Forcing of the MJO-related Indian Ocean Heating on the Intraseasonal Lagged NAO

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Key Points:

- The lagged connection of the NAO with the MJO is reproduced well in the CFSv2 model with prescribed cyclic MJO heating forcings
- The MJO-related heating (cooling) over the Indian Ocean alone plays a dominant role in the formation of the positive (negative) NAO anomaly
- The MJO affects the NAO via a secondary Eurasian (westward oriented) pathway, and the primary North Pacific (eastward oriented) pathway

Abstract

Based on the experiments with the Coupled Forecast System version 2 (CFSv2), the mechanism by which the Madden–Julian Oscillation (MJO) modulates the North Atlantic Oscillation (NAO) is investigated. To isolate the cyclic MJO heating with an eastward propagation over the Indian Ocean and western Pacific, three sets of experiments are conducted with spatio-temporal varying heating added to the model's internally generated heating. The results suggest that the anomalous MJO heating over the Indian Ocean, rather than the western Pacific, dominates the formation of the NAO anomaly in the following 10–20 days. The MJO heating triggers a westward propagation of the storm track that influences Europe, complementing the eastward pathway of influence via the North Pacific. Both pathways contribute to an enhanced storm track over the North Atlantic and the positive NAO anomaly. The Eurasian pathway is less important for the formation of the negative NAO anomaly.

Plain Language Summary

As the dominant source of predictability for subseasonal forecasts, the Madden–Julian Oscillation (MJO) has a great impact on the leading winter extratropical mode, the North Atlantic Oscillation (NAO). Previous studies suggested that the impact is through the downstream propagation of the MJO-excited Rossby wave across the North Pacific and its interaction with synoptic eddies over the North Atlantic. In addition to the traditional Pacific pathway, here we find another pathway by which the MJO convection over the Indian Ocean triggers a westward propagation of the Asian storm track that penetrates Eurasia and influence the wave–eddy interaction related to the NAO.

1 Introduction

The North Atlantic Oscillation (NAO), one of the dominant mode of the extratropical atmospheric variability, has a significant influence on weather and climate over the wide northern hemisphere (Hurrel et al., 2003). The NAO varies temporally with periods spanning a broad spectrum from synoptic to decadal, and its activity at intraseasonal timescale is one substantial source of extended-range weather forcecasting. To predict NAO activity at intraseasonal timescales is thus of substantial importance.

The Madden–Julian Oscillation (MJO), the prominent mode of tropical intraseasonal variability, is characterized with large-scale convection propagating eastward through the Indian Ocean and the western Pacific along with baroclinic atmospheric circulation anomalies (Madden & Julian, 1994; Zhang, 2005). Since the associated heating drives atmospheric teleconnections, the MJO has a great impact on the extratropical atmospheric circulations (Garfinkel et al., 2014; Matthews et al., 2004; Seo & Son, 2012; Stan et al., 2017; Zheng & Chang, 2019).

Previous studies have revealed a lagged connection of the NAO with preceding MJO events (Cassou, 2008; Jiang et al., 2017; Lin et al., 2009). The positive (negative) NAO tends to follow the enhanced (reduced) convection over the Indian Ocean at a lag of 10–15 days, which corresponds to the MJO phase 3 (phase 6). Such a lagged connection is reproduced well both in simplified general circulation models (GCMs) forced with the fixed MJO heating (Lin & Brunet, 2018; Shao et al., 2019) and in fully coupled atmosphere-ocean models forced with the eastward-moving MJO heating (Straus et al., 2015; Yadav et al., 2019). This suggests that the MJO's leading impact on the NAO is a robust intrinsic feature of the atmosphere. Thus it provides an important source for subseasonal predictability of the NAO (Lin et al., 2010; Tseng et al., 2018).

Physically, the MJO's impact on the NAO may originate from the MJO-excited Rossby wave propagation toward the North Altantic and its subsequent interaction with synoptic eddies locally (Cassou, 2008; Lin et al., 2009). An initiation of Rossby wave trains in the Pacific and the interactions among the transient eddies from the eastern Pacific during the MJO phase 3 (phase 6) are considered to be responsible for setting the positive (negative) NAO phase (Rivière & Drouard, 2015; Fromang & Rivière, 2020). Another pathway of influence was suggested by Lin et al. (2015), who indicated that the MJO convection anomaly over the Indian Ocean may induce a disturbance in the South Asian jet that propagates along the midlatitude waveguide (e.g., Branstator, 2002) and affects the NAO. In addition to the wave train across the North Pacific, Shao et al. (2019) found that the MJO heating initiates an upstream and westward propagation of disturbances to western Asia-Europe along the Asian subtropical jet. Lin and Brunet (2018) also revealed an early influence of a westward propagating Rossby wave excited by the MJO. This suggests the possibility of multiple pathways for the mechanism of the MJO's influence on the NAO.

