Evolution of the oligotrophic West Pacific Warm Pool during the Pliocene

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Key Points:

- Evolution of the western Pacific surface paleoceanography during the Pliocene
- Linkages between evolution of West Pacific Warm Pool and shrinking of Indonesian seaway
- The oligotrophic West Pacific Warm Pool began to develop at ~3.15 Ma

Abstract

This study investigates the timing of development of the oligotrophic conditions and thickening of the West Pacific Warm Pool (WPWP) during the Pliocene. It has been hypothesized that the evolution of the WPWP and the establishment of equatorial Pacific zonal gradients are closely related to the narrowing of the Indonesian seaway (IS) as well as the closure of the Panama gateway; however, the timing of these events remain debated. Here we analysed planktic foraminiferal abundances combined with stable oxygen and carbon isotope ratios since the Pliocene at ODP Hole 807A, western Pacific and DSDP Site 214, eastern Indian Ocean. A comparison of the population of mixed-layer species (MLS) from both study sites shows a significant increase between ~3.15 and 1.6 Ma. On the contrary, *Globigerinita glutinata* shows a decrease in its population during this time, indicating oligotrophic conditions in the western tropical Pacific. The $\delta^{13}C$ ratio of epibenthic foraminiferal species shows a decreasing trend from ~3.15 to ~2.0 Ma, indicating the lowering of productivity during this interval. Our data suggest that the WPWP developed around ~3.15 Ma and was closely linked to the gradual closure of the IS.

Keywords: West Pacific Warm Pool, planktic foraminifera, Pliocene, Mixed-layer species, oxygen and carbon isotopes, Indonesian seaway.

1. Introduction

The West Pacific Warm Pool (WPWP) encompasses most of the tropical–subtropical area (>30 × 10^6 km^2) of the western Pacific (Cane and Molnar, 2001) and is characterized by warm surface waters with an annual sea-surface temperature (SST) of >28°C (Yan et al., 1992; Webster and Palmer, 1997) (Fig. 1). The WPWP is ~2–5°C warmer than any other equatorial region and stores large amount of heat (Yan et al., 1992). Thus any change in the

size and temperature of the WPWP affects global heat transport from the equator to poles and also play a role in the evolution of El-Niño-Southern Oscillation (ENSO) events (Meyers et al., 1996; Sun, 2003; de Garidel-Thoron et al., 2005). Easterly trade winds keep the WPWP towards the western equatorial Pacific (WEP) under normal conditions (Li et al., 2006), although its position fluctuates during ENSO events. Oceanographically, the WPWP is composed of a thick and warm mixed-layer with a consequently deep thermocline (~200 m) that contrasts with the eastern equatorial Pacific (EEP), which contains a shallow thermocline and smaller mixed-layer (Fig. 2). This tilt in the thermocline makes the eastern Pacific more productive than the West (Ravelo et al., 2006). About 10–15 Sv (1 Sv=10^6 m^3s^{-1}) of low-salinity, warm water enters the eastern Indian ocean from the WPWP annually (Chong et al., 2000; Ganachaud and Wunsch, 2000) and is termed the Indonesian Throughflow (ITF). The ITF transports heat from the WEP north of the equator to 12°S into the eastern Indian Ocean, mostly through the Makassar Strait (Gordon et al., 1999), and varies on annual, interannual, and (multi-)decadal timescales (Linsley et al., 2010). Variability in the ITF thus influences global climates on both short (El-Niño-Southern Oscillation or ENSO-related) and long-term or tectonic timescales (Schott and McCreary, 2001). Observations indicate that fluctuations in ENSO and related changes in the Indian monsoon system are linked to major influxes of Pacific freshwater and heat into the Indian Ocean (Vranes et al., 2002), wherein ITF transport is greater during La-Niña events compared to El-Niño events. (Gordon and Fine, 1996).

2. Evolution of the West Pacific Warm Pool (WPWP)

Small changes related to the dimensions of the oceanic seaways can influence ocean circulation and heat distribution, and may therefore have a profound impact on the global climate and ocean productivity (Cane and Molnar, 2001; Nathan and Leckie, 2009). Progressive narrowing of the Indonesian seaway (IS) is purported to have played a key role in

64 altering and redirecting ocean currents and causing climate change in the tropical eastern
65 Indian and western Pacific Oceans. Numerous investigations have hypothesized that the
66 constriction and closure of the IS, the closure of the Panama gateway, and the development of
67 the WPWP are closely linked (Keller, 1985; Kennett et al., 1985; Chaisson and Ravelo, 2000;
68 Jian et al., 2006; Li et al., 2006; Nathan et al., 2009). Kennett et al. (1985) and Keller (1985)
69 used planktic foraminiferal faunal abundance and isotopes to investigate surface and
70 subsurface circulation changes in the equatorial Pacific, including the Equatorial Under
71 Current (EUC) over the Miocene. These studies found a gradual shoaling of the EUC towards
72 the EEP over the past 10 Myr, and concluded that this was due to the narrowing of the IS and
73 subsequent closure of the Panama gateway. Chaisson and Ravelo (2000) used planktic
74 foraminiferal data from the WEP and EEP to suggest that the east–west thermocline tilt
75 developed in the equatorial Pacific during 4.5 to 4 Ma and was related to the closure of the
76 Panama gateway. Contrastingly, Jian et al. (2006) posited a much earlier formation of the
77 WPWP using planktic foraminiferal records in the South China Sea and related it to the
78 closure of the IS during 11.5 to 10.6 Ma.
79
80 Studies of climate simulations also provide disparate answers regarding the timing of
81 the development of the WPWP. Model results from Cane and Molnar, (2001) have suggested
82 that the northward movement of New Guinea during the Pliocene, which effectively prevents
83 the transport of warm, saline South Pacific waters into the Indian Ocean, is key to the
84 establishment of the WPWP. On the other hand, Nathan et al. (2009) have shown that
85 decreasing sea-level around 11-10 Ma led to the development and intensification of the
86 WPWP. Results based on multi-species foraminiferal isotopic analyses and assemblages of
87 equatorial Pacific deep-sea cores suggest the evolution of the modern WPWP after ~3.6–3.0
88 Ma in the Pliocene (Chaisson, 1995; Cannariato and Ravelo, 1997; Chaisson and Ravelo,

