

Extreme low-latitude TEC enhancement and GPS Scintillation at dawn

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Key Points:

- Observations show expansion of equatorial ionization anomaly (EIA) beyond 35° magnetic latitude at a pre-dawn time.
- Total electron content of the EIA's northern crest in central America exceeded 50 TECu at sunrise.
- The EIA was accompanied by equatorial plasma bubbles, causing severe GPS scintillations lasting for five hours around sunrise.

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Abstract

We report on an extreme ionospheric plasma density enhancement and Global Positioning System (GPS) scintillation at dawn, observed within the expanding equatorial ionization anomaly (EIA). The total electron content (TEC) in central America reached 50 TECu at sunrise, the value almost twice as high as the normal afternoon peak. The enhanced EIA expanded poleward and westward from just below 20° magnetic latitude (MLAT) to beyond 30° MLAT at sunrise. The chief ramification of the enhanced EIA was strong GPS scintillation which was observed poleward of 30° northern MLAT and lasted until 8:00 local time. In total, the scintillation lasted for ~5 hours at latitudes north of 20° MLAT in central America.

Plain Language Summary

Low latitude ionosphere is conducive to a Rayleigh-Taylor instability inherent to the region where magnetic field lines are parallel to the Earth. The instability's growth rate typically peaks just after sunset, whereby an enhanced eastward electric field at the terminator facilitates its growth. The instability promotes profound density depletions to rise into higher altitudes, where small scale irregularities develop. The resulting density irregularities are the most profound space weather threat to traversing radio signals. The timing of the scintillating signals follows the development of the instability, thereby the post-sunset local times are the most susceptible to radio scintillations. We present observations where this instability developed in the early morning, and rapidly expanded at the sunrise instead. The instabilities caused severe scintillations of GPS signals, reaching latitudes of Yucatan, Mexico.

1 Introduction

Convective ionospheric storms (CIS) in the low-latitude ionosphere have been and continue to be a subject of intense theoretical and experimental studies. The CIS (Woodman & La Hoz, 1976; Ossakow & Chaturvedi, 1978; Hysell, 2000; Kelley et al., 2011) destabilizes ionospheric plasma near the magnetic equator by virtue of the Rayleigh-Taylor Instability (RTI). The instability facilitates the rise of plasma depletions (Equatorial Plasma bubbles, or EPB) that reach the topside ionosphere and advect down along the field lines to the low-latitude ionosphere, stretching between the EIA peaks (Hysell, 2000; Groves et al., 1997). The EPBs consist of a large spatial spread of underlying density irregularities (Ossakow, 1981; Kelley et al., 2011, cf.), which profoundly affect traversing radio-waves by means of Fresnel diffraction off the irregularities at scales around $\sqrt{2\lambda Z}$ (λ being signal's wavelength, and Z distance from a receiver) (Kintner et al., 2007), that is about 400 m at the GPS frequencies.

A consequence of the Fresnel diffraction is a scintillating signal's amplitude (Yeh & Liu, 1982; Basu et al., 1988; Groves et al., 1997), with a peculiar local time distribution which normally emerges after sunset, sometimes extending into the post-midnight sector (Basu et al., 1988; Béniguel et al., 2009; de Oliveira Moraes et al., 2017; Béniguel, 2019). The destabilizing driver that fosters the growth rate of the RTI and hence scintillation is the eastward electric field at the equator. The field normally peaks after sunset – the pre-reversal enhancement (Farley et al., 1986) – whose strength is modulated by geomagnetic activity and seasonality (Fejer & Scherliess, 1997).

Sporadic observations and reports of EPB near pre-sunrise local times have been reported (Burke, 1979; Fukao et al., 2003; de La Beaujardière et al., 2009; Zakharenkova et al., 2015; Wu et al., 2020); however, not much attention was given to these events, and our understanding of the impact on low-latitude TEC and scintillation is limited. A review of post-midnight EPBs points out that they preferentially occur during the geomagnetically quiet summer months of low solar activity (Otsuka, 2018). Nominal statistics