To further explore the possible pathways and understand the mechanism for the MJO–NAO connection, it is helpful to isolate the individual contribution of the MJO-related regional and moving heating over the Indian Ocean and the western Pacific. On one hand, the positive heating anomaly over the western Pacific tends to induce a negative-phased NAO (Li et al., 2006b; Lin et al., 2005; Yadav and Straus, 2017), and this influence is sensitively dependent on the longitudinal position of the heating. On the other hand, the tropical Indian Ocean heating induces an NAO tendency toward its positive phase (Hoerling et al., 2004; Li et al., 2006a; Lin et al., 2015; Yu & Lin, 2016). Note that there is potential interference between the effects of the MJO's Indian Ocean and western Pacific heating, because one excited Rossby wave can alter the background flow and affect the route of a Rossby wave excited by its counterpart.

In order to isolate the individual and combined roles of the MJO-linked regional heating, we use a coupled ocean–atmosphere–land model, CFSv2, to conduct sensitivity experiments. Since the initial state is still important for the extended-range weather forecasting, we use observed initial conditions, but add idealized, temporally evolving heating, designed to mimic realistic propagating MJO heating, to the full coupled model. Such a scheme preserves the information embedded in the initial state containing the preceding MJO's signal, and thus has advantages over that with fixed heating forcing (Fromang & Rivière, 2020).

2 Model and Methods

2.1 Model Experiments

The coupled model, Climate Forecast System model version 2 (CFSv2) of the National Centers for Environmental Prediction (NCEP), is used. Its atmospheric component model (the Global Forecast System) has a T126 horizontal resolution with 64 vertical levels. The outputs are interpolated to 1°×1° resolution and 11 pressure levels. The oceanic component is the Modular Ocean Model version 4 (MOM4) from the Geophysical Fluid Dynamics Laboratory (GFDL). The details of the CFSv2 are described in Saha et al. (2014).

The Control runs consist of four-month reforecasts (1 December to 31 March) beginning from three sets of perturbed initial conditions (ICs) on 1 December of each year over the 31-yr period (1980–2010), thus yielding a total of 93 simulations of 121 days. The ICs for atmosphere, ocean, sea-ice, and land surface are obtained from the CFS Reanalysis (CFSR) (Saha et al.,

2010), of which the atmosphere ICs are perturbed by blending different atmospheric states through a weighted average (e.g., Yadav et al., 2019).

An idealized MJO evolving heating is added to model generated temperature tendency to mimic realistic MJO cycles. Here the idealized MJO heating is prescribed with the spatial pattern and propagating features by combining the technique developed by Jang and Straus (2012) and Straus et al. (2015), and with the vertical profile based on estimates of the total diabatic heating from the tropical rainfall measuring mission (TRMM) as Straus et al. (2015). The technique was also recently applied for model heating bias correction by Swenson et al. (2019). The magnitude and temporal structure of the idealized heating are overall based on observations of Yadav and Straus (2017). Such an added heating, even with a relatively small magnitude, can effectively organize the evolution of tropical diabatic heating in the model to give a robust MJO (Straus et al., 2015).

To understand the model response to isolated MJO cycles, three sets of MJO-heating experiments with heating forcing over different regions are conducted, including the Indo–western Pacific Ocean (MJO_IP) runs, Indian Ocean (MJO_IO) runs, and western Pacific (MJO_WP) runs. All these experiments are listed in Table S1 in the supporting information. Note that the MJO_IP runs represent the typical MJO cycles, similar to the Slow Repeated Cycles runs in Yadav et al. (2019), except for a smaller ensemble size. A maximum added heating rate of 0.8 K d^{-1} was chosen with the overall magnitude scaled, so that the *total* (model generated plus added) heating was roughly close to the observations. A fixed phase speed of 3° longitudes per day was adapted to match the slow cases, yielding a period of 60 days.

