

# The diurnal dynamics of Gross Primary Productivity using observations from the Advanced Baseline Imager on the Geostationary Operational Environmental Satellites-R Series at an oak savanna ecosystem

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## Key Points:

- The Geostationary Operational Environmental Satellites-R Series can estimate gross primary productivity every half hour.
- A light response curve provides the best agreement with gross primary productivity estimated at an Ameriflux oak savanna site.
- Diurnal satellite-based estimates of gross primary productivity follow the shift towards the mornings during the dry summers at the site.

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## Abstract

Gross Primary Productivity (GPP) is the largest flux in the global carbon cycle and satellite-based GPP estimates have long been used to study the trends and inter-annual variability of GPP. With recent updates to geostationary satellites, we can now explore the diurnal variability of GPP at a comparable spatial resolution to polar-orbiting satellites and at temporal frequencies comparable to eddy covariance (EC) tower sites. We used observations from the Advanced Baseline Imager on the Geostationary Operational Environmental Satellites - R series (GOES-R) to test the ability of sub-daily satellite data to capture the shifts in the diurnal course of GPP at an oak savanna EC site in California, USA that is subject to seasonal soil moisture declines. We optimized parameters for three models to estimate GPP. A light response curve (LRC) achieved the lowest test mean absolute error for winter ( $1.82 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ), spring ( $2.51 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ), summer ( $1.45 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ), and fall ( $1.25 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ). The ecosystem experienced the largest shift in daily peak GPP in relation to the peak of incoming solar radiation towards the morning hours during the dry summers. The LRC and the light-use efficiency model were in agreement with these patterns of increasing shift of GPP towards the morning hours during the summer months. Our results can help develop diurnal estimates of GPP from geostationary satellites that are sensitive to fluctuating environmental conditions during the day.

## Plain Language Summary

Gross Primary Productivity (GPP) quantifies the draw down of atmospheric  $\text{CO}_2$  through ecosystem scale photosynthesis. Large scale estimates of GPP are a crucial component of carbon cycle science and can be estimated using satellites. Motivated by the recent advances in the spectral coverage and spatial resolution of geostationary ("weather") satellites, we demonstrate how the Advanced Baseline Imager (ABI) on the Geostationary Operational Environmental Satellites - R series can provide satellite-based, half-hourly GPP estimates at the Tonzi Ranch Ameriflux eddy covariance site in California, USA. We found that a light response curve is able to achieve the best agreement between ABI-based estimates of GPP and GPP partitioned from gas exchange measurements at the eddy covariance site. Previous research has demonstrated that the diurnal peak of GPP shifts increasingly towards the morning at Tonzi Ranch as the year progresses into the dry season. We found that ABI can capture this characteristic seasonal shift of peak diurnal GPP which highlights its ability to measure ecosystem dynamics in addition to the weather patterns that help cause them.

## 1 Introduction

Gross primary productivity (GPP) is a critical flux in the global carbon cycle because it represents the  $\text{CO}_2$  that is drawn down from the atmosphere by ecosystems through gross photosynthesis. Remotely-sensed observations of the Earth have provided critical inputs for global carbon cycle studies and model inter-comparisons, and have revolutionized our understanding of the carbon cycle (Anav et al., 2015; M. Chen et al., 2017; Cramer et al., 1999; Field et al., 1995; Jung et al., 2020; Keenan et al., 2012; O'Sullivan et al., 2020; Prince & Goward, 1995; Ruimy et al., 1996; Running et al., 2004; Xiao et al., 2019; Zhang et al., 2016; Zscheischler et al., 2014). The diurnal to inter-annual variability of GPP is determined by limiting resources, climate, weather conditions, disturbance, phenology, and extreme events (Beer et al., 2010; Gu et al., 2002; Kannenberg et al., 2020; Randazzo et al., 2020; Roby et al., 2020; Zscheischler et al., 2014; Stoy et al., 2005). However, with existing polar-orbiting satellites we have been largely limited to studying the multi-day to inter-annual variability of GPP rather than its dynamic response to environmental variability across the course of a day. With recent advances in the spectral coverage and spatial resolution of geostationary imagers commonly used for weather mon-

itoring, we argue that we can estimate GPP from space-based observations at sub-daily temporal frequencies (Khan et al., 2021; Xiao et al., 2021). This opens up new opportunities to study the diurnal cycles of GPP and its response to environmental conditions in near real-time (Khan et al., 2021; Xiao et al., 2021). Our ability to develop diurnal estimates of carbon fluxes that can respond to changing environmental conditions will allow us to provide space-based GPP estimates for future model inter-comparison studies and model ensemble estimates at a comparatively higher temporal frequency.

To start estimating GPP at a sub-daily temporal resolution from space-based observations, we can look towards various formulations of GPP's response to light and environmental variability. The development of space-based GPP estimates has largely relied on relationships between the fraction of photosynthetically active radiation (PAR) absorbed by plants (fAPAR) and vegetation indices and Light Use Efficiency (LUE) models that can convert absorbed PAR (APAR) to net primary production (NPP) or GPP (Anderson et al., 2000; Cramer et al., 1999; Field et al., 1995; Joiner et al., 2018; Mahadevan et al., 2008; Running et al., 2004; Xiao et al., 2019; Yuan et al., 2014). Vegetation indices developed from remotely sensed reflectance in visible to near-infrared wavelengths, such as the Normalized Difference Vegetation Index or the Enhanced Vegetation Index, have served as indicators of fAPAR and are often used to estimate APAR in LUE models (Joiner et al., 2018; Mahadevan et al., 2008; Running et al., 2004; Xiao et al., 2019; Yuan et al., 2007). Based on the idea that the near infrared radiation reflected by plants is proportional to the PAR absorbed by plants, the near infrared reflectance of vegetation ( $\text{NIR}_v$ ) has shown strong linear relationships with GPP and can be correlated with fAPAR (Badgley et al., 2017, 2019; Baldocchi et al., 2020; Wu et al., 2020). Furthermore, a radiance based ( $\text{NIR}_v$ ) was also correlated with GPP and APAR across agricultural sites and tropical forest canopies (Merrick et al., 2021; Wu et al., 2020). On the ground, temperature-respiration relationships and light response curves calculated from solar radiation incident on the surface are widely used to partition Net Ecosystem Exchange (NEE) from eddy covariance towers into GPP and ecosystem respiration ( $R_{\text{eco}}$ ) (Reichstein et al., 2012; Desai et al., 2008; Lasslop et al., 2010; Stoy et al., 2006). In terms of capturing the impact of environmental variability, this is mainly accomplished by developing environmental stressors from vapor pressure deficit (VPD), air temperature, land surface temperature (LST), and other variables that can capture moisture or temperature stress on GPP (Field et al., 1995; Joiner & Yoshida, 2020; Lasslop et al., 2010; X. Li et al., 2021; Running et al., 2004; Yuan et al., 2007).

The models used to estimate GPP from space-based observations have demonstrated a bias during times of soil moisture stress (Sims et al., 2014; Stocker et al., 2019). However, models that can couple transpiration and carbon uptake have shown success in capturing the response of carbon uptake to soil moisture stress (Anderson et al., 2000). Sub-daily observations from the Advanced Baseline Imager (ABI) on the Geostationary Operational Environmental Satellites - R Series (GOES-R) provide an ideal set of observations to test whether space-based GPP estimates capture the effects of water limitation on GPP. This is because the diurnal course of carbon uptake and water loss shift in a distinct way that can be indicative of soil moisture deficits due to stomatal regulation of water loss (Baldocchi, 1997; Tuzet et al., 2003; Schulze & Hall, 1982). With ongoing projections of increasing drought conditions and heat stress, a key priority for space-based GPP estimates is to capture the impact of water stress. The proper investigation of diurnal water-use efficiency requires that diurnal relationships between GPP and water fluxes are appropriately captured (Nelson et al., 2018) and this should extend to diurnal space-based GPP estimates as well (Xiao et al., 2021).

As we begin to leverage the wealth of sub-daily temporal information available from the ABI that has similar spectral sensitivity to MODIS and Landsat (Schmit & Gunshor, 2020), we need to assess how the diurnal patterns of ecosystem carbon uptake estimated from remote sensing compare with our current understanding of diurnal patterns

in ecosystem carbon uptake. Stomatal regulation through adjustments in stomatal conductance is the dominant mechanism by which carbon uptake and water loss are coupled in plants (Cowan & Farquhar, 1977). There are various physiological and environmental signals that exert a control on stomatal conductance such as  $\text{CO}_2$  concentrations inside the leaf, sugar accumulation, leaf and guard cell water potential, VPD, and PAR (Grossiord et al., 2020; Jalakas et al., 2021; Lawson, 2009; Matthews et al., 2017; Meinzer et al., 2017; Novick et al., 2016). PAR and VPD have been recognized as the dominant environmental drivers of NEE and GPP at diurnal scales if soil moisture, temperature, and vegetation phenology do not limit photosynthesis (Stoy et al., 2005). However, during times of soil moisture stress, the diurnal course of stomatal conductance, carbon uptake, and water loss do not always follow the symmetric course of solar radiation due to additional controls on stomatal conductance (Schulze & Hall, 1982). Diurnal asymmetry in ecosystem fluxes of carbon and water have been identified across various climates and plant functional types resulting in differences in these fluxes between the morning and afternoon and a shift in peak GPP to morning hours (Anderson et al., 2008; Baldocchi, 1997; Bucci et al., 2019; Lasslop et al., 2010; Lin et al., 2019; Konings, Yu, et al., 2017; Matheny et al., 2014; Nelson et al., 2018; K. B. Wilson et al., 2003). The diurnal shift of peak GPP and evapotranspiration (ET) has been shown to vary closely with moisture availability because the increased VPD during the afternoons in the face of low soil moisture can result in stomatal closure during the afternoon (Matthews et al., 2017; Nelson et al., 2018; Schulze & Hall, 1982). If geostationary satellites can capture these dynamics, we can strengthen our basis for estimating sub-daily GPP from space.

Here, we provide diurnal estimates of GPP at a 30-minute temporal resolution using five-minute multispectral data from the Advanced Baseline Imager (ABI) on board the Geostationary Operational Environmental Satellites - R Series (GOES-R) and other sub-daily products from the GOES-R ABI along with estimates of GPP from the Tonzi Ranch (US-Ton) Ameriflux eddy covariance tower in California, USA. During the dry summers, the Tonzi Ranch experiences low precipitation and low soil moisture characteristic of its Mediterranean climate. This provides an ideal case study to test the ability of widely-used models and ABI-based estimates of APAR to ask: How well can diurnal estimates of GPP based on radiation inputs from ABI capture diurnal and seasonal patterns in GPP at a site experiencing seasonal soil moisture deficits? We analyze the diurnal peaks of GPP and latent heat flux (LE) to test whether ABI-based GPP estimates can capture the shifting diurnal patterns of  $\text{CO}_2$  uptake and water loss that can be indicative of soil moisture stress at this site. We also focus our discussion on opportunities to extend GPP estimation using ABI to other ecosystems including key uncertainties that need to be addressed to advance our ability to monitor GPP in near-real time.

## 2 Materials and Methods

### 2.1 Study site

Our study site is an oak savanna Ameriflux eddy covariance site located at the Tonzi Ranch at the foothills of the Sierra Nevada mountain range near Ione, CA (38.4309 N, -120.9660 W, 177 m asl). The annual mean air temperature from 1926 - 2016 near the site was reported as  $16.6^\circ\text{C}$  and the average annual precipitation was reported as 546 mm (Ma et al., 2020). The rainy season can last from October to April and is characterized by lower levels of incoming solar radiation, net radiation, VPD, and lower diurnal variation in temperatures (i.e. the difference between daily maximum and minimum temperatures) (Baldocchi et al., 2004; Xu & Baldocchi, 2003). The site experiences clear days, the highest levels of incoming solar radiation, and very little to no precipitation during the summer months (Baldocchi et al., 2004; Xu & Baldocchi, 2003). The site also experiences the highest VPD during the year along with rapidly declining soil moisture during the summer (Baldocchi et al., 2004, 2021; Xu & Baldocchi, 2003). Diurnal vari-

ation in temperature also increases during the summer months (Xu & Baldocchi, 2003). For our study, we characterized the seasons experienced at our site according to Ma et al. (2016) as: winter (Jan. - Mar.), spring (Apr. - Jun.), summer (Jul. - Sep.), and fall (Oct. - Dec.).

