

1        **1.2-million-year band of Earth–Mars obliquity modulation on the**  
2        **evolution of cold late Miocene to warm early Pliocene climate**

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16 **Abstract**

17 The climatic transitions during the Miocene–Pliocene epochs had significant impacts on  
18 the worldwide biological diversity and were associated with large turnovers of  
19 continental vegetation and fauna. Previous studies have shown that late Miocene cooling  
20 and continental aridification which was initiated 7 Ma reversed to warm conditions  
21 across the Miocene–Pliocene Boundary ~ 5.3 Ma. Here we present detailed orbital  
22 pacing of Asian monsoon deposits to constrain further the global climate change during  
23 this period. We produce high-resolution magnetic susceptibility records which reveal  
24 that the 1.2 Myr obliquity modulation would have been the main driving factor of the  
25 cooling and warming that occurred ~ 7 Ma and 5.3 Ma, respectively. The Tibetan rise  
26 and closures of the Panama and Indonesian seaways enhanced the impact of the 405 kyr  
27 eccentricity cycles to an oscillatory climatic state while the Northern Hemisphere  
28 glaciations were increasing from 4 to 2.5 Ma.

29

30 astrochronology; Chinese Loess Plateau; grand obliquity modulation;  
31 magnetostratigraphy; Miocene-Pliocene; red clay

32

## 33 **1. Introduction**

34 In the late Miocene, terrestrial environments and ecosystems have undergone  
35 tremendous changes due to the presumed decline of atmospheric CO<sub>2</sub> between 8 and 6  
36 Ma (Beerling et al., 2011; Bolton and Stoll, 2013). This period has seen the replacement  
37 of large areas of tropical and subtropical forests by deserts (such as Sahara and  
38 Taklimakan Deserts) and the expansion of C4 grassland (Cerling et al., 1997; Schuster et  
39 al., 2006; Huang et al., 2007). The large restructuring of vegetation and landscape  
40 coincided with major turnovers in animal communities (Badgley et al., 2008). However,  
41 those continental environmental upheavals do not bring direct information on the  
42 temperature change during the Late Miocene (Herbert et al., 2016). The marine isotope  
43 record younger than the middle Miocene is characterized by periodic anomalies of the  
44 Antarctic ice volume that have been shown to be probably driven by obliquity in marine  
45 sequences from the peri-Antarctic margin (Naish et al., 2009). No clear trend suggests a  
46 long-term climatic change during the late Miocene (Zachos et al., 2001; Lewis et al.,  
47 2008; Westerhold et al., 2020). Recently, the integration of marine sea-surface  
48 temperature (SST) made it possible to estimate the evolution of global temperature  
49 during the Miocene (LaRiviere et al., 2012; Herbert et al., 2016). The late Miocene  
50 cooling did not lead monotonically to the ice age in the northern hemisphere that  
51 prevailed through most of the Pliocene (LaRiviere et al., 2012). Furthermore,  
52 temperature proxies indicate that cooling and aridification ceased during the Pliocene  
53 and that warmer conditions occurred after 5.3 Ma (Ravelo et al., 2004; Dowsett et al.,  
54 2005; Fedorov et al., 2006; Lawrence et al., 2006). Because the present-day global  
55 warming may induce Pliocene-like temperatures during the next decades, a good

56 knowledge of the transition from a cold late-Miocene and warm early-middle Pliocene  
57 climate may provide a valuable analog for climatic projections (Burke et al., 2018).

58 It remains uncertain whether there is a link between contemporaneous atmospheric  
59 circulation, ecosystem changes in continental environments and the orbital variation  
60 effects recorded by climate proxies from the ocean realm. The hundreds of thousand-  
61 years' time scale low-latitude processes such as monsoon forcing on the upper-ocean  
62 circulation and its productivity strongly influences climate dynamics and constrains the  
63 reconstruction of ice volume and atmospheric greenhouse gas concentrations (Holbourn  
64 et al., 2018). The high topography of the Tibetan-Pamir Plateau contributes to amplify  
65 the Asian monsoon system that controls precipitation as well as the level of convection  
66 (An et al., 2001; Boos and Kuang, 2010). During the Quaternary, the climate was mostly  
67 affected by low-amplitude variability of precessional insolation modulated by the 405  
68 and 100 kyr eccentricity cycles and the 41 kyr obliquity band (Nie et al., 2008; Hao et al.,  
69 2012; Nie, 2018; Sun et al., 2019). In earlier records from late Miocene to Pliocene,  
70 some may show unconventional cycles related to the orbital inclination rates of Earth  
71 and Saturn, called 173 kyr metronome for Asian monsoon, arouses our interest (Zhang et  
72 al., 2022). From analysis of the obliquity solution, both the 173 kyr and 1.2 Myr  
73 obliquity bands are of particular importance, the signal from the second is even much  
74 stronger than that of the first one (Laskar, 2020). In order to detect the longer orbitally  
75 forced cycle that has not been studied in the monsoon region, and to estimate whether it  
76 is associated with critical late Miocene-Pliocene climate transitions, we choose the eolian  
77 red clay deposits as the research subject.

78

## 79 **2. Material and methods**

### 80 **2.1 Material**

81 The monsoonal system is primarily characterized by intense summer rainfall over a wide  
82 area which lies along the continental-ocean pressure gradient and brings rainfall onto the  
83 continent (An et al., 2001; Sun et al., 2019). The East Asian monsoon (EAM) controls  
84 the amounts of precipitation and dust brought from the Indian to the Pacific Ocean by  
85 seasonal changes of warm moist air. Dry winds from the Asian high latitudes at high  
86 elevations transported dust that yielded the formation of the Chinese Loess Plateau (CLP)  
87 (Hao et al., 2012) (Figure 1a). The Liulin (LL) eolian red clay section (N37°21',  
88 E110°45') is flanked to the east by the Luliang Mountains and to the west by the Yellow  
89 River, dozens of kilometers away from the large mountain ridges (Figure 1b). The 68-  
90 meter thick wind-blown deposits consist of brownish red clay with sporadic and smaller  
91 caliche nodules (<5 cm) and abundant Fe–Mn coatings at the top intercalated by  
92 carbonate horizons. The bottom of the wind-blown deposits in the LL section was dated  
93 late Miocene by comparing the *Hipparion* teeth discovered at 56.3 m in the LL section  
94 with the analogous fossil layers in the neighbouring Fugu and Baode sections (Xue et al.,  
95 1995; Zhang et al., 1995; Zhu et al., 2008; Xu et al., 2013). This constraint enabled us to  
96 establish a first chronology of the LL section after correlating the magnetostratigraphic  
97 data to the geomagnetic polarity timescale (GPTS) (Ogg, 2012).

98

## 99 2.2 Methods

### 100 2.2.1 Sampling and Laboratory Measurements

101

102 30 samples at 2 m stratigraphic spacing were selected for thermomagnetic analyses using  
103 a MFK2 Kappabridge with a CS-4 furnace under an argon atmosphere to prevent  
104 oxidation during heating. Oriented paleomagnetic samples ~ every 10 cm and cut into 2  
105 cm thick cubes for paleomagnetic measurements. A total of 618 samples were measured  
106 at 20 cm, increased to 10 cm in the parts where polarity reversals were more frequent.  
107 The samples were stepwise demagnetized every 50°C from room temperature up to  
108 600°C using an MMTD 80 thermal demagnetizer. The natural remanent magnetization  
109 was measured using either a spinner JR6-A magnetometer or a 2G-755 magnetometer  
110 located in a low magnetic field space (<100 nT). The directions of the characteristic  
111 remanent magnetization were estimated by principal component analysis (Krischvink,  
112 1980). Only determinations with maximum angular deviation (MAD) below 10° were  
113 accepted.

114 The magnetic susceptibility (MS) of powdered samples was measured using a Bartington  
115 MS-2 susceptibility meter. Grain size (GS) analysis was performed with a Mastersizer  
116 2000 laser particle analyzer. 0.2 g powder samples were first treated with 10% H<sub>2</sub>O<sub>2</sub> for  
117 about 15 min to remove organic matter and to ensure that the excess peroxide was  
118 destroyed. Carbonate was removed using 10% boiling HCl solution of 10ml and the  
119 samples were dispersed for 15 min. with 10 ml 10% Na(PO<sub>3</sub>)<sub>6</sub> in an ultrasonic bath prior  
120 to the measurements. We performed a cyclostratigraphy analysis through spectral

121 analysis of the MS and GS stratigraphic trends. We repeated the procedure to generate  
122 several new correlations between the magnetic polarity zones and the GPTS till the  
123 orbital periods were resolved clearly in the MS and GS stratigraphic trends.

124

### 125 **2.2.2 Spectral Analysis**

126

127 Spectral analysis was applied to check the occurrence of Milankovitch periodicities in  
128 MS and GS trends by attempting several correlations between each magnetic polarity  
129 pattern and the GPTS (Anwar et al., 2015; Zhang et al., 2021). Wavelet analysis with 95%  
130 confidence level of background red noise was used to calculate the spectra of the MS and  
131 GS records (Torrence and Compo, 1998). Before spectral analysis, we removed the long-  
132 term trends by subtracting a fitted smooth line in order to minimize the effects of non-  
133 orbital periods. We established an initial magnetostratigraphy and then generated several  
134 correlation patterns between each magnetic polarity pattern and the GPTS until the best  
135 orbital bands were clearly observed. After confirming the magnetostratigraphy, both 405-  
136 kyr and 100-kyr cycles were extracted by filtering bands at the same time (with two  
137 bandwidths of 350–500 kyr and 80–125 kyr separately) in Matlab. Coherence between  
138 the band-pass filtered MS and eccentricity was scrutinized by calculating a correlation  
139 coefficient between the two-time series at zero phase using Matlab codes throughout the  
140 late Miocene – early and middle Pliocene. We shifted the MS curve towards younger or  
141 older ages) by ~ 30 to 200 kyr steps that were imposed by the coherency analysis in  
142 order to maximize the coherency between the two-time series with zero-time lag; then, a

143 new time series could be obtained from the tuning process. The process was repeated  
144 many times until each peak of the two curves matched well and the correlation  
145 coefficient at zero-time lag reached the maximum. Midway in the process, for a very  
146 small time lag between the two series, we stretched or squeezed the MS curve manually  
147 to make it match the eccentricity. Each tuned timescale was also applied to GS records  
148 at the same time. The spectral powers were produced to help determine our final age  
149 model.

150

### 151 **3. Results**

#### 152 **3.1 Rock magnetism and magnetostratigraphy**

153

154 The plots of MS ( $\chi$ ) versus temperature (T) show that the heating and cooling cycles are  
155 nearly reversible (Figure 2). The sharp drop of  $\chi$  between ~400–585 °C, indicates the  
156 presence of magnetite. Further decrease of  $\chi$  to 700 °C reveals that hematite is also  
157 present. Representative demagnetization results for different depths are shown in Figure  
158 3 with orthogonal vector diagrams. Our demagnetization results demonstrated that the  
159 low-temperature overprints generally ranged from the room temperature to 200 °C. After  
160 the elimination of the low-temperature component, the samples yielded a stable  
161 characteristic remanent magnetization (ChRM) tending to the origin.

