Coupling Remote Sensing with a Process Model for the Simulation of Rangeland Carbon Dynamics

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Rangelands provide significant environmental benefits through many ecosystem services, which may include soil organic carbon (SOC) sequestration. However, quantifying SOC stocks and monitoring carbon (C) fluxes in rangelands are challenging due to the considerable spatial and temporal variability tied to rangeland C dynamics, as well as limited data availability. We developed a Rangeland Carbon Tracking and Management (RCTM) system to track long-term changes in SOC and ecosystem C fluxes by leveraging remote sensing inputs and environmental variable datasets with algorithms representing terrestrial C-cycle processes. Bayesian calibration was conducted using quality-controlled C flux datasets obtained from 61 Ameriflux and NEON flux tower sites from Western and Midwestern U.S. rangelands, to parameterize the model according to dominant vegetation classes (perennial and/or annual grass, grass-shrub mixture, and grass-tree mixture). The resulting RCTM system produced higher model accuracy for estimating annual cumulative gross primary productivity (GPP) (R2 > 0.6, RMSE < 390 g C m-2) than net ecosystem exchange of CO2 (NEE) (R2 > 0.4, RMSE < 180 g C m-2), and captured the spatial variability of surface SOC stocks with R2 = 0.6 when validated against SOC measurements across 13 NEON sites. Our RCTM simulations indicated slightly enhanced SOC stocks during the past decade, which is mainly driven by an increase in precipitation. Regression analysis identified slope, soil texture, and climate factors as the main controls on model-predicted C sequestration rate. Future efforts to refine the RCTM system will benefit from long-term network-based monitoring of rangeland vegetation biomass, C fluxes, and SOC stocks.

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Key Points: 66

- The Rangeland Carbon Tracking and Monitoring System was calibrated to simulate 67 • vegetation type-specific rangeland C dynamics 68
- Regional variability in carbon fluxes and soil organic carbon is well represented by a 69 remote sensing-driven process modeling approach 70
- Soil organic carbon stocks in Western and Midwestern U.S. rangelands increased over • 71 72 the past 20 years due to increased precipitation
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74 Abstract

Rangelands provide significant environmental benefits through many ecosystem services, which 75 may include soil organic carbon (SOC) sequestration. However, quantifying SOC stocks and 76 monitoring carbon (C) fluxes in rangelands are challenging due to the considerable spatial and 77 temporal variability tied to rangeland C dynamics, as well as limited data availability. We 78 79 developed a Rangeland Carbon Tracking and Management (RCTM) system to track long-term changes in SOC and ecosystem C fluxes by leveraging remote sensing inputs and environmental 80 variable datasets with algorithms representing terrestrial C-cycle processes. Bayesian calibration 81 was conducted using quality-controlled C flux datasets obtained from 61 Ameriflux and NEON 82 flux tower sites from Western and Midwestern U.S. rangelands, to parameterize the model 83 according to dominant vegetation classes (perennial and/or annual grass, grass-shrub mixture, 84 85 and grass-tree mixture). The resulting RCTM system produced higher model accuracy for estimating annual cumulative gross primary productivity (GPP) ($R^2 > 0.6$, RMSE < 390 g C m⁻²) 86 than net ecosystem exchange of CO₂ (NEE) ($R^2 > 0.4$, RMSE < 180 g C m⁻²), and captured the 87 spatial variability of surface SOC stocks with $R^2 = 0.6$ when validated against SOC 88 measurements across 13 NEON sites. Our RCTM simulations indicated slightly enhanced SOC 89 stocks during the past decade, which is mainly driven by an increase in precipitation. Regression 90 analysis identified slope, soil texture, and climate factors as the main controls on model-91 92 predicted C sequestration rate. Future efforts to refine the RCTM system will benefit from longterm network-based monitoring of rangeland vegetation biomass, C fluxes, and SOC stocks. 93

94 Plain Language Summary

Rangelands play a crucial role in providing various ecosystem services, including the potentially 95 significant but highly uncertain benefits associated with climate mitigation through increased 96 SOC storage. Accurate estimates of long-term C storage and changes are challenged, however, 97 by the diversity in rangelands and limited field observations currently available. In this work, we 98 leveraged multiple publicly available datasets, including remote sensing observations, tower-99 based carbon flux measurements from over 60 rangeland sites in the Western and Midwestern 100 U.S., and other environmental datasets, to build the process-based Rangeland Carbon Tracking 101 102 and Monitoring (RCTM) modeling system, for the simulation of 20 years of change in rangeland C. The regionally calibrated RCTM system performs well in estimating spatial and temporal 103 rangeland C fluxes as well as spatial SOC storage. RCTM simulation results revealed increased 104 105 SOC storage and rangeland productivity that is well represented by remote sensing signals and driven by annual precipitation patterns. Since the RCTM system developed by this work can be 106 used to generate accurate spatial and temporal estimates of SOC storage and C fluxes at fine 107 108 spatial (30 m) and temporal (every 5 days) resolutions, it will be well-suited for informing rangeland C management strategies and improving broad-scale policy making. 109

110 Abbreviations

111 C, carbon; DNDC, Denitrification-Decomposition; DSM, digital soil mapping; fPAR, fraction of 112 absorbed photosynthetically active radiation; GEE, Google Earth Engine; GPP, Gross Primary 113 Productivity; L4C, Level 4 Carbon; LOOCV, leave-one-out cross-validation; LUE, light use 114 efficiency; MBE, mean bias error; NDVI, Normalized Difference Vegetation Index; NEE, net 115 ecosystem exchange of carbon dioxide; NEON, National Ecological Observatory Network; NIR, 116 near infrared band; NLCD, National Land Cover Database; NLDAS, North American Land Data

117 Assimilation System; NPP, net primary productivity; PI, principal investigator; QC, quality

control; RAP, Rangeland Analysis Platform; RCTM, Rangeland Carbon Tracking and
Management; RECO, ecosystem respiration; RMSE, Root Mean Square Error; RothC,
Rothamsted Carbon; RS, remote sensing; SMAP, Soil Moisture Active-Passive; SMLR, stepwise
multiple linear regression; SOC, soil organic carbon; SOM, soil organic matter; STARFM,
Spatial and Temporal Adaptive Reflectance Fusion Model; VPD, vapor pressure deficit.

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124 **1 Introduction**

Rangelands, which include a wide range of landscapes primarily composed of grasses, 125 forbs, and shrubs that are often grazed or browsed by domestic livestock and/or wild animals, 126 cover more than 30% of the land area (~ 2.7 million km^2) of the contiguous United States and 127 have a significant global presence (54%) (Chen et al., 2015; Olson et al., 2001; Reeves & 128 Mitchell, 2011). It has been well established that rangelands provide many crucial ecosystem 129 130 services, including habitat biodiversity, forage production, water retention, nutrient cycling, and carbon (C) sequestration and storage (Maher et al., 2021; Phukubye et al., 2022; Waterhouse et 131 al., 2023). Unfortunately, grassland conversion to cropland and improper management (e.g., 132 133 overgrazing) have historically contributed to land degradation and C loss in western U.S. rangelands, which can be further exacerbated by extreme climate events such as droughts 134 (Holechek et al., 2020). Restoring degraded rangelands and improving land management are 135 therefore high priority conservation goals having multiple ecosystem service benefits (Wilson et 136 al., 2008). Improved rangeland management also holds possibly significant but highly uncertain 137 138 potential for climate mitigation primarily through soil organic carbon (SOC) sequestration (Derner et al., 2019; Fargione et al., 2018). The uncertainty arises from factors such as extensive 139 rangeland sizes, limited availability of in-situ field data, and substantial spatial and temporal 140 variability associated with drivers of SOC change (i.e., environmental and management factors) 141 such as moisture status and temperature, vegetation composition, soil properties, and grazing 142 timing and intensity, (Booker et al., 2013; Derner & Schuman, 2007; Hill et al., 2006). In order 143 to facilitate accurate estimates of rangeland C benefits, it is essential to develop a data-driven 144 framework that combines process-based representation of rangeland C dynamics with multi-145 146 source, observation-based environmental datasets.