Blue oak trees (*Quercus douglassi*) make up the deciduous overstory of the oak savanna while the understory consists of  $C_3$  annual grasses and herbs (Baldocchi et al., 2004; Ma et al., 2020). The tree canopy is dormant during the rainy winter and leafs out during the spring and reaches full photosynthetic capacity (Xu & Baldocchi, 2003). The rainy season provides soil moisture for the trees which is drawn down gradually through transpiration into the summer months (Baldocchi et al., 2004; Ma et al., 2016). The tree canopy is able to maintain photosynthesis and transpiration during the dry season through the ability to regulate water loss and access of some roots to ground water (Baldocchi et al., 2004). The trees lose their leaves in late autumn and the understory grasses germinate after the first rainfall of autumn (Baldocchi et al., 2004; Ma et al., 2016). The understory grows throughout the winter and spring, but dies before the dry summer months (Ma et al., 2020; Baldocchi et al., 2004). Both GPP and evapotranspiration peak during the spring after the trees become photosynthetically active (Baldocchi et al., 2021; Ma et al., 2016, 2020). The soil is an Auburn very rocky silty loam with 37.5 - 48 % sand, 42 - 45 % silt, and 10 - 17.5 % clay depending on under canopy or open space areas (Baldocchi et al., 2004).

## 2.2 Data

### 2.2.1 ABI

We used the GOES-R ABI Level 1b top-of-atmosphere (TOA) radiances (ABI-L1b-RadC) from GOES-16 and GOES-17. ABI-L1b-RadC is delivered at a five-minute temporal resolution over the conterminous United States (CONUS). The spatial resolution of the near-infrared (NIR) band (central wavelength:  $0.86 \mu m$ ) is 1 km at nadir and the spatial resolution of the red band (central wavelength:  $0.64 \mu m$ ) is 0.5 km at nadir (Schmit & Gunshor, 2020). The red band TOA radiance was aggregated to the 1 km at nadir scale using the median TOA radiance. ABI-L1b-RadC is available on Amazon Web Services and was accessed with S3Fs, a python module for accessing Amazon S3 buckets with ABI data. The TOA radiances ( $L_{toa}$ ) were converted to TOA reflectance factors ( $\rho f_{toa}$ ) as described in the GOES-R Product Definition and User's Guide (PUG) (NASA, 2019):

$$\rho f_{toa} = \kappa L_{toa} \quad (1)$$

$$\kappa = \frac{\pi d^2}{E_{sun}} \quad (2)$$

where  $d$  is the Earth-Sun distance (Astronomical Units) and  $E_{sun}$  is the solar irradiance for a given band ( $W m^{-2} \mu m^{-1}$ ) (NASA, 2019).  $\kappa$ ,  $d$ , and  $E_{sun}$  are provided in the product metadata for each band (NASA, 2019). The five-minute ABI Level 2 Clear Sky Mask for CONUS (ABI-L2-ACMC) was used to identify clear observations. ABI-L2-ACMC and the hourly Downward Shortwave Radiation (DSR) (ABI-L2-DSRC) (NASA, 2018) were downloaded through the National Oceanic and Atmospheric Administration's Comprehensive Large Array-Data Stewardship System (CLASS). At the coordinates of the Tonzi Ranch, we extracted the TOA reflectance factors for the NIR band and the red band calculated from ABI-L1b-RadC along with DSR values from ABI-L2-DSRC and clear/cloudy flags from ABI-L2-ACMC. Quality flags provided in the metadata of ABI-L1b-RadC and the clear sky flag from ABI-L2-ACMC were used to identify clear

Table 1: Number of clear sky and good quality observations available from 5-minute ABI-L1b-RadC and hourly ABI-L2-DSRC by season and the ABI on GOES-16 (16) and GOES-17 (17).

Season	ABI	ABI-L1b-RadC	ABI-L2-DSRC
Winter	16	18494	1233
Winter	17	15366	1216
Spring	16	24761	1853
Spring	17	25877	1867
Summer	16	35324	1828
Summer	17	31798	1825
Fall	16	32467	1108
Fall	17	24644	1102

and good quality observations from ABI-L1b-RadC to estimate surface reflectance. Table 1 shows the number of good quality clear observations from ABI-L1b-RadC and ABI-L2-DSRC for each season.

The surface bidirectional reflectance was estimated from TOA bidirectional reflectance factors from ABI using the radiative transfer equations of Qin et al. (2001) as previously used by He et al. (2019) to estimate surface reflectance from ABI TOA data. Similar to previous efforts with ABI and MODIS data (He et al., 2012, 2019), we used The Second Simulation of a Satellite Signal in the Solar Spectrum (6S) radiative transfer model with the python-based Py6S (R. Wilson, 2013) to estimate the following atmospheric parameters with the assumption of a Lambertian ground reflectance: path reflectance, spherical albedo, atmospheric transmittance, direct fraction of incoming radiation, diffuse fraction of incoming radiation, and spectral irradiance. The surface anisotropy of reflected radiation was characterized by the Ross-Thick volumetric kernel,  $K_{vol}$ , and the Li-Sparse geometric kernel,  $K_{geo}$  (Wanner et al., 1995). The Bidirectional Reflectance Distribution Function (BRDF) was estimated as:

$$R(\theta_s, \theta_v, \phi_r) = f_{iso} + f_{vol} K_{vol}(\theta_s, \theta_v, \phi_r) + f_{geo} K_{geo}(\theta_s, \theta_v, \phi_r) \quad (3)$$

where  $\theta_s$  is the solar zenith angle (SZA),  $\theta_v$  is the view zenith angle (VZA), and  $\phi_r$  is the relative azimuth angle. We estimated  $f_{iso}$ ,  $f_{vol}$ , and  $f_{geo}$  through minimizing a least squares cost function between the TOA reflectance factor observed by the ABI on GOES-16 and GOES-17 and the TOA reflectance factor estimated by the radiative transfer model of Qin et al. (2001). The BRDF coefficients were estimated using observations collected at an SZA less than 70 degrees for each day when there were at least 10 observations available during the day for the red and NIR ABI bands. For each day, the VZA from GOES-16 and GOES-17 along with diurnally varying SZA at five-minute intervals was used to fit the BRDF model. We used discrete values of aerosol optical depth at 550 nm (AOD) as explained in He et al. (2019) with different aerosol types (biomass burning, continental, maritime, urban, and stratospheric) to estimate atmospheric parameters from 6S. The AOD and aerosol type combination that resulted in the smallest least squares cost function between observed and estimated TOA reflectance was used as the values for AOD and aerosol type for the day. To approximate surface reflectance at nadir viewing, we calculated the geometric and volumetric kernels at each SZA value during the day with a fixed VZA of 0 degrees. Then, we used the BRDF kernel coefficients ( $f_{iso}$ ,  $f_{vol}$ ,  $f_{geo}$ ) to estimate the surface reflectance at nadir throughout the day.



## 2.2.2 Ameriflux eddy covariance tower

Instrumentation to measure micrometeorological variables and fluxes were installed on a 23 m tower  $\sim 10$  m above the tree canopy and a separate set of understory flux measurements were collected 2 m above the ground (Baldocchi et al., 2021; Ma et al., 2001). Wind velocity was measured with a three-dimensional ultrasonic anemometer (WindMaster, Gill Instruments) and  $\text{CO}_2$  and water vapor fluxes were measured at 10 - 20 times per second using an open-path infrared absorption gas analyzer (LI-7500A, LICOR) (Baldocchi et al., 2004, 2021). NEE was calculated using the eddy covariance technique and the partitioned GPP and  $R_{\text{eco}}$  were provided to Ameriflux (Baldocchi et al., 2021; Ma et al., 2001). Upward and downward facing quantum sensors (PAR-LITE, Kipp & Zonen) and a net radiometer consisting of upward and downward facing pyranometers and pyrgeometers (CNR1, Kipp & Zonen) (Baldocchi et al., 2021) measure broadband radiation flux densities in photosynthetically active (400 - 700 nm), shortwave (305 - 2800 nm), and longwave (5000 - 50,000 nm) regions. Incident and reflected narrow band radiation in the red (central wavelength: 650 nm) and NIR (central wavelength: 810 nm) regions was measured with spectral reflectance sensors (SRS-Ni NDVI, Decagon-METER) with a hemispherical  $180^\circ$  field of view (Baldocchi et al., 2020). Air temperature and relative humidity were measured with a platinum resistance temperature detector and humicap (HMP45AC, Vaisala). A set of segmented time domain reflectometry probes (Moisture Point PRB-K, Environmental Sensors Inc.) and Theta probes (ML2x, Delta-D Devices) measure volumetric soil moisture content at depths of 5 - 60 cm (Baldocchi et al., 2021; X. Chen et al., 2008).

The cumulative daytime footprint around the overstory tower from where 80 % of the fluxes originate covers oak trees, the understory layer, and open spaces of the savanna (Ma et al., 2020). The footprint fetch is asymmetric around the tower and varied between 318 - 384 m during the daytime and 648 - 866 m during nighttime for 2014 - 2017 (Chu et al., 2021). The area of the footprint varied between  $234,771 \text{ m}^2$  -  $230,237 \text{ m}^2$  during the day and  $419,838 \text{ m}^2$  -  $656,611 \text{ m}^2$  at night (Chu et al., 2021). The dominant land cover type is classified as grassland/herbaceous in the National Landcover Database and makes up a little over 50 % of the area that is 1000 - 3000 m around the tower (Chu et al., 2021). The site's footprint's representativeness of its surroundings is classified as medium at scales of 1000 - 3000 m around the tower during the day (Chu et al., 2021). Previous analysis of energy balance closure at the site with a linear regression between net radiation and the sum of sensible heat flux, latent heat flux, soil heat flux, and canopy heat storage has resulted in an intercept of  $-10.6 \text{ W m}^{-2}$  and a slope of 1.04 ( $r^2 = 0.94$ ) (Baldocchi et al., 2004). Data collected under heavy rainfall ( $> 10 \text{ mm}$ ) were removed (Baldocchi et al., 2021).

The hourly ABI DSR data were linearly interpolated to the half-hour temporal resolution of the Ameriflux data. Vegetation indices were calculated from the clear sky, 5-minute, nadir-adjusted surface reflectance estimates. The midday medians of the vegetation indices for each day were calculated between hour 10 and 14. Finally, we used all available daytime data with a solar zenith angle of less than 70 degrees from 2019 - 2020 from ABI and Ameriflux for GPP estimation.

## 2.3 Estimating GPP

GPP was estimated using a light-use efficiency model (LUE-NDVI), a linear relationship between the product of  $\text{NIR}_v$  and PAR ( $\text{NIR}_v\text{P}$ ) and GPP ( $\text{LIN-NIR}_v\text{P}$ ), and a light response curve between  $\text{NIR}_v\text{P}$  and GPP. The normalized difference vegetation index (NDVI) calculated from ABI surface reflectance was used in the LUE-NDVI model (Running et al., 2004).  $\text{NIR}_v$  calculated from ABI surface reflectance was used in the  $\text{LIN-NIR}_v\text{P}$  model and the light response curve between  $\text{NIR}_v\text{P}$  and GPP (Baldocchi et al., 2020; Dechant et al., 2020). The midday median value of NDVI and  $\text{NIR}_v$  were used.

The LUE-NDVI model was specified as (Running et al., 2004):

$$GPP = \epsilon_{max} T_{scale} W_{scale} APAR \quad (4)$$

where  $\epsilon_{max}$  is the maximum canopy LUE ( $\mu mol CO_2 J^{-1}$ ) under ideal environmental conditions and APAR is absorbed photosynthetically active radiation (PAR) ( $W m^{-2}$ ) and is calculated as:

$$APAR = fAPAR \times PAR \quad (5)$$

where fAPAR is the fraction of absorbed PAR and is approximated by the daily mid-day median NDVI. NDVI was calculated as:

$$NDVI = \frac{\rho_{NIR} - \rho_{Red}}{\rho_{NIR} + \rho_{Red}} \quad (6)$$

where  $\rho_{NIR}$  is the reflectance in the ABI NIR band and  $\rho_{Red}$  is the reflectance in the ABI red band. PAR was estimated as (Meek et al., 1984; Weiss & Norman, 1985):

$$PAR = 0.45 DSR \quad (7)$$

where DSR is the linearly interpolated ABI Downward Shortwave Radiation from ABI-L2-DSRC. We calculated  $T_{scale}$  and  $W_{scale}$  according to the MODIS LUE model (Running & Zhao, 2015).  $T_{scale}$  was calculated as (Huang et al., 2021; Running & Zhao, 2015):

$$T_{scale} = \begin{cases} 0, & \text{if } T_{air} \leq T_{min} \\ \frac{T_{air} - T_{min}}{T_{max} - T_{min}}, & \text{if } T_{min} < T_{air} < T_{max} \\ 1, & \text{if } T_{air} \geq T_{max} \end{cases} \quad (8)$$

where  $T_{air}(^{\circ}C)$  is the air temperature measured at the EC tower.  $T_{min}(^{\circ}C)$  is the temperature at which LUE is minimum ( $LUE = 0 \mu mol CO_2 J^{-1}$ ) at any VPD value and  $T_{max}(^{\circ}C)$  is the temperature, under ideal VPD, at which LUE is maximum ( $LUE = \epsilon_{max}$ ) (Running & Zhao, 2015).  $W_{scale}$  was calculated as (Huang et al., 2021; Running & Zhao, 2015):

