

1 **Evaluation of CMIP6 GCMs over the CONUS for downscaling studies**

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12 **Key Points**

- 13 • A sub-selection of GCMs from the large CMIP ensemble is often necessary before
- 14 downscaling due to several unavoidable constraints.
- 15 • We evaluate models for their objective sub-selection using two distinct approaches that
- 16 remove the redundancy in 60 evaluation metrics.
- 17 • Two methods develop a similar ranking, placing the high-resolution models distinctively
- 18 higher than their lower-resolution counterparts.
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46 **Abstract**

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48 Despite the necessity of Global Climate Models (GCMs) sub-selection in the dynamical
49 downscaling experiments, an objective approach for their selection is currently lacking. Building
50 on the previously established concepts in GCMs evaluation frameworks, we relatively rank 37
51 GCMs from the 6th phase of Coupled Models Intercomparison Project (CMIP6) over four regions
52 representing the contiguous United States (CONUS). The ranking is based on their performance
53 across 60 evaluation metrics in the historical period (1981–2014). To ensure that the outcome is
54 not method-dependent, we employ two distinct approaches to remove the redundancy in the
55 evaluation criteria. The first approach is a simple weighted averaging technique. Each GCM is
56 ranked based on its weighted average performance across evaluation measures, after each metric
57 is weighted between zero and one depending on its uniqueness. The second approach applies
58 empirical orthogonal function analysis in which each GCM is ranked based on its sum of distances
59 from the reference in the principal component space. The two methodologies work in contrasting
60 ways to remove the metrics redundancy but eventually develop similar GCMs rankings. While the
61 models from the same institute tend to display comparable skills, the high-resolution model
62 versions distinctively perform better than their lower-resolution counterparts. The results from this
63 study should be helpful in the selection of models for dynamical downscaling efforts, such as the
64 COordinated Regional Downscaling Experiment (CORDEX), and in understanding the strengths
65 and deficiencies of CMIP6 GCMs in the representation of various background climate
66 characteristics across CONUS.

67 **Plain Language Summary**

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69 Global Climate Models (GCMs) provide climate change projections at spatial scales that are much
70 coarser than the scales at which regional and local planning decisions are made. Therefore, GCMs
71 projections are spatially refined through various downscaling procedures. Often, a sub-selection
72 of GCMs is needed before their downscaling due to issues related to their performance, data
73 availability, and resources required for spatial refinement. Here we evaluate GCMs from the 6th
74 phase of Coupled Models Intercomparison Project (CMIP6) over four regions representing the
75 contiguous United States (CONUS) to guide the GCMs sub-selection decision-making objectively.
76 We use two distinct approaches to relative rank the models using their performance across 60
77 evaluation metrics in the historical period. The two methodologies work in contrasting ways to
78 remove the metrics redundancy but eventually develop similar GCMs rankings. These results
79 should be helpful in the selection of models for dynamical downscaling efforts and understanding
80 the strengths and deficiencies of GCMs in the representation of various background climate
81 characteristics across CONUS.

82 1. Introduction

83

84 Global Climate Models (GCMs) are physics-based tools to study Earth system responses
85 to natural climate variability and anthropogenically driven increases in greenhouse gas emissions
86 and radiative forcing. Using a common set of future radiative pathways, the Coupled Model
87 Intercomparison Projects (CMIP; Eyring et al., 2016) provide an extensive suite of GCM
88 simulations through an international collaborative effort. Since its inception in 1995, not only have
89 the number of GCMs participating in CMIP efforts increased, but they have also improved in terms
90 of their physical complexity and spatial resolution. Every new iteration of CMIP is based on the
91 premise that the more recent generations of GCMs will exhibit improvements over the previous
92 ones as models progressively improve in terms of their computational efficiency, resolution, and
93 representation of physical processes. Despite the significant advancements in GCMs, several
94 challenges related to their horizontal grid spacing and inaccuracies in representing fine-scale land-
95 atmosphere interactions remain unresolved, limiting the direct application of GCM-based climate
96 projections in regional to local scale climate change impact assessments. The latest Phase 6
97 (CMIP6) includes over 50 GCMs. While the horizontal grid spacing for some of them is as fine as
98 half a degree, the resolution of most CMIP6 GCMs is still insufficient ($>1^\circ$ horizontal grid spacing)
99 to reliably assess the needs for mitigation or adaptation at policy-relevant regional and local scales.
100 Therefore, it warrants the need for spatial refinement of projected climate change information
101 through downscaling.

102 A sub-selection of GCMs from the large CMIP6 ensemble may be necessary before
103 downscaling for several reasons, including the choice of downscaling framework, computational
104 cost, and the need for better representation of critical climate processes relevant to the region of
105 interest (McSweeney et al., 2015). This is the case in dynamical downscaling (also known as
106 regional climate modeling), where not every GCM can/should be downscaled for several reasons.
107 First and foremost, although GCM experiments are conducted at sub-hourly time scales, given the
108 massive data flow, only a subset of variables at aggregated temporal scales are recorded (usually
109 driven by the specific CMIP requirements). Therefore, not every GCM in the CMIP6 has archived
110 sub-daily three-dimensional lateral boundary forcings fields needed for regional climate modeling.
111 Second, the poor GCM skill over the domains of interest may propagate and result in the
112 unreasonable fine-scale spatiotemporal distribution of downscaled prognostic variables, such as

113 precipitation and temperature, in regional dynamical downscaling experiments (Giorgi, 2019).
114 Therefore, dynamical downscaling of GCMs is limited to those models that exhibit *reasonable*
115 skill. Third, several models participating in the CMIP6 share standard modeling components (e.g.,
116 same land, ocean, ice modules, or parametrization), meaning that these models may have similar
117 systematic biases and do not necessarily represent independent realizations of future climate
118 (Knutti et al., 2010 and 2013). Therefore, a downscaled ensemble of regional climate model
119 experiments should consist of GCMs representing unique model developing institutes. However,
120 such a strategy may not fully resolve this issue as modeling components or parametrization sharing
121 is standard across the GCMs from different institutes (Boé, 2018; Knutti et al., 2013). Lastly, the
122 number of downscaled GCMs also depends on the available capacity of the computational and
123 data storage solutions.

124 There has been substantial progress in the mathematical art of identifying relatively better
125 (or worse) performing models (e.g., Ahmadalipour et al., 2017; Ahmed et al., 2019; Chhin et al.,
126 2018; Knutti et al. 2017; Lorenz et al. 2018; Overland et al. 2011; Parding et al. 2020; Pierce et al.
127 2009). However, there are no set criteria for the choice of evaluation metrics. Due to this reason,
128 there is quite a disparity among studies on GCMs evaluation, as some are based on only a few
129 climatological mean comparisons between simulations and observations (e.g., McSweeney et al.,
130 2015; Mote and Salathé, 2010). In contrast, others use dozens of metrics covering various aspects
131 of background climate (e.g., Chhin et al., 2018; Rupp et al., 2013). A lack of in-depth evaluation
132 of GCMs in studies with a limited number of evaluation measures runs the risk of errors in their
133 relative ranking in the CMIP ensemble. A model can yield reasonable climatological distribution
134 of desired fields over a region while poorly simulating key Earth system processes (e.g., Beobide-
135 Arsuaga et al. 2021; McBride et al. 2021; Mckenna et al. 2020). Alternatively, high covariance
136 among the extensive suite of evaluation metrics used to investigate the relative skillfulness of
137 models can also influence the GCMs ranking process. Despite these challenges, a large body of
138 research towards developing GCMs evaluation frameworks provides valuable insight that requires
139 seamless integration into the downscaling approaches. Unfortunately, to a large extent, the
140 outcome of these efforts has not been systematically used in the choice of GCMs for downscaling
141 studies, especially for international collaborative efforts such as the Coordinated Regional
142 Downscaling Experiment (CORDEX; Giorgi et al. 2009). Given that the next phase of CORDEX

143 experiments is still in planning, one of the primary aims of this study is to establish an objective
144 GCMs selection approach as an essential part of the dynamical downscaling process.

145 As noted, the development of robust strategies to rank GCMs concerning their skillfulness
146 has remained an active area of research during the last decade (Knutti et al., 2010; Rupp et al.,
147 2013 and others). Instead of reinventing the wheel, our goal in this study is to use established
148 concepts in this area to streamline the process of GCMs selection from the CMIP6 ensemble for
149 the downscaling efforts. While this study focuses only on the contiguous United States (CONUS),
150 the process can be repeated over any geographical area after modifications in the evaluation
151 metrics as needed. To ensure that the outcome is not method-dependent, our GCMs evaluation
152 employs two distinct approaches. The first approach is a simple weighted averaging technique.
153 Each GCM is ranked based on its average performance across selected evaluation metrics after
154 each metric is given a weight between zero and one depending on its uniqueness. The second
155 approach is through the application of empirical orthogonal functions (EOFs) in which each GCM
156 is ranked based on its distance from the reference (observations) in the principal component (PC)
157 space (Chhin et al., 2018; Rupp et al., 2013; Sanderson et al., 2015). The PCs are further used to
158 investigate the distinctiveness of the analyzed GCMs in the CMIP6 ensemble.