162 Paleomagnetic analysis reveals five normal (N1 – N5) and five reversed (R1 – R5)  
163 polarity intervals from the reliable ChRM directions (Figure 4). All magnetostratigraphic

164 intervals are established based on more than 4 coinciding samples (and over at least 0.8  
165 meters in the depth) to excluded the effects from small amplitude and short period  
166 anomalies (Zhang et al., 2018; Zhang, Kravchinsky, et al., 2021, Zhang, Wei, et al.,  
167 2021 Zhang et al., 2022). Three brief normal polarity events (less than or equal to 4  
168 coinciding samples and less than 0.8 m in thickness) were also verified from the ChRM  
169 recording (red horizons in [Figure 3](#)). Sand, gravel and mammalian fossils found in the  
170 lower part of the section show negligible significant influence from alluvial processes  
171 ([Figure 4a](#)). The dense carbonate layers and mud-stone suggest that during the ongoing  
172 uplift of the Lvliang Mountains, groundwater was of interest from time to time because it  
173 could re-magnetize large amounts of wind-blown sediments. We marked five such  
174 prominent layers with light green shading in [Figure 4](#).

175 The fossils found from sandy layers at 56.3 m in depth of the section containing the  
176 *Hipparion* fauna were dated between 7.2 and 6.8 Ma at adjacent Fuxing section, 7.0–6.7  
177 Ma at the Wujiamao and Baode sections (Zhu et al., 2008; Xu et al., 2013; Zhang et al.,  
178 2022). Here, *Hipparion* teeth are thought to be ~ 6.8 Ma in the magnetostratigraphy  
179 when N5 and R5 are correlated to C3An and C3Br. This constraint enabled us to  
180 establish a first chronology after correlating the magnetostratigraphic data to the  
181 geomagnetic polarity timescale (GPTS) (Ogg, 2012). Following the visual correlation,  
182 N1 – N3 are associated with C3n.1n – C3n.3n while a brief normal event remains a  
183 question mark with respect to C3n.4n. In the field observation, dense calcareous nodules,  
184 mudstone and carbonate layers developed from 18 – 27 m, which means underneath the  
185 short polarity record at ~18 m, records of rising groundwater flows had been  
186 continuously superimposed in the stratum from 27 m and above. Such rework could have

187 disrupted the original paleomagnetism, causing the remagnetization to obscure the  
188 previous record. The lower two events at ~ 60 m from the section are only recorded in  
189 the sandy layer. As paleomagnetic samples in sand are likely acquired viscous magnetic  
190 fields through remagnetization, further verification of the authenticity is required for  
191 these question marked red horizons (Zhang et al., 2018; Zhang, Kravchinsky et al., 2021;  
192 Zhang, Wei, et al., 2021; Zhang et al., 2022). Considering that there are dense carbonate  
193 and sandy layers at the depth of 41-46 m, it indicates that groundwater might also affect  
194 the remnant magnetization of the N4 polarity zone. In this case, only N1, N2, N3 and N5  
195 can be used for the initial targeting age prior to tuning to the orbital parameters. Then,  
196 we performed a cyclostratigraphy analysis through spectral analysis of the MS and GS  
197 records. To verify the correctness of our magnetostratigraphic correlation we generated  
198 several new correlations between the magnetic polarity zones and the GPTS and  
199 performed spectral analysis until the orbital periods were clearly resolved in the MS and  
200 GS records. Clear peaks of the 405 kyr eccentricity band can be observed between 7 and  
201 5.4 Ma (Figure. 5A and 5C). The 100 kyr cycles can also be identified at around 6.2–6  
202 Ma in the MS spectrum even though their power amplitudes were much weaker than the  
203 405 kyr power (Figure 5A). Analogously, a relatively low-amplitude 100 kyr cycles  
204 revealed between 5.9 and 5.7 Ma in the GS spectrum (Figure 5C). The final  
205 magnetostratigraphic correlation that incorporated the cyclostratigraphic procedure  
206 described in Methods is shown in Figure 4.

207

### 208 **3.2 Orbital tuning and astronomical calibration**

209

210 Once the magnetostratigraphic age of the LL section has been compatible with the  
211 cyclostratigraphy, we conducted two-channel-band filtering (405 kyr and 100 kyr) for  
212 both MS and GS data to highlight the visibility of the eccentricity band and tunes the  
213 filtered record cycle-by-cycle to the long eccentricity maxima (405 kyr) and short  
214 eccentricity maxima (100 kyr) at the same time (Figure 5). To examine the coupling  
215 between our records and eccentricity cycles, we calculated the correlation coefficient  
216 between filtered MS and eccentricity at zero phase. Then we shifted the filtered MS  
217 curve to the left or right at a short time span implied by the coherency analysis in order  
218 to fit it with the filtered eccentricity 405 kyr until the correlation coefficient was  
219 maximized. After that we carried out fine adjustments to the stronger 100 kyr cycle  
220 improving further the correlation coefficient. We repeated this procedure until the curve  
221 matching and correlation coefficients were maximized. During the tuning processes, we  
222 also adjusted some small time lags between the two series, by stretching or squeezing the  
223 MS peaks to the eccentricity peaks (Figure 5B). The final astronomical calibration based  
224 on the MS turning was applied to the GS record (Figure 5D).

225 The calculated sedimentation rate (Figure 6) varied from 1.6 to 3.6 cm/kyr with an  
226 average of 2.2 cm/kyr. These values are typical of the eolian red clay dust in the CLP  
227 (e.g. Nie et al., 2008; Anwar et al., 2015; Zhang et al., 2018).

228

### 229 3.3 Stratigraphic correlations

230

231 To investigate large-scale climate variations we first compare the LL section to the  
232 classical Jingchuan section (JC) which is located in the middle of CLP (Ding et al.,  
233 2001), and the adjacent Shilou (SL) section which is situated close to LL and  
234 stratigraphically continues LL to the younger age until 2.6 Ma (Ding et al., 2001; Anwar  
235 et al., 2015) ([Figure 7](#)). Further Comparisons to the eastern and western edges of CLP  
236 can be found in [Supplementary Fig. 1](#).

237 The bottom age of the SL section was extensively debated and assigned from the late  
238 Miocene at 11 Ma (Xu et al., 2009, 2012), 8 Ma (Ao et al., 2016; 2018), to the early  
239 Pliocene at 5.2 Ma (Anwar et al., 2015; Zhang, et al., 2018, 2022). Both Xu et al. (2012)  
240 and Ao et al. (2016, 2018) mistakenly assigned the finding of micromammal *Meriones*  
241 sp. at a depth of 46.6 m in the SL section to correspond to the Miocene age. However,  
242 the original studies of Zheng et al. (2000, 2001) cited by Ao et al. (2016, 2018) did not  
243 confirm that the *Meriones* sp. belonged to the Miocene. Zheng et al., (2000, 2001)  
244 established that another micromammal *Pseudomeriones* sp. existed in the Miocene,  
245 whereas *Meriones* sp. lived during the Pliocene and Pleistocene (Dianat et al., 2017).  
246 Therefore the chronology presented in Anwar et al. (2015) and Zhang et al. (2018, 2022)  
247 is consistent with the Pliocene-Pleistocene age for the SL section. We note that the  
248 bottom of the SL red clay is not exposed in the outcrop and in the future it is possible to  
249 reach the late Miocene red clay layers using drilling. The LL section is older than the SL  
250 section considering the fossil evidence from both SL and LL that is supported by the  
251 magnetostratigraphy.

252 The LL section is located in a valley with a lower elevation compared to the SL section  
253 and has ~ 400 m height difference with 40 km horizontal separation of the sections

254 (Figure 1b). Taking it into account we combined both records that have overlap between  
255 each other into a long magnetic susceptibility (LMS) record spanning from the Gauss  
256 chron to C3A chron (Figure 7). Both MS records were stacked together by averaging the  
257 values between two parts in the overlapping interval of 5.2 – 4 Ma. Figure 7  
258 demonstrates similarities of the general long-term trends between LMS and the JC  
259 section MS record (Ding et al., 2001), while smaller scale features differ in the terms of  
260 amplitudes.

261

## 262 **4. Discussion**

### 263 **4.1 Discovery of the 1.2 Myr cycle in the Asian monsoon record**

264

265 The typical changes of MS records in the eolian sediments of CLP are well known for  
266 their close match with the global ice-interglacial cycles depicted by the  $\delta^{18}\text{O}$  records in  
267 marine sediments and by the time-series of summer insolation at  $65^\circ\text{N}$  derived from  
268 orbital solutions (Laskar et al., 2004). We obtained independent climate records from  
269 terrestrial archives of CLP in order to reconstruct the atmospheric circulation in eastern  
270 Asia since the late Miocene. We compared our stacked LMS record from the eastern part  
271 of CLP with the inland JC red clay section (Figure 7a-d) (Ding et al., 2001).

272 The results of the wavelet analysis of the LMS record show a clear 405 kyr eccentricity  
273 cycle between 7 and 2.5 Ma (Figure 7e) which is linked to the gravitational interaction of  
274 Jupiter and Venus (g2–g5), while the MS in the central CLP indicates an accentuation of

275 the 405 kyr band between 4 and 2.5 Ma (Figure 7f). Interestingly, a ~1.2 Myr grand  
276 cycle of  $s_4 - s_3$  obliquity modulation, linked to the orbital inclination rates of Mars and  
277 Earth, is superimposed with the 405 and 100 kyr bands (Figure 7e & 7f) similarly to  
278 previous climatic records (van Dam et al., 2006) and is interpreted as beats between  
279 secular frequencies  $p+s_4$  and  $p+s_3$  (Laskar et al., 2004). The chaotic solar system has  
280 two major secular resonances. The first argument,  $\theta = (s_4 - s_3) - 2(g_4 - g_3)$  draws  
281 particular attention because the two longest orbital secular frequencies, obliquity and  
282 precession modulations, from  $s_4 - s_3$  and  $g_4 - g_3$  (~2.4 Myr) experienced intermittent  
283 chaotic transitions at ~ 2:1 resonance states, when ~1.2 Myr cycle dominates since 50  
284 Ma (Hinnov, 2000; Laskar et al., 2004; Palike et al., 2004; Crampton et al., 2018).

285 To further highlight the expression of the 405 and 100 kyr eccentricity bands within the  
286 LMS and JS records, we applied a two-channel band-pass filter with 350–500 kyr and  
287 80–125 kyr bandwidths, respectively (red curves in Figure 8) after removing the long-  
288 term trend that could be related to tectonic processes in the region (Anwar et al., 2015; R.  
289 Zhang, Kravchinsky, et al., 2021; Zhang et al., 2022). The minima of each 405 kyr cycle  
290 after the filter application between ~5.3 Ma and 2.5 Ma for both MS curves (Figure 8d &  
291 8e) correlate with the eccentricity maxima (Figure 8c). However, prior to this period the  
292 curves are out of phase suggesting that some other signal should have affected the  
293 climate variations during the late Miocene. In contrast to the filtered signals and  
294 astronomical cycles (red solid and green dashed lines), the unfiltered MS (Fig. 8d, f)  
295 curves show less variability but the conspicuous grand cycle related to the 1.2 Myr  
296 obliquity modulation is evident between 7.1 and 4 Ma.

