

Kinetic interaction of cold and hot protons with an oblique EMIC wave near the dayside reconnecting magnetopause

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Key Points:

- In-situ observations of different dynamics of cold (eV) and hot (keV) protons inside an EMIC wave
- Wave number estimation shows that cold protons behave as fluid while hot protons interact at kinetic scales
- Magnetized cold protons modify the Ohm's law balance and favor propagation at large wave normal angle

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38 **Abstract**

39 We report observations of the ion dynamics inside an Alfvén branch wave that prop-
 40 agates near the reconnecting dayside magnetopause. The measured frequency, wave nor-
 41 mal angle and polarization are within 1% with the predictions of a dispersion solver, and
 42 indicate that the wave is an electromagnetic ion cyclotron wave with very oblique wave
 43 vector. The magnetospheric plasma contains hot protons (keV), cold protons (eV), plus
 44 some heavy ions. The cold protons follow the magnetic field fluctuations and remain frozen-
 45 in, while the hot protons are at the limit of magnetization. The cold proton velocity fluctu-
 46 ations contribute to balance the Hall term in Ohm’s law, allowing the wave polariza-
 47 tion to be highly-elliptical and right-handed, a necessary condition for propagation at
 48 oblique wave normal angles. The dispersion solver indicates that increasing the cold pro-
 49 ton density facilitates generation and propagation of these waves at oblique angles, as
 50 it occurs for the observed wave.

51 **Plain Language Summary**

52 The Earth’s magnetosphere is a very dilute cloud of charged particles which are
 53 trapped in the Earth’s magnetic field. This cloud is surrounded by the solar wind, an-
 54 other very dilute gas that flows supersonically throughout the solar system. These two
 55 plasmas can couple to each other via magnetic reconnection, a fundamental plasma pro-
 56 cess that occurs at the dayside region of the interface between the two plasmas. When
 57 reconnection occurs, large amounts of energy and particles enter the magnetosphere, driv-
 58 ing the near Earth space dynamics and generating, for instance, aurorae. The magne-
 59 toospheric plasma sources are the solar wind and the Earth’s ionosphere. Multiple plasma
 60 populations can be found inside the Earth’s magnetosphere, depending on the plasma
 61 origin and its time history, as well as the magnetospheric forcing of the solar wind. In
 62 this study, we show how the presence of multiple particle populations at the interface
 63 between the solar wind and the magnetosphere modify the properties of the waves that
 64 propagate there. Waves are known to play a fundamental role in converting energy and
 65 heating these very dilute charged gas clouds.

66 **1 Introduction**

67 Electromagnetic Ion Cyclotron (EMIC) waves are generated in various regions of
 68 the Earth’s magnetosphere when hot (keV to tens of keV) ions have $T_{\perp} > T_{\parallel}$ (e.g., Ken-
 69 nel & Petschek, 1966; Gary & Winske, 1990; Gary, 1992). The wave growth rate max-
 70 imizes in regions of \mathbf{B} minima (e.g., Allen et al., 2015). EMIC waves are thought to grow
 71 at parallel wave normal angles (θ_{Bk}) and exhibit left-handed polarization, but it is com-
 72 mon to observe them propagating with large θ_{Bk} , and this is associated with a depart-
 73 ure from left-handed polarization (e.g., Min et al., 2012; Allen et al., 2015).

74 One possible way of departing from left-handed polarization is propagation near
 75 the crossover frequency when heavy ions are present (Denton et al., 1996). Oblique prop-
 76 agation ($\theta_{Bk} > 30^{\circ}$) is generally associated with linear and right-handed polarizations
 77 (B. J. Anderson et al., 1996). Hu and Denton (2009); Omidi et al. (2011) showed that
 78 propagation along the \mathbf{B} field gradients of the Earth’s dipole leads to oblique propaga-
 79 tion of EMIC waves due to the changing refraction index, and that the waves are reflected
 80 when they reach the local bi-ion frequency. However, for oblique propagation, it is ex-
 81 pected that the wave is strongly damped (Thorne & Horne, 1993). B. J. Anderson et al.
 82 (1992) observed that most EMIC waves in the dawn-sector exhibited linear polarization
 83 that could not be explained only by propagation near the crossover frequency along a
 84 magnetic field gradient. Hu et al. (2010) showed, using 2.5D hybrid simulations, that the
 85 waves could be generated at oblique angles, in particular when there is a small amount

86 of heavy ions and a large amount of cold protons, in addition to hot anisotropic protons
87 which provide the energy source.

88 The Magnetospheric Multiscale (MMS) mission (Burch et al., 2015) provides un-
89 precedented high-resolution measurements in the near-Earth plasma environment which
90 have enabled studying the kinetic interaction of cold and hot protons in detail, and have
91 recently showed the cold proton ability to remain magnetized inside spatial structures
92 larger than their gyroradius (André et al., 2016; Toledo-Redondo et al., 2016; Toledo-
93 Redondo et al., 2018; Alm et al., 2019; Shi et al., 2020). In this work, we observe an EMIC
94 wave propagating with a very oblique wave vector, and show that hot and cold protons
95 interact with the wave electromagnetic fields in a kinetic and fluid sense, respectively.
96 The temperature anisotropy of the hot protons drives the instability which generates the
97 EMIC wave, and their gyroradius is comparable to the wavelength. On the other hand,
98 the cold protons have a gyroradius well below the wavelength, allowing them to remain
99 frozen-in and follow the fluctuations imposed by the slowly varying fields of the waves,
100 self-consistently favoring wave propagation at oblique angles.

