

# Forecast of the Global TEC by Nearest neighbour technique

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## Key Points:

- A new method for forecasting the Global Ionospheric Maps of Total Electron Content is presented.
- The method is based in searching in a database that encompasses two solar cycles.
- The forecasting horizons can be adjusted on real time, without need of retraining the system.

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## 15 Abstract

16 We propose a method for Global Ionospheric Maps of Total Electron Content fore-  
 17 casting using the Nearest Neighbour method. The assumption is that in a database of  
 18 global ionosphere maps spanning more than two solar cycles, one can select a set of past  
 19 observations that have similar geomagnetic conditions to those of the current map. The  
 20 assumption is that the current ionospheric condition can be expressed by a linear com-  
 21 bination of conditions seen in the past. The average these maps leads to common geo-  
 22 magnetic components being preserved and those not shared by several maps being re-  
 23 duced. The method is based on searching the historical database for the dates of the maps  
 24 closest to the current map and using as a prediction the maps in the database that cor-  
 25 respond to time shifts on the prediction horizons. In contrast to other methods of ma-  
 26 chine learning, the implementation only requires a distance computation and does not  
 27 need a previous step of model training and adjustment for each prediction horizon. Also  
 28 provides confidence intervals for the forecast. The method has been analyzed for two full  
 29 years (2015 and 2018), for selected days of 2015 and 2018, i.e., two storm days and two  
 30 non-storm days and the performance of the system has been compared with CODE (24-  
 31 and 48-hour forecast horizons).

## 32 Plain Language Summary

33 In this paper we present a method for the prediction of Global Ionospheric Maps  
 34 of Total Electron Content. In this paper we argue that the prediction can be performed  
 35 from information contained in a database spanning two solar cycles. We also show why  
 36 the use of previous maps with similar properties allows successful prediction. We then  
 37 compare the performance of the algorithm for various horizons.

## 38 1 Introduction

39 The variations in electron density, and correspondingly in its line-of-sight integral,  
 40 the vertical total ionospheric electron content (TEC) affect satellite telecommunication  
 41 services and Global Navigation Satellite Systems (GNSS) due to the effect these fluc-  
 42 tuations have on radio wave propagation. The TEC variations induce changes that af-  
 43 fect the transmission quality either, as reduced transmission rate and positioning errors.  
 44 This justifies the importance of monitoring and predicting global TEC maps, as the knowl-  
 45 edge of the spatial distribution of TEC would allow corrections to be made. The TEC  
 46 measurement consists of the total number of electrons integrated along a  $1\text{ m}^2$  cross-section  
 47 tube, using as a unit the TECU defined as  $= 10^{16}\text{electrons}/\text{m}^2$ . The prediction of Global  
 48 Ionospheric Maps (GIM) at different horizons is important because the ionospheric de-  
 49 lay is main limiting factor in high-accuracy positioning. These predictions may allow achiev-  
 50 ing sub-meter accuracy for mass-market single-frequency receivers (García-Rigo et al.,  
 51 2011). In this paper we propose a method for Global Ionospheric Maps of Total Elec-  
 52 tron Content forecasting using the Nearest Neighbour method which we denote as NNGIM.

## 53 2 Issues regarding the TEC map prediction.

54 The difficulty in predicting TEC maps of the ionosphere stems from the fact that  
 55 the quality of the prediction depends on geomagnetic activity, season, geographical lo-  
 56 cation, ionospheric structures, such as equatorial ionization anomaly (EIA), and storm-  
 57 enhanced density (SED). Besides, the sparsity in the geographical distribution of sta-  
 58 tions leads to problems related to interpolation in regions not covered by these stations.  
 59 Added to the problem of variability and dependence on external factors, the prediction  
 60 of GIM maps by machine learning techniques is affected by the need for machine learn-  
 61 ing techniques to infer prediction rules from examples. This means that the database

to train the system has to be rich enough to represent most of the combinations of effects acting on the ionosphere. One intrinsic limitation of machine learning-based systems is the availability of a database that sufficiently covers the multiple forms of phenomena that can occur. In the works cited below, most of the prediction proposals are made using databases covering at most one solar cycle. In this work, we will be using UPC-IonSAT’s database, which covers more than two solar cycles. It is important to highlight the importance of having more than one solar cycle to infer the structure and parameters of the forecasting system. Within the long-term solar cycle periodicity, there is large variability. As an example analyzed in this paper, we can mention two dates when storms occur. I.e., the Saint Patrick storm of 17 March 2015 (maximum of solar cycle C23) and the storm of 25-26 August 2018 (minimum of solar cycle C23). These are dates in different phases of the solar cycle, in which we have high solar and geomagnetic activity superimposed on different basal levels of ionization. In Appendix 9 Tables 5 and 7, summarise the Kp values and the solar flares that occurred on these days. In these two days, the activity in terms of Kp values and magnitude of the flares is similar. Therefore, within the periodicity associated with the solar cycles and the season of the year, there is a high variability that makes it difficult to infer prediction rules. This high variability, in addition to the baseline levels of activity due to the periodicity components, justifies the need for a long enough database.

The need for a database that sufficiently covers the variability of GIMs presents significant technical problems from the point of view of prediction algorithms. In the case of two solar cycles, with maps at a rate of one every 15 minutes, the resulting database consists of more than one million maps. The use of databases of this size makes the hardware requirements demanding, and the computational time requirements to perform topology and parameter tuning of the machine learning system are substantial.

To address the problem the above mentioned problem, i.e., of training a machine learning system for forecasting the GIMs, making, there are two approaches.

- **Local approach:** In this case, a specific subset of the database is constructed from the current observation. An example is Monte Moreno et al. (2018), in which maps immediately before the current map are used, and based on these maps and the tangent spaces a linear combination is generated that predicts the maps in the immediate future. This approach assumes that the change in the maps has inertia that determines the future evolution. In C. Wang et al. (2018) they apply a similar idea to calculate the autoregression coefficients that predict the values of the spherical harmonics that allow the GIMs to be reconstructed. Another approach is the one followed in this article, in which prediction is made based on past examples that have a small distance to the current observation. This approach assumes that conditions similar to the one observed in the current map have occurred in the near past and that the temporal evolution of the current map can be inferred from the evolutions seen in the previous history. A noteworthy aspect of the local approximation is that increasing the number of prediction horizons does not lead to a significant increase in computation time, as most of the computation time comes from determining the coefficients in a window that spans a limited amount of time.
- **Global approach:** In this case, the prediction model uses all the historical GIMs. One consequence of this is that to make a reliable prediction, the model has to be estimated from a sufficiently rich set of examples. This leads to problems of implementation. For Support Vector Machines, this approach is infeasible, since it is necessary to create the Gram matrix, which is the square of the number of examples, and it must be kept in memory. In the case of Deep Learning (Goodfellow et al., 2016), the training has to be carried out in Graphical Processing Units (GPU), which have limited memory. In the author’s experience (EMM), when trying to solve this problem with Convolutional Neural Networks, on a high-end GPU, train-

ing one model took about a week. This is without taking into account the need to repeat the training to test different topologies and adjust parameters. This computational requirements were for the case where to reduce the model’s complexity and take advantage of seasonal similarities in mapping, one model per month of all the years was trained. Even with this partitioning of the database, the resulting model occupied between 1 Gigabyte and 5 Gigabytes depending on the topology. The resources needed to perform the prediction in production, in this case, were significant, as the model has to be loaded into memory and the prediction operations have to be performed.

Another significant limitation in the approach using Deep Learning and similar methods is that either a completely new model or a more complicated topology has to be trained when increasing the number of prediction horizons. In contrast, in the method we propose NNGIM, which is based on finding the nearest map, increasing or changing the values of the horizons has minimal repercussions on the execution time.

A natural model for forecasting the GIM maps that has been used literature (see Section 3) is the Long Short Time Memory (LSTM) (Goodfellow et al., 2016) architecture. A very significant limitation of the LSTM architectures is that they consist of units that have saturating nonlinearities, such as hyperbolic tangent and sigmoid. Since the GIM statistics are long tail (see the last section of Monte Moreno et al. (2018)), the units work much of the time in saturation and cannot model large amplitudes. One consequence is that precisely the regions of interest where there are large TEC gradients cannot be modelled correctly by these units. This is why (EMM), in a first approach to the problem, opted for CNN with Relu-type non-linearities. The complexity of Deep Learning based methods was one of the motivations for seeking a more simple approach to the problem.

### 3 Precedents and limitations of the GIM forecast performances

We will now discuss some precedents to put the NNGIM in context. The features and limitations of other GIM prediction methods will allow us to justify NNGIM design decisions. This section will also serve to establish the limitations of the global approach to forecasting.

- **Global approach:** A first approach to the problem of predicting TEC maps consists of predicting TEC values for specific stations, thus obtaining a local description of the TEC distribution. This is the case of Xiong et al. (2021), where they predict the TEC over China using a variant of the LSTM type networks (ED-LSTM). This type of method differs from ours in the sense that the prediction is done at the station level and there is no interpolation process. One point to note is the use of data from one solar cycle (Jan 2006 to April 2018). The authors use training data from 2006 to 2016, validation between Jan 2017 and April 2018. To avoid the problem of the solar cycle-dependent baseline TEC level, and to adapt the data to the structure of the LSTM grids, the authors normalise the data. This assumes that the variations around the baseline TEC value are similar between different times of the solar cycle. This solves the problem of the variation of the mean TEC level with the solar cycle. One problem related to their approach is that the neural network units they apply have saturation-type non-linearities, which has as a consequence that for extreme values, the units work on saturation. Note that the statistics of the TEC distribution is Leptokurtic, i.e., long tail. On the other hand, an advantage of the type of neural network they employ is that it allows the use of external data naturally in the architecture (solar flux and geomagnetic activity data). In addition to the LSTM architecture (ED-LSTM), the authors explore other architectures and provide a performance hierarchy. The forecast horizons are 2-hour, 3-hour, and 4-hour, using as input a window of past samples between

167 one day and three days. An important lesson from this work is that the inertia  
 168 hypothesis, in the sense that the temporal evolution of the TEC follows a trajec-  
 169 tory specified by the near past, leads to a prediction barrier at a horizon of a few  
 170 hours. This limit on the prediction horizon under these conditions was also found  
 171 in Monte Moreno et al. (2018).