159

160 **2. Methods**

161 **2.1 Data**

162 The simulations data for 37 CMIP6 GCMs are obtained from Earth System Grid Federation
163 (ESGF) archives (<https://esgf-node.llnl.gov/search/cmip6>) for the historical period (1980–2014)
164 (Table 1), which include daily and monthly precipitation, mean, maximum, and minimum
165 temperatures; monthly sea surface temperature; air pressure at sea level; and 500 mb geopotential
166 height. Due to the unavailability of a complete set of variables required for evaluation at the time
167 of analyses, some well-known models, such as the National Center for Atmospheric Research
168 (NCAR) Community Earth System Model (CESM), are not included in this study. To support this
169 evaluation, the gridded precipitation and temperature observations are obtained from three sources:
170 1) Daymet – maintained by the Distributed Active Archive Center at Oak Ridge National
171 Laboratory (Thornton et al., 2021), 2) Livneh – initially produced by the University of Colorado
172 at Boulder (UCB; Pierce et al., 2021), updated version available from the University of California
173 Los Angeles, and 3) Parameter elevation Regression on Independent Slopes Model (PRISM) – the

174 United States Agriculture Department (USDA) official climatological data (Daly et al., 2018).
 175 Additionally, European Centre for Medium-Range Weather Forecasts Reanalysis 5 (ERA5;
 176 Hersbach et al. 2020) is used to reference sea surface temperature, air pressure at sea level, and
 177 500 mb geopotential height. For comparisons, all the GCMs and reference datasets are remapped
 178 to a standard 1° latitude-longitude grid.

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GCMs	Variant Label	Institute	Lon x Lat
ACCESS-CM2	rli1plf1	Commonwealth Scientific and Industrial Research Organization, Australia	192x144
ACCESS-ESM1-5	rli1plf1	Commonwealth Scientific and Industrial Research Organization, Australia	192x145
AWI-CM-1-1-MR	rli1plf1	Alfred Wegener Institute, Germany	384 ×192
AWI-ESM-1-1-LR	rli1plf1	Alfred Wegener Institute, Germany	192x96
BCC-CSM2-MR	rli1plf1	Beijing Climate Center, China Meteorological Administration, China	320x160
BCC-ESM1	rli1plf1	Beijing Climate Center, China Meteorological Administration, China	128x64
CanESM5	rli1plf1	Canadian Centre for Climate Modelling and Analysis, Canada	128×64
CMCC-CM2-SR5	rli1plf1	Euro-Mediterranean Centre on Climate Change, Italy	288×192
CNRM-CM6-1	rli1plf2	Centre National de Recherches Météorologiques, France	256x128
CNRM-CM6-1-HR	rli1plf2	Centre National de Recherches Météorologiques, France	720x360
CNRM-ESM2-1	rli1plf2	Centre National de Recherches Météorologiques, France	256x128
EC-Earth3	rli1plf1	European EC-Earth consortium	512x256
EC-Earth3-Veg	rli1plf1	European EC-Earth consortium	512x256
EC-Earth3-Veg-LR	rli1plf1	European EC-Earth consortium	320x160
FGOALS-f3-L	rli1plf1	Chinese Academy of Sciences, China	288x180
FGOALS-g3	rli1plf1	Chinese Academy of Sciences, China	180x80
GFDL-CM4	rli1plf1	Geophysical Fluid Dynamics Laboratory, USA	144x90
GFDL-ESM4	rli1plf1	Geophysical Fluid Dynamics Laboratory, USA	288x180
GISS-E2-1-G	rli1plf1	National Aeronautics and Space Administration (NASA), United States	144x90
HadGEM3-GC31-LL	rli1plf3	Met Office, United Kingdom	192x144
HadGEM3-GC31-MM	rli1plf3	Met Office, United Kingdom	432x324
INM-CM4-8	rli1plf1	Institute for Numerical Mathematics, Russia	180x120
INM-CM5-0	rli1plf1	Institute for Numerical Mathematics, Russia	180x120
IPSL-CM6A-LR	rli1plf1	Institut Pierre Simon Laplace, France	144x143
KACE-1-0-G	rli1plf1	National Institute of Meteorological Sciences, Republic of Korea	192 ×144
MIROC6	rli1plf1	Japan Agency for Marine-Earth Science and Technology, Japan	256x128
MIROC-ES2L	rli1plf2	Japan Agency for Marine-Earth Science and Technology, Japan	128x64

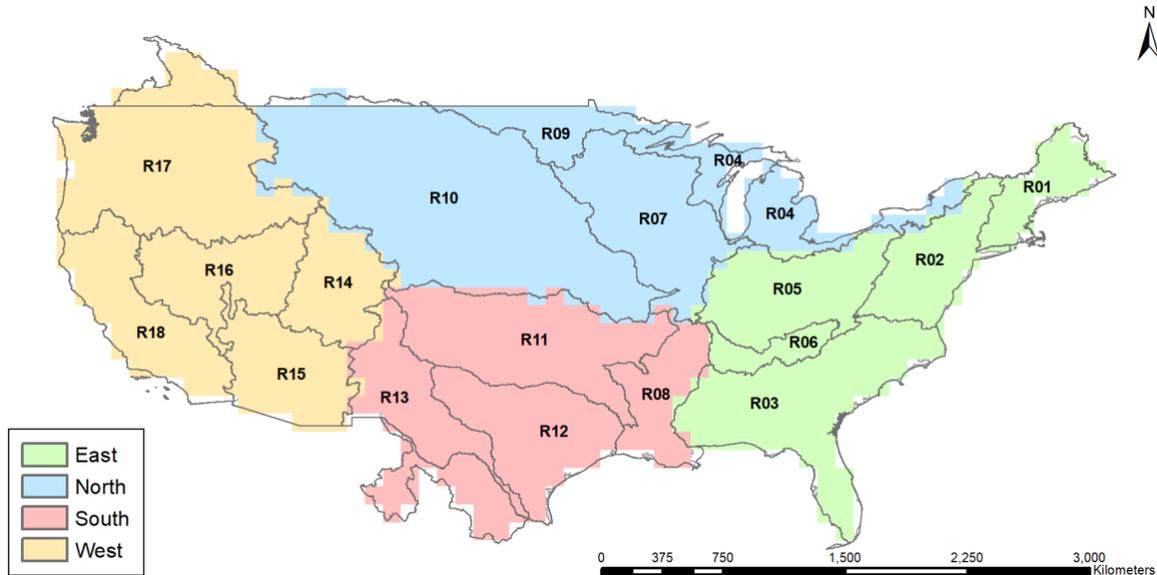
MPI-ESM-1-2-HAM	rlilplf1	Max Planck Institute for Meteorology, Germany	192x96
MPI-ESM1-2-HR	rlilplf1	Max Planck Institute for Meteorology, Germany	384x192
MPI-ESM1-2-LR	rlilplf1	Max Planck Institute for Meteorology, Germany	192x96
MRI-ESM2-0	rlilplf1	Meteorological Research Institute, Tsukuba, J+C34apan	320x160
NESM3	rlilplf1	Nanjing University of Information Science and Technology, China	192x96
NorCPM1	rlilplf1	Norwegian Climate Centre, Norway	144x96
NorESM2-LM	rlilplf1	Norwegian Climate Centre, Norway	144x96
NorESM2-MM	rlilplf1	Norwegian Climate Centre, Norway	288x192
SAM0-UNICON	rlilplf1	Seoul National University, South Korea	288x192
UKESM1-0-LL	rlilplf2	Met Office, United Kingdom	192 ×144

180 **Table 1. List of the CMIP6 GCMs used in the evaluation. The variant label provides**
181 **information about realization (*r*), initialization method (*i*), physics (*p*), and forcing (*f*).**

182 *2.2 Evaluation Metrics*

183 For model evaluation, the entire CONUS is divided into four parts (North, East, West, and
184 South) based on grouped 2-digit Hydrological Unit Codes (HUC2) regions (Figure 1), utilized by
185 Naz et al. (2016). At the annual, seasonal, monthly, daily, and diurnal time scales, sixty metrics
186 evaluate the CMIP6 GCMs. Table 2 describes the summary of these metrics. All metrics are
187 calculated separately for each of the four regions, subsequently averaged to calculate
188 disagreements at the CONUS scale for each model. The sixty evaluation criteria include both
189 standalone and derived metrics. All metrics are calculated separately for the three observations
190 (Daymet, Livneh, and PRISM), subsequently averaged to create a reference dataset. A model
191 disagreement is calculated as a percent departure from the reference data for each standalone
192 metric. Several derived metrics are based on the calculation of Taylor Stats (TS; Taylor, 2001) –
193 a combination of root mean square error, bias, and pattern correlation (Table 2). For this purpose,
194 model disagreements for each of the three statistical measures are calculated as percent departures
195 from the reference data. Their averages represent the TS for that metric. The TS is calculated
196 separately for the diurnal cycle metric for four seasons and then averaged to get the final measure.
197 Similarly, TS for the metric representing precipitation from moderate to extreme events is also
198 based on the average of individual TS for precipitation from events exceeding 75th, 90th, 95th, and
199 99th percentiles of precipitation. The combination of all seasons in a single metric for the diurnal
200 cycle and four kinds of events ranging from moderate to extreme precipitation magnitudes in one
201 metric is due to their relatively very high correlations across the CMIP6 GCMs ensemble. The

202 dispersion metric averages the TS of 20 indices (Table 2), calculated after transforming the 3-
203 dimensional (time, latitude, longitude) data into 1-dimension.



