# Designing a fully-tunable and versatile TKE-l turbulence parameterization for atmospheric models

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# Abstract

This study presents the development of a TKE-l parameterization of the diffusion coefficients for the representation of turbulent diffusion in neutral and stable conditions in large-scale atmospheric models. The parameterization has been carefully designed to be completely tunable in the sense that all adjustable parameters have been clearly identified and their number minimized as much as possible to help the calibration and to thoroughly assess the parametric sensitivity. We choose a mixing length formulation that depends on both static stability and wind shear to cover the different regimes of stable boundary layers. We follow a heuristic approach for expressing the stability functions and turbulent Prandlt number in order to guarantee the versatility of the scheme and its applicability for planetary atmospheres composed of an ideal and perfect gas such as that of Earth and Mars. Particular attention has also been paid to the numerical stability at typical time steps used in General Circulation Models. Test, parametric sensitivity assessment and preliminary tuning are performed on single-column idealized simulations of the weakly stable boundary layer. The robustness and versatility of the scheme are also assessed through its implementation in the LMDZ General Circulation Model and the Mars Planetary Climate Model and by running simulations of the Antarctic and Martian nocturnal boundary layers.

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15	Key	<b>Points:</b>

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- A simple TKE-l turbulent diffusion scheme is developed in a semi-heuristic way for applications in models of the Earth and Mars atmospheres.
- The parameterization is designed to be completely tunable and numerically stable at typical GCM time steps.
- The parameterization is tuned over 1D simulations and is able to capture the Antarctic and Martian stable boundary layers in 3D simulations.

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# <sup>39</sup> Plain Language Summary

In planetary atmospheres, turbulent motions actively contribute to the mixing of quan-40 tities such as heat, momentum and chemical species. Such motions are not resolved in 41 coarse-grid atmospheric models and have to be parameterized. The parameterization of 42 turbulent mixing should be based on physical laws and sufficiently sophisticated to realisti-43 cally represent the full spectrum of motions over the full range of stability encountered in 44 the atmospheres. However, it also necessarily contains a number of closure parameters not 45 always well identified and whose values are determined empirically - thereby questioning the 46 universality of the parameterization and its potential application over the full globe or even 47 to other planets - or adjusted to guarantee the numerical stability of the model. This study 48 presents the design of a turbulent mixing parameterization that can be fully calibrated and 49 applied in planetary atmospheres such as that of Mars. We then calibrate the parameteri-50 zation on an idealised simulation set-up and test its robustness and performance by running 51 simulations of the Antarctic and Martian atmospheres. 52

### 53 1 Introduction

Turbulence efficiently transports momentum, energy, moisture and matter in the at-54 mosphere, particularly in the planetary boundary layer where it controls sensible and latent 55 heat fluxes as well as the transfer of momentum between the air and the ground surface. 56 It thereby directly affects the diurnal cycle of the near-surface atmospheric quantities and 57 also impacts on the lifetime and structure of synoptic-scale dynamical systems. Turbulent 58 transport is therefore an essential component of the physics of climate models, numerical 59 weather prediction models and more generally of General Circulation Models (GCMs) of 60 planetary atmospheres. As turbulent eddies manifests on scales ranging from a few millime-61 ters to a few tens of kilometers in deep convective systems, modellers develop conceptually 62 separated subgrid parameterizations targeting different types - or different scales - of trans-63 port processes. Non-local turbulent transport resulting from large and organised convective 64 cells, being deep or shallow, is often treated with so-called mass flux schemes (e.g., Tiedtke 65 (1989); Emanuel (1991); Hourdin et al. (2002); Golaz et al. (2002)). Local turbulent mixing 66 which results from eddies whose typical size is smaller or similar to the typical grid cell 67 thickness - namely a few tens of meters - is often parameterized with a local K-gradient 68 diffusion scheme. In those schemes, the turbulent flux is parameterized with a Fick's law 69 type down-gradient diffusion formulation that relies on the introduction of a turbulent dif-70 fusion coefficient. Such schemes are particularly critical to simulate the stable and neutral 71

atmospheric boundary layers (Delage, 1997; Cuxart et al., 2006; Sandu et al., 2013), the
 land-atmosphere coupling as well as the thermal inversion at the top of convective boundary
 layers.

Several K-gradient diffusion parameterizations have been developed since the pioneering work of Louis (1979) and have been the subject of a substantial body of literature in atmospheric sciences. Among them, the moderate-complexity 1.5 order schemes, or TKE-1 schemes, consist in expressing the diffusion coefficients as function of a diagnostic vertical turbulent length-scale, or mixing length, and of a prognostic estimation of the Turbulent Kinetic Energy (TKE) (Mellor & Yamada, 1982; Yamada, 1983).

The closure of the TKE evolution equation and the empirical and/or heuristic formu-81 lation of the mixing-length necessarily introduce free parameters in the parameterization, 82 and therefore a certain degree of empiricism in the expression of the diffusion coefficients (Li 83 et al., 2016). Indeed, such parameters do not have, by essence, fixed and universal values. 84 Some of them - and the associated variability range thereof - are determined empirically 85 using field observations, laboratory experiments, Large Eddy Simulations (LES) or Direct 86 Numerical Simulations (DNS) while others are arbitrarily set. In practice, in climate and 87 numerical weather prediction models, the value of some coefficients is often retuned to match 88 large-scale or meteorological targets. For instance as all subgrid mixing processes are not 89 parameterized - such as small scale internal waves or submeso-scale motions - the mixing in 90 stable conditions is often artificially enhanced to prevent unrealistic runaway surface cool-91 ing due to surface-atmosphere mechanical decoupling and to maintain sufficient surface drag 92 and Ekman pumping in extratropical cyclones (Holtslag et al., 2013; Sandu et al., 2013). 93 Such empiricism and Earth-oriented tuning can somewhat question the applicability of these 94 turbulent mixing parameterizations in planetary GCMs, even in GCMs of Mars (e.g., Forget 95 et al. (1999); Colaïtis et al. (2013)) where the planetary boundary layer shares similarities 96 with that on Earth (Spiga et al., 2010a). 97

In addition, arbitrary parameter calibration - sometimes beyond reasonable ranges -98 is often required to improve the numerical convergence and stability of the parameteriza-99 tion once it is implemented in models with typical physics time steps of a few minutes to 100 a few tens of minutes. Indeed, the numerical implementation of a K-gradient turbulence 101 scheme is prone to spurious oscillations called 'fibrillations' (Kalnay & Kanamitsu, 1988; 102 Girard & Delage, 1990). Such fibrillations are due to i) the coupling between momentum 103 and potential temperature via the turbulent diffusion coefficients and ii) the discretization 104 of the vertical diffusion in which the nonlinear exchange coefficient is often treated explicitly 105 in time. Even though the TKE budget is often close to a local equilibrium (Lenderink & 106 Holtslag, 2004), the prognostic prediction of the TKE generally makes TKE-l schemes less 107 sensitive to the time discretization and less prone to fibrillation than traditional first-order 108 schemes (Bougeault & Lacarrère, 1989; Bazile et al., 2011) in which the diffusion coefficients 109 are explicit and diagnostic functions of the mean static stability and wind shear (Louis, 1979; 110 Louis et al., 1982; Delage, 1997). This is mostly explained by the fact that the prognostic 111 TKE plays a role of 'reservoir' that damps the sometimes abrupt evolution of the diffusion 112 coefficients with time (Mašek et al., 2022). However, even TKE-based schemes can also 113 be affected by numerical instabilities which can be related to the numerical treatment of 114 the TKE equation itself (Deleersnijder, 1992; Vignon et al., 2018) or to the coupling with 115 other prognostic quantities such as the turbulent potential energy (Mašek et al., 2022). The 116 numerical treatment of the TKE equation and more generally of the turbulent diffusion 117 thereby comes out as a forefront issue in atmospheric modeling. Hence, one has to find 118 a good trade-off between the complexity and sophistication of a turbulent mixing scheme 119 and its practical implementation in large scale atmospheric models avoiding as much as 120 possible unrealistic parameter calibration to guarantee numerical stability and fair model 121 performances. 122

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The sensitivity of the stable boundary layer representation to turbulent diffusion cal-124 ibration in a large scale atmospheric model was assessed in a game-changing study by 125 Audouin et al. (2021) using a semi-automatic tuning tool based on uncertainty quantifi-126 cation (Couvreux et al., 2021; Hourdin et al., 2021). The authors identified a few key 127 tuning parameters - and their acceptable ranges of values - in the TKE-l turbulent diffusion 128 scheme of the ARPEGE-Climat model and assessed to what extent biases in the simulation 129 of the extremely stable Antarctic boundary layer are explained by structural parameteriza-130 tion deficiencies or tuning choices. However, the boundary layer and surface layer schemes 131 of ARPEGE-Climat contain a large number of tuning parameters, sometimes subtly in-132 terdependent, and considering all of them in a tuning exercise may be confusing, thereby 133 challenging. 134

The present study aims to design a new and simple TKE-l turbulent diffusion scheme for large scale atmospheric models

- that is sufficiently robust and versatile to be applicable on both Earth and Mars, and
   potentially on other planetary atmospheres and ;
  - 2. that is built to be completely tuned in the sense that all adjustable parameters are clearly identified and their number minimized to help the calibration or parameter adjustment and assess the parametric sensitivity.
- The scheme will be referred to as the ATKE scheme for Adjustable TKE-l scheme in the paper.

We follow a simple heuristic approach - as in Lenderink and Holtslag (2004) and He 144 et al. (2019) - for expressing the stability functions and turbulent Prandlt number to guar-145 antee the versatility of the scheme and its potential applicability for planetary atmospheres 146 composed of an ideal and perfect gas. A particular attention is also paid to the numerical 147 treatment of the TKE prognostic equation to ensure the numerical stability even in condi-148 tions of strong wind shear or strong stratification. It is worth emphasizing that the 'local' 149 nature of the scheme makes it mostly adapted for neutral and stably stratified conditions, 150 hence the particular focus on stable boundary layers in the paper. The scheme is tested and 151 tuned - using the same Uncertainty Quantification approach as in Audouin et al. (2021) and 152 Hourdin et al. (2021) - on idealized single column simulations of the stable boundary layer. 153 The parameterization is then implemented and tested in the Earth LMDZ GCM (Hourdin 154 et al., 2020; Cheruy et al., 2020) and the Mars Planetary Climate model (Forget et al., 1999) 155 to verify its robustness and assess its performances when challenging the stable Antarctic 156 and Martian nocturnal boundary layers. 157

### <sup>158</sup> 2 Parameterization development

This section presents the derivation of the ATKE scheme, starting briefly and purposely with some generalities to clearly set the parameterization in the framework of turbulent diffusion in GCMs of planetary atmospheres.

2.1 General framework

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The conservation law for an extensive quantity c - being for example the potential temperature, wind components or concentration in chemical species - in a compressible atmosphere reads:

$$\frac{\partial \rho c}{\partial t} + \vec{\nabla}(\rho \vec{u} c) = P_c \tag{1}$$

With, in Cartesian coordinates (x, y, z),  $\vec{u} = u\vec{i} + v\vec{j} + w\vec{k}$  the wind vector,  $\rho$  the air density and  $P_c$  the net source/loss term. We note the statistical (ensemble) average with

an overline and introduce the air weighting average operator  $\sim$  such that

$$\tilde{c} = \frac{\overline{\rho c}}{\overline{\rho}} \tag{2}$$

Note that  $\tilde{c}$  is an extensive variable per mass unit. We decompose c into a mean state and a fluctuation such that  $c = \tilde{c} + c'$ . We then apply the statistical average operator (overline) on Eq. 1 that now reads:

$$\underbrace{\frac{\partial \bar{\rho}\tilde{c}}{\partial t} + \vec{\nabla}(\bar{\rho}\tilde{c}\tilde{\vec{u}})}_{(1)} = -\underbrace{\vec{\nabla}(\bar{\rho}\vec{u'c'}) + \overline{P_c}}_{(2)} \tag{3}$$

In large-scale atmospheric models the scale separation is imposed by the size of the grid cells which determines the resolved and unresolved components. In this framework, the term (1) in Eq.3 is handled by the dynamical core while the term (2) is the essence of the physical subgrid parameterizations. Further assuming that the subgrid horizontal variations of c are dominated by vertical variations, it follows that  $\vec{\nabla}(\rho \vec{u'c'}) \approx \partial_z (\rho w'c')$ . A local turbulent mixing parameterization aims at calculating a tendency on the mean state variable  $\tilde{c}$  due to the vertical turbulent diffusion as follows:

$$\left. \frac{\partial \tilde{c}}{\partial t} \right|_{diffusion} = -\frac{1}{\overline{\rho}} \frac{\partial \overline{\rho w' c'}}{\partial z} \tag{4}$$

For better readability and conciseness, we leave the ~ notation out for mean state quantities and note  $\rho = \overline{\rho}$  in the following.

For local and mostly shear driven turbulent eddies, the mixing of any conservative quantity during turbulent mixing - such as the common Betts (1973)' variables - can be represented as a diffusive process (e.g. Louis (1979)). Turbulent fluxes can then be expressed with a down-gradient form:  $\rho w'c' = -\rho K_c \partial_z c$ ,  $K_c$  being a diffusion coefficient. Eq. 4 hence reads:

$$\left. \frac{\partial c}{\partial t} \right|_{diffusion} = \frac{1}{\rho} \frac{\partial}{\partial z} \left( \rho K_c \frac{\partial}{\partial z} c \right) \tag{5}$$

Once the  $K_c$  coefficient has been calculated at vertical model layer interfaces, such an equation can be numerically solved with an implicit approach through the inversion of a tri-diagonal matrix.

We now focus on the closure of the  $K_c$  coefficient which is the main scope of the present study. We follow here an approach historically proposed by Mellor and Yamada (1974); Yamada (1975) that is, a 1.5 order closure or TKE-l scheme. In this framework,  $K_c$  coefficients are expressed as the product of a vertical turbulent length scale or mixing length l with a turbulent vertical velocity scale taken proportional to the square root of the TKE  $e = \frac{1}{2}(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$ . The latter is multiplied by a stability function  $S_c$  that accounts for the fact that the turbulence anisotropy - thus the contribution of TKE to vertical turbulent mixing - varies with the local stability of the atmosphere characterized by the gradient Richardson number Ri. The diffusion coefficient  $K_c$  is then expressed as (Yamada, 1983; Zilitinkevich et al., 2007):

$$K_c = lS_c(Ri)\sqrt{e} \tag{6}$$

In the following sections, we describe the estimation of the three different terms of  $K_c$ , namely  $e, S_c$  and l. As we want our turbulent scheme to be applicable on Earth and Mars (and potentially other planetary environments), we have to ensure that their expressions

are as planet-independent as possible.

### 172 2.2 TKE prognostic equation

### 2.2.1 Parameterization of the source and loss terms

Assuming the horizontal homogeneity of the subgrid-scale statistics, the TKE obeys the following evolution equation (Stull, 1990):

$$\frac{\partial e}{\partial t} = \underbrace{-\overline{u'w'}\frac{\partial u}{\partial z} - \overline{v'w'}\frac{\partial v}{\partial z}}_{\mathcal{W}} + \underbrace{\overline{b'w'}}_{\mathcal{B}} \underbrace{-\frac{1}{\rho}\frac{\partial}{\partial z}(\overline{\rho w'e} + \overline{w'p'})}_{\mathcal{T}} \underbrace{-\epsilon}_{\mathcal{D}}$$
(7)

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 $\mathcal{W}$  is the wind shear production term that can be expressed with the down-gradient expression of fluxes with a diffusion coefficient for momentum hereafter denoted as  $K_m$ :

$$-\overline{u'w'}\frac{\partial u}{\partial z} - \overline{v'w'}\frac{\partial v}{\partial z} = K_m S^2 = lS_m \sqrt{e}S^2 \tag{8}$$

with  $S^2 = (\partial_z u)^2 + (\partial_z v)^2$  the wind shear and  $S_m$  the stability function for momentum.  $\mathcal{B}$  is the buoyancy b production/consumption term. For a dry air under the ideal gas assumption, one can write:

$$\overline{b'w'} = \frac{-g}{\rho} \left. \frac{\partial\rho}{\partial\theta} \right|_p \overline{w'\theta'} = \frac{g}{\theta} \overline{w'\theta'} = -K_h \frac{g}{\theta} \frac{\partial\theta}{\partial z} = -K_h N^2 = -lS_h \sqrt{e}N^2 \tag{9}$$

where g is the gravity acceleration of the planet,  $\theta$  the potential temperature, N the Brünt-Väisälä pulsation,  $K_h$  the diffusion coefficient for heat and  $S_h$  the stability function for heat. In the case of an atmosphere containing water vapor or chemical species  $\xi$ , buoyancy reads  $\overline{b'w'} = \frac{-g}{\rho} \left( \frac{\partial \rho}{\partial \theta} \Big|_{p,\xi} \overline{w'\theta'} + \frac{\partial \rho}{\partial \xi} \Big|_{p,\theta} \overline{w'\xi'} \right)$ . For water vapor - in absence of phase change - or for non-reactive chemical species, one can define a virtual temperature  $T_v$  (and a subsequent virtual potential temperature  $\theta_v$ ) corresponding to the temperature that dry air would have if its pressure and density were equal to those of a given sample of the mixture of gas. In this case:

$$\overline{b'w'} \simeq \frac{g}{\theta_v} \overline{w'\theta'_v} = -\frac{g}{\theta_v} K_h \frac{\partial \theta_v}{\partial z}$$
(10)

It is worth noting here that the expression of the buoyancy term (or Brünt-Väisälä pulsation) is gravity-dependent thus planet-dependent. For simplicity and consistency with previous literature on turbulent mixing schemes, we keep the formalism with explicit gravity in the following. However, a more universal derivation of the scheme can be achieved with a gravity-invariant formulation of the TKE and turbulent diffusion equations. Such a formulation is proposed in Appendix A.