172 An article reporting a related architecture is Cherrier et al. (2017). Unlike the pre-  
 173 vious case, the objective was to predict global TEC maps, with a resolution of 5  
 174 x 2.5 degrees in longitude and latitude. The temporal resolution was 2 hours. To  
 175 solve the diurnal cyclicity problem, they use a solar centred reference frame. The  
 176 authors propose the prediction of global maps with prediction horizons increas-  
 177 ing in two-hour steps up to 48 hours. The input data were the maps for the three  
 178 immediately preceding days. The type of architecture they propose is based on  
 179 a sequence to sequence, in which CNN-type networks are combined with memory  
 180 networks, either LSTM or Gated Recurrent Units (GRU), both with saturating  
 181 nonlinearities. The authors report that prediction at intervals longer than 24 hours  
 182 did not achieve good results; in fact, in the 24-hour prediction, they obtain a re-  
 183 sult that improves the cyclic prediction by only 6%. The study was conducted us-  
 184 ing the data from 1/1/2014 to 12/31/2016. Note also, that the use of LSTM or  
 185 GRU also suffers from the limitation that the observations are leptokurtic, which  
 186 means that the nonlinearities work in saturation for extreme values.

187 In Liu et al. (2020) they propose a system based on the use of two LSTM layers  
 188 followed by a fully connected dense layer for the prediction of the global TEC maps.  
 189 Unlike the previous cases, the prediction is performed directly on the spherical har-  
 190 monic (SH) used to build the GIMs. In this approach, in addition to using the in-  
 191 formation in the recent past (24h) regarding the SH, they also use external infor-  
 192 mation that helps to make the prediction, such as the solar extreme ultraviolet  
 193 (EUV) flux, the hour of the day, and disturbance storm time (Dst) index. The pre-  
 194 diction horizon is set to 1 hour and 2 hours. It is interesting to note that the pre-  
 195 diction has an error with respect to frozen maps (persistence) of 60 % at one hour  
 196 and 63 % at two hours. Note that (although the experiment is not totally com-  
 197 parable) this gain is similar to the obtained by the frozen cyclic approach vs. the  
 198 persistence hypothesis, see section 7. As a test base, the intervals before and af-  
 199 ter the interval used for the training base were used. That is, for the training base  
 200 the interval: 1 January 2015 to 26 May 2016 and for the test base the intervals  
 201 19 October to 31 December 2014 and 27 May to 31 December 2016, thus ensur-  
 202 ing a similarity between the training and test conditions.

203 The methodology of the above-mentioned works is correct from the point of view  
 204 of Deep Learning type network design, however, despite the correctness, it reflects  
 205 the limitations of this type of technique. These limitations are typical of the gen-  
 206 eral approach to the TEC prediction problem using Deep Learning and do not in-  
 207 dicate a misuse of the technique by the authors. Limitations of Deep Learning are  
 208 the need to process the input data such as normalisation or de-trending of the TEC,  
 209 the difficulty of performing a test under train-like conditions, the fact that some  
 210 networks require saturating nonlinearities that are not fit for long-tail input dis-  
 211 tributions, and the presence of a prediction horizon lower than 24 hours.

- 212 • **Local approach:**

213 This approach uses information from recent activity to estimate the parameters  
 214 of the prediction model.

215 In C. Wang et al. (2018), the authors describe a system based on autoregressive  
 216 models, with coefficients computed from a history covering the previous 30 days.  
 217 The prediction is made on the SH coefficients, which allow the GIM to be recon-  
 218 structed. By estimating the model locally, they can adapt the system to short-term  
 219 climatology. This allows them to test the model at different times of the solar cy-  
 220 cle, without the need for special partitioning of the database, as is done in the case  
 221 of deep learning. The performance of the model is tested against CODE, IGS prod-

222 ucts, and TEC measurements via JASON. The prediction result is different de-  
 223 pending on the activity at the time, with worse results at times of high activity.  
 224 One result is that the RMSE error of prediction during a low activity period was  
 225 1.5 TECUs at 24 hours. In Krankowski et al. (2005) the authors use autoregres-  
 226 sive moving average (ARMA) for VTEC prediction for stations in Northern Eu-  
 227 rope. In this article, they use information related to the analysis in wavelets to  
 228 establish the prediction at 1, 2, and 3-hour horizons, calculating the ARMA co-  
 229 efficients from the last 7 days. The TEC profiles follow a daily pattern, so an ARMA-  
 230 type method is suitable for modeling the cyclicities.  
 231 In García-Rigo et al. (2011), the authors propose a method for the prediction of  
 232 GIMs with horizons of up to 2 days. It is based on a method that predicts the co-  
 233 efficients of the discrete cosine transform (DCT) by an autoregressive method. The  
 234 autoregressive coefficients are calculated locally using information from the last  
 235 week’s maps. From the predicted DCT coefficients, the map at the horizon of in-  
 236 terest is computed. By calculating the coefficients using a recent past and using  
 237 the maps of the previous 24 hours for the prediction, the system can adapt to the  
 238 current weather conditions. The results were validated with JASON measurements.  
 239 In Monte Moreno et al. (2018) a prediction system is proposed based on an au-  
 240 toregressive model of the maps of the last 24 hours, together with the components  
 241 of the tangent spaces associated with each of the previous maps. The forecast hori-  
 242 zons range from half an hour to 24 hours. The tangent space information allows  
 243 to increase the information on the possible trajectory and deformation of the map  
 244 over time, and in some way to reflect how the ionospheric climatology changes the  
 245 shape of the high ionisation regions. One feature related to the comparison with  
 246 other methods, is the improvement in % of the prediction method compared to  
 247 a frozen reference in a sun-fixed reference frame. The reference will be the pre-  
 248 diction error of keeping the map frozen (see section 7 for more information). As  
 249 shown in Table 1, the prediction performance has a concave profile. The perfor-  
 250 mance is computed using the recent past, and with autoregressive model coeffi-  
 251 cients calculated with recent values as well, The best prediction compared to frozen  
 252 is at a 3-hour horizon, increasing thereafter. At 24 hours, the improvement is only  
 253 5 %, which is in line with methods based on deep learning. This leads us to think  
 254 that there is a certain horizon barrier in terms of prediction using the recent past  
 255 as input.

Table 1: Forecast vs. Frozen (% RMSE) for the Tangent Space.

Horizon:	1/2 h	1 h	2 h	3 h	6 h	24 h
Forecast vs. Frozen:	84.99 %	77.65%	71.35%	69.34%	87.23%	95.76%

256 The analysis of the precedents leads us to the conclusion that the information im-  
 257 mediately prior to the current map does not allow reliable predictions of GIM maps at  
 258 horizons longer than a few hours. They also indicate the limitations and difficulties of  
 259 training prediction models, and the complexity of the models and partitions of the database.

260 This leads us to look for a different approach, in which the prediction is made by  
 261 searching for situations similar to the current one in a sufficiently large database. A by-  
 262 product of this approach is that it allows to create confidence margins of the forecast in  
 263 a natural way (see Section 8.4)

## 4 UPC-IonSAT real-time Global Ionospheric Maps and Data preprocessing

The GIMs are generated from data gathered from several hundred worldwide GNSS stations. This data stream is obtained through the protocol used by the RT IGS working group and the data processing is performed using the UPC-IonSAT ionosphere model.

The streaming protocol referred to as “Networked Transport of Radio Technical Commission for Maritime Services (RTCM) via Internet Protocol” (NTRIP), was developed by the German Federal Agency for Cartography and Geodesy (BKG), enables the streaming of the observation data from the worldwide permanent GNSS receivers (Weber et al., 2005).

The UPC-IonSAT’s RT TOMographic IONosphere Model (RT-TOMION) is a 4D (3D+time) model of the global state of the ionosphere, focused on RT estimation of TEC, mainly based on GPS dual-frequency measurements with the hybrid geodetic and tomographic ionospheric model, and robust to various types of deterioration. This model is the extension of the Tomographic Ionospheric Model (TOMION) developed by UPC in the 1990s and has been employed for UPC RT/near-RT ionosphere service of IGS since 2011 (Hernández-Pajares et al., 1999, 2000; Hernández Pajares, 2014; Roma Dollase et al., 2015; Orús et al., 2005).

Also, the VTEC interpolation techniques of the UPC RT- TOMION model is performed either by spherical harmonics or Kriging (Orús et al., 2005) so to fill the gaps where data is lacking. In addition, the most recent maps are interpolated by means of the ADDGIM algorithm presented in Yang et al. (2021). For more details of the processing and interpolation of the GIMs, see Yang et al. (2021).

## 5 NNGIM algorithm

In this section, we will define the Nearest Neighbour GIM (NNGIM) algorithm. This algorithm consists of searching for the  $N$  maps closest (in Euclidean metric) to the current one in the database of past maps (more than one solar cycle). Then, from these maps, the GIMs with an offset equal to the prediction horizon are retrieved and averaged.