Figures 1a–1f display the spatial structure and temporal evolution of the idealized heating for these experiments. The vertical structure of the heating (Figures 1a–1c) indicates an evolution from shallow to deep gradually (Yadav et al., 2019), accompanied by an eastward propagation with two complete MJO cycles (Figures 1d–1f). The added heating decays off the equator as a Gaussian form with an e-folding scale of 15° latitudes (Figure S1). The vertically averaged *total* diabatic heating anomalies are shown in Figures 1g–1i. The diabatic heating in the MJO_IP runs matches the observational slow MJO cases of Yadav and Straus (2017), except for an amplification by a factor of two. The heating in the MJO_WP runs is additionaly induced over the eastern Indian Ocean centering around 90°E, lagging that in MJO_IO runs by about 10 days. This may be caused by the feedback of the mid-latitude waves to tropical convective heating

(Frederiksen & Lin, 2013; Moore et al., 2010). Overall, the prescribed heating mirrors the horizontal structure of the MJO diabatic heating reasonably well (Figure S2).

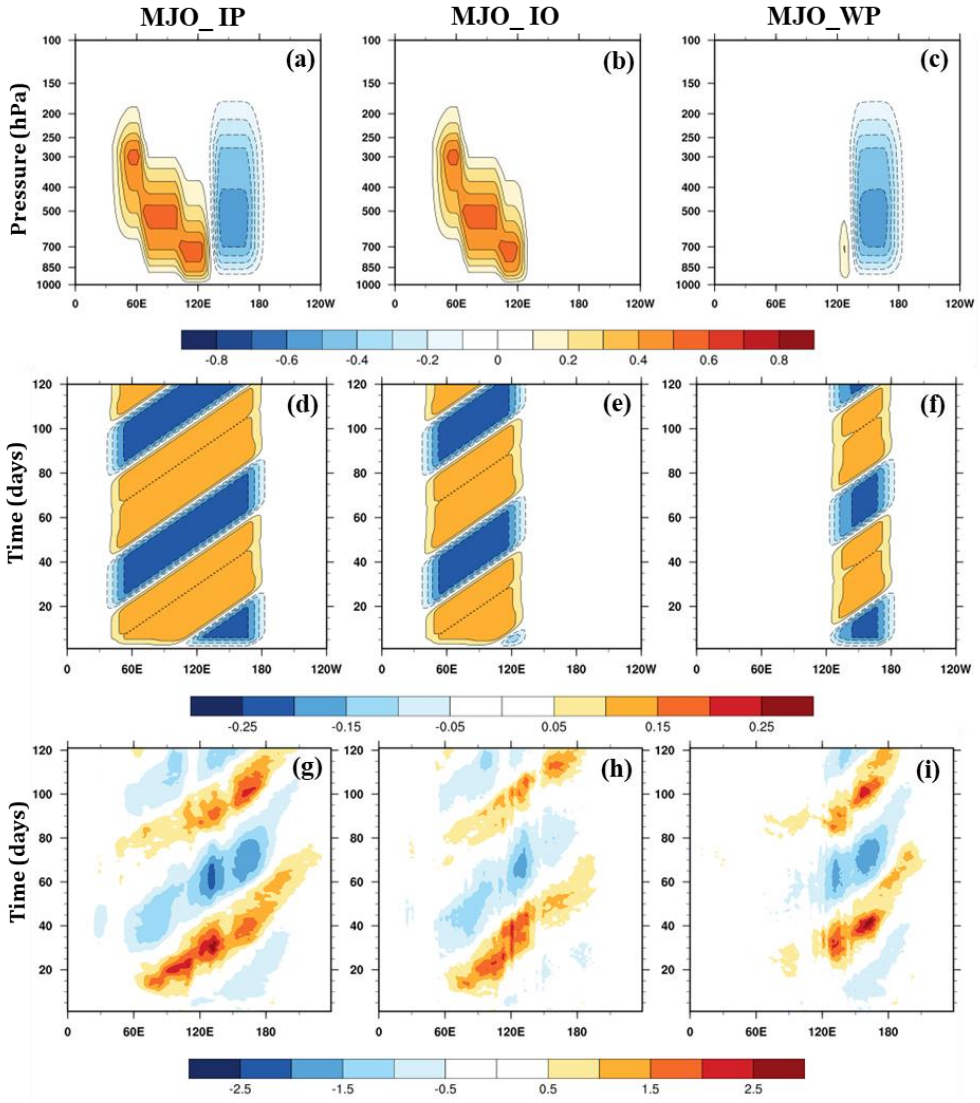


Figure 1. The pressure-longitude cross-section of added heating anomalies on day 12 (a–c), vertically averaged (over 1000–50 hPa) added heating anomalies (d–f) and total diabatic heating anomalies (g–i) at each reforecast day. Dispalyed is the value averaged over latitudes 15°S–15°N. From left to right, it corresponds to MJO_IP, MJO_IO, and MJO_WP runs, respectively. Units are K day^{-1}.

