Rayleigh invariance allows the estimation of effective CO2 fluxes due to convective dissolution into water-filled fractures

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Abstract

Convective dissolution of CO2 is a well-known mechanism in geological storage of CO2. It is triggered by gravitational instability which leads to the onset of free convection. The phenomenon is well studied in porous media, such as saline aquifers, and the literature provides substantial evidence that onset times and effective flux rates can be estimated based on a characterization of instabilities that uses the Darcy velocity.

This work extends the study of convective dissolution to open water-filled fractures, where non-Darcy regimes govern the induced flow processes. Numerical simulations using a Navier-Stokes model with fluid density dependent on dissolved CO2 concentration were used to compute scenario-specific results for effective CO2 entry rates into an idealized fracture with varying aperture, temperature, and CO2 concentration at the gas-water interface. The results were analyzed in terms of dimensionless quantities. They revealed a Rayleigh invariance of the effective CO2 flux after the complete formation of a quasi-stationary velocity profile, i.e. after a certain entry length. Hence, this invariance can be exploited to estimate the effective CO2 entry rates, which can then be used, in perspective, in upscaled models.

We have studied convective CO2 dissolution for two different fracture settings; the first one relates to karstification scenarios, where CO2 is the dominant driving force, and were stagnant-water conditions in fractures have not yet received attention to date. The second setting is inspired from geological CO2 storage, where the literature provides only studies on convective CO2 dissolution for porous-media flow with Darcy regimes.

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Key Points:

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7	•	Convective mixing of CO_2 in water-filled fractures shows 3-D effects and cannot
8		be described with porous-media Darcy-models.
9	•	Non-dimensionalization reduces the parameter space for estimating effective CO_2
10		flux rates due to convective dissolution.
11	•	Fully developed fingering regimes after a certain time and fracture length reveal
12		a Rayleigh invariance of the effective CO_2 fluxes.

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13 Abstract

Convective dissolution of CO₂ is a well-known mechanism in geological storage of CO₂. It is triggered by gravitational instability which leads to the onset of free convection. The phenomenon is well studied in porous media, such as saline aquifers, and the literature provides substantial evidence that onset times and effective flux rates can be estimated based on a characterization of instabilities that uses the Darcy velocity.

This work extends the study of convective dissolution to open water-filled fractures, 19 where non-Darcy regimes govern the induced flow processes. Numerical simulations us-20 21 ing a Navier-Stokes model with fluid density dependent on dissolved CO_2 concentration were used to compute scenario-specific results for effective CO_2 entry rates into an ide-22 alized fracture with varying aperture, temperature, and CO₂ concentration at the gas-23 water interface. The results were analyzed in terms of dimensionless quantities. They 24 revealed a Rayleigh invariance of the effective CO_2 flux after the complete formation of 25 a quasi-stationary velocity profile, i.e. after a certain entry length. Hence, this invari-26 ance can be exploited to estimate the effective CO_2 entry rates, which can then be used, 27 in perspective, in upscaled models. 28

We have studied convective CO_2 dissolution for two different fracture settings; the first one relates to karstification scenarios, where CO_2 is the dominant driving force, and were stagnant-water conditions in fractures have not yet received attention to date. The second setting is inspired from geological CO_2 storage, where the literature provides only studies on convective CO_2 dissolution for porous-media flow with Darcy regimes.

³⁴ Plain Language Summary

Carbon dioxide (CO_2) dissolves into water when the latter is in contact with a gaseous, 35 CO_2 -rich atmosphere. This process is characteristic for karst systems, where CO_2 orig-36 inating from microbial activity in the soil is enriched in the atmosphere of caves or karstic 37 void spaces. It is furthermore an important storage mechanism for CO₂ in deep geolog-38 ical reservoirs where the greenhouse gas is injected for mitigating global warming. When 30 water is stagnant or without significant base flow, the dissolution process is governed by 40 so-called convective dissolution, where convection cells are triggered by instabilities in 41 the water body due to density differences caused by dissolved CO_2 . 42

43 Convective dissolution is well understood, but it is still challenging to predict how
 44 much CO₂ dissolves over time. While literature studies on convective dissolution have
 45 been focused on porous rocks, we investigate here open fractures, where flow patterns
 46 are much more complex.

Using computationally expensive numerical simulations, we created a data basis for developing an approach to estimate effective CO₂ entry rates. A crucial finding is that these rates are invariant to a key dimensionless number, the Rayleigh number, which in this case describes the instability of a water body due to CO₂ dissolution.

51 **1** Introduction

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1.1 Motivation

Density-driven dissolution of CO₂ is a well-known process in geological storage of CO₂ (or: CCS - Carbon Capture and Storage). The literature refers to it also as convective mixing or convective dissolution. Related to CCS, convective dissolution is acknowledged to be an important storage mechanism, in this context also referred to as solubility trapping (Metz & Intergovernmental Panel on Climate Change, 2005). CO₂, accumulating in a supercritical fluid phase underneath a caprock of a geological storage reservoir, gradually dissolves in the resident brine and increases the brine's density (e.g.,

Garcia (2001)). The result is an unstable layering which can onset a process of finger-60 ing of CO_2 -enriched brine, thus leading to an enhanced dissolution and an effective trans-61 port of CO₂. CCS has gained recognition for its capability to mitigate the adverse ef-62 fects of climate change, with widespread research affirming its significance (Ipcc, 2022; 63 Metz & Intergovernmental Panel on Climate Change, 2005; Kelemen et al., 2019; Boot-64 Handford et al., 2014; Scheer et al., 2021). Many studies have addressed convective dis-65 solution, thereby considering the rock as a porous medium (Class et al., 2009; Bachu et 66 al., 2007; Elenius & Johannsen, 2012; Kopp et al., 2009; Ennis-King, 2005; Flemisch et 67 al., 2023; J. Nordbotten et al., 2012; Neufeld et al., 2010; Hesse, 2008). Some authors 68 derived effective quantities for CO₂ fluxes by nondimensionalization and the usage of char-69 acteristic quantities such as the Darcy velocity as characteristic velocity, e.g. (Ennis-King, 70 2005; Hesse, 2008). 71

Beyond the assumption of Darcy flow, there is literature available in the field of 72 thermal natural convection, e.g., (Grossmann & Lohse, 2000, 2001). There are, how-73 ever, notable differences between thermal convection and convection induced by CO_2 con-74 centrations, such that the scaling laws cannot be easily transferred. Viscosity is much 75 stronger affected by temperature than it is dependent on CO_2 concentrations. In the thermal-76 convection field, some studies focus on turbulent convection (Ahlers & Xu, 2001; Gross-77 mann & Lohse, 2004), which is not the case for CO_2 -induced convection. Thermal-convection 78 studies are reported for 2-D domains, where lateral influences of boundary-layer devel-79 opment are studied (Ahlers et al., 2009). Regarding convective flow in fractures, it is rather 80 important to consider the boundary-layer development in a fracture plane, i.e. in the void 81 space defined by the aperture. 82

To the best of our knowledge, the literature has not extended so far the study of convective dissolution of CO₂ to fractured media, where permeabilities are significantly larger, and where Darcy-regimes are not given anymore. In such cases, the hydraulic characteristics are distinctly different from porous-media systems, even for fractures as narrow as 1 mm (De Paoli et al., 2020). We have shown previously in a water-filled fracture with 1 cm aperture that onset time of fingering and fingering patterns cannot be matched with approaches that are valid in porous-media systems (Class et al., 2020).

Within the scope of CCS, the presence of small fractures introduces numerous chal-90 lenges. Fractured caprock could act as a pathway for CO_2 leakage. A significant body 91 of research has been devoted to understanding the implications of such fractures (J. A. White 92 et al., 2014; Song & Zhang, 2013; Rutqvist et al., 2008; Ellis et al., 2011; Hommel et al., 93 2020; Fernø et al., 2023). Our focus, however, lies on fractures that may exist underneath 94 the caprock similar to March et al. (2018). A research question in this regard may be 95 if permeable fractures can foster the onset of fingering regimes in geological reservoirs 96 and thereby enhance or accelerate the solubility trapping of CO_2 . 97

Convective dissolution of CO_2 can also play an important role in karstic systems 98 (Class et al., 2021, 2023). Karst plays a pivotal role in the global carbon cycle, with karstic 99 springs releasing huge amounts of CO_2 to the atmosphere (Lee et al., 2021), which might 100 even make them potentially interesting as sites for carbon capture. A significant share 101 of the CO_2 released at karstic springs has long before entered the karstic system through 102 complex interacting processes at the epiphreatic interface between valoes zone and sat-103 urated zone and is then driving karstification and speleogenesis (Audra & Palmer, 2011; 104 Kaufmann et al., 2014; Bakalowicz, 2005; Riechelmann et al., 2019; Klimchouk et al., 2000; 105 Houillon et al., 2020). Speleogenesis, the study of cave formation, considers compositional 106 water and gas flow as well as thermal processes and not only captivates a broad scien-107 108 tific audience but also carries implications for the construction and maintenance of infrastructure in karstic regions and the exploration of geothermal resources (Luetscher 109 & Jeannin, 2004) Karstic systems are characteristically dominated by fissures, fractures, 110 and large void spaces, where during periods of stagnant water, density-driven enhanced 111 dissolution of CO_2 at the epiphreatic interface can contribute new limestone-dissolutional 112

power; this has not yet received attention in karst literature (Class et al., 2021). CO₂
concentrations in the vadose air of karstic systems are typically highly elevated relative
to atmospheric values, for example up to levels of 1-2 % in the Swabian Jura (South Germany) (Class et al., 2023) and strongly dependent on the season and corresponding ventilation patterns (Kukuljan et al., 2021).

Traditional theories of speleogenesis have solely relied on flowing water streams (Bögli, 118 1980; Gabrovšek & Dreybrodt, 2000; Dreybrodt, 1988), while recent insights suggest that 119 density-driven CO₂ dissolution into stagnant water can also be a significant factor (Class 120 121 et al., 2021) under certain conditions. Intermittent stagnant water conditions occur during dry periods and in confined spaces such as fractures and fissures. Seasonal gaseous 122 CO_2 transfer through the epikarst, from the uppermost soil layer into the water bodies 123 below, is a potential pathway for CO_2 and can lead to periods, where the gaseous CO_2 124 is not in equilibrium with the dissolved CO_2 at the karstwater table (Class et al., 2023; 125 Covington, 2016). For that reason, convective dissolution of CO_2 into karst fractures is 126 a mechanism of interest and needs to be quantified. An improved understanding will al-127 low for a potentially required adaption of karstification theories and limestone dissolu-128 tion models. 129

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1.2 Estimating Effective Fluxes in Porous-Media Systems

Research on porous media has made significant progress in predicting the characteristics of CO₂ fluxes due to convective mixing, particularly focusing on two key aspects: (i) the onset times of convective-mixing and (ii) the effective flux during a developed constantflux regime. Central to these investigations is the utilization of porous-media models, where Darcy's law is predominantly used to model fluid flow. This foundational approach forms the basis for much of the theoretical and computational modeling in the field. For an overview it is referred to (Emami-Meybodi et al., 2015).

Some authors introduced a flux efficiency $\langle F \rangle$ [-], which is related to the dimensional flux of CO₂ from the gas phase into the aqueous phase, F [kg/(m²s)] (or [mol/(m²s)]), as expressed by

$$F = \langle F \rangle u_c \rho x_{\mathrm{CO}_2,c} \,, \tag{1}$$

where u_c [m/s] represents a characteristic velocity and x_c is a mass (or mole) fraction of the CO₂ in the aqueous phase at the interface with the gas phase. In the context of porous media, u_c is the Darcy velocity, which is commonly calculated based on the characteristic density difference between the brine with a CO₂ concentration of x_c and a pure brine. One could interpret this as a scaling to a characteristic advective, buoyant flux. Since u_c is known a-priori, a sound understanding of $\langle F \rangle$ yields a prediction of the dimensional flux rates during convective mixing.

Many studies have investigated $\langle F \rangle$. In this paper, the notion is used from De Paoli et al. (2020), while others use also $\langle \frac{dC}{d\tau} \rangle$ (Hesse, 2008), χ (Kneafsey & Pruess, 2010) or $\langle \epsilon \rangle$ (Hidalgo et al., 2012), or refer to it just as flux (dimensionless) (Green & Ennis-King, 2018). The value of $\langle F \rangle$ during a constant flux-regime has been determined in numerical simulations and is reported as 0.017 (Hesse, 2008; Green & Ennis-King, 2018), 0.0120 (neglecting the weak Rayleigh dependence), or 0.02 (Elenius & Johannsen, 2012).

In De Paoli et al. (2020), non-Darcy effects in a fluid-filled Hele-Shaw cell, which can also be interpreted as a fluid-filled fracture, were investigated. Those authors found that the classification of Letelier et al. (2019), using the product ϵ^2 Ra with ϵ being the cell anisotropy ratio $\epsilon = \sqrt{k/H} = \sqrt{(a^2/12)/H}$ and the Darcy Rayleigh number Ra as criterion, clearly distinguished three characteristic regimes in the experimental results from De Paoli et al. (2020), i.e., the Darcy regime, the Hele-Shaw regime and the 3-D regime. For their experiment with apertures of 0.8 and 1 mm (ϵ^2 Ra >> 1), both representing the 3-D regime, $\langle F \rangle$ is significantly lower compared to Darcy-regime experiments.

Since one of the aims of this study is to predict the flux for the range of regimes 160 from Darcy regime to 3-D regime, fractures and fissures in karstic systems reaching aper-161 tures of several centimeters pose a challenge. The commonly applied assumption that 162 the parallel-plate flow model can be used to translate a fracture aperture into a perme-163 ability for Darcy's law, i.e. $k = \frac{a^2}{12}$, is not valid under these conditions. Thus, choos-164 ing the characteristic velocity to be a Darcy estimate is not expedient. Instead, the idea 165 is to determine the finger-front velocity individually by numerical simulations and to ap-166 ply this characteristic velocity for all fracture scenarios of our interest. To tackle the prob-167 lem of predicting fluxes in fractures with large apertures, the suggestion of De Paoli et 168 al. (2020) to investigate the matter using 3-D Navier-Stokes simulations is followed in 169 this study. However, for deriving effective quantities and flux predictions, the findings 170 and approaches in porous-media research are kept in mind and used as references. 171

To our knowledge, there is no study investigating the transition from the Darcy regime to the 3-D regime using 3-D Navier-Stokes equations.

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1.3 Aims and Outline of this Study

This study aims at extending the estimation of effective CO_2 fluxes due to convective dissolution to non-Darcy regimes in water-filled fractures. The focus of application is twofold and includes both karstic systems and geological CO_2 storage systems.

These two fields of application show common features but also distinct differences. Both are associated with typical subsurface uncertainties regarding the details of properties like porosity and permeability, and, more relevant here, regarding the distribution and geometries of fractures, fissures, and small void spaces. Considering further the huge challenges regarding the computational demands for spatially and temporally highly resolved numerical simulations, it is obvious that field-scale models will require effective upscaled quantities rather than fully-resolved physics.

The dynamics of natural convection processes are favored by high driving forces 185 and low resistance to these forces. Employing this to the two fields of application, this 186 means that both the driving forces and the resistance to them are smaller in karst than 187 in CO_2 storage geological reservoirs. CO_2 gas-phase concentrations in karst systems are 188 realistic in a range of 1-2 %, while the brine in contact with supercritical CO₂ in a stor-189 age reservoir is at saturated CO_2 concentration. On the other hand, karstic fissures and 190 fractures are highly variable up to several centimeters aperture, while we consider rather 191 a range of up to a few millimeters to be realistic in CCS-related fractured systems. 192

We want to find out how effective quantities for CO_2 fluxes can be determined and 193 derived from highly resolved numerical simulations in an idealized fracture of varying 194 aperture and height, while being exposed to different CO_2 concentrations at the top to 195 represent realistic karst settings on the one hand and CCS-related settings on the other 196 hand. We want to investigate whether the methodology is robust in both ends of the spec-197 trum, i.e. for larger apertures and lower CO_2 concentrations as it is characteristic for 198 karst, and for smaller apertures and high CO_2 concentrations as in CCS-type systems. 199 By deriving these quantities, we aim at approximating the vertical fluxes due to convec-200 tive mixing, while, in perspective, enabling simulations on a larger scale. Towards achiev-201 ing this aim, we will further predict the necessary velocity scales under typical condi-202 tions for both karstic and CCS environments. 203

This article is structured as follows: Section 2 provides the theoretical background with the basic assumptions, conceptual ideas, and methods of the study as well as the governing equations. After that, in Section 3, the details regarding the numerical sim-

- ²⁰⁷ ulations are given; we refer to these numerical simulations also as the numerical exper-
- iments, since they provide the basis for the interpretation, analysis, and discussion of the
- results in Section 4. The article closes with the basic conclusions from this study.

²¹⁰ 2 Theory

A fundamental relevance of this study arises in convective dissolution processes, 211 where 3-D effects play a role, which ultimately affect the efficiency of CO_2 influx into 212 water-filled fractures. This may occur in karst systems or also in geological storage sce-213 narios. Thereby, the CO_2 concentrations, the temperatures, the apertures of the frac-214 tures and their roughnesses, their inclinations, connectivity, etc. can be very different 215 and many of these quantities are inherently very variable. For this reason, we consider 216 it necessary and opportune to use a generic single-fracture scenario for this study, which 217 may serve as the basis for an upscaling to field-scale application. First of all, the under-218 lying assumptions of the study are introduced; then the basic model equations are ex-219 plained, followed by the non-dimensionalization of the model variables. At the end of 220 this 'Theory section', the influence of boundary-layer development on the formation of 221 a fully developed velocity profile in a single fracture is explained. 222

223

2.1 Assumptions and Approach

While other studies conceptualise a fracture as a lower-dimensional porous medium 224 (Berre et al., 2021; Flemisch et al., 2018; J. M. Nordbotten et al., 2019), this study aims 225 at explicitly demonstrating effects which occur for fractures where assumptions of porous-226 media flow (Darcy flow) are not valid. A schematic representation of a fracture as it is 227 used for the numerical simulations in this study can be seen in Figure 1. The water-filled 228 fracture is exposed to a given concentration gas-phase concentration of CO_2 at the top, 229 which translates into a concentration of dissolved CO_2 in the aqueous phase, serving as 230 a Dirichlet boundary condition at the top boundary. For scenarios where the resistance 231 to induced convective flow is overcome, a fingering regime will be triggered after some 232 time, and we can determine a finger-front velocity, which will in the further serve as a 233 characteristic velocity for non-dimensionalization. 234

In order to evaluate the finger-front velocity, different control heights are employed 235 to track breakthrough curves. A control height is a certain vertical distance measured 236 from the bottom of the conceptual fracture as seen in Figure 1. The calculated concen-237 trations of each cell, intersected by the horizontal plane at a given control-height, are 238 continuously stored in the numerical simulation runs. In a post-processing step, these 239 values are used to determine breakthrough times and, related to the respective distance 240 between control-heights, a depth-dependent finger-front velocity. Note that, control heights 241 are inverse to the depth; i.e., the control-height of 0 would represent the bottom of the 242 fracture, while the depth of 0 would represent the top boundary. 243



Figure 1: Conceptual fracture representation: A constant concentration of CO_2 is imposed at the top boundary. The lower-boundary is no-flow. Front and back faces are non-slip boundaries, while left and right boundaries (here the viewer-facing boundaries) are periodic. Control heights to evaluate breakthroughs are denoted with h and their respective height (in [cm]). The depth of the fracture is denoted with d. h is measured from the bottom while the depth is measured from the gas-water interface. The aperture is denoted with a. χ represents a variable to parameterize depth.

244	Further conceptual assumptions are the following:
245	• The generic fracture is conceptualized as a cuboid and the dimension in the di-
246	rection of the aperture is discretized.
247	• Wall roughness of the fractures is neglected.
248	• It is assumed that the fracture is water-filled. This implies that the primary fluid
249	within the void space of the fracture is water, and no other phase is present in its
250	interior. Furthermore, the diffusion is modelled by using an approach for molec-
251	ular diffusion in the aqueous phase. For karstic systems, this assumption is rather
252	standard and allows even for large void spaces such as conduits (Hartmann et al.,
253	2014). For CCS-systems, fractures with an aperture of up to 1 mm have been re-
254	ported (Iding & Ringrose, 2010).
255	• Aquatic chemistry is not taken into account. In particular this assumption is rec-
256	ommended to study in future research, since the concentration of dissolved car-
257	bon in water is significantly influenced by the pH level, and further chemical re-
258	actions happen at the interface between water and the rock-matrix (Pankow, James
259	F., 2022; Appelo & Postma, 2010; W. M. White, 2013).
260	2.2 Governing Equations

For the numerical simulations, we solve the following set of equations.

Conservation of Momentum

Dependent on the properties of the individual fracture scenario and the corresponding flow regime, basically three equations can be considered for the conservation of momentum. In De Paoli et al. (2020), a definition of three characteristic regimes is given. For fractures of aperture size << 1 mm, a quasi-Darcy approach with a parallel-plate model is possible, with the permeability calculated from the fracture aperture as

$$k = \frac{a^2}{12} \,. \tag{2}$$

The equation for the momentum conservation is then expressed using Darcy's law.

$$\mathbf{u} = -\frac{k}{\mu} \left(\nabla p + \rho \mathbf{g}\right) \tag{3}$$

For fractures of apertures >> 1 mm, the conservation of momentum is modelled using the 3-D Navier-Stokes equations and the Boussinesq approximation, $(.)_0$ refers to the initial state.

