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2 **Evolution of the Climate Forcing During the Two Years after the Hunga Tonga-**
3 **Hunga Ha'apai Eruption**

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16 **Key Points**

- The Jan. 15, 2022, Hunga eruption increased aerosols and H₂O in the southern hemisphere stratosphere and then dispersed throughout 2022/3.
- Stratospheric water vapor, ozone, temperature, and aerosol optical depth contribute to the change in downward radiative fluxes.
- Hunga produced a global change in tropopause downward radiative flux of -0.17 and ±0.07 W/m² over the two-year period.

28 **Abstract**
29
30 We calculate the climate forcing for the two years after the January 15, 2022, Hunga
31 Tonga-Hunga Ha'apai (Hunga) eruption. We use satellite observations of stratospheric
32 aerosols, trace gases and temperatures to compute the tropopause radiative flux changes
33 relative to climatology. Overall, the net downward radiative flux decreased compared to
34 climatology. Although the Hunga stratospheric water vapor anomaly increases the
35 downward infrared radiative flux, the solar flux reduction due to Hunga aerosol shroud
36 dominates the net flux over most of the two-year period. Decreases in temperature
37 produced by the Hunga stratospheric circulation changes contributes to the decrease in
38 downward flux; however, the Hunga induced decrease in ozone increases the net short-
39 wave downward flux creating small sub-tropical net flux increase in late 2022.
40 Coincident with the aerosols settling out, the water vapor anomaly disperses, and
41 circulation changes disappear so that the contrasting forcings all decrease together. By
42 the end of 2023, most of the Hunga induced radiative forcing changes have disappeared.
43 There is some disagreement in the satellite stratospheric aerosol optical depth (SAOD)
44 which we view as a measure of the uncertainty; however, SAOD uncertainty does not
45 alter our conclusion that, overall, aerosols dominate the radiative flux changes followed
46 by temperature and ozone.

47
48 **Plain Language Summary**
49
50 The Hunga Tonga-Hunga Ha'apai (Hunga) submarine volcanic eruption on January
51 15, 2022, produced aerosol and water vapor plumes in the stratosphere. These
52 plumes have persisted mostly in the Southern Hemisphere throughout 2022 and
53 into 2023. Enhanced tropospheric warming due to the added stratospheric water
54 vapor is offset by the larger stratospheric aerosol attenuation of solar radiation.
55 Hunga induced circulation changes that reduce ozone stratospheric ozone and
56 lower temperatures also play a role in the net forcing. The change in the radiative
57 flux could result in a very slight 2022/3 cooling in Southern Hemisphere. The Hunga
58 climate forcing has decreased to near zero by the end of 2023.

59 **Index Terms**

60 0340 Middle atmosphere dynamics
61 0341 Middle atmosphere: constituent transport and chemistry
62
63 0370 Volcanic effects

64
65 **1. Introduction**

66
67 The eruption of the Hunga Tonga-Hunga Ha'apai (Hunga) (20.54°S , 175.38°W)
68 submarine volcano on Jan. 15, 2022, sent material to the mesosphere (Proud et al., 2022;
69 Carr et al., 2022). Microwave Limb Sounder (MLS) measurements (Millán et al., 2022,

70 hereafter M22; Santee et al., 2023) and balloon sondes measurements (Vomel et al. 2022)
71 showed that a significant amount of water vapor was injected by the eruption into the
72 tropical Southern Hemisphere (SH) mid-stratosphere. Hunga also injected at least 0.5 Tg
73 of SO₂ into the stratosphere (Carn et al., 2022) although this amount may have been as
74 much as 1.5 Tg (Sellitto et al., 2024). The SO₂ oxidation forms a sulfate aerosol layer that
75 was detected by the Ozone Mapping and Profile Suite limb profiler (OMPS) (Taha et al.,
76 2022) shortly after the eruption. The MLS estimated Hunga water injection was
77 unprecedented, up to 146 Tg or ~10% increase in the total stratospheric water vapor prior
78 to the eruption (M22). The water vapor and aerosol plumes from the HT eruption have
79 persisted in the SH throughout 2022 (Schoeberl et al., 2023a, b, hereafter S23a,b). The
80 presence of water vapor led to a stratospheric cooling of ~ 4 K in March and April
81 (Schoeberl et al., 2022, hereafter S22) due to the increased outgoing IR radiation. This
82 cooling produces a secondary circulation (Coy et al., 2023) that produced temperature
83 and ozone anomaly (Wang et al., 2023) in mid 2022.
84

85 The volcanically generated abundance of stratospheric aerosols causes a reduction in
86 solar radiative forcing and, if large enough, a decrease in tropospheric temperatures
87 (Aurby et al., 2021; Stenchikov, 2016; Hansen et al., 2002) which has been observed
88 (Fujiwara et al., 2020, Crutzen, 2006, Robock, 2000). Volcanic aerosols can persist in the
89 stratosphere for years and even self-loft through solar heating when the aerosols are
90 mixed with ash (Khaykin et al., 2022).
91

92 Changes in stratospheric water vapor can also cause changes in climate forcing (Forster
93 and Shine, 1999). Solomon et al. (2010) showed that the 10-year lower tropical
94 stratospheric decrease of ~0.4 ppmv H₂O in the tropical between 2000 and ~2005 would
95 reduce tropospheric forcing by ~0.098 W/m² or about ~ 0.245 W/m²/ppmv. The water
96 vapor radiative forcing results from changes in the thermal IR emission and solar flux
97 absorption. The solar flux absorption by water vapor is generally smaller than the thermal
98 emission. Extending the Solomon et al. (2010) study, Dessler et al. (2013) determined
99 calculated a water vapor climate feedback parameter of 0.27 W/m²/ppmv. Banerjee et al.
100 (2019) analyzing CMIP5 models computed the stratospheric water vapor component of
101 the climate feedback parameter to be 0.14 W/m²/K for 4xCO₂. Li and Newman (2020)
102 using the Goddard Earth Observing System Chemistry-Climate model computed a similar
103 4xCO₂ stratospheric water vapor feedback value of 0.11 W/m²/K.
104

105 Given the sensitivity of the climate to stratospheric water vapor, it is logical to assume
106 that Hunga might have a significant climate impact. Jenkins et al. (2022) used a
107 parameterized climate-response model to investigate the climate impact of the Hunga
108 water vapor plume. They neglected the impact of aerosols and only considered the
109 radiative forcing due to the water vapor injection and computed a 0.12 W/m² increase in
110 tropospheric radiative forcing. However, Sellitto et al. (2022) and Zhu et al. (2023)
111 roughly estimated that the aerosol plume would produce a peak solar forcing reduction of
112 ~1.7-1.8 W/m², exceeding the estimated of H₂O forcing. S23b provided a more accurate
113 estimate confirming that the aerosols overwhelmed the water vapor flux increase during
114 the first year following the eruption.
115

116 In this study we extend the S23b computation of the radiative forcing into the second
117 year following the Hunga eruption. Our basic approach is the same as S23b, but in
118 addition we break out the various radiative forcing components in more detail. As before
119 we use the OMPS measurements of stratospheric aerosol extinction (Taha et al., 2022) to
120 compute the stratospheric aerosol optical depth (SAOD), but we also compare NASA
121 OMPS SAOD to the Stratospheric Aerosol and Gas Experiment III on the international
122 space station (SAGE III/ISS) measurements of SAOD, and OMPS data processed by U.
123 of Saskatchewan (USask) algorithm. The USask OMPS data are processed using a
124 tomographic retrieval scheme (Bourassa et al., 2023) that is different from the NASA
125 algorithm. The tomographic retrieval has the advantage of correcting OMPS
126 measurements for distortion around the edges of aerosol and cloud anomalies (see
127 Gorkavyi et al., 2021). We refer to these data as USask OMPS.

128 To estimate the trace gas radiative forcing we use the AER rapid radiative transfer model
129 (RRTM, Mlawer et al., 1997) to compute the changes in shortwave and longwave fluxes
130 at the tropopause. Our approach is to use the prior 10-year climatology (2012-2021) of
131 MLS trace gases and temperatures and then swap in the changes observed by MLS in the
132 2022-2023 period to compute the relative change in radiative forcing for each
133 component. This allows us to quantify the relative importance of various processes
134 contributing to the overall radiative impact. We focus on the downward longwave and
135 shortwave flux changes at the tropopause relative to a 10-year climatology. In general,
136 longwave IR radiation from the mid-stratosphere will be absorbed in the cold upper
137 troposphere whereas shortwave radiation will penetrate to the surface. The tropospheric
138 climatic response to these flux changes is beyond the scope of this study. Our goal is to
139 determine the net flux changes at the tropopause as the Hunga plume evolves.

140

141 **2. Observational Data Sets**

142

143 We use Microwave Limb Sounder (MLS) V5 for temperature and trace gas observations.
144 The data quality for the Hunga anomaly is detailed in M22 and MLS data is described in
145 Livesey et al. (2021). Other trace gases changes are described in Santee et al. (2023).
146 We restrict our constituent analysis to below 35 km well above the maximum Hunga
147 water vapor anomaly (~25 km). In addition, the climate forcing due water vapor
148 emissions above 30 km is negligible (Solomon et al., 2010). The daily MLS data sets are
149 averaged onto a 5°x10° latitude-longitude grid.

150

151 We use NASA OMPS level-2 V2.1 aerosol extinction data (Taha et al., 2021) which
152 provides aerosol retrievals up to 40 km. Although the extinction measurements by
153 OMPS V2.1 are generally consistent with those made by SAGE III/ISS (Taha et al.,
154 2021), as shown in Gorkavyi et al. (2021), the NASA OMPS algorithm may overestimate
155 the aerosol extinction at the edges and below eruption clouds because of the limb viewing
156 geometry. Bourassa et al. (2023) developed a tomographic retrieval scheme that corrects
157 for the OMPS viewing geometry problems, and the USask OMPS retrieved Hunga
158 extinction levels are roughly a factor of two smaller than NASA OMPS for the first four
159 months after the eruption. As Bourassa et al (2023) noted the aerosol distribution is
160

161 becomes more zonal after the first four months, the aerosol edges are disappearing and
162 the differences between the two extinction estimates is becomes smaller.
163

164 For both NASA OMPS and USask OMPS, we integrate the 745 nm extinction from the 1
165 km above the tropopause to 35 km to obtain SAOD. We use extinction measurements at
166 745 nm since this wavelength has good sensitivity to small particles and is less
167 contaminated by Rayleigh scattering than shorter wavelengths (Taha et al., 2021). The
168 tropopause information comes from the Modern-Era Retrospective analysis for
169 Research and Applications, Version 2 (MERRA2, see Gelaro et al., 2017). We start the
170 integration above the tropopause to eliminate the extinction by thin clouds near the
171 tropopause. Daily data are interpolated onto a 2° latitude zonal mean daily grid; we use a
172 10 day box-car smoother to reduce measurement noise.
173

174 We use the S23b algorithm to convert SAOD to solar flux reduction; the algorithm uses
175 the 550nm SAOD. To convert the SAOD at the 745 nm wavelength to 550nm we use the
176 Ångström exponent from SAGE III/ISS (Cisewski et al., 2014) calculated using
177 extinction coefficients at 550 nm and 756 nm. We use the SAGE Ångström exponent
178 instead of one derived from OMPS, because the OMPS Ångström exponent appears
179 inconsistent with the SAGE Ångström exponent likely due to limitation of the shorter
180 wavelength retrievals in the SH and lower altitudes, and, to some extent, the algorithm's
181 particle size assumptions.
182

183 **3. Analysis of Hunga Climate Impact**

184

185 **3.1 Changes in constituent distributions and temperatures following Hunga 186 eruption.**

187

188 To interpret the changes in downward radiative fluxes, we need to assess how the
189 constituent distribution and temperatures change following the eruption relative to
190 climatology. Some of these changes are part of year-to-year variability in the
191 stratosphere (e.g. the quasi-biennial oscillation, QBO), whereas others are induced by the
192 Hunga water vapor and aerosol anomalies. Figure 1 shows the equatorial time series of
193 aerosols, water vapor, ozone, and temperature. Overlaid on each figure is the equatorial
194 zero wind line, showing the descent of the westerly phase of the QBO starting in April
195 2022, and the easterly phase starting in April 2023.
196

197 Fig. 1a shows that aerosols enter the tropical region shortly after the Hunga eruption on
198 Jan. 15, 2022. The aerosol concentration gradient follows the zero-wind line downward
199 as the meridional circulation associated with the QBO pushes aerosols southward
200 (Schoeberl et al., 2023a). In contrast, Figure 1b shows that the water vapor anomaly
201 moves steadily upward as part of the Brewer-Dobson (BD) circulation. The usual tape-
202 recorder signal is also evident in the figure with ascending smaller water vapor anomalies
203 in August 2022, May 2023, and August 2023.
204

205 Associated with the QBO westerly descent Fig. 1c shows an ozone increase moving with
206 the zero-wind line. This ozone increase is also associated with the QBO secondary

207 circulation (Plumb and Bell, 1982) which advects higher concentration of ozone
208 downward and creates a warm temperature anomaly seen in Fig. 1d. The reverse occurs
209 for the descending QBO easterly phase in 2023.

210

211 Figure 2 shows the time series as in Fig. 1 but at 40°S. Aerosols and water vapor arrive at
212 this latitude mostly after May 2022. This latitude is too far from the equatorial QBO to be
213 influenced by its secondary circulation. However, the water vapor anomaly is strongly
214 correlated with a decrease in ozone and temperature starting in May 2022 and ending in
215 December 2022. Wang et al. (2023) shows that this anomaly is the result of a weakening
216 of the descending branch of the BD circulation due to *in situ* radiative cooling associated
217 with Hunga water vapor. The descending branch transports ozone from higher altitudes
218 into the middle stratosphere and adiabatically warms the region. As the BD circulation
219 weakens, both an ozone and temperature anomaly form. Later, the water vapor anomaly
220 disperses, and as the summer SH BD circulation weakens, the anomaly fades.

221

222 Figure 3 shows OMPS 745 nm aerosol extinction coefficient at 20 km, as well as water
223 vapor at 25 km along with changes in ozone and temperature relative to the 10-year MLS
224 climatology at 25 km. This figure provides a third perspective on constituent changes.
225 The aerosol and water vapor anomalies stay isolated in the SH except for some initial
226 transport into the Northern Hemisphere (NH) shortly after the eruption (S23a). The
227 tropical temperature decrease in Feb.-April 2022 is due to radiative cooling by water
228 vapor (Schoeberl et al., 2022). The changes in ozone at the equator – the increase in
229 May-September 2022 and decrease in the same months in 2023 are associated with the
230 QBO circulation moving downward through this altitude region.

