

# Freshwater input and vertical mixing in the Canada Basin's seasonal halocline: 1975 versus 2006-2012

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## ABSTRACT

35 The seasonal halocline impacts the exchange of heat, energy, and nutrients  
36 between the surface and the deeper ocean, and it is changing in response  
37 to Arctic sea ice melt over the past several decades. Here, we assess sea-  
38 sonal halocline formation in 1975 and 2006-2012 by comparing daily, May to  
39 September, below-ice salinity profiles collected in the Canada Basin. We eval-  
40 uate differences between the two time periods using a one-dimensional (1D)  
41 bulk model to quantify differences in freshwater input and vertical mixing.  
42 The 1D model metrics indicate that two separate factors contribute similarly  
43 to stronger stratification in 2006-2012 than in 1975: (1) larger surface fresh-  
44 water input and (2) less vertical mixing of that freshwater. The first factor is  
45 mainly important in August-September, consistent with a longer melt season  
46 in recent years. The second factor is mainly important from June until mid-  
47 August, when similar levels of freshwater input in 1975 and 2006-2012 are  
48 mixed over a different depth range, resulting in different stratification. These  
49 results imply that decadal changes to ice-ocean dynamics, in addition to fresh-  
50 water input, significantly contribute to the stronger seasonal stratification in  
51 2006-2012 than in 1975. The findings highlight the need for near-surface  
52 process studies to elucidate the roles of lateral processes and ice-ocean mo-  
53 mentum exchange on vertical mixing.

## 54 **1. Introduction**

55 The surface waters of the Arctic Ocean have changed dramatically over the past several decades  
56 as a result of the diminishing sea ice cover that once shielded much of the ocean from wind  
57 and sunlight across all seasons (Perovich 2011; McLaughlin et al. 2011; Stroeve and Notz 2018;  
58 Polyakov et al. 2020), and this has important consequences for the exchange of heat and nutrients  
59 between the surface and deeper ocean (McLaughlin et al. 2011; Carmack et al. 2015; Timmer-  
60 mans and Marshall 2020; Brown et al. 2020). Changes in Arctic sea ice conditions are generally  
61 thought to either strengthen or weaken the underlying upper-ocean stratification depending on the  
62 competing effects of freshwater input and of vertical mixing (Peralta-Ferriz and Woodgate 2015;  
63 Lique 2015; Nummelin et al. 2015; Davis et al. 2016). A now warmer atmosphere and ocean de-  
64 lays ice freeze-up, reduces winter ice growth, and can melt more sea ice each spring and summer,  
65 potentially releasing more fresh, buoyant meltwater to the surface (Stroeve et al.; Carmack et al.  
66 2016) that can stabilize the upper ocean. Conversely, the wind acts on a now more mobile ice  
67 pack (Rampal et al. 2009; Galley et al. 2013; Kwok et al. 2013; Brown et al. 2020), potentially  
68 generating greater shear that stirs and mixes the underlying ocean, that can reduce the stability of  
69 the upper ocean (Lemke and Manley 1984; Polyakov et al. 2020). Increased stratification has been  
70 documented in recent decades in many regions of the Arctic, but the exact link between freshwater  
71 input and upper-ocean vertical mixing remains an open question.

72 We examine this question by comparing the seasonal evolution of the upper ocean below sea ice  
73 during two time periods that are separated by approximately three decades, and that are associated  
74 with distinctly different sea ice conditions. The datasets come from the 1975 Arctic Ice Dynamics  
75 Joint Experiment (AIDJEX) program (Untersteiner et al. 2007) and from the 2004-present Ice-  
76 Tethered Profiler (ITP) instrumentation system (Krishfield et al. 2008). Compared to the 1975

77 AIDJEX dataset, the ITP dataset is associated with lower sea ice concentration (Fig. 1), has less  
78 multi-year sea ice area and volume (Wadhams 2012; Kwok 2018), and is made up of smaller ice  
79 floes (Hutchings and Faber 2018) that are both thinner (Kwok and Rothrock 2009; Kwok 2018) and  
80 less deformed, with shallower ridges (Wadhams 2012; Hutchings and Faber 2018; Kwok 2018).

81 Both the ITP and AIDJEX data were collected in the Canada Basin (Fig. 1), where the upper-  
82 ocean hydrography evolves seasonally in response to changes in sea ice (McPhee and Smith  
83 1976; Morison and Smith 1981; Lemke and Manley 1984; Jackson et al. 2010; Toole et al. 2010;  
84 Peralta-Ferriz and Woodgate 2015), river runoff (Macdonald et al. 1999; Yamamoto-Kawai et al.  
85 2009), and Ekman dynamics in the Beaufort Gyre (Proshutinsky et al. 2009; Carmack et al. 2016;  
86 Meneghello et al. 2018). In the spring and summer, freshwater flux from snow and sea ice melt  
87 causes the surface mixed layer to freshen and shoal, forming a seasonal halocline. The predom-  
88 inant, clockwise atmospheric circulation (Beaufort High) drives convergent Ekman pumping in  
89 the Beaufort Gyre most noticeably in the fall, causing low salinity surface water to converge and  
90 the halocline to deepen within the basin (Reed and Kunkel 1960; Gudkovich 1961; Hunkins and  
91 Whitehead 1992; Proshutinsky et al. 2009; Newton et al. 2006; Jackson et al. 2010; McLaugh-  
92 lin and Carmack 2010; Meneghello et al. 2018). In the winter, sea ice formation results in brine  
93 rejection, which increases the surface water salinity and causes convectively-driven mixed-layer  
94 deepening that erodes the seasonal halocline.

95 Comparisons of single representative profiles from ITP and AIDJEX data that were collected in  
96 roughly the same location indicate a trend toward fresher surface waters, shallower mixed layers,  
97 and a more stably stratified upper ocean (Toole et al. 2010; MCPhee 2012), similar to the com-  
98 parison of AIDJEX and 1997 Surface HEat Budget of the Arctic (SHEBA) data (McPhee et al.  
99 1998). June–September and November–May seasonal averages of hydrographic data across the  
100 Arctic during 1979–2012, which did not include ITP or AIDJEX data, confirmed statistically sig-

101 nificant  $\sim 30$ -year trends toward a more stably stratified upper ocean with shallower and fresher  
102 mixed layers in the Canada Basin (Peralta-Ferriz and Woodgate 2015). Decadal changes to the  
103 surface waters were primarily attributed to increased freshwater input from ice melt, river run-off,  
104 and precipitation. This freshwater has collected toward the center of an intensified anticyclonic  
105 (convergent) Beaufort Gyre (Macdonald et al. 1999; Proshutinsky et al. 2009; Jackson et al. 2010;  
106 McLaughlin and Carmack 2010; Steele et al. 2011; Peralta-Ferriz and Woodgate 2015). However,  
107 the seasonality of the freshwater input, the vertical extent of wind-driven mixing, and upper-ocean  
108 stratification was not addressed in these previous studies.

109 In this study, we compare seasonal processes of the upper ocean by focusing on the evolving time  
110 series from May to September in the 2006-2012 ITP data and 1975 AIDJEX data. This seasonal  
111 analysis differs from previous studies that compared two single profiles (Toole et al. 2010; McPhee  
112 et al. 1998), two 20-day average profiles (McPhee 2012), or used four and seven month averages  
113 (Peralta-Ferriz and Woodgate 2015). We interpret the results using a simple one-dimensional bulk  
114 model of seasonal halocline formation that allows for the comparison of the ITP and AIDJEX  
115 data in terms of seasonal freshwater input and vertical mixing. The datasets used for this study  
116 are presented in Section 2, and the one-dimensional model is presented in Section 3. In Section  
117 4, we present results comparing the ITP and AIDJEX hydrographic data in conjunction with the  
118 one-dimensional model. We discuss mechanisms that could explain changes to the relationship  
119 between freshwater input, vertical mixing, and stratification during the two time periods in Section  
120 5 and summarize our results in Section 6.

## 2. Data

This study addresses spring-to-summer halocline formation associated with two distinctly different time periods and sea ice regimes. To this end, we use May–September data from the AIDJEX and ITP programs.

A major component of the AIDJEX program consisted of four occupied, drifting ice camps where oceanographic data were collected for approximately one year between May 1975 and April 1976 (Table 1). Salinity and temperature profiles between depths of 5 m and 750 m were measured daily at each camp, with a vertical resolution of 1–2 m, using a Plessey model 9040 conductivity, temperature, depth measurement system, resulting in 1279 vertical profiles. See Maykut and McPhee (1995) for a full description of the data used in this analysis.