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u} = -\frac{1}{\rho_0}\nabla(p - \rho_0 \mathbf{g} \cdot \mathbf{z}) + \nu\nabla^2 \mathbf{u} - \mathbf{g}\gamma(x_{\rm CO_2} - x_{\rm CO_2,0})$$
(4)

where:

$$\gamma = -\left(\frac{1}{\rho}\frac{\partial\rho}{\partial x_{\rm CO_2}}\right)\bigg|_0\tag{5}$$

In between those clearly distinguished regions, there is a region where a quasi-3D, i.e. effectively a 2-D Navier-Stokes model is extended by a drag term to account for nonslip conditions at the fracture walls (Class et al., 2020).

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u} = -\frac{1}{\rho_0} \nabla (p - \rho_0 \mathbf{g} \cdot \mathbf{z}) + \nu \nabla^2 \mathbf{u} - \mathbf{g} \gamma (x_{\text{CO}_2} - x_{\text{CO}_2,0}) - c \frac{\nu}{a^2} \mathbf{u}$$

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Mass Conservation of the Aqueous Phase

The conservation of mass of the phase is given by

$$\nabla \cdot \mathbf{u} = 0. \tag{6}$$

²⁶⁷ Changes in density are only considered in the momentum equation.

268

Mass Conservation of Dissolved CO_2

The transport of CO_2 in the aqueous phase is described by

$$\frac{\partial x_{\rm CO_2}}{\partial t} + \nabla \cdot \left(\boldsymbol{u} \cdot x_{\rm CO_2} - D_{\rm CO_2} \cdot \nabla x_{\rm CO_2} \right) = 0.$$
⁽⁷⁾

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2.3 Non-dimensionalization and Dimensionless Numbers

Derived effective quantities from the CCS-related literature heavily relied on nondimensionalization and dimensionless numbers, most importantly the Rayleigh number (Ennis-King, 2005; Hesse, 2008), typically written in the following form:

$$Ra = \frac{k_z \, g \, \Delta \rho \, L_c}{\mu \, D} \,. \tag{8}$$

Darcy's law can be used to calculate a characteristic vertical velocity, u_c due to the characteristic driving force for the fingers, $\Delta \rho$, and the resistance to that in terms of viscous effects, dominated by the vertical permeability, k_z . Then, u_c is obtained as

$$u_c = \frac{k_z \, g \, \Delta \rho}{\mu} = \frac{a^2 \Delta \rho g}{12\mu} \tag{9}$$

and the Rayleigh number accordingly

$$Ra = \frac{u_c L_c}{D}, \qquad (10)$$

which shows that this definition of Ra suggests in fact an interpretation as a Péclet number, i.e., convection versus diffusion. This definition also arises when Equation (7) is nondimensionalized, using the standard approach that a dimensional quantity can be described with a characteristic dimensional quantity and a dimensionless quantity. For instance $t = t_c \cdot \hat{t}$ where (.)_c denotes the dimensional characteristic quantity and $\widehat{(.)}$ denotes the dimensionless quantity. Applying this procedure to Equation (7) yields similar to Hesse (2008):

$$\frac{x_{\text{CO}_2,c}}{t_c}\frac{\partial \hat{x}_{CO_2}}{\partial \hat{t}} + \frac{u_c x_{\text{CO}_2,c}}{L_c}\widehat{\nabla} \cdot \left(\widehat{\boldsymbol{u}} \cdot \widehat{x}_{CO_2}\right) - \frac{x_{\text{CO}_2,c}}{L_c^2}\widehat{\nabla} \cdot \left(D_{\text{CO}_2} \cdot \widehat{\nabla} \widehat{x}_{CO_2}\right) = 0$$
(11)

Choosing $t_c = L_c/u_c$ and dividing by $u_c x_{CO_2,c}/L_c$, results in:

$$\frac{\partial \hat{x}_{CO_2}}{\partial \hat{t}} + \hat{\nabla} \cdot \left(\hat{\boldsymbol{u}} \cdot \hat{x}_{CO_2} - \frac{D_{CO_2}}{u_c L_c} \cdot \hat{\nabla} \hat{x}_{CO_2} \right) = 0$$
$$\frac{\partial \hat{x}_{CO_2}}{\partial \hat{t}} + \hat{\nabla} \cdot \left(\hat{\boldsymbol{u}} \cdot \hat{x}_{CO_2} - \frac{1}{Ra} \cdot \hat{\nabla} \hat{x}_{CO_2} \right) = 0$$
(12)

The interpretation of the Rayleigh number in this study will follow the same understanding. During the fingering regime it is interpreted as the ratio between convective and diffusive fluxes of CO_2 , exactly as the Péclet number is interpreted. Hence, it is hypothesized that if one can reliably estimate the characteristic velocity of the fingers, this should also allow for estimating the flux rate of CO_2 during the fingering regime.

Studies outside the field of porous media use a different definition of the Rayleigh number. It arises from non-dimensionalizing the Navier-Stokes equations, including the Boussinesq approximation. Expanding the vector notation of Equation (4) into each dimension and assuming that gravity acts in the y-direction results in the following set of equations:

$$\rho_0 \left(\frac{\partial u}{\partial t} + \frac{\partial uu}{\partial x} + \frac{\partial vu}{\partial y} + \frac{\partial wu}{\partial z} \right) = -\frac{\partial p}{\partial x} + \mu \left(\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2} \right)$$
(13)

$$\rho_0 \left(\frac{\partial v}{\partial t} + \frac{\partial uv}{\partial x} + \frac{\partial vv}{\partial y} + \frac{\partial wv}{\partial z} \right) = -\frac{\partial p + \rho_0 gy}{\partial y} + \mu \left(\frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} + \frac{\partial^2 v}{\partial z^2} \right) + \rho_0 g \gamma \Delta x_{\rm CO_2}$$
(14)

$$\rho_0 \left(\frac{\partial w}{\partial t} + \frac{\partial uw}{\partial x} + \frac{\partial vw}{\partial y} + \frac{\partial ww}{\partial z} \right) = -\frac{\partial p}{\partial z} + \mu \left(\frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial y^2} + \frac{\partial^2 w}{\partial z^2} \right)$$
(15)

The same procedure shown for the derivation of Equation (12) is used for the nondimensionalisation of the momentum balance in the direction of gravity (here, Equation (14), for further details it is referred to Appendix A), yielding :

$$\frac{\partial \hat{v}}{\partial \hat{t}} + \frac{\partial \hat{u}\hat{v}}{\partial \hat{x}} + \frac{\partial \hat{v}\hat{v}}{\partial \hat{y}} + \frac{\partial \hat{w}\hat{v}}{\partial \hat{z}} = \frac{\partial \hat{p}}{\partial \hat{y}} + \underbrace{\frac{\mu}{\rho_0 L_c v_c}}_{I} \left(\frac{\partial^2 \hat{v}}{\partial \hat{x}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{y}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{z}^2} \right) + \underbrace{\frac{g\gamma \Delta x_{\rm CO_2} L_c}{v_c^2}}_{II} \hat{x}_{TIC} \tag{16}$$

Choosing $u_c = D/L_c$, yields for the terms I and II:

$$I: \frac{\mu}{\rho_0 L_c u_c} = \frac{\mu}{\rho_0 D} = \frac{\nu}{D} = Sc$$
$$II: \frac{g\gamma \Delta x_{\text{CO}_2} L_c}{u_c^2} = \frac{g\gamma \Delta x_{\text{CO}_2} L_c^3}{D^2} = \frac{g\rho_0 \gamma \Delta x_{\text{CO}_2} L_c^3}{D\mu} = Ra \cdot Sc$$
(17)

This results in the following definition of the Rayleigh number:

$$Ra = \frac{g\Delta\rho L_c^3}{D\mu}.$$
(18)

In the derivation above, the definition of the Rayleigh number arises from the momen-275 tum equation and has a different physical meaning than the one used in the porous-media 276 context. Regarding similitude, the two different approaches for the Rayleigh number are 277 not straightforwardly transferable. We have shown above that there are three distinct 278 regimes, i.e., the Darcy regime as the one extreme, the 3-D free-flow regime as the other 279 extreme, and the transitioning between them. Accordingly, the momentum equation has 280 to be chosen, and its corresponding non-dimensionalization results in the two different 281 Rayleigh-number approaches. For this study, we choose to use the approach derived from 282 porous-media (Darcy) context, while being well aware that this is not perfect for the en-283 tire range of flow regimes. 284

It is known from the above-referenced porous-media literature that this definition of the Rayleigh number resembling a Péclet number showed reliable results in the pursuit of deriving effective quantities. As already mentioned, we choose the finger-front velocity as the characteristic velocity, u_c .

2.4 Prandtl-Blasius Boundary Layer

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The finger-front velocity is determined by monitoring breakthroughs of the CO_2 concentration at various depths, also referred to as control heights (see Figure 1). However, this approach of detecting breakthroughs poses another challenge. Dependent on apertures and velocities, the development of the Prandtl-Blasius boundary layer has different impacts. Commonly, the Prandtl-Blasius boundary layer for laminar flow is described in the following form:

$$\frac{\delta}{x} = \frac{5.0}{Re_x^{1/2}}\tag{19}$$

 δ is the boundary layer thickness, while x is the distance from the origin of the boundary layer development into the direction of the flow. Accordingly, Re_x is obtained with x as the characteristic length. In the case of our idealized fracture (see Figure 1) during natural convection, there are two boundary layers evolving, i.e., one from each nonslip boundary. Furthermore, to avoid confusion, χ was introduced to represent the distance to the gas-water interface, i.e., to parameterize water depth. For a visualization see Figure 1. Hence, x in Equation (19) is χ in the following.



Figure 2: Development of the Prandtl-Blasius boundary layers visualized for the uppermost 10 cm. Apertures are from left to right: 1 m, 0.1 m, and 0.01 m. For u_{FF} a velocity of 1.8 cm/min. The profile will look different for different velocities.

Figure 2 shows the qualitative development of boundary layers in the schematic parallel-plate fracture; the boundary layers merge after a certain time and length into a fully developed flow profile in the cross section if the fracture has enough vertical depth relative to the given flow velocity, u_{FF} , and aperture, a. For small apertures and/or high velocities the two boundary layers tend to merge faster. It is, thus, evident that an evaluation of the finger-front velocity is dependent on the development of the boundary layer if the flow profile within the fractures is not yet fully developed. The boundary layer is caused by viscous effects that tend to slow down the finger-front velocity. Now recall that we intend to employ the finger-front velocity as a measure to relate eventually to the estimate of the CO_2 influx into the fracture by convective dissolution, i.e., we want to multiply the finger-front velocity with the cross-sectional area. Before the boundary layers merge, i.e. for higher control heights (see Figure 1), the finger-front velocity is not yet, or at least less affected by the boundary layer, while the cross-sectional area, where the total effective CO_2 flux occurs, is already affected by the viscous effects. In other words, there is no cross-sectional area defined solely by the aperture where CO_2 is transported with the proposed finger-front velocity. Yet, for obtaining flux as the product of crosssectional area times finger-front velocity, a proper definition of the area is required, since the boundary layer affects how representative a finger-front velocity is for the entire cross section. This issue is more likely to be relevant for fractures occurring in karstic systems, since smaller fractures have a fully developed boundary layer almost immediately. To illustrate this, we refer to the exemplary fracture of Figure 2, where this would translate to 1 cm or smaller. It is therefore proposed to take into account how much of the fracture's cross-sectional area in a certain depth is not (yet) within the boundary layer. This is achieved by introducing a corrected aperture, a^* :

$$a^{\star} = \begin{cases} 0, & \text{for } a \le 2\delta \\ a - 2\delta, & \text{for } a > 2\delta \end{cases}$$
(20)

²⁹⁷ 3 Numerical Simulations

Numerical simulations of convective dissolution scenarios in the schematic single 298 fracture were carried out in order to generate the data for subsequent interpretation with 299 regard to dimensionless quantities. This section provides information and explanations 300 regarding the applied and adapted OpenFOAM simulator. The section further introduces 301 the individual scenarios, which are categorized as (i) karstic and (ii) CCS. This catego-302 rization helps to link the scenarios to realistic fields of application and explains the range 303 of values used for fracture apertures and CO_2 concentrations. We keep in mind that karstic systems typically have much lower CO_2 concentrations than CCS systems, while the aper-305 tures in karst are much higher than in geological reservoirs for CCS. 306

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3.1 The OpenFOAM Simulator

In this study, the OpenFOAM (v22.12) computational fluid dynamics (CFD) toolkit was used to simulate fluid flow and solute transport, in particular the BoussinesqPimpleFoam solver. To improve the accuracy of the model and to ensure physical relevance, a convergence criterion based on the relative shift of the total moles was implemented. The code can be seen and run using a Docker image (Keim & Class, 2024a).

313 **3.2** Computing Infrastructure

The Simulations were conducted on the Experimental Compute Cluster of the EXC 2075 Stuttgart Center for Simulation Science (SimTech), University of Stuttgart. The most resource-demanding simulation in our study was conducted on two fully occupied nodes. Each node consists of 128 cores (2x 64 core, AMD EPYC 7702) with 2 TB RAM. Simulating 320 s (simulated time) required 5 days computation time on the cluster. However, note that the load for a single-fracture simulation highly depends on the fracture and it's respective mesh. Other simulation runs were much less demanding, being able to simulate 5,000 s on half a node in less than 5 days. Unfortunately, the smaller the aperture the higher the computational cost due to restrictions in the aspect ratio and a minimum amount of degrees of freedom in the direction of the aperture width.

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3.3 Scenarios Related to Karstic Systems

To investigate the influence of open fractures in karstic systems, a coarse screening of the orders of magnitude was performed, i.e., concentrations ranged from 1×10^{-03} to 1×10^{-05} [mol/mol], while the aperture ranged from 1×10^{-03} m to 1×10^{-01} m. In a second step, a refined screening was carried out for a fracture of 1 cm in order to identify the concentration at which fingering does not initiate because of too much viscous resistance.

It is known that fractures with apertures significantly smaller than 1 mm can be 331 represented using the Darcy approximation (De Paoli et al., 2020). It was decided that 332 the smallest relevant fracture in this study is one with an aperture of 1 mm. As can be 333 seen in Class et al. (2023), a seasonal variation in the aqueous CO_2 concentration of $1 \times$ 334 10^{-04} [mol/mol] is common in the field. Therefore, this value was chosen as the refer-335 ence for the karst-related systems of this study. 8 $^{\circ}C$ is a typical temperature found, for 336 example, in the caves of the Swabian Jura (southern Germany). However, we also sim-337 ulated scenarios at 20 $^{\circ}C$ to represent potential tropical karst systems. To our knowl-338 edge, there is no publicly available dataset describing seasonal CO₂ concentrations in trop-339 ical caves, so we decided to use the same representative values as found in the Swabian 340 Jura. We note that assuming the same aqueous CO_2 concentration at different temper-341 atures does not imply that the corresponding air CO_2 concentration is the same, due to 342 the temperature dependence of the Henry coefficient. 343

A summary of all simulations conducted for the karstic systems can be found in Table 1

Scenario	Temperature	Aperture	Concentration
	$[^{\circ}C]$	[m]	$\left[\frac{\mathrm{mol}}{\mathrm{mol}}\right]$
Ι	8	1×10^{-3}	1×10^{-5}
II	8	1×10^{-3}	1×10^{-4}
III	8	1×10^{-3}	1×10^{-3}
IV	8	1×10^{-2}	1×10^{-5}
V	8	1×10^{-2}	8×10^{-6}
VI	8	1×10^{-2}	1×10^{-4}
VII	8	1×10^{-2}	2×10^{-5}
VIII	8	1×10^{-2}	1×10^{-3}
IX	8	1×10^{-1}	1×10^{-5}
Х	8	1×10^{-1}	8×10^{-6}
XI	8	1×10^{-1}	1×10^{-4}
XII	8	1×10^{-1}	2×10^{-5}
XIII	8	1×10^{-1}	1×10^{-3}
XIV	20	1×10^{-3}	1×10^{-5}
XV	20	1×10^{-3}	1×10^{-4}
XVI	20	1×10^{-3}	1×10^{-3}
XVII	20	1×10^{-2}	1×10^{-5}
XVIII	20	1×10^{-2}	8×10^{-6}
XIX	20	1×10^{-2}	1×10^{-4}
XX	20	1×10^{-2}	2×10^{-5}
XXI	20	1×10^{-2}	1×10^{-3}
XXII	20	1×10^{-1}	1×10^{-5}
XXIII	20	1×10^{-1}	8×10^{-6}
XXIV	20	1×10^{-1}	1×10^{-4}
XXV	20	1×10^{-1}	2×10^{-5}
XXVI	20	1×10^{-1}	1×10^{-3}

Table 1: Karst-related scenarios and chosen parameter variations

3.4 Scenarios Related to CCS Systems

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The other end of the range in terms of CO_2 concentrations is represented in the 347 CCS-related scenarios, which were motivated by the scenarios investigated by Kopp et 348 al. (2009). Since the concentration of CO_2 is governed by the solubility limit of CO_2 (de-349 termined after Duan and Sun (2003)), the conditions in terms of pressure and temper-350 ature are determined by the location and properties of the aquifer's environment. The 351 letters D, C, and S represent, accordingly, a deep, cold, and shallow aquifer. The cor-352 responding fluid properties are shown in Table 5. It was decided to only model a 1 mm 353 fracture aperture, since everything beyond that seems rather unrealistic and everything 354 far below can be modelled using porous-media equations. 355

Aperture	Concentration
[m]	$\left[\frac{\mathrm{mol}}{\mathrm{mol}}\right]$
1×10^{-3}	0.034
1×10^{-3}	0.038
1×10^{-3}	0.039
	$\begin{array}{c} \textbf{Aperture} \\ [m] \\ \hline 1 \times 10^{-3} \\ 1 \times 10^{-3} \\ 1 \times 10^{-3} \end{array}$

Table 2: CCS-related scenarios and their parameter variations

3.5 Fluid Properties

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Values of the fluid properties used in the karstic study are listed in Table 3 and are calculated using the following models; reference density (Wagner & Pruß, 2002; "IAPWS Industrial Formulation 1997 for the Thermodynamic Properties of Water and Steam", 2008), viscosity (Kestin et al., 1978), molecular diffusion coefficient of CO_2 (Unver & Himmelblau, 1964) and the γ -parameter to describe the density dependence of CO_2 is derived after Garcia (2001).

For the CCS scenarios the fluid propertires are summarized in Table 5. Due to the effects of salinity different consitutive relations are used; solubility of CO₂ (Duan & Sun, 2003); reference density (Yan et al., 2011; Phillips et al., 1981), viscosity ("IAPWS Industrial Formulation 1997 for the Thermodynamic Properties of Water and Steam", 2008; Phillips et al., 1981), molecular diffusion coefficient of CO₂ (Omrani et al., 2022) and the γ -parameter is again derived after Garcia (2001).

To derive γ , the reference state is equal to the initial condition of a simulation run 369 i.e., a CO_2 concentration of zero. This is then used to calculate the fluid density at these 370 reference conditions. After that, the fluid density is determined for an assumed occur-371 rence of the peak CO_2 concentration within the system of interest. In the karstic set-372 ting, this is the aqueous CO_2 concentration in correspondence to a seasonally elevated 373 gaseous CO_2 concentration inside a cave, or, in the CCS setting, it is the solubility of 374 CO_2 under reservoir conditions. Finally, a linearization of the density values between the 375 two determined points is conducted. The resulting value is normalized by dividing it by 376 the reference density from the previous evaluation. 377

Temperature	Pressure	Reference Density	Viscosity	Diffusion Coefficient CO_2	γ
[°C]	[MPa]	$\left[\frac{\mathrm{kg}}{\mathrm{m}^3}\right]$	$\left[\frac{\text{kg}}{\text{m s}}\right]$	$\left[\frac{m^2}{s}\right]$	
8	0.1	999.85	1.39×10^{-03}	1.18×10^{-09}	0.4
20	0.1	998.20	1.00×10^{-03}	1.60×10^{-09}	0.4

Table 3: Fluid properties used in the karstic settings

Scenario	Temperature	Pressure	Salinity
	[°C]	[mPa]	$\left[\frac{\mathrm{mol}}{\mathrm{kg}}\right]$
S	55	15.5	1
\mathbf{C}	37.5	15.5	1
D	115	35.5	1

Table 4: Geological scenarios for CCS

Table 5: Fluid properties used in the CCS-Systems

Scenario	Reference Density	Viscosity	Diffusion-Coefficient CO_2	γ
	$\left[rac{\mathrm{kg}}{\mathrm{m}^3} ight]$	$\left[\frac{\mathrm{kg}}{\mathrm{m \ s}}\right]$	$\left[\frac{m^2}{s}\right]$	
S	1025.96	0.56×10^{-03}	3.53×10^{-09}	0.47
С	1037.39	0.76×10^{-03}	2.47×10^{-09}	0.42
D	996.46	0.28×10^{-03}	7.14×10^{-09}	0.43

3.6 Validation of the OpenFOAM Model and Lessons Learned from It

Before using the OpenFOAM numerical simulator for generating the data for this 379 study, the specifically modified model, including the model assumptions, the discretiza-380 tion scheme, the setting and choice of fluid properties, was validated. For that purpose, 381 the experimental data from Class et al. (2020) were used. In that study, a fracture of 382 1 cm aperture was subjected to varying partial pressures, pCO_2 , at 8 °C. For the sim-383 ulation runs, the Courant criterion (CFL number) was kept below 1. The grid is a sim-384 ple regular quadratic grid with 1 mm discretization length. The front velocities measured 385 in the experiment and determined by the OpenFOAM runs were compared with the re-386 sults provided by Table 6. Given the uncertainties that are also associated with the ex-387 perimental data (Class et al., 2020), the agreement between simulation and experiment 388 is very reasonable. 389

Experiences from performing the validation runs led to specifications and accuracy criteria for the simulation of the above-explained karst and CCS scenarios. A minimum of 10 cells is needed in the direction of the aperture, while the length of the cell should not exceed 1 mm. The Courant number was kept below 1. For very small apertures, holding on to regular quadratic grid while having 10 cells in a cross-section, would dramatically increase the computational costs. For that reason we chose to allow for aspect ratios of up to 3 in the case of the smallest aperature of 1 mm.