101 2 EMIC wave environment

102 On the 24th of October 2015, at 15:26 UT, the MMS fleet (Burch et al., 2015) was
103 in the dayside magnetosphere at (7.3, 8.0, -0.8) Earth radii (R_E) in Geocentric Solar Eclip-
104 tic (GSE) coordinates (MLAT = -23° , L-shell = 12.8) and crossed the magnetopause mul-
105 tiple times. When the fleet re-entered the magnetosphere, it observed a wave for ~ 20
106 s. Figure 1a shows the magnetic field in GSE coordinates (Russell et al., 2014). From
107 15:27:25 UT onwards, marked by yellow shading, \mathbf{B} fluctuations caused by the wave are
108 observed. Figure 1b shows the electric field measurements in GSE coordinates (Lindqvist
109 et al., 2014; Ergun et al., 2014). Electric fields of ~ 10 mV/m consistent with separatrix
110 crossings are observed on the magnetospheric edges of the magnetopause. Electric field
111 fluctuations associated with the wave are observed from 15:27:25 UT onwards. Figure
112 1c shows the total ion (black), electron (blue), He^+ (red), He^{2+} (green) and O^+ (gray)
113 number densities recorded by the Fast Plasma Investigation (FPI) (Pollock et al., 2016)
114 and the Hot Plasma Composition Analyzer (HPCA) (Young et al., 2014). The total den-
115 sity in the magnetosphere is roughly 1 cm^{-3} , mainly contributed by cold and hot pro-
116 tons. The measured electron density goes below 1 cm^{-3} and deviates from the ion den-
117 sity towards the end of the interval. The reason is likely the presence of cold electrons
118 below the 10 eV threshold of FPI. During the entire interval of Figure 1, the spacecraft
119 was charged positively below 10 V. Figure 1d shows the ion velocity (GSE) recorded by
120 FPI. We observe an ion flow in the $-\mathbf{z}_{GSE}$ direction that peaks at -250 km/s, correspond-
121 ing to $1.1 v_A$, where v_A is the observed hybrid Alfvén velocity at the magnetopause (Cassak
122 & Shay, 2007). The ion flow and the electric field separatrix signatures indicate that re-
123 connection may be occurring at the magnetopause, with the X line located northward
124 of the spacecraft, consistent with the maximum shear model predictions at that time (Trattner
125 et al., 2007). At the end of the time interval, the magnetopause is moving sunward at
126 a peak velocity of ~ 150 km/s. Figure 1e shows an ion energy spectrogram, where three
127 populations can be distinguished. In the magnetosphere, there is a hot population with
128 energies above 2 keV, the plasma sheet ions, plus a cold population with total energies
129 of 50 - 300 eV of ionospheric origin. The black line is the equivalent $\mathbf{E} \times \mathbf{B}$ energy for pro-
130 tons. The total cold ion energy is greater than a few eV due to the relative motion of
131 the ambient plasma with respect to the spacecraft. The third one is the ion population
132 with energies from a few tens of eV up to a few keV from the magnetosheath. The total
133 parallel ($T_{i\parallel}$) and perpendicular ($T_{i\perp}$) temperatures are shown using green and blue
134 lines, respectively. The cold ion heating observed between 15:27:10 - 15:27:20 UT has
135 been previously studied by Toledo-Redondo et al. (2017). From 15:27:20 UT onwards,
136 the cold ion energy fluctuates up and down as a consequence of the interaction with the
137 wave. Figure 1f shows the electron energy spectrogram recorded by FPI Dual Electron

138 Spectrometers (DES). As for the ions, three populations can be distinguished based on
 139 their energies: plasma sheet electrons, cold electrons of ionospheric origin, and magne-
 140 tosheat electrons. Figure 1g shows the magnetic field dynamic spectrum in the low fre-
 141 quency (0.1 - 6 Hz) band. The magnetic field fluctuations observed after 15:27:20 UT
 142 have a peak in power at ~ 0.35 Hz in the spacecraft frame, below the H^+ and above the
 143 He^+ cyclotron frequency bands.