$$W_{scale} = \begin{cases} 1, & \text{if } VPD \leq VPD_{min} \\ \frac{VPD_{max} - VPD}{VPD_{max} - VPD_{min}}, & \text{if } VPD_{min} < VPD < VPD_{max} \\ 0, & \text{if } VPD \geq VPD_{max} \end{cases} \quad (9)$$

where  $VPD(hPa)$  is the vapor pressure deficit from the EC tower.  $VPD_{min}(hPa)$  is the VPD at which LUE is maximum ( $LUE = \epsilon_{max}$ ) and  $VPD_{max}(hPa)$  is the VPD at which LUE is minimum ( $LUE = 0 \mu mol CO_2 J^{-1}$ ) (Running & Zhao, 2015). The linear relationship between  $NIR_vP$  and GPP was approximated as:

$$GPP = \epsilon_{ref} NIR_vP \quad (10)$$

where  $\epsilon_{ref}$  is the slope between GPP and  $NIR_vP$ .  $NIR_vP$  was calculated as (Dechant et al., 2020):

$$NIR_vP = NIR_v \times PAR \quad (11)$$

$NIR_v$  was calculated as (Badgley et al., 2017):

$$NIR_v = \rho_{NIR} NDVI \quad (12)$$

We estimated the GPP term using a light response curve between EC tower partitioned GPP and ABI  $NIR_vP$ :

$$GPP = \frac{\alpha NIR_vP \beta}{\beta + \alpha NIR_vP} \quad (13)$$

where  $\alpha$  is the canopy LUE before light saturation is reached ( $\mu mol CO_2 J^{-1}$ ) or the initial slope of the relationship between GPP and  $NIR_vP$  and  $\beta$  is the maximum  $CO_2$  uptake rate at the point of light saturation ( $\mu mol CO_2 m^{-2} s^{-1}$ ) (Reichstein et al., 2012;



Lasslop et al., 2010). Light response curves can be used to partition NEE into GPP and  $R_{eco}$  using incoming solar radiation at the surface (Lasslop et al., 2010). In this case,  $\alpha$  is directly approximated as the initial LUE of the incident light response. When replacing incoming solar radiation with  $NIR_{VP}$ , a more specific description of  $\alpha$  would be the initial amount of  $CO_2$  taken up with increases in  $NIR_{VP}$  (Figure 1).  $NIR_{VP}$ 's proportionality with APAR is the basis by which an  $NIR_{VP}$ -based  $\alpha$  could approximate an APAR based  $\alpha$ . The impact of increasing VPD and the resulting stress on the maximum  $CO_2$  uptake rate at light saturation,  $\beta$ , was estimated according to Lasslop et al. (2010) (Figure 2):

$$\beta = \begin{cases} \beta_0 \exp(-k(VPD - VPD_0)), & \text{if } VPD > VPD_0 \\ \beta_0, & \text{otherwise} \end{cases} \quad (14)$$

where  $k$  is the sensitivity of the maximum  $CO_2$  uptake rate at light saturation,  $\beta$ , to VPD.  $\beta_0$  is the maximum  $CO_2$  uptake rate at light saturation during conditions of ideal VPD ( $VPD < VPD_0$ ).  $VPD_0$  was set as 10 hPa (Lasslop et al., 2010). Atmospheric VPD from the EC tower was used here.

We estimated  $\epsilon_{max}$ ,  $T_{min}$ ,  $T_{max}$ ,  $VPD_{min}$ ,  $VPD_{max}$ ,  $\epsilon_{ref}$ ,  $\alpha$ ,  $\beta_0$ , and  $k$  through minimization of a cost function implemented in the Python-based open-source software, SciPy (Virtanen et al., 2020) as:

$$\text{minimize } 0.5 \times \sum_{i=1}^n \rho_i \quad (15)$$

To reduce the influence of outliers, the Huber loss function was used to calculate the vector  $\rho$  which is also implemented in the Python-based open-source software, SciPy (Virtanen et al., 2020) as:

$$\rho = \begin{cases} z & \text{if } z \leq 1 \\ 2\sqrt{z} - 1 & \text{otherwise} \end{cases} \quad (16)$$

where  $z$  is a vector of the squared errors between estimated GPP and EC tower GPP for a daytime half hour  $i$  in  $1, \dots, n$  during the month for the two years of data. We estimated parameters for each month separately using 2 years of diurnal observations. Seventy percent of the data for a given month was used for estimating the parameters and 30 % was used to test GPP estimates from the models against EC tower GPP. The data was split into test and training data using the python module Scikit-learn (Pedregosa et al., 2011). To test the impact of NEE partitioning, we also estimated all parameters using GPP partitioned from two different NEE partitioning approaches in addition to the Ameriflux provided GPP (Appendix B).

## 2.4 Model evaluation

We used a robust regression implemented in Python's statsmodels module to fit a linear model between the ABI GPP estimates and the EC tower GPP estimates using our test and training data (Seabold & Perktold, 2010). For each model used to estimate GPP from ABI inputs, a linear model was fit by gathering the training and test data used for each month into one training and test set for the 2 year study period. Furthermore, the training and test data used for each month were also pooled into seasonal training and test data for each model. We used these seasonal pools of training and test data to calculate the mean absolute error, the normalized mean absolute error, and the mean error between ABI GPP estimates and EC tower GPP estimates for each season. These error summaries were calculated as:

$$\text{Mean Error} = \frac{\sum_{i=1}^n \widehat{GPP}_i - GPP_i}{n} \quad (17)$$

$$\text{Mean Absolute Error} = \frac{\sum_{i=1}^n |\widehat{GPP}_i - GPP_i|}{n} \quad (18)$$

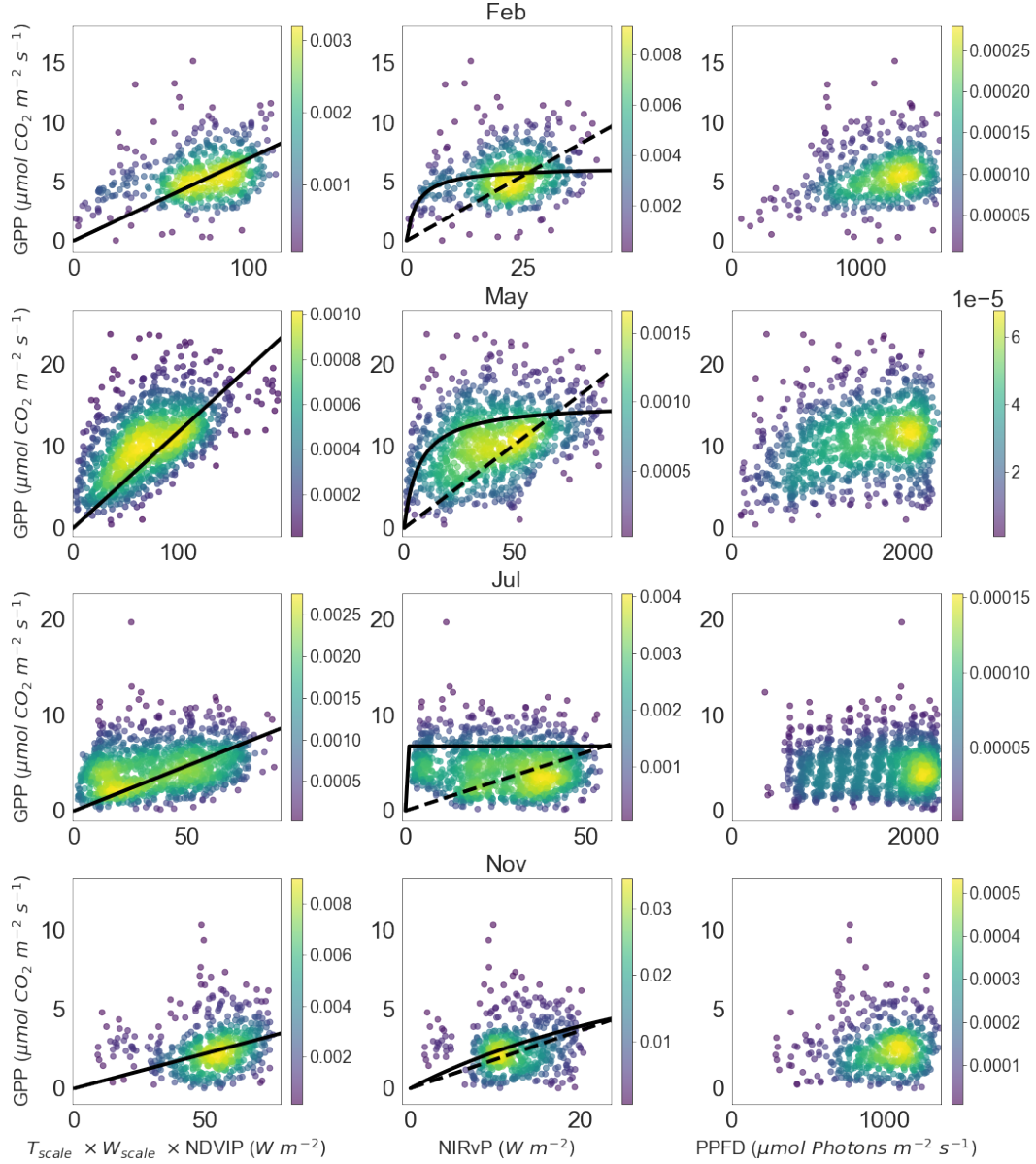


Figure 1: Eddy covariance tower GPP vs. ABI normalized difference vegetation index (NDVI)  $\times$  photosynthetically active radiation (NDVIP)  $\times$  the environmental stresses developed for the LUE-NDVI model (first column). The black line displays the GPP estimates from the LUE-NDVI model. The response of eddy covariance tower GPP to the ABI near-infrared reflectance of vegetation  $\times$  PAR ( $\text{NIR}_{\text{vP}}$ ) (second column). The dashed black line displays the GPP estimates using the LIN- $\text{NIR}_{\text{vP}}$  model. The solid black line displays the GPP estimates from the LRC-VPD model with  $\beta = \beta_0$ . The response of GPP to the photosynthetic photon flux density measured at the eddy covariance tower (third column).

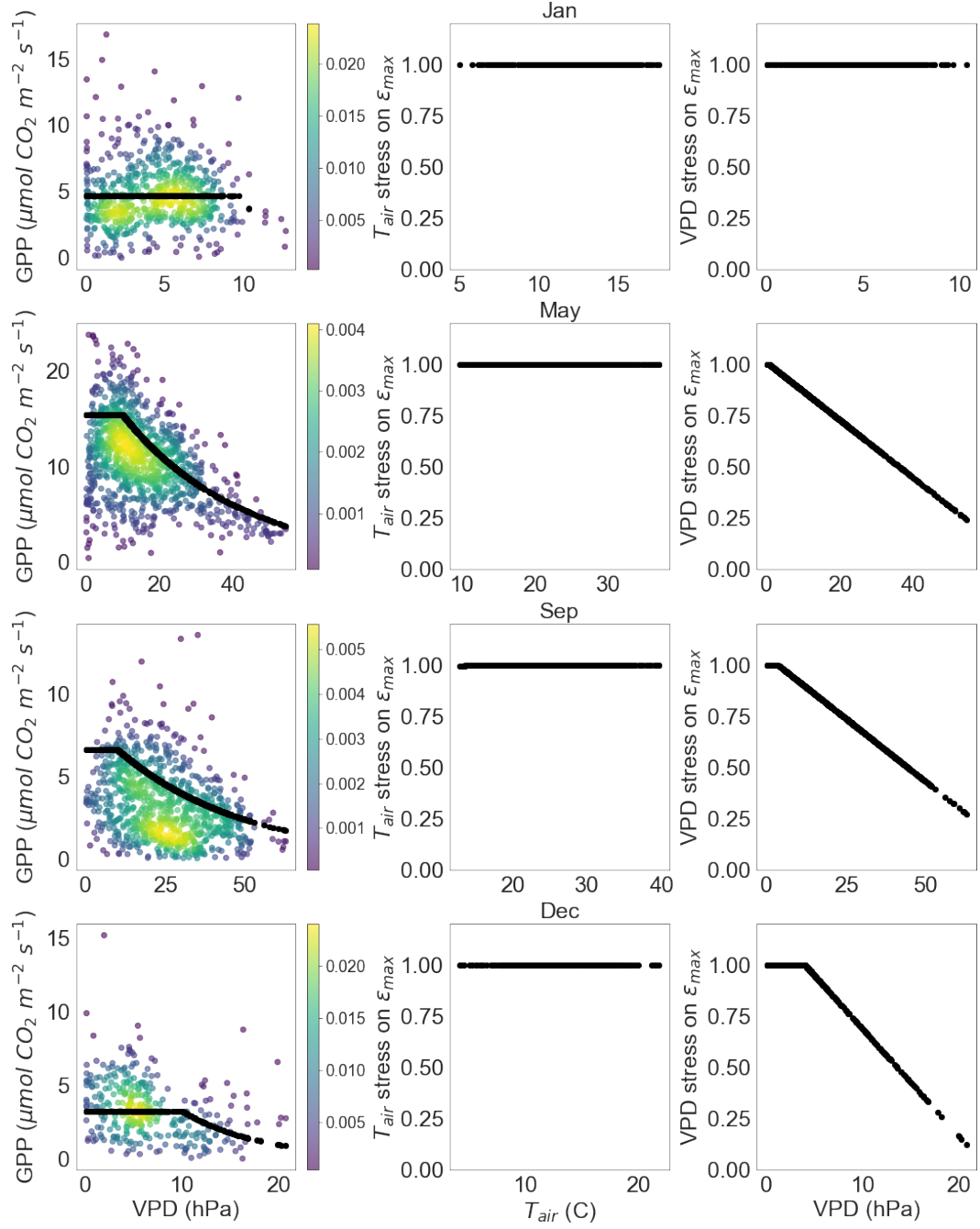


Figure 2: The response of GPP to vapor pressure deficit (VPD) (1st column). The black lines shows the values of  $\beta$  estimated using equation 14. The air temperature (2nd column) and VPD stress (3rd column) on  $\epsilon_{\text{max}}$  from the LUE-NDVI model. A value of 1 means there is no stress and 0 means the stress on  $\epsilon_{\text{max}}$  is maximum.