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Figure 1. CONUS division in four HUC2 based regions for GCMs evaluations. The division was initially used by Naz et al. (2016). R01 to R18 represent 18 US HUC2s.

The GCMs evaluation also includes representation of three modes of natural climate variability, namely North Atlantic Oscillation (NAO), El Niño-Southern Oscillation (ENSO), and Pacific Decadal Oscillation (PDO), and their impacts on the distribution of winter (December–January–February, DJF) and summer (June–July–August, JJA) precipitation and temperature. The PDO index represents the first EOF of sea surface temperature over Northern Pacific (20°N–70°N, 110°E–260°E; Mantua et al. 1997; Newman et al. 2016). The ENSO index represents the sea surface temperature anomalies over the Nino3.4 region (5°S–5°N, 170°W–120°W; Trenberth, 1997). In both cases, the temporally varying global mean is removed from the sea surface temperatures to avoid any impact of global warming. The NAO index represents the first EOF of detrended sea level pressure over the Northern Atlantic (20°N–80°N, 90°W–40°E; Hurrell, 1995; Hurrell & Deser, 2009). The pattern correlation is used to measure GCMs’ skills in representing these modes of variability. A more detailed background of these indices can be found in the NCAR climate data guide (<https://climatedataguide.ucar.edu/>).

GCMs Evaluation Metrics			
1. Amplitude ^a Mean P ¹	2. Amplitude Mean T ²	3. Amplitude Mean Tmax ³	4. Amplitude Mean Tmin ⁴
5. Amplitude Standard Deviation P	6. Amplitude Standard Deviation T	7. Amplitude Standard Deviation Tmax	8. Amplitude Standard Deviation Tmin
9. Timing ^b of Peak P	10. Timing of Peak T	11. Timing of Peak Tmax	12. Timing of Peak Tmin
13. Annual Mean Standard Deviation of P	14. Annual Mean Standard Deviation of T	15. Annual Mean Standard Deviation of Tmax	16. Annual Mean Standard Deviation of Tmin
17. DJF ⁵ (Taylor Stats) P	18. DJF (Taylor Stats) T	19. DJF (Taylor Stats) Tmax	20. DJF (Taylor Stats) Tmin
21. MAM ⁶ (Taylor Stats) P	22. MAM (Taylor Stats) T	23. MAM (Taylor Stats) Tmax	24. MAM (Taylor Stats) Tmin
25. JJA ⁷ (Taylor Stats) P	26. JJA (Taylor Stats) T	27. JJA (Taylor Stats) Tmax	28. JJA (Taylor Stats) Tmin
29. SON ⁸ (Taylor Stats) P	30. SON (Taylor Stats) T	31. SON (Taylor Stats) Tmax	32. SON (Taylor Stats) Tmin
33. (Taylor Stats) Inter-quartile Range ^c P	34. (Taylor Stats) Inter-quartile Range Tmax	35. (Taylor Stats) Inter-quartile Range Tmin	36. (Taylor Stats) Diurnal T
37. (Taylor Stats) P from Moderate to Heavy Events	38. (Taylor Stats) Wet Days ^d	39. (Taylor Stats) P Intensity	40. (Taylor Stats) Summer Days ^e
41. (Taylor Stats) Ice Days ^f	42. (Taylor Stats) Tropical Nights ^g	43. (Taylor Stats) Frost Days ^h	44. Dispersion ⁱ P
45. Dispersion T	46. Dispersion Tmin	47. Dispersion Tmax	48. ENSO Amplitude
49. PDO Pattern	50. NAO Pattern	51. NAO Correlation with DJF P	52. NAO Correlation with DJF T
53. PDO Correlation with DJF P	54. PDO Correlation with DJF T	55. ENSO Correlation with DJF P	56. ENSO Correlation with DJF T
57. (Taylor Stats) 500mb Geopotential Height DJF	58. (Taylor Stats) 500mb Geopotential Height JJA	59. (Taylor Stats) Sea Level Pressure DJF	60. (Taylor Stats) Sea Level Pressure JJA
Taylor Stats			
Root Mean Square Error	Bias	Pattern Correlation	
Dispersion (based on 1-dimensional time series of time x latitude x longitude)			
Lower Octile	Lower Sextile	Lower Quartile	Lower Tritile
Median	Upper Tritile	Upper Quartile	Upper Sextile
Upper Octile	Upper Decile	Maximum	Range
0.1 st Percentile	1 st Percentile	5 th Percentile	95 th Percentile
99 th Percentile	99.9 th Percentile	Skewness	Kurtosis
¹ P = Precipitation, ² T = Temperature, ³ Tmax = Maximum Temperature, ⁴ Tmin = Minimum Temperature, ⁵ DJF = December-January-February, ⁶ MAM = March-April-May, ⁷ JJA = June-July-August, ⁸ SON = September-October-November, ⁹ ENSO = El Niño-Southern Oscillation, ¹⁰ PDO = Pacific Decadal Oscillation, ¹¹ NAO = North Atlantic Oscillation ^a Amplitude = Difference between maximum and minimum in a monthly annual cycle ^b Timing = Month Index with the maximum of the annual cycle ^c Inter-quartile range = Difference between the 75 th and 25 th percentile of daily values in a year ^d Wet days = Days with accumulated P ≥ 1.0 mm ^e Summer days = Days with T ≥ 25 °C (77 °F) ^f Ice days = Days with Tmax < 0 °C ^g Tropical nights = Days with Tmin > 20 °C (68 °F) ^h Frost days = Days with Tmin < 0 °C ⁱ Dispersion = Spatiotemporal distribution of monthly data, calculated as an average of the Taylor Stats of 20 indices. The calculation of these indices is based on <i>stat_dispersion</i> function in the NCAR Command Language (NCL).			

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Table 2. Metrics used in GCMs evaluation.

228 **2.3 Relative ranking methodology**

229 Two approaches – a simple averaging technique based on the average performance across
230 evaluation metrics and an EOF-based strategy that accounts for the distance of each simulated
231 metric from the reference in the PC space – are used for model ranking. Although careful selections
232 are made to use distinct criteria for GCMs evaluation, high correlations among the evaluation
233 metrics are still possible given the interdependence of physical processes in the coupled Earth
234 system, which could potentially bias the model ranking process when a simple averaging technique
235 is employed. Therefore, following a method proposed by Sanderson et al. (2017) for assigning
236 weights to GCMs based on their uniqueness, a weighting methodology is devised in which highly
237 correlated metrics are down-weighted. First, percent departures from the reference data for all
238 metrics are converted to normalized relative errors as follows:

$$239 \quad RE_{G,i} = \frac{PD_{G,i} - \min(PD_{G_{all},i})}{\max(PD_{G_{all},i}) - \min(PD_{G_{all},i})} \quad (1)$$