231

232 In the SH extra-tropics, the March 2022 temperature (Fig. 3c) decrease is due to water
233 vapor cooling (S22), but the later temperature and ozone decrease further south is the
234 result of the weakening BD circulation mentioned above (Wang et al., 2023). Under
235 normal conditions, BD circulation adiabatically heats the extra-tropics and advects ozone
236 into the lower stratosphere. The weaker BD circulation thus causes a temperature and
237 ozone decrease.

238

239 **3.2 Aerosol Direct Forcing**

240

241 3.2.1 Aerosol measurements.

242

243 The S23b parameterization scheme is used to compute the direct solar forcing. This
244 scheme, as do the schemes shown in Table 1, uses the SAOD at 550 nm derived from
245 extinction measurements at 745 nm and converted to 550 nm using the SAGE Ångström
246 exponent. Figure 4 shows time series of the NASA OMPS 745 nm SAOD (4a), the
247 USask 745 nm SAOD (4b), and SAGE III/ISS 756 nm SAOD (4c). The SAGE
248 measurements are interpolated to the OMPS regular grid, but we show the SAGE
249 measurement points to show where the interpolation is filling in missing data. Figure 4d
250 compares the OMPS SAOD measurements converted to 550nm at 20°S and the SAGE
251 550 nm SAOD measurements interpolated to the OMPS grid.

252

253 Figure 4 shows the range of SAOD values with USask nearly a factor of two smaller than
254 NASA OMPS after the eruption. above, the main difference between the two OMPS
255 SAOD values is that USask corrects for the effects of inhomogeneity along the line of
256 sight (Bourassa et al., 2023), although differences can also be caused by the difference
257 between size distribution assumptions built into the two algorithms. However, from the
258 SAGE measurements, it is apparent that USask is low biased while the NASA is high
259 biased. Figure 4d shows that after August 2022, NASA OMPS comes into agreement
260 with SAGE and all three SAOD estimates converge in mid-2023. (The slower rise in
261 SAGE SAOD after the eruption; this is due to the SAGE measurement pattern which
262 missed the initial eruption latitude.) We view the differing SAOD estimates as a measure
263 of the uncertainty. In our forcing estimates below, we will show results with both NASA
264 OMPS SAOD and USask SAOD – these tend to bracket the SAGE estimates.
265

266 The evolution of the SAOD reflects the evolution of the aerosol distribution shown in Fig.
267 3a (also Taha et al., 2022). Both SAOD distributions show an initial high value between
268 30°S and the equator until May-June when the SAOD shifts south. This shift is also
269 apparent aerosols at 20 km (Fig. 3a). The southward shift is due to increased seasonal
270 eddy transport. In April-July 2023, NASA OMPS shows a new anomaly in SAOD. This
271 anomaly is less evident in the USask product but is still present. The source of this
272 anomaly is unknown but may be a movement of Hunga aerosols toward mid-latitudes
273 with the formation of the Antarctic polar vortex. No SH volcanic eruptions occurred
274 during this period. In any event, the exact source of this anomaly is uncertain. By the end
275 of 2023 the SAOD anomalies have largely disappeared.
276

277 3.2.2 Direct forcing parameterization

278 A variety of parameterizations have been used to convert global averaged SAOD into
279 global average solar direct forcing change (ΔA) as shown in Table 1. These
280 parameterizations take the form $\Delta A = -R \text{ SAOD}$ (550nm).

281
282
283 Table 1 Parameterization for SAOD Solar Forcing

Reference	R
Hansen et al. (2002)	21
Yu and Huang (2023)	29.5 – Clear 15.7 – All sky
Yu et al. (2023)	23
S23b linear-log fit	19.5 SAOD < 0.015 5.58+1.26 log _e (SAOD) SAOD > 0.015

284
285 The linear parameterizations tend to underestimate the forcing of mid-sized eruptions (e.g.
286 El Chichón, SAOD of ~0.05;) and overestimate very large eruptions (e.g. Pinatubo,
287 SAOD of 0.2, Pitarai et al., 2006). The Yu and Huang (2023) parameterization was

288 developed from MERRA2 tropospheric AOD estimates during a non-volcanic period. In
289 their nomenclature, ‘Clear’ assumes no cloud reflectivity whereas ‘All sky’ includes
290 climatological cloud distributions. Most estimates of direct solar forcing changes do not
291 include cloud effects because the solar flux impact of clouds is considered a separate
292 uncertainty (Hansen et al., 2002). In this study, we will use the Yu and Huang (2023)
293 algorithm to estimate the impact of clouds on the Hunga direct forcing as was done in
294 S23b. We multiply ΔA by the cosine of minimum solar zenith angle as a function of day
295 to approximate the change in solar forcing. Shortwave forcing computed by the RRTM
296 includes the zenith angle variations.

297

298 Figure 5 shows the estimated reduction in solar forcing using NASA OMPS and USask
299 OMPS. The southward movement of the aerosol distribution in May 2022 is reflected in
300 the forcing shift. We also see a slight increase in forcing due to the April-July 2023
301 aerosol anomaly.

302

303 **3.3 Flux changes due to trace gases and temperatures**

304

305 In S23b we only considered the water vapor shortwave and longwave IR downward flux
306 changes at the tropopause relative to a 5-year MLS water vapor climatology. Here we
307 include the changes due to temperature, ozone, and water vapor relative to a 10-year
308 MLS climatology. The climatology averages out the QBO induced changes in
309 temperature as well as any year-to-year stratospheric variability that would normally
310 occur. This means that some of our computed radiative flux anomalies may be due to
311 processes not associated with Hunga trace gas anomalies (e.g. the QBO).

312

313 Our approach is to take the 10-year climatology of temperature and trace gases, then
314 insert the one of the 2022/3 anomalies fields and compare the changes in tropopause
315 downward fluxes to the climatology. For example, we insert the observed 2022/3 water
316 vapor anomaly into the climatology and compare the altered downward fluxes to the
317 downward flux climatology. This works well for isolating the effects of the Hunga water
318 vapor since seasonal variations in stratospheric water vapor is normally ~10% and Hunga
319 anomalies were up to 5 times large than the climatology. For ozone and temperature this
320 approach is more problematic since large natural changes occur that may be unrelated to
321 Hunga (e.g. the tropical QBO (Baldwin et al., 2001) and extra-tropical stratospheric
322 warmings (Venus et al., 2023; Tao et al., 2015)).

323

324 **3.3.1 Water vapor**

325

326 The Hunga stratospheric water vapor anomaly will increase the tropopause downward
327 long-wave IR flux and reduce the short-wave flux (Solomon et al., 2010). Figure 3a
328 shows the zonal mean water vapor anomaly at 25 km, and Fig. 6 shows the corresponding
329 changes in downward flux at the tropopause. The longwave increase is shown in Fig. 6a
330 and the shortwave decrease is shown in Fig. 6b. The change in H₂O shortwave flux
331 combines with the aerosols to reduce the direct solar forcing. The increased longwave
332 flux, on the other hand, is absorbed in the upper troposphere where the temperatures are
333 significantly colder than the emitting region. Figure 6b shows that the increased long

334 wave flux is on the order of $\sim 0.6 \text{ W/m}^2$ which is smaller than the aerosol reduction of
335 direct solar forcing (Fig. 5).

336

337 3.3.2 Ozone

338

339 Figure 3b shows the changes in ozone at 25 km and Fig. 7 shows the changes in the
340 downward flux. The changes in ozone are mostly driven by changes in circulation either
341 natural or by the secondary circulation produced by the *in situ* radiative cooling
342 associated with the water vapor anomaly (Santee et al., 2023; Wang et al., 2023). Smaller
343 ozone change may also be due to altered chemical processes (Wilmouth et al., 2023).

344

345 As discussed in Section 3.1, ozone changes at the equator (Figs. 1c, 3b) are due to the
346 descending westerly and then easterly QBO phases. In the SH extra-tropics, a decrease in
347 ozone occurs from March 2022 through October 2022 due to the relative weakening of
348 the downward branch of the downward BD circulation by water vapor radiative cooling
349 (Coy et al., 2022; Wang et al., 2023).

350

351 Fig. 7 shows that increases in ozone cause a decrease in shortwave tropopause flux and
352 an increase in longwave tropopause flux. The reverse is true for decreases in ozone. As
353 expected, Figure 7a shows a small increase in downward longwave IR flux associated
354 with the QBO driven ozone enhancement. A larger broader decrease in IR flux is
355 associated with the southern extra-tropical ozone decrease. The longwave flux changes
356 are small relative to the changes due to water vapor (Fig. 6b). In contrast, Fig. 7b shows
357 the shortwave flux changes are larger than the water vapor shortwave flux changes. The
358 equatorial short-wave ozone flux changes are also mostly associated with the QBO with a
359 relatively large flux decrease at the equator and a smaller flux increase in the southern
360 extratropical latitudes associated with the decrease of ozone. The shortwave ozone flux
361 changes are on the scale of the aerosol flux changes (Fig. 5).

362

363 3.3.3 Flux Changes due to Temperature

364

365 The changes in stratospheric temperature also alter the downward longwave fluxes by
366 radiatively important trace gases even though the gas concentrations are not significantly
367 altered by the eruption (e.g. N₂O see Santee et al. (2023), Fig. 1). To estimate the
368 tropopause downward flux changes due to temperature changes, we use the
369 climatological trace gas concentration and swap in the 2022/3 temperatures. Figs. 3a
370 shows the 25 km temperature differences from climatology.

371

372 Fig. 8 shows the temperature induced change in downward longwave fluxes. The
373 shortwave flux is not directly affected by the temperature changes and is not shown. The
374 flux change mirrors the temperature anomalies shown in Fig. 3a especially the impact of
375 the extra-tropical SH cooling from March 2022 - November 2022. As mentioned in
376 Section 3.1, this temperature anomaly is due to the weaker BD circulation and produces a
377 significant decrease in downward long-wave flux. In general, the changes in stratospheric
378 temperature are as large or larger than the downward long-wave flux than trace gas
379 anomalies.

380
381 **3.4 Combined Fluxes**
382
383 3.4.1 Total flux changes
384
385 Figure 9 shows the total estimated tropopause flux changes following the Hunga
386 eruptions. We combine the temperature and trace gas shortwave and longwave downward
387 fluxes with the aerosol direct forcing changes. As with the aerosol direct forcing, short
388 wave forcing by ozone and water vapor is weighted by the solar zenith angle.
389 Figure 9a shows the zonal mean flux vs time using the NASA OMPS SAOD, while Fig.
390 9b shows the zonal mean fluxes using the USask OMPS SAOD, and parts 9c-e show the
391 component fluxes at the equator, 20°S and 40°S associated with Fig. 9a. The component
392 picture shows aerosols dominating the forcing with changes in temperature and ozone
393 (short wave) contributing next. The long-wave water vapor heating, the focus of Jenkins
394 et al. (2022), appears to be one of the least important components of the total flux after
395 the first few months.
396
397 Figure 9 shows that there is net SH cooling through most of the two-year period with
398 either NASA or USask SAOD. The exception is near 20° S in Fig. 9b,d where the fluxes
399 are slightly positive from August – November 2022. This is the period where the aerosol
400 distribution shifts southward and the increase in ozone short-wave flux exceeds the
401 aerosol decrease. Aerosols and short-wave flux variations in ozone and long wave flux
402 variations in temperature dominate the total flux changes. Recall that these flux changes
403 are relative to the 10-year climatology. Thus, some of these changes are natural (e.g.
404 QBO) and some generated by the Hunga anomalous circulation (Wang et. al., 2023).
405
406 Fig. 4a shows that the Hunga SAOD anomaly persists into the beginning of 2023 then
407 reaches a small second peak at higher latitudes in May 2023. This second peak shows up
408 in the forcing (Fig. 9a,e). The combination of aerosol direct forcing, temperature changes
409 and ozone recovery lead to net decrease in downward flux in 2023. By the end of 2023
410 the aerosol forcing has dwindled to near zero. Fluctuations in the 2023 forcing
411 components, aside from aerosols, appear to be mostly due to year-to-year variability.
412
413 3.4.2 All Sky Albedo
414
415 Yu and Huang (2023) developed a cloud correction for SAOD direct forcing (all sky
416 albedo) which essentially includes cloud reflection of solar radiation before it can reach
417 the surface. Most papers computing aerosol impact of volcanic emissions or fires do not
418 include cloud effects in computing the direct forcing. In our computation, cloud
419 reflectivity is applied to all the short-wave fluxes, thus all sky albedo reduces the
420 shortwave ozone and water vapor forcing as well as the aerosol direct effect. Fig. 10a
421 shows the impact of all sky albedo on the total forcing using NASA SAOD, and Fig 10b
422 shows the results using USask SAOD. These figures should be compared to Fig. 9a,b,
423 respectively. The USask SAOD case shows the smallest net forcing as expected.
424
425 3.4.3 Global and Hemispheric Forcing

426
427 To estimate the global forcing, we integrate the downward flux from $\pm 60^\circ$. The
428 hemispheric flux is computed from 60° S to the equator and from the equator to 60° N. In
429 Fig. 11 we show the two extreme cases – NASA OMPS SAOD clear skies and USask
430 OMPS with all sky albedo to provide an estimate of the uncertainty in the forcing. The
431 two cases are shown in Fig. 9a and Fig. 10b. The 2022/2023 peak and average radiative
432 forcing is summarized in Table 2.

433
434 Our results show that most of the period there is global net cooling, except for the Jan-
435 Feb. 2022 period right after the eruption, when the water vapor forcing peaked, and
436 before most of the aerosol shroud has formed. A second region of very slightly positive
437 forcing occurs 10° - 40° S June-December 2022 where decreases in ozone generate an
438 increase in tropopause shortwave flux.

