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Signature of the Contemporary Southwestern North American Megadrought in Mesopause Region Wave Activity

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Key Points:

- Gravity waves were measured in the mesopause region before and during the current Southwestern North American (SWNA) megadrought
- Wave-driven temperature variance during the drought decreased by 28% and changed from primarily semiannual to primarily annual variations
- Decreased wave activity may be related to the summer and winter precipitation deficits during the ongoing megadrought

Abstract

The Southwestern North American (SWNA) megadrought began in 2000 and is now believed to be the driest 22-year period in the region since at least 800 CE. The precipitation deficit during the megadrought (8.3% during 2000-2021) has been accompanied by a significant decrease in gravity waves observed in the upper atmosphere. Prior to the drought (1990-2000), wave-driven temperature fluctuation variances, between 85 and 100 km at Albuquerque and Ft. Collins, were comparable (62.2 K^2 and 60.5 K^2 , respectively), with the largest variances occurring during winter and summer storm seasons. During the first decade of the drought (2001-2010), wave activity above Ft. Collins decreased by 28%, mostly above 94 km, and changed from primarily semiannual to primarily annual variations. These changes may be related to reduced wave generation by tropospheric storms during the megadrought and to an altered geographic distribution of precipitation events in the western and mid-western United States.

Plain Language Summary

Storm systems in the lower atmosphere can generate waves that propagate into the upper atmosphere to the edge of space, where their amplitudes become very large in response to decreasing atmospheric density. These waves drive the global circulation of the upper atmosphere and can even affect satellite orbits and space weather. We used laser radar (lidar) instruments at Albuquerque, New Mexico and Ft. Collins, Colorado to measure the strength of waves in the upper atmosphere before and during the current Southwestern North American (SWNA) megadrought, which is now believed to be the driest 22-year period in the region during the past 1200 years. We found a significant reduction in

the wave activity in the upper atmosphere during the drought, which is likely related to below-normal precipitation associated with weakened summer and winter storms throughout the SWNA area. These results are important because they demonstrate that regional changes in the lower atmosphere can also impact the upper atmosphere, and because they can be used to test and validate the next generation of high-resolution, regional computer models that can resolve the small-scale waves observed by the lidars.

1 Introduction

The Earth’s atmosphere is a coupled system in which processes and constituents in one altitude region can affect the processes and constituents in another, sometimes significantly. For example, nitric oxide (NO) densities peak in the thermosphere, where NO is produced by energetic particle precipitation and photoionization. NO is then transported into the stratosphere by the mean circulation and vertical mixing where it can catalyze the destruction of stratospheric ozone and affect the dynamics of the region (Crutzen, 1970; Lary, 1997). Conversely, the absorption of solar radiation by water vapor in the troposphere and ozone in the stratosphere, excite tidal oscillations that propagate into the upper atmosphere, where their amplitudes become large in response to decreasing atmospheric density. When these tides are combined in the upper atmosphere with oscillations generated in situ by solar absorption by ozone and molecular oxygen, they have a significant impact on the wind, temperature, and density structure of the mesosphere and thermosphere (Hagan et al., 2003). In recent decades, much research has focused on assessing the more subtle impact of global climate change in the lower atmosphere, on the structure of the upper atmosphere. Roble & Dickinson (1989) used a global numerical model to examine the effect of trace gas emissions in the lower atmosphere on the structure of the mesosphere, thermosphere and ionosphere. Their results suggested that doubling the mixing ratios of the greenhouse gases CO_2 and CH_4 , would cool the mesosphere and thermosphere temperatures by 10 K and 50 K, respectively. Long-term observations acquired at numerous sites in both the northern and southern hemispheres by a variety of techniques, have reported cooling trends in the mesosphere ranging up to about -10 K/decade (Laštovička et al. 2006; She et al., 2009; Qian et al., 2019). The effects of transient events in the lower atmosphere have also been observed in the upper atmosphere. She et al. (1998 & 2009) reported episodic warming of the mesopause region between 1991-1995 by as much as 15 K at 100 km, which they attributed to the major eruption of Mt. Pinatubo in June 1991. More recently, Themens et al. (2022) described the observation of large- and medium-scale traveling ionospheric disturbances, that were generated by the high energy Tonga volcanic eruption on January 15, 2022.