297

298 **4.2 Global documentation of the 1.2 Myr cycle that drives the Miocene-Pliocene**  
299 **climate variations**

300

301 Obliquity, precession and their modulations have been shown to be important driving  
302 forces of the global monsoon system which is sensitive to change in insolation, waxing  
303 and waning of ice sheets and CO<sub>2</sub> concentration (Prell and Kutzbach, 1992; Nie et al.,  
304 2008; Anwar et al., 2015; Nie, 2018; Zhang et al., 2022). Various time series, such as  
305 MS,  $\delta^{18}\text{O}$ , SST and atmospheric CO<sub>2</sub> levels, display a significant climatic transition at  
306 ~5.3 Ma (Beerling et al., 2011; Herbert et al., 2016; Holbourn et al., 2018; Tian et al.,  
307 2008; Liu et al., 2019) (Fig. 9). The MS records show that the intensification of the  
308 Tibetan Plateau rise enhanced the 405 kyr band by a strengthened summer monsoon  
309 since ~ 3.6 – 4.2 Ma (Fig. 9b, c) (Nie et al., 2008). Therefore, we suggest that tectonic  
310 processes that impacted regional land-sea heat exchanges influenced strongly the orbital-  
311 sensitive climate fluctuations, which, in turn, induced significant changes in the  
312 insolation-forced summer monsoon and led to introducing the tectonic related long-term  
313 trend towards two-three times higher values of MS in the interval between ~ 4.2 and 3.6  
314 Ma (Fig. 9b, c). In the ocean, the negative shifts of benthic  $\delta^{18}\text{O}$  records (Fig.9d)  
315 correspond to the increase of MS (Fig.9b, c) that is consistent with a dominant summer  
316 monsoon regime linked to a global warming at 5.3 Ma (Holbourn et al., 2018). In  
317 contrast, the positive shifts of  $\delta^{18}\text{O}$  (Fig. 9d) and the decrease of MS (Fig. 9b, c) and SST  
318 (Fig. 9h) correspond to a global cooling and inland aridification that led to the birth of  
319 the Sahara and Taklimakan deserts ~ 7 Ma (Schuster et al., 2006; Sun et al., 2009).

320 Previous studies have pointed out that a strengthened winter monsoon during the 7.1–5.5  
321 Ma time interval was associated with an expansion of ice sheets in the Northern  
322 Hemisphere (Wolf-Welling et al., 1996; Thiede et al., 1998; Holbourn et al; 2018) and  
323 indicated a global cooling during the late Miocene (Zachos et al., 2001). The  $\delta^{18}\text{O}$  record  
324 of benthic foraminifera showed a clear decrease indicating a warming transition ~5.5 –  
325 5.3 Ma. (Holbourn et al; 2018; Westerhold et al., 2020). A stronger deep-sea ventilation  
326 could have constrained warmer and saline surface water to flow up to the high-latitude  
327 North Pacific and Atlantic subtropical gyres and thus deliver additional heat and  
328 moisture to the Northern Hemisphere that contributed to a global warming 5.3 Ma. Such  
329 interpretation of both climatic variations at ~7 and 5.3 Ma is supported by the variability  
330 of the 1.2 Ma obliquity modulation (Fig. 9a & Fig. 10) during the 7.6 – 3.6 Ma intervals.  
331 The grand obliquity curve is on the descent at 7 Ma and on the rise at 5.3 Ma.

332 Several lines of evidence indicate that the closure of the Panama and Indonesia seaways  
333 may have also caused a significant reorganization of ocean circulation and increased the  
334 Gulf Stream yielding substantial transfer of warm and saline water masses to high  
335 northern latitudes during the Miocene-Pliocene between 6 and 2.7 Ma (Cane et al., 2001;  
336 Haug et al., 2001; Molnar, 2008). The warm conditions at high latitudes (Fig. 9f) may  
337 result from the massive input of warmer water. The planktonic foraminifera isotopic  
338 records from the Caribbean Sea indicate that salinity of the Caribbean surface waters  
339 already started to increase at the beginning of Pliocene, suggesting a weakened surface  
340 water circulation between the tropical Atlantic and Pacific Oceans as a result of the  
341 growth of the Central American isthmus of Panama (Haug et al., 1998). It probably led  
342 to a climate pattern of a 405-kyr cycle in the western Hemisphere even earlier than the

343 Asian Monsoon region (Fig. 9g) (Nie, 2018). However, there is still controversy, to  
344 determine when the seaway closed, if not possible, until the “Great American Exchange”  
345 of Vertebrates between North and South America that occurred ~ 2.7 – 2.6 Ma (Molnar,  
346 2008). On the other hand, the thickening of the equatorial Western Pacific warm pool  
347 triggered by the closure of the Panama and Indonesian seaways may have expanded the  
348 exchanges of heat and moisture toward high latitudes. This process contributed to  
349 warming up of the South China Sea water and to increasing the precipitation on the  
350 Asian continent (Yan et al., 1992; Li et al., 2008). The gradual growth of the Tibetan  
351 Plateau ~ 4.2 Ma may have also increased the air pressure gradient between land and sea,  
352 resulting in greater seasonal precipitation within the monsoon influence region. The 1.2  
353 and 0.405 Myr long amplitude modulations of the obliquity and precession cycles are  
354 prominent features of the climate pattern between the late Miocene and Pliocene,  
355 especially for the Asian monsoon.

356

## 357 **5. Conclusions**

358 Our interpretation of the LMS record shows that the Asian summer monsoon appears to  
359 be orbitally controlled by the 1.2 Myr grand obliquity cycle band between 7.7 and 4 Ma  
360 and by the 0.405 Myr long eccentricity band between 4 and 2.5 Ma. We conclude that  
361 global cooling and warming that occurred 7 and 5.3 Ma respectively, as well as the  
362 Antarctic ice volume, carbon cycle dynamics and the monsoon forcing of the upper-  
363 ocean circulation were all triggered by the grand obliquity variations before the middle  
364 Pliocene. Since then, a series of major tectonic events such as the closure of the Panama

365 and Indonesian seaways and the uplift of the Tibetan Plateau, accelerated the transition  
366 from a 1.2 Myr obliquity-dominated to a 0.405 Myr eccentricity-dominated climate  
367 variability for the Asian monsoon.

368

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375 temporarily upload the data to the supplementary file for review.

376

### 377 **Competing Interests**

378 The authors declare no competing interests.

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536

537 **Figure Captions**

538 **Figure 1.** (a) Topographic map of the present-day Chinese Loess Plateau with studied  
539 locations (yellow star and yellow dots). Liulin (yellow star); SL- Shilou, JC- Jingchuan.  
540 (b) Map showing the location of LL (green triangle) and SL (red star) red clay sections  
541 and the surrounding main rivers. Red dashed lines represent the contours and the  
542 elevation is in meters.

543

544 **Figure 2.**  $\chi$ -T curves for selected samples from the Liulin red clay sequence. The red and  
545 blue lines represent heating and cooling curves, respectively.

546

547 **Figure 3.** Representative thermal demagnetization curves for different depths.

548

549 **Figure 4.** Lithostratigraphy, inclination, declination and VGP as a function of depth, and  
550 the magnetic polarity interpretation of the Liulin red clay section, together with a  
551 correlation to the geomagnetic timescale (Ogg, 2012). Red dots show the measuring  
552 samples. Legend: 1—red clay with strong pedogenesis, 2—sandy red clay, 3—red clay  
553 with weak pedogenesis, 4—carbonate layer, 5—mudstone, 6—fossil, 7—sandstone, 8—  
554 gravel, 9—carbonate nodules.

555

556 **Figure 5.** Wavelet analysis of the magnetic susceptibility signal before (a) and after

557 tuning (b), the coarse fraction ( $>63\mu\text{m}$ ) content before (c) and after tuning (d). Magnetic  
558 susceptibility and Grain size was detrended with the Lowess smoothing method. The red  
559 line is the two-band-filter signal with bandwidths of 350–500 kyr and 80–125 kyr. The  
560 green solid line shows the long trend of MS (a,b)and GS (c,d) signals. The purple dashed  
561 line marks the orbital period. The thin black contour encloses regions of greater than 95%  
562 confidence for a red-noise process with a lag coefficient of 0.8. The thick black contour  
563 indicates the cone of influence. The global wavelet spectrum to the right illustrates the  
564 mean red noise spectrum, as indicated by the green dashed line. The color bars  
565 correspond to wavelet power.

566

567 **Figure 6.** Sedimentation rates are determined on the basis of the magnetostratigraphic  
568 correlations. Black dashed lines denote the typical sedimentation rate range for the red  
569 clay of the CLP (Zhang et al., 2018). The red dashed line represents the average  
570 sedimentation rate of the Liulin section determined by the magnetostratigraphy.

571

572 **Figure 7.** Comparison of magnetic susceptibility as a function of age from red clay  
573 sections in the Chinese Loess Plateau. Three stages of different climate conditions as  
574 shown by the MS. (a) MS of the LL red clay section. (b) MS of the SL red clay section  
575 (Anwar et al., 2015). (c) LMS of the combined LL and SL red clay sections. (d) MS of  
576 the JC red clay section (Ding et al., 2001). (e)Wavelet analysis of magnetic susceptibility  
577 records from the LMS. (f) Wavelet spectrum of magnetic susceptibility from the JC  
578 section.

579

580 **Figure 8.** Milankovitch cycles between 7.8 and 2.5 Ma derived from the astronomical  
581 solution (Laskar et al., 2004) and the Asian monsoon record. a. Amplitude modulation of  
582 the precession solution (blue line) with its envelope curve (black dashed line) with the  
583 ~100,000 and ~405,000 cycles. b. Amplitude modulation (green line) of the obliquity  
584 solution (Laskar et al., 2004) (blue line). c. Eccentricity solution. d. Long magnetic  
585 susceptibility (LMS) detrended by the Lowess smoothing method (blue). f. Magnetic  
586 susceptibility from JC section after detrending using the Lowess smoothing method (blue)  
587 (Ding et al., 2001). Red lines indicate the two-band filter with bandwidths of 350–500  
588 kyr and 80–125 kyr in d,e,f,g,h. Green dashed curves show the ~1.2 Myr obliquity  
589 modulations coupling with MS results (d,e).

590

591 **Fig. 9.** Compilation of Asian monsoon and global climatic proxies. a. Illustration of the  
592 eccentricity solution (Laskar et al., 2004) (blue solid and dashed lines) and the ~1.2 Myr  
593 grand cycles/obliquity modulations (green dashed line). b. Combined LMS record of the  
594 LL and SL sections. c. MS from JC section in the central CLP (Ding et al., 2001). d.  
595 Benthic  $\delta^{18}\text{O}$  global record (Westerhold et al., 2020) (blue) and benthic  $\delta^{18}\text{O}$  record  
596 from ODP Site 1148 (Tian et al., 2008) (orange). e. Stacked SST from mid-high (pink)  
597 and tropical (brown) latitudes. Pacific mid-high latitude records are integrated from  
598 DSDP Site 594, ODP Sites 883/884, 887, 1010, 1012,1021, 1125 and 1208; Pacific  
599 tropical records are integrated from the IODP Sites U1337, U1338, ODP Sites 846, 847,  
600 850 and 1241 (Liu et al., 2019). f. Atmospheric CO<sub>2</sub> history during the past 8 Myr from

601 different proxies (Beerling et al., 2011; Herbert et al., 2016). Horizontal red line  
602 indicates the Northern Hemisphere glaciation threshold (approx. 280 ppm). g.  $\delta^{13}\text{C}$   
603 record (yellow) and carbonate sand-fraction mass accumulation rates (purple) from ODP  
604 site 999 (Haug et al., 1998).