The ITP instrument system records temperature and salinity profiles with a vertical resolution of 25 cm throughout the Arctic. The system consists of a series of surface buoys, frozen into drifting ice floes, connected to 800-m-long wires. CTD profilers move up and down the wires collecting data approximately 2–3 times per day. We use quality-controlled data, identified as level 3 in the ITP data archives, which have 1 m vertical resolution and were available for 2004–2012 at the time of the analysis. We examine all available level-3 processed data within the Canada Basin, which we define as the region bounded by 72°N, 80°N, 130°W, and 155°W (similar to the region defined by Peralta-Ferriz and Woodgate (2015); dashed lines, Fig. 1). Further, we select only ITPs that have data starting in May of a given year, similar to the data available from the AIDJEX ice camps. Lastly, profiles were removed if the shallowest observed value was deeper than 10 meters (following Jackson et al. 2010), which helps to account for the fact that ITPs often start sampling too far from the surface to accurately measure the summer mixed layer.

143 In total, 517 AIDJEX profiles collected in 1975 from 4 ice camps and 2892 ITP profiles col-  
144 lected between 2006-2012 from 12 different ITPs satisfied these criteria (Table 1), with average  
145 shallowest measurements of  $\sim 6$  m and  $\sim 7$  m, respectively. All profiles were linearly interpolated  
146 onto a common 1-m vertical grid. Ice thickness measurements are not available for all ITP profiles  
147 or AIDJEX ice camps. For both datasets, we therefore assume an ice–ocean interface at 3 m, a  
148 climatological multi-year sea ice thickness in the Canada Basin, and keep the salinity and tem-  
149 perature constant from the shallowest measurements of each profile to  $z = -3$  m, with the z-axis  
150 defined as positive up. We discuss the sensitivity of our results to missing near-surface data in  
151 Section 5.

152 To estimate the freshwater input from sea ice melt, we also examine 1979-2018 sea ice volume  
153 estimates provided by the Pan-arctic Ice Ocean Modeling and Assimilation System (Schweiger  
154 et al. 2011). The PIOMAS sea ice volume was regridded to the 25 km Equal-Area Scalable Earth  
155 (EASE) grid and averaged over the Canada Basin (bounded by  $72^\circ\text{N}$ ,  $80^\circ\text{N}$ ,  $130^\circ\text{W}$ , and  $155^\circ\text{W}$ ,  
156 as in the hydrographic data).

157 To qualitatively compare the sea ice conditions associated with the AIDJEX and ITP datasets,  
158 we examine 1975 and 2006-2012 sea ice concentrations. Daily 2006-2012 sea ice concentra-  
159 tion observations are provided by Passive Microwave satellite data, Version 1 (Cavalieri et al.  
160 1996), which combines data from the Defense Meteorological Satellite Program Special Sen-  
161 sor Microwave/Imager (DMSP SSM/I, 2006-2007) and the Special Sensor Microwave Imager/  
162 Sounder (SSMIS, 2007-2012). Sea ice concentration data are co-located to each ITP observation.  
163 We note that low sea ice concentration from the passive microwave data can imply either low ice  
164 concentration or surface melt ponds (e.g., Kern et al. 2016). Since the AIDJEX data were collected  
165 in 1975, before the satellite data were available, we use the Canadian Ice Service digital archive  
166 (CISDA) chart data for the western Arctic region to determine the temporal evolution of sea ice



167 concentration during that year in the Canada basin region (Tivy et al. 2011). Gridded datasets for  
168 each CISDA chart in June-September 1975 were analyzed to provide a weekly regional mean sea  
169 ice concentration.

### 170 **3. One-Dimensional Framework**

171 One-dimensional (1D) ice-ocean models are used to provide a framework for interpreting ob-  
172 served seasonal mixed-layer evolution (Morison and Smith 1981; Lemke and Manley 1984; Lemke  
173 1987; Toole et al. 2010; Petty et al. 2013; Tsamados et al. 2015). Here, we model seasonal halo-  
174 cline formation starting from a homogeneous winter mixed layer in an idealized system (Fig. 2),  
175 building off of conceptual models used to estimate freshwater input, vertical mixing, and upper-  
176 ocean stratification from hydrographic data in previous studies (Simpson et al. 1978; Peralta-Ferriz  
177 and Woodgate 2015; Randelhoff et al. 2017). This idealized model omits a range of processes as-  
178 sociated with horizontal advection and ice formation; the impact of these will be considered in  
179 Section 4.

#### 180 *a. Model Equations*

181 We consider a closed, 1D ice-ocean system with an ocean of depth  $L$  that only evolves in re-  
182 sponse to thermodynamic sea ice melt and vertical mixing with the following initial conditions  
183 ( $t = t_0$ ): a well-mixed ocean, with vertically uniform salinity ( $S_0$ ) and potential density ( $\rho_0$ ), and  
184 sea ice with constant salinity ( $S_{ice}$ ) and density ( $\rho_{ice}$ ). If melt water is vertically mixed to some  
185 depth,  $Z_{fw}$ , then the salinity and density below this depth remains fixed at  $S_0$  and  $\rho_0$  (i.e.,  $S(z) = S_0$   
186 and  $\rho(z) = \rho_0$  for  $z \leq Z_{fw}$ , where  $z$  and  $Z_{fw}$  are both negative). The conservation of salt and mass

187 for time  $t > t_0$  can be written as:

$$\int_{Z_{fw}(t)}^{Z_{ice}} \rho(t, z) S(t, z) \cdot dz - \rho_0 S_0 (Z_{ice} - Z_{fw}(t)) = \rho_{ice} S_{ice} h_{melt}(t) \quad (1)$$

$$\int_{Z_{fw}(t)}^{Z_{ice}} \rho(t, z) \cdot dz - \rho_0 (Z_{ice} - Z_{fw}(t)) = \rho_{ice} h_{melt}(t), \quad (2)$$

188 where  $Z_{ice}$  is the ice draft,  $h_{melt}$  is the change in sea ice thickness from melt,  $\rho(t, z)$  and  $S(t, z)$  are  
 189 the ocean potential density and salinity, respectively. The above expressions, therefore, represent  
 190 the change in mass and salt in the ocean (left-hand side) in response to sea ice melt (right-hand  
 191 side). These equations can be algebraically combined to estimate the sea ice melt necessary to  
 192 explain the transition from the initial, well-mixed ocean ( $S_0, \rho_0$ ) to the subsequent ocean profile  
 193 that includes vertically mixed meltwater ( $S(t, z), \rho(t, z)$ ) at any time  $t > t_0$ :

$$h_{melt}(t) = \int_{Z_{fw}(t)}^{Z_{ice}} \frac{\rho(t, z)(S(t, z) - S_0)}{\rho_{ice}(S_{ice} - S_0)} \cdot dz. \quad (3)$$

194 Alternatively,  $h_{melt}$  can be written in terms of pure freshwater, rather than ice melt, by replacing  $S_{ice}$   
 195 and  $\rho_{ice}$  with values for freshwater ( $S_{FW} = 0$  and  $\rho_{FW}$ ). Further, if we assume that the density of  
 196 freshwater and salt water are approximately equal, equation (3) becomes:  $sFWC(t) = \int_{Z_{fw}(t)}^{Z_{ice}} (S_0 -$   
 197  $S(t, z))/S_0 \cdot dz$ , similar to the expression for freshwater content (FWC) used in numerous studies.  
 198 However, FWC incorporates a reference salinity, set to the Arctic Ocean mean salinity of 34.8  
 199 psu (Carmack et al. 2016), while sFWC is referenced to the initial conditions,  $S_0$ . This difference  
 200 implies that  $h_{melt}$  and sFWC reflect the seasonal near-surface freshwater content. This approach is  
 201 similar to previous studies that estimated sea ice melt from mixed-layer salinity evolution (Lemke  
 202 and Manley 1984; Peralta-Ferriz and Woodgate 2015), but here the depth range is set by  $Z_{fw}$  and  
 203  $Z_{ice}$  rather than a mixed-layer depth criterion. That is, we estimate the freshwater input from sea  
 204 ice melt over a well-defined volume, which avoids errors that can arise when using a reference  
 205 salinity (Schauer and Losch 2019).

We will also use the vertically integrated change in salinity relative to  $S_0$ , sometimes referred to as the “salt deficit” or “buoyancy deficit” (Martinson 1990; Martinson and Iannuzzi 1998; Randelhoff et al. 2017):

$$\Phi(t) = \int_{Z_{fw}(t)}^{Z_{ice}} S_0 - S(t, z) \cdot dz. \quad (4)$$

$\Phi$  is approximately linearly related to  $h_{ice}$  and, therefore, also provides a bulk estimate of the cumulative amount of freshwater input at any time  $t > t_0$ .

Different salinity profiles are possible in response to the same amount of ice melt, depending on how the melt water is vertically spread or mixed through the water column (Fig. 2). For example, if the melt water were concentrated close to the surface (less vertical mixing, shallow  $Z_{fw}$ ), this would result in more surface freshening and a more stably stratified water column with a lower potential energy (Fig. 2; left side). Alternatively, if the melt water were spread over a larger depth range (more vertical mixing, deep  $Z_{fw}$ ), this would result in less surface freshening and a less stably stratified water column with a higher potential energy (Fig. 2; right side).