89 2000; Ravelo et al., 2006; Sato et al., 2008). Therefore, despite significant progress in the
90 understanding of closure of the IS and evolution of the WPWP, the timing of the tectonic
91 constriction of the IS still remains debatable with estimates of age ranging from ~17 to 3 Ma
92 (Kennett et al., 1985; Jian et al., 2006; Li et al., 2006).

93 Here we produce a 5 Myr record of planktic foraminiferal abundances and a record of
94 stable isotope composition ($\delta^{13}C$) of epibenthic foraminifera from Ocean Drilling Program
95 (ODP) Hole 807A and DSDP Site 214 to constrain the evolution of the WPWP. We compare
96 our results with those from the WEP (ODP Site 806), EEP (ODP Sites 846 and 850), South
97 China Sea (SCS) (ODP Sites 1143, 1147/1148) and a site offshore eastern New Zealand
98 (ODP Site 1125, north slope of Chatham Rise) to explore the spatiotemporal variability of
99 regional paleoceanography. Finally, we discuss the implications of our dataset and analyses
100 to the timing of the IS and the evolution of the WPWP.

101 ## 3. Materials and Methods

102 Five hundred eleven core samples were procured from Ocean Drilling Program
103 (ODP) Hole 807A, Leg 130, western Pacific under sample request No. #16790A by AKG for
104 the proposed study. Standard procedures were followed in sample preparation (Gupta and
105 Thomas, 1999, 2003) with necessary precautions to avoid contamination. The sample
106 processing was carried out in the Sample Processing Unit of the Paleoceanography and
107 Paleoclimatology Laboratory, Department of Geology and Geophysics, IIT Kharagpur. The
108 sliced samples were kept in zip lock bags and carefully labelled. 63μm size sieve and a jet of
109 water was used to wash the samples. Contamination was avoided by staining the sieve with
110 methylene blue solution after each wash so that residual microfossils were stained and easily
111 identified. Washed samples were transferred to beakers, and oven dried at ~50º C

112 temperatures. The dried samples were then transferred into glass vials labelled with sample
113 numbers.

114 **3.1 Age Model**

115 The age model used in this study is adopted from the Scientific Reports of ODP Leg
116 130 (Berggren et al. 1995a, 1995b) based on nannofossil and foraminiferal datums. Age
117 control points are presented in Table 1 and have been updated following the recent geological
118 timescale (Gradstein et al., 2012) (Fig. 3). The age of each sample was interpolated thereby
119 yielding a time resolution of ~20 kyr per sample.

120 ## 3.2 Sample Analysis: Census counts

121 271 samples from ODP Hole 807A, western Pacific, and 267 samples from DSDP
122 Site 214, eastern Indian Ocean were used to generate planktic data. Processed samples from
123 ODP Hole 807A were analyzed for mixed-layer species (MLS) of planktic foraminifera. Dry
124 149 μm+ size fraction was split into suitable aliquots to obtain approximately 300 specimens
125 of planktic foraminifera which were then identified and counted as percentages of overall
126 species (Schmiedl et al., 1997, 2003; den Dulk et al., 1998; Gupta and Thomas, 2003; Gupta
127 et al., 2004).

128 To investigate changes in the mixed-layer, we used the census counts of MLS which
129 included *Globigerinoides extremus*, *Globigerinoides sacculifer*, *Globigerinoides fistulosus*,
130 *Globigerinoides ruber,* and *Globigerinoides obliquus*. Carbon isotope ($\delta^{13}C$) analyses were
131 performed on epibenthic species *Cibicides wuellerstorfi* and *Cibicides kullenbergi* to
132 understand deep-sea oceanic changes.

133

134 ## 3.3 Stable Isotope ($\delta^{13}C$) measurements

Benthic foraminiferal tests were used to measure stable carbon isotope ratios from ODP Hole 807A. 128 samples were analyzed in the Stable Isotope Ratio Mass Spectrometer (Delta V Plus model from Thermo Fisher) Laboratory, Wadia Institute of Himalayan Geology, Dehradun, India. Each sample consisted of 8-10 individuals of benthic foraminifera *Cibicides wuellerstorfi* and *Cibicides kullenbergi* from the >125-micron size fraction. Methanol and subsequent sonification was used to clean the foraminifera to remove unwanted clay and other particles that were present within the test. Benthic foraminifer tests amounting to ~100-300 μg were kept in a sealed glass vial for $\delta^{13}C$ analysis and ultra-pure He gas was introduced to remove the pre-existing gasses. ~99 % orthophosphoric acid was added in the vial to react with the samples for 60 minutes at 72°C. Headspace sampling of released CO_2 was achieved by a double-hole needle connected to a PAL auto-sampler followed by the removal of water by passing it through a Nafion Tube. To remove N_2, in order to collect pure CO_2, the collected gas was released into a Gas Chromatograph (GC) Column through a VALCO system. Subsequently, the purified CO_2 was then introduced in to the Mass Spectrometer for isotopic measurements.