605

606 **Fig. 10.** The simplified climate mode for Asian monsoon from late Miocene to Pliocene.  
607 a. Eccentricity solution (Laskar et al., 2004) (blue solid and dashed lines), obliquity  
608 solution (Laskar et al., 2004) (red line), and the ~1.2 Myr grand cycles (green solid line  
609 from 8 to 4 Ma and green dashed line from 4 to 2.5 Ma). b. Mathematical model showing  
610 the 1.2 Myr grand cycles (red) during the 8 to 4 Ma ( $Y1 = \cos(2 \times \pi \times (1/1200) \times t)$ ); the  
611 400 eccentricity cycles (blue) ( $Y2 = \sin(2 \times \pi \times (1/400) \times t)$ ) and the stepped tectonics  
612 (green arrow) ( $Y3$ ) during the 4 to 2.5 Ma; compound of long eccentricity and stepped  
613 tectonics (yellow) ( $Y4 = Y2 \times Y3$ ).

Figure 1.

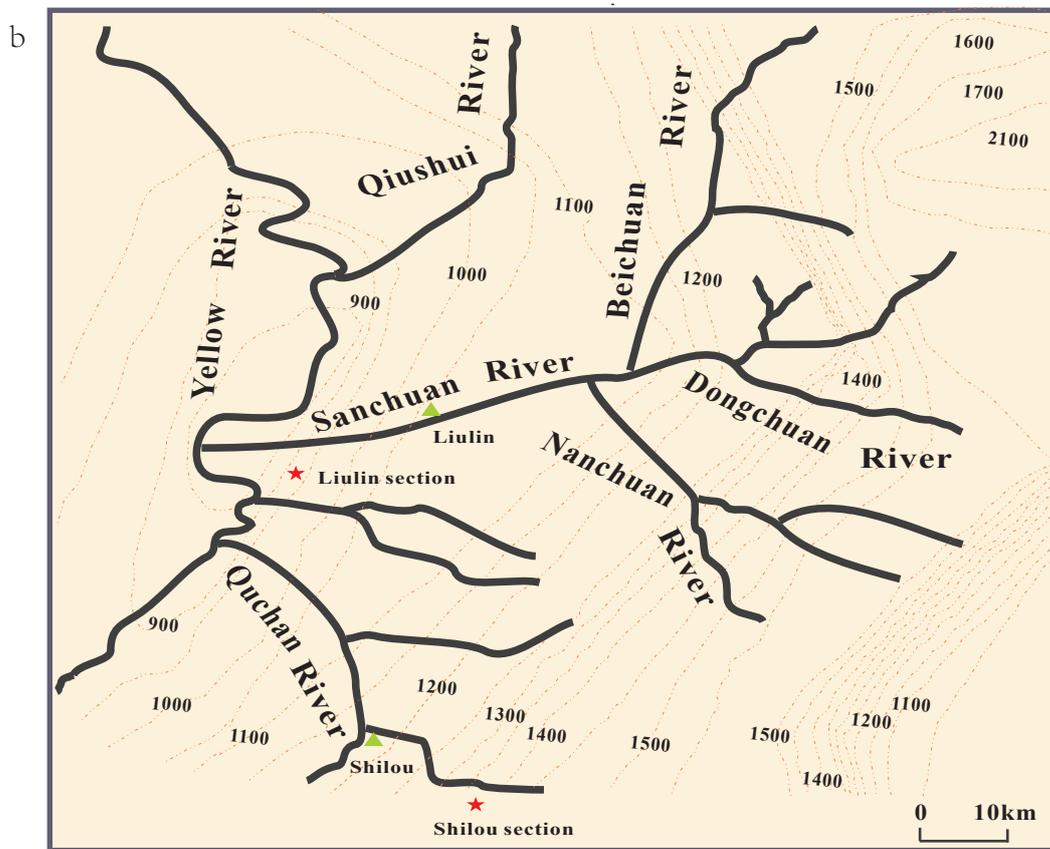
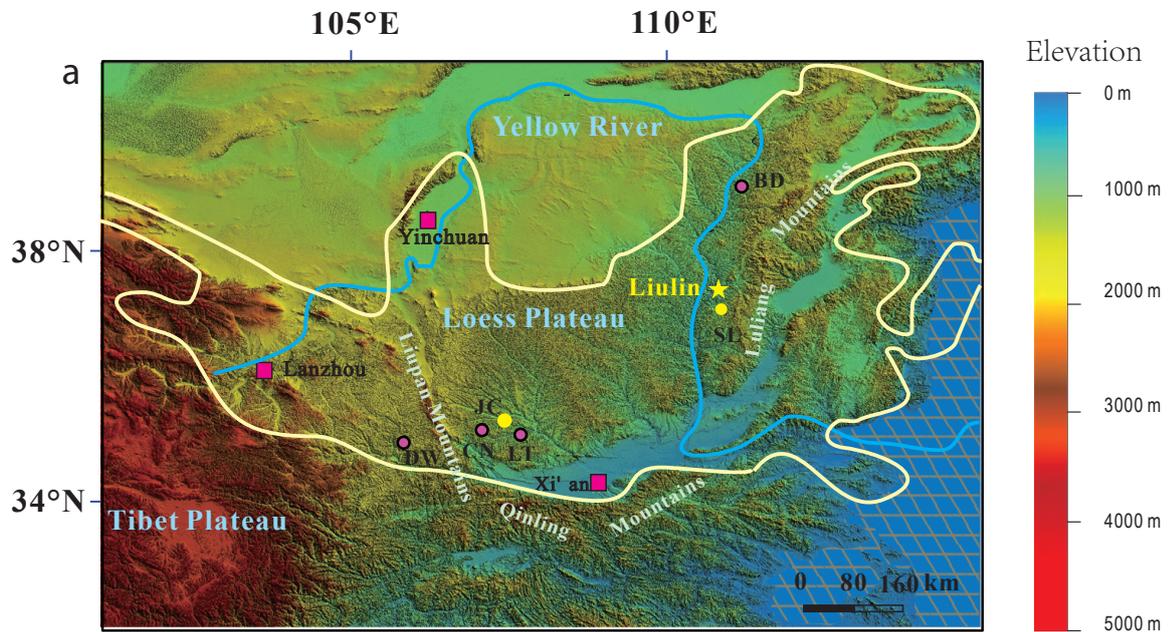


Figure 2.

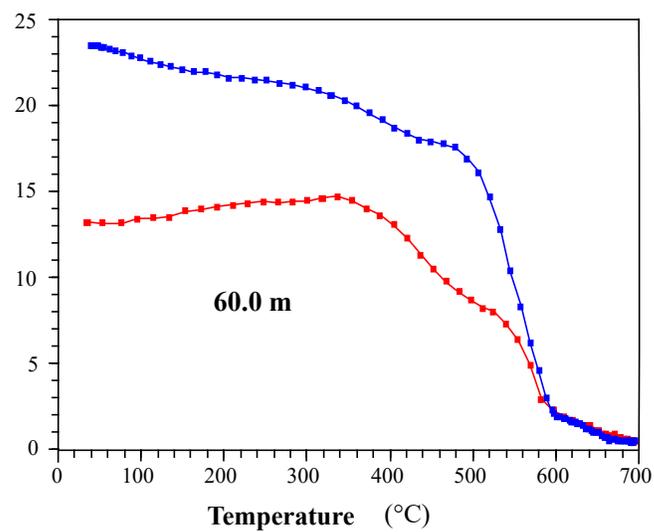
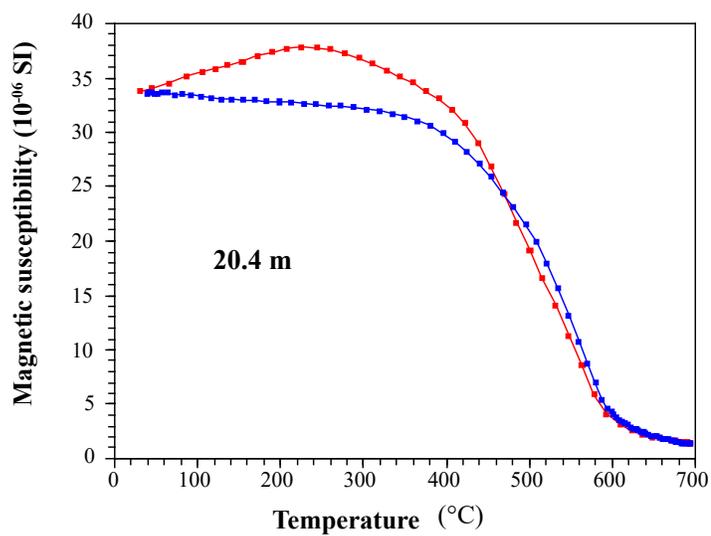
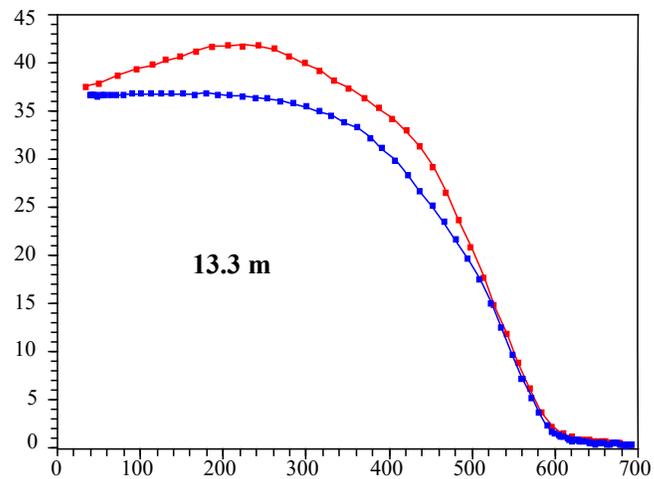
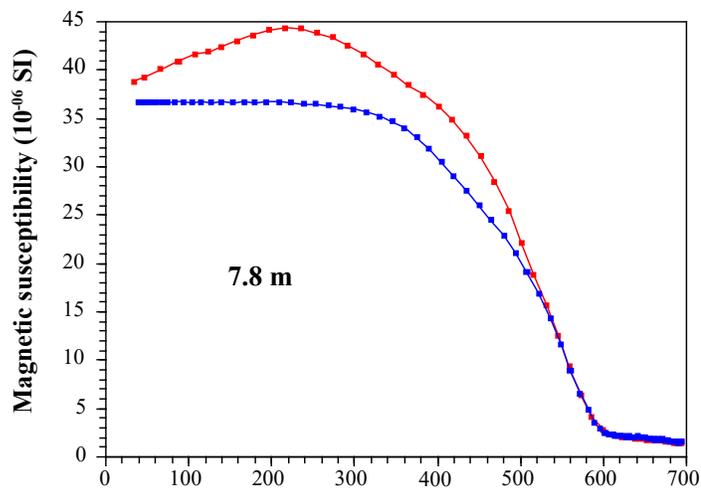


Figure 3.

