

1 **Effects of different stratification changes on both sides of the typhoon**
2 **track on near-inertial energy propagation**

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15 **Key Points:**

- 16 • “Heat pumping” and “cold suction” of typhoons changes the ocean stratification
17 • Enhanced ocean stratification condition accelerates the propagation of
18 near-inertial waves into the ocean interior.
19 • NIE injection to the deep ocean from the left side of typhoon is stronger although
20 the wind energy input is weaker than the right side

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23 **Abstract**

24 Near-inertial waves (NIWs) originate in the ocean upper mixed layer. When they have
25 a large horizontal scale, which is characteristic of an atmospheric storm, they are
26 difficult to propagate vertically below the mixed layer. The β -effect and the mesoscale
27 vorticity are considered as two important mechanisms for guiding the downward
28 propagation of near-inertial energy (NIE). In this paper, the effects of “heat pumping”
29 and “cold suction” of typhoons on the ocean stratification are analyzed. It is found
30 that changes in stratification enhance the vertical propagation of NIWs from the
31 mixed layer into the ocean interior on the left side of the typhoon track. To illustrate
32 the impact of stratification changes on both sides of typhoon tracks, the Regional
33 Ocean Modelling System (ROMS) is used to simulate the NIWs generated by
34 Typhoon Kalmaegi in 2014. The results show that, although the wind energy input on
35 the right side of the typhoon track is higher than that on the left side, the NIE injection
36 to the deep ocean from the left side is stronger due to the different dissipation and
37 stratification responses. Our work improves the understanding of the generation,
38 propagation, and dissipation mechanisms of NIWs during typhoons.

39 **Plain Language Summary**

40 Near-inertial waves (NIWs), as a ubiquitous spectral peak in moored current-meter
41 data, comprise half of the energy and most of the vertical shear in the ocean internal
42 wave spectrum, and they are thought to be major drivers of upper-ocean mixing.
43 NIWs mainly originate in the ocean upper mixed layer, with a large horizontal scale
44 which is characteristic of an atmospheric storm, they are difficult to propagate
45 vertically below the mixed layer. In previous studies, the β -effect and the mesoscale
46 vorticity are considered as two important mechanisms for guiding the downward
47 propagation of near-inertial energy (NIE). In this work, the effects of “heat pumping”
48 and “cold suction” of typhoons on the ocean stratification are analyzed based on
49 observation and numerical model. It is found that changes in stratification enhance the
50 vertical propagation of NIWs from the mixed layer into the ocean interior. Although
51 the wind energy input on the right side of the typhoon track is higher than that on the
52 left side, the NIE injection to the deep ocean from the left side is stronger due to the
53 different dissipation and stratification responses. Our work improves the
54 understanding of penetration of wind-generated NIWs into the ocean interior.

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57 **1 Introduction**

58 The ocean is like a field of harmonic oscillators, which resonantly amplify
59 forcing at local inertial frequency f . In the upper ocean the near-inertial peak contains
60 about half the kinetic energy in the internal wave spectrum (Ferrari and Wunsch, 2009)
61 and similarly a large fraction of shear (Alford et al., 2016). Near-inertial waves (NIWs)
62 are a significant energy source in driving the diapycnal mixing in the ocean, for
63 maintaining strength of the Meridional Overturning Circulation (Munk and Wunsch,
64 1998). Wind forcing is a major energy source for NIWs, with an estimated about 0.47
65 TW of wind energy converted to surface near-inertial motion per year (Alford, 2003).
66 Typhoons are among the wind events capable of exciting strong NIWs, because of
67 their appropriate sizes and strong wind stress, thereby inputting mechanical energy
68 into the near-inertial band (Pollard 1970; Price 1981; Shay and Elsberry 1987; Shay et
69 al., 1990; Cuypers et al., 2013; Alford et al., 2016). NIWs energy radiates into the
70 upper thermocline with an e-folding time scale similar to an inertial period. In the
71 thermocline, wave propagation is substantially modified by the background
72 environment including ocean currents and stratification.

73 Typhoons are known to impact oceanic stratification in two aspects (Chen et al.,
74 2013; Zhou et al., 2019). The first mechanism is referred to as “heat pumping”. When
75 a typhoon passes over a region, the strong wind stress with typhoon causes intense
76 mixing and entrainment, leading to the cooling of the sea surface, deepening the
77 mixed layer, and warming the subsurface (Jacob et al., 2000; Price, 1981, 1983, 1994;
78 Sanford et al., 2011; Yang et al., 2015). The “heat pumping” is much stronger on the
79 right side of a typhoon track, as there is larger wind stress and the same direction of
80 rotation (Price, 1981; Chang and Anthes, 1978). The second effect is known as “cold
81 suction”. Wind stress generated by typhoon causes the uplift of isopycnal due to the
82 Ekman suction. Such process cools the subsurface and counteracts the warm anomaly
83 caused by the "heat pumping" in the subsurface, altering the energy input of typhoons
84 to the ocean and its global impact (Zhou et al., 2019). For a long time, the “heat
85 pumping” was known to be the dominant mechanism for the thermal response of the
86 sea surface and subsurface (Emanuel 1986, 2001; Korty et al., 2008; Pasquero and

87 Emanuel, 2008; Sriviver and Huber 2007; Zhang et al., 2016). However, recent studies
88 have revealed that the “cold suction” also plays a crucial role in the subsurface for
89 relatively weak typhoons (Park, et al., 2011). Field observations of Typhoon Kalmaegi
90 were made via a cross-shaped array of buoys and moorings in the northern South
91 China Sea (SCS). In-situ data revealed cold anomalies in the mixed layer at each
92 station following Typhoon Kalmaegi’s passage. Furthermore, warm anomalies were
93 observed in the subsurface on the right side of the typhoon track, while cold
94 anomalies were dominated on the left side. These suggest that the “cold suction”
95 effect has a more significant influence on the stratification of the left side of the
96 typhoon track (Zhang et al., 2016).

97 Typhoon processes significantly alter the dynamics and thermohaline
98 environment of the ocean. Previous studies have investigated the effect of different
99 stratification changes on both sides of a typhoon track as the thermal response (Zhang
100 et al., 2016, 2018). However, the analysis of different effects of “heat pumping” and
101 “cold suction” on the stratification and the resulting dynamical responses is still
102 lacking. While more than 50% of the wind work input to the near-inertial frequency
103 band is dissipated in the upper ocean (Furuichi et al., 2008; Zhai et al., 2009), some
104 studies have shown that the NIWs generated by typhoons propagate faster and longer,
105 even reaching depths of 1000 m (Price, 1983; Hou et al., 2022; Cuypers et al., 2013;
106 Ma et al., 2022). Additionally, since climate models cannot yet directly resolve small
107 scale processes like NIWs, it is necessary to parameterize their effects in models. The
108 existing parameterization schemes may be inaccurate without considering of the effect
109 of typhoon-induced stratification changes on the propagation of near-inertial energy
110 (NIE) (Zhou et al., 2019). Unfortunately, our understanding of the ocean’s dynamic
111 responses to typhoons is still lacking due to scarce in-situ observations.

112 NIWs originate wind events with a length scales characteristic (1000 km) of the
113 atmospheric forcing (Pollard, 1980; D’Asaro et al., 1995). One difficulty with this
114 scenario is that these waves hardly propagate vertically below the mixed layer. An
115 NIW with a horizontal length scale of 1000 km can remain in the mixed layer for
116 longer than one year (Gill, 1984; Asselin and Young, 2020). Ocean Storms

Experiment shows that the β -effect results in a steady increase of the north-south wavenumber of the NIW with time (D'Asaro et al., 1995). On the other hand, the mesoscale vorticity also has long been hypothesized to cause local frequency shifts analogous to the β -effect (Kunze, 1985). The vertical group velocity of near-inertial waves is $C_g \approx -N^2 k_h^2 / 2f k_z^3$, with N the buoyancy frequency, f the Coriolis frequency, k_h the horizontal wavenumber, and k_z the vertical wavenumber. the steady increase in horizontal wavenumber accelerates the vertical propagation. A theory proposed by Young and Ben Jelloul (1997) also supports that the mesoscale eddy accelerates the downward propagation of NIWs. However, the ocean stratification is assumed unchanged in all these works, which may lead to bias in group velocity estimates.

In the study, the Regional Ocean Modelling System (ROMS) combined the field observation data is used to investigate the effect of different stratification changes on NIWs propagation. The paper is organized as follows: Section 2 introduces the data and model configuration used in this work. Section 3 analyzes the results, especially focusing on the different stratification impacts and the associated differences in the NIE on both sides of the typhoon track. The final section provides conclusions and discussions.