241
242 Where $RE_{G,i}$ and $PD_{G,i}$ represent the normalized relative error and percent departure from the
243 reference data for GCM G in metric i , respectively. $PD_{G_{all},i}$ represents the array of percent
244 departures from the reference data across all GCMs for that metric. Second, pairwise Pearson linear
245 cross-correlations are calculated for all metrics, which are converted into a distance measure as
246 follows:

$$247 \quad C^*_{i,j} = 1 - \text{abs}(C_{i,j}) \quad (2)$$

249
250 Where $C_{i,j}$ and $C^*_{i,j}$ represent correlation and correlation-based distance between metric i and
251 metric j , respectively. The small magnitude of $C^*_{i,j}$ reflects high correspondence between the
252 metrics and vice versa. Furthermore, we calculate the Similarity Score (SS) for each pair of metrics
253 as follows:

$$254 \quad SS_{i,j} = e^{-\left(\frac{C^*_{i,j}}{D_x}\right)} \quad (3)$$

256 Where D_x is a tunable parameter representing the radius of similarity that determines the
 257 correlation-based distances over which a metric can be considered redundant. Note that some
 258 covariance between different spatiotemporal characteristics of prognostic variables or between the
 259 prognostic and diagnostic variables is acceptable and unavoidable in a coupled Earth system.
 260 Therefore, our goal is to target only those metrics that exhibit correlations to such an extent that
 261 those measures effectively become redundant. We use 0.2 for D_x as it only down-weights those
 262 metrics that exhibit very high correlations in the four regions (Figure 2). SS value ranges between
 263 0 and 1, as a metric uniqueness decreases with $SS \rightarrow 1$. Next, for each metric, the effective
 264 redundancy (ER) is calculated as follows:

265

$$266 \quad ER_i = 1 + \sum_{j \neq i}^n SS_{i,j} \quad (4)$$

267

268 The inverse of the ER_i provides the weight for that metric. Finally, the average weighted relative
 269 error for each GCM is calculated as follows:

270

$$271 \quad RE^*_G = \sum_{i=1}^m (ER_i)^{-1} RE_{G,i} \quad (5)$$

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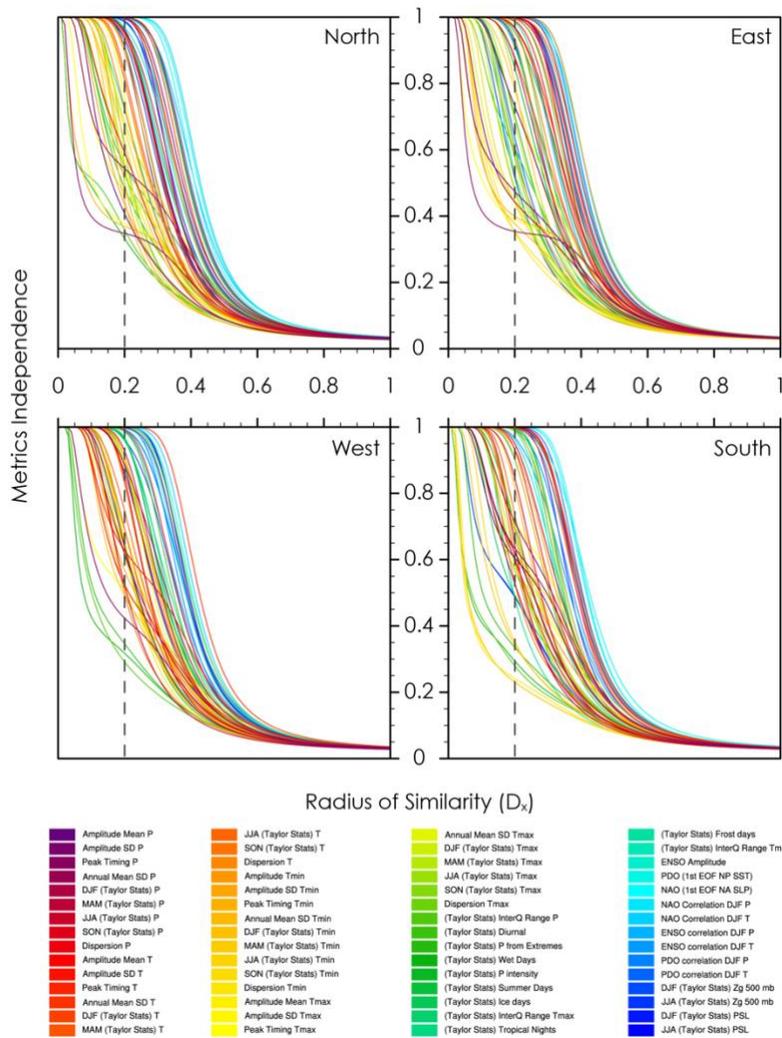
273 These weighted relative errors (RE^*_G) are calculated separately for each of the four CONUS
 274 subregions. The regionally weighted relative errors are subsequently averaged to provide the
 275 CONUS-scale weighted relative error used in the simple averaging technique to calculate the
 276 relative ranks of each GCM. The GCM with the lowest weighted relative error ranks at the top,
 277 whereas the GCM with the highest weighted relative error ranks at the bottom.

278

279 On the other hand, in the multivariate EOF analyses, models' skill is evaluated using the sum of
 280 their Euclidean distances from the observations in the PC space, as follows:

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$$282 \quad D(O, G) = \sqrt{\sum_{i=1}^n (G_i - O_i)^2} \quad (6)$$



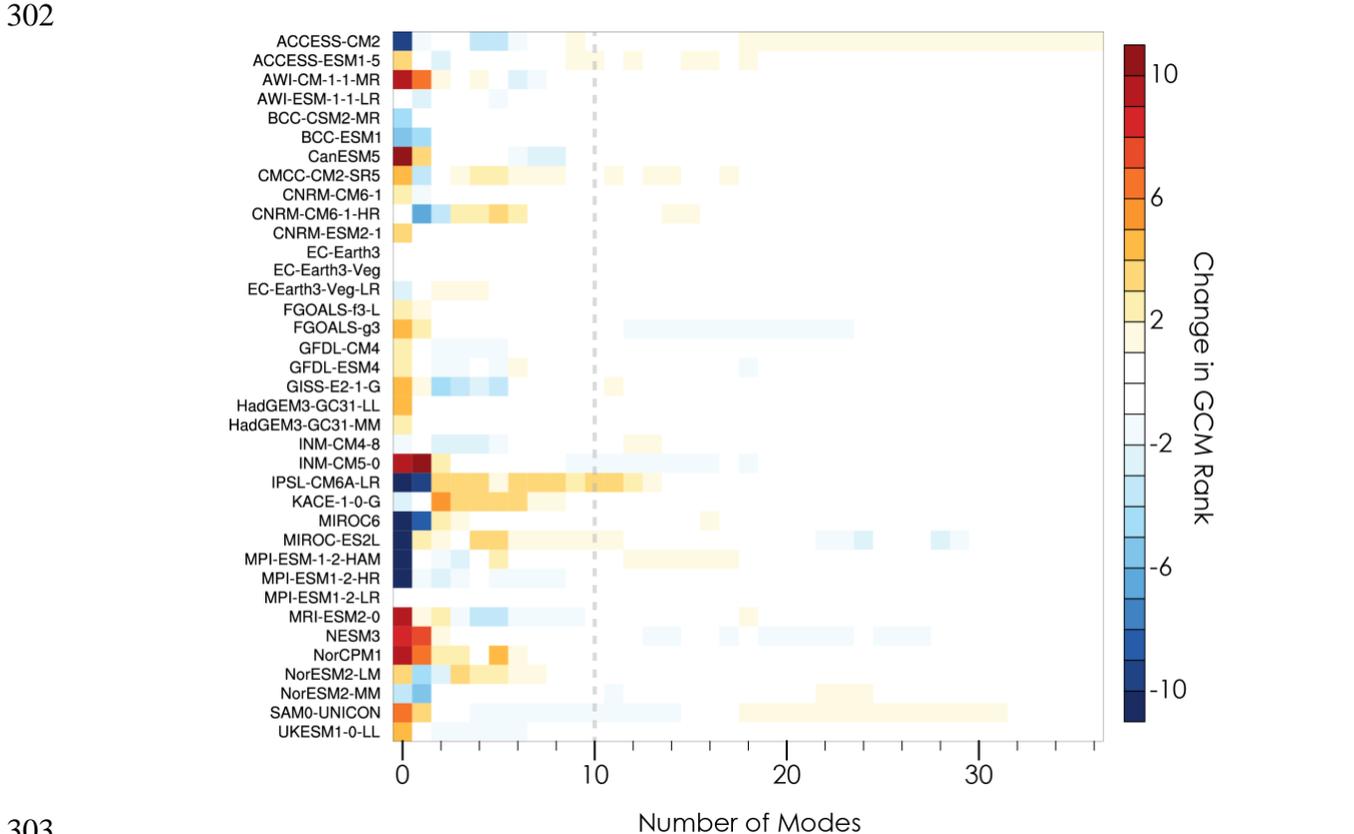
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286 **Figure 2. Metrics independence weights ($(ER_i)^{-1}$) as a function of the radius of their**
 287 **similarity (D_x). The grey vertical line represents the value of D_x used to calculate similarity**
 288 **scores.**

289

290 Where $D(O, G)$ represents the Euclidean distance of GCM G from reference data O as a sum of
 291 the distances over n PCs, which in our case $n=10$. No strict criteria have been followed to select
 292 the number of PCs in calculating the sum of Euclidean distances through equation 6 in past studies.
 293 Some studies have used North's rule of thumb (North et al. 1982) to objectively sub-select
 294 statistically different numbers of PCs (e.g., Rupp et al. 2013), while others have made this selection
 295 subjectively (e.g., Chhin et al., 2018; Sanderson et al., 2015). However, they have acknowledged
 296 the difficulty of identifying each selected EOF's distinct characteristics (Rupp et al., 2013). This
 297 study tests the sensitivity of GCMs ranking to the number of PCs used in calculating Euclidean

298 distances and notes that it substantially diminishes after the first ten modes (Figure 3). Therefore,
 299 distances between individual GCMs and observations are computed using the truncated set of the
 300 first ten modes. The GCM with the lowest total distance ranked at the top, whereas the GCM with
 301 the highest total distance ranked at the bottom.