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 $\mathcal{D}$  is the viscous TKE dissipation term that can be expressed following Kolmogorov (1941):

$$\epsilon = \frac{e^{3/2}}{l_{\epsilon}} \tag{11}$$

with  $l_{\epsilon}$  the dissipation length-scale characterizing the size of the most dissipative and energy-183 containing eddies. Following for instance Yamada (1983) and Bougeault and Lacarrère 184 (1989), we assume that  $l_{\epsilon}$  scales with l such that  $l_{\epsilon} = c_{\epsilon}l$ ,  $c_{\epsilon}$  being a scalar. Its value 185 roughly ranges between 1.2 and 10.0 (Yamada, 1983; Audouin et al., 2021; He et al., 2019) 186 since dissipation length scale - characterizing the dissipation of turbulence as a whole -187 might be larger than vertical mixing length in stable conditions due to the fact that kinetic 188 energy can dissipate through wavy motion with little transfer to the smaller turbulent scales 189 (Cuxart et al., 2006). 190

The vertical turbulent flux of TKE and the pressure term gathered in  $\mathcal{T}$  redistribute TKE through the depth of the atmospheric column. Hence, those two terms are commonly

grouped together and expressed as a TKE turbulent diffusion term:

$$-\frac{1}{\rho}\frac{\partial}{\partial z}(\overline{\rho w' e} + \overline{w' p'}) = \frac{1}{\rho}\frac{\partial}{\partial z}(\rho K_e \frac{\partial e}{\partial z})$$
(12)

<sup>191</sup>  $K_e$  being taken proportional to  $K_m$  (Yamada, 1983; Bougeault & Lacarrère, 1989; <sup>192</sup> Lenderink & Holtslag, 2004):  $K_e = c_e K_m$ .  $c_e$  is a constant whose value is generally around <sup>193</sup> 1 - 2 and that we will arbitrarily allow to vary between 1 and 5 (Bougeault & Lacarrère, <sup>194</sup> 1989; Lenderink & Holtslag, 2004; Baas et al., 2018). The lower boundary condition of e<sup>195</sup> that is, the surface value of the TKE  $e_s$ , is estimated by assuming stationary near-neutral <sup>196</sup> conditions in the surface layer. On such a condition (Baas et al., 2018; Lenderink & Holtslag, <sup>197</sup> 2004):

$$e_s = c_s u_*^2 \tag{13}$$

with  $c_s$  a constant and  $u_*$  the surface friction velocity calculated from the surface drag coefficient for momentum and the wind speed at the first model level. A proper scaling of the TKE-l parameterization with the Monin-Obukhov similarity in the surface layer requires (He et al., 2019):

$$c_s = c_\epsilon^{2/3} \tag{14}$$

#### 198 2.2.2 Numerical treatment

<sup>199</sup> Once the different TKE source and loss terms have been expressed, Eq. 7 has to be <sup>200</sup> integrated in time. The numerical treatment of Eq. 7 is critical as the solution must be <sup>201</sup> stable and converge at typical physical time steps used in atmospheric GCMs namely, of <sup>202</sup> the order of  $\approx 15$  min. Several methods have been proposed in the literature, particularly <sup>203</sup> regarding the treatment of the dissipation term with different degrees of implicitation (Bazile <sup>204</sup> et al., 2011).

Here, we propose a 2-step resolution method which allows for an exact treatment of the dissipation term - under some assumptions - while the transport term is calculated separately.

Step 1 We calculate the TKE tendency due to the shear, buoyancy and dissipation terms. Noting  $q = \sqrt{2e}$ , one can rewrite Eq. 7 with no transport term as:

$$\frac{\partial q}{\partial t} = \frac{lS_m}{\sqrt{2}} S^2 \left( 1 - \frac{Ri}{Pr} \right) - \frac{q^2}{2^{3/2} c_\epsilon l} \tag{15}$$

with  $Pr = \frac{K_m}{K_h} = \frac{S_m}{S_h}$  the turbulent Prandtl number. We then solve this equation through an implicit treatment of q assuming that the mean temperature and wind field does not vary much during the time step  $\delta t$  and thus keeping the explicit value - that is the value at the beginning of the time step - of Ri,  $S_m$ , Pr and l. Eq. 16 then reads:

$$\frac{q_{t+\delta t} - q_t}{\delta t} = \frac{lS_m}{\sqrt{2}} S^2 \left(1 - \frac{Ri}{Pr}\right) - \frac{q_{t+\delta t}^2}{2^{3/2} c_\epsilon l} \tag{16}$$

than can be rewritten in a second-order polynomial form after some rearrangement :

$$q_{t+\delta t}^2 + A_t q_{t+\delta t} + B_t = 0 \tag{17}$$

with  $A_t = \frac{c_{\epsilon}l2^{3/2}}{\delta t}$  and  $B_t = -(\frac{q_tc_{\epsilon}l2^{3/2}}{\delta t} + 2l^2c_{\epsilon}S_mS^2(1-\frac{Ri}{Pr}))$ 

One can show that given the choice we will make for the formulation of the turbulent Prandlt number in the next section, Ri/Pr namely the flux Richardson number, is by construction always < 1. This in fact reflects a condition imposed by steady-state TKE budget



Figure 1.  $S_{m,h}$  (panel a) and Pr (panel b) as functions of the Richardson number Ri following Eq. 20 and 23. Envelopes show the range of variation when adjustable parameters evolve in their range of acceptable values (Table 1). Solid lines show the curves for the following arbitrary set of parameters'values:  $c_{\epsilon} = 5.9$ ,  $Pr_n = 0.8$ ,  $\alpha_{Pr} = 4.5$ ,  $r_{\infty} = 2$ ,  $Pr_{\infty} = 0.4$ ,  $S_{min} = 0.05$  and  $Ri_c = 0.2$ .

equation for which the wind shear production term and the buoyancy term cannot exceed unity to maintain a non-zero TKE dissipation thus a non-zero turbulence (e.g, Zilitinkevich et al. (2008)).

The discriminant  $\Delta = A_t^2 - 4B_t$  of Eq. 17 is thus always > 0 and the latter always admits a positive solution for q thus e that reads:

$$e = \frac{(-A_t + \sqrt{\Delta})^2}{8} \tag{18}$$

<sup>221</sup> Step 2 The TKE variation due to the transport term  $\mathcal{T}$  is then calculated and added <sup>222</sup> to the value found in step 1. The calculation of this term consists in resolving the following <sup>223</sup> equation:

$$\frac{\partial e}{\partial t} = \frac{1}{\rho} \frac{\partial}{\partial z} \left( \rho K_e \frac{\partial e}{\partial z} \right) \tag{19}$$

With an *a priori* knowledge of  $K_e$  - namely an explicit value of  $K_e$  calculated with the *e* value from Step 1 - Eq 19 is a typical diffusion equation that is solved implicitly in time through a tri-diagonal matrix inversion (Dufresne & Ghattas, 2009).

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# 2.3 Heuristic expressions for the stability functions and turbulent Prandtl number

We now have to derive a heuristic expression for the stability function  $S_m$  of the gradient Richardson number  $Ri = N^2/S^2$  to be used in the formulation of the diffusion coefficient for momentum. On one hand,  $S_m$  should increase when an atmospheric layer locally becomes more unstable and thus with decreasing negative Ri. On another hand, we want to prevent  $S_m$  from reaching infinite value when  $Ri \to -\infty$  to avoid risk of numerical instabilities when  $K_m \to \infty$  (Lenderink & Holtslag, 2000). It is worth recalling here that in unstable conditions, turbulent transport becomes non-local and another type of parameterization such as a mass-flux scheme should come in support of the K-diffusion. In stable conditions as turbulent mixing intensity decreases with increasing stability, we assume a simple linear decrease with Ri down to a minimum value attained when the Richardson number equals a critical value (Mellor & Yamada, 1974).

Following Lenderink and Holtslag (2004), we propose the following expression for  $S_m$  plotted in Figure 1a:

$$S_m(Ri) = \begin{cases} c_n + \frac{2}{\pi}(c_\infty - c_n) \arctan(\frac{-Ri}{Ri_0}) & \text{if } \operatorname{Ri} < 0\\ \max\left(c_n(1 - \frac{Ri}{Ri_c}), S_{min}\right) & \text{if } \operatorname{Ri} \ge 0 \end{cases}$$
(20)

 $c_n$  is the value of  $S_m$  at Ri = 0 and  $c_\infty$  is the  $S_m$  value in the convective limit.  $r_\infty = c_\infty/c_n$  is comprised between 1.2 and 5 (Mellor & Yamada, 1982; Lenderink & Holtslag, 2004).  $Ri_c$  is a critical Richardson number whose inverse value controls the slope of  $S_m$  in stable conditions. Previous literature suggests  $Ri_c$  values comprised between 0.19 and 0.25 (Mellor & Yamada, 1974, 1982; He et al., 2019). As the turbulence vertical anisotropy does not reach 0 in very stable conditions (Zilitinkevich et al., 2007; Li et al., 2016),  $S_m$  must be lower-bounded by a value  $S_{min}$  which is roughly around 0.05 and that we will make vary between 0.025 and 0.1.

The continuity in slope for Ri = 0 further gives:

$$Ri_0 = \frac{2}{\pi} (c_\infty - c_n) \frac{Ri_c}{c_n} \tag{21}$$

Furthermore, the so-called local-scaling similarity theory in stable boundary layers (Nieuwtsadt, 1984; Derbyshire, 1990; van de Wiel et al., 2010) implies that in stationary conditions, turbulent fluxes and vertical gradient wind speed must scale such that  $\frac{K_m}{lS^2}$  converges towards 1 in the neutral limit. This conditions leads to a direct relationship between  $c_n$  and the coefficient  $c_{\epsilon}$  (Baas et al., 2018; He et al., 2019), the latter being the ratio between the mixing length l and the TKE dissipation length scale (Sect. 2.2.1):

$$c_n = c_{\epsilon}^{-1/3} \tag{22}$$

The stability function for the heat flux  $S_h$  is estimated through a parametrization of the 248 turbulent Prandtl number Pr. Under unstable conditions, the dominant coherent structures 249 such as rising plumes and thermals have vertical velocity anomalies which generally better 250 correlate with buoyancy and temperature anomalies than momentum anomalies in average. 251 Therefore, one expects Pr to decrease with increasing instability (Li, 2019). In stably 252 stratified conditions, buoyancy is expected to suppress the transport of heat but the existence 253 of gravity waves can maintain some transport of momentum inducing an increase in Pr with 254 increasing stability. Collection of field experiments, laboratory data and LES and DNS 255 results shows a consistent increase in Pr with Ri with a asymptotical linear behaviour at 256 strong stability (Zilitinkevich et al., 2008; Li, 2019). We therefore propose the following 257 expression of Pr that is plotted in Figure 1b: 258

$$Pr(Ri) = \begin{cases} Pr_n - \frac{2}{\pi}(Pr_\infty - Pr_n) \arctan(\frac{-Ri}{Ri_1}) & \text{if } \text{Ri} < 0\\ Pr_n e^{\frac{1-\alpha_{Pr}}{Pr_n}Ri} + \alpha_{Pr}Ri & \text{if } \text{Ri} \ge 0 \end{cases}$$
(23)

The formulation in stable conditions is inspired from Venayagamoorthy and Stretch (2010) and it shows fair agreement with experimental data (Li, 2019).  $\alpha_{Pr}$  is the slope of the asymptotical linear trend at high stability and its value ranges from 3 to 5 (Grisogono, 2010).  $Pr_n$  is the neutral value of Prandtl number which from extensive laboratory and field

experiments as well as theoretical works range from 0.7 to 1 (Grisogono, 2010; Li, 2019). The continuity in slope at Ri = 0 gives

$$Ri_1 = \frac{2}{\pi} (Pr_\infty - Pr_n) \tag{24}$$

 $Pr_{\infty}$  is the value of Pr in the convective limit and its value roughly ranges between 0.3 and 0.5 (Li, 2019).

261

### 2.4 Vertical turbulent mixing length formulation

In near-neutral conditions, we choose a turbulent vertical length-scale formulation  $l_n$  similar to Blackadar (1962) in which the displacement of eddies is limited by the distance to the ground in the neutral limit:

$$l_n = \frac{\kappa z l_\infty}{\kappa z + l_\infty} \tag{25}$$

where  $\kappa$  is the Von Kármán constant.  $l_{\infty}$  is the mixing-length far above the ground whose value in near-neutral conditions is generally estimated between 15 and 75 m (Sun, 2011; Lenderink & Holtslag, 2004) In stable conditions, the vertical displacement of eddies whose size is roughly above the so-called Ozmidov scale - is limited by the stratification of the flow (e.g. van de Wiel et al. (2008)). André et al. (1978) and Deardoff (1980) introduced a widely used buoyancy length-scale which depends on the flow stratification characterised by Brunt-Väisälä pulsation N. The mixing length in stable conditions  $l_s$  then read :

$$l_s = c_l \frac{\sqrt{e}}{N} \tag{26}$$

 $c_l$  being a scalar whose value varies between 0.1 and 2 (Deardoff, 1980; Nieuwtsadt, 1984; Grisogono & Belušić, 2008; Baas et al., 2018).

More recent studies introduced wind-shear dependent formulation of  $l_s$  to account for the deformation of eddies - whose size is above a so-called Corrsin scale - by vertical wind shear (e.g. Grisogono and Belušić (2008); Grisogono (2010); Rodier et al. (2017)). Grisogono and Belušić (2008) proposed a mixing-length formulation including both the effect of stratification and vertical wind shear  $S^2$  that reads:

$$l_s = c_l \frac{\sqrt{e}}{2\sqrt{S^2(1+\sqrt{Ri}/2)}} \tag{27}$$

The final mixing-length l, being either ground-limited or stratification-limited is the minimum between  $l_n$  and  $l_s$ . In the model implementation, we choose a commonly-used continuous interpolation formulation:

$$l = \left(\frac{1}{l_n^{\delta}} + \frac{1}{l_s^{\delta}}\right)^{-1/\delta} \tag{28}$$

 $\delta = 1$  by default. The two expressions of  $l_s$  can be used independently in the parameterization but unless otherwise stated, the results presented in the rest of the paper have been obtained with formulation dependent on both stratification and wind shear (Eq. 27). In practice, l is also lower bounded by a value  $l_{min} = 1$  cm to prevent it from reaching value below the Kolmogorov length scale in planetary atmospheric motions (Chen et al., 2016). As  $l_s$  depends on the TKE, in practice l is calculated with an explicit value of the TKE i.e. the value at the beginning of the time-step.

### 283 2.5 Surface layer scheme matching

Neglecting the vertical diffusion term of TKE  $\mathcal{T}$ , Eq. 7 in stationary conditions ( $\partial_t e = 0$ ) can be re-arranged to give a first-order turbulent closure like expressions of the eddy diffusion coefficients for momentum and heat (Cuxart et al., 2006):

$$K_m = l^2 \sqrt{S^2} F_m(Ri) \tag{29}$$

$$K_h = l^2 \sqrt{S^2} F_h(Ri) \tag{30}$$

where

$$F_m(Ri) = S_m^{3/2} \sqrt{c_\epsilon} \left(1 - \frac{Ri}{Pr}\right)^{1/2} \tag{31}$$

$$F_h(Ri) = S_m^{7/4} P r^{-1} \sqrt{c_\epsilon} \left( 1 - \frac{Ri}{Pr} \right)^{1/2}$$
(32)

are first-order like stability functions. Near the ground in the surface layer,  $l \approx \kappa z$  and England and McNider (1995) then show that  $F_{m,h}$  functions are identical to the stability functions involved in the bulk expressions of the surface drag coefficients used to calculate surface fluxes of momentum and heat in models :

$$C_{m,h} = \frac{\kappa^2}{\log(z/z_{0m})\log(z/z_{0m,h})} F_{m,h}$$
(33)

with  $z_{0m}$  and  $z_{0h}$  the surface roughness lengths for momentum and heat respectively. Provided turbulence in the surface layer can be assumed to be close to a stationary state, using the same formulations for  $S_m$  and Pr in both the turbulent diffusion and surface layer schemes leads to a fully consistent formulation of turbulent fluxes from the surface layer up to the top of the boundary-layer.

292

# 2.6 Degrees of freedom of the scheme and adjustable parameters

Table 1 summarises all the 10 adjustable parameters of the new parameterization and their ranges of acceptable values as previously introduced in the text. The 8 first parameters in bold are those affecting the simulation of the neutral and stable boundary layers and taken into account in the tuning phase in the next section. It is worth mentioning that we also lower-bound the turbulent diffusion coefficients with the kinematic molecular viscosity and conductivity of the air, which are not tuning parameters per se but pressure and temperature dependent - thus planet dependent - quantities.

