

# Supporting Information for “The Earth surface controls the depth-dependent seismic radiation of megathrust earthquakes”

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1. Text S1 to S4

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**Additional Supporting Information (Files uploaded separately)** Caption of the figures. Each figure contains 8 subfigures to show model settings and results. (a) The structure of model: topography, fault geometry, P wave velocity. The blue outlined region (if any) indicates the region where we set the  $V_p/V_s$  ratio to the given value. (b) Initial stress distributions along depth (black line: initial shear stress  $\tau_0$ ; gray line: initial effective normal stress  $\bar{\sigma}_0$ ). (c) Parameters of used friction law along depth: upper X-axis shows the friction coefficients (red dashed line: dynamic friction coefficient  $\mu_d$ ; red solid line: static friction coefficient  $\mu_s$ ), bottom X-axis shows the critical slip  $D_c$  in black line. (d) Space-time evolution of the rupture (in blue image) and of selected points on the fault (black lines), including the one at the trench/surface (thick black line). Gray and red lines show the updip- and downdip-propagating rupture front, respectively. We estimate

the rupture velocity by linear fitting the location and time of rupture front. (e) Slip-rate functions along fault segments, aligned to their onset time (when rupture front arrives). The location of the fault segment center taken as the alongdip distance from the trench is indicated by the gray colormap. (f) Normalized Fourier amplitude spectra corresponding to the slip-rate functions shown in (e). The same color scheme is used to indicate the fault segment location. (g) moment-rate density function averaged along the entire fault. (h) The along-dip best-fit spectral parameters of the spectra in (f) as well as its 95% confidence interval. The right Y-axis shows the corner frequency  $f_c$  in red. The left Y-axis shows the spectral falloff rate  $n$  in blue.

## Introduction

In this Supporting Information, we show all the detailed information about our dynamic rupture models. The model setup details include model setting, friction, initial stress, and software information. All simulation settings and results of each model are included in Supporting Information 2, which is a Zip file of Figures including the model parameters ((a) structures, (b) stress, (c) friction), simulations results ((d) - (g)) and the fitting of spectral parameters ((h) corner frequency  $f_c$  and spectral falloff rate  $n$ ).

### 1. Text S1. Model setting

The entire simulation with free surface is in a semicircle domain with a radius of 350 km and centered at  $X = 150$  km,  $Y = 0$  km. The simulation domain consists of 1) a near-source and detailed rectangular structure of dimension  $270 \text{ km} \times 50 \text{ km}$  (black box area in Fig. S2a), and 2) a far-source homogeneous half-space (Fig. S2).

In the near-source region, we test different structural settings: Planar fault embedded in the homogeneous velocity structure and flat topography (Model 1 and Model 15); Curved fault embedded in the homogeneous velocity structure and flat topography (Model 2 and Model 16); Curved fault embedded in the homogeneous velocity structure and realistic topography (Model 3 and Model 17). The rest of the models use a curved fault embedded in heterogeneous velocity structure and realistic topography. We use the P-wave velocity model directly from Miura et al. (2005). We use the empirical relation of Brocher (2005) to calculate density from the  $V_P$  values,  $\rho = 1.74(V_P)^{0.25}$ . The S-wave velocity  $V_S$  is calculated from a  $V_P/V_S$  ratio structure. For most of the simulation domain, we fix the  $V_P/V_S$  ratio constant of  $\sqrt{3} \approx 1.73$ , assuming a Poisson medium. For specific regions detailed as the blue outlined region in Fig. 1b (also see Figure S1), we raise the  $V_P/V_S$  ratio to the following values: 1.83 (Models 8 and 22), 1.94 (Models 9 and 23), 2.04

(Models 10 and 24), 2.14 (Models 11 and 25), 2.24 (Models 12 and 26), 2.34 (Models 13 and 27) and 2.45 (Models 14 and 28). For other heterogeneous models, the  $V_P/V_S$  ratio is fixed constant  $\sqrt{3} \approx 1.73$  (Models 4-7 and Models 18-21). Finally, we can get the shear modulus  $\mu = \rho V_S^2$ .