To quantify this effect, we will consider two bulk metrics of stratification. First, we define the surface freshening at any time  $t > t_0$  as the surface salinity anomaly relative to the initial condition:

$$\mathbb{S}(t) = S(t, Z_{ice}) - S_0. \quad (5)$$

Second, we consider the potential energy relative to the mixed state (Simpson and Hunter 1974; Simpson et al. 1978):

$$\mathbb{W}(t) \equiv \int_H^{Z_{ice}} (\rho(t, z) - \langle \rho(t) \rangle) g z \cdot dz, \quad (6)$$

where

$$\langle \rho(t) \rangle = \frac{1}{Z_{ice} - H} \int_H^{Z_{ice}} \rho(t, z) \cdot dz. \quad (7)$$

In equation (6), the first term represents the total potential energy at time  $t$ , and the second term represents the potential energy that the fluid would have if it were in a well-mixed (homogenized)

state within the top  $|H|$  meters, with uniform density  $\langle \rho(t) \rangle$  (i.e., the state in which the potential energy is maximized).  $\mathbb{W} = 0$  for a well-mixed system and  $\mathbb{W} < 0$  for a stably stratified system. Considering the conservation of energy (neglecting dissipation),  $|\mathbb{W}|$  provides a measure of the work or kinetic energy input needed to completely mix the water column to any depth  $H$ . We note that  $\mathbb{W}$  differs from the available potential energy (APE), which is a measure of the change in potential energy that would occur if the fluid were adiabatically re-arranged to minimize the potential energy (Lorenz 1955), rather than diapycnally mixed to maximize the potential energy.

### *b. Separating freshwater input and vertical mixing*

We seek bulk representations of  $\mathbb{S}$  and  $\mathbb{W}$  to directly compare the 1975 AIDJEX data and 2006-2012 ITP data in terms of changes to (1) the seasonal freshwater input and (2) vertical mixing. That is, for any time  $t > t_0$ , we seek:

$$\delta\mathbb{S}(t) = f(\delta\Phi(t), \delta D(t)) \quad (8)$$

$$\delta\mathbb{W}(t) = f(\delta\Phi(t), \delta D(t)), \quad (9)$$

where  $\delta$  indicates the difference between ITP and AIDJEX data, and  $D$  is a bulk indicator of the vertical mixing, where we define larger and smaller mixing as mixing that leads to a deeper or shallower seasonal halocline.

We choose the equivalent mixed-layer depth, an integral quantity that is closely related to the vertical extent of wind-driven mixing (Randelhoff et al. 2017):

$$D(t) = \frac{\Phi(t)}{\mathbb{S}(t)}, \quad (10)$$

where  $D + Z_{ice}$  indicates the depth of the halocline if the meltwater were completely mixed (i.e., if the salinity were homogenized), implying that the salinity profile would have a two-layer form

243 and  $D + Z_{ice} = Z_{fw}$ :

$$S_{bulk}(t, z) = \begin{cases} S_0 + \Phi(t)/D(t) & D(t) + Z_{ice} \leq z \leq Z_{ice} \\ S_0 & z < D(t) + Z_{ice} \end{cases} \quad (11)$$

244 (see Fig. 2 for an illustration of this 2-layer profile).

245 Next, we seek a bulk estimate of the potential energy anomaly ( $\mathbb{W}$ ) associated with the 2-  
 246 layer system. We first assume that the density varies linearly with salinity ( $\rho_{bulk}(t, z) - \rho_0 =$   
 247  $\beta(S_{bulk}(t, z) - S_0)$ ), implying that the 2-layer potential density profile ( $\rho_{bulk}$ ) can be written as:

$$\rho_{bulk}(t, z) = \begin{cases} \rho_0 + \beta\Phi(t)/D(t) & D(t) + Z_{ice} \leq z \leq Z_{ice} \\ \rho_0 & z < D(t) + Z_{ice}, \end{cases} \quad (12)$$

248 where  $\beta$  is the haline contraction coefficient.

249 Applying (12) to (6) and (7), we can write an expression for  $\mathbb{W}$  associated with the 2-layer  
 250 system:

$$\mathbb{W}_{bulk}(t) = \int_{H-Z_{ice}}^0 (\rho_{bulk}(t, z') - \langle \rho_{bulk}(t) \rangle) g z' dz' \quad (13)$$

251 and

$$\langle \rho_{bulk}(t) \rangle = \frac{1}{H - Z_{ice}} \int_{H-Z_{ice}}^0 \rho_{bulk}(t, z') dz' \quad (14)$$

252 where we have applied a change of variables  $z' = z - Z_{ice}$ . The above expression for  $\mathbb{W}_{bulk}$  can  
 253 then be reduced to:

$$\mathbb{W}_{bulk}(t) = \frac{\beta\Phi(t)g}{2} (H - D(t) - Z_{ice}), \quad (15)$$

254 for any time  $t \geq t_0$ .

255 The bulk representation of the surface freshening ( $\mathbb{S}$ ) associated with this 2-layer system for any  
 256 time  $t \geq t_0$  is:

$$\mathbb{S}_{bulk}(t) = \frac{\Phi(t)}{D(t)}, \quad (16)$$

257 following equation (10).  $\mathbb{S}_{bulk}$ , therefore, indicates the salt content changes within the mixed layer  
 258  $D$ .

259 Two factors determine  $\mathbb{S}_{bulk}$  and  $\mathbb{W}_{bulk}$ : (1) the amount of freshwater input (related to  $\Phi$ ) and  
 260 (2) the concentration or dilution of that freshwater toward the surface by vertical mixing (related  
 261 to  $D$ ). We can, therefore, estimate how each factor contributes to  $\delta\mathbb{S}$  and  $\delta\mathbb{W}$  (see eq. (8)-(9)) by  
 262 writing  $\mathbb{S}_{bulk}$  and  $\mathbb{W}_{bulk}$  derived from 2006-2012 ITP data in terms of the changes relative to the  
 263 1975 AIDJEX data:

$$\mathbb{S}_{ITP}(t) = \frac{\Phi_{AJX}(t) + \delta\Phi(t)}{D_{AJX}(t) + \delta D(t)}, \quad (17)$$

$$\mathbb{W}_{ITP}(t) = \frac{\beta g}{2}(\Phi_{AJX}(t) + \delta\Phi(t)) \cdot (H - Z_{ice} - (D_{AJX}(t) + \delta D(t))), \quad (18)$$

264 where  $ITP$  indicates that the value is derived from ITP data,  $AJX$  indicates that the value is derived  
 265 from AIDJEX data, and  $\delta$  is the difference between ITP and AIDJEX data ( $\delta X = X_{ITP} - X_{AJX}$ ).

266 The difference between  $\mathbb{S}_{bulk}$  in 1975 and 2006-2012 ( $\delta\mathbb{S} = \mathbb{S}_{ITP} - \mathbb{S}_{AJX}$ ) can then be re-written  
 267 algebraically to isolate the relative contributions of  $\delta\Phi$  and  $\delta D$  on  $\delta\mathbb{S}$ :

$$\delta\mathbb{S}(t) = \underbrace{\frac{\delta\Phi(t)}{D_{AJX}(t)}}_{\text{changes to freshwater}} - \underbrace{\frac{\Phi_{AJX}(t)\delta D(t)}{D_{AJX}(t)(D_{AJX}(t) + \delta D(t))}}_{\text{changes to vertical mixing}} - \underbrace{\frac{\delta\Phi(t)\delta D(t)}{D_{AJX}(t)(D_{AJX}(t) + \delta D(t))}}_{\text{coupled term}}. \quad (19)$$

268 Similarly, the difference between  $\mathbb{W}_{bulk}$  in 1975 and 2006-2012 ( $\delta\mathbb{W} = \mathbb{W}_{ITP} - \mathbb{W}_{AJX}$ ) can be  
 269 written as:

$$\delta\mathbb{W}(t) = \frac{\beta g}{2} \left( \underbrace{((H - Z_{ice} - D_{AJX}(t))\delta\Phi(t))}_{\text{changes to freshwater}} - \underbrace{\Phi_{AJX}(t)\delta D(t)}_{\text{changes to vertical mixing}} - \underbrace{\delta\Phi(t)\delta D(t)}_{\text{coupled term}} \right) \quad (20)$$

270 (see Supporting Information for full derivation).

271 The three terms on the right-hand sides of (19) and (20) are estimates of the decadal changes  
 272 to the stratification associated with (1) changes related to the amount of freshwater input ( $\delta\Phi$ ),  
 273 holding the vertical mixing to AIDJEX values ( $D_{AJX}$ ); (2) changes to the vertical mixing ( $\delta D$ ),

274 holding the amount of freshwater input equal to AIDJEX values ( $\Phi_{AJX}$ ); and (3) the contribution  
 275 from the two terms coupled together.