$$\text{Normalized Mean Absolute Error} = \frac{\text{Mean Absolute Error}}{\overline{GPP}} \quad (19)$$

where  $\widehat{GPP}_i$  is the ABI-based estimate of GPP and  $GPP_i$  is the EC tower estimate of GPP for a daytime half hour  $i$  in  $1, \dots, n$  in a given season.  $\overline{GPP}$  is the seasonal mean of daytime EC tower estimates of GPP.

A reflectance based  $NIR_v$  could be proportional to the fraction of absorbed PAR and  $NIR_vP$  could be proportional to a radiance-based  $NIR_v$  which has shown proportionality to APAR (Wu et al., 2020). Therefore, the difference between  $NIR_vP$  and incident PAR could be indicative of the differences between incident PAR and APAR. We tested if using  $NIR_vP$  in the light response curve rather than incident PAR contributed to the errors between  $\widehat{GPP}$  and  $GPP$ . We compared the errors from each model to the difference between a PPFD based  $NIR_vP$  and incident PPFD measured at the EC tower as:  $NIR_vP_{PPFD} - PPFD$ . To match the units of tower PPFD, PAR ( $Wm^{-2}$ ) calculated from ABI DSR was converted to PAR in PPFD units ( $\mu mol Photons m^{-2} s^{-1}$ ) as (Thimijan & Heins, 1983):

$$PPFD_{ABI} = 4.57 \mu mol Photons J^{-1} \times PAR \quad (20)$$

$NIR_vP_{PPFD}$  was calculated as:

$$NIR_vP_{PPFD} = NIR_v \times PPFD_{ABI} \quad (21)$$

## 2.5 Diurnal centroids

The diurnal centroid method (Nelson et al., 2018; K. B. Wilson et al., 2003) was used to compare diurnal patterns in water loss and carbon uptake between ABI estimates of GPP and EC tower estimates. A diurnal centroid for a given flux was calculated as (Nelson et al., 2018; K. B. Wilson et al., 2003):

$$C_{flux} = \frac{\sum_{t=9}^{15} flux_t t}{\sum_{t=9}^{15} flux_t} \quad (22)$$

where  $t$  is the time in decimal hours from the daylight hours of 9 - 15. We only used days when continuous cloud-free observations were available between these hours to calculate the diurnal centroid.  $C_{flux}$  has been used as an indicator of diurnal asymmetry in ecosystem fluxes of water and  $CO_2$  (Nelson et al., 2018; K. B. Wilson et al., 2003). For example a  $C_{flux}$  less than 12 would indicate a shift of the flux towards the morning hours and a  $C_{flux}$  of greater than 12 would indicate a shift of the flux towards the afternoon (K. B. Wilson et al., 2003). Furthermore, the difference between the diurnal centroids of different fluxes was used to study the (mis)alignment of peak fluxes throughout year (K. B. Wilson et al., 2003). To compare the departure of peak GPP from diurnal peak solar radiation, we took the difference between the diurnal centroids of all GPP estimates from the diurnal centroid of incoming shortwave (SW) radiation measured at the EC tower (Nelson et al., 2018).

$$C_{GPP*} = C_{GPP} - C_{SW_{in}} \quad (23)$$

The shift of both peak GPP and ET to morning hours could imply declining soil moisture (K. B. Wilson et al., 2003). To test whether the (mis)alignment of diurnal peak GPP and LE using ABI-based GPP estimates agreed with EC tower (mis)alignment of the diurnal peaks of these two fluxes with varying soil moisture throughout the year, we also calculated the daily diurnal centroid of EC tower LE. For each GPP estimate, the difference between the centroids of GPP and EC tower LE was calculated as:

$$C_{GPP-LE} = C_{GPP} - C_{LE} \quad (24)$$

### 3 Results

#### 3.1 Model evaluation

Estimates of GPP using LRC-VPD achieved the lowest mean error, mean absolute error, and normalized mean absolute error for the training data during all seasons (Table 2). The lowest training normalized mean absolute error (0.26) was achieved during the spring season and the highest (0.45) was during the fall. Among the test data, LRC-VPD GPP estimates also achieved the lowest mean error, mean absolute error, and normalized mean absolute error during all seasons (Table 2). Similar to the training data, the lowest test normalized mean absolute error (0.26) was during the spring and the highest test normalized mean absolute error was during the fall (0.43). All models used resulted in an underestimate of GPP compared to EC tower GPP during all seasons among the training and test data with the exception of winter test data (Table 2).

Diurnal GPP estimates from LRC-VPD and LUE-NDVI follow the diurnal course of EC tower estimates of GPP more closely compared to the LIN-NIR<sub>v</sub>P GPP during the spring and summer (Figure 3). LRC-VPD GPP estimates appear to follow the shift of peak GPP towards the morning hours during the summer. None of the models are able to capture some of the higher diurnal peaks in GPP during all four seasons (Figure 3). The LRC-VPD GPP estimates also show better agreement with the course of seasonal half-hourly means of GPP from EC tower estimates during all seasons during the study period (Figure 4).

The robust regression between GPP estimated with ABI inputs and EC tower GPP revealed a similar divergence from a 1:1 relationship among all three models and training and test data (Figure 5). At low EC tower GPP values, GPP estimates from all three models were slightly higher and at high EC tower GPP values, GPP estimates from all three models were lower (Figure 5). A robust regression between the daily median GPP estimates resulted in relationships that were closer to the 1:1 line for all three models (Figure 5). The errors between EC tower GPP and estimates of GPP from the LRC-VPD show a tendency of the LRC-VPD to underestimate EC tower GPP during times of much higher incident PPFD relative to NIR<sub>v</sub>P<sub>PPFD</sub> ( $NIR_v P_{PPFD} - PPFD < -1500 \mu mol Photons m^{-2} s^{-1}$ ) (Figure 6). These patterns are consistent when using both ABI NIR<sub>v</sub>P<sub>PPFD</sub> and EC tower NIR<sub>v</sub>P<sub>PPFD</sub> (Figure 6).

#### 3.2 Diurnal centroids

Data on GPP, LE, and soil water content in the top 15 cm from the EC tower reveal that the soil water content in this layer declines rapidly from April to June and the lowest soil water content occurs during July - November (Figure 7).  $C_{GPP}$  is shifted increasingly earlier in the day matching the rapid decline in soil water content through May, June, and July (Figure 7). During times of low soil water content,  $C_{LE}$  also shifted to earlier in the day; it occurred after  $C_{SW_{in}}$  during the spring and early summer and before  $C_{SW_{in}}$  during the months with the lowest soil water content (Figure 7). Since VPD peaks during the afternoon throughout the year,  $C_{LE}$  and  $C_{GPP}$  became increasingly aligned as soil water content decreased (Figure 7). Below we discuss the results for how these diurnal patterns in the (mis)alignment of  $C_{LE}$  and  $C_{GPP}$  compare with our estimates of GPP from the LRC-VPD, LIN-NIR<sub>v</sub>P, and LUE-NDVI models.

The diurnal centroids of EC tower GPP and GPP estimates from the LRC-VPD and LUE-NDVI models reveal shifting peaks in GPP towards earlier in the day as the ecosystem experiences decreasing soil moisture with the progression into the summer months (Figure 7). The EC tower GPP estimates resulted in the largest

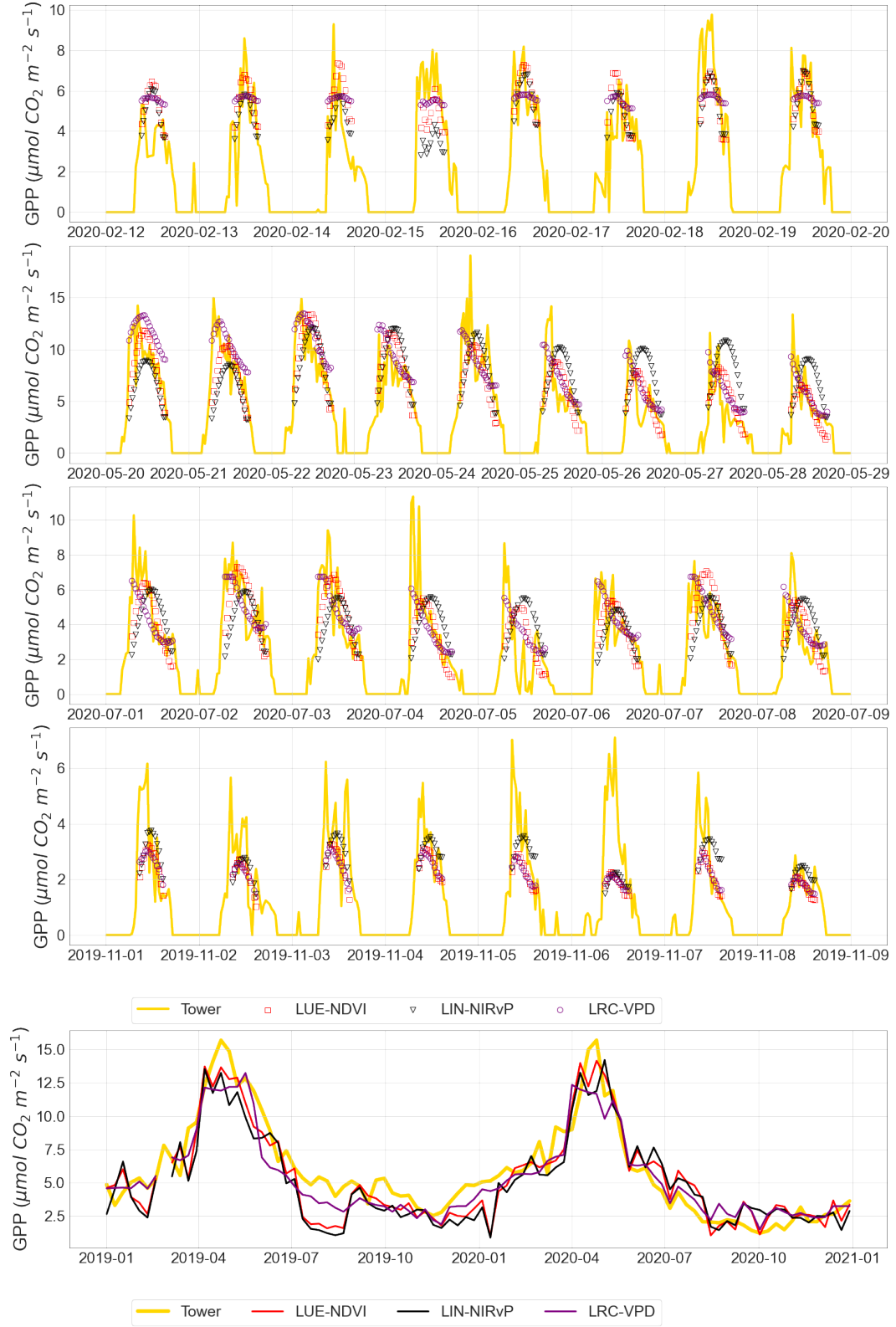


Figure 3: Subsets of seasonal time series of estimates of GPP from all models compared to estimates of GPP from the eddy covariance tower. The bottom plot shows 8-day means for all GPP estimates for the study period.



