

# **Constraining Southern Ocean CO<sub>2</sub> Flux Uncertainty Using Uncrewed Surface Vehicle Observations**

**A. J. Sutton<sup>1</sup>, N. L. Williams<sup>2</sup>, and B. Tilbrook<sup>3,4</sup>**

<sup>1</sup>Pacific Marine Environmental Laboratory, National Oceanic and Atmospheric Administration, Seattle, Washington, USA.

<sup>2</sup>University of South Florida, St. Petersburg, Florida, USA.

<sup>3</sup>Oceans and Atmosphere, Commonwealth Scientific and Industrial Research Organisation, Hobart, Tasmania, Australia.

<sup>4</sup>Australian Antarctic Program Partnership, University of Tasmania, Hobart, Australia.

Corresponding author: Adrienne Sutton (adrienne.sutton@noaa.gov)

## **Key Points:**

- The first autonomous circumnavigation of Antarctica allowed for direct measurements of air-sea CO<sub>2</sub> and wind speed in the Southern Ocean.
- Bias and error propagation of various approaches to calculating CO<sub>2</sub> flux could explain some of the discrepancies between previous estimates.
- Interannual variability that is poorly-constrained by observations are also likely contributing to the discrepancies in CO<sub>2</sub> flux estimates.

## Abstract

Remote, harsh conditions of the Southern Ocean challenge our ability to observe the region's influence on the climate system. Southern Ocean air-sea CO<sub>2</sub> flux estimates have significant uncertainty due to the reliance on limited ship-dependent observations in combination with satellite-based and interpolated data products. We utilize a new approach, making direct measurements of air-sea CO<sub>2</sub>, wind speed, and surface ocean properties on an Uncrewed Surface Vehicle (USV). In 2019 the USV completed the first autonomous circumnavigation of Antarctica providing hourly CO<sub>2</sub> flux estimates. Using this unique data set to constrain potential error in different measurements and propagate those through the CO<sub>2</sub> flux calculation, we find that different wind speed products and sampling frequencies have the largest impact on CO<sub>2</sub> flux estimates with biases that range from -4% to +20%. These biases and poorly-constrained interannual variability could account for discrepancies between different approaches to estimating Southern Ocean CO<sub>2</sub> uptake.

## Plain Language Summary

The Southern Ocean is an important part of the global climate, playing an outsized role in the uptake of heat and carbon. Yet observing the Southern Ocean is challenging due to its size, remoteness, and harsh conditions. In 2019 we completed the first autonomous circumnavigation of Antarctica with an Uncrewed Surface Vehicle (USV), also known as an ocean robot, in order to address some of these observing challenges. By directly measuring air and surface seawater carbon dioxide (CO<sub>2</sub>) and wind speed on the USV, we were able to observe CO<sub>2</sub> exchange between the ocean and atmosphere every hour during the mission. Using this data set, we estimated potential errors in these measurements as well as other approaches to estimating CO<sub>2</sub> exchange. The use of different satellite-based wind products and sampling frequency play the largest role in uncertainty of the uptake of CO<sub>2</sub> in the Southern Ocean. In order to reduce this uncertainty and provide a better understanding of the Southern Ocean, expansion of an observing network made up of ships, USVs, and other autonomous devices is necessary.

## 1 Introduction

Covering only 30% of the global ocean surface, the Southern Ocean (most often defined as south of 30–35°S) plays an outsized role in the climate system. It is the meeting point of ocean currents and a connector between the atmosphere and ocean interior for the transfer of heat and carbon, accounting for as much as 75% and 40% of global ocean heat and carbon uptake, respectively (Frölicher et al., 2014; Khatiwala et al., 2009). While questions remain as to all of the mechanisms that contribute to CO<sub>2</sub> flux and the overturning circulation in the Southern Ocean, it is becoming clear that control of net CO<sub>2</sub> uptake over annual to decadal scales is dominated by wind-driven physical mixing and upwelling of carbon-rich deep water (Iudicone et al., 2011; Lovenduski et al., 2008).

Southern Ocean CO<sub>2</sub> flux is primarily a balance between the outgassing of natural carbon in upwelled waters not taken up by biological processes and the flux of anthropogenic carbon into the ocean driven by increasing atmospheric CO<sub>2</sub>. These processes occur continuously and simultaneously as cold, carbon-rich water outgasses in upwelling regimes, and absorbs anthropogenic heat and carbon as the water flows north in the surface layer to warmer regimes. These processes vary across the diversity of Southern Ocean regimes from the temperature-

dominated system in the Subtropical Zone to the sea ice- and biologically-dominated regime closest to Antarctica.

The combination of these diverse and variable biogeochemical regimes, sparse observations, and inadequate constraint of circulation in models challenge estimates of Southern Ocean CO<sub>2</sub> uptake. Climatological mean uptake estimates based on observations from ships range from -0.8 to -1.0 Pg C yr<sup>-1</sup> (Landschützer et al., 2014; Takahashi et al., 2009). While the magnitude of interannual variability is unknown, the temporal variability of CO<sub>2</sub> flux at interannual to decadal time scales is correlated with atmospheric variability as defined by the Southern Annular Mode (SAM) index: the difference in mean sea level pressure between 40°S and 65°S (Marshall, 2003). When the SAM index is positive, winds south of 45°S increase, potentially accelerating upwelling of carbon-rich deep water and reducing net CO<sub>2</sub> uptake. A negative SAM index is associated with a reduction of both upwelling and ventilation of CO<sub>2</sub> to the atmosphere, allowing increased net CO<sub>2</sub> uptake. However, there are regional variations in CO<sub>2</sub> flux response to SAM conditions that are not fully understood (Keppler and Landschützer, 2019; Nevison et al., 2020). Keppler and Landschützer (2019), for example, found increased upwelling and CO<sub>2</sub> outgassing in higher latitudes during positive SAM conditions but opposing effects in other regions. Several data- (Fay et al., 2014; Landschützer et al., 2015; Takahashi et al., 2012) and modeling-based (Le Quéré et al., 2007; Lovenduski et al., 2007, 2008, 2015) studies suggest decadal-scale variability of Southern Ocean CO<sub>2</sub> uptake is within ±0.4 Pg C yr<sup>-1</sup>, a significant portion of the climatological mean estimate of -0.8 to -1.0 Pg C yr<sup>-1</sup>.

New observations, however, challenge whether the Southern Ocean is a strong sink. Biogeochemical float data from 2014–2017 estimate a Southern Ocean CO<sub>2</sub> flux of -0.08 Pg C yr<sup>-1</sup> (Gray et al., 2018), an order of magnitude less than the climatological mean estimates based on ship-based surface ocean CO<sub>2</sub> partial pressure (*p*CO<sub>2</sub>) data products (Landschützer et al., 2016, 2014; Rödenbeck et al., 2015; Takahashi et al., 2009). Even after correcting for a potential bias of 4 µatm to the float-based calculated seawater *p*CO<sub>2</sub>, discrepancies between ship- and float-based CO<sub>2</sub> flux estimates remain (Bushinsky et al., 2019). Whether recent float-based CO<sub>2</sub> flux estimates represent an updated understanding of the climatological mean, float-based seawater *p*CO<sub>2</sub> requires an even larger bias correction, or 2014–2017 conditions were anomalous, is currently unresolved.

