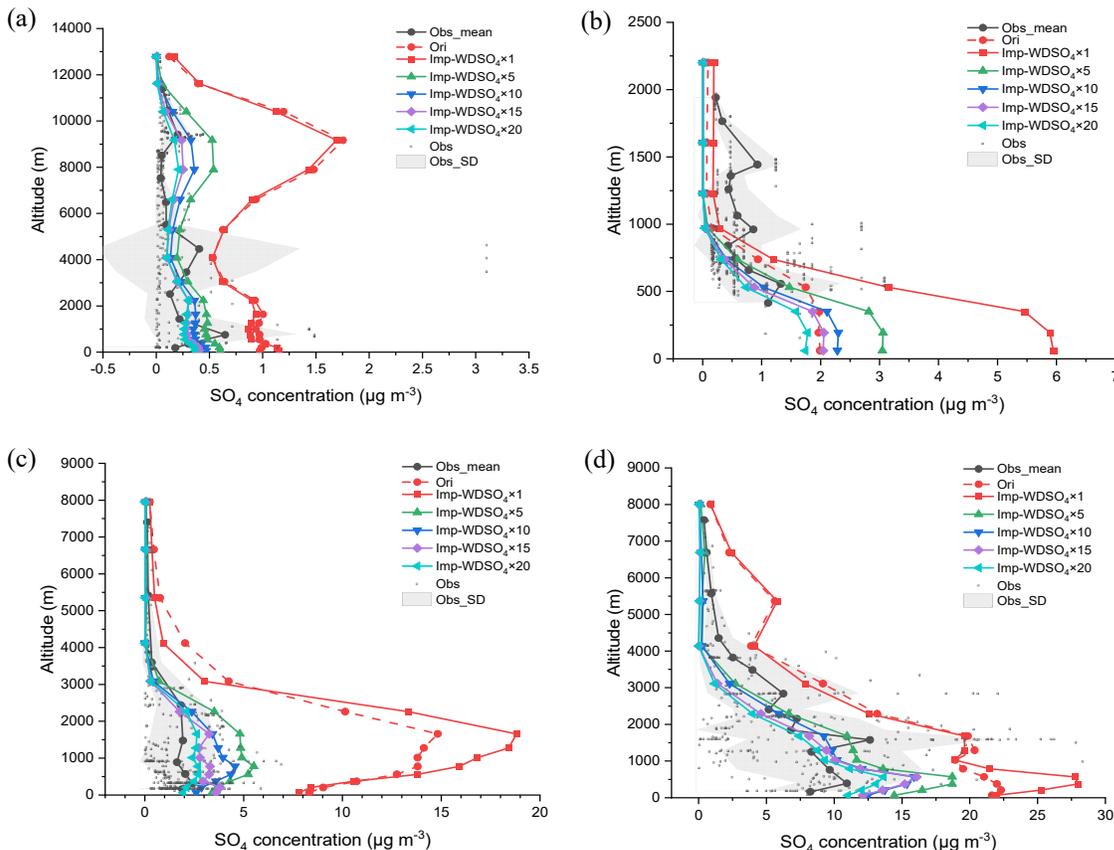
316 **represent improved sulfate concentrations with**
 317 **different levels of sulfate wet deposition fluxes. The multiples of sulfate wet deposition from**
 318 **top to bottom are 1, 5, 10, 15 and 20 (i.e., the Improved case). The gray areas represent the**
 319 **standard deviation of the observed concentrations. The observed concentrations are**
 320 **calculated by averaging the data from all monitoring stations over a region. The simulated**



321 concentrations are calculated by averaging the data from all the grids where the
 322 monitoring stations are located. The corresponding monitoring networks are (a) EMEP, (b)
 323 IMPROVE and (c) EANET.