2 Data and Model

2.1 In-situ data

The observational data utilized in this study was collected from a network of 5 buoys and 4 moorings deployed in the South China Sea (SCS) and consisted of measurements such as Acoustic Doppler Current Profilers (ADCP), Conductivity-Temperature-Depth (CTD) sensors, and Seaguard oceanic current instruments. Detailed information regarding the observations can be found in Zhang et al., (2016, 2018). The locations of the buoys and moorings used for data collection are indicated by red dots in Figure 1. To ensure the completeness of data, we fills in missing values using the linear interpolation for time series data at each depth.

146

147 **2.2 Best-track Typhoon Data**

148 Tropical cyclone (Kalmaegi) generated in northwest Pacific intensified to the
149 typhoon level at 12:00 UTC on September 13, 2014, then entered the SCS around
150 15:00 UTC on the same day, and finally made landfall in Wenchang, Hainan Province,
151 China at 03:00 UTC on September 16, 2014. The Best-track data for Typhoon
152 Kalmaegi used in this study is obtained from the China Meteorological Agency
153 (CMA). This dataset provides information on the center location, central pressure, and
154 maximum wind speed of typhoon at 6-hour intervals (Ying et al., 2014; Lu et al.,
155 2021).

156

157 **2.3 Numerical Simulation**

158 A high-resolution ocean model of Regional Ocean modeling System (ROMS) is
159 developed to simulate the propagation of NIWs. The ROMS is a free surfaces,
160 terrain-following model that solves nonlinear primitive equations on a staggered
161 Arakawa C-grid (Shchepetkin and McWilliams, 2005).

162 The model domain spans 104°E~126°E and 8°N~25°N, using a horizontal
163 resolution of $1/20^\circ \times 1/20^\circ$ (Figure 1). There are 40 layers in a stretched σ coordinate,
164 with about 20 σ layers in the upper 300 m (Shchepetkin and McWilliams, 2005). The
165 bathymetry is extracted from the General Bathymetric Chart of the Oceans (GEBCO,
166 Tozer et al., 2019). The initial and the open boundary conditions are derived from the
167 climatological monthly mean Simple Ocean Data Assimilation (SODA, version 2.2.4,
168 Carton and Giese, 2008). The model's atmospheric forcings, such as wind stress, net
169 heat flux, and net fresh water flux are obtained from the Climate Forecast System
170 Version 2 (CFSv2, Saha et al., 2014). The surface wind stress has a $0.203^\circ \times 0.203^\circ$
171 horizontal resolution and a hourly temporal resolution, while other forcing fields have
172 a $0.5^\circ \times 0.5^\circ$ horizontal resolution and a 6-hour temporal resolution.

173 The model is integrated from a static status with a 6 s time step for the external
174 mode, and a 120 s time step for the internal mode. The model conducts a hindcast run

175 from 1991 to 2011 after a 20-year climatology run for spin-up. After the hindcast run,
176 the model conducts a typhoon forcing field simulation from 16 Aug. to 4 Nov., 2014.

177

178 **3 Results**

179 **3.1 Model Evaluations**

180 To validate the model results, the simulated profiles are compared with
181 observations. Considering the completeness of observations, observed temperatures
182 from Buoy-4 and observed ocean currents from Buoy-1 are showed (Figures 2 and 3).
183 The temperatures under the influence of a typhoon are a crucial indicator of the
184 air-sea interaction. Therefore, the sea temperature anomalies following the passage of
185 Typhoon Kalmaegi are computed to evaluate the model results (Figure 2).

186 Typhoon Kalmaegi was closest to Buoy-4 at about 00:00 UTC on Sep. 15, 2014.
187 Typhoon Kalmaegi causes a discernible reduction in sea surface temperature (SST),
188 and the data obtained from Buoy-4 and model outputs demonstrate similar
189 magnitudes of SST drops (Figures 2b and 2d). Furthermore, following the passage of
190 the typhoon, the temperature of the mixed layer decreases, and the temperature of the
191 thermocline increases, accompanied by changes of the sea temperature structure
192 (Figures 2a and 2c). Although the model results show a deeper thermocline compared
193 to the *in-situ* data, considering the coarse resolution of the in-situ data and the model
194 bias, the differences are acceptable.

195 Figure 3 shows the wind speeds from the Buoy-2 and CFSv2, as well as the
196 observed ocean currents. Generally speaking, the wind speed provided by the CFSv2
197 is in good agreement with the observations, and the structure of the ocean current
198 simulated by ROMS is also consistent with the observations.

199 The near-inertial current velocity is obtained by a 4th-order Butterworth bandpass
200 filter. The cut-off frequencies are $[0.9-1.1]f$. In response to the Typhoon Kalmaegi, the
201 near-inertial current velocity in the mixed layer begin to intensify at around 12:00
202 UTC on Sep. 13, 2014. Subsequently, it gradually weakens and spreads to the
203 thermocline and subsurface at about 18:00 UTC on Sep. 20, 2014. The strength of the
204 near-inertial current velocity in the thermocline is weaker than that in the mixed layer

(Figures 4a and 4c). In comparison with the near-inertial current velocity observed by Buoy-2, the model results show a similar pattern, with the bias of current velocities is acceptable (Figures 4b and 4d). These relatively small differences between the observations and the model results indicate that the model results are reliable for studying the generation, propagation, and dissipation of the NIWs caused by Typhoon Kalmaegi.

211

212 **3.2 Dynamics on Different Sides of the Typhoon Track**

213 To analyze the NIE transported to the ocean interior from Typhoon Kalmaegi, the
214 NIE is calculated using the following formula,

$$NIE = \frac{1}{2} \rho_0 (u_i^2 + v_i^2)$$

215 where $\rho_0 = 1025 \text{ kg/m}^3$ is the reference density of seawater, u_i and v_i are the
216 zonal and meridional near-inertial velocities obtained by the bandpass filter,
217 respectively.

218 Figures 5 and 6 show the vertical profiles of the NIE at selected stations on both
219 sides of Typhoon Kalmaegi's track. Both the depth of the mixed layer and the depth of
220 pycnocline are overlaid. The depth of the mixed layer is defined using the threshold
221 method with a finite difference criterion (de Boyer Montégut et al., 2004). Firstly, the
222 density increment is calculated as follows,

$$\Delta\rho = |\rho(T_z - \Delta T, S_z, P_0) - \rho(T_z, S_z, P_0)|$$

223 where T_z is the temperature at the reference depth, which is set at 10m depth, ΔT is
224 taken as 0.5°C , S_z is taken as 35.0, and $P_0 = 0$ is the sea surface pressure. The
225 depth of the mixed layer is defined as the depth where the density is greater than the
226 reference density (density value at 10m depth) by $\Delta\rho$. The bottom of the pycnocline
227 is defined based on gradient threshold criterion, i.e., the depth where vertical density
228 gradient is greater than 0.02 kg/m^3 is deemed the bottom of pycnocline.

229 As shown in Figures 5, and 6, NIE in the mixed layer increases rapidly due to
230 strong wind stress, when Typhoon Kalmaegi passed the ocean surface. Additionally, at
231 stations to the right side of the typhoon track (Figures 5a and 6a), NIE in the upper

232 ocean is stronger than that to the left side (Figures 5b and 6b), which is consistent
233 with previous studies (Chang and Anthes 1978). . However, NIE below the mixed
234 layer on the left side of the typhoon track is equally strong as that on the right side of
235 the typhoon track. Such feature is evident in Figure 5b, where the NIE in the deep
236 ocean at station L1 is stronger than that at station R1 (yellow triangles in Figure 1) . A
237 similar pattern is also observed in Figure 6b.

238 The NIE injection into deep ocean shows different characteristics on both sides
239 of typhoon track. The different changes in ocean stratification on both sides of
240 typhoon, which influence the propagation of inertial internal waves into the deep sea,
241 play a vital role in the transport of NIE. On both sides of the typhoon, the combined
242 action of “heat pumping” and “cold suction” causes different changes in ocean
243 stratification. Taking stations R1 and L1 as an example, the wind speeds on the right
244 side of the typhoon track are faster, causing stronger mixing and entrainment effects,
245 and the uplift caused by suction is weaker. Therefore, “heat pumping” dominates both
246 the mixed layer and pycnocline. The mixed layer and pycnocline of station R1
247 deepens rapidly at the same time (Figure 5a). On the left side of the typhoon track, at
248 station L1, slower wind speed lead to weaker mixing and entrainment effects compare
249 with the right side. As a result, while “heat pumping” still dominates the mixed layer,
250 “cold suction” plays a more important role in the pycnocline (Figure 5b). The
251 different proportions of the two effects at different layers cause the deepening of the
252 mixing layer and the lifting of the pycnocline at station L1, thus result in stronger
253 compression of the pycnocline. This compression is a trigger of NIWs (Ding et al.,
254 2018), which makes NIE of station L1 stronger than that of station R1 in the
255 subsurface. However, station R1 is located near the center of the track of the
256 subsequent Typhoon Fung-Wong, so the pycnocline of station R1 is significantly
257 uplifted again after about September 20, 2014. While station L1 is far away from
258 Typhoon Fung-Wong, so it is slightly affected. Stations R2 and L2 exhibit similar
259 properties, but due to changes in typhoon intensity and direction, they are not as
260 discernible as stations R1 and L1. The reasons for this will be further explained below
261 through the distribution of sea surface vorticity.