2.2 Analysis Methods

The NAO pattern is defined as the first empirical orthogonal function (EOF) mode of the daily 500-hPa geopotential height fields (Z500) over the North Atlantic region (20°N–85°N,

90°W–30°E). The daily NAO indices are calculated as the projection of the daily Z500 anomalies onto the NAO pattern; this time series of projections is subsequently normalized. The occurrence probabilities of the positive (negative) phase of the NAO at each reforecast day are obtained by counting the number of days with the daily NAO index greater (less) than 0.5 (-0.5) and then dividing by the total ensemble size of 93. When comparing the contribution of the MJO heating imposed over different regions, the identical NAO pattern in Control runs is used for calculating the NAO index. For each experiment, anomalies are defined as departures from the annual cycle in the Control runs. This model annual cycle is estimated by projecting the daily time series of each winter separately onto the first three Legendre polynomials in time as described in Straus et al. (2003), and then averaging over all winters. We use Fourier decomposition as a spatial filter method to isolate the synoptic-scale (zonal waves 5–12) eddies.

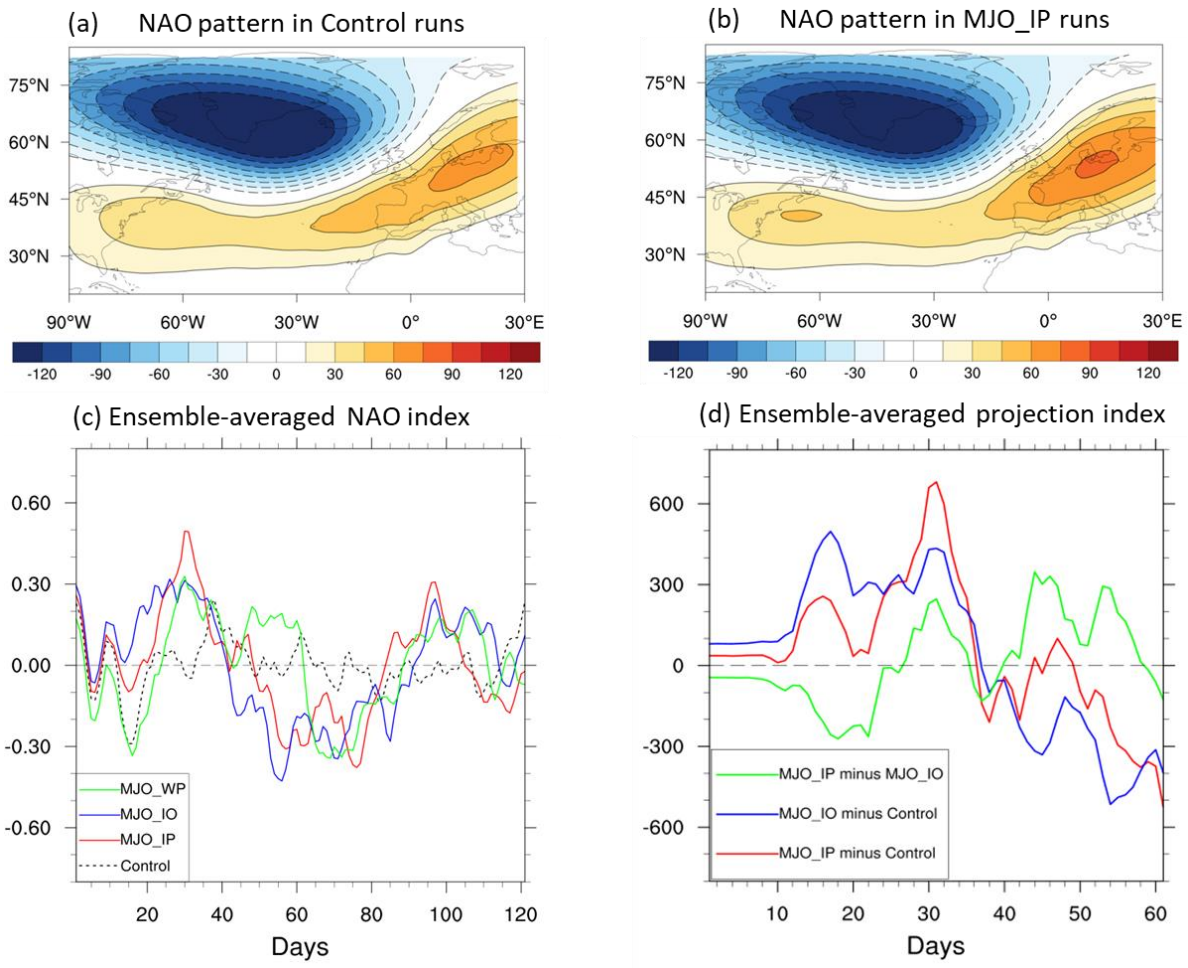
3 Results

The NAO pattern in Control runs (Figure 2a) agrees well with that in the ERA-Interim reanalysis (Dee et al., 2011) as shown in Yadav et al. (2019). For the MJO_IP runs, the NAO pattern is similar to that in Control runs, with a slightly stronger amplitude over the positive sector (Figure 2b). As the model simulates both the evolving MJO cycles and the NAO pattern well, we first investigate whether the observed MJO–NAO linkage can be reproduced in the MJO_IP runs. Figure S3 illustrates the occurrence probabilities of the NAO at each reforecast day in the MJO_IP runs. For the first (second) cycle, the occurrence probabilities of the positive NAO are increased about 10–15 days after the MJO heating reaches roughly the 90°E longitude at around day 15 (80). Conversely, the increase of the negative NAO occurs 15–20 days following the MJO heating (cooling) over the western Pacific (Indian Ocean) at around day 40. This suggests that the MJO–NAO connection can be captured well by forcing this coupled model with the anomalous heating associated with the MJO cycles.