439
440 The Jan-Feb. 2022 global flux increases occurs when a NH warming– not connected with
441 Hunga (Fig. 3a) - exceeds the SH cooling. We also note that from Figs. 9,10 and Table 2,
442 the aerosol reduction in direct forcing is largest in the SH where to which the aerosols are
443 confined through most of the post eruption period (Fig. 5). We conclude that the Hunga
444 peak global forcing is $-0.475 \pm 0.145 \text{ W/m}^2$. In contrast, the Pinatubo global forcing was
445 $\sim -3.5 \text{ W/m}^2$ (Pitari et al., 2016), about 6-12 times larger than Hunga.
446
447

Table 2. Forcing amounts in W/m^2

Forcing	Peak Global	Peak SH	Peak NH	Average Global	Average SH	Average NH
NASA OMPS Clear Sky	-0.59	-0.75	-0.47	-0.24	-0.43	-0.05
USask OMPS All Sky	-0.3	-0.55	-0.39	-0.1	-0.21	0.01
Average	-0.47 ± 0.14	-0.65 ± 0.10	-0.43 ± 0.04	-0.17 ± 0.07	-0.32 ± 0.11	-0.025 ± 0.02

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449
450
451 **4.0 Summary and Conclusions**
452
453 We have extended the S23b estimate of the Hunga radiative forcing through 2023. We
454 have also added the changes in the long-wave and short-wave ozone and long-wave
455 temperature radiative fluxes to our estimates. We note that there are differences between
456 the SAOD estimates from NASA OMPS retrievals (Taha et al., 2021) and USask
457 tomographic retrievals (Bourassa et al., 2023) post-Hunga. Both retrievals show
458 contrasting bias compared to SAGE III/ISS SAOD measurements (Fig. 4d) in the first
459 half of 2022, before the aerosol distribution has become zonal. After mid 2022 the
460 NASA and USask algorithms are in better agreement. We account for the SAOD

461 differences by performing radiative forcing estimates for both NASA and USask SAOD
462 retrievals as a measure of the forcing uncertainty. We also account for tropospheric cloud
463 albedo using the Yu and Huang (2023) parameterization as was done in S23b.

464

465 Our earlier conclusion (S23b) that the 2022/2023 global Hunga impact is a reduction in
466 tropopause flux remains valid even with the aerosol uncertainty and ozone/temperature
467 effects included. As we previously found, the tropopause flux reduction is largely due to
468 the aerosol shroud which is mostly confined to the SH. Lower stratospheric SH Hunga
469 induced temperature changes (Wang et al., 2023; Santee et al., 2023) reinforce the
470 reduction downward radiative flux. Stratospheric ozone decreases produce an increase in
471 the shortwave flux, and this is an important contributor to the total flux. By the end of
472 2023 aerosols have settled out, the water vapor anomaly has largely dispersed in the
473 lower stratosphere, and the net forcing between $\pm 60^\circ$ has dissipated.

474

475 The Hunga eruption cooled the climate, but the amount of cooling is so small it will be
476 difficult to extract the signal from tropospheric meteorological observations. The
477 secondary circulation induced by stratospheric water vapor cooling altered the
478 stratospheric temperature and ozone distribution which significantly contributed to
479 Hunga changes in the climate forcing.

480

481

482 **Acknowledgements**

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485 Agency.

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489 **Open Research**

490 The RTM used to estimate H₂O IR cooling rates is from Atmospheric and
491 Environmental Research (RTE+RRTMGP) and can be freely downloaded at
492 http://rtweb.aer.com/rrtm_frame.html.

493 OMPS data, Taha et al. (2021), is available at

494 https://disc.gsfc.nasa.gov/datasets/OMPS_NPP_LP_L2_AER_DAILY_2/summary,

495 DOI: <https://doi.org/10.5067/CX2B9NW6FI27> The algorithm is documented in
496 Taha et al. (2021). Data are public with unrestricted access (registration required).

497 The OMPS USask data is available at <https://doi.org/10.5281/zenodo.7293121>

498 Aura MLS Level 2 data, Livesey et al. (2021) JPL D-33509 Rev. C, is available at

499 <https://disc.gsfc.nasa.gov/datasets?page=1&keywords=AURA%20MLS>

500 The temperature data is available at

501 https://acdsc.gesdisc.eosdis.nasa.gov/data/Aura MLS_Level2/ML2T.004/

502 The V5 water vapor data is available at

503 https://acdsc.gesdisc.eosdis.nasa.gov/data/Aura MLS_Level2/ML2H20.005/

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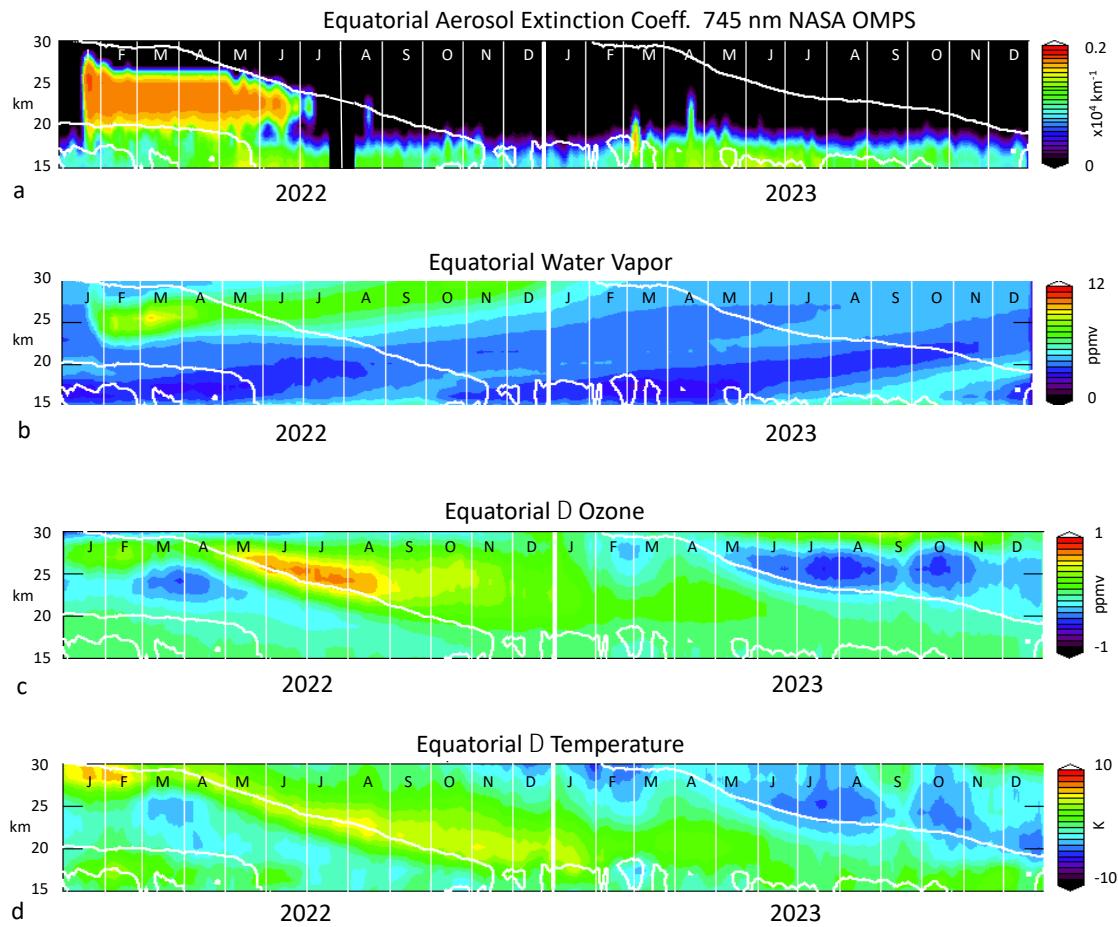


Fig. 1

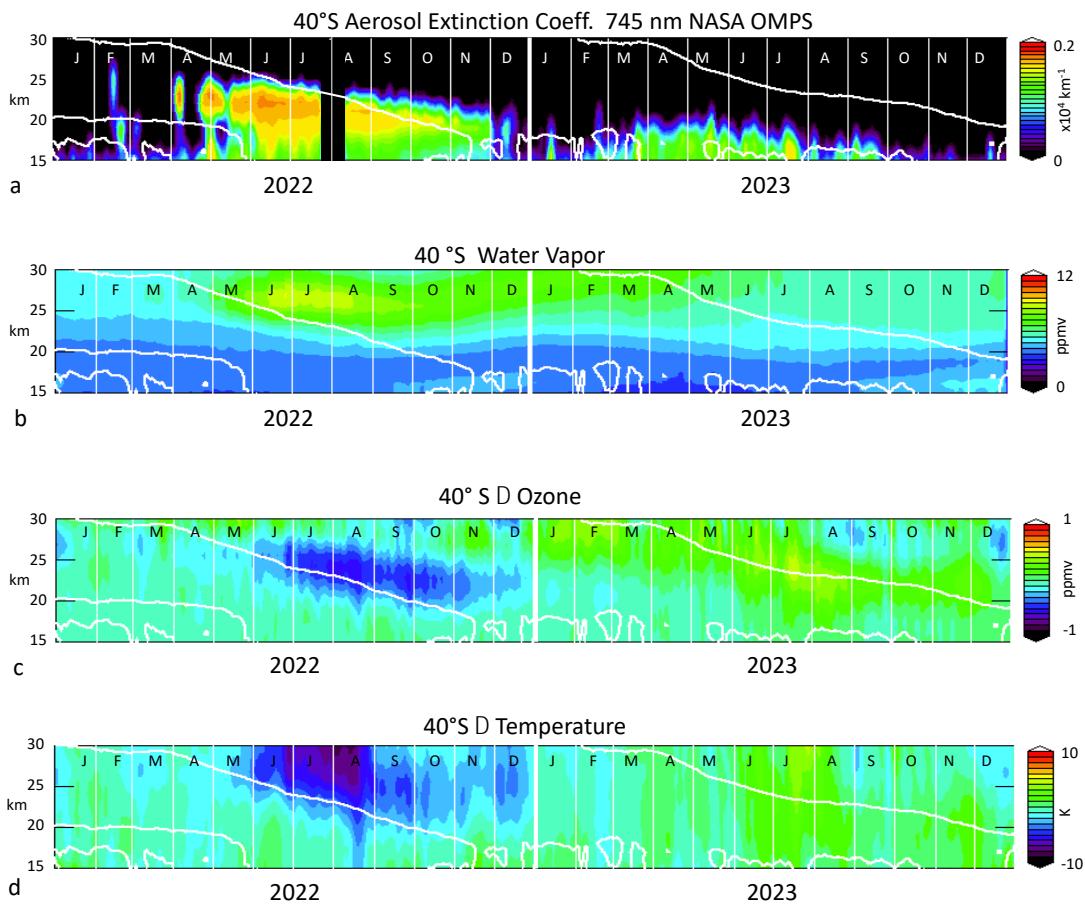
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696 *Figure 1 Equatorial cross section of aerosols and trace gases. Part a 745 nm extinction coefficient (km^{-1}) $\pm 1^\circ$ of the*
 697 *equator. Part b, water vapor, Part c Δ ozone (difference from 10-year climatology). Part d, Δ temperature all $\pm 2.5^\circ$*
 698 *of the equator. Month letters starting 2022 are shown in the figure. Months are show as first letters and monthly*
 699 *regions are divided by white lines. The thick white line is the equatorial zero wind line from MERRA2 assimilation.*
Color bars indicate the scale.

700



701 Fig. 2

702 *Figure 2. Same as Fig. 1 at 40°S*

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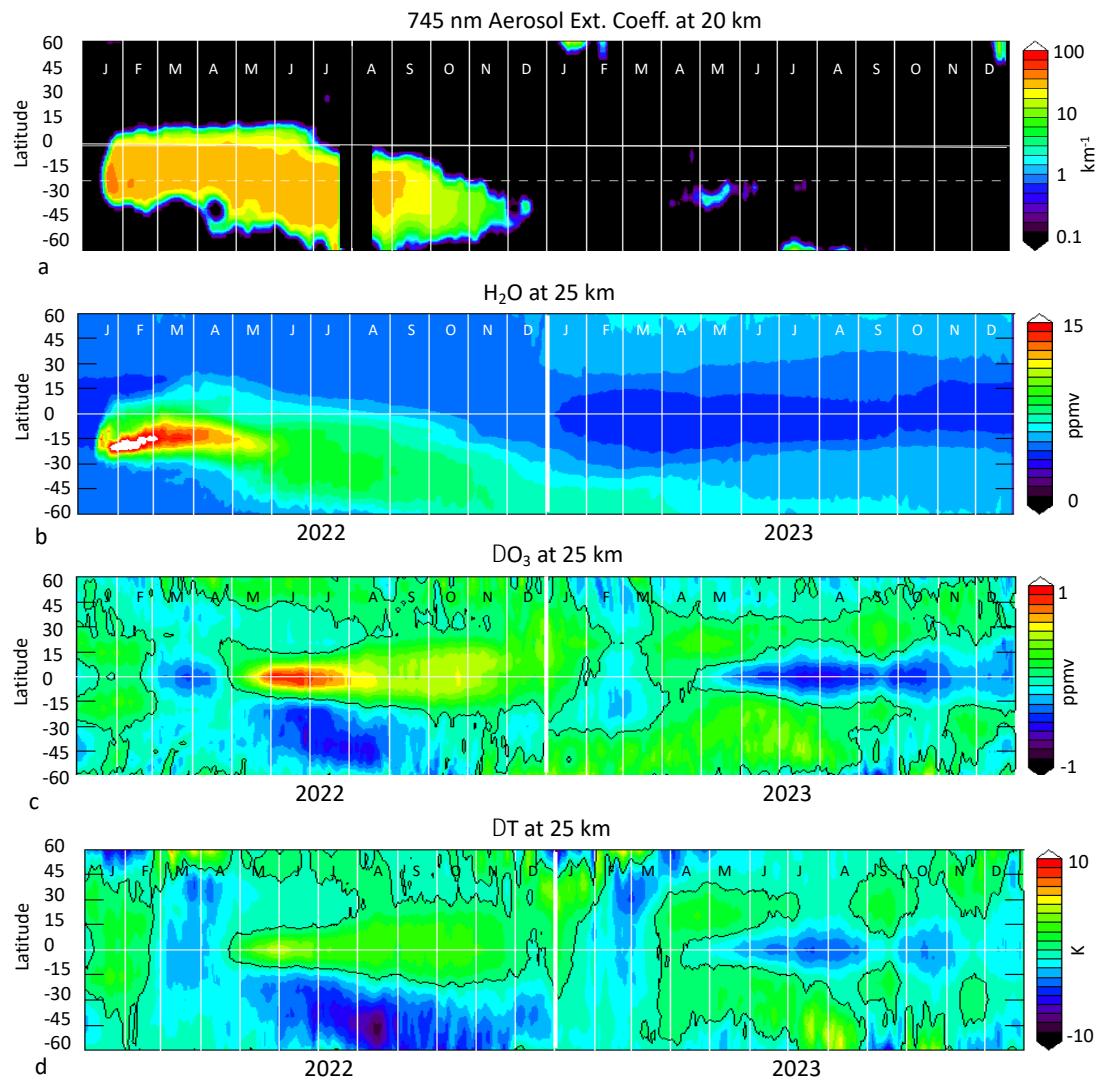


Fig. 3

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Figure 3 Constituent zonal mean fields. Part a, aerosol extinction coefficient at 20 km. Parts b-d at 25 km. Part b, water vapor, Part c Δ ozone, Part c Δ temperature. Thin white horizontal line indicates the equator. Thick black line contour is zero in parts c and d.