276 We note that  $\mathbb{S}_{bulk}$  and  $\mathbb{W}_{bulk}$  have a similar dependence on  $\Phi$  and  $D$ . However,  $\mathbb{S}$  depends on  
 277 the initial condition,  $S_0$ , but not a chosen depth range,  $H$ , while  $\mathbb{W}$  depends on  $H$  but not  $S_0$ . We,  
 278 therefore, use the observations to explore both of these expressions.

## 279 4. Results

280 The observations indicate that the surface is  $\sim 2$ -4 g/kg fresher in 2006-2012 than in 1975, yet  
 281 both time periods have a similar seasonal evolution (Fig. 3). At the beginning of May, both datasets  
 282 indicate mixed layers that are relatively deep (thick black lines, Fig. 3a). As spring progresses, the  
 283 surface freshens and the seasonal halocline forms (dashed black lines, Fig. 3a,b). Toward the end  
 284 of summer, sea ice forms, the surface becomes progressively saltier, and the mixed layer deepens,  
 285 eroding the seasonal halocline (compare dashed and thick black lines, Fig. 3b). Compared to  
 286 1975, 2006-2012 appears to have more seasonal freshwater stored closer to the surface, resulting  
 287 in more seasonal surface freshening and a more stably stratified upper ocean for a longer time  
 288 period. Qualitatively, this is consistent with the previous studies described in Section 1.

289 To compare the seasonal evolution of the upper-ocean during 1975 and 2006-2012 using the  
 290 1D framework, we set  $S_0$  equal to the May-average surface salinity ( $S(Z_{ice})$ ) measured by the  
 291 same ITP or AIDJEX ice camp during the same year and we set  $H = 30$  m. That is, we examine  
 292 the seasonal freshwater input ( $\Phi$ ,  $h_{melt}$ ), vertical mixing ( $Z_{fw}$ ,  $D$ ), and the surface freshening ( $\mathbb{S}$ )  
 293 relative to the May average, which marks the beginning of the melt season measured by a given  
 294 ITP or AIDJEX ice camp. We examine  $\mathbb{W}$  over the top 30 meters, which corresponds to  $\mathbb{W} \sim 0$  in  
 295 May 1975 and 2006-2012 (see Section 4a), similar to the initial conditions of the 1D framework.

296 We present results based on alternative values of  $H$  and  $S_0$  in the Supporting Information. All  
297 other constants are given in Table 2.

298 Figure 4 shows an example of how various quantities presented in Section 3 are computed for  
299 a single profile using observations from one AIDJEX ice camp (Fig. 4; left side) and one ITP  
300 (Fig. 4; right side). The freshwater input, indicated by  $h_{melt}$  and  $\Phi$  (Fig. 4c-d), reflects any process  
301 that drives changes to the upper-ocean salinity, including sea ice melt, river runoff, precipitation,  
302 or advection, although previous studies have demonstrated that the majority of the seasonal fresh-  
303 water input during the melt season is derived from sea ice melt (e.g., Lemke and Manley 1984;  
304 Peralta-Ferriz and Woodgate 2015). Vertical mixing, indicated by  $Z_{fw}$  and  $D$  (Fig. 4e-f), reflects  
305 any process that vertically spreads that freshwater.

#### 306 *a. Validation*

307 To test the validity of our approach, we compare the seasonal freshwater input in terms of the  
308 equivalent ice melt ( $h_{melt}$ ), derived from hydrographic data, to the effective ice thickness change  
309 relative to May of each year between 1979-2018 using PIOMAS. We compute  $h_{melt}$  associated  
310 with each profile in 1975 and 2006-2012. The seasonal evolution of  $h_{melt}$  and the monthly ice  
311 thickness relative to May are shown in Figure 5. Both estimates indicate sea ice melt through  
312 August. In 1975,  $h_{melt}$  begins to decrease in early September in response to sea ice formation and  
313 entrainment. In 2006-2012,  $h_{melt}$  continues to increase through September in response to a later  
314 freeze up (Fig. 5a). We find similar results using different definitions of  $S_0$  (Fig. S1).

315 We find good agreement between the PIOMAS seasonal ice thickness changes and the estimated  
316 sea ice melt using oceanographic observations during summer, consistent with previous studies.  
317 By the end of August, we find  $h_{melt} \sim 0.5$ -1 m in 1975 and  $h_{melt} \sim 1$ -2 m in 2006-2012, consis-  
318 tent with estimated sea ice melt during similar time periods using hydrographic data (Lemke and



Manley 1984; Peralta-Ferriz and Woodgate 2015) and ice mass balance buoys (e.g., Perovich and Richter-Menge 2015). The consistency of these findings provides indirect evidence that  $h_{melt}$  is a reasonable estimate of the seasonal freshwater input. We note that in June, some data points indicate  $h_{melt} < 0$ . For the remainder of the analysis, we only consider profiles with positive values of  $h_{melt}$ .

Using each observed profile, we compare the potential energy anomaly over the top 30 m ( $\mathbb{W}$ ) to the associated two-layer estimate ( $\mathbb{W}_{bulk}$ ). The seasonal evolution of each of these values in the 1975 AIDJEX and 2006-2012 ITP datasets is shown in Figure 6. We find a clear agreement between the observations and the two-layer estimates. First, both  $|\mathbb{W}|$  and  $|\mathbb{W}_{bulk}|$  indicate that the seasonal halocline forms in late June of 1975 and 2006-2012, but is more stably stratified for a longer period of time in 2006-2012. Second, both  $|\mathbb{W}|$  and  $|\mathbb{W}_{bulk}|$  are up to five times larger in 2006-2012 than in 1975, implying that five times more energy would be required to deepen the mixed layer to 30 meters. The similarities between  $\mathbb{W}$  and  $\mathbb{W}_{bulk}$  indicate that the 2-layer simplifications represent the majority of the key features necessary to explain the differences between the upper-ocean seasonal evolution in 1975 and 2006-2012.

The equivalent mixed-layer depth ( $D$ ) and the associated surface freshening ( $\mathbb{S}$ ) in 1975 and 2006-2012 are shown in Figure 7. These metrics indicate a number of differences between the ITP and AIDJEX datasets that are consistent with previously documented decadal trends in the Canada Basin. Specifically, Peralta-Ferriz and Woodgate (2015) found statistically significant trends of mixed-layer freshening (0.11 psu/yr) and mixed-layer shoaling (0.33 m/yr) during June–September in regions of the Canada Basin with high sea ice concentration ( $>15\%$ ). These rates of change would imply an average change of 3.7 psu and 11.2 m over 34 years, similar to the 3.1 g/kg and 14.5 m difference in the surface salinity ( $\mathbb{S} + S_0$ ) and the equivalent mixed-layer depth ( $D$ ) between the 1975 AIDJEX data and the 2006-2012 ITP data over the same months.

Overall, these findings suggest that a comparison of the ITP and AIDJEX datasets, in conjunction with the one-dimensional framework presented in Section 3, yields results that are consistent with Peralta-Ferriz and Woodgate (2015) using seasonal averages.

*b. 1975 vs 2006-2012*

The relationship between estimates of freshwater input from ice melt and other freshwater sources ( $h_{melt}$ ), vertical mixing ( $D$ ), and upper-ocean stratification ( $S, W$ ) is shown in Figure 8, using every June-September profile in 1975 and 2006-2012. During each time period, we find that the parameters exhibit relationships that are qualitatively consistent with a 1D system, where surface fluxes that result in a more buoyant surface layer cause a more stable stratification that inhibits vertical mixing (Turner 1967; Kraus and Turner 1967; Lemke and Manley 1984; Lemke 1987). That is, profiles with more freshwater input (larger  $h_{melt}$ ) are associated with less vertical mixing (smaller  $|D|$ ) and a more stably stratified upper-ocean (large  $|S|, |W|$ ).

Considering differences between 1975 and 2006-2012, we find that there are more profiles in 2006-2012 with large values of  $h_{melt}$  and hence small values of  $|D|$  and large values of  $|S|$  and  $|W|$ , as in a 1D system. However, we also consistently find profiles with the same amount of freshwater ( $h_{melt}$ ) in both time periods but with the freshwater concentrated closer to the surface (smaller  $|D|$ ) in 2006-2012 than in 1975 (Fig. 8a). These differences in  $|D|$  are also associated with a more stable stratification (large  $|S|, |W|$ ; Fig. 8b-c). That is, there are two separate factors causing the more stable stratification in 2006-2012 than in 1975: (1) more freshwater input (larger  $h_{melt}$ ), which mainly occurs in August and September, and (2) less vertical mixing (smaller  $|D|$ ), which mainly occurs in June and July (Fig. 8; compare top and bottom panels).