324 3.3 Comparison between the simulated and aircraft observations

325 In addition to surface concentrations, sulfates at higher altitudes are also very important
 326 in terms of their climate effects on clouds and radiation and are thus compared with various
 327 aircraft measurements (see Figures 5 and S2). First, regardless of the aircraft measurement or
 328 simulation case, the distribution of sulfate concentration changes substantially with height (from
 329 more than $10 \mu\text{g}\cdot\text{m}^{-3}$ near the surface to near $0 \mu\text{g}\cdot\text{m}^{-3}$ at higher altitudes). Overall, the sulfate is
 330 concentrated near the ground and decreases with increasing height. Similar to the results of the
 331 surface sulfate concentration, when compared with the aircraft observations, the results of the
 332 Original case are notably overestimated, especially at low altitudes and near the ground. The
 333 coupling of in-cloud aqueous-phase mechanisms still further increases the sulfate concentration
 334 to some extent. After enhancing the WD flux, sulfate concentrations decrease markedly. The
 335 declining characteristics with different multiples are basically consistent with the surface sulfate
 336 results. That is, the higher the multiple is, the lower the concentration is and the smaller the
 337 decrease range is. Finally, most of the Improved sulfate concentrations at different altitudes are
 338 within the range of the standard deviation of aircraft observations. The overestimation of sulfate
 339 concentrations at low altitudes has been greatly mitigated.
 340



341 **Figure 5. Vertical profiles of sulfate concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) over different regions. The**
342 **black solid lines represent the average observed concentrations at different altitudes. The**
343 **red dashed lines represent the Original simulated concentrations on the same day in 2015.**
344 **Other lines represent improved sulfate concentrations with different levels of sulfate wet**
345 **deposition fluxes. The multiples of sulfate wet deposition from top to bottom are 1, 5, 10, 15**
346 **and 20 (i.e., the Improved case). The gray areas represent the standard deviation of the**
347 **observed concentrations. The black dots represent every single observational data point.**
348 **The corresponding aircraft measurement campaigns are (a) ATom on 15 August 2016, (b)**
349 **WINTER on 3 March 2015 and (c-d) KORUS-AQ on 10 May 2016 and 30 May 2016.**

350 Nevertheless, the sulfate concentrations at high altitudes are underestimated in some
351 cases. Therefore, lines of the observed and simulated results generally meet at the boundary layer
352 height (overestimate at lower levels and underestimate at higher levels), ranging from 1 to 4 km,
353 as shown in Figures 5 and S2 (Kipling et al., 2016). All the deviations in the sulfate
354 concentration simulation at different altitudes and the variability in cross regions may lead to
355 great uncertainty in the subsequent assessment of the RF of sulfate. Therefore, we performed a
356 series of sensitivity tests on RF in section 5.

357 In summary, the incorporation of in-cloud aqueous-phase mechanisms and an increase in
358 sulfate WD greatly decreases the concentration and mitigates the deviation in the sulfate
359 simulation, thus significantly improving the overall performance of sulfate simulation both near
360 the ground and at high altitudes.

