

On assessing ERA5 and MERRA2 representations of cold-air outbreaks across the Gulf Stream

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Contents of this file

Datasets and Method

Tables S1 to S3

Figures S1 to S5

35
36
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38 **Datasets and Method:**

39 The CLIMODE mooring sampled along the northern periphery of the Gulf Stream in
40 late January-mid February 2006 and again in late March-April 2006, and was otherwise
41 within warmer waters (Weller et al., 2012), with its position relative to the Gulf Stream
42 shown using GHRSSST in Fig. S1. The anchor line maintains the buoy within 5-7 km of its
43 nominal position. The Air-Sea Interaction Meteorological system made continuous
44 measurements of temperature (T), relative humidity (RH) and wind speed (WS) at about 3 m
45 above the waterline and measured the SST at a depth of 0.89 m. Radiometers provided
46 downwelling solar and IR radiative fluxes used to estimate the ‘skin’ sea surface temperature
47 (Fairall et al., 1996). A Direct Covariance Flux System allowed direct covariance
48 computations of buoyancy fluxes (and surface wind stresses; Edson et al., 2013).

49 GHRSSST optimally integrates cloud-penetrating microwave SST data with infrared
50 data of a higher spatial resolution into a daily global SST dataset. We use a 9 km v5.0
51 MW_IR OISST product available from Remote Sensing Systems.

52 The reference atmospheric structure information comes from precalibrated NCAR
53 (National Centre for Atmospheric Research) Dropsonde 94 (NRD94s; Wick et al., 2018),
54 released from the NASA Langley Beechcraft UC12 research aircraft at an approximate flight
55 altitude of 9 km. Pressure, temperature and humidity data are returned at 2 Hz, and of winds
56 at 4 Hz, corresponding to a vertical resolution of 6-15 m. The pressure, temperature,
57 humidity and wind speed are resolved to 0.1 hPa, 0.1⁰C, 1% and 0.1 m s⁻¹, respectively, with
58 a standard deviation of differences between two successive repeated calibrations of 0.4 hPa,
59 0.2⁰C, 2% and 0.2 m s⁻¹, respectively.

60 ERA5 is the fifth-generation global atmospheric reanalysis developed by the
61 European Centre of Medium-range Weather Forecast (ECMWF), described comprehensively
62 within Hersbach et al., (2020). ERA5 relies on a 12-hr 4D-var Integrated Forecasting System
63 (IFS) cycle 41R2 data assimilation, with data available every hour at a horizontal resolution
64 of 31 km, gridded to 0.25⁰. The ERA5 atmospheric model is coupled with a land surface and
65 a wave model, with internal computations encompassing 137 vertical levels, of which 37 are
66 output, including the lowest level at 10 m. ERA5 estimates of the temperature and specific
67 humidity at 2 m altitude (T_{2m} and q_{2m}), developed using Monin-Obukhov theory to relate the
68 skin sea surface temperature and its saturated specific humidity to the 10 m model level,

69 support explicit comparisons to buoy measurements. Rivas and Stoffelen, (2019) document
 70 improved mid-latitude storm track surface wind representations compared to the previous
 71 ERA-Interim, attributed to a higher vertical resolution (137 vs 60 model levels).

72 MERRA2 (Modern Era Retrospective analysis for Research and Applications;
 73 Bosilovich et al., 2015) relies on the Goddard Earth Observing System (GEOS-5.12)
 74 atmospheric global model (Molod et al., 2015) combined with the Gridpoint Statistical
 75 Interpolation data assimilation system (Wu et al. 2002). MERRA2 assimilates microwave
 76 and infrared radiances and select retrievals from polar-orbiters and geostationary satellites,
 77 including aerosol optical depth. Daily $0.25^\circ \times 0.25^\circ$ Reynolds SSTs (Reynolds et al., 2007)
 78 were prescribed until March 2006, and thereafter, high resolution satellite-derived daily SSTs
 79 similar to the OISSTs used by ERA5. Recent relevant improvements include an improved
 80 relationship between the ocean surface roughness and ocean surface stress (Molod et al.,
 81 2015). The change from MERRA to MERRA2 reduces surface wind speeds in the
 82 ACTIVATE region, by approximately 2 m s^{-1} , but surface turbulent fluxes are marginally
 83 affected, and a change in the critical relative humidity for cloud condensation also produce
 84 little change in the boundary layer q , both just for the ACTIVATE region (Molod et al.,
 85 2015).