We find similar results when examining the relationship between  $\delta S$ ,  $\delta W$ , and  $\delta h_{melt}$  during each 5-day period (Fig. 9); 5-day periods with similar levels of freshwater input in 1975 and

2006-2012 ( $\delta h_{melt} \sim 0$ ) have different stratification ( $|\delta S| > 0$ ,  $|\delta W| > 0$ ) from June until mid-August. The largest difference between the two time periods occurs from mid-August through September, coinciding with the largest values of  $\delta h_{melt}$ . Similar results are found using different definitions of  $H$  (Fig. S2), though larger values of  $H$  extend into the winter halocline and therefore incorporate interannual variations within the winter halocline (e.g., Fig. 3).

We can use the 1D framework (Section 3a) to estimate the relative importance of each of these factors in setting the more stable stratification in 2006-2012 than in 1975. Figure 10b-c shows the 5-day average bulk estimates of the upper-ocean stratification ( $S_{bulk}$ ,  $W_{bulk}$ ) in 1975 (blue line) and 2006-2012 (red line). For each 5-day period, we compute the difference between 1975 and 2006-2012 ( $\delta S$ ,  $\delta W$ ) in terms of (1) the larger freshwater input alone (yellow region;  $\sim \delta h_{melt}$ ), (2) the concentration of the freshwater closer to the surface alone (purple region;  $\sim \delta D$ ), and (3) the contribution of both factors coupled together (green region;  $\sim \delta h_{melt} \delta D$ ) using equations (19) and (20). The yellow region provides a rough estimate of the change in stratification that would occur if the relatively large amount of freshwater input indicated by 2006-2012 ITP data is stored within the relatively deep mixed layer measured by 1975 AIDJEX data (i.e., if  $\delta D = 0$  in eqs. (19) and (20)). Similarly, the purple region provides a rough estimate of the change in stratification that would occur if the relatively small amount of freshwater input indicated by 1975 AIDJEX data is stored within the relatively shallow mixed layer measured by 2006-2012 ITP data (i.e., if  $\delta \Phi = 0$  in eqs. (19),(20)).

Overall, the changes to the vertical mixing ( $\delta D$ ), the freshwater input ( $\delta \Phi$ ), and the coupled contribution ( $\delta \Phi \delta D$ ) have similar roles in explaining the larger magnitudes of  $|S|$  and  $|W|$  in 2006-2012 when compared with 1975. This implies that the concentration of freshwater closer to the surface in recent years has a similar impact on upper-ocean stratification to that caused by a larger amount of seasonal freshwater input. The seasonality of the two factors confirms our

findings from Figure 9: The concentration of freshwater closer to the surface (purple region) is mainly important in June–August, while the larger amount of freshwater input and the coupled term (yellow and green regions) are mainly important in August–September. This result is also consistent with the the largest differences in  $h_{melt}$  between the two time periods occurring toward the end of the melt season (Fig. 10a).

## 5. Discussion

In June to mid-August, what mechanisms cause the reduced mixing and stronger stratification in 2006-2012 in response to the same amount of freshwater input as in 1975? Here, we discuss several factors that could explain these differences.

One possibility is that lateral processes are more prominent under the more mobile ice cover in recent years and cause complicated relationships between freshwater input, vertical mixing, and stratification (Randelhoff et al. 2017; Meneghello et al. 2021) or establish fronts that act to limit the effects of wind-driven vertical mixing via submesoscale instabilities (Timmermans and Winsor 2013). A second possibility is that wind-driven momentum transfer has changed in response to changing sea ice conditions. For example, the perennial sea ice cover in 1975 was thicker and associated with more ice keels that extended deeper into the ocean than recent ice keels associated with thinner sea ice cover (Wadhams 2012). This effect can cause the wind-driven momentum transfer to decrease in regions that transitioned from multi-year to first-year ice (McPhee 2012; Martin et al. 2014, 2016; Tsamados et al. 2014). A third possibility is that the shallower and more stably stratified winter halocline in 2006-2012 inhibited mixed-layer deepening to the levels seen in 1975 (Fig. 3; Toole et al. (2010); Peralta-Ferriz and Woodgate (2015)). Each of these mechanisms would create a positive feedback scenario in which the same amount of melt water is concentrated closer to the surface toward the beginning of spring, setting up a more stable sea-

sonal halocline that further inhibits vertical mixing of meltwater, and further stabilizes the seasonal halocline.

Another possibility is that changes to the sea ice conditions impact melt-pond drainage, which is associated with halocline formation in early summer (Gallaher et al. 2016). Unfortunately, both the ITPs and AIDJEX measurements begin at an average of  $\sim 6\text{--}7$  m depth and, therefore, do not capture important variations to the freshwater content near the surface. This surface data gap can cause mixed-layer depths to be biased too deep (Toole et al. 2010), can cause the timing of the mixed-layer shoaling to be biased several weeks too late (Gallaher et al. 2017), and can cause uncertainties in the seasonal freshwater storage. Considering results from Proshutinsky et al. (2009), we estimate that this error could cause  $h_{melt}$  to be underestimated by approximately 0.2 m during the summer months (see SI for details). More uncertainties arise because we lack measurements of the sea ice draft ( $Z_{ice}$ ) for the vertical bounds of our calculations. For example, we find that  $\pm 1$  m changes to  $Z_{ice}$  result in approximately  $\pm 0.1$  m of equivalent ice melt by the end of the melt season. Overall, a clear answer to this question will require shallow, near-ice hydrographic or sea ice mass balance measurements in tandem with models to disentangle the sensitivity of vertical mixing to lateral processes, ice-ocean momentum exchange, and pre-melt conditions.

## 6. Summary

The rapid and continuing change of summer sea ice cover in the Canada Basin has led to a fresher and more stratified upper ocean that has been primarily attributed to more freshwater input from sea ice melt, river-run off, and Ekman convergence of fresh surface waters within the Beaufort Gyre (e.g., McPhee et al. 1998; Macdonald et al. 1999; Yamamoto-Kawai et al. 2009; Jackson et al. 2010; McLaughlin and Carmack 2010; Steele et al. 2011; Peralta-Ferriz and Woodgate 2015;

436 Carmack et al. 2015). The results presented here indicate that decadal changes to ice-ocean dy-  
437 namics have a similar impact on the changing seasonal halocline as changes to the freshwater  
438 input.

439 We compared the seasonal evolution of the upper ocean below sea ice in 1975 and 2006-2012,  
440 using data collected from the AIDJEX ice camps and ITPs (Fig. 1; Section 2). We interpret  
441 differences between the two time periods using a one-dimensional bulk model that allows for the  
442 separation of changes in terms of seasonal freshwater input and vertical mixing (Section 3). While  
443 upper-ocean dynamics are significantly influenced by spatial and year-to-year variability (Fig.  
444 1; e.g., Yamamoto-Kawai et al. 2009; Peralta-Ferriz and Woodgate 2015; Perovich and Richter-  
445 Menge 2015; Proshutinsky et al. 2019; Cole and Stadler 2019), we find that differences between  
446 the ITP and AIDJEX datasets yield results that are consistent with decadal trends in the Canada  
447 Basin reported by previous studies (Peralta-Ferriz and Woodgate (2015); Section 4a).

448 By examining the relationships between bulk estimates of the freshwater input ( $h_{melt}$ ), vertical  
449 mixing ( $D$ ), and stratification ( $S, W$ ), we found that two separate factors have a similar impact  
450 on creating the stronger stratification in 2006-2012 when compared with 1975: larger freshwater  
451 input and less vertical mixing (Figs. 8, 9, 10). These results stem from the finding that profiles  
452 with the same freshwater input are often associated with less vertical mixing and a more stratified  
453 upper-ocean in 2006-2012 than in 1975, particularly in June–July (Fig. 8). In these cases, the  
454 stronger stratification in 2006-2012 than in 1975 appears to be unrelated to seasonal freshwater  
455 surface fluxes. These results indicate that ice-ocean dynamics, rather than freshwater input alone,  
456 play a crucial role in explaining decadal changes to the seasonal halocline in the Canada Basin.

457 *Acknowledgments.* The AIDJEX data used in this study can be found at [http://lwbin-](http://lwbin-datahub.ad.umanitoba.ca/dataset/aidjex)  
458 [datahub.ad.umanitoba.ca/dataset/aidjex](http://lwbin-datahub.ad.umanitoba.ca/dataset/aidjex). The Ice-Tethered Profiler data were collected and made

459 available by the Ice-Tethered Profiler Program based at the Woods Hole Oceanographic Institution  
460 (<http://www.whoi.edu/itp>). All sea ice concentration data created or used during this study are  
461 openly available from the NASA National Snow and Ice Data Center Distributed Active Archive  
462 Center at <https://doi.org/10.5067/8GQ8LZQVL0VL> as cited in (Cavalieri et al. 1996).