144 3 Observed wave properties

145 We now focus on the low-frequency wave observation ($f_{sc} \sim 0.35$ Hz) in the yellow-
 146 shaded interval of Figure 1, 15:27:25 - 15:27:44 UT. Figure 2a shows the ion energy spec-
 147 trogram recorded by FPI in the low-energy range, averaged among the four MMS space-
 148 craft. The equivalent $\mathbf{E} \times \mathbf{B}$ energy for protons is plotted in black. The energy of the cold
 149 ion population fluctuates periodically between tens of eV and few hundred eV. For most
 150 of the interval, the average energy of the cold ions is above 50 eV, except for the last 3
 151 - 4 s. Therefore, the FPI-ion and \mathbf{E} field measurements are in general only weakly af-
 152 fected by the sheath electrostatic potential of the spacecraft and the formation of cold
 153 ion wakes, except for the last 3 - 4 s, where the effect may be substantial (Toledo-Redondo
 154 et al., 2019). We computed partial moments (e.g., Toledo-Redondo et al., 2016; Li et al.,
 155 2017; Lee et al., 2019) for the cold (10 - 400 eV) and hot (2 - 40 keV) ion populations
 156 on each of the four MMS spacecraft. The MMS fleet is in tetrahedron formation with
 157 a spacecraft separation of ~ 15 km, much smaller than the characteristic wavelength (λ)
 158 of the wave under study (see below). Figure 2b shows the electron density fluctuations
 159 (Δn_e) from FPI, and the partial cold and hot ion density fluctuations (Δn_{ic} , Δn_{ih}), av-
 160 eraged among the 4 spacecraft. Density fluctuations (Δn) are computed using a 5th order
 161 elliptical band-pass filter, with cutoff frequencies at $0.1f_{H^+}$ and $5f_{H^+}$, where $f_{H^+} =$
 162 0.57 Hz, corresponding to the proton cyclotron frequency in the interval 15:27:25 - 15:27:44
 163 UT. Fluctuations (Δ) of any quantity throughout the study are computed using the same
 164 filtering. The total ion and electron density is $\sim 1 \text{ cm}^{-3}$ (Figure 1c). The number den-
 165 sity of the heavy ion species contributes less than 10%, and most of the ions correspond
 166 to protons, of which approximately one half correspond to hot protons and one half to
 167 cold protons (not shown). There is a fluctuation of the electron and cold proton density
 168 of $\sim 0.1 \text{ cm}^{-3}$ (i.e., 20% of the cold proton density) that is not observed for the hot pro-
 169 tons. We apply Maximum Variance Analysis (MVA) to $\Delta \mathbf{B}$ and obtain $\hat{\mathbf{e}}_{\perp 1} = (0.98, -$
 170 $0.12, -0.16)$ in GSE. Another perpendicular direction to \mathbf{B} is obtained applying MVA to
 171 $\Delta \mathbf{E}$ field fluctuations. The parallel direction is defined as the cross product of the two
 172 perpendicular directions: $\hat{\mathbf{e}}_{\parallel} = (0.18, 0.14, 0.97)$ in GSE. Finally, $\hat{\mathbf{e}}_{\perp 2} = \hat{\mathbf{e}}_{\parallel} \times \hat{\mathbf{e}}_{\perp 1} = (0.09,$
 173 $0.998, -0.15)$ in GSE. The parallel direction defined in this way has an angle $< 5^\circ$ with
 174 the average \mathbf{B} direction in the wave interval. The system ($\hat{\mathbf{e}}_{\parallel}$, $\hat{\mathbf{e}}_{\perp 1}$, $\hat{\mathbf{e}}_{\perp 2}$) defines the Field-
 175 Aligned Coordinates (FAC) used in this study. $\Delta \mathbf{B}$ and $\Delta \mathbf{E}$ are plotted in Figures 2c
 176 and 2d respectively. The black vertical lines in Figure 2 indicate $\Delta B_{\perp 1}$ maxima. $\Delta \mathbf{B}$
 177 exhibits highly elliptical, right-handed polarization, with $L2/L1 \sim 0.26$, where L2 and
 178 L1 are the eigenvalues of the intermediate and maximum directions obtained by MVA,
 179 respectively. We compute the fluctuations of the Ohm's law terms, for a three fluid plasma
 180 including electrons, cold protons and hot protons (Toledo-Redondo et al., 2015):

$$\Delta \mathbf{E} = -\Delta \left(\frac{n_{ic}}{n} \mathbf{v}_{ic} \times \mathbf{B} \right) - \Delta \left(\frac{n_{ih}}{n} \mathbf{v}_{ih} \times \mathbf{B} \right) + \Delta \left(\frac{1}{en} \mathbf{J} \times \mathbf{B} \right) - \Delta \left(\frac{1}{en} \nabla \cdot \mathbf{P}_e \right), \quad (1)$$

181 where \mathbf{J} was obtained using the curlometer technique (Dunlop et al., 1988). The heavy
 182 ion convection terms can be neglected due to their small number densities. Inside the
 183 magnetosphere, the electron density is small ($\sim 1 \text{ cm}^{-3}$) and the electron temperature
 184 is large (hundreds of eV), and we cannot reliably obtain the $\nabla \cdot \mathbf{P}_e/en$ term, although
 185 we expect it to be small. Although MMS observed two electron populations in the mag-
 186 netosphere, we treat them as a single population for simplification, since we do not ex-
 187 pect a differential behavior of the two populations at the time and spatial scales of the