³⁹⁷ For detailed numerical settings, see Appendix B.

³⁹⁸ 4 Results and Discussion

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4.1 Dimensionless Fluxes Obtained from Numerical Scenario Simulations

For a first evaluation and interpretation of the results of the numerical scenario simulations, the classical approach from the CCS-related porous-media literature on convective dissolution is used, where fluxes are non-dimensionalized to retrieve a flux effi-

Case	Concentration	Method	Finger-Front Velocity
	$\left[\frac{mol}{mol} ight]$		$\left[\frac{cm}{min}\right]$
V_I	1×10^{-3}	Experiment Simulation	1.33 1.69
V_{II}	5×10^{-4}	Experiment Simulation	$\begin{array}{c} 0.84\\ 1.13\end{array}$

Table 6: Comparison of finger-front velocities from validation simulations to experimental data.

ciency $\langle F \rangle$ (for interpretation see Equation (1)), using a unique and constant value for 404 the characteristic velocity (detailed procedure is described in Appendix C). This approach 405 allows for a simplified analysis of the results by scaling fluxes with a finger-front veloc-406 ity that was evaluated for all fractures at the same reference control height, here h_{30} . The 407 analysis is subdivided below into three categories, each corresponding to a distinct tem-408 perature regime: 8 °C, 20 °C, and typical reservoir conditions for CCS. For each cate-409 gory, the curves of the flux efficiency over dimensionless time τ ($\tau = t \frac{v_c}{d}$ with v_c be-410 ing the finger-front velocity evaluated at control height h_{30}) are analyzed. The details 411 of the non-dimensionalization procedure for the calculated fluxes can be found in Ap-412 pendix C2. 413

414

4.1.1 Karstic-System

Two of the temperature categories are related to the karstic systems. Without specific evidence, we label the temperature of 20°C as related to more tropical karst systems, while we have good data to associate the 8°C regime with karstic systems of the Swabian Jura in southern Germany (Class et al., 2023).

The semi-logarithmic plot for 20°C in Figure 3 shows a distinct clustering related 419 to the different apertures. The flux efficiency for the fractures with an aperture of $1 \times$ 420 10^{-01} m is consistently lower with $\langle F \rangle < 1 \times 10^{-2}$. In contrast, the fractures with 1×10^{-1} 421 10^{-02} m aperture end up far above that value with $\langle F \rangle > 2 \times 10^{-02}$. Furthermore, a 422 more rapid stabilization of the flux efficiency, $\langle F \rangle$, can be observed for the small aper-423 ture of 1×10^{-02} m, which is distinctly different for the large apertures. The period, 424 when quasi-equilibrium is then established, is still featuring minor oscillations for the large 425 apertures. Even more pronounced are the observed oscillations for the small aperture 426 during the equilibrium period. The double-logarithmic plot in the figure's inset zooms 427 into the transient flux behavior in the initial phase, where small, sharp spikes suggest 428 the observed occurrence of first instabilities. This indicates that the onset in dimension-429 less time (τ_o) is $\approx 1 \times 10^{-02}$ for the small apertures and $\approx 1 \times 10^{-01}$ for the larger 430 apertures. 431



Figure 3: Semi-logarithmic plot of characteristic flux $\langle F \rangle$ as a function of dimensionless time τ for a series of applied CO₂ concentrations x and apertures a in karst settings at a constant temperature of 20 °C. The inset is a double-logarithmic plot offering a detailed view of the initial phase illustrating the changes in flux at the onset of the process. It can be observed that all curves tend to reach a constant $\langle F \rangle$, while this occurs not at the same dimensionless time and at the same magnitude.

For a temperature of 8° C, the semi-logarithmic plot in Figure 4 shows again a pro-432 nounced clustering according to the fracture apertures, similar to the observations at 20° C. 433 The flux efficiency for fractures with an aperture of 0.01 m stabilizes more swiftly, achiev-434 ing a higher final value with $\langle F \rangle$ between $\approx 5 \times 10^{-02}$ and $\approx 3 \times 10^{-02}$. In contrast, 435 for the larger apertures, $\langle F \rangle$ ranges from approximately 1×10^{-02} to 6×10^{-03} . Dur-436 ing the equilibrium period, larger apertures exhibit minor oscillations. On the other hand, 437 the smaller 0.01 m aperture shows significantly larger oscillations, suggesting that ad-438 justments are less easily occurring due to the smaller aperture size and larger viscous 439 resistance. Similar to the findings for 20 °C , small, sharp peaks suggest the observed 440 occurrence of first instabilities. The onset in dimensionless time (τ_o) is as before $\approx 1 \times$ 441 10^{-02} for the small apertures and 1×10^{-01} for the larger openings. Note that this does 442 not mean that the physical (dimensional) onset occurs earlier for smaller fractures. 443



Figure 4: Semi-logarithmic plot of characteristic flux $\langle F \rangle$ as a function of dimensionless time τ for a series of applied CO₂ concentrations x and apertures a in karst settings at a constant temperature of 8 °C. The inset is a double-logarithmic plot offering a detailed view of the initial phase illustrating the changes in flux at the onset of the process. Observations are in analogy to Fig. 3.

4.1.2 CCS-System

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The semi-logarithmic plot in Figure 5 illustrates the flux efficiency, $\langle F \rangle$, for the three 445 simulated scenarios, labeled with S, C, and D. A discernible difference in the stabiliza-446 tion of $\langle F \rangle$ is observed among the scenarios. In Scenario S, the flux stabilizes at a higher 447 value of approximately 4×10^{-2} , whereas Scenarios C and D converge to a slightly lower 448 value near 3×10^{-2} . The equilibrium period for Scenario S is characterized by pronounced 449 oscillations, reflective of significant flux fluctuations. In contrast, Scenarios C and D ex-450 hibit more subdued oscillations. The inset's double-logarithmic scale provides a detailed 451 view of the initial transient behaviors, with the marked fluctuations in Scenario S po-452 tentially indicating the early onset of instabilities. This variation in the initial flux be-453 havior suggests that the scenarios may differ in their respective timings for the devel-454 opment of instability and subsequent flux adjustments. 455



Figure 5: Semi-logarithmic plot of characteristic flux $\langle F \rangle$ as a function of dimensionless time τ for various CCS scenarios labeled S, D, and C, which represent different ambient conditions or temperature settings. The inset is a double-logarithmic plot highlighting the early-time flux behavior in detail, illustrating the distinct response for each scenario. A constant flux is observed for all cases at very early dimensionless time, while fluctuations increase distinctly after $\tau = 1 \times 10^{-02}$ and 1×10^{-01}

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4.1.3 Preliminary Summarized Interpretation of Simulation Results

The results of the numerical scenario simulations described in Table 1 and Table 2 457 highlights the complex interplay between concentration, aperture, and temperature and 458 their influence on flux efficiency, $\langle F \rangle$, over τ . Simulations with a 1 mm aperture did not 459 exhibit fingering under karstic conditions, which is evidence that a threshold aperture 460 exists for this phenomenon to occur. The rest of the simulations with fingering are shown 461 in Figures 3 to 5 and show an initial diffusion-dominated regime with a rapid influx fol-462 lowed by a steady decrease due to the thickening of the diffusion layer at the gas-water 463 interface and a corresponding decrease in the concentration gradient. 464

As the simulations progress, the onset of fingering or natural convection is observed 465 at varying times across the different scenarios. Spikes in the flux are indicative of the 466 commencement of fingering. Notably, the steady-state values observed do not align with 467 the flux-efficiency values reported in the literature of $\langle F \rangle \approx 1 \times 10^{-01}$ to 1×10^{-02} 468 (De Paoli et al., 2020), $\langle F \rangle \approx 1.7 \times 10^{-02}$ (Hesse, 2008; Green & Ennis-King, 2018), 469 or $\langle F \rangle \approx 2 \times 10^{-02}$ (Elenius & Johannsen, 2012). Simulations with apertures of 1 cm 470 and smaller tend to a slightly higher flux efficiency, while simulations with 10 cm aper-471 ture tend to a lower flux efficiency. It is, however, not surprising that the literature val-472 ues cannot be reproduced more accurately, since the chosen characteristic velocity used 473 for scaling is defined differently. In porous-media research, a Darcy-velocity is calculated, 474 while we evaluate a finger-front velocity from a Navier-Stokes model at a given control 475 height. We remark further that the choice of a unique control height implies that the 476

real finger-front velocities are in general not equal to this calculated velocity. Further-477 more, a Darcy-velocity is a continuity-based average velocity, while a finger-front veloc-478 ity is not averaged. In comparison to De Paoli et al. (2020), where the 1 mm aperture 479 shows already a distinctly different flux efficiency, here the main difference is encountered between 1 and 10 cm aperture. This could have several reasons; one is that the use of 481 a Darcy-velocity in De Paoli et al. (2020) can lead to that effect; second, there might be 482 another jump in efficiency in aperture sizes not studied in this study. Irrespective of the 483 difficulty in comparing the results here with the literature, the main conclusions remain: 484 smaller fractures do have higher fluctuations during the fingering regime, and fractures 485 with an aperture of 10 cm show a completely different flux efficiency. Furthermore, the 486 amount of oscillation seems to follow a pattern: the greater the driving force, i.e. the con-187 centration and hence the density difference, the smaller the oscillations; the greater the 488 viscous forces resisting the detachment of the fingers, the larger the oscillations. The in-489 terpretation is that the easier it is for a finger to detach, given the driving force and the 490 resisting force, the smaller the oscillations will be due to a more continuous process. 491

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4.1.4 Notes on the Comparison of Onset Times

Finding the onset time proved to be a challenge. In comparison to CCS-related studies, we could not find an equally distinct minimum in the CO₂ flux that would indicate the transition from the diffusive to the convective regime. Visual inspection showed that apertures smaller than 1 cm have their first distinct spike at around $\tau \approx 1 \times 10^{-02}$, while apertures of 10 cm have their first spike in the order of $\tau \approx 1 \times 10^{-02}$ to 1×10^{-01} . For instance, Hesse (2008) found a relationship in the context of CCS using porous-media flow equations.

$$\tau_o = 6215 \frac{\phi \mu^{11/5} D^{6/5}}{\left(k \Delta \rho g\right)^{11/5} H^{1/5}} = 6215 \frac{\phi \mu^{11/5} D^{6/5}}{\left(\frac{a^2}{12} \Delta \rho g\right)^{11/5} H^{1/5}}$$
(21)

One could now compare both the estimated onset times from our study to non-dimensional 493 onset time τ_o from the literature. Such a comparison is, however, not very useful since 101 the definition of u_c to scale the non-dimensional time is different. Still, the physical/di-495 mensional onset times in seconds can be compared to other studies. The order of onset 496 time found in this study is 10 s. In comparison, Elenius and Johannsen (2012) found on-497 set times between 40 days and 700 years. Ennis-King (2005) reported values as low as 498 0.0026 years, i.e., ≈ 1 day. In conclusion, for predicting effective entry rates into a frac-499 ture we recommend to neglect the onset time. For a fractured CCS reservoir, the mass 500 of CO_2 transported by convective mixing within fractures is probably not significant. Nonethe-501 less, it might be worth to scrutinize whether a quick perturbation caused by induced in-502 stabilities in fractures could lead to an earlier larger scale convective mixing in a CO_2 503 storage reservoir. 504

505

4.2 Flux, Flux Efficiency and 3-Dimensional Effects

Having identified the aperture as the dominant factor influencing the temporal evolution and the final quasi-stationary value of the flux efficiency, $\langle F \rangle$, two exemplary showcases, differing only in aperture, are compared in the following with respect to the evolution of the fingers.

Figure 6 consists of multiple plots arranged to illustrate the flux behavior through 510 two fractures of 10 cm and 1 cm aperture under otherwise identical specific conditions. 511 In the top row, two temporal developments of flux are presented: the left one depicts a 512 fracture of 10 cm aperture at a temperature of $8^{\circ}\mathrm{C}$, having a x_{CO_2} of $1{\times}10^{-04}$ mol/-513 mol at the boundary, while the right one represents the same setting but with a smaller 514 aperture of 1 cm. The analysis of the 10 cm fracture reveals distinct periods in the flux 515 behavior. Initially, signs of instability become apparent after around 200 s, followed by 516 a decrease of the flux until 600 s. A significant peak is observed between 600 and 1200 s, 517

after which the flux then stabilizes, albeit with a slight tendency towards minor fluctuations after 3600 s. In contrast, the 1 cm fracture maintains a quasi-steady flux value already from 200 s onwards, however with a more pronounced noise.

Contour plots corresponding to the 10 cm fracture visually correlate these observations with comprehensive mechanisms. Early stages are characterized by numerous small instabilities that gradually merge into multiple, larger fingers until 600 s, beyond which then a single dominant finger emerges, indicating the attainment of a quasi-stationary flux. Conversely, the contour plots for the 1 cm fracture reveal a persistent parabolic profile throughout, with no evidence of convergence towards a singular finger forming.

Merging of fingers is not only discovered in our 3-D simulations. Also Elenius and Johannsen (2012) found merging fingers and even reported that 'During the time that fingers merge, the vertical movement seems to be restricted in favor of the horizontal movement' ((Elenius & Johannsen, 2012), Figure 7). This is in agreement with the findings elaborated in this sectionr, i.e., the number of fingers completely changes the dynamics of the system.

In analyzing the flux efficiency, $\langle F \rangle$, it becomes evident that fractures maintain-533 ing a parabolic profile exhibit significantly higher flux efficiency compared to those show-534 ing 3-D effects. Remarkably, the smaller apertures even surpassed the larger apertures 535 when it comes to actual flux of CO_2 per area and time. This shows that the difference 536 in flux efficiency is so significant that a smaller resistance against flow, i.e., larger aper-537 ture, cannot balance this phenomenon. Furthermore, fractures with 3-D features pose 538 considerable challenges for predictions due to the complexity introduced by the merg-539 ing of fingers. This formation process consists of several stages, each of which must be 540 captured in any predictive model to reflect the evolving dynamics of the flow; alterna-541 tively the merging could be ignored and only the final $\langle F \rangle$ considered, while keeping in 542 mind that this will cause an inaccuracy in the prediction for the early stages of finger-543 ing. The results of this study could not reveal details about the transitioning from smaller 544 fractures with a parabolic profile to larger fractures with 3-D effects, whether this oc-545 curs continuously or rather as jumps in terms of the flux efficiency. More numerical ex-546 periments are required, which is beyond the scope of this study. 547

4.3 Rayleigh-Number Invariance of Flux Efficiency

In Section 2.3, it was shown that the adopted definition of the Rayleigh number can be interpreted as being of the Péclet-number type. As elaborated before, the literature provides evidence that $\langle F \rangle$ is Rayleigh invariant in CCS reservoirs. Recalling once again their definitions,

$$\langle F \rangle = \frac{1}{u_c \rho x_{\rm CO_2,c}}$$

and

548

$$Ra = \frac{u_c L_c}{D} \,,$$

it follows that F is a linear function of u_c and independent of D and L_c in porous-media 549 CCS reservoirs; in other words, the larger u_c , the larger is the advective flux. Previous 550 results (Sections 4.1 and 4.2), however, revealed a more nuanced picture. While each frac-551 ture at some point reaches a constant flux efficiency, their respective values differ from 552 case to case. The diffusion coefficient D is a given material property. Note that depth 553 d, which is commonly used for L_c in porous-media research was held constant there. Let 554 us now question this assumption and try to identify an appropriate definition of the char-555 acteristic length. 556

We observed previously that depending on 3-D effects, a constant flux efficiency establishes only after the merging of fingers is complete, i.e., after a certain distance from the gas-water interface (parameter χ in Figure 1). We hypothesize now that the char-



acteristic length is the length where the flux efficiency is fully developed. It is further remarked that the reason for the different characteristic lengths is the development of a boundary layer (for theory see Section 2.4). Using the Schmidt number,

$$Sc = \frac{D}{\nu}$$
,

allows converting the critical Rayleigh number into a Reynolds number

$$Re = \frac{u_c L_c}{\nu} \,.$$

Using Equation (20) we find that the derivative of the boundary layer with respect to χ is as follows:

$$\frac{\partial a^{\star}}{\partial \chi} = \begin{cases} 0, & \text{for } a \le 2\delta\\ \frac{-5}{Re_{\chi}^{1/2}}, & \text{for } a > 2\delta \end{cases}$$
(22)

The conclusion is that the critical Rayleigh number corresponds to a certain depth at which a posed condition (defined by Ra and Sc) on the change in boundary layer thickness is satisfied. The validity of this idea is analysed below.

For each simulation run, the flux efficiency was determined during various time pe-560 riods using the median flux and the breakthrough velocity between control heights. The 561 results can be seen in Figure 7. Note that this new scaling approach introduces now a 562 non-continuous course of the $\langle F \rangle$ curves due to the non-constant and non-continuous choice 563 of the front-velocity as it is evaluated segment-wise between two control heights, see ex-564 emplary curves in Figures D1 and D2 and compare with the respective continuous curves 565 in Figures 3 to 5 where a constant front-velocity was used. The non-continuity of front-566 velocity and $\langle F \rangle$ with respect to τ is not addressed in the further, while it is also not of 567 importance for our evaluation. 568

Figure 7 presents an analysis of flux efficiency as a function of an evaluated Rayleigh number, where for each calculated data point the characteristic length is defined as the respective depth at which both flux and velocity are evaluated. Based on our observation, we justify to assume for a curve fitting that flux efficiency, $\langle F \rangle$, initially starts with a high value, dominated by diffusion mechanisms at the gas-water interface. $\langle F \rangle$ declines subsequently and approaches an asymptotic value. We can then introduce the following approach to fit the calculated data points with continuous curves:

$$\langle F \rangle (Ra) = \frac{1}{\lambda_{\langle F \rangle} Ra} + \langle F \rangle_{\infty} \tag{23}$$

The relationship between the fitting parameters is illustrated in Figure 8. $\langle F \rangle_\infty$ is the 569 asymptotic (final) efficiency for a (hypothetically) infinitely deep fracture, while $\lambda_{\langle F \rangle}$ is 570 a measure of how fast the efficiency declines. We introduced a criterion to indicate when 571 $\langle F \rangle$ approaches its quasi-constant final value. For that we assumed that 1.2 times the 572 final efficiency value $\langle F \rangle_{\infty}$ is an appropriate measure to demarcate flux stabilization. The 573 value of 1.2 was chosen based on expert judgement without any derivation. The detailed 574 derivation for the black line in Figure 7, denoting $\langle F \rangle_{crit} = f(Ra_{crit})$, is given in Ap-575 pendix Appendix E. Notably, the analysis highlights that the fits for fractures measur-576 ing 10 cm in aperture exhibit a significantly higher critical Rayleigh number alongside 577 a reduced efficiency. This underscores the impact of fracture apertures on the dynam-578 ics of convective fluid flow and its efficiency to transport CO₂, with larger fractures demon-579 strating a distinct behavior characterized by lower efficiency and altered critical thresh-580 olds for flux stabilization. 581



Figure 7: The graph depicts the relationship between the flux efficiency $\langle F \rangle$ and the Rayleigh number, Ra, for different control heights and temperature conditions. The data points are colored based on control heights: 5 cm (blue), 25 cm (green), 30 cm (orange), and 35 cm (red), with further distinction for temperatures at 8°C (blue lines), 20°C (green lines) and CCS-reservoir conditions (red lines). The shade to the right of the line of critical Ra values indicates the region of Rayleigh-invariance for the fitted curves.

The parameter combinations found in the fitting of Equation (23) are shown in Figure 8. The correlation is obvious and underlines that the initial drop of the efficiency, attributed to $\lambda_{\langle F \rangle}$, correlates strongly with the final efficiency, $\langle F \rangle_{\infty}$.



Figure 8: The scatter plot illustrates the results of a curve-fitting approach, using Equation (23). Each data point corresponds to a set of fitted parameters $(\lambda_{\langle F \rangle}, \langle F \rangle_{\infty})$. The relationship between the two was found to fit with $\langle F \rangle_{\infty} = 48.299\lambda_{\langle F \rangle} - 0.9658$. The lower left dots are the results for the large apertures, while the upper right have smaller apertures.