In-situ field measurements provide crucial observations of rangeland C dynamics. Flux 147 tower observations are often used to quantify net ecosystem exchange (NEE), which represents C 148 fluxes between land and atmosphere that can be further partitioned into gross primary 149 productivity (GPP) and ecosystem respiration (RECO) (Oliphant, 2012; Tramontana et al., 150 2020). Field sampling campaigns are essential for directly measuring SOC stocks and the 151 associated changes (Nave et al., 2021), which complements C fluxes observed from flux towers. 152 However, direct field measurements can be both expensive and labor-intensive to accurately 153 capture the vast and complex rangeland landscape. Upscaling in-situ observations of C fluxes 154 and SOC stocks, using models coupled with remote sensing (RS) and large-scale surveys-derived 155 environmental variable datasets can estimate long-term C budgets at large geographic scales 156 (Heuvelink et al., 2021; Krause et al., 2022; Sanderman et al., 2017; Turner et al., 2004), but 157 approaches need to be carefully designed to maximize accuracy. 158

The empirical, digital soil mapping (DSM) approach has been widely used for estimating SOC stocks by taking advantage of the connection between environmental variables and soil C dynamics (Minasny & McBratney, 2015; Santra et al., 2017). However, despite its rapid and

cost-effective nature, this approach is less frequently used for estimating changes in SOC stocks 162 or C fluxes, primarily due to the scarcity of data on changes in SOC that are needed for statistical 163 model training and verification. In contrast to purely data-driven empirical upscaling approaches, 164 process-based models incorporate mathematical representations of underlying system processes, 165 such as heat transfer, hydrologic flows, and C cycling (Doblas-Rodrigo et al., 2022; Khalil et al., 166 2020; Yagasaki & Shirato, 2014). Consequently, they possess the capability to generate process-167 based outcomes (e.g., SOC stock changes) and scenario-based estimates (e.g., C fluxes under 168 different climate and management conditions) for longer term predictions. 169

Process-based modeling of rangeland C fluxes and SOC stocks can be implemented using 170 two options, namely a management-driven approach or a RS-driven approach. In the 171 management-driven approach, activity data such as livestock numbers and grazing periods are 172 combined with climate and soil information to simulate plant growth and soil C dynamics (Arndt 173 et al., 2022; Smith et al., 2014; Zhang et al., 2017). Adopting this approach necessitates the 174 collection of detailed management data, which can be extremely difficult for large-scale 175 rangeland monitoring efforts. Even though there has been a major push to automate the 176 collection of management data through the use of RS, tracking animal numbers and movements 177 remains challenging (Ali et al., 2016; Lange et al., 2022; Stoy et al., 2021). Current rangeland 178 modeling efforts used to estimate management effects on SOC typically rely on the use of 179 default parameters and model structures, such as those used in DAYCENT (Chang et al., 2015; 180 Parton et al., 1998), Denitrification-Decomposition (DNDC) (Li et al., 1994; Wang et al., 2022), 181 or Rothamsted Carbon (RothC) (Coleman & Jenkinson, 1996; Jebari et al., 2021) because there 182 is a general lack of calibration and validation data suited to represent specific management 183 scenarios (e.g., adaptive grazing practices). Due to data limitations, their efforts cannot fully 184 account for system variability and generate predictions at the scale that is relevant to 185 management. 186

The RS-driven process-based modeling approach is particularly helpful in situations 187 188 where management datasets are unavailable or scarce, because RS data can be used as a proxy for vegetation productivity and growth, due to the close association between plant biomass and 189 RS spectral bands or multi-band indices (Numata et al., 2007; Sibanda et al., 2016; Xu et al., 190 2008). Moreover, RS datasets can provide more refined information regarding spatial variability, 191 192 which would be difficult to capture using management datasets. Utilizing RS for rangeland monitoring assumes that RS can adequately capture management effects via changes in plant 193 194 cover and productivity. However, there is significant uncertainty regarding the efficacy of RS to capture management, so ground-truth data is crucial for the parameterization and evaluation of 195 RS-driven models for rangeland monitoring (Reinermann et al., 2020). Large datasets collected 196 197 through network-based measurements, such as flux tower-based observations of C fluxes and field-based measurements of SOC stocks (Biederman et al., 2017; Chu et al., 2023; Hinckley et 198 al., 2016), offer the best representation of rangeland C dynamics under different soil, climate, 199 200 and vegetation conditions, and thus are well-suited for regional model calibration and validation.

The RS-driven modeling approach has a long legacy for use in cropping and forest systems (Wang et al., 2011; Watts et al., 2023; Zhou et al., 2021) and global scale monitoring (Endsley et al., 2020); however, to the best of our knowledge, there has not been a RS-driven regional model that is designed and parameterized specifically to evaluate decadal-scale C dynamics and track SOC changes under different vegetation types for U.S. rangelands. The objective of our work was to bridge this gap with a framework that: (1) incorporates fineresolution, long-term geospatial datasets that can be obtained either from publicly available data sources or through data fusion, as model inputs; (2) derives regional vegetation class-specific parameters through model calibration and validation using flux tower network datasets collected from Western and Midwestern U.S. rangelands; (3) performs model evaluation using SOC stock measurements; (4) provides estimates and visualizations of modeled rangeland C dynamics for the period from 2003 to present, and at a spatial scale relevant to land managers.

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214 2 Materials and Methods

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2.1 Overview of the Rangeland Carbon Tracking and Monitoring system

To provide a framework tracking regional rangeland C dynamics, we developed a 216 process-based RS-driven modeling system called the Rangeland Carbon Tracking and 217 Monitoring (RCTM) model. The RCTM system integrates RS-informed geospatial datasets and 218 in-situ field measurements with process-based representation of the carbon cycle (SI: Table A1). 219 The RCTM system first estimates plant productivity using RS and environmental inputs. The 220 estimates are then fed into a soil process-based model to simulate C dynamics. There are three 221 main components involved in the system (Fig. 1): (1) The Spatial and Temporal Adaptive 222 Reflectance Fusion Model (STARFM) algorithm (Gao et al., 2006; Watts et al., 2011) is utilized 223 to derive estimates of fraction of absorbed photosynthetically active radiation (fPAR) at a 30 m 224 resolution and at five-day intervals; (2) RS or survey-derived variables, including soil properties, 225 climate factors, and vegetation types, are utilized in conjunction with fPAR through light-use 226 efficiency (LUE) algorithms adapted from NASA's Soil Moisture Active-Passive (SMAP) Level 227 228 4 Carbon (L4C) model (Endsley et al., 2020) to derive estimates of GPP, where vegetation typespecific parameters associated with environmental variable-based constraints on LUE are subject 229 to model calibration; (3) Aboveground and belowground biomass are estimated from GPP using 230 algorithms adapted from DAYCENT (Parton et al., 1998) and then allocated to different soil 231 organic matter (SOM) pools specified within a process-based model structure adapted from the 232 RothC model (Coleman & Jenkinson, 1996). This last step derives estimates of C fluxes and 233 SOC stocks, with flux tower-based measurements of NEE used to parameterize factors 234 associated with SOM decomposition. 235

The main inputs for RCTM include soil properties (soil texture, moisture, and temperature), climate variables (air temperature, vapor pressure deficit (VPD), solar radiation), land cover type represented by fractional coverage of different vegetation types, and RS-derived fPAR (Table 1). Model outputs include 30 m resolution estimates of rangeland productivity represented by GPP at five-day intervals, net C fluxes represented by NEE at five-day intervals, and annual surface depth SOC stocks over the 20-year record (2003 – 2022). The development and application steps for RCTM are outlined in SI: Fig. A1.