A criticism of ship-based estimates is the scarcity of data in both time and space, especially during winter months. However, surface ocean *p*CO<sub>2</sub> is measured directly on ships with low uncertainty (±0.5%) (Pierrot et al., 2009), compared to *p*CO<sub>2</sub> calculated from float pH measurements and estimated total alkalinity that has a higher uncertainty (±2.8%) (Bushinsky et al., 2019; Williams et al., 2017). Unlike ships, floats are able to sample in harsh winter conditions unfit for safe ship operations as well as under ice, increasing the potential for filling observational gaps. Another issue impacting the uncertainty in both float- and ship-based climatological CO<sub>2</sub> flux estimates is the use of observation-derived atmospheric CO<sub>2</sub> products and satellite-based wind and sea level pressure products, which have been shown to add significant uncertainty to CO<sub>2</sub> flux estimates in some regions (Chiodi et al., 2019; Roobaert et al., 2018; Sutton et al., 2017).

Technological advances of Uncrewed Surface Vehicles (USVs) address these observational challenges through remote surveying in harsh conditions with direct measurements of air-sea *p*CO<sub>2</sub> and wind speed. Here we present results from the first autonomous circumnavigation of Antarctica, a 22,000-km, 196-day mission. A Saildrone Inc. USV with an

integrated Autonomous Surface Vehicle CO<sub>2</sub> (ASVCO<sub>2</sub><sup>TM</sup>) system was designed specifically to survive the forces of being rolled and submerged by 15-meter breaking waves in the Southern Ocean. We calculate air-sea CO<sub>2</sub> flux from the USV and provide a thorough comparison of potential bias in CO<sub>2</sub> flux calculated with direct measurements relative to recent float-based methods (Bushinsky et al., 2019; Gray et al., 2018) and a ship-based data product (Landschützer et al., 2020) that rely on other satellite- and observational-based data products. We then discuss the potential role of flux uncertainty and interannual variability in determining the Southern Ocean carbon sink.

## 2 Materials and Methods

### 2.1 USV and sensors

The Saildrone USV is an ocean-going drone navigable via satellite communications with wind-driven propulsion and primarily solar-powered meteorological and surface ocean physical, chemical, and biological sensors. The Saildrone USV that completed the 2019 Antarctica circumnavigation is similar to the standard vehicles with a 7 m hull and 2.5 m keel described by Meinig et al. (2019) and Zhang et al. (2019) but includes an adapted wing to survive the extreme, high winds and waves of the Southern Ocean (Figure 1). This USV design includes a lower-aspect square rig designed to withstand the force of being rolled and submerged by 15 m breaking waves but limits navigation to sailing primarily downwind. This design has been recently modified to improve maneuverability.

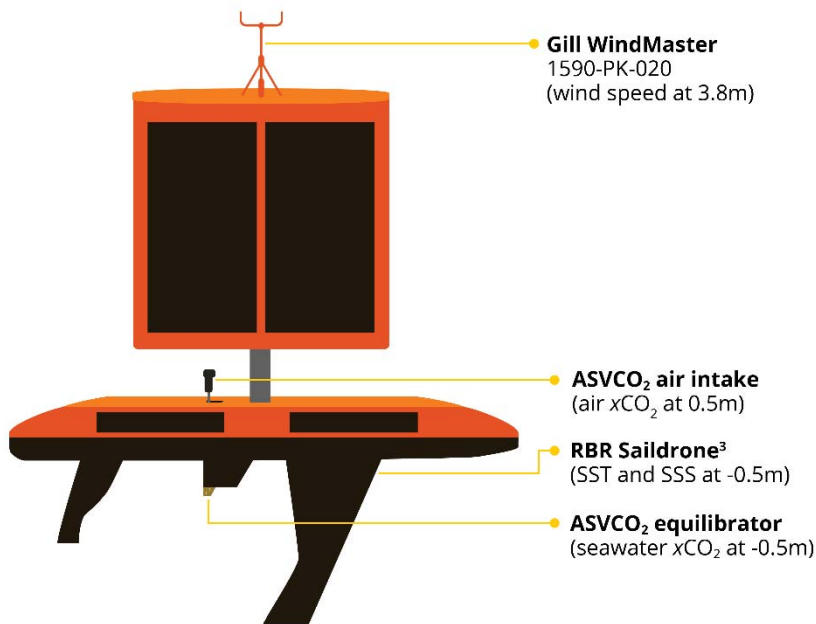
Meteorological sensors are mounted on the square wing, including a Gill WindMaster<sup>TM</sup> anemometer at 3.8 m height. Through field intercomparisons, Zhang et al. (2019) found RMS differences of  $\pm 0.6$ – $1.0$  m s<sup>-1</sup> between wind speed measured on Saildrone USVs with the standard 5 m wing compared to both the Woods Hole Oceanographic Institute's buoy Air-Sea Interaction METeorology System and the R/V *Revelle*. In this study, we use the higher-bound wind speed error of  $\pm 1.0$  m s<sup>-1</sup> derived by Zhang et al. (2019) for the estimated error of wind speed measured from the shorter wing at 3.8 m. Even though they determined that bias was inconclusive, to generate conservative estimates we use the mean bias determined from Zhang et al. (2019) intercomparisons of  $+0.2$  m s<sup>-1</sup>.

The ASVCO<sub>2</sub><sup>TM</sup> system is packaged in a waterproof enclosure mounted in the USV hull. The ASVCO<sub>2</sub> is nearly identical to the Moored Autonomous pCO<sub>2</sub> (MAPCO<sub>2</sub><sup>TM</sup>) system that has been used for over two decades on dozens of surface buoys and has a lab- and field-validated uncertainty of  $\pm 2$   $\mu$ atm or  $\pm 0.5\%$  (Sabine et al., 2020; Sutton et al., 2014). These CO<sub>2</sub> systems utilize an equilibrator-based gas collection system and an infrared gas analyzer (LI-820, LI-COR<sup>TM</sup>) calibrated in situ with reference gas traceable to World Meteorological Organization standards, a similar methodology to the underway pCO<sub>2</sub> system deployed on the global network of ships of opportunity (Pierrot et al., 2009). In order to adapt the MAPCO<sub>2</sub> for USV deployments, the ASVCO<sub>2</sub> includes an equilibrator mounted to the USV hull with a fairing added to maintain consistent water level in the equilibrator when moving at speeds greater than 4 knots (Figure 1).

The ASVCO<sub>2</sub> system collects 1-hourly measurements of sea surface and marine boundary layer atmospheric xCO<sub>2</sub> (the mole fraction of CO<sub>2</sub>) and sea level atmospheric pressure. Each xCO<sub>2</sub> measurement is paired with sea surface temperature (SST) and salinity (SSS) collected by an RBR Saildrone<sup>3</sup> CTD customized for mounting through the Saildrone USV keel

at 0.5 m depth. Seawater and air  $p\text{CO}_2$  (at in situ SST) is calculated according to standard operating procedures (Dickson et al., 2007; Weiss, 1974) as described in Sutton et al. (2014). Data from the ASVCO<sub>2</sub> system and wind speed, SST, and SSS are archived at the National Centers for Environmental Information (Sutton et al., 2020).