300 301

# 3 Implementation in General Circulation Models, evaluation and tuning

### 3.1 Implementation in the LMDZ GCM and Mars Planetary Climate Model

The ATKE parameterization has been implemented in the LMDZ Earth GCM (Hourdin 302 et al., 2020; Cheruy et al., 2020), atmospheric component of the French IPSL Coupled-Model 303 (Boucher et al., 2020) involved in the Coupled Model Intercomparison Project (CMIP) ex-304 ercices. The turbulent-mixing parameterization of LMDZ has received a lot of attention 305 in the past two decades, particularly regarding the convective boundary layer and the very 306 stable boundary layer. It is a hybrid scheme in the sense that turbulent fluxes are expressed 307 as a sum of a K-diffusion term - from the TKE-l scheme of Yamada (1983) and revisited in 308 Hourdin et al. (2002) and Vignon, Hourdin, et al. (2017) - and a non-local transport term by 309 convective plumes (Rio et al., 2010; Hourdin et al., 2019). Despite those efforts, recent tests 310 revealed that the latest version of the model - the CMIP6 version - still exhibits numerical 311 instabilities in near-neutral boundary layers in presence of strong wind shear. 312

As a proof of concept, the ATKE scheme has also been implemented in the Mars Planetary Climate Model (Mars PCM, Forget et al. (1999)). This model also uses a hybrid scheme

Name	Definition	Range
$\mathbf{c}_{\epsilon}$	controls the value of the dissipation length scale	[1.2 - 10]
$\mathbf{c}_{\mathbf{e}}$	controls the value of the diffusion coefficient of TKE	[1 - 5]
$\mathbf{l}_{\infty}$	asymptotic mixing length far from the ground	[15 - 75]
$\mathbf{c}_{\mathbf{l}}$	controls the value of the mixing length in stratified conditions	[0.1 - 2]
$\mathbf{Ri_c}$	critical Richardson number controlling the slope of $S_m$ in stable conditions	[0.19 - 0.25]
$\mathbf{S}_{\mathbf{min}}$	minimum value of $S_m$ in very stable conditions	[0.025 - 0.1]
$\mathbf{Pr_n}$	neutral value of the Prandtl number	[0.7 - 1]
$\alpha_{\mathbf{Pr}}$	linear slope of $Pr$ with $Ri$ in the very stable regime	[3 - 5]
$r_{\infty}$	ratio between $c_{\infty}$ and $c_n$ controlling the convective limit of $S_m$	[1.2 - 5.0]
$\mathrm{Pr}_\infty$	value of $Pr$ in the convective limit	[0.3 - 0.5]

**Table 1.** Name, definition and range of acceptable values for the adjustable parameters. Parameters are dimensionless exception  $l_{\infty}$  which is a length in m. Parameters in bold are those which affect the simulation of the neutral and stable boundary layer.

with a TKE-l diffusion scheme inspired from Yamada (1983) and a dry parameterization of convective plumes (Colaïtis et al., 2013). Colaïtis et al. (2013) have pointed out that the default TKE-l scheme of Hourdin et al. (2002) leads to numerical oscillations in strongly stratified Martian nighttime conditions. They addressed this issue by imposing a minimum mixing coefficient  $K_{min}$  whose value depends on the boundary layer height following Holtslag and Boville (1993).

321

### 3.2 Parametric sensitivity of the ATKE scheme and tuning

322

### 3.2.1 Initial test on the GABLS1 case and parametric sensitivity

The ATKE scheme is first tested on single column simulations using the 1D version of 323 LMDZ with a 95-level vertical grid introduced in Hourdin et al. (2019). We run 1D simula-324 tions on the GEWEX Atmospheric Boundary Layer Study 1 (GABLS1) single column model 325 intercomparison exercise. The latter consists in a no-radiation idealized 9 hour simulation of 326 the development of a weakly stable boundary layer, with a constant zonal geostrophic wind 327 of 8 m s<sup>-1</sup> and a constant surface cooling of -0.25 K h<sup>-1</sup> (Cuxart et al., 2006). The fair 328 convergence of 3D LES on this case - with the exact same initial and boundary conditions as 329 those for single column models - make LES suitable references for GABLS1. Nonetheless, to 330 sample the small variability between LES runs, we consider hereafter 5 reference LES which 331 correspond to the MO-1m, MO-2m, UIB-2m, IMUK-1m, IMUK-2m simulations listed in 332 Table 2 of Beare et al. (2006), the suffix referring to the vertical resolution. 333

Given the ranges of acceptable values associated with each of the n = 8 free param-334 eters affecting the simulation of the stable boundary layer listed in Table 1, we need to 335 run simulations with different sets of parameters to assess the parametric sensitivity of the 336 scheme. For this purpose, we use the HighTune explorer statistical tool originally developed 337 in the Uncertainty Quantification community and now applicable in atmospheric modeling 338 (Couvreux et al., 2021). This tool allows to make a first perturbed physics ensemble exper-339 iment through an exploration of the initial n-dimension hypercube of parameters defined 340 by the intervals given in Table 1 using a Latin Hyper Cube sampling method. Here 80 341 (10 times n) sets of parameters or free parameters' vectors are sampled. Unless otherwise 342 stated, the simulations are run with a 15 min time step, i.e. the typical value used for the 343 LMDZ physics and that used for the ensemble of CMIP6 simulations. 344

Figure 2 shows the results of this *a priori* sensitivity analysis to free parameters' values for the vertical profiles of potential temperature, wind speed and TKE averaged over the



**Figure 2.** Evolution of envelopes of the vertical profiles of potential temperature (panel a), wind speed (panel b) and TKE (panel c) after 9 hours of GABLS1 simulation. Yellow and orange envelopes correspond to waves 1 and 20 respectively i.e. to the 1st and 20th set of 80 simulations during the tuning exercise. Blue curves show the 5 reference LES. The red curve shows the 'best' LMDZ simulation. The black curve shows the CMIP6 version of LMDZ for comparison. The horizontal light grey band show the vertical ranges over which the metrics are calculated for each variable. In panel c, note that the full (resolved+subgrid) TKE from the LES is shown.

eighth hour of the simulation. The yellow envelope displays the variability (minimum and 347 maximum values) amongst the 80 simulations from this first so-called 'wave' of simulations. 348 Albeit encompassing the five reference LES coming from the GABLS1 LES intercomparison 349 exercise (Beare et al., 2006), this yellow envelope hightlights the large range of vertical 350 profiles obtained. This is a signature of the high sensitivity of the results to the parameters as 351 they are varied accross the range given in Table 1. In particular, very strong and unrealistic 352 momentum decoupling manifesting as very strong wind speed gradient near the surface is 353 allowed by the scheme in regions of the parameter space where the negative feedback of 354 the wind shear on the mixing length (Eq. 27) is overappreciated. Interestingly, Figure 3b 355 shows that such a decoupling is never simulated when using the buoyancy-only dependent 356 length scale (Eq. 26). However, even if the yellow envelop is reasonable for the potential 357 temperature and wind speed (Figure 3a,b), the use of the buoyancy-only dependent length 358 scale can lead to unrealistically strong values of TKE in the middle of the boundary layer 359 (Figure 3c) owing to overly high mixing length values. 360

Overall, the large width of the yellow envelop in Figure 2 and the possible large discrepancy with respect to the LES call for a reduction of the parameter space and a calibration of the ATKE scheme.

- 364
- 365

## 3.2.2 History matching with iterative refocusing

For this purpose, we follow a history matching with iterative refocusing procedure which in practice is performed with HighTune explorer. This procedure is made of 6 steps and is fully described in Couvreux et al. (2021) and Hourdin et al. (2021). We refer the



Figure 3. Same as Figure 2 but for simulations using the buoyancy length-scale formulation (Eq. 26) instead of the stratification and wind-shear dependent formulation (Eq. 27) in stable conditions.

reader to the aforementioned papers for details on the method and describe here the main steps for our application.

Step 1 We first define 5 metrics, i.e. targets for the model with respect to the LES 371 reference, to properly capture the boundary layer structure. Those metrics are the potential 372 temperature at the bottom (average between 30 and 60 m) and top (average between 130373 and 160 m) part of the boundary layer, the zonal wind speed at the low-level jet height 374 (average between 130 and 190 m) and the TKE at the bottom (average between 20 and 60 375 m) and middle (average between 60 and 100 m) part of the boundary layer. All metrics are 376 calculated on hourly-mean profiles between the 8th and 9th hour of the simulation, when 377 the stable boundary layer is well developed. 378

Step 2 We then define the initial parameter space consisting in a 8-dimension space
 corresponding to the 8 parameters in bold in Figure 1 and their associated range of possible
 values.

Step 3 This parameter space is then sampled 80 times and experimented on GABLS1
 simulation as in Sect. 3.2.1.

Step 4 Based on those 80 simulations, an emulator is built for each metric based on a Gaussian Process providing values for the expectation and variance at any location in the parameter space.

Step 5 We then compare the simulated metrics with respect to those from the LES reference through the calculation of an implausibility I for each metrics at each point  $\lambda$  of the parameter space:

$$I(\lambda) = \frac{|r - E[e_m(\lambda)]|}{\sqrt{\sigma_r^2 + \sigma_d^2 + Var(e_m(\lambda))}}$$
(34)

where the numerator is the absolute difference between the reference metrics r and the corresponding expectation from the emulator  $E[e_m(\lambda)]$ ; and the denominator is the standard deviation of this difference, which includes the reference uncertainty (i.e. the spread

between LES  $\sigma_r^2$ ), the uncertainty associated to the emulator  $(Var(e_m(\lambda)))$ , and model struc-390 tural uncertainty ( $\sigma_d^2$ , see Couvreux et al. (2021) for details). As the latter is not a priori 391 known, one has to prescribe an arbitrary 'tolerance to error' (see thorough discussion on the 392 rationale behind this tolerance in Hourdin et al. (2021)) that we set to 0.25 K for potential 393 temperature, 0.25 m s<sup>-1</sup> for wind speed and 0.01 m<sup>2</sup> s<sup>-2</sup> for TKE. History matching then 394 rules out a part of the parameter space that corresponds to unacceptable model behaviour 395 - i.e. with an implausibility higher than a given cut-off value of 3 - and keeps a not-ruled 396 out yet (NROY) space. 397

<sup>398</sup> Step 6 Iterative refocusing then consists in sampling 80 new free parameter vectors <sup>399</sup> in the NROY space and reiterates over several tuning 'waves' from step 4 to 6.

Note that this procedure is not an optimization method providing in the end a single
set of parameters, but a method ruling-out a non-plausible part of the initial parameter
space and giving the space of acceptable free parameters - given the chosen metrics and
tolerances - once it has converged.

The results after 20 waves of tuning are shown with orange envelopes for the potential 404 temperature, wind speed and TKE profiles in Figure 2. Compared to the initial and first 405 wave (vellow envelopes), one can first notice the convergence towards LES curves. Consider-406 able improvement is obtained with respect to the CMIP6 version of LMDZ, with a shallower 407 and more realistic - compared to LES - boundary-layer height, a more peaked low-level jet 408 and lower and much closer-to-LES TKE values. Nonetheless, the potential temperature 409 (resp. wind speed) in the first tens of meters above the surface remains slightly overesti-410 mated (resp. underestimated). Such biases can be reduced by adding metrics targeting the 411 lowermost part of the profiles and increasing the vertical resolution close to the surface (not 412 shown). 413

414

We now examine the 10 'best' simulations obtained during the tuning exercise. The 415 adjective 'best' is employed here as in Hourdin et al. (2021) in the sense that the maximum 416 (across metrics) value of the ratio of the distance to LES divided by the tolerance to error 417 is the smallest at the end of the tuning exercise. Note that this choice of 10 simulations and 418 the denomination 'best' goes beyond the history matching philosophy as there is a priori 419 no reason to prefer specific configurations than others in the final NROY spaces given the 420 chosen metrics and tolerances. A choice is done here to illustrate the behaviour of the ATKE 421 scheme for single sets of parameters obtained at the end of the tuning process in 1D and 422 3D simulations. 423

Figure 4a,c) show that they reproduce fairly well the profiles of heat and momentum 424 turbulent fluxes, i.e. two quantities that were not directly targeted during the tuning.  $K_{m,h}$ 425 values are also much lower than those in the CMIP6 physics simulation (Figure 4b,d) which 426 concurs with conclusions regarding the profiles of TKE in Figure 2c. In addition, Figure 427 5 reveals the good numerical stability and convergence properties of the TKE in these 428 simulations, as well as the considerable improvement regarding these aspects with respect 429 to the CMIP6 version of the LMDZ physics. This makes us confident with the robustness and 430 efficiency and the numerical resolution method for the TKE evolution equation presented 431 in 2.2.2. 432

When inspecting more deeply the NROY space after 20 waves of tuning (Figure 6), 433 one can notice that its final shape has been mostly constrained by the  $c_l$  and  $c_{\epsilon}$ , and to a 434 lesser extent by  $l_{\infty}$ . This does not absolutely mean that the other 5 parameters do not play 435 role in the overall behaviour of the scheme but this shows that the representation of the 436 GABLS1 weakly stable boundary layer with ATKE mostly depends upon the value of  $c_l, c_{\epsilon}$ 437 and  $l_{\infty}$ . This point is further shown by the strong similarity between Figure 7 - which has 438 been produced with a tuning on  $c_l$ ,  $c_{\epsilon}$  and  $l_{\infty}$  only - and Figure 2. Such a result is not that 439 surprising since the turbulent diffusion in weakly stable boundary layer mostly results from 440



**Figure 4.** Vertical profiles of momentum flux (panel a), heat flux (panel c), eddy diffusivity coefficient for momentum (panel b) and heat (panel d) after 9 hours of GABLS1 simulation. Grey curves show the LMDZ simulations run with the 10 best parameter vectors after the tuning exercise. Blue curves in panels a and c show the 5 reference LES. The red curve shows the 'best' LMDZ simulation obtained during the tuning exercise (see main text for details). The black curve shows the CMIP6 version of LMDZ for comparison.



**Figure 5.** Time evolution of the TKE at 40 m a.g.l. in LMDZ single column model GABLS1 simulations. Solid grey curves show the simulations run with the 10 best parameter vectors after the tuning exercise and a 15 min time step. The solid and dotted red curves shows simulations run with the best parameter vector and a time step of 15 and 1 min respectively. The solid and dotted black curves shows simulations run with CMIP6 version of LMDZ and a time step of 15 and 1 min respectively.

eddies whose size and energy are controlled by wind shear intensity and TKE dissipation. 441 In addition, the weak dependence upon  $c_e$  may have somewhat been expected given the 442 relatively weak contribution of the transport term  $\mathcal{T}$  is the overall TKE budget (not shown). 443 Regarding  $S_{min}$ ,  $Ri_c$  and  $\alpha_{Pr}$ , one may expect a more important role of those parameters in very stable boundary layers i.e. with a stratification more pronounced compared to that in 445 GABLS1. Their values might thus be more constrained if we were to tune the ATKE scheme 446 over a more stable boundary layer case such as GABLS4 (Couvreux et al., 2020) instead 447 of or in addition to GABLS1. However LES do not converge that well on GABLS4 which 448 makes the tuning exercise more delicate. Moreover, the role of radiation in determining 449 the structure of the boundary-layer becomes increasingly important as stability increases 450 (Edwards, 2009) and in addition to turbulent diffusion, the coupling between turbulence 451 and radiation becomes an essential feature to capture with models. We therefore leave this 452 aspect for further research. 453

454

### 3.3 Challenging the Antarctic and Martian stable boundary layers

We now conduct two short and arbitrary applications of the ATKE parameterization in simulations with the LMDZ GCM and Mars PCM.

457

# 3.3.1 Stable boundary layer regimes at Dome C, Antarctic Plateau

First, we verify that the proposed scheme is able to reproduce the dichotomous be-458 haviour of the stable boundary layer at Dome C on the Antarctic Plateau that is, a very 459 stable regime with strong temperature surface-based inversions and collapsed turbulence 460 versus a weakly stable state with weak inversions. The sharp transition between those 2 461 regimes occurs in a narrow range of wind speed (Vignon, van de Wiel, et al., 2017; Baas 462 et al., 2019). Such a test was proposed in Vignon et al. (2018) to verify the ability of the CMIP6 version of LMDZ to reproduce the overall dynamics of the stable boundary layers 464 and it is performed here as capturing the Dome C boundary layer was identified as a *target* 465 during the development of LMDZ for CMIP6 (Cheruy et al., 2020). This is an aspect that 466 we want to conserve throughout the development of the LMDZ physics and particularly 467 when introducing a new turbulent diffusion scheme. It is also worth noting that such a test 468 was also used for the recent development of the CanAM model (He et al., 2019) as well 469 as for verifying the robustness of LES of the stable boundary layer (van der Linden et al., 470 2019). We follow here the exact same LMDZ simulation configuration as in Vignon et al. 471 (2018) that is, one year (2015) simulations are conducted with the zooming capability of 472 the LMDZ to refine a  $64 \times 64$  global grid to reach a  $50 \times 50$  km on the Dome C. One slight 473 difference though with respect to Vignon et al. (2018) is that we use the 95-level vertical grid 474 used in the previous section instead of the 79-level grid in the reference paper. Nudging in 475 wind, temperature and humidity towards ERA5 reanalyses (Hersbach et al., 2020) is applied 476 outside the zoom area to evaluate the sub-components of the physics of the model apart 477 from likely deficiencies in representing the large scale meteorological fields. The reader is 478 referred to Vignon et al. (2018) for details on the simulation configuration as well as the 479 surface snow treatment in LMDZ. The simulation has been run with the CMIP6 version of 480 the LMDZ physics as well as by an adapted versions using the ATKE diffusion scheme and 481 the 10 'best' sets of parameters found from the single column model tuning. 482

A simple diagnostics to assess the representation of the two stable boundary layer 483 regimes is to investigate the dependence of the surface-based temperature inversion upon 484 the wind speed in clear sky conditions. Data align along a well-defined 'inverted-S' shape 485 curve (Vignon, van de Wiel, et al., 2017; van de Wiel et al., 2017), the two horizontal 486 487 branches corresponding to the two regimes and the vertical one to the non-linear transition between them as the wind speed increases or decreases (Figure 8a). As shown in Figure 8b, 488 the CMIP6 version of LMDZ reasonably captures the strong surface-atmosphere decoupling 489 in very stable conditions and the 2-regime behaviour. LMDZ with the ATKE scheme run 490 with the 'best' set of parameters (Figure 8c) retained in Sect. 3.2 reproduces even more 491



**Figure 6.** Implausibility matrix after 20 waves of history matching exploration. The upperright triangle is made of sub-matrices that show the fraction of points with implausibility lower than the chosen cutoff while the sub-matrices of the lower-left triangle show the minimum value of the implausibility when all the parameters are varied except those used as x- and y-axis, the name of which are given on the diagonal of the main matrix. The number at the bottom of the graph shows the NROY space value (fraction of the initial parameter space) after 20 waves.