In this paper we explore the impact of the current Southwestern North American (SWNA) megadrought on gravity wave activity observed with Na lidars in the mesopause region above Albuquerque, NM and Ft. Collins, CO. The SWNA megadrought began in 2000 and is now believed to be the driest 22-year period

in the region since at least 800 CE (Williams et al., 2020 & 2022). Summer and winter precipitation have decreased appreciably during the megadrought. We show that the precipitation deficit during the drought has been accompanied by a significant decrease in the gravity wave activity observed in the upper mesosphere above Ft. Collins.

2 Features of the Contemporary Southwestern Megadrought

The severe depletion of soil moisture that characterizes intense drought is caused primarily by below-normal precipitation, which can be exacerbated by increased evaporation arising from a combination of low humidity, high temperatures, and excessive winds. The southwestern region of North America has experienced numerous, extended periods of extreme drought during the past 2000 years that have been identified by examining tree-ring, streamflow, and other paleoclimate datasets, and by hydrological modeling (Williams et al., 2020; Gangopadhyay et al., 2022). A megadrought is typically defined as a prolonged drought lasting two decades or longer. The contemporary SWNA megadrought is notable, not only for its length (22 years), but also for its severity and the large geographic area affected (30-45 ° N, 105-125 ° W), which extends from northern Mexico to the northern borders of Oregon and Wyoming and from the Pacific Coast to the eastern borders of Wyoming, Colorado, and New Mexico (see Fig. 1 in Williams et al., 2022). The mean water-year (October-September) precipitation from 2000-2020 during the drought, was 8.3% below the 1950-1999 average for the SWNA region and the temperature was 0.91 ° C above average (Williams et al., 2022). The current megadrought is now considered to be the driest 22-year period since 800 CE. This drought is also unique in that its morphology appears to be somewhat different from the Medieval megadroughts which occurred relatively frequently in SWNA area before 1600 CE (Seager et al. 2007; Steiger et al., 2019). By examining anthropogenic trends in temperature, relative humidity, and precipitation predicted by 29 climate models, Williams et al. (2022) conclude that anthropogenic warming has made a significant contribution (~42%) to the severity of the current megadrought.

We explore how the megadrought may have affected the gravity waves observed in the mesosphere above the SWNA region. Gravity waves are generated in the troposphere by airflow over irregular topography (orographic forcing) and by convection and frontogeneses associated with storm systems. They propagate upward while increasing in amplitude in response to decreasing atmospheric density. The SWNA region has two periods of appreciable precipitation during the year. Winter precipitation during November through March comes from storms originating in the Pacific Ocean. Summer precipitation during July, August, and September comes from monsoon rains that obtain their moisture primarily from the warm waters in the Gulf of California and the eastern Pacific Ocean off the coast of Mexico, and to a lesser extent from the Gulf of Mexico (Adams & Comrie, 1997). The influence of the monsoon on total annual precipitation depends on location. Eastern Arizona and much of New Mexico receive about half of their annual precipitation from monsoonal storms (Douglas et al., 1993; Higgins et al.,

1997), although the strength of the monsoon varies from year to year (Adams & Comrie, 1997). The trends in precipitation have changed significantly during the current SWNA megadrought (*NOAA National Centers for Environmental Information* <https://www.ncei.noaa.gov/access/monitoring/us-trends/prcp/ann>, Figure S1 in Supplemental Information). During winter the precipitation has declined noticeably over the Pacific northwest, most of California, southern Arizona, southern New Mexico, and eastern Texas. It has increased somewhat over Colorado, Wyoming, Montana and Idaho. During summer, precipitation has declined significantly throughout much of the mountain west and Texas, and over most of New Mexico and Colorado.