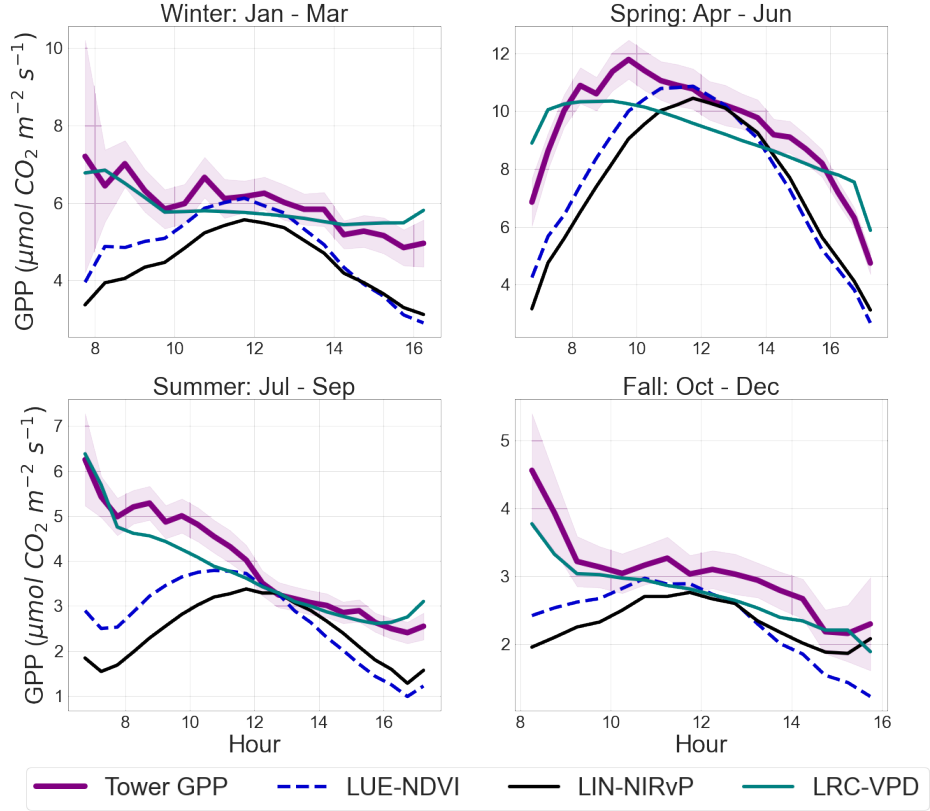


Figure 4: Seasonal diurnal means of estimates of GPP from all models and diurnal means of estimates of GPP from the eddy covariance tower. The purple shaded region shows  $\pm 2$  standard error of the mean eddy covariance tower GPP. The data spans from Jan 2019 - Dec 2020. The mean diurnal cycle estimated from LRC-VPD GPP estimates is best able to respond to the increasing diurnal asymmetry in GPP in the summer months. LUE-NDVI GPP estimates result in mean diurnal cycles that are able to shift slightly towards morning peaks during the summer. LIN-NIR<sub>v</sub>P GPP estimates result in symmetric mean diurnal cycles throughout the year.

Table 2: Comparison of mean error (ME), mean absolute error (MAE), and normalized MAE (NMAE) between ABI based GPP estimates and eddy covariance tower GPP estimates. The units for ME and MAE are  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ .

Season	Model	Training data			Test data		
		ME	MAE	NMAE	ME	MAE	NMAE
Winter	LIN-NIR <sub>v</sub> P	−0.764	2.340	0.388	−0.629	2.313	0.402
Winter	LUE-NDVI	−0.504	2.042	0.339	−0.380	2.072	0.360
Winter	LRC-VPD	<b>−0.189</b>	<b>1.772</b>	<b>0.294</b>	<b>0.041</b>	<b>1.816</b>	<b>0.316</b>
Spring	LIN-NIR <sub>v</sub> P	−1.152	3.454	0.372	−1.491	3.549	0.371
Spring	LUE-NDVI	−0.967	2.953	0.318	−1.230	3.066	0.320
Spring	LRC-VPD	<b>−0.210</b>	<b>2.384</b>	<b>0.257</b>	<b>−0.419</b>	<b>2.506</b>	<b>0.262</b>
Summer	LIN-NIR <sub>v</sub> P	−1.252	2.287	0.599	−1.269	2.382	0.610
Summer	LUE-NDVI	−1.013	2.010	0.526	−0.991	2.068	0.529
Summer	LRC-VPD	<b>−0.162</b>	<b>1.444</b>	<b>0.378</b>	<b>−0.187</b>	<b>1.448</b>	<b>0.371</b>
Fall	LIN-NIR <sub>v</sub> P	−0.474	1.629	0.548	−0.391	1.449	0.504
Fall	LUE-NDVI	−0.379	1.486	0.500	−0.345	1.375	0.478
Fall	LRC-VPD	<b>−0.194</b>	<b>1.348</b>	<b>0.454</b>	<b>−0.186</b>	<b>1.249</b>	<b>0.434</b>

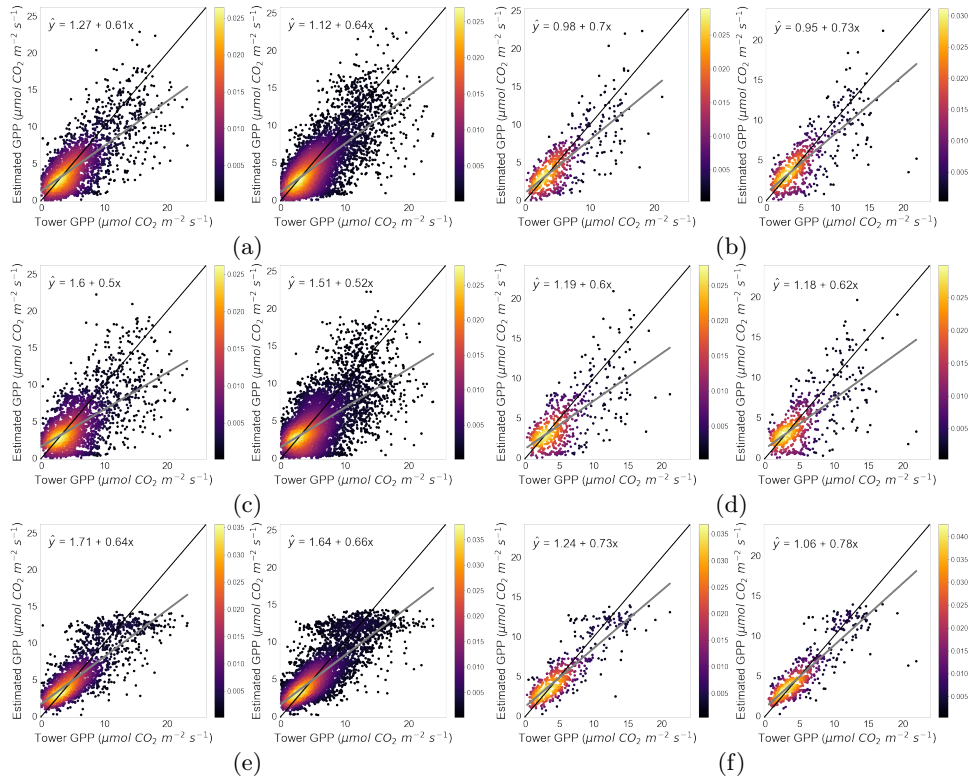


Figure 5: For each pair of plots a - f, scatter plots of test data (left) and training data (right) with eddy covariance tower estimates of GPP versus GPP estimated from LUE-NDVI (a - b), LIN-NIR<sub>v</sub>P (c - d), and LRC-VPD (e - f). The diurnal observations were used for plots a, c, and e. The daily medians of diurnal GPP estimates were used in plots b, d, and f. The black line shows the 1:1 line. The gray line shows the robust regression line.

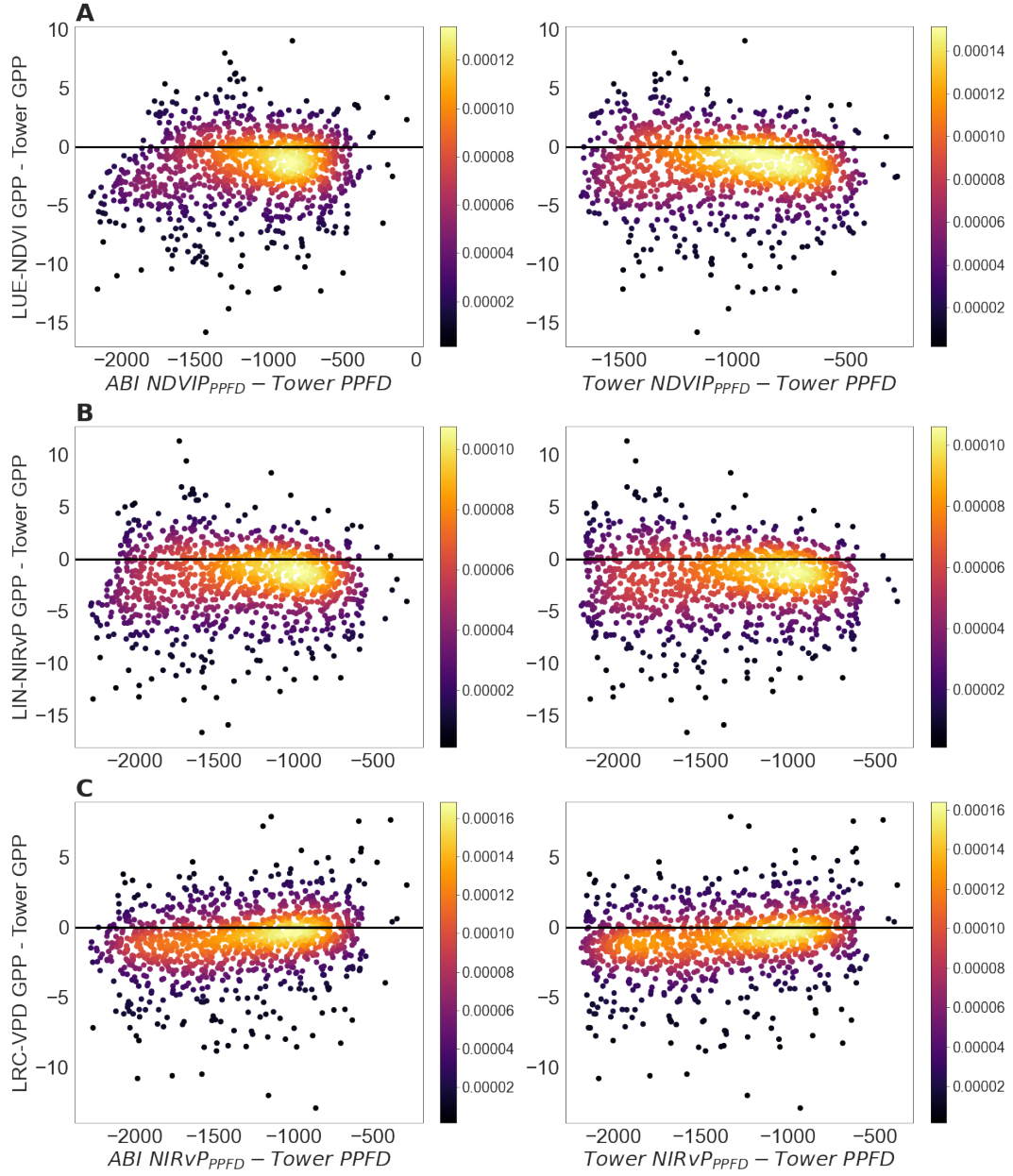


Figure 6: Errors between estimates of GPP vs. difference between  $NDVIP_{PPFD}$  and tower incoming photosynthetic photon flux density (PPFD) (A). Errors between estimates of GPP and difference between  $NIR_vP_{PPFD}$  and tower incoming photosynthetic photon flux density (PPFD) (B - C).  $NDVIP_{PPFD}$  and  $NIR_vP_{PPFD}$  calculated from eddy covariance tower  $NIR_v$ , NDVI and PPFD are used in the right column.  $NDVIP_{PPFD}$  and  $NIR_vP_{PPFD}$  calculated from ABI  $NIR_v$ , NDVI and ABI PPFD are used in the left column. The units for the x-axis are  $\mu\text{mol Photons m}^{-2} \text{s}^{-1}$  and the units for the y-axis are  $\mu\text{mol CO}_2 \text{m}^{-2} \text{s}^{-1}$

median  $C_{GPP}^*$  during the summer months (July - September) with the largest shift of peak GPP in September at a median of 0.41 hours before the peak of incoming solar radiation. GPP estimates from LRC-VPD and LUE-NDVI resulted in the largest median  $C_{GPP}^*$  during the summer months of July and August in agreement with the EC tower (Figure 7).

