

The 2020 M_w 6.5 Monte Cristo Range (Nevada) earthquake: anatomy of a large rupture through a region of highly-distributed faulting

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Key Points:

- The mainshock exhibits complex faulting with oblique and pure sinistral slip in the western and eastern fault sections, respectively
- Segmented faulting, off-fault deformation, and aftershocks with variable mechanisms are consistent with rupture on an immature fault
- However, earthquake magnitude may have a stronger influence on shallow slip deficit than fault structural maturity

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Abstract

The 15 May 2020 M_w 6.5 Monte Cristo Range earthquake (MCRE) in Nevada, USA is the largest instrumental event in the Mina deflection, an E-trending stepover zone of highly diffuse faulting within the Walker Lane. The MCRE mostly ruptured previously unmapped faults, motivating us to characterize the behaviour of an earthquake on a structurally-immature fault. We use Interferometric Synthetic Aperture Radar (InSAR) data and regional GNSS offsets to model the causative faulting. Our three fault model indicates almost pure left-lateral motion in the east and normal-sinistral slip in the west. Maximum slip of 1.1 m occurs at 8–10 km depth but less than 0.2 m of slip reaches the surface, yielding a pronounced shallow slip deficit (SSD) of 86%. Our calibrated relocated hypocenters and focal mechanisms indicate that the mainshock initiated at 9 km depth and aftershock focal depths range from 1 to 11 km, helping constrain the local seismogenic thickness. We further present new field observations of fracturing and pebble-clearing that shed light on the western MCRE kinematics, revealing a paired fault system below the spatial resolution of the InSAR model. The segmented fault geometry, off-fault aftershocks with variable mechanisms, distributed surface fractures, limited long-term geomorphic features, and an estimated cumulative offset of 600–700 m, are all characteristic of a structurally-immature fault system. However, the large SSD is not unusual for an earthquake of this magnitude, and a larger compilation of InSAR models (twenty-eight $M_w \geq 6.4$ strike-slip events) shows that SSDs are not correlated with structural maturity as previously suggested.

Plain Language Summary

The 2020 Monte Cristo Range earthquake, western Nevada, ruptured mostly previously unrecognized faults in a highly fractured region of crust in which no single, through-going fault has yet emerged. Understanding the behaviour of an earthquake in such regions is crucial for assessing their seismic hazard. We use radar satellite imagery and GPS measurements to model the fault geometry of the earthquake, and how much slip occurred. We found that only ~15% of the slip at depth reached the surface. We also use seismograms to estimate the nucleation depth and aftershock patterns. Results show that the earthquake sequence involved a variety of fault orientations and movements. We further integrate field observations of surface cracks, which revealed even more complicated structures and movements in the western rupture zone. The segmented fractures, distributed aftershocks and their varied geometries, and the limited record of past earthquakes, are all characteristics of a newly emergent fault zone. However, according to our comparison with twenty-seven other global earthquakes, the small proportion of slip that reached the surface does not relate with the faults being new, but rather the size of the earthquake.

1 Introduction

Fault segmentation is known to play an important role in earthquake rupture propagation and arrest. The influential ‘characteristic earthquake’ model posits that maximum earthquake magnitudes are limited by the lengths of discrete, mapped fault segments and their intervening segment boundaries (Schwartz & Coppersmith, 1984; Wesnousky, 2006). However, in recent years this simple view has been complicated by observations of a number of multi-fault earthquakes that have jumped across major segment boundaries to achieve larger rupture areas and magnitudes than would normally be anticipated (e.g., Hicks & Rietbrock, 2015; Huang et al., 2016; Nissen et al., 2016; Hamling et al., 2017). Fault segmentation is one manifestation of fault structural maturity, a term that describes the evolution of fault zone properties with incremental offset. In this progression, a fault core thickens (e.g., Robertson, 1983; Scholz, 1987; Hull, 1988; Childs et al., 2009), off-fault damage intensifies (e.g., Shipton & Cowie, 2001; Finzi et

al., 2009; Faulkner et al., 2011; Savage & Brodsky, 2011; Aben et al., 2016), and the fault trace simplifies as segments coalesce and asperities are smoothed out (e.g., Walsh & Watterson, 1988; Wesnousky, 1988; Peacock & Sanderson, 1991; Childs et al., 1995; Stirling et al., 1996; Frost et al., 2009; Wechsler et al., 2010; Brodsky et al., 2011). Other aspects of structural maturity may also be important in controlling earthquake rupture behaviour. Observations suggest that earthquakes along structurally-mature faults exhibit more localized deformation and narrower aftershock distributions (Powers & Jordan, 2010; Zinke et al., 2015; Hatem et al., 2017; Perrin et al., 2021), faster rupture velocities (Perrin et al., 2016; Chounet et al., 2018), more persistent rupture directivity (Kane et al., 2013; Aderhold & Abercrombie, 2015), proportionally more surface slip (Dolan & Haravitch, 2014), larger amounts of aseismic afterslip (Johanson et al., 2006; L. Feng et al., 2010; Thomas et al., 2014; Y. Li et al., 2020; Pousse-Beltran et al., 2020), smaller overall stress drops and weaker near-field ground motions (Choy & Kirby, 2004; Radiguet et al., 2009; Hecker et al., 2010), and lower rates of dynamic aftershock triggering (Gomberg, 1996), than those along immature faults. Mature faults may also exhibit steadier interseismic strain accumulation (K. Wang et al., 2021) and more regular recurrence intervals (Berryman et al., 2012; Thakur & Huang, 2021).

These relationships illustrate how the structural setting of an earthquake, including fault segmentation and structural maturity, could have an important bearing on seismic hazard. They raise the possibility of incorporating easily-observed metrics for structural maturity—such as fault cumulative offset, age, slip rate, length, and surface trace complexity (Choy & Kirby, 2004; Manighetti et al., 2007, 2021)—in hazard assessments and earthquake early warning algorithms (Dolan & Haravitch, 2014; Perrin et al., 2016; Hutchison et al., 2020). However, other factors including fault geometry and kinematic style, tectonic environment, and rheology of ruptured material may also influence earthquake behaviour, potentially complicating matters (e.g., Oskin et al., 2012; Teran et al., 2015). To clarify these relations further, careful observations are needed of earthquakes from a range of structural and geological settings and which span the full spectrum of fault structural maturity.

The M_w 6.5 Monte Cristo Range earthquake (MCRE) ruptured on 15 May 2020 at 11:03 UTC (4:03 AM local time) mostly along previously unmapped faults in the Mina deflection zone within the central Walker Lane, Nevada (Wesnousky, 2005) (Figure 1). The evolution of the Mina deflection since the Miocene has given rise to a region of conspicuously heterogeneous lithology and geometrically complex faulting (Wetterauer, 1977; Oldow et al., 1994, 2008). Fault segments mapped in the western Mina deflection, just west of the MCRE, are relatively short (on average 1–3 km) with a maximum segment length of ~ 10 km, highly distributed, and variably oriented (Dohrenwend, 1982; Oldow et al., 1994), and some reactivate inherited structures (Wetterauer, 1977; Oldow et al., 2008). Faults and fault-bound blocks within the Mina deflection are thought to rotate about vertical axes to accommodate dextral shear transfer across the Walker Lane (Wesnousky, 2005). This crustal rotation potentially diverts the faults away from being favorable to slip, preventing the emergence of a single through-going fault that could attain structural maturity. Following the mainshock, field mapping revealed zones of distributed fractures within an approximately 28 km-long and up to 800 m wide rupture zone (Koehler et al., 2021). This distributed deformation, together with the slow rupture velocity (Liu et al., 2021), extensive off-fault aftershocks (Ruhl et al., 2021), and the weakly discernible neotectonic landforms indicative of long-term faulting (Koehler et al., 2021), also suggest that the MCRE may have ruptured a highly-immature fault system.

In this study, we carefully characterize the MCRE to further illuminate its rupture behaviour. We use Interferometric Synthetic Aperture Radar (InSAR) and seismology to model the source geometry and kinematics. Near-field observations of fault offsets and off-fault deformation are integrated to shed light on the complex block motions within the fault zone. We discuss these results in the context of fault structural maturity and

show that various attributes of the MCRE are characteristic of rupture within an emergent and highly-distributed fault system. We further highlight the importance of incorporating multi-disciplines to capture the full complexity of a rupture especially in a shattered crustal zone.

2 Regional Context

The Walker Lane lies between the Sierra Nevada block and the Basin and Range extensional province (Locke et al., 1940; Stewart & Ernst, 1988) and accommodates $\sim 20\%$ of the ~ 50 mm/yr dextral motion between the Pacific and North America Plates (Dokka & Travis, 1990; Bennett et al., 2003). This shear is distributed across an array of NW-trending dextral faults, N- to NE-trending normal faults, and NE-striking sinistral faults (Wesnousky, 2005). Several of these faults have hosted large historic earthquakes, including the 1954 M_s 7.2 Fairview Peak, 1932 M_w 6.8 Cedar Mountain, and 1872 M 7.6 Owens Valley earthquakes (Hodgkinson et al., 1996; Wesnousky, 2005) (Figure 1). The Mina deflection zone located within the central Walker Lane, however, comprises a suite of discontinuous E-W faults, disrupting the overall northerly-oriented structures of the Walker Lane (Pierce et al., 2021). The left-lateral faults of the Mina deflection accommodate a ~ 25 – 60 km-wide right step that help transfer dextral slip between the longer, NW-striking, dextral White Mountain and Fish Lake Valley fault zones to the southwest and the Benton Springs and Petried Springs faults to the northeast (Wesnousky, 2005; Lee et al., 2009; DeLano et al., 2019). To accommodate this strain transfer, most geologic, geomorphic, geodetic, and paleomagnetic analyses suggest that the Mina deflection is dominantly occupied by E-W sinistral faults that rotate clockwise around vertical axes, opening small, triangular basins at the fault termini (Wesnousky, 2005; Petronis et al., 2009; Rood et al., 2011; Nagorsen-Rinke et al., 2013; Bormann et al., 2016; Grondin et al., 2016; DeLano et al., 2019; Pierce et al., 2021).

The Mina deflection is comprised to the first order of a series of E-striking sinistral faults, including from north to south the Rattlesnake, Excelsior, Candelaria, and Coal-dale faults (Figure 1). Each of these faults is made up of numerous discrete segments that sum to lengths of up to ~ 20 km (Wesnousky, 2005). In general, the faults exhibit alternating north- and south-facing vertical scarps, geomorphic marker offsets, linear fault-bounded ridges, *en echelon* fractures and pressure ridges, and beheaded stream channels within bedrock and Quaternary alluvium, indicative of sinistral and normal faulting (Wesnousky, 2005; Lee et al., 2006). The only historic earthquake in this area is the 1934 M_w 6.3 Excelsior Mountain earthquake which produced *en echelon* fissures and down-to-the-north vertical scarps along the Excelsior fault, consistent with normal-sinistral slip (Callaghan & Gianella, 1935; Wesnousky, 2005).

The MCRE is the largest instrumentally-recorded earthquake in the Mina deflection. The mainshock ruptured areas where E-trending Quaternary faults have not been mapped, as well as sections where the rupture projects into the NW-striking Benton Springs and Petrified Springs faults in the north (Koehler et al., 2021). In the west, the MCRE ruptured the eastern projection of the Candelaria fault. Although there are no historic earthquakes along the Candelaria fault, it exhibits evidence for surface ruptures in the middle to late Holocene (Wesnousky, 2005), with a net sinistral-normal slip of ~ 900 m since 2.8 Ma, measured from offset markers of Pliocene basalt, for an approximate Quaternary slip rate of 0.3 mm/yr (Speed & Cogbill, 1979).