The USV was deployed from Bluff, New Zealand on 19 January 2019. Sailing downwind, the USV navigated east 22,000 km around Antarctica and was recovered off Bluff on 3 August 2019, 196 days later. The anemometer was damaged near the Drake Passage during an iceberg collision at the end of March.



**Figure 1.** Schematic diagram of the 2019 Southern Ocean Saildrone USV and location of the sensors used in this study. Schematic is not to scale.

## 2.2 Comparison data sets

Several data sets are used as comparisons for the USV-derived CO<sub>2</sub> fluxes. The first is v2020 of the SOM-FFN neural network product documented in Landschützer et al. (2016), which uses ship-based measurements of seawater  $p\text{CO}_2$  to estimate monthly air-sea CO<sub>2</sub> fluxes globally over the period 1982 to 2019 (Landschützer et al., 2020). The second product is the same SOM-FFN neural network, but with the addition of Southern Ocean Carbon and Climate Observations and Modeling project (SOCCOM) float-derived  $p\text{CO}_2$  as training datasets (Bushinsky et al., 2019). This product is available as “SOCCOM-only” as well as “SOCCOM+ship” for the years 2014 to 2017. To compare these two data sets with the USV, we subsample each product at the location and month of each USV CO<sub>2</sub> flux measurement and average the CO<sub>2</sub> fluxes over 10-day periods.

The third comparison dataset is air-sea CO<sub>2</sub> fluxes estimated from calculated surface ocean  $p\text{CO}_2$  from SOCCOM biogeochemical float data from 2015 to 2019, which is available



online as a quality-controlled data snapshot dated 30 August 2020 (Johnson et al., 2020). All float profiles from 2015 to 2019 were separated by year and front locations, and subsequently averaged by month to create monthly  $p\text{CO}_2$  and  $\text{CO}_2$  flux estimates for each of the three major zones discussed in this manuscript. The Subantarctic Zone is defined as profiles with an oxygen minimum deeper than 1200 m, a salinity maximum deeper than 500 m, and surface waters fresher than 34.6. The Polar Frontal zone is defined as profiles with an oxygen minimum between 900 and 1200 m deep and a deep (>1400 m) salinity maximum. The Antarctic Zone is defined as profiles with an oxygen minimum between 600 and 900 m deep and a salinity maximum deeper than 1000 m. While there are some profiles within the Seasonal Sea Ice Zone which fall within the definitions above, these profiles are not included in the analysis if they occur during a calendar year when that float profiled under ice. In contrast to previous studies, the float profiles have not been extrapolated over time and the monthly averages only represent averages of the instantaneous fluxes at the time of the float surfacing.

We use  $\text{CO}_2$  flux provided by the first two comparison data sets (Bushinsky et al., 2019 and Landschützer et al., 2020).  $\text{CO}_2$  flux for the third comparison data set (SOCCOM biogeochemical floats from 2015 to 2019) and the USV are calculated using established methodologies summarized in the Supplemental.

### 3 Results and discussion

#### 3.1 Air-sea observations

During the mission, the USV observed a large range in  $\Delta p\text{CO}_2$  (seawater – air  $p\text{CO}_2$ ) of 33 to -40  $\mu\text{atm}$  with a slightly negative mean of -4  $\mu\text{atm}$  and a variance of  $\pm 12 \mu\text{atm}$  (Figure 2). Although periods of negative and positive  $\Delta p\text{CO}_2$  were observed throughout the deployment, positive  $\Delta p\text{CO}_2$  indicating outgassing was prevalent during the latter part of the deployment, primarily during late fall and early winter in the Indian Ocean sector of the Antarctic Zone (Figure S1). Observed mean, variation, and range of air  $x\text{CO}_2$ , sea  $p\text{CO}_2$ ,  $\Delta p\text{CO}_2$ , SST, SSS, and wind speed are given in Table S2.

#### 3.2 $\text{CO}_2$ flux uncertainty analysis

The uncertainty in calculated  $\text{CO}_2$  flux can vary widely given the different options of inputs. The gas transfer velocity ( $k$ ) uncertainty of 20% applies to all  $\text{CO}_2$  flux estimates (Wanninkhof, 2014), leaving the choice and availability of wind speed, seawater  $p\text{CO}_2$ , and air  $p\text{CO}_2$  data sets the major sources of variation among different approaches.

Given the scarcity of in situ wind speed observations, the use of satellite-based wind speed in calculating  $\text{CO}_2$  flux is common. However, in many regions, these satellite-based products have biases in comparison to available in situ data (Hihara et al., 2015; Kent et al., 2013; Tomita et al., 2015; Wallcraft et al., 2009; Weissman et al., 2012) and can have significant impacts on  $\text{CO}_2$  flux estimates (Chiodi et al., 2019; Roobaert et al., 2018; Sutton et al., 2017). Directly-measured wind speed also suffer errors due to flow distortion, platform movement, and wave shadowing, resulting in uncertainties of  $\pm 0.1 \text{ m s}^{-1}$  on buoys (Cronin et al., 2008; Kubota et al., 2008; Weller, 2015) and up to  $\pm 1.0 \text{ m s}^{-1}$  on Saildrone USVs (Zhang et al., 2019).

Prior to the USV anemometer being damaged in March 2019, there is no mean difference between USV-measured and Cross-Calibrated Multi-Platform Near Real Time V2.0 (CCMP V2)

wind speed (Mears et al., 2019) or ERA-Interim Reanalysis (Dee et al., 2011) wind speed with a variance around wind speed residuals of  $\pm 1.8 \text{ m s}^{-1}$  and  $\pm 2.0 \text{ m s}^{-1}$ , respectively (Figure S2). NCEP-DOE AMIP-II Reanalysis 2 (NCEP-2) (Kanamitsu et al., 2002) and ERA5 (Hersbach et al., 2020) wind speeds have lower wind speed by 1.0 and  $0.1 \text{ m s}^{-1}$ , respectively, than measured on the USV with a variance around the mean bias of  $\pm 3.9$  and  $\pm 1.4 \text{ m s}^{-1}$ , respectively. In Table S1 these biases are reported relative to the “true” wind speed by correcting for the USV wind speed bias of  $+0.2 \text{ m s}^{-1}$  (Zhang et al., 2019). Importantly, the biases in satellite-based wind speed products relative to the USV-measured wind speed are not randomly distributed. Satellite and USV wind speeds tend to agree most closely at wind speeds of  $10 \text{ m s}^{-1}$ , but diverge at lower and higher wind speeds (Figure S2c). These results are consistent with biases reported in other intercomparisons mentioned previously and summarized by Cronin et al. (2019).