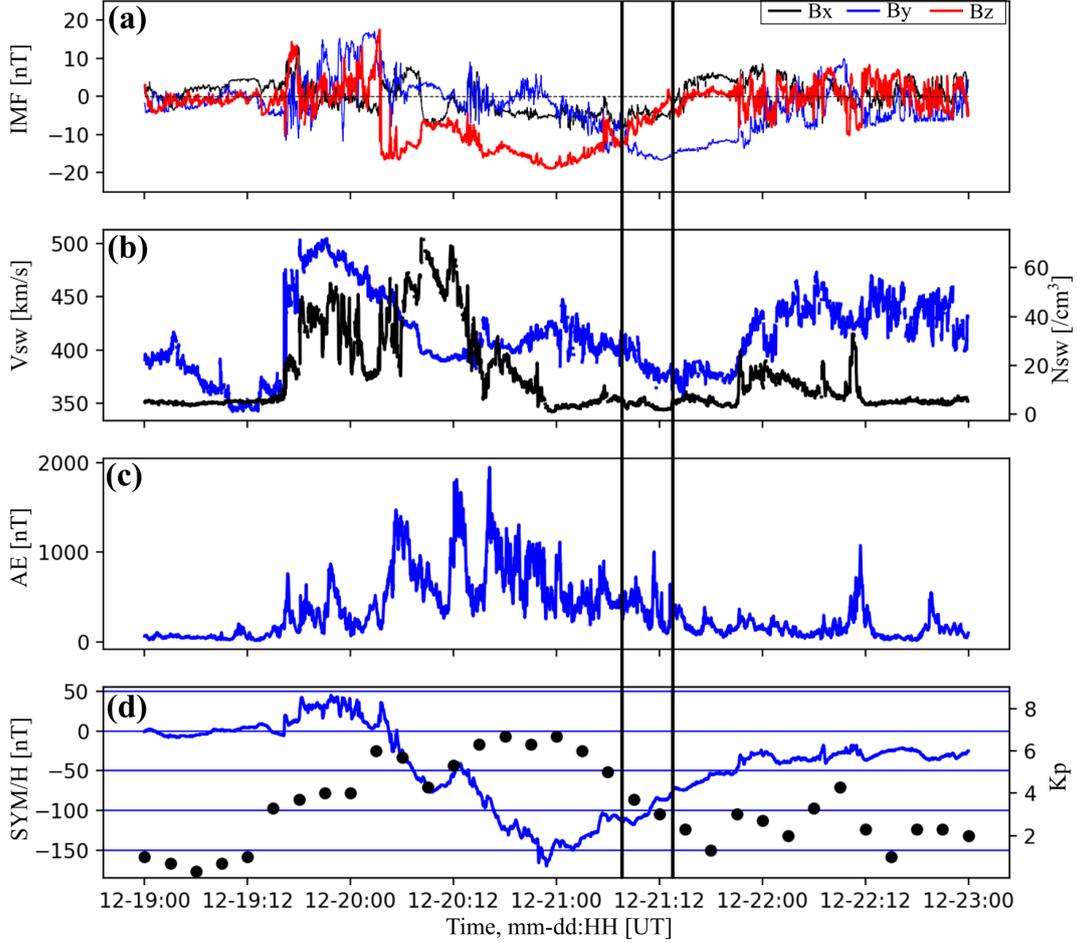


Figure 1. Solar wind and geomagnetic indices from the OMNIweb database. The time period under investigation is marked with vertical black lines. (a) Interplanetary magnetic field; (b) Solar wind speed (blue) and density (black). (c) Auroral electrojet index. (d) Sym/H (blue) and Kp (black) indices.

64 of radio (primarily GPS) amplitude scintillations (Basu et al., 1988; Béniguel et al., 2009;
 65 Jiao & Morton, 2015; de Oliveira Moraes et al., 2017) do not capture these events due
 66 to low frequency. Furthermore, the pre-sunrise EPBs normally cannot scintillate the GPS
 67 signals due to low plasma density at this local time (e.g., Otsuka, 2018). On the other
 68 hand, some case studies (Fukao et al., 2003; Zakharenkova et al., 2015; Wu et al., 2020)
 69 show dawn-time EPBs during winter months and geomagnetically disturbed days, just
 70 like the event we analyze in the remainder of this report. We present observations of the
 71 pre-dawn EPBs within the unusually episodic increase in EIA. In turn, the event caused
 72 severe GPS scintillations lasting for >5 hours and expanding poleward of 30°MLAT. We
 73 show how the EPBs evolved in space and time, and how they affected the signals of high-
 74 rate geodetic in Central America and the Caribbean using instantaneous scintillation maps (cf.
 75 Mrak et al., 2020).

2 Observations

The GPS scintillation event occurred during a geomagnetically active period, as depicted in Figure 1. A high-speed solar wind with a northward oriented interplanetary magnetic field (IMF) hit the magnetopause on 19 December 2015. The IMF turned southward on the next day, fueling a geomagnetic storm intensification indicated by negative deflection in the SYM/H index. The storm reached its peak with SYM/H \approx -150 nT and the Planetary K index (Kp) reaching 7⁻ (numerical 6.7). The storm main phase was accompanied by several intense substorm injections indicated by the Auroral Electrojet (AE) index spikes exceeding 1000 nT. The IMF remained southward for about 30 hours. The scintillation event was observed in the American longitude sector, and it occurred in the storm recovery phase (increasing SYM/H), indicated by the time period between the two vertical lines.

We utilize 1-second UNAVCO GPS receivers located in Central America and the Caribbeans as scintillation monitors. We use computed scintillation indices to produce 2D maps of scintillation occurrence and strength. Due to the hardware limitations, we use Total Electron Content (TEC) to derive phase scintillation index σ_{TEC} (Beach & Kintner, 1999; Mrak et al., 2020), and amplitude scintillation index SNR_4 derived from signal-to-noise ratio (SNR). While the former is defined as the usual phase scintillation index using standard deviation over 1 minute, the latter is computed in the same manner. The conventional normalization by mean intensity is avoided for the reasons discussed by Mrak et al. (2020) in great detail.

$$\sigma_{TEC} = \sqrt{\langle \delta TEC^2 \rangle - \langle \delta TEC \rangle^2} \quad (1)$$

$$SNR_4 = \sqrt{\langle \delta SNR^2 \rangle - \langle \delta SNR \rangle^2} \quad (2)$$

here, $\langle \cdot \rangle$ is a temporal average operation, and δ denotes a high-pass filtered quantity with a cut-off frequency of 0.1 Hz. The line-of-sight SNR_4 scintillation index was converted to vertical via mapping function upon calculation (Spogli et al., 2009). We use a receiver dependent threshold for scintillation indices, thereby only values with 2.5 times above the receiver noise floor are used (cf. Mrak et al., 2020). We use the traditional scintillation index S_4 on a single receiver to demonstrate the scintillation intensity. Totally 38 receivers were available during the storm period, with spatial distribution depicted by the magenta markers in Figure 2a. We utilize available spatial distribution of the receivers and construct scintillation maps in Figure 2 using the method described by (Mrak et al., 2020). The underlying TEC maps were obtained from the MIT Haystack GPS-TEC data product (Vierinen et al., 2016).