3 Data and Methodology

3.1 InSAR and GNSS Data and Processing

We produced three six-day interferograms using SAR images acquired by the European Space Agency’s C-band (wavelength 5.6 cm) Sentinel-1 satellites. The SAR im-

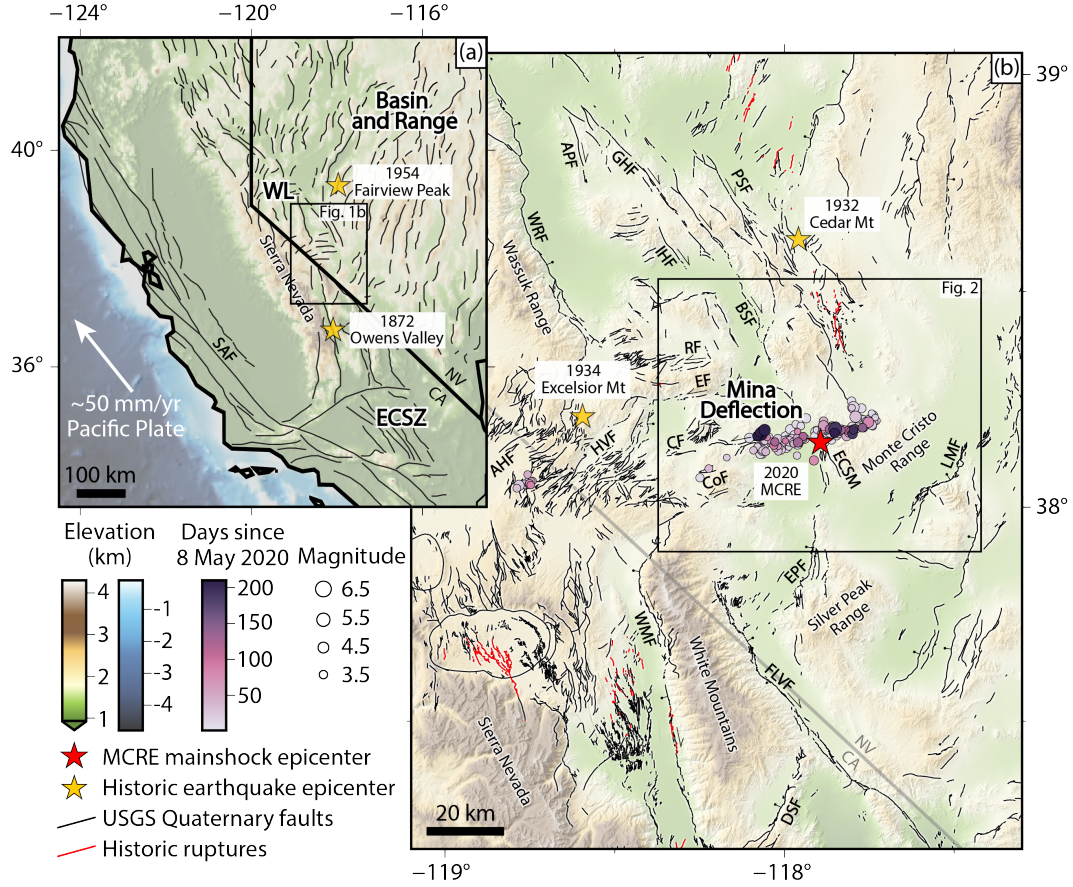


Figure 1. Tectonic setting of the 2020 Monte Cristo Range earthquake. (a) Regional context of the Eastern California shear zone (ECSZ) and Walker Lane (WL) and (b) a zoom in of the Walker Lane. The red star is our relocated MCRE mainshock epicenter and yellow stars indicate the 1872 M_w 7.6 Owens Valley, 1932 M_w 6.8 Cedar Mountain, 1934 M_w 6.3 Excelsior Mountain, and the 1954 M_s 7.2 Fairview Peak earthquake epicenters (from the NEIC and Callaghan and Gianella (1935)). The circles in (b) are relocated epicenters of the MCRE sequence, scaled with magnitude, and shaded with the number of days since 8 May 2020. Major faults (black lines) and historic ruptures (red lines): AHF—Anchorite Hills fault; APF—Agai Pah fault; BSF—Benton Springs fault; CF—Candelaria fault; CoF—Coaldale fault; DSF—Deep Springs fault; ECSM—Eastern Columbus Salt Marsh fault; EF—Excelsior fault; EPF—Emigrant Peak fault; FLVF—Fish Lake Valley fault; GHF—Gumdrop Hills fault; HVF—Huntoon Valley fault; IHF—Indian Head fault; LMF—Lone Mountain fault; PSF—Petrified Springs fault; RF—Rattlesnake fault; SAF—San Andreas fault; WMF—White Mountain fault; WRF—Wassuk Range fault. These are acquired from the USGS Quaternary fault and fold database and from the Nevada Bureau of Mines and Geology (accessed July 2, 2021 at: <https://www.usgs.gov/natural-hazards/earthquake-hazards/faults>). The inset box in panel (b) denotes the boundary of the interferograms in Figure 2.

ages were obtained on four dates between 10 May 2020 and 17 May 2020 by two adjacent descending tracks and one ascending track (Table 1), offering three looking angles. The interferograms were processed in GAMMA (Wegmüller et al., 2016). We removed the topographic phase contribution using the 3 arcsec (~ 90 m) Shuttle Radar Topography Mission (Farr & Kobrick, 2000) digital elevation model. The interferograms were filtered using a power spectrum algorithm (Goldstein & Werner, 1998), then unwrapped using the branch-cut algorithm. We georectified the interferograms to the Universal Transverse Mercator coordinate system (UTM zone 11N) with a 90 m pixel resolution (Figure 2). Lastly, we manually fixed unwrapping errors in areas that show spurious phase discontinuities and carefully removed a few patches disconnected from the main interferogram and for which the unwrapping uncertainty is high.

Table 1. Details of the InSAR imagery we used to model the 15 May 2020 MCRE (a = ascending track, d = descending track). Line-of-sight (LOS) incidence angles (from the vertical) and azimuths (degrees from N) are measured at the mainshock epicenter.

Interferogram	Track	Date 1	Date 2	LOS incidence	LOS azimuth
intf1	d144	10 May 2020	16 May 2020	33	281
intf2	d71	11 May 2020	17 May 2020	44	280
intf3	a64	10 May 2020	16 May 2020	41	80

We also collated regional GNSS coseismic offsets processed by the Nevada Geodetic Laboratory (NGL) using their updated data set released on 19 June 2020 (Blewitt et al., 2018). The data comprise continuous GNSS stations belonging to the Mobile Array of GPS for Nevada Transtension (MAGNET), Network of the Americas (NOTA), and other networks, which together provide a typical station spacing of ~ 20 km across the study area (Supplementary Figure A1).

3.2 Elastic Dislocation Modeling

We solved first for the mainshock fault geometry and then for the slip distribution by jointly inverting the three unwrapped interferograms and the GNSS coseismic offsets, using a routine elastic dislocation procedure (e.g., Wright et al., 1999) described in detail below. To prepare the data for inversion, the three interferograms were downsampled using a Quadtree algorithm (e.g., Jónsson et al., 2002; Wright et al., 2003) in which the sampling block size and variance threshold were adjusted such that each downsampled dataset comprised ~ 400 – 600 datapoints concentrated within areas of high phase gradient. We only modeled the 25 GNSS data points within the extent of the InSAR coverage, all within ~ 75 km of the mainshock epicenter (Supplementary Figure A1). We experimented with using horizontal and vertical offsets or only the horizontal ones; finding little difference in our results, our final model incorporates all three components. Since the two descending interferograms share similar look angles, they are together weighted equally to the single ascending interferogram and the GNSS offset dataset.

3.2.1 Uniform Slip Modeling

We first estimated the fault location and geometry by assuming that the earthquake occurred along a rectangular fault plane in a uniform elastic half-space with Lamé constants λ and $\mu = 3.2 \times 10^{10}$ Pa. We solved the Okada (1985) equations with a downhill simplex algorithm to obtain the minimum misfit fault plane parameters (Press et al., 1992) and used 100 Monte Carlo restarts to ensure that a broad parameter space was searched (Wright et al., 1999). We solved for the minimum misfit strike, dip, rake, slip, latitude, longitude, fault length, top depth, and bottom depth. For each interferogram,

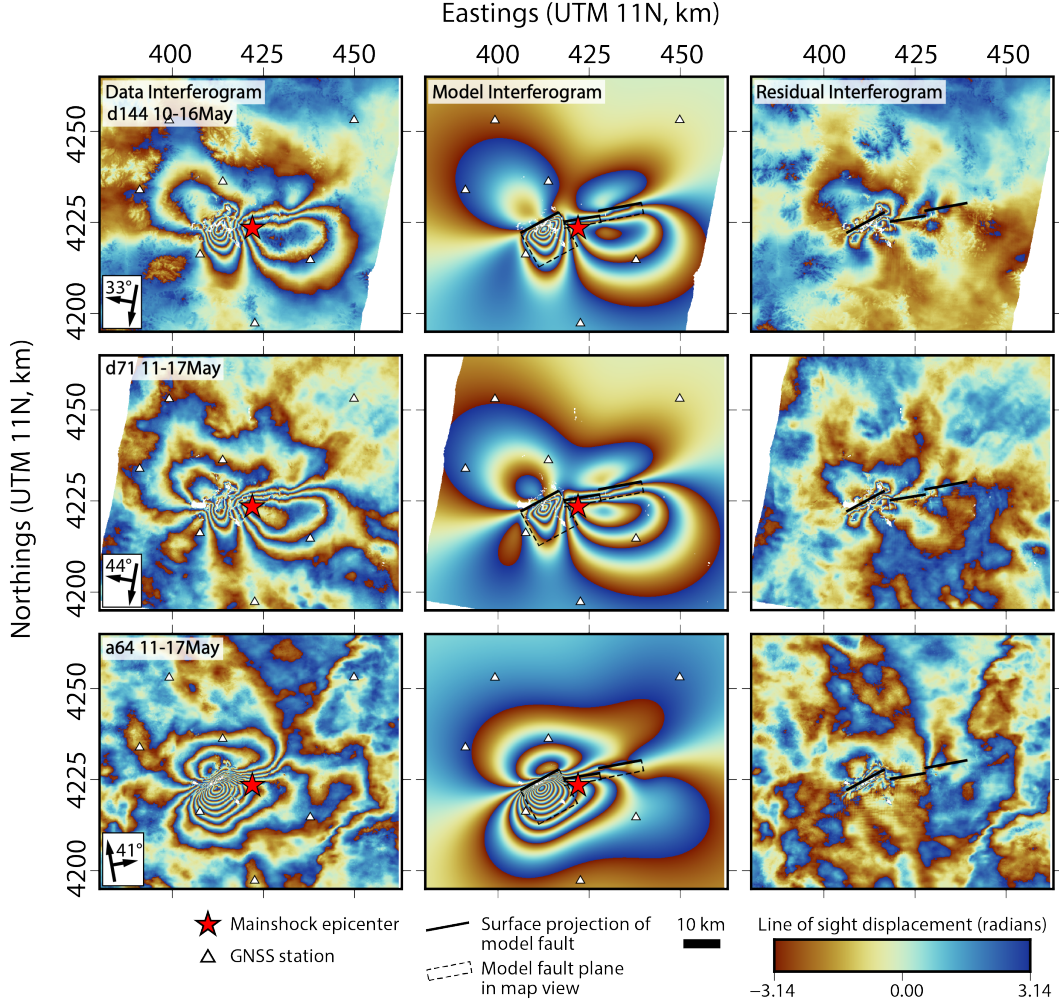


Figure 2. Observed (left column), model (middle column), and residual (right column) wrapped interferograms. The top left corner displays the satellite direction (d—descending, a—ascending), followed by the track number and the interferogram date range. The red star is the relocated mainshock epicenter, thick black lines indicate the surface projection of the model fault, the dashed box illustrates the model fault plane in map view, and long and short vectors are the satellite track and line-of-sight azimuths, respectively, with labelled incidence angles.

we also solved for 3 nuisance parameters: a translation in line-of-sight (LOS) to account for the uncertainty in LOS at the unwrapping reference point, and E-W and N-S gradients in LOS to account for residual orbital ramps.

We initially modeled the geodetic data with a single fault plane, but the resulting model interferograms did not visually fit the InSAR data well. Adding a second fault provided a much better match, accounting for the distinct fringe patterns observed west and east of the epicenter. In our final model, a third fault was added to allow for a potential change in fault geometry associated with an observed kink in the eastern fringe pattern. This three fault model provides a better visual fit and a slightly improved nu-

merical fit compared to the simpler two fault model (Figure 2). Recognizing that the bottom depths of our model faults are poorly constrained by the geodetic data (e.g., Elliott et al., 2015), we ensured that they could not exceed 11 km, the local seismogenic thickness that we determined independently using hypocenter relocations (Section 3.3).