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 305 **Figure 3. Deviation of GCMs ranking from the mean with the addition of PC modes. The**
 306 **grey line represents the number of modes used in this study for calculating the sum of the**
 307 **Euclidean distances.**

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 309 **3. Results and Discussion**

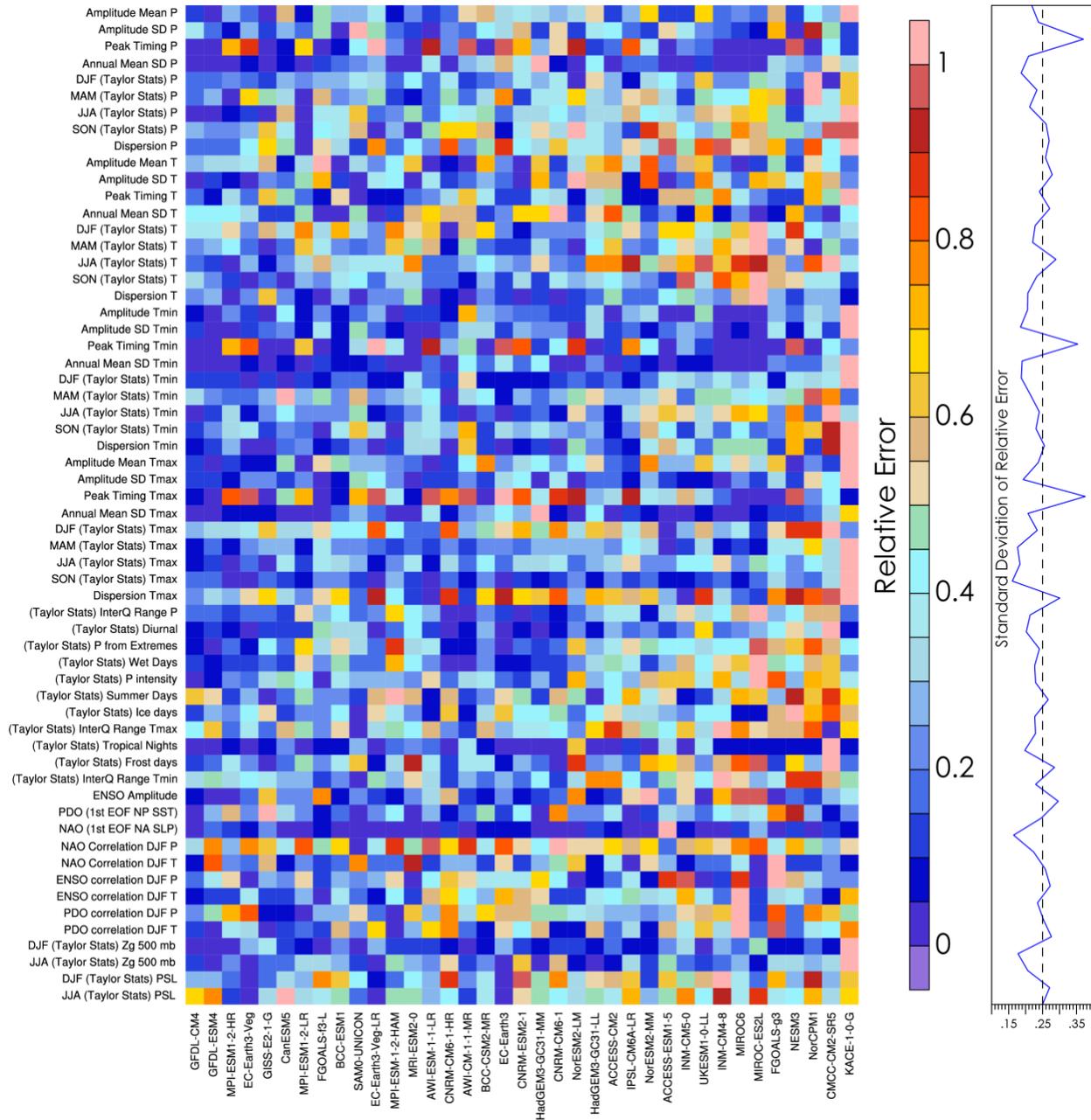
310 **3.1 The rationale for the choice of evaluation metrics**

311 First and foremost, there may be questions regarding the rationale behind the choice of
 312 evaluation metrics used in this study. Note that our selection of metrics represents a wide range of
 313 spatiotemporal climate characteristics that are common across the CONUS and does not include
 314 those features that are unique to specific regions, such as integrated water vapor transport through
 315 atmospheric rivers in the western US, the monsoonal climate in the southwest and tornadic
 316 environment in the central and eastern United States. We have also avoided the inclusion of trends
 317 analyses in the metric suite, given that not all the observed regional trends are necessarily driven

318 by the anthropogenic forcing, and the natural climate variability may influence some. Note that
319 while greenhouse gas concentrations are aligned in the observations and historical CMIP6 GCMs
320 simulations, the natural modes of climate variability, such as ENSO, PDO, NAO, are not.
321 Therefore, lack of correspondence between regional-scale observed and simulated trends cannot
322 be confidently used as a measure for model validation, as it is not straightforward to distinguish
323 between the inconsistency arising from natural climate variability and that arising from model
324 deficiencies. Irrespective of these choices, developing a well-defined universal set of metrics to
325 assess modeling skill in climate models is relatively improbable, as it may vary depending on
326 question framing, climate characteristics of the region of interest, and data availability.
327 Nonetheless, metrics used in this study represent a wide range of stakeholders relevant climate
328 characteristics over an area, including diurnal cycle, daily thresholds of temperature (e.g., frost
329 days, summer days, ice days tropical nights), daily precipitation extremes, seasonal precipitation
330 and temperature distributions, intra-annual variability (amplitudes, timing of peak magnitudes),
331 the spatiotemporal characteristics of precipitation and temperature distributions (dispersion
332 analyses), atmospheric dynamics and influences of relevant natural modes of climate variability.
333 Therefore, not only this comprehensive evaluation should aid in decision-making when it comes
334 to the selection of GCMs for downscaling studies, it is expected that the outcome of this evaluation
335 would also be helpful for studies where spatial downscaling of GCMs is not intended. For studies
336 with a more subregional focus, we expect that other metrics representing region-specific climate
337 characteristics may be required for more informed model selection.

338 **3.2 GCMs relative errors**

339 The unweighted relative errors for each metric corresponding to all 37 GCMs are shown
340 in Figure 4 for the North (see Figure 1 for regions definition) and in *Supplementary Figures S1 to*
341 *S3* for the remaining three regions. For ease of comparison, GCMs are sorted from left to right so
342 that the GCM with the lowest average relative error is on the left and the one with the highest
343 average relative error is on the right. Unlike the absolute error, the relative error is not a direct
344 measure of modeling biases with respect to truth or observations, as it differentiates models from
345 each other. Nonetheless, models with higher magnitudes of relative error would be further away
346 from the observations than those with lower magnitudes. The line plot panel on the right displays



