

1 **Precipitating Solar Wind Hydrogen at Mars: Improved**  
2 **Calculations of the Backscatter and Albedo with**  
3 **MAVEN Observations**

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6 **Key Points:**

- 7 • We present new calculations of the hydrogen backscatter and albedo using low al-  
8 titude proton measurements from MAVEN.  
9 • Our calculated penetrating and backscatter energy spectra are consistent with model  
10 predictions.  
11 • In agreement with expected trends, the albedo decreases with solar wind speed  
12 and increases with solar zenith angle.

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13 **Abstract**

14 Outside the Martian bow shock, charge exchange between solar wind protons and  
 15 exospheric hydrogen produces energetic neutral atoms (ENAs) that travel towards Mars  
 16 at the solar wind velocity. The penetrating ENAs deposit most of their energy near 150  
 17 km, but a fraction of them undergo enough collisions to be scattered back to space, re-  
 18 sulting in a hydrogen albedo. Some of the penetrating ENAs are converted into protons  
 19 upon reaching the collisional upper atmosphere. These protons can be measured by the  
 20 Mars Atmosphere and Volatile EvolutioN's Solar Wind Ion Analyzer (SWIA) during pe-  
 21 riapis passes, providing information about the penetrating and backscatter populations.  
 22 In this work, we perform the first detailed analysis of the backscatter and albedo using  
 23 SWIA observations. We find that our calculated backscatter energy spectra are consis-  
 24 tent with model predictions and that, as expected, the penetrating and backscatter par-  
 25 ticle fluxes increase with solar wind speed and decrease with solar zenith angle (SZA).  
 26 We also find that the albedo, which has an average value of  $0.20 \pm 0.16$ , decreases with  
 27 solar wind speed and increases at high SZAs near the terminator.

28 **1 Introduction**

29 Outside the Martian bow shock, solar wind protons charge exchange with hydro-  
 30 gen atoms in the extended exosphere to produce a population of energetic neutral atoms  
 31 (ENAs) that stream towards Mars. Traveling at the solar wind speed, and unhindered  
 32 by electromagnetic fields, the hydrogen ENAs precipitate into the upper atmosphere. There,  
 33 the ENAs interact with the atmosphere through a variety of collisional processes that  
 34 result in energy deposition, excitation and ionization of atmospheric species (Kallio &  
 35 Barabash, 2001), proton aurora (Deighan et al., 2018; Ritter et al., 2018; Hughes et al.,  
 36 2019), and ENA electron attachment and stripping (Shematovich et al., 2011; Bisikalo  
 37 et al., 2017). Through these collisional processes, the ENAs are scattered, and a small  
 38 fraction of them undergo enough collisions to be reflected back to space (Kallio & Barabash,  
 39 2001; Wang et al., 2018). These reflected ENAs are called the backscatter.

40 The Neutral Particle Detectors on Mars Express provided the first direct observa-  
 41 tions of the penetrating and backscatter ENAs, well above the altitude where the up-  
 42 per atmosphere becomes highly collisional (Futaana et al., 2006; Gunell, Holmström, et  
 43 al., 2006; Mura et al., 2008; Wang et al., 2013, 2014). Recently, the lower altitude or-  
 44 bit of the Mars Atmosphere and Volatile EvolutioN (MAVEN) spacecraft has enabled  
 45 observations of the collisional products of the incoming ENAs down to  $\sim 150$  km, cov-  
 46 ering altitudes where ENAs deposit most of their energy. These observations include mea-  
 47 surements of protons and  $H^-$  ions that are produced by electron stripping and attach-  
 48 ment of the hydrogen ENAs, primarily through collisions with atmospheric  $CO_2$  (Halekas,  
 49 Lillis, et al., 2015). Initial studies of the proton measurements by MAVEN's Solar Wind  
 50 Ion Analyzer (SWIA) have been used to monitor the solar wind (Halekas, Benna, et al.,  
 51 2015; Halekas et al., 2017; Fowler et al., 2019), study the hydrogen exosphere (Halekas,  
 52 2017), and characterize the penetrating population (Halekas, Lillis, et al., 2015; Hender-  
 53 son, 2019).

54 Although Halekas, Lillis, et al. (2015) presented a preliminary analysis of the backscat-  
 55 ter population, it was not the focus of their investigation. In this work, we present the  
 56 first detailed analysis of the backscatter and albedo with low altitude SWIA observations.  
 57 We develop a new method to calculate penetrating and backscatter energy spectra, pen-  
 58 etrating and backscatter particle fluxes, and albedos. Then, we characterize how they  
 59 vary with solar zenith angle (SZA) and solar wind speed.

## 2 Method

### 2.1 SWIA Observations

We use the low altitude proton observations from MAVEN SWIA obtained between 2015-2020 at dayside SZAs less than  $90^\circ$ . SWIA is an electrostatic analyzer that measures ion fluxes at energies between 25 eV and 25 KeV (Halekas, Taylor, et al., 2015). Although SWIA’s primary science goal is to measure solar wind flows both upstream and around Mars (Halekas et al., 2017), the instrument remains active through all phases of MAVEN’s 4.5 hr elliptical orbit. During dayside periapsis passes, SWIA observes the signature of penetrating protons as an anti-sunward beam with the same energy as the upstream solar wind (Halekas, Lillis, et al., 2015).

Throughout this work, we use the “Coarse-3D” data products that have 8 second time resolution, 15% energy resolution, and  $22.5 \times 22.5$  angular resolution across the entire  $360 \times 90^\circ$  field of view. The “Survey” (rather than “Archive”) data products are used to ensure consistent energy resolution and to gain the best possible observational coverage (Halekas et al., 2017). We focus solely on observations below 200 km where the atmosphere is highly collisional to precipitating ENAs and SWIA is likely to observe penetrating protons (Halekas, Lillis, et al., 2015). We also neglect observations at energies below 100 eV, which can become anomalous at periapsis when the spacecraft is negatively charged.