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Figure 1. Components of Rangeland Carbon Tracking and Monitoring (RCTM) system. The primary RCTM inputs include remote sensing images, soil properties, climate data, and vegetation type, while the outputs include spatial and temporal estimates of rangeland carbon dynamics.

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	_	Original resolution		E 11 (
Data type	Variables ^a	Spatial	Temporal	coverage	Usage ^b	Source and reference ^c	
Soil properties	Clay%	100 m	Only or	ice in time	Model spin-up, NEE calibration, SOC estimation, and site-based correlation analysis	SoilGrids+ (Ramcharan et al., 2018)	
	Surface and root zone soil moisture	0.1250	Hourly	Since 1979	GPP calibration, model spin-up, NEE calibration, SOC estimation, and site-based correlation analysis	NI DAS (Vie et al. 2012)	
	Surface soil temperature	0.125	Houriy		GPP calibration, model spin-up, NEE calibration, SOC estimation, and site-based correlation analysis	NLDAS (Ala et al., 2012)	
Climate	VPD	1 km	Daily	Since 1980	GPP calibration and site-based correlation analysis		
	Air temperature	1km	Daily	Since 1980	Site-based correlation analysis	DAYMET v4 (Thornton et al., 2022)	
	Precipitation	IKIII	Daily	Since 1760	Site-based correlation analysis		
	Solar Radiation	0.125°	Hourly	Since 1979	GPP calibration	NLDAS (Xia et al., 2015)	
Biotic	fPAR	500 m	Every 4 days	Since 2002	GPP calibration (coarse resolution) in SI: Appendix C	MODIS (Schaaf & Wang, 2015)	
		30 m	Every 5 days	Since 2002	GPP calibration (fine resolution) and site- based correlation analysis	STARFM (Gao et al., 2006; Watts et al., 2011)	
	Land cover type%	30 m	Annually	Since 1984	Vegetation type assignment	RAP (Jones et al., 2018)	
Topography	Elevation Slope	30 m	Only or	nce in time	Site-based correlation analysis	SRTM (van Zyl, 2001)	

Table 1. The input environmental datasets for the Rangeland Carbon Tracking and Monitoring (RCTM) system.

^a Clay%: soil clay content; fPAR: fraction of absorbed photosynthetically active radiation; VPD: vapor pressure deficit.

^b GPP: gross primary productivity; NEE: net ecosystem exchange; SOC: soil organic carbon.

^c DAYMET: Daily Surface Weather and Climatological Summaries; MODIS: Moderate Resolution Imaging Spectroradiometer; NLDAS: North American Land

253 Data Assimilation System; RAP: Rangeland Analysis Platform; SRTM: Shuttle Radar Topography Mission.

254 2.2 Study sites and data sources

For model parameterization, study sites were selected from the Ameriflux (Novick et al., 255 2018; https://ameriflux.lbl.gov/) and National Ecological Observatory Network (NEON) 256 networks (Keller et al., 2008; https://www.neonscience.org/) within the Western and Midwestern 257 U.S. states (Fig. 2). We first identified all of the flux tower sites located within the region and 258 classified as grasslands ('GRA'), savannas ('SAV'), or open shrublands ('OSH'), as well as 259 260 those identified under grassland or pasture-relevant classes according to the National Land Cover Database (NLCD) data layers (Homer et al., 2007; Homer et al., 2015). We then screened the 261 identified sites to include only those dominated by grass coverage ($\geq 50\%$) by surveying 262 publications associated with the flux tower datasets, examining Phenocam images (Brown et al., 263 2016) or online photos, and by reaching out to tower principal investigators (PIs) for 264 confirmation. The retained 61 sites were then categorized into four different vegetation types: (1) 265 perennial and/or annual grass; (2) managed hay and pasture; (3) grass-shrub mixture; (4) grass-266 tree mixture. The classification was determined using land cover information extracted from the 267 NLCD and Rangeland Analysis Platform (RAP) (Jones et al., 2018) supplemented with literature 268 and PI-provided site information. Sites included in class (2) differ from native grasslands in that 269 270 the sites are being actively managed meaning some combination of sown grass species, irrigation, and fertilization. The coverage threshold for shrubs and trees was set at 30% for 271 classes (3) and (4). Additional details regarding the Ameriflux and NEON sites can be found in 272 273 SI: Appendix B.



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Figure 2. Ameriflux and National Ecological Observatory Network (NEON) sites selected for model calibration and validation. The sites are divided into different groups based on data availability. Different USDA agricultural regions are delineated by thick black lines, and different land use types are color-coded according to the National Land Cover Database (NLCD) dataset.

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We acquired flux observations and environmental variable datasets for the retained 281 Ameriflux and NEON sites, either from the online portal (https://ameriflux.lbl.gov/) or directly 282 from flux tower PIs. We also documented site location, soil and vegetation type, flux tower 283 height, data coverage, and variable availability for each site (SI: Table B1). Based on data 284 285 availability, the sites were further divided into three categories (Fig. 2): (1) those that include NEE measurements only, (2) those that include both NEE and model-partitioned GPP and RECO 286 data, and (3) those that belong to the NEON network and therefore have not only NEE and 287 GPP/RECO data but also SOC measurements (Hinckley et al., 2016). In some cases, tower PIs 288 expressed concerns about the quality of GPP data due to flux partitioning issues (Desai et al., 289 2008; Sulman et al., 2016). Consequently, we assigned these sites to the first category. Overall, 290 we obtained data from 17, 31, and 13 sites in categories 1, 2, and 3, respectively. The flux 291 datasets from the retained sites were quality controlled and harmonized using standard methods 292 (Section 2.3) before being used for model calibration and validation (Sections 2.5 and 2.6). 293

To represent the local representativeness of the flux towers, shapefiles were created in R (R Core Team, 2023) using small (90 m \times 90 m) or large (510 m \times 510 m) grid sizes determined by employing a threshold value based on the flux tower height of 8 m to approximate footprints (SI: Table B1). The shapefiles were used for extraction of MODIS and Landsat RS inputs, as well as variable inputs in subsequent steps (Fig. 1).

299 2.3 Quality control of C flux datasets

A number of quality control (QC) measures were applied to the C flux (NEE and GPP) 300 datasets to alleviate bias that can influence subsequent model parameterization steps. First, daily 301 GPP and NEE results, as well as the associated meteorological measurements (e.g., air 302 temperature, precipitation), were plotted to allow the visual identification of potential outliers or 303 304 noise including: (1) extended periods with GPP reported as zero, especially during the growing season; (2) multiple GPP peaks with similar magnitudes observed during the growing season; (3) 305 irregular spikes or sudden changes in GPP or NEE, particularly during the non-growing season. 306 For sites with observations falling within category (1), we worked with flux tower PIs to 307 determine whether it was necessary to replace the identified data points with no-value (NAN) 308 flags. In the case of data points identified through category (2), we consulted with flux tower PIs 309 to confirm whether the presence of multiple peaks could be attributed to grazing or the growth of 310 multiple vegetation species (e.g., C3 and C4) at the sites before determining whether to retain the 311 data points. Finally, outlier peaks identified in category (3) were removed using a moving 312 window approach adapted from the outlier removal methods designed for time series datasets 313 (Hartigan et al., 2019; Kelley, 2013). This involved establishing the median value of a 15-day 314 315 period as a reference value, and then any observation that deviated from its reference by more than twice the standard deviation of the flux measurements at the site level was removed. The 316 quality controlled daily GPP and NEE datasets were then classified into the four vegetation types 317 318 defined in Section 2.2.