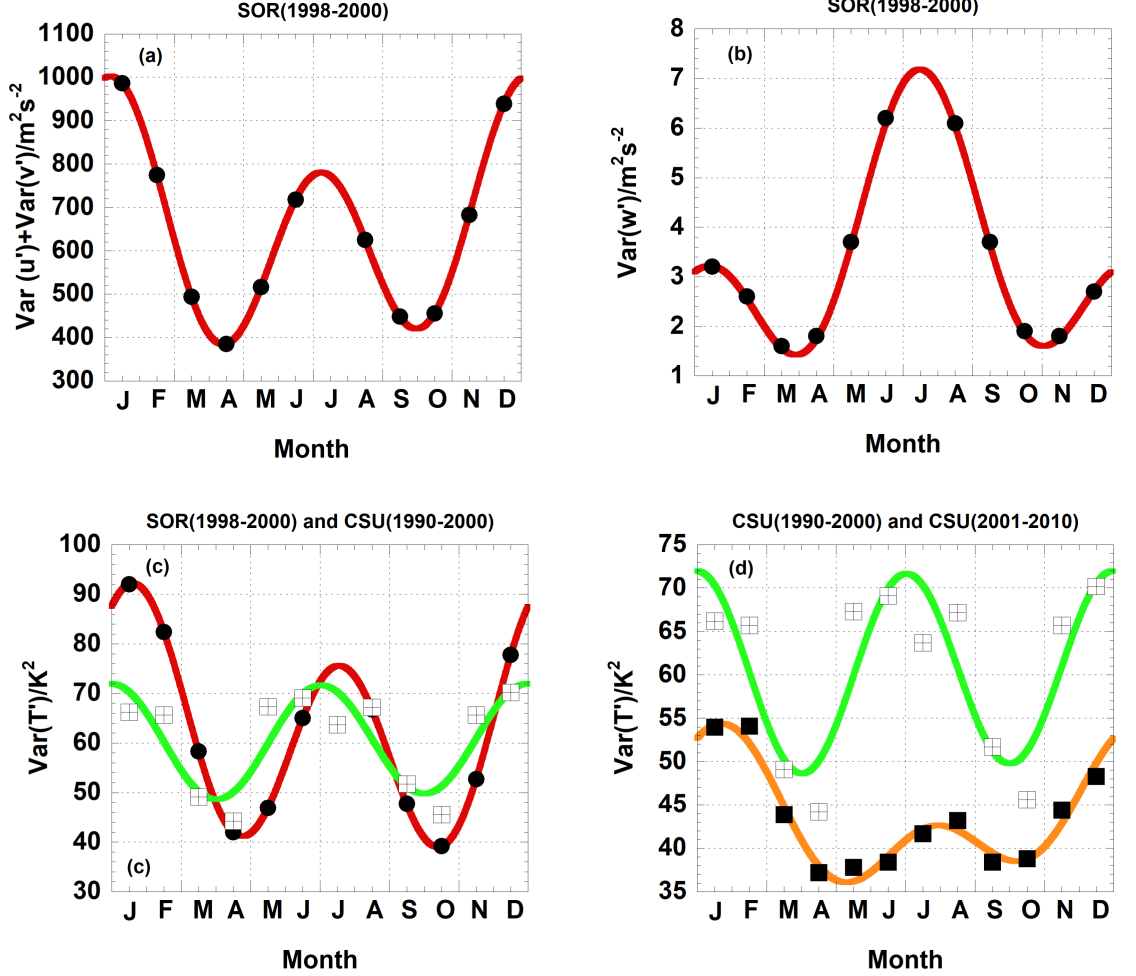
3 Observed Wave Activity 85-100 km above Albuquerque and Ft. Collins

The intensity of gravity wave activity in the middle atmosphere-lower thermosphere (MLT) has been characterized by analyzing wind and temperature measurements made by a variety of radio and optical techniques. She et al. (2022) provide an excellent summary of those previous observations. Although the seasonal variations of wave activity, as quantified by the variances of the wave-driven wind and temperature fluctuations, differ depending on location and altitude, most of the observations show that wave fluctuations are strongest, either during winter or during both winter and summer, and weakest during spring and fall. Thus, the seasonal variations in the MLT are dominated by either annual oscillations (AO) or a combination of annual plus semi-annual oscillations (SAO) with the phases linked to the solstices and equinoxes. The cause of this seasonality is related to the wave sources in the troposphere and to the atmosphere through which the waves propagate to reach the MLT. An important source of waves in the SWNA region is convection and frontogenesis related to storm systems and their concomitant precipitation, which exhibit strong semi-annual variations (Douglas et al., 1993; Higgins et al., 1997). As the wave spectrum propagates upward into the MLT and grows in amplitude, it is affected by the mean wind and temperature structure. Some waves are blocked when they encounter critical layers, while others break when they become convectively or dynamically unstable. Because the horizontal wind and temperature profiles throughout the atmosphere also exhibit seasonal variations, the intensity of wave activity in the MLT is a complex combination of the seasonality of the wave sources in the troposphere and the seasonality of wave filtering above the sources.