To ensure that our results are not influenced by any potential crustal magnetic field affects (Bisikalo et al., 2018), we remove MAVEN orbits during which the spacecraft passed through a region of strong crustal magnetic field while it was below 200 km. Strong crustal fields are defined as those with a magnitude of at least 20 nT at 400 km (Morschhauser et al., 2014).

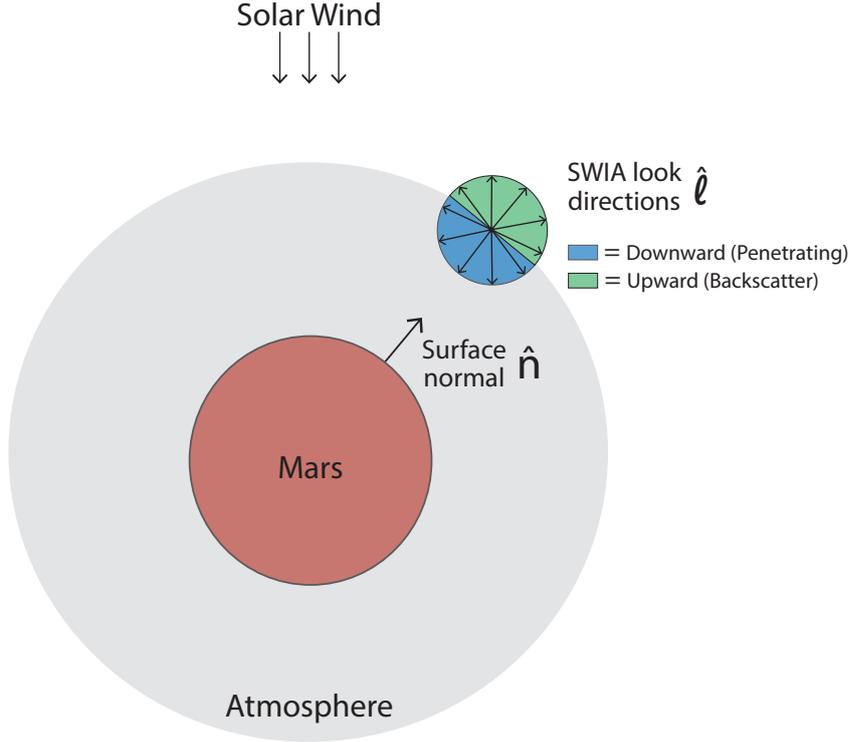
### 2.2 Extracting the Penetrating and Backscatter Populations

To isolate the penetrating and backscatter populations, we classify each SWIA look direction as either “upward” or “downward”. Downward look directions detect ions that have a component of their velocity pointing towards the planet. Upward look directions detect ions that have a component of their velocity pointing away from the planet. Both of these directions are defined relative to the planetary surface at the time of observation, as illustrated in Figure 1.

At any given time,  $t$ , we classify each look direction relative to the surface normal – the unit vector that extends radially outward from the center of Mars and points directly to the spacecraft. The surface normal is  $\hat{n}(t) = \frac{\vec{R}(t)}{|\vec{R}(t)|}$ , where  $\vec{R}(t)$  is MAVEN’s location in Mars-Solar-Orbital (MSO) coordinates. The dot product between a look direction vector,  $\hat{\ell}$ , and the surface normal,  $\hat{n}(t)$ , determines how the look direction is classified. If  $\hat{\ell} \cdot \hat{n}(t)$  is positive, we classify the look direction as upward. If  $\hat{\ell} \cdot \hat{n}(t)$  is negative, we classify the look direction as downward. Given these definitions, SWIA’s field of view is evenly split so that at any given time there are an equal number of upward and downward look directions.

To first order, the upper atmosphere of Mars is spherically symmetric and densities decrease exponentially with altitude. The downward direction, then, captures particles travelling deeper into the atmosphere towards larger neutral densities. This includes the narrow, anti-sunward beam of penetrating protons, and also penetrating protons that have been scattered to some degree. The upward direction captures the backscatter – particles travelling out of the atmosphere towards smaller neutral densities.

Our definitions of upward and downward are markedly different than the definitions used in Halekas, Lillis, et al. (2015). Instead of SWIA’s field of view being split evenly