Figure 7. Same as Figure 2 but after a tuning on  $c_{\epsilon}$ ,  $c_l$  and  $l_{\infty}$  only. The other parameters have been arbitrarily set to the following values:  $Ri_c = 0.2$ ,  $S_{min} = 0.05$ ,  $Pr_n = 0.8$ ,  $\alpha_{Pr} = 4.5$  and  $c_e = 2.0$ . Note that we have stopped the tuning expercise at the 9th wave here since convergence has been attained.

realistically reproduce the 2-regime behaviour - that is, the reversed 'S' shape pattern - and
the decoupling in very stable conditions despite an overestimation of the strong temperature
inversions. The latter can be attributed to an overly weak downward longwave radiative
flux from the very dry and cold Dome C atmosphere in clear-sky conditions (Vignon et al.,
2018).

An important point here is that such results are obtained with all the 10 'best' sets 497 of parameters after 20 waves of tuning on GABLS1 (Figures 8c-l) and despite the fact that 498 such a GABLS1-based tuning has not substantially constrained parameters that may be a499 priori important in very stable conditions such as  $S_{min}$ ,  $Ri_c$  and  $\alpha_{Pr}$ . In fact, the transition 500 between the weakly and very stable regimes of the stable boundary-layer primarily relies on 501 the ability of a TKE-l scheme to allow for a turbulence collapse in very stable conditions 502 (Vignon et al., 2018). This is the case with the ATKE scheme - whatever the  $S_{min}$ ,  $Ri_c$ 503 and  $\alpha_{Pr}$  value chosen in their corresponding ranges of acceptable values - as no artificial 504 threshold or lower-bound has been prescribed to maintain a certain amount of TKE in very 505 stable conditions. 506

507

# 3.3.2 Nocturnal stable boundary layer collapse on Mars

Mars has a thinner and much less dense atmosphere compared to Earth and its planetary boundary layer exhibits stronger diurnal variations (Spiga et al., 2010b; Petrosyan et al., 2011) with a abrupt collapse at the day-night transition. During night-time, the Martian boundary layer exhibits numerous similarities with that of the polar regions on Earth such as strong surface-based temperature inversions associated with very weak turbulence (Banfield et al., 2020), the latter being able to re-activate through wind shear production associated with low-level jets (Chatain et al., 2021).

This extreme environment enables us to challenge the versatility of ATKE parameterization and compare its performance with the default TKE-l scheme used in the current Mars PCM (Colaïtis et al., 2013).



Figure 8. Temperature inversion between 10 m and the ground surface plotted as a function of the 10-m wind speed in clear-sky conditions (downward longwave radiative flux < 100 W m<sup>-2</sup>) from April to September 2015. Panel a shows results from in situ observations. Panel b (resp. c) show the LMDZ simulation in the CMIP6 physics configuration (resp. with the ATKE scheme using the best set of parameters retained in Sect. 3.2). Panels d to l show results from 9 simulations with the ATKE scheme using 9 following 'best' sets of parameters after the tuning phase on GABLS1. Dome C measurement data are from Genthon et al. (2021).



Figure 9. Evolution of the TKE through the Martian day in a) the baseline physics configuration; b) the same configuration with no minimum mixing coefficient  $K_{min}$ ; c) the simulation using the ATKE scheme for turbulent diffusion. Black contours indicate the wind speed in m s<sup>-1</sup>.

As a first test, we compare the two parameterizations using the single-column version 518 of the Mars PCM to assess the overall behaviour of the diurnal cycle of the boundary 519 layer and the numerical stability of the model. The single-column version of the Mars 520 PCM uses the same physics as the 3D model (Lange et al., 2023) and a vertical grid with 521 6 levels in the first km above the ground. No lateral advection of heat and momentum 522 is prescribed, the initial temperature profile is set to 180 K and the zonal wind speed is 523 nudged towards a constant value of  $7 \text{ m s}^{-1}$  which corresponds to values measured at the 524 Mars Equator by the InSight lander (Banfield et al., 2020). Simulations are performed at 525 the Equator, with no dust aerosols, and ran for several Martian days until the diurnal cycle 526 reaches an equilibrium after 10 days. The nocturnal boundary layer simulated is weakly 527 to moderately stable, with a near-surface gradient Richardson not exceeding 0.1. Figure 528 9 shows the evolution of the TKE (colour shading) and wind speed (contours) in the first 529 km above the ground surface during a typical diurnal cycle. As explained in Sect. 3.1, the 530 nocturnal TKE field simulated by the default TKE-l scheme of the Mars PCM is affected 531 by strong numerical oscillations (Figure 9a) which are mitigated when adding a minimum 532 mixing coefficient  $K_{min}$  (Figure 9b). When using the ATKE scheme with the 'best' set of 533 parameters retained from the tuning on GABLS1 in Sect. 3.2.2 (Figure 9c) and with no 534 prescription of  $K_{min}$ , the structure of the nocturnal boundary layer is well captured and no 535 numerical oscillations affect the TKE and wind fields. Unlike in Figure 9b, the TKE exhibits 536 a continuous decrease with increasing height in the nocturnal boundary layer, which better 537 concurs with the typical TKE structure in weakly stable boundary layers (e.g., (Acevedo et 538 al., 2015)). 539

We then assess the performance of the ATKE model by performing simulations with 540 the 3D Mars PCM and comparing the results to in situ wind observations collected by the 541 InSight lander deployed at a latitude 4.5° N and a longitude of 135° E. InSight continuously 542 monitored the wind at a height of 1.2 m for almost one martian year with an unprecedented 543 time resolution (Banfield et al., 2020). Two striking phenomena have been detected. First, 544 a dramatic reduction of the wind speed, following the collapse of the boundary layer is 545 observed around 17-18 local time during the clear season (Figure 10a) i.e., the first half 546 of the Martian year when a relatively small amount of dust is present in the Martian sky 547 (Kahre et al., 2017). The abruptness of this change is related to both the very low thermal 548 inertia of the Martian ground surface and the thinness of the Martian atmosphere. Second, 549 during the dusty season i.e. the second half of the Martian year, substantial night-time 550 turbulence is observed (Chatain et al., 2021) and the decrease in near-surface wind speed 551 is less pronounced (Figure 10d). Those two phenomena have been shown to be poorly 552



Figure 10. Comparison between InSight wind speed measurements (grey dots and black curves in panels a and d) and Mars PCM simulations using the default TKE-l scheme (b, e) and the ATKE scheme (c, f). For model fields, the mean wind speed over the period considered is presented in solid lines, and the diurnal variability is shown with the envelope of dashed lines ( $q_1$  and  $q_3$  referring to the first and third quartiles).

reproduced by the Mars PCM, in particular, the collapse of winds at sunset (Forget et al., 2021).

Here, as a proof of concept, we run the 3D Mars PCM using either the default TKE-l 555 scheme and the ATKE scheme with the 'best' set of parameters from the GABLS1 tuning i.e. 556 with no specific tuning for Martian conditions. Global simulations are performed over one 557 complete martian year with a resolution of 3.75° in latitude and 135.9° in longitude. Initial 558 conditions are derived from 10-year simulations which provide equilibrium states of water 559 and  $CO_2$  cycles (Pottier et al., 2017). The seasonal and geographic variations of dust opacity 560 in the sky are prescribed using dust observations by (Montabone et al., 2015). Results are 561 presented in Figure 10. Concurring with Forget et al. (2021), the model in its standard 562 configuration fails to reproduce the sharp transition from high to low wind speeds at sunset 563 (Figure 10b). This aspect is significantly improved when using the ATKE scheme (Figure 564 10c). However, the wind speed in the second part of the night remains underestimated in 565 both configurations which questions the representation of the surface-atmosphere decoupling 566 in this period (Chatain et al., 2021). In the dusty season, the current model overestimates 567 the surface wind speed owing to an excess of turbulent mixing (Figure 10e), while the ATKE 568 parameterization leads to more realistic wind speeds (Figure 10f). 569

Overall, this preliminary experiment demonstrates: i) the applicability of the ATKE parameterization on Mars and the promising results that can be obtained with a set of parameters not specifically tuned for Mars conditions and; ii) the improvement of the model both numerically and physically in stable conditions. Nonetheless, Mars simulations with the ATKE scheme would further benefit from a more adapted tuning using references such as Mars LES (Spiga et al., 2010a) or InSight observations (Banfield et al., 2020). It is also worth

noting that the Mars atmosphere, particularly at the poles i.e. far from the InSight landing 576 site, exhibits particularities that cannot be properly captured with the current version of 577 the ATKE scheme. A key aspect is that air buoyancy can be created by compositional 578 vertical gradients of both water vapor and carbon dioxide, i.e. the prevailing gas of Mars' 579 atmosphere. In particular, during the winter polar night,  $CO_2$  condenses upon the ice cap 580 surface (e.g., (Weiss & Ingersoll, 2000)) changing dramatically the near-surface atmospheric 581 composition. Such an effect cannot be taken into account given with Brünt-Vaisala pulsation 582 and Richardson number expressions based on a virtual potential temperature. This aspect 583 deserves attention for further improvement of the ATKE scheme. 584

### 585 4 Summary and Conclusions

This study presents the development of a simple TKE-l parameterization of turbulent 586 eddy coefficients for the simulation of the neutral and stable boundary layer in large-scale 587 atmospheric models. The parameterization has been carefully designed such that all ad-588 justable parameters have been clearly identified and their ranges of possible values defined to help the calibration and assess the parametric sensitivity. Instead of using fixed and 590 empirical expressions of stability functions and turbulent Prandlt number, we have derived 591 fully tunable and heuristic formulae to improve the versatility of the scheme and its potential 592 applicability for planetary atmospheres composed of an ideal and perfect gas. A wind-shear 593 and buoyancy dependent formulation for the mixing length in stratified conditions is con-594 sidered. A 2-step numerical treatment of the TKE equation is further proposed and shows 595 good convergence and stability properties at typical time steps used in large scale atmo-596 spheric models. The parametric sensitivity of the ATKE scheme has been assessed with 597 the HighTune explorer tools using 1D simulations of the GABLS1 weakly stable boundary 598 layer case with the single-column version of LMDZ. Using a History-Matching approach, 599 we carried out a first calibration of the scheme allowing us to reduce the initial parameter 600 space to keep an ensemble that satisfies the representation of weakly stable boundary layer. 601 Substantial improvement with respect to the CMIP6 version of LMDZ has been achieved in 602 terms of vertical profiles of temperature, wind, TKE and turbulent fluxes of momentum and 603 heat, as well as in terms of numerical stability. However this tuning experiment restricted 604 to the weakly stable GABLS1 case has not enabled us to clearly evidence a potential added 605 value of a wind-shear and buoyancy dependent formulation for the mixing length in strat-606 ified conditions compared to a buoyancy only-dependent one, even if the vertical profile of 607 TKE is slightly better captured. 608

The ability of the ATKE scheme to simulate the stable boundary layer as well as its 609 applicability to planetary atmospheres have then been assessed through simulations of the 610 Antarctic and Martian boundary layer with the LMDZ and Mars Planetary Climate model 611 respectively. In particular the 2-regime behaviour of the stable boundary layer at Dome C, 612 a challenge for turbulent diffusion schemes in GCMs, is reasonably well captured with the 613 ATKE scheme. In addition, promising results have been obtained for the representation of 614 the nocturnal Martian boundary layer with improvements regarding the numerical stability 615 compared to the original model. Such results pave the way for a Mars-specific tuning of the 616 ATKE scheme in the future. 617

A prospect of our work is to verify the physical and numerical robustness of the 618 ATKE parameterization in atmospheric flows with extremely strong wind shear such as 619 katabatic winds developing over ice caps. Such an application could also make it possible to 620 assess a potential added value of a wind shear-dependent formulation of the mixing length. 621 Moreover, in view of a fully reliable application in a climate model such as LMDZ, the 622 key parameters of the ATKE scheme - especially  $c_l$  and  $c_{\epsilon}$  - should be included in a more 623 thorough tuning exercise including parameters from other parameterizations and considering 624 additional metrics on convective boundary layer simulations (Hourdin et al., 2021). 625

Last but not least, we would like to emphasize that this work was initiated and fos-626 tered during collaborative work sessions dedicated to the transfer of knowledge and critical 627 questioning on the physics and assumptions behind the parameterizations used in planetary 628 GCMs. Those sessions spontaneously emerged following students' questions and gathered 629 atmospheric and planetary scientists experts and non experts of turbulent mixing and pa-630 rameterization development. The motivations behind the ATKE scheme development went 631 beyond the need to advance the turbulent diffusion scheme in our models but were also - and 632 maybe firstly - a reason and a need to teach and learn the parameterization development in 633 a 'learning-by-doing' way. 634

# Appendix A A gravity-invariant formulation of our TKE-l turbulent diffusion scheme

For the sake of universality of a turbulent diffusion parameterization and in particular 637 for potential application on different planets, one may want to develop a framework as in-638 dependent as possible upon planet's characteristics, in particular upon planet's gravity. In 639 the main paper, gravity appears in the expression of the Brünt Väisälä frequency thus in 640 the expression of the gradient Richardson number and in the buoyancy term of the TKE 641 evolution equation Eq 7. In this appendix, we briefly introduce a framework using geopo-642 tential as vertical coordinate and in which gravity is no longer involved. Such a framework 643 is proposed here as a prospect for a further new implementation of the parameterisation. 644

Let's introduce the geopotential  $\phi$  defined such that  $d\phi = gdz$  as well as a 're-scaled' time  $\tau$  defined by  $d\tau = gdt$  The diffusion equation of a quantity c (Eq. 5) can be written in the form:

$$\frac{\partial c}{\partial \tau} = \frac{1}{\rho} \frac{\partial}{\partial \phi} \left( \rho K_c^{\phi} \frac{\partial c}{\partial \phi} \right) \tag{A1}$$

where  $K_c^{\phi} = gK_c$ . In such a framework, assuming down-gradient expression of turbulent fluxes and the same closures for the TKE dissipation and transport terms as in the main manuscript, the TKE evolution equation A1 reads:

$$\frac{\partial e}{\partial \tau} = K_m^{\phi} \left[ (S^{\phi})^2 - Pr(Ri)(N^{\phi})^2 \right] + \frac{1}{\rho} \frac{\partial}{\partial \phi} (\rho c_e K_m^{\phi} \frac{\partial e}{\partial \phi}) - \frac{e^{3/2}}{c_\epsilon l^{\phi}}$$
(A2)

648

with 
$$l^{\phi} = gl$$
,  $(S^{\phi})^2 = (\partial_{\phi}u)^2 + (\partial_{\phi}v)^2$  and  $(N^{\phi})^2 = \frac{1}{\theta_v} \frac{\partial \theta_v}{\partial \phi}$ 

One can then express  $K_m^{\phi} = l^{\phi}(\phi, e, Ri)S_m(Ri)\sqrt{e}$ . Noting the gravity independent form of the gradient Richardson number  $Ri = (N^{\phi})^2/(S^{\phi})^2$ , the expressions for  $S_m(Ri)$  and Pr(Ri) can be taken identically from Eq. 20 and 23 as they are gravity-independent. For the mixing length  $l^{\phi}$  expression, one can use a similar approach as in Sect. 2.4 replacing the neutral-limit formulation with

$$l_n^{\phi} = \frac{\kappa \phi l_{\infty}^{\phi}}{\kappa \phi + l_{\infty}^{\phi}} \tag{A3}$$

 $l_{\infty}^{\phi}$  being a tuning parameter. In such a way Eq. A1 and A2 combined with the proposed expressions for  $K_m$ , Pr and  $l^{\phi}$  establish a complete gravity-invariant formulation of the turbulent diffusion parameterization.

## <sup>652</sup> Open Research Section

The latest version of the LMDZ source code can be downloaded freely from the LMDZ web site. The version used for the specific simulation runs for this paper is the 'svn' release 4781 from 21 December 2023, which can be downloaded and installed on a Linux computer by running the install\_lmdz.sh script available here: http://www.lmd.jussieu
 .fr/\tilde/pub/install\_lmdz.sh. The Mars PCM used in this work can be down loaded with documentation from the SVN repository at https://svn.lmd.jussieu.fr/
 Planeto/trunk/LMDZ.MARS/. Forcings for the GABLS1 single-column cases are provided
 under the DEPHY-SCM standard at the following link: https://github.com/GdR-DEPHY/
 DEPHY-SCM/. GABLS1 LES used in the intercomparison exercise of Beare et al. (2006) are
 distributed here: https://gabls.metoffice.gov.uk/lem\_data.html

Dome C temperature and wind speed data are freely distributed on PANGAEA data repos itories at https://doi.org/10.1594/PANGAEA.932512 and https://doi.org/10.1594/
 PANGAEA.932513. InSight wind data can be retrieved from the Planetary Data System
 (Jose Rodriguez-Manfredi, 2019).