Figure 7 shows the vorticity distributions around stations R1 and L1 (a-d) as well as stations R2 and L2 (e-h) before and after passage of Typhoon Kalmaegi. The positive vorticity near station L1 is significantly higher than that around station R1 when Typhoon Kalmaegi is closest to stations R1 and L1 (Figure 7b). This finding confirms that the “cold suction” on the left side of the typhoon track plays a more important role. This suction, together with the mixing and entrainment caused by Typhoon Kalmaegi in the mixed layer, lead to a stronger compression of the pycnocline, which drives stronger NIWs on the left side of the typhoon track. However, after Typhoon Kalmaegi’s passage, there is no noticeable difference in vorticity distribution on both sides of the typhoon track. Therefore, the propagation of NIWs will not be hindered by the positive vorticity (Lee and Niiler, 1998; Zhang et al., 2015; Zhang et al., 2019; Yang et al., 2021). A similar phenomenon is observed in Figures 7e-7h. Still, the positive vorticity near station L2 is not stronger than that of station R2 due to the turn of Typhoon Kalmaegi as it went by the stations. This turn makes the difference of the NIE in the deep ocean between stations R2 and L2 not as significant as that between stations R1 and L1.

3.3 Effects of Stratification Changes on Vertical Propagation of NIE

To further analyze the changes in stratification and energy caused by Typhoon Kalmaegi, the potential density, buoyancy frequency, and rotary spectra of velocity at the selected stations are calculated (Figure 8). Rotary spectral analysis allows for diagnosing changes in horizontal velocity rotation direction with respect to time or depth (Leaman and Sanford, 1975). In this section, the rotary frequency spectra are used to analyze the energy changes at each depth and frequency band, and the rotary wavenumber spectra are used to determine the vertical propagation direction of energy.

The current velocity time series before (UTC 00:00 on Aug.15, 2014 – UTC 08:00 on Sep.16, 2014) and after (UTC 04:00 on Sept.12, 2014 – UTC 12:00 on Oct.14, 2014) Typhoon Kalmaegi’s arrival at each station are selected for the rotary

291 frequency spectrum analysis. The clockwise spectra and counter clockwise spectra are
292 combined to obtain the total spectra. The total spectra are scaled as

$$S_{std} = \log_{10} \frac{S}{1 \times 10^{-8}}$$

293 where S is the original spectra, and S_{std} is the scaled spectra.

294 Significant spectral density peaks around diurnal and semidiurnal tide
295 frequencies are observed over the entire depth before the passage of Typhoon
296 Kalmaegi (Figure 8). Although there is strong spectral density near the near-inertial
297 frequency, it is not solely concentrated in that frequency, but distributes across the
298 whole low-frequency band. It is mainly distributes above the depth of 400 m. After
299 the Typhoon Kalmaegi's passage, the spectral density of each frequency band
300 enhances to varying degrees and is mainly concentrated in the near-inertial frequency
301 above the depth of 800 m. The distribution of spectral density peaks around the
302 near-inertial frequency gradually broadens with depth. A discontinuity is observed
303 near the bottom of the pycnocline due to the strongest stratification. It is noticed that
304 since the stronger wind stress on the right side of the typhoon track induces stronger
305 near-inertial current velocities on the ocean surface, spectral density of the mixed
306 layer and upper pycnocline at stations R1 and R2 is more elevated than that at stations
307 L1 and L2 in the near-inertial frequency. However, below the pycnocline, the spectral
308 density of the near-inertial frequency at stations L1 and L2 is stronger than that at
309 stations R1 and R2, and it is more prominent below the depth of 300 m (black boxes
310 in Figure 8). This indicates that the vertical propagation of the NIE to the deep ocean
311 at stations L1 and L2 is more significant.

312 As explained in Section 3.2, these differences are related to the changes in
313 oceanic stratification. Figure 8 shows the vertical profiles of buoyancy frequency,
314 there is no discernible change in buoyancy frequency at stations R1 and R2 after
315 Typhoon Kalmaegi's passage. However, at stations L1 and L2, the buoyancy
316 frequency increases significantly due to the deepening of the mixed layer and the
317 uplifting of the pycnocline after the passage of Typhoon Kalmaegi, indicating that the
318 pycnocline and oceanic stratification become stronger. Higher buoyancy frequency

319 represents a more stable stratification, which is necessary condition for the
320 propagation of NIWs (Cuypers et al., 2013). The propagation of NIE to the deep
321 ocean is mainly occurs through the NIWs, which can propagate in three dimensions
322 (Lee and Niiler, 1998; Chen et al., 2013; Alford et al., 2016). The stronger
323 stratification and the shallower depth of the stratification peak on the left side of the
324 typhoon track are more conducive to the generation and propagation of NIWs (Alford,
325 2001). Previous studies and model results demonstrate that different oceanic
326 stratification changes on the two sides of the typhoon track result in different injection
327 of NIE by affecting the generation and propagation process of NIWs.

328 A rotary wavenumber spectrum is a useful method for determining the vertical
329 energy propagation of NIWs. The clockwise spectra represent the downward
330 propagation of energy, and the counter clockwise spectra represent the upward
331 propagation of energy. For a more intuitive understanding of the difference in vertical
332 energy propagation of NIWs on different sides of the typhoon track, the rotary wave
333 number spectra of current velocities are shown in Figure 9. Before the passage of
334 Typhoon Kalmaegi, the energy magnitudes of the clockwise spectra and the counter
335 clockwise spectra are nearly the same. After the passage of Typhoon Kalmaegi, both
336 the clockwise spectra and the counter clockwise spectra are enhanced to varying
337 degrees and mainly concentrating in the clockwise spectra with a vertical wavelength
338 greater than 200 m. The average clockwise spectra are over 5 times that of the counter
339 clockwise spectra, indicating that the energy generated during the typhoon primarily
340 propagated downward vertically. Compared with station R1, the energy of station L1
341 is more concentrated in the clockwise spectra (Figures 9a-9d). This indicates that at
342 station L1, the energy is more concentrated in the down-transmitted part. Additionally,
343 it is noticed that the spectral peaks of the clockwise spectra of station L1 are
344 concentrated in the period of intense compression of the uplifted pycnocline and the
345 deepened mixed layer after the passage of Typhoon Kalmaegi. This shows that the
346 oceanic stratification changes caused by Typhoon Kalmaegi at station L1 are more
347 conducive to the downward propagation of the NIE. A similar phenomenon exists at
348 stations R2 and L2. Although the clockwise spectral values at station L2 are slightly

349 lower than those at station R2 in the first few days following the Typhoon Kalmaegi's
350 passage, about 10 days later, the clockwise spectral values of station L2 exceeded
351 those of station R2. Additionally, the clockwise spectral peaks on the right side of the
352 typhoon track are often accompanied by the counter clockwise spectral peaks, which
353 indicates that the downward propagation of the NIE on the right side of the typhoon
354 track is not as significant as that on the left side.

355 Although the wind speed of typhoons greatly impacts the input of NIE, oceanic
356 stratification dominates the propagation of NIWs and corresponding the transport of
357 NIE. On the right side of the typhoon track, stronger wind stress excites stronger
358 near-inertial current velocities at the ocean surface, and transport more mechanical
359 energy to the ocean surface. However, stronger wind stress also results stronger
360 mixing, which weakens oceanic stratification. Such condition is unfavorable to the
361 generation and vertical propagation of NIWs. The average buoyancy frequency loss of
362 200 m over the ocean before (Aug. 29, 2014 - Sept. 13, 2014) and after (Sept. 13,
363 2014 - Sept. 28, 2014) the passage of Typhoon Kalmaegi also supports the conclusion
364 (Figure 10).

365 As shown in Figure 10, the average buoyancy frequency loss of the upper surface
366 on the right side of the typhoon track is significantly larger than that on the left side.
367 This indicates that previous studies using the slab model or simply using the
368 production of wind speed and near-inertial current velocities to analyze the input of
369 NIE may underestimate the propagation of the NIE to the deep ocean on the left side
370 of the typhoon track due to the neglect of changes in oceanic stratification caused by
371 typhoons (Alford, 2003; Jiang et al., 2005).