For the homogeneous models in the far-source region, we have  $V_P = 6.93$  km/s and  $V_S = 4$  km/s, which are the same as those in the near-source region of Models 1-3 and 15-17. For the heterogeneous models,  $V_P = 8.30$  km/s is chosen as the maximum P wave velocity in the model of Miura et al. (2005) and  $V_S = 4.79$  km/s, corresponding to  $V_P/V_S$  ratio =  $\sqrt{3}$ . To avoid strong wave reflections from steep velocity changes between the two simulation domains, we set a 5-km wide transition zone with a smooth gradient in the velocity values from the near-source to the far-source regions. At the boundaries of the simulation domain, we set the traction-free boundary condition on the top surface (blue line in Fig. S2), and the absorbing boundary condition (red line in Fig. S2) along the borders of the semicircle domain.

## 2. Text S2. Friction

For most of our simulations (all Models 4-6 and 18-20), we use the linear slip weakening friction. The parameters of linear slip weakening are chosen constant from the surface down to 40 km depth (Supporting Information 2 (b)): static friction coefficient  $\mu_s = 0.677$ ; dynamic friction coefficient  $\mu_d = 0.2$ ; the critical slip of slip weakening  $D_c = 0.4$  m. Below 40 km, we increase the dynamic friction coefficient to 0.99 to force the termination of the rupture. While the focus of this study is not to explore all frictional relations, we test several different friction relations above 10.8 km depth (at the base of the frontal prism) to be slip neutral/stable ( $\mu_s = \mu_d = 0.677$  above 10.8 km depth, Models 4 and 18) or slip hardening/strengthening ( $\mu_s = 0.677$ ,  $\mu_s < \mu_d = 0.85$  and  $D_c = 2$  m above 10.8 km depth, Models 5 and 19). Finally, we include a model with the same lab-based exponential

78 slip weakening proposed by Murphy et al. (2018) in Models 6 and 20. We use the same  
 79 relations (See their equations (1) and (2)) to set up the stress and frictional parameters.

### 3. Text S3. Initial stress

80 In our simulations, the effective normal stress  $\bar{\sigma}_n$  is reduced from the fault normal stress  
 81  $\sigma_L$  due to pore pressure  $p$ ,  $\bar{\sigma}_n = \sigma_L - p$ . Because of the relatively low dip angle of the fault,  
 82 we approximate the normal stress  $\sigma_L$  as the lithostatic stress that is calculated based on  
 83 the density structure  $\rho(x, h)$  of each model:  $\sigma_L(x) = \int_{h_{slab}}^{h_0} \rho(x, h)gdh$ , where  $h_{slab}$  and  $h_0$   
 84 are the depths of slab surface and top free surface,  $g$  is the gravitation constant. We use  
 85 the fluid pressure ratio  $\lambda$  to quantify the pore pressure:  $p = \lambda\sigma_L$ . This quantification is  
 86 introduced by Hubbert and Rubey (1959) and has been used in many previous studies  
 87 (e.g., Murphy et al., 2018; Lotto et al., 2018). Finally, we assume the effective normal  
 88 stress  $\bar{\sigma}_n$  is bounded at 40 MPa, at which the over-pressurized pore pressure becomes  
 89 lithostatic (Rice, 1992), corresponding to the case of  $\lambda = 1.0$  (similar to the settings in  
 90 Lotto et al., 2018). In this study, we mainly vary  $\lambda$  for the stress setting variations of  
 91 models, and include cases of  $\lambda = 0.9$  and  $\lambda = 0.7$ . This parameter controls how pore  
 92 pressure varies along depth and where the pore fluid becomes lithostatic (see Fig. 1c in  
 93 the main text).