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# Designing a fully-tunable and versatile TKE-l turbulence parameterization for atmospheric models

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15	Key	<b>Points:</b>

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- A simple TKE-l turbulent diffusion scheme is developed in a semi-heuristic way for applications in models of the Earth and Mars atmospheres.
- The parameterization is designed to be completely tunable and numerically stable at typical GCM time steps.
- The parameterization is tuned over 1D simulations and is able to capture the Antarctic and Martian stable boundary layers in 3D simulations.

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### 22 Abstract

This study presents the development of a TKE-l parameterization of the diffusion coefficients 23 for the representation of turbulent diffusion in neutral and stable conditions in large-scale 24 atmospheric models. The parameterization has been carefully designed to be completely 25 tunable in the sense that all adjustable parameters have been clearly identified and their 26 number minimized as much as possible to help the calibration and to thoroughly assess 27 the parametric sensitivity. We choose a mixing length formulation that depends on both 28 static stability and wind shear to cover the different regimes of stable boundary layers. 29 We follow a heuristic approach for expressing the stability functions and turbulent Prandlt 30 number in order to guarantee the versatility of the scheme and its applicability for planetary 31 atmospheres composed of an ideal and perfect gas such as that of Earth and Mars. Particular 32 attention has also been paid to the numerical stability at typical time steps used in General 33 Circulation Models. Test, parametric sensitivity assessment and preliminary tuning are 34 performed on single-column idealized simulations of the weakly stable boundary layer. The 35 robustness and versatility of the scheme are also assessed through its implementation in the 36 LMDZ General Circulation Model and the Mars Planetary Climate Model and by running 37 simulations of the Antarctic and Martian nocturnal boundary layers. 38

# <sup>39</sup> Plain Language Summary

In planetary atmospheres, turbulent motions actively contribute to the mixing of quan-40 tities such as heat, momentum and chemical species. Such motions are not resolved in 41 coarse-grid atmospheric models and have to be parameterized. The parameterization of 42 turbulent mixing should be based on physical laws and sufficiently sophisticated to realisti-43 cally represent the full spectrum of motions over the full range of stability encountered in 44 the atmospheres. However, it also necessarily contains a number of closure parameters not 45 always well identified and whose values are determined empirically - thereby questioning the 46 universality of the parameterization and its potential application over the full globe or even 47 to other planets - or adjusted to guarantee the numerical stability of the model. This study 48 presents the design of a turbulent mixing parameterization that can be fully calibrated and 49 applied in planetary atmospheres such as that of Mars. We then calibrate the parameteri-50 zation on an idealised simulation set-up and test its robustness and performance by running 51 simulations of the Antarctic and Martian atmospheres. 52

### 53 1 Introduction

Turbulence efficiently transports momentum, energy, moisture and matter in the at-54 mosphere, particularly in the planetary boundary layer where it controls sensible and latent 55 heat fluxes as well as the transfer of momentum between the air and the ground surface. 56 It thereby directly affects the diurnal cycle of the near-surface atmospheric quantities and 57 also impacts on the lifetime and structure of synoptic-scale dynamical systems. Turbulent 58 transport is therefore an essential component of the physics of climate models, numerical 59 weather prediction models and more generally of General Circulation Models (GCMs) of 60 planetary atmospheres. As turbulent eddies manifests on scales ranging from a few millime-61 ters to a few tens of kilometers in deep convective systems, modellers develop conceptually 62 separated subgrid parameterizations targeting different types - or different scales - of trans-63 port processes. Non-local turbulent transport resulting from large and organised convective 64 cells, being deep or shallow, is often treated with so-called mass flux schemes (e.g., Tiedtke 65 (1989); Emanuel (1991); Hourdin et al. (2002); Golaz et al. (2002)). Local turbulent mixing 66 which results from eddies whose typical size is smaller or similar to the typical grid cell 67 thickness - namely a few tens of meters - is often parameterized with a local K-gradient 68 diffusion scheme. In those schemes, the turbulent flux is parameterized with a Fick's law 69 type down-gradient diffusion formulation that relies on the introduction of a turbulent dif-70 fusion coefficient. Such schemes are particularly critical to simulate the stable and neutral 71

atmospheric boundary layers (Delage, 1997; Cuxart et al., 2006; Sandu et al., 2013), the
 land-atmosphere coupling as well as the thermal inversion at the top of convective boundary
 layers.

Several K-gradient diffusion parameterizations have been developed since the pioneering work of Louis (1979) and have been the subject of a substantial body of literature in atmospheric sciences. Among them, the moderate-complexity 1.5 order schemes, or TKE-1 schemes, consist in expressing the diffusion coefficients as function of a diagnostic vertical turbulent length-scale, or mixing length, and of a prognostic estimation of the Turbulent Kinetic Energy (TKE) (Mellor & Yamada, 1982; Yamada, 1983).

The closure of the TKE evolution equation and the empirical and/or heuristic formu-81 lation of the mixing-length necessarily introduce free parameters in the parameterization, 82 and therefore a certain degree of empiricism in the expression of the diffusion coefficients (Li 83 et al., 2016). Indeed, such parameters do not have, by essence, fixed and universal values. 84 Some of them - and the associated variability range thereof - are determined empirically 85 using field observations, laboratory experiments, Large Eddy Simulations (LES) or Direct 86 Numerical Simulations (DNS) while others are arbitrarily set. In practice, in climate and 87 numerical weather prediction models, the value of some coefficients is often retuned to match 88 large-scale or meteorological targets. For instance as all subgrid mixing processes are not 89 parameterized - such as small scale internal waves or submeso-scale motions - the mixing in 90 stable conditions is often artificially enhanced to prevent unrealistic runaway surface cool-91 ing due to surface-atmosphere mechanical decoupling and to maintain sufficient surface drag 92 and Ekman pumping in extratropical cyclones (Holtslag et al., 2013; Sandu et al., 2013). 93 Such empiricism and Earth-oriented tuning can somewhat question the applicability of these 94 turbulent mixing parameterizations in planetary GCMs, even in GCMs of Mars (e.g., Forget 95 et al. (1999); Colaïtis et al. (2013)) where the planetary boundary layer shares similarities 96 with that on Earth (Spiga et al., 2010a). 97

In addition, arbitrary parameter calibration - sometimes beyond reasonable ranges -98 is often required to improve the numerical convergence and stability of the parameteriza-99 tion once it is implemented in models with typical physics time steps of a few minutes to 100 a few tens of minutes. Indeed, the numerical implementation of a K-gradient turbulence 101 scheme is prone to spurious oscillations called 'fibrillations' (Kalnay & Kanamitsu, 1988; 102 Girard & Delage, 1990). Such fibrillations are due to i) the coupling between momentum 103 and potential temperature via the turbulent diffusion coefficients and ii) the discretization 104 of the vertical diffusion in which the nonlinear exchange coefficient is often treated explicitly 105 in time. Even though the TKE budget is often close to a local equilibrium (Lenderink & 106 Holtslag, 2004), the prognostic prediction of the TKE generally makes TKE-l schemes less 107 sensitive to the time discretization and less prone to fibrillation than traditional first-order 108 schemes (Bougeault & Lacarrère, 1989; Bazile et al., 2011) in which the diffusion coefficients 109 are explicit and diagnostic functions of the mean static stability and wind shear (Louis, 1979; 110 Louis et al., 1982; Delage, 1997). This is mostly explained by the fact that the prognostic 111 TKE plays a role of 'reservoir' that damps the sometimes abrupt evolution of the diffusion 112 coefficients with time (Mašek et al., 2022). However, even TKE-based schemes can also 113 be affected by numerical instabilities which can be related to the numerical treatment of 114 the TKE equation itself (Deleersnijder, 1992; Vignon et al., 2018) or to the coupling with 115 other prognostic quantities such as the turbulent potential energy (Mašek et al., 2022). The 116 numerical treatment of the TKE equation and more generally of the turbulent diffusion 117 thereby comes out as a forefront issue in atmospheric modeling. Hence, one has to find 118 a good trade-off between the complexity and sophistication of a turbulent mixing scheme 119 and its practical implementation in large scale atmospheric models avoiding as much as 120 possible unrealistic parameter calibration to guarantee numerical stability and fair model 121 performances. 122

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The sensitivity of the stable boundary layer representation to turbulent diffusion cal-124 ibration in a large scale atmospheric model was assessed in a game-changing study by 125 Audouin et al. (2021) using a semi-automatic tuning tool based on uncertainty quantifi-126 cation (Couvreux et al., 2021; Hourdin et al., 2021). The authors identified a few key 127 tuning parameters - and their acceptable ranges of values - in the TKE-l turbulent diffusion 128 scheme of the ARPEGE-Climat model and assessed to what extent biases in the simulation 129 of the extremely stable Antarctic boundary layer are explained by structural parameteriza-130 tion deficiencies or tuning choices. However, the boundary layer and surface layer schemes 131 of ARPEGE-Climat contain a large number of tuning parameters, sometimes subtly in-132 terdependent, and considering all of them in a tuning exercise may be confusing, thereby 133 challenging. 134

The present study aims to design a new and simple TKE-l turbulent diffusion scheme for large scale atmospheric models

- that is sufficiently robust and versatile to be applicable on both Earth and Mars, and
   potentially on other planetary atmospheres and ;
  - 2. that is built to be completely tuned in the sense that all adjustable parameters are clearly identified and their number minimized to help the calibration or parameter adjustment and assess the parametric sensitivity.
- The scheme will be referred to as the ATKE scheme for Adjustable TKE-l scheme in the paper.

We follow a simple heuristic approach - as in Lenderink and Holtslag (2004) and He 144 et al. (2019) - for expressing the stability functions and turbulent Prandlt number to guar-145 antee the versatility of the scheme and its potential applicability for planetary atmospheres 146 composed of an ideal and perfect gas. A particular attention is also paid to the numerical 147 treatment of the TKE prognostic equation to ensure the numerical stability even in condi-148 tions of strong wind shear or strong stratification. It is worth emphasizing that the 'local' 149 nature of the scheme makes it mostly adapted for neutral and stably stratified conditions, 150 hence the particular focus on stable boundary layers in the paper. The scheme is tested and 151 tuned - using the same Uncertainty Quantification approach as in Audouin et al. (2021) and 152 Hourdin et al. (2021) - on idealized single column simulations of the stable boundary layer. 153 The parameterization is then implemented and tested in the Earth LMDZ GCM (Hourdin 154 et al., 2020; Cheruy et al., 2020) and the Mars Planetary Climate model (Forget et al., 1999) 155 to verify its robustness and assess its performances when challenging the stable Antarctic 156 and Martian nocturnal boundary layers. 157

### <sup>158</sup> 2 Parameterization development

This section presents the derivation of the ATKE scheme, starting briefly and purposely with some generalities to clearly set the parameterization in the framework of turbulent diffusion in GCMs of planetary atmospheres.

2.1 General framework

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The conservation law for an extensive quantity c - being for example the potential temperature, wind components or concentration in chemical species - in a compressible atmosphere reads:

$$\frac{\partial \rho c}{\partial t} + \vec{\nabla}(\rho \vec{u} c) = P_c \tag{1}$$

With, in Cartesian coordinates (x, y, z),  $\vec{u} = u\vec{i} + v\vec{j} + w\vec{k}$  the wind vector,  $\rho$  the air density and  $P_c$  the net source/loss term. We note the statistical (ensemble) average with

an overline and introduce the air weighting average operator  $\sim$  such that

$$\tilde{c} = \frac{\overline{\rho c}}{\overline{\rho}} \tag{2}$$

Note that  $\tilde{c}$  is an extensive variable per mass unit. We decompose c into a mean state and a fluctuation such that  $c = \tilde{c} + c'$ . We then apply the statistical average operator (overline) on Eq. 1 that now reads:

$$\underbrace{\frac{\partial \bar{\rho}\tilde{c}}{\partial t} + \vec{\nabla}(\bar{\rho}\tilde{c}\tilde{\vec{u}})}_{(1)} = -\underbrace{\vec{\nabla}(\bar{\rho}\vec{u'c'}) + \overline{P_c}}_{(2)} \tag{3}$$

In large-scale atmospheric models the scale separation is imposed by the size of the grid cells which determines the resolved and unresolved components. In this framework, the term (1) in Eq.3 is handled by the dynamical core while the term (2) is the essence of the physical subgrid parameterizations. Further assuming that the subgrid horizontal variations of c are dominated by vertical variations, it follows that  $\vec{\nabla}(\rho \vec{u'c'}) \approx \partial_z (\rho w'c')$ . A local turbulent mixing parameterization aims at calculating a tendency on the mean state variable  $\tilde{c}$  due to the vertical turbulent diffusion as follows:

$$\left. \frac{\partial \tilde{c}}{\partial t} \right|_{diffusion} = -\frac{1}{\overline{\rho}} \frac{\partial \overline{\rho w' c'}}{\partial z} \tag{4}$$

For better readability and conciseness, we leave the ~ notation out for mean state quantities and note  $\rho = \overline{\rho}$  in the following.

For local and mostly shear driven turbulent eddies, the mixing of any conservative quantity during turbulent mixing - such as the common Betts (1973)' variables - can be represented as a diffusive process (e.g. Louis (1979)). Turbulent fluxes can then be expressed with a down-gradient form:  $\rho w'c' = -\rho K_c \partial_z c$ ,  $K_c$  being a diffusion coefficient. Eq. 4 hence reads:

$$\left. \frac{\partial c}{\partial t} \right|_{diffusion} = \frac{1}{\rho} \frac{\partial}{\partial z} \left( \rho K_c \frac{\partial}{\partial z} c \right) \tag{5}$$

Once the  $K_c$  coefficient has been calculated at vertical model layer interfaces, such an equation can be numerically solved with an implicit approach through the inversion of a tri-diagonal matrix.

We now focus on the closure of the  $K_c$  coefficient which is the main scope of the present study. We follow here an approach historically proposed by Mellor and Yamada (1974); Yamada (1975) that is, a 1.5 order closure or TKE-l scheme. In this framework,  $K_c$  coefficients are expressed as the product of a vertical turbulent length scale or mixing length l with a turbulent vertical velocity scale taken proportional to the square root of the TKE  $e = \frac{1}{2}(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$ . The latter is multiplied by a stability function  $S_c$  that accounts for the fact that the turbulence anisotropy - thus the contribution of TKE to vertical turbulent mixing - varies with the local stability of the atmosphere characterized by the gradient Richardson number Ri. The diffusion coefficient  $K_c$  is then expressed as (Yamada, 1983; Zilitinkevich et al., 2007):

$$K_c = lS_c(Ri)\sqrt{e} \tag{6}$$

In the following sections, we describe the estimation of the three different terms of  $K_c$ , namely  $e, S_c$  and l. As we want our turbulent scheme to be applicable on Earth and Mars (and potentially other planetary environments), we have to ensure that their expressions

are as planet-independent as possible.

### 172 2.2 TKE prognostic equation

### 2.2.1 Parameterization of the source and loss terms

Assuming the horizontal homogeneity of the subgrid-scale statistics, the TKE obeys the following evolution equation (Stull, 1990):

$$\frac{\partial e}{\partial t} = \underbrace{-\overline{u'w'}\frac{\partial u}{\partial z} - \overline{v'w'}\frac{\partial v}{\partial z}}_{\mathcal{W}} + \underbrace{\overline{b'w'}}_{\mathcal{B}} \underbrace{-\frac{1}{\rho}\frac{\partial}{\partial z}(\overline{\rho w'e} + \overline{w'p'})}_{\mathcal{T}} \underbrace{-\epsilon}_{\mathcal{D}}$$
(7)

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 $\mathcal{W}$  is the wind shear production term that can be expressed with the down-gradient expression of fluxes with a diffusion coefficient for momentum hereafter denoted as  $K_m$ :

$$-\overline{u'w'}\frac{\partial u}{\partial z} - \overline{v'w'}\frac{\partial v}{\partial z} = K_m S^2 = lS_m \sqrt{e}S^2 \tag{8}$$

with  $S^2 = (\partial_z u)^2 + (\partial_z v)^2$  the wind shear and  $S_m$  the stability function for momentum.  $\mathcal{B}$  is the buoyancy b production/consumption term. For a dry air under the ideal gas assumption, one can write:

$$\overline{b'w'} = \frac{-g}{\rho} \left. \frac{\partial\rho}{\partial\theta} \right|_p \overline{w'\theta'} = \frac{g}{\theta} \overline{w'\theta'} = -K_h \frac{g}{\theta} \frac{\partial\theta}{\partial z} = -K_h N^2 = -lS_h \sqrt{e}N^2 \tag{9}$$

where g is the gravity acceleration of the planet,  $\theta$  the potential temperature, N the Brünt-Väisälä pulsation,  $K_h$  the diffusion coefficient for heat and  $S_h$  the stability function for heat. In the case of an atmosphere containing water vapor or chemical species  $\xi$ , buoyancy reads  $\overline{b'w'} = \frac{-g}{\rho} \left( \frac{\partial \rho}{\partial \theta} \Big|_{p,\xi} \overline{w'\theta'} + \frac{\partial \rho}{\partial \xi} \Big|_{p,\theta} \overline{w'\xi'} \right)$ . For water vapor - in absence of phase change - or for non-reactive chemical species, one can define a virtual temperature  $T_v$  (and a subsequent virtual potential temperature  $\theta_v$ ) corresponding to the temperature that dry air would have if its pressure and density were equal to those of a given sample of the mixture of gas. In this case:

$$\overline{b'w'} \simeq \frac{g}{\theta_v} \overline{w'\theta'_v} = -\frac{g}{\theta_v} K_h \frac{\partial \theta_v}{\partial z}$$
(10)

It is worth noting here that the expression of the buoyancy term (or Brünt-Väisälä pulsation) is gravity-dependent thus planet-dependent. For simplicity and consistency with previous literature on turbulent mixing schemes, we keep the formalism with explicit gravity in the following. However, a more universal derivation of the scheme can be achieved with a gravity-invariant formulation of the TKE and turbulent diffusion equations. Such a formulation is proposed in Appendix A.