We present a sequence of the scintillation maps starting around sunrise in Figure 2. The cyan solid line in the maps denotes the local sunrise terminator at 350 km altitude. A broken cyan line is a magnetic conjugate location of the sunrise terminator mapped from the southern hemisphere, hereafter referred to as the conjugate sunrise terminator. We refer to the conjugate sunlit as a region between the conjugate sunrise terminator and local sunrise terminator in the northern hemisphere as marked in Figure 2b. The scintillation maps include locations and median values of amplitude scintillation index (red dots) recorded within 15 minutes before the panel's epoch. Scintillation indicators are overlaid on top of TEC maps. The elongated region of elevated TEC is the northern crest of EIA, lingering over central America just south of the 20°MLAT parallel. The EIA shows characteristic interhemispheric asymmetry, whereby the northern crest (winter hemisphere) was more abundant compared to the southern crest. This feature is climatological, due to increased O/N₂ ratio in the winter hemisphere, and meridional winds blowing from summer-to-winter hemisphere (Huang et al., 2018). The southernmost GPS receivers were located near 20°MLAT. These receivers measured first scintillating signals with the traversing conjugate sunrise terminator (Figure 2b). The scintillation area remained within the EIA, fixed in geographic location, for a duration of the conjugate sunlit (panels b – d). The scintillation then rapidly expanded poleward

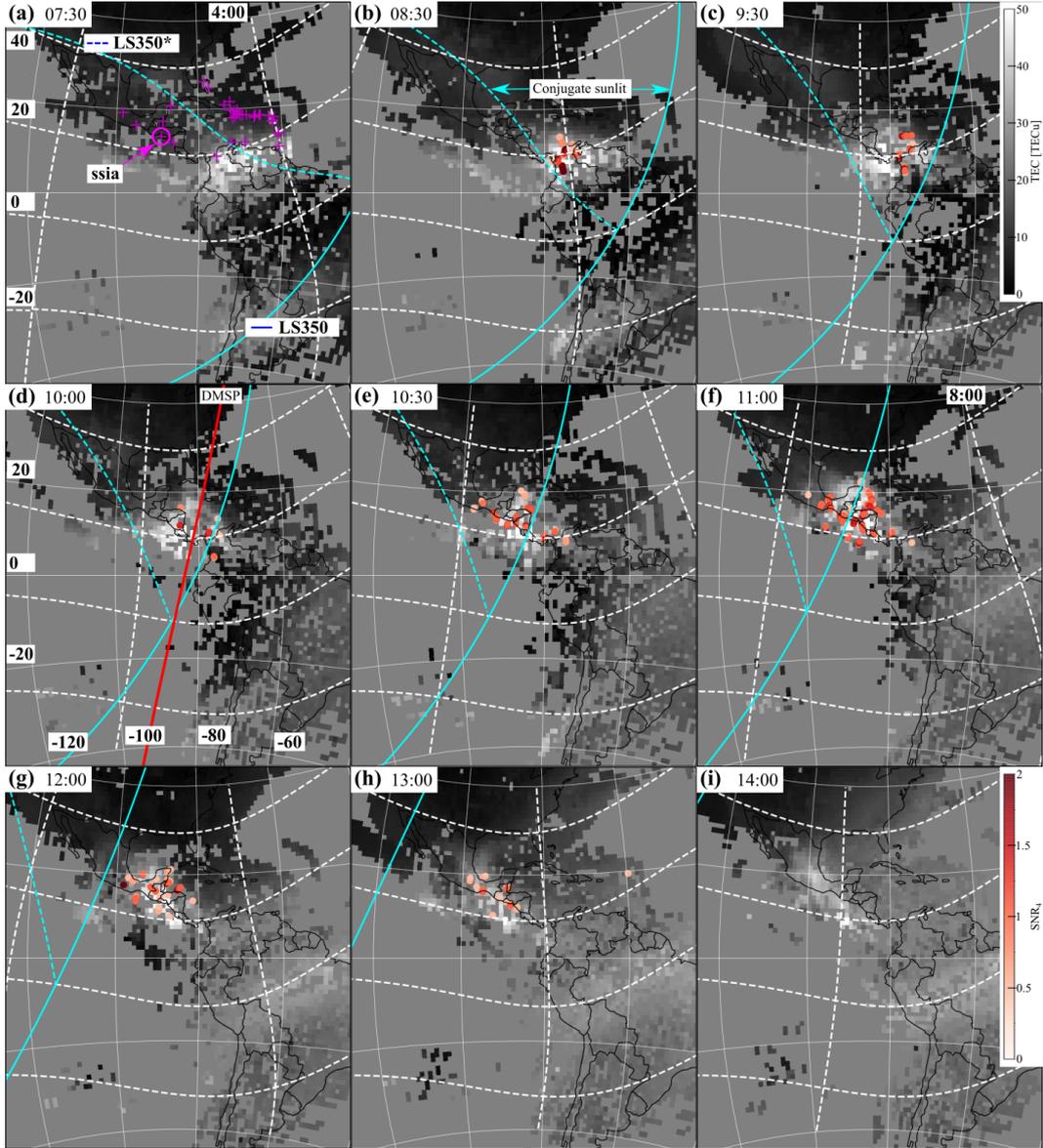


Figure 2. (a–i) Scintillation maps depicting location and strength of amplitude scintillation index SNR_4 as red dots. The solid cyan line is the local sunrise terminator at 350 km altitude, and the dashed blue line is the conjugate sunrise terminator (see text for details). Panel (a) depicts locations of the 1-Hz GPS receivers (magenta markers). Panel (c) includes red line fiducial, representing the DMSP F16 trajectory. (g) Receivers’ averaged and normalized time-series presentation of amplitude (red) and phase (black) scintillation (see text for details). Continuous lines are for the event, whereas markers denote scintillation measured on 18th December 2015.

126 and westward at the time of the local sunrise terminator. The scintillation regions were
 127 tightly coupled to the expansion of the underlying EIA. The scintillation then slowly de-
 128 cayed as TEC decreased in two hours after the local sunrise. A video with a 5-minute
 129 resolution is available as supplemental material (Movie S1).