In order to compare the contribution of the MJO heating over different regions, the evolution of NAO index from day 1 to 121 in each experiment is displayed (Figure 2c). Similar to the occurrence probabilities, the averaged NAO indices show fluctuations of roughly two cycles. During the first 10 days, the NAO indices in each MJO-heating runs are close to that in Control runs. After day 15, the NAO index in MJO_IO runs begins to increase until around day 30. Generally, the increase of the NAO index in MJO_IO runs leads that in MJO_WP runs for

about 10 days during the first cycle. This indicates that the positive NAO anomaly may be firstly initiated by the Indian Ocean heating of the MJO. Note that the NAO indices in the second cycles display relatively weaker amptitudes to those in the first cycles, in coincidence with the somewhat weaker MJO heating anomalies over the Indian Ocean. As complex tropical-extratropical interactions are involved in the second cycle, we mainly focus on the NAO-like response in the first cycle.

It is difficult to directly interpret the NAO-like response to the MJO-related regional forcing because the additional heating over the Indian Ocean (western Pacific) are induced in the MJO_WP (MJO_IO) runs. To isolate the contribution of the MJO forcing over the western Pacific, we examine the differences in the heating (see Figure S4a) and response between the MJO_IP and MJO_IO runs. The differences of Z500 over the North Atlantic between the MJO_IP and MJO_IO runs, and between the MJO_IP (MJO_IO) and Control runs, are projected onto the NAO pattern in Control runs. Figure 2d displays the projection indices of the Z500 differences for the MJO_IP minus MJO_IO runs (green curve), MJO_IP minus Control runs (red curve), and MJO_IO minus Control runs (blue curve). The green curve shows that the MJO heating over the western Pacific only contributes at a later stage. In contrast, the blue curve indicates a dominant role of the anomalous Indian Ocean heating on the NAO anomaly. The 200-hpa streamfunction together with wave activity vectors for the raw MJO_IP runs demonstrate that the MJO-initiated Rossby waves originate mostly from the Indian Ocean heating/cooling region (Figure S5). Seo and Son (2012) showed that the Indian Ocean heating can generate a much broader and stronger Rossby wave source because of its position relative to the subtropical jet, where the relative vorticity is large. This further verifies the crucial role the anomalous MJO heating over the Indian Ocean. Thus, we will concentrate on the response to the heating forcing in the MJO_IO runs.