361 **4 Global radiative forcing from the sulfate distribution**

362 **4.1 Radiative forcing of sulfate in different sensitivity simulations**

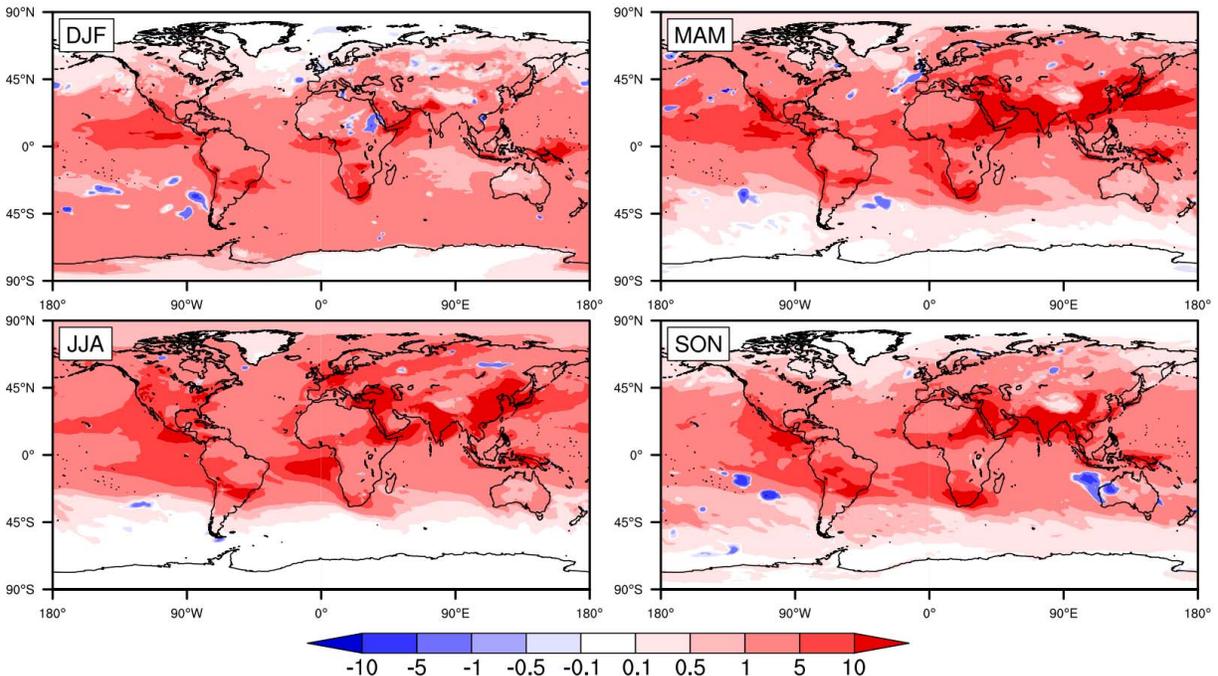
363 Sulfate has a cooling effect on climate. Therefore, the substantial reduction in simulated
364 sulfate concentrations after improvement will elevate its RF (Figure 6). Clearly, the RF of sulfate
365 increases evidently in all seasons and nearly all regions worldwide, which means an improved
366 global sulfate distribution may weaken its cooling effect significantly. Similar to the results in
367 Figures 2 and 3, such an increase in RF is also distributed unevenly throughout the world, mainly
368 in EA, SA, ME, EU and in low- and middle-latitude regions, where the value usually exceeds 5
369 $\text{W}\cdot\text{m}^{-2}$. Most high-latitude regions are rarely affected, and the increase is no more than 0.5
370 $\text{W}\cdot\text{m}^{-2}$, which is in accordance with the relatively low background distribution of the sulfate
371 concentration. Although the increase is greater in spring and summer to some extent, the
372 fluctuation is not intense throughout the year. Overall, the incorporation of in-cloud aqueous-

373 phase mechanisms and an increase in sulfate WD lead to lower SO₂/sulfate concentrations and a
 374 smaller cooling effect of sulfate, as shown in Figures 7 and S3.

375 4.2 Radiative forcing of global anthropogenic sulfate

376 The incorporation of in-cloud aqueous-phase mechanisms and an increase in sulfate WD
 377 could affect the simulation of sulfate RF from anthropogenic emissions since 1750. The RF of
 378 sulfate in 2011 relative to 1750 ($-0.41 \text{ W}\cdot\text{m}^{-2}$, -0.62 to $-0.21 \text{ W}\cdot\text{m}^{-2}$) was provided in the fifth
 379 report of IPCC (Intergovernmental Panel on Climate, 2014), as shown in Figure 7. We also
 380 referred to and summarized several previous studies related to the assessment of sulfate RF in
 381 Figure 7. Moreover, the results of our Original and Improved simulations of sulfate RF in 2015
 382 relative to 1850 are also shown in Figures 7 and S3. We also simulated the corresponding two
 383 RFs of all anthropogenic sulfates by complete removal of all anthropogenic emissions (i.e.,
 384 Original and Improved anthro-removed simulations). Compared with the results of the IPCC
 385 report, it is obvious that the RF of sulfate in the Original simulations is severely overestimated.
 386 The incorporation of in-cloud aqueous-phase mechanisms and an increase in sulfate WD
 387 markedly reduce the overestimation. The corresponding two improved-simulated RFs are -0.382
 388 and $-0.410 \text{ W}\cdot\text{m}^{-2}$, which are comparable to the IPCC report and other, previous studies (Chuang,
 389 Penner, Taylor, Grossman, & Walton, 1997; Haywood & Ramaswamy, 1998; B. Li et al., 2018;
 390 X. Ma, Shi, Guo, & Wang, 2005; Matus, L'Ecuyer, & Henderson, 2019; Ni, Zheng, Ma, Wang,
 391 & Wang, 2016; Penner, Chuang, & Grant, 1998).