130 Total scintillation occurrence and strength as a function of Universal Time (UT),
 131 and Magnetic Local Time (MLT) is presented in Figure 3. We plot lines-of-sight-averaged

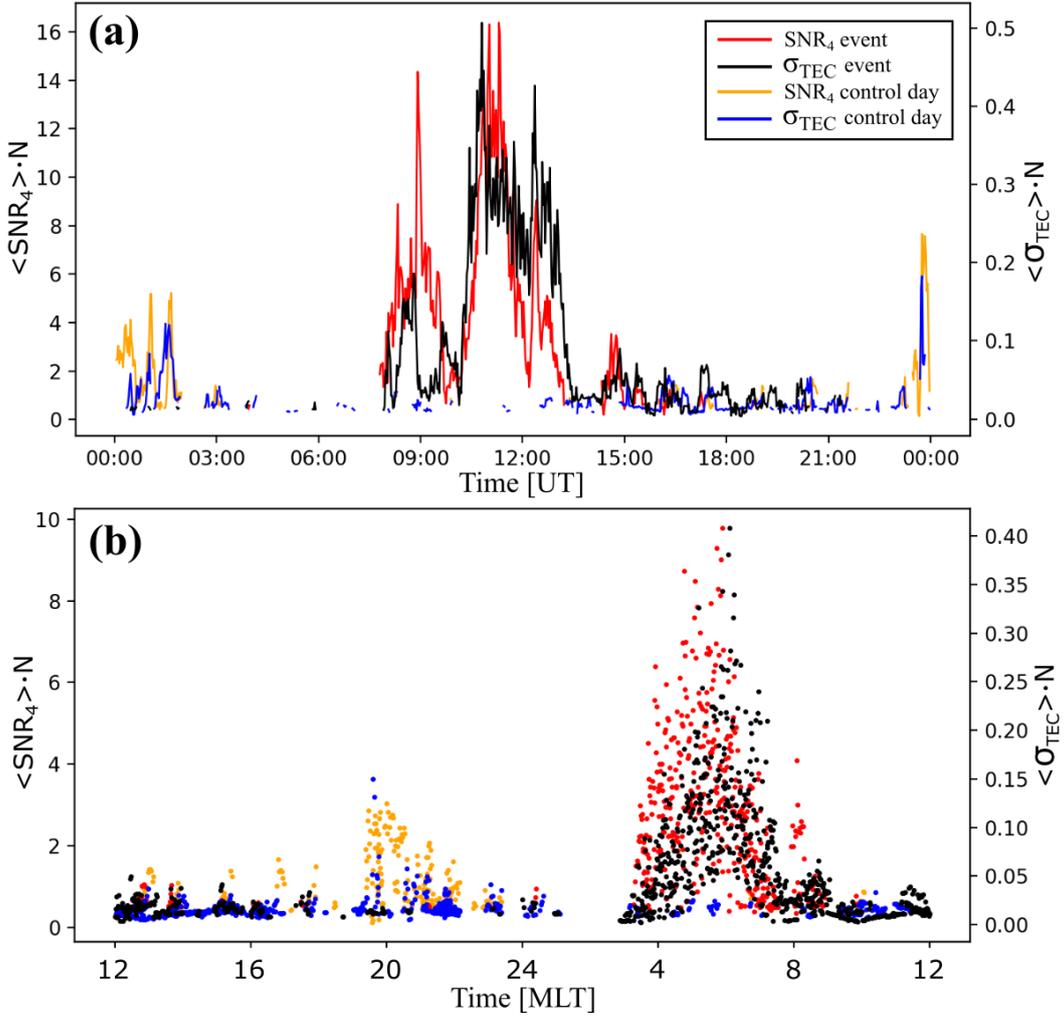


Figure 3. Time-series plots of median scintillation index receiver-averaged and normalized time-series presentation of amplitude (red) and phase (black) scintillation (see text for details). Continuous lines are for the event, whereas markers denote scintillation measured on 18th December 2015.

132 scintillation indices ($\langle \cdot \rangle$), multiplied by the total number of recorded scintillation
 133 events N at any given time. Two distinct scintillation intensifications stand out as a func-
 134 tion of UT, per the scintillation maps. The first peak corresponds to a period of conju-
 135 gate sunrise, whereas the second intensification took off with the local sunrise. The area
 136 over central America was affected by this exceptional space weather phenomenon for a
 137 total of ~ 5 hours. The bottom panel shows scintillation indices as a function of MLT,
 138 whereby locations of ionospheric piercing points were converted to geomagnetic coordi-
 139 nates. The scintillation emerged right before 4 MLT and decayed away by 9 MLT.

140 We compare this event with a control day which was chosen to be the most geo-
 141 magnetically quiet day before this storm – 18th December 2015. This control day shows
 142 the usual scintillation occurrence, beginning near 19 MLT. On the other hand, no scin-
 143 tillation was measured in the pre-dawn sector. Scintillation occurrence on the control
 144 day follows the climatology pattern from south America (i.e., de Oliveira Moraes et al.,