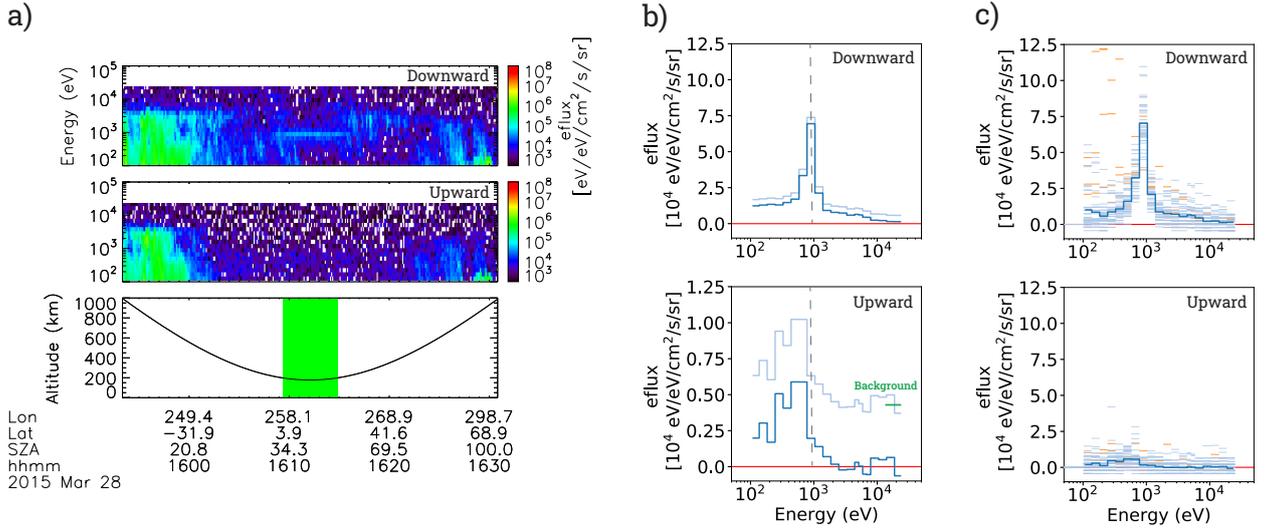


**Figure 1.** Schematic (not to scale) illustrating how we classify SWIA look directions as either “upward” or “downward” which we use to define the backscatter and penetrating populations, respectively.

108 between the upward and downward directions, they defined the downward field of view  
 109 to include only look directions within  $45^\circ$  of anti-sunward, and the upward field of view  
 110 to include all other look directions.

111 Figure 2a shows the time series of upward and downward angle-integrated spec-  
 112 tra during a periapsis pass on 28 March 2015. As expected, penetrating protons are read-  
 113 ily visible in the downward spectra below 200 km, appearing as a thin band of high flux  
 114 at the solar wind energy ( $\sim 10^3$  eV). Also consistent with expectations, penetrating pro-  
 115 tons are absent from the upward spectra which, upon close inspection, contain the backscat-  
 116 ter population, appearing as a diffuse band of moderate flux below the solar wind en-  
 117 ergy.

118 Throughout our analysis, the solar wind energy is derived not from direct measure-  
 119 ments, but from the peak energy of the orbit-averaged downward spectrum. To focus  
 120 on times of quiet space weather, we restrict our analysis to times when the solar wind  
 121 energy is between 540 eV and 1720 eV. This energy range corresponds to typical solar  
 122 wind speeds at Mars ( $324 \text{ km s}^{-1}$  to  $578 \text{ km s}^{-1}$ ) (Halekas et al., 2017). If the peak en-  
 123 ergy of an orbit-averaged downward spectrum falls outside this energy range, we remove  
 124 the orbit from our analysis.



**Figure 2.** a) Time series of SWIA upward and downward angle-integrated differential energy spectra, and MAVEN’s altitude, during a periapsis pass on 28 March 2015. The green rectangle highlights the observations used in our analysis (< 200 km). b) Orbit-averaged SWIA spectra before (light) and after (dark) background subtraction. The green line marks the background level, defined as the average flux in the four highest energy bins of the averaged upward spectrum. The vertical gray lines marks the solar wind energy. Note that the vertical axis of the upward spectrum is contracted for clarity. c) Every acceptable (light blue) and anomalous (orange) spectrum from the periapsis pass (< 200 km). The dark blue lines show the orbit-averages after the anomalous spectra have been removed. The red lines in Panels b-c mark zero.

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### 2.3 Background Subtraction

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After the SWIA data is separated into upward and downward directions, we perform a procedure to subtract the instrumental background from each observation. The background is determined on an orbit-by-orbit basis since it likely remains stable during each periapsis pass. To calculate the background level during a single orbit, we first compute the orbit-averaged spectrum in the upward direction as shown in Figure 2b. The background is then defined as the average differential energy flux in the four highest energy bins (15-25 KeV) of this spectrum. Upward spectra are used to estimate the background because downward spectra often have higher fluxes or a secondary peak near these high energies, potentially due to the presence of precipitating pickup ions (Hara et al., 2017) or solar wind protons (Diéval et al., 2012, 2013).

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Once the background level is computed, we subtract it from every flux measurement (before angle-averaging) from the orbit. Then, we recalculate the orbit-averaged upward and downward spectra using the background subtracted measurements. As demonstrated in Figure 2b, the background subtraction reduces fluxes at all energies and causes the high energy wings of the spectra to tend to zero. The background subtraction can also result in spectra having negative fluxes, which we do not attempt to correct.

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Background levels throughout the dataset are on the order of  $\sim 5 \times 10^3 \text{ eV}/(\text{eV cm}^2 \text{ s sr})$  but can increase dramatically during space weather events. The background level also steadily increased from  $(4.0 \times 10^3 - 6.7 \times 10^3) \text{ eV}/(\text{eV cm}^2 \text{ s sr})$  between 2015-2020. This trend can be attributed to the steadily increasing galactic cosmic ray flux during the declining phase of Solar Cycle 24 (Ross & Chaplin, 2019).

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## 2.4 Removing Anomalous Spectra