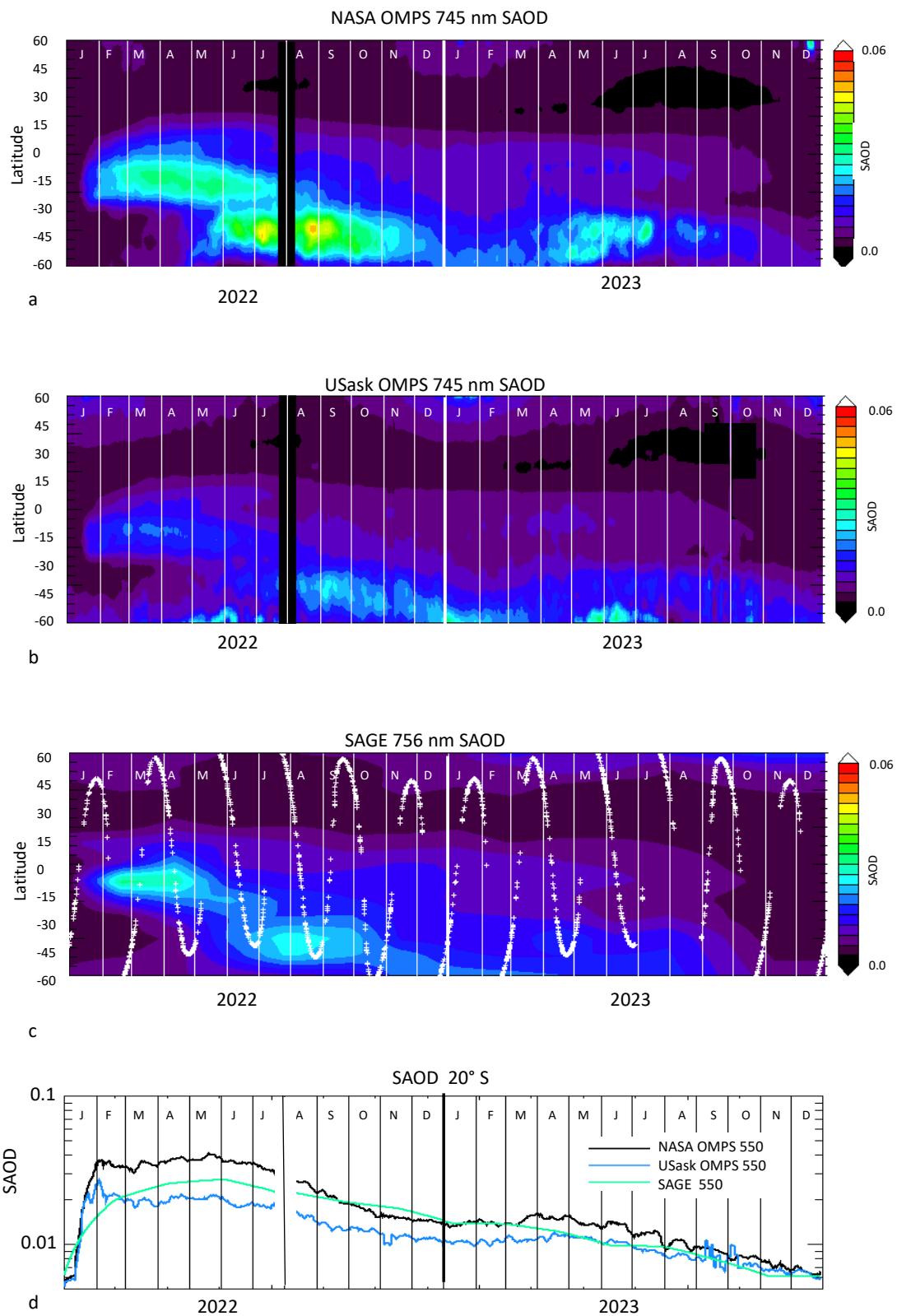


Fig. 4

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Figure 4 Zonal mean SAOD time series. Part a, NASA OMPS, Part b, USask OMPS, both at 745 nm. Part c, SAGE III/ISS SAOD at 756nm interpolated onto the OMPS grid. Part d shows the 20°S SAOD converted to 550nm using the SAGE Ångström exponent from Parts a, b, and c.

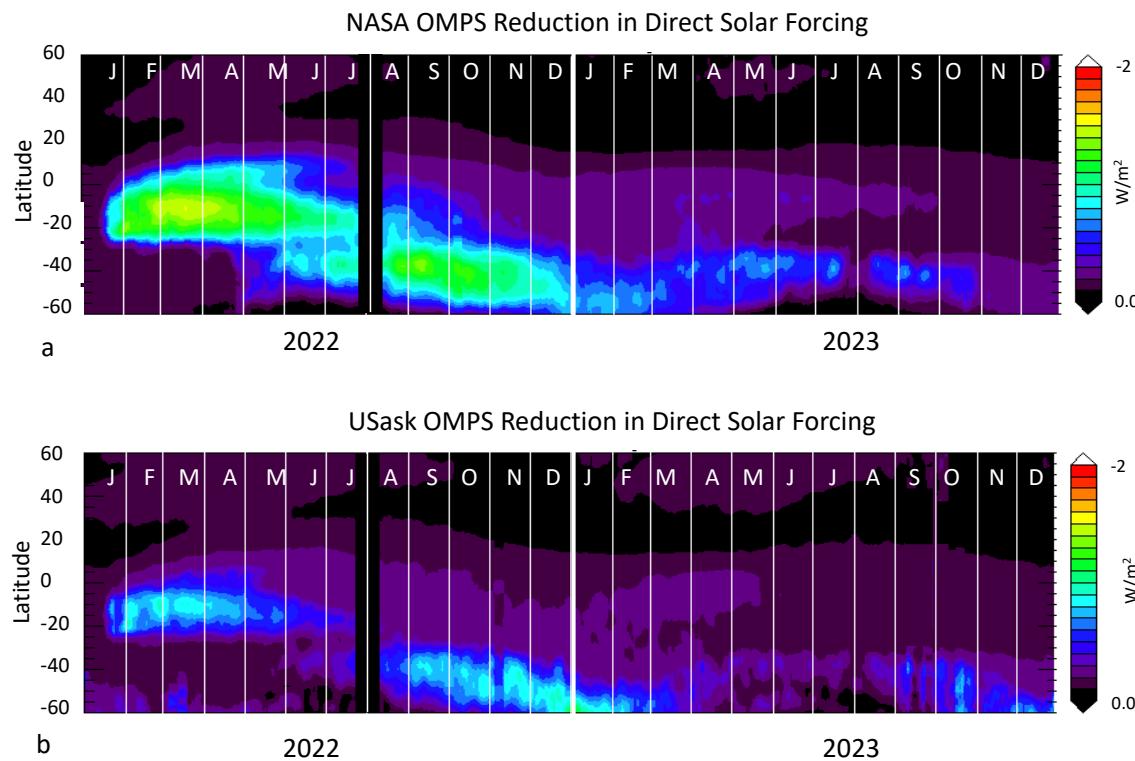


Fig. 5

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Figure 5 Part a, direct forcing from NASA OMPS SAOD. Part b, direct forcing using USask SAOD

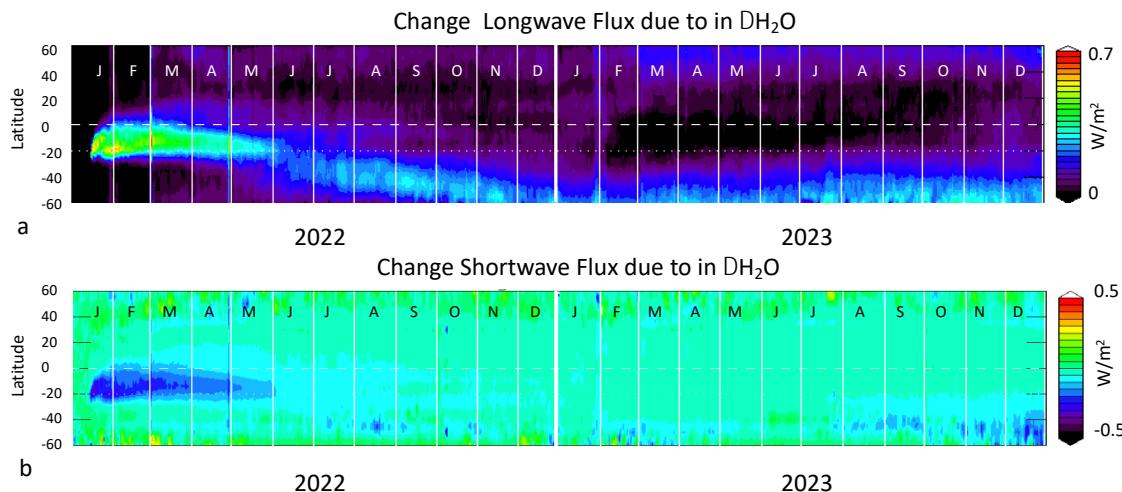


Fig. 6

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Figure 6 Part a, change in long-wave IR flux from climatology due to water vapor. Part b, change in short-wave flux. Months indicated as in Fig. 1.

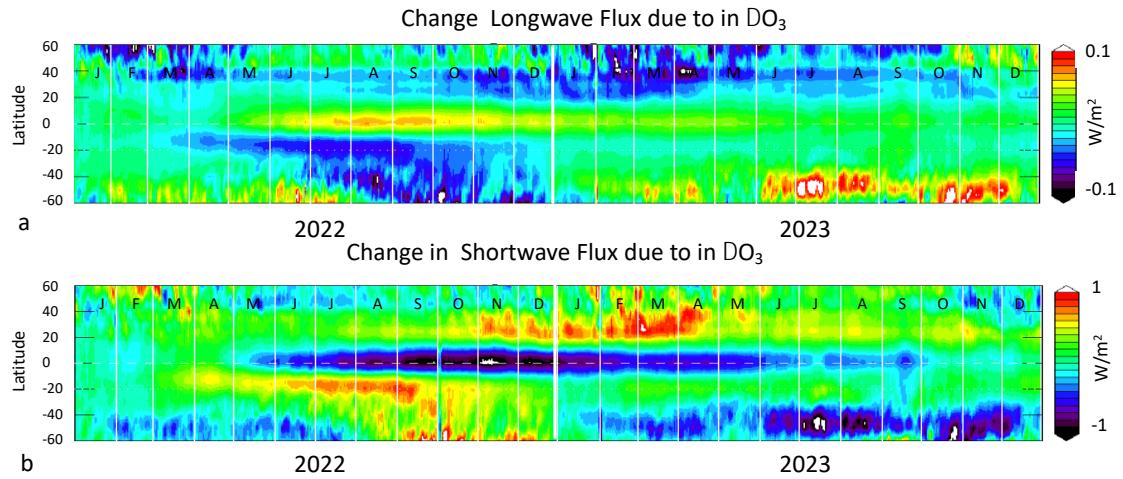


Fig. 7

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Figure 7 fluxes due to change in ozone; Part a, longwave, Part b, shortwave.

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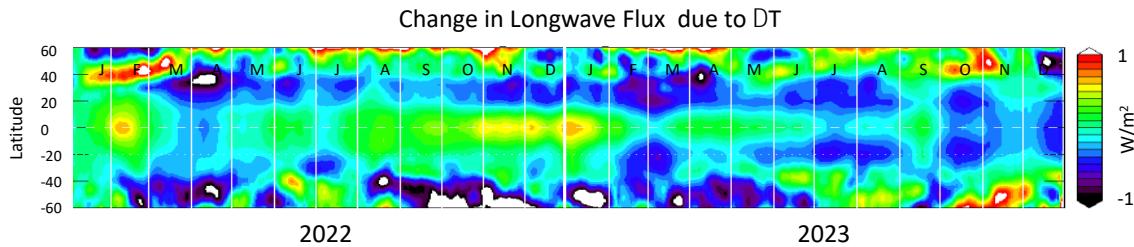
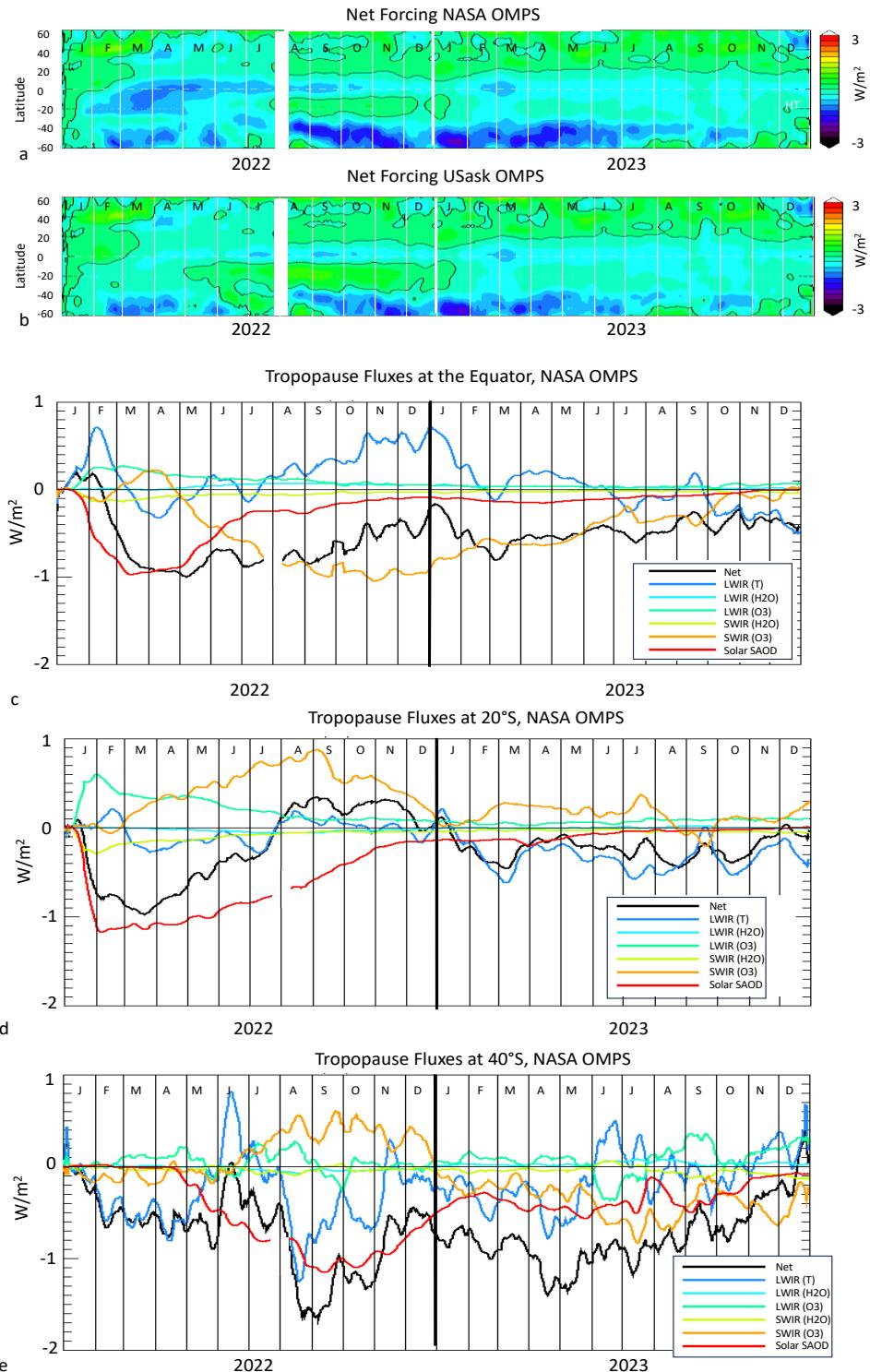


Fig. 8

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Figure 8 As in Fig. 7a but for change in temperature.