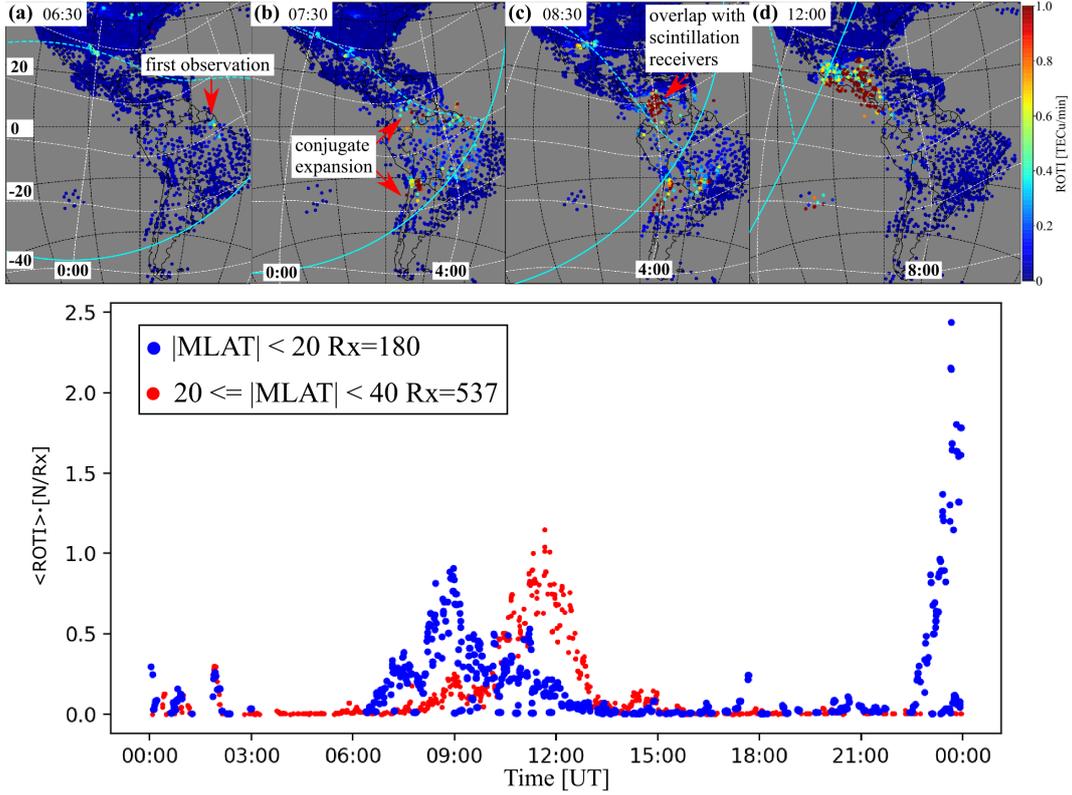


Figure 4. Development of ionospheric irregularities over the central and southern Americas using ROTI measurements. Top panel (a–d) ROTI maps at four time epochs depicting emergence of the EPBs, and their expansion. Sunrise terminators are the same as in Figure 2. Bottom panel shows time-series plots of ROTI occurrence in two latitude bands: (blue) $|\text{MLAT}| < 20^\circ$, and $20^\circ < |\text{MLAT}| < 40^\circ$. Unit normalization is explained in the text.

145 2017). There is a distinct time shift between the two days, which pictorially bolster anomalous
 146 scintillation timing recorded on the 21st December 2015.

147 A more detailed look into the development of the EPBs is presented in Figure 4,
 148 where we utilize the line-of-sight TEC data used to produce the TEC maps in Figure 2.
 149 Here, the measure of irregularities is Rate of TEC change Index (ROTI) (cf. Pi et al.,
 150 1997). The important snapshots depicting the timing and expansion of the EPBs are pre-
 151 sented in the top row. First signatures of the EPBs were observed at 6:30 UT (3:30 LT)
 152 near the magnetic equator just south of French Guyana. The EPBs expanded westward
 153 (and earlier in local time to ~ 3 LT) and poleward, showing characteristic conjugacy. Scin-
 154 tillation in the southern hemisphere was not measured directly, but based on compara-
 155 ble ROTI values we assume the GPS scintillation was present there, though more subtle
 156 due to lower background TEC. The irregularities reached 20° MLAT, the equatorward
 157 edge of the high-rate receivers, at 8:30 UT – the time of the scintillation onset measured
 158 by the scintillation receivers (e.g., Figure 2).

159 The universal-time series of ROTI occurrence, for $\text{ROTI} > 0.5$ TECu/min, is de-
 160 picted in the bottom panel of Figure 4. The occurrence is split into two latitudinal seg-
 161 ments, the band below with $|\text{MLAT}| < 20^\circ$ and bands of $20^\circ < |\text{MLAT}| \leq 40^\circ$. The
 162 units of ROTI occurrence are computed as a median value of ROTI ($\langle \text{ROTI} \rangle$) mul-
 163 tiplied by the number of instances N and normalized by number of receivers Rx in the