319 2.4 Remote sensing data extraction and processing

We derived 30 m estimates of fPAR at five-day intervals by employing the STARFM algorithm (Gao et al., 2006; Watts et al., 2011), which leverages the high temporal resolution of MODIS inputs (500 m, daily) and high spatial resolution of Landsat images (30 m, every 8

days). We first extracted MODIS images from the Nadir Bidirectional Reflectance Distribution 323 324 Function Adjusted Reflectance (NBAR) product (MCD43A4 V6) (Schaaf & Wang, 2015) for the study sites, followed by the application of standard QC measures, which included the removal of 325 cloudy pixels using the cloud bitmask and the exclusion of snow-covered pixels based on the 326 normalized difference snow index (Hall et al., 2002). Subsequently, we calculated temporal 327 averages at the pixel level over a 20-day moving window as a smoothed dataset, which was used 328 to replace missing data or cropped pixels from the previous step. The Landsat images were 329 combined from Landsat 5, 7, and 8 surface reflectance products from Collection 2 (Kovalskyy & 330 Roy, 2013; Roy et al., 2014; Williams et al., 2006) to derive long-term records with finer 8-day 331 temporal fidelity than the standard 16-day repeat sampling from individual Landsat satellites. QC 332 was carried out to first exclude cloudy and snow-covered pixels using the dilated cloud, cirrus, 333 cloud shadow, and snow bitmasks. After applying the dilated cloud bitmask, haze and thin cloud 334 edges were often still present based on visual assessment of Landsat imagery. These cloud 335 remnants were removed by applying an additional 15-pixel radius buffer. Images containing 336 considerable cloud and snow contamination (>60%) were removed from the time series. To 337 account for Landsat 7 scan-line gaps and to recover image areas that were removed from the 338 augmented cloud masking, the masked Landsat images were spatially gap-filled using local 339 histogram matching (USGS, 2004). First, a median composite image was generated with the 340 nearest two months of imagery. A linear regression determined the line of best fit between pixels 341 342 in the composite image and pixels in the cloud-masked image within a 50-pixel moving window. Linear regression coefficients within each moving window were applied to the composite image 343 to fill no data pixels in the masked image. Finally, pixels containing water were removed using 344 the water bitmask. 345

The extracted MODIS and Landsat scenes which overlapped on same dates were then 346 utilized by the STARFM algorithm (Gao et al., 2006; Watts et al., 2011) to develop surface 347 reflectance estimates at a 30 m spatial resolution and 5-day temporal frequency for individual 348 bands. The red (RED) and near-infrared (NIR) bands were used to derive the estimates of 349 350 normalized difference vegetation index (NDVI; Eq. 1) (Tucker, 1979) and scaled surface reflectance (SSR; Eq. 2). Erroneous NDVI observations were filtered by removing values where 351 the rolling 14-day median was greater than two times the rolling 365-day standard deviation. 352 This conservative filter mainly functioned to remove NDVI observations over snow and clouds 353 that were missed during masking. Temporal gaps in NDVI were filled using linear interpolation. 354

355
$$NDVI = \frac{NIR - RED}{NIR + RED} \quad (Eq. 1)$$

The predicted GPP was then compared with the observed GPP (see Section 2.5), and the optimal combination of values that resulted in the best-fitted vegetation type-specific model were determined as fPAR reference values.

365
$$fPAR_{NDVI} = \frac{(NDVI - NDVI_{min}) \times (fPAR_{max} - fPAR_{min})}{(NDVI_{max} - NDVI_{min})} \quad (Eq. 3)$$

 $\text{fPAR} = \frac{\text{fPAR}_{\text{NDVI}} + \text{fPAR}_{\text{SSR}}}{2}$ (Eq. 5)

$$\text{fPAR}_{\text{SSR}} = \frac{(\text{SSR}-\text{SSR}_{\min}) \times (\text{fPAR}_{\max}-\text{fPAR}_{\min})}{(\text{SSR}_{\max}-\text{SSR}_{\min})} \quad (\text{Eq. 4})$$

The extraction and QC processing of both MODIS and Landsat data were implemented within the Google Earth Engine (GEE) platform (Gorelick et al., 2017), while the implementation of the STARFM algorithm and the following RS data processing steps were realized using Python (Rossum & Drake, 1995). All the codes used in this and subsequent sections are openly available via Github: https://github.com/xiayushu/RCTM-soil-carbon.

373 2.5 GPP model estimation and calibration

The LUE algorithms used for the GPP calculation were adapted from the SMAP's L4C model (Endsley et al., 2020). In RCTM, the estimation of actual LUE is based on scaling the potential maximum LUE by modifiers including root zone (ca. 60 cm depth) soil moisture, surface 5 cm soil temperature, and VPD (SI: Fig. A2), where threshold values for these modifiers were established for both upper and lower bound values. The GPP is calculated based on estimated LUE, STARFM-derived fPAR detailed in Section 2.4, and shortwave incoming solar radiation (SW_IN) using Eq. 6.

381

$GPP = LUE \times SW_{IN} \times 0.45 \times fPAR$ (Eq. 6)

Where GPP represents gross primary productivity (g C m⁻²), LUE represents light use efficiency (g C MJ⁻¹) estimated based on maximum LUE adjusted by environmental modifiers, SW_IN represents shortwave incoming solar radiation (MJ m⁻²), fPAR represents fraction of absorbed photosynthetically active radiation, and 0.45 reflects the well-established observation that about 45% of incoming shortwave radiation is in photosynthetically active wavelengths (He et al., 2022).

To facilitate GPP calibration, we extracted root zone soil moisture, soil temperature at 5 388 389 cm surface depth, SW_IN from NLDAS (Xia et al., 2012), and VPD from Daymet V4 (Thornton et al., 2022) for the retained Ameriflux and NEON sites. We used GEE for the direct extraction 390 391 of NLDAS-derived SW_IN and Daymet-derived VPD at a daily time step. Soil moisture and 392 temperature were downloaded from the NASA Earthdata portal using the subset tools, then averaged to daily values in Google Colaboratory and stored in Google Cloud (Google Inc., CA, 393 USA). The extracted environmental variable datasets were merged with STARFM fPAR every 5 394 395 days. Next, the merged dataset was joined with GPP measurements processed from Section 2.3. This resulting calibration dataset had more GPP data for the perennial and/or annual grass and 396 397 grass-shrub mixture sites. Fewer data points were available for the grass-tree mixture and managed hay and pasture sites. In total, 24,239 GPP records (302 site-year combinations) were 398 retained from 47 sites (SI: Table B2). 399

We carried out model calibration by adjusting vegetation type-based threshold values associated with the environmental modifiers, including maximum LUE as well as minimum and maximum root zone soil moisture, soil temperature, and VPD (SI: Fig. A2) based on GPP observations. The calibration was conducted using a Bayesian calibration scheme where initial values for model parameters were extracted from SMAP's L4C model (Endsley et al., 2020) for grasslands, and initial parameter ranges were obtained from literature review. The procedure was implemented using the BayesianTools package in R (Hartig et al., 2023). Three Markov chain

Monte Carlo (MCMC) chains were run in parallel for 5,000 iterations to obtain posterior 407 408 distributions of model parameters with the assumption that the priors were weakly informative. Model convergence was examined using the scale reduction factor (Gelman & Rubin, 1989). The 409 vegetation type-based model fits and results from leave-one-out cross-validation (LOOCV) for 410 daily and cumulative (monthly, seasonal, and annual) GPP estimation were reported as 411 Coefficient of Determination (R²; Eq. 7), Root Mean Square Error (RMSE; Eq. 8), and Mean 412 Bias Error (MBE; Eq. 9) for perennial and/or annual grass, grass-shrub mixture, and grass-tree 413 mixture classes. For the managed hay and pasture class, evaluation was presented as model 414 validation results using perennial and/or annual grass-specific parameters due to the limited 415 number of available training sites within the managed hay and pasture class. The model 416 calibration procedure was also carried out using MODIS fPAR inputs to enable a comparison 417 with the use of STARFM inputs. Detailed model comparison results are presented in SI: 418 Appendix C. 419

420
$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{n} (y_{i} - \bar{y})^{2}} \quad (Eq. 7)$$

421
$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (y_i - \hat{y}_i)^2}{n}} \quad (Eq. 8)$$

Where *n* represents the number of samples, y_i represents observed value of sample *i*, \hat{y}_i represents the model predicted value of sample *i*, and \bar{y} represents the mean of observations.