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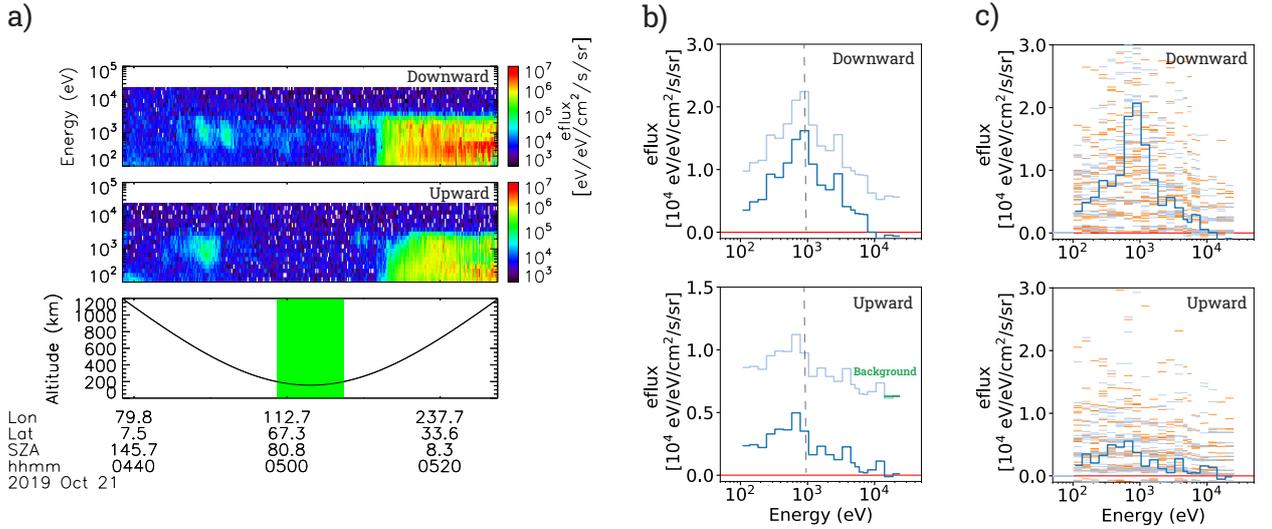
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The orbit-averaged downward spectrum shown in Figure 2b has a narrow peak at the solar wind energy ( $\sim 10^3$  eV), which is the hallmark signature of the proton population produced by precipitating solar wind ENAs. Other ion populations, however, may also be present in the SWIA observations. These include precipitating magnetosheath protons, (Diéval et al., 2012), precipitating pick-up ions (Hara et al., 2017), and precipitating hydrogen ENAs that originate in the magnetosheath (Gunell, Brinkfeldt, et al., 2006). Although it is difficult to completely separate these different populations, we perform a procedure to reduce their impact on our results. Our procedure eliminates observations from times when the downward energy spectrum has an absolute maximum far from the solar wind energy.



**Figure 3.** Same as Figure 2 but showing a periapsis pass on 21 October 2019 when the penetrating proton signal was weaker and more anomalous spectra were observed.

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To demonstrate this procedure, Figure 2c shows every background subtracted energy spectrum from the 28 March 2016 orbit. Every blue spectrum has an absolute maximum within  $\pm 2$  energy bins of the solar wind energy. Every orange spectrum has an absolute maximum at least two energy bins outside of the solar wind energy. Since the solar wind energy likely remains fixed for the  $\sim 10$  minutes MAVEN is below 200 km, we consider the orange spectra anomalous, and remove them from our analysis. After their removal, we recalculate the orbit-averaged spectra, which are shown with thick blue lines in Figure 2c. The removal of the four anomalous spectra during this orbit leads to smaller fluxes in the low energy wings of the recalculated orbit-averaged spectra.

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During a typical orbit, SWIA obtains  $\sim 60$  spectra below 200 km. For an orbit to be included in our analysis, we require less than 50% of the spectra be anomalous. This requirement ensures that the orbits we use contain a clear penetrating proton signal. Although the threshold value of 50% is somewhat arbitrary, we chose it after inspecting a variety of threshold values, and it allows us to maintain enough orbits to have robust statistics.

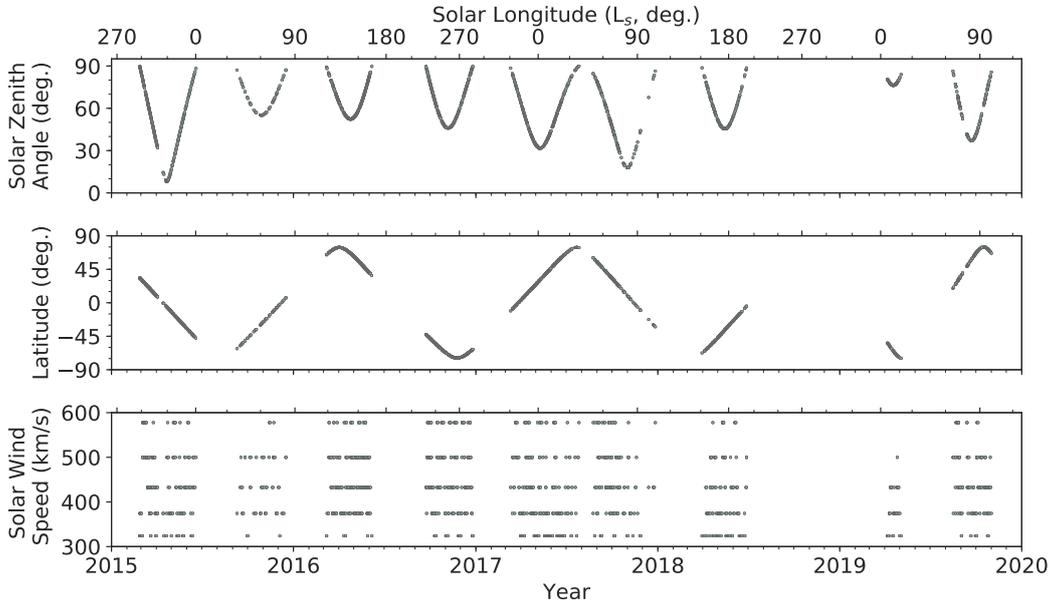
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When the penetrating proton signal is strong, such as during southern summer (Halekas, 2017), there are usually fewer anomalous spectra during a periapsis pass. Conversely, when the penetrating proton signal is weak, there are more anomalous spectra. An example

176 of a periapsis pass with a weak penetrating proton signal is shown in Figure 3. It con-  
177 tains nearly 50% anomalous spectra with 32 of the 66 downward spectra having a peak  
178 more than two energy bins outside the solar wind energy. The resulting orbit-averaged  
179 downward spectrum (Figure 3b) has a broader peak compared to the example shown in  
180 Fig. 2. After removing the 32 anomalous spectra, the recalculated orbit-averaged down-  
181 ward spectrum has a narrower peak (Figure 3c), as expected for penetrating protons.