463 ER was supported by the National Sciences and Engineering Research Council of Canada  
464 (NSERC) PDF award, the Chateaubriand Fellowship of the Office for Science and Technology  
465 of the Embassy of France in the United States, and the US National Science Foundation (NSF)  
466 Graduate Research Fellowship. ER and JS were supported by the NSERC Canada-150 Chair.  
467 STG was supported by the US NSF (Awards PLR-1425989 and OPP-1936222) and by the US De-  
468 partment of Energy (DOE) (Award DE-SC0020073). This work is a contribution to the NSERC  
469 - Discovery Grant and the NSF Office of Polar Program grant # 1504023 awarded to LBT. RG  
470 was supported by the NSERC Canada Discovery Grant program. RF acknowledges funding from  
471 NSERC Canada through a CGS-D award and the US DOE (Grant DE-SC001940).

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TABLE 1. List of AIDJEX ice camps and ITPs used in the study.

| Ice Camp | Time Period Used              |
|----------|-------------------------------|
| Blue Fox | May 10, 1975 - Sept. 31, 1975 |
| Caribou  | May 14, 1975 - Sept. 31, 1975 |
| Snowbird | May 16, 1975 - Sept. 31, 1975 |
| Big Bear | May 1, 1975 - Sept. 31, 1975  |
| ITP      | Time Period Used              |
| 1        | May 1, 2006 - Sept. 31, 2006  |
| 3        | May 1, 2006 - Sept. 10, 2006  |
| 4        | May 1, 2007 - Aug. 17, 2007   |
| 5        | May 1, 2007 - Aug. 2, 2007    |
| 6        | May 1, 2007 - Sept. 31, 2007  |
| 8        | May 1, 2008 - Sept. 31, 2008  |
| 11       | May 1, 2009 - July 20, 2009   |
| 13       | May 1, 2008 - Aug. 8, 2008    |
| 18       | May 1, 2008 - Sept. 31, 2008  |
| 33       | May 1, 2010 - Sept. 31, 2010  |
| 41       | May 1, 2011 - Sept. 31, 2011  |
| 41       | May 1, 2012 - Sept. 31, 2012  |
| 53       | May 1, 2012 - Aug. 5, 2012    |

TABLE 2. List of constants and variable names.

| Name                | Description                                   | Value                                   |
|---------------------|---|---|
| $Z_{ice}$           | ice-ocean interface                           | 3 m                                     |
| $\beta$             | haline contraction coefficient                | $0.81 \text{ kg}^2/\text{m}^3/\text{g}$ |
| $\rho_{ice}$        | sea ice density                               | $900 \text{ kg}/\text{m}^3$             |
| $S_{ice}$           | sea ice salinity                              | 5 g/kg                                  |
| $H$                 | see definition for $\mathbb{W}$               | 33 m                                    |
| $\mathbb{S}$        | seasonal surface freshening                   | —                                       |
| $\mathbb{W}$        | estimated work to completely mix to depth $H$ | —                                       |
| $h_{melt}$          | freshwater input in terms of ice melt         | —                                       |
| sFWC                | freshwater input in terms of freshwater       | —                                       |
| $\Phi$              | measure of freshwater input                   | —                                       |
| $Z_{fw}$            | penetration depth of freshwater input         | —                                       |
| $D$                 | equivalent mixed-layer depth                  | —                                       |
| $\mathbb{S}_{bulk}$ | as in $\mathbb{S}$ but for 2-layer system     | —                                       |
| $\mathbb{W}_{bulk}$ | as in $\mathbb{W}$ but for 2-layer system     | —                                       |

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|     |   |    |
|-----|---|----|
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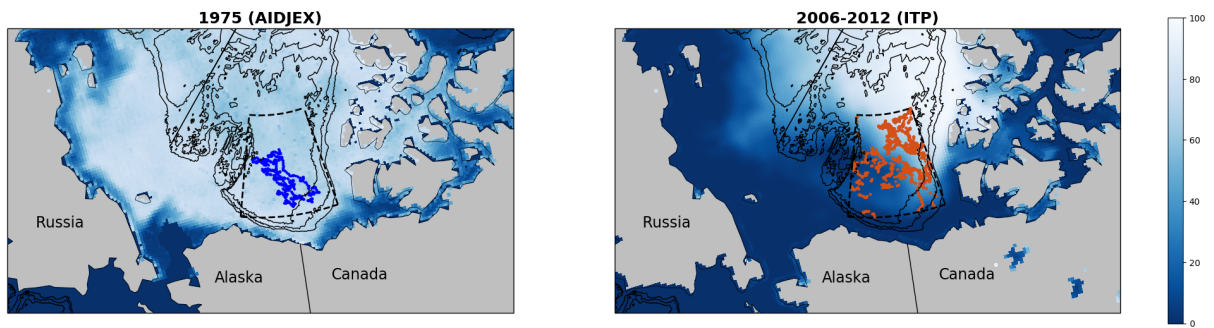


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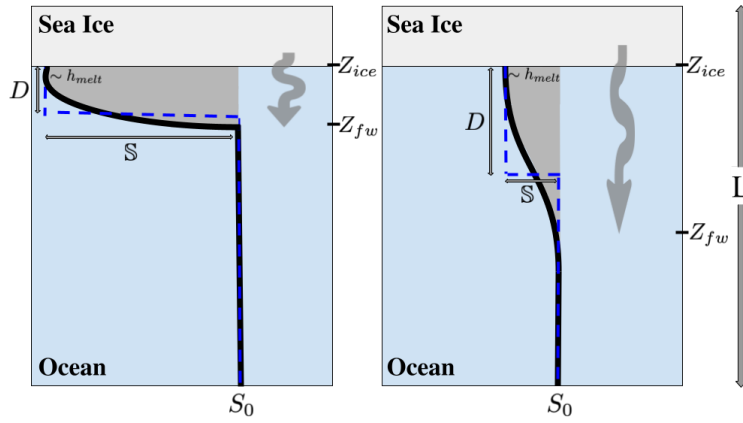


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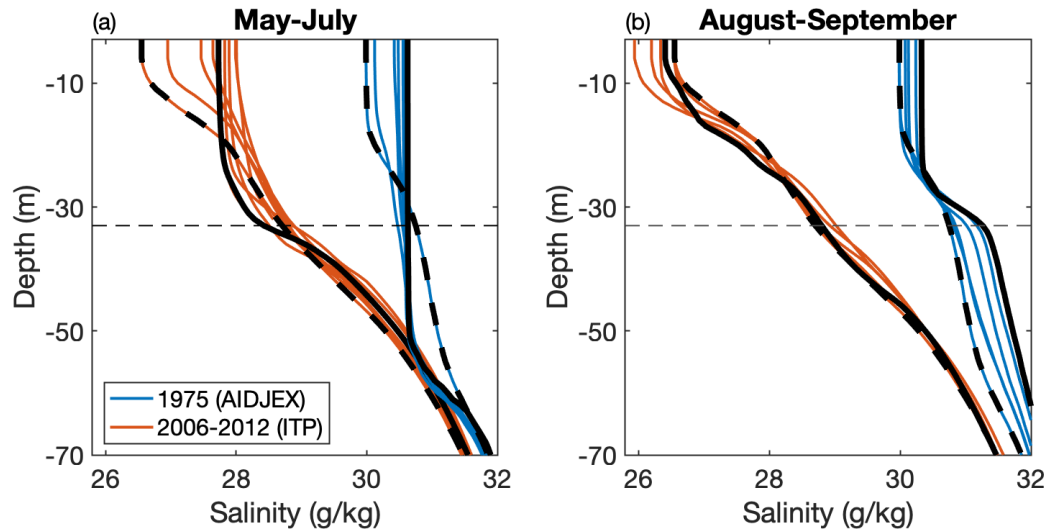