In the late 1990s, a Na Doppler lidar, coupled to a large aperture steerable telescope (3.5 m diameter), was used to make high resolution 3-D winds and temperature profile observations in the mesopause region above the Starfire Optical Range, NM (SOR, 35 ° N, 106.5 ° W) on the Kirtland Air Force Base near Albuquerque, NM (Gardner & Liu, 2007). The data were acquired at night (49 nights), on a campaign basis, from June 1998 to November 2000 during the 2-week periods surrounding new moon. Thus, the observations correspond largely to the period prior to the onset of the current SWNA megadrought. Data were

acquired in every month but July. Persistent cloud cover accompanying the summer monsoon storms, prevented data acquisition in July during all three years of the campaign.

The complexity of the seasonal variations of the wave-driven wind and temperature variance profiles at SOR is illustrated in Figures 4 and 5 of Gardner & Liu (2007). These data include the effects of gravity waves with vertical wavelengths between 1 km and ~20 km, the mean altitude range of observations (~83-103 km) and observed wave periods between 3 min and ~7.5 h, the mean duration of the nighttime measurement periods. The monthly mean fluctuation variances between 85 and 100 km (Table S1 in Supplemental Information) and their seasonal variations are plotted versus month in Figure 1 along with 12-month (AO) plus 6-month (SAO) harmonic fits to the measured data. The harmonic fit parameters are summarized in Table 1. For the horizontal wind and temperature variances, the SAO amplitudes are more than double the AO amplitudes, while for the vertical wind variance the AO amplitude is largest, although the SAO amplitude is also significant. In all cases the wave-driven fluctuation variances are strongest in the winter and summer following the solstices and weakest in the spring and fall.



1. Seasonal variations of the mean fluctuation variances between 85 and 100 km. a) Horizontal wind variance at SOR (1998-2000), b) vertical wind variance at SOR (1998-2000), and c) temperature variance at SOR (1998-2000, red curve) and at CSU (1990-2000, green curve), all observed prior to the onset of the current SWNA megadrought. d) Temperature variance at CSU before (1990-2000, green curve) and during (2001-2010, brown curve) the megadrought. The symbols are the monthly means and the smooth curves are the mean plus AO and SAO harmonic fits to the data (Table 1).

Table 1. Parameters of the Mean plus Annual and Semiannual Harmonic Fits to the Measured Fluctuation Variances