**Figure 4.** Observational coverage of the 1617 orbits used in our analysis showing solar zenith angle (top), latitude (middle), and solar wind speed (bottom).

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## 2.5 Directional Fluxes and Albedo

183 For each orbit that we calculate orbit-averaged energy spectra, we also calculate  
184 orbit-averaged directional fluxes ( $\text{cm}^{-2} \text{s}^{-1}$ ). The directional fluxes during a single or-  
185 bit are calculated using the background-subtracted observations after removing obser-  
186 vations from times when there was an anomalous spectrum. At each time during the or-  
187 bit, the downward directional flux,  $F_{down}$  is defined as  $F_{down} = -\vec{J}_{down}(t) \cdot \hat{n}(t)$ , where  
188  $\vec{J}_{down}(t)$  is the particle flux derived from the three dimensional energy distribution in  
189 the downward direction. The negative sign forces  $\vec{J}_{down}(t)$  to be a positive quantity. Sim-  
190 ilarly, the upward directional flux,  $F_{up}$ , is defined as  $F_{up} = \vec{J}_{up}(t) \cdot \hat{n}(t)$ , where  $\vec{J}_{up}(t)$   
191 is the particle flux derived from the three dimensional energy distribution in the upward  
192 direction. We then define the albedo as  $F_{down}/F_{up}$ , the ratio of the upward and down-  
193 ward directional fluxes.

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## 2.6 Observational Coverage

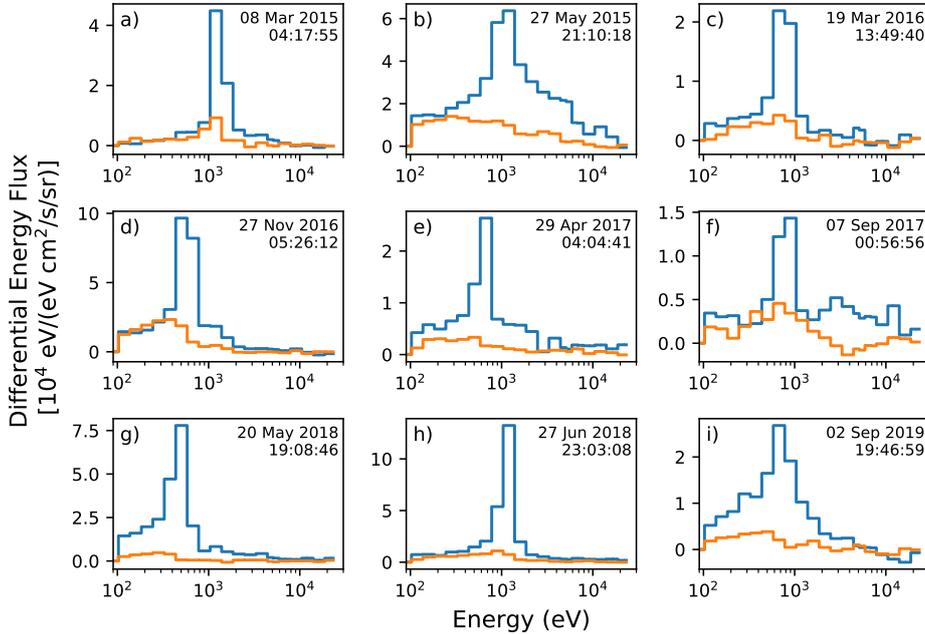
195 After applying our method we are left with 1617 orbits SWIA data to analyze. Fig-  
196 ure 4 shows the dates, solar longitudes ( $L_s$ ), SZAs, latitudes, and solar wind speeds dur-  
197 ing these orbits. These parameters are assigned to the orbit-averaged quantities using  
198 their values at the time of MAVEN's periapsis during the orbit. The solar wind speed  
199 for each orbit is derived using  $V_{sw} = (2E_{peak}/m_p)^{1/2}$ , where  $V_{sw}$  is the solar wind  
200 speed,  $m_p$  is the proton mass, and  $E_{peak}$  is the peak energy of the orbit-averaged downward spec-

201 trum. In the next two sections we present our analysis of the energy spectra (Section 3),  
 202 directional fluxes, and albedo (Section 4).

### 203 3 Results: Energy Spectra

#### 204 3.1 Overview of Energy Spectra

205 Figure 5 shows the orbit-averaged upward and downward spectra from nine different  
 206 orbits. All of the downward spectra have narrow peaks at the presumed solar wind  
 207 energy, consistent with the penetrating proton population being produced primarily by  
 208 ENAs that originate outside the bowshock in the pristine solar wind. The smaller fluxes  
 209 observed outside the solar wind energy are produced by penetrating protons that have  
 210 undergone multiple scatterings, though not enough to be reflected in the upward direc-  
 211 tion. A portion of the flux at these surrounding energies may also be produced by hy-  
 212 drogen ENAs produced in the magnetosheath, precipitating solar wind protons, or pre-  
 213 cipitating pick-up ions.