3.2.2 Distributed Slip Modeling

Having determined the fault geometry, we next solved for the slip distribution. We started by extending the uniform slip model fault planes along strike and up- and down-dip, to allow for the possibility of slip outside of the extents of the uniform slip model. The outer ends of the western and eastern model fault sections were extended $\sim 1\text{--}2$ km along strike, while the bottom depths of all three sections were increased by one kilometer to 12 km to allow for tapering of slip at the base of the seismogenic zone. The extended fault planes were then each divided into $2\text{ km} \times 2\text{ km}$ sub-fault patches, and the slip distribution was estimated using a Laplacian smoothing operator (Wright et al., 2004; Funning et al., 2005) and a slip positivity constraint (Bro & De Jong, 1997). Following Wright et al. (2004), we chose a smoothing constant that maximizes the smoothness of the slip distribution without greatly increasing the model misfit. Full parameters of our final slip model are provided in Supplementary data file C1.

3.3 Hypocentral Relocations

In addition to geodesy, we relocated the hypocenter locations of the mainshock and 196 well-recorded foreshock and aftershock events using the *mloc* multi-event relocation software (Bergman & Solomon, 1990; Walker et al., 2011; Karasözen et al., 2019; Benz, 2021). This utilizes arrival time data of multiple earthquake events recorded at multiple stations to minimize biases from unknown Earth velocity structure, and thus obtain calibrated hypocenter parameters. We used arrival time data gathered from the International Seismological Centre (ISC) bulletin and the Advanced National Seismic System (ANSS) Comprehensive Earthquake Catalog (ComCat) of the United States Geological Survey (USGS), for well-recorded events from 8 May 2020 to 3 December 2020.

Mloc adopts the hypocentroid decomposition algorithm which separates the relocation into two inverse problems, for which tailored arrival time data can be used. First, the program uses all available arrival time data at all epicentral distances to solve for the relative locations of each hypocenter in the cluster (Supplementary Figure A3). These cluster vectors connect each event to the hypocentroid—the geometric mean for all hypocenters. Second, the algorithm calculates the absolute location of the hypocentroid and updates the absolute hypocenter locations of every event in the cluster using all the arrival times at close range. In our case, more than 4,500 arrival time readings at distances of less than 0.7° contributed to the absolute relocation step (Supplementary Figures A4–5). The high density of local recordings allowed us to solve for focal depth as a free parameter, though for some events we manually adjusted depths to better fit near-source or local-distance data. We utilized a bespoke regional velocity model for the crust and upper mantle and the ak135 global model for below 120 km (Kennett et al., 1995) (Supplementary Table B1). Our relocated hypocentral data set is tabulated in Supplementary data file C2 and travel time residuals are plotted in Supplementary Figure A5.

3.4 Regional Moment Tensor Solutions

Among the 197 relocated events, we also calculated regional moment tensor (RMT) solutions for the mainshock and 89 best-recorded aftershocks. We modeled regional waveforms collected from the USGS National Earthquake Information Center (NEIC) and the Nevada Seismological Laboratory at the University of Nevada, Reno (UNR) at distances of 0–500 km. For events M_w 5.0 or smaller, we used the whole seismograms, band-pass filtered at $\sim 10\text{--}100$ s. For events larger than M_w 5.0, we used W-phase waveforms

filtered in the passband ~ 50 – 2000 s. We solved for the RMTs by using the inversion methods, Green’s functions, and central U.S. velocity model of Herrmann et al. (2011). To determine the best fit between observed and modeled waveforms, we assumed a point source and the moment tensor components were grid searched at 1 km depth intervals. The dense regional station coverage provided well-constrained centroid depths. Further sensitivity testing revealed the other RMT parameters to be insensitive to perturbations of a few kilometers in centroid depth.

3.5 UAS Survey and Field Measurements

The field observations described and discussed here are based upon the ultra high resolution (sub-centimeter/pixel) uncrewed aerial system (UAS) imagery and the detailed surface rupture mapping and fault offset measurements collected by Dee et al. (2021) and Koehler et al. (2021). We interpret these data sets in the context of our InSAR analysis of mainshock faulting (Sections 4.2, 5.1).

4 Results

4.1 Mainshock Source Model and Mechanisms

Both ascending and descending interferograms contain clear coseismic fringe patterns, with a maximum LOS displacement of ~ 31 cm (away from the satellite) observed in the ascending data (Figure 2, left column). The E-W orientation of the two largest, northern and southern fringe lobes—with differing sense of LOS displacement in the ascending and descending data—is consistent with predominantly E-W, left-lateral faulting. However, there are some deviations from the general E-W trend of the central fringes, that likely represent changes in fault strike or other forms of segmentation. Furthermore, the presence of a third, more condensed southwestern lobe in the descending interferogram hints at some further complexity in the faulting mechanism. In this area, LOS of displacements are away from the satellite in both ascending and descending interferograms, consistent with localized subsidence.

Our modeling results help further illuminate the complexity in fault geometry and mechanism. Our preferred three fault model reproduces the InSAR-GNSS data well (Figure 2, central and right columns, and Figure S1), with a root mean square residual displacement of ~ 0.9 cm. The three model faults are each 10–12 km long, and are aligned roughly end-on-end for a total length of ~ 34 km (Table 2). None of the model faults align with previously mapped structures. The eastern and central faults strike 79.4° and 80.8° , respectively, and are separated by a ~ 1.4 km left step in the surface trace. The western fault strikes more northerly at 61.5° , in agreement with the observations. The eastern and central faults dip steeply southwards at 75.8° and 81.6° , respectively, while the western fault dips more gently at 48.4° SE. The western fault also has a distinct mechanism. Whereas the eastern and western faults are predominantly left-lateral (rake -4.4° and 0.0° , respectively), the western fault exhibits oblique normal-sinistral motion (rake -47.5°). This explains the subsidence observed in the southwestern lobe of the descending interferogram discussed earlier.

Overall, slip extends to 12 km depth on each model fault (Figure 3), the maximum allowed on the basis of the local seismogenic thickness (Section 3.2.1). The gentler dip of the western model fault gives it the greatest fault width and the largest rupture area. However, of the total geodetic moment of 5.8×10^{18} Nm, $\sim 40\%$ occurs on the central model fault and $\sim 30\%$ each on the western and eastern faults. Maximum slip of 1.1 m occurs on the central fault plane at 8–10 km depth; peak slip on the eastern fault of 0.9 m occurs at a similar depth, but peak slip on the western fault of 0.9 m is much shallower at ~ 1.5 – 3.0 km. All three model faults exhibit a clear shallow slip deficit. This is most pronounced along the central and eastern faults, and somewhat less so along the west-

Table 2. Parameters of our preferred three fault InSAR-GNSS distributed slip model. Easting and Northing refer to the center coordinates of the model fault surface projection. The peak slip depth refers to the central depth of the peak slip sub-fault patch. The full slip distribution is tabulated in Supplementary Table S2.

Model fault	Easting (km)	Northing (km)	Strike (°)	Dip (°)	Rake (°)	Length (km)	Width (km)	Bottom depth (km)	Moment ($\times 10^{18}$ Nm)	Peak slip (m)	Peak slip depth (km)
Western	411.428	4224.957	61.5	48.4	-47.5	12.0	16.0	12.0	1.9	0.9	2.2
Central	423.000	4226.000	80.8	81.6	0.0	10.0	12.0	11.9	2.4	1.1	8.9
Eastern	433.491	4229.243	79.4	75.8	-4.4	12.0	12.0	11.6	1.5	0.9	8.7

ern fault, where up to 0.2 m of model slip reaches the shallowest sub-faults. We discuss these points further in Section 5.4.

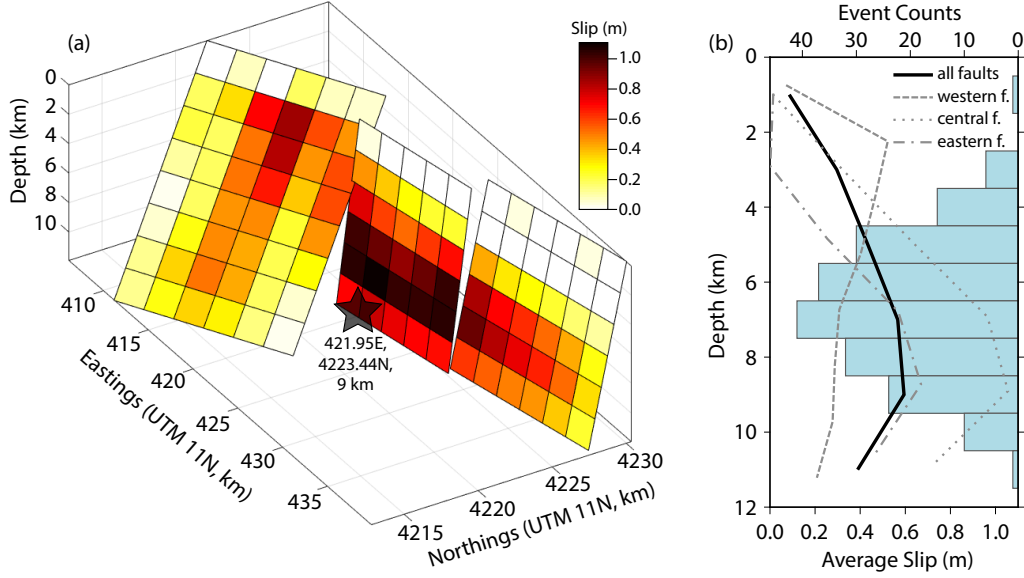


Figure 3. (a) 3D view of our preferred InSAR-GNSS distributed slip model. Each sub-fault patch is 2 km \times 2 km and the star shows our relocated mainshock hypocenter. Full parameters of each sub-fault patch are tabulated in Supplementary data file C1. (b) Model slip and aftershock depth profiles. Dashed and dotted gray lines show the average slip for each of the three model faults, plotted against the central depth of each row of sub-faults. The thick black line shows the weighted average for all three model faults, calculated by averaging all sub-fault patches within 2 km depth increments and plotting against the central depth of the bin range. The histogram shows the number of calibrated relocated earthquakes at each 1 km increment in focal depth.

Our seismological analyses reveal additional characteristics of the mainshock rupture. The relocated, calibrated mainshock hypocenter is located deep (9 km) on the central model fault plane, close to the peak model slip (Figure 3a), indicating that the earthquake ruptured bilaterally and mostly up dip. The W-phase moment tensor is predominantly strike-slip but exhibits a significant non-double couple component, and is broadly consistent with the orientation and kinematics of our InSAR fault model (Figure 4a). The W-phase centroid depth of 11 km is a little below the peak slip depth in our InSAR model of 8–10 km (Supplementary Figure A2, Table C3), and the W-phase moment of 6.8×10^{18} Nm is a little larger than the geodetic moment.