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Figure 9 Part a. Net forcing including trace gas fluxes and aerosol direct forcing using NASA OMPS SAOD. Part b is similar to (a) but for USask SAOD. Parts c, d, e show the various flux components at the equator (Part b), 20°S (Part c) and 40°S (Part d) using NASA OMPS SAOD.

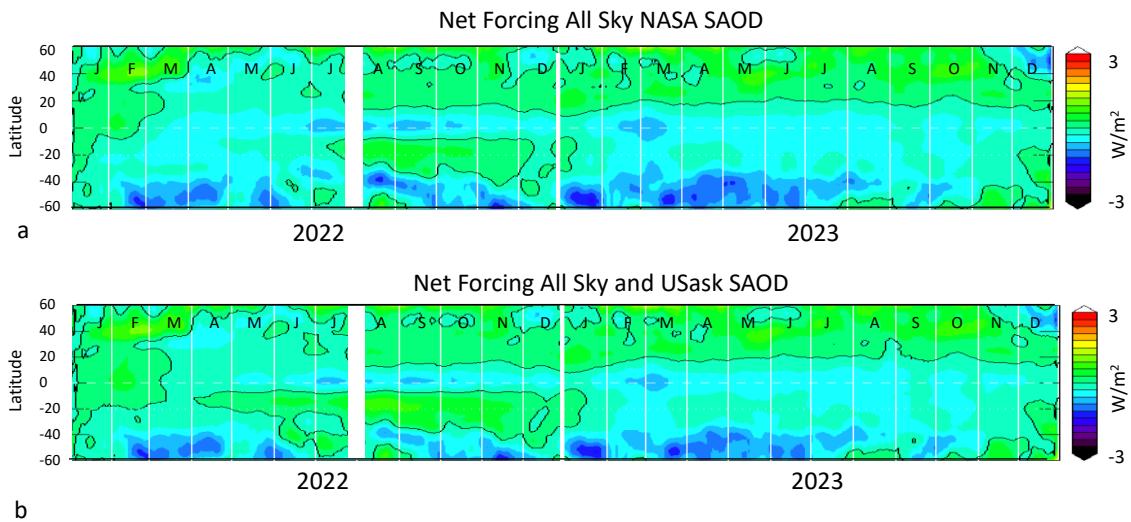


Fig. 10

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Figure 10. Net forcing using all sky albedo. Part a with NASA OMPS SAOD. Part b, USask OMPS SAOD.

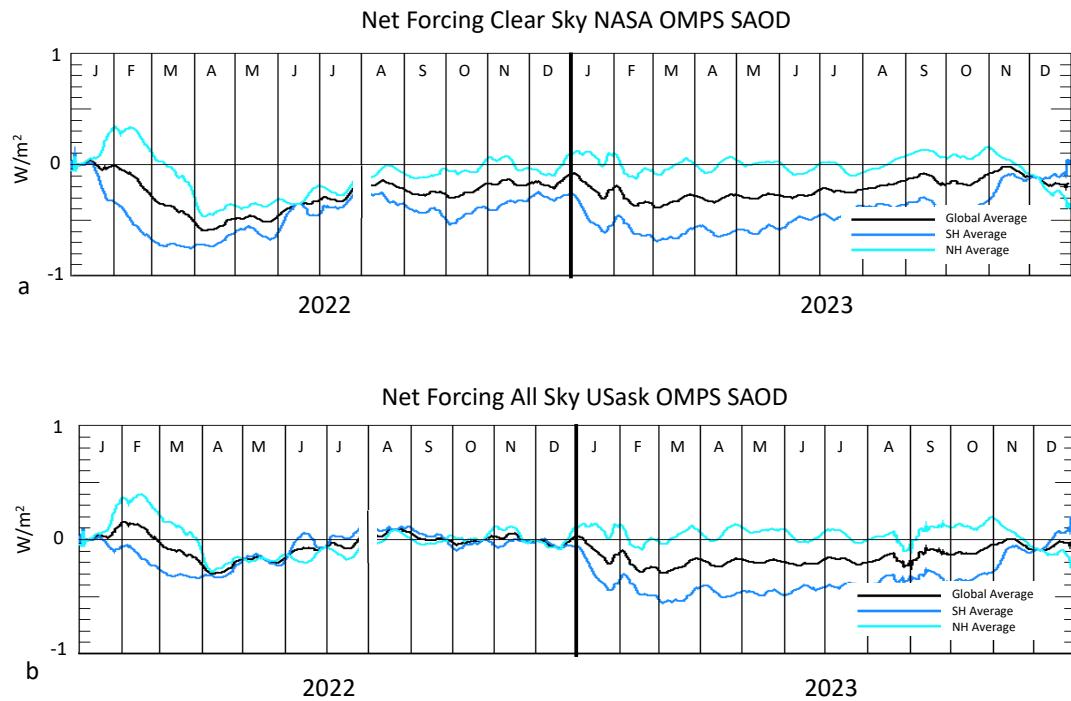


Fig. 11

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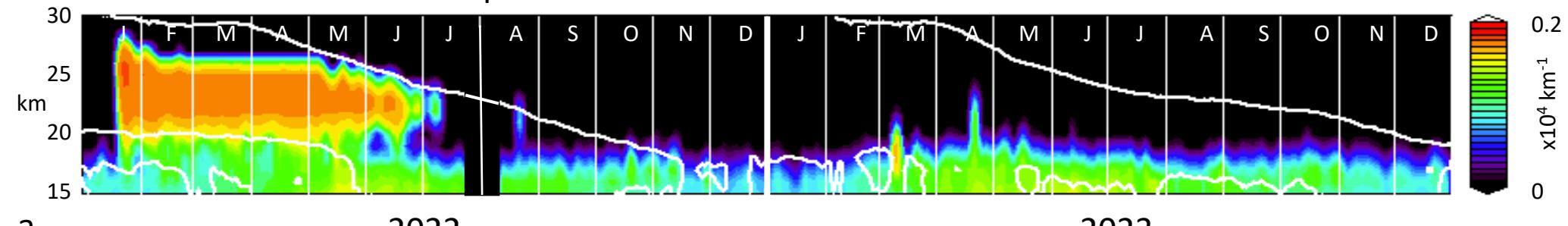
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Figure 10 Variations of global (black), SH (blue) and NH (cyan) downward fluxes. Part a, clear sky, NASA OMPS SAOD (see Fig. 9a). Part b, all sky USask SAOD (Fig. 10b).

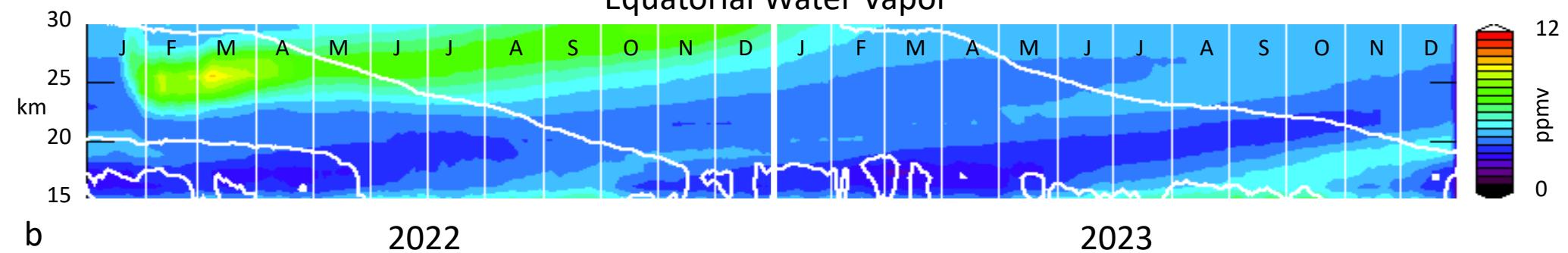
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Figure 1.

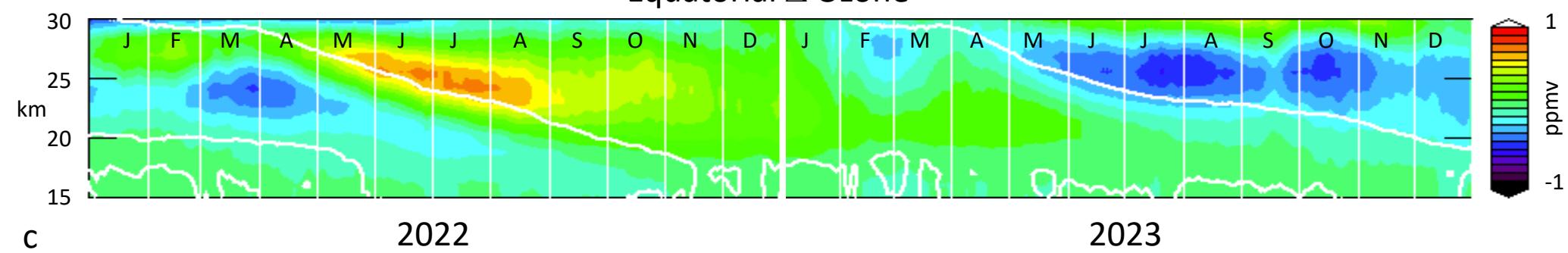
Equatorial Aerosol Extinction Coeff. 745 nm NASA OMPS



Equatorial Water Vapor



Equatorial Δ Ozone



Equatorial Δ Temperature

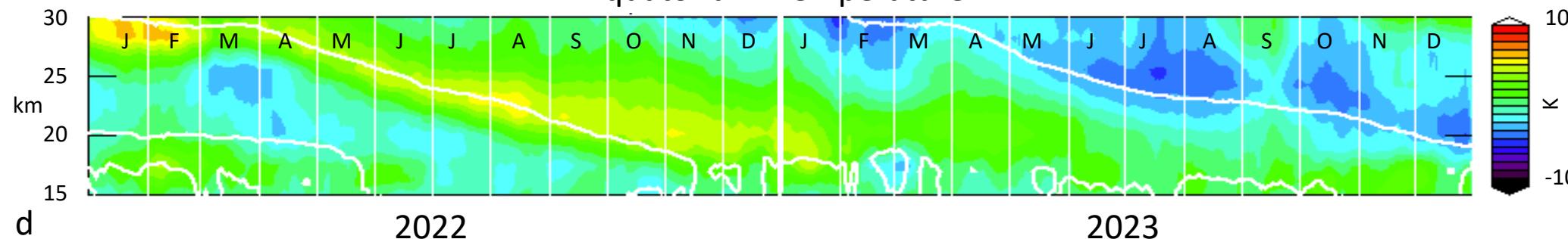
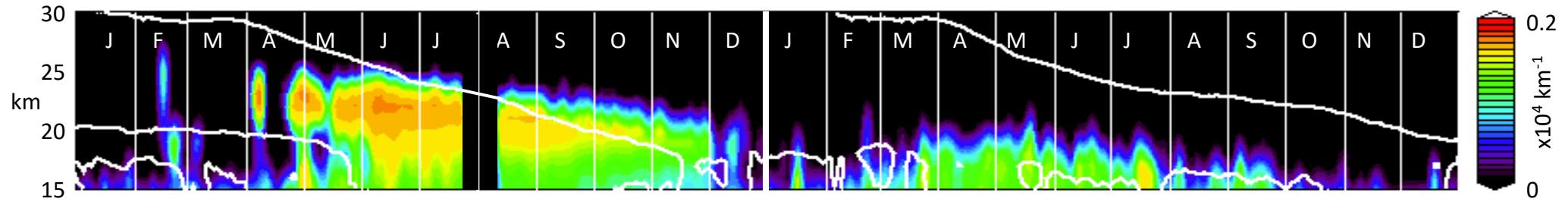


Fig. 1

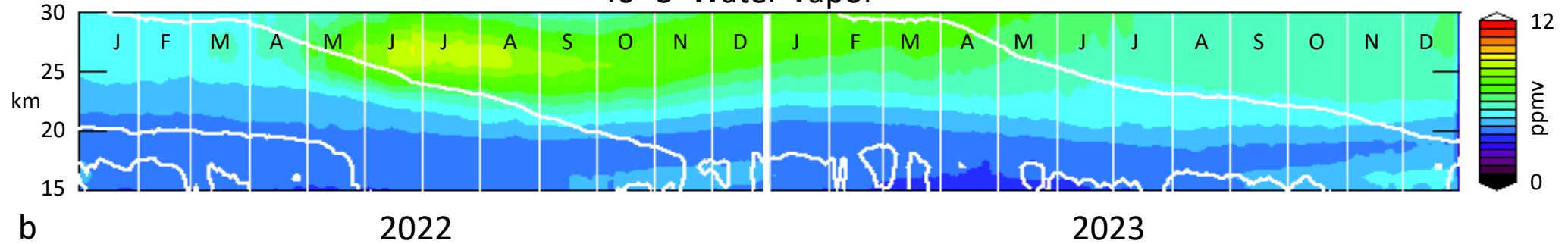
Figure 2.