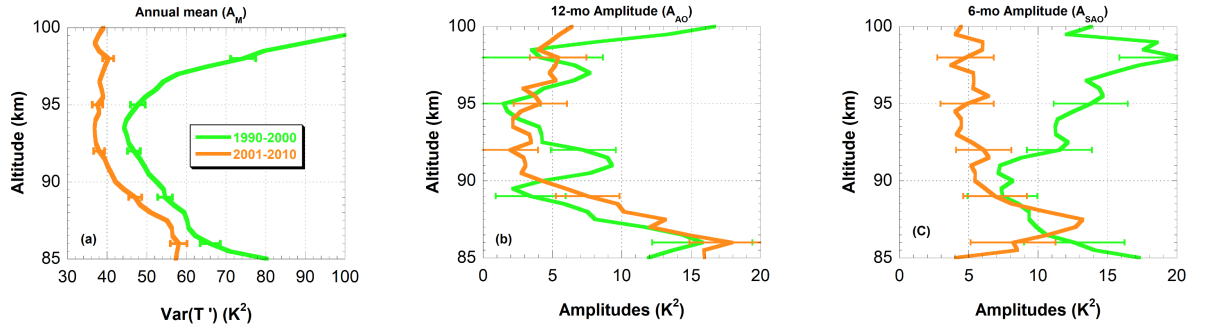
$\mathbf{A_M} + \mathbf{A_{AO} \cos \left[\frac{2}{12} (\mathbf{m} - \mathbf{m_{AO}}) \right]} + \mathbf{A_{SAO} \cos \left[\frac{4}{12} (\mathbf{m} - \mathbf{m_{SAO}}) \right]}$						
Site (Pe- riod)	Parameter	$\mathbf{A_M}$	$\mathbf{A_{AO}}$	$\mathbf{m_{AO}}$ (mo)	$\mathbf{A_{SAO}}$	$\mathbf{m_{SAO}}$ (mo)
SOR (Jun 1998- Nov 2000)	$\mathbf{Var(u') + Var(v')}$		$\mathbf{m^2 s^{-2}}$		$\mathbf{m^2 s^{-2}}$	
	$\mathbf{Var(w')}$	$\mathbf{m^2 s^{-2}}$	$\mathbf{m^2 s^{-2}}$		$\mathbf{m^2 s^{-2}}$	
	$\mathbf{Var(T')}$	$\mathbf{K^2}$	$\mathbf{K^2}$		$\mathbf{K^2}$	
	$\mathbf{Var(T')}$	$\mathbf{K^2}$	$\mathbf{K^2}$		$\mathbf{K^2}$	
CSU (1990- 2000)						
CSU (2001- 2010)	$\mathbf{Var(T')}$	$\mathbf{K^2}$	$\mathbf{K^2}$		$\mathbf{K^2}$	

Beginning in Mar 1990 and continuing until Mar 2010, a Na Doppler lidar was also operated at Colorado State University (CSU) in Ft. Collins, CO to make measurements of temperature, Na density, and radial winds in the mesopause region (She et al., 1990; She & Yu, 1994). Detailed descriptions of this extensive dataset and how it was processed can be found in the CSU temperature and gravity wave trend and climatology papers (She et al., 2019; She et al., 2022). The nighttime data were divided into two subsets, one comprising measurements acquired before 2001 (479 nights), roughly corresponding to the period prior to the onset of the megadrought, and another for measurements acquired from Jan 2001 through Mar 2010 (477 nights), corresponding to the first 10 years of the megadrought. The temperature variances derived from both subsets, include the effects of gravity waves with vertical wavelengths between 3 km and ~20 km and observed wave periods between 20 min and ~8.2 h (She et al., 2022; Gardner et al., 2022). Because of the large number of observations, both before and during the drought, it was possible to characterize the seasonal variations of wave activity at CSU with a high degree of precision.

The monthly mean fluctuation variances between 85 and 100 km at CSU (Table S1, Supplementary Information) are plotted versus month in Figure 1d along with the 12-month plus 6-month harmonic fits to the measured data (Table 1). Like the SOR data, the CSU data (1990-2000) prior to the megadrought is dominated by the SAO with the strongest wave activity in winter and summer following the solstices and weakest in spring and fall. The seasonal variations are quite similar (see Figure 1c) and the annual mean temperature variances (85-100 km) are nearly identical at the two sites (62.2 K² @ SOR and 60.5 K² @ CSU).

During the first ten years of the megadrought the annual mean temperature variance at CSU decreased by 28% to 43.4 K² while the AO dominated the seasonal variations.