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 $\mathcal{D}$  is the viscous TKE dissipation term that can be expressed following Kolmogorov (1941):

$$\epsilon = \frac{e^{3/2}}{l_{\epsilon}} \tag{11}$$

with  $l_{\epsilon}$  the dissipation length-scale characterizing the size of the most dissipative and energy-183 containing eddies. Following for instance Yamada (1983) and Bougeault and Lacarrère 184 (1989), we assume that  $l_{\epsilon}$  scales with l such that  $l_{\epsilon} = c_{\epsilon}l$ ,  $c_{\epsilon}$  being a scalar. Its value 185 roughly ranges between 1.2 and 10.0 (Yamada, 1983; Audouin et al., 2021; He et al., 2019) 186 since dissipation length scale - characterizing the dissipation of turbulence as a whole -187 might be larger than vertical mixing length in stable conditions due to the fact that kinetic 188 energy can dissipate through wavy motion with little transfer to the smaller turbulent scales 189 (Cuxart et al., 2006). 190

The vertical turbulent flux of TKE and the pressure term gathered in  $\mathcal{T}$  redistribute TKE through the depth of the atmospheric column. Hence, those two terms are commonly

grouped together and expressed as a TKE turbulent diffusion term:

$$-\frac{1}{\rho}\frac{\partial}{\partial z}(\overline{\rho w' e} + \overline{w' p'}) = \frac{1}{\rho}\frac{\partial}{\partial z}(\rho K_e \frac{\partial e}{\partial z})$$
(12)

<sup>191</sup>  $K_e$  being taken proportional to  $K_m$  (Yamada, 1983; Bougeault & Lacarrère, 1989; <sup>192</sup> Lenderink & Holtslag, 2004):  $K_e = c_e K_m$ .  $c_e$  is a constant whose value is generally around <sup>193</sup> 1 - 2 and that we will arbitrarily allow to vary between 1 and 5 (Bougeault & Lacarrère, <sup>194</sup> 1989; Lenderink & Holtslag, 2004; Baas et al., 2018). The lower boundary condition of e<sup>195</sup> that is, the surface value of the TKE  $e_s$ , is estimated by assuming stationary near-neutral <sup>196</sup> conditions in the surface layer. On such a condition (Baas et al., 2018; Lenderink & Holtslag, <sup>197</sup> 2004):

$$e_s = c_s u_*^2 \tag{13}$$

with  $c_s$  a constant and  $u_*$  the surface friction velocity calculated from the surface drag coefficient for momentum and the wind speed at the first model level. A proper scaling of the TKE-l parameterization with the Monin-Obukhov similarity in the surface layer requires (He et al., 2019):

$$c_s = c_\epsilon^{2/3} \tag{14}$$

#### 198 2.2.2 Numerical treatment

<sup>199</sup> Once the different TKE source and loss terms have been expressed, Eq. 7 has to be <sup>200</sup> integrated in time. The numerical treatment of Eq. 7 is critical as the solution must be <sup>201</sup> stable and converge at typical physical time steps used in atmospheric GCMs namely, of <sup>202</sup> the order of  $\approx 15$  min. Several methods have been proposed in the literature, particularly <sup>203</sup> regarding the treatment of the dissipation term with different degrees of implicitation (Bazile <sup>204</sup> et al., 2011).

Here, we propose a 2-step resolution method which allows for an exact treatment of the dissipation term - under some assumptions - while the transport term is calculated separately.

Step 1 We calculate the TKE tendency due to the shear, buoyancy and dissipation terms. Noting  $q = \sqrt{2e}$ , one can rewrite Eq. 7 with no transport term as:

$$\frac{\partial q}{\partial t} = \frac{lS_m}{\sqrt{2}} S^2 \left( 1 - \frac{Ri}{Pr} \right) - \frac{q^2}{2^{3/2} c_\epsilon l} \tag{15}$$

with  $Pr = \frac{K_m}{K_h} = \frac{S_m}{S_h}$  the turbulent Prandtl number. We then solve this equation through an implicit treatment of q assuming that the mean temperature and wind field does not vary much during the time step  $\delta t$  and thus keeping the explicit value - that is the value at the beginning of the time step - of Ri,  $S_m$ , Pr and l. Eq. 16 then reads:

$$\frac{q_{t+\delta t} - q_t}{\delta t} = \frac{lS_m}{\sqrt{2}} S^2 \left(1 - \frac{Ri}{Pr}\right) - \frac{q_{t+\delta t}^2}{2^{3/2} c_\epsilon l} \tag{16}$$

than can be rewritten in a second-order polynomial form after some rearrangement :

$$q_{t+\delta t}^2 + A_t q_{t+\delta t} + B_t = 0 \tag{17}$$

with  $A_t = \frac{c_{\epsilon}l2^{3/2}}{\delta t}$  and  $B_t = -(\frac{q_tc_{\epsilon}l2^{3/2}}{\delta t} + 2l^2c_{\epsilon}S_mS^2(1-\frac{Ri}{Pr}))$ 

One can show that given the choice we will make for the formulation of the turbulent Prandlt number in the next section, Ri/Pr namely the flux Richardson number, is by construction always < 1. This in fact reflects a condition imposed by steady-state TKE budget



Figure 1.  $S_{m,h}$  (panel a) and Pr (panel b) as functions of the Richardson number Ri following Eq. 20 and 23. Envelopes show the range of variation when adjustable parameters evolve in their range of acceptable values (Table 1). Solid lines show the curves for the following arbitrary set of parameters'values:  $c_{\epsilon} = 5.9$ ,  $Pr_n = 0.8$ ,  $\alpha_{Pr} = 4.5$ ,  $r_{\infty} = 2$ ,  $Pr_{\infty} = 0.4$ ,  $S_{min} = 0.05$  and  $Ri_c = 0.2$ .

equation for which the wind shear production term and the buoyancy term cannot exceed unity to maintain a non-zero TKE dissipation thus a non-zero turbulence (e.g, Zilitinkevich et al. (2008)).

The discriminant  $\Delta = A_t^2 - 4B_t$  of Eq. 17 is thus always > 0 and the latter always admits a positive solution for q thus e that reads:

$$e = \frac{(-A_t + \sqrt{\Delta})^2}{8} \tag{18}$$

<sup>221</sup> Step 2 The TKE variation due to the transport term  $\mathcal{T}$  is then calculated and added <sup>222</sup> to the value found in step 1. The calculation of this term consists in resolving the following <sup>223</sup> equation:

$$\frac{\partial e}{\partial t} = \frac{1}{\rho} \frac{\partial}{\partial z} \left( \rho K_e \frac{\partial e}{\partial z} \right) \tag{19}$$

With an *a priori* knowledge of  $K_e$  - namely an explicit value of  $K_e$  calculated with the *e* value from Step 1 - Eq 19 is a typical diffusion equation that is solved implicitly in time through a tri-diagonal matrix inversion (Dufresne & Ghattas, 2009).

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# 2.3 Heuristic expressions for the stability functions and turbulent Prandtl number

We now have to derive a heuristic expression for the stability function  $S_m$  of the gradient Richardson number  $Ri = N^2/S^2$  to be used in the formulation of the diffusion coefficient for momentum. On one hand,  $S_m$  should increase when an atmospheric layer locally becomes more unstable and thus with decreasing negative Ri. On another hand, we want to prevent  $S_m$  from reaching infinite value when  $Ri \to -\infty$  to avoid risk of numerical instabilities when  $K_m \to \infty$  (Lenderink & Holtslag, 2000). It is worth recalling here that in unstable conditions, turbulent transport becomes non-local and another type of parameterization such as a mass-flux scheme should come in support of the K-diffusion. In stable conditions as turbulent mixing intensity decreases with increasing stability, we assume a simple linear decrease with Ri down to a minimum value attained when the Richardson number equals a critical value (Mellor & Yamada, 1974).

Following Lenderink and Holtslag (2004), we propose the following expression for  $S_m$  plotted in Figure 1a:

$$S_m(Ri) = \begin{cases} c_n + \frac{2}{\pi}(c_\infty - c_n) \arctan(\frac{-Ri}{Ri_0}) & \text{if } \operatorname{Ri} < 0\\ \max\left(c_n(1 - \frac{Ri}{Ri_c}), S_{min}\right) & \text{if } \operatorname{Ri} \ge 0 \end{cases}$$
(20)

 $c_n$  is the value of  $S_m$  at Ri = 0 and  $c_\infty$  is the  $S_m$  value in the convective limit.  $r_\infty = c_\infty/c_n$  is comprised between 1.2 and 5 (Mellor & Yamada, 1982; Lenderink & Holtslag, 2004).  $Ri_c$  is a critical Richardson number whose inverse value controls the slope of  $S_m$  in stable conditions. Previous literature suggests  $Ri_c$  values comprised between 0.19 and 0.25 (Mellor & Yamada, 1974, 1982; He et al., 2019). As the turbulence vertical anisotropy does not reach 0 in very stable conditions (Zilitinkevich et al., 2007; Li et al., 2016),  $S_m$  must be lower-bounded by a value  $S_{min}$  which is roughly around 0.05 and that we will make vary between 0.025 and 0.1.

The continuity in slope for Ri = 0 further gives:

$$Ri_0 = \frac{2}{\pi} (c_\infty - c_n) \frac{Ri_c}{c_n} \tag{21}$$

Furthermore, the so-called local-scaling similarity theory in stable boundary layers (Nieuwtsadt, 1984; Derbyshire, 1990; van de Wiel et al., 2010) implies that in stationary conditions, turbulent fluxes and vertical gradient wind speed must scale such that  $\frac{K_m}{lS^2}$  converges towards 1 in the neutral limit. This conditions leads to a direct relationship between  $c_n$  and the coefficient  $c_{\epsilon}$  (Baas et al., 2018; He et al., 2019), the latter being the ratio between the mixing length l and the TKE dissipation length scale (Sect. 2.2.1):

$$c_n = c_{\epsilon}^{-1/3} \tag{22}$$

The stability function for the heat flux  $S_h$  is estimated through a parametrization of the 248 turbulent Prandtl number Pr. Under unstable conditions, the dominant coherent structures 249 such as rising plumes and thermals have vertical velocity anomalies which generally better 250 correlate with buoyancy and temperature anomalies than momentum anomalies in average. 251 Therefore, one expects Pr to decrease with increasing instability (Li, 2019). In stably 252 stratified conditions, buoyancy is expected to suppress the transport of heat but the existence 253 of gravity waves can maintain some transport of momentum inducing an increase in Pr with 254 increasing stability. Collection of field experiments, laboratory data and LES and DNS 255 results shows a consistent increase in Pr with Ri with a asymptotical linear behaviour at 256 strong stability (Zilitinkevich et al., 2008; Li, 2019). We therefore propose the following 257 expression of Pr that is plotted in Figure 1b: 258

$$Pr(Ri) = \begin{cases} Pr_n - \frac{2}{\pi}(Pr_\infty - Pr_n) \arctan(\frac{-Ri}{Ri_1}) & \text{if } \text{Ri} < 0\\ Pr_n e^{\frac{1-\alpha_{Pr}}{Pr_n}Ri} + \alpha_{Pr}Ri & \text{if } \text{Ri} \ge 0 \end{cases}$$
(23)

The formulation in stable conditions is inspired from Venayagamoorthy and Stretch (2010) and it shows fair agreement with experimental data (Li, 2019).  $\alpha_{Pr}$  is the slope of the asymptotical linear trend at high stability and its value ranges from 3 to 5 (Grisogono, 2010).  $Pr_n$  is the neutral value of Prandtl number which from extensive laboratory and field

experiments as well as theoretical works range from 0.7 to 1 (Grisogono, 2010; Li, 2019). The continuity in slope at Ri = 0 gives

$$Ri_1 = \frac{2}{\pi} (Pr_\infty - Pr_n) \tag{24}$$

 $Pr_{\infty}$  is the value of Pr in the convective limit and its value roughly ranges between 0.3 and 0.5 (Li, 2019).

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### 2.4 Vertical turbulent mixing length formulation

In near-neutral conditions, we choose a turbulent vertical length-scale formulation  $l_n$  similar to Blackadar (1962) in which the displacement of eddies is limited by the distance to the ground in the neutral limit:

$$l_n = \frac{\kappa z l_\infty}{\kappa z + l_\infty} \tag{25}$$

where  $\kappa$  is the Von Kármán constant.  $l_{\infty}$  is the mixing-length far above the ground whose value in near-neutral conditions is generally estimated between 15 and 75 m (Sun, 2011; Lenderink & Holtslag, 2004) In stable conditions, the vertical displacement of eddies whose size is roughly above the so-called Ozmidov scale - is limited by the stratification of the flow (e.g. van de Wiel et al. (2008)). André et al. (1978) and Deardoff (1980) introduced a widely used buoyancy length-scale which depends on the flow stratification characterised by Brunt-Väisälä pulsation N. The mixing length in stable conditions  $l_s$  then read :

$$l_s = c_l \frac{\sqrt{e}}{N} \tag{26}$$

 $c_l$  being a scalar whose value varies between 0.1 and 2 (Deardoff, 1980; Nieuwtsadt, 1984; Grisogono & Belušić, 2008; Baas et al., 2018).

More recent studies introduced wind-shear dependent formulation of  $l_s$  to account for the deformation of eddies - whose size is above a so-called Corrsin scale - by vertical wind shear (e.g. Grisogono and Belušić (2008); Grisogono (2010); Rodier et al. (2017)). Grisogono and Belušić (2008) proposed a mixing-length formulation including both the effect of stratification and vertical wind shear  $S^2$  that reads:

$$l_s = c_l \frac{\sqrt{e}}{2\sqrt{S^2(1+\sqrt{Ri}/2)}} \tag{27}$$

The final mixing-length l, being either ground-limited or stratification-limited is the minimum between  $l_n$  and  $l_s$ . In the model implementation, we choose a commonly-used continuous interpolation formulation:

$$l = \left(\frac{1}{l_n^{\delta}} + \frac{1}{l_s^{\delta}}\right)^{-1/\delta} \tag{28}$$

 $\delta = 1$  by default. The two expressions of  $l_s$  can be used independently in the parameterization but unless otherwise stated, the results presented in the rest of the paper have been obtained with formulation dependent on both stratification and wind shear (Eq. 27). In practice, l is also lower bounded by a value  $l_{min} = 1$  cm to prevent it from reaching value below the Kolmogorov length scale in planetary atmospheric motions (Chen et al., 2016). As  $l_s$  depends on the TKE, in practice l is calculated with an explicit value of the TKE i.e. the value at the beginning of the time-step.

### 283 2.5 Surface layer scheme matching

Neglecting the vertical diffusion term of TKE  $\mathcal{T}$ , Eq. 7 in stationary conditions ( $\partial_t e = 0$ ) can be re-arranged to give a first-order turbulent closure like expressions of the eddy diffusion coefficients for momentum and heat (Cuxart et al., 2006):

$$K_m = l^2 \sqrt{S^2} F_m(Ri) \tag{29}$$

$$K_h = l^2 \sqrt{S^2} F_h(Ri) \tag{30}$$

where

$$F_m(Ri) = S_m^{3/2} \sqrt{c_\epsilon} \left(1 - \frac{Ri}{Pr}\right)^{1/2} \tag{31}$$

$$F_h(Ri) = S_m^{7/4} P r^{-1} \sqrt{c_\epsilon} \left( 1 - \frac{Ri}{Pr} \right)^{1/2}$$
(32)

are first-order like stability functions. Near the ground in the surface layer,  $l \approx \kappa z$  and England and McNider (1995) then show that  $F_{m,h}$  functions are identical to the stability functions involved in the bulk expressions of the surface drag coefficients used to calculate surface fluxes of momentum and heat in models :

$$C_{m,h} = \frac{\kappa^2}{\log(z/z_{0m})\log(z/z_{0m,h})} F_{m,h}$$
(33)

with  $z_{0m}$  and  $z_{0h}$  the surface roughness lengths for momentum and heat respectively. Provided turbulence in the surface layer can be assumed to be close to a stationary state, using the same formulations for  $S_m$  and Pr in both the turbulent diffusion and surface layer schemes leads to a fully consistent formulation of turbulent fluxes from the surface layer up to the top of the boundary-layer.

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# 2.6 Degrees of freedom of the scheme and adjustable parameters

Table 1 summarises all the 10 adjustable parameters of the new parameterization and their ranges of acceptable values as previously introduced in the text. The 8 first parameters in bold are those affecting the simulation of the neutral and stable boundary layers and taken into account in the tuning phase in the next section. It is worth mentioning that we also lower-bound the turbulent diffusion coefficients with the kinematic molecular viscosity and conductivity of the air, which are not tuning parameters per se but pressure and temperature dependent - thus planet dependent - quantities.