40°S Aerosol Extinction Coeff. 745 nm NASA OMPS



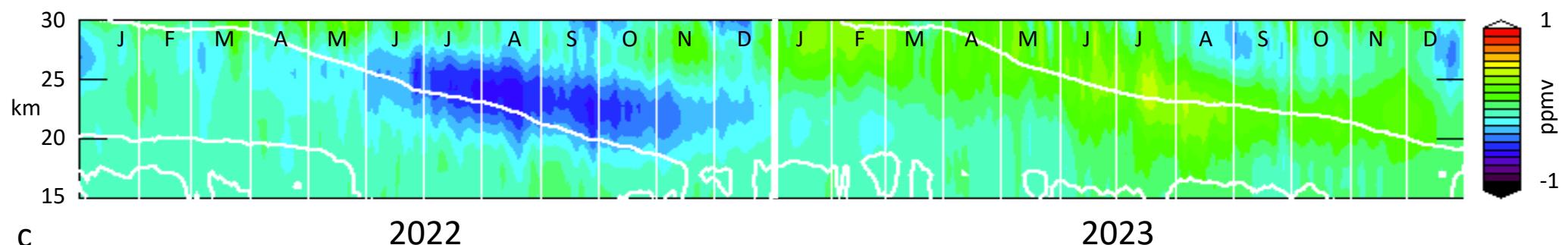
a 2022 2023

40 °S Water Vapor



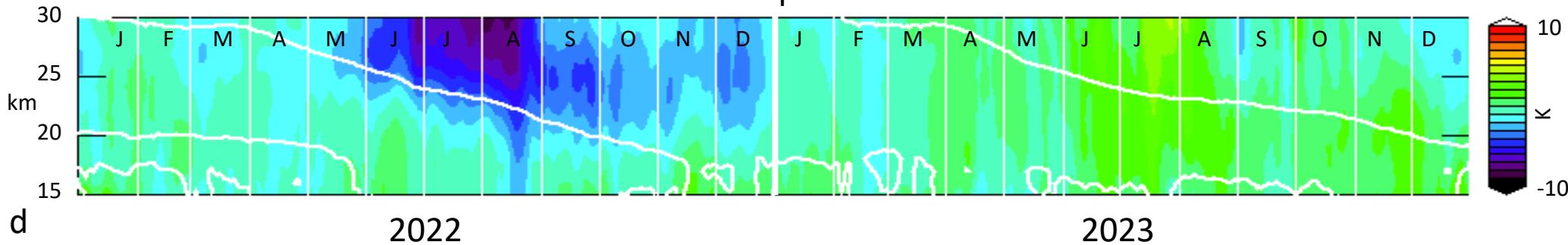
b 2022 2023

40° S Δ Ozone



c 2022 2023

40°S Δ Temperature

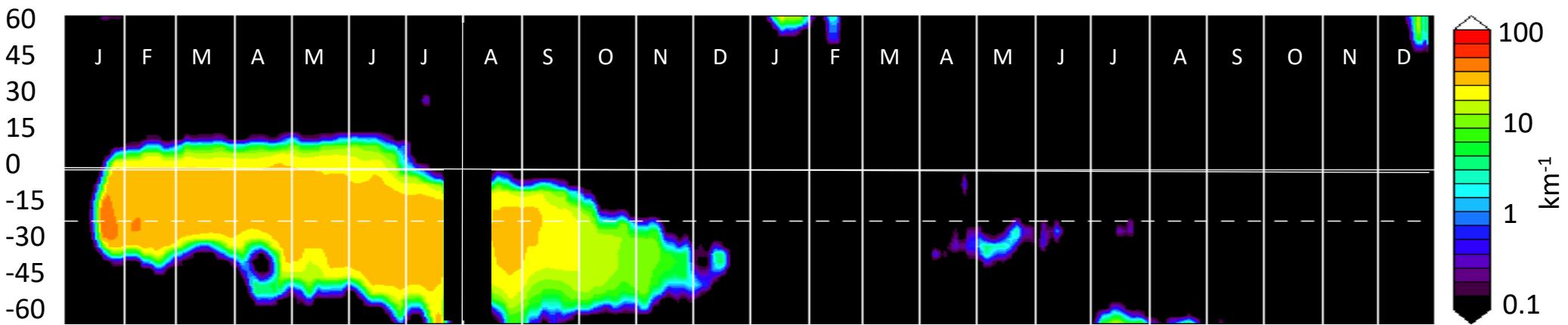


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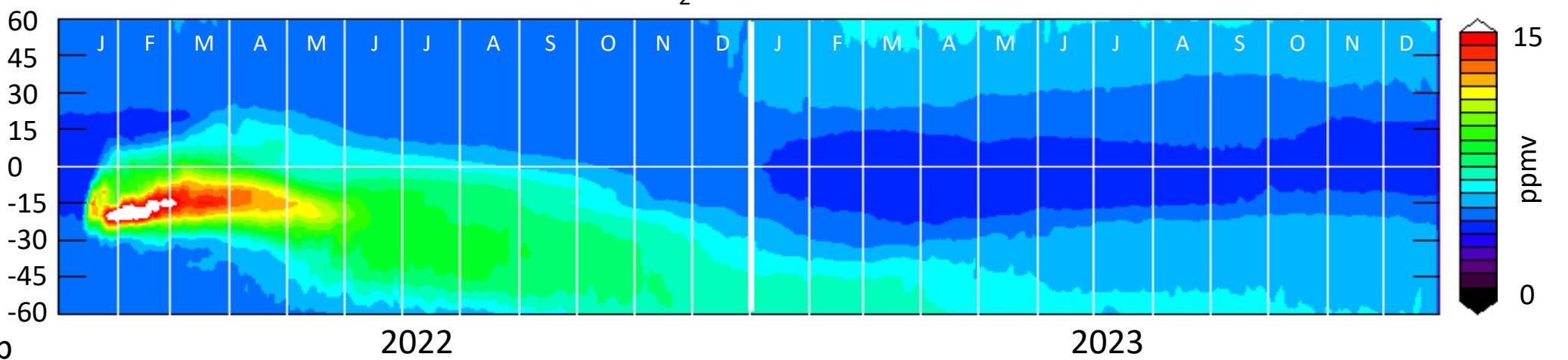
Fig. 2

Figure 3.

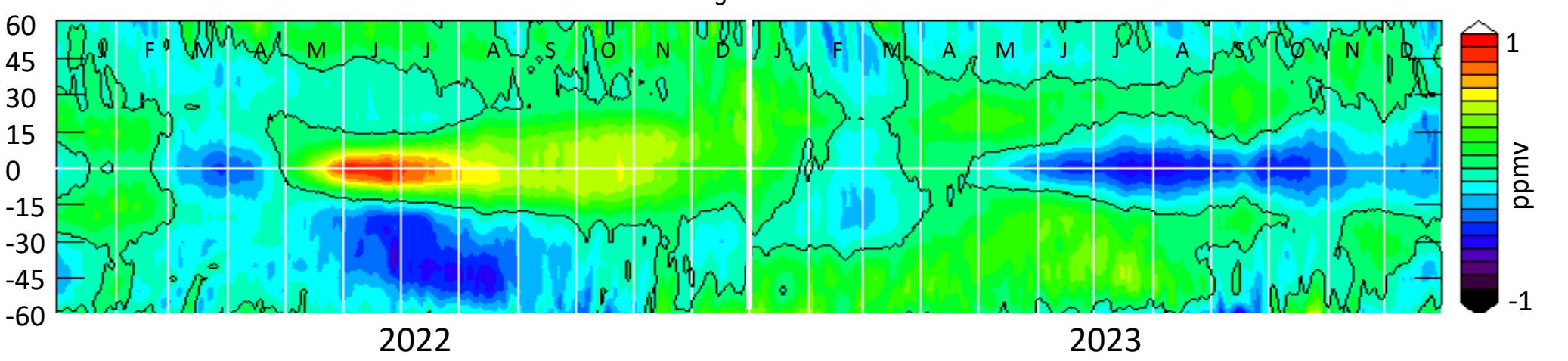
745 nm Aerosol Ext. Coeff. at 20 km



H_2O at 25 km



ΔO_3 at 25 km



ΔT at 25 km

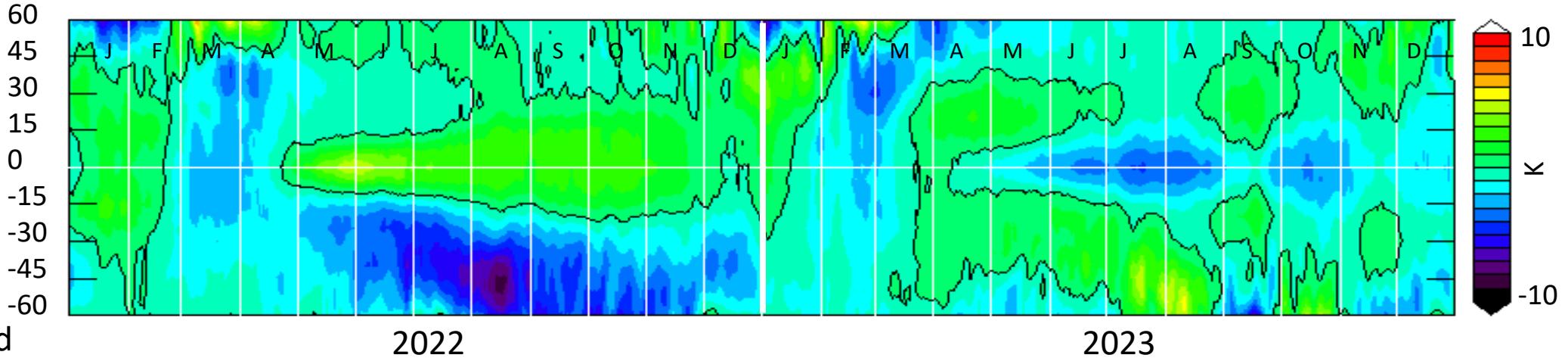
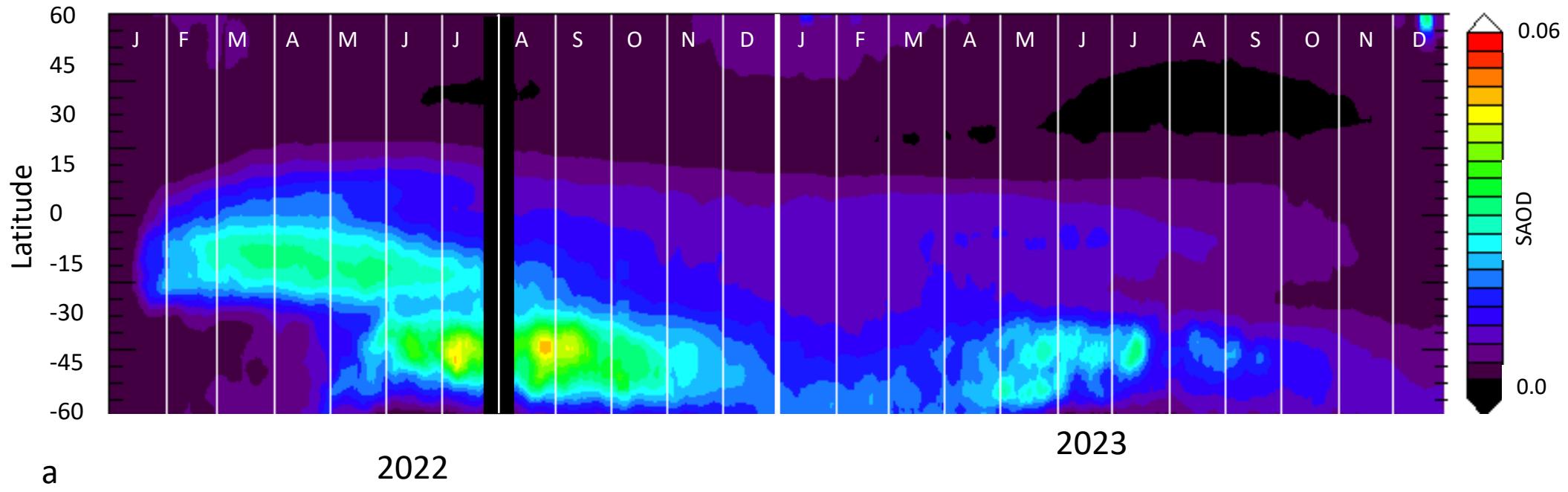


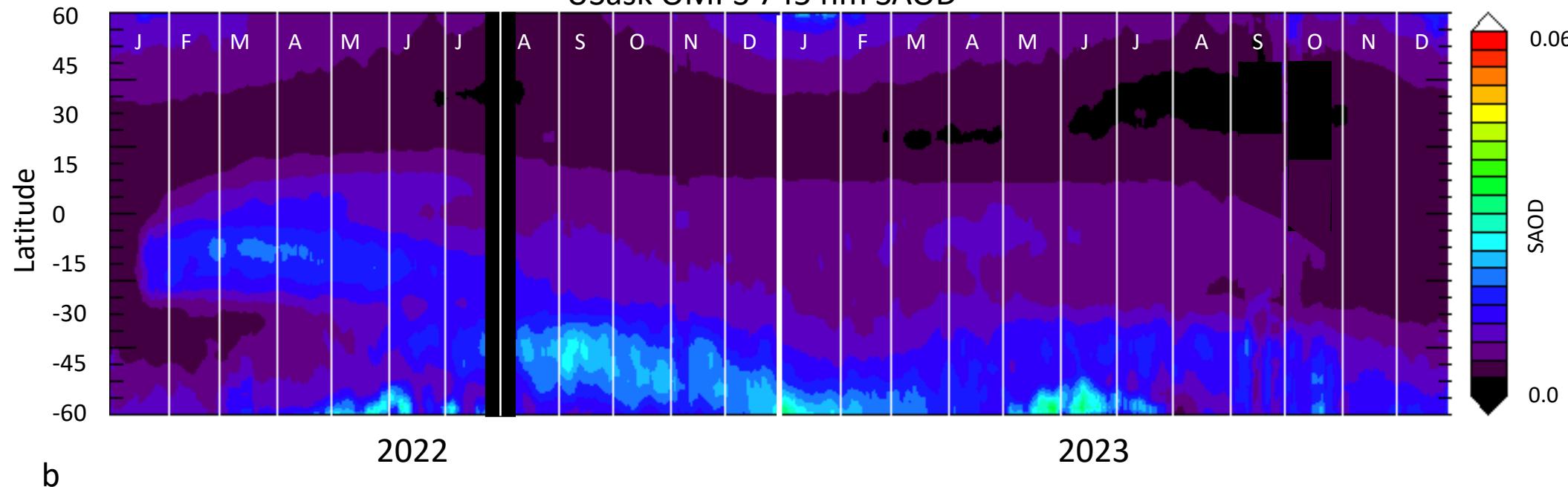
Fig. 3

Figure 4.