—● horizontal component

—○ vertical component

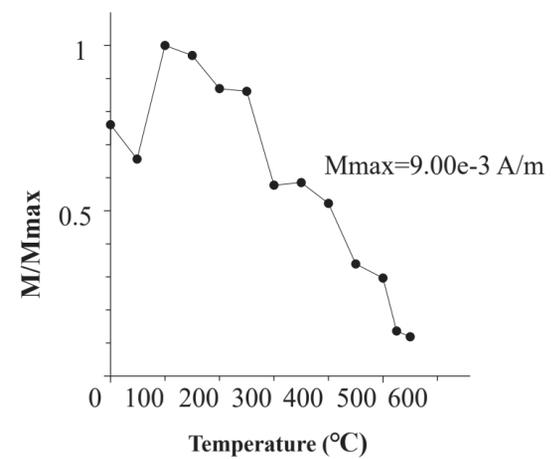
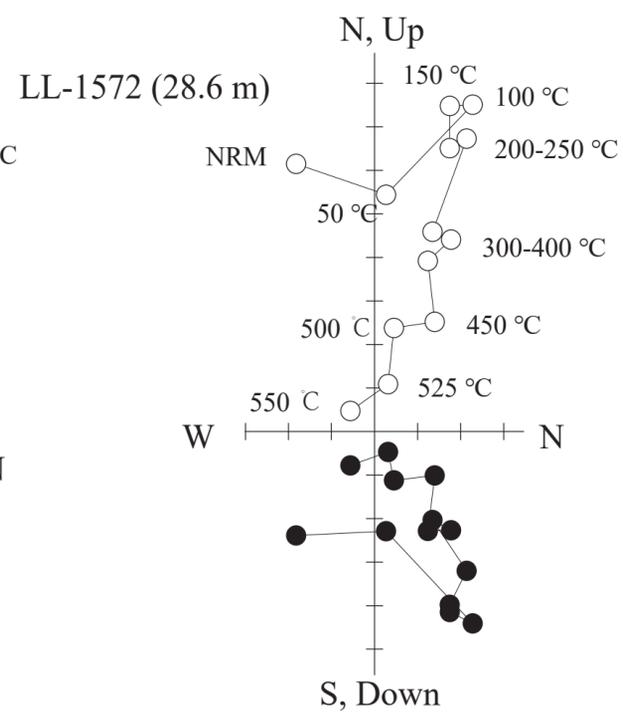
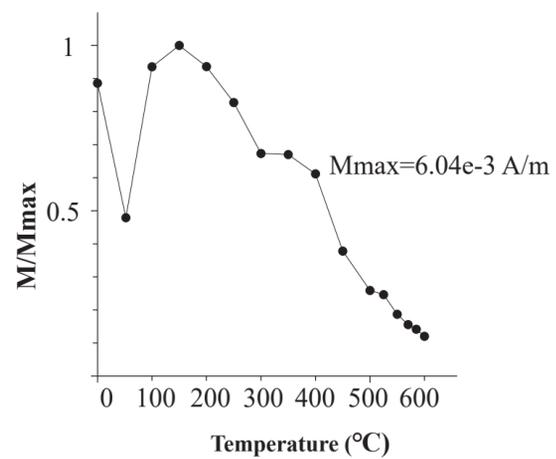
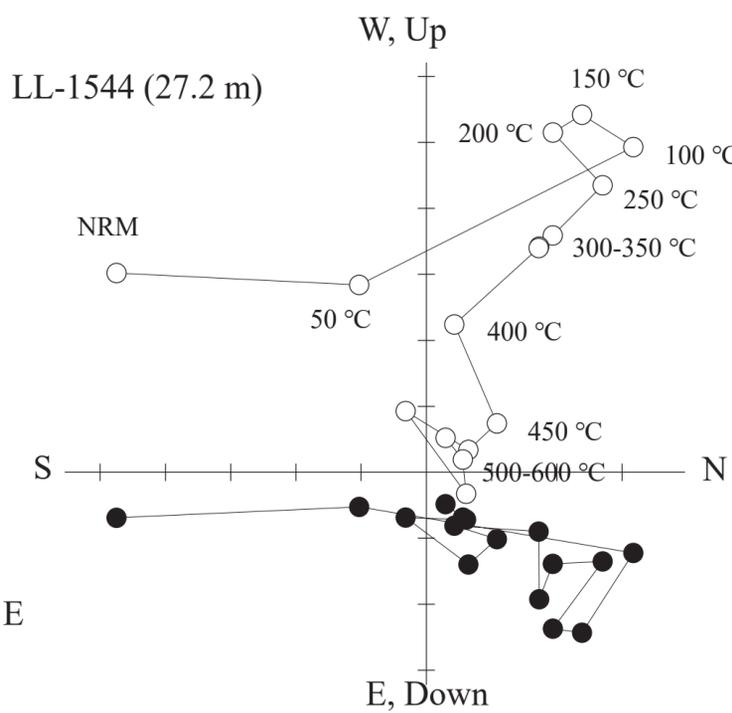
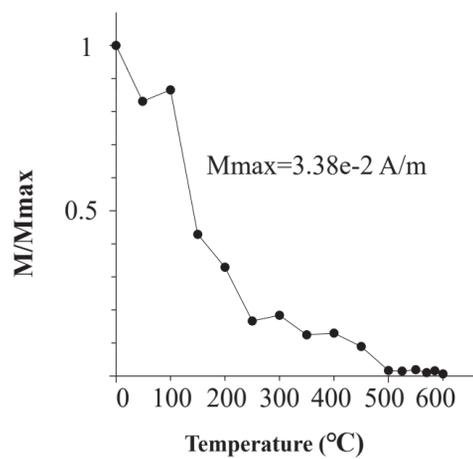
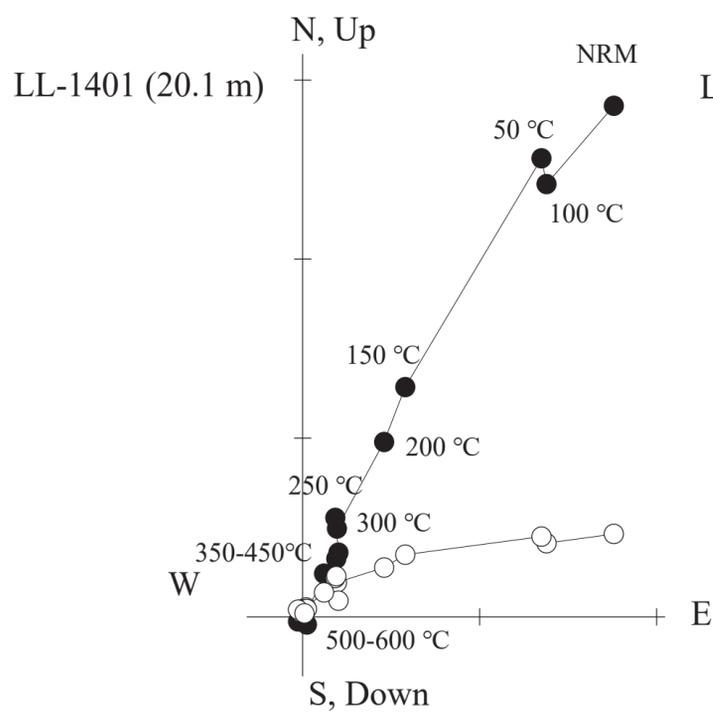
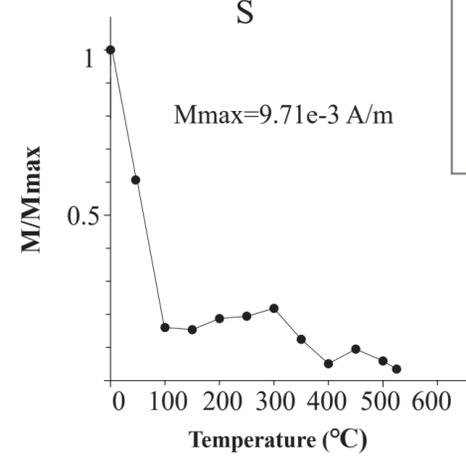
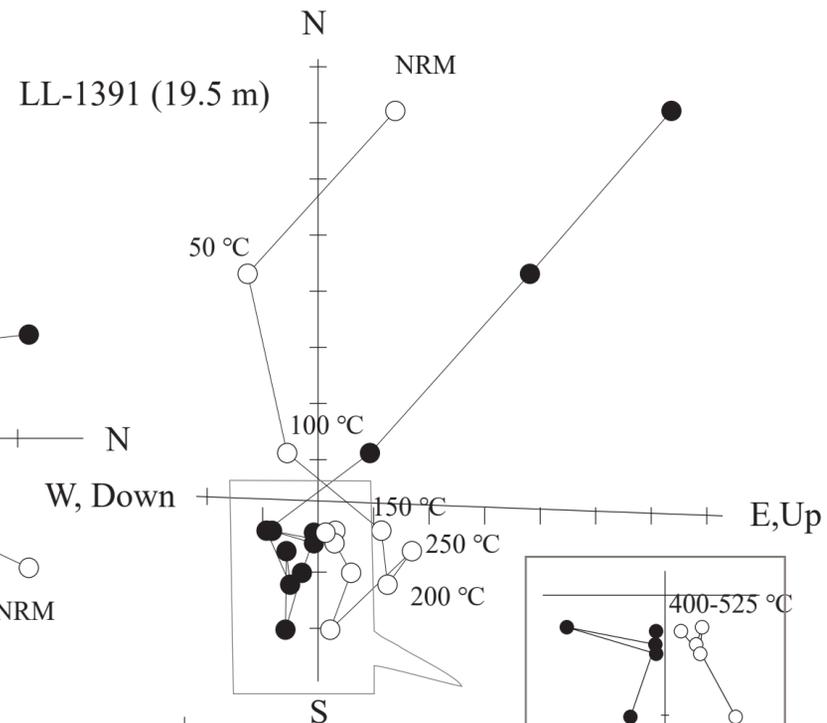
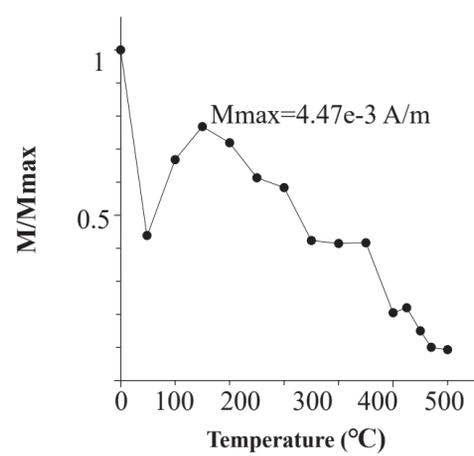
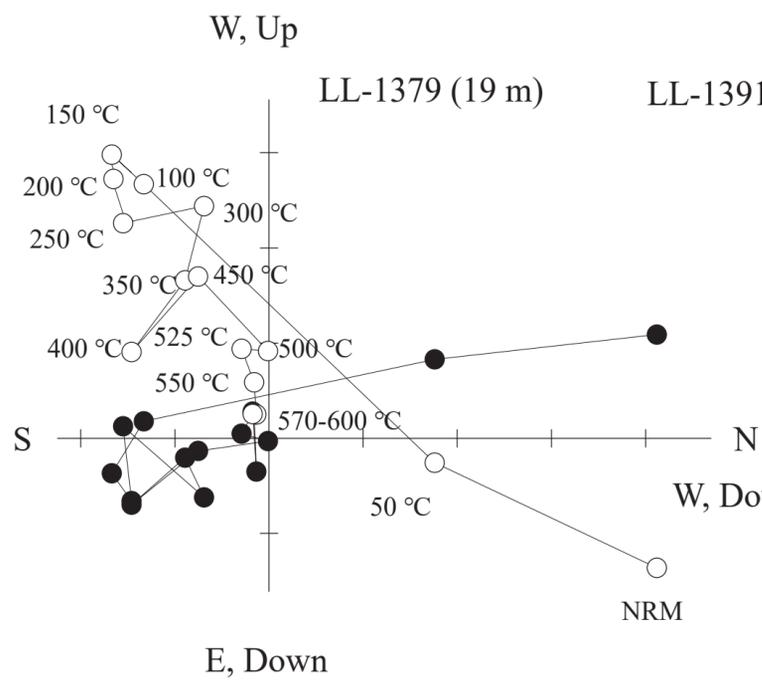
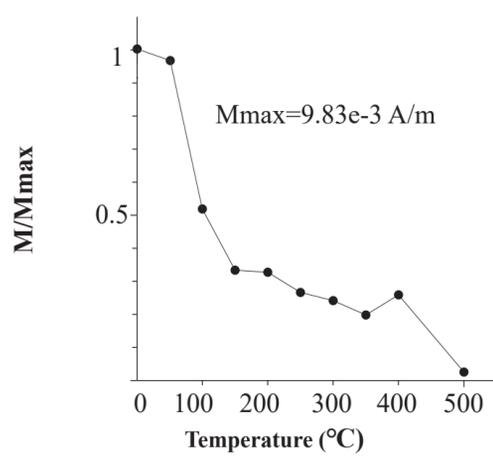
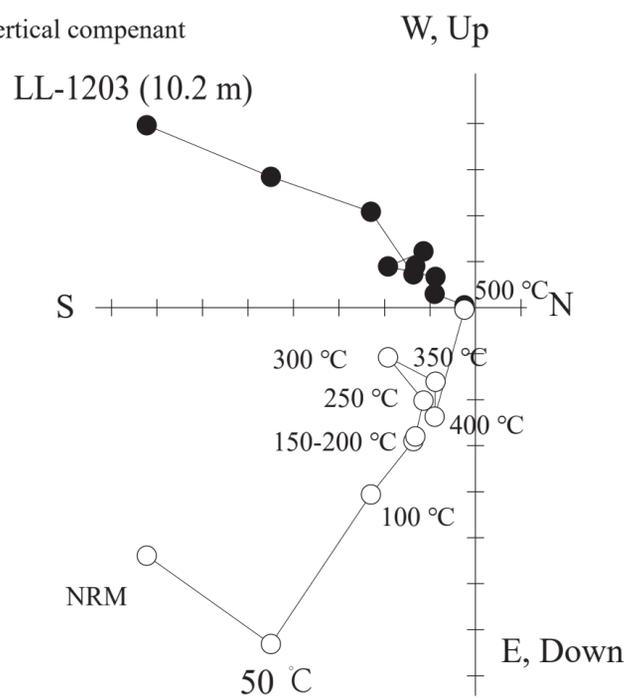