The assumption underlying the NNGIM algorithm is that in a database that encompasses more than one solar cycle, a small number of maps with a small distance to the current one can be found, and that have ionosphere conditions in common with the current one, might characterize the maps at a time shift equal to the forecast horizon. Although each ionosphere condition is unique, it is assumed that in the past there have been conditions with a similar composition of external features and that the average of all of them will reflect the specific features of the current one. The set of similar maps therefore take into account the cyclical aspects that influence the overall distribution of TEC along with the various external influences. That is, if we select a set of future map values closer to the current one when averaging, common values in subsets of the future maps will be retained, while non-common conditions will be attenuated. Note that the idea behind the assumption is that there will be subsets of maps representing similar ionospheric conditions, and the overall composition of these parts will allow us to approximate previously unseen situations. We assume that these previously unseen situations are composed of subgroups that characterize part of the previous conditions common to the current situation.

The UPC-IonSat GIMs database, which spans over two solar cycles and consists of more than  $10^6$  maps, was used to implement the method (see Yang et al. (2021) for details).

311 In the Algorithm diagram 1 we present the summary of the NNGIM algorithm. A  
 312 detailed explanation of the algorithm is given below, defining also the variables involved.

313 The input of the algorithm consists of a database spanning more than two solar  
 314 cycles ( $Db_{AllMaps}$ ). Note that for consistency in the computation of the distance between  
 315 maps at different moments, the database and the current map are transformed to sun-  
 316 fixed geomagnetic coordinates. After the forecast, the inverse transform is performed.

317 Since the maps have a seasonal component with a mean TEC value that depends  
 318 on the season of the year (see Figure 4), the search for the nearest map will be carried  
 319 out in the vicinity of the current month. Therefore, given the date of the current map  
 320  $Date_{Test}$ , the month is extracted ( $M_{Tst}$ ), and maps the current month and a window  
 321 of  $\pm W_{NeighMonths}$  months are selected from the database. In the experiments, a neigh-  
 322 bourhood of  $W_{NeighMonths} = 1$  was taken. Other parameters are the forecast horizon  
 323 in hours ( $Horizon$ ) and the number of nearest neighbours ( $Num_{NN}$ ). The next step is  
 324 to construct a second database ( $Db_{Ima}$ ), which will consist of the maps with the cur-  
 325 rent map month and the neighbouring months for all years. The Euclidean distance be-  
 326 tween the current map  $Map(Date_{Test})$  and the maps in the  $Db_{Ima}$  database is then cal-  
 327 culated. (lines 3 to 7 of the Algorithm diagram 1). The vector of distances is then sorted  
 328 from smallest to largest (line 8 of the Algorithm diagram 1) and assigned to the vector  
 329 of indices  $Index_{MinDist}$ .

330 We define  $Num_{NN}$  as the number of maps to be used for prediction estimation.  
 331 The Algorithm diagram 1, lines 9 to 15 describe the process for generating the predic-  
 332 tion. For the nearest  $Num_{NN}$  maps, we find the corresponding index  $IndexMap$  and  
 333 the associated date  $Date[IndexMap]$ . Next, we add the offset  $Horizon$  to generate the  
 334 date  $Date_{NNMap}$  associated with each of the maps. The maps associated with each date  
 335  $Date_{FutMap} \leftarrow Date_{NNMap} + Horizon$  are combined to generate the future map  $ForecastMap$ .

336 Finally, from the maps of the horizon shift, the standard deviation at the pixel level  
 337 is calculated, as shown in line 17.

338 Various strategies for combining the maps were tested, such as a simple average,  
 339 a distance-weighted average, or weight that diminishes with the time difference. We also  
 340 tried a trim mean, defined as the average of the values of each specific pixel in the maps,  
 341 using only the values between the 25th percentile and the 75th percentile. The median  
 342 of the pixels of the nearest  $Num_{NN}$  maps was also tested. The combination that gave  
 343 the best results was a simple average of the maps.

344 One parameter to be adjusted is the number  $Num_{NN}$  used to calculate the fore-  
 345 cast. This value depends on the forecast horizon and the month of the year. For all ex-  
 346 periments we chose a value  $Num_{NN} = 500$ . The choice was made based on the perfor-  
 347 mance during June 2019 and was explored for values between 1 and 1000. The ration-  
 348 ale for the choice of date was to have a date in a cycle (C24) different from the cycle in  
 349 which the results are presented (C23), and also at a season of low activity. The exper-  
 350 iments showed that for this month and horizons between 3 hours and 48 hours the op-  
 351 timum value was between 150 and 700. In the real-time implementation, a look-up ta-  
 352 ble will be used in which the month and horizon will be related to the  $Num_{NN}$  value.

353 An interesting result is that using only the nearest neighbour, i.e.,  $Num_{NN} = 1$   
 354 provided results with a quality equal to using the cyclic version of the map, (defined as  
 355  $\hat{Map}_{cyclic}(t + \tau) = Map(t - 24h + \tau)$ ). The performance did not improve until using a  
 356 number of  $Num_{NN}$  greater than 50. This leads us to think that the use of a large num-  
 357 ber of maps allows us to create a representation of the possible contributions of the fac-  
 358 tors that affect ionisation. The explanation is that the combination of external factors  
 359 is larger than the number of examples in the database. The underlying assumption is  
 360 that the current combination of factors affecting ionisation can be expressed as a linear  
 361 combination of similar situations in the past.

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**Algorithm 1:** The NNGIM algorithm

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**Data: Inputs to the algorithm:**  
 $Date_{Test} \leftarrow$  Date of the test GIM;  
 $Db_{AllMaps} \leftarrow$  All GIMs of two solar cycles in sun-fixed geomagnetic coordinates;  
 $W_{Neigh} \leftarrow$  Window of Neighbouring Months;  
 $Num_{NN} \leftarrow$  Number of elements for computing the mean of the Nearest Neighbours;  
 $Date \leftarrow$  Dictionary of Dates, indexed by Map number;  
 $Horizon \leftarrow$  Forecast Horizon in hours;

**Result:**  $Forecast_{Map}, Forecast_{Map}^{Std}$

- 1 **Generate the Forecast Database;**
- 2  $M_{Tst} \leftarrow GetMonth(Date_{Test})$ ; /\* Month of the current map \*/
- 3  $Db_{Ima} \leftarrow \emptyset$ ; /\*  $Db_{Ima}$  Map DataBase of Current and Neighbouring Months \*/
- 4 **for**  $M=M_{Tst} - W_{Neigh}$  **to**  $M_{Tst} + W_{Neigh}$  **do**
- 5 |  $Db_{Ima} \leftarrow (Add\ to\ set)Db_{AllMaps}(M)$ ; /\* Add maps for month M \*/
- 6 **end**
- 7  $Mat_{Dist} \leftarrow Distance(Db_{Ima}, Map(Date_{Test}))$ ; /\* Distance from  $Map(Date_{Test})$  to  $Mat_{Dist}$  \*/
- 8  $Index_{MinDist} = Argsort(Mat_{Dist})$ ; /\* Argsort returns the Indices of the sorted  $Mat_{Dist}$  \*/
- 9  $For_{Map} \leftarrow \emptyset$ ; /\* Compute mean value of the nearest maps at timestamp + horizon \*/
- 10 **for**  $NumMap=1$  **to**  $Num_{NN}$  **do**
- 11 |  $IndexMap \leftarrow Index_{MinDist}[NumMap]$ ;
- 12 |  $Date_{NNMap} \leftarrow Date[IndexMap]$ ;
- 13 |  $Date_{FutMap} \leftarrow Date_{NNMap} + Horizon$ ;
- 14 |  $For_{Map} \leftarrow For_{Map} + Db_{AllMaps}[Date_{FutMap}]$ ;
- 15 **end**
- 16  $Forecast_{Map} \leftarrow For_{Map}/Num_{NN}$ ;
- 17  $Forecast_{Map}^{Std} \leftarrow Compute_{STD}(Db_{AllMaps}, Date, Index_{MinDist}, Horizon)$ ;

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362 A product of this algorithm is that it can provide confidence intervals for the GIMs,  
 363 i.e. the local standard deviation of the ionisation values. The estimation of confidence  
 364 intervals can be done directly, as a collection of several hundred maps is available. One  
 365 of the features of the maps from which the prediction is constructed is the variability around  
 366 a central value, as shown in Figure 2. Therefore from the set of maps used to generate  
 367 the prediction, one can estimate a standard deviation  $Forecast_{Map}^{Std}$  at a pixel level, defin-  
 368 ing this standard deviation as the deviation of the maps from the mean value of the pre-  
 369 diction  $Forecast_{Map}$ . One point that we show in section 8.4 is that the prediction cov-  
 370 ers most of the area of the reference map  $Ref_{Map}$ , so we can consider that this variance  
 371 provides us with an adequate measure of uncertainty for the prediction.

### 372 Improvements

373 The improvements we envisage in the next step are to change the average distance,  
 374 using a metric on the manifold in which the map is located. This is the distance defined  
 375 in L. Wang et al. (2005) in which coefficients of the angle between coordinates  $g_{i,j} = \langle$   
 376  $e_i, e_j \rangle$  are used to weight the Euclidean distance. The advantage of using this distance  
 377 is that it allows considering in the similarity measure between maps, distortions such as  
 378 shifts, rotations, etc. The reason why it has not been used in this implementation is that  
 379 it requires a computational load proportional to the square of the number of map ele-  
 380 ments. With the current hardware capabilities at 202, the computation of  $Mat_{Dist}$  took  
 381 about ten minutes, so it was not implemented in the final prototype.