We assume a relatively low initial shear stress  $\tau_0$  on the fault, and calculate it using the  
 seismic S ratio (Fig. 1c), which is used to measure how close the initial stress is to the  
 level of failure (Day, 1982):

$$S = \frac{\tau_s - \tau_0}{\tau_0 - \tau_d} = 2.77, \quad (1)$$

94 where  $\tau_s = \bar{\sigma}_n\mu_s$  and  $\tau_d = \bar{\sigma}_n\mu_d$  are the static friction (yielding stress) and dynamic fric-  
 95 tion, respectively. This high seismic S ratio is set to avoid the unwanted supershear rupture  
 96 that arises from high initial stress and resulting high dynamic stress drop (Andrews, 1985;

Dunham, 2007). Finally, we use over-stress nucleation to start the spontaneous dynamic rupture for all models. We increase the initial shear stress to  $1.016\tau_s$  within a 2-km patch on fault centered at the depth of 20 km (Fig. 1c). The only exceptions are the models with exponential slip weakening friction (Models 6 and 20) for which we have to set a larger nucleation zone of about 14 km to successfully nucleate megathrust rupture. We have checked the results of those models (Models 6 and 20) and can assure that this large nucleation patch has negligible effects on the later dynamic rupture process.

#### 4. Text S4. Numerical solver

The entire domain is discretized with unstructured mesh using software CUBIT (<https://cubit.sandia.gov/>, the mesh script is written based on Huang, Meng, and Ampuero (2012)): in the source domain, the element grid size is  $dl = 500$  m (Figure S2). Accordingly, the frequency resolution is determined by  $dl$  and the minimum wavelength, that is, the minimum S wave velocity. We require at least  $n = 4$  grids within the minimum wavelength, so we can estimate the maximum resolvable frequency of our simulations. This varies for different models. For the models with homogeneous velocity structure (Models 1-3, 15-17),  $V_S = 4$  km/s and the maximum frequency we can resolve is  $f = V_S/4dl = 2$  Hz. For the models with heterogeneous velocity structures, the maximum resolvable frequency varies with minimum  $V_S$ . The minimum shear wave speed in the velocity models is 0.6 km/s, corresponding to  $f = \min(V_S)/4dl = 0.3$  Hz. In our results, we will interpret radiation below this maximum frequency. We use the 2D spectral element-based code SEM2DPACK (<http://www.sourceforge.net/projects/sem2d/>, last accessed on 08/30/2019) to solve for the dynamic rupture. This code has been well validated and applied in some previous studies (e.g., Huang & Ampuero, 2011; Huang et al., 2012) to simulate the megathrust earthquakes as well as the wave fields.

120 In most of our simulations, we include the realistic velocity models, which have signif-  
 121 icant material contrasts in the downdip regions (Fig. 1b or Fig. S1). As proposed by  
 122 (Rubin & Ampuero, 2007; Ampuero & Ben-Zion, 2008; Huang, 2018), the material con-  
 123 trasts can cause normal stress perturbation during dynamic rupture. They suggest to use  
 124 a regularization  $\dot{\sigma}^* = \frac{V^*}{D_\sigma}(\sigma - \sigma^*)$  to force the normal stress to evolve continuously.  $\sigma$  and  
 125  $\sigma^*$  are the actual normal stress and the regularized normal stress (they call it “effective”  
 126 but here we use “regularized” to differentiate from the one related to pore pressure). The  
 127 reference velocity  $V^*$  and slip distance  $D_\sigma$  are the two constitutive parameters. In our  
 128 simulations, since we are focusing on the fault slip within the frequency band below 0.3  
 129 Hz, we apply a 1 s long Gaussian time window to smooth out the numerical noises in the  
 130 slip rate functions. We compare models processed by different schemes and find that the  
 131 slip-rate functions are almost indistinguishabl (Fig. S5).