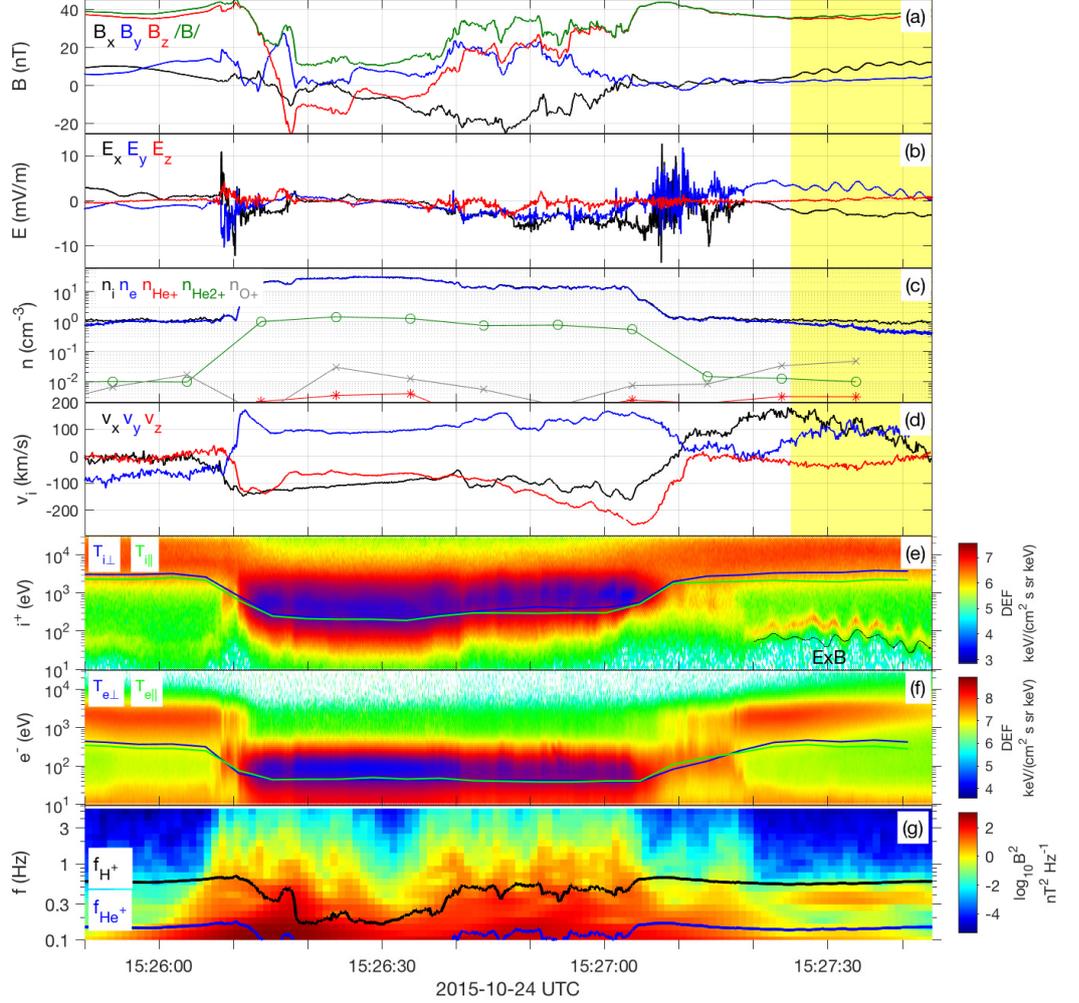


Figure 1. Overview of the MMS1 magnetopause crossing. (a) Magnetic field in GSE coordinates. (b) Electric field in GSE coordinates. (c) (black) Number densities of all ions from FPI, (blue) electrons from FPI, (red, green and gray) and heavy ions (He^+ , He^{2+} and O^+) from HPCA. (d) FPI ion velocity in GSE coordinates. (e) (color) FPI Ion Differential Energy Flux (DEF), (black) equivalent $\mathbf{E} \times \mathbf{B}$ energy for protons, (blue) perpendicular ion temperature ($T_{i\perp}$), (green) parallel ion temperature ($T_{i\parallel}$). (f) FPI electron DEF, (blue) perpendicular electron temperature ($T_{e\perp}$), (green) parallel electron temperature ($T_{e\parallel}$). (g) (color) Magnetic field spectrogram, (black) H^+ cyclotron frequency, (blue) He^+ cyclotron frequency.