Figure 4.

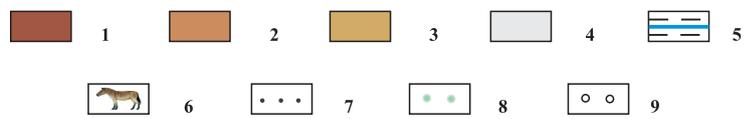
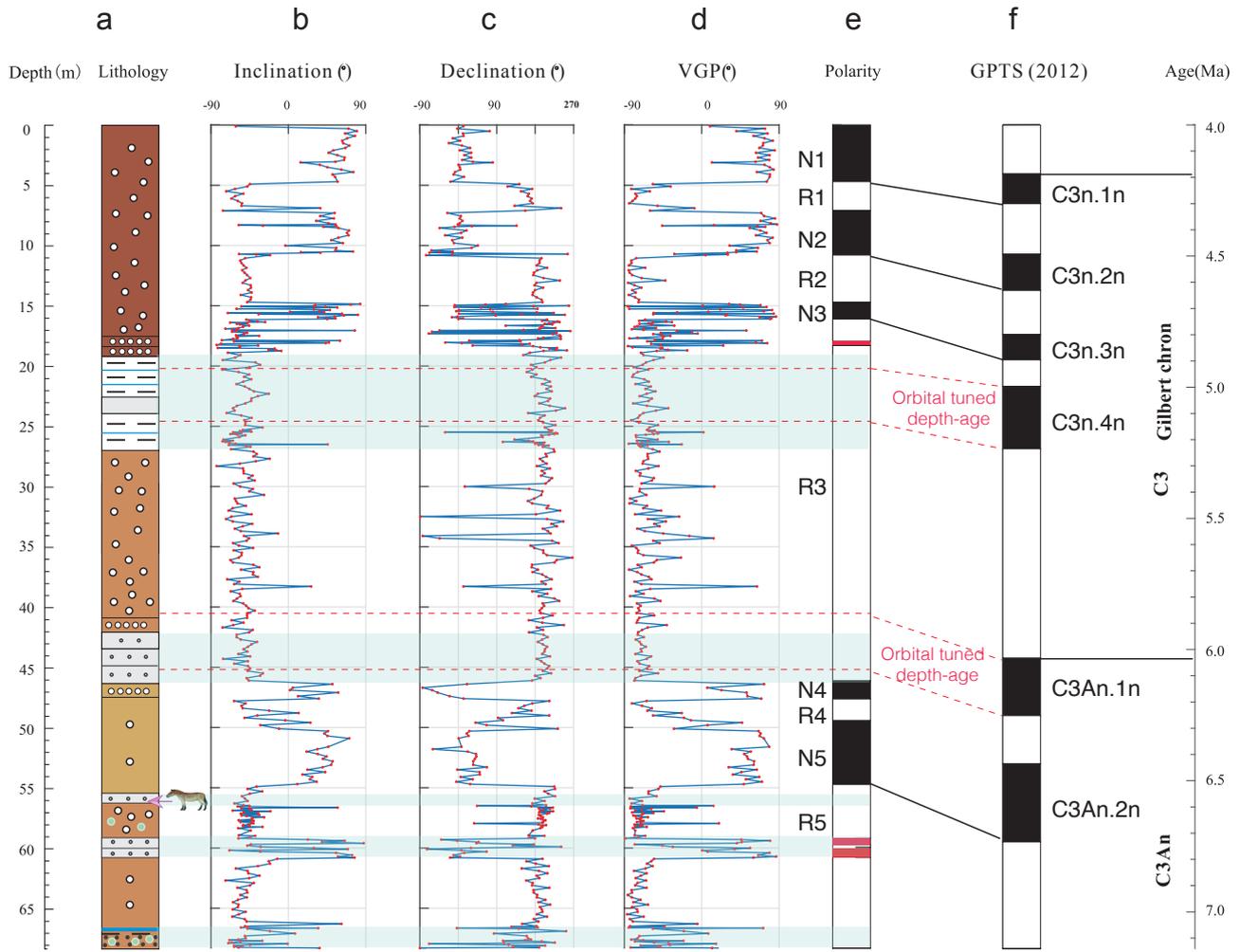
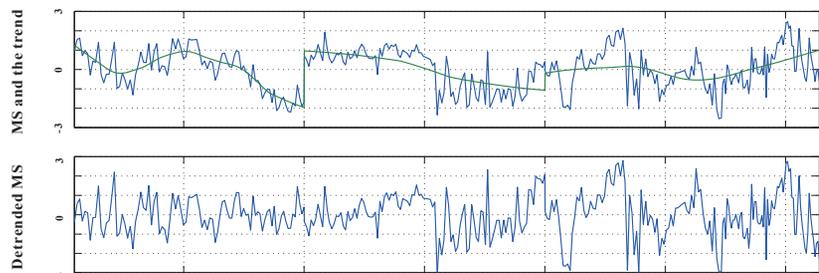
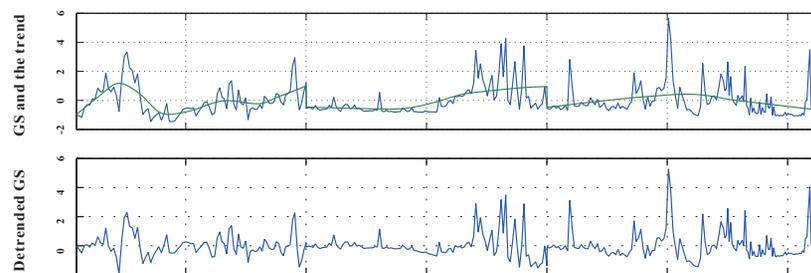
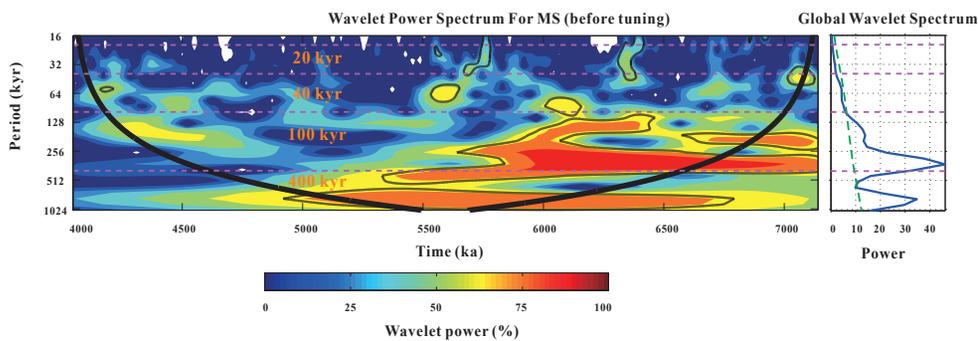


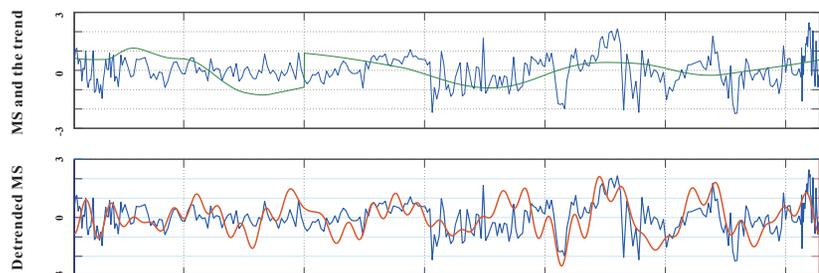
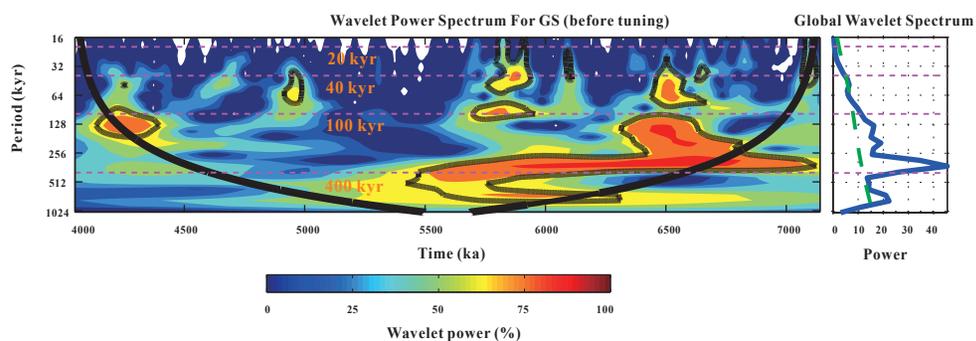
Figure 5.