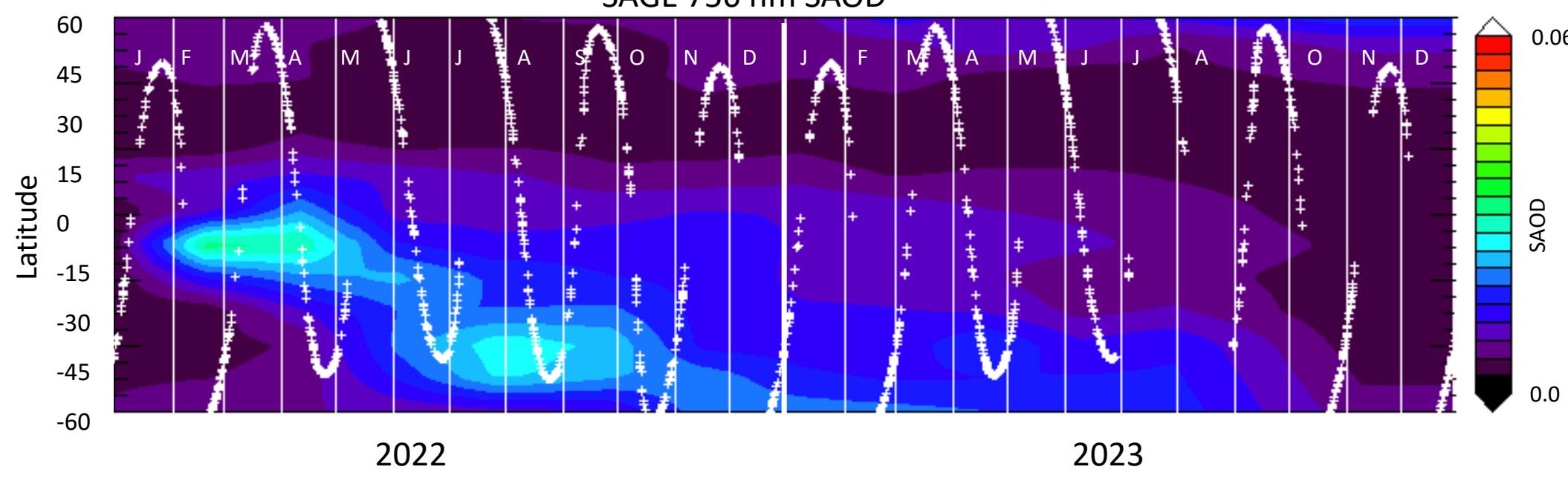
NASA OMPS 745 nm SAOD



USask OMPS 745 nm SAOD



SAGE 756 nm SAOD



SAOD 20° S

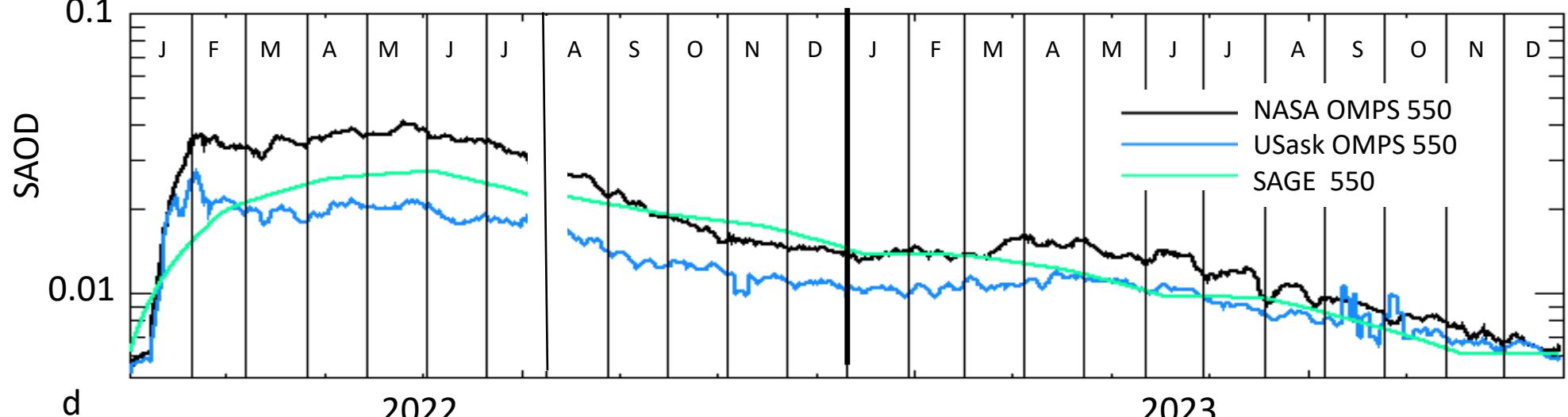
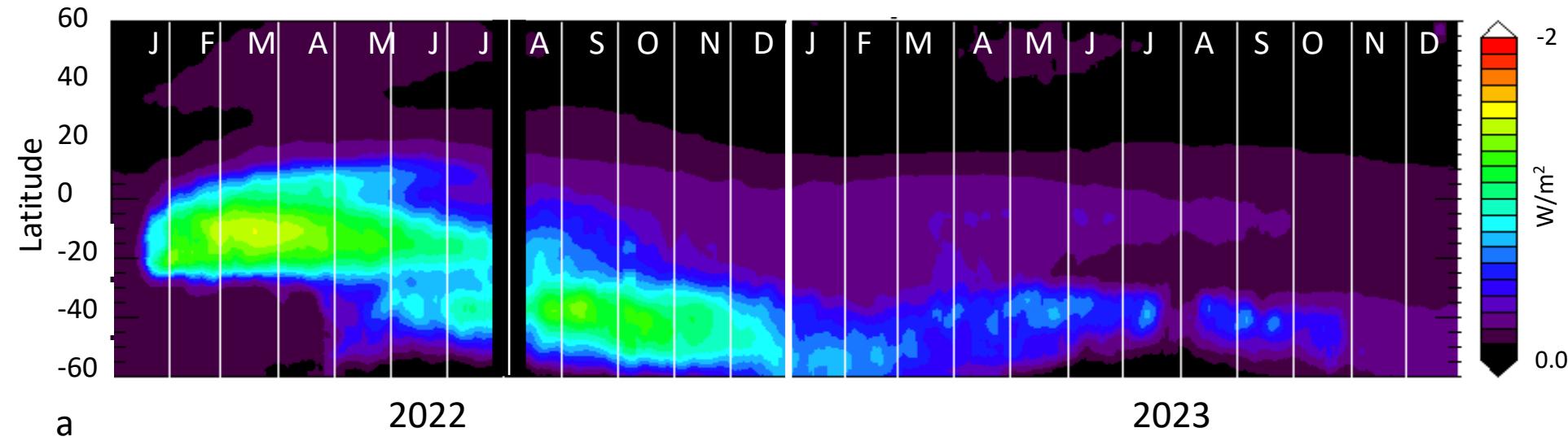


Fig. 4

Figure 5.

NASA OMPS Reduction in Direct Solar Forcing



a

2022

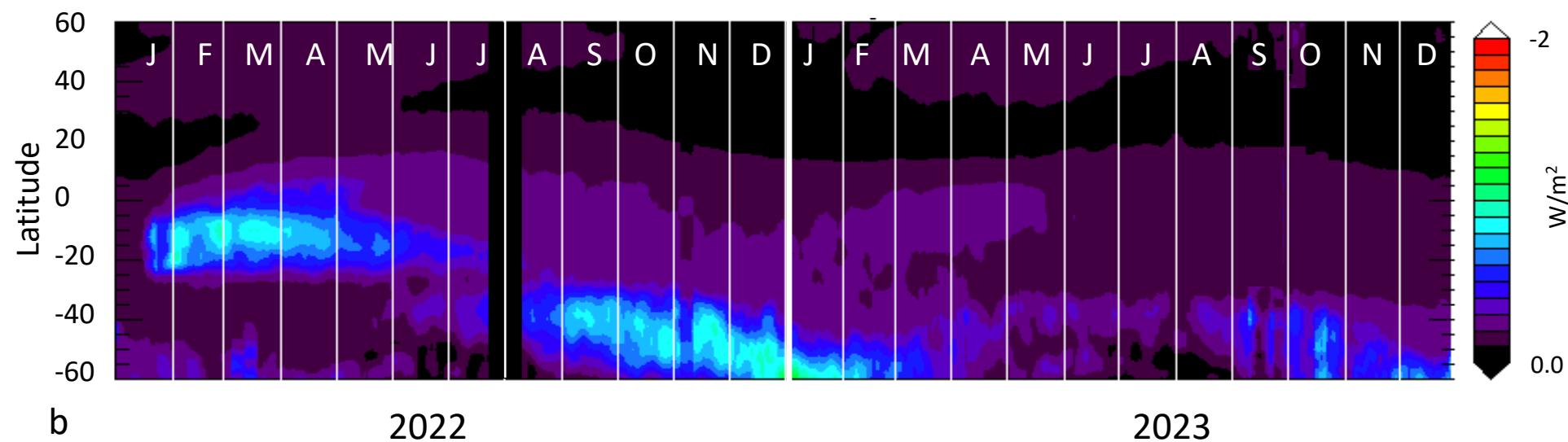
2023

-2

W/m²

0.0

USask OMPS Reduction in Direct Solar Forcing



b

2022

2023

-2

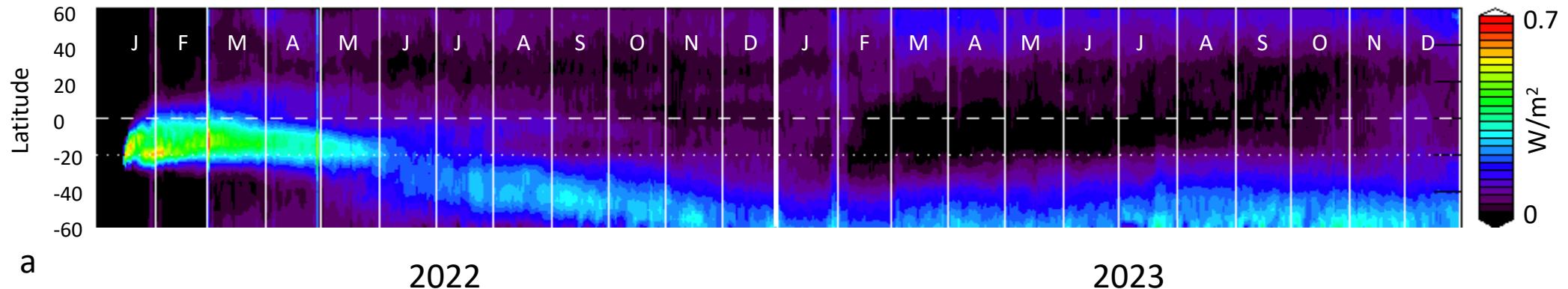
W/m²

0.0

Fig. 5

Figure 6.

Change Longwave Flux due to in ΔH_2O

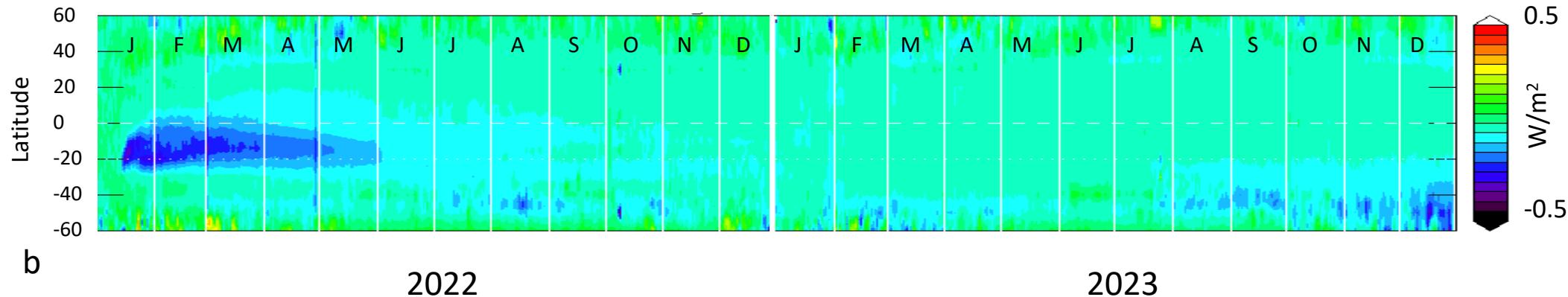


a

2022

2023

Change Shortwave Flux due to in ΔH_2O



b

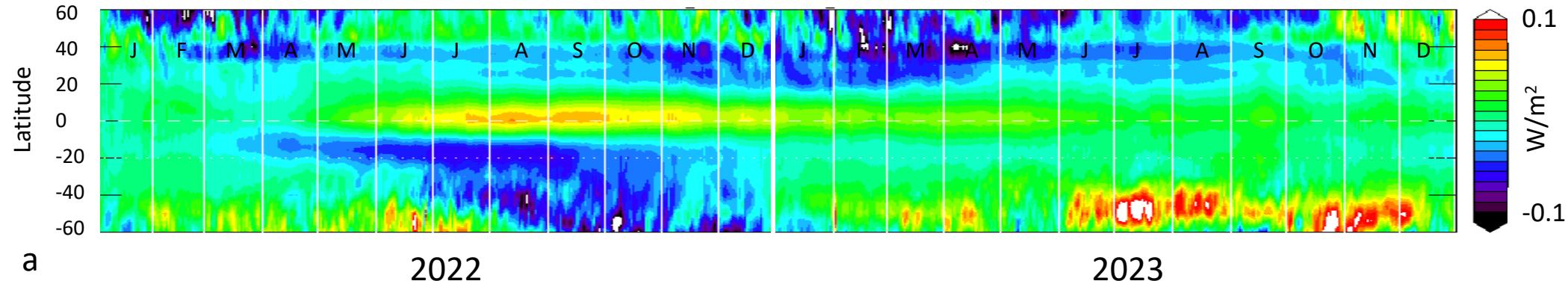
2022

2023

Fig. 6

Figure 7.