The lowest median  $C_{GPP}^*$  from EC tower estimates occurred during December and January when peak GPP was aligned with the peak of incoming solar radiation (Figure 7). The lowest median  $C_{GPP}^*$  according to the LRC-VPD estimates also occurred during January (Figure 7). The lowest median  $C_{GPP}^*$  according to the LUE-NDVI estimates occurred during November (Figure 7). GPP estimates from LIN-NIR<sub>v</sub>P resulted in very small shifts in peak GPP in relation to incoming short-wave radiation compared to the other GPP estimates throughout the year (Figure 7).

Using tower estimates of GPP, the largest median lag between  $C_{GPP}$  and  $C_{LE}$  occurred in July when  $C_{GPP}$  lagged 0.46 hours before  $C_{LE}$  and the smallest median lag occurred in November when median  $C_{GPP}$  was aligned with median  $C_{LE}$  (Figure 7). GPP estimates from LRC-VPD and LUE-NDVI resulted in the largest median lag between  $C_{GPP}$  and  $C_{LE}$  during July as well and the smallest median lag during January. Estimates of GPP from the LIN-NIR<sub>v</sub>P model resulted in the largest median lag between  $C_{GPP}$  and  $C_{LE}$  during May and the smallest median lag occurred in January (Figure 7).

## 4 Discussion

### 4.1 Diurnal environmental stresses

The impact of environmental stresses on GPP at the Tonzi Ranch results from the seasonality in available resources along with the active vegetation type during any given season (grasses vs. tree canopy) (Baldocchi et al., 2004). Below we discuss how the models we tested were able or unable to capture the seasonal shifts from radiation limitation to water limitation on GPP. During the rainy winter, spring, and fall, the Tonzi Ranch receives the lowest global solar radiation and the oak savanna has some of the lowest amounts of net radiation available during this time of the year (Baldocchi et al., 2004). Both the Tonzi Ranch and a nearby Mediterranean grassland is energy limited during the winter rainy season when precipitation exceeds evaporative demand and evaporation is more sensitive to potential evaporation driven by radiation (Baldocchi et al., 2021; Ryu et al., 2008).

The initial light-use efficiency before saturation is reached,  $\alpha$ , was lower during the fall, winter and spring months compared to summer. We found the slope between GPP and NIR<sub>v</sub>P in the LIN-NIR<sub>v</sub>P model is the highest during the winter and fall months. Furthermore, according to the LUE-NDVI model, the air temperature and VPD stress on maximum LUE was negligible and absorbed radiation tended to be the main control on GPP during the wet winter months (Figure 2). Regardless of each model being able to respond to the increasing radiation limitation during the rainy season, LRC-VPD achieved the highest agreement with EC tower GPP.

As previously discussed, the summer months at the Tonzi Ranch oak savanna are characterized by high incoming solar radiation, declining soil moisture, high air temperatures, and high VPD (Baldocchi et al., 2021). This is also the case for the nearby Vaira grassland which is water-limited during the summer months when high incoming solar radiation increases evaporative demand and evaporation is more sensitive to increases in precipitation (Ryu et al., 2008). At the Tonzi Ranch, low soil moisture can limit summertime ET and the stomatal response of the oak trees to



Figure 7: Difference in diurnal centroids of GPP estimates and incoming shortwave radiation (SW) measured at the eddy covariance tower ( $C_{GPP}^*$ ) (A). Difference in diurnal centroids of GPP estimates and eddy covariance tower latent heat flux (LE) (B). Difference in diurnal centroids of eddy covariance tower GPP and incoming SW, eddy covariance tower LE and incoming SW, and eddy covariance tower VPD and incoming SW (C). Volumetric soil water content at a depth of 0 - 15 cm (D)

increasing VPD can serve as an indicator of soil moisture stress (Baldocchi et al., 2021). The best agreement was achieved between tower GPP and LRC-VPD GPP during the water-limited summer through a more accurate specification of the response of GPP to rising VPD during the day. Among the air temperature and VPD stressors of the LUE-NDVI model, the VPD stress on maximum light-use efficiency was the dominant stress on GPP during the summer.

The linear relationship between EC tower GPP and  $\text{NIR}_{\text{VP}}$  was the least successful in capturing diurnal asymmetry in GPP because it closely follows the course of solar radiation throughout the day and does not capture the impact of increasing diurnal VPD. We found the best agreement between the diurnal centroids of GPP from LIN- $\text{NIR}_{\text{VP}}$  and the diurnal centroids of GPP from the EC tower during December and January. These months correspond to the rainy season when radiation can limit GPP and when peak diurnal GPP tended to be aligned with incoming solar radiation. This could explain why these are the only months when LIN- $\text{NIR}_{\text{VP}}$ , which only relies on a linear relationship between a potential indicator of absorbed PAR ( $\text{NIR}_{\text{VP}}$ ) and GPP, tended to agree with the diurnal course of GPP. It has been noted that linearities between GPP proxies and GPP are observed at coarse spatiotemporal scales because such scales integrate the structural components and physiological processes (the sun-exposed and shaded leaves on a canopy, the impact of light saturation, etc.) at fine spatiotemporal scales (Anderson et al., 2000; Magney et al., 2020). Our results suggest that fine temporal scales even when the spatial scale is  $> 1$  km could be enough to degrade a linear relationship between GPP and  $\text{NIR}_{\text{VP}}$  because the impacts of high light and/or other non-linearities driven by micrometeorological variation during the day are not captured by linear relationships between GPP and  $\text{NIR}_{\text{VP}}$ .

## 4.2 Diurnal dynamics of GPP in relation to soil moisture and evapotranspiration

The shift of the peak of GPP towards the morning hours with progression into the summer months that we found from the LRC-VPD and LUE-NDVI models is consistent with reported shifts in the timing of peak photosynthesis at the Tonzi Ranch (Tang et al., 2005). The peak of photosynthesis at this site has been reported to shift to 9.5 h in July and 9 h during the day in September (Tang et al., 2005). Summertime understory measurements of NEE when the grasses are dead have shown that soil respiration at the Tonzi Ranch peaks during the afternoon in phase with soil temperature during the drought months, while soil respiration under tree cover has shown to peak later than soil temperature (Tang et al., 2005). Soil respiration under the tree has shown to peak 7 - 12 hours after photosynthesis (Tang et al., 2005). The diurnal variation of soil moisture during the summer months is small compared to temperature and photosynthesis which has suggested that both the diurnal variations of tree photosynthesis and soil temperature drive the diurnal variation in soil and stem respiration at the Tonzi Ranch (Tang et al., 2005). In line with EC tower GPP, the increasing shift of GPP towards the morning hours that we found using the light response curve and the LUE model have been previously explained by rising temperatures, increasing  $R_{\text{eco}}$ , increasing VPD in the afternoon, and stomatal closure during the afternoons at the Tonzi Ranch (Tang et al., 2005).

Seasonally, the peak in surface conductance, GPP, and ET all occur during the rainy spring months after the oak canopy becomes photosynthetically active (Baldocchi et al., 2004, 2021; Ma et al., 2020). During this time LE ( $\lambda ET$ ) tended to peak after incoming SW resulting in larger differences between the peak of EC tower LE and GPP compared to the late summer, fall, and winter months. The light response curve and the LUE model were slightly better at capturing the difference. This indicates that the high surface conductance and soil moisture that



is characteristic of the rainy spring results in the ecosystem being able to respond and maintain LE during high afternoon VPD. On the other hand, the Tonzi Ranch savanna experiences the lowest surface conductance and LE during the dry summer months (Baldocchi et al., 2004). The oak trees also experience a decline in maximum net photosynthesis, maximum carboxylation rate, and maximum electron transport rate with progression into dry season (Xu & Baldocchi, 2003). With volumetric soil water contents below 15%, the ecosystem begins to experience sharp declines in  $ET/ET_{\text{equilibrium}}$  (Baldocchi et al., 2004). During these months, LE shifts increasingly towards the morning and the differences between the peak of EC tower LE and GPP are some of the smallest. Morning shifts in GPP and ET have been previously identified in Mediterranean/dry climates (Nelson et al., 2018; K. B. Wilson et al., 2003). The ability of the oak trees to access deep ground water resources, their ability to reduce leaf area index, and their ability to regulate water loss allows them to transpire well into the dry summer months possibly maintaining low stomatal conductance or stomatal closure in response to high afternoon VPD (Baldocchi et al., 2021, 2004; Tang et al., 2005). We found that the GPP estimates from the light response curve with a VPD stress and the LUE-NDVI model were best able to follow the increasing alignment of peak GPP and LE with decreasing soil moisture. Consistency between the diurnal (mis)alignment of peak GPP and LE (specifically transpiration, which we did not test) between estimates of GPP from space-based inputs and ecosystem gas-exchange measurements can contribute to understanding when stomatal reactions or non-stomatal reactions are active at large spatial scales (Nelson et al., 2018).

### 4.3 Uncertainties and moving forward

We found that a light response curve between GPP and  $NIR_vP$  were able to capture the increasing diurnal asymmetry in GPP at the Tonzi Ranch. Previous research has suggested that the relationship between daily LUE and instantaneous LUE can vary with the time of day during which instantaneous LUE is estimated (Zhang et al., 2018). Midday light saturation could result in instantaneous LUE to be different from estimates of daily LUE (Zhang et al., 2018). However, the light-saturated part of the light response curve is hard to reach at the canopy level because entire canopies include both saturated and unsaturated leaves and space-based sensors capture the integrated response of larger areas that include multiple canopies, shaded leaves, and saturated leaves (Magney et al., 2020). The increasing saturation in the shape of a light response curve that we found during summer can result from high afternoon VPD during conditions of high light and the ecosystem regulating water loss during dry conditions through down regulation of transpiration through stomatal regulation rather than the sole impact of light saturation.

Our analysis of model errors revealed that the light response curves tended to underestimate GPP compared to EC tower GPP when the differences between incident PPFD and  $NIR_vP_{PPFD}$  are very high. This could mean that LRC-VPD is unable to capture the higher EC tower GPP fluxes because of the light saturation point in LRC-VPD. On the other hand, the error patterns could also arise from the use of  $NIR_vP$  as a radiation source for GPP and the resulting underestimation of GPP compared to EC tower GPP when there are large difference in incident PPFD and absorbed PPFD. The large differences between  $NIR_vP_{PPFD}$  and PPFD could occur when the ecosystem receives high PPFD, but  $NIR_vP_{PPFD}$  could be much lower due to seasonal variations in LAI lowering the estimated GPP. Since this pattern of errors is replicated with the use of  $NIR_v$  from tower mounted sensors, the uncertainties associated with atmospheric and angular correction of ABI TOA reflectances, the disagreement between ABI DSR and tower incoming SW, and the conversion of ABI downwelling shortwave radiation to PPFD do not seem to play a major role in these error patterns.

Finally, our atmospheric correction and BRDF correction could have introduced additional uncertainty in  $\text{NIR}_v\text{P}$  estimates. We found that the diurnal shape of  $\text{NIR}_v$  from our nadir approximation of surface reflectance matched the diurnal shape of  $\text{NIR}_v$  from the tower mounted sensors at the Tonzi Ranch. However, we did find that magnitude of  $\text{NIR}_v$  differed between the two sources. This could result from differences in the field of view of the sensors, calibration differences between the sensors, the difference between an albedo-based and reflectance-based  $\text{NIR}_v$ , or the specification of the atmosphere by 6S in our atmospheric correction. We also used a simple least squares cost function between observed TOA and estimated TOA compared to cost functions that have been previously applied to ABI TOA reflectances which could impact atmospheric and angular correction (He et al., 2019).

Higher-level surface reflectance products from efforts such as the GeoNEX pipeline will be crucial for large scale estimates of GPP from geostationary satellites (S. Li et al., 2019). Various gap-filling and smoothing techniques need to be tested and developed for very high temporal resolution estimates from geostationary satellites in order to start providing integrated GPP at daily to longer timescales. Diurnal gridded estimates of meteorological variables from reanalysis datasets are also needed for large scale GPP estimates from ABI as have been used for diurnal space-based GPP estimates (X. Li et al., 2021). The response of GPP or  $R_{\text{eco}}$  to land surface temperature could potentially be used to develop gridded estimates of GPP (X. Li et al., 2021) from ABI with ABI LST being offered hourly. Here, we have tested the use of ABI LST as opposed to VPD as an environmental stress on the maximum  $\text{CO}_2$  uptake rate of the light response curve (Appendix A). The resulting GPP estimates result in similar agreement to EC tower GPP compared to the LRC-VPD estimates (Figure A2).