382 Another improvement is to use a heuristic that decreases the computational needs  
 383 to determine the nearest neighbors. That is, an algorithm with a suitable heuristic for  
 384 the dimensionality of the maps and with a lower search cost, as is the case of Omohundro  
 385 (1989). The fact that the GIMs have the ionisation levels distributed in clear and dis-  
 386 tinct regions makes this algorithm efficient. This might allow implementing a distance  
 387 with higher computational cost as the nearest neighbour search cost can be decreased.

388 The computational cost on an iMac i7 using one core of applying the algorithm was  
 389 as follows. The Euclidean distance  $Mat_{Dist}$  from a map  $Map(Date_{Test})$  to the database  
 390  $Db_{Ima}$  consisting of the current month and the two neighbouring months (with 170,000  
 391 maps) was of the order of 135 ms, and the cost of sorting the distances  $Argsort(Mat_{Dist})$   
 392 of 9 ms, the calculation of the average map  $Forecast_{Map}$ , was less than 1 ms.

393 The most time-consuming part of the algorithm is the loading into memory of the  
 394 pre-computed database  $Db_{Ima}$ , which occupies 2 Gigabytes. The time cost on an SSD  
 395 is in the order of 2 seconds. However, in a real-time application, the database can be kept  
 396 permanently in memory.

397 The real-time prediction of the implementation of this algorithm can be found at  
 398 the following URL: *NNGIM forecasts at different horizons* (n.d.), with the following nam-  
 399 ing convention:

400 The three regions where the forecast was done: Global Forecast (un\*g), North-Pole  
 401 Forecast (un\*n), South-Pole Forecast (un\*s) And the different horizons that were im-  
 402 plemented in real time:

- 403 1 un0g/un0n/un0s: 1 hour Forecast
- 404 2 un1g/un1n/un1s: 6 hour Forecast
- 405 3 un2g/un2n/un2s: 12 hour Forecast
- 406 4 un3g/un3n/un3s: 18 hour Forecast
- 407 5 un4g/un4n/un4s: 24 hour Forecast
- 408 6 un8g/un8n/un8s: 48 hour Forecast

409 The Polar predictions consist of segments of the global map clipped at 45 degrees of lat-  
 410 itude.

## 411 6 Illustration of how the algorithm works

412 To understand how the algorithm works, we will consider two points of view.

- 413 1. How the dates of the nearest maps are distributed along the solar cycles: *C23*, *C24*  
 414 and *C25*.
- 415 2. Examples of actual maps to understand how is the variability of the nearest neigh-  
 416 bours.

417 We will perform the analysis on day *2019-05-21 16:15:00 UTC* a *C25* cycle day  
 418 during summer.

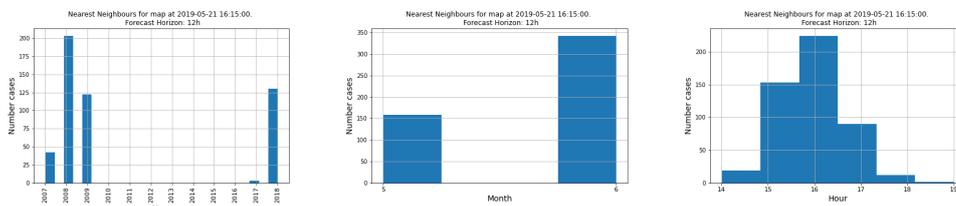


Figure 1: Nearest maps are distributed along solar cycles *C24* and *C25*. Histograms of the years (left), months (center) and time of day (right) of the nearest maps to the map at *2019-05-21 16:15:00 UTC*.

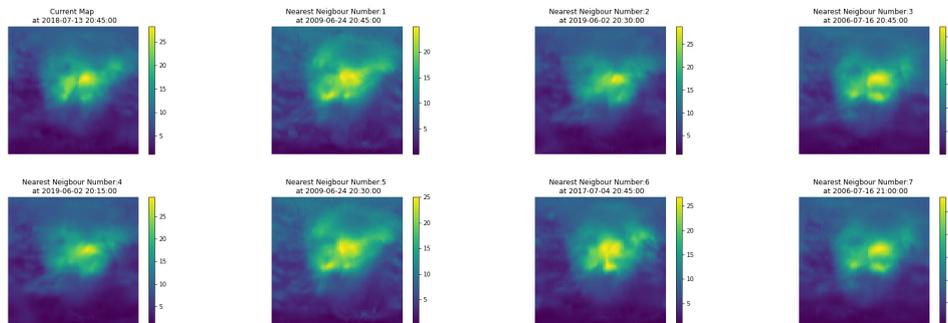


Figure 2: Current map at *2018-07-13 20:45:00 UTC* (subplot at upper left corner), and the seven Nearest Neighbours. All maps in sun-fixed geomagnetic coordinates

- 419 1. In Figure 1 we show that the nearest neighbours are distributed over years in the  
 420 same phase of the cycle. Using only examples from the two cycles *C23* and *C24*.  
 421 The algorithm does not select any maps from the previous month, and most of  
 422 the closest maps are from the next month. As we will see later, there is a signif-  
 423 icant dependence of the behaviour of the algorithm on the month in which the pre-  
 424 diction is made. As for the time of day, most of the examples are at the same time  
 425 of day plus or minus one hour.

426 2. Next, we consider the variability of the closest maps. The variability of these maps  
 427 reflects the ionospheric conditions that are common and those that differ. In Fig-  
 428 ure 2 we show the map for *2018-07-13 20:45:00 UTC* and the seven nearest neigh-  
 429 bours in the Euclidean distance sense. To facilitate the comparison, we present  
 430 the maps in sun-fixed geomagnetic coordinates, which are the setting in which the  
 431 software computes the distance between maps. The selected maps are from the  
 432 same time of the year and at similar moments of the solar cycle. On the other hand,  
 433 the morphology is variable, which indicates that each of the maps reflects iono-  
 434 spheric conditions that have parts in common with the current map as well as spe-  
 435 cific components. The hypothesis underlying the NNGIM model is that the compo-  
 436 nents common to the current map are preserved by the average, and those that  
 437 are not common are smoothed out. This variability around common values allows  
 438 to estimate confidence intervals can capture the most likely ranges in the true ref-  
 439 erence value. The maps at a future shift equal to the prediction horizon exhibit  
 440 very similar visual features. For reasons of space and similarity between figures,  
 441 we do not show them.

## 442 7 Selection of the Benchmark

443 In this section, we will define the benchmark to assess the performance of the al-  
 444 gorithm. A commonly used reference as benchmark predictor is either a prediction us-  
 445 ing the current *frozen* map or as a prediction the *cyclic* map, that is, the immedi-  
 446 ately preceding map of the same time as the time to be predicted. We will formally define the  
 447 two predictors as follows:

- 448 • **Frozen:**  $\hat{Map}_{frozen}(t + \tau) = Map(t)$
- 449 • **Cyclic:**  $\hat{Map}_{cyclic}(t + \tau) = Map(t - 24h + \tau)$

450 As a benchmark in the following sections, we will use the cyclical prediction  $\hat{Map}_{cyclic}(t +$   
 451  $\tau)$ .

Table 2: Forecasting RMSE (TECU) for  $\hat{Map}_{frozen}(t + \tau)$  vs.  $\hat{Map}_{cyclic}(t + \tau)$  (June 2019)

Horizon: $\tau$ (hours)	3h	6h	8h	12h	16h	20h	24h	28h	32h	36h	48h
$\hat{Map}_{frozen}(t + \tau)$ (TECU)	1.87	2.35	2.51	2.59	2.51	2.18	1.42	2.19	2.57	2.61	1.54
$\hat{Map}_{cyclic}(t + \tau)$ (TECU)	1.43	1.43	1.41	1.45	1.41	1.42	1.42	1.42	1.42	1.44	1.42

452 We argue this decision through Table 2, in which we show the prediction errors in  
 453 RMSE (TECU) for prediction horizons ranging from 3 hours to 48 hours. In this case  
 454 one can see that the prediction cyclic  $\hat{Map}_{cyclic}(t + \tau)$  RMSE error and the standard  
 455 deviation are constant regardless of the prediction horizon, and equal to the 24-hour er-  
 456 ror of the frozen predictor  $\hat{Map}_{frozen}(t + \tau)$ . This is to be expected since at all times  
 457 the cyclic predictor behaves as a 24-hour predictor. On the other hand, an important  
 458 limitation of the use of the frozen prediction  $\hat{Map}_{frozen}(t + \tau)$  as a benchmark is that  
 459 the comparison is made under non-comparable ionospheric conditions. This results in  
 460 a sinusoidal behaviour of the RMSE, which increases from 3 hours to 12 hours and then  
 461 decreases to a minimum at 24 hours. This behaviour is then repeated, reaching a new  
 462 minimum at 48 hours. Therefore, since the frozen version  $\hat{Map}_{frozen}(t + \tau)$  is a very  
 463 pessimistic benchmark, and has a component that depends on the time of day, we will  
 464 use as a benchmark only the  $\hat{Map}_{cyclic}(t + \tau)$ .