Uncertainties associated with ship-, USV-, and float-based sources of  $p\text{CO}_2$  are  $\pm 0.5\%$ ,  $\pm 0.5\%$ , and  $\pm 2.8\%$ , respectively (Table S1.) Common data sources of atmospheric baseline  $x\text{CO}_2$  are the NOAA Greenhouse Gas Marine Boundary Layer (MBL) Reference  $\text{CO}_2$  product (Dlugokencky et al., 2019) or observations from nearby atmospheric observatories, like at Cape Grim. Monthly mean  $x\text{CO}_2$  from these two sources and the USV tend to agree within 0.2 ppm; however, shorter-term variability indicating terrestrial biosphere influence is prevalent within the hourly USV observations (Figure S3) and the hourly in situ Cape Grim observations (data not shown). Converting these sources of  $x\text{CO}_2$  to  $p\text{CO}_2$  requires atmospheric pressure at sea level, which if using satellite-based products such as NCEP 2, ERA-Interim, or ERA5 introduces another possible source of error (Table S1).

Various sampling frequencies of these data sources can also introduce error into the  $\text{CO}_2$  flux calculation. Monthly  $\text{CO}_2$  flux calculated from subsampling the hourly USV  $\Delta p\text{CO}_2$  data set at 6-hourly intervals, which is the common temporal frequency of satellite-based products, results in nearly identical values to monthly flux calculated from the hourly observations (Figure S4). However, subsampling the hourly data set at all possible 10-day sampling frequencies, the timescale for float observations, results in an integrated bias in  $\text{CO}_2$  flux of  $+0.05 \text{ g C m}^{-2} \text{ mo}^{-1}$  or  $+23\%$  (less uptake/more outgassing) over the 7-month comparison period with large variation around the monthly means due to the high temporal variability of the data set at a scale of less than 10 days.

Propagated bias of USV-derived  $\text{CO}_2$  flux is  $-4\%$  (less outgassing/more uptake) driven by the potential bias in USV-measured wind speed (Table 1). In this case, USV, CCMP V2, and ERA-Interim wind speed bias are equivalent and have the same impact on calculated  $\text{CO}_2$  flux. Replacing directly-measured air  $p\text{CO}_2$  with  $p\text{CO}_2$  calculated from MBL or Cape Grim values and NCEP 2, ERA-Interim, or ERA5 sea level pressure does not significantly impact flux bias. Taking into consideration the potential bias of subsampling at 10-day intervals combined with the ERA-Interim wind speed bias results in an overall positive bias of  $+20\%$  (more outgassing/less uptake) in calculated  $\text{CO}_2$  flux primarily due to the bias in subsampling the 2019 USV data set at 10-day intervals. Monteiro et al. (2015) found that a 10-day sampling period in spring-summer in the Subantarctic Zone resulted in a 10-25% increase in uncertainty in  $\text{CO}_2$  flux relative to hourly sampling due to mixed layer responses to storm events, which may explain a similar magnitude sampling bias observed with the USV results.

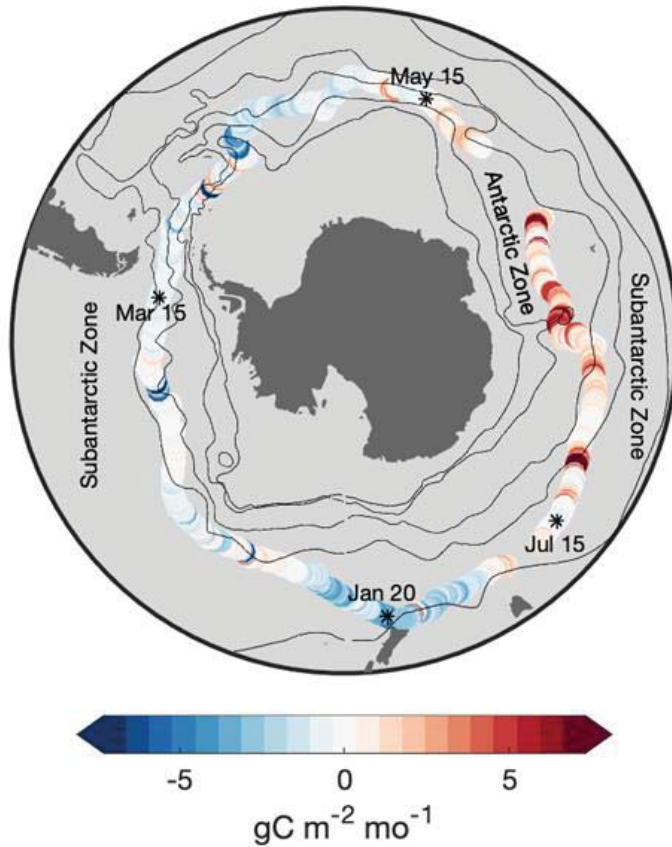
**Table 1.** Estimated bias for different approaches of calculating CO<sub>2</sub> flux by applying mean bias from Table S1 to conditions observed during the 2019 USV deployment. Resulting biases are additive based on mean biases reported in Table S1. A negative bias suggests less outgassing/more uptake; positive suggests more outgassing/less uptake. The USV CO<sub>2</sub> flux bias results from the estimated USV wind speed bias of +0.2 m s<sup>-1</sup> (Zhang et al., 2019).

Seawater pCO <sub>2</sub> data source	Air pCO <sub>2</sub> data source	Wind speed data source	Estimated CO <sub>2</sub> flux bias
USV	USV	USV	-4%
Ship or USV	Ship, USV, MBL, or Gape Grim	CCMP-NRT or ERA-Interim	-4%
Float-derived	MBL or Cape Grim	ERA-Interim	+20%

### 3.3 CO<sub>2</sub> flux comparisons

Due to the loss of the wind speed sensor during the USV deployment, USV CO<sub>2</sub> flux presented in this section is calculated using CCMP V2 wind speed. During the 2019 circumnavigation, the USV observed periods of strong outgassing as high as 10.5 g C m<sup>-2</sup> mo<sup>-1</sup> in June and July in the Antarctic Zone, which was one of the zones where SOCCOM float-based data from 2014–2017 showed stronger outgassing than the SOM-FFN ship-based climatology (Figure 3a; Bushinsky et al., 2019; Gray et al., 2018). There were also periods of intense short-scale CO<sub>2</sub> uptake during February through April, some of which were associated with phytoplankton blooms (data not shown). The periods of strong outgassing observed by the USV in June and July overlap with the Bushinsky et al. (2019) 2014–2017 SOCCOM-only SOM-FFN estimates of CO<sub>2</sub> outgassing (Figure 3a). However, the USV observations show these outgassing events occur over time periods from hours to two days in length, and these short-lived outgassing events do not lead to outgassing as strong as the SOCCOM-only SOM-FFN estimates when averaged at the 10-day scale. Mean USV CO<sub>2</sub> flux in June and July results in a weak net outgassing of 0.7 g C m<sup>-2</sup> mo<sup>-1</sup>, more similar to the Landschützer et al. (2020) ship-based data product and the Bushinsky et al. (2019) combined SOCCOM-ship SOM-FFN product than the SOCCOM-only SOM-FFN product.