The approaches of partitioning NEE into GPP and ecosystem respiration can impact both fluxes (Lasslop et al., 2010; Stoy et al., 2006) and therefore, future studies that evaluate the use of multiple partitioning approaches for estimating GPP from remotely sensed inputs could be insightful. Here, we tested all three models with GPP partitioned using approaches that rely on nighttime data alone and both nighttime and daytime data (Appendix B). Using the LRC-VPD and LUE-NDVI, we found better agreement between GPP estimates and EC tower GPP using the Lasslop et al. (2010) approach that relies on both daytime and nighttime NEE data (Figure B1 - B2). The better agreement between LRC-VPD GPP estimates and the Lasslop et al. (2010) partitioned GPP is obvious since they both rely on the same underlying assumptions for the response of GPP to light and the VPD stress on GPP.

Coupled carbon-water-energy dynamics could in principle be studied by estimating GPP using the Atmosphere-Land Exchange Inverse (ALEXI) model which is already used to estimate ET using GOES. In other words, there are opportunities to couple carbon and water fluxes using ABI observations that may improve our understanding of both (Anderson et al., 2000, 2008). Finally, it has been suggested that plant strategies for regulating water loss through stomatal regulation in the face of drops in soil water potential can impact how sensitive plant productivity is to VPD or precipitation (Konings, Williams, & Gentine, 2017). Ecosystem water regulation strategies can be characterized on a continuum of isohydricity to anisohydricity based on both ground-based and space-based measurements (Konings & Gentine, 2017; Novick et al., 2019). ABI based diurnal GPP estimates can help us investigate how quickly ecosystem carbon uptake is responding to water stress through diurnal shifts in GPP according to ecosystem water regulation strategies (Nelson et al., 2018) and the agreement in these dynamics between ground and space-based estimates.

## 5 Conclusion

Diurnal estimates of GPP from geostationary satellites can provide us with observation-based estimates of GPP at very high temporal resolutions for studying diurnal dynamics at large scales. They can provide GPP estimates integrated at daily to longer timescales for intercomparison studies and provide near-real time estimates of GPP. Half-hour space-based estimates are also comparable to the timescale at which ecosystem gas exchange measurements from eddy covariance towers are reported. We tested three widely-used models to estimate GPP with 5-minute inputs from the Advanced Baseline Imager on the GOES-R series in an oak savanna ecosystem that experiences seasonal moisture stress and shifts in resource limitations throughout the year. We found that a light response curve with a proper VPD stress is in best agreement with ground-based ecosystem gas exchange measurements about the increasing diurnal asymmetry in GPP the ecosystem experiences during the dry summer season. We also found that GPP estimated with the light response curve is in best agreement with ground estimates during all other seasons highlighting the flexibility of the light response curve with proper environmental stresses for diurnal estimates. However, we did find that the light saturation point from light response curves underestimated GPP compared to GPP partitioned from gas exchange measurements during times of high incoming photosynthetic photon flux density. We found that linearities between  $NIR_vP$  and GPP appear to break down at the diurnal scale due to stomatal and non-stomatal responses to changing irradiance and other environmental variables during the day. Finally, we found that GPP estimates from light response curves with a VPD stress and light-use efficiency models are in best agreement with the diurnal (mis)alignment of GPP and latent heat exchange in response to diurnal environmental variation. This agreement can be important for studying diurnal water-use efficiency and disentangling diurnal stomatal and non-stomatal responses to environmental stresses. Moving forward to estimating diurnal ABI based GPP at other ecosystems with eddy covariance towers, we find that it is important to test multiple GPP model forms at the diurnal scale to understand how seasonal resource availability and environmental conditions impact the diurnal GPP estimates. Surface reflectance and angular corrected reflectances could greatly facilitate the development of diurnal GPP estimates from remotely sensed inputs at regional to hemispheric scales.

## Appendix A Land surface temperature stress on maximum CO<sub>2</sub> uptake rate in the light response curve

To test an LST stress on GPP, the light response curve of equation 13 was modified with GOES-R ABI LST (ABI-L2-LSTC) as an input. Similar to ABI DSR, we linearly interpolated the hourly ABI LST to half hour time steps to match the data from eddy covariance tower. Using a light response curve similar to Lasslop et al. (2010), GPP was estimated as:

$$GPP = \frac{\alpha_{LST} NIR_vP \beta_{LST}}{\beta_{LST} + \alpha_{LST} NIR_vP} \quad (A1)$$

where  $\alpha_{LST}$  is the canopy LUE before light saturation is reached ( $\mu mol CO_2 J^{-1}$ ) or the initial slope of the relationship between GPP and  $NIR_vP$  and  $\beta_{LST}$  is the maximum CO<sub>2</sub> uptake rate at the point of light saturation ( $\mu mol CO_2 m^{-2} s^{-1}$ ). The impact of increasing LST and the resulting stress on the maximum CO<sub>2</sub> uptake rate at light saturation,  $\beta_{LST}$ , was estimated as:

$$\beta_{LST} = \frac{\beta_{0LST}}{1 + \exp(-b \times (LST - LST_0))} \quad (A2)$$

Table A1: Comparison of mean error (ME), mean absolute error (MAE), and normalized MAE (NMAE) between ABI based GPP estimates and eddy covariance tower GPP estimates. The units for ME and MAE are  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ .

Season	Training data			Test data		
	ME	MAE	NMAE	ME	MAE	NMAE
Winter	-0.262	1.844	0.319	-0.302	1.914	0.325
Spring	-0.293	2.694	<b>0.280</b>	-0.260	2.582	<b>0.275</b>
Summer	<b>-0.177</b>	1.708	0.443	-0.187	1.700	0.447
Fall	-0.281	<b>1.363</b>	0.452	<b>-0.153</b>	<b>1.450</b>	0.498

where  $\beta_{0_{LST}}$  is the maximum  $\text{CO}_2$  uptake rate at light saturation during conditions of ideal LST. The parameters  $\alpha_{LST}$ ,  $\beta_{0_{LST}}$ ,  $b$ , and  $LST_0$  were optimized using EC tower GPP as described in equations 15 and 16 from section 2.3.

The lowest training mean error was achieved during the summer (-0.18) and the lowest testing mean error was achieved during the fall (-0.15). The lowest training and testing normalized mean absolute error were achieved during the spring (0.28) (Table A1). The error summaries are some of the lowest among all the models and are comparable to LRC-VPD (Table 2). Similarly, the linear relationship from a robust regression between GPP estimated from LRC-LST and EC tower GPP (Figure A1) was similar to the linear relationships between GPP estimated from the other three models and EC tower GPP (Figure 6). The diurnal means of GPP from LRC-LST were also most in agreement with EC tower GPP and LRC-VPD throughout the year (Figure A2). The disagreement between specifying an LST or VPD stress on the maximum  $\text{CO}_2$  uptake rate was most evident during summer late afternoon - early evening. As LST began to decrease in the early evening hours, LRC-LST GPP increased which was not in agreement with GPP partitioned at the EC tower.

## Appendix B Comparison of NEE partitioning approaches

To test the impact of different EC tower NEE partitioning approaches on GPP estimates with ABI inputs, we tested optimizing the parameters of LRC-VPD, LUE-NDVI, and LIN-NIR<sub>v</sub>P with two different EC tower GPP estimates from partitioning NEE using REddyProc (Wutzler et al., 2018). The first method has no explicit assumptions about the response of GPP to light and only uses nighttime data to estimate a temporally varying respiration-temperature relationship for vegetation that does not utilize Crassulacean acid metabolism as (Reichstein et al., 2005):

$$R_{eco}(T) = R_{Ref} \exp[E_0(\frac{1}{T_{Ref} - T_0} - \frac{1}{T - T_0})] \quad (\text{B1})$$

where  $T$  is air temperature  $^{\circ}\text{C}$ ,  $E_0$  is the temperature sensitivity,  $T_0$  is held constant at  $-46.02^{\circ}\text{C}$ , and  $T_{Ref}$  is held at  $15^{\circ}\text{C}$  (Reichstein et al., 2005; Wutzler et al., 2018).  $E_0$  is estimated using 15-day windows of nighttime data and the short-term  $E_0$  estimates are aggregated to an annual value (Reichstein et al., 2005; Wutzler et al., 2018). Using the annual  $E_0$  estimate, the  $R_{Ref}$  parameter is estimated with 7-day windows that are shifted for 4 days. The resulting  $R_{Ref}$  is assigned to the central time point of the 4 days and linearly interpolated between estimates

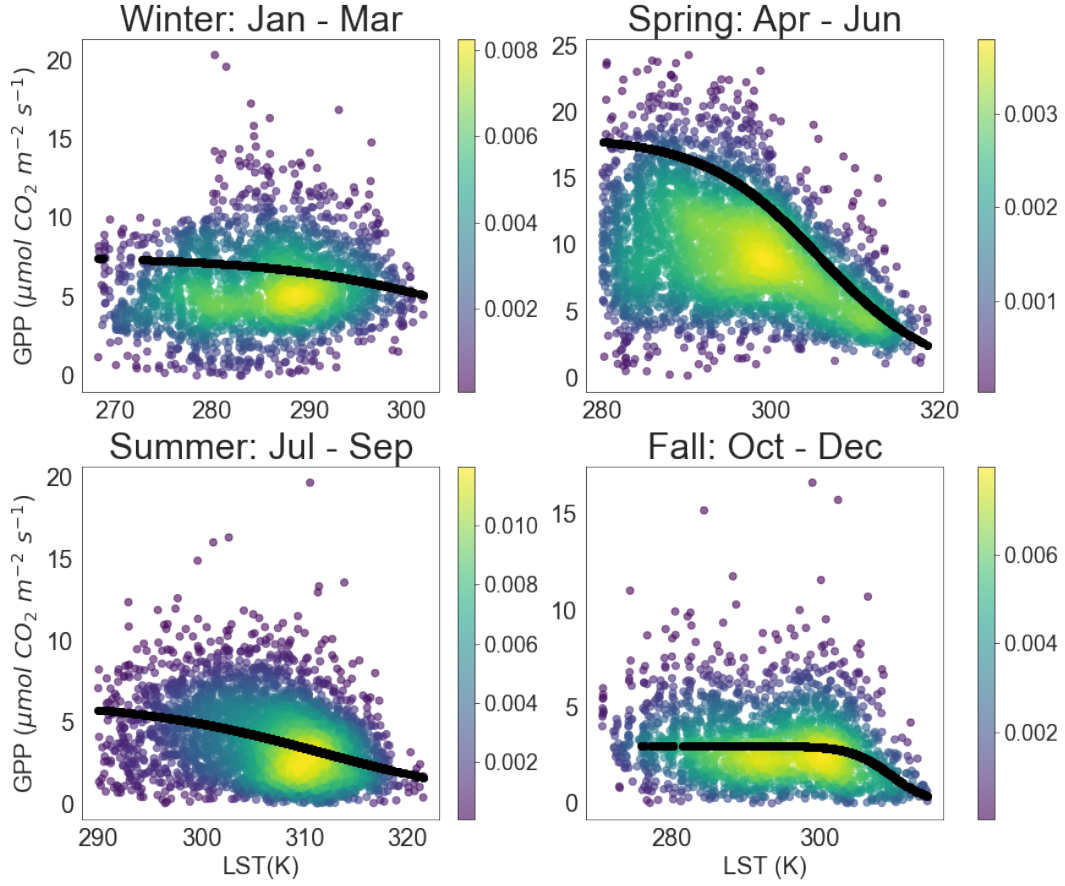


Figure A1: The response of eddy covariance tower GPP to land surface temperature (LST). The black lines shows the values of  $\beta_{LST}$  estimated using equation A2.