4.4 Predictions for Efficiency and Fluxes

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We have formulated as aim of this study that the evaluation and interpretation of the performed numerical experiments with highly resolved OpenFOAM simulations should enable us finally to estimate CO_2 influx rates due to convective dissolution, admittedly for the beginning only in academically idealized fractures. For this purpose, we propose a procedure as explained in the following.

We have found previously that there is a critical Rayleigh number above which the 591 flux efficiency approaches a constant value or, in other words, above which the flux ef-592 ficiency is Rayleigh-invariant. Recalling Equation (1), it is proposed that this equation 593 holds as soon as the conditions in a fracture of interest surpass the critical Rayleigh num-594 ber. We can assume that the boundary-layer developments are the primary reason for 595 the observed differences in the curves of the flux efficiency plotted over the Rayleigh num-596 ber. In accordance with our definition of the Rayleigh number, we can make use of the 597 Schmidt number to derive a critical Reynolds number which is associated to a condition 598 of the derivative of the Prandtl-Blasius boundary-layer development (Equation (22)). To 599 actually predict the flux rates, it is first of all required to know $\langle F \rangle$ and u_c , as well as 600 the vertical distance from the gas-water interface below which these estimates hold. 601

Given that, it is then proposed to first find an estimate for the final efficiency, $\langle F \rangle_{\infty}$, which can be transferred into a critical Rayleigh number. Using the boundary-layer theory and the beforehand determined critical Rayleigh number allows then to find a u_c and a L_c , with L_c representing the distance, χ , that the fingers need to reach from the gaswater interface. In Appendix E1, the details of how to determine the characteristic velocity and the characteristic distance to the gas-water interface from a critical Rayleigh-number are provided. Applying this approach leads to results for the estimated velocity compared to the observed velocity as shown in Figure 9. The dots are highlighting velocities found in the data that also satisfy the condition of $Ra > Ra_{crit}$; they obviously show very good agreement between the proposed procedure and the data.



Figure 9: This figure illustrates the comparison between measured velocity from numerical experimental data and velocity derived from data for given final efficiencies $\langle F \rangle_{\infty}$. The velocity is derived from the relationship between the final flux efficiency, the critical Rayleigh number, the Schmidt number, the Reynolds number and a boundary layer development condition (for details see Appendix E1). As can be seen, it is possible to derive a characteristic velocity from a critical Rayleigh number, without the need to predict the characteristic velocity.

Finally, to allow for a prediction of flux rates, it is required to robustly estimate the critical flux efficiency or the fitting parameter $\langle F \rangle_{\infty}$. From that, the critical Rayleigh number can be determined as well as subsequently the other required values, see above. We suggest to use first of all three dimensionless numbers, denoted below as II-quantities (inspired by Buckingham's II-Theorem), to reduce the number of involved parameters.

The suggested procedure yields finally the following relationship:

$$\langle F \rangle_{\infty} = \frac{0.268}{\Pi_1^{1/6} \Pi_2^{1/6} \Pi_3^{1/5}} \tag{24}$$

A comparison of the values determined in this way with the calculated data of the numerical experiments is given in Figure 10.



Figure 10: Predicted final flux efficiency, $\langle F \rangle_{\infty}$, against the $\langle F \rangle_{\infty}$ from the numerical experiments. The data points are are colored based on the case temperature; 8 °C (blue), 20 °C (green) and the CSS related simulations are in red. Additionally the fracture aperture is shown with different markers. The gap in flux efficiency $\langle F \rangle$ between the 10 cm and and 1 cm apertures is prominently visible, also referred to as different modes, while it seems there is no gap or transition of modes between 1 mm and 1 cm. In general the model displays the trend accurately, however due to the lack of data between 1 and 10 cm continuity between the modes remains unclear for now.

4.4.1 Algorithm for Flux-Predictions 623

The input values are: $\rho_0, \Delta \rho, a, \nu, D, g$ and x_{CO_2}

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- Calculate $\langle F \rangle_{\infty} = \frac{0.268}{\Pi_1^{1/6} \Pi_2^{1/6} \Pi_3^{1/5}}$ Use $Ra_{crit} = \frac{48.299}{0.2 \langle F \rangle_{\infty} (\langle F \rangle_{\infty} 0.9685)}$ Calculate $\langle F \rangle$ from Equation (E7)
 - Solve the system of equations described in Equation (E10) for u_c
 - Use Equation (1) to predict the CO₂-flux per aperture-area.

4.4.2 Test of Predictions 630

The simulations employed to validate the model (see Section 3.6) were explicitly 631 excluded from the above explained fitting process. This deliberate separation allows for 632 an evaluation of the model's predictive capabilities using a set of data akin to a test dataset, 633 distinct from the training dataset. The outcome of this evaluation is detailed in Tables 7 634 and 8. 635

Tał	bl	e 7	: (Comparison	of ($\langle F \rangle_{\infty}$	and	u	predictions	with	data
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		$\langle F \rangle_{\infty}$			u	
Case	$\langle F \rangle_{\infty {\rm pred}}$	$\langle F \rangle_{\infty \rm data}$	Error	$u_{\rm pred}$	$u_{\rm data}$	Error
	1.39×10^{-02}	7.20×10^{-03}	9.23×10^{-01}	1.13×10^{-04}	2.82×10^{-04}	-5.99×10^{-01}

Recalling Equation (1) allows for directly using the errors from $\langle F \rangle$ and u_c , cal-636 culating an error for the overall physical flux F: 637

	F	ק
Case	$F_{\rm pred}$	Error
$\overline{V_I}$	8.57×10^{-05}	-2.29×10^{-01}
V_{II}	4.03×10^{-05}	-2.55×10^{-01}

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4.4.3 CO₂ Flux Estimates under Karstic Conditions

Class et al. (2021) investigated how much CO₂ could enter a water body per unit 639 time. The predictive approach developed in this study was used to predict entry rates 640 under the same conditions. In Table 9 it can be seen that this study is consistent with 641 their estimated fluxes of $\approx~10~{\rm g/m^2}$ month. 642

Aperture Size	$\rm CO_2$ -flux
[m]	$\left[\frac{g}{m^2 \text{ month}}\right]$
0.01	33.16
0.02	24.92
0.03	17.27
0.04	16.48
0.05	14.67
0.06	15.83
0.07	12.99
0.08	12.49
0.09	13.46
0.10	13.00

Table 9: Predicted CO₂-fluxes in [g/m² month] into a fracture subjected to 16000 ppm $p_{\rm CO_2}$ at 8 °C .

It is noted, that the flux per unit area and time increases with decreasing aperture, while this behavior is obviously non-linear. Furthermore, this table needs to be taken with care for very small apertures, since the fingering phenomenon will not occur when viscous resistance is too high relative to the driving force, i.e., the density difference.

⁶⁴⁷ 5 Conclusions

Period of constant flux and flux efficiency in convective dissolution in fractures Similar to the observation made for porous media, the flux F and flux efficiency $\langle F \rangle$ due to CO₂ convective dissolution in open fractures reaches a constant value after some time. In contrast to porous media, the fractures revealed also a period of fingering during which flux and flux efficiency are still changing. The onset of fingering does not directly lead directly to a period of constant flux.

Flux efficiency values exhibit modes The flux behaviour, and hence $\langle F \rangle$, within the fractures shows a distinct difference between fractures in which 3-D fingering effects occur and those exhibiting a parabolic profile. The resulting flux efficiencies $\langle F \rangle$ are so different that fractures with smaller apertures have a higher flux of CO₂ within the fracture than their larger counterparts. The exact nature of the transition between modes of flux efficiency, whether it is a smooth transition or a distinct jump, remains unresolved for now.

How to predict CO_2 entry rates in a water-filled fracture We propose that it is 661 crucial to identify a Rayleigh invariance, analogous to what has been observed in stud-662 ies of porous media. The analysis established a clear relationship between the period of 663 stable flux efficiency and a case-dependent critical Rayleigh number. It was observed that 664 exceeding this critical Rayleigh number correlates with fingers extending beyond a cer-665 tain distance to the gas-water interface. This distance is significantly influenced by the 666 fracture aperture and, thus, varies with the developing boundary layer. Based on the in-667 teraction of critical Rayleigh number and boundary layer development, a novel predic-668 tion approach has been developed and experimentally validated. The technique employed 669 here provides a reliable, physically based framework, within the limitations of the data, 670 for scaling up CO₂ flux predictions due to convective dissolution from open fractures to 671 larger field-scale models. This strategy provides a viable way of incorporating precise local-672 scale phenomena into larger-scale geospatial models and the possibility to extend this 673 work with appropriate boundary layer assumptions. 674

Implications for karst research The estimates of potential CO_2 fluxes into frac-675 tures derived from this study closely match those from previous research, such as Class 676 et al. (2021), confirming that under certain conditions the amount of CO_2 dissolving into 677 a fracture could be as high as several tens of grams per square meter per month. Specif-678 ically, this study has added insights about how the fluxes per unit area increase with de-679 creasing fracture aperture. Thus, many small fractures lead to a higher convective CO_2 680 flux than fewer but larger fractures. This highlights the relevance of convective CO_2 dis-681 solution in karstic systems to be considered for speleology. 682

Current limitations, open questions, and prospects The prediction of the final flux efficiency is currently limited by open questions regarding the understanding of the transition between three modes, i.e., (i) no fingering, (ii) fingering exhibiting a parabolic profile, and (iii) fingering exhibiting 3-D effects. The first open question is concerned with the conditions under which fingering starts, i.e., at which aperture size for a given concentration? The second question has to addresses whether the shift from parabolic to 3D flow behavior is a jump-like phenomenon.

A separate, yet significant, unresolved issue concerns the onset times in open fractures, which are typically small and, thus, considered negligible for predicting long-term effective fluxes resulting from the convective dissolution of CO_2 in these structures. In the realm of carbon capture and storage (CCS), the rapid initiation of convective mixing within small fractures and fissures may influence larger-scale dynamics. However, this hypothesis remains speculative and necessitates further empirical study.

Eventually, the current state of the newly developed predictive approach remains preliminary due to lack of more data (and their associated cost). It is expected to be significantly refined as more data becomes available and at the same time assumptions are lifted, in particular as geochemical processes such as calcite dissolution and a potential influence of pH are incorporated into the models. In addition, fracture inclination and surface roughness could be incorporated into this framework with appropriate boundary layer assumptions.

Appendix A Non-dimensionalization of Navier-Stokes Momentum Balance

The momentum balance in the direction of gravity, including the Boussinesq approximation has the following form:

$$\rho_{0}\frac{\partial v}{\partial t} + \rho_{0}\left(\frac{\partial uv}{\partial x} + \frac{\partial vv}{\partial y} + \frac{\partial wv}{\partial z}\right)$$
$$= -\frac{\partial p + \rho_{0}gy}{\partial y} + \mu\left(\frac{\partial^{2}v}{\partial x^{2}} + \frac{\partial^{2}v}{\partial y^{2}} + \frac{\partial^{2}v}{\partial z^{2}}\right) + \rho_{0}g\gamma\Delta x_{\rm CO_{2}}$$
(A1)

A standard way, using the approach that a dimensional quantity can be described with a characteristic dimensional quantity and a dimensionless quantity (similiar to Section 2.3), to non-dimensionalize yields:

$$\frac{\rho_0 v_c}{t_c} \left(\frac{\partial \hat{v}}{\partial \hat{t}} \right) + \frac{\rho_0 u_c^2}{L_c} \left(\frac{\partial \hat{u} \hat{v}}{\partial \hat{x}} + \frac{\partial \hat{v} \hat{v}}{\partial \hat{y}} + \frac{\partial \hat{w} \hat{v}}{\partial \hat{z}} \right) \\ = -\frac{p_c}{L_c} \frac{\partial \hat{p}}{\partial \hat{y}} + \frac{\mu v_c}{L_c^2} \left(\frac{\partial^2 \hat{v}}{\partial \hat{x}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{y}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{z}^2} \right) + \rho_0 g \gamma \Delta x_{\text{CO}_2,c} \hat{x}_{CO_2}$$
(A2)

Choosing $t_c = \frac{L_c}{v_c}$ and $p_c = \rho_0 v_c^2$ and then dividing by $\frac{\rho_0 v_c^2}{L_c}$ yields:

$$\frac{\partial \widehat{v}}{\partial \widehat{t}} + \frac{\partial \widehat{u}\widehat{v}}{\partial \widehat{x}} + \frac{\partial \widehat{v}\widehat{v}}{\partial \widehat{y}} + \frac{\partial \widehat{w}\widehat{v}}{\partial \widehat{z}} \\
= \frac{\partial \widehat{p}}{\partial \widehat{y}} + \frac{\mu}{\rho_0 v_c L_c} \left(\frac{\partial^2 \widehat{v}}{\partial \widehat{x}^2} + \frac{\partial^2 \widehat{v}}{\partial \widehat{y}^2} + \frac{\partial^2 \widehat{v}}{\partial \widehat{z}^2} \right) + \frac{\rho_0 g \gamma \Delta x_{\text{CO}_2,c} L_c}{\rho_0 v_c^2} \widehat{x}_{CO_2} \tag{A3}$$

705 Appendix B Numerical Settings

	Cell No.						
Aperture	x [-]	у [-]	Z [-]	x [m]	y [m]	z [m]	Max. Aspect Ratio
1 mm 1 cm 10 cm	$700 \\ 400 \\ 200$	$ \begin{array}{r} 10 \\ 20 \\ 100 \end{array} $	$ \begin{array}{r} 1400 \\ 800 \\ 400 \end{array} $	$\begin{array}{c} 2.86 \times 10^{-04} \\ 5.0 \times 10^{-04} \\ 1.0 \times 10^{-03} \end{array}$	$\begin{array}{c} 1.0 \times 10^{-04} \\ 5.0 \times 10^{-04} \\ 1.0 \times 10^{-03} \end{array}$	$\begin{array}{c} 2.86 \times 10^{-04} \\ 5.0 \times 10^{-04} \\ 1.0 \times 10^{-03} \end{array}$	2.86 1.0 1.0

Table B1.	Summary	of	meshes	used	in	the	simula	tions
Table D1.	Summary	or	meanea	useu	111	one	simula	unons.

Finite Volume Shemes					
Gradient Scheme	Gauss linear				
Divergence Scheme	Gauss upwind				
Laplacian Scheme	Gauss linear uncorrected				
Interpolation Scheme	linear				

Table B2: Summary of Finite-Volume schemes used in the simulations.

Linear Solver Settings						
Equation	Solver	Preconditioner	Smoother	Tolerance	Relative Tolerance	
р	GAMG		DIC	1×10^{-06}	1×10^{-02}	
U	PBiCGStab	DILU		1×10^{-08}	1×10^{-03}	
$\rm CO_2$	PBiCGStab	DILU		1×10^{-06}	1×10^{-04}	

Table B3: Summary of linear solvers used in the simulations.

Appendix C Derivation of Dimensional and Dimensionless Flux (Efficiency)

C1 Dimensional

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Raw data from the custom OpenFOAM solver consists of the global sum of concentration times the cell volume for each time step. Multiplying this by the molar volume yields the moles in the system at a given time.

$$n_{\rm CO_2} = \sum_{i=0}^{n_{cell}} x_{\rm CO_2, i} \times \rho_{mol, i} \times V_i \text{ [mol]}$$
(C1)

However, the quantity of interest is the amount of moles crossing the interface per unit of time. Assuming that ρ_{mol} remains constant over time, this yields for the change of

moles over time:

$$\dot{n}_{\rm CO_2} = \frac{\Delta n_{\rm CO_2}}{\Delta t} \; [\rm mol/s] \tag{C2}$$

The mole flux over the interface is therefore:

$$\dot{n}_{\rm CO_2}\big|_{Interface} = \frac{\dot{n}_{\rm CO_2}}{A_{Interface}} \; [{\rm mol/m^2 \; s}] \tag{C3}$$

709 C2 Dimensionless

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For the analysis of dimensionless quantities we start again at eq. (C1). First, we introduce the dimensionless molar amount.

$$\hat{n}_{\rm CO_2} = \frac{n_{\rm CO_2}}{x_{\rm CO_2,c} \times \rho_{mol} \times V_{fracture}} \ [-] \tag{C4}$$

The dimensionless time is now scaled using a characteristic velocity and the fractures depth :

$$\hat{t} = \frac{t \times u_c}{d} \ [-] \tag{C5}$$

Characteristic flux yields:

$$\dot{\hat{n}}_{\rm CO_2} = \frac{\Delta \hat{n}_{\rm CO_2}}{\Delta \hat{t}} \ [-] \tag{C6}$$

The relationship between eq. (C3) and eq. (C6) is:

$$\dot{n}_{\rm CO_2}\big|_{Interface} = \dot{\hat{n}}_{\rm CO_2} \times x_{\rm CO_2,c} \times \rho_{mol} \times u_c \tag{C7}$$

Rearranging yields:

$$\frac{\dot{n}_{\rm CO_2}|_{Interface}}{x_{\rm CO_2,c} \times \rho_{mol} \times u_c} = \dot{\hat{n}}_{\rm CO_2} = \langle F \rangle \tag{C8}$$

This relationship highlights the interpretation that the dimensionless flux can be seen
 as a measure of flux efficiency.

Appendix D Analysis of Characteristic Velocities for Multiple Control Heights

As seen in Figure 1, breakthrough curves are determined at various heights. For the exemplary fracture of height 0.4 m, these heights are at 38, 35, 30, 25, 5 cm, respectively. Breakthrough times are now evaluated by comparing the average concentration at a given height to a threshold of 1×10^{-06} times the boundary concentration. The difference in time and distance is then equated to a finger-front velocity. Due to the close proximity of the 38 cm control height and a premature detection of fingering due to diffusion, the velocities are only determined using the layers below.

The effect of a non-constant characteristic velocity is depicted in Figures D1 and D2.
Note that due to the discrete nature of our control heights the curves do overlap but their
magnitude remains farily similar.



Figure D1: Flux efficiency against dimensionless time for a fracture of aperture 1 mm and a concentration of 1 \times 10⁻⁰⁴ mol/mol at the boundary. The overlapping is caused by the scaling of τ which includes in our case a depth and time dependent characteristic velocity u_c .



Figure D2: Flux efficiency against dimensionless time for a fracture of aperture 1 mm and a concentration of 1×10^{-04} mol/mol at the boundary. The overlapping is caused by the scaling of τ which includes in our case a depth and time dependent characteristic velocity u_c .

⁷²⁵ Appendix E Definition and Usage of the Critical Rayleigh Number

From the chosen approach that

$$\langle F \rangle = \frac{1}{\lambda_{\langle F \rangle} Ra} + \langle F \rangle_{\infty} \tag{E1}$$

by defining $\langle F \rangle_{const.} \equiv 1.2 \langle F \rangle_{\infty}$ we obtain the critical Rayleigh number:

$$Ra_{crit} = \frac{1}{0.2\lambda_{\langle F \rangle} \langle F \rangle_{\infty}} \tag{E2}$$

Furthermore in (Figure 8) it was found that:

$$\lambda_{\langle F \rangle} = \frac{\langle F \rangle_{\infty} + 0.9685}{48.299} \tag{E3}$$

Inserting leads to:

$$Ra_{crit} = \frac{48.299}{0.2\langle F \rangle_{\infty} (\langle F \rangle_{\infty} + 0.9685)} \tag{E4}$$

For the line, separating the Rayleigh invariant part in Figure 7, the functional relationship of the form $\langle F \rangle_{const.} = f(Ra)$ is of interest. Rearranging with $\langle F \rangle_{\infty} = \langle F \rangle_{const.}/1.2$, we obtain :

$$\langle F \rangle_{\infty} (\langle F \rangle_{\infty} + 0.9685) = \frac{48.299}{0.2Ra_{crit}}$$
(E5)

Solving this quadratic formula and choosing the additive solution:

$$\langle F \rangle_{\infty} = -\frac{0.9685}{2} + \sqrt{\frac{0.9685}{2}^2 + \frac{48.299}{0.2Ra_{crit}}}$$
 (E6)

Finally:

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$$\langle F \rangle_{const.} = -1.2 \left(\frac{0.9685}{2} + \sqrt{\frac{0.9685}{2}^2 + \frac{48.299}{0.2Ra_{crit}}} \right) = \langle F \rangle$$
 (E7)

E1 Derived Characteristic Velocity and Characteristic Height

Once a critical Rayleigh number is known, characteristic velocity and characteristic height are still unknown. From the definition of Ra and the presvious investigations, it is proposed that Ra_{crit} is:

$$Ra_{crit} = \frac{u_c \chi_c}{D} \tag{E8}$$

Using the Schmidt number one can define a criterion for the derivative of a^* :

$$Re_{\chi} = Ra_{crit}/Sc = \frac{u_c\chi_c}{\nu} \tag{E9}$$

Using boundary-layer theory and Equations (22) and (E8) results in a system of equations:

$$0 = Ra_{crit} - \frac{u_c \chi_c}{D} \tag{E10}$$

$$0 = \begin{cases} 0 - \frac{-5}{\frac{u_{CXc}}{2}/2} & \text{for } a \le 2\delta \\ \frac{-5}{Re_{\chi}^{1/2}} - \frac{-5}{\frac{u_{CXc}}{\nu}}, & \text{for } a > 2\delta \end{cases}$$
(E11)

The system can be solved using, for example, a least squares algorithm with an initial guess of u and χ such that $a > 2\delta$.