Change Longwave Flux due to in ΔO_3



Change in Shortwave Flux due to in ΔO_3

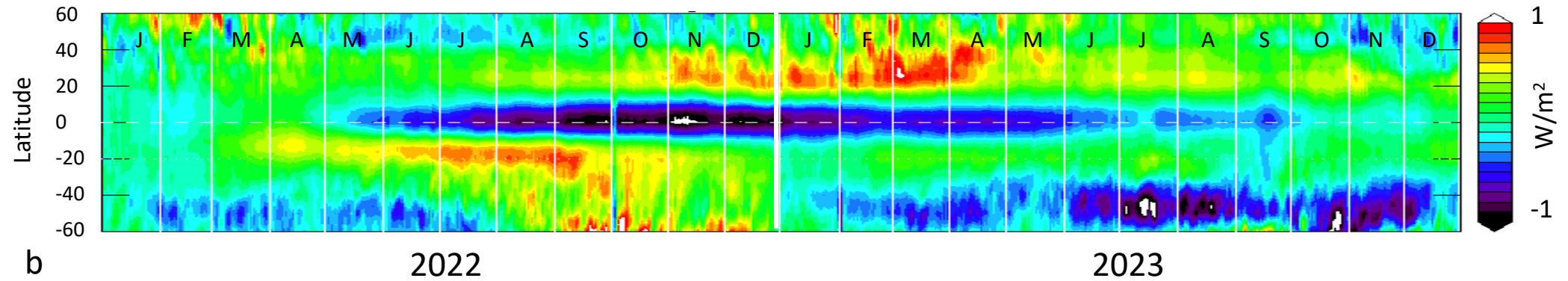


Fig. 7

Figure 8.

Change in Longwave Flux due to ΔT

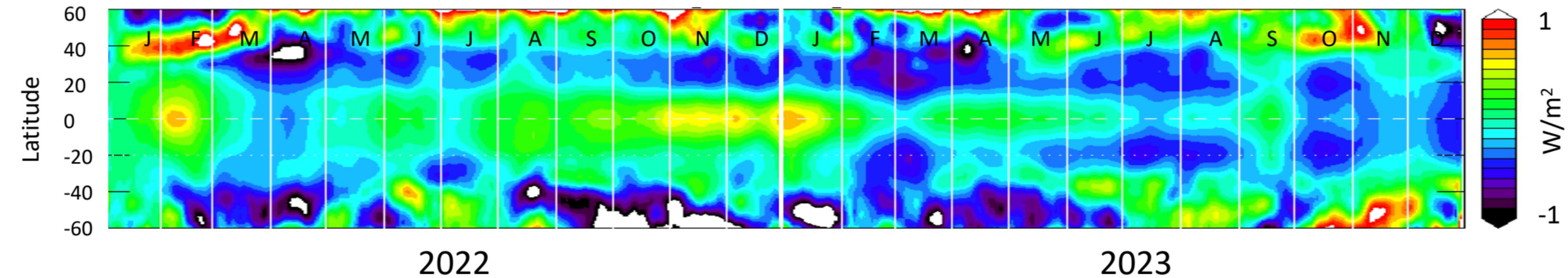


Fig. 8

Figure 9.

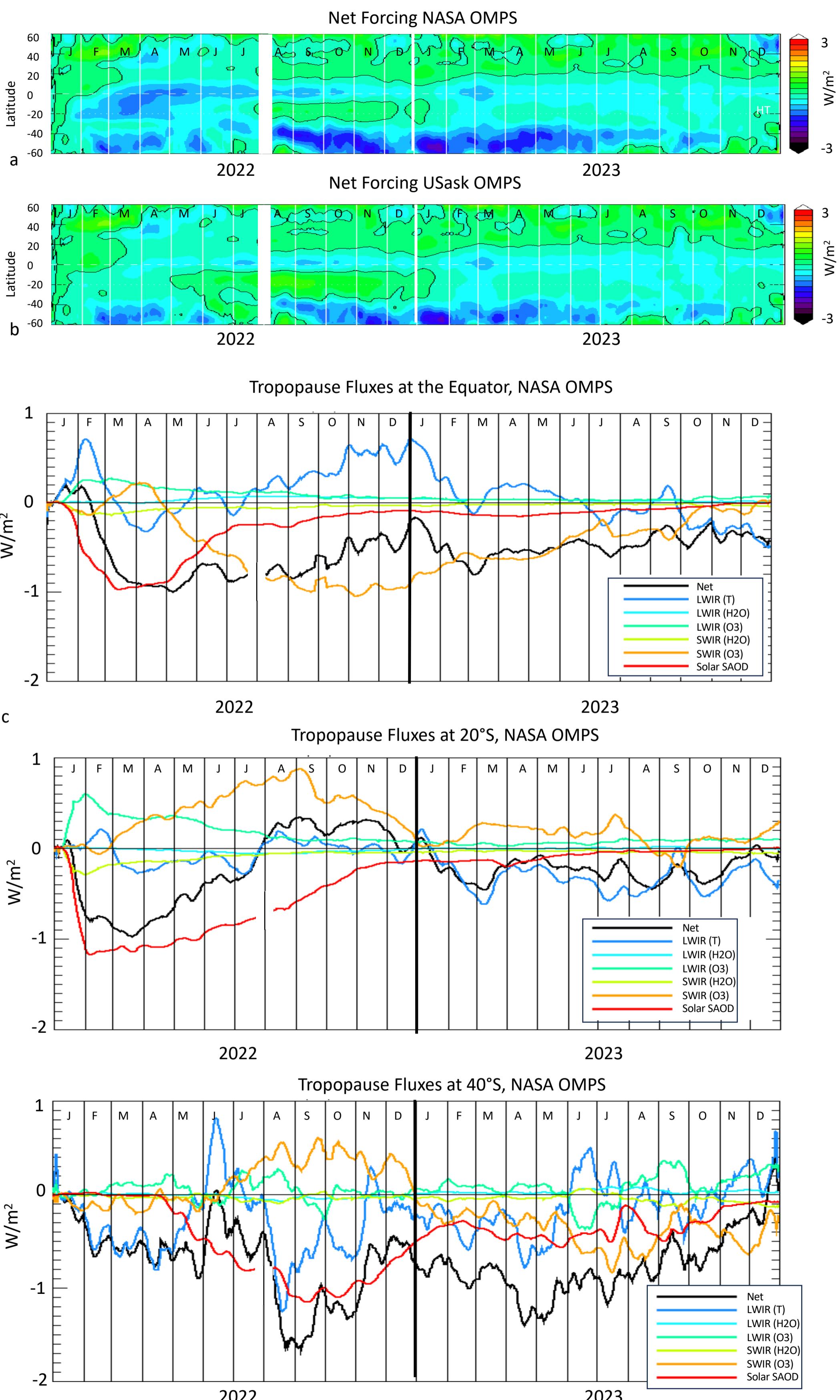
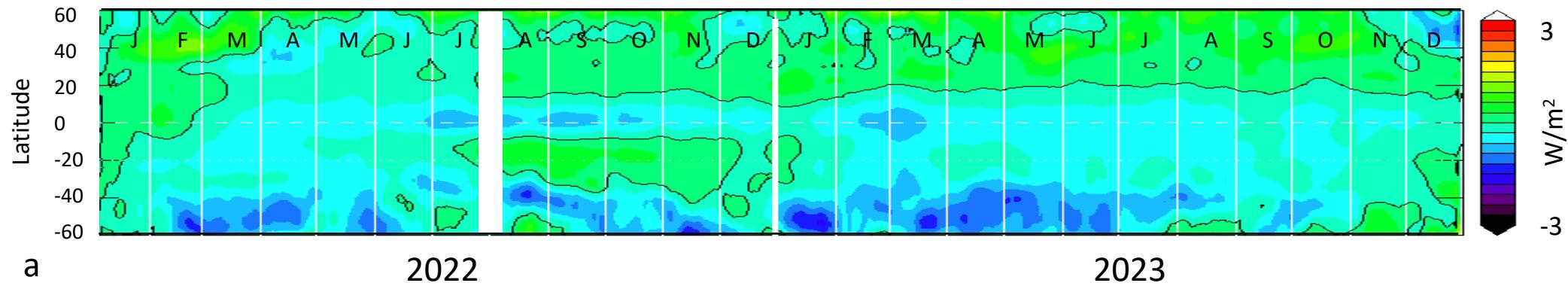


Fig. 9

Figure 10.

Net Forcing All Sky NASA SAOD



Net Forcing All Sky and USask SAOD

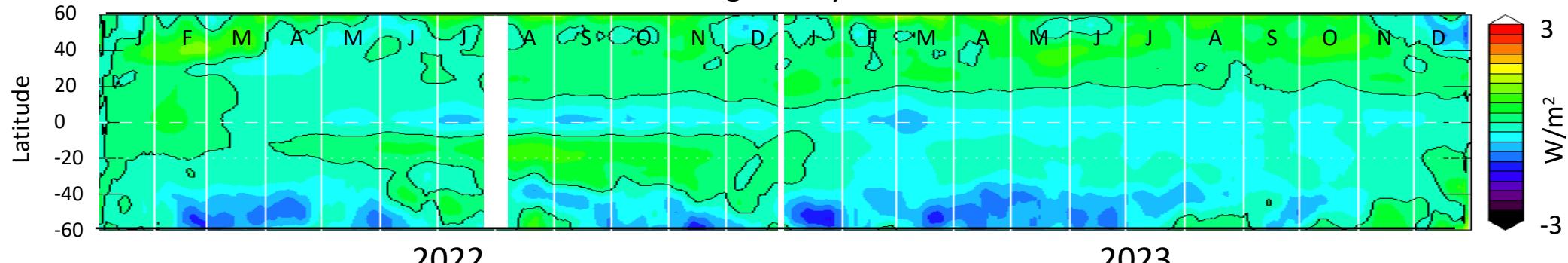


Fig. 10

Figure 11.

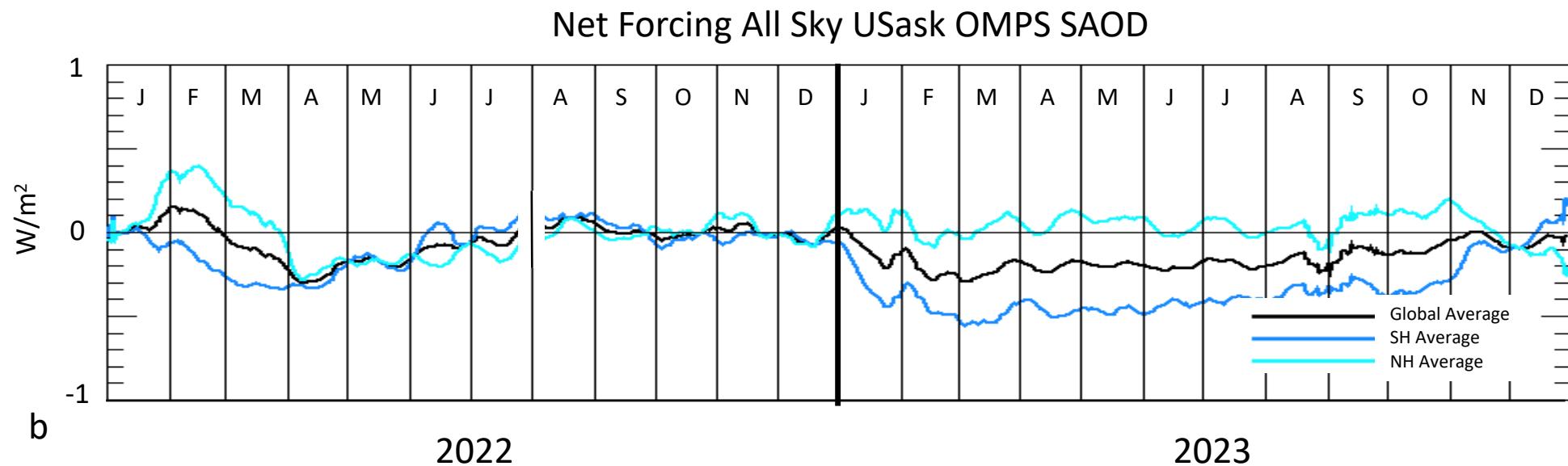
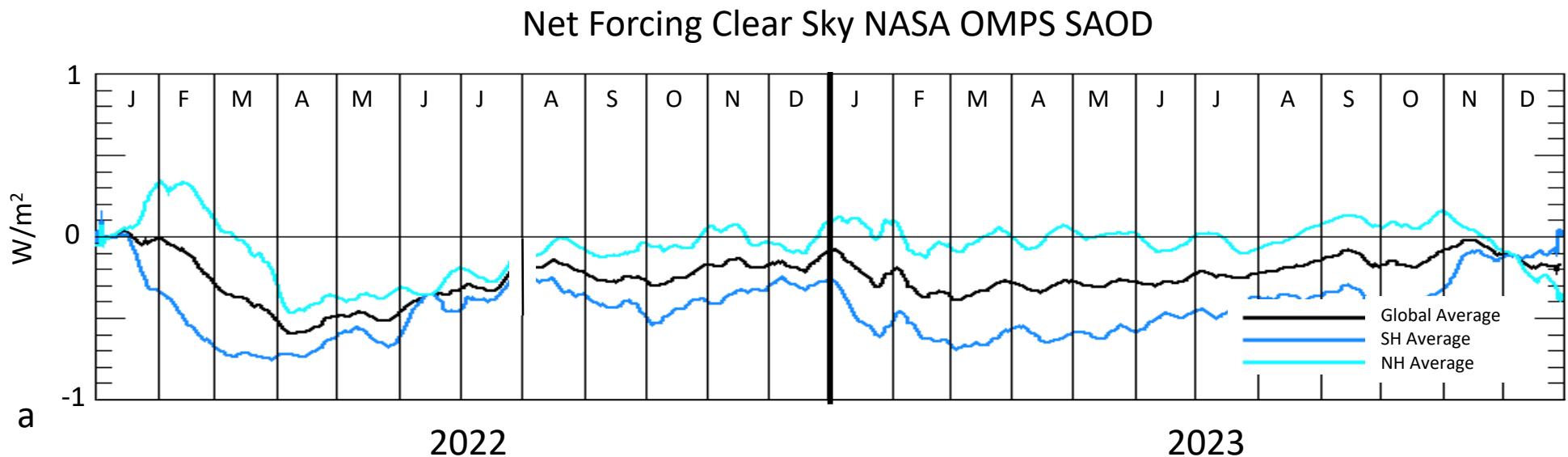


Fig. 11