

1           **Statistical evidence of a seismic quiescence before the**  
2                            **$M_w$  8.1 Iquique earthquake, Chile**

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9           **Key Points:**

- 10           • Iquique earthquake preparatory phase;  
11           • Kolmogorov-Smirnov test applied to the background-seismicity rate;  
12           • Quiescence before great earthquakes;

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**Abstract**

The 2014 Iquique seismic crisis (Chile), culminating with a  $M_w$  8.1 earthquake, April 1st, highlights a complex unlocking of the North Chile subduction interface which has been considered as a seismic gap since 1877. During the year preceding this event, at least three seismic clusters were observed: in July 2013 and January and March 2014. These clusters possibly indicate aseismic slip transients accompanying the progressive destabilization of the plate contact. Recent studies have proposed large-scale slab deformation as a potential trigger for the megathrust earthquake. However, no evidence of gradual unlocking of the interface or transient deformation has yet been found in the seismic rate. To address this question, we develop a dense earthquake catalog during the fifteen months preceding the mainshock from the continuous waveform dataset recorded by the Integrated Plate Boundary Observatory Chile (IPOC) and Iquique Local Network (ILN) networks. After declustering the seismicity, a space-time analysis highlights a large-scale acceleration of the seismicity along the interface while it decelerates at intermediate-depths. We then demonstrate the existence of a seismic quiescence down-dip of the mainshock rupture before the July 2013 cluster. We propose that this seismic quiescence is related to fluid circulation and/or aseismic motion along upper-plate crustal fault(s).

**1 Introduction**

Chile is well known for its intense seismic activity across the country where the largest earthquake ever was recorded in Valdivia in 1960 ( $M_w$  9.5). more recently there has been a series of major events, i.e. the  $M_w$  8.8 Maule earthquake in 2010 (e.g. Delouis et al., 2010; Vigny et al., 2011). The northern portion of the Chilean subduction, from the city of Arica (-18.5N) to the Mejillones peninsula (-23N), has been spared from great earthquakes since 1877 (Nishenko, 1991; Comte & Pardo, 1991), and is considered a seismic gap. On April 1st 2014, the Iquique earthquake of moment magnitude 8.2 broke a section of this gap with a maximum slip of about 8 m (Lay et al., 2014; Ruiz et al., 2014; Yagi et al., 2014; Meng et al., 2015; Duputel et al., 2015; Liu et al., 2015; Jara et al., 2018). This earthquake was preceded by a series of seismic-swarms described by Schurr et al. (2014): the very first anomalous and shallow activity was reported on July 23rd 2013 offshore the city of Iquique and lasted for few days; the second swarm appeared southward during the first days of January 2014; the last swarm happened on March 16th 2014 and started with a major upper-plate crustal foreshock of  $M_w$  6.7 (Bedford et al., 2015) and lasted until the mainshock of April 1st. Ruiz et al. (2014) proposed that the last cluster of March 2014 was driven by a slow-slip event along the interface. Kato et al. (2016) detected several repeating earthquake since July 2013 suggesting that each episodic swarm was driven by slow-slip, ultimately leading to the nucleation of the Iquique earthquake. Socquet et al. (2017) found evidence of these preparatory aseismic signals in the GPS data.

The unlocking of the interface by slow-slip events prior to major earthquakes has been observed at multiple subduction zones. Examples include the Tohoku-oki earthquake (Kato et al., 2012), the Arequipa earthquake (Ruegg et al., 2001), the Illapel earthquake (Huang & Meng, 2018; Poli et al., 2017), and more recently the Valparaiso sequence in April 2017 (Ruiz et al., 2017). Bouchon et al. (2013) observed a synchronization of high seismic moment release at both shallow (depth<40km) and deeper (depth>80km) portions of the subduction during the three seismic swarms and interpreted it as a deformation within the slab. Additionally, the slab-pull Tarapaca earthquake ( $M_w$  7.1), which occurred in 2005 on an inherited normal fault at the latitude of Iquique (Peyrat et al., 2006), altered the plate motions and seismic behavior of the area, as demonstrated by Jara et al. (2017). The authors suggest a preparatory phase even longer than previously expected, comparable to the decadal time scale of the 2011 Tohoku-oki earthquake preparatory phase (Mavrommatis et al., 2014; Yokota & Koketsu, 2015), and more gen-

erally to subduction earthquakes through the initiation of stable slip (Bouchon et al., 2013).

Despite the important results concerning the preparatory phase of the Iquique earthquake, the potential of the IPOC (GFZ CNRS-INSU, 2006) and ILN (Cesca et al., 2009) networks have not yet fully been exploited to study the seismicity during the months preceding the Iquique earthquake. The CSN catalog contains 2-3 events per day (with a completeness magnitude of 4 (Jara et al., 2017)). A visual inspection of the data, however, shows numerous undetected events. The objective of this work is to build a richer catalog to home in on the micro-seismicity in order to statistically highlight in time and space transients that could be involved in the preparatory phase of the earthquake. With this work we aim to precisely identify areas where aseismic slip could have played a role in the nucleation of the mainshock.

## 2 Building the catalog: detection, location and event selection

The IPOC network was deployed in 2006 just before the  $M_w$  7.7 Tocopilla earthquake (2007) in order to study the seismic gap of northern-Chile. It was designed to capture a large range of deformation processes by using seismometers, strong-motion sensors, GPS, magnetotelluric sensors, creepmeters and tiltmeters. This network represents a unique opportunity for studying the Northern-Chilean subduction seismicity: 16 stations with a dense distribution close to the trench to investigate in detail both interface and intraplate seismicity.