729 Open Research Section

The code used in the simulation is available as source code and pre-compiled in a Docker image in Keim and Class (2024a). Furthermore, scripts for post-processing the results are available in Keim and Class (2024b).

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Rayleigh invariance allows the estimation of effective CO_2 fluxes due to convective dissolution into water-filled fractures

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Key Points:

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7	•	Convective mixing of CO_2 in water-filled fractures shows 3-D effects and cannot
8		be described with porous-media Darcy-models.
9	•	Non-dimensionalization reduces the parameter space for estimating effective CO_2
10		flux rates due to convective dissolution.
11	•	Fully developed fingering regimes after a certain time and fracture length reveal
12		a Rayleigh invariance of the effective CO_2 fluxes.

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13 Abstract

Convective dissolution of CO₂ is a well-known mechanism in geological storage of CO₂. It is triggered by gravitational instability which leads to the onset of free convection. The phenomenon is well studied in porous media, such as saline aquifers, and the literature provides substantial evidence that onset times and effective flux rates can be estimated based on a characterization of instabilities that uses the Darcy velocity.

This work extends the study of convective dissolution to open water-filled fractures, 19 where non-Darcy regimes govern the induced flow processes. Numerical simulations us-20 21 ing a Navier-Stokes model with fluid density dependent on dissolved CO_2 concentration were used to compute scenario-specific results for effective CO_2 entry rates into an ide-22 alized fracture with varying aperture, temperature, and CO₂ concentration at the gas-23 water interface. The results were analyzed in terms of dimensionless quantities. They 24 revealed a Rayleigh invariance of the effective CO_2 flux after the complete formation of 25 a quasi-stationary velocity profile, i.e. after a certain entry length. Hence, this invari-26 ance can be exploited to estimate the effective CO_2 entry rates, which can then be used, 27 in perspective, in upscaled models. 28

We have studied convective CO_2 dissolution for two different fracture settings; the first one relates to karstification scenarios, where CO_2 is the dominant driving force, and were stagnant-water conditions in fractures have not yet received attention to date. The second setting is inspired from geological CO_2 storage, where the literature provides only studies on convective CO_2 dissolution for porous-media flow with Darcy regimes.

³⁴ Plain Language Summary

Carbon dioxide (CO_2) dissolves into water when the latter is in contact with a gaseous, 35 CO_2 -rich atmosphere. This process is characteristic for karst systems, where CO_2 orig-36 inating from microbial activity in the soil is enriched in the atmosphere of caves or karstic 37 void spaces. It is furthermore an important storage mechanism for CO₂ in deep geolog-38 ical reservoirs where the greenhouse gas is injected for mitigating global warming. When 30 water is stagnant or without significant base flow, the dissolution process is governed by 40 so-called convective dissolution, where convection cells are triggered by instabilities in 41 the water body due to density differences caused by dissolved CO_2 . 42

43 Convective dissolution is well understood, but it is still challenging to predict how
 44 much CO₂ dissolves over time. While literature studies on convective dissolution have
 45 been focused on porous rocks, we investigate here open fractures, where flow patterns
 46 are much more complex.

Using computationally expensive numerical simulations, we created a data basis for developing an approach to estimate effective CO₂ entry rates. A crucial finding is that these rates are invariant to a key dimensionless number, the Rayleigh number, which in this case describes the instability of a water body due to CO₂ dissolution.

51 **1** Introduction

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1.1 Motivation

Density-driven dissolution of CO₂ is a well-known process in geological storage of CO₂ (or: CCS - Carbon Capture and Storage). The literature refers to it also as convective mixing or convective dissolution. Related to CCS, convective dissolution is acknowledged to be an important storage mechanism, in this context also referred to as solubility trapping (Metz & Intergovernmental Panel on Climate Change, 2005). CO₂, accumulating in a supercritical fluid phase underneath a caprock of a geological storage reservoir, gradually dissolves in the resident brine and increases the brine's density (e.g.,

Garcia (2001)). The result is an unstable layering which can onset a process of finger-60 ing of CO_2 -enriched brine, thus leading to an enhanced dissolution and an effective trans-61 port of CO₂. CCS has gained recognition for its capability to mitigate the adverse ef-62 fects of climate change, with widespread research affirming its significance (Ipcc, 2022; 63 Metz & Intergovernmental Panel on Climate Change, 2005; Kelemen et al., 2019; Boot-64 Handford et al., 2014; Scheer et al., 2021). Many studies have addressed convective dis-65 solution, thereby considering the rock as a porous medium (Class et al., 2009; Bachu et 66 al., 2007; Elenius & Johannsen, 2012; Kopp et al., 2009; Ennis-King, 2005; Flemisch et 67 al., 2023; J. Nordbotten et al., 2012; Neufeld et al., 2010; Hesse, 2008). Some authors 68 derived effective quantities for CO₂ fluxes by nondimensionalization and the usage of char-69 acteristic quantities such as the Darcy velocity as characteristic velocity, e.g. (Ennis-King, 70 2005; Hesse, 2008). 71

Beyond the assumption of Darcy flow, there is literature available in the field of 72 thermal natural convection, e.g., (Grossmann & Lohse, 2000, 2001). There are, how-73 ever, notable differences between thermal convection and convection induced by CO_2 con-74 centrations, such that the scaling laws cannot be easily transferred. Viscosity is much 75 stronger affected by temperature than it is dependent on CO_2 concentrations. In the thermal-76 convection field, some studies focus on turbulent convection (Ahlers & Xu, 2001; Gross-77 mann & Lohse, 2004), which is not the case for CO_2 -induced convection. Thermal-convection 78 studies are reported for 2-D domains, where lateral influences of boundary-layer devel-79 opment are studied (Ahlers et al., 2009). Regarding convective flow in fractures, it is rather 80 important to consider the boundary-layer development in a fracture plane, i.e. in the void 81 space defined by the aperture. 82

To the best of our knowledge, the literature has not extended so far the study of convective dissolution of CO₂ to fractured media, where permeabilities are significantly larger, and where Darcy-regimes are not given anymore. In such cases, the hydraulic characteristics are distinctly different from porous-media systems, even for fractures as narrow as 1 mm (De Paoli et al., 2020). We have shown previously in a water-filled fracture with 1 cm aperture that onset time of fingering and fingering patterns cannot be matched with approaches that are valid in porous-media systems (Class et al., 2020).

Within the scope of CCS, the presence of small fractures introduces numerous chal-90 lenges. Fractured caprock could act as a pathway for CO_2 leakage. A significant body 91 of research has been devoted to understanding the implications of such fractures (J. A. White 92 et al., 2014; Song & Zhang, 2013; Rutqvist et al., 2008; Ellis et al., 2011; Hommel et al., 93 2020; Fernø et al., 2023). Our focus, however, lies on fractures that may exist underneath 94 the caprock similar to March et al. (2018). A research question in this regard may be 95 if permeable fractures can foster the onset of fingering regimes in geological reservoirs 96 and thereby enhance or accelerate the solubility trapping of CO_2 . 97

Convective dissolution of CO_2 can also play an important role in karstic systems 98 (Class et al., 2021, 2023). Karst plays a pivotal role in the global carbon cycle, with karstic 99 springs releasing huge amounts of CO_2 to the atmosphere (Lee et al., 2021), which might 100 even make them potentially interesting as sites for carbon capture. A significant share 101 of the CO_2 released at karstic springs has long before entered the karstic system through 102 complex interacting processes at the epiphreatic interface between valoes zone and sat-103 urated zone and is then driving karstification and speleogenesis (Audra & Palmer, 2011; 104 Kaufmann et al., 2014; Bakalowicz, 2005; Riechelmann et al., 2019; Klimchouk et al., 2000; 105 Houillon et al., 2020). Speleogenesis, the study of cave formation, considers compositional 106 water and gas flow as well as thermal processes and not only captivates a broad scien-107 108 tific audience but also carries implications for the construction and maintenance of infrastructure in karstic regions and the exploration of geothermal resources (Luetscher 109 & Jeannin, 2004) Karstic systems are characteristically dominated by fissures, fractures, 110 and large void spaces, where during periods of stagnant water, density-driven enhanced 111 dissolution of CO_2 at the epiphreatic interface can contribute new limestone-dissolutional 112

power; this has not yet received attention in karst literature (Class et al., 2021). CO₂
concentrations in the vadose air of karstic systems are typically highly elevated relative
to atmospheric values, for example up to levels of 1-2 % in the Swabian Jura (South Germany) (Class et al., 2023) and strongly dependent on the season and corresponding ventilation patterns (Kukuljan et al., 2021).

Traditional theories of speleogenesis have solely relied on flowing water streams (Bögli, 118 1980; Gabrovšek & Dreybrodt, 2000; Dreybrodt, 1988), while recent insights suggest that 119 density-driven CO₂ dissolution into stagnant water can also be a significant factor (Class 120 121 et al., 2021) under certain conditions. Intermittent stagnant water conditions occur during dry periods and in confined spaces such as fractures and fissures. Seasonal gaseous 122 CO_2 transfer through the epikarst, from the uppermost soil layer into the water bodies 123 below, is a potential pathway for CO_2 and can lead to periods, where the gaseous CO_2 124 is not in equilibrium with the dissolved CO_2 at the karstwater table (Class et al., 2023; 125 Covington, 2016). For that reason, convective dissolution of CO_2 into karst fractures is 126 a mechanism of interest and needs to be quantified. An improved understanding will al-127 low for a potentially required adaption of karstification theories and limestone dissolu-128 tion models. 129

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1.2 Estimating Effective Fluxes in Porous-Media Systems

Research on porous media has made significant progress in predicting the characteristics of CO₂ fluxes due to convective mixing, particularly focusing on two key aspects: (i) the onset times of convective-mixing and (ii) the effective flux during a developed constantflux regime. Central to these investigations is the utilization of porous-media models, where Darcy's law is predominantly used to model fluid flow. This foundational approach forms the basis for much of the theoretical and computational modeling in the field. For an overview it is referred to (Emami-Meybodi et al., 2015).

Some authors introduced a flux efficiency $\langle F \rangle$ [-], which is related to the dimensional flux of CO₂ from the gas phase into the aqueous phase, F [kg/(m²s)] (or [mol/(m²s)]), as expressed by

$$F = \langle F \rangle u_c \rho x_{\mathrm{CO}_2,c} \,, \tag{1}$$

where u_c [m/s] represents a characteristic velocity and x_c is a mass (or mole) fraction of the CO₂ in the aqueous phase at the interface with the gas phase. In the context of porous media, u_c is the Darcy velocity, which is commonly calculated based on the characteristic density difference between the brine with a CO₂ concentration of x_c and a pure brine. One could interpret this as a scaling to a characteristic advective, buoyant flux. Since u_c is known a-priori, a sound understanding of $\langle F \rangle$ yields a prediction of the dimensional flux rates during convective mixing.

Many studies have investigated $\langle F \rangle$. In this paper, the notion is used from De Paoli et al. (2020), while others use also $\langle \frac{dC}{d\tau} \rangle$ (Hesse, 2008), χ (Kneafsey & Pruess, 2010) or $\langle \epsilon \rangle$ (Hidalgo et al., 2012), or refer to it just as flux (dimensionless) (Green & Ennis-King, 2018). The value of $\langle F \rangle$ during a constant flux-regime has been determined in numerical simulations and is reported as 0.017 (Hesse, 2008; Green & Ennis-King, 2018), 0.0120 (neglecting the weak Rayleigh dependence), or 0.02 (Elenius & Johannsen, 2012).

In De Paoli et al. (2020), non-Darcy effects in a fluid-filled Hele-Shaw cell, which can also be interpreted as a fluid-filled fracture, were investigated. Those authors found that the classification of Letelier et al. (2019), using the product ϵ^2 Ra with ϵ being the cell anisotropy ratio $\epsilon = \sqrt{k/H} = \sqrt{(a^2/12)/H}$ and the Darcy Rayleigh number Raas criterion, clearly distinguished three characteristic regimes in the experimental results from De Paoli et al. (2020), i.e., the Darcy regime, the Hele-Shaw regime and the 3-D regime. For their experiment with apertures of 0.8 and 1 mm (ϵ^2 Ra >> 1), both representing the 3-D regime, $\langle F \rangle$ is significantly lower compared to Darcy-regime experiments.

Since one of the aims of this study is to predict the flux for the range of regimes 160 from Darcy regime to 3-D regime, fractures and fissures in karstic systems reaching aper-161 tures of several centimeters pose a challenge. The commonly applied assumption that 162 the parallel-plate flow model can be used to translate a fracture aperture into a perme-163 ability for Darcy's law, i.e. $k = \frac{a^2}{12}$, is not valid under these conditions. Thus, choos-164 ing the characteristic velocity to be a Darcy estimate is not expedient. Instead, the idea 165 is to determine the finger-front velocity individually by numerical simulations and to ap-166 ply this characteristic velocity for all fracture scenarios of our interest. To tackle the prob-167 lem of predicting fluxes in fractures with large apertures, the suggestion of De Paoli et 168 al. (2020) to investigate the matter using 3-D Navier-Stokes simulations is followed in 169 this study. However, for deriving effective quantities and flux predictions, the findings 170 and approaches in porous-media research are kept in mind and used as references. 171

To our knowledge, there is no study investigating the transition from the Darcy regime to the 3-D regime using 3-D Navier-Stokes equations.

174

1.3 Aims and Outline of this Study

This study aims at extending the estimation of effective CO_2 fluxes due to convective dissolution to non-Darcy regimes in water-filled fractures. The focus of application is twofold and includes both karstic systems and geological CO_2 storage systems.

These two fields of application show common features but also distinct differences. Both are associated with typical subsurface uncertainties regarding the details of properties like porosity and permeability, and, more relevant here, regarding the distribution and geometries of fractures, fissures, and small void spaces. Considering further the huge challenges regarding the computational demands for spatially and temporally highly resolved numerical simulations, it is obvious that field-scale models will require effective upscaled quantities rather than fully-resolved physics.

The dynamics of natural convection processes are favored by high driving forces 185 and low resistance to these forces. Employing this to the two fields of application, this 186 means that both the driving forces and the resistance to them are smaller in karst than 187 in CO_2 storage geological reservoirs. CO_2 gas-phase concentrations in karst systems are 188 realistic in a range of 1-2 %, while the brine in contact with supercritical CO₂ in a stor-189 age reservoir is at saturated CO_2 concentration. On the other hand, karstic fissures and 190 fractures are highly variable up to several centimeters aperture, while we consider rather 191 a range of up to a few millimeters to be realistic in CCS-related fractured systems. 192

We want to find out how effective quantities for CO_2 fluxes can be determined and 193 derived from highly resolved numerical simulations in an idealized fracture of varying 194 aperture and height, while being exposed to different CO_2 concentrations at the top to 195 represent realistic karst settings on the one hand and CCS-related settings on the other 196 hand. We want to investigate whether the methodology is robust in both ends of the spec-197 trum, i.e. for larger apertures and lower CO_2 concentrations as it is characteristic for 198 karst, and for smaller apertures and high CO_2 concentrations as in CCS-type systems. 199 By deriving these quantities, we aim at approximating the vertical fluxes due to convec-200 tive mixing, while, in perspective, enabling simulations on a larger scale. Towards achiev-201 ing this aim, we will further predict the necessary velocity scales under typical condi-202 tions for both karstic and CCS environments. 203

This article is structured as follows: Section 2 provides the theoretical background with the basic assumptions, conceptual ideas, and methods of the study as well as the governing equations. After that, in Section 3, the details regarding the numerical sim-

- ²⁰⁷ ulations are given; we refer to these numerical simulations also as the numerical exper-
- iments, since they provide the basis for the interpretation, analysis, and discussion of the
- results in Section 4. The article closes with the basic conclusions from this study.

²¹⁰ 2 Theory

A fundamental relevance of this study arises in convective dissolution processes, 211 where 3-D effects play a role, which ultimately affect the efficiency of CO_2 influx into 212 water-filled fractures. This may occur in karst systems or also in geological storage sce-213 narios. Thereby, the CO_2 concentrations, the temperatures, the apertures of the frac-214 tures and their roughnesses, their inclinations, connectivity, etc. can be very different 215 and many of these quantities are inherently very variable. For this reason, we consider 216 it necessary and opportune to use a generic single-fracture scenario for this study, which 217 may serve as the basis for an upscaling to field-scale application. First of all, the under-218 lying assumptions of the study are introduced; then the basic model equations are ex-219 plained, followed by the non-dimensionalization of the model variables. At the end of 220 this 'Theory section', the influence of boundary-layer development on the formation of 221 a fully developed velocity profile in a single fracture is explained. 222

223

2.1 Assumptions and Approach

While other studies conceptualise a fracture as a lower-dimensional porous medium 224 (Berre et al., 2021; Flemisch et al., 2018; J. M. Nordbotten et al., 2019), this study aims 225 at explicitly demonstrating effects which occur for fractures where assumptions of porous-226 media flow (Darcy flow) are not valid. A schematic representation of a fracture as it is 227 used for the numerical simulations in this study can be seen in Figure 1. The water-filled 228 fracture is exposed to a given concentration gas-phase concentration of CO_2 at the top, 229 which translates into a concentration of dissolved CO_2 in the aqueous phase, serving as 230 a Dirichlet boundary condition at the top boundary. For scenarios where the resistance 231 to induced convective flow is overcome, a fingering regime will be triggered after some 232 time, and we can determine a finger-front velocity, which will in the further serve as a 233 characteristic velocity for non-dimensionalization. 234

In order to evaluate the finger-front velocity, different control heights are employed 235 to track breakthrough curves. A control height is a certain vertical distance measured 236 from the bottom of the conceptual fracture as seen in Figure 1. The calculated concen-237 trations of each cell, intersected by the horizontal plane at a given control-height, are 238 continuously stored in the numerical simulation runs. In a post-processing step, these 239 values are used to determine breakthrough times and, related to the respective distance 240 between control-heights, a depth-dependent finger-front velocity. Note that, control heights 241 are inverse to the depth; i.e., the control-height of 0 would represent the bottom of the 242 fracture, while the depth of 0 would represent the top boundary. 243



Figure 1: Conceptual fracture representation: A constant concentration of CO_2 is imposed at the top boundary. The lower-boundary is no-flow. Front and back faces are non-slip boundaries, while left and right boundaries (here the viewer-facing boundaries) are periodic. Control heights to evaluate breakthroughs are denoted with h and their respective height (in [cm]). The depth of the fracture is denoted with d. h is measured from the bottom while the depth is measured from the gas-water interface. The aperture is denoted with a. χ represents a variable to parameterize depth.

244	Further conceptual assumptions are the following:
245	• The generic fracture is conceptualized as a cuboid and the dimension in the di-
246	rection of the aperture is discretized.
247	• Wall roughness of the fractures is neglected.
248	• It is assumed that the fracture is water-filled. This implies that the primary fluid
249	within the void space of the fracture is water, and no other phase is present in its
250	interior. Furthermore, the diffusion is modelled by using an approach for molec-
251	ular diffusion in the aqueous phase. For karstic systems, this assumption is rather
252	standard and allows even for large void spaces such as conduits (Hartmann et al.,
253	2014). For CCS-systems, fractures with an aperture of up to 1 mm have been re-
254	ported (Iding & Ringrose, 2010).
255	• Aquatic chemistry is not taken into account. In particular this assumption is rec-
256	ommended to study in future research, since the concentration of dissolved car-
257	bon in water is significantly influenced by the pH level, and further chemical re-
258	actions happen at the interface between water and the rock-matrix (Pankow, James
259	F., 2022; Appelo & Postma, 2010; W. M. White, 2013).
260	2.2 Governing Equations

For the numerical simulations, we solve the following set of equations.

Conservation of Momentum

Dependent on the properties of the individual fracture scenario and the corresponding flow regime, basically three equations can be considered for the conservation of momentum. In De Paoli et al. (2020), a definition of three characteristic regimes is given. For fractures of aperture size << 1 mm, a quasi-Darcy approach with a parallel-plate model is possible, with the permeability calculated from the fracture aperture as

$$k = \frac{a^2}{12} \,. \tag{2}$$

The equation for the momentum conservation is then expressed using Darcy's law.

$$\mathbf{u} = -\frac{k}{\mu} \left(\nabla p + \rho \mathbf{g}\right) \tag{3}$$

For fractures of apertures >> 1 mm, the conservation of momentum is modelled using the 3-D Navier-Stokes equations and the Boussinesq approximation, $(.)_0$ refers to the initial state.

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u} = -\frac{1}{\rho_0}\nabla(p - \rho_0 \mathbf{g} \cdot \mathbf{z}) + \nu\nabla^2 \mathbf{u} - \mathbf{g}\gamma(x_{\rm CO_2} - x_{\rm CO_2,0})$$
(4)

where:

$$\gamma = -\left(\frac{1}{\rho}\frac{\partial\rho}{\partial x_{\rm CO_2}}\right)\bigg|_0\tag{5}$$

In between those clearly distinguished regions, there is a region where a quasi-3D, i.e. effectively a 2-D Navier-Stokes model is extended by a drag term to account for nonslip conditions at the fracture walls (Class et al., 2020).