425 2.6 Carbon model spin-up, calibration, and validation

The RCTM model adopts SMAP's L4C scheme (Endsley et al., 2020) by allocating GPP 426 into net primary productivity (NPP) and autotrophic respiration. The NPP was then partitioned 427 into aboveground and belowground biomass according to the vegetation type-specific root to 428 shoot ratio. To account for the distribution of litter over time, we adopted DAYCENT's 429 algorithms (Parton et al., 1998) to compute the amount of C transference from biomass pools 430 into surface litter and dead roots for each time step. In this case, C flow is regulated by factors 431 such as the day of the year and environmental modifiers including soil moisture and temperature. 432 Subsequently, aboveground and belowground litter C were transferred into RCTM's SOM 433 module that is adapted from RothC (Coleman & Jenkinson, 1996), which includes particulate 434 organic C, humus organic C, and resistant organic C pools. The C flows among SOM pools are 435 controlled by factors including soil texture, soil moisture, and soil temperature (SI: Fig. A3). 436

In RCTM, biomass and soil C pools were initialized by running the model for 2,000 years 437 to reach an equilibrium that ensures the soil system is in equilibrium with the environmental 438 conditions being simulated. The inputs for the spin-up were set to represent a "typical" condition 439 for each site, for which we utilized STARFM fPAR, both surface 5 cm and root depth (ca. 60 440 cm) soil moisture, 5 cm soil temperature, and clay content from the 2002-2005 period. Soil 441 moisture and temperature data were extracted from the NLDAS database (Xia et al., 2012), and 442 clay content were obtained from the SoilGrid+ product (Ramcharan et al., 2018) (Table 1). 443 Before conducting NEE calibration, we performed model spin-up for all retained Ameriflux and 444 NEON sites using default model parameters obtained from SMAP's L4C, DAYCENT, and 445 RothC models. GPP estimates, which are required for SOC calculation, were simulated using 446

vegetation type-specific, calibrated GPP parameters and inputs specified in Section 2.5. Both
GPP and environmental variable datasets needed for model initialization were aggregated to a 5day time step by averaging the results across all years. The goal was to obtain site-specific
estimates of initial C pools to expedite the subsequent NEE calibration process.

The next step was to combine input datasets needed for NEE calibration with NEE 451 measurements. The input data was generated at a five-day interval because of the STARFM 452 output resolution. The combined dataset includes 22,820 NEE observations (364 site-year 453 combinations) from 59 sites, while a larger number of observations were available for the 454 perennial and/or annual grass and grass-shrub mixture sites compared to the grass-tree mixture or 455 managed hay and pasture sites (SI: Table B2). We then carried out model calibration by 456 optimizing vegetation type-specific parameters related to biomass partitioning, litterfall, and 457 SOM decomposition (SI: Fig. A3) using NEE observations. In the calibration process, site-based 458 estimates of initial C pools were used to spin up the model and then calculate C fluxes for 2002-459 2022. The calibration was implemented following the same procedure used for the GPP model 460 (See Section 2.5). Again, model calibration was also implemented using MODIS fPAR inputs to 461 enable a comparison with the use of STARFM inputs, which is presented in SI: Appendix C. 462 Moreover, we presented model performance for estimating RECO in SI: Appendix D, where the 463 absolute values of RECO fluxes were calculated as the difference between GPP and NEE. 464

After obtaining vegetation type-specific parameters through GPP and NEE calibrations, we ran RCTM for NEON sites to derive estimates of surface depth SOC stocks. Because the depth represented by RCTM cannot be clearly defined considering the various depths represented by model input layers, the results were compared against measurements of both 0-30 cm and 0-100 cm SOC stocks from 13 NEON sites as an evaluation of model performance for ranking the amount of SOC stocks in space.

471 2.7 Estimates of carbon fluxes for flux tower sites

The calibrated RCTM model was applied to all retained Ameriflux and NEON sites to 472 derive estimates of GPP, NEE, and SOC stocks for a 20-year period (2003-2022). After 473 averaging model outputs to annual results, the Pearson correlation was calculated in R between 474 model input variables and RCTM outputs for site-year combinations. The purpose of this 475 analysis was to explore climate and soil controls on the spatio-temporal dynamics in C fluxes. 476 We aggregated and visualized model simulation results with regards to changes in SOC and C 477 fluxes over time by vegetation types and geographic regions. Trend significance and slope of the 478 time series data (GPP, NEE, and SOC) were calculated using a non-parametric Mann-Kendall 479 test that detects monotonic upward or downward trends (Yue et al., 2002). The test was 480 implemented in R with the 'zyp' package (Bronaugh et al., 2023) and applied to both individual 481 Ameriflux/NEON sites and vegetation groups (SI: Appendix B). We also computed the 482 correlation between site-based 20-year change in SOC stocks and climate, soil, and topographic 483 variables (Table 1) to identify regional controlling factors for SOC sequestration in rangelands. 484 Finally, a linear model for estimating SOC stock changes was determined through the application 485 of a stepwise multiple linear regression (SMLR) approach in R using the Akaike Information 486 Criterion (Bozdogan, 1987). 487 488

489 **3 Results**

490 3.1 Model accuracy for estimating rangeland productivity

The model performance associated with rangeland productivity prediction was evaluated 491 using GPP modeling results. The performance of the calibration model for estimating daily GPP 492 was the best for the grass-shrub mixture sites ($R^2 = 0.70$, RMSE = 0.9 g C m⁻² day⁻¹), followed 493 by the grass-tree mixture ($R^2 = 0.60$, RMSE = 1.1 g C m⁻² day⁻¹) and perennial and/or annual 494 grass sites ($R^2 = 0.58$, RMSE = 2.2 g C m⁻² day⁻¹) (Fig. 3). The perennial and/or annual grass-495 specific model also obtained $R^2 = 0.55$ and RMSE = 3.3 g C m⁻² day⁻¹ for estimating daily GPP 496 from the managed hay and pasture sites. Using LOOCV, RCTM was shown to have R^2 of 497 approximately 0.60 for perennial and/or annual grass, grass-shrub mixture, and grass-tree 498 mixture sites. The MBE values associated with the models revealed a slight underestimation of 499 GPP using model outputs compared to flux tower measurements-derived estimates. This type of 500 501 underestimation was the greatest for higher GPP observations.



Figure 3. Gross primary productivity (GPP) model performance shown as coefficient of determination (\mathbb{R}^2), root mean square error ($\mathbb{R}MSE$, $\mathbb{C} \text{ m}^{-2} \text{ day}^{-1}$), and mean bias error ($\mathbb{M}BE$, $\mathbb{C} \text{ m}^{-2} \text{ day}^{-1}$) for different vegetation classes including (a) perennial and/or annual grass, (b) managed hay and pasture, (c) mixture of grass and shrub, and (d) mixture of grass and tree classes. Both model fits and leave-one-out cross-validation (LOOCV) results are presented. Different colors represent different Ameriflux/NEON study sites.

