

# **Evaluating Drought Responses of Surface Ozone Precursor Proxies: Variations with Land Cover Type, Precipitation, and Temperature**

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## **Key Points:**

- Satellite retrievals of tropospheric NO<sub>2</sub> and HCHO show drought enhancements of 3.5% and 7.7%, respectively, during Eastern US summers
- Low precipitation and high temperatures both independently drive HCHO drought enhancement (10%) in Southeast US woody savannas
- High temperatures drive NO<sub>2</sub> drought enhancement (6.0%) in Midwest US croplands and grasslands

## Abstract

Prior work suggests drought exacerbates U.S. air quality by increasing surface ozone concentrations. We analyze 2005-2015 tropospheric column concentrations of two trace gases that serve as proxies for surface ozone precursors retrieved from the OMI/Aura satellite: nitrogen dioxide ( $\Omega\text{NO}_2$ ;  $\text{NO}_x$  proxy) and formaldehyde ( $\Omega\text{HCHO}$ ; VOC proxy). We find 3.5% and 7.7% summer drought enhancements for  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$ , respectively, corroborating signals previously extracted from ground-level observations. When we subset by land cover type (using MCD12Q1) and isolate the influences of precipitation and temperature on drought, we find the strongest  $\Omega\text{HCHO}$  drought enhancement (10%) in the woody savannas of the Southeast US. This increase likely reflects biogenic VOC emissions and occurs independently with both high temperature and low precipitation. The strongest  $\Omega\text{NO}_2$  drought enhancement (6.0%) occurs over Midwest US croplands and grasslands, which we infer to reflect the sensitivity of soil  $\text{NO}_x$  emissions to temperature.

## Plain Language Summary

Projected increases in drought severity and frequency for this century raise questions regarding possible impacts on air quality. Surface ozone, an air pollutant estimated to cause over one million annual premature deaths globally, forms when its precursor gases react in the atmosphere. These precursor gases depend on temperature and precipitation and thus can respond to drought. We analyze over a decade of satellite observations of two trace gases relevant to ozone formation and find that, on average, their concentrations increase during summer droughts in the Eastern US. While we find that higher temperatures during droughts are usually associated with observed increases in trace gas concentrations, in some regions we find increases associated with low precipitation independent of temperature. Satellite detection of these changes implies promise for application to other regions and more generally for improving mechanistic understanding of air quality responses to drought and other climate extremes.

## 1 Introduction

Surface ozone pollution exacerbates respiratory diseases and has been linked to 1.23 million premature fatalities across the globe annually (Lelieveld et al., 2015; Malley et al., 2017).

Tropospheric ozone production occurs during oxidation of volatile organic compounds (VOCs) as they react with nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) in the presence of sunlight, and thus peaks during the warm season at mid-latitudes (Sillman et al., 1990). Surface ozone and its precursor gases,  $\text{NO}_x$  and VOCs, have been found to increase across much of North America during drought (Wang et al., 2017). More severe US droughts are projected for the 21<sup>st</sup> century due to both lower precipitation and increased evapotranspiration as temperatures rise, with average soil moisture decreasing by up to 12% (Dai, 2013). Below, we exploit over a decade of products retrieved from the Ozone Monitoring Instrument (OMI) aboard the Aura satellite to investigate the implications of drought—parsed separately for the influences of temperature and precipitation—on ozone-related gases during Eastern US summers.

We define drought as an extended period of low precipitation anomalies, which can be exacerbated by high temperatures and increased evapotranspiration, contributing to water-stressed conditions in soil, vegetation, and the atmosphere (Wang et al., 2017). Natural and biogenic  $\text{NO}_x$  sources, such as soil  $\text{NO}_x$ , lightning, and wildfires respond to precipitation and temperature, while anthropogenic fossil fuel combustion can be climate-influenced if air conditioning use increases in extreme heat (Abel et al., 2017; Jaeglé et al., 2005; Logan, 1983). Biogenic VOCs (BVOC) emitted from vegetation and wildfires are also linked to temperature and soil moisture and contribute the majority of the global VOC budget (Guenther et al., 2000).

Current satellites do not directly measure near-surface ozone concentrations (Duncan et al., 2014). We use  $\text{NO}_2$  and HCHO as proxies for tropospheric  $\text{NO}_x$  and biogenic VOCs (BVOCs), respectively, the major summertime ozone precursors in the eastern U.S. (e.g., Zhu et al., 2016). Our satellite-based analysis complements a previous study using ground-based measurements, which found a 2-9% increase in  $\text{NO}_2$  and a 7-20% increase in isoprene concentrations during drought (Wang et al., 2017). Understanding how ozone precursors respond to drought is relevant for projecting future changes and improving air quality forecasts, and satellite retrievals offer expanded observational coverage to regions lacking ground instruments.

We expect that several processes will enhance  $\Omega\text{NO}_2$  during drought: (i) soil  $\text{NO}_x$  pulses following rainfall after an extended low precipitation anomaly, especially in grasslands and

croplands (Hudman et al., 2010; Jaeglé et al., 2004; Vinken et al., 2014; Williams et al., 1988; Yienger & Levy II, 1995); (ii) rapid decomposition of PAN during high temperature-driven drought (Sillman & Samson, 1995); (iii) increased lightning frequency during high temperature- and low precipitation-driven drought (Price, 2009); (iv) the use of dirtier power plants during high temperature-driven drought (Abel et al., 2017) and (v) the increase of wildfires during high temperature- and low precipitation-driven drought (Delmas et al., 1995; Koppmann et al., 2005). We expect increases in  $\Omega\text{HCHO}$  from: (i) enhanced leaf foliage emissions of isoprene during droughts with anomalously high temperatures but not extreme water-stress, especially in mixed forests and woody savannas (Brilli et al., 2007; Fortunati et al., 2008; Guenther et al., 1993) and (ii) the increase of wildfires during high temperature- and low precipitation-driven drought (Koppmann et al., 2005; Price, 2009; Singh et al., 2012).