4.2 Mainshock Surface Ruptures

Field observations include faults with discernible slip and measurable offset, and smaller cracks without clear kinematic indicators (Dee et al., 2021; Koehler et al., 2021). These features have a variety of orientations and are broadly distributed without consistent alignment along a single through-going fault (Figure 5a, 6). In the central and eastern part of the rupture area, field observations of surface deformation are sparse, and mostly located off the main faulting as revealed by InSAR. This is consistent with our InSAR modeling, which shows a pronounced shallow slip deficit in this area. The longest alignment of surface ruptures is immediately south of and conjugate to the central model

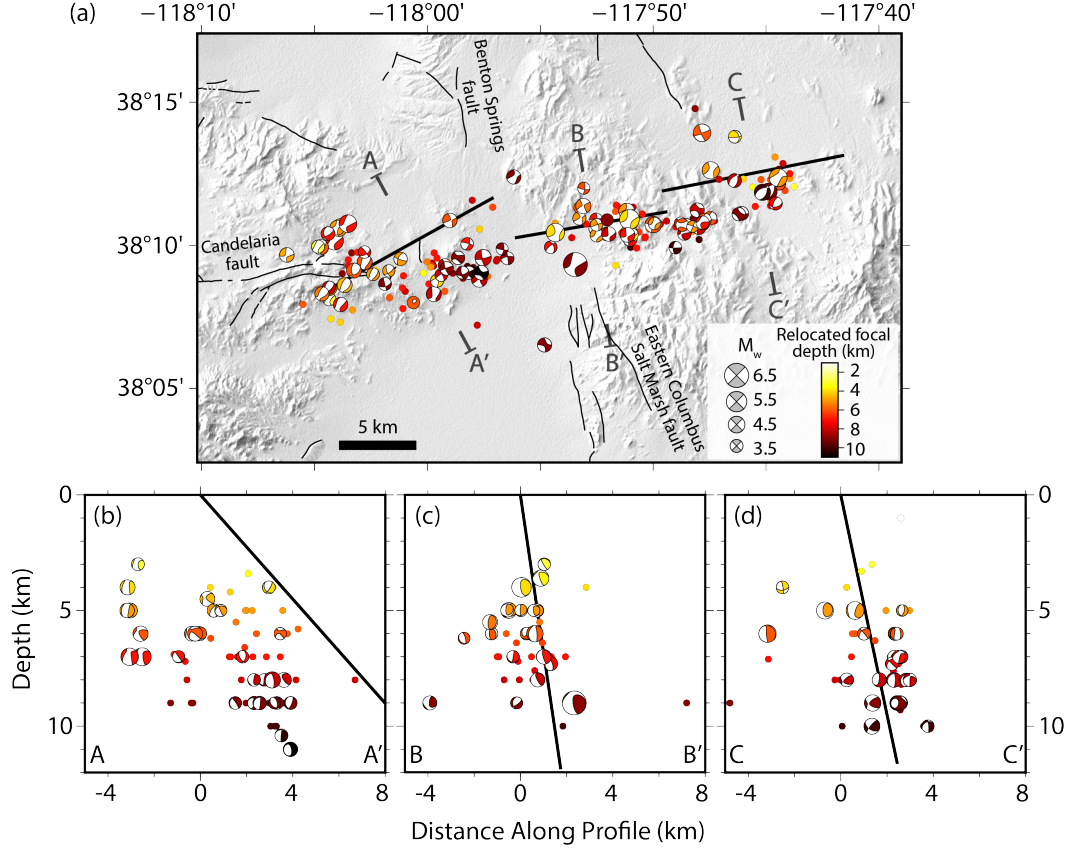


Figure 4. (a) Multi-directional hillshade map showing the relocated hypocenters and their focal mechanisms where available, plotted and colored by calibrated focal depth. Focal mechanisms are lower hemisphere projections scaled by moment magnitude. Smaller circles are events that are too small for waveform modeling ($M_w < 3.5$) plotted without any magnitude scaling. The thick black lines indicate the surface projection of the three model faults, and thin black lines are the US Quaternary faults (see Figure 1 for details). The black T-shape lines denote the cross-section transects. (b–d) Cross-sectional views of the relocated hypocenters and focal mechanisms, plotted and colored by calibrated focal depth. Earthquakes are included where they lie within 5 km (c) or 6 km (b, d) of the cross-section lines on (a). Focal mechanisms are back-hemisphere projections scaled by moment magnitude and, similar to (a), smaller circles are the remaining relocated hypocenters without mechanisms. Thick black lines are cross sections of the (b) western, (c) central and (d) eastern model faults.

fault (Figure 6b,c), and involves up to ~ 10 cm of right-lateral motion over a distance of ~ 2 km (Dee et al., 2021; Koehler et al., 2021).

Most of the observed surface faults and cracks lie within the western part of the mainshock fault zone and are the main focus of this section. There are two main alignments of fractures, both trending approximately northeast (Figure 5b,c). The first, narrower alignment approximates the trace of the western model fault over a distance of ~ 10 km, and accommodates up to ~ 10 cm of left-lateral and ~ 7 cm of vertical motion. These motions are roughly consistent with our InSAR analysis, which supports up to ~ 20 cm of surficial, oblique (normal-sinistral) slip along the SE-dipping, western model fault. However, the vertical slip observed in the field exhibits a mix of down-to-the-southeast (DTSE) and down-to-the-northwest (DTNW) throw (Figure 6a), indicating that the shallow faulting is more complex than our InSAR model can resolve.

The second main alignment of fractures lies ~ 1 – 3 km to the northwest of and sub-parallel to the first alignment, and contains the largest slip observed anywhere in the field (Figure 5b,c). This comprises several discrete arrays of faults and cracks, distributed even more diffusely than in other areas, with thousands of individual fractures across a ~ 6 km by ~ 1 km zone. Though the overall trend is northeastwards, individual fracture sets exhibit a wide variety of orientations. Where fault slip can be resolved, the kinematics are predominantly left-lateral (with offsets of up to ~ 20 cm) and vertical, DTNW (with throw of up to ~ 10 cm). Though this fracture zone does not align with our InSAR model faults, it is consistent with a minor discontinuity visible in the unwrapped interferograms (Figure 7a). The sense of the LOS displacement discontinuity in the ascending interferogram supports that the left-lateral motion dominates over the vertical component across this second fracture alignment.

Many of the fractures also exhibit a clearing of loose pebbles or gravel from only one side of each crack (Figure 6). This phenomenon is particularly evident where fractures break desert pavement surfaces, and is generally absent from sandy surfaces. The width of the cleared zone is typically a few centimeters, large enough that the cleared side could be mapped from fine-resolution UAS imagery (Dee et al., 2021; Koehler et al., 2021). The side that is cleared is consistent within each fracture set but can vary between sets. For example, fractures along the second, northwestern alignment are predominantly cleared of pebbles to the southeast (upthrown) side (indicated by the tip of white triangles in Figure 7a). Only a few are bilaterally cleared. We interpret that the predominance of unilateral clearing may represent significantly higher ground acceleration on one side of each fracture during the rupture process, perhaps related to local rupture dynamics. We further discuss the importance of these fractures in Section 5.1.

4.3 Aftershocks Distribution and Mechanisms

The relocated aftershock hypocenters are scattered along a ~ 35 km-long, \sim ENE trend, and span a focal depth range of 1–11 km with a concentration at ~ 4 – 9 km (Figure 4). Individual hypocenters have typical, formal uncertainties of ~ 0.4 – 0.6 km in epicentral coordinates (the average lengths of the short and long semi-axes of the 90% confidence ellipse) and estimated uncertainties of ± 1 – 4 km in focal depth. RMT centroid depths are generally in close agreement (Supplementary Figure A2), but extend to slightly deeper depths of 15 km. We conservatively estimated centroid depth uncertainties to be ~ 5 km, so we do not view the differences with focal depths to be significant. However, we consider the focal depths most reliable on the basis of their smaller depth uncertainties and overall narrower depth range.

The relocated aftershocks approximate the trend of the InSAR model faults, although on close inspection they are concentrated mostly to the south of the fault surface projections. This is especially evident for the western model fault, where aftershocks reach as far as ~ 4 – 5 km southeast of its surface trace. The overall aftershock distribu-

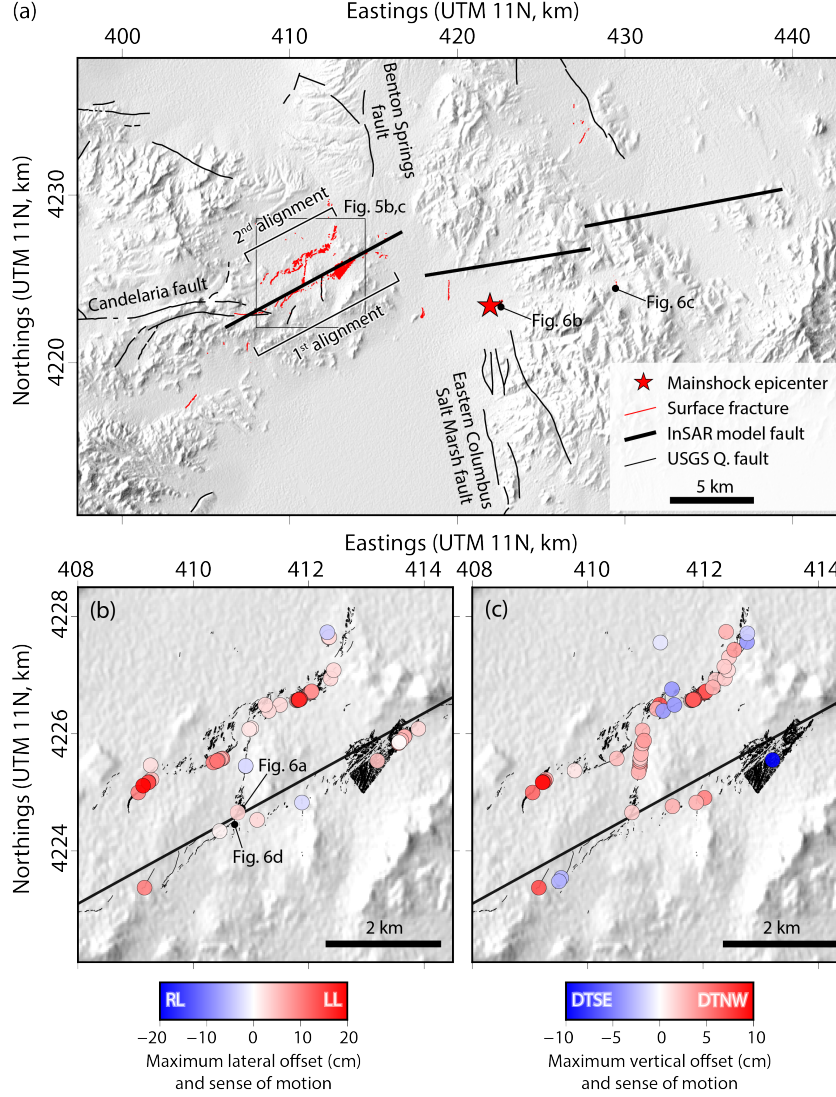


Figure 5. (a) Multi-directional hillshade map showing our calibrated relocated MCRE mainshock epicenter (red star), MCRE surface faulting and cracks mapped in the field (Dee et al., 2021; Koehler et al., 2021) (thin red lines), surface projections of our InSAR-GNSS model faults (thick black lines), and regional active faults as in previous figures (thin black lines). The inset box indicates the extent of panels (b) and (c). (b) Lateral and (c) vertical fault offsets measured in the field. Where several measurements were collected from the same locality, we take the maximum. The red- and blue-shaded circles represent the sense of motion, with the color gradient reflecting the amount of fault offset in centimeters. Positive values are assigned to left-lateral offsets in (b) and down-to-the-NW (DTNW) offsets in (c). Thin black lines are the near-field fractures, and the thick black line is the surface projection of the western model fault.

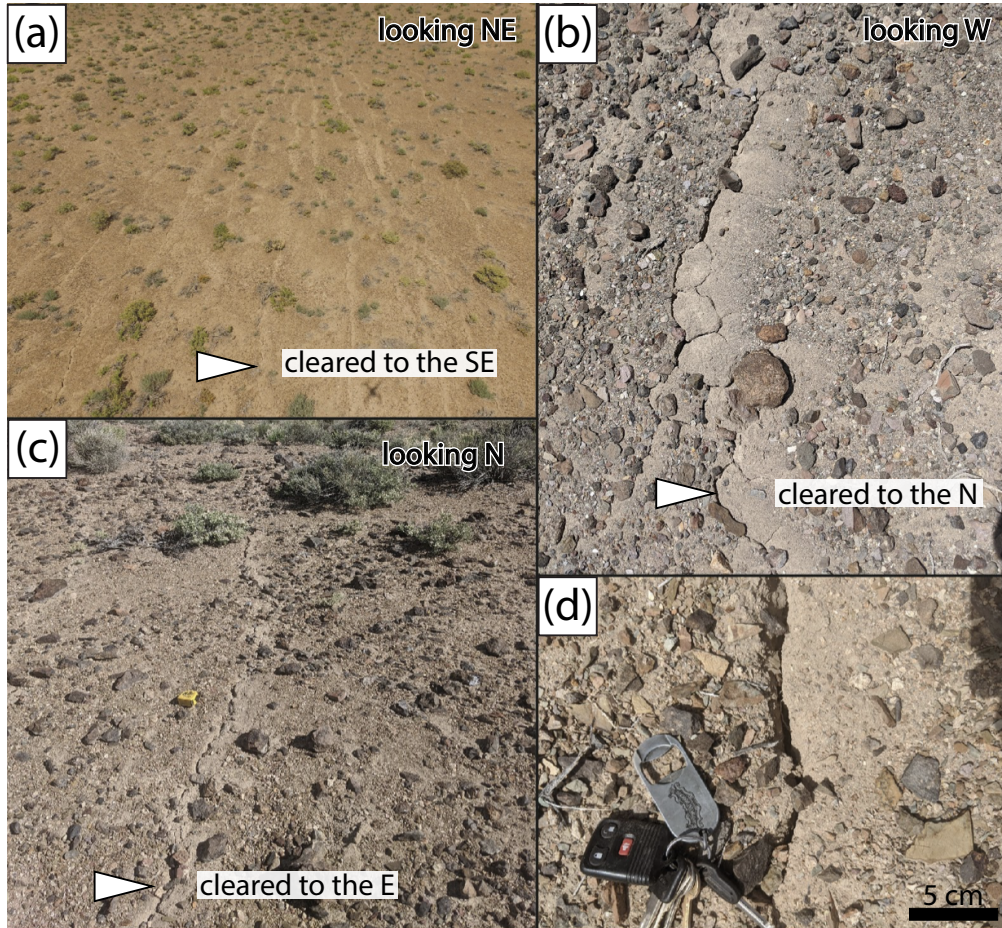


Figure 6. Photos of cleared crack phenomena from locations indicated in Figure 5a–b. (a) Oblique UAS view looking northeast of a crack field with clearings on the right (southeast) side of each crack. (b) Photo looking west, with a crack cleared to the north. (c) Photo looking north, with a crack cleared to the east (tape measure for scale). (d) Close-up of crack showing clearing of loose materials on one side of the crack. Tips of the white triangles point to the direction of cleared pebbles, similar to the symbology used in Figure 7. Geographic coordinates of a–d are as follows: (38.1657, −118.0184), (38.1562, −117.8840), (38.1658, −117.8060), (38.1641, −118.0190).