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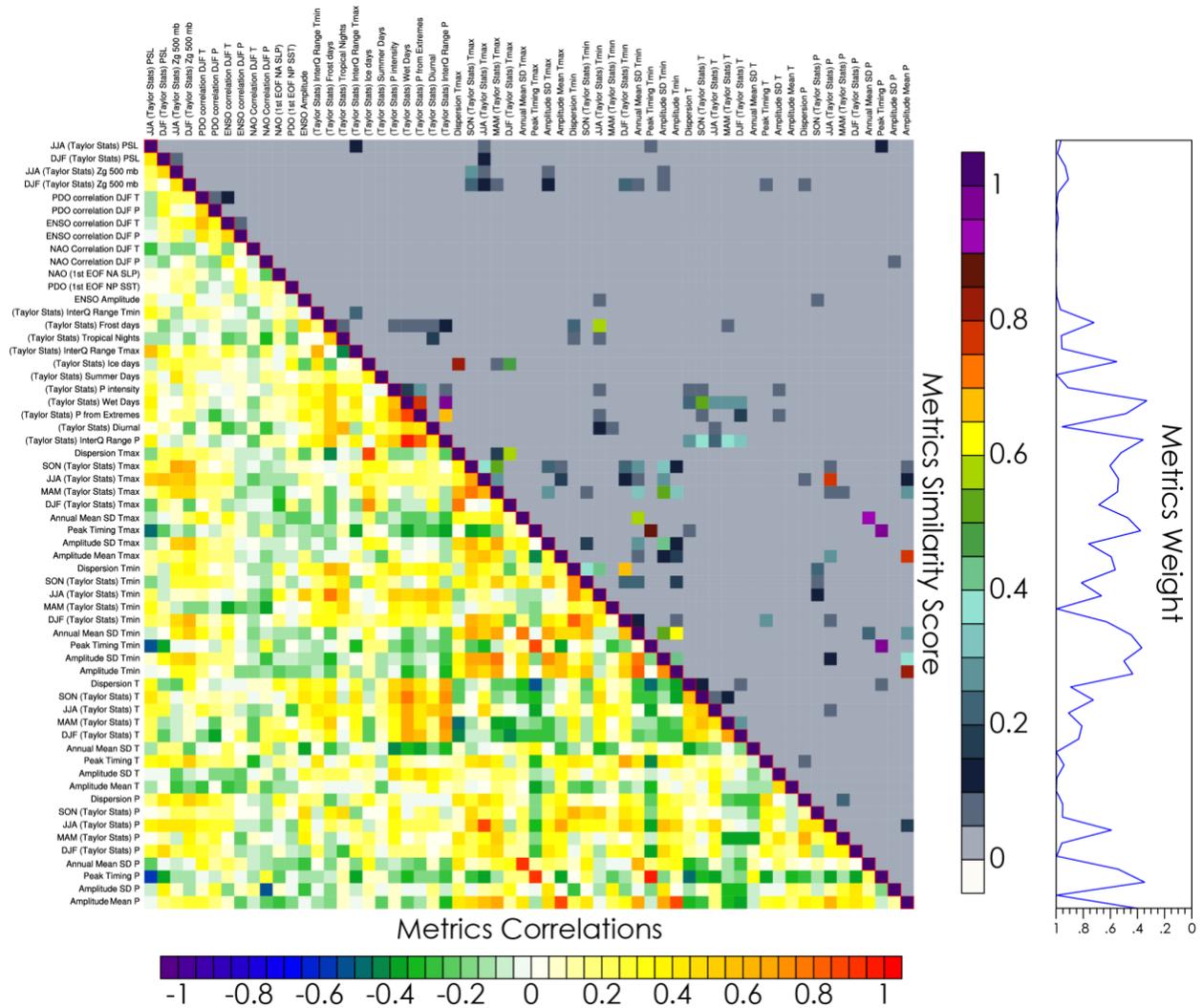
Figure 4. The unweighted relative errors of GCMs over the North. The left panel shows relative errors corresponding to each metric across all GCMs and the line plot on the right shows the standard deviation of the relative error for each metric across all GCMs.

the standard deviation of relative errors across GCMs for each metric. Note that if the performance of many models falls in a similar category, their relative errors display a similar range of colors. High standard deviation magnitudes represent substantial variation in modeling skills across the GCMs and vice versa.

357 Overall, many GCMs exhibit challenges in simulating key climate characteristics. For
358 instance, while models are relatively skillful in representing oceanic and atmospheric patterns
359 associated with natural forcing (ENSO, NAO, PDO), most show limited skill in simulating their
360 influences on the distribution of seasonal mean precipitation and temperature over the South and
361 West. Difficulties in reproducing the observed timing of peak magnitudes of precipitation,
362 minimum temperature, and maximum temperature are also evident in the West and North, and
363 metrics for precipitation characteristics are relatively poorly simulated in the South. One noticeable
364 distinction between better and poor performing models is that the latter group is deficient in
365 reproducing several daily-scale features of temperature and precipitation characteristics across all
366 regions. Several models consistently display similar better performance across all four CONUS
367 regions. For instance, KACE-1-0-G and NorCMP1 are always in the bottom three, while GFDL-
368 CM4 and EC-EARTH3-Veg are mainly in the top three. Some models exhibit substantial variation
369 in performance across regions. For instance, ACCESS-ESM1-5 is near the bottom over the East and
370 South but jumps to the top third in the West. Similarly, BCC-ESM1 falls in the fourth quarter over
371 the North but remains at the average or below average over the rest of the regions. However, these
372 relative unweighted rankings of the GCMs are inconclusive, given potential redundancy in the
373 evaluation metrics.

374 **3.3 Metrics redundancy**

375 The pairwise absolute correlations, metrics similarity score, and overall metrics weight are
376 shown in Figure 5 for the North and *Supplementary Figures S4 to S6* for the remaining three
377 regions. The correlation-based distance metric ($C^*_{i,j}$) shows that only ~0.8% of the total pairwise
378 absolute correlations between any two metrics are > 0.8 ($C^*_{i,j} < 0.2$) in each region while 5–7%
379 of $C^*_{i,j}$ are lower than 0.5 (absolute correlations > 0.5) across the four regions. These small
380 numbers suggest that majority of the evaluation metrics are primarily independent of each other.
381 Note that the primary intent for correlative analyses in this study is to minimize the possibility of
382 unwanted spurious biases in the GCMs ranking process due to metric redundancy. Still, it also
383 provides valuable insight into the spatiotemporal interplay of various characteristics of background
384 climate over a region in GCM simulations. Over the CONUS, the strong positive associations
385 among the evaluation metrics are relatively higher than the strong negative associations. To
386 explain this point, if we only considered those cases where correlations are $> \pm 0.6$ or stronger, there
387



388
 389 **Figure 5. The correlation between the pairwise metrics (bottom triangle) and the**
 390 **corresponding similarity score (top triangle) over the North. Metrics with high correlations**
 391 **exhibit a high similarity score and are down-weighted. The line plot on the right shows the**
 392 **overall weight for each metric.**
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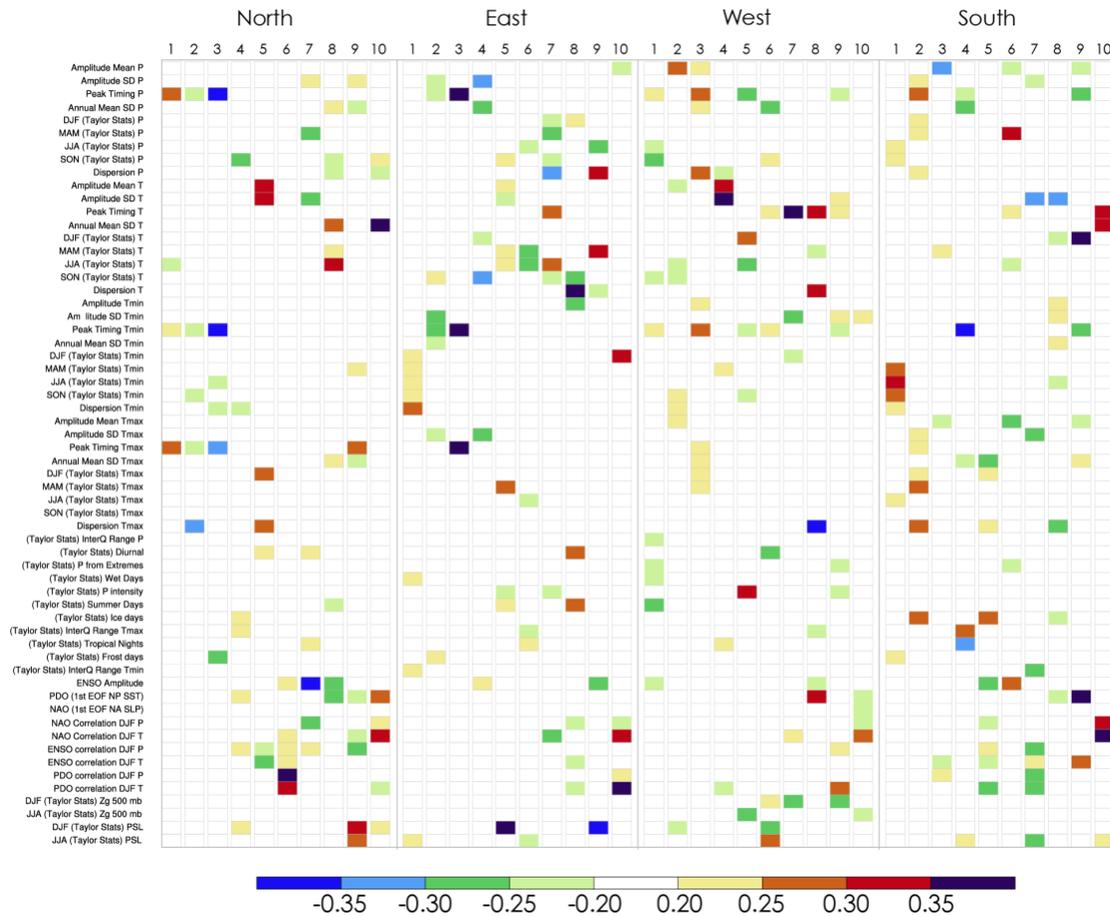
394 is only one instance over the South where the magnitude of negative correlation qualifies this
 395 threshold between any two metrics (Figure S6). The distribution of strong positive associations
 396 among the evaluation metrics is reasonably similar across four regions. Among them, the most
 397 notable and common cases across four regions include the covariance of modeling errors in metrics
 398 representing 1) the timing of peak magnitudes of precipitation, minimum temperature, and
 399 maximum temperature, 2) the wet days, precipitation from extremes, and interquartile precipitation
 400 range, and 3) the dispersion statistics of minimum temperature and its seasonal characteristics.
 401 Moreover, frost days metric strongly covary with metrics representing winter precipitation in the