188 wave. This is confirmed using a wave dispersion solver, which yielded the same results
 189 for the Alfvén branch when accounting for a single or double electron population (cf. sec-
 190 tion 3). The $\hat{\mathbf{e}}_{\perp 2}$ components of the fluctuations of the Ohm's law right-hand side terms
 191 are plotted in Figure 2e. The main contributions are provided by the cold ion convec-
 192 tion term and the Hall term, and to a lesser degree by the hot ion convection term. The
 193 sum of the right-hand side terms of equation 1 is also plotted in Figure 2d (green dashed
 194 line). The agreement between the measured electric field fluctuations and the sum of the
 195 right-hand side terms of equation (1) is very good, except for the last 3 s of the time in-
 196 terval of Figure 2, when the cold ion energy is lower and both \mathbf{E} and FPI-ion measure-
 197 ments become less reliable owing to the electrostatic potential structure of the spacecraft
 198 and ion wake effects (Toledo-Redondo et al., 2019). We performed a linear regression anal-
 199 ysis between $\Delta\mathbf{E}$ and $-\Delta\mathbf{v}_{ic}\times\mathbf{B}$ in the $\hat{\mathbf{e}}_{\perp 2}$ direction, and found a correlation coefficient
 200 $r = 0.85$ (Figure 2k), for the time interval of Figure 2 excluding the last 3 s, while the
 201 correlation between $\Delta E_{\perp 2}$ and $-\Delta(\mathbf{v}_{ih}\times\mathbf{B})_{\perp 2}$ was $r = 0.44$ (Figure 2j). This suggests
 202 that cold ions are magnetized and follow $\mathbf{E}\times\mathbf{B}$ motion, while hot ions are less magne-
 203 tized. Figure 2f shows the Ohm's law terms in the $\hat{\mathbf{e}}_{\perp 1}$ direction. The net $\Delta E_{\perp 1}$ field
 204 is negligible ($\Delta E_{\perp 1} \sim 0.1\Delta E_{\perp 2}$) (blue and red curves in Figure 2d), consistent with
 205 the highly elliptical polarization of the wave. This results from the non-negligible con-
 206 tributions of the cold ion convection term and the Hall term in the $\perp 1$ direction (black
 207 and red curves in Figure 2f), which roughly cancel each other. The correlation coefficient
 208 between the fluctuations of the cold ion convection term, $\Delta(n_{ic}/n(\mathbf{v}_{ic}\times\mathbf{B}))_{\perp 1}$ (black
 209 curve in Figure 2f), and the Hall term, $\Delta(\mathbf{J}\times\mathbf{B}/en)$ (red curve in Figure 2f), in the $\hat{\mathbf{e}}_{\perp 1}$
 210 direction is $r = 0.79$ (Figure 2m), while the correlation between $\Delta(n_{ih}/n(\mathbf{v}_{ih}\times\mathbf{B}))_{\perp 1}$
 211 and $\Delta(\mathbf{J}\times\mathbf{B}/en)_{\perp 1}$ is 0.23 (Figure 2l). The implications of the cold ion term in bal-
 212 ancing the electric field fluctuations are discussed in section 4. We compute the associ-
 213 ated speed of the field fluctuations $\text{RMS}(\Delta E/\Delta B = 750 \text{ km/s})$, where RMS stands for
 214 Root Mean Squared. The associated Alfvén velocity of the interval is $v_A = 770 \text{ km/s}$ (B
 215 $= 36 \text{ nT}$, $n = 1 \text{ cm}^{-3}$), indicating that the wave likely corresponds to the Alfvénic branch.
 216 The currents are calculated using two methods: the curlometer and from plasma moments
 217 at each spacecraft, and averaged among the four spacecraft. Figure 2g shows ΔJ_{\parallel} from
 218 the two methods, which are roughly consistent. The parallel current is roughly at 90°
 219 phase shift with respect to $\Delta B_{\perp 1}$. Figure 2h shows a magnetic field spectrogram. The
 220 wave power is located between the He^+ (blue line) and the H^+ (black line) cyclotron bands,
 221 at $\sim 0.35 \text{ Hz}$ in the spacecraft frame, see also Figure 1g. Magnetic field polarization anal-
 222 ysis shows that the angle between the wave vector \mathbf{k} and the background magnetic field,
 223 θ_{Bk} is $\sim 70^\circ$ (Figure 2i). Bellan (2016) presented a method to compute the \mathbf{k} vector of
 224 low-frequency waves if the current density vector \mathbf{J} is known. It is based on the Ampere's
 225 law in the frequency domain, assuming a monochromatic wave: $\mu_0\mathbf{J}(\omega) = i\mathbf{k}(\omega)\times\mathbf{B}(\omega)$.
 226 Following that procedure and calculating the fluctuations of the current density vector
 227 $\Delta\mathbf{J}$ using the curlometer technique, we obtain $\mathbf{k}_{Bellan} = (1.9, 0.6, 5.6)\cdot 10^{-3} \text{ rad/km}$ in
 228 FAC ($\hat{\mathbf{e}}_{\parallel}, \hat{\mathbf{e}}_{\perp 1}, \hat{\mathbf{e}}_{\perp 2}$). We also compute the \mathbf{k} vector from four-spacecraft cross-correlations
 229 and time differencing analysis of the magnetic field (Balikhin et al., 2003; Pinçon & Glass-
 230 meier, 2008). We obtain a very similar result, $\mathbf{k}_{4sc} = (2.2, 0.3, 5.1)\cdot 10^{-3} \text{ rad/km}$ in FAC,
 231 corresponding to a difference of less than 6° from \mathbf{k}_{Bellan} . We assumed the wave to be
 232 monochromatic with a frequency of 0.35 Hz in the spacecraft frame, corresponding to
 233 the frequency where the magnetic field spectrum peaks. More details of these calcula-
 234 tions can be found in Figure S1 of the supplemental material. We conclude that the an-
 235 gle between \mathbf{B} and \mathbf{k} is $\theta_{Bk} \sim 72^\circ$, as indicated by three independent methods. The
 236 median bulk ion velocity during the interval of the wave observation, 15:27:25 - 15:27:44
 237 UT, is $\mathbf{v}_0 = (98, 95, -27) \text{ km/s}$ in GSE. After correction for the doppler shift effect ($f_{wave} =$
 238 $f_{sc} - \mathbf{k}\cdot\mathbf{v}_0/2\pi$), the frequency of the wave in the plasma frame is found to be $f_{wave} =$
 239 0.26 Hz , i.e. roughly $0.5f_{H^+}$.