## 2 Data and Methods

### 2.1 Eastern US Land Cover Type Classification

We delineate the Eastern US as 23.5 °N to 49.25 °N and -104 °W to -62 °W. We further subdivide this region into the Northeast (37.75 °N to 49.25 °N; -91 °W to -62 °W), Southeast (23.5 °N to 37.75 °N; -91°W to -75.5°W), and Midwest (23.5 °N to 49.25 °N; lon: -104°W to -91°W). We classify land cover types using the NASA Moderate Resolution Imaging Spectrometer (MODIS) MCD12Q1 Land Cover Type product (500 m x 500 m resolution; Friedl & Sulla-Menashe, 2019; Strahler et al., 1999; see Text S1). The most abundant land cover types in each of the three sub-regions of the Eastern US are croplands and grasslands in the Midwest, woody savannas in the Southeast, and mixed forests in the Northeast (see Text S1). We include croplands and grasslands in a single Midwest analysis because their mean  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$  overall drought responses are the same (differences of less than 1.0%).

### 2.2 OMI/Aura Satellite Retrievals

We use daily  $\Omega\text{NO}_2$  (available 2005-2017) and  $\Omega\text{HCHO}$  (available 2005-2016) retrievals provided by the QA4ECV product from OMI/Aura (Boersma et al., 2017; De Smedt et al., 2017; Levelt et al., 2006; Zhu et al., 2017), gridded to 0.125° x 0.125° resolution by calculating area-

weighted average, as described by Jin et al. (2020). We calculate monthly means from daily observations to reduce noise. To eliminate the influence of small sample sizes, we require at least 10 valid daily measurements to calculate monthly means (see Text S2). We compare drought versus normal conditions in June, July, and August.

### 2.3 Three Climate Indices: SPEI, SPI, STI

We quantify drought conditions using three climate indices; the Standardized Precipitation Evapotranspiration Index (SPEI), the Standardized Precipitation Index (SPI) and the Standardized Temperature Index (STI), which we develop by adapting the statistical approach used to generate SPI but replacing precipitation with temperature (Fan & van den Dool, 2008; McKee et al., 1993; Zscheischler et al., 2014; see Text S3). Below, we often refer to SPEI as the “overall” drought index or the “P- and T-driven” drought index, while we parse overall drought into “P-driven” drought using SPI and into “T-driven” drought using STI.

SPEI, SPI, and STI quantify climate anomalies on a monthly timescale, relative to long term average conditions at each grid cell. SPEI ( $0.5^\circ \times 0.5^\circ$  resolution) uses precipitation and evapotranspiration data to classify drought, which effectively incorporates both precipitation and temperature into the index. We use the SPEIbase version 2.5 dataset, which calculates evapotranspiration using the FAO-56 Penman-Monteith equation and incorporates Climate Research Unit Time Series (CRU TS) precipitation and potential evapotranspiration data (University of East Anglia Climatic Research Unit et al., 2017). SPEIbase data are available through 2015 (University of East Anglia Climatic Research Unit et al., 2017; Vicente-Serrano, Beguería, López-Moreno, Angulo et al., 2010; Vicente-Serrano, Beguería, & López-Moreno, 2010), so we restrict drought versus normal analyses to the time period 2005-2015.

SPI incorporates only precipitation data, which makes it simpler to calculate than SPEI, but less indicative of temperature conditions (Keyantash & National Center for Atmospheric Research

Staff, 2018; McKee et al., 1993; see Text S3). We calculate SPI using CRU TS monthly precipitation data interpolated onto a  $0.5^\circ \times 0.5^\circ$  resolution grid (University of East Anglia Climatic Research Unit et al., 2020). Similarly, STI incorporates only temperature data, using monthly temperature data from the Global Historical Climatology Network and the Climate Anomaly Monitoring System (GHCN + CAMS) interpolated onto a  $0.5^\circ \times 0.5^\circ$  resolution grid (Fan & van den Dool, 2008; see Text S3).

SPEI ( $0.5^\circ \times 0.5^\circ$ ), SPI ( $0.5^\circ \times 0.5^\circ$ ), and STI ( $0.5^\circ \times 0.5^\circ$ ) are converted to match the spatial resolution of the OMI/Aura data ( $0.125^\circ \times 0.125^\circ$ ) by sampling the coarser, original grid cell values that encompass each finer grid cell. MODIS ( $500 \text{ m} \times 500 \text{ m}$ ) data are also re-gridded to  $0.125^\circ \times 0.125^\circ$  by sampling the value at the center of each coarser grid cell.

All three climate indices provide location-specific, calendar month-specific deviation values, with a mean of 0 and a standard deviation of 1, enabling comparisons across space and time. We define overall drought conditions as the 10% driest months ( $\text{SPEI} < -1.3$ ) as in Wang et al. (2017). For P-driven drought, we consider the 10% lowest precipitation months ( $\text{SPI} < -1.3$ ), while for T-driven drought, we consider the 10% highest temperature months ( $\text{STI} > 1.3$ ), both of which must also co-occur with overall drought conditions. We control P-driven and T-driven drought for the other variable to minimize bias in one index due to extreme values in the other. For P-driven drought, we include only SPI monthly values that correspond with the condition  $-1.3 \leq \text{STI} \leq 1.3$ . For T-driven drought, we only include STI values for which  $-1.3 < \text{SPI} < 1.3$ . For all drought classifications, SPEI values between -0.5 and 0.5 correspond with normal conditions (Wang et al., 2017).