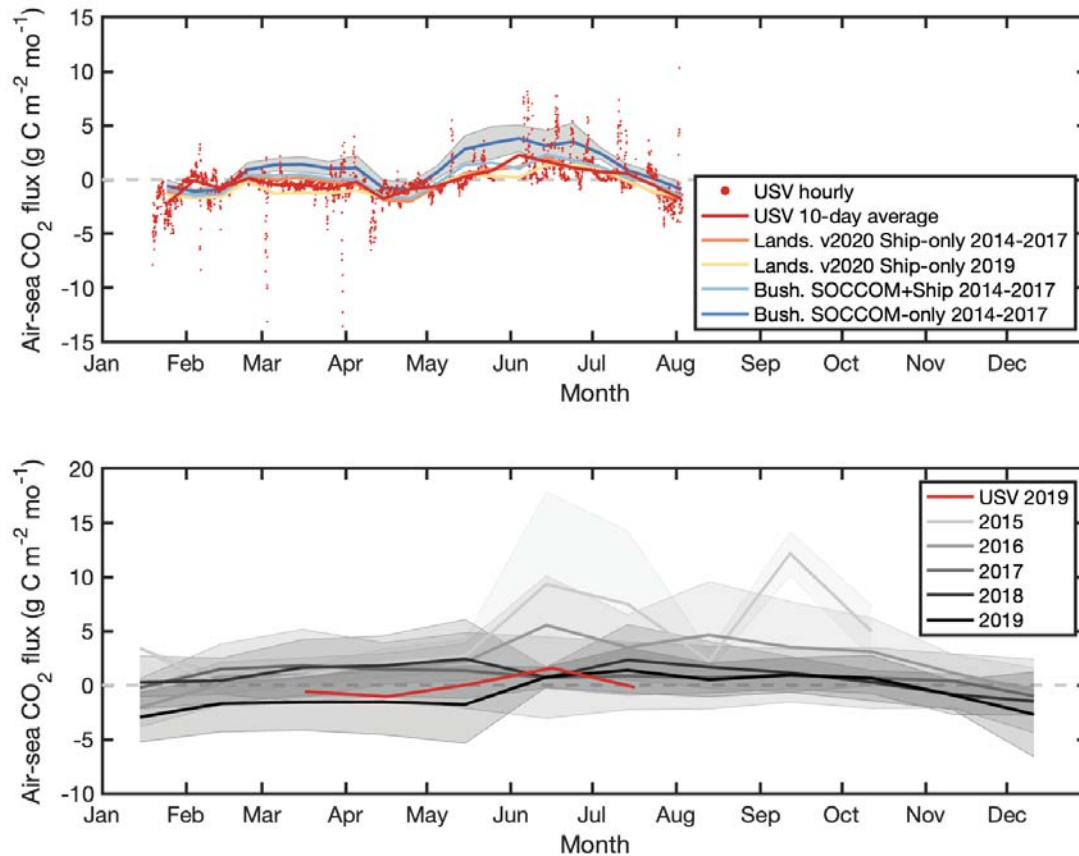


**Figure 2.** CO<sub>2</sub> flux calculated from USV-measured  $\Delta p\text{CO}_2$ , SST, and SSS and CCMP-NRT wind speed. Dates and \* show the location of the USV with time. Black lines indicate climatological locations of the major fronts from Orsi et al. (1995) as in Figure S1.

Focusing only on 2019 observations, USV-measured and float-estimated surface seawater  $p\text{CO}_2$  are consistent within standard deviations of monthly means within the Subantarctic Zone and the Antarctic Zone, the two major zones sampled by the 2019 Saildrone USV (Figure S5). Within the Antarctic Zone where Gray et al. (2018) found the largest winter-time discrepancy between float- and ship-based data, we find a mean difference of  $0.5 \pm 2.6 \text{ g C m}^{-2} \text{ mo}^{-1}$  (or no significant difference) between USV and float-derived CO<sub>2</sub> flux in March through July 2019 (Figure 3b). To test the possible effect of variable float locations on the estimates of CO<sub>2</sub> flux in the Antarctic Zone, the Landschützer v2020 SOM-FFN ship-based climatology was subsampled at the times and locations of each float observation. Float-based fluxes are on average  $1.5 \text{ g C m}^{-2} \text{ mo}^{-1}$  greater than the ship-based climatology in this zone for 2015–2019 with significant interannual variability (2015: +3.9, 2016: +2.1, 2017: +0.6, 2018: +0.8, and 2019: -0.1  $\text{g C m}^{-2} \text{ mo}^{-1}$ ).

Figure 3b illustrates this significant interannual variability in float-derived CO<sub>2</sub> flux in the Antarctic Zone from 2015–2019. Net CO<sub>2</sub> uptake observed by the USV and floats in 2019 contrasts with the strong outgassing during winter of 2015 and 2016. This interannual variability may be influenced by SAM with increased westerly wind strength during the more positive phases of SAM increasing upwelling of relatively CO<sub>2</sub>-rich waters. The greatest outgassing is

observed in the Antarctic Zone during strong positive phases of SAM in 2015 and 2016 (Figures 3b and S6). The USV data were collected during a decline in the SAM index and are similar to the float-based net flux estimates for 2019 (Figure 3b).



**Figure 3.** a) Time series of monthly CO<sub>2</sub> flux calculated using all USV observations at hourly (red dots) and 10-day averaged (red line) time steps; from Landschützer et al. (2020) SOM-FFN ship-based climatology (orange) and 2019 (yellow) subsampled at the Saildrone locations and times and averaged over 10 days; and from Bushinsky et al. (2019) using the same methods of the SOM-FFN v2020 ship-based climatology for the years 2014–2017 but incorporating seawater *p*CO<sub>2</sub> estimated from both ships and SOCCOM biogeochemical-float observations (light blue), and using only SOCCOM biogeochemical float observations (dark blue) for the years 2014–2017. The shaded area represents the interannual variability in the SOCCOM-only product over 2014–2017. b) Antarctic Zone monthly-averaged USV fluxes (red) plotted with monthly mean SOCCOM float-based CO<sub>2</sub> flux from 2015–2019 in that zone (gray). The shaded area is 1 σ of monthly mean SOCCOM CO<sub>2</sub> flux.

Analysis of the Saildrone USV observations reveal several potential sources of bias and error in USV-, ship-, and float-based CO<sub>2</sub> flux (Tables S1 and 1). Given the significant fine-scale temporal and spatial variability observed during 2019, the 10-day sampling routine of floats may introduce a bias (more outgassing/less uptake in this case), which could account for some of the difference between float- and ship-based CO<sub>2</sub> flux reported previously (Bushinsky et al., 2019; Gray et al., 2018). It is also critical to better constrain how shifts in SAM conditions play a role

in Southern Ocean CO<sub>2</sub> flux. The larger differences between the ship-based climatology and float-based flux during prolonged positive SAM conditions in 2015–2016 suggests an influence of measurement bias during those years or the possibility that the ship-based climatology does not constrain increased upwelling of CO<sub>2</sub>-rich water in higher latitudes. Sustained observations are needed to better constrain interannual variability like the anomalous strong winter outgassing observed by floats in 2015–2016 relative to 2017–2019. Better coverage of ships, USVs, and floats are needed to resolve these uncertainties in measurements and variability in the Southern Ocean.