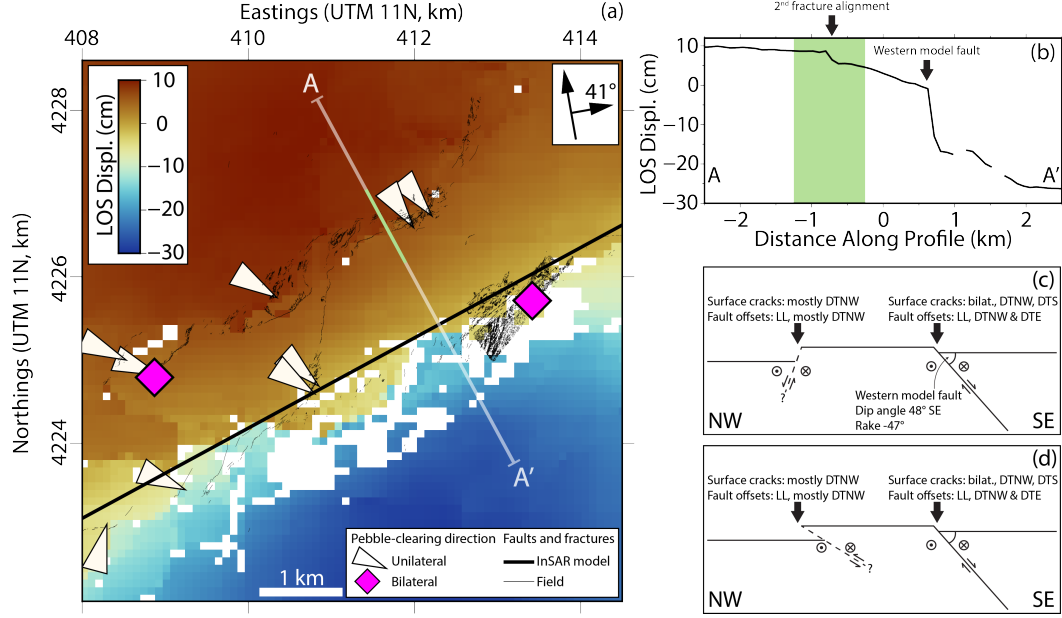


Figure 7. (a) Unwrapped ascending interferogram of the western MCRE rupture area showing the LOS displacement jump along the surface projection of the western model fault (thick black line). Thin black lines indicate fault offsets and cracks mapped in the field (Dee et al., 2021; Koehler et al., 2021). The white triangles and magenta diamonds represent the location of fracture sets that exhibit unilateral and bilateral pebble clearings, respectively. Triangle tips point to the cleared pebble side or upthrown direction of the fracture. (b) Transect of unwrapped LOS displacements along A–A' in panel (a). The shaded area illustrates a secondary LOS displacement discontinuity which co-locates with the second fracture alignment. (c,d) Two, competing, interpreted cross-sections of the fault structure and kinematics in the western MCRE, derived from combining near- and far-field observations. The SE-dipping solid black line is the InSAR western model fault. Dashed black lines are the interpreted (c) NW-dipping normal-sinistral and (d) SE-dipping reverse-sinistral oblique faults beneath the second fracture sets with DTNW sense of throw. Abbreviations: bilat—bilateral; DTE—down-to-the-east; DTNW—down-to-the-northwest; DTS—down-to-the-south; LL—left-lateral.

tion therefore supports the SE-dipping fault geometry inferred from InSAR modeling. However, both the map distribution of aftershocks (Figure 4a) and cross-sections (Figure 4b–d) also reveal numerous off-fault events up to several kilometers from the mainshock model faulting. One cluster of off-fault aftershocks is located at the western end of the western model fault, but no equivalent cluster of aftershocks at the eastern end of the mainshock rupture is present. Other clusters are distributed along \sim NW-SE trends, conjugate to the mainshock faulting.

The aftershock RMTs exhibit a mix of strike-slip and normal mechanisms, many of them with ENE-trending nodal planes (Figure 4a). In the central and eastern rupture area, most aftershock mechanisms are predominantly strike-slip with ENE-trending sinistral nodal planes, and therefore roughly consistent with our InSAR model. However, based on the presence of conjugate trends in relocated epicenters and field observations of scattered dextral offsets in this region, we interpret that some of these aftershocks involve NNW-striking right-lateral faults. We also observe many aftershocks with pronounced non-double couple components. Like the mainshock, these smaller aftershocks may have involved multiple faults of differing kinematics, summing to a non-double couple mechanism.

In the western part of the rupture area, there is a wider mix of aftershock mechanisms including pure normal, oblique-normal, and strike-slip faulting. The normal mechanisms are concentrated near the western end of the rupture, are relatively shallow, and mostly involve NE-trending nodal planes slightly oblique to the western model fault. The strike-slip mechanisms are concentrated near the eastern half of the western model fault, are relatively deep, and have ENE-trending, sinistral nodal planes. This hints that our western InSAR model fault is an oversimplification, with the real faulting at depth involving distinct strike-slip and normal faults that are in close proximity but slightly oblique to one another.

4.4 Comparisons with other Geodetic Slip Models and Seismological Observations

We now compare our mainshock slip model with four other previously published models: Cui et al. (2021) and S. Li et al. (2021) who like us inverted InSAR and GNSS displacements to solve for fault geometry and slip distribution, and Zheng et al. (2020) and Liu et al. (2021) who also incorporated a range of seismological data to solve for the kinematic rupture process. While we also acknowledge the GNSS-derived uniform slip model of Hammond et al. (2021), this lacks the spatial resolution of the InSAR-based models and is excluded from our comparison.

Though the source geometries vary in detail between the five studies, all models including ours exhibit comparable kinematics: almost pure sinistral slip on steep SSE-dipping faulting in the east and oblique normal-sinistral slip on a more gently SE-dipping structure in the west. In the east, ours is the only model that subdivides the sinistral fault into two discrete sections, to account for any potential changes in fault geometry associated with a bend in the observed InSAR fringes (Figure 2, left column). Though our model reduces the misfit in this area, we acknowledge that there is little change in fault geometry or kinematics across this model subdivision, and so we are hesitant to characterize it as real fault segmentation. Our dip values of $76\text{--}82^\circ$ for these model faults are consistent with three of the other studies ($78\text{--}83^\circ$) but steeper than the dip obtained by Cui et al. (2021) of 65° .

In the west, our single oblique western model fault is similar to that of Zheng et al. (2020), Cui et al. (2021), and S. Li et al. (2021), and only Liu et al. (2021) subdivide this section into two sub-faults, one dipping steeply below ~ 5 km and one dipping gently above. Our model fault dip of 48° is intermediate between the other models ($40\text{--}64^\circ$). However, as described in Section 4.3, our aftershock relocations and mechanisms sug-

gest that all of these mainshock models are oversimplified. The aftershock data support a NE-striking normal fault and an ENE-striking left-lateral fault in this area, with our western model fault effectively averaging the two in location, strike, and rake. This highlights the limitations in spatial resolution of geodetic slip modeling and the importance of incorporating complementary aftershock data sets to illuminate the mainshock rupture in greater detail. Similarly, none of the InSAR models (including our own) capture the ~ 6 km-long fracture system that we have observed 1–3 km northwest of our western model fault. Again, this goes to show an important limitation in the spatial resolution of InSAR slip models.

Where our model differs most significantly from the other three published models is in the depth extents of the coseismic slip. Whereas the bottom depth of our slip model is limited to 12 km on the basis of calibrated focal depths, the other models have no such constraint and include slip to depths of ~ 15 –20 km (Zheng et al., 2020; Cui et al., 2021; S. Li et al., 2021; Liu et al., 2021) (Figure 8), even though slip below ~ 10 km is in fact poorly resolved by available geodetic data, as acknowledged by Liu et al. (2021) in their checkerboard resolution test. The bottom depth of these models is several kilometers below the deepest calibrated aftershock at $11 \text{ km} \pm 1\text{--}4 \text{ km}$ (Section 4.3), which we take to indicate the local seismogenic thickness. Our shallower bottom depth likely explains why our model moment of $5.8 \times 10^{18} \text{ Nm}$ is 15–25% smaller than that of Zheng et al. (2020) and Liu et al. (2021). This provides another example of how carefully calibrated aftershock data are useful in constraining mainshock properties.

There are also some more subtle differences in the slip distribution and peak slip depth between the four models. All five models show slip concentrated in two areas; a shallow (< 5 km) slip asperity on the western, oblique fault, and a deeper (> 5 km) asperity on the main sinistral fault in the east. Zheng et al. (2020), Cui et al. (2021), and S. Li et al. (2021) place peak slip on the western fault at ~ 3 –5 km depth, whereas we and Liu et al. (2021) place peak slip on the eastern fault at ~ 6 –10 km depth (Figure 3b). Our peak slip of 1.1 m lies within the range of 0.6–1.7 m of the other four models. All five models display a pronounced shallow slip deficit (Figure 8), but whereas we have up to ~ 0.2 m of surface slip on the western fault (in agreement with field observations), Liu et al. (2021) only have surface slip in the east where our model has almost none. Cui et al. (2021) have up to 0.4 m of shallow surface slip on both their model faults; Zheng et al. (2020) and S. Li et al. (2021) have none on either fault. We return to the shallow slip deficit in Section 5.4.

Lastly, we compare our seismological results with those from Ruhl et al. (2021) which also incorporated eight temporary seismic stations deployed soon after the mainshock (Bormann et al., 2021). They located and then relocated (with waveform-based double-differencing) $\sim 18,000$ events from January 1 to August 31, 2020, and used regional waveform modeling to estimate 128 moment tensors including for the mainshock. For the mainshock, their double-difference based depth is 3.7 km but their waveform model is 8.0 km, consistent with our InSAR peak slip at 8–10 km and within error of our own W-phase centroid depth of 11 km. Their best double-couple approximation of the fault plane shares the same strike and agrees to within 7° in dip and rake with ours. However, their mechanism has a higher double-couple percentage ($> 95\%$ versus $\sim 68\%$). Their relocated aftershocks are distributed almost exclusively above 12 km, in close agreement with our arrival time-based calibrated focal depth range (up to 11 km) and a little shallower than our RMT centroid depth range (up to 15 km). Their aftershock moment tensors include a wide variety of strike-slip and normal mechanisms, similar to ours. Their aftershock results are not tabulated so we cannot compare event-to-event locations or moment tensors.