465 To get an idea of the differences between benchmarks and NNGIM prediction, in  
 466 Figure 3 we present the comparison of the reference map (6-hour ahead ground truth),

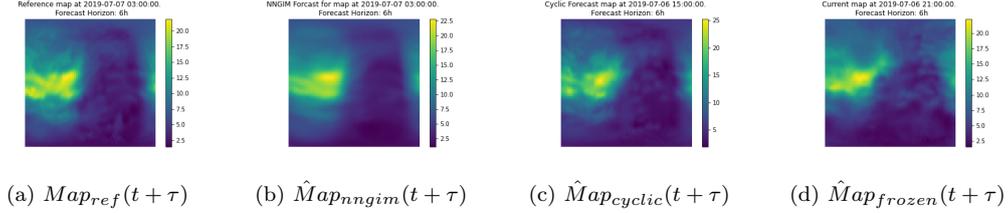


Figure 3: Comparison of the reference map (a) at *2019-07-07 03:00:00 UTC*, with the NNGIM prediction (b), with the cyclic prediction (c) and with the frozen prediction (i.e., using current map). Note that the maps are in the original coordinates.

467 with the predictions using the NNGIM algorithms, the cyclic and the frozen reference.  
 468 The cyclic reference provides local features of the TEC distribution similar to the refer-  
 469 ence map, while the frozen map has a very different morphology. On the other hand,  
 470 the NNGIM prediction, despite using maps from other years, captures the structure of  
 471 the TEC distribution of the reference map.

## 472 8 Results

473 For the analysis of the algorithm, we have selected two years of the *C24* cycle and  
 474 two days of each year. The criterion for selecting the years was to have a sample of one  
 475 year of high activity in the cycle and one year of low activity. Likewise for the days, in  
 476 order to contrast the behaviour of the algorithm in the case of storm days vs. quiet days,  
 477 we chose two storm days of each year and two adjacent days without a storm. In appendix  
 478 9, we present a summary of the solar activity on these days (i.e., Kp number and solar  
 479 flares by the hour).

### 480 8.1 Analysis of selected years: 2015 and 2018

481 Figure 4 shows the time series of the average monthly TEC value for the two se-  
 482 lected years. The first difference observed in the two years is the underlying monthly aver-  
 483 age TEC level and the fact that in the most active year (2015), the monthly profile of  
 484 the TEC level has a marked cyclical component with a minimum in the summer. On the  
 485 other hand, in the least active year (2018), the cyclical component has a lower ampli-  
 486 tude. The mean annual TEC value for 2015 is 20 TECU, while in 2018 it is 8.8 TECU.

487 First, we show the performance of the NNGIM algorithm in TECU values and then  
 488 for comparison purposes in percentages concerning the prediction using the frozen cyclic.

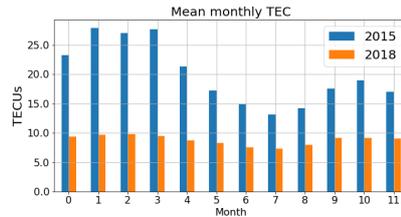


Figure 4: Mean monthly TEC for the years 2015 (in blue) and 2018 (in orange)

489 In Table 3 we show the average TECU prediction RMSE for 4 prediction horizons.  
 490 In 2015 the prediction error increases as we increase the horizon from 17% to 20% of the  
 491 average TEC value. On the other hand, the error in 2018 remains almost constant re-  
 492 gardless of the horizon and stands at 18% of the average TEC value in that year. How-  
 493 ever, as we will see below, the prediction error has an annual cyclical component, being  
 lower in the summer.

Table 3: RMSE error of the NNGIM algorithm for several horizons

Horizon	6h	12h	24h	48h	Mean TECU
2015 (TECU)	3.50	3.70	3.72	4.00	<b>20.0</b> TECU
2018 (TECU)	1.59	1.66	1.59	1.66	<b>8.8</b> TECU

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In Figure 5 we present the percentage change of the RMSE value for the cyclical prediction vs. NNGIM for various horizons. That is, we plot the ratio

$$\frac{\hat{M}ap_{nngim}(t + \tau)}{\hat{M}ap_{cyclic}(t + \tau)} \times 100\%$$

495 The first conclusion derived from the figures is that the use of NNGIM provides  
 496 a decrease that follows an annual pattern and in the summer months for 6 and 12-hour  
 497 horizons provides a decrease in error in the order of 20% to 25%. This contrasts with  
 498 the experience with Tangent Spaces predictions (see Monte Moreno et al. (2018)) and  
 499 Deep Learning based methods (see section 3), where a significant degradation in qual-  
 500 ity is reported at prediction horizons of the order of 6 hours. The prediction at 24 and  
 501 48 hours reported as a percentage of frozen in Cherrier et al. (2017) using Deep Learn-  
 502 ing is similar to the one shown in the lower row of Figure 5.

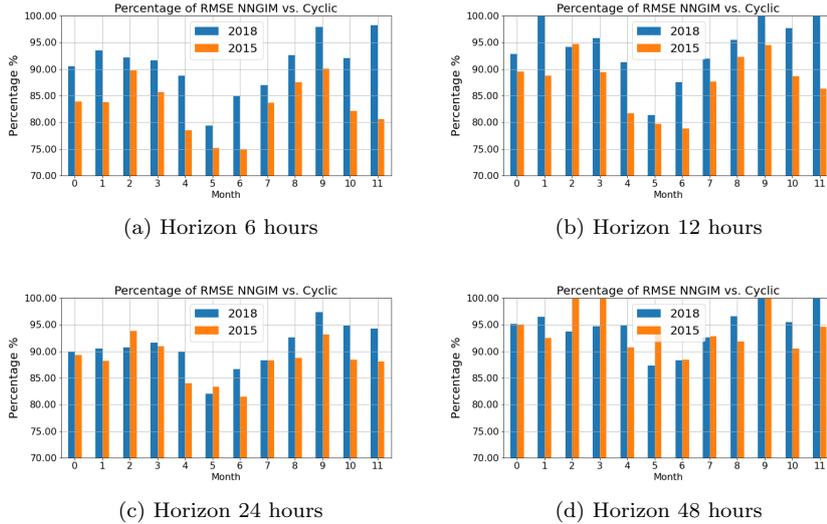


Figure 5: Percentage of RMSE reduction with regard to cyclic freezing for the horizons of 6h, 12h, 24h, 48.

503 The 12-hour forecast results are worse than the 24-hour ones except for the months  
 504 of May and June. This is because this is the moment in the interval  $(t, t+24h)$  when  
 505 the ionosphere configuration is maximally different from the current state.

506 On the other hand, 48 hours seems to be a natural limit for the method, as the er-  
 507 ror reduction for frozen cyclic is on an annual average of 95%.

## 508 **8.2 Performance on selected days of 2015 and 2018**

509 To evaluate the performance of the NNGIM method, we selected two days at the  
 510 maximum of cycle 24 and two days at the minimum of the same cycle. The criterion for  
 511 selecting the days was that one of them coincided with a geomagnetic storm and the other  
 512 one coincided with a nearby day without significant activity. The selected days were:

- 513 1. 17 March 2015 (St.Patrick Day storm) and 5 March 2015 (non storm day).
- 514 2. 25-26 August 2018 (storm day) and 13-14 August 2018 (non storm day).

515 In both cases, the *Kp number* and the *solar flares* are shown in appendix 9, Tables 4,  
 516 5, 7 and 6

### 517 **8.2.1 Performance on 5 and 17 March 2015**

518 In Figure 6 we present the comparison of the NNGIM predictor versus the cyclic  
 519 frozen for various horizons in the form of a time series, at a rate of one map every 15 min-  
 520 utes.

521 In the top row, the performances of NNGIM vs. frozen cyclic are compared for the  
 522 5th of March 2015, which is a day with no significant events (see the Tables 4 and 5).  
 523 The difference in performance is irregular for the 6-hour forecast, while for the 24-hour  
 524 forecast the average reduction over the day is a little more than a 10% error. The worse  
 525 behaviour towards the end of the day could be due to the increase of the Kp indicator  
 526 and the presence of three solar flares in close temporal proximity. Since the NNGIM method  
 527 assumes that similar situations have been seen in the past and are used for prediction,  
 528 the changes in this particular configuration might not have been seen in the past.

529 In the bottom row, we show the performance throughout the 17th March 2015 (  
 530 Saint Patrick's Day storm). The RMSE level compared to the 5 March is between two  
 531 and three times higher. However, in this case, the NNGIM predictor shows on average  
 532 a better performance than the cyclic frozen with variations depending on the forecast  
 533 horizon. For the first hours of the day, the NNGIM predictor performs similarly to cyclic  
 534 frozen, for the 6 and 24-hour horizons, improving throughout the day. An interesting be-  
 535 haviour is that at 48 hours the RMSE remains at low levels throughout the day, while  
 536 the frozen cyclic in the early hours provides twice the error.

### 537 **8.2.2 Performance on 13-14 and 25-26 August 2018**

538 Figure 7, shows the RMSE time series for the two selected days at a time of the  
 539 low activity solar cycle. On that day, the RMSE level is similar to that of the 5th of March  
 540 2015 analysed above, which was a day of low geomagnetic activity, while being in a high  
 541 activity phase of the solar cycle.

542 On 13-14 August 2018, the NNGIM prediction is better or equal to that of the cyclic  
 543 frozen, except for a brief interval on the 14th of March at a 6-hour horizon. The aver-  
 544 age improvement over the day is in the order of 25% for 6 hours, 13% for 24 hours, and  
 545 18% for 48 hours. However, there are significant fluctuations throughout the day and the  
 546 slopes/error patterns vary from horizon to horizon.