86 The bulk fluxes are calculated from the dropsondes using the TOGA-COARE v.3.5
 87 bulk flux algorithm. This relies on Monin-Obukhov similarity theory as:

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$$Q_H = \rho C_H U (S_{surf} - S_{2m}) \quad \text{----- (S1)}$$

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$$Q_E = \rho L_v C_E U (q_{surf} - q_{2m}) \quad \text{----- (S2)}$$

90
$$Q_B = Q_H (1 + 0.6 q_{2m}) + Q_E 0.61 \frac{C_p}{L_v} T_{2m} \quad \text{----- (S3)}$$

91 where Q_H , Q_E and Q_B surface sensible, latent and buoyancy heat fluxes respectively and S is
 92 the dry static energy. S_{surf} is based on the (foundation) GHRSSST corrected to be the surface
 93 ‘skin’ SST value, and q_{surf} is 98% of the surface saturation humidity (q_{sat}) accounting for the
 94 salinity effect. ρ is air density, L_v is the latent heat of vaporization, C_p is the specific heat at
 95 constant pressure, U the wind speed accounting the free convection velocity w^* , and, C_H and
 96 C_E the bulk transfer coefficient for heat and moisture, respectively.

97 Cold-air outbreak conditions were determined in a separate manner for Table S1 and
98 Figure 3. Table S1 classifies an entire flight as either a CAO or a non-CAO flight, based on a
99 visual identification using MODIS imagery from the NASA Worldview URL site
100 (worldview.earthdata.nasa.gov). Days with obscuring cirrus or a lack of low clouds were
101 excluded. For the individual dropsonde analysis shown in Fig. 3, dropsondes were classified
102 as ‘CAO’ or ‘non-CAO’ using the potential difference between the estimated ‘skin
103 GHRSSST_skin and that at 900 hPa. This definition emphasizes the surface forcing
104 contribution more than that of the cloud capping inversion than the 850 hPa level applied
105 within Papritz et al., 2015. The lower level was chosen as it clearly avoids falling within the
106 stratiform cloud layer for the deeper boundary layers of the ACTIVATE domain (e.g., Fig.
107 4b, inset).

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Winter 2020 (mm-dd)	Cloud type (#profiles)	Summer 2020 (mm-dd)	Cloud type (#profiles)
02-14	Cirrus-obscured (4; CAO*)	08-13	non-CAO (5)
02-15	CAO (4)	08-17	non-CAO (6)
02-17	clear (4; non-CAO*)	08-20	non-CAO (5)
02-27	CAO (2)	08-21	non-CAO (5)
02-28	CAO (13)	08-25	non-CAO (6)
02-29	CAO (2)	08-26	non-CAO (6)
03-01	CAO (13)	08-28	non-CAO (8)
03-02	CAO (2)	09-02	non-CAO (6)
03-06	CAO (3)	09-03	non-CAO (6)
03-08	CAO (4)	09-10	non-CAO (4)
03-09	non-CAO (2)	09-11	non-CAO (6)
03-11	non-CAO (2)	09-15	CAO (6)
03-12	non-CAO (4)	09-16	no dropsondes
		09-21	CAO (5)
		09-22	CAO (7)
		09-23	clear (8; non-CAO*)
		09-29	non-CAO (13)
		09-30	non-CAO (5)