We built a new catalog following the method described in Ruiz et al. (2017). It combines automated methodologies to detect and locate seismic events. Our catalog spans from December 13<sup>th</sup> 2012 to March 31<sup>st</sup> 2014. For the detection procedure we selected 7 stations (Figure 1) which remained operational over the largest period before the Iquique earthquake. We obtained a first set of detections with the BackTrackBB method (Poïata et al., 2016, 2018) applied to the vertical components associated with a P-wave velocity model. This method builds kurtosis-based characteristic functions from the signal at different frequency bands in order to include time-frequency features. The cross-correlation of each pair of characteristic functions is then backprojected into 3D time-delay grid. The detection of a seismic event is declared if the maximum of the stack of all time-delay grid - also called the source location function (SLF) - overcomes a threshold value chosen by the operator. Here we used 10 frequency bands between 5 and 50 Hz. During this preliminary detection step, we normalized the SLF to 1 and arbitrary put it at a power 18. This significantly reduces the scattering of the SLF when there is a seismic source in the window of analysis and allows us to use the size of its 3D error-ellipsoid as a detection-trigger parameter (100km semi-axis) (See Figure S1 in Supplementary Information). When there is no coherent seismic sources observed in the data, the SLF remains scattered which means a larger 3D error-ellipsoid (See Figure S2 in Supplementary Information). This step greatly improves the number of detected events with low signal to noise ratio; however, it also implies many false detection that need to be removed later in the process. In a second step, we used as many stations as available and were able to differentiate P and S waves with a polarization analysis based on a singular value decomposition following Rosenberger (2010) (See Figure S3 in Supplementary Information). In order to improve the location of the detected events, we relocate these events anew with BackTrackBB applied to the three components (See Figure S4 in Supplementary Information).

To locate earthquakes we use a 1D velocity model proposed by Dorbath et al. (2008). To properly recover the geometry of the subduction at these latitudes, we incorporated the slab following the geometrical model of SLAB1.0 (Hayes et al., 2012) with velocities following a 3D velocity model (Dorbath et al., 2008). We finally relocate every detection with the NonLinLoc program (Lomax et al., 2000; Lomax, 2005) in order to obtain a probability density of location, allowing us to select/discard events according to the

115 size of their 68% error ellipsoid. As the velocity model used here is poorly resolved at  
116 depth, we will consider the 3D-ellipsoid projected on the horizontal plane.

117 We obtained a total of 62054 detections using BackTrackBB and discarded events  
118 which have a 2D-ellipsoid with semi-axes length greater than 10km. This threshold rep-  
119 represents the compromise between the number of events kept and the maximum length of  
120 the 2D ellipsoid (See Figure S4 and S5 in Supplementary Information). We kept 35371  
121 earthquakes between -22.5 N and -18.5 N and between -72 E and -66 E. As a compar-  
122 ison, 3503 events are in the CSN catalog for the same period and the same area. We low-  
123 ered the completeness magnitude from 4.0 for the CSN to 2.6 (Figure 1b).

124 With the aim of studying the spatio-temporal variations of the seismicity we dis-  
125 tinguish two areas: the contact between the Nazca and the South-America plate that  
126 begins at the trench to the down-dip root of the seismogenic zone at approximately 50-  
127 60km depth for Northern Chile (Béjar-Pizarro et al., 2010) and the deeper part. To ac-  
128 count for the weak resolution in depth of earthquake locations while still isolating each  
129 area, we extracted isodepth profiles from SLAB1.0 (Hayes et al., 2012) at 0, 70 and 200km  
130 depth in order to build longitudinal boundaries. The catalog is limited in latitude be-  
131 tween -18.5N and -22.5N, in accordance with the latitude range of the network. The *in-*  
132 *terface* catalog contains 7211 (1447 with  $M_L \geq 2.1$  for the interface) earthquakes be-  
133 tween 0 and 70km slab isodepth, the *intermediate depths* catalog contains 26962 earth-  
134 quakes (4445 with  $M_L \geq 2.6$  for intermediate depths) between 70 and 200km isodepths  
135 (Figure 1).

136 It is important to note that two stations went missing: PB01 from December 5<sup>th</sup>  
137 2013 to January 1<sup>st</sup> 2014; PB02 from December 25<sup>th</sup> 2013 to January 1<sup>st</sup> 2014. Since  
138 the detection capacity of the BackTrackBB method depends on the network coherency  
139 (and thus directly on the network geometry), this particular period will be removed from  
140 the future analysis.

141 Examples of the detection-location procedure are displayed in supplementary ma-  
142 terials.

### 143 3 Declustering of the catalog: Nearest-neighbor distance

144 Analysis of the background seismicity is a powerful tool to reveal transient defor-  
145 mations (Marsan et al., 2013; Reverso et al., 2015, 2016). Among numerous decluster-  
146 ing techniques (Van Stiphout et al., 2012) we selected the nearest-neighbor-distance met-  
147 ric (NND) proposed by (Baiesi & Paczuski, 2004) because it is self-adapted to observed  
148 seismicity and does not use tuning parameters other than the characteristic of each event  
149 (i.e. the magnitude, the location and occurrence time). It also represents a good com-  
150 promise between computational efficiency and stability of the results. It consists of the  
151 estimation of the distance  $\eta$  between each event  $j$  and any event  $i$  that precedes it. Thus,  
152 the nearest-neighbor event will be the event  $i$  that minimizes this distance:

$$\eta_{i,j} = t_{i,j}(r_{i,j})^{df} \cdot 10^{-b \cdot m_i} \quad (1)$$

153 where  $t_{i,j} = t_j - t_i$  in days,  $r_{i,j} = |r_i - r_j|$  in kilometers,  $m_i$  is the parent local  
154 magnitude,  $df$  is the fractal dimension which we set to 2 since we consider that the seis-  
155 micity is located on the horizontal plane and  $b$  from the Gutenberg-Richter law (here  $b =$   
156  $0.87$ , Figure 1). Zaliapin et al. (2008) went further and introduced a re-scaled time-difference  
157  $T_{i,j}$  and distance  $R_{i,j}$  for discriminating clustered and non-clustered events in order to  
158 account for both time and space in the  $\eta$  distribution:

$$\eta_{i,j} = T_{i,j} \times R_{i,j}$$

$$\begin{aligned} T_{i,j} &= t_{i,j} \cdot 10^{-\frac{1}{2} \cdot b \cdot m_i} \\ R_{i,j} &= (r_{i,j})^{df} \cdot 10^{-\frac{1}{2} \cdot b \cdot m_i} \end{aligned} \quad (2)$$

159 The  $\eta$  distribution of equation 1 of the intermediate-depths catalog is uni-modal  
 160 (Figure 2) and, following Zaliapin et al. (2008); Zaliapin and Ben-Zion (2013), can be  
 161 described with a logarithmic scale by a Weibull function. If we consider  $x = \log(\eta)$ , the  
 162 Weibull function is:

$$f(x|x_0, \lambda, k) = \begin{cases} k\lambda \left(\frac{x-x_0}{\lambda}\right)^{(k-1)} \exp\left[-\left(\frac{x-x_0}{\lambda}\right)^k\right] & x \geq x_0 \\ 0 & x < x_0 \end{cases} \quad (3)$$

163 Where  $k > 0$  is the shape parameter,  $\lambda > 0$  is the scale parameter of the distri-  
 164 bution and  $x_0$  is the location parameter. We are able to determine the 3 parameters  $x_0$ ,  $\lambda$   
 165 and  $k$ , through the minimization of an L2-norm.