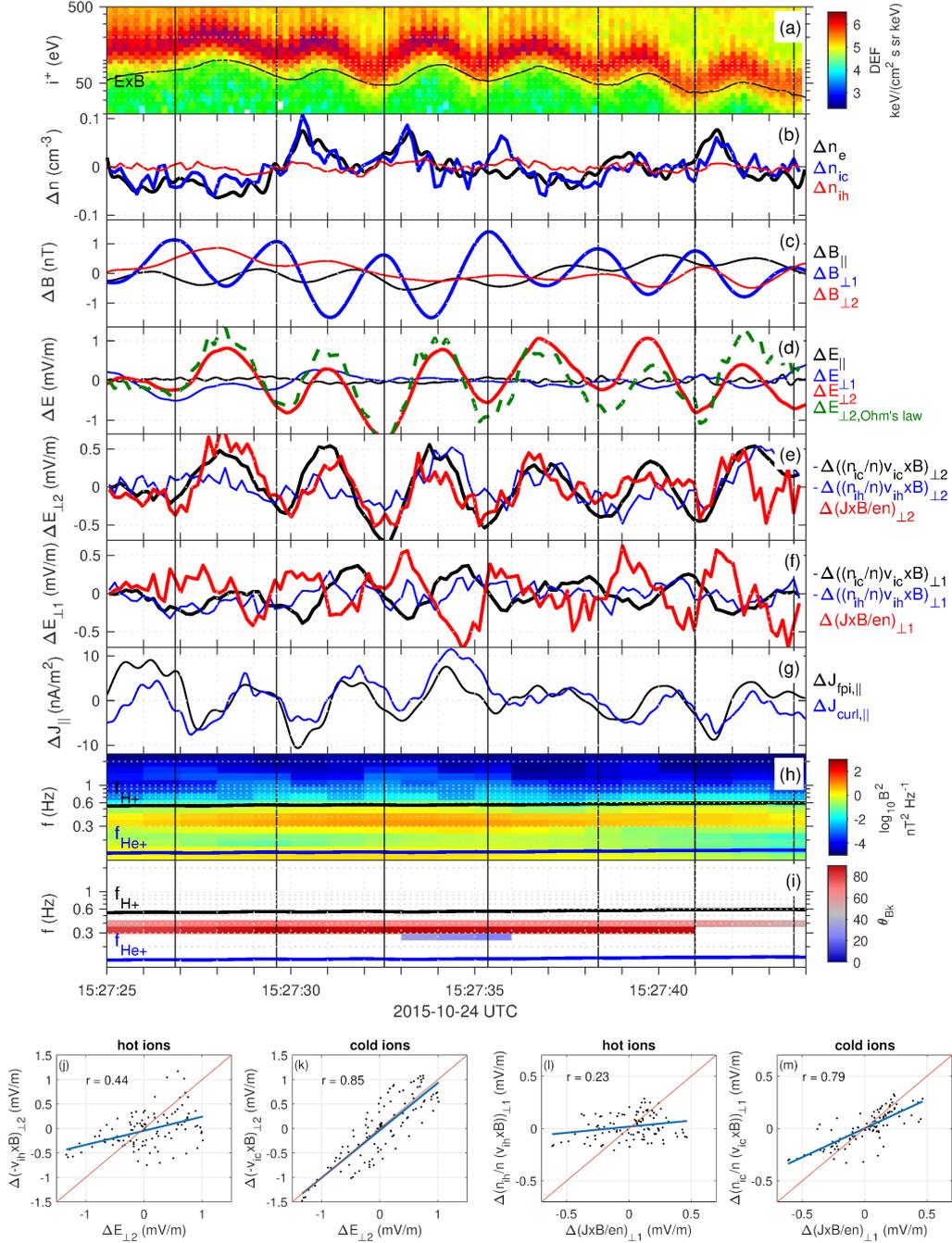


Figure 2. EMIC wave observation in the interval 15:27:25 UT - 15:27:44 UT. All panels correspond to four spacecraft averages. Vertical black lines indicate the peaks in $\Delta B_{\perp 1}$. (a) (color) FPI Ion energy spectrogram in DEF, (black) equivalent $\mathbf{E} \times \mathbf{B}$ energy for protons, (b) Density fluctuations for electrons (Δn_e , black), cold magnetospheric ions (Δn_{ic} , blue), and hot magnetospheric ions (Δn_{ih} , red). (c) Magnetic field fluctuations ($\Delta \mathbf{B}$) in FAC. (d) Electric field fluctuations ($\Delta \mathbf{E}$) in FAC and sum of the right-hand side terms of equation (1) for the $\hat{e}_{\perp 2}$ direction. (e) Ohm's law terms for the $\hat{e}_{\perp 2}$ direction. (f) Ohm's law terms for the $\hat{e}_{\perp 1}$ direction. (g) Parallel component of the current density fluctuations ($\Delta \mathbf{J}$), measured from FPI moments (black) and from curlometer (blue). (h) Magnetic field power spectral density measured by MMS1 near the H⁺ and He⁺ cyclotron frequencies (black and blue lines, respectively). (i) Angle between magnetic field and wave vector, θ_{Bk} , for power spectral densities > 1 nT²Hz⁻¹. (j) Linear regression analysis of $\Delta \mathbf{E}$ and $-\Delta(\mathbf{v}_{in} \times \mathbf{B})$ in the $\hat{e}_{\perp 2}$ direction. (k) Linear regression analysis of $\Delta \mathbf{E}$ and $-\Delta(\mathbf{v}_{ic} \times \mathbf{B})$ in the $\hat{e}_{\perp 2}$ direction. (l) Linear regression analysis of $\Delta(\mathbf{J} \times \mathbf{B}/en)$ and $\Delta n_{ih}/n(\mathbf{v}_{ih} \times \mathbf{B})$ in the $\hat{e}_{\perp 1}$ direction. (m) Linear regression analysis of $\Delta(\mathbf{J} \times \mathbf{B}/en)$ and $\Delta n_{ic}/n(\mathbf{v}_{ic} \times \mathbf{B})$ in the $\hat{e}_{\perp 1}$ direction.

4 Modelled wave properties

Next, we model the wave using Waves in Homogeneous Anisotropic Magnetized Plasma (WHAMP) (Roennmark, 1982), accounting for the populations measured by MMS: O^+ , He^{2+} , He^+ , cold H^+ , hot H^+ , and electrons. Their density, temperature and anisotropy are taken from the average value in the time interval of Figure 2. There is no strong background current during the event, so the relative drift velocities between populations are set to zero for all species and there are no ion-ion instability effects. The average plasma parameters of each population can be found in Table S1 of the supplemental material. Accounting for a cold electron population has no significant effects over the branch of interest, i.e. the Alfvén branch. If heavy ions are not included in the model, the dispersion surface is slightly modified, but the growth rate and polarization are not significantly changed, for the \mathbf{k} vector and frequency measured by MMS. The results of the dispersion solver for the Alfvén branch near f_{H^+} , including 5 ion populations plus electrons, are shown in Figures 3a-d. Panel 3a shows the normalized frequency (Ω/Ω_i), where $\Omega_i = 2\pi f_{H^+}$, as a function of normalized $k_{||}$ ($k_{||}\rho_{ih}$), where ρ_{ih} is the hot ion gyroradius, for $k_{\perp}\rho_{ih} = 0$ and 1.96 (red and black lines), corresponding to $\theta_{Bk} = 0^\circ$ and 72° at the measured $k_{||}$, respectively. The green asterisk corresponds to the normalized frequency measured by MMS and corrected for doppler shift, and is within 1% of the prediction (the accuracy drops to 5% if heavy ions are not accounted for in the model). Figure 3b is similar to 3a, but the vertical axis represents the normalized growth rate (γ/Ω_i). The growth rate is positive for $\theta_{Bk} = 0^\circ$, and becomes slightly negative at the measured wave normal angle $\theta_{Bk} = 72^\circ$. Figure 3c shows the growth rate along the dispersion surface of the Alfvén branch. For the observed frequency (green asterisk) and θ_{Bk} , the wave is slightly damped, but we note that for $\theta_{Bk} \leq 50^\circ$ the growth rate becomes positive. Figure 3d is similar to 3c but the colormap indicates the ellipticity $\epsilon = \text{Re}(iB_{\perp 2}/B_{\perp 1})$. Values close to 1 indicate circular, Right-Handed Polarization (RHP). The dispersion solver predicts an ellipticity $\epsilon = 0.24$, i.e., within 1% of the measured ellipticity.