#### 4 Conclusions

Climate change is predicted to reduce ocean CO<sub>2</sub> uptake under climate model scenarios that show intensification of winds and acceleration of the overturning circulation in the Southern Ocean (Le Quéré et al., 2007). Over the next century models also predict reductions in sea-ice cover and surface ocean warming, freshening, and stratification, which are all expected to impact the carbon sink. How these processes impact the overall balance of CO<sub>2</sub> outgassing and uptake in the Southern Ocean is uncertain. Better representation of these processes in models is necessary to predict the Southern Ocean's role in a future climate.

Our results indicate that the strong wintertime outgassing observed by floats in 2015 and 2016 was not prevalent in 2019. The change may be linked to a decline in the SAM index in the later years leading to a reduction in upwelling of CO<sub>2</sub> rich waters to the surface. More sustained observations are needed to constrain interannual variability and the impact on both Southern Ocean and global ocean CO<sub>2</sub> uptake estimates. The first circumnavigation of the Southern Ocean by a USV described here has shown the capability to collect high quality data that can be used to constrain multi-platform measurement uncertainties and interrogate how variability from the scale of hours to years may impact CO<sub>2</sub> flux estimates.

A multi-platform observing network consisting of USVs directly surveying air-sea interactions, floats measuring full water column biogeochemistry even under ice, and the ship-based measurements for ground-truthing autonomous sensors would, in combination, best track changes in ocean carbon uptake and better constrain variability. USVs fill a unique niche with the ability to survey regions for extended periods where ships do not routinely operate, opening up new opportunities for filling persistent gaps in the ocean observing system with high-quality *p*CO<sub>2</sub> and meteorological observations.

#### Acknowledgments

We acknowledge the generous support of Li Ka Shing Foundation for funding the Saildrone USV platform circumnavigation of Antarctica and Saildrone Inc. for coordination and operation of the circumnavigation and delivering real-time data. ASVCO<sub>2</sub><sup>TM</sup> development and field evaluations were supported by the Office of Oceanic and Atmospheric Research of the NOAA, U.S. Department of Commerce, including resources from the Global Ocean Monitoring and Observation program and the Pacific Marine Environmental Laboratory (PMEL). ASVCO<sub>2</sub><sup>TM</sup> development was led by PMEL scientists and engineers including Noah Lawrence-Slavas, Randy Bott, and Stacy Maenner. The USV data used here are available at <https://doi.org/10.25921/6zja-cg56> (Sutton et al., 2020). The biogeochemical Argo float data were collected and made freely available by the Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) Project funded by the National Science Foundation, Division of Polar Programs (NSF PLR -

1425989), supplemented by NASA, and by the International Argo Program and the NOAA programs that contribute to it. Data are available through Sutton et al. 2020. BT was supported by the Australian Antarctic Program Partnership and the Australian Integrated Marine Observing System. This is PMEL contribution 5181.

## References

- Bushinsky, S. M., Landschützer, P., Rödenbeck, C., Gray, A. R., Baker, D., Mazloff, M. R., et al. (2019). Reassessing Southern Ocean air-sea CO<sub>2</sub> flux estimates with the addition of biogeochemical float observations. *Global Biogeochemical Cycles*, 33(11), 1370–1388. <https://doi.org/10.1029/2019GB006176>
- Chiodi, A. M., Dunne, J. P., & Harrison, D. E. (2019). Estimating air-sea carbon flux uncertainty over the tropical Pacific: Importance of winds and wind analysis uncertainty. *Global Biogeochemical Cycles*, 33, 370–390. <https://doi.org/10.1029/2018GB006047>
- Cronin, M. F., Gentemann, C. L., Edson, J., Ueki, I., Bourassa, M., Brown, S., et al. (2019). Air-sea fluxes with a focus on heat and momentum. *Frontiers in Marine Science*, 6, 430. <https://doi.org/10.3389/fmars.2019.00430>
- Cronin, M. F., Meinig, C., Sabine, C. L., Ichikawa, H., & Tomita, H. (2008). Surface mooring network in the Kuroshio Extension. *IEEE Systems Special Issue on GEOSS*, 2(3), 424–430.
- Dee, D. P., Uppala, S. M., Simmons, A. J., Berrisford, P., Poli, P., Kobayashi, S., et al. (2011). The ERA-Interim reanalysis: configuration and performance of the data assimilation system. *Quarterly Journal of the Royal Meteorological Society*, 137(656), 553–597. <https://doi.org/10.1002/qj.828>
- Dickson, A. G., Sabine, C. L., & Christian, J. R. (Eds.). (2007). *Guide to Best Practices for Ocean CO<sub>2</sub> Measurements*: North Pacific Marine Science Organization.
- Dlugokencky, E. G., Thoning, K. W., Lang, P. M., & Tans, P. P. (2019). NOAA Greenhouse Gas Reference from Atmospheric Carbon Dioxide Dry Air Mole Fractions from the NOAA ESRL Carbon Cycle Cooperative Global Air Sampling Network. Data Path: [ftp://aftp.cmdl.noaa.gov/data/trace\\_gases/co2/flask/surface/](ftp://aftp.cmdl.noaa.gov/data/trace_gases/co2/flask/surface/) [Accessed 1 August 2019]
- Fay, A. R., McKinley, G. A., & Lovenduski, N. S. (2014). Southern Ocean carbon trends: Sensitivity to methods. *Geophysical Research Letters*, 41(19), 6833–6840. <https://doi.org/10.1002/2014GL061324>
- Frölicher, T. L., Sarmiento, J. L., Paynter, D. J., Dunne, J. P., Krasting, J. P., & Winton, M. (2014). Dominance of the Southern Ocean in anthropogenic carbon and heat uptake in CMIP5 models. *Journal of Climate*, 28(2), 862–886. <https://doi.org/10.1175/JCLI-D-14-00117.1>
- Gray, A. R., Johnson, K. S., Bushinsky, S. M., Riser, S. C., Russell, J. L., Talley, L. D., et al. (2018). Autonomous biogeochemical floats detect significant carbon dioxide outgassing in the high-latitude Southern Ocean. *Geophysical Research Letters*, 45(17), 9049–9057. <https://doi.org/10.1029/2018GL078013>



- Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., et al. (2020). The ERA5 global reanalysis. 146(730), 1999–2049.
- Hihara, T., Kubota, M., & Okuro, A. (2015). Evaluation of sea surface temperature and wind speed observed by GCOM-W1/AMSR2 using in situ data and global products. *Remote Sensing of Environment*, 164, 170–178. <https://doi.org/10.1016/j.rse.2015.04.005>
- Iudicone, D., Rodgers, K. B., Stendardo, I., Aumont, O., Madec, G., Bopp, L., et al. (2011). Water masses as a unifying framework for understanding the Southern Ocean carbon cycle. *Biogeosciences*, 8(5), 1031–1052. <https://doi.org/10.5194/bg-8-1031-2011>
- Johnson, K. S., Riser, S. C., Boss, E. S., Talley, L. D., Sarmiento, J. L., Swift, D. D., et al. (2020). SOCCOM float data - Snapshot 2020-08-30. In Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) Float Data Archive. UC San Diego Library Digital Collections. <https://doi.org/10.6075/J0BK19W5>
- Kanamitsu, M., Ebisuzaki, W., Woollen, J., Yang, S.-K., Hnilo, J. J., Fiorino, M., & Potter, G. L. (2002). NCEP–DOE AMIP-II Reanalysis (R-2). *Bulletin of the American Meteorological Society*, 83(11), 1631–1644. <https://doi.org/10.1175/BAMS-83-11-1631>
- Kent, E. C., Fangohr, S., & Berry, D. I. (2013). A comparative assessment of monthly mean wind speed products over the global ocean. *International Journal of Climatology*, 33(11), 2520–2541. <https://doi.org/10.1002/joc.3606>
- Keppler, L., & Landschützer, P. (2019). Regional wind variability modulates the Southern Ocean carbon sink. *Scientific Reports*, 9(1), 7384. <https://doi.org/10.1038/s41598-019-43826-y>
- Khatiwala, S., Primeau, F., & Hall, T. (2009). Reconstruction of the history of anthropogenic CO<sub>2</sub> concentrations in the ocean. *Nature*, 462(7271), 346–349. <https://doi.org/10.1038/nature08526>
- Kubota, M., Iwabe, N., Cronin, M. F., & Tomita, H. (2008). Surface heat fluxes from the NCEP/NCAR and NCEP/DOE reanalyses at the KEO buoy site. *Journal of Geophysical Research Oceans*, 113, C02009.
- Landschützer, P., Gruber, N., & Bakker, D. C. E. (2016). Decadal variations and trends of the global ocean carbon sink. *Global Biogeochemical Cycles*, 30(10), 1396–1417. <https://doi.org/10.1002/2015GB005359>
- Landschützer, P., Gruber, N., & Bakker, D. C. E. (2020). An observation-based global monthly gridded sea surface pCO<sub>2</sub> product from 1982 onward and its monthly climatology (NCEI Accession 0160558). Version 5.5. NOAA National Centers for Environmental Information. Dataset. <https://doi.org/10.7289/V5Z899N6> [Accessed 2020-07-10]
- Landschützer, P., Gruber, N., Bakker, D. C. E., & Schuster, U. (2014). Recent variability of the global ocean carbon sink. *Global Biogeochemical Cycles*, 28, 927–949. <https://doi.org/10.1002/2014GB004853>
- Landschützer, P., Gruber, N., Haumann, F. A., Rödenbeck, C., Bakker, D. C. E., van Heuven, S., et al. (2015). The reinvigoration of the Southern Ocean carbon sink. *Science*, 349(6253), 1221–1224. <https://doi.org/10.1126/science.aab2620>



- Large, W. G., & Pond, S. (1981). Open ocean momentum flux measurements in moderate to strong winds. *Journal of Physical Oceanography*, 11(3), 324–336. [https://doi.org/10.1175/1520-0485\(1981\)011<0324:OOMFMI>2.0.CO;2](https://doi.org/10.1175/1520-0485(1981)011<0324:OOMFMI>2.0.CO;2)
- Le Quéré, C., Rödenbeck, C., Buitenhuis, E. T., Conway, T. J., Langenfelds, R., Gomez, A., et al. (2007). Saturation of the Southern Ocean CO<sub>2</sub> sink due to recent climate change. *Science*, 316(5832), 1735–1738. <https://doi.org/10.1126/science.1136188>
- Lovenduski, N. S., Fay, A. R., & McKinley, G. A. (2015). Observing multidecadal trends in Southern Ocean CO<sub>2</sub> uptake: What can we learn from an ocean model? *Global Biogeochemical Cycles*, 29(4), 416–426. <https://doi.org/10.1002/2014GB004933>
- Lovenduski, N. S., Gruber, N., & Doney, S. C. (2008). Toward a mechanistic understanding of the decadal trends in the Southern Ocean carbon sink. *Global Biogeochemical Cycles*, 22(3), GB3016. <https://doi.org/10.1029/2007GB003139>
- Lovenduski, N. S., Gruber, N., Doney, S. C., & Lima, I. D. (2007). Enhanced CO<sub>2</sub> outgassing in the Southern Ocean from a positive phase of the Southern Annular Mode. *Global Biogeochemical Cycles*, 21(2), GB2026. <https://doi.org/10.1029/2006GB002900>
- Marshall, G. J. (2003). Trends in the Southern Annular Mode from observations and reanalyses. *Journal of Climate*, 16(24), 4134–4143. <https://doi.org/10.1175/1520-0442%282003%29016%3C4134%3ATITSAM%3E2.0.CO%3B2>
- Mears, C. A., Scott, J., Wentz, F. J., Ricciardulli, L., Leidner, S. M., Hoffman, R., & Atlas, R. (2019). A near-real-time version of the Cross-Calibrated Multiplatform (CCMP) ocean surface wind velocity data set. *Journal of Geophysical Research Oceans*, 124(10), 6997–7010. <https://doi.org/10.1029/2019JC015367>
- Meinig, C., Burger, E. F., Cohen, N., Cokelet, E. D., Cronin, M. F., Cross, J. N., et al. (2019). Public–private partnerships to advance regional ocean-observing capabilities: A Saildrone and NOAA-PMEL case study and future considerations to expand to global scale observing. *Frontiers in Marine Science*, 6, 448. <https://doi.org/10.3389/fmars.2019.00448>
- Monteiro, P. M. S., Gregor, L., Lévy, M., Maenner, S., Sabine, C. L., & Swart, S. (2015). Intraseasonal variability linked to sampling alias in air-sea CO<sub>2</sub> fluxes in the Southern Ocean. *Geophysical Research Letters*, 42(20), 8507–8514. <https://doi.org/10.1002/2015GL066009>
- Nevison, C. D., Munro, D. R., Lovenduski, N. S., Keeling, R. F., Manizza, M., Morgan, E. J., & Rödenbeck, C. (2020). Southern Annular Mode influence on wintertime ventilation of the Southern Ocean detected in atmospheric O<sub>2</sub> and CO<sub>2</sub> measurements. *Geophysical Research Letters*, 47, e2019GL085667. <https://doi.org/10.1029/2019GL085667>
- Orsi, A. H., Whitworth, T., & Nowlin, W. D. (1995). On the meridional extent and fronts of the Antarctic Circumpolar Current. *Deep Sea Research Part I: Oceanographic Research Papers*, 42(5), 641–673. [https://doi.org/10.1016/0967-0637\(95\)00021-W](https://doi.org/10.1016/0967-0637(95)00021-W)
- Pierrot, D., Neill, C., Sullivan, K., Castle, R., Wanninkhof, R., Lüger, H., et al. (2009). Recommendations for autonomous underway pCO<sub>2</sub> measuring systems and data-reduction routines. *Deep Sea Research Part II: Topical Studies in Oceanography*, 56(8–10), 512–522. <https://doi.org/10.1016/j.dsr2.2008.12.005>