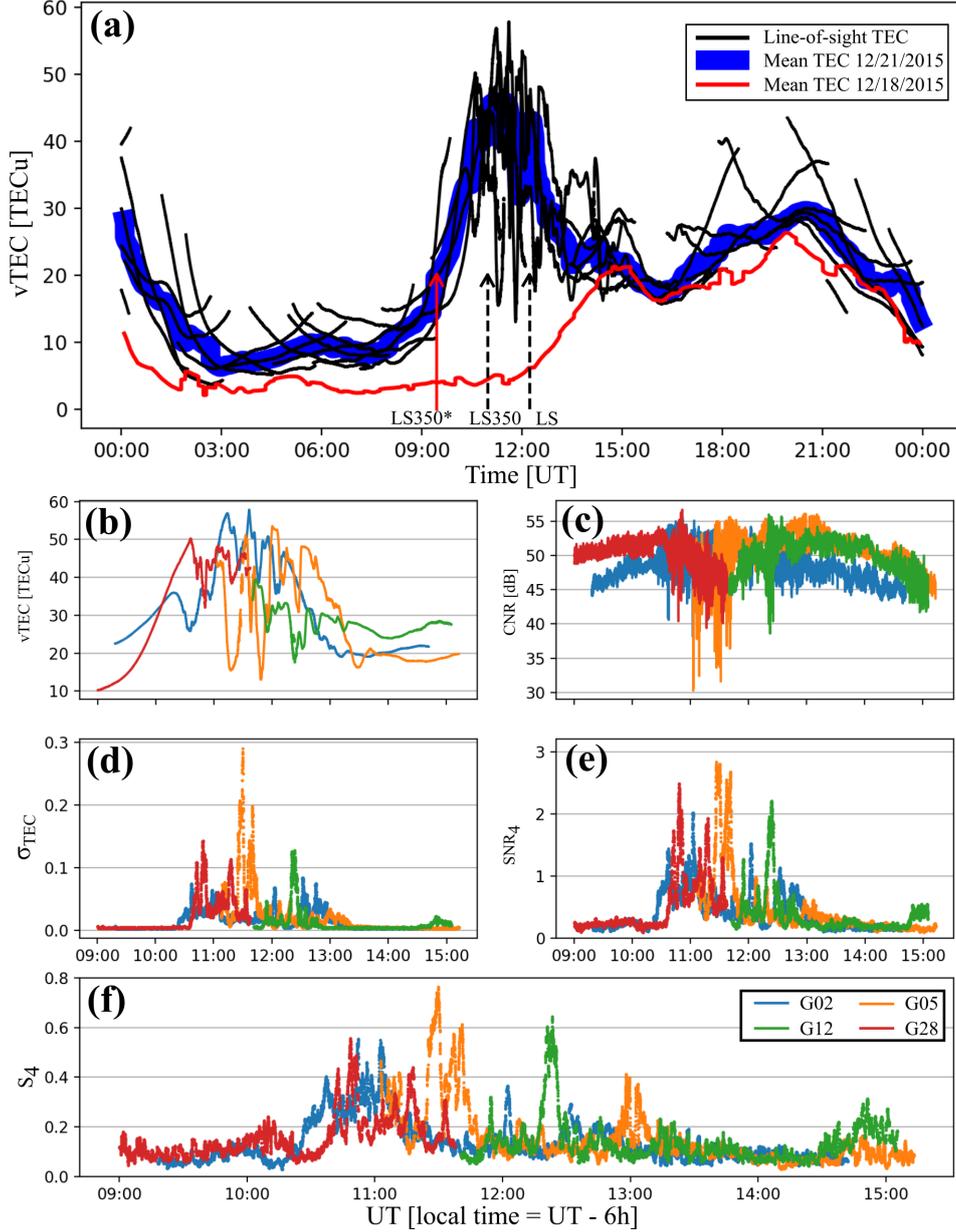


Figure 5. Data derived from SSIA receiver located in Honduras. (a) Vertical TEC on the day of the event for individual GPS satellites above 30 deg elevation (black), the blue line is averaged vTEC, and the red line is averaged vTEC on 18th December 2015. Markers denote sunrises (description in text). (b–e) Parameter estimates for four GPS satellites: (b) vTEC, (c) carrier-to-noise ratio CNR, (d) phase scintillation index σ_{TEC} , (e) amplitude scintillation index SNR_4 . (f) Conventional amplitude scintillation index S_4 for reference.

164 segment. The blue dots depict the region of EPBs closer to the equator, beyond the field-
 165 of-view (FOV) of the scintillation receivers. The red dots represent latitudes with FOV
 166 overlapping the scintillation receivers. The latter plot follows the trend-line of the scin-
 167 tillation in Figure 3a with the first increase near 9:00 UT, and the subsequent maxima
 168 just before 12:00 UT. The full development of low-latitude irregularities captured by ROTI
 169 maps is available in the supplemental movie S2.

170 We examine this phenomenon from a single receiver (SSIA, Honduras 89.12°W, 13.7°N)
 171 point-of-view, located underneath the scintillation region in the northern hemisphere.
 172 We plot vertical TEC (vTEC) from this receiver over the day in Figure 5a. We convert
 173 slant TEC to vTEC via differential receiver bias estimation based on the minimization
 174 of standard deviation (Ma & Maruyama, 2003). The thick blue line is average vTEC over
 175 lines-of-sight above 30-degree elevation angle. For comparison, averaged vTEC measured
 176 on 18th December (the control day) is plotted as the red line. Local sunrise times are
 177 marked for the receiver location. The TEC enhancement began at the time of conjugate
 178 sunrise (LS350*), with a total TEC increase from ~ 10 to ~ 50 TECu (TECu, $1 \text{ TECu} = 10^{16}$
 179 electrons per square meter). Large perturbations in the vTEC started developing before
 180 a local sunrise terminator at 350 km (LS350). The perturbations decayed away together
 181 with decreasing background TEC starting at the local sunrise at the ground (LS). Re-
 182 markably, the total TEC reached a daily peak at the sunrise, exceeding a normal daily
 183 daytime peak with TEC below 30 TECu.

184 We show the four most affected lines-of-sight in panels b–f in Figure 5. TEC de-
 185pletions within the anomalous enhancement exceeded 30 TECu. They had embedded
 186 smaller perturbations with noticeable data gaps marking losses of signal. In panel (c),
 187 huge variations in CNR are co-linear with the TEC depletions, whereby signal fading ex-
 188 ceeded 10 dB. In the next row (d–e), the derived scintillation indices are presented, and
 189 in the bottom, the nominal scintillation index S_4 is presented as a reference. The S_4 was
 190 computed from the CNR, converted to intensity $I = 10^{CNR/10}$, and calculated as $S_4^2 =$
 191 $\sigma_I / \langle I \rangle$ (i.e., Rodrigues & Moraes, 2019).