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u} = -\frac{1}{\rho_0} \nabla (p - \rho_0 \mathbf{g} \cdot \mathbf{z}) + \nu \nabla^2 \mathbf{u} - \mathbf{g} \gamma (x_{\text{CO}_2} - x_{\text{CO}_2,0}) - c \frac{\nu}{a^2} \mathbf{u}$$

266

Mass Conservation of the Aqueous Phase

The conservation of mass of the phase is given by

$$\nabla \cdot \mathbf{u} = 0. \tag{6}$$

²⁶⁷ Changes in density are only considered in the momentum equation.

268

Mass Conservation of Dissolved CO_2

The transport of CO_2 in the aqueous phase is described by

$$\frac{\partial x_{\rm CO_2}}{\partial t} + \nabla \cdot \left(\boldsymbol{u} \cdot x_{\rm CO_2} - D_{\rm CO_2} \cdot \nabla x_{\rm CO_2} \right) = 0.$$
⁽⁷⁾

269

2.3 Non-dimensionalization and Dimensionless Numbers

Derived effective quantities from the CCS-related literature heavily relied on nondimensionalization and dimensionless numbers, most importantly the Rayleigh number (Ennis-King, 2005; Hesse, 2008), typically written in the following form:

$$Ra = \frac{k_z \, g \, \Delta \rho \, L_c}{\mu \, D} \,. \tag{8}$$

Darcy's law can be used to calculate a characteristic vertical velocity, u_c due to the characteristic driving force for the fingers, $\Delta \rho$, and the resistance to that in terms of viscous effects, dominated by the vertical permeability, k_z . Then, u_c is obtained as

$$u_c = \frac{k_z \, g \, \Delta \rho}{\mu} = \frac{a^2 \Delta \rho g}{12\mu} \tag{9}$$

and the Rayleigh number accordingly

$$Ra = \frac{u_c L_c}{D}, \qquad (10)$$

which shows that this definition of Ra suggests in fact an interpretation as a Péclet number, i.e., convection versus diffusion. This definition also arises when Equation (7) is nondimensionalized, using the standard approach that a dimensional quantity can be described with a characteristic dimensional quantity and a dimensionless quantity. For instance $t = t_c \cdot \hat{t}$ where (.)_c denotes the dimensional characteristic quantity and $\widehat{(.)}$ denotes the dimensionless quantity. Applying this procedure to Equation (7) yields similar to Hesse (2008):

$$\frac{x_{\text{CO}_2,c}}{t_c}\frac{\partial \hat{x}_{CO_2}}{\partial \hat{t}} + \frac{u_c x_{\text{CO}_2,c}}{L_c}\widehat{\nabla} \cdot \left(\widehat{\boldsymbol{u}} \cdot \widehat{x}_{CO_2}\right) - \frac{x_{\text{CO}_2,c}}{L_c^2}\widehat{\nabla} \cdot \left(D_{\text{CO}_2} \cdot \widehat{\nabla} \widehat{x}_{CO_2}\right) = 0$$
(11)

Choosing $t_c = L_c/u_c$ and dividing by $u_c x_{CO_2,c}/L_c$, results in:

$$\frac{\partial \hat{x}_{CO_2}}{\partial \hat{t}} + \hat{\nabla} \cdot \left(\hat{\boldsymbol{u}} \cdot \hat{x}_{CO_2} - \frac{D_{CO_2}}{u_c L_c} \cdot \hat{\nabla} \hat{x}_{CO_2} \right) = 0$$
$$\frac{\partial \hat{x}_{CO_2}}{\partial \hat{t}} + \hat{\nabla} \cdot \left(\hat{\boldsymbol{u}} \cdot \hat{x}_{CO_2} - \frac{1}{Ra} \cdot \hat{\nabla} \hat{x}_{CO_2} \right) = 0$$
(12)

The interpretation of the Rayleigh number in this study will follow the same understanding. During the fingering regime it is interpreted as the ratio between convective and diffusive fluxes of CO_2 , exactly as the Péclet number is interpreted. Hence, it is hypothesized that if one can reliably estimate the characteristic velocity of the fingers, this should also allow for estimating the flux rate of CO_2 during the fingering regime.

Studies outside the field of porous media use a different definition of the Rayleigh number. It arises from non-dimensionalizing the Navier-Stokes equations, including the Boussinesq approximation. Expanding the vector notation of Equation (4) into each dimension and assuming that gravity acts in the y-direction results in the following set of equations:

$$\rho_0 \left(\frac{\partial u}{\partial t} + \frac{\partial uu}{\partial x} + \frac{\partial vu}{\partial y} + \frac{\partial wu}{\partial z} \right) = -\frac{\partial p}{\partial x} + \mu \left(\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2} \right)$$
(13)

$$\rho_0 \left(\frac{\partial v}{\partial t} + \frac{\partial uv}{\partial x} + \frac{\partial vv}{\partial y} + \frac{\partial wv}{\partial z} \right) = -\frac{\partial p + \rho_0 gy}{\partial y} + \mu \left(\frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} + \frac{\partial^2 v}{\partial z^2} \right) + \rho_0 g \gamma \Delta x_{\rm CO_2}$$
(14)

$$\rho_0 \left(\frac{\partial w}{\partial t} + \frac{\partial uw}{\partial x} + \frac{\partial vw}{\partial y} + \frac{\partial ww}{\partial z} \right) = -\frac{\partial p}{\partial z} + \mu \left(\frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial y^2} + \frac{\partial^2 w}{\partial z^2} \right)$$
(15)

The same procedure shown for the derivation of Equation (12) is used for the nondimensionalisation of the momentum balance in the direction of gravity (here, Equation (14), for further details it is referred to Appendix A), yielding :

$$\frac{\partial \hat{v}}{\partial \hat{t}} + \frac{\partial \hat{u}\hat{v}}{\partial \hat{x}} + \frac{\partial \hat{v}\hat{v}}{\partial \hat{y}} + \frac{\partial \hat{w}\hat{v}}{\partial \hat{z}} = \frac{\partial \hat{p}}{\partial \hat{y}} + \underbrace{\frac{\mu}{\rho_0 L_c v_c}}_{I} \left(\frac{\partial^2 \hat{v}}{\partial \hat{x}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{y}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{z}^2} \right) + \underbrace{\frac{g\gamma \Delta x_{\rm CO_2} L_c}{v_c^2}}_{II} \hat{x}_{TIC} \tag{16}$$

Choosing $u_c = D/L_c$, yields for the terms I and II:

$$I: \frac{\mu}{\rho_0 L_c u_c} = \frac{\mu}{\rho_0 D} = \frac{\nu}{D} = Sc$$
$$II: \frac{g\gamma \Delta x_{\text{CO}_2} L_c}{u_c^2} = \frac{g\gamma \Delta x_{\text{CO}_2} L_c^3}{D^2} = \frac{g\rho_0 \gamma \Delta x_{\text{CO}_2} L_c^3}{D\mu} = Ra \cdot Sc$$
(17)

This results in the following definition of the Rayleigh number:

$$Ra = \frac{g\Delta\rho L_c^3}{D\mu}.$$
(18)

In the derivation above, the definition of the Rayleigh number arises from the momen-275 tum equation and has a different physical meaning than the one used in the porous-media 276 context. Regarding similitude, the two different approaches for the Rayleigh number are 277 not straightforwardly transferable. We have shown above that there are three distinct 278 regimes, i.e., the Darcy regime as the one extreme, the 3-D free-flow regime as the other 279 extreme, and the transitioning between them. Accordingly, the momentum equation has 280 to be chosen, and its corresponding non-dimensionalization results in the two different 281 Rayleigh-number approaches. For this study, we choose to use the approach derived from 282 porous-media (Darcy) context, while being well aware that this is not perfect for the en-283 tire range of flow regimes. 284

It is known from the above-referenced porous-media literature that this definition of the Rayleigh number resembling a Péclet number showed reliable results in the pursuit of deriving effective quantities. As already mentioned, we choose the finger-front velocity as the characteristic velocity, u_c .

2.4 Prandtl-Blasius Boundary Layer

289

The finger-front velocity is determined by monitoring breakthroughs of the CO_2 concentration at various depths, also referred to as control heights (see Figure 1). However, this approach of detecting breakthroughs poses another challenge. Dependent on apertures and velocities, the development of the Prandtl-Blasius boundary layer has different impacts. Commonly, the Prandtl-Blasius boundary layer for laminar flow is described in the following form:

$$\frac{\delta}{x} = \frac{5.0}{Re_x^{1/2}}\tag{19}$$

 δ is the boundary layer thickness, while x is the distance from the origin of the boundary layer development into the direction of the flow. Accordingly, Re_x is obtained with x as the characteristic length. In the case of our idealized fracture (see Figure 1) during natural convection, there are two boundary layers evolving, i.e., one from each nonslip boundary. Furthermore, to avoid confusion, χ was introduced to represent the distance to the gas-water interface, i.e., to parameterize water depth. For a visualization see Figure 1. Hence, x in Equation (19) is χ in the following.



Figure 2: Development of the Prandtl-Blasius boundary layers visualized for the uppermost 10 cm. Apertures are from left to right: 1 m, 0.1 m, and 0.01 m. For u_{FF} a velocity of 1.8 cm/min. The profile will look different for different velocities.

Figure 2 shows the qualitative development of boundary layers in the schematic parallel-plate fracture; the boundary layers merge after a certain time and length into a fully developed flow profile in the cross section if the fracture has enough vertical depth relative to the given flow velocity, u_{FF} , and aperture, a. For small apertures and/or high velocities the two boundary layers tend to merge faster. It is, thus, evident that an evaluation of the finger-front velocity is dependent on the development of the boundary layer if the flow profile within the fractures is not yet fully developed. The boundary layer is caused by viscous effects that tend to slow down the finger-front velocity. Now recall that we intend to employ the finger-front velocity as a measure to relate eventually to the estimate of the CO_2 influx into the fracture by convective dissolution, i.e., we want to multiply the finger-front velocity with the cross-sectional area. Before the boundary layers merge, i.e. for higher control heights (see Figure 1), the finger-front velocity is not yet, or at least less affected by the boundary layer, while the cross-sectional area, where the total effective CO_2 flux occurs, is already affected by the viscous effects. In other words, there is no cross-sectional area defined solely by the aperture where CO_2 is transported with the proposed finger-front velocity. Yet, for obtaining flux as the product of crosssectional area times finger-front velocity, a proper definition of the area is required, since the boundary layer affects how representative a finger-front velocity is for the entire cross section. This issue is more likely to be relevant for fractures occurring in karstic systems, since smaller fractures have a fully developed boundary layer almost immediately. To illustrate this, we refer to the exemplary fracture of Figure 2, where this would translate to 1 cm or smaller. It is therefore proposed to take into account how much of the fracture's cross-sectional area in a certain depth is not (yet) within the boundary layer. This is achieved by introducing a corrected aperture, a^* :

$$a^{\star} = \begin{cases} 0, & \text{for } a \le 2\delta\\ a - 2\delta, & \text{for } a > 2\delta \end{cases}$$
(20)

²⁹⁷ 3 Numerical Simulations

Numerical simulations of convective dissolution scenarios in the schematic single 298 fracture were carried out in order to generate the data for subsequent interpretation with 299 regard to dimensionless quantities. This section provides information and explanations 300 regarding the applied and adapted OpenFOAM simulator. The section further introduces 301 the individual scenarios, which are categorized as (i) karstic and (ii) CCS. This catego-302 rization helps to link the scenarios to realistic fields of application and explains the range 303 of values used for fracture apertures and CO_2 concentrations. We keep in mind that karstic systems typically have much lower CO_2 concentrations than CCS systems, while the aper-305 tures in karst are much higher than in geological reservoirs for CCS. 306

307

3.1 The OpenFOAM Simulator

In this study, the OpenFOAM (v22.12) computational fluid dynamics (CFD) toolkit was used to simulate fluid flow and solute transport, in particular the BoussinesqPimpleFoam solver. To improve the accuracy of the model and to ensure physical relevance, a convergence criterion based on the relative shift of the total moles was implemented. The code can be seen and run using a Docker image (Keim & Class, 2024a).

313 **3.2** Computing Infrastructure

The Simulations were conducted on the Experimental Compute Cluster of the EXC 2075 Stuttgart Center for Simulation Science (SimTech), University of Stuttgart. The most resource-demanding simulation in our study was conducted on two fully occupied nodes. Each node consists of 128 cores (2x 64 core, AMD EPYC 7702) with 2 TB RAM. Simulating 320 s (simulated time) required 5 days computation time on the cluster. However, note that the load for a single-fracture simulation highly depends on the fracture and it's respective mesh. Other simulation runs were much less demanding, being able to simulate 5,000 s on half a node in less than 5 days. Unfortunately, the smaller the aperture the higher the computational cost due to restrictions in the aspect ratio and a minimum amount of degrees of freedom in the direction of the aperture width.

324

3.3 Scenarios Related to Karstic Systems

To investigate the influence of open fractures in karstic systems, a coarse screening of the orders of magnitude was performed, i.e., concentrations ranged from 1×10^{-03} to 1×10^{-05} [mol/mol], while the aperture ranged from 1×10^{-03} m to 1×10^{-01} m. In a second step, a refined screening was carried out for a fracture of 1 cm in order to identify the concentration at which fingering does not initiate because of too much viscous resistance.

It is known that fractures with apertures significantly smaller than 1 mm can be 331 represented using the Darcy approximation (De Paoli et al., 2020). It was decided that 332 the smallest relevant fracture in this study is one with an aperture of 1 mm. As can be 333 seen in Class et al. (2023), a seasonal variation in the aqueous CO_2 concentration of $1 \times$ 334 10^{-04} [mol/mol] is common in the field. Therefore, this value was chosen as the refer-335 ence for the karst-related systems of this study. 8 $^{\circ}C$ is a typical temperature found, for 336 example, in the caves of the Swabian Jura (southern Germany). However, we also sim-337 ulated scenarios at 20 $^{\circ}C$ to represent potential tropical karst systems. To our knowl-338 edge, there is no publicly available dataset describing seasonal CO₂ concentrations in trop-339 ical caves, so we decided to use the same representative values as found in the Swabian 340 Jura. We note that assuming the same aqueous CO_2 concentration at different temper-341 atures does not imply that the corresponding air CO_2 concentration is the same, due to 342 the temperature dependence of the Henry coefficient. 343

A summary of all simulations conducted for the karstic systems can be found in Table 1

Scenario	Temperature	Aperture	Concentration
	$[^{\circ}C]$	[m]	$\left[\frac{\mathrm{mol}}{\mathrm{mol}}\right]$
Ι	8	1×10^{-3}	1×10^{-5}
II	8	1×10^{-3}	1×10^{-4}
III	8	1×10^{-3}	1×10^{-3}
IV	8	1×10^{-2}	1×10^{-5}
V	8	1×10^{-2}	8×10^{-6}
VI	8	1×10^{-2}	1×10^{-4}
VII	8	1×10^{-2}	2×10^{-5}
VIII	8	1×10^{-2}	1×10^{-3}
IX	8	1×10^{-1}	1×10^{-5}
Х	8	1×10^{-1}	8×10^{-6}
XI	8	1×10^{-1}	1×10^{-4}
XII	8	1×10^{-1}	2×10^{-5}
XIII	8	1×10^{-1}	1×10^{-3}
XIV	20	1×10^{-3}	1×10^{-5}
$\mathbf{X}\mathbf{V}$	20	1×10^{-3}	1×10^{-4}
XVI	20	1×10^{-3}	1×10^{-3}
XVII	20	1×10^{-2}	1×10^{-5}
XVIII	20	1×10^{-2}	8×10^{-6}
XIX	20	1×10^{-2}	1×10^{-4}
XX	20	1×10^{-2}	2×10^{-5}
XXI	20	1×10^{-2}	1×10^{-3}
XXII	20	1×10^{-1}	1×10^{-5}
XXIII	20	1×10^{-1}	8×10^{-6}
XXIV	20	1×10^{-1}	1×10^{-4}
XXV	20	1×10^{-1}	2×10^{-5}
XXVI	20	1×10^{-1}	1×10^{-3}

Table 1: Karst-related scenarios and chosen parameter variations

3.4 Scenarios Related to CCS Systems

346

The other end of the range in terms of CO_2 concentrations is represented in the 347 CCS-related scenarios, which were motivated by the scenarios investigated by Kopp et 348 al. (2009). Since the concentration of CO_2 is governed by the solubility limit of CO_2 (de-349 termined after Duan and Sun (2003)), the conditions in terms of pressure and temper-350 ature are determined by the location and properties of the aquifer's environment. The 351 letters D, C, and S represent, accordingly, a deep, cold, and shallow aquifer. The cor-352 responding fluid properties are shown in Table 5. It was decided to only model a 1 mm 353 fracture aperture, since everything beyond that seems rather unrealistic and everything 354 far below can be modelled using porous-media equations. 355

Aperture	Concentration
[m]	$\left[\frac{\mathrm{mol}}{\mathrm{mol}}\right]$
1×10^{-3}	0.034
1×10^{-3}	0.038
1×10^{-3}	0.039
	$\begin{array}{c} \textbf{Aperture} \\ [m] \\ \hline 1 \times 10^{-3} \\ 1 \times 10^{-3} \\ 1 \times 10^{-3} \end{array}$

Table 2: CCS-related scenarios and their parameter variations

3.5 Fluid Properties

356

Values of the fluid properties used in the karstic study are listed in Table 3 and are calculated using the following models; reference density (Wagner & Pruß, 2002; "IAPWS Industrial Formulation 1997 for the Thermodynamic Properties of Water and Steam", 2008), viscosity (Kestin et al., 1978), molecular diffusion coefficient of CO_2 (Unver & Himmelblau, 1964) and the γ -parameter to describe the density dependence of CO_2 is derived after Garcia (2001).

For the CCS scenarios the fluid propertires are summarized in Table 5. Due to the effects of salinity different consitutive relations are used; solubility of CO₂ (Duan & Sun, 2003); reference density (Yan et al., 2011; Phillips et al., 1981), viscosity ("IAPWS Industrial Formulation 1997 for the Thermodynamic Properties of Water and Steam", 2008; Phillips et al., 1981), molecular diffusion coefficient of CO₂ (Omrani et al., 2022) and the γ -parameter is again derived after Garcia (2001).

To derive γ , the reference state is equal to the initial condition of a simulation run 369 i.e., a CO_2 concentration of zero. This is then used to calculate the fluid density at these 370 reference conditions. After that, the fluid density is determined for an assumed occur-371 rence of the peak CO_2 concentration within the system of interest. In the karstic set-372 ting, this is the aqueous CO_2 concentration in correspondence to a seasonally elevated 373 gaseous CO_2 concentration inside a cave, or, in the CCS setting, it is the solubility of 374 CO_2 under reservoir conditions. Finally, a linearization of the density values between the 375 two determined points is conducted. The resulting value is normalized by dividing it by 376 the reference density from the previous evaluation. 377

Temperature	Pressure	Reference Density	Viscosity	Diffusion Coefficient CO_2	γ
[°C]	[MPa]	$\left[\frac{\mathrm{kg}}{\mathrm{m}^3}\right]$	$\left[\frac{\text{kg}}{\text{m s}}\right]$	$\left[\frac{m^2}{s}\right]$	
8	0.1	999.85	1.39×10^{-03}	1.18×10^{-09}	0.4
20	0.1	998.20	1.00×10^{-03}	1.60×10^{-09}	0.4

Table 3: Fluid properties used in the karstic settings

Scenario	Temperature	Pressure	Salinity
	[°C]	[mPa]	$\left[\frac{\mathrm{mol}}{\mathrm{kg}}\right]$
S	55	15.5	1
\mathbf{C}	37.5	15.5	1
D	115	35.5	1

Table 4: Geological scenarios for CCS

Table 5: Fluid properties used in the CCS-Systems

Scenario	Reference Density	Viscosity	Diffusion-Coefficient CO_2	γ
	$\left[rac{\mathrm{kg}}{\mathrm{m}^3} ight]$	$\left[\frac{\mathrm{kg}}{\mathrm{m \ s}}\right]$	$\left[\frac{m^2}{s}\right]$	
S	1025.96	0.56×10^{-03}	3.53×10^{-09}	0.47
С	1037.39	0.76×10^{-03}	2.47×10^{-09}	0.42
D	996.46	0.28×10^{-03}	7.14×10^{-09}	0.43

3.6 Validation of the OpenFOAM Model and Lessons Learned from It

Before using the OpenFOAM numerical simulator for generating the data for this 379 study, the specifically modified model, including the model assumptions, the discretiza-380 tion scheme, the setting and choice of fluid properties, was validated. For that purpose, 381 the experimental data from Class et al. (2020) were used. In that study, a fracture of 382 1 cm aperture was subjected to varying partial pressures, pCO_2 , at 8 °C. For the sim-383 ulation runs, the Courant criterion (CFL number) was kept below 1. The grid is a sim-384 ple regular quadratic grid with 1 mm discretization length. The front velocities measured 385 in the experiment and determined by the OpenFOAM runs were compared with the re-386 sults provided by Table 6. Given the uncertainties that are also associated with the ex-387 perimental data (Class et al., 2020), the agreement between simulation and experiment 388 is very reasonable. 389

Experiences from performing the validation runs led to specifications and accuracy criteria for the simulation of the above-explained karst and CCS scenarios. A minimum of 10 cells is needed in the direction of the aperture, while the length of the cell should not exceed 1 mm. The Courant number was kept below 1. For very small apertures, holding on to regular quadratic grid while having 10 cells in a cross-section, would dramatically increase the computational costs. For that reason we chose to allow for aspect ratios of up to 3 in the case of the smallest aperature of 1 mm.