402 South, and with metrics representing seasonal characteristics of minimum temperature and wet
403 days in the East, while metric describing autumn (September–October–November, SON) mean
404 temperature strongly correlates with those representing precipitation intensity and interquartile
405 precipitation range in the South (≥ 0.8). Positive high correlations also exist between metrics for
406 seasonal mean temperature characteristics with those for wet days and precipitation from extremes
407 in the North (≥ 0.7). Most of these strong interdependencies require identifying systematic
408 causative linkages for their physical explanation, which is neither the intent nor the focus of this
409 study. Nonetheless, all such metrics with strong correlations are proportionally downweighed, as
410 reflected in their corresponding similarity scores and overall weights.

411 The information redundancy in the evaluation metrics suite can also be taken care of using
412 EOF analysis. It finds a subset of metrics that convey as much as original information by reducing
413 the data dimensionality. One can examine individual loadings of PCs to identify metrics that
414 provide maximum aid in distinguishing between better and poor-performing models. Note that
415 more substantial loadings in our analyses do not necessarily mean that those associated variables
416 are critical measures for a model to perform better; they imply a higher contribution of those
417 metrics to a particular PC when EOF analysis is applied on the matrix of sixty measures across 37
418 CMIP6 GCMs. The list of significant contributors can potentially vary if the input data matrix is
419 changed. Alternatively, metrics with weaker loadings may suggest that most models exhibit similar
420 skills in simulating those characteristics. Therefore, such measurements provide little ability to
421 identify models' distinctiveness.

422 When the first ten EOFs are considered, which represent approximately $> 76\%$ of the
423 explained variance in each region, they reveal a regionally varying list of dominant metrics. Still,
424 some interesting features are worth highlighting and explaining. Relatively fewer metrics,
425 including the ones representing the timing of annual peaks for precipitation, minimum
426 temperature, and the maximum temperature, noticeably contribute to the first few dominant modes
427 over the North. Interestingly, this is the only region where these few modes distinctively exhibit
428 higher variability across the GCMs (Figure 6). Therefore, it is understandable that these modes
429 have a higher contribution to the first few PCs over the North. These metrics also exhibit strong
430 loadings for several PCs in other regions. Moreover, South and East display the noticeable
431 contribution from metrics representing the seasonal characteristics of minimum temperature to the

432 first PC. In these cases, and many others not mentioned, the metrics contributing more to the first



433
 434 **Figure 6. The loadings of metrics with a relatively substantial contribution to the first 10**
 435 **EOFs over each region.**
 436

437 few PCs are likely the ones for which GCMs exhibit substantial variability in representing their
 438 characteristics. More interestingly though, these metrics are also the ones that display strong
 439 correlations with other evaluation measures. Recall that EOF analyses reduce data dimensionality
 440 while conserving the explained variance. Therefore, it should be intuitive that a single metric that
 441 exhibits strong correlations with several other metrics contributes more to the first few PCs. In
 442 principle, this approach contrasts with the first methodology. In the simple weighted averaging
 443 technique where weights are assigned to each metric before averaging, metrics with higher
 444 correlations are downweighed so that weights are distributed among the correlated set of metrics.
 445 In contrast, EOF analyses remove redundancy in data by assigning those metrics more weight that
 446 display correlations with several others, as the information in other metrics is already embedded
 447 in the selected set. However, this distinctiveness between the two approaches is not evident in the

448 remaining PCs. For instance, metrics representing atmospheric teleconnections and dynamics
449 make up the list with more substantial loadings for PCs 3–7 over the four regions. At the same
450 time, most of them get very high weights in the simple averaging approach due to their relatively
451 little to no correlations with other metrics.

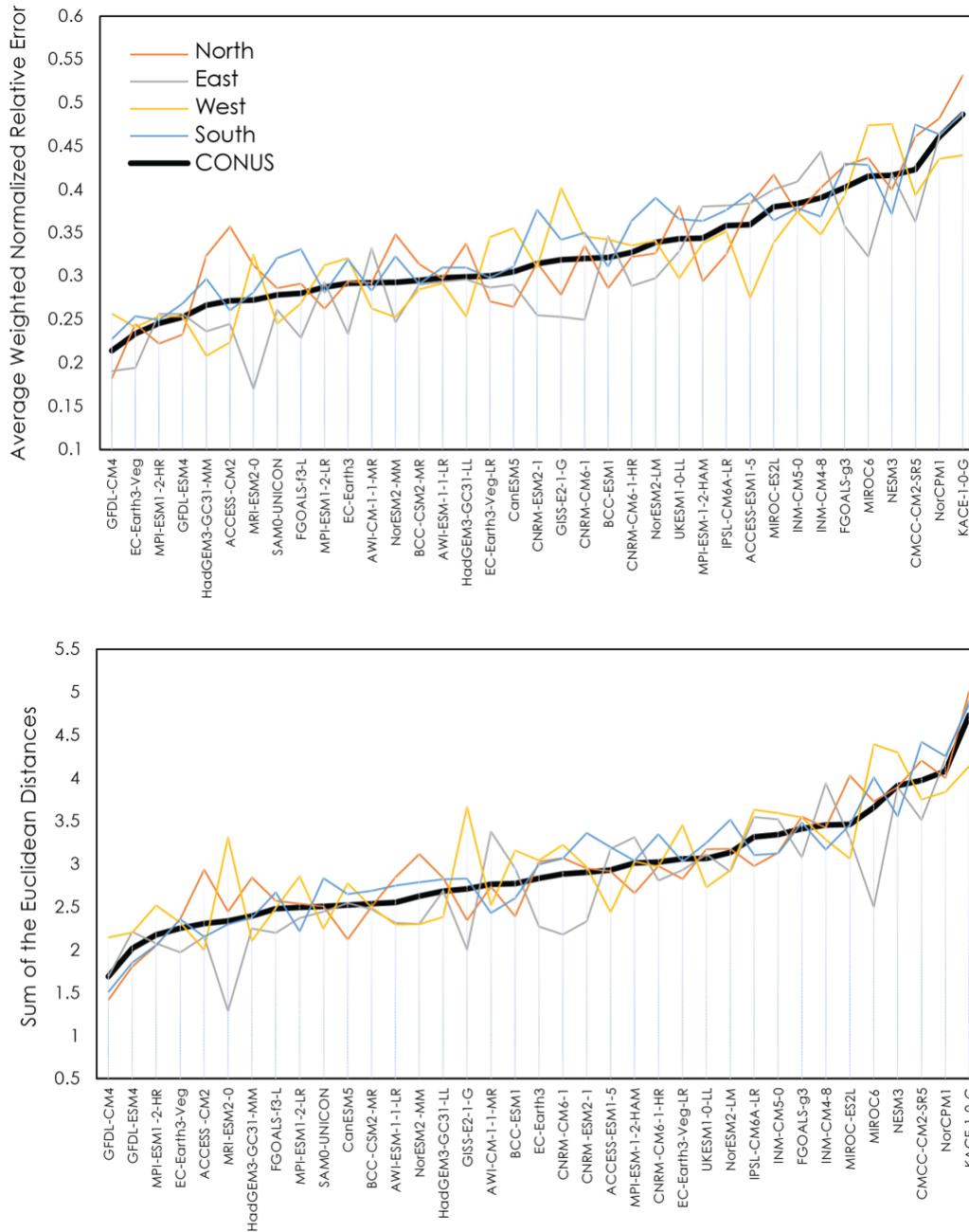
452 **3.4 GCMs relative ranking and independence**