## 2.4 Statistical Methods

We apply Welch's t-test to assess significance in mean column concentration differences between normal and drought conditions, and an ordinary (Pearson) least-squares linear regressions to understand the correlative relationship between gas concentrations and SPEI, as well as SPI and STI (each controlled for the other index, as described in Section 2.3). When using Welch's t-test to compare overall drought and normal month  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$ , we

include only grid cells that experience at least three drought months and at least three normal months in order to ensure spatial consistency between drought and normal month population samples (see Text S4). When analyzing P-driven and T-driven drought in comparison with normal conditions, we use a minimum threshold of one drought and one normal month to maximize use of available data. We also apply linear regressions to individual grid cells to reflect temporal variability. Because linear regressions do not rely on categorical distinctions between drought and normal months, as in the case of Welch's t-test comparisons, we include all data. When we apply linear regressions to  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$  against SPI and STI, as well as to SPI against STI, we use the full period of 2005-2016 (for all  $\Omega\text{HCHO}$  analyses) and 2005-2017 (for all  $\Omega\text{NO}_2$  and STI v. SPI analyses) to maximize the OMI/Aura sample size.

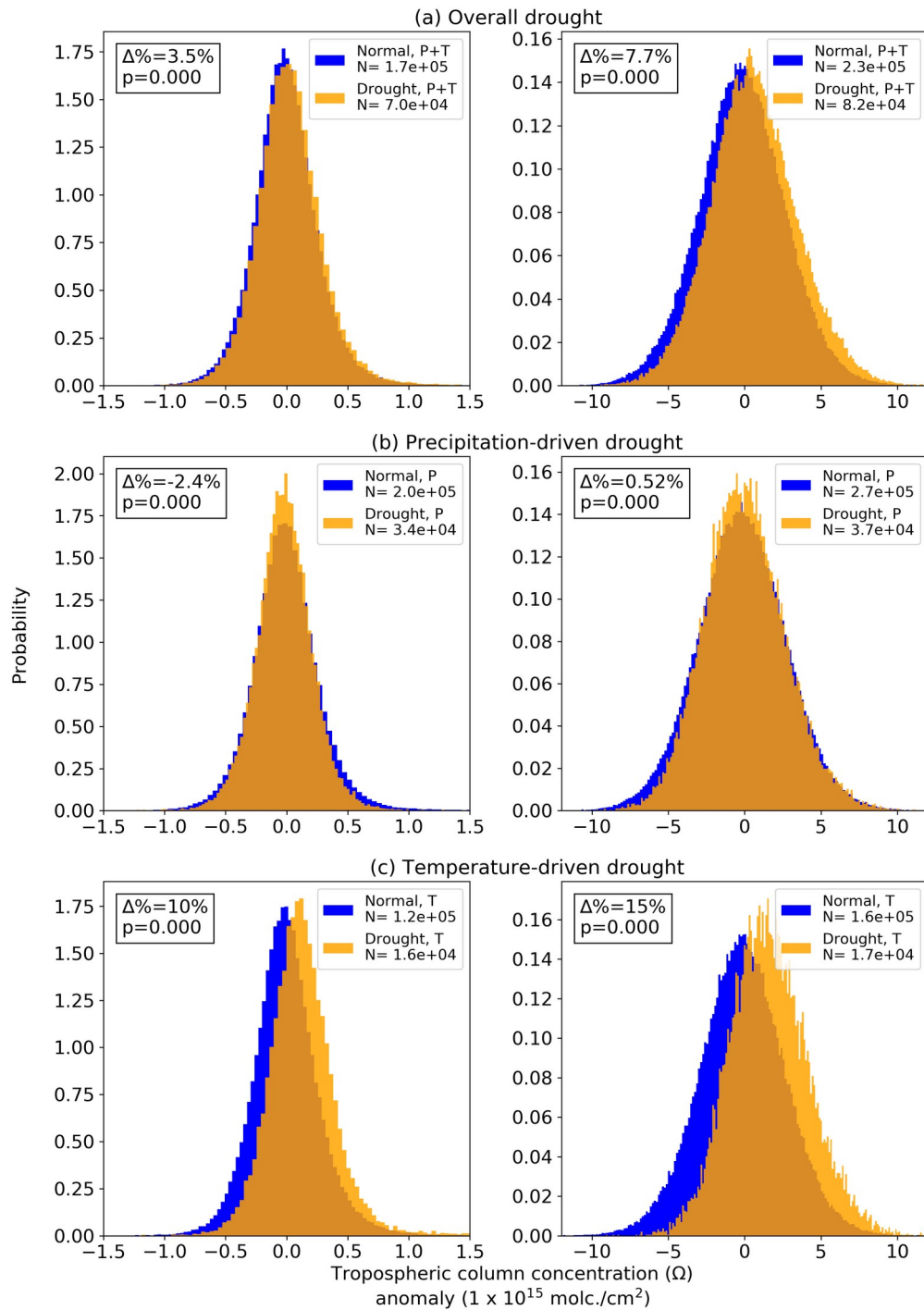
### 3 Results & Discussion

#### 3.1 Overall response of HCHO and $\text{NO}_2$ to drought over the Eastern US

In our broad analysis of Eastern US summers (JJA), we find a mean overall drought enhancement of 3.5% ( $p=0.000$ ) in  $\Omega\text{NO}_2$  and 7.7% ( $p=0.000$ ) in  $\Omega\text{HCHO}$ , consistent in sign and magnitude with a previous analysis of ground-level measurements of  $\text{NO}_x$  and VOCs in North America (Wang et al., 2017; Figure 1a).  $\Omega\text{NO}_2$  increases on average by 10% ( $p=0.000$ ) during T-driven drought but changes by -2.4% ( $p=0.000$ ) for P-driven drought (Figure 1b,c). Similarly,  $\Omega\text{HCHO}$  is enhanced by 15% ( $p=0.000$ ) during T-driven drought but changes little during P-driven drought (+0.52%,  $p=0.000$ ; Figure 1b,c). We thus infer that high temperatures, rather than low precipitation, dominate the broad scale drought response signal in the Eastern US. Given the smaller responses to precipitation than temperature, we infer that changes in the wet depositional sink are not the primary driver of enhanced  $\Omega\text{HCHO}$  and  $\Omega\text{NO}_2$  during drought.

While we infer that  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$  regional drought enhancements predominantly reflect the influence of high temperatures, the magnitude of the T-driven drought enhancement for both  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$  is weaker than when pure high-temperature anomalies ( $\text{STI} > 1.3$ ) are compared to average temperature conditions ( $-0.5 < \text{STI} < 0.5$ , both normalized for SPI), where the  $\Omega\text{NO}_2$  enhancement is 16% and the  $\Omega\text{HCHO}$  enhancement is 18% (not shown). This finding suggests that the observed regional drought responses reflect the slight attenuating effect of

water-stress on temperature-dependent emissions sources, like soil  $\text{NO}_x$  and foliar isoprene emissions, rather than a distinct drought signal (Brilli et al., 2007; Yienger & Levy II, 1995).

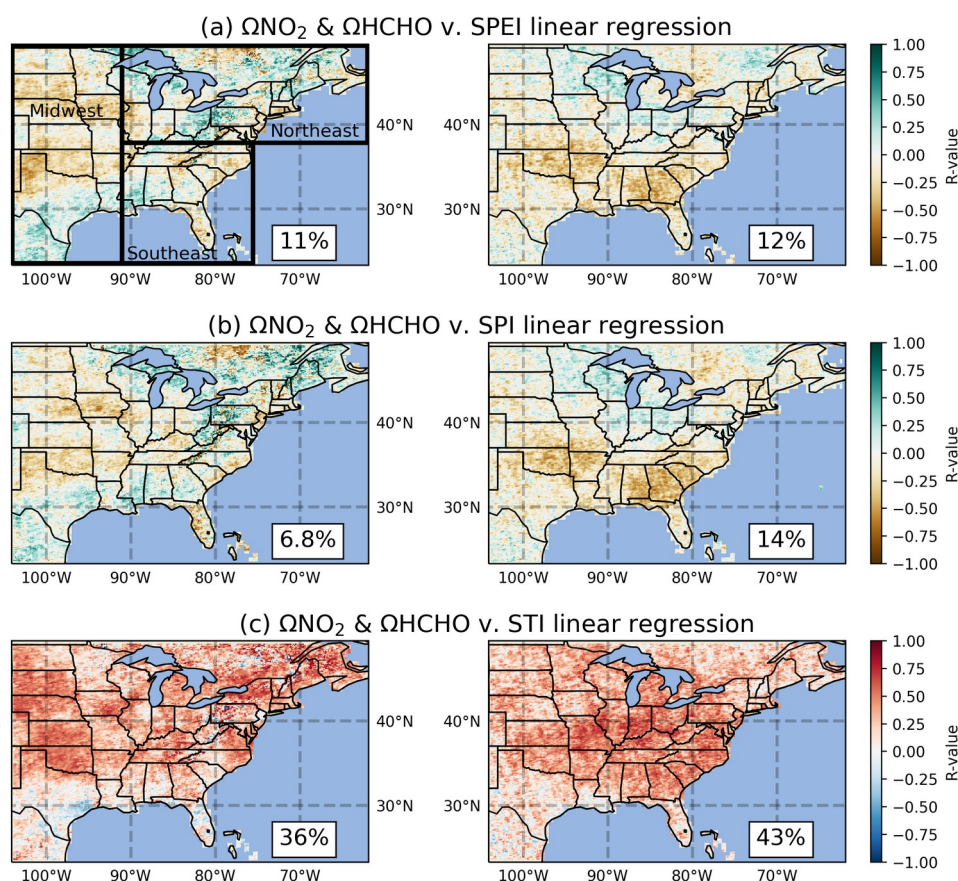


**Figure 1:** Probability distributions of monthly mean  $\Omega\text{NO}_2$  (left) and  $\Omega\text{HCHO}$  (right) anomalies over the Eastern US during June, July, and August, separately for drought (orange) and normal (blue) conditions, where drought is defined as overall drought (1a), precipitation-driven drought (1b), and temperature-driven drought (1c), for 2005-2015.  $\Delta\%$  values represent concentration percentage changes from normal to drought conditions. See Section 2.3 for definitions.