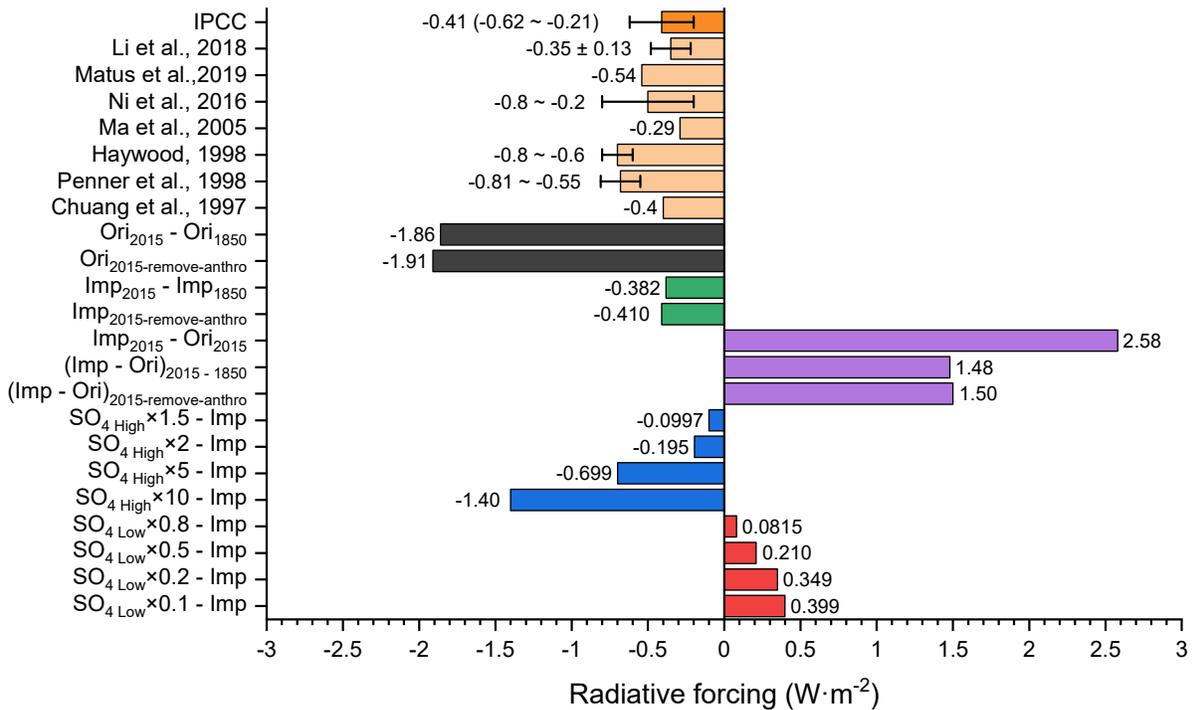
392



393 **Figure 6. The differences in global seasonally averaged sulfate radiative forcing (unit:**
 394 **$\text{W}\cdot\text{m}^{-2}$) between the Improved case and the Original case in 2015 after the incorporation of**

395 detailed in-cloud aqueous-phase chemical mechanisms and multiplication of the wet
 396 deposition flux of sulfate.

397 The results indicate that the current model tends to overestimate the sulfate concentration,
 398 which in turn leads to overestimation of the negative RF and cooling effect of sulfate. After the
 399 incorporation of in-cloud aqueous-phase mechanisms and enhancement of sulfate WD, the
 400 simulations could significantly mitigate the deviation in the concentration and RF assessments of
 401 sulfate. Such improvements could also verify the reliability of the IPCC report.