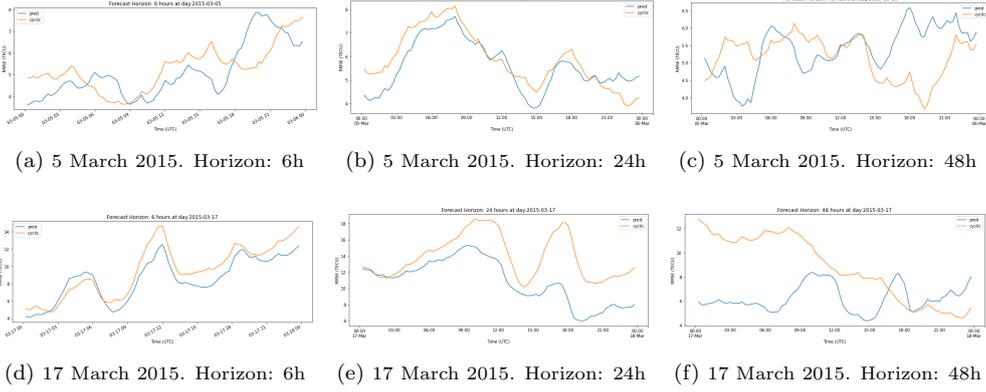


Figure 6: Comparison of the NNGIM forecast vs. frozen cyclic RMSE. Upper row: 5 March 2015 (12 days before the storm). Lower row: 17 March 2015 (the St.Patrick storm day)

547 On 25-26 August 2018 (storm day) for the 6- and 24-hour horizons NNGIM sys-  
 548 tematically performs better than the frozen cyclical. The performances at the 6- and 24-  
 549 hour horizons are practically the same for the 25th day, while they differ significantly  
 550 for the 26th day, with NNGIM being 25-50% better over long time intervals.

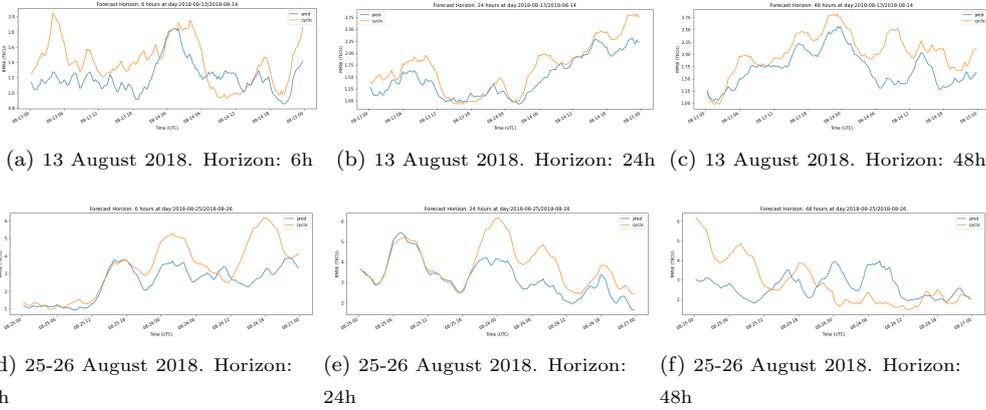
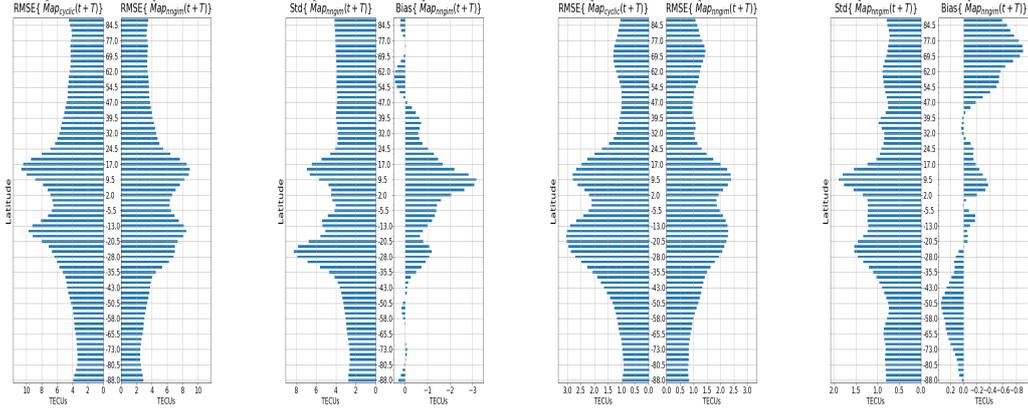


Figure 7: Comparison of the NNGIM forecast vs. frozen cyclic RMSE. Upper row: 13-14 August 2018 (12 days before the storm). Lower row: 25-26 August 2018 (storm day)

### 8.3 RMSE, Bias and Standard Deviation by latitude

551  
 552 In this section, we will study the relationship of RMSE with standard deviation  
 553 and bias. In Figure 8, we show the performance for a horizon  $T = 6$  hours. In the Fig-  
 554 ure we present by latitude a) the RMSE of the NNGIM and frozen cyclic predictions and  
 555 b) the standard deviation and bias components of the NNGIM. The study period con-  
 556 sists of the dates studied above, i.e., August 2015 and May 2018. The values were cal-  
 557 culated on 3007 maps corresponding to 31 days, with maps every 15 min.

558 The first observation is that the NNGIM prediction has a lower RMSE at all latitudes on the two studied dates. The RMSE maxima are located in the case of NNGIM  
 559 at the same latitude, while in the case of frozen cyclic the latitude in one case differs.  
 560 On the other hand, the maxima in the standard deviation do not coincide with the RMSE  
 561 maxima, noting that the difference is explained in the case of March 2015 by a very high  
 562 bias at about 10 degrees north latitude. The bias of -3 TECU observed in this case is  
 563 rare, in the maps observed by the author, the bias, in general, was less than 1 TECU,  
 564 as illustrated in the case of August 2018.



(a) RMSE March 2015 (b) Std/Bias March 2015 (c) RMSE August 2018 (d) Std/Bias August 2018

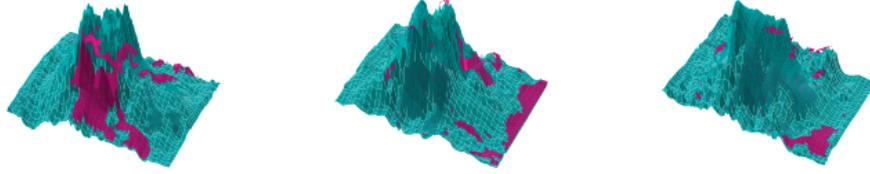
Figure 8: Performance for a horizon  $T = 6$  hours. RMSE, Bias and Standard Deviation by latitude. (a) Comparison of the RMSE between the NNGIM and the frozen cyclic March 2015, (b) Standard Deviation and Bias for the NNGIM March 2015, (a) Comparison of the RMSE between the NNGIM and the frozen cyclic August 2018, (b) Standard Deviation and Bias for the NNGIM August 2018. Note that the Bias and Standard Deviation are not the same scale.

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#### 566 8.4 Reliability of the standard deviation estimated from NNGIM

567 In this section, we will study the reliability of the standard deviation estimated from  
 568 the nearest neighbours provided by the algorithm. The purpose is to show that the stan-  
 569 dard deviation computed on the nearest future maps correctly represents the variabil-  
 570 ity of the predicted map. We will show the reliability from two points of view, the first  
 571 one consists of plotting several maps and showing the regions not covered by the con-  
 572 fidence margin given by the standard deviation provided by NNGIM. The second point  
 573 of view will consist in showing the decrease of the error obtained when the prediction  
 574 is considered to be included within the confidence margin given by the standard de-  
 575 viation.

576 In Figure 9, we show maps for different dates for the month of June 2019, in which  
 577 we mark in green the region covered by the interval  $Forecast_{Map} \pm Forecast_{Map}^{Std}$ , and  
 578 in red the areas of the prediction that fall outside this interval. The images show that  
 579 the areas of the  $Forecast_{ref}$  maps not covered by a standard deviation margin are lo-  
 580 cated in the periphery or at the areas of sharp transition.



(a) Map at 2019-06-29 23:00:00 (b) Map at 2019-06-22 17:45:00 (c) Map at 2019-06-12 10:15:00

Figure 9: Areas included in the confidence margin of the Forecast map. *Green areas*: show the areas where the reference  $Forecast_{ref}$  is included in  $Forecast_{Map} \pm Forecast_{Map}^{Std}$ . *Red areas*: areas where  $Forecast_{ref}$  is outside the margin.

581 In Figure 10 we show the error decrease regarding the NNGIM prediction if we consider only data outside the interval within the confidence margin. That is, we consider the error to be zero if the predicted map is contained in the margin, i.e.,  $Forecast_{ref} \subset Forecast_{Map} \pm Forecast_{Map}^{Std}$ . It is seen that systematically for the two years and prediction horizons, the error decreases between 15 and 20%. In other words, assuming the correct value is within the confidence interval significantly reduces the error. An interesting feature is that this error reduction does not depend on either the season of the year or the prediction horizon.