453 The regional and CONUS scale relative GCM rankings are shown in Figure 7 for the two
454 methodologies. The two approaches yield reasonably similar results at the CONUS scale, as the
455 same GCMs occupy not only the first and fourth quartiles in both techniques, the individual GCM
456 placements within these quartiles are also very similar. For instance, the bottom five GCM
457 rankings are identical in both cases, and the maximum difference in ranking in the fourth quartile
458 ranges from 0 to 2. The commonality between the outcome of two approaches is also evident in
459 regional rankings as identical models in the two approaches exhibit substantial deviation from their
460 mean CONUS-scale relative measures (relative error or Euclidean distances), such as MRI-ESM2-
461 0, CNRM-CM6-1, and MIROC6 over the South, GISS-E2-1-G and MIROC6 over the West, and
462 ACCESS-CM2 and NorESM2-MM over the North. The remaining GCMs falling between the top
463 and bottom quartiles tend to exhibit considerably minor differences in their weighted relative errors
464 in the case of simple averaging and total Euclidean distance in the case of EOF analyses. The high-
465 resolution model from several institutes distinctively performs better than the lower resolution
466 version, with at least 5 level differences in their relative placement in both methodologies. For
467 instance, MPI-ESM1-2-HR ranks higher than MPI-ESM1-2-LR, HdGEM3-GC31-MM ranks
468 higher than HdGEM3-GC31-LL, while NorESM2-MM displays better performance than
469 NorESM2-LM.

470 Several models in the CMIP6 share modeling components. The component sharing is more
471 significant in the models from the same institute, such as models contributed by U.S. Geophysical
472 Fluid Dynamics Laboratory (GFDL) or those contributed by the United Kingdom Met (UKMET)
473 Office in the CMIP6. Components sharing across institutes are also standard. For instance,
474 Australian Commonwealth Scientific and Industrial Research models (ACCESS-CM2, ACCESS-
475 ESM1-5) share several components developed by GFDL and UKMET
476 (<https://research.csiro.au/access/about/>). Similarly, the Norwegian Earth System Model
477 (NorESM2) is based on the second version of CESM (CESM2) (Seland et al., 2020), while Seoul

478 National University Atmospheric Model Version 0 with a Unified Convection Scheme (SAM0-
 479 UNICON) is based on the first version of CESM (CESM1) (Park et al., 2019).

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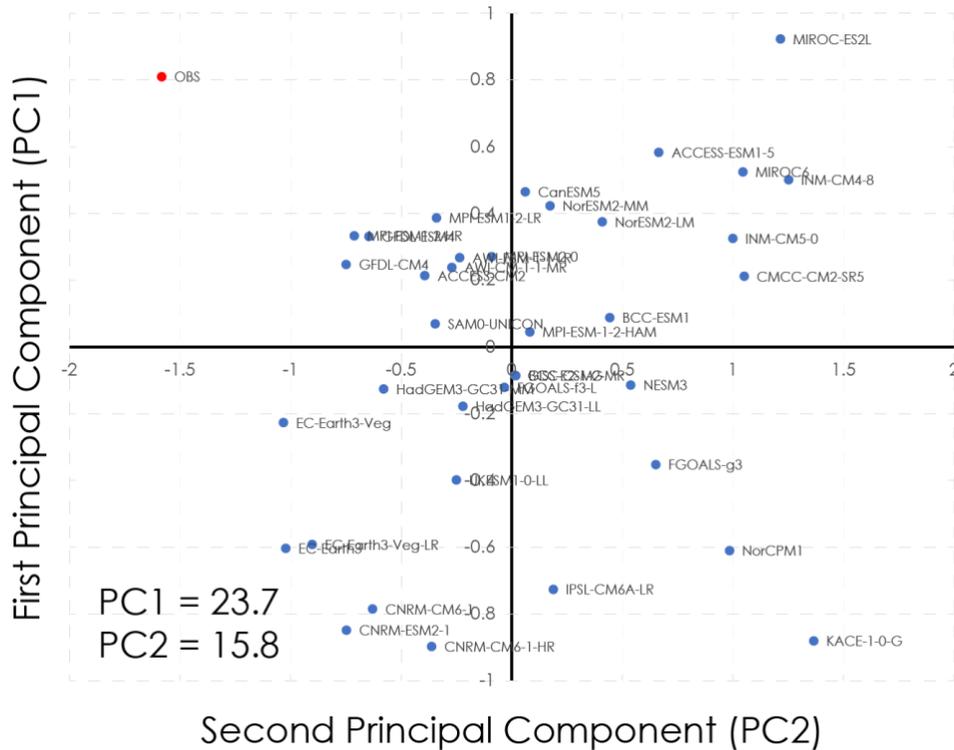
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483 **Figure 7. The ranking of GCMs using the simple weighted averaging (top) and EOF-based**
 484 **Euclidian distances. The thin lines represent models' relative ranking over four sub-regions,**
 485 **and the thick line represents the overall CONUS scale ranking.**

486

487 Given the commonality of modeling components, it is quite possible that these models,
 488 particularly those from the same developing institute, exhibit similar biases. Other studies have
 489 used techniques to assign weights to models based on their independence, which is useful when
 490 various factors impacting the robustness of future climate change are in question (Knutti et al.,
 491 2017; Sanderson et al., 2015). However, this study intends to guide the sub-selection of GCMs for
 492 downscaling studies based on their performance in the historical period. Therefore, we restrict
 493 ourselves to the relatively less quantitative identification of models' interdependencies by
 494 comparing PCs from the EOF analysis – an approach quite commonly used in many earlier studies.
 495 When the loadings of the first two PCs from EOF analyses are compared, they show models from
 496 the same developing center clustering in the same PC space, highlighting the similarities among
 497 those models (Figure 8). Therefore, if a model selection is necessary for downscaling purposes,
 498 the selection of models should consider both the skill and the independence of the selected models.
 499 An easier choice in the case of many is to go for the higher resolution versions, as those display
 500 relatively better skill.



506 **4. Summary**

507 We analyze the performance of CMIP6 GCMs across 60 evaluation metrics over four
508 CONUS regions. The analysis is restricted to 37 models with complete data needed to calculate all
509 evaluation metrics. Based on the performance of models across the evaluation measures, two
510 methodologies are used to rank the models relative to each other while accounting for the
511 redundancies in the metrics suite. The first methodology employs a simple weighted averaging
512 technique where a GCM's relative errors across all evaluation metrics are averaged after each
513 metric is assigned a weight based on its uniqueness. The second methodology employs EOF
514 analysis to reduce the dimensionality of data where metrics that explain the variability across the
515 GCMs ensemble receive higher loadings – the coefficients of the linear combination of the original
516 metrics from which the PCs are constructed. The two methodologies work in contrasting ways to
517 remove the metrics redundancy but eventually develop relatively similar GCMs rankings. The
518 consistency in the model ranking between the two methods can also be partly due to an extensive
519 suite of metrics used in analyses that perhaps reduce the possibility of substantial deviations in the
520 outcome.

521 The evaluation in this study is intended for downscaling studies where GCMs sub-selection
522 is necessary due to many unavoidable factors. Many of the evaluated models provide 6-hourly
523 atmospheric fields. Therefore, the results from this study should be helpful in the selection of
524 models for dynamical downscaling efforts, such as CORDEX. The results can also be beneficial
525 in understanding the strengths and deficiencies of CMIP6 GCMs in representing various
526 background climate characteristics if direct use of GCMs is intended. While we have used an
527 extensive suite of evaluation metrics, this list is in no way comprehensive. It should be considered
528 only as a guideline where a more in-depth understanding of GCMs performance is required,
529 particularly of specific phenomena such as North American monsoon, Atmospheric rivers, and
530 severe weather environments. Note that our study does not include any models from NCAR in the
531 CMIP6 because their daily minimum and maximum temperatures data were not available at the
532 time of this analysis. However, we would like to point out that NCAR models were among the
533 better performing GCMs when fewer metrics were used (not shown). Lastly, note that only two
534 methodologies are used for GCMs ranking. Therefore, results may not be entirely insensitive to
535 the choice of the ranking process.

536

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547 purposes.

548

549 **Data Availability**

550 All datasets used in this study are publicly available.

551 CMIP6: (from <https://esgf-node.llnl.gov/projects/cmip6/>)

552 Daymet: (from <https://daymet.ornl.gov/>)

553 ERA5: (from <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>)

554 Livneh: (from <https://psl.noaa.gov/data/gridded/data.livneh.html>)

555 PRISM: (from <https://prism.oregonstate.edu/>)

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