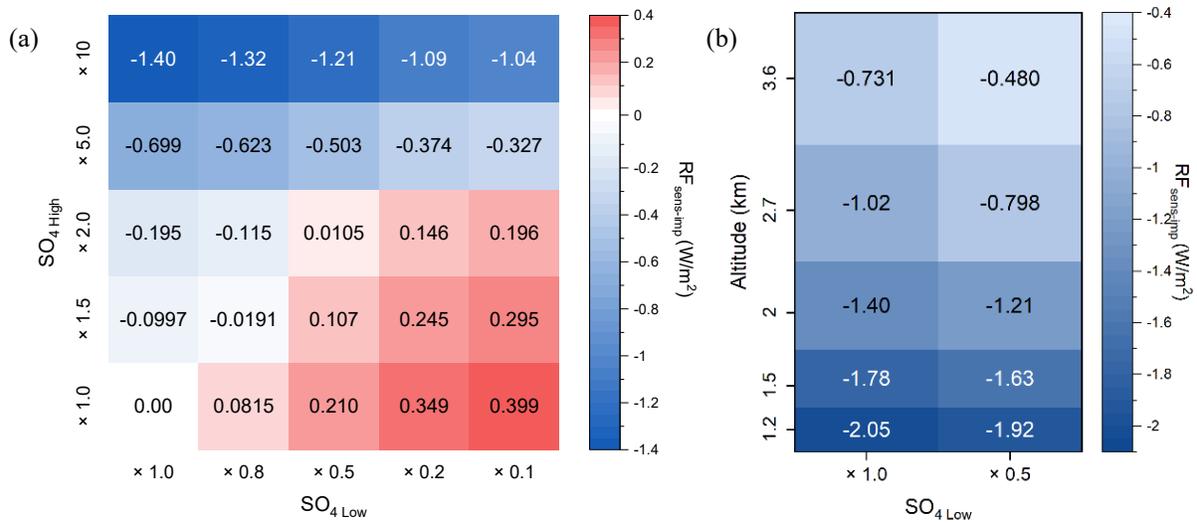
402
 403 **Figure 7. Radiative forcing of sulfate in different simulation cases and references (Chuang**
 404 **et al., 1997; Haywood & Ramaswamy, 1998; Intergovernmental Panel on Climate, 2014; B.**
 405 **Li et al., 2018; X. Ma et al., 2005; Matus et al., 2019; Ni et al., 2016; Penner et al., 1998).**

406 **5 Factors influencing the simulation of sulfate radiative forcing and uncertainty analysis**

407 Model evaluation shows an underestimation of sulfate concentration at high altitudes but
 408 overestimation within the boundary layer (shown in sections 3.2 and 3.3). This finding would
 409 lead to great uncertainty in the estimate of sulfate RF. To understand the potential variability in
 410 sulfate RF, we conducted additional sensitivity tests, as shown in Figure 8. To better fit the
 411 simulated sulfate concentration with the aircraft observations, we enhance sulfate concentrations
 412 by factors of 1~10 above the boundary layer and reduce boundary layer sulfate concentrations by
 413 factors of 1~0.1. The demarcation height of these two uncertainty factors is set to 2.0 km, and

414 four additional levels (1.2, 1.5, 2.7, and 3.6 km) were also added given the range of this value
 415 from 1 to 4 km in section 3.3.

416 Figure 8 shows the differences in sulfate RF between all the sensitivity tests and the
 417 Improved case. The corresponding global annual distributions are shown in Figures S4-5. The
 418 RF values in Figure 8a increase from left to right, indicating that the decrease in sulfate
 419 concentration at low altitude leads to weakening of the cooling effect of sulfate and increases the
 420 RF values from the Improved case. The maximum difference value is $+0.399 \text{ W}\cdot\text{m}^{-2}$ when the
 421 sulfate concentration below 2.0 km is reduced by 90%, as shown in Figure 7. On the other hand,
 422 the values decrease from bottom to top, indicating that the increase in sulfate concentration at
 423 high altitudes strengthens the cooling effect of sulfate and results in negative RF values.
 424 Similarly, when the sulfate concentration above 2.0 km increases by a factor of 10, the RF value
 425 is reduced by $1.40 \text{ W}\cdot\text{m}^{-2}$ from the Improved case.
 426



427 **Figure 8. The differences in annual global-mean sulfate radiative forcing (unit: $\text{W}\cdot\text{m}^{-2}$)**
 428 **between each sensitivity test and the Improved case in 2015. (a) The horizontal direction is**
 429 **the sensitivity test for decreasing the sulfate concentration at low altitude (below 2.0 km).**
 430 **The decrease factors from left to right are 1.0, 0.8, 0.5, 0.2, and 0.1, indicating that the**
 431 **sulfate concentrations below 2.0 km are 100%, 80%, 50%, 20% and 10% of the Improved**
 432 **case, respectively. The vertical direction is the sensitivity test for increasing the sulfate**
 433 **concentration at high altitudes (above 2.0 km). The increase factors from bottom to top are**
 434 **1.0, 1.5, 2.0, 5.0, and 10. (b) The vertical direction is the sensitivity test of changing the**
 435 **altitude of the turning point (TP, the altitude above which the model tends to**