151 *CAO under cirrus or non-CAO, using definition of Papritz et al., (2015); $\theta_{SKT} - \theta_{850} > 0$ for CAO.
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157 **Table S1.** Winter and summer 2020 ACTIVATE flight days, cloud conditions and dropsonde
158 number. Eight of the 13 UC-12 King Air flight days in February-March, 2020 sampled
159 cloudy cold-air outbreak (CAO) conditions, encompassing 43 of 59 dropsondes total and 3 of
160 the 18 flight days in August-September 2020, encompassing 18 of 107 dropsondes total).
161 Cloudy CAO conditions were visually determined from satellite imagery and excluded days
162 with obscuring cirrus.
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CLIMODE		ERA5 - CLIMODE		MERRA2 - CLIMODE		OAFUX - CLIMODE	
		<i>Feb-Mar</i>	<i>Aug-Sep</i>	<i>Feb-Mar</i>	<i>Aug-Sep</i>	<i>Feb-Mar</i>	<i>Aug-Sep</i>
BFlux	<i>Bias</i>	55	11	39	5	63	5
	<i>RMS</i>	49	15	50	14	54	11
	<i>Correlation</i>	0.85	0.88	0.84	0.86	0.84	0.9
SST-T _{2m}	<i>Bias</i>	2.1	0.6	0.7	-0.08	1.8	-0.04
	<i>RMS</i>	1.5	0.8	2	0.9	1.7	0.7
	<i>Correlation</i>	0.9	0.85	0.83	0.83	0.88	0.91
0.98*q _{sat} - q _{2m}	<i>Bias</i>	2.4	2.1	1.2	0.4	2.1	0.8
	<i>RMS</i>	1.2	1.4	1.4	1.5	1.1	1.3
	<i>Correlation</i>	0.83	0.90	0.77	0.89	0.84	0.93
WS _{10m}	<i>Bias</i>	0.5	0.13	0.3	-0.35	1.2	-0.03
	<i>RMS</i>	1.7	1.1	1.5	1.4	1.4	1.5
	<i>Correlation</i>	0.90	0.94	0.92	0.91	0.94	0.88

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172 **Table S2.** The mean bias, root mean square (RMS) deviation, and correlation between daily-
173 mean CLIMODE buoy and ERA5, MERRA2 and OAFUX values of buoyancy flux ($W m^{-2}$),
174 SST-T_{2m} (K), 0.98*q_{sat}-q_{2m} ($g kg^{-1}$), and WS_{10m} ($m s^{-1}$) depicted for February-March and
175 August-September in 2006.