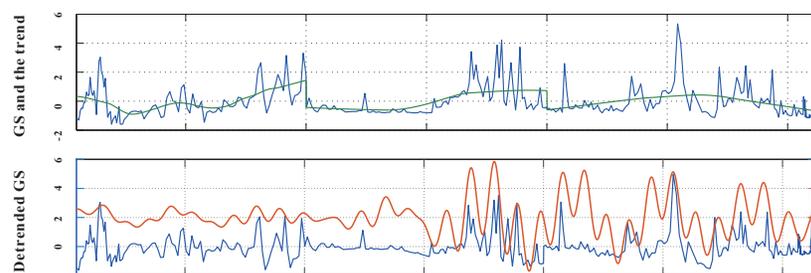
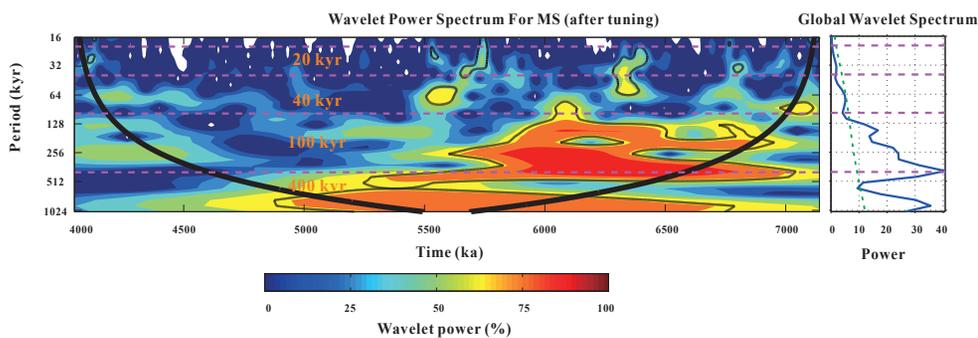
a



c



b



d

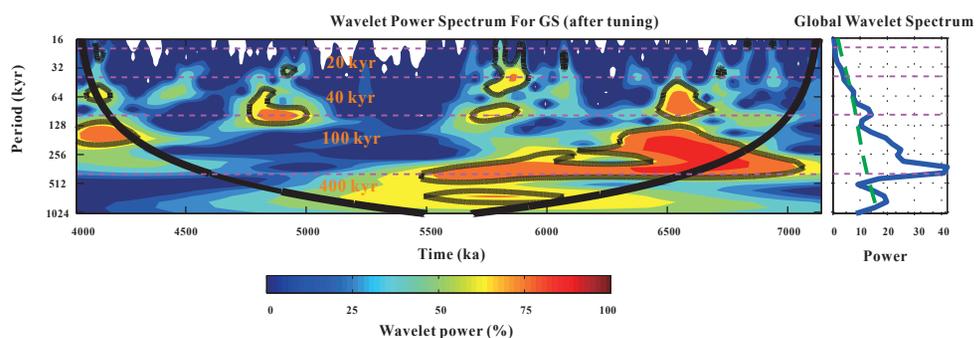
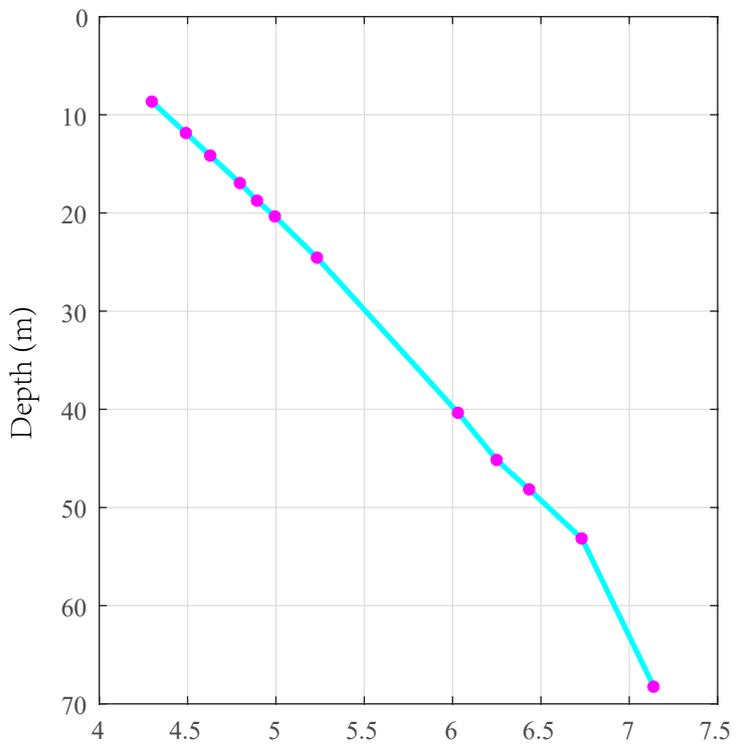


Figure 6.

Age (Ma)



Age (Ma)

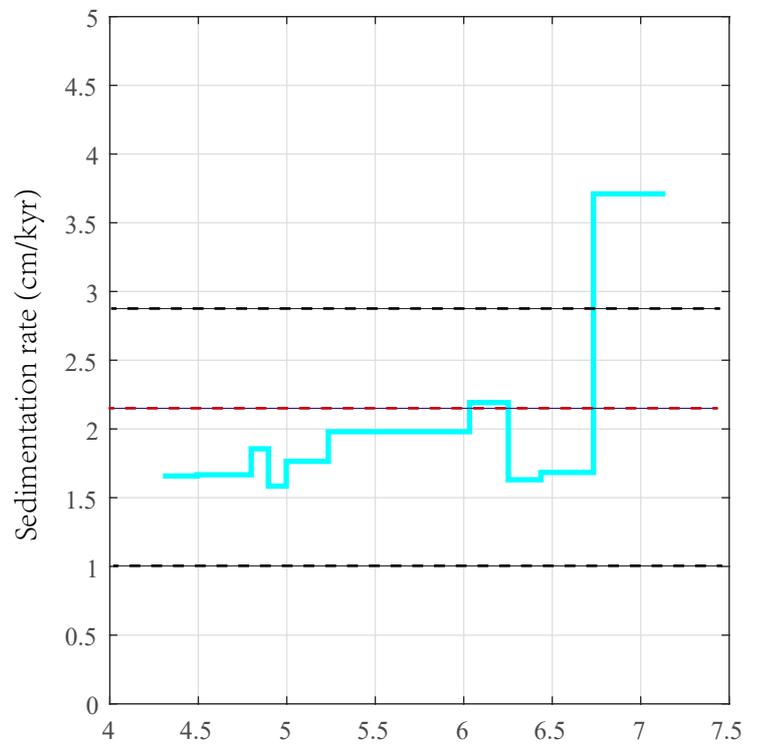


Figure 7.

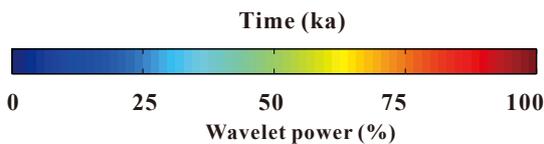
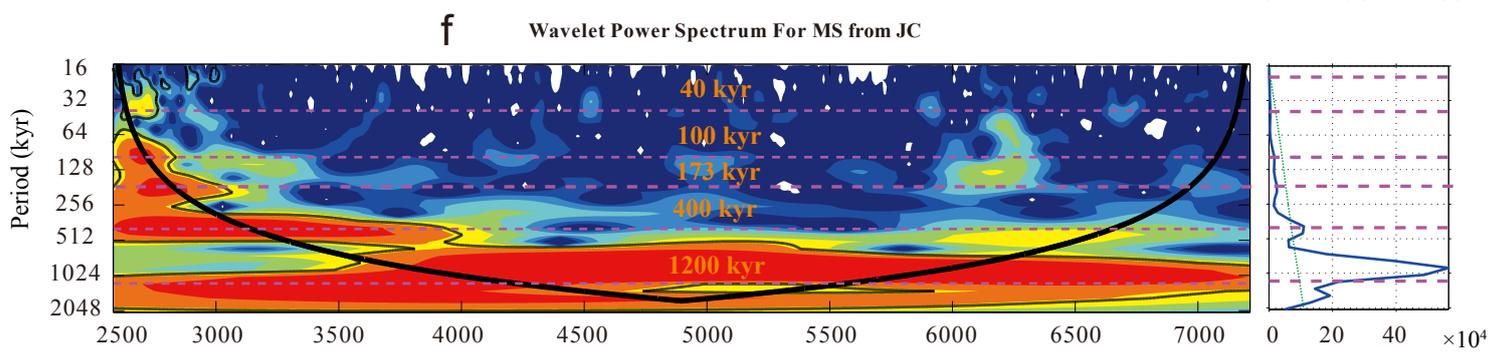
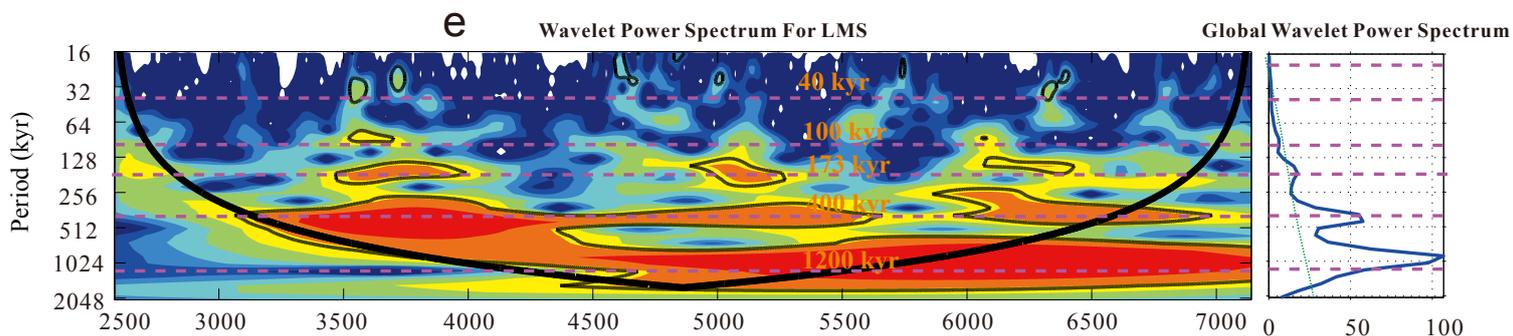
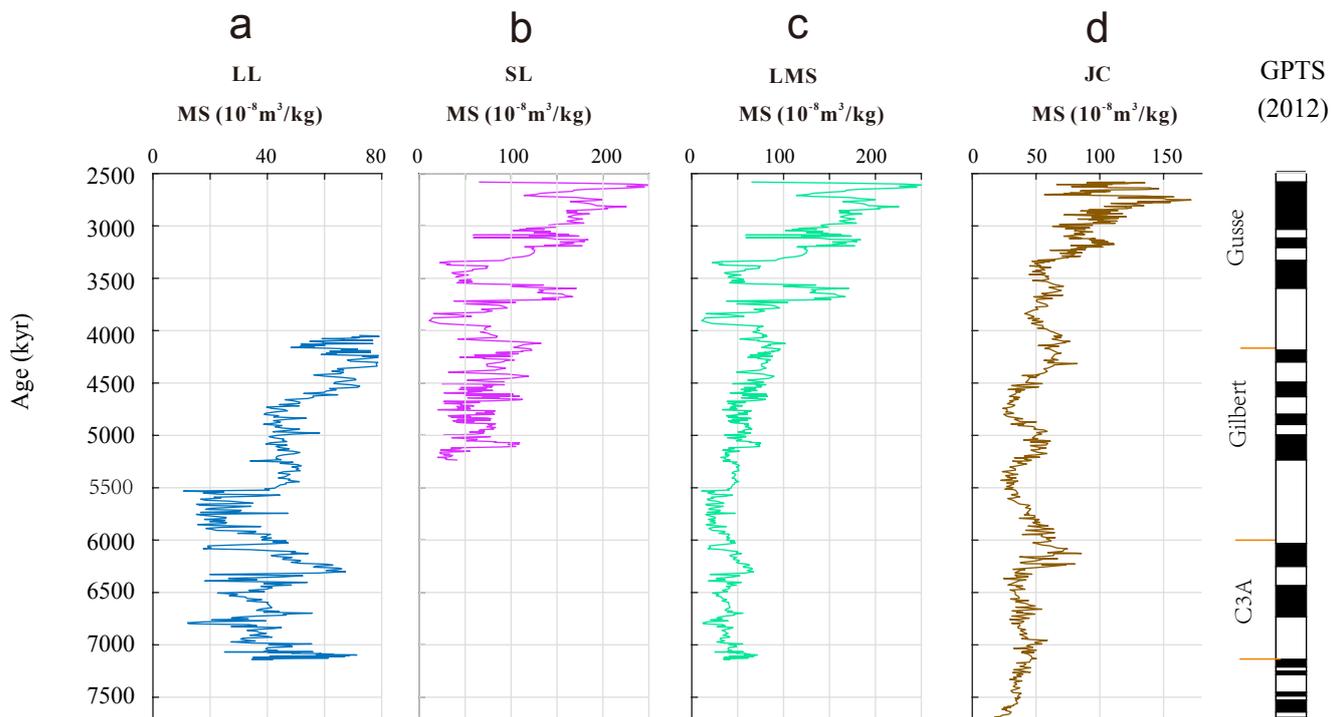