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207 **Figure 2.** The 500-hpa geopotential height (Z500) anomalies (unit: gpm) regressed onto the NAO index in (a)
208 Control runs and (b) MJO_IP runs, together with (c) ensemble-averaged NAO index at each reforecast day for
209 the MJO_IP (red curve), MJO_IO (blue curve), MJO_WP (green curve) and Control runs (black curve), and (d)
210 projection indices of the Z500 differences for the MJO_IP minus MJO_IO runs (green curve), the MJO_IP
211 minus Control runs (red curve), and the MJO_IO minus Control runs (blue curve), respectively.

212

213 The differences of the Z500 between the MJO_IO and Control runs from day 7 to day 21
214 are shown in Figure 3, which illustrates the evolution of the extratropical response to the MJO
215 heating. Since the diabatic heating anomaly over the Indian Ocean does not appear until around
216 day 7 (see Figure S4b), there is no evident response before day 11. From day 13 to day 15,
217 negative anomalies of the geopotential height are built up over the subtropical Asia and extend
218 northwestward. There is also formation of an anomalous anticyclone over the western North

Pacific, along with a north–south dipole over the eastern North Pacific. Over the high latitudes of the North Atlantic, a negative height anomaly is formed, which deepens over the Greenland region until day 17. Meanwhile, a positive height anomaly to the northwest of the Indian Ocean extends westward, merging with the one over the subtropical North Atlantic, together forming the southern part of the NAO-like pattern. Thus, a positive NAO-like pattern is developed. Delayed by 15–20 days from the cooling over the Indian Ocean (see Figure S4b), a strong negative NAO-like response is developed at around days 55–61 (Figure S6). Prior to the formation of negative NAO anomaly, the positive height anomalies over the Eurasia and negative height anomalies over the North Pacific are established respectively, which are generally reversed to the situation following the heating over the Indian Ocean.

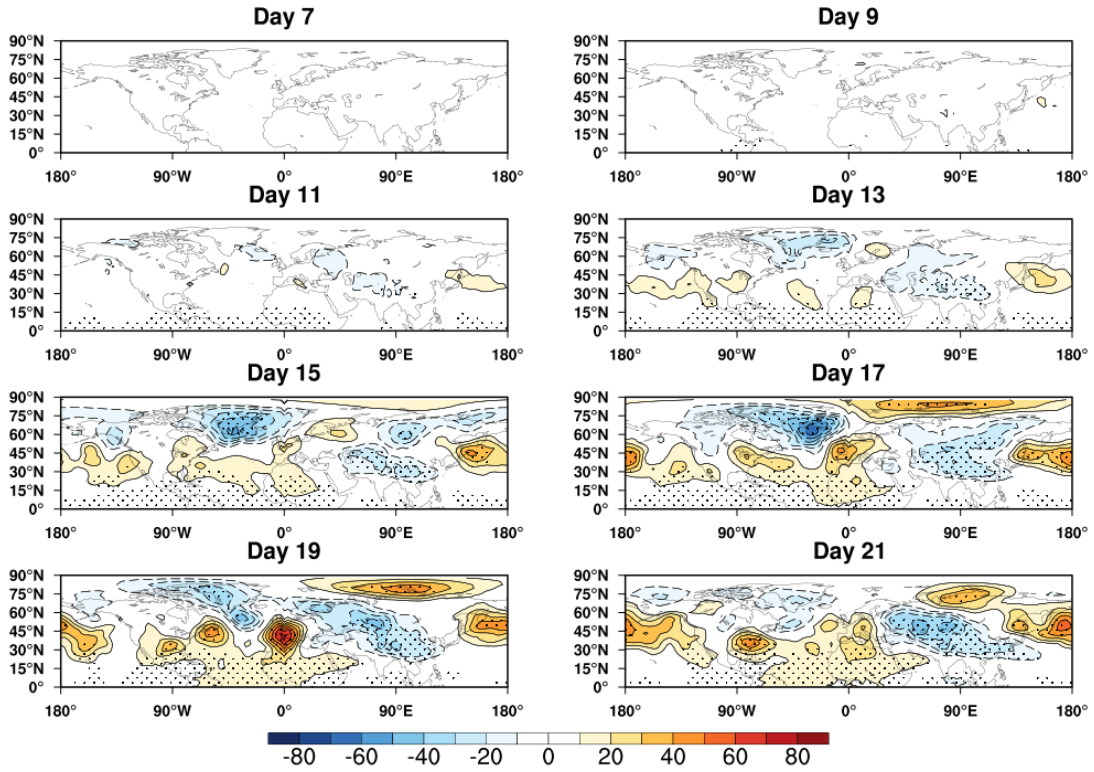

Figure 3. Composite differences of the 500-hPa geopotential height (unit: gpm) between the MJO_IO and Control runs from day 7 to day 21. Dotted is significant at the 90% confidence level according to a Student's t test.