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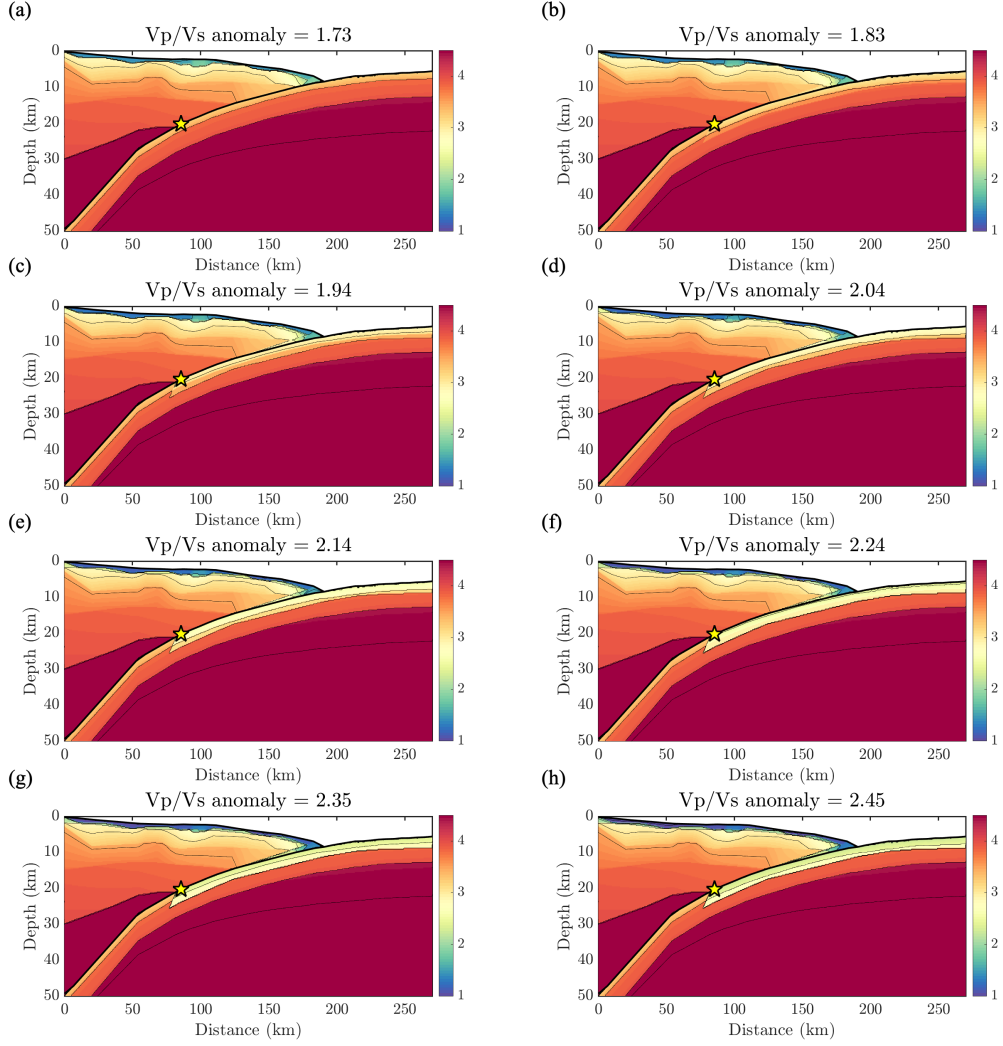
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