166 The  $\eta$  distribution of the interface catalog is bi-modal (Figure 2) as expected (Zaliapin  
 167 et al., 2008; Zaliapin & Ben-Zion, 2013). To separate the two populations, we modeled  
 168 the distribution in this particular case with a sum of a log-Gaussian function,  $g(x) =$   
 169  $a_0 \exp((x-x_0)/\sigma)$  and a Weibull function (equation 3). We fit the whole distribution  
 170 by minimizing an L2-norm. We finally determine the threshold between the two pop-  
 171 ulation as the local minimum of the Gaussian and the Weibull distribution. This com-  
 172 promise means that we will include a portion of background seismicity into the clustered  
 173 catalog and a portion of the clustered seismicity into the background catalog.

174 We clearly identify the three seismic swarms in the interface seismicity as aftershocks.  
 175 In the following, we will study the background seismicity of the interface and intermedie-  
 176 depth catalogs to detect spatio-temporal variations of their seismic rate that could be  
 177 explained by aseismic slip. As suggested we do not consider the background seismicity  
 178 before February 1<sup>st</sup> 2013 due to edge-effects: there are no sufficient background earth-  
 179 quakes to identify potential aftershocks. This may lead to an over-estimation of the back-  
 180 ground seismic rate at the beginning of the catalog and ultimately induce a decrease of  
 181 the seismic rate as soon as the declustering algorithm is stabilized.

## 182 4 Analysis of the background seismicity

### 183 4.1 Reference Poisson-law

184 Siméon-Denis Poisson introduced in 1838 the Poisson-law to express the probabili-  
 185 ty of a given number of events  $k$  occurring in a fixed interval of time  $T$  if these events  
 186 occur with a known constant rate  $T_0$  and independently of the time since the last event:

$$P(k, T, T_0) = \frac{1}{k!} \left(\frac{T}{T_0}\right)^k \exp\left(-\frac{T}{T_0}\right) \quad (4)$$

187 Gardner and Knopoff (1974) demonstrated that a sequence of earthquakes in Southern-  
 188 California freed from aftershocks follows a Poisson law in time. Recently Marsan et al.  
 189 (2017) revealed aseismic transients along the Pacific Plate in Japan by comparing an ini-  
 190 tial background seismic rate to an expected seismic rate. The authors demonstrated that  
 191 the declustering following a Nearest-Neighbor-Distance Algorithm (Baiesi & Paczuski,  
 192 2004; Zaliapin et al., 2008) is consistent with the use of a space-time Epidemic-Type Aftershock-  
 193 Sequence (ETAS) model (Ogata, 1998), hence is suitable for studying the background  
 194 seismicity variations through time. Here we won't analyze the clustered seismicity.

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## 4.2 Kolmogorov-Smirnov one-sample test

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The Kolmogorov-Smirnov one sample test (**KS1**) is a non-parametric statistical test commonly used to test the equality between a distribution and a reference law through the estimation of a distance between the two (Lehmann & Romano, 2006; Gibbons & Chakraborti, 2011). For the corresponding cumulative distribution functions, the observed distribution  $F(x)$  of with a number of sample  $n$  and the theoretical distribution  $P(x)$ , the test consists in the estimation of the Kolmogorov-Smirnov criterion, denoted  $D_n$ , which is the maximum of the absolute difference between the two cumulative distribution functions:

$$D_n = \max_x |P(x) - F_n(x)| \quad (5)$$

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In seismology, the KS test has been used to assess the uniformity of declustered earthquake catalogs (Reasenber & Matthews, 1988; Matthews & Reasenber, 1988) but never to study seismic rate variations. In the following, we won't consider the absolute difference in equation 5 but will keep the information held by the sign of the difference. This will offer an indication of an event deficit (negative sign:  $F_n$  exhibits a greater probability for smaller number of event per day in regard to  $P$ ) or an event excess (positive sign:  $F_n$  exhibits a greater probability for greater number of event per day in regard to  $P$ ). In the following we refer to  $D_n$  as the event excess (a negative event excess is an event deficit). The null hypothesis,  $H_0 : F_n(x) = P(x)$ , is considered rejected at significance level  $\alpha$ , if:

$$|D_n| > \frac{K(\alpha)}{\sqrt{n}} \quad (6)$$

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where  $K(\alpha)$  is a constant and its value can be found in tables (Gibbons & Chakraborti, 2011) or can be estimated from the Kolmogorov distribution (Kolmogorov, 1933). In the following we will consider three levels of significance  $\alpha$ :  $K(\alpha = 0.68\%) = 0.96$ ,  $K(\alpha = 0.95\%) = 1.36$  and  $K(\alpha = 0.99\%) = 1.63$ .

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One cannot assess which period corresponds to the *stable* seismic rate especially for a catalog of this size (473 days). In the following analysis, we simply count the number of earthquakes per day. We consider that a sampling of  $T = 1$  day is enough to both have a sufficient number of samples and a sufficient number of events per window. We now attempt to detect any changes in the seismic rate between a reference period that we will call  $T_{ref}$  and the period that follows until April 1<sup>st</sup> 2014.

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We begin by fixing  $T_{ref}$ : July 23<sup>rd</sup> 2013, the day of the first cluster prior the Iquique earthquake. We infer an average inter-event time  $T_0 = T_{ref}/N_{ref}$ , with  $N_{ref}$  the total number of events observed during  $T_{ref}$  for the interface and intermediate-depth declustered catalogs. We compute the reference-Poisson probability density function,  $P_{ref}$  following equation 4. In a similar way, we count the number of earthquakes per day from the end of the reference period until April 1<sup>st</sup> 2014. We make sure to remove samples comprised in the period of station loss ( $n = 282$  remaining sample) to obtain the two earthquake counting distributions  $F_{obs}(k)$  (Figure 3).