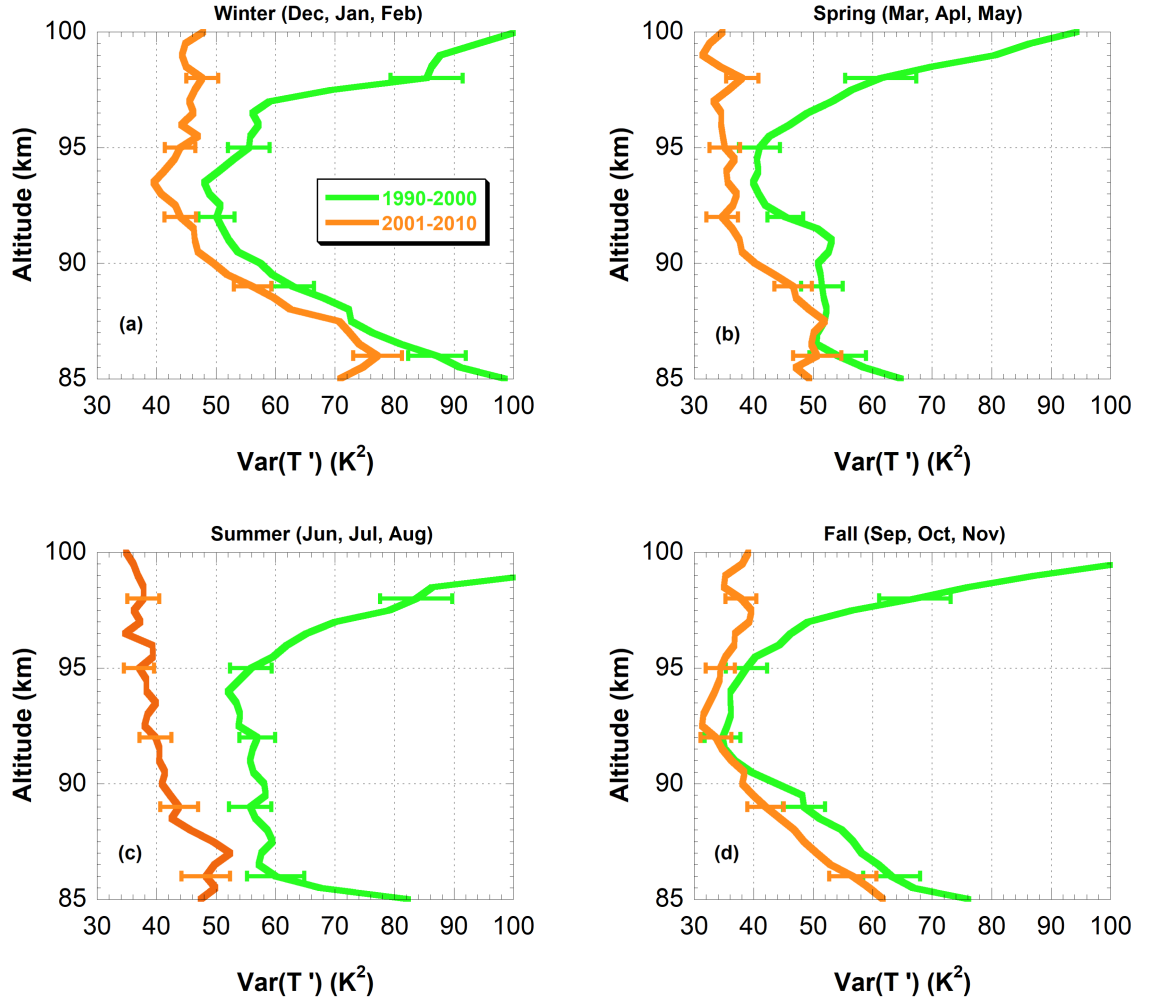
The seasonal variations of the CSU data during the initial 10 years of the drought are dramatically different from the SOR and CSU data prior to the drought. During the drought the mean temperature variance at CSU is smaller at all times of the year and most significantly during summer (Jun, Jul and Aug), where the total variance decreased by 38% to about 41 K² compared to 67 K² at CSU and 66 K² at SOR prior to the drought (Table S1, Supplemental Information). While semiannual oscillations are prominent at SOR and CSU prior to the drought, the SAO amplitude is much weaker at CSU during the drought. The annual mean (A_M), AO amplitude (A_{AO}), and SAO amplitude (A_{SAO}) profiles are plotted in Figure 2. Although the annual mean profile is smaller at all altitudes during the drought, the most significant changes occur above 94 km. At the higher altitudes, the variance remained essentially constant with altitude at ~38 K² during the drought, while before the drought, the variance increased rapidly with increasing altitude exceeding 100 K² at 100 km. The AO amplitude profiles before and during the drought are comparable. The SAO amplitude is quite prominent before the drought at all altitudes, but is much weaker during the drought, except for a narrow altitude range near 87 km. Above 90 km the SAO amplitude before the drought increases with increasing altitude reaching a maximum value of 20 K² at 98 km compared to just 5 K² at this same altitude during the drought. These results are statistically significant, as indicated by the error bars included on the profiles.