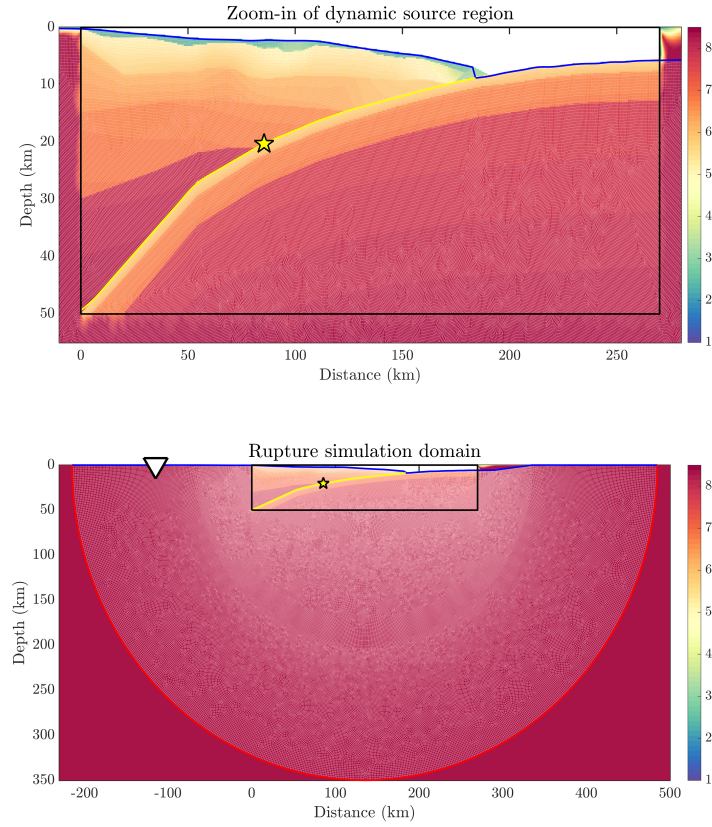
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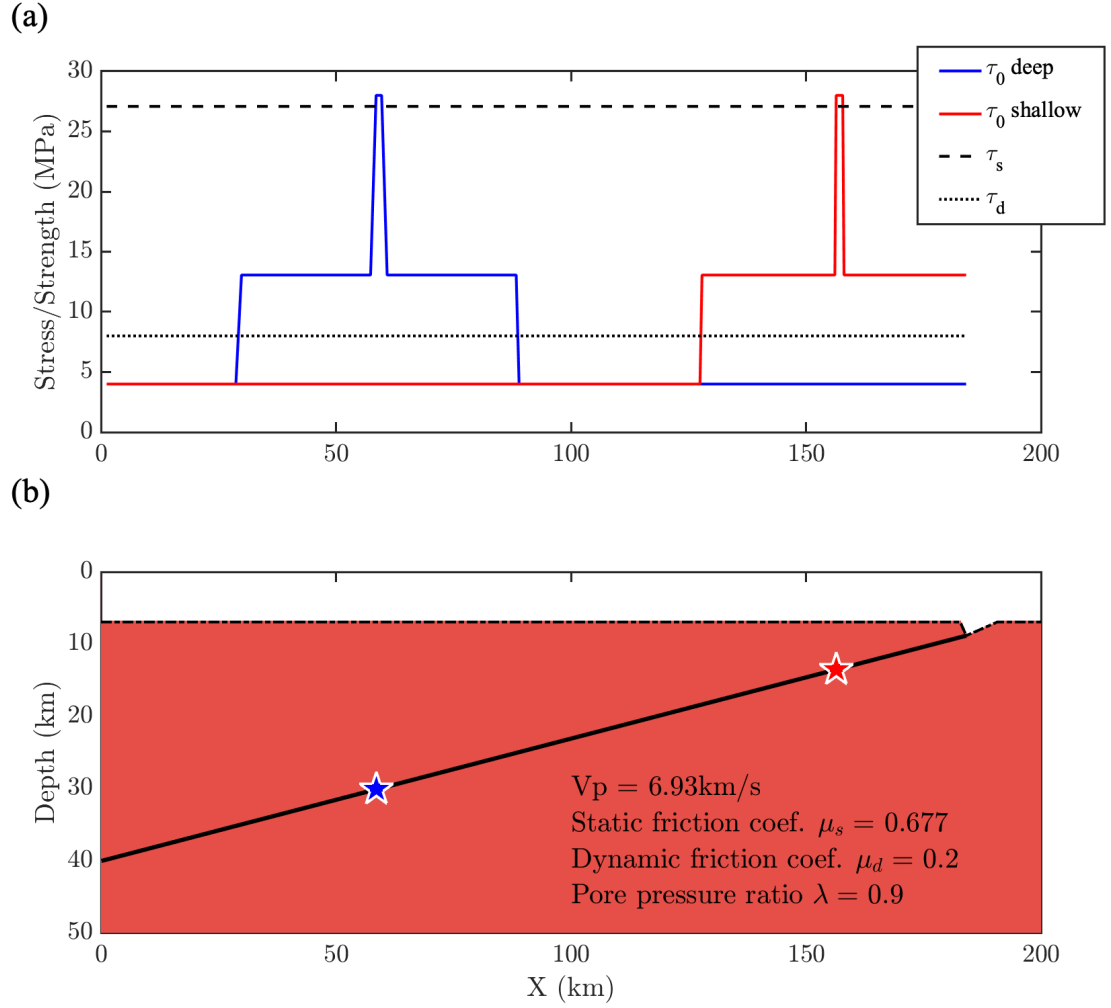