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# 3 Implementation in General Circulation Models, evaluation and tuning

### 3.1 Implementation in the LMDZ GCM and Mars Planetary Climate Model

The ATKE parameterization has been implemented in the LMDZ Earth GCM (Hourdin 302 et al., 2020; Cheruy et al., 2020), atmospheric component of the French IPSL Coupled-Model 303 (Boucher et al., 2020) involved in the Coupled Model Intercomparison Project (CMIP) ex-304 ercices. The turbulent-mixing parameterization of LMDZ has received a lot of attention 305 in the past two decades, particularly regarding the convective boundary layer and the very 306 stable boundary layer. It is a hybrid scheme in the sense that turbulent fluxes are expressed 307 as a sum of a K-diffusion term - from the TKE-l scheme of Yamada (1983) and revisited in 308 Hourdin et al. (2002) and Vignon, Hourdin, et al. (2017) - and a non-local transport term by 309 convective plumes (Rio et al., 2010; Hourdin et al., 2019). Despite those efforts, recent tests 310 revealed that the latest version of the model - the CMIP6 version - still exhibits numerical 311 instabilities in near-neutral boundary layers in presence of strong wind shear. 312

As a proof of concept, the ATKE scheme has also been implemented in the Mars Planetary Climate Model (Mars PCM, Forget et al. (1999)). This model also uses a hybrid scheme

Name	Definition	Range
$\mathbf{c}_{\epsilon}$	controls the value of the dissipation length scale	[1.2 - 10]
$\mathbf{c}_{\mathbf{e}}$	controls the value of the diffusion coefficient of TKE	[1 - 5]
$\mathbf{l}_{\infty}$	asymptotic mixing length far from the ground	[15 - 75]
$\mathbf{c}_{\mathbf{l}}$	controls the value of the mixing length in stratified conditions	[0.1 - 2]
$\mathbf{Ri_c}$	critical Richardson number controlling the slope of $S_m$ in stable conditions	[0.19 - 0.25]
$\mathbf{S}_{\mathbf{min}}$	minimum value of $S_m$ in very stable conditions	[0.025 - 0.1]
$\mathbf{Pr_n}$	neutral value of the Prandtl number	[0.7 - 1]
$\alpha_{\mathbf{Pr}}$	linear slope of $Pr$ with $Ri$ in the very stable regime	[3 - 5]
$r_{\infty}$	ratio between $c_{\infty}$ and $c_n$ controlling the convective limit of $S_m$	[1.2 - 5.0]
$\mathrm{Pr}_\infty$	value of $Pr$ in the convective limit	[0.3 - 0.5]

**Table 1.** Name, definition and range of acceptable values for the adjustable parameters. Parameters are dimensionless exception  $l_{\infty}$  which is a length in m. Parameters in bold are those which affect the simulation of the neutral and stable boundary layer.

with a TKE-l diffusion scheme inspired from Yamada (1983) and a dry parameterization of convective plumes (Colaïtis et al., 2013). Colaïtis et al. (2013) have pointed out that the default TKE-l scheme of Hourdin et al. (2002) leads to numerical oscillations in strongly stratified Martian nighttime conditions. They addressed this issue by imposing a minimum mixing coefficient  $K_{min}$  whose value depends on the boundary layer height following Holtslag and Boville (1993).

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### 3.2 Parametric sensitivity of the ATKE scheme and tuning

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### 3.2.1 Initial test on the GABLS1 case and parametric sensitivity

The ATKE scheme is first tested on single column simulations using the 1D version of 323 LMDZ with a 95-level vertical grid introduced in Hourdin et al. (2019). We run 1D simula-324 tions on the GEWEX Atmospheric Boundary Layer Study 1 (GABLS1) single column model 325 intercomparison exercise. The latter consists in a no-radiation idealized 9 hour simulation of 326 the development of a weakly stable boundary layer, with a constant zonal geostrophic wind 327 of 8 m s<sup>-1</sup> and a constant surface cooling of -0.25 K h<sup>-1</sup> (Cuxart et al., 2006). The fair 328 convergence of 3D LES on this case - with the exact same initial and boundary conditions as 329 those for single column models - make LES suitable references for GABLS1. Nonetheless, to 330 sample the small variability between LES runs, we consider hereafter 5 reference LES which 331 correspond to the MO-1m, MO-2m, UIB-2m, IMUK-1m, IMUK-2m simulations listed in 332 Table 2 of Beare et al. (2006), the suffix referring to the vertical resolution. 333

Given the ranges of acceptable values associated with each of the n = 8 free param-334 eters affecting the simulation of the stable boundary layer listed in Table 1, we need to 335 run simulations with different sets of parameters to assess the parametric sensitivity of the 336 scheme. For this purpose, we use the HighTune explorer statistical tool originally developed 337 in the Uncertainty Quantification community and now applicable in atmospheric modeling 338 (Couvreux et al., 2021). This tool allows to make a first perturbed physics ensemble exper-339 iment through an exploration of the initial n-dimension hypercube of parameters defined 340 by the intervals given in Table 1 using a Latin Hyper Cube sampling method. Here 80 341 (10 times n) sets of parameters or free parameters' vectors are sampled. Unless otherwise 342 stated, the simulations are run with a 15 min time step, i.e. the typical value used for the 343 LMDZ physics and that used for the ensemble of CMIP6 simulations. 344

Figure 2 shows the results of this *a priori* sensitivity analysis to free parameters' values for the vertical profiles of potential temperature, wind speed and TKE averaged over the



**Figure 2.** Evolution of envelopes of the vertical profiles of potential temperature (panel a), wind speed (panel b) and TKE (panel c) after 9 hours of GABLS1 simulation. Yellow and orange envelopes correspond to waves 1 and 20 respectively i.e. to the 1st and 20th set of 80 simulations during the tuning exercise. Blue curves show the 5 reference LES. The red curve shows the 'best' LMDZ simulation. The black curve shows the CMIP6 version of LMDZ for comparison. The horizontal light grey band show the vertical ranges over which the metrics are calculated for each variable. In panel c, note that the full (resolved+subgrid) TKE from the LES is shown.

eighth hour of the simulation. The yellow envelope displays the variability (minimum and 347 maximum values) amongst the 80 simulations from this first so-called 'wave' of simulations. 348 Albeit encompassing the five reference LES coming from the GABLS1 LES intercomparison 349 exercise (Beare et al., 2006), this yellow envelope hightlights the large range of vertical 350 profiles obtained. This is a signature of the high sensitivity of the results to the parameters as 351 they are varied accross the range given in Table 1. In particular, very strong and unrealistic 352 momentum decoupling manifesting as very strong wind speed gradient near the surface is 353 allowed by the scheme in regions of the parameter space where the negative feedback of 354 the wind shear on the mixing length (Eq. 27) is overappreciated. Interestingly, Figure 3b 355 shows that such a decoupling is never simulated when using the buoyancy-only dependent 356 length scale (Eq. 26). However, even if the yellow envelop is reasonable for the potential 357 temperature and wind speed (Figure 3a,b), the use of the buoyancy-only dependent length 358 scale can lead to unrealistically strong values of TKE in the middle of the boundary layer 359 (Figure 3c) owing to overly high mixing length values. 360

Overall, the large width of the yellow envelop in Figure 2 and the possible large discrepancy with respect to the LES call for a reduction of the parameter space and a calibration of the ATKE scheme.

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## 3.2.2 History matching with iterative refocusing

For this purpose, we follow a history matching with iterative refocusing procedure which in practice is performed with HighTune explorer. This procedure is made of 6 steps and is fully described in Couvreux et al. (2021) and Hourdin et al. (2021). We refer the



Figure 3. Same as Figure 2 but for simulations using the buoyancy length-scale formulation (Eq. 26) instead of the stratification and wind-shear dependent formulation (Eq. 27) in stable conditions.

reader to the aforementioned papers for details on the method and describe here the main steps for our application.

Step 1 We first define 5 metrics, i.e. targets for the model with respect to the LES 371 reference, to properly capture the boundary layer structure. Those metrics are the potential 372 temperature at the bottom (average between 30 and 60 m) and top (average between 130373 and 160 m) part of the boundary layer, the zonal wind speed at the low-level jet height 374 (average between 130 and 190 m) and the TKE at the bottom (average between 20 and 60 375 m) and middle (average between 60 and 100 m) part of the boundary layer. All metrics are 376 calculated on hourly-mean profiles between the 8th and 9th hour of the simulation, when 377 the stable boundary layer is well developed. 378

Step 2 We then define the initial parameter space consisting in a 8-dimension space
 corresponding to the 8 parameters in bold in Figure 1 and their associated range of possible
 values.

Step 3 This parameter space is then sampled 80 times and experimented on GABLS1
 simulation as in Sect. 3.2.1.

Step 4 Based on those 80 simulations, an emulator is built for each metric based on a Gaussian Process providing values for the expectation and variance at any location in the parameter space.

Step 5 We then compare the simulated metrics with respect to those from the LES reference through the calculation of an implausibility I for each metrics at each point  $\lambda$  of the parameter space:

$$I(\lambda) = \frac{|r - E[e_m(\lambda)]|}{\sqrt{\sigma_r^2 + \sigma_d^2 + Var(e_m(\lambda))}}$$
(34)

where the numerator is the absolute difference between the reference metrics r and the corresponding expectation from the emulator  $E[e_m(\lambda)]$ ; and the denominator is the standard deviation of this difference, which includes the reference uncertainty (i.e. the spread

between LES  $\sigma_r^2$ ), the uncertainty associated to the emulator  $(Var(e_m(\lambda)))$ , and model struc-390 tural uncertainty ( $\sigma_d^2$ , see Couvreux et al. (2021) for details). As the latter is not a priori 391 known, one has to prescribe an arbitrary 'tolerance to error' (see thorough discussion on the 392 rationale behind this tolerance in Hourdin et al. (2021)) that we set to 0.25 K for potential 393 temperature, 0.25 m s<sup>-1</sup> for wind speed and 0.01 m<sup>2</sup> s<sup>-2</sup> for TKE. History matching then 394 rules out a part of the parameter space that corresponds to unacceptable model behaviour 395 - i.e. with an implausibility higher than a given cut-off value of 3 - and keeps a not-ruled 396 out yet (NROY) space. 397

Step 6 Iterative refocusing then consists in sampling 80 new free parameter vectors in the NROY space and reiterates over several tuning 'waves' from step 4 to 6.

Note that this procedure is not an optimization method providing in the end a single
set of parameters, but a method ruling-out a non-plausible part of the initial parameter
space and giving the space of acceptable free parameters - given the chosen metrics and
tolerances - once it has converged.

The results after 20 waves of tuning are shown with orange envelopes for the potential 404 temperature, wind speed and TKE profiles in Figure 2. Compared to the initial and first 405 wave (vellow envelopes), one can first notice the convergence towards LES curves. Consider-406 able improvement is obtained with respect to the CMIP6 version of LMDZ, with a shallower 407 and more realistic - compared to LES - boundary-layer height, a more peaked low-level jet 408 and lower and much closer-to-LES TKE values. Nonetheless, the potential temperature 409 (resp. wind speed) in the first tens of meters above the surface remains slightly overesti-410 mated (resp. underestimated). Such biases can be reduced by adding metrics targeting the 411 lowermost part of the profiles and increasing the vertical resolution close to the surface (not 412 shown). 413

414

We now examine the 10 'best' simulations obtained during the tuning exercise. The 415 adjective 'best' is employed here as in Hourdin et al. (2021) in the sense that the maximum 416 (across metrics) value of the ratio of the distance to LES divided by the tolerance to error 417 is the smallest at the end of the tuning exercise. Note that this choice of 10 simulations and 418 the denomination 'best' goes beyond the history matching philosophy as there is a priori 419 no reason to prefer specific configurations than others in the final NROY spaces given the 420 chosen metrics and tolerances. A choice is done here to illustrate the behaviour of the ATKE 421 scheme for single sets of parameters obtained at the end of the tuning process in 1D and 422 3D simulations. 423

Figure 4a,c) show that they reproduce fairly well the profiles of heat and momentum 424 turbulent fluxes, i.e. two quantities that were not directly targeted during the tuning.  $K_{m,h}$ 425 values are also much lower than those in the CMIP6 physics simulation (Figure 4b,d) which 426 concurs with conclusions regarding the profiles of TKE in Figure 2c. In addition, Figure 427 5 reveals the good numerical stability and convergence properties of the TKE in these 428 simulations, as well as the considerable improvement regarding these aspects with respect 429 to the CMIP6 version of the LMDZ physics. This makes us confident with the robustness and 430 efficiency and the numerical resolution method for the TKE evolution equation presented 431 in 2.2.2. 432

When inspecting more deeply the NROY space after 20 waves of tuning (Figure 6), 433 one can notice that its final shape has been mostly constrained by the  $c_l$  and  $c_{\epsilon}$ , and to a 434 lesser extent by  $l_{\infty}$ . This does not absolutely mean that the other 5 parameters do not play 435 role in the overall behaviour of the scheme but this shows that the representation of the 436 GABLS1 weakly stable boundary layer with ATKE mostly depends upon the value of  $c_l, c_{\epsilon}$ 437 and  $l_{\infty}$ . This point is further shown by the strong similarity between Figure 7 - which has 438 been produced with a tuning on  $c_l$ ,  $c_{\epsilon}$  and  $l_{\infty}$  only - and Figure 2. Such a result is not that 439 surprising since the turbulent diffusion in weakly stable boundary layer mostly results from 440



**Figure 4.** Vertical profiles of momentum flux (panel a), heat flux (panel c), eddy diffusivity coefficient for momentum (panel b) and heat (panel d) after 9 hours of GABLS1 simulation. Grey curves show the LMDZ simulations run with the 10 best parameter vectors after the tuning exercise. Blue curves in panels a and c show the 5 reference LES. The red curve shows the 'best' LMDZ simulation obtained during the tuning exercise (see main text for details). The black curve shows the CMIP6 version of LMDZ for comparison.



**Figure 5.** Time evolution of the TKE at 40 m a.g.l. in LMDZ single column model GABLS1 simulations. Solid grey curves show the simulations run with the 10 best parameter vectors after the tuning exercise and a 15 min time step. The solid and dotted red curves shows simulations run with the best parameter vector and a time step of 15 and 1 min respectively. The solid and dotted black curves shows simulations run with CMIP6 version of LMDZ and a time step of 15 and 1 min respectively.

eddies whose size and energy are controlled by wind shear intensity and TKE dissipation. 441 In addition, the weak dependence upon  $c_e$  may have somewhat been expected given the 442 relatively weak contribution of the transport term  $\mathcal{T}$  is the overall TKE budget (not shown). 443 Regarding  $S_{min}$ ,  $Ri_c$  and  $\alpha_{Pr}$ , one may expect a more important role of those parameters in very stable boundary layers i.e. with a stratification more pronounced compared to that in 445 GABLS1. Their values might thus be more constrained if we were to tune the ATKE scheme 446 over a more stable boundary layer case such as GABLS4 (Couvreux et al., 2020) instead 447 of or in addition to GABLS1. However LES do not converge that well on GABLS4 which 448 makes the tuning exercise more delicate. Moreover, the role of radiation in determining 449 the structure of the boundary-layer becomes increasingly important as stability increases 450 (Edwards, 2009) and in addition to turbulent diffusion, the coupling between turbulence 451 and radiation becomes an essential feature to capture with models. We therefore leave this 452 aspect for further research. 453

454

### 3.3 Challenging the Antarctic and Martian stable boundary layers

We now conduct two short and arbitrary applications of the ATKE parameterization in simulations with the LMDZ GCM and Mars PCM.

457

# 3.3.1 Stable boundary layer regimes at Dome C, Antarctic Plateau

First, we verify that the proposed scheme is able to reproduce the dichotomous be-458 haviour of the stable boundary layer at Dome C on the Antarctic Plateau that is, a very 459 stable regime with strong temperature surface-based inversions and collapsed turbulence 460 versus a weakly stable state with weak inversions. The sharp transition between those 2 461 regimes occurs in a narrow range of wind speed (Vignon, van de Wiel, et al., 2017; Baas 462 et al., 2019). Such a test was proposed in Vignon et al. (2018) to verify the ability of the CMIP6 version of LMDZ to reproduce the overall dynamics of the stable boundary layers 464 and it is performed here as capturing the Dome C boundary layer was identified as a *target* 465 during the development of LMDZ for CMIP6 (Cheruy et al., 2020). This is an aspect that 466 we want to conserve throughout the development of the LMDZ physics and particularly 467 when introducing a new turbulent diffusion scheme. It is also worth noting that such a test 468 was also used for the recent development of the CanAM model (He et al., 2019) as well 469 as for verifying the robustness of LES of the stable boundary layer (van der Linden et al., 470 2019). We follow here the exact same LMDZ simulation configuration as in Vignon et al. 471 (2018) that is, one year (2015) simulations are conducted with the zooming capability of 472 the LMDZ to refine a  $64 \times 64$  global grid to reach a  $50 \times 50$  km on the Dome C. One slight 473 difference though with respect to Vignon et al. (2018) is that we use the 95-level vertical grid 474 used in the previous section instead of the 79-level grid in the reference paper. Nudging in 475 wind, temperature and humidity towards ERA5 reanalyses (Hersbach et al., 2020) is applied 476 outside the zoom area to evaluate the sub-components of the physics of the model apart 477 from likely deficiencies in representing the large scale meteorological fields. The reader is 478 referred to Vignon et al. (2018) for details on the simulation configuration as well as the 479 surface snow treatment in LMDZ. The simulation has been run with the CMIP6 version of 480 the LMDZ physics as well as by an adapted versions using the ATKE diffusion scheme and 481 the 10 'best' sets of parameters found from the single column model tuning. 482

A simple diagnostics to assess the representation of the two stable boundary layer 483 regimes is to investigate the dependence of the surface-based temperature inversion upon 484 the wind speed in clear sky conditions. Data align along a well-defined 'inverted-S' shape 485 curve (Vignon, van de Wiel, et al., 2017; van de Wiel et al., 2017), the two horizontal 486 487 branches corresponding to the two regimes and the vertical one to the non-linear transition between them as the wind speed increases or decreases (Figure 8a). As shown in Figure 8b, 488 the CMIP6 version of LMDZ reasonably captures the strong surface-atmosphere decoupling 489 in very stable conditions and the 2-regime behaviour. LMDZ with the ATKE scheme run 490 with the 'best' set of parameters (Figure 8c) retained in Sect. 3.2 reproduces even more 491



**Figure 6.** Implausibility matrix after 20 waves of history matching exploration. The upperright triangle is made of sub-matrices that show the fraction of points with implausibility lower than the chosen cutoff while the sub-matrices of the lower-left triangle show the minimum value of the implausibility when all the parameters are varied except those used as x- and y-axis, the name of which are given on the diagonal of the main matrix. The number at the bottom of the graph shows the NROY space value (fraction of the initial parameter space) after 20 waves.