### 3.2 Drought Response by Land Cover Type

Our finer scale analyses reveal regional variations in  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$  drought responses (Figure 2a,b,c).  $\Omega\text{NO}_2$  experiences its strongest overall drought enhancement in the croplands and grasslands of the Midwest US, with a mean enhancement of 6.0% ( $p=0.000$ ; Figure 3c). For  $\Omega\text{HCHO}$ , the strongest overall drought enhancement occurs in the woody savannas of the Southeast US, with a mean enhancement of 10%,  $p=0.000$  (Figure 4d). Because anthropogenic sources of VOCs contribute only 30% of overall VOC emissions in North America and most anthropogenic sources of  $\text{NO}_x$  are climate-independent, we infer that sub-regional differences in drought responses are primarily due to variation in natural and biogenic emissions sources (Chen et al., 2019; Wang et al., 2017).



**Figure 2:** Ordinary least squares (Pearson) correlation of either  $\Omega\text{NO}_2$  (left) or  $\Omega\text{HCHO}$  (right) monthly anomalies regressed against SPEI (top, 2a), SPI (middle, 2b), or STI (bottom, 2c) climate indices, over the Eastern US during summer (JJA). SPEI regressions (2a) are for 2005-2015, while SPI (2b) and STI (2c) regressions are for 2005-2016 ( $\Omega\text{HCHO}$ ) and 2005-2017 ( $\Omega\text{NO}_2$ ). Percentage values in bottom, right corners show the percentage of non-water grid cells in which  $p < 0.05$ .

Additionally, drought occurs less than three times from 2005-2015 in a high portion of grid cells in the Northeast US (see Figure S4). Accordingly, we find only 11% (for NO<sub>2</sub>; not shown) and 24% (for HCHO; not shown) of Northeast mixed forests grid cells are represented in our analyses after applying the minimum requirement for number of drought and normal months (see Section 2.4). In the Midwest croplands and grasslands, 46% of grid cells are represented in our analyses (for both NO<sub>2</sub> and HCHO), while in the Southeast woody savannas 62% (for NO<sub>2</sub>) and 75% (for HCHO) of grid cells are represented (not shown). Given the limited spatial representation of data in the Northeast mixed forests, we include results for this region only in Supplemental Figure S5, as our sample size limits us from drawing robust inferences.

### 3.2.1 $\Omega\text{NO}_2$

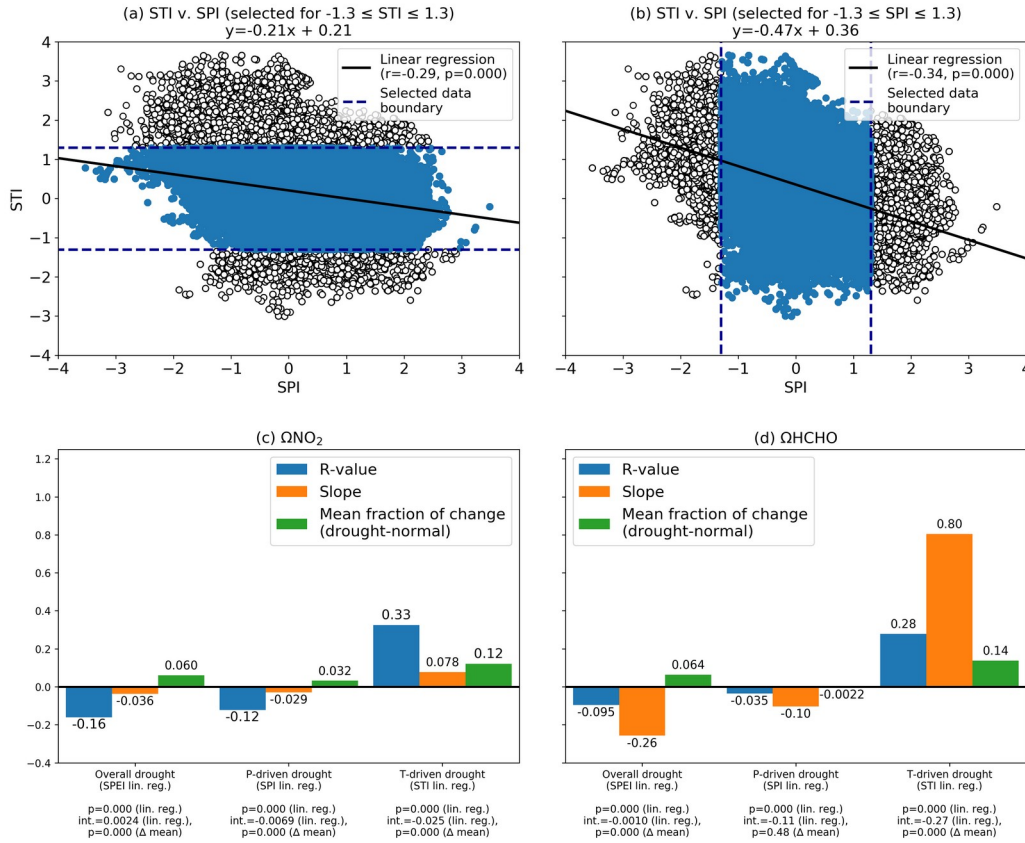
The strong overall  $\Omega\text{NO}_2$  drought enhancement (6.0%,  $p=0.000$ ) we observe in the croplands and grasslands of the Midwest mainly reflects a T-driven drought response (+12%,  $p=0.000$ ), rather than a P-driven drought response (+3.2%,  $p=0.000$ ; Figure 3c). We infer that this response reflects enhancements in soil NO<sub>x</sub> emissions during overall drought, driven by warm, dry conditions during T-driven drought, in light of earlier work indicating a strong, temperature-dependent soil NO<sub>x</sub> signal in  $\Omega\text{NO}_2$  (Hudman et al. 2010; Vinken et al., 2014).