**Figure S1.** Corresponding S wave velocity from different settings of Vp/Vs ratios: (a)  $V_p/V_s = 1.73$ ; (b)  $V_p/V_s = 1.84$ ; (c)  $V_p/V_s = 1.94$ ; (d)  $V_p/V_s = 2.04$ ; (e)  $V_p/V_s = 2.14$ ; (f)  $V_p/V_s = 2.24$ ; (g)  $V_p/V_s = 2.34$ ; (h)  $V_p/V_s = 2.45$ .

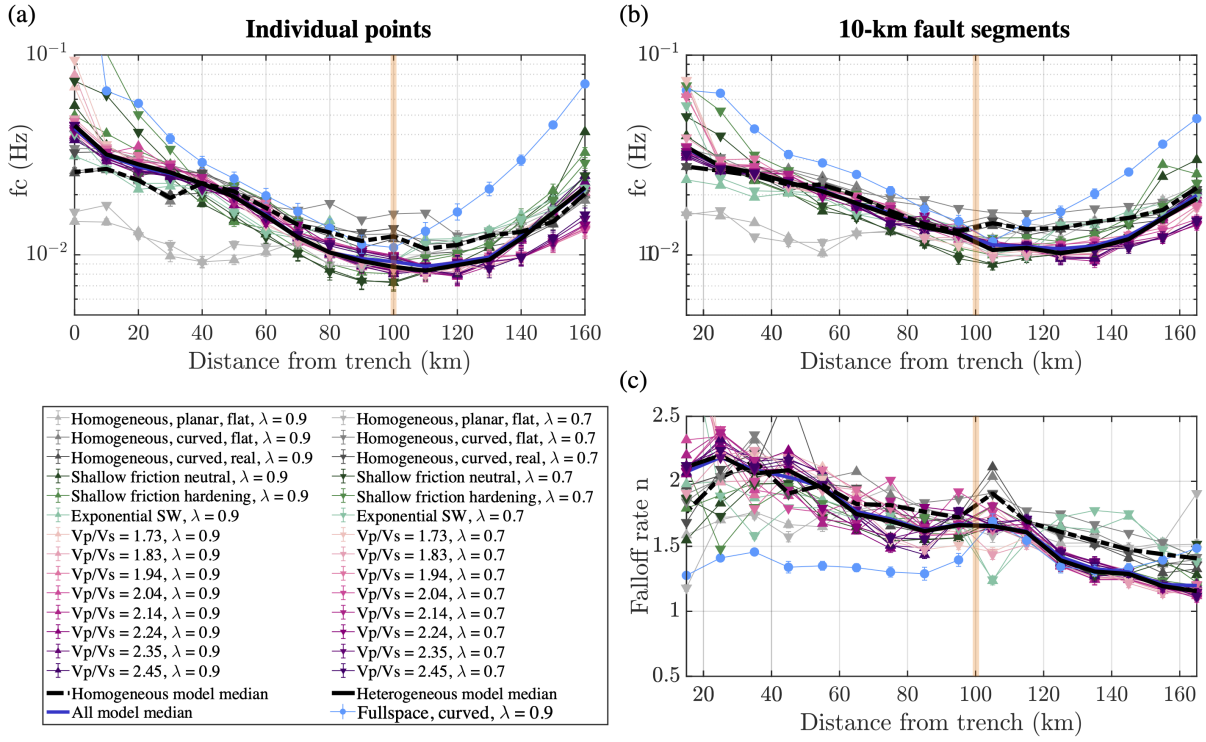


**Figure S2. Domain of dynamic simulations.** (top) The near-source region with various model settings. Blue and yellow lines indicate the free surface and dynamic fault, respectively. The color image shows the P wave velocity from Miura et al. (2005). The star indicates the hypocenter of simulated megathrust earthquakes. (bottom) Entire simulation domain. The red semicircle indicates the domain boundary with absorbing conditions. The unstructured mesh is shown in white on top of the simulation domain.

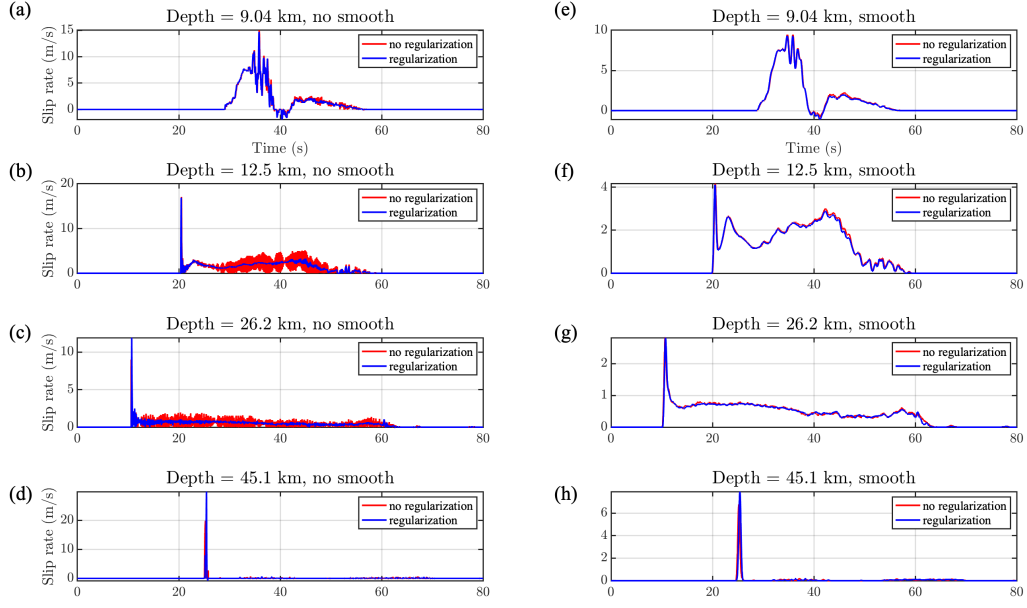




**Figure S3. Model settings of the two small rupture models.** (a) Stress/strength distribution along slab (in X coordinate): the black dot line and dashed line show the dynamic friction  $\tau_d$  and static friction  $\tau_s$ , respectively. Red and blue lines indicate the initial shear stress  $\tau_0$  distributions of shallow and deep earthquakes, respectively. (b) Homogeneous simulation domain with planar slab geometry and flat topography for the two small rupture models. The red and blue star indicate the location of nucleation/hypocenters of shallow and deep earthquakes, respectively.



**Figure S4.** Spectral parameter fitting results: corner frequency  $f_c$  variation along slab from (a) individual points and (b) from fault segment averaged slip rate functions. (c) Spectral falloff rate  $n$  variation along slab. Same symbols are used as the Figure 3 in the main text.



**Figure S5.** Comparisons of slip rate with different processing at different depths at 9.04 km, 12.5 km, 26.2 km and 45.1 km: (a) - (d) slip-rate functions from the model with (blue) and without (red) normal stress regularization; (e) - (h) slip-rate functions from the model with (blue) and without (red) normal stress regularization after the Gaussian time window smoothing.