Because the subtropical jet can act as a waveguide to modulate the wave/eddy propagation (Lee et al., 2019; Zheng & Chang, 2020), we further investigate the differences of the zonal wind at 300 hpa between the MJO_IO and Control runs (Figure S7). At the initial stage (days 7–12), a

positive zonal wind anomaly is induced over the subtropical Asian region and enhances with both westward and eastward extension. At days 13–15, a positive zonal wind anomaly is formed over the mid-latitudes of North Atlantic. Then it strengthens and exhibits a poleward shift at days 16–18, along with a negative anomaly built up to its south. This suggests that the North Atlantic mid-latitude jet is pushing northward, in favor of the formation of the positive NAO anomaly.

To understand how the anomalous subtropical Asian jet related to the MJO modulates the North Atlantic jet, we examine the shift in the storm tracks. Figure S8 shows the evolution of the 300-hPa synoptic-scale $v'v'$ anomaly (the difference between the MJO_IO and Control runs). At days 10–12, the positive $v'v'$ anomalies are established along the South Asia jet, which extend to the western Europe at days 13–15, indicating an expanding of Asian storm track influencing the western Europe directly. Subsequently, the storm tracks are enhanced over the eastern North Atlantic. In addition, the strengthening storm tracks over the North Pacific exihibit an eastward extension, which seem to influence the North Atlantic at days 22–24. Both eastward and westward extension of the enhanced storm tracks are likely to contribute to the positive NAO anomaly. On the contrary, the weakened subtropical Asian jet (at days 43–45) and the subsequent weakening storm tracks (at around days 49–57) over the North Atlantic are conducive to the negative NAO anomaly.

In order to detect the pathways via which the MJO-induced disturbances propagate into the North Atlantic, we further calculate the one-point lead-lag correlation of the 300-hpa synoptic-scale meridional wind anomaly (v'), where a base point is chosen nearby the subtropical Asian jet at 60°E, 30°N. The lead-lag correlation coefficients are presented as a function of time and longitude, averaged from 30°N to 40°N (Figure 4). Consistent with the westerly jet stream, the synoptic eddies generally exhibit eastward movement. The westward propagation indicated by the dashed line in Figure 4a suggests that the synoptic eddies over the North Atlantic at around days 12–15 may be affected by those from the South Asia jet. From day 16 to 18, the synoptic eddies over the North Atlantic in MJO_IO runs exhibit a faster eastward phase speed with respect to those in Control runs, along with a northeast–southwest tilt (Figure S9), favoring the development of the positive NAO phase (e.g., Ren et al., 2012; Song, 2016). As such, the anomalies along the Asian subtropical jet may firstly influence the positive NAO through the westward Asian-Europe pathway.

On the other hand, the disturbances induced along the subtropical Asian jet propagate across the North Pacific and downstream into the North Atlantic from day 12 to day 28. The increase of the projection index later at around days 22–30 (see Figure 2d) could be largely attributed to the Pacific pathway. This also suggests that it takes a longer time for the MJO heating over the Indian Ocean to affect the NAO via the Pacific pathway than the westward pathway. The eastward tilt of the lines in Figure 4b shows a more traditional Pacific pathway (at days 45–60) for the negative NAO-like responses at around days 55–60, while the westward pathway is much weaker. This indicates that the Eurasian pathway may play a secondary role.