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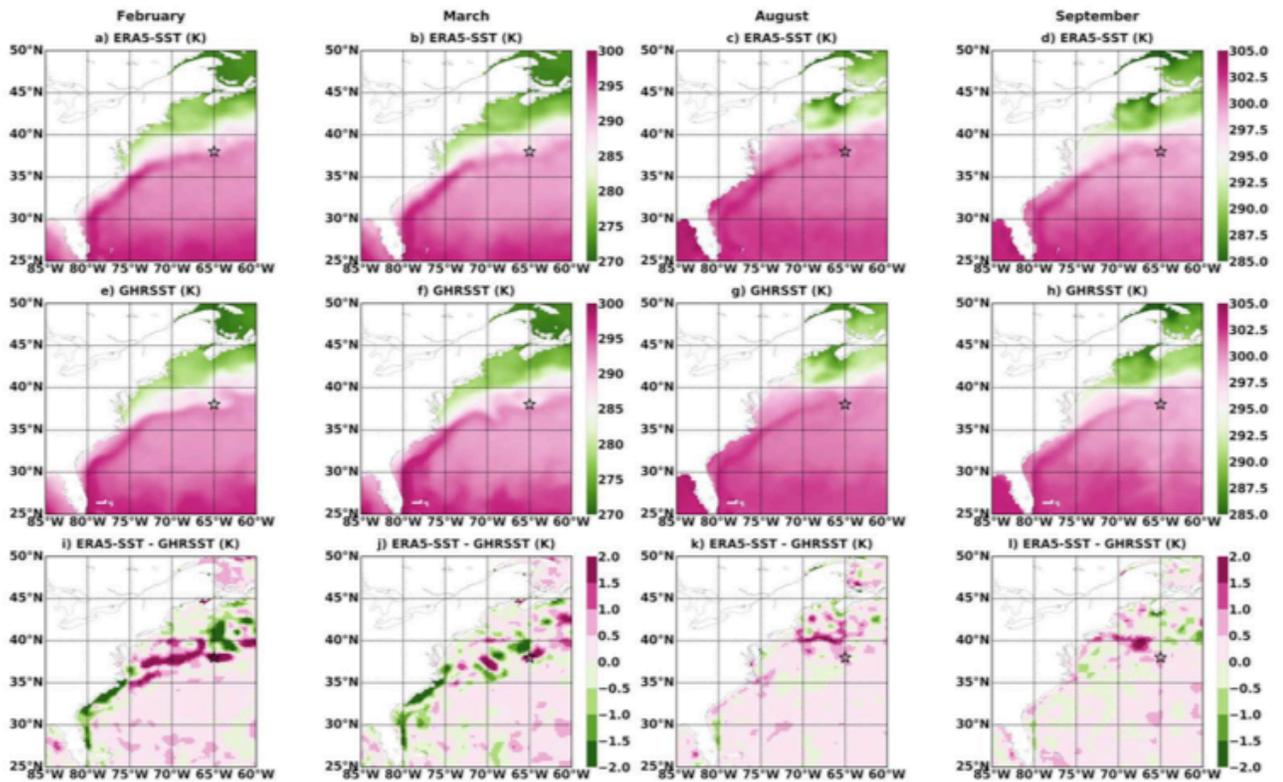
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ACTIVATE		ERA5 - ACTIVATE		MERRA2 - ACTIVATE	
		<i>Feb-Mar</i>	<i>Aug-Sep</i>	<i>Feb-Mar</i>	<i>Aug-Sep</i>
SHF	<i>Bias</i>	-2.5	-0.24	-22.8	-4.84
	<i>RMS</i>	41	9.62	30	10.12
	<i>Correlation</i>	0.93	0.97	0.95	0.97
LHF	<i>Bias</i>	-4	-19.61	-58	-31.12
	<i>RMS</i>	106.5	54.88	86.5	58.67
	<i>Correlation</i>	0.81	0.94	0.87	0.95
SST-T _{2m}	<i>Bias</i>	-0.2	0.32	-1.15	-0.26
	<i>RMS</i>	0.91	0.66	1.03	0.50
	<i>Correlation</i>	0.97	0.93	0.96	0.96
0.98*q _{sat} - q _{2m}	<i>Bias</i>	-0.16	0.45	-1.35	-0.87
	<i>RMS</i>	1.06	1.25	1.05	1.23
	<i>Correlation</i>	0.89	0.93	0.93	0.94
WS _{10m}	<i>Bias</i>	-0.12	-0.47	-0.97	-0.92
	<i>RMS</i>	1.99	1.17	2.02	1.31
	<i>Correlation</i>	0.89	0.9	0.88	0.87
Inversion top height	<i>Bias</i>	-74	-205	-96	-186
	<i>RMS</i>	-375	-425	-323	-587
	<i>Correlation</i>	0.83	0.62	0.89	0.48