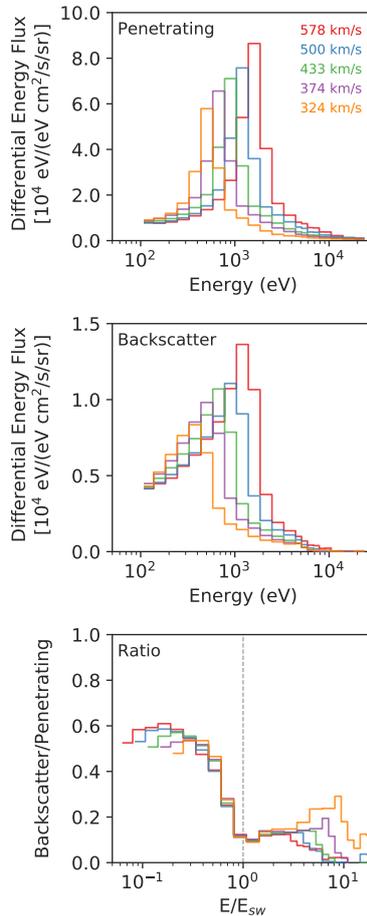
**Figure 5.** Examples of orbit-averaged differential energy spectra calculated using the method described in Section 2. Each panel shows the downward (blue) spectrum, representative of the penetrating proton population, and the upward (orange) spectrum, representative of the backscatter population. The date in each panel is the time of MAVEN's periapsis during the orbit.

214 Comparatively, the upward spectra have broader peaks near or below the solar wind  
 215 energy. This is consistent with expectations for the the backscatter population, which  
 216 is produced by penetrating protons that have lost energy after undergoing enough col-  
 217 lisions to be backscattered (Kallio & Barabash, 2001; Bisikalo et al., 2018). In nearly ev-  
 218 ery example, the upward spectra have smaller fluxes at all energies when compared to  
 219 the downward spectra. This differs significantly from the backscatter spectra presented  
 220 in Halekas, Lillis, et al. (2015), which could not be reproduced by the model of Bisikalo  
 221 et al. (2018). In Halekas, Lillis, et al. (2015), the backscatter fluxes are larger than the

222 penetrating fluxes in the low and high energy wings surrounding the solar wind energy.  
 223 This suggests that our method, which includes a robust background subtraction and uses  
 224 different fields of view to define the penetrating and backscatter populations (Section 2.2),  
 225 is an improvement.

### 226 3.2 Dependence on Solar Wind Speed

227 Figure 6a-b shows averages of the penetrating and backscatter differential energy  
 228 spectra for five typical solar wind speeds. The averages were calculated using the com-  
 229 plete ensemble of orbit-averaged spectra, which cover a wide range of SZAs and seasons  
 230 for a given solar wind speed (Fig. 4). Compared to the penetrating spectra, the backscat-  
 231 ter spectra have broader distributions and smaller peak energies. In every case, the peak  
 232 energy of the backscatter spectrum is  $\sim 75\%$  smaller than the peak energy of the corre-  
 233 sponding penetrating spectrum. These properties of the backscatter spectra are consis-  
 234 tent with the expected energy depletion that accompanies numerous collisions (Kallio  
 235 & Barabash, 2001; Bisikalo et al., 2018).



**Figure 6.** The top and middle panels show ensemble averages of the penetrating (downward) and backscatter (upward) differential energy spectra as a function of solar wind speed. The bottom panel shows the ratios of backscatter and penetrating spectra with the horizontal axis scaled relative to the solar wind energy. The vertical gray line marks the solar wind energy.

236 The differential fluxes in both the penetrating and backscatter spectra increase mono-  
 237 tonically with increasing solar wind speed. This trend is consistent with previous results  
 238 (Halekas, Lillis, et al., 2015) and can be explained by considering that both the H-H<sup>+</sup>  
 239 charge exchange cross section, and the H-CO<sub>2</sub> electron stripping cross section, increase  
 240 with solar wind speed (Kallio & Barabash, 2001). The H-H<sup>+</sup> charge exchange cross sec-  
 241 tion controls the hydrogen ENA production rate in the solar wind, while the H-CO<sub>2</sub> elec-  
 242 tron stripping cross section controls the rate at which precipitating ENAs are converted  
 243 into protons in the collisional upper atmosphere. Thus, both the precipitating ENA flux,  
 244 and the fraction of ENAs converted into protons in the upper atmosphere, increase with  
 245 solar wind speed.

### 246 3.3 Flux Ratios

247 Figure 6c shows the ratios of the backscatter and penetrating energy spectra for  
 248 different solar wind speeds. The ratios are plotted as a function of  $E/E_{sw}$  where  $E$  is  
 249 energy and  $E_{sw}$  is the solar wind energy. Across all solar wind speeds, the ratios are closely  
 250 aligned between  $E/E_{peak} = 0.3 - 3.0$ . Since most of the flux resides in this energy range,  
 251 this suggests that the ratios are only weakly dependent on solar wind energy.

252 The ratios are also less than one across all energies, which is in stark contrast to  
 253 the results of Halekas, Lillis, et al. (2015), who found the ratio commonly exceeds one  
 254 in the low and high energy wings. Our smaller ratios are more consistent with the model  
 255 predictions of Bisikalo et al. (2018). In their Figure 5a, they show their predictions of  
 256 the penetrating and backscatter energy spectra for precipitating hydrogen ENAs at 160  
 257 km. At energies well below the solar wind energy ( $E/E_{peak} < 0.5$ ), they predict ratios  
 258 between  $\sim 1-5$ . Our calculated ratios in this energy region are smaller, ranging between  
 259 0.4-0.6. However, Figure 6a of Bisikalo et al. (2018) shows their predicted energy spec-  
 260 tra if both precipitating hydrogen ENAs and precipitating magnetosheath protons are  
 261 included. The inclusion of precipitating protons reduces their predicted flux ratios in the  
 262 low energy range to  $\sim 0.1-0.5$ , much closer to our calculated values. This likely indicates  
 263 that our method is unable to completely remove the precipitating magnetosheath proton  
 264 population from SWIA observations (Section 2.4).