Despite previous findings of pulsing of soil NO<sub>x</sub> emissions following rainfall on water-stressed soil (Hudman et al., 2010; Jaeglé et al., 2004; Williams et al., 1988; Yienger & Levy II, 1995), we find only moderate concentration increases during P-driven drought (+3.2%; Figure 3c). The negative correlation between SPI values and  $\Omega\text{NO}_2$  anomalies ( $r=-0.12$ , slope=-0.029, intercept=-0.0069,  $p=0.000$ ), leads us to infer that water-stressed soil induces microbial rain-pulsing emissions, but that this effect is attenuated due to water-starved soil in the lowest-precipitation months (Figure 3c; Hudman et al., 2010; Yienger & Levy II, 1995). This conclusion is supported by the weak negative correlation between SPI and STI values ( $r=-0.29$ , slope=-0.21, intercept=0.21,  $p=0.000$ ), because higher temperatures during drought months would further amplify rain-pulsing intensity unless, as we infer, water-starved soils inhibit soil NO<sub>x</sub> emissions altogether (Figure 3a; Yienger & Levy II, 1995). Irrigation could also potentially explain the

diminished effects of rain-pulsing emissions, as it prevents the water-stressed conditions that trigger rain-pulsing events (Yienger & Levy II, 1995).

We infer that the overall  $\Omega\text{NO}_2$  drought enhancement, as well as the positive correlation between  $\Omega\text{NO}_2$  anomalies and STI ( $r=0.33$ , slope= $0.078$ , intercept= $-0.025$ ,  $p=0.000$ ) observed in the Midwest (Figure 3c) reflects temperature dependent  $\text{NO}_x$  sources, such as soil  $\text{NO}_x$ , lightning, fossil fuel combustion, and/or a shorter lifetime of thermally-sensitive  $\text{NO}_x$  reservoir species, notably peroxy acetyl nitrate (PAN; Abel et al., 2017; Price, 1993; Sillman & Samson, 1995; Yienger & Levy II, 1995). Soil  $\text{NO}_x$  emissions are strongly temperature dependent, increasing exponentially with temperature in water-saturated soils and roughly linearly in water-stressed soils, and can account for a majority of summer  $\text{NO}_x$  emissions in croplands and grasslands (Stocker et al., 1993; Yienger & Levy II, 1995). Thus, soil  $\text{NO}_x$  emissions can increase during T-driven drought, even in the absence of rain-pulsing.

Overall, we find that  $\Omega\text{NO}_2$  increases during overall drought in areas where soil  $\text{NO}_x$  emissions comprise a large portion of the total emissions inventory, such as croplands and grasslands (Figure 3c; Vinken et al., 2014; Weng et al., 2020; Williams & Fehsenfeld, 1991). Consistent with this conclusion, the woody savannas of the Southeast US, where soil  $\text{NO}_x$  emissions may be fifty times smaller than in Midwest grasslands (Weng et al., 2020; Williams & Fehsenfeld, 1991; Figure 4c), show little change in  $\Omega\text{NO}_2$  during overall drought ( $-0.73\%$ ;  $p=0.021$ ), as well as during P-driven ( $-1.6\%$ ,  $p=0.0016$ ) and T-driven drought ( $3.1\%$ ,  $p=0.000$ ; Figure 4c).



**Figure 3:** Midwest US croplands and grasslands, drought versus normal conditions comparison. STI is regressed against SPI during June, July, and August of 2005-2017, selecting only grid cells that meet the condition  $-1.3 \leq STI \leq 1.3$  (blue points; 3a). STI is also regressed against SPI for the same time period, selecting only grid cells that meet condition  $-1.3 \leq SPI \leq 1.3$  (blue points; 3b). Regression line equations are included in titles of 3a and 3b.  $\Omega\text{NO}_2$  (3c) and  $\Omega\text{HCHO}$  (3d) anomalies in the region are regressed against SPEI, SPI (within selected point range in 3a), and STI (within selected point range in 3b), with r-values (leftmost, blue bars), slopes (middle, orange bars) and p-values and intercepts reported on x-axis tick labels (lin. reg.). SPEI regressions are for 2005-2015, while SPI and STI regressions are for 2005-2016 ( $\Omega\text{HCHO}$ ) and for 2005-2017 ( $\Omega\text{NO}_2$ ). Mean  $\Omega\text{NO}_2$  (3c) and  $\Omega\text{HCHO}$  (3d) changes between drought versus normal conditions for overall, P-driven and T-driven drought (all 2005-2015), are reported as fractions (rightmost, green bars), with p-values reported on x-axis tick labels ( $\Delta$  mean).

### 3.2.2 $\Omega\text{HCHO}$

The strong  $\Omega\text{HCHO}$  overall drought enhancement in the Southeast US woody savannas (+10%,  $p=0.000$ ) reflects both a P-driven (+7.5%,  $p=0.000$ ) and T-driven drought response (+9.2%,  $p=0.000$ ; Figure 4d). Previous findings have established that isoprene emissions, which account for roughly 35% of total BVOC emissions in North America, are enhanced by higher temperatures (Brilli et al., 2007; Guenther et al., 1993, 1995, 2000;). Thus, we infer that the temperature response of BVOC emissions from terrestrial vegetation contributes to the positive correlation between  $\Omega\text{HCHO}$  anomalies and STI values ( $r=0.41$ , slope=1.2, intercept=-0.83,

$p=0.000$ ) and the negative correlation between  $\Omega\text{HCHO}$  anomalies and overall drought index values ( $r=-0.26$ , slope $=-0.77$ , intercept $=-0.099$ ,  $p=0.000$ ; Figure 4d).