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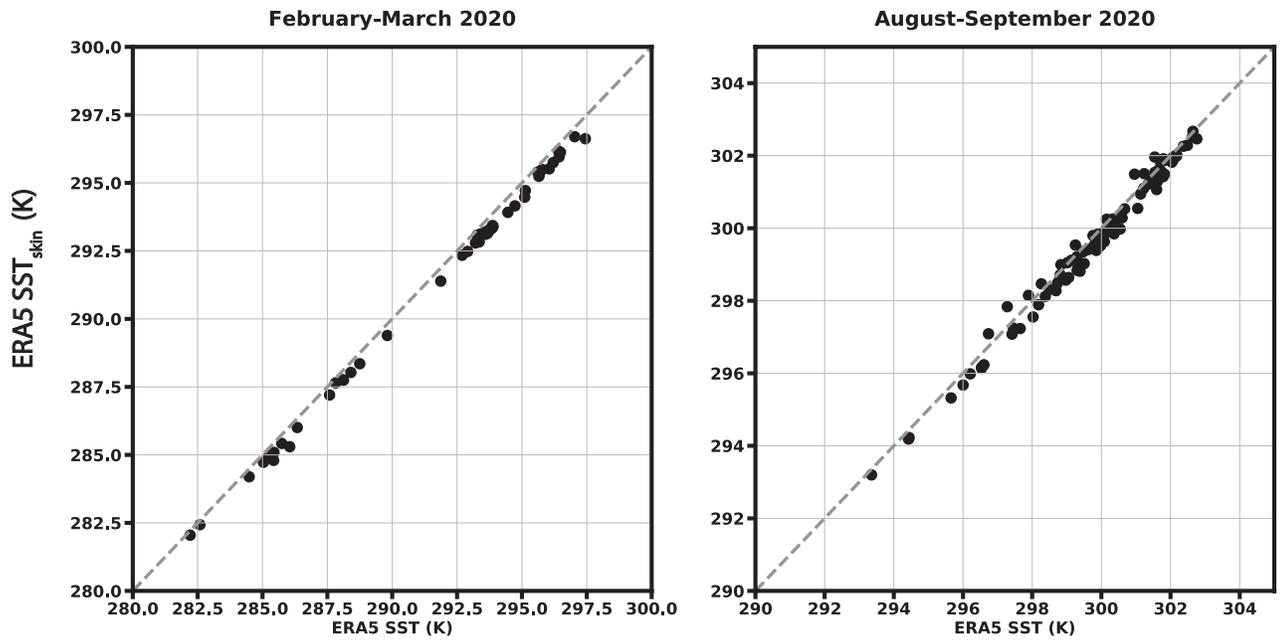
Table S3. The mean bias, root mean square (RMS) deviation, and correlation between ACTIVATE dropsonde and ERA5/MERRA2 values of sensible and latent heat fluxes (SHF and LHF respectively, W m⁻²), SST-T_{2m} (K), 0.98*q_{sat}-q_{2m} (g kg⁻¹), WS_{10m} (m s⁻¹), and the inversion top height (m), estimated using a relative humidity threshold for February-March and August-September in 2020.

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Figure S1. Top row: ERA5 foundation SST spatial distribution during February, March, August, and September in 2006. Middle row: same as top but for GHRSSST. Bottom row: (ERA5-SST – GHRSSST) difference for the same four months.