Secondary laboratory standards which were measured against NBS-18 [Value?] were used for day-to-day measurement and to scale all isotope data to Vienna Peedee Belemnite (VPDB). These are Merck Carbonate from Merck ($\delta^{13}C$ = -46.95 ±0.02 ‰ VPDB) and WIHG-STD-2 ($\delta^{13}C$ = -4.9 ±0.01‰ VPDB) prepared from Mussoorie Limestone. The secondary standard was used to check long- term reproducibility as well as inter-laboratory calibration. For the accuracy and consistency of results, a laboratory standard (Merck $CaCO_3$ calibrated against NBS-18) was run several times. Repeat tests and measurements of secondary laboratory standards indicate that the accuracy for carbon and oxygen isotope measurements is better than ~0.1‰ (1SD).

4 Results

Five mixed-layer species of planktic foraminifera were selected to examine paleoceanographic changes in the mixed-layer at Hole 807A. These species are *Globigerinoides extremus*, *Globigerinoides sacculifer, Globigerinoides fistulosus, Globigerinoides ruber* and *Globigerinoides obliquus*. The MLS census data from Hole 807A and Site 214 show a near similar trend during ~3.15 Ma to 1.6 Ma (Fig. 5c). The MLS data shows a gradual increase in their population at both sites 807 and 214 beginning at ~3.6 Ma, with an abrupt increase at ~3.15 Ma. At Hole 807A, the MLS abundances range from 0.3 to a maximum of 31.6% whereas at DSDP Site 214, the MLS population ranges from 1.4 to a maximum of 42.3% with a rapid change occurring at ~2.4 Ma. In contrast to the MLS, *Globigerinita glutinata*, an open-ocean paleoproductivity indicator species [Citation?], shows a drop in its population starting at ~3.15 Ma and continuously decreasing up to ~1.6 Ma, which suggests lowered productivity (Fig. 5a). Over this period, between ~3.15 and 2.1 Ma, the $\delta^{13}C$ of benthic foraminifera decreased from 0.02 to 1.2‰, and also indicate low productivity (Fig. 5b). These results suggest the gradual development of a thick mixed-layer (oligotrophic) and hints at the evolution of the WPWP during the late Pliocene.

5 Discussion

Our data from the western Pacific reveals pronounced changes in the surface-ocean during the Pliocene. The increase in mixed-layer species at Hole 807A suggests the thickening of the mixed-layer (perhaps accompanied by thermocline deepening) in the western Pacific beginning at ~3.15 Ma (Fig. 4). The mixed-layer taxa, in general, are oligotrophic, flourishing in a well-stratified water column and are less abundant in upwelling systems (Brock et al., 1992). Chaisson and Ravelo (2000) linked the formation of the WPWP to a dramatic increase in the Walker Circulation and suggested that the piling of warm

183 surface water by the trade winds made a thicker warm pool after the closure of the IS. The
184 MLS population trend at Hole 807A nearly follows that of DSDP Site 214 between ~3.15 and
185 1.6 Ma. This suggests that surface-ocean changes at both the sites were near-synchronous and
186 underpins a linkage between the formation of the WPWP and the closure of the IS.

187 *Globigerinita glutinata* has a wide latitudinal occurrence, and can tolerate a rather
188 wide range of temperature from 14 to 30°C and 34.4 to 36.4 psu salinities (Bé and Hutson,
189 1977), and is moderately vulnerable to dissolution. *G. gluntinata* is also known to be found in
190 high abundances in the mid-to-high latitudes as well as in marginal upwelling areas in the
191 low-latitudes (Fairbanks et al., 1982; Thunell and Reynolds, 1984; Pflaumann and Jian, 1999;
192 Kawahata et al., 2002). However, the distribution of *G. glutinata* is mainly linked to the
193 changes in paleoproductivity (Schiebel et al., 2001). According to our dataset, *Globigerinita*
194 *glutinata* shows an opposite trend as compared to the MLS with a step-wise decrease from
195 ~3.15 to 1.6 Ma at Hole 807A, indicating deepening of the thermocline and/or increased
196 oligotrophic conditions (Fig. 4).

197 The WPWP was expanded over most parts of the tropics during the early Pliocene.
198 The warm pool then gradually contracted toward the equator (Brierley et al., 2009). To
199 reconstruct the zonal and meridional contraction of the Warm Pool we compared our data
200 with previous work from the EEP, WEP and SCS. Comparing *G. glutinata* abundances and
201 MLS data at Hole 807A with the $\delta^{18}O$ value differences ($\Delta\delta^{18}O$) between *N. dutertrei*
202 (thermocline dweller) and *G. sacculifer* at Site 806, where smaller $\Delta\delta^{18}O$ (*G. sacculifer*- *N.*
203 *dutertrei*) values at Site 806 suggest deepening of the thermocline under a thickening of the
204 WPWP (Chaisson and Ravelo, 2000), we suggest that thickening of the mixed-layer began at
205 ~3.15 Ma (Fig. 5a, c).