372

373 **3.4 Near-Inertial Energy Budget**

374 To quantitatively analyze the propagation and transport of NIE during the
375 typhoon, the NIE budget is calculated according to the following diagnosis equation
376 (Zhai et al., 2009),

$$\frac{\partial}{\partial t} \int E_i dV = -\int (p_i \mathbf{u}_i) dA_l + \int (\boldsymbol{\tau} \cdot \mathbf{u}_i) dA_s - \int (\rho_i w_i g) dV - \int \left(\rho_0 K_V \left| \frac{\partial \mathbf{u}_i}{\partial z} \right|^2 \right) dV + \int (others) dV$$

where subscript i indicates the near-inertial frequency band, E is the NIE, $(p_i \cdot \mathbf{u}_i)$ is the NIE flux of three dimension, $(\boldsymbol{\tau} \cdot \mathbf{u}_i)$ is the NIE input by wind at the sea surface, $(\rho_i w_i g)$ is the near-inertial potential energy, $(\rho_0 K_V \left| \frac{\partial \mathbf{u}_i}{\partial z} \right|^2)$ is the NIE sink caused by vertical viscous effects, $others$ is the remainder term, V is the control volume, A_l is the area of the side and bottom open boundaries of the control volume, and A_s is the sea surface area.

Furthermore, to determine the fraction of the NIE input by the typhoon to the total mechanical energy, the total mechanical energy transported to the ocean during the typhoon is estimated by the following equation (Nam et al., 2012),

$$\int (\boldsymbol{\tau} \cdot \mathbf{u}) dA_s$$

where $\boldsymbol{\tau}$ is the wind stress, \mathbf{u} is the current velocity.

Table 1. The NIE budget for the top 300 m of the region is enclosed by the box shown in Fig.1 and integrated for the 13-day, and 20-day intervals. A_B represents the area of the bottom open boundary, A_M represents the meridional boundaries of the area, and A_Z represents the zonal boundaries of the area. The number in parentheses is the ratio of each energy term to the wind NIE input.

Terms of NIE Budget Equation		Energy/J (Ration of each energy term to the wind NIE input)	
		UTC 0600 on September 13- UTC 0000 on September 26	UTC 0600 on September 13- UTC 0600 on October 3
Wind NIE input	$\int (\boldsymbol{\tau} \cdot \mathbf{u}_i) dA_s$	6.0×10^{15}	6.1×10^{15}
Viscous removal in the top 300 m	$\int \left(\rho_0 K_V \left \frac{\partial \mathbf{u}_i}{\partial z} \right ^2 \right) dV$	2.3×10^{15} (38.0%)	2.4×10^{15} (40.0%)
Conversion to inertial potential energy	$\int (\rho_i w_i g) dV$	6.5×10^{13} (1.07%)	3.5×10^{13} (0.57%)
Zonal NIE flux through the boundary	$\int (p_i u_i) dA_Z$	1.4×10^{14} (2.20%)	1.8×10^{14} (3.0%)
Meridional NIE flux	$\int (p_i v_i) dA_M$	9.8×10^{12} (0.16%)	7.0×10^{12} (0.12%)

through the boundary

Vertical NIE flux at the depth of 300m	$\int (p_i w_i) dA_B$	2.6×10^{15} (43.5%)	3.1×10^{15} (51.6%)
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392 In this study, the NIE budget for the upper 300 m of the region defined by the
 393 box shown in Figure 1 is calculated and integrated for the periods of 13-day, and
 394 20-day, respectively. The total mechanical energy input from Typhoon Kalmaegi is
 395 estimated to be about 4.1×10^{16} J, with approximately 15% of this energy being
 396 injected into the near-inertial frequency band. The energy injection into the upper
 397 ocean on the right side of the typhoon track is typically stronger (Figure 11), which is
 398 consistent with the previous studies (Price, 1981, 1983; Zhang et al., 2016). About 32%
 399 of the NIE is dissipated in the mixed layer, while about 40% of the NIE is dissipated
 400 in the upper 300 m of the ocean due to turbulent mixing. The high energy dissipation
 401 corresponds with the high NIE input, and occurred within a short period after the
 402 passage of Typhoon. The turbulent mixing not only dissipates energy but also
 403 weakens the stratification. As a result, variations in dissipation and stratification
 404 changes results in the inconsistent distribution of NIE input at the sea surface and
 405 propagate to the deep sea. The 3D NIE flux will be used to demonstrate the
 406 conclusion.

407 The calculating NIE budget shows that more than 50% of the NIE remained and
 408 propagated in the local area for more than 20 days after Typhoon Kalmaegi left the
 409 area. As Figures 12a and 12b demonstrate, strong horizontal NIE fluxes are
 410 distributed near the typhoon track, and there is no discernible difference between both
 411 sides of the typhoon track. Regarding the vertical propagation of NIE, as Table 1
 412 shows, within 13 days after the passage of Typhoon Kalmaegi, NIE propagated
 413 downward through the depth of 300 m was 2.6×10^{15} J, accounting for 43.5% of the
 414 total NIE input. This proportion increased to 50% within 20 days after the passage of
 415 Typhoon Kalmaegi. This ratio is higher than results of Zhai et al. (2009), in which the
 416 NIE transport into the deep ocean is only 10% under the general climate condition.
 417 This indicates that the NIE input by typhoons may penetrate to the deep ocean more
 418 easily. The left side of the typhoon track has a discernible downward vertical NIE

419 fluxes, which corresponds well to the small values of buoyancy frequency loss
420 (Figure 10 and 12c). This phenomenon may be affected by the southward NIE flux,
421 but there is almost the same magnitude of southward NIE flux in the area of elevated
422 vertical NIE flux, so the influence of this factor can be excluded. The results
423 demonstrate that despite the sea surface input of NIE being higher on the right side of
424 the typhoon track, the injection of inertial energy transport to the deep ocean is
425 stronger on the left side. This is attributed to differing turbulent dissipation and
426 stratification responses on both sides of the typhoon track.

427

428 **4 Conclusion and Discussion**

429 In deeper water, the so-called “inertial pumping” mechanism excites NIWs, i.e.,
430 temporal fluctuation wind stress produces inertial currents in the surface mixed layer.
431 If inertial currents are horizontally divergent, the vertically fluctuating base of the
432 mixed layer “pumps” NIWs in the stratified ocean interior (Price, 1983). The vertical
433 propagation of NIWs from the mixed layer into the thermocline is a crucial ingredient
434 in current conceptions of how the upper ocean is mixed. The vertical propagation of
435 NIWs depends on the vertical group velocity, i.e., $C_g \approx -N^2 k_h^2 / 2f k_z^3$. Previous
436 studies focus on β -effect and mesoscale vorticity field, which leads to a systematic
437 reduction of the horizontal scale of near-inertial waves (D’Asaro et al., 1995; Kunze,
438 1985; Asselin and Young, 2020). Ocean stratification (N) and horizontal wavenumber
439 play equivalent role according to the group velocity.

440 Determining how “heat pumping” and “cold suction” of typhoons impact ocean
441 stratification is crucial to understand the generation, propagation, and dissipation
442 mechanisms of NIWs under typhoons. In this study, the ROMS is used to simulate the
443 NIWs generated by Typhoon Kalmaegi. The results show that the different responses
444 in ocean stratification significantly influence the generation and propagation of NIWs.
445 On the right side of the typhoon track, the “heat pumping” caused by the strong wind
446 stress dominates the mixed layer and the upper pycnocline. The turbulent mixing
447 caused by the stronger “heat pumping” on the right side of the typhoon track, not only

448 dissipates more energy but also weakens the stratification strength. On the left side,
449 the “heat pumping” dominates the mixed layer, while the “cold suction” dominates
450 the pycnocline and deep ocean, creating more favorable stratification changes and
451 stronger compression in the pycnocline. The stronger compression of the mixed layer
452 and the pycnocline, along with more favorable stratification condition, result in
453 stronger NIWs and stronger downward propagation of NIE on the left side of the
454 typhoon track. As a result, although the wind energy input at the sea surface is much
455 higher on the right side of the typhoon track, the injection of inertial energy transport
456 to the deep ocean is stronger on the left side.