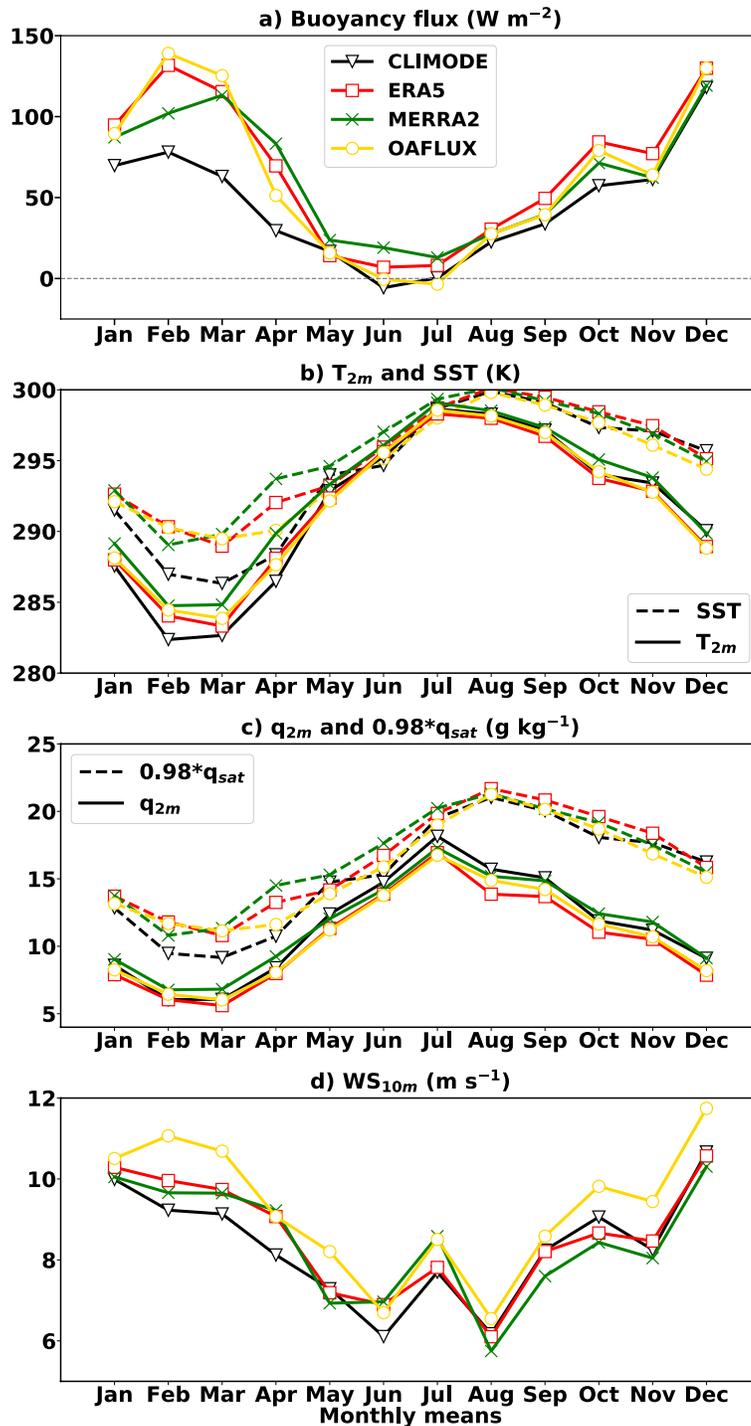


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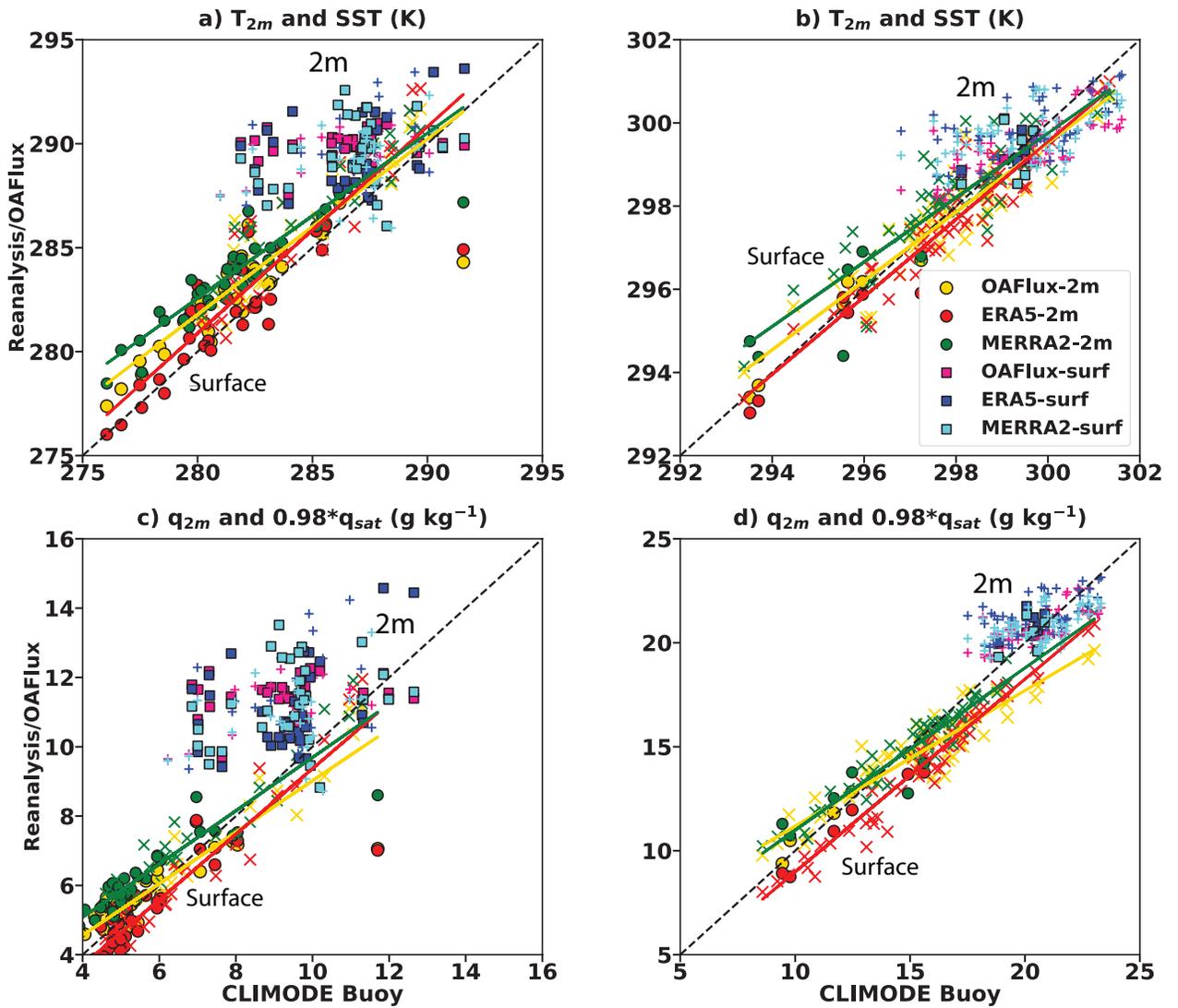
207 **Figure S2.** ERA5 skin sea surface temperature (SST_{skin}) as a function of its foundation SST
 208 at 1-m depth, at dropsonde locations and times for left) February-March 2020 and right)
 209 August-September 2020.