We present three runs with varying amounts of cold protons ($n_{ic} = 0.01, 0.1$ and 1 cm^{-3}) in Figures 3e-g, where the hot proton population has been left unchanged, and the electron population provides quasi-neutrality. For simplicity, we did not include heavy ion populations in these runs. The hot proton parameters for the three runs are $n_{ih} = 0.5 \text{ cm}^{-3}$, $T_{||} = 4.4 \text{ keV}$ and $T_{\perp}/T_{||} = 1.8$. Other plasma parameters are provided in Table S2 of the supplemental material. The growth rate, ellipticity (ϵ) and wave normal angle (θ_{Bk}), as a function of $k_{\perp}\rho_{ih}$ are plotted in Figures 3e-g, in the regions where growth rate is positive. $k_{||}$ is chosen to maximize growth rate when k_{\perp} is zero. For any given \mathbf{k} , frequency variations are of the order of 10% between runs. Positive growth rate is larger and occurs over a larger frequency range when more cold protons are present, despite that the source of energy, i.e., hot proton temperature anisotropy, remains constant (Gary et al., 1994). The largest growth rate is observed for small $k_{\perp}\rho_{ih}$, but large and positive growth rate for large $k_{\perp}\rho_{ih}$ is present when cold H^+ density is large (red curves in Figure 3e). The run with $n_{ic} = 1 \text{ cm}^{-3}$ has positive growth rate for $\theta_{Bk} > 60^\circ$ (Figure 3g), and shows highly elliptical right-handed polarization (Figure 3f), similar to the properties of the wave observed by MMS.

5 Discussion and Conclusions

The measured frequency and ellipticity are in excellent agreement with a numerical dispersion solver (within 1%), and the solver indicates that the wave was slightly damped for the observed frequency and wave vector. A comparison of three runs varying the cold proton number density indicates that they enable positive growth rates at large wave normal angles, consistent with the hybrid simulations in Hu et al. (2010). A careful examination of the \mathbf{E} field fluctuations and the contributions by the Ohm's law terms reveal that cold protons are fully magnetized while hot protons are, to a certain extent, demagnetized, i.e., do not follow $\mathbf{E} \times \mathbf{B}$ drift. The fluctuations of the cold ion term and the Hall