- 494 Rödenbeck, C., Bakker, D. C. E., Gruber, N., Iida, Y., Jacobson, A. R., Jones, S., et al. (2015).  
495 Data-based estimates of the ocean carbon sink variability – first results of the Surface  
496 Ocean pCO<sub>2</sub> Mapping intercomparison (SOCOM). *Biogeosciences*, 12(23), 7251–7278.
- 497 Roobaert, A., Laruelle, G. G., Landschützer, P., & Regnier, P. (2018). Uncertainty in the global  
498 oceanic CO<sub>2</sub> uptake induced by wind forcing: quantification and spatial analysis.  
499 *Biogeosciences*, 15(6), 1701–1720. <https://doi.org/10.5194/bg-15-1701-2018>
- 500 Sabine, C., Sutton, A., McCabe, K., Lawrence-Slavas, N., Alin, S., Feely, R., et al. (2020).  
501 Evaluation of a new carbon dioxide system for autonomous surface vehicles. *Journal of*  
502 *Atmospheric and Oceanic Technology*, 37(8), 1305–1317.  
503 <https://doi.org/10.1175/JTECH-D-20-0010.1>
- 504 Sutton, A. J.; Battisti, R., Maenner Jones, S., Musielewicz, S., Bott, R., Osborne, J. (2020).  
505 Surface underway measurements of partial pressure of carbon dioxide (*p*CO<sub>2</sub>), sea  
506 surface temperature, sea surface salinity and other parameters from Autonomous Surface  
507 Vehicle ASV\_Saildrone1020 (EXPOCODE 32DB20190119) in the South Atlantic  
508 Ocean, South Pacific Ocean, Indian Ocean and Southern Ocean from 2019-01-19 to  
509 2019-08-03 (NCEI Accession 0221912). NOAA National Centers for Environmental  
510 Information. doi: <https://doi.org/10.25921/6zja-cg56>.
- 511 Sutton, A. J., Sabine, C. L., Maenner-Jones, S., Lawrence-Slavas, N., Meinig, C., Feely, R. A., et  
512 al. (2014). A high-frequency atmospheric and seawater *p*CO<sub>2</sub> data set from 14 open-  
513 ocean sites using a moored autonomous system. *Earth System Science Data*, 6(2), 353–  
514 366. <https://doi.org/10.5194/essd-6-353-2014>
- 515 Sutton, A. J., Wanninkhof, R., Sabine, C. L., Feely, R. A., Cronin, M. F., & Weller, R. A.  
516 (2017). Variability and trends in surface seawater *p*CO<sub>2</sub> and CO<sub>2</sub> flux in the Pacific  
517 Ocean. *Geophysical Research Letters*, 44(11), 5627–5636.  
518 <https://doi.org/10.1002/2017GL073814>
- 519 Takahashi, T., Sutherland, S. C., Wanninkhof, R., Sweeney, C., Feely, R. A., Chipman, D. W., et  
520 al. (2009). Climatological mean and decadal change in surface ocean *p*CO<sub>2</sub>, and net sea-  
521 air CO<sub>2</sub> flux over the global oceans. *Deep Sea Research Part II: Topical Studies in*  
522 *Oceanography*, 56(8–10), 554–577. <https://doi.org/10.1016/j.dsr2.2008.12.009>
- 523 Takahashi, T., Sweeney, C., Hales, B., Chipman, D. W., Newberger, T., Goddard, J. G., et al.  
524 (2012). The changing carbon cycle in the Southern Ocean. *Oceanography*, 25(3), 26–37.
- 525 Tomita, H., Kawai, Y., Cronin, M. F., Hihara, T., & Kubota, M. (2015). Validation of AMSR2  
526 sea surface wind and temperature over the Kuroshio Extension region. *SOLA*, 11, 43–47.
- 527 Wallcraft, A. J., Kara, A. B., Barron, C. N., Metzger, E. J., Pauley, R. L., & Bourassa, M. A.  
528 (2009). Comparisons of monthly mean 10 m wind speeds from satellites and NWP  
529 products over the global ocean. *Journal of Geophysical Research: Atmospheres*,  
530 114(D16), D16109. <https://doi.org/10.1029/2008JD011696>
- 531 Wanninkhof, R. (2014). Relationship between wind speed and gas exchange over the ocean  
532 revisited. *Limnology and Oceanography: Methods*, 12(6), 351–362.  
533 <https://doi.org/10.4319/lom.2014.12.351>
- 534 Watson, A. J., Schuster, U., Shutler, J. D., Holding, T., Ashton, I. G. C., Landschützer, P., et al.  
535 (2020). Revised estimates of ocean-atmosphere CO<sub>2</sub> flux are consistent with ocean

- carbon inventory. *Nature Communications*, 11(1), 4422. <https://doi.org/10.1038/s41467-020-18203-3>
- Weiss, R. F. (1974). Carbon dioxide in water and seawater: the solubility of a non-ideal gas. *Marine Chemistry*, 2(3), 203–215. [https://doi.org/10.1016/0304-4203\(74\)90015-2](https://doi.org/10.1016/0304-4203(74)90015-2)
- Weiss, R. F., Jahnke, R. A., & Keeling, C. D. (1982). Seasonal effects of temperature and salinity on the partial pressure of CO<sub>2</sub> in seawater. *Nature*, 300(5892), 511–513. <https://doi.org/10.1038/300511a0>
- Weissman, D. E., Stiles, B. W., Hristova-Veleva, S. M., Long, D. G., Smith, D. K., Hilburn, K. A., & Jones, W. L. (2012). Challenges to satellite sensors of ocean winds: Addressing precipitation effects. *Journal of Atmospheric and Oceanic Technology*, 29(3), 356–374. <http://doi.org/10.1175/JTECH-D-11-00054.1>
- Weller, R. A. (2015). Variability and trends in surface meteorology and air–sea fluxes at a site off northern Chile. *Journal of Climate*, 28(8), 3004–3023. <https://doi.org/10.1175/JCLI-D-14-00591.1>
- Williams, N. L., Juranek, L. W., Feely, R. A., Johnson, K. S., Sarmiento, J. L., Talley, L. D., et al. (2017). Calculating surface ocean pCO<sub>2</sub> from biogeochemical Argo floats equipped with pH: An uncertainty analysis. *Global Biogeochemical Cycles*, 31(3), 591–604. <https://doi.org/10.1002/2016GB005541>
- Zhang, D., Cronin, M. F., Meinig, C., Farrar, J. T., Jenkins, R., Peacock, D., et al. (2019). Comparing air-sea flux measurements from a new unmanned surface vehicle and proven platforms during the SPURS-2 field campaign. *Oceanography*, 32(2), 122–133. <https://doi.org/10.5670/oceanog.2019.220>