457 By analyzing the effect of the “heat pumping” and “cold suction”, we have
458 attempted to improve understanding of the generation, propagation, and dissipation
459 mechanisms of NIWs from an aspect of stratification. Our study also suggests that the
460 NIE input by typhoons may penetrate to the deep ocean more easily, which means the
461 contribution of typhoons to diapycnal mixing may have been underestimated in the
462 present numerical model. Since climate models cannot yet directly simulate typhoons,
463 our work can provide a new perspective on how to design a proper parameterization
464 scheme to account for typhoon effects in climate models.

465

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469 Supercomputer Center in Tianjin.

470

471 **Open Research**

472 The observed and simulated data were deposited on Zenodo and available online
473 (<https://zenodo.org/record/8186605>, Qian et al., 2023). The topography data were
474 provided by General Bathymetric, Chart of the Oceans
475 (https://www.gebco.net/data_and_products/gridded_bathymetry_data/), The initial
476 and boundary condition data is derived from Simple Ocean Data Assimilation 2.2.4

477 (http://sodaserver.tamu.edu/assim/SODA_2.2.4/). The forcing data is derived from
478 Climate Forecast System Version 2 (<http://rda.ucar.edu/datasets/ds094.0/>). The
479 typhoon data derived from China Meteorological Agency
480 (<http://tcdata.typhoon.org.cn>). Figures were made with Matlab version
481 9.12.0.1884302 (R2022a), available under the Matlab license at
482 <https://www.mathworks.com/products/matlab.html> (Matlab, 2022).

483

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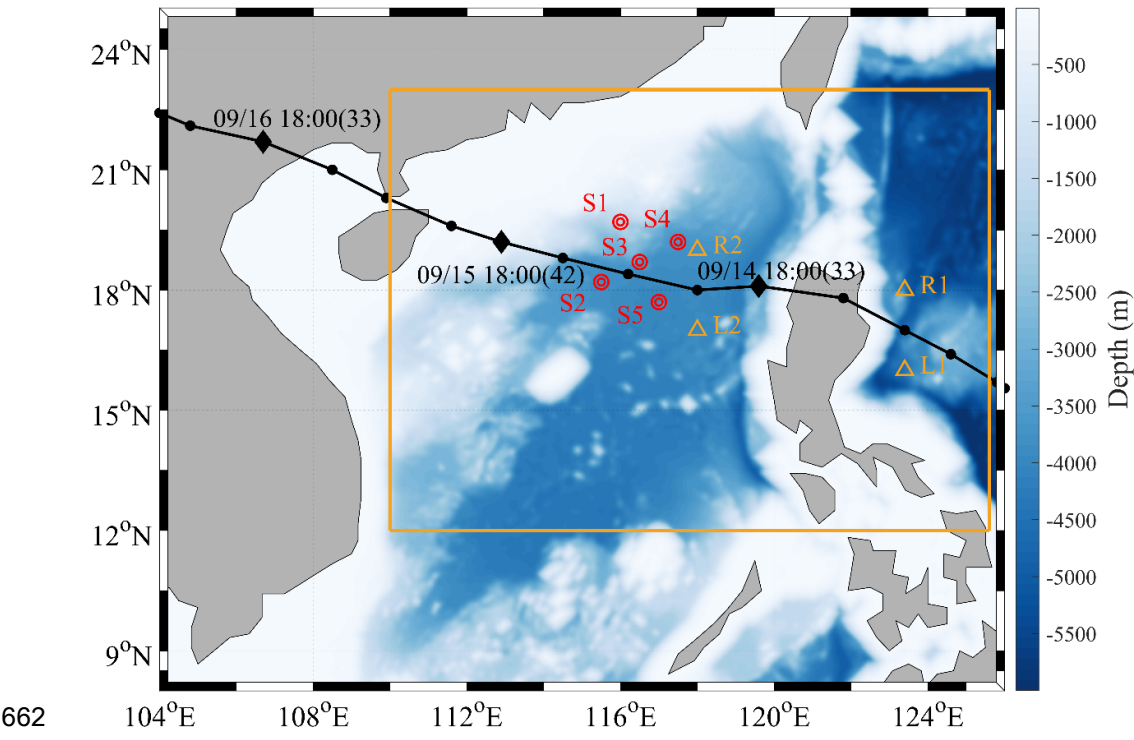
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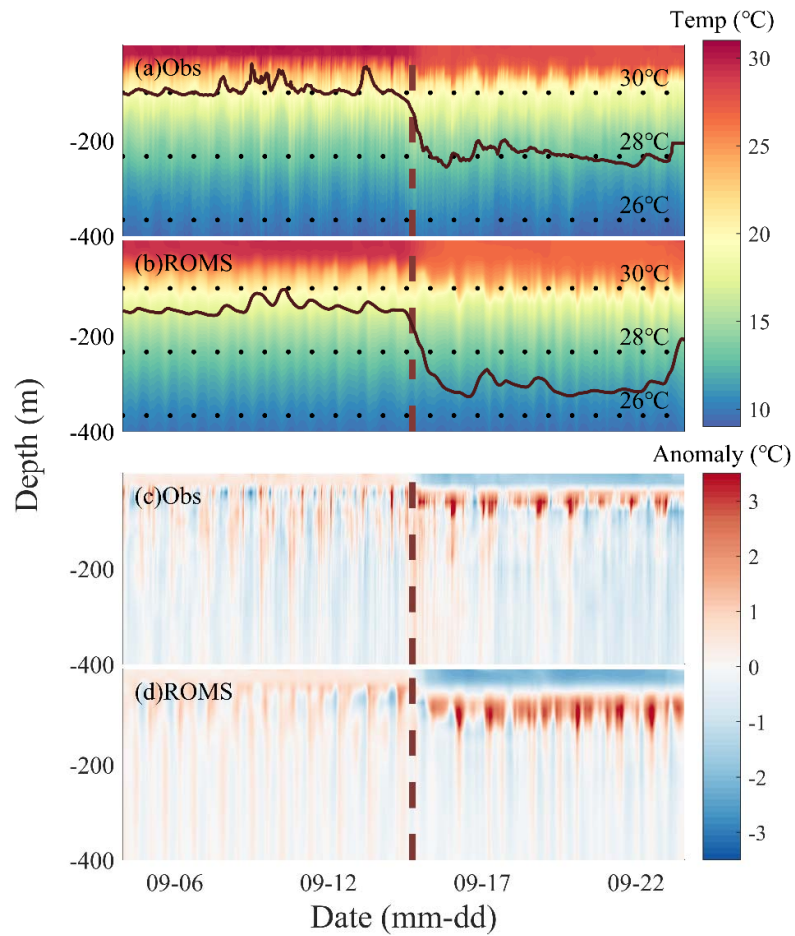
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661 **Figures**



662
663 Figure 1. Numerical simulation domain and observation stations. The shaded color illustrates the
664 SCS topography, and the yellow box is the analysis area in this study. The black line shows the
665 track of Typhoon Kalmaegi between Sep.13-16 based on the best-track data from the CMA The
666 maximum wind speeds (m/s) are denoted in brackets. The red circles indicate the locations of the
667 buoy observation stations deployed in SCS, while the yellow triangles are selected analysis
668 stations located on the different sides of the Typhoon Kalmaegi track.



669

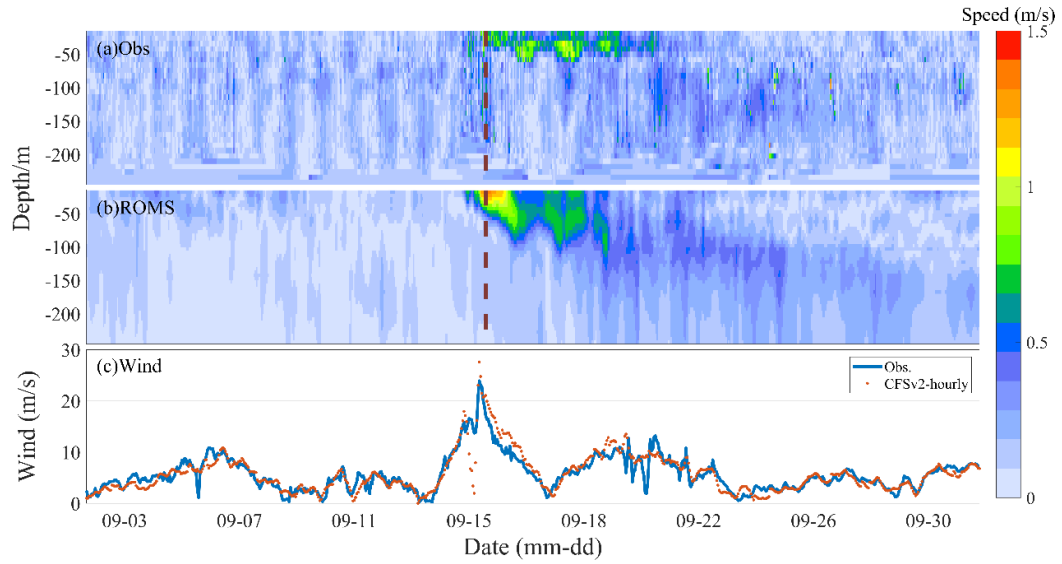
670 Figure 2. Comparison between observed and simulated temperature (a-b) and temperature

671 anomaly (c-d) at buoy-4 station. The black lines indicates SST time series 5m depth. The brown

672 dashed lines represent the time when Typhoon Kalmaegi was closest to buoy-4.