³⁹⁷ For detailed numerical settings, see Appendix B.

³⁹⁸ 4 Results and Discussion

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4.1 Dimensionless Fluxes Obtained from Numerical Scenario Simulations

For a first evaluation and interpretation of the results of the numerical scenario simulations, the classical approach from the CCS-related porous-media literature on convective dissolution is used, where fluxes are non-dimensionalized to retrieve a flux effi-

Case	Concentration	Method	Finger-Front Velocity
	$\left[\frac{mol}{mol} ight]$		$\left[\frac{cm}{min}\right]$
V_I	1×10^{-3}	Experiment Simulation	1.33 1.69
V_{II}	5×10^{-4}	Experiment Simulation	$\begin{array}{c} 0.84\\ 1.13\end{array}$

Table 6: Comparison of finger-front velocities from validation simulations to experimental data.

ciency $\langle F \rangle$ (for interpretation see Equation (1)), using a unique and constant value for 404 the characteristic velocity (detailed procedure is described in Appendix C). This approach 405 allows for a simplified analysis of the results by scaling fluxes with a finger-front veloc-406 ity that was evaluated for all fractures at the same reference control height, here h_{30} . The 407 analysis is subdivided below into three categories, each corresponding to a distinct tem-408 perature regime: 8 °C, 20 °C, and typical reservoir conditions for CCS. For each cate-409 gory, the curves of the flux efficiency over dimensionless time τ ($\tau = t \frac{v_c}{d}$ with v_c be-410 ing the finger-front velocity evaluated at control height h_{30}) are analyzed. The details 411 of the non-dimensionalization procedure for the calculated fluxes can be found in Ap-412 pendix C2. 413

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4.1.1 Karstic-System

Two of the temperature categories are related to the karstic systems. Without specific evidence, we label the temperature of 20°C as related to more tropical karst systems, while we have good data to associate the 8°C regime with karstic systems of the Swabian Jura in southern Germany (Class et al., 2023).

The semi-logarithmic plot for 20°C in Figure 3 shows a distinct clustering related 419 to the different apertures. The flux efficiency for the fractures with an aperture of $1 \times$ 420 10^{-01} m is consistently lower with $\langle F \rangle < 1 \times 10^{-2}$. In contrast, the fractures with 1×10^{-1} 421 10^{-02} m aperture end up far above that value with $\langle F \rangle > 2 \times 10^{-02}$. Furthermore, a 422 more rapid stabilization of the flux efficiency, $\langle F \rangle$, can be observed for the small aper-423 ture of 1×10^{-02} m, which is distinctly different for the large apertures. The period, 424 when quasi-equilibrium is then established, is still featuring minor oscillations for the large 425 apertures. Even more pronounced are the observed oscillations for the small aperture 426 during the equilibrium period. The double-logarithmic plot in the figure's inset zooms 427 into the transient flux behavior in the initial phase, where small, sharp spikes suggest 428 the observed occurrence of first instabilities. This indicates that the onset in dimension-429 less time (τ_o) is $\approx 1 \times 10^{-02}$ for the small apertures and $\approx 1 \times 10^{-01}$ for the larger 430 apertures. 431



Figure 3: Semi-logarithmic plot of characteristic flux $\langle F \rangle$ as a function of dimensionless time τ for a series of applied CO₂ concentrations x and apertures a in karst settings at a constant temperature of 20 °C. The inset is a double-logarithmic plot offering a detailed view of the initial phase illustrating the changes in flux at the onset of the process. It can be observed that all curves tend to reach a constant $\langle F \rangle$, while this occurs not at the same dimensionless time and at the same magnitude.

For a temperature of 8° C, the semi-logarithmic plot in Figure 4 shows again a pro-432 nounced clustering according to the fracture apertures, similar to the observations at 20° C. 433 The flux efficiency for fractures with an aperture of 0.01 m stabilizes more swiftly, achiev-434 ing a higher final value with $\langle F \rangle$ between $\approx 5 \times 10^{-02}$ and $\approx 3 \times 10^{-02}$. In contrast, 435 for the larger apertures, $\langle F \rangle$ ranges from approximately 1×10^{-02} to 6×10^{-03} . Dur-436 ing the equilibrium period, larger apertures exhibit minor oscillations. On the other hand, 437 the smaller 0.01 m aperture shows significantly larger oscillations, suggesting that ad-438 justments are less easily occurring due to the smaller aperture size and larger viscous 439 resistance. Similar to the findings for 20 °C , small, sharp peaks suggest the observed 440 occurrence of first instabilities. The onset in dimensionless time (τ_o) is as before $\approx 1 \times$ 441 10^{-02} for the small apertures and 1×10^{-01} for the larger openings. Note that this does 442 not mean that the physical (dimensional) onset occurs earlier for smaller fractures. 443



Figure 4: Semi-logarithmic plot of characteristic flux $\langle F \rangle$ as a function of dimensionless time τ for a series of applied CO₂ concentrations x and apertures a in karst settings at a constant temperature of 8 °C. The inset is a double-logarithmic plot offering a detailed view of the initial phase illustrating the changes in flux at the onset of the process. Observations are in analogy to Fig. 3.

4.1.2 CCS-System

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The semi-logarithmic plot in Figure 5 illustrates the flux efficiency, $\langle F \rangle$, for the three 445 simulated scenarios, labeled with S, C, and D. A discernible difference in the stabiliza-446 tion of $\langle F \rangle$ is observed among the scenarios. In Scenario S, the flux stabilizes at a higher 447 value of approximately 4×10^{-2} , whereas Scenarios C and D converge to a slightly lower 448 value near 3×10^{-2} . The equilibrium period for Scenario S is characterized by pronounced 449 oscillations, reflective of significant flux fluctuations. In contrast, Scenarios C and D ex-450 hibit more subdued oscillations. The inset's double-logarithmic scale provides a detailed 451 view of the initial transient behaviors, with the marked fluctuations in Scenario S po-452 tentially indicating the early onset of instabilities. This variation in the initial flux be-453 havior suggests that the scenarios may differ in their respective timings for the devel-454 opment of instability and subsequent flux adjustments. 455



Figure 5: Semi-logarithmic plot of characteristic flux $\langle F \rangle$ as a function of dimensionless time τ for various CCS scenarios labeled S, D, and C, which represent different ambient conditions or temperature settings. The inset is a double-logarithmic plot highlighting the early-time flux behavior in detail, illustrating the distinct response for each scenario. A constant flux is observed for all cases at very early dimensionless time, while fluctuations increase distinctly after $\tau = 1 \times 10^{-02}$ and 1×10^{-01}

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4.1.3 Preliminary Summarized Interpretation of Simulation Results

The results of the numerical scenario simulations described in Table 1 and Table 2 457 highlights the complex interplay between concentration, aperture, and temperature and 458 their influence on flux efficiency, $\langle F \rangle$, over τ . Simulations with a 1 mm aperture did not 459 exhibit fingering under karstic conditions, which is evidence that a threshold aperture 460 exists for this phenomenon to occur. The rest of the simulations with fingering are shown 461 in Figures 3 to 5 and show an initial diffusion-dominated regime with a rapid influx fol-462 lowed by a steady decrease due to the thickening of the diffusion layer at the gas-water 463 interface and a corresponding decrease in the concentration gradient. 464

As the simulations progress, the onset of fingering or natural convection is observed 465 at varying times across the different scenarios. Spikes in the flux are indicative of the 466 commencement of fingering. Notably, the steady-state values observed do not align with 467 the flux-efficiency values reported in the literature of $\langle F \rangle \approx 1 \times 10^{-01}$ to 1×10^{-02} 468 (De Paoli et al., 2020), $\langle F \rangle \approx 1.7 \times 10^{-02}$ (Hesse, 2008; Green & Ennis-King, 2018), 469 or $\langle F \rangle \approx 2 \times 10^{-02}$ (Elenius & Johannsen, 2012). Simulations with apertures of 1 cm 470 and smaller tend to a slightly higher flux efficiency, while simulations with 10 cm aper-471 ture tend to a lower flux efficiency. It is, however, not surprising that the literature val-472 ues cannot be reproduced more accurately, since the chosen characteristic velocity used 473 for scaling is defined differently. In porous-media research, a Darcy-velocity is calculated, 474 while we evaluate a finger-front velocity from a Navier-Stokes model at a given control 475 height. We remark further that the choice of a unique control height implies that the 476

real finger-front velocities are in general not equal to this calculated velocity. Further-477 more, a Darcy-velocity is a continuity-based average velocity, while a finger-front veloc-478 ity is not averaged. In comparison to De Paoli et al. (2020), where the 1 mm aperture 479 shows already a distinctly different flux efficiency, here the main difference is encountered between 1 and 10 cm aperture. This could have several reasons; one is that the use of 481 a Darcy-velocity in De Paoli et al. (2020) can lead to that effect; second, there might be 482 another jump in efficiency in aperture sizes not studied in this study. Irrespective of the 483 difficulty in comparing the results here with the literature, the main conclusions remain: 484 smaller fractures do have higher fluctuations during the fingering regime, and fractures 485 with an aperture of 10 cm show a completely different flux efficiency. Furthermore, the 486 amount of oscillation seems to follow a pattern: the greater the driving force, i.e. the con-187 centration and hence the density difference, the smaller the oscillations; the greater the 488 viscous forces resisting the detachment of the fingers, the larger the oscillations. The in-489 terpretation is that the easier it is for a finger to detach, given the driving force and the 490 resisting force, the smaller the oscillations will be due to a more continuous process. 491

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4.1.4 Notes on the Comparison of Onset Times

Finding the onset time proved to be a challenge. In comparison to CCS-related studies, we could not find an equally distinct minimum in the CO₂ flux that would indicate the transition from the diffusive to the convective regime. Visual inspection showed that apertures smaller than 1 cm have their first distinct spike at around $\tau \approx 1 \times 10^{-02}$, while apertures of 10 cm have their first spike in the order of $\tau \approx 1 \times 10^{-02}$ to 1×10^{-01} . For instance, Hesse (2008) found a relationship in the context of CCS using porous-media flow equations.

$$\tau_o = 6215 \frac{\phi \mu^{11/5} D^{6/5}}{\left(k \Delta \rho g\right)^{11/5} H^{1/5}} = 6215 \frac{\phi \mu^{11/5} D^{6/5}}{\left(\frac{a^2}{12} \Delta \rho g\right)^{11/5} H^{1/5}}$$
(21)

One could now compare both the estimated onset times from our study to non-dimensional 493 onset time τ_o from the literature. Such a comparison is, however, not very useful since 101 the definition of u_c to scale the non-dimensional time is different. Still, the physical/di-495 mensional onset times in seconds can be compared to other studies. The order of onset 496 time found in this study is 10 s. In comparison, Elenius and Johannsen (2012) found on-497 set times between 40 days and 700 years. Ennis-King (2005) reported values as low as 498 0.0026 years, i.e., ≈ 1 day. In conclusion, for predicting effective entry rates into a frac-499 ture we recommend to neglect the onset time. For a fractured CCS reservoir, the mass 500 of CO_2 transported by convective mixing within fractures is probably not significant. Nonethe-501 less, it might be worth to scrutinize whether a quick perturbation caused by induced in-502 stabilities in fractures could lead to an earlier larger scale convective mixing in a CO_2 503 storage reservoir. 504

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4.2 Flux, Flux Efficiency and 3-Dimensional Effects

Having identified the aperture as the dominant factor influencing the temporal evolution and the final quasi-stationary value of the flux efficiency, $\langle F \rangle$, two exemplary showcases, differing only in aperture, are compared in the following with respect to the evolution of the fingers.

Figure 6 consists of multiple plots arranged to illustrate the flux behavior through 510 two fractures of 10 cm and 1 cm aperture under otherwise identical specific conditions. 511 In the top row, two temporal developments of flux are presented: the left one depicts a 512 fracture of 10 cm aperture at a temperature of $8^{\circ}\mathrm{C}$, having a x_{CO_2} of $1{\times}10^{-04}$ mol/-513 mol at the boundary, while the right one represents the same setting but with a smaller 514 aperture of 1 cm. The analysis of the 10 cm fracture reveals distinct periods in the flux 515 behavior. Initially, signs of instability become apparent after around 200 s, followed by 516 a decrease of the flux until 600 s. A significant peak is observed between 600 and 1200 s, 517

after which the flux then stabilizes, albeit with a slight tendency towards minor fluctuations after 3600 s. In contrast, the 1 cm fracture maintains a quasi-steady flux value already from 200 s onwards, however with a more pronounced noise.

Contour plots corresponding to the 10 cm fracture visually correlate these observations with comprehensive mechanisms. Early stages are characterized by numerous small instabilities that gradually merge into multiple, larger fingers until 600 s, beyond which then a single dominant finger emerges, indicating the attainment of a quasi-stationary flux. Conversely, the contour plots for the 1 cm fracture reveal a persistent parabolic profile throughout, with no evidence of convergence towards a singular finger forming.

Merging of fingers is not only discovered in our 3-D simulations. Also Elenius and Johannsen (2012) found merging fingers and even reported that 'During the time that fingers merge, the vertical movement seems to be restricted in favor of the horizontal movement' ((Elenius & Johannsen, 2012), Figure 7). This is in agreement with the findings elaborated in this sectionr, i.e., the number of fingers completely changes the dynamics of the system.

In analyzing the flux efficiency, $\langle F \rangle$, it becomes evident that fractures maintain-533 ing a parabolic profile exhibit significantly higher flux efficiency compared to those show-534 ing 3-D effects. Remarkably, the smaller apertures even surpassed the larger apertures 535 when it comes to actual flux of CO_2 per area and time. This shows that the difference 536 in flux efficiency is so significant that a smaller resistance against flow, i.e., larger aper-537 ture, cannot balance this phenomenon. Furthermore, fractures with 3-D features pose 538 considerable challenges for predictions due to the complexity introduced by the merg-539 ing of fingers. This formation process consists of several stages, each of which must be 540 captured in any predictive model to reflect the evolving dynamics of the flow; alterna-541 tively the merging could be ignored and only the final $\langle F \rangle$ considered, while keeping in 542 mind that this will cause an inaccuracy in the prediction for the early stages of finger-543 ing. The results of this study could not reveal details about the transitioning from smaller 544 fractures with a parabolic profile to larger fractures with 3-D effects, whether this oc-545 curs continuously or rather as jumps in terms of the flux efficiency. More numerical ex-546 periments are required, which is beyond the scope of this study. 547

4.3 Rayleigh-Number Invariance of Flux Efficiency

In Section 2.3, it was shown that the adopted definition of the Rayleigh number can be interpreted as being of the Péclet-number type. As elaborated before, the literature provides evidence that $\langle F \rangle$ is Rayleigh invariant in CCS reservoirs. Recalling once again their definitions,

$$\langle F \rangle = \frac{1}{u_c \rho x_{\rm CO_2,c}}$$

and

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$$Ra = \frac{u_c L_c}{D} \,,$$

it follows that F is a linear function of u_c and independent of D and L_c in porous-media 549 CCS reservoirs; in other words, the larger u_c , the larger is the advective flux. Previous 550 results (Sections 4.1 and 4.2), however, revealed a more nuanced picture. While each frac-551 ture at some point reaches a constant flux efficiency, their respective values differ from 552 case to case. The diffusion coefficient D is a given material property. Note that depth 553 d, which is commonly used for L_c in porous-media research was held constant there. Let 554 us now question this assumption and try to identify an appropriate definition of the char-555 acteristic length. 556

We observed previously that depending on 3-D effects, a constant flux efficiency establishes only after the merging of fingers is complete, i.e., after a certain distance from the gas-water interface (parameter χ in Figure 1). We hypothesize now that the char-



acteristic length is the length where the flux efficiency is fully developed. It is further remarked that the reason for the different characteristic lengths is the development of a boundary layer (for theory see Section 2.4). Using the Schmidt number,

$$Sc = \frac{D}{\nu}$$
,

allows converting the critical Rayleigh number into a Reynolds number

$$Re = \frac{u_c L_c}{\nu} \,.$$

Using Equation (20) we find that the derivative of the boundary layer with respect to χ is as follows:

$$\frac{\partial a^{\star}}{\partial \chi} = \begin{cases} 0, & \text{for } a \le 2\delta\\ \frac{-5}{Re_{\chi}^{1/2}}, & \text{for } a > 2\delta \end{cases}$$
(22)

The conclusion is that the critical Rayleigh number corresponds to a certain depth at which a posed condition (defined by Ra and Sc) on the change in boundary layer thickness is satisfied. The validity of this idea is analysed below.

For each simulation run, the flux efficiency was determined during various time pe-560 riods using the median flux and the breakthrough velocity between control heights. The 561 results can be seen in Figure 7. Note that this new scaling approach introduces now a 562 non-continuous course of the $\langle F \rangle$ curves due to the non-constant and non-continuous choice 563 of the front-velocity as it is evaluated segment-wise between two control heights, see ex-564 emplary curves in Figures D1 and D2 and compare with the respective continuous curves 565 in Figures 3 to 5 where a constant front-velocity was used. The non-continuity of front-566 velocity and $\langle F \rangle$ with respect to τ is not addressed in the further, while it is also not of 567 importance for our evaluation. 568

Figure 7 presents an analysis of flux efficiency as a function of an evaluated Rayleigh number, where for each calculated data point the characteristic length is defined as the respective depth at which both flux and velocity are evaluated. Based on our observation, we justify to assume for a curve fitting that flux efficiency, $\langle F \rangle$, initially starts with a high value, dominated by diffusion mechanisms at the gas-water interface. $\langle F \rangle$ declines subsequently and approaches an asymptotic value. We can then introduce the following approach to fit the calculated data points with continuous curves:

$$\langle F \rangle(Ra) = \frac{1}{\lambda_{\langle F \rangle}Ra} + \langle F \rangle_{\infty}$$
 (23)

The relationship between the fitting parameters is illustrated in Figure 8. $\langle F \rangle_\infty$ is the 569 asymptotic (final) efficiency for a (hypothetically) infinitely deep fracture, while $\lambda_{\langle F \rangle}$ is 570 a measure of how fast the efficiency declines. We introduced a criterion to indicate when 571 $\langle F \rangle$ approaches its quasi-constant final value. For that we assumed that 1.2 times the 572 final efficiency value $\langle F \rangle_{\infty}$ is an appropriate measure to demarcate flux stabilization. The 573 value of 1.2 was chosen based on expert judgement without any derivation. The detailed 574 derivation for the black line in Figure 7, denoting $\langle F \rangle_{crit} = f(Ra_{crit})$, is given in Ap-575 pendix Appendix E. Notably, the analysis highlights that the fits for fractures measur-576 ing 10 cm in aperture exhibit a significantly higher critical Rayleigh number alongside 577 a reduced efficiency. This underscores the impact of fracture apertures on the dynam-578 ics of convective fluid flow and its efficiency to transport CO₂, with larger fractures demon-579 strating a distinct behavior characterized by lower efficiency and altered critical thresh-580 olds for flux stabilization. 581



Figure 7: The graph depicts the relationship between the flux efficiency $\langle F \rangle$ and the Rayleigh number, Ra, for different control heights and temperature conditions. The data points are colored based on control heights: 5 cm (blue), 25 cm (green), 30 cm (orange), and 35 cm (red), with further distinction for temperatures at 8°C (blue lines), 20°C (green lines) and CCS-reservoir conditions (red lines). The shade to the right of the line of critical Ra values indicates the region of Rayleigh-invariance for the fitted curves.

The parameter combinations found in the fitting of Equation (23) are shown in Figure 8. The correlation is obvious and underlines that the initial drop of the efficiency, attributed to $\lambda_{\langle F \rangle}$, correlates strongly with the final efficiency, $\langle F \rangle_{\infty}$.



Figure 8: The scatter plot illustrates the results of a curve-fitting approach, using Equation (23). Each data point corresponds to a set of fitted parameters $(\lambda_{\langle F \rangle}, \langle F \rangle_{\infty})$. The relationship between the two was found to fit with $\langle F \rangle_{\infty} = 48.299\lambda_{\langle F \rangle} - 0.9658$. The lower left dots are the results for the large apertures, while the upper right have smaller apertures.

4.4 Predictions for Efficiency and Fluxes

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We have formulated as aim of this study that the evaluation and interpretation of the performed numerical experiments with highly resolved OpenFOAM simulations should enable us finally to estimate CO_2 influx rates due to convective dissolution, admittedly for the beginning only in academically idealized fractures. For this purpose, we propose a procedure as explained in the following.