Figure 7. Same as Figure 2 but after a tuning on  $c_{\epsilon}$ ,  $c_l$  and  $l_{\infty}$  only. The other parameters have been arbitrarily set to the following values:  $Ri_c = 0.2$ ,  $S_{min} = 0.05$ ,  $Pr_n = 0.8$ ,  $\alpha_{Pr} = 4.5$  and  $c_e = 2.0$ . Note that we have stopped the tuning expercise at the 9th wave here since convergence has been attained.

realistically reproduce the 2-regime behaviour - that is, the reversed 'S' shape pattern - and
the decoupling in very stable conditions despite an overestimation of the strong temperature
inversions. The latter can be attributed to an overly weak downward longwave radiative
flux from the very dry and cold Dome C atmosphere in clear-sky conditions (Vignon et al.,
2018).

An important point here is that such results are obtained with all the 10 'best' sets 497 of parameters after 20 waves of tuning on GABLS1 (Figures 8c-l) and despite the fact that 498 such a GABLS1-based tuning has not substantially constrained parameters that may be a499 priori important in very stable conditions such as  $S_{min}$ ,  $Ri_c$  and  $\alpha_{Pr}$ . In fact, the transition 500 between the weakly and very stable regimes of the stable boundary-layer primarily relies on 501 the ability of a TKE-l scheme to allow for a turbulence collapse in very stable conditions 502 (Vignon et al., 2018). This is the case with the ATKE scheme - whatever the  $S_{min}$ ,  $Ri_c$ 503 and  $\alpha_{Pr}$  value chosen in their corresponding ranges of acceptable values - as no artificial 504 threshold or lower-bound has been prescribed to maintain a certain amount of TKE in very 505 stable conditions. 506

507

# 3.3.2 Nocturnal stable boundary layer collapse on Mars

Mars has a thinner and much less dense atmosphere compared to Earth and its planetary boundary layer exhibits stronger diurnal variations (Spiga et al., 2010b; Petrosyan et al., 2011) with a abrupt collapse at the day-night transition. During night-time, the Martian boundary layer exhibits numerous similarities with that of the polar regions on Earth such as strong surface-based temperature inversions associated with very weak turbulence (Banfield et al., 2020), the latter being able to re-activate through wind shear production associated with low-level jets (Chatain et al., 2021).

This extreme environment enables us to challenge the versatility of ATKE parameterization and compare its performance with the default TKE-l scheme used in the current Mars PCM (Colaïtis et al., 2013).



Figure 8. Temperature inversion between 10 m and the ground surface plotted as a function of the 10-m wind speed in clear-sky conditions (downward longwave radiative flux < 100 W m<sup>-2</sup>) from April to September 2015. Panel a shows results from in situ observations. Panel b (resp. c) show the LMDZ simulation in the CMIP6 physics configuration (resp. with the ATKE scheme using the best set of parameters retained in Sect. 3.2). Panels d to l show results from 9 simulations with the ATKE scheme using 9 following 'best' sets of parameters after the tuning phase on GABLS1. Dome C measurement data are from Genthon et al. (2021).



Figure 9. Evolution of the TKE through the Martian day in a) the baseline physics configuration; b) the same configuration with no minimum mixing coefficient  $K_{min}$ ; c) the simulation using the ATKE scheme for turbulent diffusion. Black contours indicate the wind speed in m s<sup>-1</sup>.

As a first test, we compare the two parameterizations using the single-column version 518 of the Mars PCM to assess the overall behaviour of the diurnal cycle of the boundary 519 layer and the numerical stability of the model. The single-column version of the Mars 520 PCM uses the same physics as the 3D model (Lange et al., 2023) and a vertical grid with 521 6 levels in the first km above the ground. No lateral advection of heat and momentum 522 is prescribed, the initial temperature profile is set to 180 K and the zonal wind speed is 523 nudged towards a constant value of  $7 \text{ m s}^{-1}$  which corresponds to values measured at the 524 Mars Equator by the InSight lander (Banfield et al., 2020). Simulations are performed at 525 the Equator, with no dust aerosols, and ran for several Martian days until the diurnal cycle 526 reaches an equilibrium after 10 days. The nocturnal boundary layer simulated is weakly 527 to moderately stable, with a near-surface gradient Richardson not exceeding 0.1. Figure 528 9 shows the evolution of the TKE (colour shading) and wind speed (contours) in the first 529 km above the ground surface during a typical diurnal cycle. As explained in Sect. 3.1, the 530 nocturnal TKE field simulated by the default TKE-l scheme of the Mars PCM is affected 531 by strong numerical oscillations (Figure 9a) which are mitigated when adding a minimum 532 mixing coefficient  $K_{min}$  (Figure 9b). When using the ATKE scheme with the 'best' set of 533 parameters retained from the tuning on GABLS1 in Sect. 3.2.2 (Figure 9c) and with no 534 prescription of  $K_{min}$ , the structure of the nocturnal boundary layer is well captured and no 535 numerical oscillations affect the TKE and wind fields. Unlike in Figure 9b, the TKE exhibits 536 a continuous decrease with increasing height in the nocturnal boundary layer, which better 537 concurs with the typical TKE structure in weakly stable boundary layers (e.g., (Acevedo et 538 al., 2015)). 539

We then assess the performance of the ATKE model by performing simulations with 540 the 3D Mars PCM and comparing the results to in situ wind observations collected by the 541 InSight lander deployed at a latitude 4.5° N and a longitude of 135° E. InSight continuously 542 monitored the wind at a height of 1.2 m for almost one martian year with an unprecedented 543 time resolution (Banfield et al., 2020). Two striking phenomena have been detected. First, 544 a dramatic reduction of the wind speed, following the collapse of the boundary layer is 545 observed around 17-18 local time during the clear season (Figure 10a) i.e., the first half 546 of the Martian year when a relatively small amount of dust is present in the Martian sky 547 (Kahre et al., 2017). The abruptness of this change is related to both the very low thermal 548 inertia of the Martian ground surface and the thinness of the Martian atmosphere. Second, 549 during the dusty season i.e. the second half of the Martian year, substantial night-time 550 turbulence is observed (Chatain et al., 2021) and the decrease in near-surface wind speed 551 is less pronounced (Figure 10d). Those two phenomena have been shown to be poorly 552



Figure 10. Comparison between InSight wind speed measurements (grey dots and black curves in panels a and d) and Mars PCM simulations using the default TKE-l scheme (b, e) and the ATKE scheme (c, f). For model fields, the mean wind speed over the period considered is presented in solid lines, and the diurnal variability is shown with the envelope of dashed lines ( $q_1$  and  $q_3$  referring to the first and third quartiles).

reproduced by the Mars PCM, in particular, the collapse of winds at sunset (Forget et al., 2021).

Here, as a proof of concept, we run the 3D Mars PCM using either the default TKE-l 555 scheme and the ATKE scheme with the 'best' set of parameters from the GABLS1 tuning i.e. 556 with no specific tuning for Martian conditions. Global simulations are performed over one 557 complete martian year with a resolution of 3.75° in latitude and 135.9° in longitude. Initial 558 conditions are derived from 10-year simulations which provide equilibrium states of water 559 and  $CO_2$  cycles (Pottier et al., 2017). The seasonal and geographic variations of dust opacity 560 in the sky are prescribed using dust observations by (Montabone et al., 2015). Results are 561 presented in Figure 10. Concurring with Forget et al. (2021), the model in its standard 562 configuration fails to reproduce the sharp transition from high to low wind speeds at sunset 563 (Figure 10b). This aspect is significantly improved when using the ATKE scheme (Figure 564 10c). However, the wind speed in the second part of the night remains underestimated in 565 both configurations which questions the representation of the surface-atmosphere decoupling 566 in this period (Chatain et al., 2021). In the dusty season, the current model overestimates 567 the surface wind speed owing to an excess of turbulent mixing (Figure 10e), while the ATKE 568 parameterization leads to more realistic wind speeds (Figure 10f). 569

Overall, this preliminary experiment demonstrates: i) the applicability of the ATKE parameterization on Mars and the promising results that can be obtained with a set of parameters not specifically tuned for Mars conditions and; ii) the improvement of the model both numerically and physically in stable conditions. Nonetheless, Mars simulations with the ATKE scheme would further benefit from a more adapted tuning using references such as Mars LES (Spiga et al., 2010a) or InSight observations (Banfield et al., 2020). It is also worth

noting that the Mars atmosphere, particularly at the poles i.e. far from the InSight landing 576 site, exhibits particularities that cannot be properly captured with the current version of 577 the ATKE scheme. A key aspect is that air buoyancy can be created by compositional 578 vertical gradients of both water vapor and carbon dioxide, i.e. the prevailing gas of Mars' 579 atmosphere. In particular, during the winter polar night,  $CO_2$  condenses upon the ice cap 580 surface (e.g., (Weiss & Ingersoll, 2000)) changing dramatically the near-surface atmospheric 581 composition. Such an effect cannot be taken into account given with Brünt-Vaisala pulsation 582 and Richardson number expressions based on a virtual potential temperature. This aspect 583 deserves attention for further improvement of the ATKE scheme. 584

### 585 4 Summary and Conclusions

This study presents the development of a simple TKE-l parameterization of turbulent 586 eddy coefficients for the simulation of the neutral and stable boundary layer in large-scale 587 atmospheric models. The parameterization has been carefully designed such that all ad-588 justable parameters have been clearly identified and their ranges of possible values defined to help the calibration and assess the parametric sensitivity. Instead of using fixed and 590 empirical expressions of stability functions and turbulent Prandlt number, we have derived 591 fully tunable and heuristic formulae to improve the versatility of the scheme and its potential 592 applicability for planetary atmospheres composed of an ideal and perfect gas. A wind-shear 593 and buoyancy dependent formulation for the mixing length in stratified conditions is con-594 sidered. A 2-step numerical treatment of the TKE equation is further proposed and shows 595 good convergence and stability properties at typical time steps used in large scale atmo-596 spheric models. The parametric sensitivity of the ATKE scheme has been assessed with 597 the HighTune explorer tools using 1D simulations of the GABLS1 weakly stable boundary 598 layer case with the single-column version of LMDZ. Using a History-Matching approach, 599 we carried out a first calibration of the scheme allowing us to reduce the initial parameter 600 space to keep an ensemble that satisfies the representation of weakly stable boundary layer. 601 Substantial improvement with respect to the CMIP6 version of LMDZ has been achieved in 602 terms of vertical profiles of temperature, wind, TKE and turbulent fluxes of momentum and 603 heat, as well as in terms of numerical stability. However this tuning experiment restricted 604 to the weakly stable GABLS1 case has not enabled us to clearly evidence a potential added 605 value of a wind-shear and buoyancy dependent formulation for the mixing length in strat-606 ified conditions compared to a buoyancy only-dependent one, even if the vertical profile of 607 TKE is slightly better captured. 608

The ability of the ATKE scheme to simulate the stable boundary layer as well as its 609 applicability to planetary atmospheres have then been assessed through simulations of the 610 Antarctic and Martian boundary layer with the LMDZ and Mars Planetary Climate model 611 respectively. In particular the 2-regime behaviour of the stable boundary layer at Dome C, 612 a challenge for turbulent diffusion schemes in GCMs, is reasonably well captured with the 613 ATKE scheme. In addition, promising results have been obtained for the representation of 614 the nocturnal Martian boundary layer with improvements regarding the numerical stability 615 compared to the original model. Such results pave the way for a Mars-specific tuning of the 616 ATKE scheme in the future. 617

A prospect of our work is to verify the physical and numerical robustness of the 618 ATKE parameterization in atmospheric flows with extremely strong wind shear such as 619 katabatic winds developing over ice caps. Such an application could also make it possible to 620 assess a potential added value of a wind shear-dependent formulation of the mixing length. 621 Moreover, in view of a fully reliable application in a climate model such as LMDZ, the 622 key parameters of the ATKE scheme - especially  $c_l$  and  $c_{\epsilon}$  - should be included in a more 623 thorough tuning exercise including parameters from other parameterizations and considering 624 additional metrics on convective boundary layer simulations (Hourdin et al., 2021). 625

Last but not least, we would like to emphasize that this work was initiated and fos-626 tered during collaborative work sessions dedicated to the transfer of knowledge and critical 627 questioning on the physics and assumptions behind the parameterizations used in planetary 628 GCMs. Those sessions spontaneously emerged following students' questions and gathered 629 atmospheric and planetary scientists experts and non experts of turbulent mixing and pa-630 rameterization development. The motivations behind the ATKE scheme development went 631 beyond the need to advance the turbulent diffusion scheme in our models but were also - and 632 maybe firstly - a reason and a need to teach and learn the parameterization development in 633 a 'learning-by-doing' way. 634

# Appendix A A gravity-invariant formulation of our TKE-l turbulent diffusion scheme

For the sake of universality of a turbulent diffusion parameterization and in particular 637 for potential application on different planets, one may want to develop a framework as in-638 dependent as possible upon planet's characteristics, in particular upon planet's gravity. In 639 the main paper, gravity appears in the expression of the Brünt Väisälä frequency thus in 640 the expression of the gradient Richardson number and in the buoyancy term of the TKE 641 evolution equation Eq 7. In this appendix, we briefly introduce a framework using geopo-642 tential as vertical coordinate and in which gravity is no longer involved. Such a framework 643 is proposed here as a prospect for a further new implementation of the parameterisation. 644

Let's introduce the geopotential  $\phi$  defined such that  $d\phi = gdz$  as well as a 're-scaled' time  $\tau$  defined by  $d\tau = gdt$  The diffusion equation of a quantity c (Eq. 5) can be written in the form:

$$\frac{\partial c}{\partial \tau} = \frac{1}{\rho} \frac{\partial}{\partial \phi} \left( \rho K_c^{\phi} \frac{\partial c}{\partial \phi} \right) \tag{A1}$$

where  $K_c^{\phi} = gK_c$ . In such a framework, assuming down-gradient expression of turbulent fluxes and the same closures for the TKE dissipation and transport terms as in the main manuscript, the TKE evolution equation A1 reads:

$$\frac{\partial e}{\partial \tau} = K_m^{\phi} \left[ (S^{\phi})^2 - Pr(Ri)(N^{\phi})^2 \right] + \frac{1}{\rho} \frac{\partial}{\partial \phi} (\rho c_e K_m^{\phi} \frac{\partial e}{\partial \phi}) - \frac{e^{3/2}}{c_\epsilon l^{\phi}}$$
(A2)

648

with 
$$l^{\phi} = gl$$
,  $(S^{\phi})^2 = (\partial_{\phi}u)^2 + (\partial_{\phi}v)^2$  and  $(N^{\phi})^2 = \frac{1}{\theta_v} \frac{\partial \theta_v}{\partial \phi}$ 

One can then express  $K_m^{\phi} = l^{\phi}(\phi, e, Ri)S_m(Ri)\sqrt{e}$ . Noting the gravity independent form of the gradient Richardson number  $Ri = (N^{\phi})^2/(S^{\phi})^2$ , the expressions for  $S_m(Ri)$  and Pr(Ri) can be taken identically from Eq. 20 and 23 as they are gravity-independent. For the mixing length  $l^{\phi}$  expression, one can use a similar approach as in Sect. 2.4 replacing the neutral-limit formulation with

$$l_n^{\phi} = \frac{\kappa \phi l_{\infty}^{\phi}}{\kappa \phi + l_{\infty}^{\phi}} \tag{A3}$$

 $l_{\infty}^{\phi}$  being a tuning parameter. In such a way Eq. A1 and A2 combined with the proposed expressions for  $K_m$ , Pr and  $l^{\phi}$  establish a complete gravity-invariant formulation of the turbulent diffusion parameterization.

## <sup>652</sup> Open Research Section

The latest version of the LMDZ source code can be downloaded freely from the LMDZ web site. The version used for the specific simulation runs for this paper is the 'svn' release 4781 from 21 December 2023, which can be downloaded and installed on a Linux computer by running the install\_lmdz.sh script available here: http://www.lmd.jussieu
 .fr/\tilde/pub/install\_lmdz.sh. The Mars PCM used in this work can be down loaded with documentation from the SVN repository at https://svn.lmd.jussieu.fr/
 Planeto/trunk/LMDZ.MARS/. Forcings for the GABLS1 single-column cases are provided
 under the DEPHY-SCM standard at the following link: https://github.com/GdR-DEPHY/
 DEPHY-SCM/. GABLS1 LES used in the intercomparison exercise of Beare et al. (2006) are
 distributed here: https://gabls.metoffice.gov.uk/lem\_data.html

Dome C temperature and wind speed data are freely distributed on PANGAEA data repos itories at https://doi.org/10.1594/PANGAEA.932512 and https://doi.org/10.1594/
 PANGAEA.932513. InSight wind data can be retrieved from the Planetary Data System
 (Jose Rodriguez-Manfredi, 2019).

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