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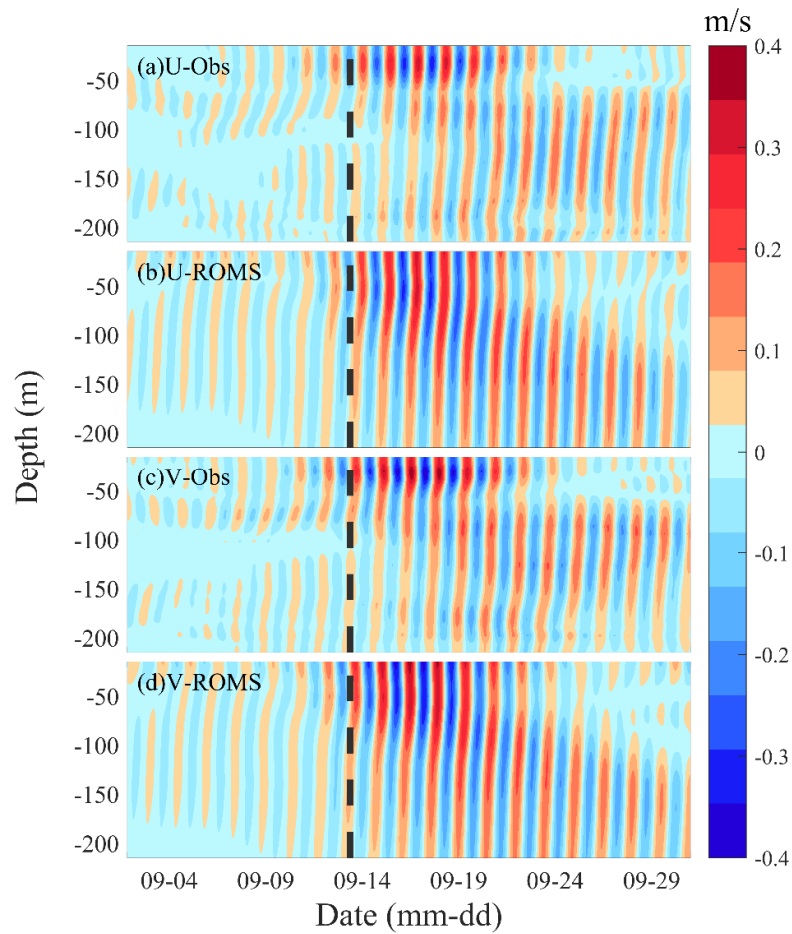
675

676 Figure 3. Comparison between observed and simulated ocean current (a-b) at buoy-2 station. The

677 brown dashed lines represent the time when Typhoon Kalmaegi was closest to the buoy-2. Wind

678 speed from the observations (buoy-2) and the CFSv2 data is shown in (c).

679



680

681 Figure 4. Comparison between observed and simulated near inertial current at buoy-2 station.

682 Eastern component (a-b), northern component (c-d). The black dashed lines represent the time

683 when Typhoon Kalmaegi was closest to buoy-2.

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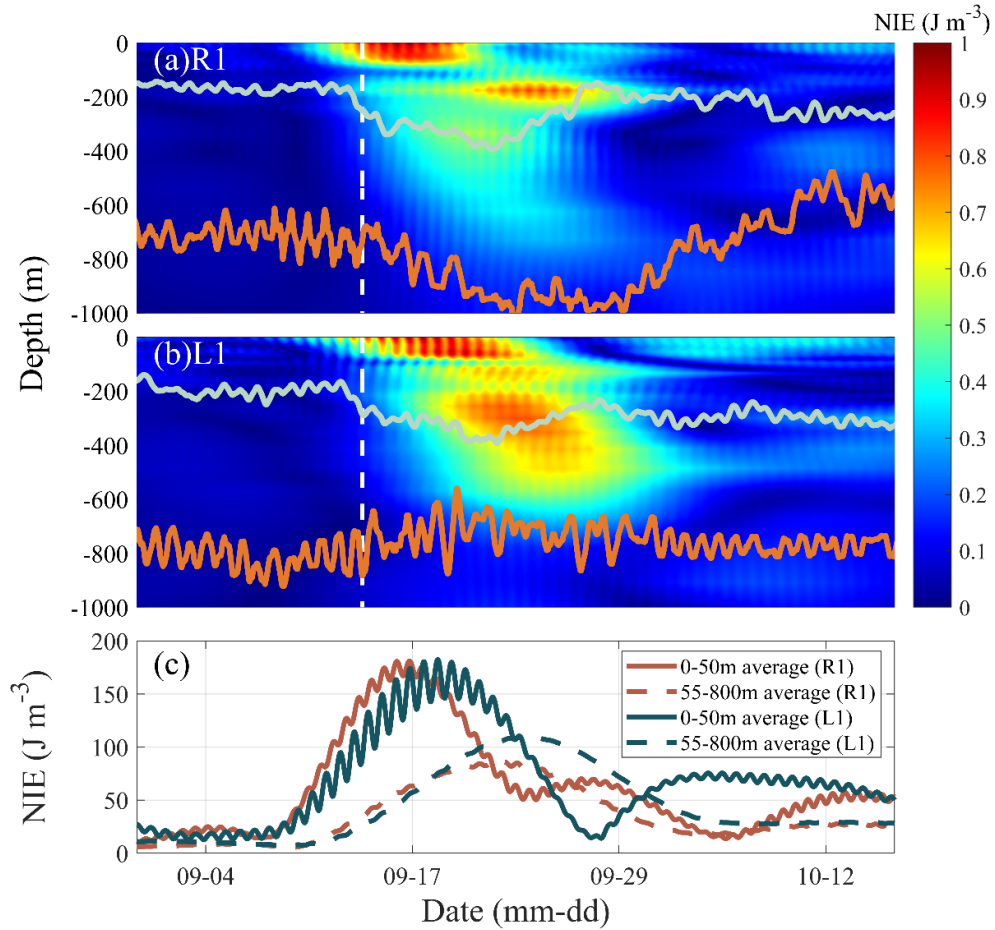
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695 Figure 5 Vertical profiles of the NIE at station R1 and L1 (refer to Fig.1). The depth of the mixed

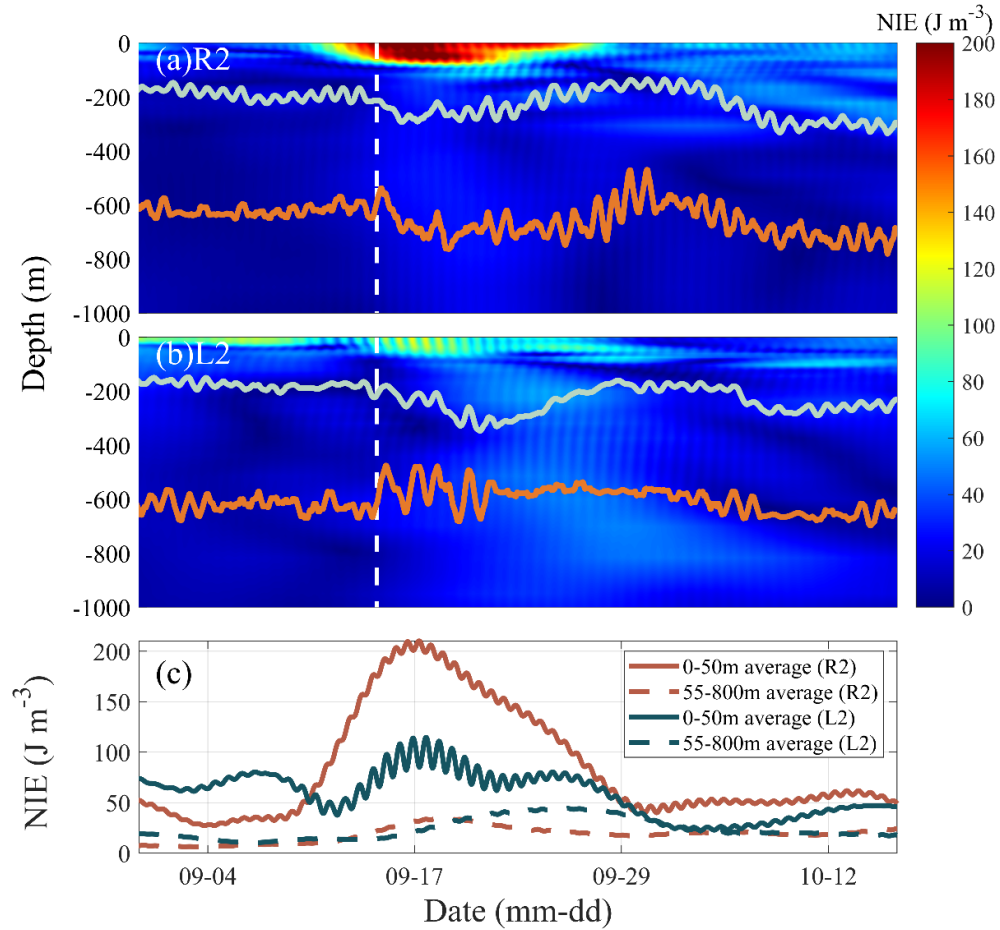
696 layer (cyan lines) and the depth of pycnocline (orange lines) are overlaid. The white dashed lines