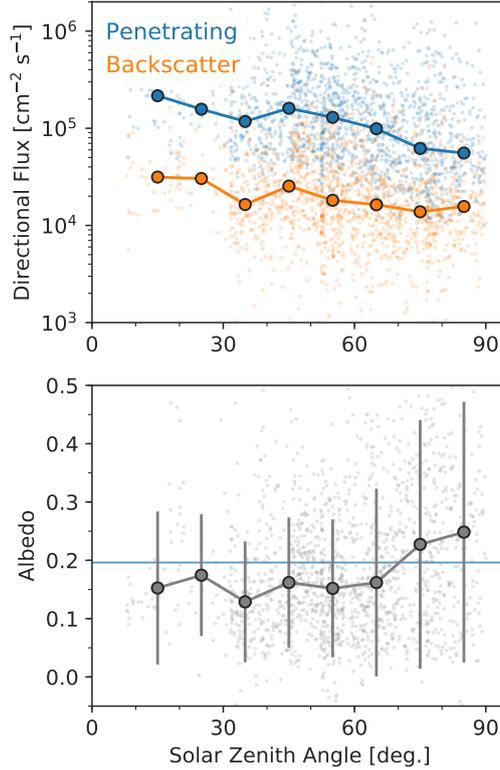
265 At higher energies ( $E/E_{peak} > 0.75$ ), the predicted backscatter spectra in Bisikalo  
 266 et al. (2018) have a steep slope resulting in ratios of 0.01 or less in the high energy wing.  
 267 Our backscatter spectra have a less steep slope resulting in ratios that are  $\sim 10$  times larger.  
 268 Despite this discrepancy, our ratios in the high energy wing are smaller than one, which  
 269 makes them more consistent with the model predictions compared to previous work (Halekas,  
 270 Lillis, et al., 2015). The observed high energy ratios in Halekas, Lillis, et al. (2015), which  
 271 often exceeded one, are larger because they used a much larger field of view to define the  
 272 backscatter population (Section 2.2).

## 273 4 Results: Directional Fluxes and Albedo

### 274 4.1 Dependence on Solar Zenith Angle

275 Figure 7 (top) shows the penetrating and backscatter directional fluxes as a func-  
 276 tion of SZA. Although there is significant scatter in the orbit-averaged values, the SZA-  
 277 binned medians decrease with increasing SZA. From SZA=15°-85°, the penetrating flux  
 278 decreases by a factor  $\sim 3.5$  and the backscatter flux decreases by a factor of  $\sim 2.0$ . The  
 279 albedo, also shown in Figure 7 (bottom), has a constant median value of  $\sim 0.15$  between  
 280 SZA=15°-65°, then increases to  $\sim 0.25$  near the terminator.

281 These SZA trends are consistent with expectations. Prior to being scattered, the  
 282 penetrating ENAs travel in the solar wind direction which, at the subsolar point, is anti-  
 283 parallel to the surface normal (Fig. 1). Thus, the entire ENA flux vector points in the



**Figure 7.** Directional fluxes (top) and albedo (bottom) as a function of solar zenith angle (SZA). The small circles are the orbit-averaged values. The large circles are the median values calculated using  $10^\circ$  SZA bins. The error bars in the albedo plot are the standard deviations in each SZA bin, and the blue line marks the mean albedo of the entire dataset (0.20).

284 downward direction. But at higher SZAs, there is an angle between the solar wind di-  
 285 rection and the surface normal, so only a component of the ENA flux vector points in  
 286 the downward direction. As this angle widens with increasing SZA, the penetrating flux  
 287 decreases.

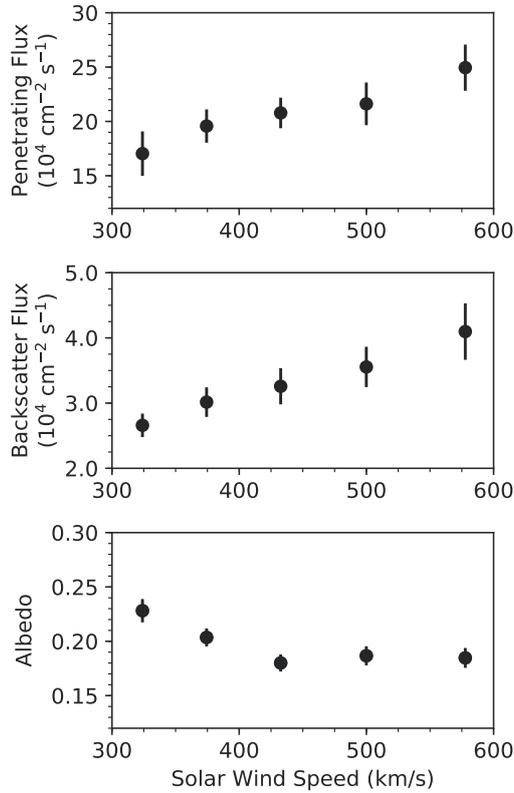
288 Since backscatter flux is also produced by the ENAs, it decreases similarly with SZA  
 289 when far from the terminator. However, when close to the terminator, the backscatter  
 290 flux decreases less drastically with SZA because it takes fewer collisions to reflect an ENA  
 291 upward when there is a wider angle between solar wind and surface normal. Furthermore,  
 292 as the solar wind incidence angle approaches grazing incidence, the penetrating ENAs  
 293 must travel through a thicker column of atmosphere to reach thermospheric altitudes,  
 294 resulting in more collisions and a higher albedo near the terminator.