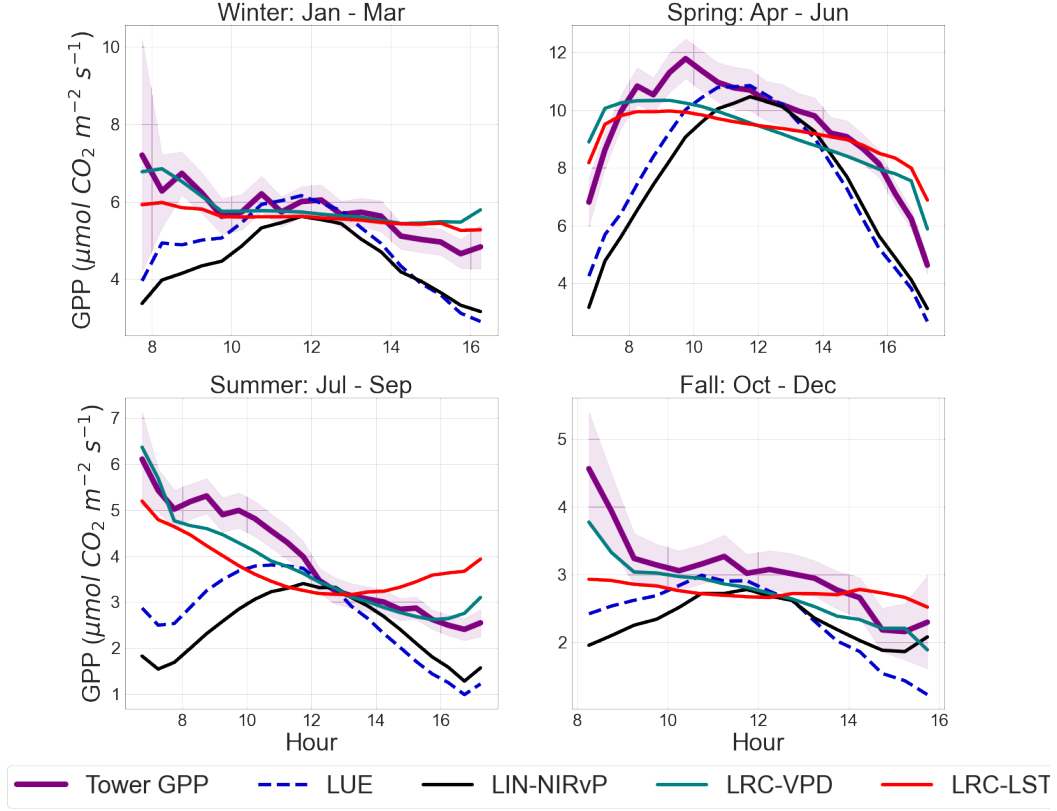


Figure A2: Seasonal diurnal means of estimates of GPP from the all models and diurnal means of estimates of GPP from the eddy covariance tower. The purple shaded region shows  $\pm 2$  standard error of mean eddy covariance tower GPP. The data spans from Jan 2019 - Dec 2020.

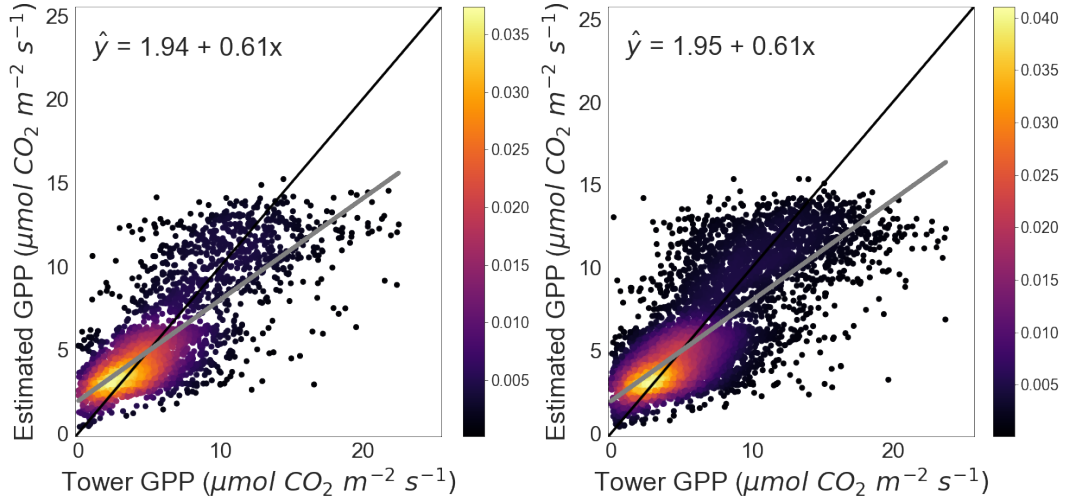


Figure A3: Scatter plots of test data (left) and training data (right) with eddy covariance tower estimates of GPP versus GPP estimated from LRC-LST. The black line shows the 1:1 line and the gray line shows the robust regression line.



(Reichstein et al., 2005; Wutzler et al., 2018). The  $R_{eco}$  and air temperature relationship is extrapolated to daytime data to obtain estimates of  $R_{eco}$  during the day. Finally,  $R_{eco}$  estimates are used to estimate GPP as (Reichstein et al., 2005; Wutzler et al., 2018):

$$GPP = R_{eco} - NEE \quad (B2)$$

The second method includes a daytime light response function for GPP along with the response of  $R_{eco}$  to air temperature and uses both nighttime data and daytime data to estimate NEE as (Lasslop et al., 2010; Wutzler et al., 2018):

$$NEE = \frac{\alpha\beta R_g}{\alpha R_g + \beta} + \gamma \quad (B3)$$

$$= \frac{\alpha\beta R_g}{\alpha R_g + \beta} + R_{Ref} \exp[E_0(\frac{1}{T_{Ref} - T_0} - \frac{1}{T - T_0})] \quad (B4)$$

where  $\alpha$  is the canopy LUE before light saturation is reached ( $\mu\text{mol CO}_2 \text{ J}^{-1}$ ) and  $\beta$  is the maximum  $\text{CO}_2$  uptake rate at the point of light saturation ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ),  $R_g$  is incoming shortwave radiation at the surface of the Earth, and  $\gamma$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is  $R_{eco}$ . The impact of increasing VPD and the resulting stress on the maximum  $\text{CO}_2$  uptake rate at light saturation,  $\beta$ , was estimated according to Lasslop et al. (2010) using equation 14.  $T_0$  is fixed according to the nighttime partitioning (Wutzler et al., 2018).  $T_{Ref}$  is fixed within moving windows to the median temperature in the window and  $E_0$  is estimated from nighttime data for windows shifted by 2 days (Wutzler et al., 2018).  $E_0$  estimates are smoothed and a prior  $R_{Ref}$  is estimated from nighttime data for each window (Wutzler et al., 2018). Finally, the parameters of the light response curve ( $R_{Ref}, \alpha, \beta_0, k$ ) are estimated using daytime data for each window (Wutzler et al., 2018).

Both nighttime and daytime partitioning methods resulted in similar relationships with GPP estimates from ABI inputs using both diurnal observations and daily medians (Figure B1 and B2). Daytime partitioning resulted in relationships between diurnal EC tower GPP and LRC-VPD and LUE-NDVI GPP estimates that were slightly closer to a 1:1 line compared to nighttime partitioning (Figure B1 and B2). The slightly better linear relationship between GPP estimated from daytime partitioning and from LRC-VPD is expected considering that both GPP estimates are derived from the same equations as outlined by Lasslop et al. (2010).

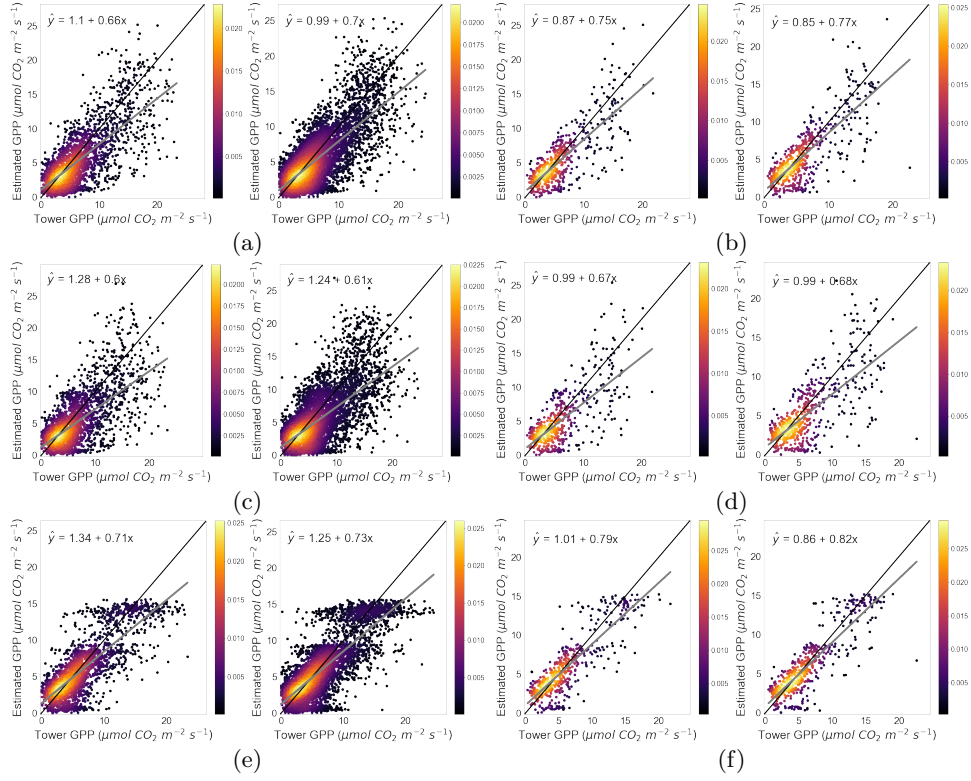


Figure B1: For each pair of plots a - f, scatter plots of test data (left) and training data (right) with eddy covariance tower estimates of GPP using the NEE partitioning approach based on nighttime NEE data versus GPP estimated from LUE-NDVI (a - b), LIN-NIR<sub>v</sub>P (c - d), and LRC-VPD (e - f). The diurnal observations were used for plots a, c, and e. The daily medians of diurnal GPP estimates were used in plots b, d, and f. The black line shows the 1:1 line. The gray line shows the robust regression line.

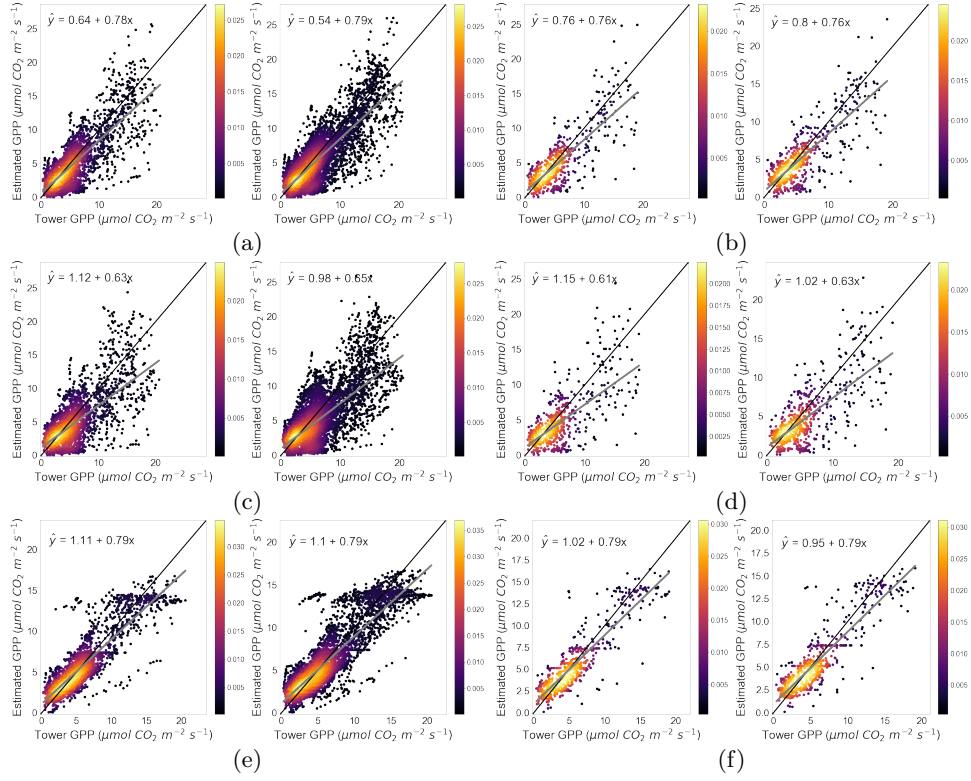


Figure B2: For each pair of plots a - f, scatter plots of test data (left) and training data (right) with eddy covariance tower estimates of GPP using the NEE partitioning approach based on nighttime and daytime NEE data versus GPP estimated from LUE-NDVI (a - b), LIN-NIR<sub>v</sub>P (c - d), and LRC-VPD (e - f). The diurnal observations were used for plots a, c, and e. The daily medians of diurnal GPP estimates were used in plots b, d, and f. The black line shows the 1:1 line. The gray line shows the robust regression line.

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The GOES-16/17 ABI Level 2 Cloud and Moisture Imagery (ABI-L2-MCMIPC) is available through <https://registry.opendata.aws/noaa-goes>. The GOES-16/17 ABI Level 2 Clear Sky Mask (ABI-L2-ACMC), Land Surface Temperature (ABI-L2-LSTC), and Downward Shortwave Radiation (ABI-L2-DSRC) are available through NOAA’s Comprehensive Large Array-Data Array-data Stewardship System (CLASS). The Tonzi Ranch Ameriflux data are available at <https://ameriflux.lbl.gov/sites/siteinfo/US-Ton>. The code to produce the figures will be available at <https://github.com/anmikhan/goes-gpp-tonzi.git> upon publication.

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