2. Profiles of the a) annual mean (A_M), b) 12-month amplitude (A_{AO}), and c) 6-month amplitude (A_{SAO}) of the harmonic fits to the temperature fluctuation variance observed at CSU prior to the current SWNA megadrought (1990-2000, green curves) and during the first ten years of the megadrought (2001-2010, brown curves). The error bars represent the rms uncertainties in the derived harmonic fit parameters.

Additional insight about the seasonal variations can be obtained by examining the seasonal mean temperature variance profiles plotted in Figure 3. The seasonal averages were chosen to correspond roughly with the periods of maximum

and minimum total variances as deduced from Figure 1. The largest seasonal difference occurs in summer (Jun, Jul, Aug, Fig. 3c), which is probably related to reduced precipitation associated with a weaker summer monsoon. However, the mean temperature variance (85-100 km) decreased in all seasons during the drought (-23% in winter, -26% in spring, -38% in summer, and -25% in fall). The decrease is largely concentrated above 94 km. Potential causes of this behavior are discussed in the following section.



3. Profiles of the temperature fluctuations variances observed during a) winter (Dec, Jan, Feb), b) spring (Mar, Apr, May), c) summer (Jun, Jul, Aug), and d) fall (Sep, Oct, Nov) observed at CSU prior to current SWNA megadrought (1990-2000, green curves) and during the first ten years of the drought (2001-2010, brown curves). The error bars represent the rms uncertainties in

the derived profiles.

4 Discussion

Both SOR and CSU are in the eastern portion of the SWNA region, with SOR situated about 625 km south-southwest of CSU. A large fraction (but not all) of the waves observed at mesopause heights above both sites originated within the megadrought region. The geographic extent of the lower-atmosphere wave sources can be estimated by applying gravity wave theory. Gravity waves are partially polarized transverse waves with the degree of polarization dependent on their intrinsic frequencies (f). Low-frequency waves with f near the inertial frequency (f_i) are nearly circularly polarized and high-frequency waves with f near the buoyancy frequency (N) are nearly linearly polarized. Because the waves observed at SOR and CSU had periods much smaller than the inertial periods (7.5 h vs 20.9 h @ SOR, 8.2 h vs 18.4 h @ CSU), the wave fields were largely linearly polarized. Therefore, according to the gravity wave polarization relations (Vadas, 2013), for these high frequency waves the vertical and horizontal wind amplitudes (w' =vertical, u' =zonal, and v' =meridional) and wavelengths (λ_z = vertical and λ_h =horizontal) are related as follows

$$\frac{|w'|}{\sqrt{(u')^2 + (v')^2}} \simeq \frac{\lambda_z}{\lambda_h} \quad (1)$$

In the absence of refraction and ducting, a wave generated near the tropopause would propagate upward at an elevation angle approximately equal to $\tan^{-1}(\lambda_z/\lambda_h) \simeq \tan^{-1}\left(|w'|/\sqrt{(u')^2 + (v')^2}\right)$. By using the SOR wind data (Figure 4 in Gardner & Liu, 2007), we find that the wave field observed in the mesopause region, originated near the tropopause, nominally within a circular region centered at SOR with a radius of ~1450 km in winter (Dec, Jan, Feb) and ~850 km in summer (Jun, Jul, Aug). Thus, for SOR, approximately half the waves observed at mesopause heights originated within the SWNA region. Notice that the significant increase in the vertical wind variance during summer, illustrated in Figure 1b, arises in part because the waves are generated closer to SOR and therefore are propagating more vertically in summer compared to winter.

Unfortunately, we are unable to make similar estimates for the CSU site because the CSU lidar did not measure the vertical wind. But, if these same radii also apply approximately to CSU, then some of the waves observed at CSU would have originated outside the SWNA region as far east as eastern Kansas and Nebraska in summer and Illinois in winter, and as far north as central Montana in summer and southern Saskatchewan in winter. However, like the SWNA area, these eastern and northern regions also experienced significant decreases in precipitation during summer from 1990 to 2020 (Figure S1, Supplemental Information). Furthermore, in winter the reduced wave generation in the much drier regions in California, southern Arizona, southern New Mexico and Texas likely outweigh the increased wave generation in the moderately wetter regions in

Colorado, Wyoming, Montana, and Idaho. Thus, we expect a decrease in waves generated by tropospheric convection and frontogenesis during both summer and winter over these areas.