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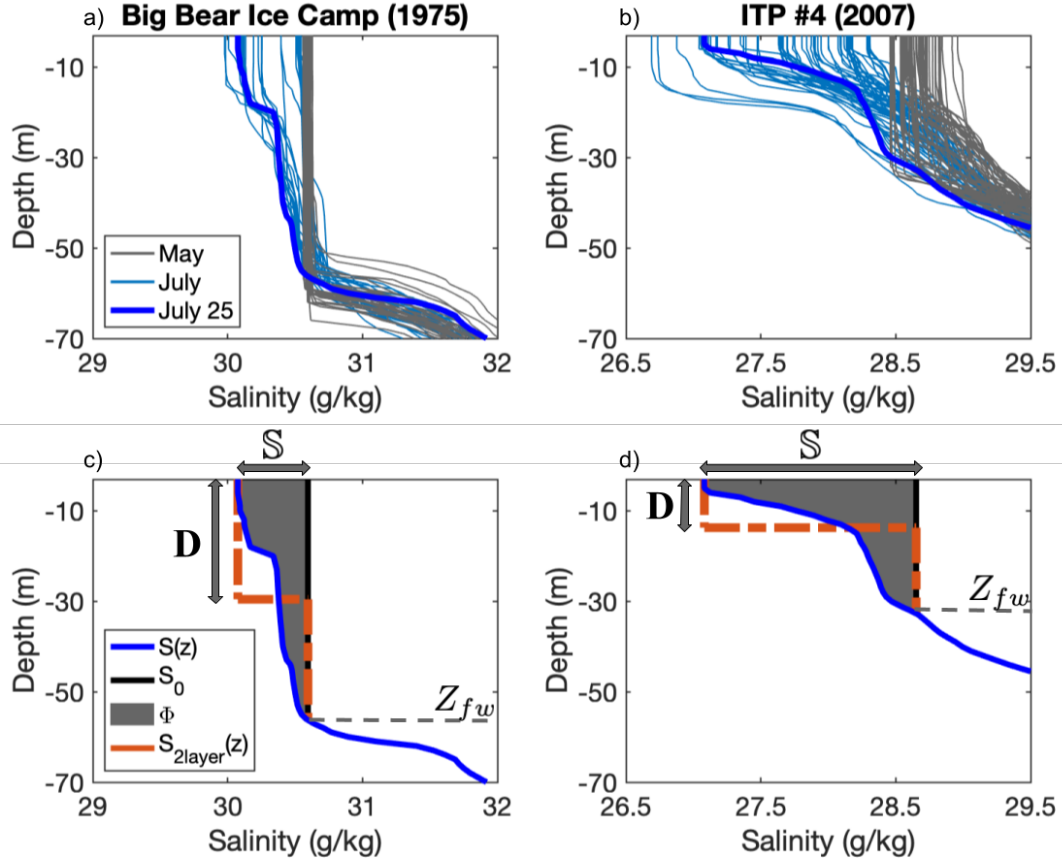


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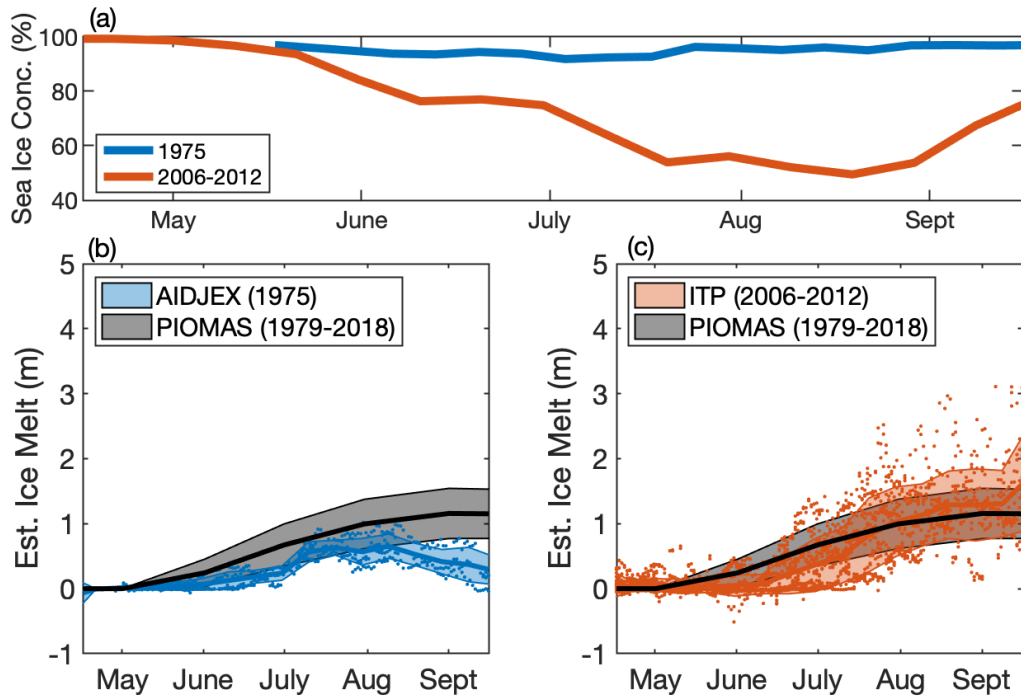


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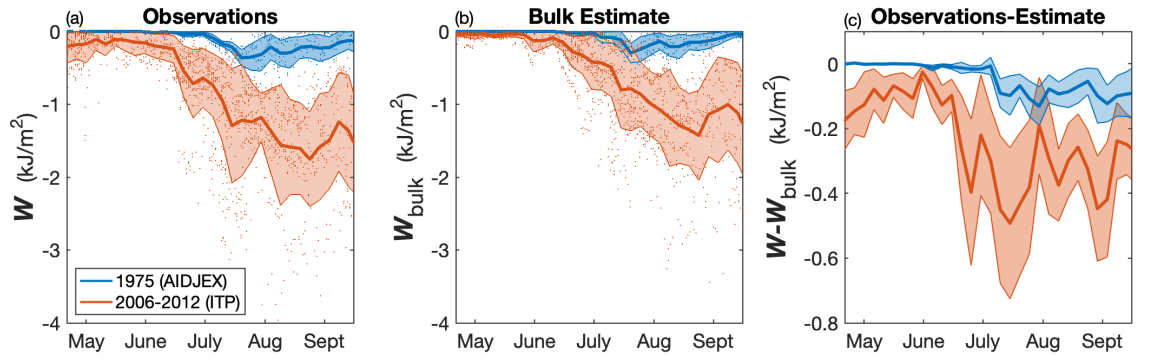


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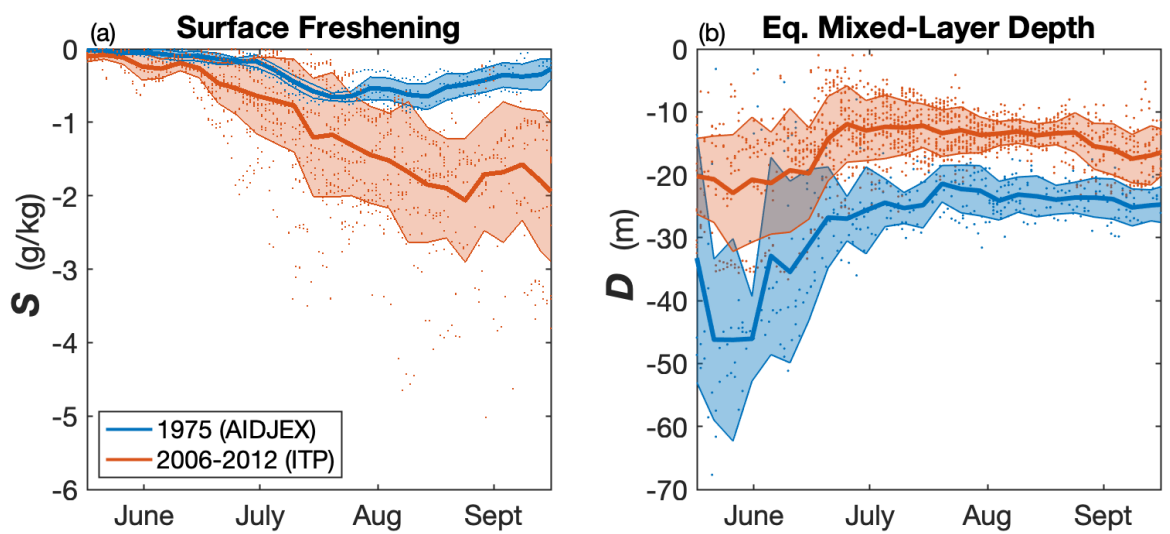