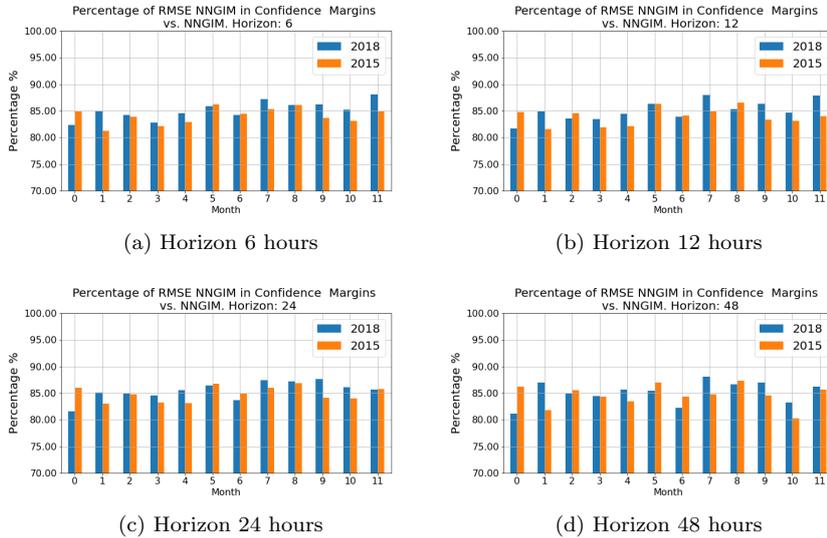


Figure 10: Performance for  $Forecast_{ref} \subset Forecast_{Map} \pm Forecast_{Map}^{Std}$ . Percentage of RMSE reduction with regard to cyclic freezing for the horizons of 6h, 12h, 24h, 48.

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### 8.5 Validation of the method with JASON3 and CODE data.

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Next we show the results of the validation of the NNGIM VTEC in terms of the differences with respect to JASON3 VTEC measurements (see Figure 11) and the comparison with other GNSS VTEC products in terms of Bias, Variance and RMS (see Figure 12).

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This part of the study was conducted in the interval of the first 100 days of the year 2021. Note that for the sake of completeness of the analysis of the method, we have performed the experiments at different times of the solar cycle. Given the space limitation, we think that in this way we can provide the maximum information of the algorithm from the point of view of each issue to be evaluated. The CODE data was downloaded from the NOAA website *Code Data* (n.d.).

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The comparison was made between the products based on NNGIM prediction at 24 hours (UN4G) and 48 hours (UN8G), vs. IGSG and Center for Orbit Determination in Europe (CODE) VTEC prediction model products, at 24 hours (C1PG) and 48 hours (C2PG).

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In Figure 11, we show the histogram of the VTEC residual defined as  $\delta V = VTEC_{JASON3} - VTEC_{ForecastGIM}$  on a logarithmic scale to enhance the details in the low-density parts of the histogram, i.e., regions where the number of samples per bin is much lower than at the mode of the distribution. For comparison purposes on the figure, there is a summary of the relevant statistics of each product, i.e., bias, standard deviation, and RMS. Note that the Std. Dev and RMS of the NNGIM prediction at 24 hours (UN4G) and 48 hours (UN8G) are systematically lower than the CODE and IGSG. Note that the tails of the distributions are similar. Also the distribution related to the NNGIM product having a lower width compared with the CODE products. This indicates that the probability of a high-value positive error in the NNGIM products is much lower than the other products.

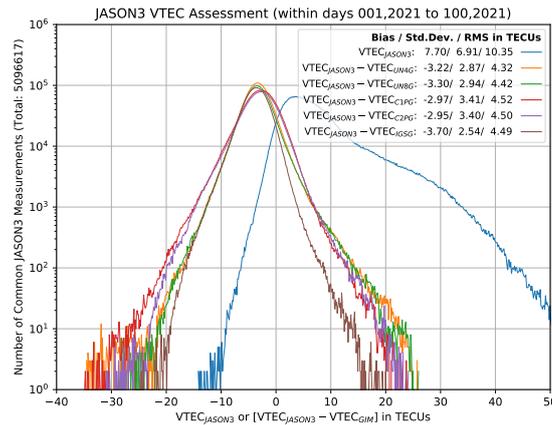


Figure 11: Histogram, in log scale for the number of counts, of VTEC difference of JASON3 measurement minus GIMs value for the first 100 days of 2021, the color code indicates the comparison for different forecasting products. The histogram of the reference values of JASON3 is represented in gray. The corresponding overall bias, standard deviation (Std.Dev.), and RMS are indicated in the upper right legend.

615 Next, we will compare, concerning the JASON3 measurements, the products by  
 616 latitude, as a function of the differences in standard deviation, bias, and RMS.

617 In Figure 12, on the left, we show the standard deviation of the VTEC residual vs.  
 618 JASON3 at 5-degrees longitudinal intervals. Note that the standard deviation is weighted  
 619 by the number of JASON3 observations in cells in the same 5-degree latitude range.  
 620 The 24-hour prediction product based on NNGIM, UN4G consistently has a lower standard  
 621 deviation than the equivalent CODE, C1PG except for the sample at 15 degrees latitude  
 622 north where they are the same. The largest differences are observed at the equator and  
 623 in areas of north/south latitude greater than 35 degrees. In the case of the 48-hour fore-  
 624 cast products (UN8G vs. C2PG), the trend is very similar, with NNGIM having a lower  
 625 standard deviation at all latitudes except at 15 degrees north latitude.

626 In Figure 12, in the center, we show the bias of the products. In this case, the bias  
 627 of the NNGIM products is lower, except in the region below -35 degrees south latitude  
 628 and above 45 degrees north latitude. The explanation for this bias corresponds to the  
 629 fact that there is a different ionosphere sampling model, as explained in Yang et al. (2021).

630 Finally, in Figure 12, on the right, we show the RMS value by latitude, in this case,  
 631 the RMS of the prediction is better for the NNGIM products between -30 degrees south  
 632 latitude and 50 degrees north latitude. Note that from 50 degrees north latitude the dif-  
 633 ference concerning CODE is less than half a TECU, and on the other hand in the equa-  
 634 torial region the UN4G and UN8G products provide an improvement of 2 TECUs. The  
 635 difference in the south polar region could be because there are fewer stations, and there-  
 636 fore the GIMs are less accurate.

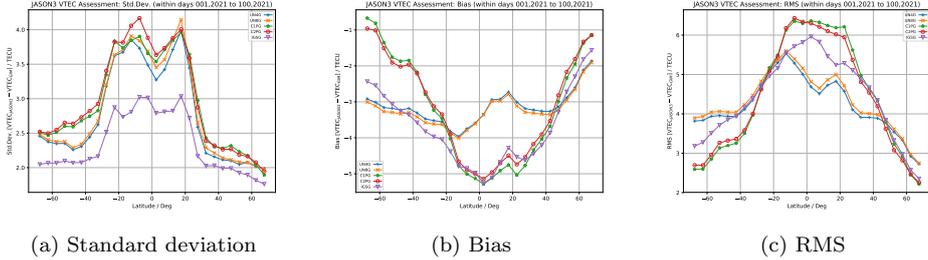


Figure 12: Jason assessment for latitudinal zones, the color representing different products. Note that the measures are weighted by the number of JASON3 observations in cells with the same 5-degree intervals of latitude

637 Note that the availability of the NNGIM forecasting depends on the delay of gener-  
 638 ating the GIM maps, which is the case of the UPC-IonSAT is of about half an hour,  
 639 while the availability of the CODE maps can be with a delay of up to 5 or 7 hours, which  
 640 makes the effective forecasting horizon shorter.

641 **8.6 Considerations about the quality assessment by means of JASON3**  
 642 **VTEC measurements**

643 The importance of the VTEC measures obtained by JASON3 lies in the fact that  
 644 it provides us with an objective reference of the real value for the comparison purposes.  
 645 The measures provided by JASON3, allow us to determine whether the estimate made  
 646 by the prediction product provides a correct value or introduces biases. As the orbit al-  
 647 titude of JASON3 is about  $\sim 1300$  km, the altimeter can count almost all the VTEC of

648 the ionospheric state above the ocean region. It is important to emphasize that over the  
 649 ocean areas, the GIM used for the prediction might have large interpolating errors ap-  
 650 pear due to their far distance from GNSS ground stations. Therefore the use of JASON3  
 651 VTEC measurement allows for a critical evaluation of the forecast products in adverse  
 652 circumstances. In this work, the raw observations of the JASON3 VTEC were prepro-  
 653 cessed to reduce the measurement noise. The process carried out included the use of a  
 654 temporal sliding window, removal of outliers, and so on, as explained in Hernández-Pajares  
 655 et al. (2017) and Roma-Dollase et al. (2018).

656 Evaluation using dSTEC may be an alternative for evaluating VTEC values of GIM  
 657 prediction products. However in this particular case the use of dSTEC may not be ap-  
 658 propriate because of the following. Typically, the JASON3 VTEC assessment is a val-  
 659 idation method for GIMs only over the ocean region, so it may be appropriate to con-  
 660 sider the complementary assessment for GIMs over the land region, namely the dSTEC  
 661 assessment, which compares the difference between the observed STEC along the phase-  
 662 continuous satellite-station arc and the calculated STEC from GIM, see details in Hernández-  
 663 Pajares et al. (2017). However, the usage of altimeter VTEC measurements to assess GIMs  
 664 has been proven to be a good external assessment procedure, consistent with other meth-  
 665 ods based on GNSS data (behaving similarly to the dSTEC test, Hernández-Pajares et  
 666 al. (2017)) but independent from GNSS and globally distributed. These are the main  
 667 reasons behind focusing on altimeter data, being the JASON3 the one available during  
 668 the whole period of analysis, see the former studies that used JASON2, JASON1, and  
 669 TOPEX altimeters.