697 represent the time when Typhoon Kalmaegi was closest to each analysis station. (c) show the

698 averaged NIE of each station, with solid lines representing the 0-50m average value of NIE and

699 dashed lines representing the 55-800m average value of NIE.

700



701

702 Figure 6 Vertical profiles of the NIE at station R2 and L2 (refer to Fig.1). The depth of the mixed

703 layer (cyan lines) and the depth of pycnocline (orange lines) are overlaid. The white dashed lines

704 represent the time when Typhoon Kalmaegi was closest to each analysis station. (c) show the

705 averaged NIE of each analysis station, with solid lines representing the 0-50m average value of

706 NIE and dashed lines representing the 55-800m average value of NIE.

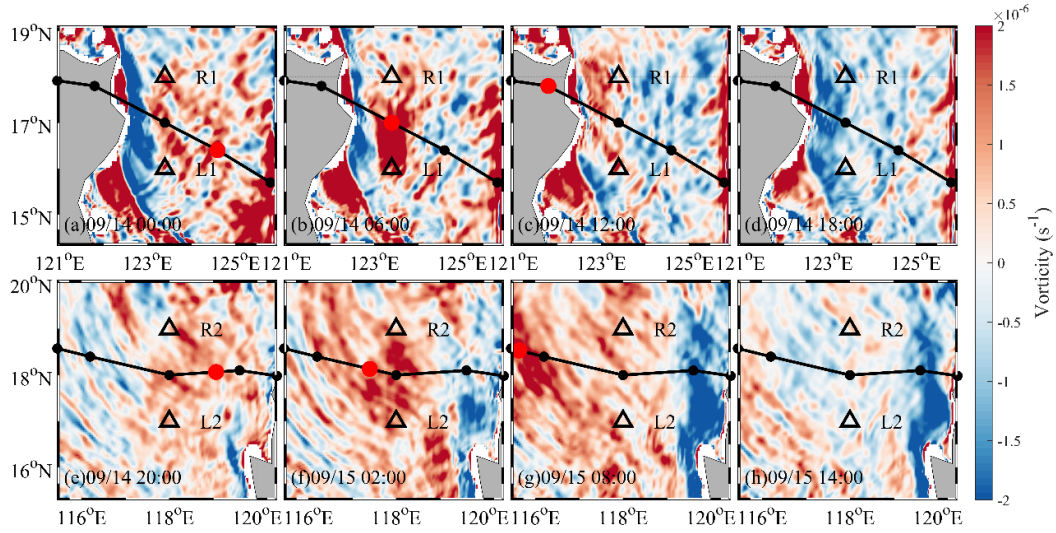


Figure 7. The vorticity distributions near stations R1 and L1 (a-d) and stations R2 and L2 (e-h) before and after passage of Typhoon Kalmaegi. The black lines denote the typhoon track, the red dot in each indicates the location of Typhoon Kalmaegi and the black triangles are locations used for analysis.

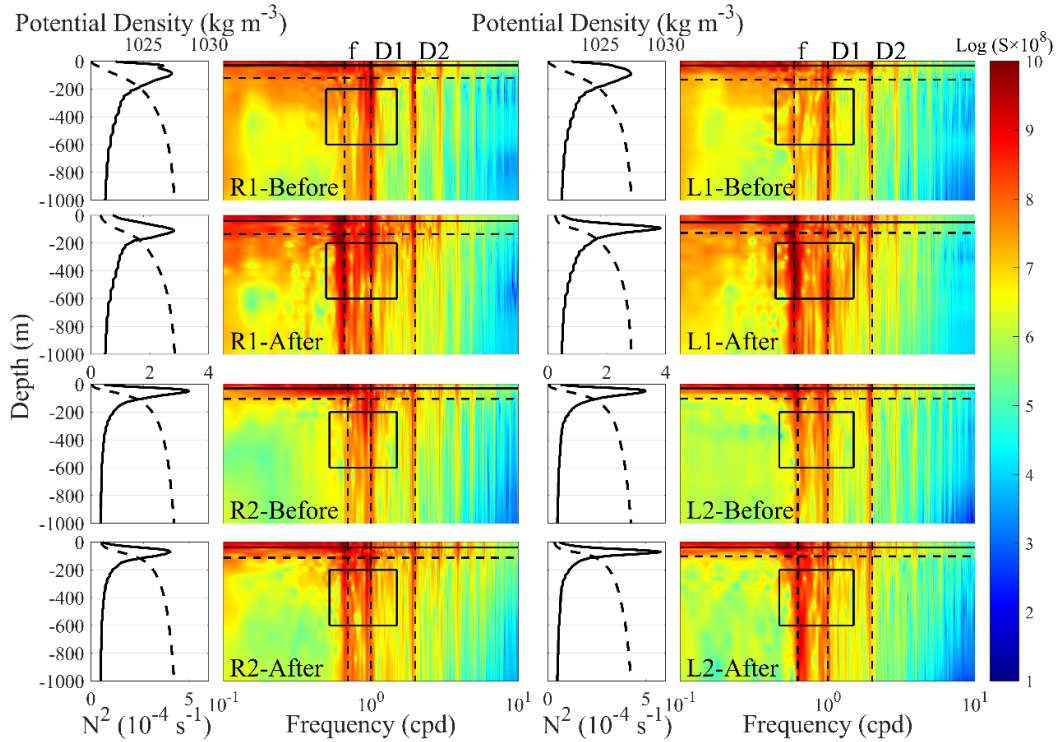
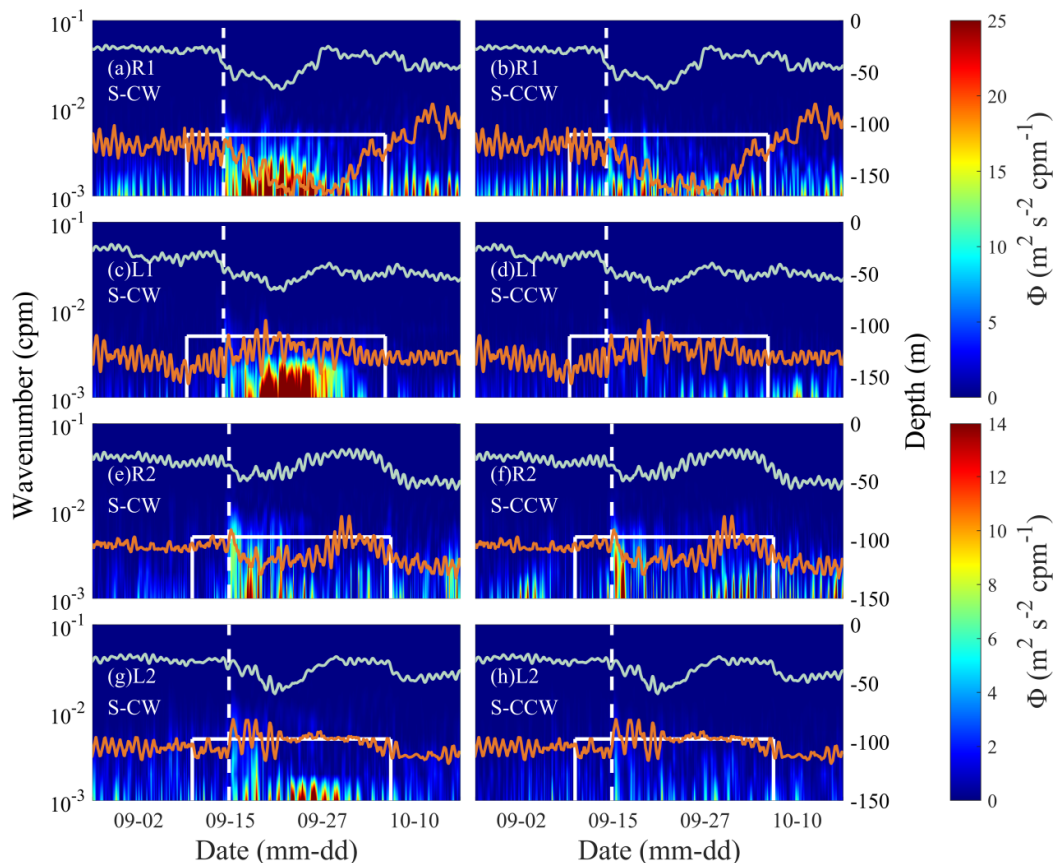