**Table S1.** Range in  $V_P$  values in the downgoing slab low velocity zone LVZ ( $V_{LVZ}$ ) and in the overhanging continental crust ( $V_{cont}$ ) for various subduction zones.

Subduction zone	Reference	$V_{LVZ}$ (km/s)	$V_{cont}$ (km/s)
Alaska	Ye, Flueh, Klaeschen, and von Huene (1997)	4.9 - 5.1	4.6 - 5.1
Antilles	Kopp et al. (2011)	5.5 - 6.0	6.5 - 8.0
Cascadia	Horning et al. (2016)	4.0 - 4.5	4.5 - 6.5
Chile 1	Contreras-Reyes, Greve-meyer, Flueh, and Reichert (2008)	3.5 - 4.8	5.5 - 6.0
Chile 2	Scherwath et al. (2009)	4.5 - 5.0	5.0 - 7.0
Chile 3	Moscoso et al. (2011)	4.5 - 6.0	6.0 - 6.9
Chile 4	Contreras-Reyes, Becerra, Kopp, Reichert, and Díaz-Naveas (2014)	4.0 - 5.0	5.5 - 7.0
Costa Rica 1	Walther, Flueh, Ranero, Von Huene, and Strauch (2000)	5.5 - 6.0	5.7 - 8.3
Costa Rica 2	Sallarès, Dañobeitia, and Flueh (2001)	5.0 - 6.3	5.9 - 7.2
Costa Rica 3	Zhu et al. (2009)	3.0 - 4.0	4.5 - 6.0
Costa Rica 4	Martínez-Loriente et al. (2019)	4.0 - 5.0	4.0 - 6.5
Ecuador 1	Graindorge, Calahorra, Charvis, Collot, and Bethoux (2004)	5.0 - 6.0	6.0 - 6.7
Ecuador 2	Gailler, Charvis, and Flueh (2007)	4.5 - 6.0	4.5 - 6.5
Ecuador 3	Agudelo, Ribodetti, Collot, and Operto (2009)	4.5 - 6.0	6.0 - 7.0
Izu Bonin	Takahashi, Suyehiro, and Shinohara (1998)	4.7 - 6.4	5.7 - 7.4
Java 1	Planert et al. (2010)	3.0 - 4.5	5.0 - 7.6
Java 2	Shulgin et al. (2011)	5.0 - 6.0	5.0 - 7.5
Kuril	Nakanishi et al. (2009)	4.5 - 6.0	6.0 - 8.0
Nankai Trough 1	Kodaira et al. (2000)	5.2 - 5.8	5.2 - 6.7
Nankai Trough 2	Nakanishi et al. (2002)	4.2 - 5.4	5.0 - 6.8
New Zealand	Bassett et al. (2010)	4.9 - 6.3	6.8 - 8.5
Nicaragua 1	Walther et al. (2000)	5.5 - 6.9	5.9 - 8.3
Peru 1	Hampel, Kukowski, Bialas, Huebscher, and Heinbockel (2004)	4.5 - 5.0	4.2 - 5.5
Peru 2	Krabbenhöft, Bialas, Kopp, Kukowski, and Hübscher (2004)	4.0 - 6.1	5.7 - 6.5
Ryukyu	Nishizawa et al. (2017)	5.0 - 6.0	5.0 - 7.0
Sumatra	Klingelhoefer et al. (2010)	5.0 - 6.0	5.0 - 8.0
Solomon	Miura et al. (2004)	5.0 - 6.3	5.3 - 6.9
Taiwan	Klingelhoefer et al. (2012)	5.5 - 6.0	4.5 - 7.0
Tohoku	Miura et al. (2005)	5.5 - 6.6	5.5 - 8.0
Tonga 1	Contreras-Reyes et al. (2011)	5.5 - 6.5	6.0 - 7.5
Tonga 2	Bassett et al. (2016)	3.8 - 4.5	4.5 - 7.9