## 670 9 Conclusion

671 In this work, we have introduced a method to predict GIMs at various horizons based  
 672 on the Nearest Neighbour technique. This technique allows to implement predictors with-  
 673 out the need to train a model, and the computation time is small. The assumption on  
 674 which the model is based is that a database covering more than one solar cycle is avail-  
 675 able, and that the geomagnetic conditions affecting the current map have somehow hap-  
 676 pened in the past, and that similar geomagnetic effects are distributed among several  
 677 maps, whose linear combination allows a better approximation of the prediction. An ad-  
 678 vantage of the method is also that from the similar maps found in the historical database,  
 679 a confidence margin can be created. The prediction using this confidence margin allows  
 680 a significant decrease in the prediction error. We have performed a real-time implemen-  
 681 tation. The computational cost of adding a prediction horizon is very low, so in the im-  
 682 plementation, predictions are made with almost no additional cost for arbitrary horizons.  
 683 The prediction results improve compared to the frozen cyclic up to a 48-hour horizon,  
 684 which seems to be a natural barrier for this method. Finally the method has been as-  
 685 sessed in different moments of the solar cycle, taking into account days with storm and  
 686 without significant geomagnetic perturbations. Also the method has been assessed by  
 687 comparing with the forecast at 24 and 48 hours of the Center for Orbit Determination  
 688 in Europe (CODE) prediction model products.

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 691 and done in the context of PITHIA NRF EU project. The UQRG is openly accessible  
 692 from IGS server (<https://cddis.nasa.gov/archive/gnss/products/ionex/YEAR/DOY/uqrgDOY.YYi.Z>) and from UPC server (<https://chapman.upc.es/tomion/rapid/YEAR/DOY.YYMMDD.15min/uqrgDOY.YYi.Z>) where YEAR and YY the four- and two-digit year  
 693 identifiers, MM is month number, DD is day of month, and DOY is the day of year. Any  
 694 missing file can be requested from the authors, in particular from Enric Monte Moreno  
 695 (enric.monte@upc.edu).  
 696  
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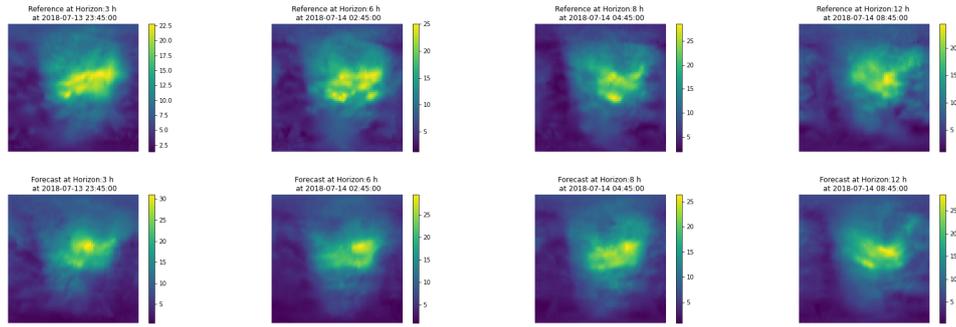
**Appendix: Example of forecasts at several horizons**

Figure 13: Selected sequence of predictions for the map at 2018-07-14 20:45:00 UT. The upper row shows the reference to 3h, 6h, 8h, and 12h horizons, the second row shows the prediction result. Note that the color bars are not at the same scale.

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In Figures 13 and 14 we show a selected sequence of predictions for the map at 2018-07-14 20:45:00 UT, at horizons ranging from 3h to 48h. In the first row we show the reference to 3h, 6h, 8h, and 12h horizons, and in the second row we show the prediction result. The third and fourth rows show the results for horizons of 16h, 20h, 24, 48h. In order to assess the results it has to be taken into account that the colour bars are not at the same scale. This means that local maxima can distort the level of the overall colour gradation. In any case, an indication of the effectiveness of the algorithm lies in comparing the medium/high ionisation regions (not maxima) between reference and prediction. In these cases, the shape of the regions is found to be similar.

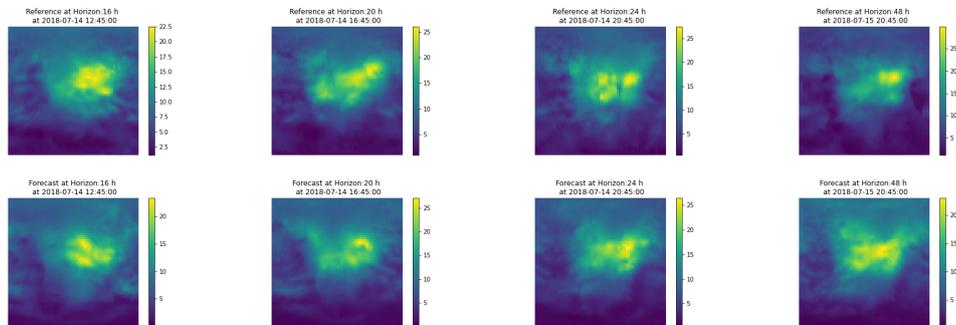


Figure 14: Selected sequence of predictions for the map at 2018-07-14 20:45:00 UT. The upper row shows the reference to 16h, 20h, 24, 48h, the second row shows the prediction result. Note that the color bars are not at the same scale.

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**Appendix: Kp number and Solar flares in the analysed days**

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In this section we present the time distribution of geomagnetic and solar flare activity indices (i.e., Kp and solar flares occurrences) that can be used to study the consistency of the patterns that appear in the temporal distributions of the RMSE forecast error of the method. The data was obtained from internet at *Space Weather Live* (n.d.).

Table 4: Hourly Kp and Solar Flares for the 5 March 2015 (day 64)

Hourly Kp values								
Hour	00-03h	03-06h	06-09h	09-12h	12-15h	15-18h	18-21h	21-00h
Kp	1	0	0	1	2	2	2	1

Solar Flares 5 March 2015					
Flare	C1.3	C3.5	M1.2	C4	C1.9
Start	04:13	08:46	17:06	19:35	22:45
Maximum	04:19	09:47	18:11	19:55	22:59
End	04:26	10:02	18:26	20:04	23:06

Table 5: Hourly Kp and Solar Flares for the 17 March 2015 (days 75,76)

Hourly Kp values								
Hour	00-03h	03-06h	06-09h	09-12h	12-15h	15-18h	18-21h	21-00h
Kp (17 March)	2	5	6	6	8	8	7	8

Solar Flares 16 March 2015				
Flare	Start	Maximum	End	
B8	04:17	04:21	04:24	
B6.4	07:21	07:25	07:31	
B8.7	08:18	08:23	08:28	
C1.8	08:33	09:52	09:59	
C1.9	09:38	09:52	09:59	
B9.6	10:16	10:20	10:24	
M1.6	10:39	10:58	11:17	
C1.1	12:55	12:59	13:01	
C2.8	13:49	13:54	13:59	
B8.7	17:55	17:59	18:01	
B6.2	18:42	18:45	18:47	
C5.5	20:12	20:15	20:20	
C8.1	20:38	20:49	21:00	

Solar Flares 17 March 2015			
Flare	Start	Maximum	End
C1.9	01:45	01:52	01:54
C1.1	21:14	21:19	21:25
M1	22:49	23:34	23:48

Table 6: Hourly Kp and Solar Flares for the 13,14 August 2018 (days 225, 226)

Hourly Kp values								
Hour	00-03h	03-06h	06-09h	09-12h	12-15h	15-18h	18-21h	21-00h
Kp (13 August)	1	1	1	1	1	1	0	1
Kp (14 August)	2	1	1	1	1	0	0	2

Solar Flares 13 August 2018							
Flare	B3.3	B3.6	B5.8	B2.9	B4.1	B4.3	
Start	02:00	08:34	10:30	13:39	17:24	17:51	
Maximum	02:04	08:38	10:45	13:53	17:27	18:03	
End	02:07	08:51	11:00	13:57	17:32	18:10	

Solar Flares 14 August 2018							
Flare	C1.1	C1.1	C1.9	C1.6	B4.4	B5.2	
Start	00:26	00:51	01:55	03:00	05:30	07:32	
Maximum	00:33	01:04	02:00	03:04	05:38	07:35	
End	00:37	01:10	02:04	03:08	05:40	07:37	

Table 7: Hourly Kp and Solar Flares for the 25-26 August 2018 (day 238)

Hourly Kp values								
Hour	00-03h	03-06h	06-09h	09-12h	12-15h	15-18h	18-21h	21-00h
Kp (25 August)	1	1	2	2	3	2	4	4
Kp (26 August)	5	7	7	5	5	6	5	3

Solar Flares 25 August 2018			
Flare	Start	Maximum	End
B7.6	01:40	01:46	01:54
B9.2	02:00	02:03	02:06
B8.2	02:12	02:18	02:20
C3.6	02:35	02:42	02:47
B8.7	03:26	03:30	03:32
C1.1	04:14	04:20	04:24
B8.5	05:46	05:50	05:52
C4.3	06:18	06:31	06:37
C2.3	07:58	08:03	08:05
C1.7	10:23	10:34	10:41
C2.3	11:55	11:59	12:03
C1.3	12:33	12:38	12:44
B9.5	13:56	14:02	14:05
C1.1	14:48	14:53	14:58
B9.7	15:37	15:40	15:43
C1	17:14	17:17	17:22
C1.3	18:01	18:05	18:08
C2.2	19:23	19:27	19:31
B8.6	19:47	19:50	19:53
B9.1	22:01	22:17	22:28
C2.8	23:40	23:53	00:04

Solar Flares 26 August 2018			
Flare	Start	Maximum	End
C1.5	02:56	03:01	03:04
C9.5	13:41	13:53	14:20
C5	14:51	15:07	15:13
C1.7	19:20	19:22	19:24
C1.7	19:32	19:43	19:50
C1.3	20:22	20:28	20:33

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