Prior work suggests extreme water-stress during P-driven drought decreases emissions (Brilli et al., 2007; Fortunati et al., 2008). We find, however, higher  $\Omega\text{HCHO}$  during P-driven drought (+7.5%,  $p=0.000$ ; Figure 4d), which conflicts with the drying effect of low precipitation on soil moisture decreasing isoprene emissions by up to 25% during moderate water stress and up to 100% when soils are completely water-stressed (Brilli et al., 2007; Fortunati et al., 2008). To our knowledge, increasing VOC emissions with low precipitation and moisture (Figure 4d) has not previously been observed. Though we observe a weak tendency for temperatures to be higher during low precipitation months ( $r=-0.21$ , slope $=-0.15$ , intercept $=0.23$ ,  $p=0.000$ ; Figure 4a), this coupled influence is unlikely the dominant factor contributing to the observed 7.5%  $\Omega\text{HCHO}$  enhancement (Fortunati et al., 2008).

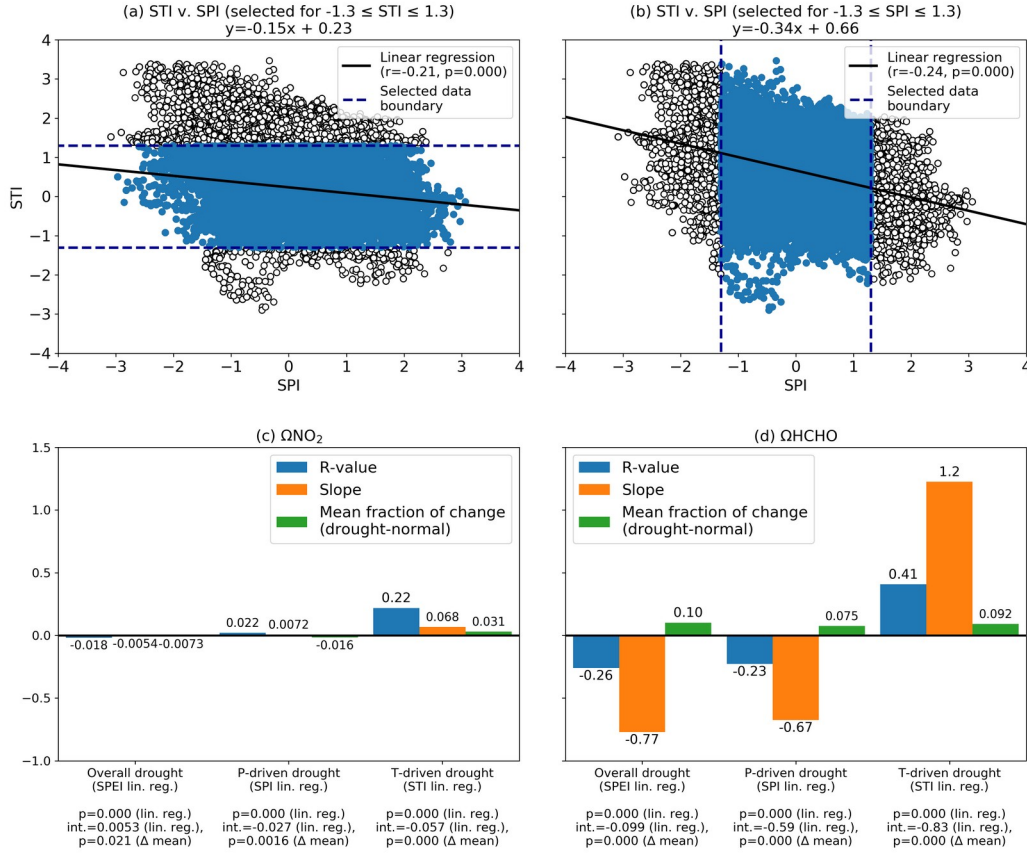
An alternative explanation is that higher emissions of monoterpenes, another BVOC, explain the  $\Omega\text{HCHO}$  enhancement during P-driven drought. Monoterpenes are known to be enhanced in water-stressed soils and are about one third as abundant as isoprene during Southeast US summers (Hagerman et al., 1997; Ormeño et al., 2007). Monoterpenes are emitted from certain species at equally high or higher rates during water stress than during average conditions, reflecting heightened carbon use for protection from environmental stressors, such as plant decomposition and insect infestation (Guenther et al., 1995; Ormeño et al., 2007; Pfister et al., 2008; Turtola et al., 2003). During P-driven drought, monoterpenes can protect trees from these threats, causing emissions to increase by nearly 40% in some coniferous species (Turtola et al., 2003). Thus, we infer that this unexpected, but substantial enhancement of  $\Omega\text{HCHO}$  during P-driven drought is predominantly caused by the release of monoterpenes in coniferous vegetation (Guenther et al., 1994; Hagerman et al., 1997; Purves et al., 2004).

HCHO emissions from wildfires during drought could explain a portion of the P-driven drought enhancement (Koppmann et al., 2005; Singh et al., 2012). However, terrestrial vegetation accounts for 98% of BVOC emissions in North America (Guenther et al., 2000), implying a small overall effect of wildfires on total  $\Omega\text{HCHO}$ . A smaller photochemical sink for HCHO

during drought could also help explain this result; photolysis seems unlikely to be reduced but drier conditions could reduce loss of HCHO by reaction with hydroxyl radical (OH) if OH production is limited by water vapor abundance (Valin et al., 2016).