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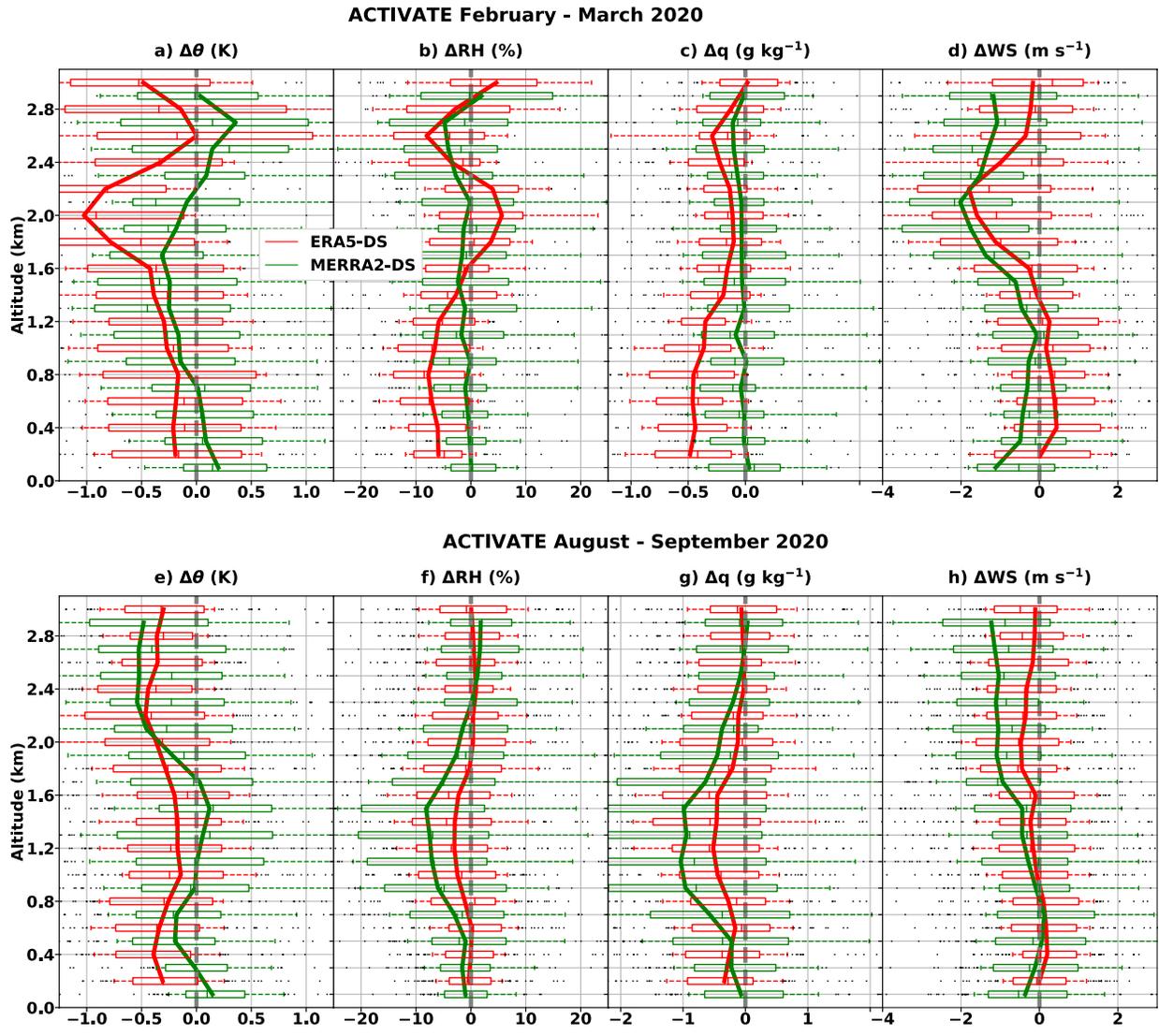
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 212 **Figure S3.** Monthly-means at the CLIMODE buoy in year 2006 of a) buoyancy flux, b) SST
 213 and T_{2m} , c) $0.98*q_s$ and q_{2m} , and d) 10 m wind speed (WS_{10m}) for the CLIMODE buoy
 214 (black), ERA5 (red), MERRA2 (green), and OAFLUX (yellow). MERRA2 SST is a skin
 215 value, while the buoy, ERA5, and OAFLUX SSTs are foundation SSTs. OAFLUX WS_{10m} is
 216 the neutral wind speed.

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Figure S4. Comparison of ERA5, MERRA2 and OAFUX daily mean surface meteorology against left) CLIMODE buoy for February-March 2006 a) SST and T_{2m} , and c) q_s and q_{2m} . Right two panels are the same as the left panels but for August-September 2006. The filled circles represent the days with cold-air outbreak conditions, whereas 'x' denotes non-CAO days.



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232 **Figure S5.** Mean difference between dropsondes and reanalysis profiles (ERA5 in red and
 233 MERRA2 in green; reanalysis-dropsonde) in a) potential temperature, b) relative humidity, c)
 234 specific humidity, and d) wind speed of February-March 2020, shown as the interquartile
 235 range (horizontal bars), 15–85 percentile (thin horizontal dashed line), and median (thin
 236 vertical line). e)-h): same as a)-d) but for August-September 2020 deployment.

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