192 Lastly, the Defense Meteorological Space Program (DMSP) F16 traversed the anoma-
 193 lous density region in central America near 10 UT, with its trajectory drawn in Figure 2d.
 194 *In-situ* ion density, perpendicular ion flow components, and plasma temperatures are pre-
 195 sented in Figure 6. This southbound pass encountered a sharp density gradient near 30°MLAT,
 196 with a total increase of about an order of magnitude. This occurred in the region where
 197 the GPS receivers measured the large TEC enhancement at dawn, reaching ~ 50 TECu.
 198 Right within the region of enhanced plasma, plasma irregularities resided in the topside
 199 ionosphere (~ 850 km), identified with a high-pass filtered (0.1 Hz) ion density (dNi) in
 200 the second panel. Another set of plasma irregularities embedded within density deple-
 201 tions were measured adjacent to the magnetic equator. Both irregularity regions were
 202 accompanied with subtle increase in ion and electron temperatures. Additionally, ion flow
 203 perturbations near the equator are positively correlated with the density irregularities,
 204 with a net eastward (sunward) horizontal flow (v_H), and upward flow (v_z) of > 100 m/s
 205 at the equator. The DMSP did not measure density irregularities in the southern hemi-
 206 sphere at a local time 20 minutes earlier than in the northern hemisphere.

207 3 Discussion

208 Sporadic observations of storm-time EPBs near sunrise have been reported (e.g.,
 209 Fukao et al., 2003; Zakharenkova et al., 2015; Wu et al., 2020), but appear to be a rare
 210 phenomenon which does not cause (measurable) scintillations at GPS frequencies due
 211 to low background density. The RTI is unstable in a presence of upflow (eastward elec-
 212 tric field) at the magnetic equator (Hysell, 2000; Martinis et al., 2005); however, normal
 213 condition at dawn is westward electric field (Fejer & Scherliess, 1997), hence a zonal re-
 214 versal is necessary for the RTI to operate. It has been shown that such reversals do oc-
 215 cur at geomagnetically disturbed periods (Fejer et al., 1976; Bowman, 1978). Addition-
 216 ally, it has been speculated that in these kinds of circumstances, RTI could be operat-
 217 ing together with the $\mathbf{E} \times \mathbf{B}$ instability (Burke, 1979). Long-lasting auroral activity drives
 218 the disturbance dynamo, which nudges the RTI with high efficiency in time delay be-
 219 low 12 hours, and between 20 to 30 hours (Scherliess & Fejer, 1997). Moreover, the dis-
 220 turbance dynamo effect peaks near 4:00 local time (Fejer et al., 1999). This is the local
 221 time we found onset of the EPBs (cf., Figure 4).

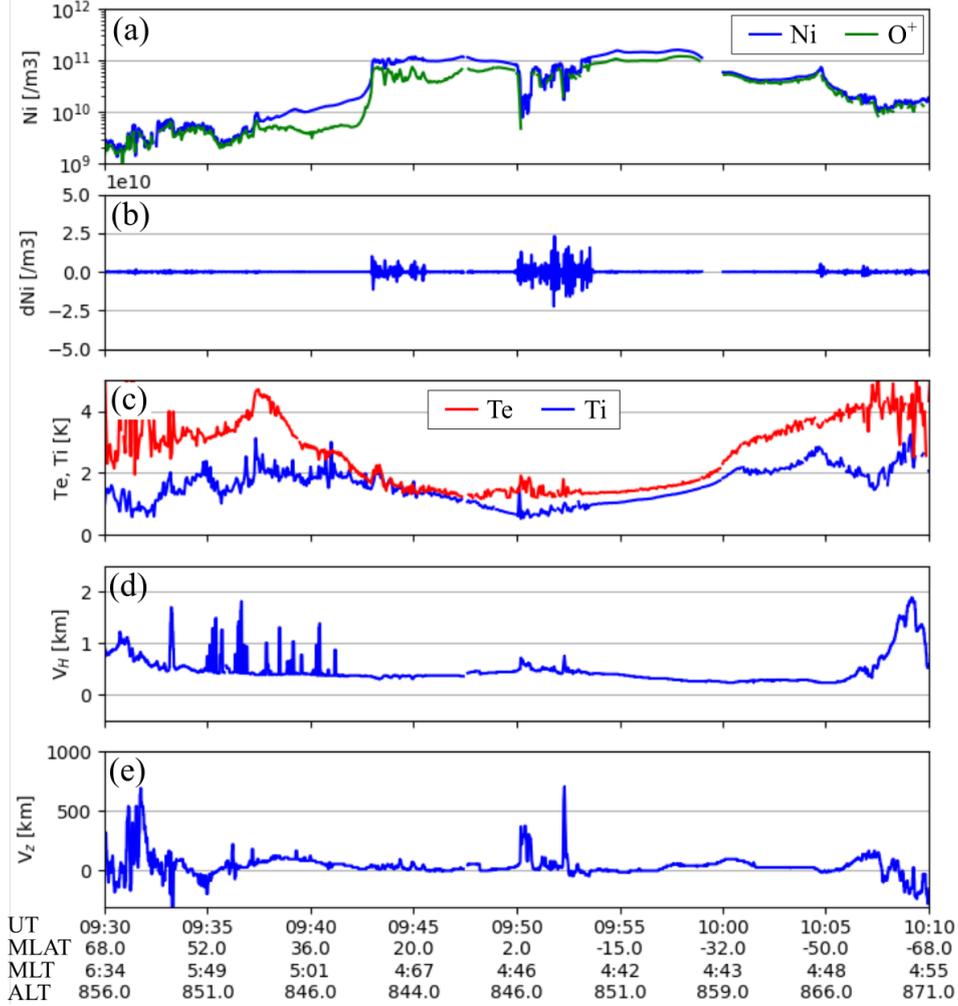


Figure 6. DMSP F16 measurements of plasma parameters during a recorded scintillation time period. (a) Ion density, (b) 0.1 Hz high-pass filtered ion density, (c) Electron (Te) and ion (Ti) temperatures, (d) horizontal (cross-track) ion drift (positive sunward), (e) vertical ion drift (positive up).