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Next, we tested with a KS1, the null hypothesis  $H_0 : P_{ref}(k) = F_{ref}(k)$ ; put simply, is the theoretical Poisson law  $P_{ref}$  significantly similar to the observed distribution  $F_{ref}$ ?. Using  $P_{ref}$  guaranties us to overcome the problem of the reduced number of samples (days) of  $F_{ref}$  and rather consider a infinite number of samples with  $P_{ref}$ . This test shows that we cannot reject the null hypothesis  $H_0$  and thus confirms that  $P_{ref}$  and  $F_{ref}$  cannot be distinguished. In the following, we generate the observation counting distributions,  $F_{obs}$ , starting from  $T_{ref}$ , the time of the first cluster, to March 31<sup>st</sup> 2014, the day before the mainshock, (Figure 3).

240 Another KS1 test is performed to evaluate the null hypothesis  $H_1 : P_{ref} = F_{obs}$   
 241 for both catalogs (Figure 4). The null hypothesis  $H_1$  is rejected for the interface back-  
 242 ground catalog with a significance of more than 99%, suggesting a great increase of the  
 243 global seismicity after this date. For the intermediate-depth catalog, we obtain a neg-  
 244 ative event excess  $Dn$  but only significant at 68% which we won't consider sufficient to  
 245 be interpreted.

### 246 4.3 KS1 for a range of reference period date

247 While the  $T_{ref}$ : July 23<sup>rd</sup> 2013 in the previous section is justified by the initiation  
 248 of the first cluster in July 2013, it is an arbitrary parameter. We computed the KS1 for  
 249 a range of  $T_{ref}$  from April 1<sup>st</sup> 2013 until October 1<sup>st</sup> 2013 with a step of a day. Thus  
 250 we obtain an event excess  $Dn$  for each catalog at each possible  $T_{ref}$ . The results are shown  
 251 in Figure 5. We can observe a general and progressive increase of the event excess also  
 252 marked by a stronger and more significant acceleration around the July 2013 cluster for  
 253 the interface catalog while it appears to decrease over time at intermediate-depths to-  
 254 wards a more significant deceleration for greater  $T_{ref}$ .

255 Until now we have only considered the full declustered catalogs, without taking the  
 256 spatial information into account. To have an overview of the spatio-temporal evolution  
 257 of both interface and intermediate-depths background catalogs we apply the KS1 test  
 258 at each node of a 2D grid discretized every 5km and consider the events that occurred  
 259 with a 30km radius of the center of each grid cell.

### 260 4.4 Mapping the Kolmogorov-Smirnov one-sample test

261 This spatial KS1 can be applied for all sub-catalogs and the determined event ex-  
 262 cess  $Dn(x, y|T_{ref})$  are assigned to all specific nodes associated to a longitude  $x$  and a  
 263 latitude  $y$ . Based on the previous results of the estimation of the event excess for dif-  
 264 ferent reference period, we will consider 2  $T_{ref}$ :  $T_{ref}^1$ : May 20<sup>th</sup> 2013, which is before  
 265 the largest acceleration for the interface declustered catalog and  $T_{ref}^2$ : July 23<sup>rd</sup> 2013,  
 266 which is the time of the first cluster.

#### 267 $T_{ref}^1$ : May 20<sup>th</sup> 2013

268 The spatial KS1 for the interface background catalog shows striking patterns (Fig-  
 269 ure 6a): we observe two offshore patches of event excess with significance over 68%, though  
 270 only one is 95% significant (see bootstrap distribution for this region in Figure S7 in Sup-  
 271 plementary Information). We observe a broad region of event deficit with significance  
 272 over 99% in the center (see bootstrap distribution for this region in Figure S7 in Sup-  
 273 plementary Information). Regarding the intermediate-depths (Figure 7a), the spatial KS1  
 274 displays three large regions of event deficit with significance larger than 99% with one  
 275 located at the latitudes of the Iquique mainshock and its major aftershock.

#### 276 $T_{ref}^2$ : July 23<sup>rd</sup> 2013

277 The spatial KS1 for the interface background catalog shows little patches of event  
 278 excess with reduced extents for a significance over 95% (Figure 6b). The quiescence pre-  
 279 viously observed is not significant anymore. Concerning the intermediate-depths cata-  
 280 log (Figure 7b), the spatial KS1 still exhibits strong but narrower patches of event deficit  
 281 at the latitudes of the Iquique mainshock (significance > 95%).

## 282 5 Discussion

283 We investigated a potential large scale destabilization of the plate interface in the  
 284 North of Chile, as evidenced by significant changes in the background seismicity rates.  
 285 We built a continuous seismic catalog from December 12<sup>th</sup> 2012 till March 31<sup>st</sup> 2014 (Fig-  
 286 ure 1). The catalog's magnitude frequency distribution is described by a Gutenberg-Richter  
 287 law with  $b = 0.87$  and a completeness magnitude  $M_c = 2.6$ . We took particular care

288 to select stations for the detection phase in order to avoid a bias in the estimation of the  
289 seismic-rate.

290 We investigated the declustered seismic-rate for two regions: the interface ( $z <$   
291  $70km$ ) and the intermediate depths ( $70km < z < 200km$ ). After the declustering of  
292 both catalogs with the Nearest-Neighbor-Distance algorithm (Baiesi & Paczuski, 2004;  
293 Zaliapin et al., 2008; Zaliapin & Ben-Zion, 2013) (Figure 2), we searched for potential  
294 transient processes in these declustered catalogs following an original framework based  
295 on a one-sample Kolmogorov-Smirnov test. First, we separated each catalog into two pe-  
296 riods, the reference period and the observation period, before and after  $T_{ref}$ : July 23<sup>rd</sup>  
297 2013. We then compared both time periods in order to investigate a potential change  
298 in the seismic rate (Figure 4). The KS1 test shows that the interface experienced a sig-  
299 nificant acceleration of seismicity ( $> 99\%$  of significance) after the first cluster of July  
300 2013 while the seismicity rate at intermediate-depths seems to have remained constant  
301 (Figure 4). This first observation is in agreement with several studies that have proposed  
302 the unlocking of the plate interface from this period (Schurr et al., 2014; Kato et al., 2016;  
303 Socquet et al., 2017).