206 Miller et al. (2012) reported a relatively low sea level between 3.45 and 3.25 Ma.
207 Consequently the ITF decreased significantly, but the heat flow from the Pacific to the Indian

208 Ocean did not cease completely. However, the Indo-Pacific heat transfer re-established with
209 the major transgression around 3.25 Ma (De Vleeschouwer, D et al., 2019). Our *G. glutinata*
210 data shows an increase in its population beginning at ~3.4 Ma. The increase in its population
211 could be related to the thermocline shoaling in the western Pacific as a result of low sea level.
212 Our MLS data at both the sites suggest that the onset of the modern oligotrophic WPWP
213 occurred at ~3.15 Ma. Prior to ~3.15 Ma we do not see any change in the MLS data at either
214 sites 807 and 214. Weak Walker Circulations (WC) due to the weak zonal SST contrast in the
215 Pacific could be the reason for the disappearance of the warm pool in the western Pacific
216 before ~3.15 Ma (CITE Tierney et al. 2019). We suggest that the warm water shifted slowly
217 in the western Pacific with a gradual reduction in the SST and appearance of Cold Tongue in
218 the EEP since ~3.15 Ma (Fig 5e). The WC intensified with a gradual increase in the
219 equatorial Pacific SST contrast between west pacific and east Pacific. The WC strengthened
220 and pushed warm water to the western Pacific which eventually piled up in the region leading
221 to the establishment of the WPWP.

Comment [KT1]: https://agupubs.onlinelibrary.wiley.com/doi/epdf/10.1029/2019GL083802 - this study supports our idea of weakened WC!

222 Lawrence et al. (2006) have shown an increase in primary productivity in the eastern
223 Pacific using alkenone concentrations (C_{37} Total) at Site 846. The primary productivity in the
224 EEP is closely linked to the intermediate nutrient-rich cold waters from high latitudes of
225 North and South Pacific. The South Pacific contributes more nutrients to the waters that are
226 upwelled in the EEP (Toggweiler et al., 1991; Sarmiento et al., 2004). The intermediate
227 nutrient rich cold waters from the Southern Ocean high latitudes are transported to the EEP
228 through the EUC (Toggweiler et al., 1991; Bryden & Brady, 1985).

229 Our results point to a dramatic increase in the EEP primary productivity between ~3
230 and 1.6 Ma (Fig 5d). The intensification of eastern Pacific upwelling cell has been related to
231 the gradual development of the zonal SST gradients at this time (Steph et al., 2010; Tierney et
232 al. 2019). Our MLS population abundances at both Sites 807 and 214 are tightly synchronous

with the primary production in the EEP (Fig 5c). The SST data from Zhang et al. (2014) at Site 850 and Herbert et al. (2016) at Site 846 from EEP also show a gradual decrease in the SST at the same time which indicates thermocline shoaling and appearance of the CT in the EEP at about ~3.15 Ma (Fig 5e). The northward drift of Australia, which restricted the ITF, could have led to the global oceanic thermocline shoaling at about 3 Ma (Cane and Molnar, 2001).

We propose that the zonal migration of equatorial Pacific Warm water from east to west started with a gradual decrease in SST and with an appearance of CT in the EEP. These processes eventually strengthened the WC and tectonic forcing gradually constricted the IS and restricted ITF strength, thereby strengthening the WPWP. Data from the South China Sea (SCS) show a reduction in SSTs at ~ 3 Ma at ODP Sites 1147 and 1148 (Jia et al., 2008) at the edge of the warm pool, whereas at ODP Site 1143 the SST remained relatively stable (Li et al., 2011). Prior to ~3 Ma, the SST was similar at both the sites. Further, data from the SCS suggests the contraction of the WPWP towards the equator i.e. towards ODP Hole 807A in the late Pliocene (Fig. 5g). SST U^{k}_{37} data from ODP Site 1125 (Fedorov et al., 2015) also shows a fall in SST at ~3.15 Ma, suggesting narrowing of the expanded warm pool towards the equator from the southern ocean during this time (Fig. 5f).

6. Conclusions

The evolutionary record of the West Pacific Warm Pool (WPWP) was reconstructed using foraminiferal abundances and their isotopic signatures at ODP Hole 807A and DSDP Site 214 in the western equatorial Pacific Ocean and eastern Indian Ocean, respectively. We find a stark decrease in productivity and increase in mixed-layer thickness beginning around 3.15 Ma at the study sites, suggesting that such surface oceanographic changes were linked to the development of the Indo-Pacific warm pool. Our findings support previously published work and provide further evidence for the evolution of the Indo-Pacific warm pool during the

late Pliocene. We conclude that evolution of the Indo-Pacific warm Pool was closely linked to progressive narrowing of the Indonesian seaway. Such a tectono-oceanic change may have had far-reaching impact on the Asia-African climate regime that might have contributed to the future course of human evolution.