Because the background wind and temperature structure of the mesopause region is driven by global not local processes, enhanced dissipation of waves during the drought is unlikely to be responsible for the significant decrease in the temperature variance above 94 km during all seasons as illustrated in Figure 3. However, changes in the strength and number of the wave sources and their geographic distribution may play important roles. The SOR data show that the largest vertical wind variance occurs during summer below 94 km where it averages about $8.5 \text{ m}^2\text{s}^{-2}$ compared to about $500 \text{ m}^2\text{s}^{-2}$ for the horizontal wind variance (Figure 4, Gardner & Liu, 2007). Thus, the waves in this lower altitude range probably originated even closer to Albuquerque during summer (within ~ 600 km), while the waves above 94 km, originated farther away (~ 1000 km). These distances are just rough estimates because we have neglected the potential impact of wave refraction and ducting. Furthermore, some primary waves could break at lower altitudes and generate secondary waves that would have their origin above the tropopause, much closer to Albuquerque (Vadas & Becker, 2018; Vadas et al., 2018). Even so, the SOR data provide qualitative support for the notion that the geographic distribution of tropospheric wave sources, as well as their number and strength, can affect the profile of wave-driven temperature fluctuations in the upper atmosphere. The annual mean vertical and horizontal wind variances at SOR above 94 km before the drought are $\sim 3 \text{ m}^2\text{s}^{-2}$ and $\sim 750 \text{ m}^2\text{s}^{-2}$, respectively, which corresponds to a tropospheric origin within ~ 1400 km of Albuquerque. These waves could have originated as far west as the Sierra Nevada Mountains in California, as far east as Missouri, Arkansas, and Texas, and as far north as Montana. We speculate that the significant decrease in temperature variance above 94 km throughout the year at CSU during the drought is probably related to a significant decrease in these most distant waves sources, especially those sources within the SWNA region.

5 Conclusions

We acknowledge that attributing changes in MLT wave activity during the current megadrought, to a reduction in the number and strength of waves generated by the storm systems and frontogenesis associated with the reduced precipitation in the SWNA region, is speculative but it is physically plausible. However, changes in the geographic distribution of waves sources and the environment through which the waves propagate, must also play important roles in reducing the wave activity measured in the upper atmosphere. This includes changes in filtering, refracting, and ducting of the waves. To fully assess the importance of all these processes, requires analysis with a high-resolution, regional computer model that can resolve the small-scale gravity waves observed by the Na Doppler lidars used for this current study.

In summary, the intensity and seasonal variations of the mesopause region wave activity observed at SOR and CSU prior to the current megadrought are quite

similar. Semiannual oscillations dominate the temperature fluctuation variances, and the annual mean variances are nearly identical. However, during the first ten years of the drought, the CSU wave activity changed significantly. The mean temperature variance decreased by 28%, and annual oscillations, rather than semiannual oscillations, dominated the seasonal variations. The decline in wave activity was most significant in summer and above 94 km during all seasons. We suggest that these changes may be related to the precipitation deficit during the megadrought and perhaps to an altered geographic distribution of precipitation events in the western and mid-western United States.

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Open Research

The nightly mean and high-resolution CSU Na lidar temperature data (1990–2010) are available from Madrigal Database at Millstone Hill and from the Digital Commons of Utah State University. Use of the Madrigal Database (<http://millstonehill.haystack.mit.edu/>) is generally subject to the NSF/CEDAR Rules-of-the-Road; prior permission to access the data is not required. The data at Digital Commons is a part of the open access USU-CSUs Lidar Data (https://digitalcommons.usu.edu/all_datasets/54/). Both data sets can be downloaded with a simple procedure in text format. The SOR Na lidar data were obtained from the Tables and figures published in Gardner & Liu (2007).

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