304 We then applied the same test for  $P_{ref}$  and the observation counting distribution  
305  $F_{obs}$  for all possible  $T_{ref}$  between April 2013 and October 2013 (Figure 5). This second  
306 approach of the KS1 allows to observe a continuous increase of the event excess  $Dn$  ( $Dn(t+$   
307  $\Delta t) > Dn(t)$ ) for the interface while it is decreasing for the intermediate-depths ( $Dn(t+$   
308  $\Delta t) < Dn(t)$ ). It is difficult to estimate a time scale for this, however the anti-correlation  
309 could be explained by a very simplistic hypothesis: before the unlocking of the interface,  
310 the slab is dragged at depth by its own weight and the convective motions in the man-  
311 tle. This generates tensile stress into the slab, which is thought to be the source of the  
312 mechanisms of most deep earthquakes (Astiz & Kanamori, 1986; Dmowska & Lovison,  
313 1988). When the interface starts to unlock, the tensile stress will be reduced: as a con-  
314 sequence the seismicity rate may decrease. However, this supposition needs to be con-  
315 firmed by more in-depth studies. Here, it is not clear when the initiation of the seismic-  
316 rate acceleration takes place along the interface and when it starts to decrease at depth.

317 When we reproduce the KS1 test spatially for two different reference periods, strik-  
318 ing patterns come out. It is interesting to note that, for a different  $T_{ref}$ , the spatial dis-  
319 tribution of event excess  $Dn$  can be drastically different (Figures 6 and 7). For the in-  
320 terface and for  $T_{ref}$ : July 23<sup>rd</sup> 2013, we have a regional event excess  $Dn$  that overcomes  
321 the 99.9% of statistical significance (Figure 5), however the map of spatial  $Dn$  for the  
322 same period of reference show areas of negative  $Dn$  (which are not significant) (Figure  
323 6b). This is not surprising since we would have expected that the regional event excess  
324  $Dn$  to represent a spatial average of the region. We thus observe a large area of signif-  
325 icant event deficit compensated by regions of significant and positive  $Dn$ .

326 The spatial distribution of event excess and its regional average are not contradic-  
327 tory, providing complementary insights on the background seismicity at two different scales:  
328 (1) the level of the subduction itself and (2) at a 30km-scale. Concerning the difference  
329 observed between the two spatial KS1 tests (Figure 6 and 7), they do not constitute a  
330 paradox; it only demonstrates that this test is powerful to detect anomalies in the seis-  
331 micity. By changing  $T_{ref}$  we moved the anomalies from the observation to the reference  
332 period.

333 With this original framework based on the one-sample Kolmogorov-Smirnov test  
334 and parameters relying only on observations, we are able to detect a global acceleration  
335 of the interface background seismicity while it decelerates at intermediate depths. We  
336 are also able to detect a quiescence down-dip of the Iquique earthquake nucleation area  
337 before the first cluster of July 2013 while the seismicity seems to have accelerated in dif-  
338 ferent proportions in the surroundings of the mainshock.

339 Quiescence has been observed many times before large earthquakes (Wyss & Haberman,  
 340 1988; Ogata, 1992; Wiemer & Wyss, 1994; Wu & Chiao, 2006; Katsumata, 2018)  
 341 but the potential mechanisms that are at the source of this quiescence are still poorly  
 342 understood. It is interesting to observe that the quiescence appears in a region of high  
 343 slip deficit (Figure 8) (Métois et al., 2016). This implies that a high-degree of coupling  
 344 measured over decades (interseismic period) may vary during a certain period and could  
 345 be rather different when a megathrust earthquake is about to occur (Marsan et al., 2017).  
 346 However to determine the potential mechanisms between this variations of coupling, we  
 347 certainly need a precise relocation of the earthquakes from this catalog. Seeking for mi-  
 348 grations of seismicity or fluid diffusion evidences (Yoon et al., 2009; Poli, 2017; Pasten-  
 349 Araya et al., 2018) or repeating earthquakes in the deeper region of the interface may  
 350 give us clues to improve our understanding of the preparatory phase of the Iquique earth-  
 351 quake.

## 352 6 Conclusion

353 Through the lens of the micro-seismicity, our results confirm the large-scale unlock-  
 354 ing of the Iquique interface, expanding from approximately -20.5N to -19.5N. We sug-  
 355 gest that the Iquique mainshock may have been triggered by a stress build-up promoted  
 356 by fluids flows and/or aseismic slip both up-dip and down-dip and/or motion on upper-  
 357 plate crustal fault(s).

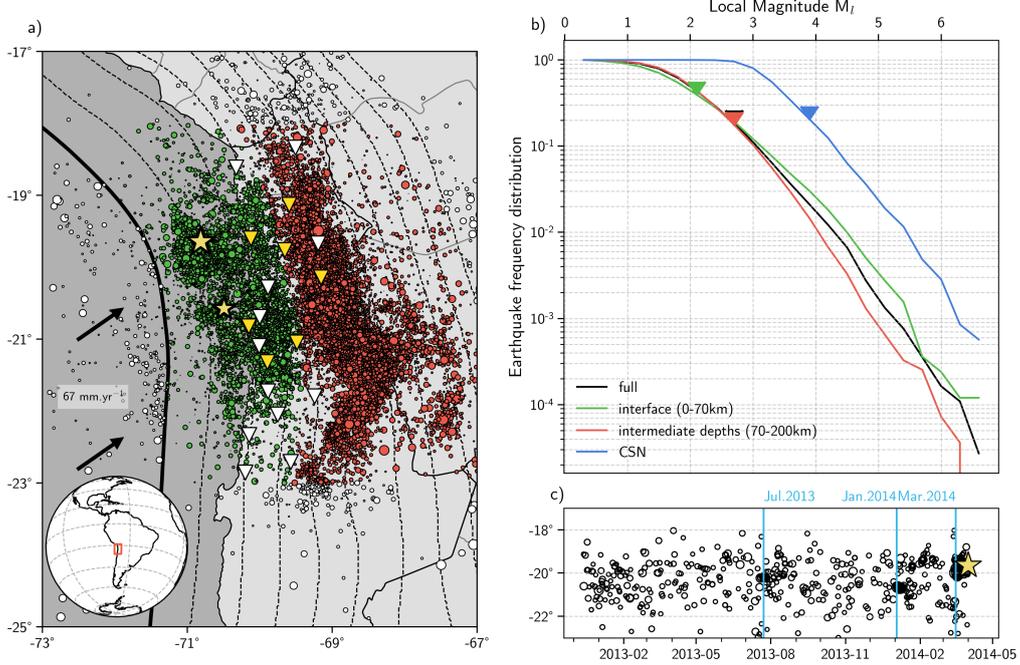
358 We highlight here the importance of building more complete and detailed catalogs,  
 359 taking particular care of limiting artifacts which may artificially alter the seismic-rate.  
 360 Permanent or semi-permanent ocean bottom seismic and geodetic observatories are an  
 361 absolute necessity for assessing the seismic hazard in subduction zones such as north-  
 362 ern Chile. To conclude, the joint use of all geophysical data-sets available is a require-  
 363 ment to improve our understanding of the preparatory phase of megathrust-earthquakes.