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502

Model performance for estimating rangeland productivity is strongly impacted by the 510 seasons (Fig. 4a and c). During the growing season, model performance represented by R^2 511 was significantly higher (between 0.5 and 0.7) for all vegetation types compared to the winter season 512 513 (Fig. 4a). The best model fit was achieved between June and August for perennial and/or annual grass and grass-tree mixture sites, while grass-shrub mixture sites had the best fit for March to 514 May. However, it should be mentioned that the model RMSE was also noticeably higher during 515 the growing season because winter GPP values were much lower in magnitude than those during 516 the growing season. The results were similar among seasons for normalized RMSE values (Fig. 517 4c). 518



519

Figure 4. The model performance for estimating (a) gross primary productivity (GPP) 520 represented by coefficient of determination (\mathbb{R}^2), (b) net ecosystem exchange of CO₂ (NEE) 521 represented by R^2 , (c) GPP represented by root mean square error (RMSE, C m⁻² per seasonal 522 cumulative), and NEE represented by RMSE. The results are averaged from sites grouped by 523 524 four seasons including S1 (Dec, Jan, Feb), S2 (Mar, Apr, May), S3 (Jun, Jul, Aug), and S4 (Sep, Oct, Nov). The model performance is presented for perennial and/or annual grass (PAG) sites, 525 grass and shrub mixture (GSM) sites, and grass and tree mixture (GTM) sites. Normalized 526 RMSE in (c) is denoted as cross mark (X), which is calculated as RMSE divided by mean GPP 527 of the season. 528

The annual cumulative GPP estimates were more accurate for grass-shrub mixture (R^2 = 530 0.72, RMSE = 199 g C m⁻² year⁻¹) than for the grass-tree mixture ($R^2 = 0.68$, RMSE = 387 g C 531 m^{-2} year⁻¹) or perennial and/or annual grass ($R^2 = 0.61$, RMSE = 329 g C m^{-2} year⁻¹) sites (Table 532 533 2), which is consistent with model performance ranking for estimating daily GPP (Fig. 3). Despite the better model fit (R^2) in estimating daily GPP during the growing season, cumulative 534 GPP estimates from April to October showed slightly lower accuracy compared to annual 535 estimates, indicating that model bias might be reduced when integrating results from the growing 536 and non-growing seasons. The model bias (MBE = 138 g C m⁻² year⁻¹) was larger for the 537 estimates of annual GPP for grass-tree mixture sites, showing a significant underestimation 538 (Table 2). The model performance for estimating monthly cumulative GPP was similar among 539 different vegetation types, with R^2 over 0.7. Again, model bias which indicates underestimation 540 of GPP was higher for the grass-tree mixture sites. 541

Table 2. Model performance for estimating annual, seasonal, and monthly cumulative gross primary productivity (GPP) and net ecosystem exchange of CO_2 (NEE) shown as coefficient of determination (\mathbb{R}^2), root mean square error (RMSE), and mean bias error (MBE) averaged from sites within different vegetation types. The growing season is set from April to October for comparison. Mean values are also calculated for different categories.

Verstation along		C	PP		NEE			
vegetation class	Mean	R^2	RMSE	MBE	Mean	\mathbb{R}^2	RMSE	MBE
Annual cumulative fluxes (g C m^{-2} year ⁻¹)								
Perennial and/or annual grass	1096	0.61	329.1	15.9	105	0.40	180.0	103.7
Grass-shrub mixture	498	0.72	199.1	21.9	70	0.65	103.1	81.5
Grass-tree mixture	659	0.68	387.3	137.6	59	0.42	174.9	100.3
Growing season cumulative fluxe	Growing season cumulative fluxes (g C m ⁻² per growing season)							
Perennial and/or annual grass	748	0.56	256.0	7.6	125	0.45	155.5	72.3
Grass-shrub mixture	352	0.72	146.5	18.7	84	0.69	93.6	68.0
Grass-tree mixture	432	0.64	187.6	16.6	41	0.40	120.7	50.6
Monthly cumulative fluxes (g C m^{-2} month ⁻¹)								
Perennial and/or annual grass	79	0.72	49.8	2.0	9	0.42	34.2	8.6
Grass-shrub mixture	34	0.73	26.3	0.8	6	0.58	17.2	6.6
Grass-tree mixture	52	0.70	45.4	12.7	41	0.46	24.5	9.0

The model performance (R^2 between 0.6 and 0.7) for estimating cumulative annual or monthly RECO (SI: Table D1) was similar to that reported for GPP models (Table 2). Model estimates for RECO were more accurate for grass-shrub ($R^2 = 0.64$) or perennial and/or annual grass ($R^2 = 0.60$) sites compared to grass-tree ($R^2 = 0.38$) mixture sites (SI: Fig. D1).

551

3.2 Model accuracy for estimating net rangeland C fluxes and SOC stocks

The model performed better for estimating daily NEE from grass-shrub mixture ($R^2 = 0.47$, RMSE = 0.6 g C m⁻² day⁻¹) and grass-tree mixture ($R^2 = 0.37$, RMSE = 0.8 g C m⁻² day⁻¹) sites than the perennial and/or annual grass sites ($R^2 = 0.27$, RMSE = 1.6 g C m⁻² day⁻¹) sites (Fig. 5). Daily NEE from the managed hay and pasture sites were estimated with limited accuracy using the perennial and/or annual grass -specific model ($R^2 = 0.21$, RMSE = 2.1 g C m⁻² day⁻¹). The LOOCV results also suggest the need to further improve the NEE models, especially for the perennial and/or annual grass sites ($R^2 = 0.32$, MBE ≥ 0.3 g C m⁻² day⁻¹).



559

Figure 5. Net ecosystem exchange (NEE) model performance shown as coefficient of determination (\mathbb{R}^2), root mean square error (RMSE, C m⁻² day⁻¹), and mean bias error (MBE, C m⁻² day⁻¹) for different vegetation classes including (a) perennial and/or annual grass, (b) managed hay and pasture, (c) mixture of grass and shrub, and (d) mixture of grass and tree classes. Positive NEE sign denotes ecosystem carbon sink activity. Both model fits and leaveone-out-cross-validation (LOOCV) results are presented. Different colors represent different Ameriflux/NEON study sites.

567

The model fit was also observed to be better for the growing season than for winter NEE 568 estimates, with the exception of grass-tree mixture sites (Fig. 4b). Like GPP, model RMSE was 569 higher for the growing season than for winter NEE (Fig. 4d). Even though the RCTM system 570 showed limited success in estimating daily NEE flux (Fig. 5), the model performance was better 571 for estimating monthly (\mathbb{R}^2 between 0.4 and 0.6), growing season cumulative (\mathbb{R}^2 between 0.4 572 and 0.7), or annual cumulative (\mathbb{R}^2 between 0.4 and 0.7) NEE fluxes (Table 2). It is anticipated 573 that the model performance was lower for NEE than for GPP or RECO considering that the 574 575 model structure for estimating NEE is subject to uncertainty in simulating both grassland production and respiration, and that GPP and RECO might not have equivalent responses to 576 climate conditions such as soil moisture and temperature (Table 3). Regardless of the temporal 577 578 resolution (i.e., daily or cumulative) used for model performance evaluation, the RCTM performed consistently better for grass-shrub mixture sites. 579

The model-simulated surface SOC stocks agreed well with SOC measurements from 580 NEON sites in terms of the ranking of the spatial dataset ($R^2 = 0.58$, Fig. 6). However, the model 581 simulation results were higher than observed 0-30 cm SOC stocks (MBE = -2535 g m⁻²). This is 582 likely because RCTM inputs are not restricted to a specific depth layer (e.g., 30 cm) but are 583 instead reflective of the integrated plant productivity signals due to the use of GPP and NEE data 584 for model calibration. However, the RCTM simulated SOC stocks were significantly lower than 585 those observed from the 0-100 cm depth (MBE = 7293 g m⁻², SI: Fig C3b), meaning that SOC 586 stocks from 0-100 cm were too deep for RCTM to capture. 587



588

Figure 6. The model performance for estimating surface soil organic carbon (SOC) stocks for NEON grassland sites using calibrated Rangeland Carbon Tracking and Monitoring (RCTM) system.