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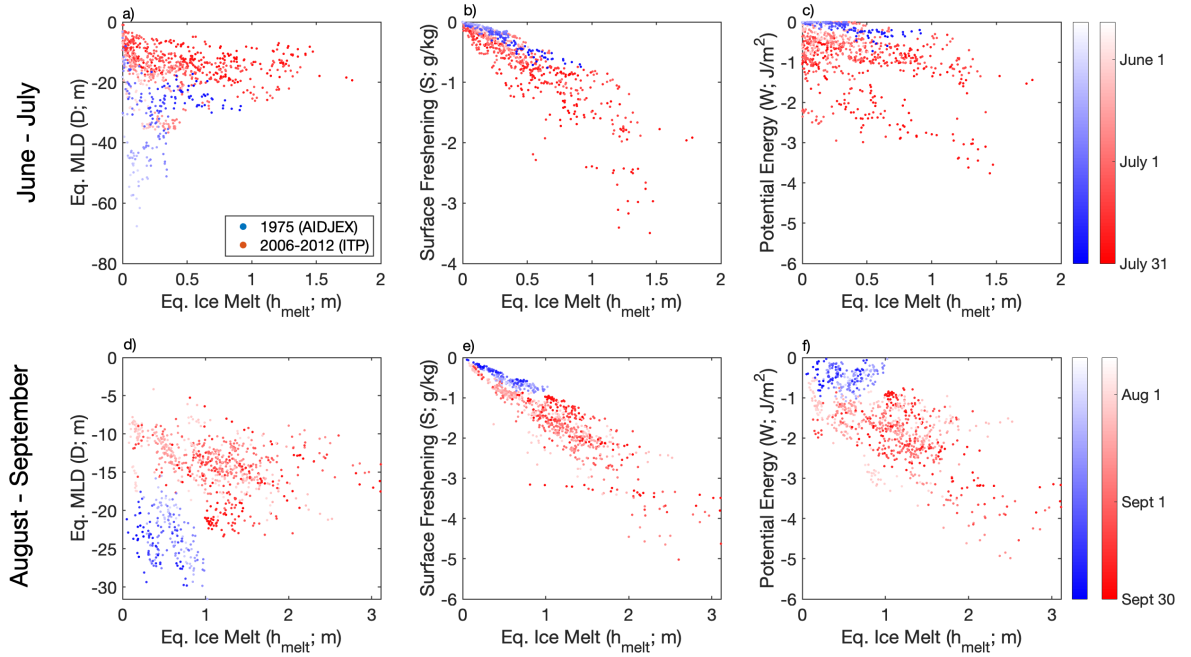


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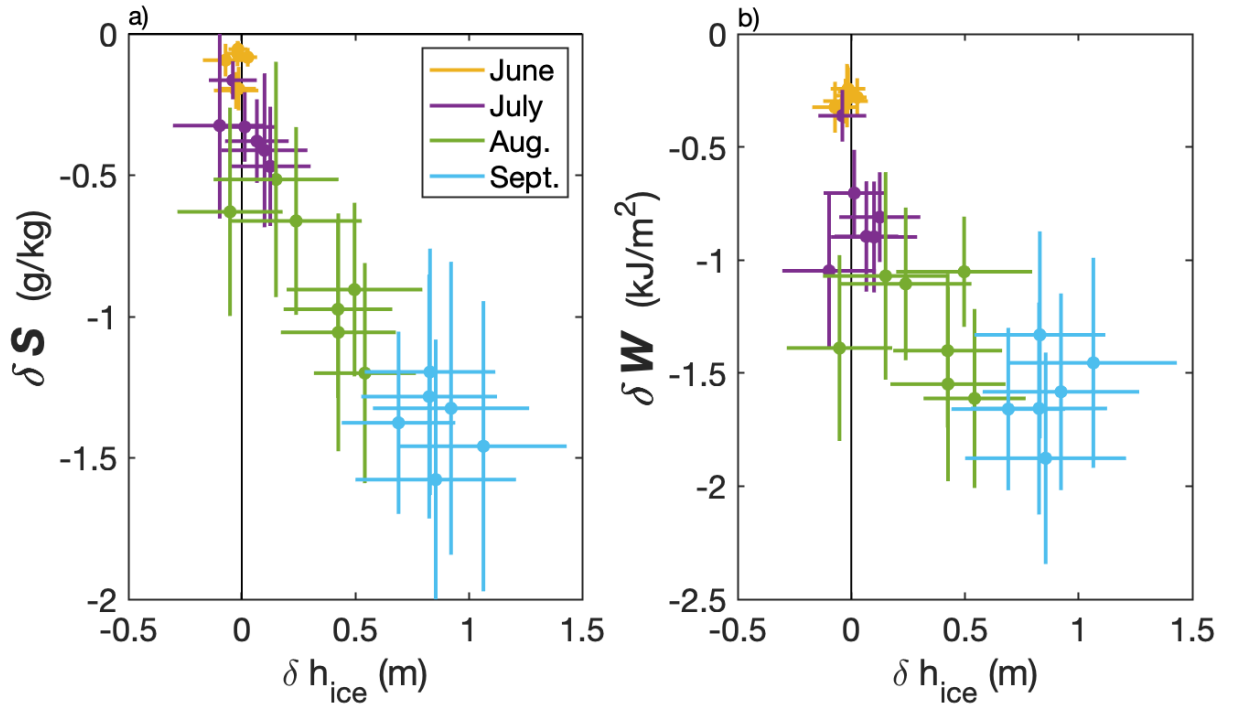


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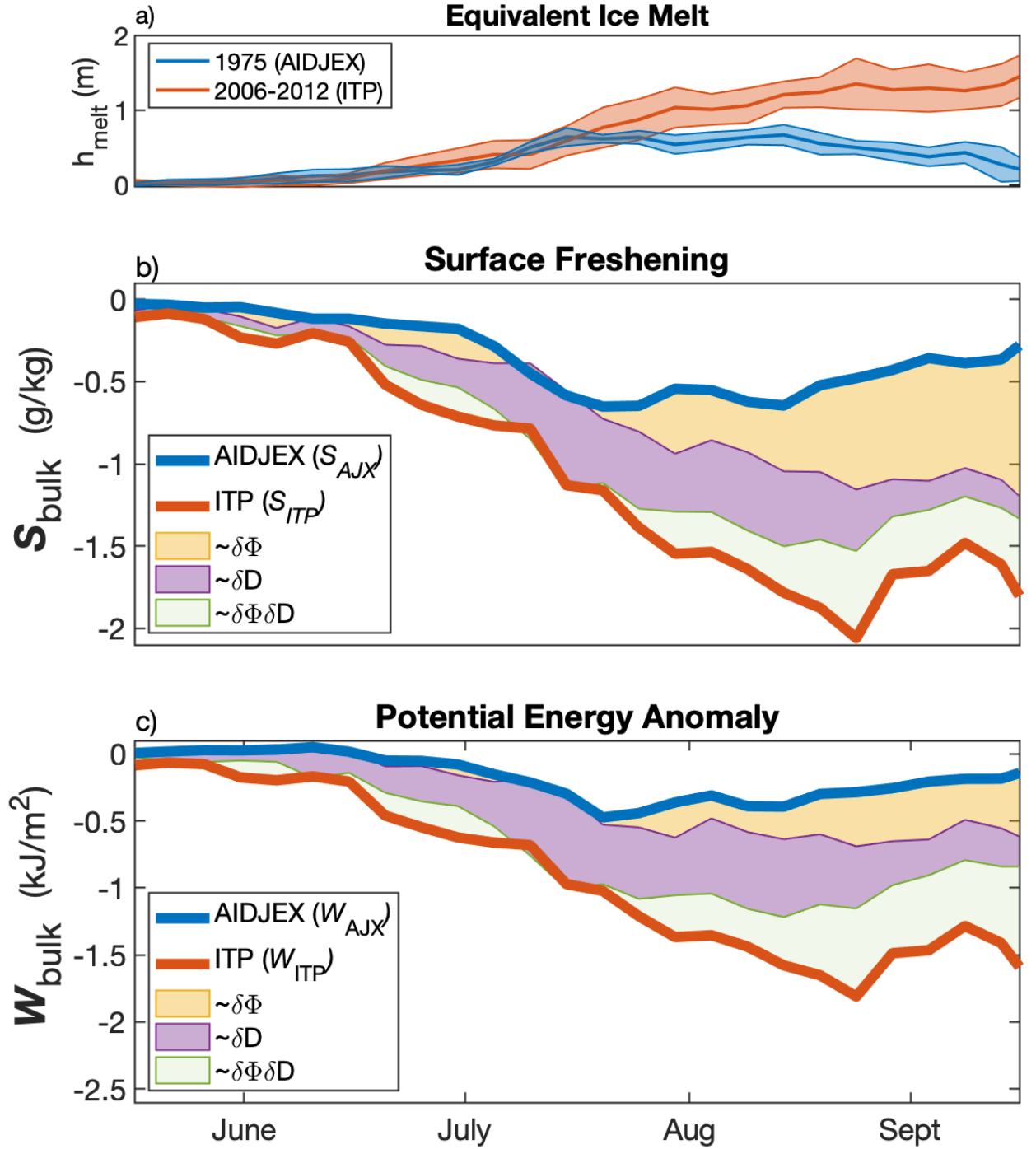


FIG. 10. Five-day mean (a) equivalent ice melt ( $h_{\text{melt}}$ ), (b) surface freshening using the 2-layer estimate ( $S_{\text{bulk}}$ ), and (c) potential energy anomaly using the 2-layer estimate ( $W_{\text{bulk}}$ ) in 1975 (blue) and 2006-2012 (red). (b-c) Colors are associated with three terms that contribute to the difference between 1975 and 2006-2012 ( $\delta S$ ,  $\delta W$ ).