436 **underestimate sulfate concentrations and below which the model tends to overestimate**
437 **sulfate concentrations). The altitudes of the TP are set from bottom to top as 1.2, 1.5, 2.0,**
438 **2.7, and 3.6 km. The decreasing factors for sulfate concentration at low altitude are 1.0 and**
439 **0.5, and the increasing factor for sulfate concentration at high altitude is 10.**

440 Notably, the sulfate RF basically shows a linear trend with its concentration. At the same
441 time, the differences between the bundles “1.0×1.0” ($0 \text{ W}\cdot\text{m}^{-2}$) and “2.0×1.0” ($-0.195 \text{ W}\cdot\text{m}^{-2}$) is -
442 $0.195 \text{ W}\cdot\text{m}^{-2}$, indicating that the RF was contributed by sulfate above 2.0 km. Then, according to
443 the maximum ($+0.399 \text{ W}\cdot\text{m}^{-2}$) above, we can roughly estimate that the ratio of sulfate content
444 between “< 2.0 km” and “>2.0 km” is 2.2:1, which means that most sulfate is concentrated near
445 the ground. In addition, from the two RFs above, we can generally determine that the total RF of
446 sulfate, including anthropogenic and natural sources, is $0.63 \text{ W}\cdot\text{m}^{-2}$. Then, compared with the RF
447 of anthropogenic sulfate only ($-0.410 \text{ W}\cdot\text{m}^{-2}$) shown in Figure 7, we could also roughly estimate
448 that the RF of natural sulfate is approximately $-0.22 \text{ W}\cdot\text{m}^{-2}$, and the ratio between anthropogenic
449 and natural sulfate RF is approximately 1.8:1, which means that natural sources from the ocean
450 (e.g., DMS) contribute approximately one-third of sulfate RF, and anthropogenic emissions
451 account for the remaining two-thirds.

452 In conclusion, the overestimation of the sulfate concentration near the ground would in
453 turn overestimate its cooling effect to some extent. The removal of excess sulfate would lessen
454 the negative RF of sulfate. In contrast, the underestimation of sulfate at high altitudes would
455 further lead to the underestimation of sulfate RF. The supplement for such underestimation will
456 significantly increase the negative RF of sulfate, which indicates that the underestimated sulfate
457 at high altitudes in the simulation has a much stronger cooling potential. Therefore, the
458 overestimation or underestimation of sulfate concentrations at different heights will significantly
459 increase the deviation and uncertainty of the evaluation of sulfate RF.

460 Finally, with regard to the sensitivity tests of changing the TP altitude, Figure 8b shows
461 that whether the decrease factors at low altitude are 1.0 or 0.5, the negative sulfate RF increases
462 with the decrease in TP. The downward movement of the TP enlarges the high-altitude area
463 where the model underestimates sulfate concentrations and therefore increases the negative RF
464 of sulfate. When the TP drops to 1.2 km, the sulfate RF can be further decreased by up to 2.05
465 $\text{W}\cdot\text{m}^{-2}$ based on the Improved-simulation. The opposite is true when elevating the TP altitude,
466 which expands the low-altitude area and weakens the cooling effect of sulfate. Furthermore, it
467 should be noted that various aircraft observations in section 3.3 involve different time periods
468 throughout the year and different regions around the world. This understanding infers a much
469 broader fluctuation of the TP altitudes when comparing the simulated and observed sulfate
470 concentrations at annual and global scales, which might also bring substantial uncertainties to the
471 evaluation of sulfate RF.

472 Based on the analysis above, although the improved RF of sulfate estimated in this study
473 is close to that of the IPCC report, there is the potential that the true sulfate RF may deviate
474 significantly from either this study or the IPCC report. This deviation may partly come from the

475 uncertainty of emission inventories, sulfur chemistry and sulfate wet deposition during model
476 simulations. Therefore, it is necessary to update relevant emission data in a timely manner and
477 strengthen the field study of sulfate in terms of its concentrations and wet deposition at different
478 altitudes and regions and seasons to mitigate the uncertainty of sulfate RF and provide a more
479 reliable assessment of climate change.