## 364 Acknowledgments

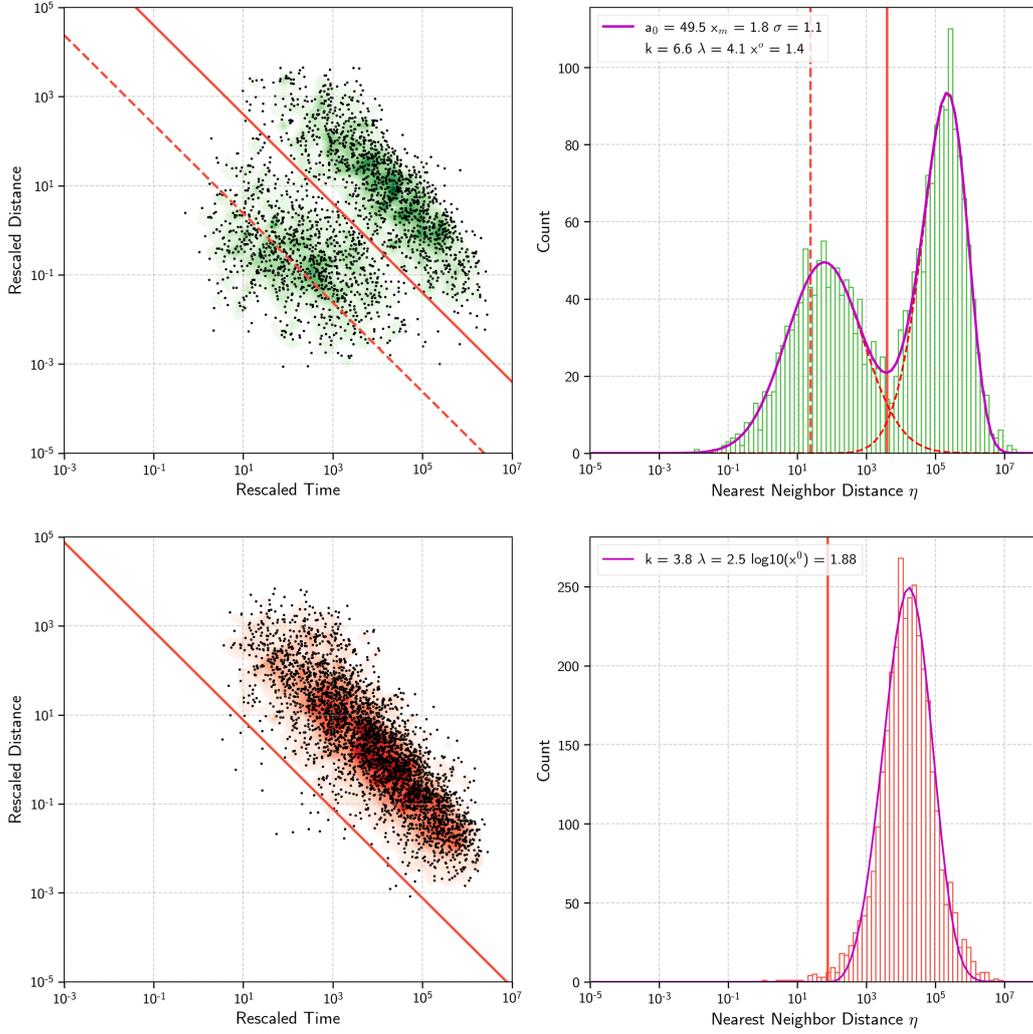
365 F.A.A. wants to thank S. Ruiz and M. Bouchon for interesting and constructive discus-  
 366 sions and comments. The authors thank everyone who has contributed to the IPOC and  
 367 ILN network. This is IGP contribution number XXXX. The seismic catalog created  
 368 for this study is available here: <https://doi.org/10.5281/zenodo.3597154>.

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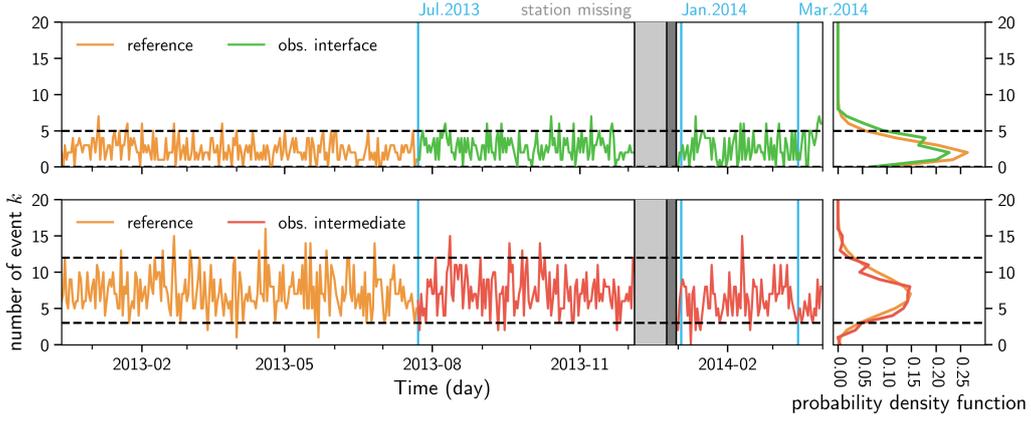
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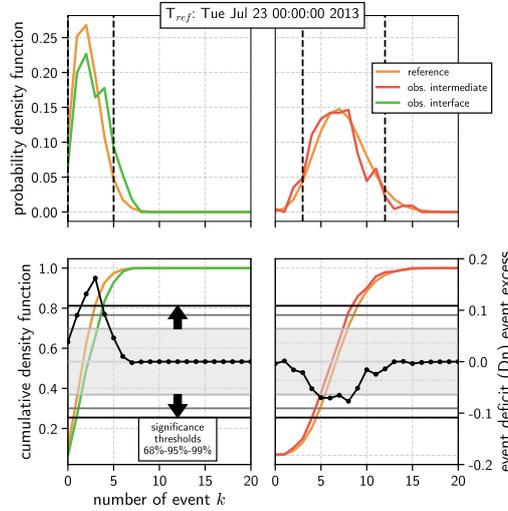
**Figure 1. Earthquake catalog for Northern Chile from December 12<sup>th</sup> 2012 to March 31<sup>st</sup> 2014.** a) Location of the 35371 earthquakes extracted from the IPOC/ILN data-set. The black arrows point the direction of convergence at a rate of  $67\text{mm}\cdot\text{yr}^{-1}$  vi-gny2009upper. The solid black line marks the trench separating the Nazca and South-America plates while the dashed lines are the isodepth profile each 20km depth following the Slab 1.0 model hayes2012slab1. The yellow start indicates the location of the Iquique earthquake. The triangle are the stations used in this work, their color indicates if it was used during the detection and location phases (yellow) or location phase only (white). The events are symbolized by circles and their size scale with their local magnitude. Green and red events constitute respectively the interface and the intermediate-depths catalog while white-colored events are discarded for this study. b) Earthquake frequency distribution. Each plain curves correspond to a different earthquake catalog. The completeness magnitude of the presented catalog is 2.6 (CSN  $M_c = 3.8$ , IPOC  $M_c = 2.8$  sippl2018seismicity) with a  $b$ -value of 0.87 (CSN  $b = 0.85$ , IPOC  $b = 0.84$ ). The circles represent the completeness magnitudes of each catalog and the slop of the dashed line are the  $b$ -value estimated using a maximum likelihood method. c) Latitude-Time representation of the interface seismicity ( $M_l 3.0^+$ ) prior to the mains shock. The vertical blue lines mark the three seismic swarms of July 2013, January and March 2014.