592

5933.3 Rangeland C dynamics influenced by site and environmental factors

The RCTM simulated annual cumulative GPP was strongly correlated with both surface 594 (R = 0.7) and root zone soil moisture (R = 0.8), VPD (R = -0.4), and fPAR (R = 0.9) (Table 3). 595 Strong correlations were observed between simulated annual average SOC and VPD (R = -0.4), 596 soil temperature (R = -0.4), and fPAR (R = 0.6). The RCTM simulation also suggested 597 significant correlations between RECO and all of the input variables investigated (P < 0.05). The 598 599 annual cumulative NEE was less correlated with environmental variables used in the model (R <(0.2), which might be explained by the close to steady-state conditions of the sites. As expected, 600 model-simulated SOC was significantly correlated with both GPP and RECO (P < 0.05). 601

Table 3. Correlation among model estimated annual cumulative net ecosystem exchange (NEE), gross primary productivity (GPP), ecosystem respiration (RECO), annual average soil organic carbon (SOC) stocks, and model input variables including 0-5 cm soil moisture (SWC_sf), root zone soil moisture (SWC_rt), vapor pressure deficit (VPD), 0-5 cm soil temperature (ST), clay content (Clay), and fraction of absorbed photosynthetically active radiation (fPAR).

· · · · · · · · · · · · · · · · · · ·									/	
	NEE	GPP	RECO	SOC	SWC_sf	SWC_rt	VPD	ST	Clay	fPAR
NEE	1									
GPP	0.26^{*}	1								
RECO	0.15	0.99*	1							
SOC	-0.01*	0.41^{*}	0.42^{*}	1						
SWC sf	0.14^{*}	0.70^{*}	0.19^{*}	0.19^{*}	1					
SWCrt	0.15^{*}	0.78^{*}	0.25^{*}	0.25^{*}	0.97^{*}	1				
VPD	-0.14*	-0.44*	-0.44*	-0.44*	-0.66*	-0.60^{*}	1			
ST	-0.04	0.10^{*}	-0.44*	-0.44*	-0.23*	-0.16*	0.71^{*}	1		
Clay	0.01	0.09^{*}	-0.01*	-0.01	0.10^{*}	0.11^{*}	0.09^{*}	0.17^{*}	1	
fPAR	0.20^{*}	0.88^{*}	0.56^{*}	0.56^{*}	0.62^{*}	0.62^{*}	-0.50^{*}	-0.07^{*}	-0.03	1
*										

607 * The correlation is significant at P < 0.05.

608

The RCTM simulation was carried out to explore temporal patterns of C fluxes and SOC 609 over the period from 2003 to 2022 influenced by vegetation types and geographic regions. It 610 appears that both GPP and SOC stocks showed an increasing trend for Ameriflux and NEON 611 sites grouped in perennial and/or annual grass, managed hay and pasture, and grass-shrub 612 mixture classes (Fig. 7). According to model simulation results, surface SOC stocks increased by 613 4.7, 6.2, and 8.4 g C m⁻² year⁻¹, for perennial and/or annual grass, managed hay and pasture, and 614 grass-shrub mixture sites, respectively (SI: Appendix B). Similar trends in SOC sequestration 615 were simulated for the majority of the USDA agricultural regions (Cooter et al., 2012), including 616 Northern Great Plains (6.2 g C m⁻² year⁻¹), Southern Great Plains (6.2 g C m⁻² year⁻¹), Mountain 617 regions (6.7 g C m⁻² year⁻¹), and Midwest (10 g C m⁻² year⁻¹), which are tied to an increase in 618 GPP over time (SI: Fig. E1). For individual Ameriflux/NEON sites, RCTM simulated a 619 significant (P < 0.05) increase trend in surface SOC stocks for the majority (69%) of the sites, 620 with a smaller percentage (13%) associated with SOC decrease (SI: Table B3). While a GPP 621 increase was simulated for 80% of the sites, the increase was found to be significant for only 622 16% of them. The most significant increases were found in Kellogg Biological Station sites in 623 Michigan. 624



625

Figure 7. Model estimated temporal trends (2003-2022) in gross primary productivity (GPP), net 626 ecosystem exchange (NEE), and surface soil organic carbon (SOC) stocks grouped by vegetation 627 classes including (a) perennial and annual grass, (b) managed hay and pasture, (c) mixture of 628 grass and shrub, and (d) mixture of grass and tree classes. The solid lines represent mean values 629 averaged from all sites within the group, while the lighter-colored lines with areas filled within 630 represent standard deviations for GPP and NEE estimates. The red line shows zero baseline for 631 NEE where a positive NEE denotes ecosystem carbon sink activity. Different scales were used 632 for SOC due to differences in data ranges among vegetation types. 633

634

635 4 Discussion

4.1 RCTM model performance compared to previous work

In comparison to previous research on estimating broad-scale rangeland productivity, our GPP model demonstrated similar or better performance. For example, Jin et al. (2020) carried

out a vegetation type-specific model calibration for the Mongolian Plateau, achieving a model 639 performance of $R^2 = 0.57$ in estimating grassland NPP. Zhang et al. (2015) compared four LUE-640 type models with varying complexity and found less accurate model estimations for grasslands 641 (\mathbb{R}^2 between 0.45 and 0.64; RMSE between 1.9 and 2.6 g C m⁻² day⁻¹) compared to croplands (\mathbb{R}^2 642 between 0.59 and 0.73) using a global flux tower dataset. Also using a global flux tower dataset, 643 Zhu et al. (2018) examined MODIS GPP products, which are also developed based on the LUE-644 type algorithms. Their study found moderate model fit ($R^2 = 0.66$) but relatively large RMSE, 645 indicating an underestimation of grassland GPP. Work by Zhang et al. (2012) reported a model 646 accuracy of $R^2 = 0.74$ for estimating annual GPP using the MODIS LUE algorithm when tested 647 against an earlier flux tower dataset from U.S. grasslands. Calibrated against both Ameriflux and 648 EuroFlux network sites, the work of Yuan et al. (2007) demonstrated better model performance 649 than ours ($R^2 = 0.77$), likely because their dataset included not only grassland but also savanna 650 and forest sites, allowing the LUE algorithms to better capture broader-scale climate and 651 vegetation driving factors. 652

In contrast to the extensive modeling efforts dedicated to rangeland productivity 653 estimation, there have been limited research efforts on modeling rangeland NEE and SOC, 654 especially with the use of a RS-driven, process-based modeling approach like RCTM. We 655 performed a comparison between RCTM and L4C (Endsley et al., 2020) using the 656 Ameriflux/NEON sites (SI: Appendix F) and found that RCTM outperformed L4C results in 657 terms of NEE estimates for perennial and/or annual grass and grass-shrub mixture sites, while 658 the performance was similar for grass-tree mixture sites. This is not surprising because in the 659 global L4C land-cover map, the single 'Grasslands' vegetation type (i.e., plant functional type) 660 represents fairly different bioclimatic settings. The L4C parameters were calibrated using a 661 global FLUXNET dataset that may not necessarily capture the interactions between climate 662 factors and rangeland soil dynamics within a smaller region. Another explanation is that the 663 STARFM fPAR inputs utilized by RCTM can better capture management-associated changes in 664 rangeland C dynamics. 665

To compare our modeling results more broadly with rangeland modeling efforts, we 666 identified several studies that focused on simulating regional-scale C dynamics using activity-667 driven process-based models. Abdalla et al. (2013) used the DNDC model to simulate C 668 dynamics within Irish grasslands and reported a model performance for estimating monthly 669 cumulative C fluxes ($R^2 = 0.51$) that is comparable to ours. The modeling work of Sándor et al. 670 (2016) showed that both the biome-generic Biome-BGC ($R^2 = 0.28$) and the grassland-specific 671 Pasture Simulation model ($R^2 = 0.42$) had limited model accuracy for estimating weekly NEE 672 from European grassland sites, despite a higher model performance reported for GPP estimates 673 674 $(\mathbb{R}^2 > 0.75)$. Limited accuracy was reported for simulating RECO from grassland sites using the CENTURY model, unless a time-lag factor is considered to account for legacy climate impacts 675 (Kelly et al., 2000). Regarding SOC, Zhang et al. (2007) reported that CENTURY-simulated 676 surface SOC stocks agreed well ($R^2 = 0.68$) with measurements at Qinghai-Tibetan Plateau sites. 677 It should be noted that the variations in model performance between RCTM and these two 678 studies can likely be attributed to differences in geographic coverage. 679

680 4.2 Factors driving regional rangeland C dynamics

The temporal trends observed for GPP and SOC changes are strongly controlled by the pattern observed in the RS-informed fPAR values. In perennial and/or annual grass, managed hay and pasture, and grass-shrub mixture sites, GPP and SOC remained relatively constant until 2013 and then began to increase (Fig. 7). A similar trend was found for most of the regionallevel summaries (SI: Fig. E1), which aligned with fPAR changes shown in SI: Appendix F. The fPAR values are often used to represent vegetation greenness (Forkel et al., 2014; Twine & Kucharik, 2008). In this context, rangeland greenness can be influenced both by environmental conditions and management practices (Browning et al., 2019; Long et al., 2019; Shibia et al., 2022).