We have found previously that there is a critical Rayleigh number above which the 591 flux efficiency approaches a constant value or, in other words, above which the flux ef-592 ficiency is Rayleigh-invariant. Recalling Equation (1), it is proposed that this equation 593 holds as soon as the conditions in a fracture of interest surpass the critical Rayleigh num-594 ber. We can assume that the boundary-layer developments are the primary reason for 595 the observed differences in the curves of the flux efficiency plotted over the Rayleigh num-596 ber. In accordance with our definition of the Rayleigh number, we can make use of the 597 Schmidt number to derive a critical Reynolds number which is associated to a condition 598 of the derivative of the Prandtl-Blasius boundary-layer development (Equation (22)). To 599 actually predict the flux rates, it is first of all required to know $\langle F \rangle$ and u_c , as well as 600 the vertical distance from the gas-water interface below which these estimates hold. 601

Given that, it is then proposed to first find an estimate for the final efficiency, $\langle F \rangle_{\infty}$, which can be transferred into a critical Rayleigh number. Using the boundary-layer theory and the beforehand determined critical Rayleigh number allows then to find a u_c and a L_c , with L_c representing the distance, χ , that the fingers need to reach from the gaswater interface. In Appendix E1, the details of how to determine the characteristic velocity and the characteristic distance to the gas-water interface from a critical Rayleigh-number are provided. Applying this approach leads to results for the estimated velocity compared to the observed velocity as shown in Figure 9. The dots are highlighting velocities found in the data that also satisfy the condition of $Ra > Ra_{crit}$; they obviously show very good agreement between the proposed procedure and the data.



Figure 9: This figure illustrates the comparison between measured velocity from numerical experimental data and velocity derived from data for given final efficiencies $\langle F \rangle_{\infty}$. The velocity is derived from the relationship between the final flux efficiency, the critical Rayleigh number, the Schmidt number, the Reynolds number and a boundary layer development condition (for details see Appendix E1). As can be seen, it is possible to derive a characteristic velocity from a critical Rayleigh number, without the need to predict the characteristic velocity.

Finally, to allow for a prediction of flux rates, it is required to robustly estimate the critical flux efficiency or the fitting parameter $\langle F \rangle_{\infty}$. From that, the critical Rayleigh number can be determined as well as subsequently the other required values, see above. We suggest to use first of all three dimensionless numbers, denoted below as II-quantities (inspired by Buckingham's II-Theorem), to reduce the number of involved parameters.

The suggested procedure yields finally the following relationship:

$$\langle F \rangle_{\infty} = \frac{0.268}{\Pi_1^{1/6} \Pi_2^{1/6} \Pi_3^{1/5}} \tag{24}$$

A comparison of the values determined in this way with the calculated data of the numerical experiments is given in Figure 10.



Figure 10: Predicted final flux efficiency, $\langle F \rangle_{\infty}$, against the $\langle F \rangle_{\infty}$ from the numerical experiments. The data points are are colored based on the case temperature; 8 °C (blue), 20 °C (green) and the CSS related simulations are in red. Additionally the fracture aperture is shown with different markers. The gap in flux efficiency $\langle F \rangle$ between the 10 cm and and 1 cm apertures is prominently visible, also referred to as different modes, while it seems there is no gap or transition of modes between 1 mm and 1 cm. In general the model displays the trend accurately, however due to the lack of data between 1 and 10 cm continuity between the modes remains unclear for now.

4.4.1 Algorithm for Flux-Predictions 623

The input values are: $\rho_0, \Delta \rho, a, \nu, D, g$ and x_{CO_2}

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- 626
- Calculate $\langle F \rangle_{\infty} = \frac{0.268}{\Pi_1^{1/6} \Pi_2^{1/6} \Pi_3^{1/5}}$ Use $Ra_{crit} = \frac{48.299}{0.2 \langle F \rangle_{\infty} (\langle F \rangle_{\infty} 0.9685)}$ Calculate $\langle F \rangle$ from Equation (E7)
 - Solve the system of equations described in Equation (E10) for u_c
 - Use Equation (1) to predict the CO₂-flux per aperture-area.

4.4.2 Test of Predictions 630

The simulations employed to validate the model (see Section 3.6) were explicitly 631 excluded from the above explained fitting process. This deliberate separation allows for 632 an evaluation of the model's predictive capabilities using a set of data akin to a test dataset, 633 distinct from the training dataset. The outcome of this evaluation is detailed in Tables 7 634 and 8. 635

Tał	bl	e 7	: (Comparison	of ($\langle F \rangle_{\infty}$	and	u	predictions	with	data
-----	----	-----	-----	------------	------	------------------------------	-----	---	-------------	------	------

		$\langle F \rangle_{\infty}$		u				
Case	$\langle F \rangle_{\infty {\rm pred}}$	$\langle F \rangle_{\infty \rm data}$	Error	$u_{\rm pred}$	$u_{\rm data}$	Error		
$\overline{V_I}$	1.39×10^{-02}	7.20×10^{-03}	9.23×10^{-01}	1.13×10^{-04}	2.82×10^{-04}	-5.99×10^{-01}		

Recalling Equation (1) allows for directly using the errors from $\langle F \rangle$ and u_c , cal-636 culating an error for the overall physical flux F: 637

	F	ק
Case	$F_{\rm pred}$	Error
$\overline{V_I}$	8.57×10^{-05}	-2.29×10^{-01}
V_{II}	4.03×10^{-05}	-2.55×10^{-01}

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4.4.3 CO₂ Flux Estimates under Karstic Conditions

Class et al. (2021) investigated how much CO₂ could enter a water body per unit 639 time. The predictive approach developed in this study was used to predict entry rates 640 under the same conditions. In Table 9 it can be seen that this study is consistent with 641 their estimated fluxes of $\approx~10~{\rm g/m^2}$ month. 642

Aperture Size	$\rm CO_2$ -flux
[m]	$\left[\frac{g}{m^2 \text{ month}}\right]$
0.01	33.16
0.02	24.92
0.03	17.27
0.04	16.48
0.05	14.67
0.06	15.83
0.07	12.99
0.08	12.49
0.09	13.46
0.10	13.00

Table 9: Predicted CO₂-fluxes in [g/m² month] into a fracture subjected to 16000 ppm $p_{\rm CO_2}$ at 8 °C .

It is noted, that the flux per unit area and time increases with decreasing aperture, while this behavior is obviously non-linear. Furthermore, this table needs to be taken with care for very small apertures, since the fingering phenomenon will not occur when viscous resistance is too high relative to the driving force, i.e., the density difference.

⁶⁴⁷ 5 Conclusions

Period of constant flux and flux efficiency in convective dissolution in fractures Similar to the observation made for porous media, the flux F and flux efficiency $\langle F \rangle$ due to CO₂ convective dissolution in open fractures reaches a constant value after some time. In contrast to porous media, the fractures revealed also a period of fingering during which flux and flux efficiency are still changing. The onset of fingering does not directly lead directly to a period of constant flux.

Flux efficiency values exhibit modes The flux behaviour, and hence $\langle F \rangle$, within the fractures shows a distinct difference between fractures in which 3-D fingering effects occur and those exhibiting a parabolic profile. The resulting flux efficiencies $\langle F \rangle$ are so different that fractures with smaller apertures have a higher flux of CO₂ within the fracture than their larger counterparts. The exact nature of the transition between modes of flux efficiency, whether it is a smooth transition or a distinct jump, remains unresolved for now.

How to predict CO_2 entry rates in a water-filled fracture We propose that it is 661 crucial to identify a Rayleigh invariance, analogous to what has been observed in stud-662 ies of porous media. The analysis established a clear relationship between the period of 663 stable flux efficiency and a case-dependent critical Rayleigh number. It was observed that 664 exceeding this critical Rayleigh number correlates with fingers extending beyond a cer-665 tain distance to the gas-water interface. This distance is significantly influenced by the 666 fracture aperture and, thus, varies with the developing boundary layer. Based on the in-667 teraction of critical Rayleigh number and boundary layer development, a novel predic-668 tion approach has been developed and experimentally validated. The technique employed 669 here provides a reliable, physically based framework, within the limitations of the data, 670 for scaling up CO₂ flux predictions due to convective dissolution from open fractures to 671 larger field-scale models. This strategy provides a viable way of incorporating precise local-672 scale phenomena into larger-scale geospatial models and the possibility to extend this 673 work with appropriate boundary layer assumptions. 674

Implications for karst research The estimates of potential CO_2 fluxes into frac-675 tures derived from this study closely match those from previous research, such as Class 676 et al. (2021), confirming that under certain conditions the amount of CO_2 dissolving into 677 a fracture could be as high as several tens of grams per square meter per month. Specif-678 ically, this study has added insights about how the fluxes per unit area increase with de-679 creasing fracture aperture. Thus, many small fractures lead to a higher convective CO_2 680 flux than fewer but larger fractures. This highlights the relevance of convective CO_2 dis-681 solution in karstic systems to be considered for speleology. 682

Current limitations, open questions, and prospects The prediction of the final flux efficiency is currently limited by open questions regarding the understanding of the transition between three modes, i.e., (i) no fingering, (ii) fingering exhibiting a parabolic profile, and (iii) fingering exhibiting 3-D effects. The first open question is concerned with the conditions under which fingering starts, i.e., at which aperture size for a given concentration? The second question has to addresses whether the shift from parabolic to 3D flow behavior is a jump-like phenomenon.

A separate, yet significant, unresolved issue concerns the onset times in open fractures, which are typically small and, thus, considered negligible for predicting long-term effective fluxes resulting from the convective dissolution of CO_2 in these structures. In the realm of carbon capture and storage (CCS), the rapid initiation of convective mixing within small fractures and fissures may influence larger-scale dynamics. However, this hypothesis remains speculative and necessitates further empirical study.

Eventually, the current state of the newly developed predictive approach remains preliminary due to lack of more data (and their associated cost). It is expected to be significantly refined as more data becomes available and at the same time assumptions are lifted, in particular as geochemical processes such as calcite dissolution and a potential influence of pH are incorporated into the models. In addition, fracture inclination and surface roughness could be incorporated into this framework with appropriate boundary layer assumptions.

Appendix A Non-dimensionalization of Navier-Stokes Momentum Balance

The momentum balance in the direction of gravity, including the Boussinesq approximation has the following form:

$$\rho_{0}\frac{\partial v}{\partial t} + \rho_{0}\left(\frac{\partial uv}{\partial x} + \frac{\partial vv}{\partial y} + \frac{\partial wv}{\partial z}\right)$$
$$= -\frac{\partial p + \rho_{0}gy}{\partial y} + \mu\left(\frac{\partial^{2}v}{\partial x^{2}} + \frac{\partial^{2}v}{\partial y^{2}} + \frac{\partial^{2}v}{\partial z^{2}}\right) + \rho_{0}g\gamma\Delta x_{\rm CO_{2}}$$
(A1)

A standard way, using the approach that a dimensional quantity can be described with a characteristic dimensional quantity and a dimensionless quantity (similiar to Section 2.3), to non-dimensionalize yields:

$$\frac{\rho_0 v_c}{t_c} \left(\frac{\partial \hat{v}}{\partial \hat{t}} \right) + \frac{\rho_0 u_c^2}{L_c} \left(\frac{\partial \hat{u} \hat{v}}{\partial \hat{x}} + \frac{\partial \hat{v} \hat{v}}{\partial \hat{y}} + \frac{\partial \hat{w} \hat{v}}{\partial \hat{z}} \right) \\ = -\frac{p_c}{L_c} \frac{\partial \hat{p}}{\partial \hat{y}} + \frac{\mu v_c}{L_c^2} \left(\frac{\partial^2 \hat{v}}{\partial \hat{x}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{y}^2} + \frac{\partial^2 \hat{v}}{\partial \hat{z}^2} \right) + \rho_0 g \gamma \Delta x_{\text{CO}_2,c} \hat{x}_{CO_2}$$
(A2)

Choosing $t_c = \frac{L_c}{v_c}$ and $p_c = \rho_0 v_c^2$ and then dividing by $\frac{\rho_0 v_c^2}{L_c}$ yields:

$$\frac{\partial \widehat{v}}{\partial \widehat{t}} + \frac{\partial \widehat{u}\widehat{v}}{\partial \widehat{x}} + \frac{\partial \widehat{v}\widehat{v}}{\partial \widehat{y}} + \frac{\partial \widehat{w}\widehat{v}}{\partial \widehat{z}} \\
= \frac{\partial \widehat{p}}{\partial \widehat{y}} + \frac{\mu}{\rho_0 v_c L_c} \left(\frac{\partial^2 \widehat{v}}{\partial \widehat{x}^2} + \frac{\partial^2 \widehat{v}}{\partial \widehat{y}^2} + \frac{\partial^2 \widehat{v}}{\partial \widehat{z}^2} \right) + \frac{\rho_0 g \gamma \Delta x_{\text{CO}_2,c} L_c}{\rho_0 v_c^2} \widehat{x}_{CO_2} \tag{A3}$$

705 Appendix B Numerical Settings

	(Cell No).				
Aperture	x [-]	у [-]	z [-]	x [m]	y [m]	z [m]	Max. Aspect Ratio
1 mm 1 cm 10 cm	$700 \\ 400 \\ 200$	$ \begin{array}{r} 10 \\ 20 \\ 100 \end{array} $	$ \begin{array}{r} 1400 \\ 800 \\ 400 \end{array} $	$\begin{array}{c} 2.86 \times 10^{-04} \\ 5.0 \times 10^{-04} \\ 1.0 \times 10^{-03} \end{array}$	$\begin{array}{c} 1.0 \times 10^{-04} \\ 5.0 \times 10^{-04} \\ 1.0 \times 10^{-03} \end{array}$	$\begin{array}{c} 2.86 \times 10^{-04} \\ 5.0 \times 10^{-04} \\ 1.0 \times 10^{-03} \end{array}$	$2.86 \\ 1.0 \\ 1.0$

Table B1.	Summary	of	meshes	used	in	the	simula	tions
Table D1.	Summary	or	mesnes	uscu	111	one	Simula	unons.

Finite Volume Shemes					
Gradient Scheme	Gauss linear				
Divergence Scheme	Gauss upwind				
Laplacian Scheme	Gauss linear uncorrected				
Interpolation Scheme	linear				

Table B2: Summary of Finite-Volume schemes used in the simulations.

Linear Solver Settings								
Equation	Solver	Preconditioner	Smoother	Tolerance	Relative Tolerance			
р	GAMG		DIC	1×10^{-06}	1×10^{-02}			
U	PBiCGStab	DILU		1×10^{-08}	1×10^{-03}			
$\rm CO_2$	PBiCGStab	DILU		1×10^{-06}	1×10^{-04}			

Table B3: Summary of linear solvers used in the simulations.

Appendix C Derivation of Dimensional and Dimensionless Flux (Efficiency)

C1 Dimensional

708

Raw data from the custom OpenFOAM solver consists of the global sum of concentration times the cell volume for each time step. Multiplying this by the molar volume yields the moles in the system at a given time.

$$n_{\rm CO_2} = \sum_{i=0}^{n_{cell}} x_{\rm CO_2, i} \times \rho_{mol, i} \times V_i \text{ [mol]}$$
(C1)

However, the quantity of interest is the amount of moles crossing the interface per unit of time. Assuming that ρ_{mol} remains constant over time, this yields for the change of

moles over time:

$$\dot{n}_{\rm CO_2} = \frac{\Delta n_{\rm CO_2}}{\Delta t} \; [\rm mol/s] \tag{C2}$$

The mole flux over the interface is therefore:

$$\dot{n}_{\rm CO_2}\big|_{Interface} = \frac{\dot{n}_{\rm CO_2}}{A_{Interface}} \; [{\rm mol/m^2 \; s}] \tag{C3}$$

709 C2 Dimensionless

710

For the analysis of dimensionless quantities we start again at eq. (C1). First, we introduce the dimensionless molar amount.

$$\hat{n}_{\rm CO_2} = \frac{n_{\rm CO_2}}{x_{\rm CO_2,c} \times \rho_{mol} \times V_{fracture}} \ [-] \tag{C4}$$

The dimensionless time is now scaled using a characteristic velocity and the fractures depth :

$$\hat{t} = \frac{t \times u_c}{d} \ [-] \tag{C5}$$

Characteristic flux yields:

$$\dot{\hat{n}}_{\rm CO_2} = \frac{\Delta \hat{n}_{\rm CO_2}}{\Delta \hat{t}} \ [-] \tag{C6}$$

The relationship between eq. (C3) and eq. (C6) is:

$$\dot{n}_{\rm CO_2}\big|_{Interface} = \dot{\hat{n}}_{\rm CO_2} \times x_{\rm CO_2,c} \times \rho_{mol} \times u_c \tag{C7}$$

Rearranging yields:

$$\frac{\dot{n}_{\rm CO_2}|_{Interface}}{x_{\rm CO_2,c} \times \rho_{mol} \times u_c} = \dot{\hat{n}}_{\rm CO_2} = \langle F \rangle \tag{C8}$$

This relationship highlights the interpretation that the dimensionless flux can be seen
 as a measure of flux efficiency.

Appendix D Analysis of Characteristic Velocities for Multiple Control Heights

As seen in Figure 1, breakthrough curves are determined at various heights. For the exemplary fracture of height 0.4 m, these heights are at 38, 35, 30, 25, 5 cm, respectively. Breakthrough times are now evaluated by comparing the average concentration at a given height to a threshold of 1×10^{-06} times the boundary concentration. The difference in time and distance is then equated to a finger-front velocity. Due to the close proximity of the 38 cm control height and a premature detection of fingering due to diffusion, the velocities are only determined using the layers below.

The effect of a non-constant characteristic velocity is depicted in Figures D1 and D2.
Note that due to the discrete nature of our control heights the curves do overlap but their
magnitude remains farily similar.


Figure D1: Flux efficiency against dimensionless time for a fracture of aperture 1 mm and a concentration of 1 \times 10⁻⁰⁴ mol/mol at the boundary. The overlapping is caused by the scaling of τ which includes in our case a depth and time dependent characteristic velocity u_c .



Figure D2: Flux efficiency against dimensionless time for a fracture of aperture 1 mm and a concentration of 1×10^{-04} mol/mol at the boundary. The overlapping is caused by the scaling of τ which includes in our case a depth and time dependent characteristic velocity u_c .

⁷²⁵ Appendix E Definition and Usage of the Critical Rayleigh Number

From the chosen approach that

$$\langle F \rangle = \frac{1}{\lambda_{\langle F \rangle} Ra} + \langle F \rangle_{\infty} \tag{E1}$$

by defining $\langle F \rangle_{const.} \equiv 1.2 \langle F \rangle_{\infty}$ we obtain the critical Rayleigh number:

$$Ra_{crit} = \frac{1}{0.2\lambda_{\langle F \rangle} \langle F \rangle_{\infty}} \tag{E2}$$

Furthermore in (Figure 8) it was found that:

$$\lambda_{\langle F \rangle} = \frac{\langle F \rangle_{\infty} + 0.9685}{48.299} \tag{E3}$$

Inserting leads to:

$$Ra_{crit} = \frac{48.299}{0.2\langle F \rangle_{\infty} (\langle F \rangle_{\infty} + 0.9685)} \tag{E4}$$

For the line, separating the Rayleigh invariant part in Figure 7, the functional relationship of the form $\langle F \rangle_{const.} = f(Ra)$ is of interest. Rearranging with $\langle F \rangle_{\infty} = \langle F \rangle_{const.}/1.2$, we obtain :

$$\langle F \rangle_{\infty} (\langle F \rangle_{\infty} + 0.9685) = \frac{48.299}{0.2Ra_{crit}}$$
(E5)

Solving this quadratic formula and choosing the additive solution:

$$\langle F \rangle_{\infty} = -\frac{0.9685}{2} + \sqrt{\frac{0.9685}{2}^2 + \frac{48.299}{0.2Ra_{crit}}}$$
 (E6)

Finally:

726

$$\langle F \rangle_{const.} = -1.2 \left(\frac{0.9685}{2} + \sqrt{\frac{0.9685}{2}^2 + \frac{48.299}{0.2Ra_{crit}}} \right) = \langle F \rangle$$
 (E7)

E1 Derived Characteristic Velocity and Characteristic Height

Once a critical Rayleigh number is known, characteristic velocity and characteristic height are still unknown. From the definition of Ra and the presvious investigations, it is proposed that Ra_{crit} is:

$$Ra_{crit} = \frac{u_c \chi_c}{D} \tag{E8}$$

Using the Schmidt number one can define a criterion for the derivative of a^* :

$$Re_{\chi} = Ra_{crit}/Sc = \frac{u_c\chi_c}{\nu} \tag{E9}$$

Using boundary-layer theory and Equations (22) and (E8) results in a system of equations:

$$0 = Ra_{crit} - \frac{u_c \chi_c}{D} \tag{E10}$$

$$0 = \begin{cases} 0 - \frac{-5}{\frac{u_{CXc}}{2}/2} & \text{for } a \le 2\delta \\ \frac{-5}{Re_{\chi}^{1/2}} - \frac{-5}{\frac{u_{CXc}}{\nu}}, & \text{for } a > 2\delta \end{cases}$$
(E11)

The system can be solved using, for example, a least squares algorithm with an initial guess of u and χ such that $a > 2\delta$.

729 Open Research Section

The code used in the simulation is available as source code and pre-compiled in a Docker image in Keim and Class (2024a). Furthermore, scripts for post-processing the results are available in Keim and Class (2024b).

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