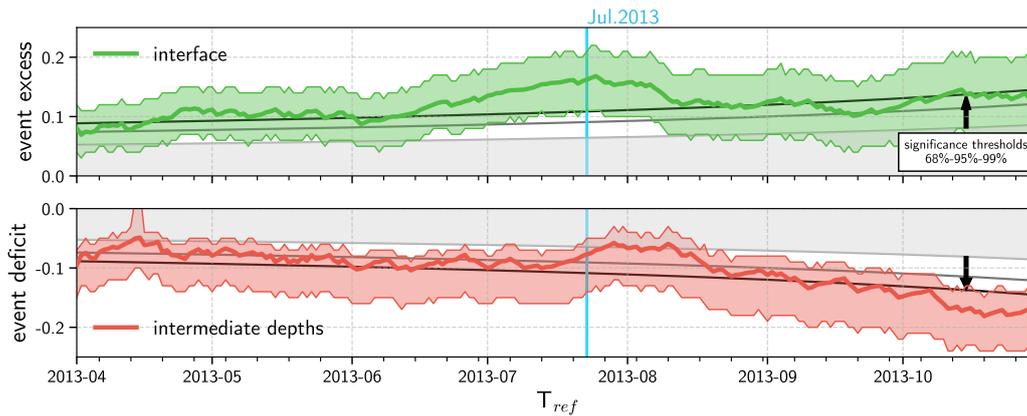
**Figure 2. Nearest-Neighbor-Distance applied to the seismicity of Northern Chile.** a) Joint distribution of the rescaled time  $T$  and distance  $R$  of the nearest-neighbor distance  $\eta$ . The intermediate-depths seismicity show a single mode located along the line  $\log(R) + \log(T) = 0.86$ . b) Histogram of the nearest-neighbor distance  $\eta$  which may be modeled as a log-Weibull function (equation 3). c) and d) stands for the intermediate-depth catalog.



**Figure 3. Earthquake counting distributions.** a) and c) show the number of earthquake per day,  $k$ . This number is orange for the reference period and green (interface) or red (intermediate-depths) for the observation period. The black dashed line correspond to the 95% probability limit of the theoretical Poisson law deduced from the reference period. The vertical blue lines mark the three clusters that preceded the Iquique earthquake, the grey rectangles display the period where two stations of the network went missing. Naturally, the number of earthquakes occurring during these days is not taken into account in the following. b) and d) respectively the probability density function for the observation period of the interface and the intermediate-depths against the theoretical Poisson law.

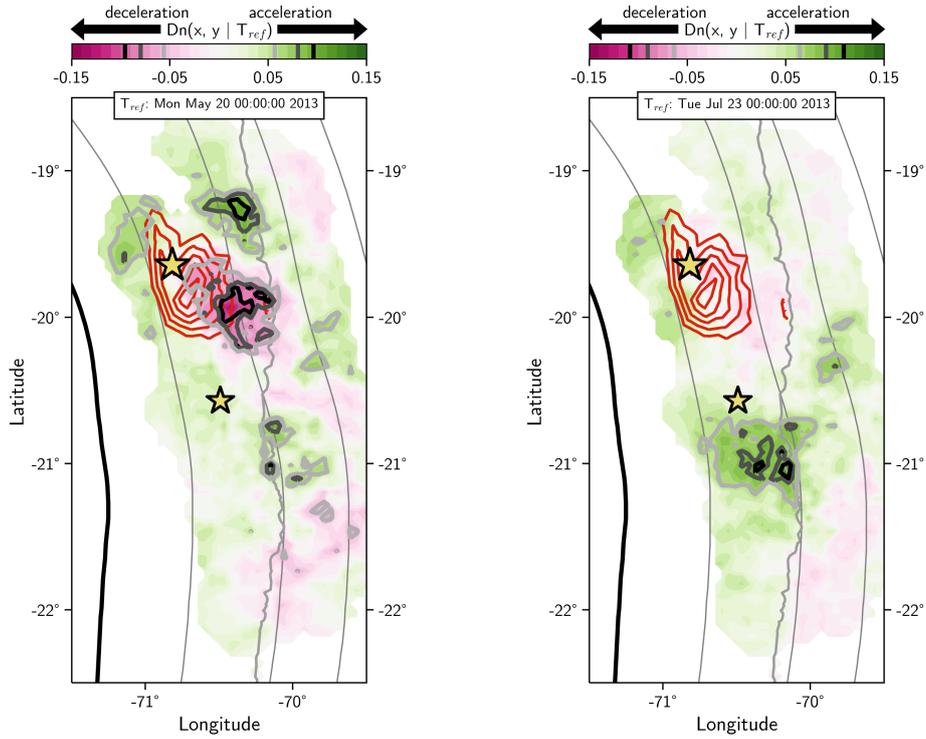


**Figure 4. Kolmogorov-Smirnov one-sample test.** a) and b) show the probability density functions of the reference (orange) and the observation periods (green and red) for the interface and intermediate-depths background seismicity. The black dashed line correspond to the 95% probability limit of  $P_{ref}$ . c) and d) presents the corresponding cumulative density functions. The dotted-black line is the difference between  $P_{ref}$  and  $F_{obs}$ . The light-grey, dark-grey and black contour lines represents the level of significance respectively at 68%, 95% and 99.9%. For this particular  $T_{ref}$ , only the interface background show a  $D_n$  at significant level higher than 99.9%, hence rejecting the null hypothesis that both distribution are equivalent.