Figure 8.

3000 3500 4000 4500 5000 5500 6000 6500 7000 7500

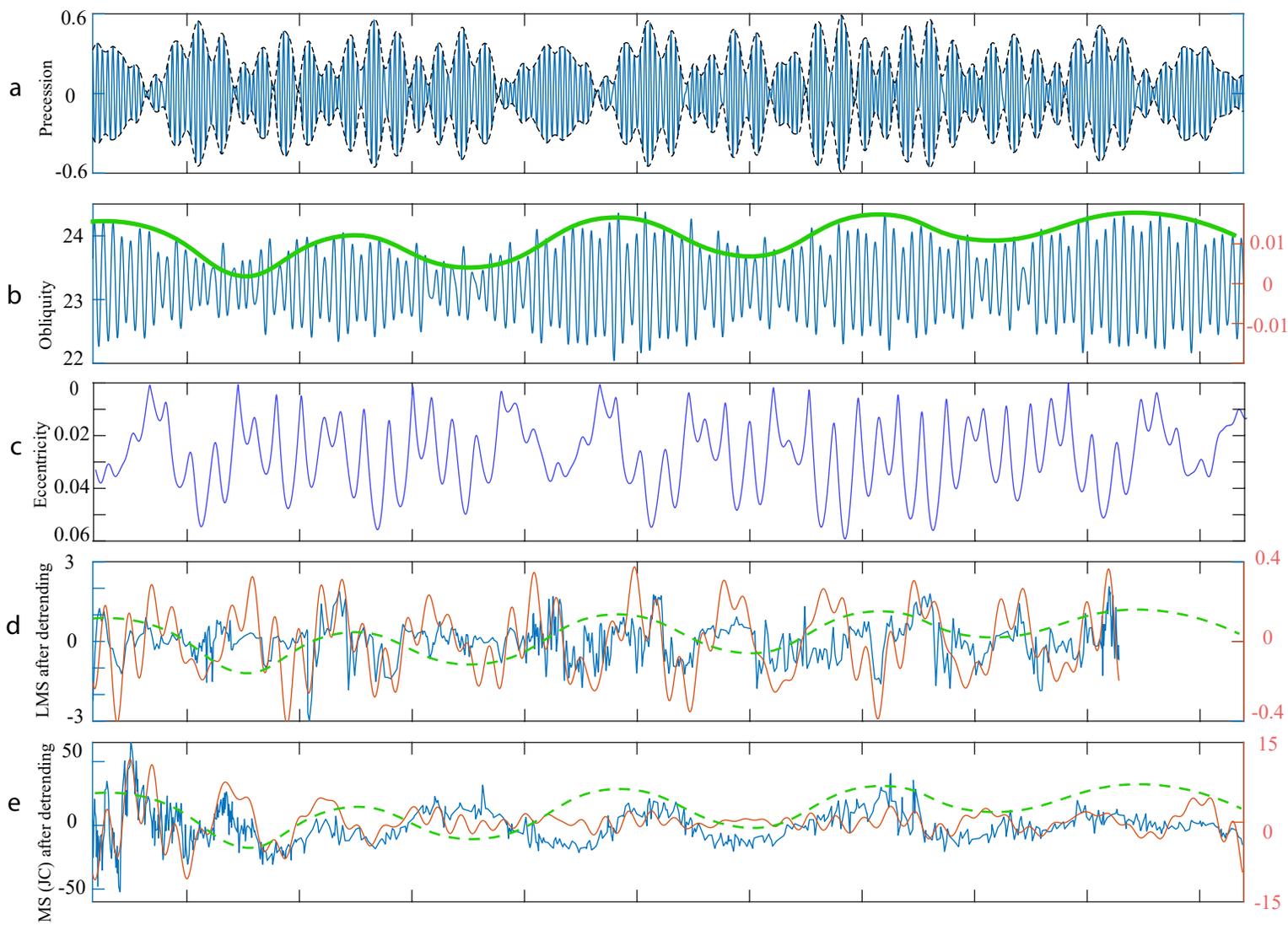


Figure 9.

405-kyr eccentricity cycle  
dominating Asian monsoon

1.2-Myr obliquity modulation dominating Asian monsoon

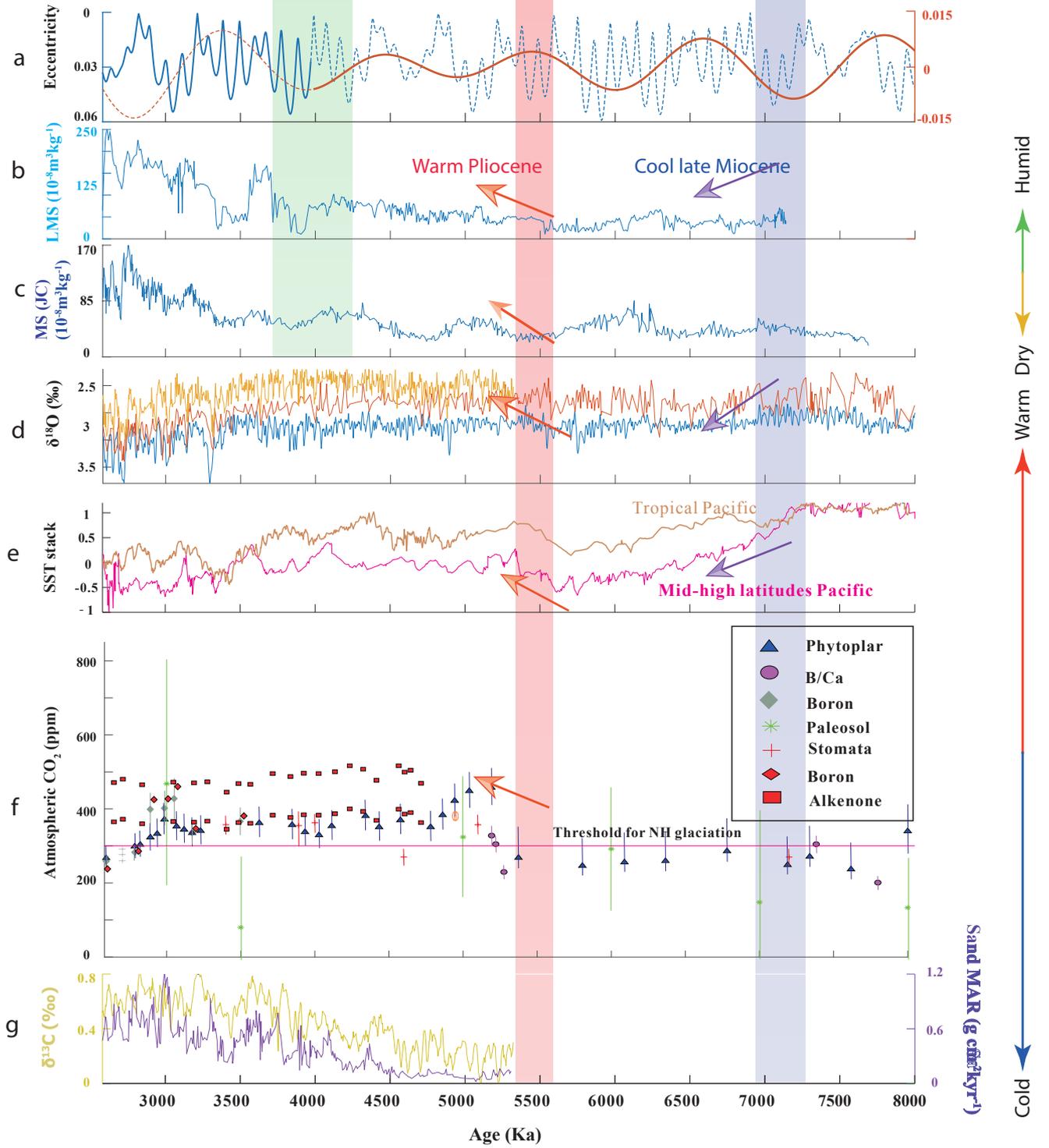


Figure 10.