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Figure captions:

Figure 1: Location of ODP Hole 807A and DSDP Site 214. Background was created using Ocean-Data-View (Schlitzer, 2014). NEC = North Equatorial Current; NECC = North Equatorial Countercurrent; SEC = South Equatorial Current, SECC = South Equatorial

Countercurrent; EUC = Equatorial Undercurrent; MC = Mindanao Current; ME = Mindanao Eddy; HE = Halmahera Eddy.

Figure 2: Average ocean surface temperature along the equator in the Pacific. Background was created using Ocean-Data-View (Schlitzer, 2014).

Figure 3: Depth vs Age plot at ODP Hole 807A based on foraminiferal and nannofossil datums (Gradstein et al., 2012).

Figure 4: Percent distribution of *Globorotalia glutinata* (a) and mixed-layer species (b) at ODP Hole 807A.

Figure 5: Proxy records at ODP Hole 807A compared with those from the other sites. (a) Percentage distribution of *Globigerinita glutinata* (present study) and The $\delta^{18}O$ differences ($\Delta\delta^{18}O$ values) between *Globigerinoides sacculifer* (surface) and *Neogloboquadrina dutertrei* (thermocline) dwellers at ODP Site 806 (Chaisson and Ravelo, 2000) (red), (b) $\delta^{13}C$ values of *Cibicides wuellerstorfi* and *Cibicides kullenbergi* at ODP Hole 807A present study), (c) % Mixed-layer species at ODP Hole 807A and DSDP Site 214 (present study), (d) Concentration of alkenones C_{37} Total (nmol/g) at ODP Site 846 (Lawrence et al., 2006). (e) SST based on U^k_{37} at ODP Site 138-846 U^k_{37} (Herbert, TD et al., 2016) (green) and SST based on TEX_{86} at ODP 850 (Zhang et al., 2014) (red), (f) SST based on U^k_{37} at ODP Site 1125 (Fedorov et al., 2015), (g) SST based on U^k_{37} at ODP Site 1143 (Li et al., 2011) and at ODP Site 1147/1148 (Jia et al., 2008). IG = Indonesian Gateway; WPWP = West Pacific Warm Pool; MPWP = Mid-Pliocene Warm Period.

Table 1: The calcareous nannofossil and foraminiferal datums from ODP Hole 807A with their ages from Berggren et al. (1995a, 1995b) and updated to Gradstein et al. (2012).

Datum	Events	Depth	GTS 2012
LAD	*P.lacunosa (N)*	12.15	0.44
LAD	*C.macintyrei (N)*	21.65	1.6
LAD	*G.fistulosus (F)*	31.15	1.88

FAD	*G.truncatulinoides (F)*	31.15	1.93
LAD	*D.tamalis (N)*	40.65	2.8
LAD	*G.altispira (F)*	59.65	3.13
FAD	*G.fistulosus (F)*	69.15	3.33
LAD	*C.acutus (N)*	88.15	5.04

510

511

Figure 1.

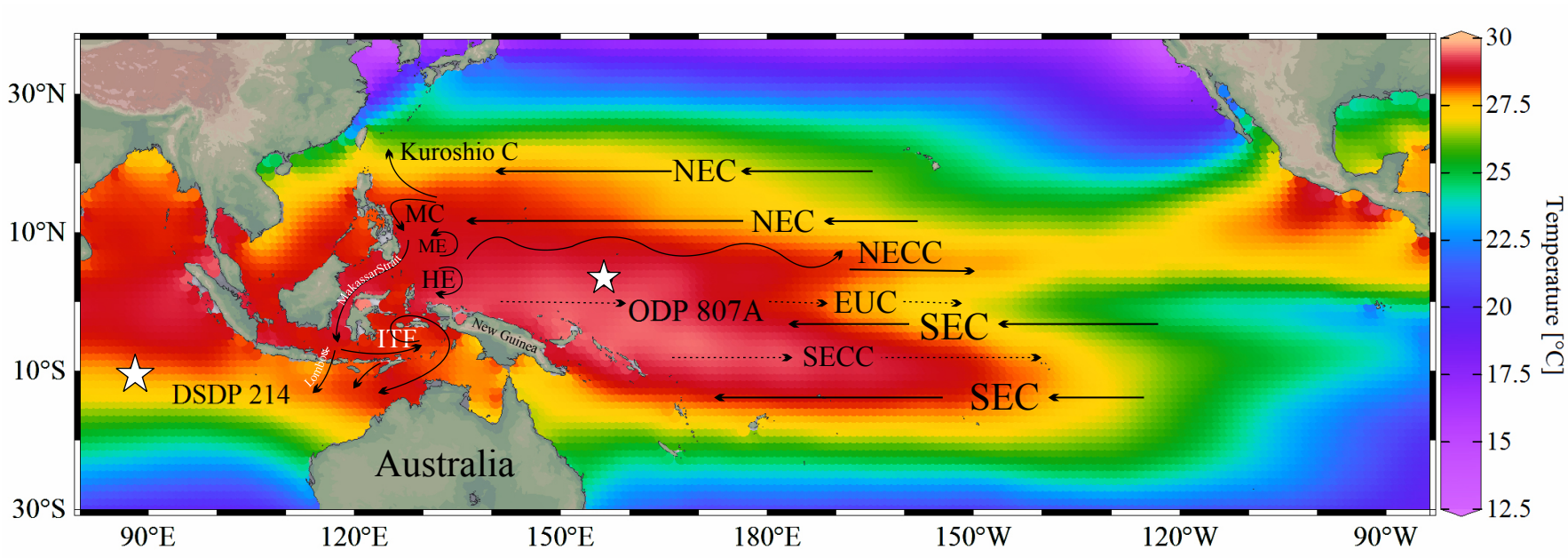

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Figure 2.