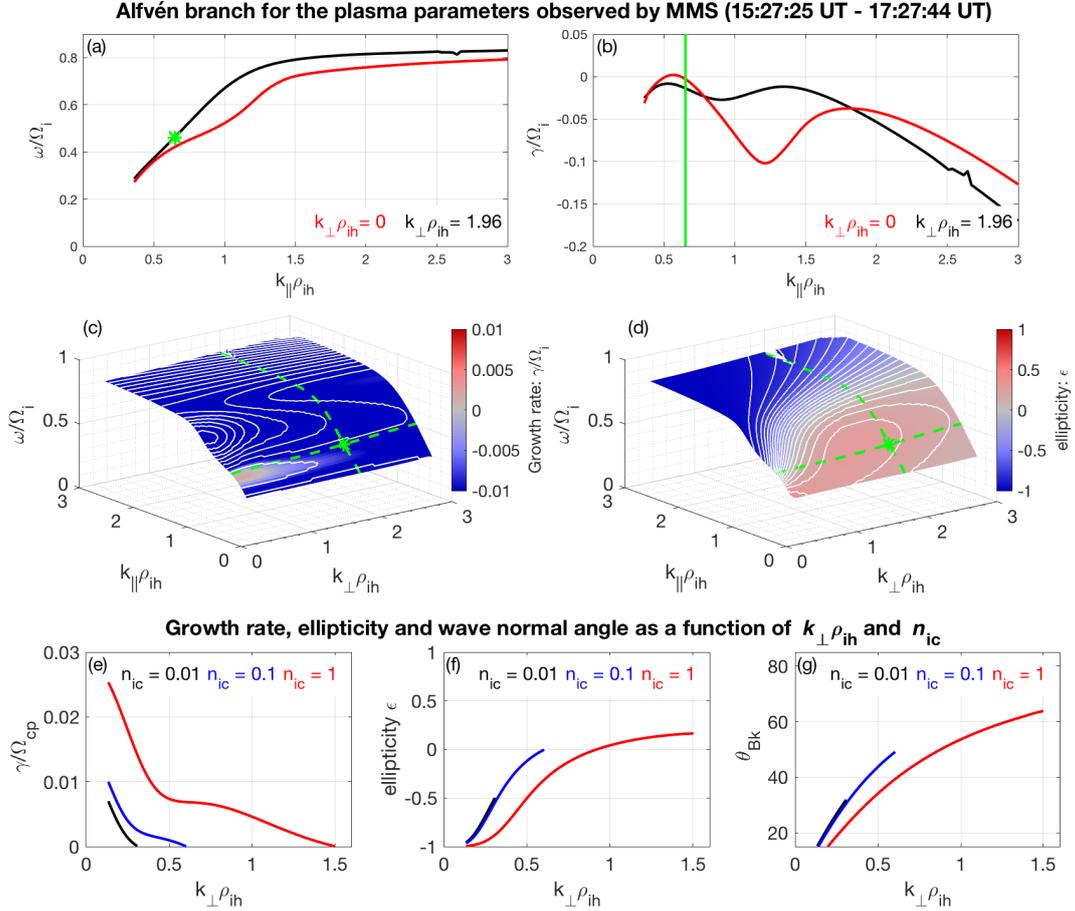


Figure 3. (a-d) Dispersion relation of the Alfvén branch corresponding to the plasma parameters measured by MMS in the interval 15:27:25 - 15:27:44 UT. The plasma parameters are specified in Table S1 of the supplemental material. (a) Normalized frequency (Ω/Ω_i) as a function of the normalized parallel component of the wavevector ($k_{\parallel}\rho_{ih}$), for $k_{\perp}\rho_{ih} = 0$ (red), and the observed $k_{\perp}\rho_{ih} = 1.96$ (black). The green asterisk indicates the wave frequency in the plasma rest frame measured by MMS. (b) Same as (a) for the growth rate instead of frequency. The green line indicates the measured $k_{\parallel,Bellán}$. (c) Alfvén branch dispersion surface. The colorbar indicates normalized growth rate (γ/Ω_i). The green asterisk indicates the wave frequency in the plasma rest frame and k_{\parallel} measured by MMS. (d) Same as (c) but the colorbar indicates the ellipticity, $\epsilon = \text{Re}(iB_{\perp 2}/B_{\perp 1})$. (e-g) Comparison of normalized growth rate (e), ellipticity (f) and wave normal angle (g) as a function of normalized $k_{\perp}\rho_{ih}$ and n_{ic} , for the $k_{\parallel}\rho_{ih}$ that yields maximum theoretical growth rate. The plasma parameters are specified in Table S2 of the supplemental material.

292 term are in phase and anti-phase in the $\hat{\mathbf{e}}_{\perp 2}$ and $\hat{\mathbf{e}}_{\perp 1}$ directions, respectively, and self-
 293 consistently allow for large ellipticity and right-handed polarization of $\Delta\mathbf{E}$, without strong
 294 damping associated.

295 Three characteristic length-scales are considered for protons: the proton inertial
 296 length (d_i), the cold proton gyroradius (ρ_{ic}) and the hot proton gyroradius (ρ_{ih}). We
 297 compare them to the wave number and find $k_{\perp}d_i = 1.4$, $k_{\perp}\rho_{ic} = 0.12$ and $k_{\perp}\rho_{ih} =$
 298 1.9 . Only the cold proton gyroradius is significantly smaller than the characteristic scale
 299 of the wave, and this would explain why the hot protons are, to a large extent, demag-
 300 netized (note, however, that the demagnetization of the hot protons is not fully achieved;
 301 see Figures 2e and 2j). The ratio $k_{\perp}\rho_{ic} \ll 1$ is consistent with the observed cold pro-
 302 ton magnetization, indicating that cold protons gyration occurs at a scale much smaller
 303 than the perpendicular wavelength. It is interesting to see that cold protons remain fully
 304 frozen-in, despite $k_{\perp}d_i = 1.4$. We expect that cold protons would also be demagnetized
 305 for larger $k_{\perp}d_i$. Since the cold protons remain frozen-in, it is not expected that they will
 306 be significantly heated, consistent with the observations by B. J. Anderson and Fuselier
 307 (1994). In summary, the wave-proton interaction is in a hybrid regime, with the cold pro-
 308 ton population interacting as a fluid and the hot proton population interacting kineti-
 309 cally.

310 The wave was observed very close to the reconnecting magnetopause, and there-
 311 fore it is likely that the source of energy was compressions of the magnetosphere driven
 312 by solar wind pressure pulses, resulting in the observed hot ion temperature anisotropy
 313 (e.g., B. Anderson & Hamilton, 1993; Engebretson et al., 2015). These waves can, in
 314 turn, accelerate and heat some of the magnetospheric ion populations, particularly heavy
 315 ions (e.g., Tanaka, 1985; Zhang et al., 2011), potentially acting as a preconditioning pro-
 316 cess of the plasma inflowing towards the reconnecting magnetopause.

317 We showed detailed four-spacecraft measurements inside an EMIC wave near the
 318 reconnecting magnetopause reconnection and provided observational evidence of the dif-
 319 ferent dynamics of cold and hot protons. They interact in a fluid and kinetic fashion,
 320 respectively, and this has implications for the electric fields and currents that the wave
 321 sets, self-consistently favoring wave generation and propagation at oblique angles with
 322 highly-elliptical right-handed polarization, due to the cold ion term in the Ohm's law.
 323 This provides a possible explanation for the predominance of highly elliptical and right-
 324 handed polarization EMIC waves in the Earth's magnetosphere (e.g., Min et al., 2012;
 325 Allen et al., 2015), which is often populated by cold ions of ionospheric origin (e.g., André
 326 & Cully, 2012).

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 336 <https://lasp.colorado.edu/mms/sdc/public/>

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