In cross-sectional view, the denser, double-difference relocated aftershock clouds of Ruhl et al. (2021) exhibit clear alignments that are not apparent in our own sparser data. These include structures that appear to align with our InSAR model faults. For

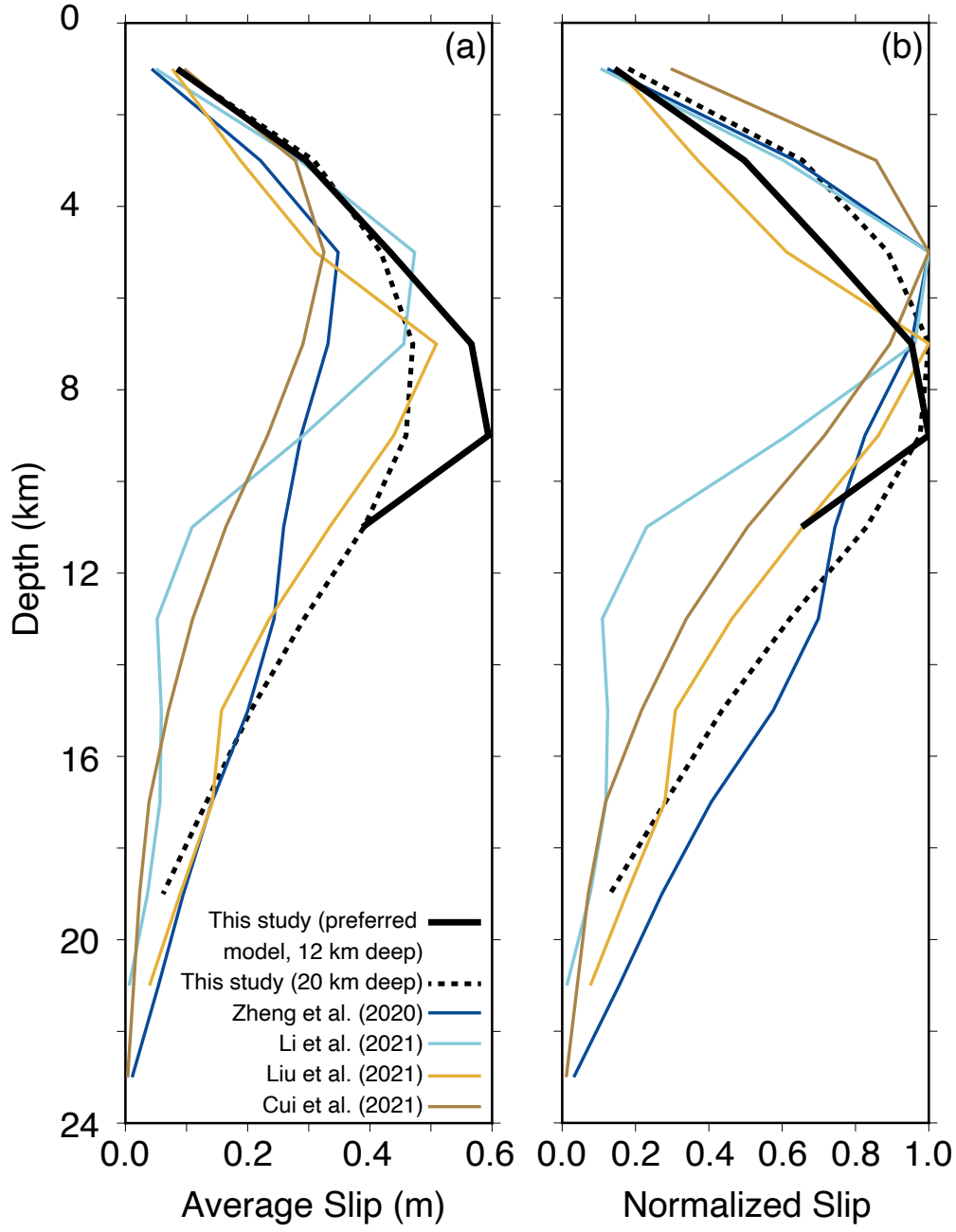


Figure 8. Comparison of MCRE geodetic model slip profiles from our paper and other studies. (a) Average and (b) normalized slip in 2 km depth increments, demonstrating the pronounced shallow slip deficit. See Section 5.4 for details of the slip profile calculations and further discussion.

example, a transect across the central rupture zone reveals distinct shallow, $\sim 60^\circ$ -dipping and deep, sub-vertical structures (Figure 5e in Ruhl et al., 2021), consistent with the geometry of mainshock slip at the intersection of our western and central InSAR model faults (Figure 3a).

5 Discussion

5.1 Kinematics of the Mina Deflection

The mix of sinistral and sinistral-normal faulting in the MCRE and its aftershock sequence is consistent with the kinematics of the surrounding active faults in the Mina deflection, in particular the well-studied, neighboring Candelaria fault which exhibits both vertical scarps and sinistral geomorphic offsets (Wesnousky, 2005) (Figures 4, 5). The off-fault aftershock cluster at the western end of our model fault might be associated with energy radiated at the western rupture termination, reactivating the Candelaria and other local faults. We interpret that NW-striking dextral faults are also involved in the MCRE sequence. Focal mechanisms conjugate to the central and eastern model faults project along strike with the major right-lateral Benton Springs and Petrified Springs faults, which help accommodate dextral shear transferred through the Mina deflection (Figure 1) (Wesnousky, 2005; DeLano et al., 2019). The overall kinematics, however, are consistent with the block rotation model for dextral slip transfer (Wesnousky, 2005), while also supporting a component of transtension on some of the faults (e.g., Oldow, 2003). The E-striking, sub-vertical, sinistral mainshock faults in the east of the rupture zone presumably rotate clockwise about vertical axes in order to accommodate regional dextral shear, while the NE-trending western fault involves transtension. Normal faulting aftershock focal mechanisms located at the ends of the mainshock faulting also support the paired basins produced as a result of block rotation (Wesnousky, 2005). In the long-term, these block rotations may divert fault orientations away from those favorable to slip, promoting the formation of new faults and preventing older ones from becoming structurally mature. We discuss this point further in Section 5.3.

Our combination of far- and near-field surface deformation observations reveals the kinematics of the western MCRE faulting in particular detail. Our western InSAR model fault exhibits normal-sinistral oblique-slip, dips to the SE, and the unwrapped data interferogram shows a clear LOS displacement jump of 12 ± 4 cm (Figure 7a,b). Approximately 1 km to the northwest and sub-parallel to the model fault, the InSAR signal displays a more subtle change in LOS displacement of 2 ± 1 cm across a 100–150 m distance. Along the same trend, our field measurements show distributed faults and surface cracks with pebble-clearing features (Figure 6). The fault offsets are dominantly left-lateral—consistent with the sense of LOS change in the raw interferograms—and DTNW (Figure 5b,c). The preferential clearing of pebbles to the southeast also implies that the southeast side is up and northwest side is down (Figure 7a, white triangles). This is opposite to that of the main fault, suggesting an upthrown block in between two, sub-parallel faults.

We propose two, competing structural models to explain these observations. In the first model, the secondary fault structure controlling the fracture alignment dips to the NW and away from the main fault, accommodates normal-sinistral slip, and forms a horst structure (Figure 7c). However, the absence of normal-faulting aftershocks to the northwest and along strike of the putative NW-dipping oblique fault implies that this may only be a very shallow structure (Figure 4a). In the second model, the secondary fault structure dips to the SE, sub-parallel to the main fault, and slips in a reverse-sinistral sense (Figure 7d). Contrastingly, although the distributed aftershocks in the footwall block of the western model fault may imply a SE-dipping structure, we do not observe any reverse focal mechanisms (Figure 4b).

5.2 Implications for Earthquake and Seismic Hazard Characterization in Regions of Highly-distributed Faulting

Along the secondary structure, our InSAR, field, and seismological observations are only in agreement to a certain degree. The discrepancies reflect each method's strengths and resolution in light of the geological complexity of the area. The poor depth resolvability of the shallowest aftershocks ($\sim <4$ km) illustrate the limitations of using regional seismograms to characterize the shallowest structures, but aftershock relocations and mechanisms offer unique constraints on fault complexity within the deeper seismogenic layer. The shallower structures are likely best represented by field observations, while InSAR—despite its high coherence due to the ideal desert conditions of this region—may better capture the mainshock rupture at larger length- and depth-scales.

Our study therefore highlights the care needed for earthquake characterization and seismic hazard assessment in regions of highly-distributed faulting. The integration of geodesy, seismology, and field geology, perhaps along with other methods, is required to resolve the complexity of a large earthquake sequence along and across strike and down dip. A single method, on its own, could easily lead to misinterpretation. For seismic hazard assessment, simple fault length-magnitude scaling relations would not have anticipated an earthquake of the magnitude of the MCRE, and the characteristic earthquake model is probably inapplicable in a region of such diffuse faulting. Furthermore, paleoseismic trenching would likely be required across a very large number faults for the full history of major earthquakes in this region to be captured, but could still miss large events that did not rupture fully to the surface.

5.3 Evidence of Structural Immaturity in the MCRE

The MCRE exhibits several characteristics that may reflect the structural immaturity of the fault system. Firstly, the mainshock geometry is rather complex for an earthquake of this magnitude, with two distinct kinematic styles: sub-vertical left-lateral faulting in the east and inclined, normal-sinistral faulting in the west. The short segmentation length scale of ~ 10 km presumably reflects the shallow thickness of the seismogenic zone as revealed by our aftershock depths (Klinger, 2010). The fracture systems located away from the main fault trace reflect non-localized deformation commonly observed in immature fault zones (e.g., Zinke et al., 2015). The off-fault distribution of aftershocks, their non-double couple focal mechanisms, and their wide variety of kinematics and orientations (Figure 4) are further indications of a lack of a dominant through-going structure in the area. In addition, Liu et al. (2021) reported an average mainshock rupture velocity of ~ 1.5 km/s, a relatively slow speed that is in agreement with other earthquakes along immature faults (e.g., Perrin et al., 2016; Chounet et al., 2018).

Finally, there is a limited expression of clear, neotectonic landforms along the mainshock fault trace such as scarps, channel offsets, or shutter ridges (Figure 9). As revealed by InSAR, the main fault trace of the MCRE trends approximately along strike from the Candelaria fault (Figure 1), which itself exhibits net, sinistral-normal slip of ~ 900 m since ~ 3 Ma (Wesnousky, 2005). We attempted to quantify the cumulative offset along the MCRE rupture using Sentinel-2B multi-spectral imagery and digital topography (Figure 9). Despite a rich and varied surface geology, we found only two potential long-term slip indicators: an outcrop comprising sedimentary rocks of the Candelaria (Triassic) and Palmetto (Ordovician) formations (Figure 9g,h) (Ferguson et al., 1954) and a ridge of Pliocene andesite (Figure 9i,j) (Ferguson et al., 1953), both showing apparent sinistral offsets of ~ 600 – 700 m and ~ 200 m, respectively. However, we are not confident that these are true markers of net slip. Elsewhere, the limited development of discernible long-term geomorphic faulting along the remaining ~ 30 km-long rupture indicates that the causative fault has not yet accumulated offset that is resolvable from satellite imagery. In addition, where known, the E-trending sinistral faults in the Mina deflection have slow slip

rates of $\sim 0.3\text{--}0.4$ mm/yr (Speed & Cogbill, 1979; Lee et al., 2006), and the rates of the unmapped faults of the MCRE may be slower still—another mark of structural immaturity. The weak manifestation of geomorphic features likely reflects that crustal block rotations produce diffuse, highly segmented faulting rather than a long, through-going fault. With all of these characteristics, we interpret that the MCRE provides an example of rupture along a fault of pronounced structural immaturity.

5.4 Shallow Slip Deficit in the MCRE

Averaged over several earthquake cycles, the offset accommodated across a fault zone should be constant with depth. However, geodetic slip inversions of large earthquakes commonly exhibit peak model slip at depths of $\sim 3\text{--}6$ km with a reduction closer to the surface, termed the shallow slip deficit (e.g., Simons et al., 2002; Fialko et al., 2005). The effect is often illustrated with a normalized slip profile (a plot of average slip against depth normalized against peak average slip) and parameterized as one minus the normalized slip of the surficial row of model slip patches (usually expressed as a percentage). While absolute values depend in part upon whether or not near-field geodetic data are incorporated (Vallage et al., 2015; Xu et al., 2016; Marchandon et al., 2018; C. Scott et al., 2019), upon the assumed elastic structure (Amoruso et al., 2004; Hearn & Bürgmann, 2005; Barbot et al., 2008; Marchandon et al., 2021), and upon other choices made in the modeling (Huang et al., 2017; Ragon et al., 2018; Y. Li et al., 2020), the general inference of shallow slip deficit in many large earthquakes is considered robust. Moreover, SSDs are also manifest in field measurements of surface slip along the primary fault trace (Dolan & Haravitch, 2014).