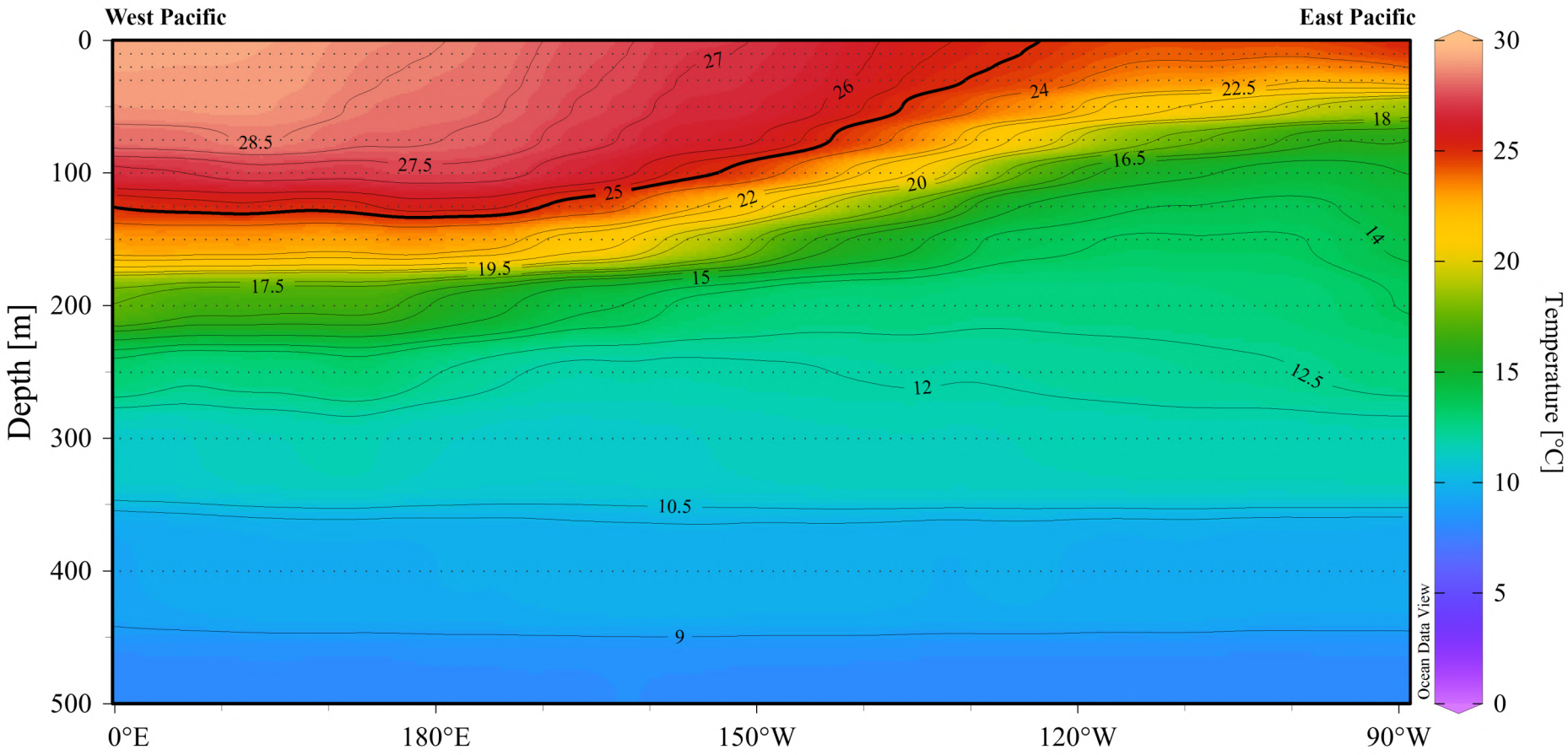

Figure 2: Average ocean surface temperature along the equator in the Pacific.
Background was created using Ocean-Data-View (Schlitzer, 2014).

Figure 3.