The drought response of  $\Omega$ HCHO in Midwest US croplands and grasslands is entirely dominated by T-driven drought (+14%,  $p=0.000$ ) with no response during P-driven drought ( $p=0.48$ ), and an overall drought enhancement in Midwest croplands and grasslands of +6.4% ( $p=0.000$ ; Figure 3d). These enhancements are consistent with BVOC responses, especially isoprene emissions from terrestrial vegetation in croplands and grasslands (where monoterpenes are expected to contribute little to the overall VOC budget) under warmer temperatures (Bai et al., 2006; Guenther et al., 1995). We infer that the lack of a significant  $\Omega$ HCHO response to P-driven drought reflects the upward effect of higher temperatures balancing the downward effect of low precipitation on isoprene emissions, given the weak negative correlation between SPI and STI ( $r=-0.29$ , slope=-0.21, intercept=0.21,  $p=0.000$ ; Figure 3a,d; Fortunati et al., 2008).

Across our study domain, photolysis is a major loss pathway for HCHO. Photolysis is expected to increase during periods with fewer clouds, like drought, intensifying the chemical loss pathway for HCHO (Matthijsen et al., 1998). As such, HCHO emission enhancements during drought may be even stronger than suggested by observed concentration enhancements if higher photolysis shortens the HCHO lifetime during drought.



**Figure 4:** As in Figure 3 but applied to Southeast US woody savannas.

## 4 Conclusions

The OMI/Aura satellite detects broad scale enhancements in tropospheric columns of  $\text{NO}_2$  ( $\Omega\text{NO}_2$ ) and  $\text{HCHO}$  ( $\Omega\text{HCHO}$ ) in the Eastern US that are consistent with a previous analysis of ground-based observations in both sign and magnitude (Wang et al., 2017).  $\text{NO}_2$  and  $\text{HCHO}$  drought enhancements are both driven more strongly by high temperatures than by low precipitation. We infer that temperature-driven drought enhancements reflect known emission responses to temperature that occur irrespective of drought.

On finer scales, we find that changes in  $\Omega\text{NO}_2$  and  $\Omega\text{HCHO}$  depend on the land cover type over which a drought occurs and the extent to which the drought is P-driven versus T-driven.  $\Omega\text{HCHO}$  shows the largest overall drought enhancement in the woody savannas of the Southeast, with a mean enhancement of 10%. This response occurs during both P-driven and T-

driven droughts, suggesting that biogenic VOC emissions from terrestrial vegetation can be enhanced even when water stress is unrelated to the influence of high temperature (Guenther et al., 1993; Ormeño et al., 2007; Turtola et al., 2003). We hypothesize that the increase detected in the satellite HCHO product reflects enhanced monoterpene emissions during P-driven drought; this hypothesis could readily be tested with field process studies under drought versus normal conditions.

The  $\Omega\text{NO}_2$  drought enhancement is largest over Midwest croplands and grasslands, with a mean enhancement of 6.0%. We infer that soil  $\text{NO}_x$  emissions are predominantly enhanced by T-driven droughts, while surprisingly, the influence of rain pulsing during P-driven droughts does not significantly enhance emissions (Hudman et al., 2010; Vinken et al., 2014; Yienger & Levy II, 1995). Our analysis cannot definitively distinguish the contributions of individual sources or sinks, but future work with models and higher resolution satellite data (e.g., TROPOMI, the upcoming TEMPO; Zoogman et al., 2017; Fletcher & McMullan, 2016) should allow for a more detailed source attribution.

The predicted increase in drought frequency and severity across large regions of the world in the coming century, including the Eastern US, underlines the importance of understanding the effects of drought on air pollution (Dai, 2013). Our use of satellite instruments to detect surface ozone precursor gases could be extended globally to examine the mechanisms driving how pollutants, such as surface ozone, respond to climate extremes like drought.

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## Data Availability

We downloaded SPEIbase v2.5 from <http://dx.doi.org/10.20350/digitalCSIC/8508>, which incorporates temperature and potential evapotranspiration data from University of East Anglia Climatic Research Unit et al., 2017 at <http://dx.doi.org/10.5285/3df7562727314bab963282e6a0284f24> as described in Vicente-Serrano, Beguería, López-Moreno, Angulo et al., 2010 and Vicente-Serrano, Beguería, & López-Moreno, 2010. We calculated SPI using precipitation data from University of East Anglia Climatic Research Unit et al., 2020 at <http://dx.doi.org/10.5285/10d3e3640f004c578403419aac167d82> (see Text S3). We calculated STI using GHCN\_CAMS gridded 2m temperature data (see Text S3) provided by the NOAA/OAR/ESRL PSL, Boulder, Colorado, USA at <https://psl.noaa.gov/data/gridded/data.ghcncams.html>, as described in Fan & van den Dool (2008). We downloaded MODIS MCD12Q1 data described by Friedl & Sulla-Menashe, 2019 from <https://doi.org/10.5067/MODIS/MCD12Q1.006>. We used the tropospheric column OMI QA4ECV NO<sub>2</sub> product (Boersma et al., 2017) available at <http://doi.org/10.21944/qa4ecv-no2-gome2a-v1.1> and HCHO product (De Smedt et al., 2017) available at <http://doi.org/10.18758/71021031>. We will archive all data and code at Columbia University Academic Commons.

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