Several possible mechanisms causing SSD have been invoked, each with important implications for fault mechanics and earthquake physics. A switch to velocity-strengthening fault friction at shallow depths would naturally favour aseismic over seismic slip (C. J. Marone et al., 1991; C. Marone, 1998). Damaged zones above a strike-slip fault may have a locally reduced seismic velocity, promoting inelastic off-fault deformation (Zhang et al., 2009). Regions surrounding the shallow fault might undergo distributed deformation predominantly during the interseismic period, thereby accumulating little elastic strain (Fialko et al., 2005; Lindsey et al., 2014). Coseismic rupture might dissipate in the near surface through plastic yielding, particularly when near-surface materials are poorly-consolidated (Kaneko & Fialko, 2011; Brooks et al., 2017; Roten et al., 2017). Alternatively, SSDs might simply reflect random differences in the depth extents of individual ruptures within the seismogenic zone (Berberian et al., 2001; H. Yang & Yao, 2021; Yao & Yang, 2022). This would help explain why the largest events ($M_w > 7.5$), which are those most likely to fill the entire seismogenic layer and drive slip in any velocity strengthening region, generally have reduced or absent SSDs (Tong et al., 2010; Lauer et al., 2020). Finally, SSDs might arise due to assumptions made in geodetic slip models, such as simplification of the Earth’s elastic structure (Xu et al., 2016), or due to near-fault image decorrelation leading to insufficient surface displacement data points to solve for shallow slip (Fialko et al., 2005).

Understanding what causes SSDs and how they might be compensated is vital for seismic hazard assessment, for a number of reasons. Firstly, active fault mapping, slip rate estimations, and paleoseismic trenching all rely upon surface offsets. As such, a pronounced SSD decreases confidence in the link between surficial geological measurements and earthquake faulting at depth (Dolan & Haravitch, 2014; Brooks et al., 2017). Secondly, the suppression of near surface slip can affect strong ground motions near the fault trace (Kaneko et al., 2008; Pitarka et al., 2009). Thirdly, there is the possibility that the slip deficit is removed by subsequent earthquakes centered at shallower depths (Berberian et al., 2001; Jackson et al., 2006; Elliott et al., 2011; Mackenzie et al., 2016). Alternatively, shortfalls in coseismic surface slip could be compensated through distributed coseismic deformation away from the main fault trace (Rockwell et al., 2002; Simons et al.,

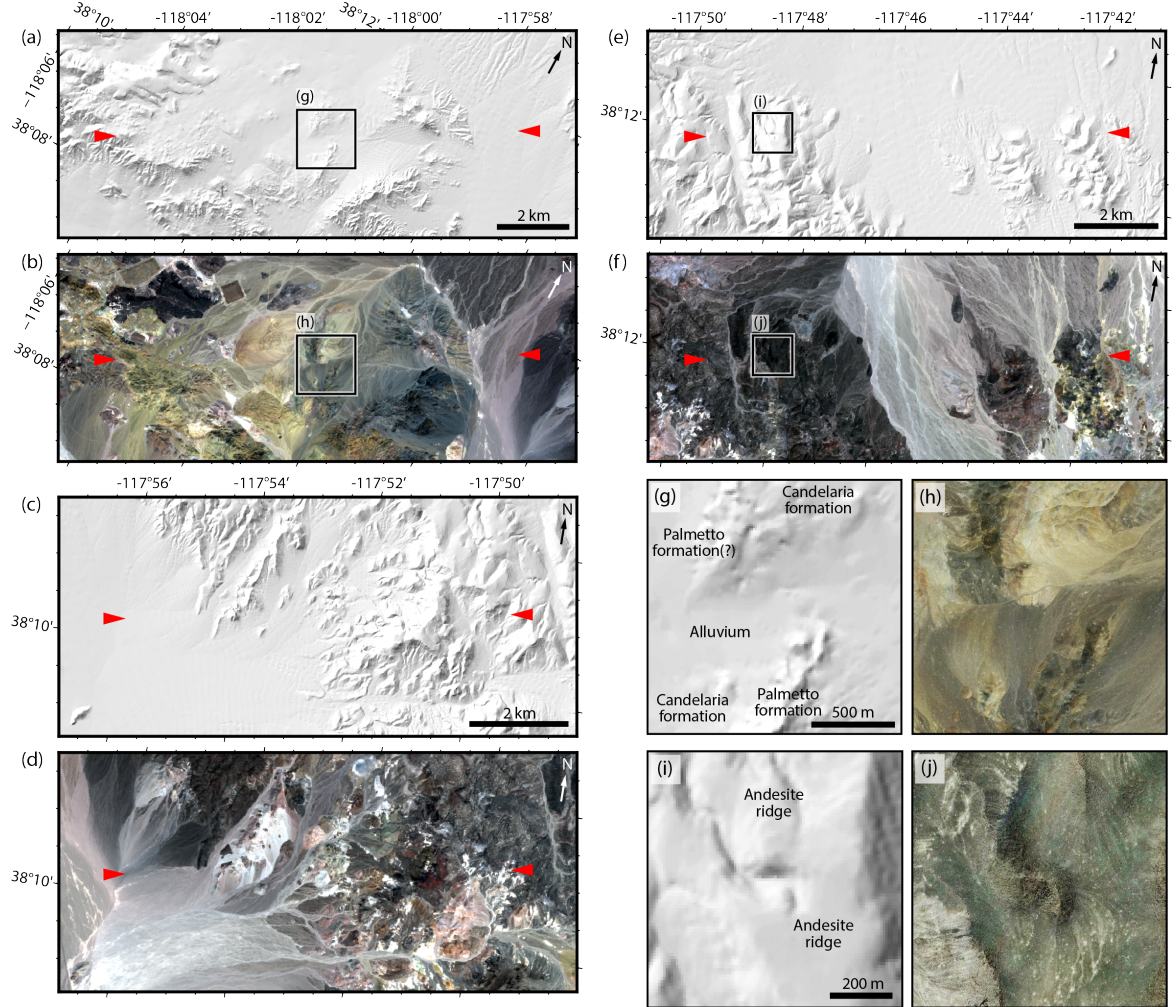


Figure 9. Paired multi-directional hillshaded topography and false color imagery maps along the (a, b) western, (c, d) central, and (e, f) eastern model faults, respectively. The hillshaded topography is the 1/3 arc-second (~ 10 m) resolution digital elevation model from the USGS National Map 3D Elevation Program (Ferguson et al., 2020). The multi-spectral imagery is from the Sentinel-2B satellite acquired on 3 May 2022, with bands 12-11-2 enhanced using a standard deviation color stretch. Red triangles delineate the model fault endpoints. Boxes denote boundaries of the inset panels g–j showing potential offset ridges used to estimate the cumulative fault offset along the surface projection of the (g, h) western and (i, j) eastern model faults. The satellite photo in the inset figures (h, j) is 2016 Google Earth imagery with our own contrast enhancement.

2002; Dolan & Haravitch, 2014; Zinke et al., 2014; Milliner et al., 2015; C. P. Scott et al., 2018), as postseismic afterslip (Fielding et al., 2009), or as interseismic creep (Fialko et al., 2005; Floyd et al., 2016; Brooks et al., 2017).

We now consider shallow slip deficit in the MCRE in this context, using our own and other published InSAR models (Zheng et al., 2020; Cui et al., 2021; S. Li et al., 2021; Liu et al., 2021) and derived normalized slip profiles calculated using a common 2 km depth increment. Our own model has average surface slip of 0.09 m compared to peak values of 0.59 m at 8–10 km depth (Figure 8a), resulting in an SSD of 86% (Figure 8b). SSDs of the other published models calculated in the same way span between 82–89% except for a lower value of 70% obtained for Cui et al. (2021)’s model. This general agreement implies that the large SSD inferred for the MCRE is robust.

The biggest difference between our normalized slip profiles and those of the other models is our shallower bottom depth, fixed to 12 km on the basis of relocated seismicity. We were therefore interested in the extent to which this could influence the calculated SSD. We test this effect by producing an alternative InSAR-GNSS model in which the fault planes are extended to ~ 20 km depth, but with an otherwise identical setup to our preferred model. The 20 km-deep model yields 0.09 m of shallow slip, consistent with our preferred 12 km-deep model, and 0.47 m of peak slip at 6–8 km depth, giving an 82% SSD (Figure 8). The SSDs of our two models are therefore very similar, and extending the bottom depth does not significantly vary the SSD. The main effect of extending the bottom depth is to re-distribute the deeper part of the model slip, resulting in a $\sim 20\%$ smaller value of peak slip but a $\sim 20\%$ larger overall moment. This cautions against over-interpreting values of moment obtained from InSAR coseismic slip models, when the bottom depth is not carefully calibrated against seismicity.

The deficit of shallow slip in the MCRE may be compensated through several mechanisms. In the western MCRE rupture zone, fault offsets along the second fracture alignment have maximum offset of ~ 20 cm (Dee et al., 2021; Koehler et al., 2021) (Figure 5) and might therefore account for up to around one quarter of the missing shallow deformation. Further east, conjugate surface fractures with up to ~ 10 cm of slip could account for an even smaller proportion of the shallow slip deficit. Given the broad deformation field, we expect that there could be additional unmapped subtle off-fault fractures and unmappable features such as folding or volumetric strain which could account for more of the absent shallow deformation. In addition, some amount of the shallow slip deficit may have been compensated by postseismic afterslip, of which only 1–2 days are captured in our interferograms. Hammond et al. (2021) compared coseismic and post-seismic surface displacements across the MAGNET GNSS Network within 70 km of the MCRE epicenter over a period of several months. They found that postseismic displacements mimicked coseismic displacement patterns at ~ 9 –51% of their values, but the long wavelength of this deformation suggests deep rather than shallow afterslip. S. Li et al. (2021) modeled a 6-month InSAR time series and showed that rapid afterslip also occurred at shallow depths of 0–3 km with a peak slip of ~ 0.3 m. This likely recovered around another one third of the missing slip, though later afterslip after the study period could potentially raise this contribution further. Any remaining shallow deformation is unlikely to be recovered by aftershocks or future earthquakes since they would need to be centered at unusually shallow depths. Our calibrated relocated aftershocks mostly occur at depths greater than 4 km, exhibit small magnitudes, and are located off the main fault model (Figure 4).

5.5 Does Structural Maturity Control Shallow Slip Deficit?

In the previous two sections, we have demonstrated that the MCRE occurred along a highly immature fault system, and that it involved a pronounced SSD. In this final section, we consider whether these two characteristics are linked by assessing SSDs calcu-

lated from InSAR slip models of other continental, strike-slip earthquakes. This extends the work of Dolan and Haravitch (2014), who associated SSD with structural maturity by comparing published subsurface model slip distributions with field offsets of six large (M_w 7.1–7.9) continental strike-slip earthquakes. They found that for earthquake ruptures that occur on structurally mature faults (cumulative displacement ≥ 85 km), ~ 85 –95% of slip at depth reaches the surface (equivalent to an SSD of 5–15%), whereas for ruptures on immature faults (cumulative displacement ≤ 25 km) only ~ 50 –60% does (SSD of 40–50%). This pattern holds regardless of their geometrical complexity; straight and continuous sections of immature faults still exhibit a pronounced SSD. Dolan and Haravitch (2014) interpret that for ruptures on immature faults, the higher SSD is due to more off-fault deformation at shallow depths, whereas ruptures on mature faults (exhibiting lower SSD) host more localized slip on a principle surface trace.