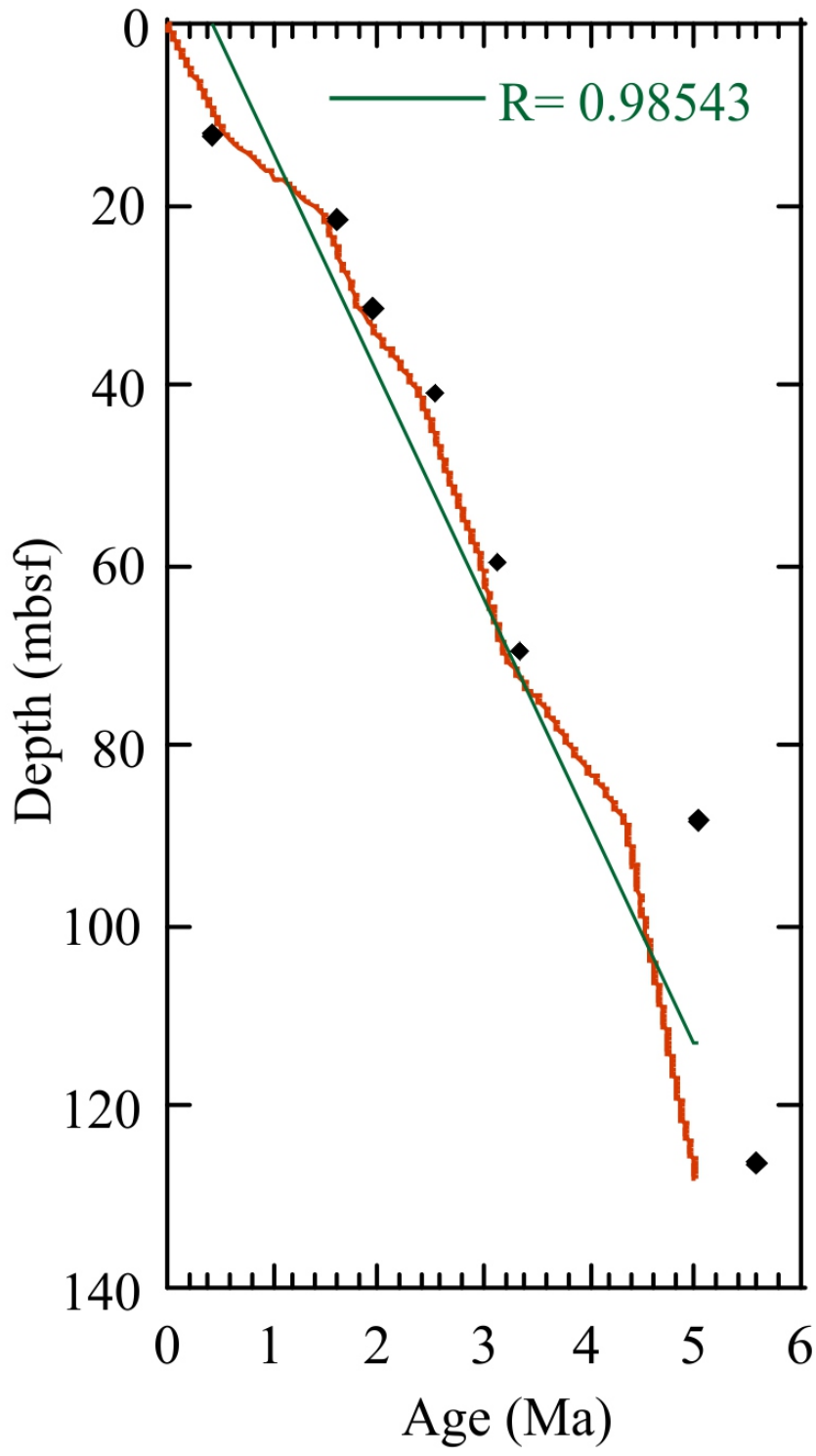

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Figure 4.