480 **6 Conclusions and discussion**

481 In view of the uncertainty of global simulation of sulfate concentration and the related
482 RF, this study used CESM2 and PORT models to evaluate and improve their simulation
483 performance of sulfate. After the incorporation of detailed in-cloud aqueous-phase chemical
484 mechanisms and enhanced wet deposition flux of sulfate, the simulation of sulfate concentration
485 improved significantly both near the ground and at high altitude compared with observations.
486 Consequently, such improvement in simulations of sulfate distribution further improved the
487 simulation of sulfate RF. The Improved-simulated RFs of sulfate from 1850 to 2015 and all
488 anthropogenic sources are -0.382 and $-0.410 \text{ W}\cdot\text{m}^{-2}$, respectively, which are both comparable to
489 the IPCC report and other, previous studies. Our results indicated that the wet deposition of
490 sulfate is one of the important sources of uncertainty in its concentration simulation.
491 Furthermore, the surface overestimation and high-altitude underestimation of sulfate are the main
492 uncertainty factors of the sulfate RF simulation. As reported in IPCC AR5 and 6, aerosols
493 partially offset the RF of well-mixed greenhouse gases, which is the most uncertain part of the
494 total anthropogenic forcing in climate change (Intergovernmental Panel on Climate, 2014; B. Li
495 et al., 2018; H. Zhang & Huang, 2014). As an important component of aerosols and sources of
496 negative forcing, sulfate, with its wet scavenging and related cloud processes, remains a key
497 source of uncertainty in the estimation of global RF.

498 Many countries and regions (e.g., EU, US, Japan and China) have focused more attention
499 and efforts on air pollution control in recent years, and the emissions and concentrations of PM
500 and sulfate in the atmosphere have decreased rapidly (Aas et al., 2019; Attwood et al., 2014;
501 Breider et al., 2017; Dai et al., 2021; J. Feng et al., 2021; Karplus, Zhang, & Almond, 2018;
502 Rogora, Colombo, Marchetto, Mosello, & Steingruber, 2016; Yan & Xu, 2021). Therefore, the
503 emission data sources need to be updated promptly. Moreover, it is worth emphasizing that since
504 the lifetime of sulfate is relatively short compared with that of CO_2 , the climate response from
505 sulfate emission changes may happen at a much faster pace than CO_2 . If global models
506 underestimate the sulfate cooling effects at high altitudes, they could already counteract the
507 warming effect of CO_2 significantly. As an increasing number of countries set carbon neutral
508 targets for the near future and rapidly phase out the use of fossil energy, anthropogenic sulfur
509 emissions will decline accordingly, which may cause a much more intensive warming effect than
510 expected in the short term, especially in China. Notably, various policies aiming to reduce
511 pollution over China have resulted in a dramatic decrease in aerosols, causing in an overall
512 warming effect due to the dominant role of sulfate reductions in the period of 2012~2021 (Bae,
513 Kim, Kim, Kim, & Kim, 2021; Fioletov et al., 2016; Geng et al., 2019; Jo et al., 2020; X. Li et
514 al., 2020). “Carbon peaking” and “carbon neutrality” goals were first announced in 2020 in
515 China. Consequently, the energy and industrial structures of these regions are bound to transform
516 gradually in the future, followed by remarkable changes in the emissions and levels of various
517 pollutants, including sulfate. Therefore, it is of great importance to estimate the RF of short-lived

518 pollutants and its contribution to global climate change in the following decades. All these issues
519 will be examined in our future work.

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523 interest.

524 **Open Research**

525 The Community Earth System Model 2 (CESM2) developed by the National Center for Atmospheric
526 Research can be downloaded online (<https://www.cesm.ucar.edu/models/cesm2/>). All codes used to
527 generate the results of this study are available from the authors upon request. The CMIP6 emission
528 datasets analyzed during the current study are available at [https://svn-ccsm-
529 inputdata.cgd.ucar.edu/trunk/inputdata/atm/cam/chem/](https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/cam/chem/). The MERRA2 meteorological offline data
530 are publicly available from <https://rda.ucar.edu/datasets/ds313.3/>.

531

532

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