We investigate this further by comparing our normalized slip profile with those of twenty-seven other continental, strike-slip earthquakes modeled with InSAR (Figure 10a, Table 3). We only consider earthquakes larger than M_w 6.4 since smaller events are less likely to rupture the full seismogenic layer. The geodetic slip profiles are plotted according to the cumulative offset of the host fault (a common proxy for structural maturity) and separately by moment magnitude (Figure 10b,c). We further extract the SSD for each earthquake from the shallowest data point of the slip profile, and compare the value with cumulative offset of the host fault and with moment magnitude (Figures 10d,e). Firstly, our results suggest that SSD does not consistently correlate with cumulative offset (Figure 10d). For instance, the 2020 Elazığ earthquake ruptured the intermediate to mature East Anatolian fault (9–26 km net slip, Duman & Emre, 2013) but exhibits a modeled SSD of 85% (Pousse-Beltran et al., 2020), while the 2019 Ridgecrest earthquakes ruptured very immature faults (< 100 m, Gold et al., 2021) with only ~ 30 % SSD (Xu et al., 2020). However, the moment magnitude does seem to correlate with SSD, with an R^2 value of 0.55 (Figure 10e). Earthquakes of $M_w > \sim 7$ generally produce smaller SSDs, and $M_w < \sim 7$ events produce larger and more variable SSDs. In this context, the 86% SSD of the MCRE is more a function of its magnitude than its structural immaturity. This pattern can be explained in terms of the earthquake slip budget—moderate sized earthquakes will break to the surface most easily when the hypocenter is shallow but will otherwise leave large SSDs, whereas large earthquakes will rupture more fully to the surface whatever the nucleation depth (Lauer et al., 2020; H. Yang & Yao, 2021; Yao & Yang, 2022).

6 Conclusions

Our InSAR, seismological, and field observations and modeling suggest that the MCRE exhibits complex faulting, with dominant normal-sinistral slip in the west, pure left-lateral motion in the east, and abundant off-fault deformation. Peak geodetic model slip of 1.1 m is buried at 8–10 km depth, and only up to 0.2 m of slip reaches the top 2 km of the crust (yielding a shallow slip deficit of 86%), consistent with at most ~ 20 cm of fault offset mapped in the field. The combination of far-field InSAR data and near-field surface fractures and pebble-clearing directions suggests two sub-parallel structures controlling the western MCRE faulting. The mainshock multi-fault geometry and non-double couple focal mechanism, distributed surface fractures, off-fault aftershocks with varying orientations and kinematics, and the limited expression of clear geomorphic features indicative of active faulting are indications that the MCRE ruptured an emergent zone of highly-distributed faulting with little cumulative offset (we estimate it to be ~ 600 –700 m based on surface geology). However, comparisons with InSAR slip models of twenty-seven other continental, strike-slip earthquakes suggest that the pronounced shallow slip deficit of the MCRE is controlled more by its moderate magnitude than the structural immaturity of its host faults.

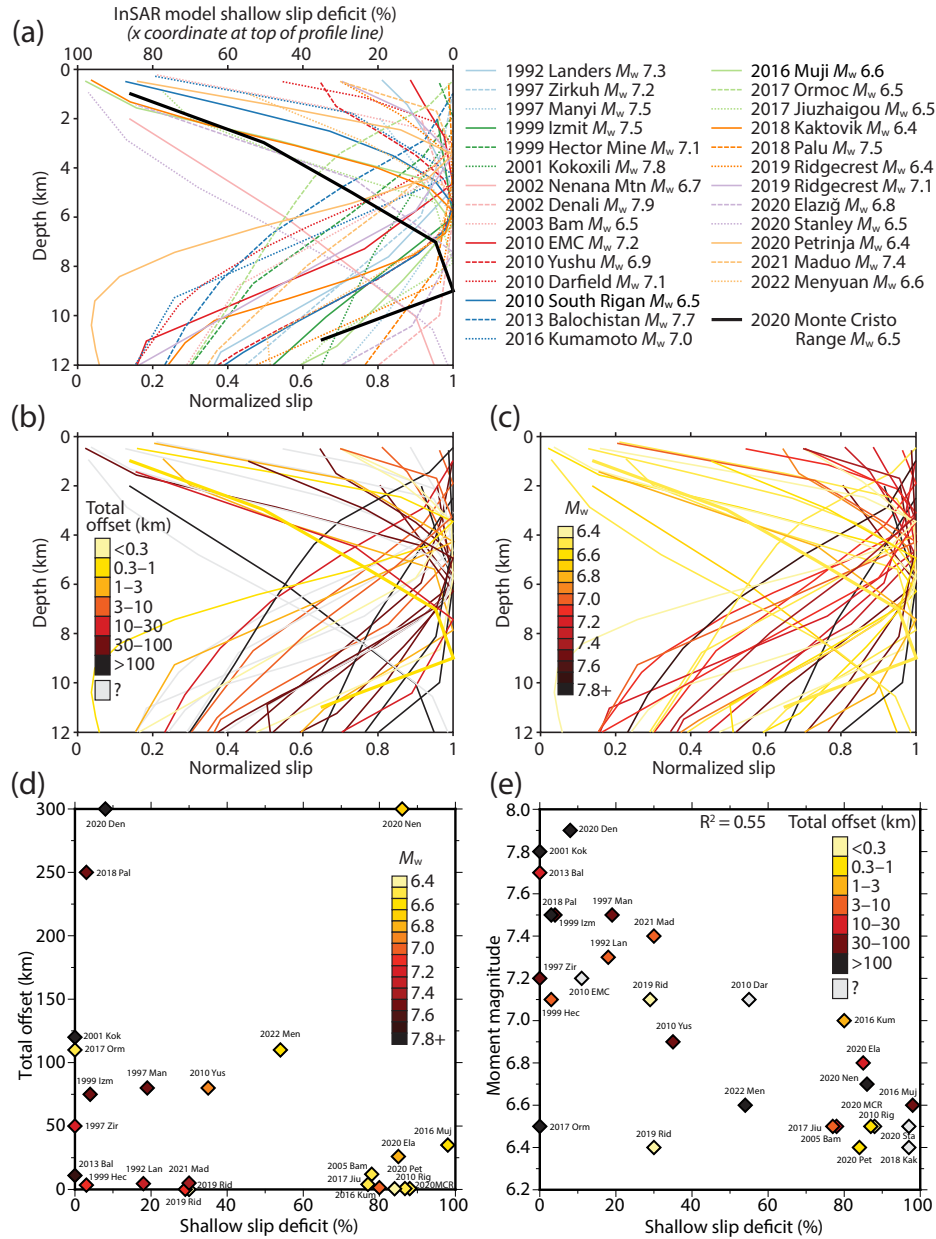


Figure 10. (a) Normalized slip profiles from InSAR-derived coseismic slip models of twenty-eight large (M_w 6.4–7.9), predominantly strike-slip, continental earthquakes. Average slip in each layer of the model is normalized against whichever layer has greatest average slip. The shallow slip deficit annotated along the top of the panel refers to the the shallowest data point of the profile, and is equal to one minus the normalized slip of the surficial row of model sub-fault patches expressed as a percentage (e.g., Fialko et al., 2005). InSAR model references are given in Table 3. (b) Normalized slip profiles colored by the total geological offset accumulated by the host fault (see Table 3 for offset values and references). (c) Normalized slip profiles colored by moment magnitude. (d, e) Scatter plots between shallow slip deficit in percentage versus (d) total offset in km (colored by moment magnitude), and (e) moment magnitude (colored by total offset). Earthquakes with unknown cumulative offsets on the host fault are excluded from panel (d). Data points are labeled with the event year and the first three letters of the event name, unless noted in Table 3.

Table 3. List of earthquakes, InSAR model shallow slip deficits, and cumulative, geological offsets for the earthquakes profiled in Figure 10 (EMC = El Mayor-Cucapah; MCR = Monte Cristo Range). For each InSAR model, the shallow slip deficit is equal to one minus the normalized slip of the surficial row of model sub-fault patches (the shallowest data point of the profile in Figure 10), expressed as a percentage (e.g., Fialko et al., 2005). We recognize that many of these earthquakes have multiple published InSAR models, only one of which is presented here. Slip models that also incorporate near-field displacement fields from optical image correlation or differential lidar are asterisked.

Earthquake	M_w	InSAR model shallow slip deficit		Total geological offset	
		Value	Source	Value	Source
1992 Landers	7.3	18%	Xu et al. (2016)*	3.1–4.6 km	Jachens (2002)
1997 Manyi	7.5	19%	Funning et al. (2007)	~20–80 km	Zhikun Ren, pers. comm.
1997 Zirkuh	7.2	0%	Marchandon et al. (2018)*	~10–50 km	Richard Walker, pers. comm.
1999 Izmit	7.5	4%	Çakir et al. (2003)	~4–75 km	Şengör et al. (2005)
1999 Hector Mine	7.1	3%	Xu et al. (2016)*	3.4 km	Jachens (2002)
2001 Kokoxili	7.8	0%	Lasserre et al. (2005)	100 ±20 km	Fu and Awata (2007)
2002 Nenana Mtn ^a	6.7	86%	Wright et al. (2003)	~300 km	Eisbacher (1976)
2002 Denali	7.9	8%	Wright et al. (2004)	~300 km	Eisbacher (1976)
2003 Bam	6.5	78%	Fialko et al. (2005)	≪12 km	Jackson et al. (2006)
2010 EMC	7.2	11%	Xu et al. (2016)*	Mixed/unknown	Fletcher et al. (2014)
2010 Yushu	6.9	35%	Z. Li et al. (2011)	39–80 km	S. Wang et al. (2008)
2010 Rigan	6.5	87%	Walker et al. (2013)	Probably small	Walker et al. (2013)
2010 Darfield	7.1	55%	Elliott et al. (2012)	Unknown	Jongens et al. (2012)
2013 Balochistan	7.7	0%	Lauer et al. (2020)*	~11 km	Zinke et al. (2014)
2016 Kumamoto	7.0	80%	C. Scott et al. (2019)*	800–1400 m	C. P. Scott et al. (2018)
2016 Muji	6.6	98%	W. Feng et al. (2017)	~30–35 km	Li Tao, pers. comm.
2017 Ormoc	6.5	0%	Y. H. Yang et al. (2018)	~110 km	Cole et al. (1989)
2017 Jiuzhaigou	6.5	77%	Y. Li et al. (2020)	1–4 km	C. Li et al. (2019)
2018 Kaktovik	6.4	97%	Gaudreau et al. (2019)	Unknown	–
2018 Palu	7.5	3%	Socquet et al. (2019)*	150–250 km	Silver et al. (1983)
2019 Ridgecrest	6.4	30%	Xu et al. (2020)*	<20 m	Gold et al. (2021)
2019 Ridgecrest	7.1	29%	Xu et al. (2020)*	<100 m	Gold et al. (2021)
2020 Elazığ	6.8	85%	Pousse-Beltran et al. (2020)	9–26 km	Duman and Emre (2013)
2020 Stanley ^a	6.5	97%	J. Yang et al. (2021)	Unknown	–
2020 MCR	6.5	88%	This study	~600–700 m	This study
2020 Petrinja	6.4	84%	Xiong et al. (2022)	~560 m	Baize et al. (2022)
2021 Maduo	7.4	30%	Jin and Fialko (2021)	~2.5–5 km	Li Tao/Jinrui Liu, pers. comm.
2022 Menyuan	6.6	54%	H. Yang et al. (2022)	95 ±15 km	Gaudemer et al. (1995)

^aInSAR model slip in the 2002 Nenana Mountain and the 2020 Stanley earthquakes peaks at 14 km and 12.5 km depth, respectively (Wright et al., 2003; J. Yang et al., 2021).

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from the Alaska Satellite Facility (<https://search.asf.alaska.edu>) and the Copernicus Open Access Hub (<https://scihub.copernicus.eu>). We used digital elevation models obtained from OpenTopography (<https://opentopography.org>), GMTSAR (<https://topex.ucsd.edu/gmtsar/demgen>), and the USGS 3DEP (<https://apps.nationalmap.gov/downloader>). Earthquake arrival times were collected from the ISC Bulletin (<https://doi.org/10.31905/D808B830>) and the ANSS ComCat system (<https://doi.org/10.5066/P95R8K8G>). Regional waveform data were obtained from NEIC and the Nevada Seismological Laboratory, UNR. The GNSS coseismic offsets (19 June 2020 data set) were obtained from NGL (http://geodesy.unr.edu/news_items/20200619/Jun-2020.txt). Reproducible InSAR and seismological results from this study are tabulated in Table 2 and Supplementary data files C1–C3. Figures were plotted using the Generic Mapping Tools version 6 (Wessel et al., 2019).

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