222 The presented event provides a new insight in terms of preconditioning, timing, and
 223 intensity of pre-dawn space weather at low-latitudes. We show that the EIA persisted
 224 throughout the night. Thus an uncharacteristically abundant plasma basin lingered in
 225 the pre-dawn equatorial ionosphere. The initial onset of the CIS accompanying an episodic
 226 TEC increase began at just before 6:30 UT, (4 MLT). A subtle decrease in IMF Bz oc-
 227 curred at that time, however, but there was no other abrupt changes in the solar wind
 228 or geomagnetic indices. Both, the timing and the absence of geomagnetic disturbances
 229 reinforce the disturbance dynamo as the most likely driver of these pre-dawn EPBs. Sim-
 230 ilarly, the disturbance dynamo was discussed as the most likely driver for pre-dawn den-
 231 sity depletions observed by the Communication/Navigation Outage Forecasting System
 232 (C/NOFS) satellite (Su et al., 2009; de La Beaujardière et al., 2009). The only macro-
 233 scopic driver that could bolster the intensity of the disturbance dynamo effect was longevity
 234 (~30 hours) of the southward IMF, and hence auroral activity, prior to the EPBs on-
 235 set.

236 Observation of storm-time pre-dawn EPBs observed by Swarm satellites was ex-
 237 amined in detail for 14 February 2014 storm (Zakharenkova et al., 2015). While the ge-
 238 omagnetic conditions and the geolocation were very similar to the event presented in this
 239 report, we did not find any ground-based GPS scintillations during that storm. They uti-
 240 lized TIE-GCM to model the disturbance dynamo, very similar to the observed peak by
 241 C/NOFS in the pre-dawn sector (de La Beaujardière et al., 2009). The seasonality of
 242 these EPBs is particularly intriguing considering the efficiency of the RTI decreases with
 243 increasing asymmetry between conjugate E-region conductance (Martinis et al., 2005).
 244 The irregularities measured by GPS scintillation and ROTI expanded most significantly
 245 at about 10:00 UT near the western coast of South America. There, the angle between
 246 the sunrise terminator and magnetic declination is the biggest, hence the RTI efficiency
 247 is the lowest. Therefore, the disturbance dynamo was likely enhanced by a secondary source
 248 of the eastward electric field. One such candidate would be a penetration of overshield-
 249 ing electric field due to substorm activity at high-latitudes. The auroral electrojet re-
 250 mained active with $AE \sim 500$ nT. Overshielding at these local times shall enhance equa-
 251 torial electrojet (e.g., Ebihara et al., 2014), however, the occurrence of such events is very
 252 low (Hashimoto et al., 2017).

253 The EIA density and scintillation significantly increased with an approach of the
 254 sunrise, expanding beyond 35° MLAT. The scintillation increase was accompanied by fur-
 255 ther TEC enhancement was facilitated by photo-ionization, elevating the TEC to ~ 50 TECu.
 256 The sudden poleward expansion was a manifestation of continuous upwelling at the equa-
 257 tor, whereby the EPBs must have had expanded to beyond 3,500 km in the equatorial
 258 plane in order to map to 35° MLAT. While a climatological model for the equatorial elec-
 259 tric field during geomagnetically disturbed times has a westward-to-eastward reversal
 260 just before 4 MLT (Fejer & Scherliess, 1997), the resulting upwelling shall be subtle with
 261 vertical velocity < 40 m/s (e.g., Fejer & Scherliess, 1997; Su et al., 2009; Zakharenkova
 262 et al., 2015). In aggregate, pre-dawn EPBs by themselves are rather climatological, how-
 263 ever, the extent of EIA expansion, TEC increase, and accompanying GPS scintillations
 264 were unprecedented. For reference, a preliminary survey for pre-dawn GPS scintillations
 265 using the same dataset (Mrak et al., 2020) in the years 2012 – 2019 revealed that this
 266 particular storm was the only such event in the American longitude sector.

267 4 Summary

268 We presented an episodic expansion of the EIA, increase in TEC, and GPS scin-
 269 tillation near dawn (3:30 MLT – 9:00 MLT) in the American longitude sector (110° W
 270 – 30° W). The observations show that the EIA had persisted throughout the night, and
 271 became a region of scintillation-producing plasma irregularities. The EIA density abruptly
 272 elevated to ~ 50 TECu at dawn, exceeding the nominal afternoon TEC peak by almost
 273 a factor of two. Scintillation intensity followed the TEC trend. Large-scale analysis us-
 274 ing ROTI measurements shows the irregularities emerged earlier at 6:30 UT (3:30 MLT
 275 in Brazil) near the magnetic equator. The irregularities reached 20° MLAT, that is the
 276 equatorward edge of the scintillation receivers, two hours after their onset. Then, the ir-
 277 regularities expanded poleward beyond 35° MLAT at local sunrise in the northern hemi-
 278 sphere.

279 Climatological models do not capture the pre-dawn EPBs, neither do they predict
 280 GPS scintillations at these local times. The pre-dawn irregularities are much more nu-
 281 anced, whereby only data assimilation model considering C/NOFS electric field measure-
 282 ments successfully reproduced EPBs at dawn (Su et al., 2009). Similarly, a thorough sur-
 283 vey for pre-dawn irregularities and scintillations shall be conducted to better understand
 284 geomagnetic drivers, thereby improve the models to predict such severe space weather.
 285 In particular, pre-dawn space weather events causing severe GPS scintillations expand-
 286 ing beyond 30° MLAT pose a significant risk for trans-ionospheric radio disruptions.

Acknowledgments

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