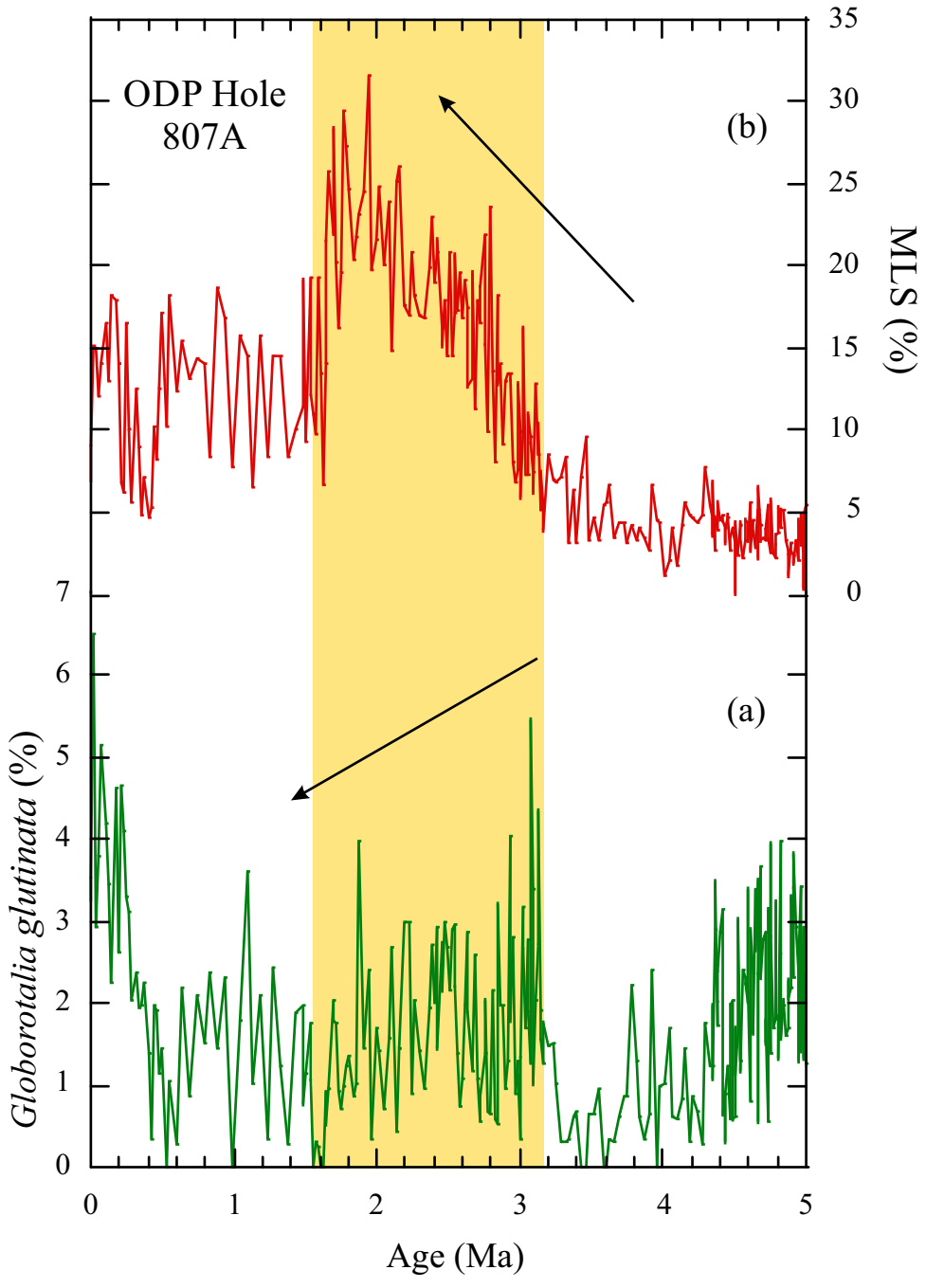

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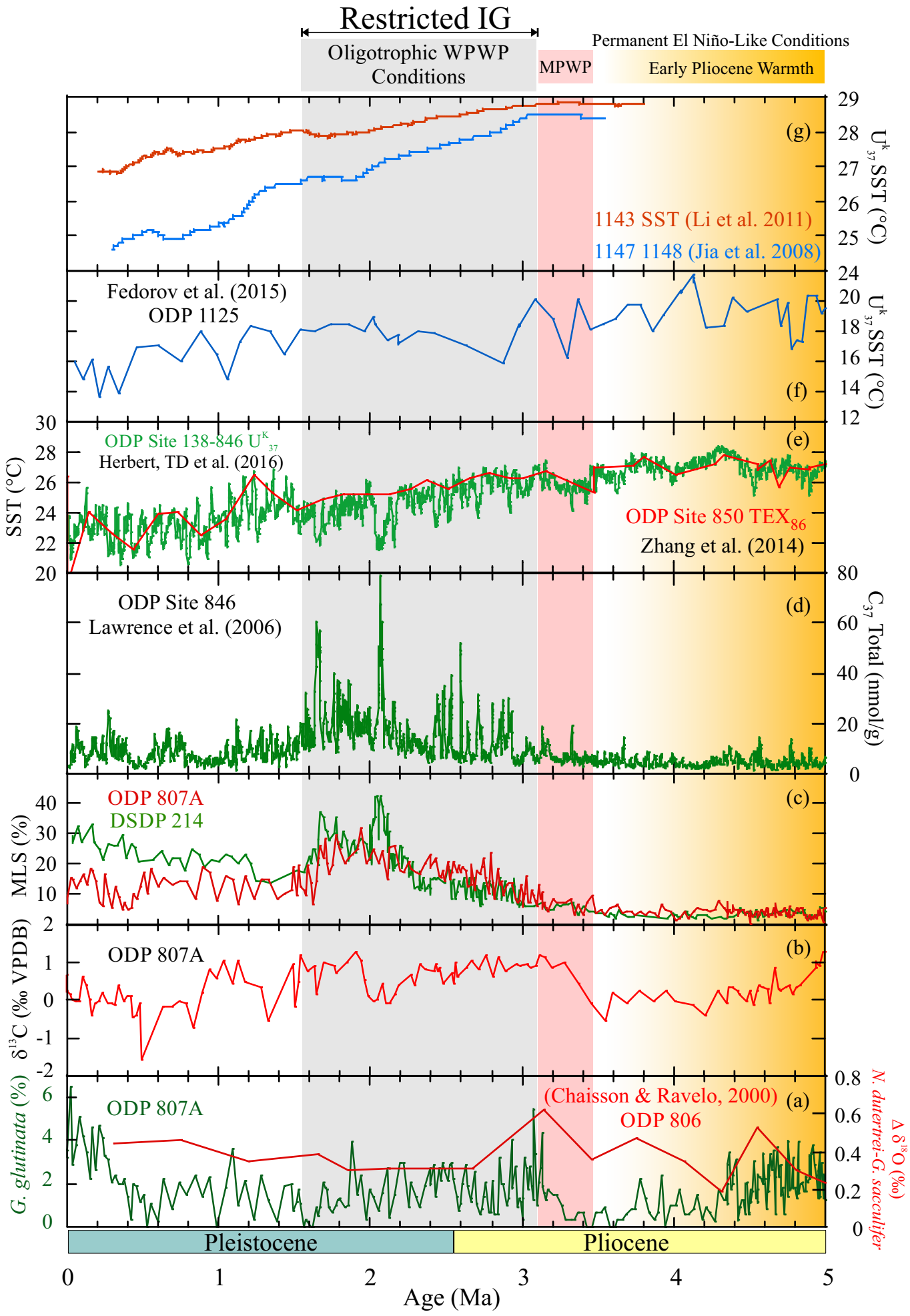
Figure 5.



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