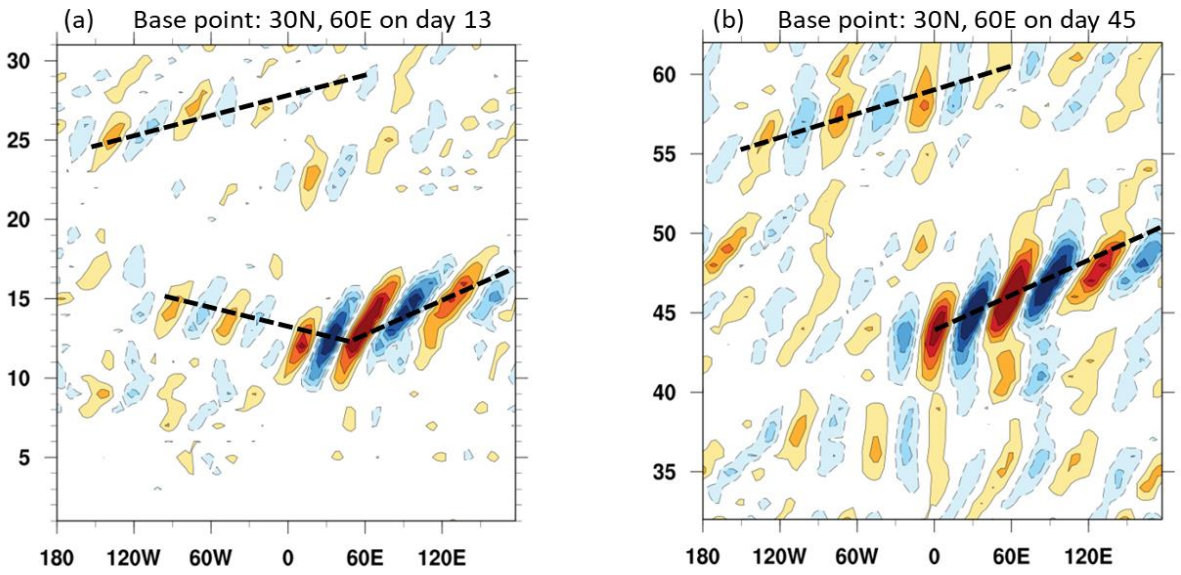

Figure 4. One-point lead-lag correlation coefficients of 300-hpa synoptic-scale *v'* for a base point at 30°N, 60°E on day 13 (a) and day 45 (b), averaged over the latitudes 30°N–40°N. Here, *v'* is the difference of the meridional wind (waves 5–12) between the MJO_IO and Control runs. The ordinate is reforecast time. The interval of the contours is 0.1.

4 Summary and Discussions

The mechanism for the observed lagged-connection of the NAO with the MJO is investigated by forcing a coupled model (CFSv2) with idealized cyclic MJO heatings. Three sets of experiments, each having an integration of two eastward propagating MJO cycles with a period of about 60 days, are conducted to isolate the contribution of the MJO-related heating anomalies over the Indian Ocean and western Pacific, respectively. The results demonstrate an increase of the positive (negative) NAO occurrence following the MJO heating (cooling) over the Indian Ocean at a lag of 10–15 (15–20) days. Furthermore, the anomalous MJO heating over

the Indian Ocean, rather than that over the western Pacific, dominates the NAO-like response. The MJO heating over the Indian Ocean can exert its influence via the traditional pathway across the North Pacific, however part of the impact may be transmitted via the Eurasia, which takes a shorter time (around 7–10 days). The shift of the strengthened subtropical Asian jet causes an expanding Asian storm track to propagate westward, influencing the Europe and enhancing the storm tracks over the eastern North Atlantic, thus favoring the development of the positive NAO. On the other hand, the induced disturbances in the subtropical Asian jet propagate across the North Pacific and downstream into the North Atlantic, enhancing the NAO after around 10–15 days. The situation is essentially reversed following the MJO's cooling over the Indian Ocean for the negative NAO-like response, although the Eurasian pathway does not seem to play a role here.

Since the MJO-related heating anomalies over the Indian Ocean and the western Pacific are not independent, and presumably feed back on each other, the dominant effect of the anomalous MJO heating over the Indian Ocean does not rule out the potential role of the heating over the western Pacific. The western Pacific heating anomaly has minor contribution, probably because the affected initial state of the portion of the subtropical jet is less effective in transporting the disturbances along the jet waveguide to the North Atlantic (e.g., Lin & Brunet, 2018).

While we have shed light on the direct MJO-related tropical forcing of the North Atlantic region, other mechanisms are likely to play a role, such as the possible stratosphere pathway (e.g., Barnes et al., 2019; Lee et al., 2019). There are also tropical–extratropical interactions of intraseasonal oscillations (Frederiksen & Lin, 2013), which may play a role in the model experiments. For example, the synoptic eddies from the North Atlantic jet may affect those along the subtropical jet, which in turn have an influence on the North Atlantic jet through downstream propagation. The mechanism for how the extratropics influence the tropical heating linked to the MJO deserves further investigation.

Acknowledgments

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