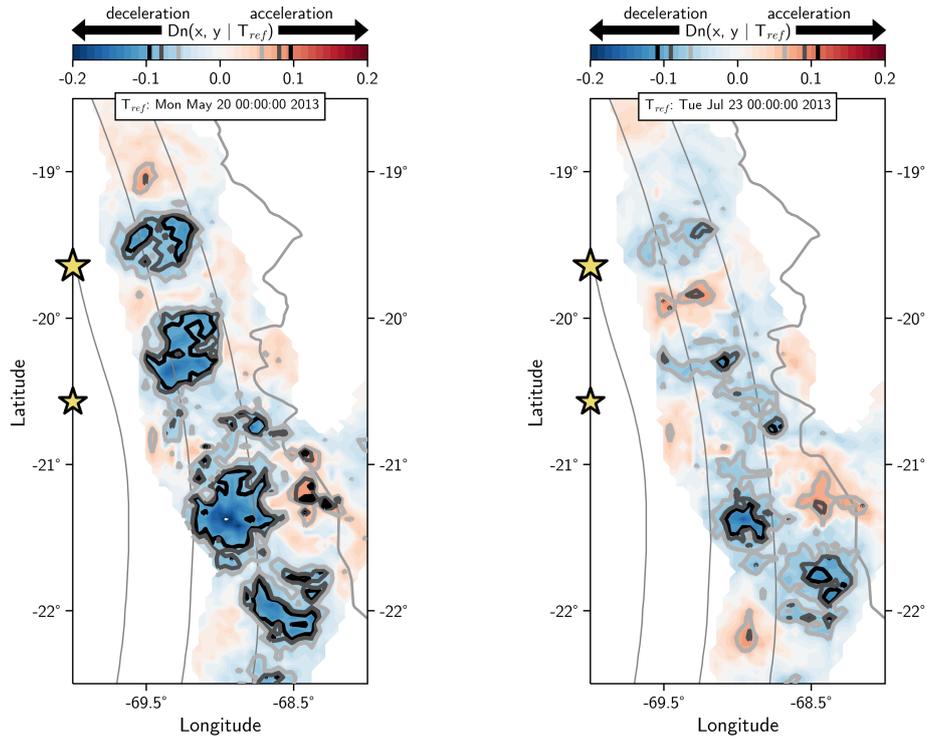


**Figure 5. Kolmogorov-Smirnov one-sample test for different reference periods.** a) and b) show the evolution of the event excess or deficit  $D_n$  by changing  $T_{ref}$  respectively for the interface (green) and intermediate-depths (red) background catalogs. The lighter colors represent the 95% confidence intervals estimated by bootstrapping (2000 resampling). The light-grey, dark-grey and black contour lines represents the level of significance respectively at 68%, 95% and 99.9% while the grey area represents the region of no significance. The vertical blue line marks the first cluster of July 23<sup>rd</sup> 2013.

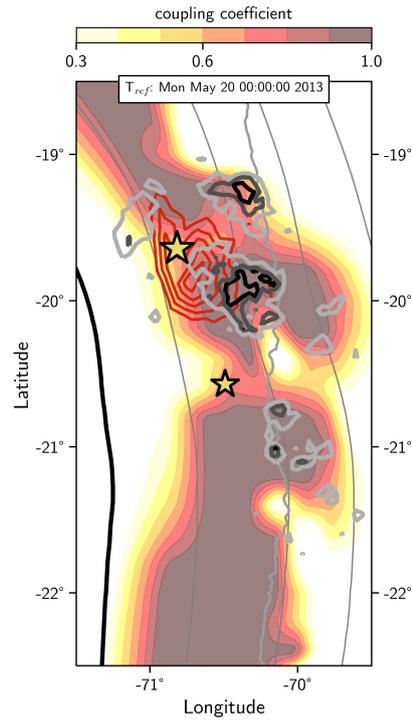
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**Figure 6.** KS1 estimated spatially on the interface background catalog for two reference period: May 20<sup>th</sup> 2013 and July 23<sup>rd</sup> 2013. The color-scale represents the local KS1 criterion  $D_n$  value from purple (negative) to green (positive). Negative values of  $D_n$  are associated to a deceleration of the seismic rate after the time  $T_{ref}$  while positive values are related to an acceleration. The light-grey, dark-grey and black contour lines represents the level of significance respectively at 68%, 95% and 99.9%. The red-contour lines represent the slip distribution of the Iquique earthquake. The solid and broad black line is the trench while dashed grey lines are isodepth profiles of the slab at 20, 40, 60 and 80 km deep.



**Figure 7.** KS1 estimated spatially on the intermediate-depths background catalog for two reference period: May 20<sup>th</sup> 2013 and July 23<sup>rd</sup> 2013. The color-scale represents the local KS1 criterion  $D_n$  value from blue (negative) to red (positive). Negative values of  $D_n$  are associated to a deceleration of the seismic rate after the time  $T_{ref}$  while positive values are related to an acceleration. The light-grey, dark-grey and black contour lines represents the level of significance respectively at 68%, 95% and 99.9%. The dashed grey lines are isodepth profiles of the slab at 60, 80 and 100 km deep.



**Figure 8. Seismic quiescence and local activation along the interface in relation to the coupling and the Iquique co-seismic slip distribution.** The color-scale represents the inter-seismic coupling coefficient (Métois et al., 2016). The light-grey, dark-grey and black contour lines represents the level of significance respectively at 68%, 95% and 99.9% of the event excess and deficit observed in Figures 6 and 7). The dashed grey lines are isodepth profiles of the slab at 20, 40, 60 and 80 km deep.

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