295 We can compare our results to model predictions of the precipitating hydrogen ENA  
 296 flux formed above the atmosphere in the solar wind. Near the subsolar point, models pre-  
 297 dict a penetrating ENA flux of  $\sim (4.0-7.0) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$  (Kallio & Barabash, 2001;  
 298 Wang et al., 2018). Given that (4-15)% of the ENAs are expected to be converted into  
 299 protons upon reaching the upper atmosphere (Halekas, 2017), this suggests that the pen-  
 300 etrating proton flux observed by SWIA near the subsolar point should be  $\sim (2.0-10.0) \times$   
 301  $10^5 \text{ cm}^{-2} \text{ s}^{-1}$ , which is consistent with our results. Models also predict that between SZA= $15^\circ$ -  
 302  $85^\circ$ , the precipitating ENA flux decreases by a factor of  $\sim (6-9)$  (Kallio & Barabash,  
 303 2001; Wang et al., 2018). This is larger than the factor of 3.5 decrease we observe in the

304 penetrating flux. This discrepancy may be due to our imperfect removal of the precip-  
 305 itating magnetosheath proton population (Section 2.4), which has a weaker dependence  
 306 on *SZA* compared to the solar wind ENA population (Wang et al., 2018).

## 307 4.2 Dependence on Solar Wind Speed

308 Figure 7 shows how the average penetrating flux (top), backscatter flux (middle),  
 309 and albedo (bottom) vary with solar wind speed. The average value at each solar wind  
 310 speed is computed using all of the orbit-averaged values that cover a wide range of sea-  
 311 sons and SZAs. As expected, the penetrating and backscatter fluxes increase with so-  
 312 lar wind speed due to the energy dependence of the H-H<sup>+</sup> charge exchange and H-CO<sub>2</sub>  
 313 electron stripping cross sections (Sec. 3.2).



**Figure 8.** The penetrating flux (top), backscatter flux (middle), and albedo (bottom) as a function of solar wind speed. The circles are averages calculated using all of the orbit-averaged values from a given solar wind speed. The number of orbit-averaged values at each solar wind speed ranges from 179 to 439. The error bars are the standard error of the mean.

314 The albedo decreases by  $\sim 20\%$  with increasing solar wind speed, but the relation-  
 315 ship is not strictly monotonic. It decreases from 0.23 at a solar wind speed of 324 km  
 316 s<sup>-1</sup>, to 0.18 at solar wind speeds of 433 km s<sup>-1</sup> and greater. It is somewhat surprising  
 317 that the albedo does not decrease monotonically with solar wind speed. A monotonic  
 318 relationship might be expected given that the H-CO<sub>2</sub> elastic collisional cross section de-  
 319 creases with solar wind speed (Kallio & Barabash, 2001). Thus, as solar wind speed in-  
 320 creases, there ought to be fewer elastic collisions and a smaller albedo. Determining why

the albedo varies in this manner may require modeling studies conducted under various solar wind conditions which, to our knowledge, do not currently exist.

## 5 Discussion

We have demonstrated that our method used to calculate penetrating and backscatter energy spectra improves upon previous work. In our spectra, the ratios between the backscatter and penetrating fluxes in the low and high energy wings are smaller than those presented in Halekas, Lillis, et al. (2015), making them more consistent with model predictions (Bisikalo et al., 2018). In Halekas, Lillis, et al. (2015), the ratios in the low and high energy tails are greater than one because they used a wider field of view to define the backscatter population. Future comparisons between our spectra and model predictions will allow for more accurate quantitative comparisons.

We have also shown how the directional fluxes and albedo vary with SZA and solar wind speed. The observed SZA trends are consistent with expectations; the penetrating and backscatter fluxes decrease with SZA and the albedo increases near the terminator as the solar wind angle approaches grazing incidence with respect to the atmosphere. The observed trends with solar wind speed are also generally consistent with expectations. As solar wind speed increases, the penetrating and backscatter fluxes increase and the albedo decreases from 0.23 to 0.18. Somewhat surprisingly, however, the relationship between the albedo and solar wind speed is not strictly monotonic.

Using all of our observations, we find an average albedo of  $0.20 \pm 0.16$ , which is smaller than the  $\sim 0.5$  reported in Halekas, Lillis, et al. (2015). Model predictions of the albedo range between 0.15-0.58 (Kallio & Barabash, 2001; Shematovich et al., 2011; Wang et al., 2018), which is consistent with our results. However, a direct comparison between our calculated albedo and model predictions is not possible since SWIA only observes the proton population at low altitudes. Thus, the SWIA observations do not capture backscattered neutrals (Futaana et al., 2006) or particles reflected above 200 km. Moreover, the SWIA observations may underestimate the ENA albedo due to the relative energy dependence of the charge exchange and electron stripping cross sections, which causes the equilibrium proton fraction in the collisional atmosphere to decrease with energy (Halekas, Lillis, et al., 2015). Since the backscatter population has a lower average energy than the penetrating population, a smaller fraction of backscattered ENAs ought to be in charged form.

The orbit-averaged directional fluxes and albedo derived from SWIA observations exhibit substantial variability. Although we have explained some of this variability by considering solar wind speed and SZA, there are other factors that undoubtedly affect the ENA flux. (Halekas, 2017). These include transient space weather events, the bow shock standoff distance, and the seasonally varying exospheric hydrogen column density. Both crustal and induced magnetic fields are also expected to strongly affect the backscatter and albedo (Kallio et al., 2006; Wang et al., 2014; Shematovich et al., 2011; Bisikalo et al., 2018). Understanding the role of magnetic fields is an interesting avenue for future investigations.

## 6 Conclusions

We have presented the first detailed study of the ENA backscatter and albedo with low altitude SWIA observations. Future comparisons of our results with model predictions will improve our understanding of the processes that affect solar wind hydrogen deposition and backscatter at Mars.

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