Figure 8. The potential density, buoyancy frequency, and spectra of the current velocities at each analysis station before and after the passage of Typhoon Kalmaegi. In the subgraphs with the white background, the solid lines represent the buoyancy frequency, corresponding to the lower x-axis, and the dashed lines represent the potential density, corresponding to the upper x-axis. The

717 shading color displays the processed spectra. The horizontal solid lines represent the average
 718 depth of the mixed layer, the horizontal dashed lines represent the average depth of the pycnocline,
 719 and the vertical dashed lines represent several typical frequency bands.

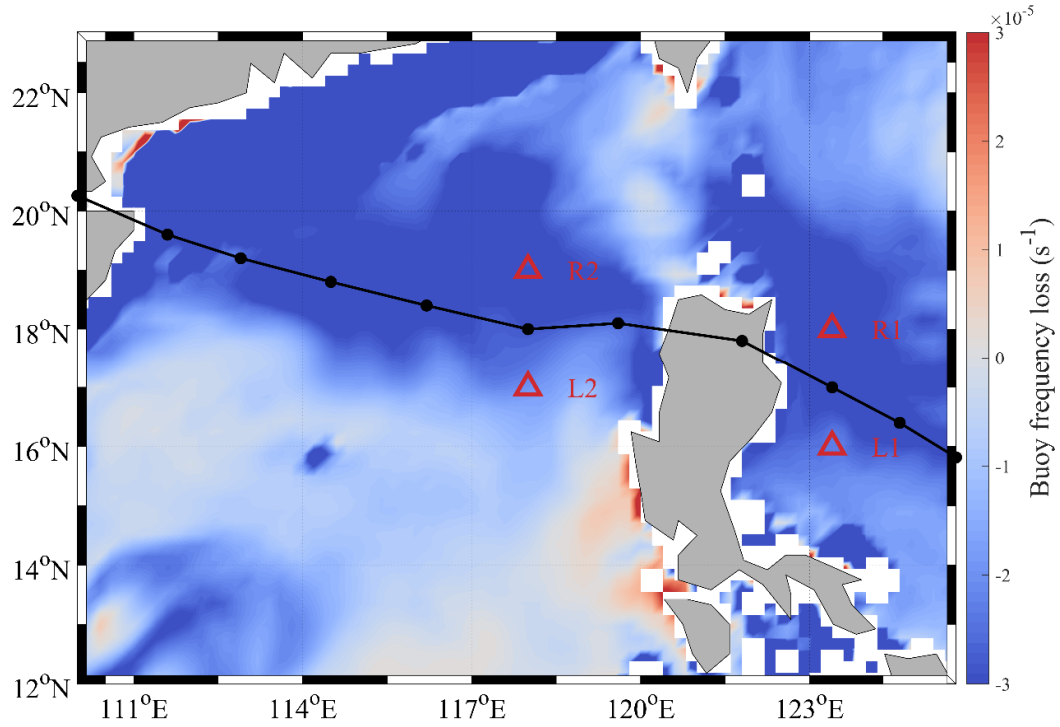


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 721 Figure 9. The rotary wavenumber spectra of the current velocities at four selected stations. The
 722 cyan curves represent the depth of the mixed layer and the pycnocline averaged by the 12-hour
 723 moving average, respectively. The white dashed lines indicates the times when Typhoon Kalmaegi
 724 was nearest to each analysis station.

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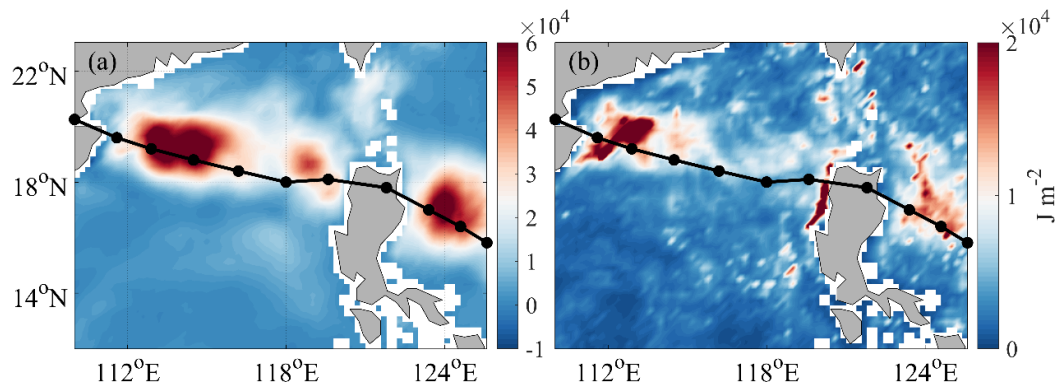
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Figure 10. The average buoyancy frequency loss of 200m over the ocean before and after the passage of Typhoon Kalmaegi. The black curve is the typhoon track, and the red triangles are selected stations for analysis.



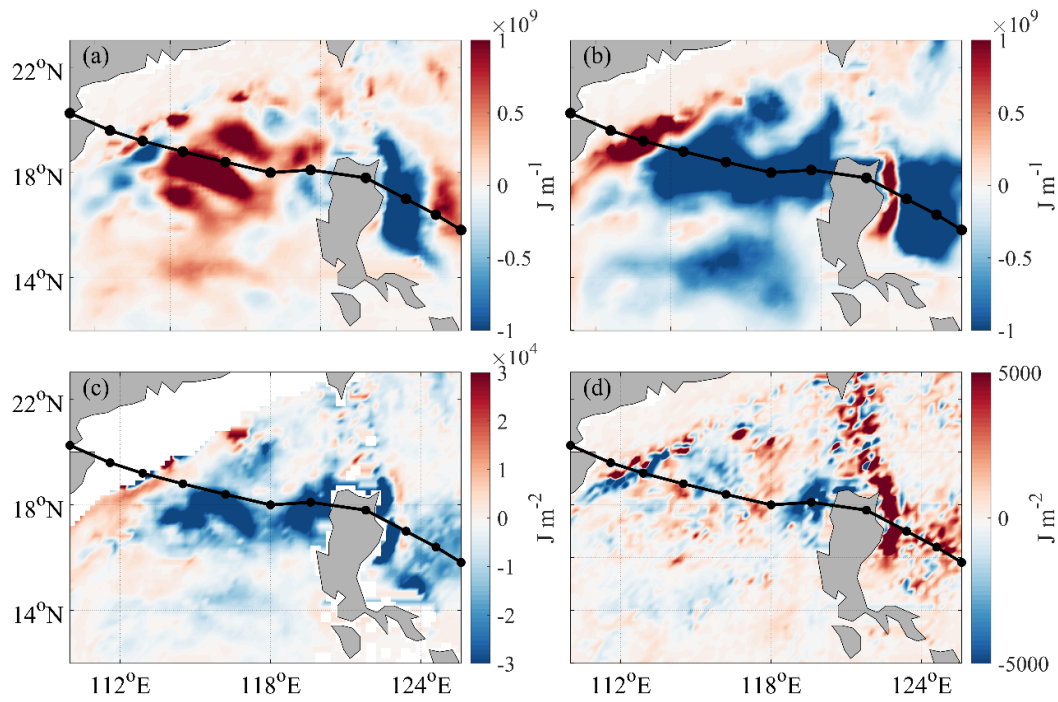
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Figure 11. The NIE input by wind at the sea surface (a), and the NIE dissipated in the top 300m (b) integrated for the period of 20-days. The black curve is the typhoon track.

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Figure 12. The zonal NIE flux (a), meridional NIE flux (b), Vertical NIE flux at the depth of 300m (c), and NIE that converts to inertial potential energy (d) integrated for the period of 20-days. The black curve is the typhoon track.