The annual average fPAR correlated strongly (R > 0.6) with soil moisture (Table 3), 690 which is in line with the significant correlations (R > 0.5, P < 0.05) computed between fPAR and 691 annual precipitation at the regional scale (SI: Fig. G1). Our simulation results suggest that 692 increased rangeland greenness was often associated with higher annual precipitation levels, 693 particularly at the grass-shrub mixture and grass-tree mixture sites. This finding is in line with 694 previous work that reported enhanced rangeland productivity in wetter years (Golodets et al., 695 2013; Liu et al., 2021; Scott et al., 2023). Strong correlation (R = 0.64) was also found between 696 fPAR and air temperature for perennial and/or annual grass sites; however, this correlation was 697 less certain for other vegetation types or aggregated at the regional scale (SI: Fig. G1). The 698 uncertainty may stem from enhanced vegetation metabolism, increased SOM decomposition, and 699 a prolonged growing season linked to higher temperatures, but is likely reversed by plant growth 700 701 inhibition induced by heat or water stress (Izaurralde et al., 2011). In addition, vegetation composition (e.g., C3 versus C4) and ecoregion can often influence the magnitude and direction 702 of climate effects on rangeland productivity and C dynamics (Fuhlendorf et al., 2000; Hossain & 703 Li, 2021). 704

705 Conservation practices such as prescribed grazing management, grassland restoration, removal of invasive species, and upgrades of rangeland infrastructure can enhance rangeland 706 greenness through the promotion of vegetation growth, increased biodiversity and resilience, 707 reduced risks of wildfires, and improved water supply (Rolfe et al., 2021; Schmelzer et al., 2014; 708 709 Silverman et al., 2019), while practices that lead to rangeland degradation can cause reduced rangeland greenness (Paudel & Andersen, 2010; Smet & Ward, 2005). Unfortunately, 710 distinguishing management effects from climate variability on rangeland greenness can be 711 challenging (Li et al., 2018), especially when there is a lack of detailed temporal information of 712 713 grazing management (i.e., timing, intensity, and duration) and vegetation composition from most of the sites. Running RCTM at a 30 m spatial resolution would be useful to identify local areas 714 715 of change in C dynamics, but ideally, assessing long-term changes in rangeland productivity and SOC from reference sites alongside sites undergoing practice changes can help identify 716 management influence on rangeland C dynamics more effectively. 717

The simulation results showing a correlation between GPP and SOC stocks (Table 2) align with the expectation that productive rangeland can supply more C inputs to the soil. Elevated SOC levels were also explained by increased soil moisture at both depths, supporting the notion of higher rangeland productivity in response to higher moisture conditions. The negative correlation (R = -0.44, P < 0.05) between SOC and soil temperature likely reflects increased SOM decomposition tied to enhanced microbial activity in response to an increase in soil temperature (Hassan et al., 2015; Lal, 2004).

Environmental drivers are crucial not only for SOC stocks but also for changes in stock levels. Our SMLR analysis of RCTM outputs demonstrated that the rate of surface SOC stock changes was primarily controlled by site characteristics including slope, soil texture, air temperature, and VPD (Eq. 10). The empirical SMLR model ($R^2 = 0.58$) developed on all retained Ameriflux and NEON sites suggested that rangeland SOC sequestration increased with clay content and air temperature but decreased with slope and VPD.

 $\Delta SOC = 818 - 35 \times \text{slope} + 6 \times \text{clay}(\%) + 50 \times \text{air temperature}(^{\circ}\text{C}) - 114 \times \text{VPD}(\text{hPa}) \quad (\text{Eq. 10})$

731 Where $\triangle SOC$ (*g* C m^{-2}) denotes RCTM-simulated changes in surface SOC stocks from 732 2003 to 2022.

It is not surprising that soils with higher clay contents are connected to a higher SOC 733 sequestration rate, because finer-textured soils can better protect SOM from decomposition 734 through physical protection and chemical adsorption (Blanco-Canqui & Lal, 2004; Hassink, 735 1997). Likewise, studies have reported negative correlation between SOC accumulation and 736 slope, which can be explained by enhanced biomass production tied to moisture and nutrient 737 accumulation, as well as reduced erosion at lower-slope positions (Guillaume et al., 2021; 738 Mensah et al., 2003). The higher SOC sequestration rate observed from warmer sites reflects 739 increased rangeland productivity; however, temperature effects on SOC dynamics can be 740 confounded by factors such as rangeland vegetation composition, soil texture, soil moisture, and 741 grazing management practices (Bai & Cotrufo, 2022; Jones & Donnelly, 2004). Given that an 742 increase in VPD indicates atmospheric drought, which is associated with soil moisture stress 743 (Krishnan et al., 2012), it is anticipated that higher VPD values can lead to decreases in leaf 744 conductance and assimilation rate and, subsequently, reduced grassland productivity and SOC 745 sequestration rate (Shao et al., 2017; Zhang et al., 2023). 746

747 4.3 Limitations and future work

The discrepancy in modeled and measured SOC stocks points to the need to further refine 748 749 RCTM to account for the diffusion and advection among different depth layers (Sanderman & Amundson, 2008; Yao Zhang et al., 2021) as well as the depth effects on SOC decomposition. 750 The use of average environmental conditions from a relatively short period of time for model 751 initialization, along with the fact that RS inputs might not fully capture management (e.g., 752 irrigation) or legacy climate impacts on SOC dynamics (Delgado-Baquerizo et al., 2017; Nie et 753 al., 2022), might also lead to estimation bias. It should be noted that RCTM or similar RS-driven 754 process modeling approach-based systems are limited by the assumption that RS inputs can 755 adequately capture management-driven (e.g., grazing, irrigation) changes in rangeland greenness. 756 Future work should verify RCTM-simulated long-term trends in rangeland productivity with 757 ground-truth biomass datasets. It would also be worthwhile to compare RCTM with activity-data 758 driven models, such as DNDC (Li et al., 1994), DAYCENT (Parton et al., 1998), and MEMS 759 (Robertson et al., 2019) to assess their accuracy and uncertainty in predicting the spatial and 760 temporal C dynamics. Moreover, our modeling results found that RCTM had larger modeling 761 bias for grass-tree mixture sites (Fig. 3), which might be associated with signal saturation in RS 762 data caused by dense vegetation (Huete & Jackson, 1988; Zhu & Liu, 2015). The use of 763 saturation or cloud-adjusted indices, as well as a combination of vegetation indices may be 764 necessary to improve the accuracy of grass-tree mixture class-based modeling (Badgley et al., 765 2019; Gu et al., 2013; Yang et al., 2012). Also tied to RS inputs, the relatively lower model fit 766 observed in the estimation of winter GPP and NEE (Fig. 4a and b) might be explained by the fact 767 that the STARFM fusion method is constrained by a reduced number of Landsat and MODIS 768

images and pixels passing the QC criteria during the winter periods. This underscores the need to better account for snow cover effects and implement noise-reduction techniques in the case of missing data (Cao et al., 2018; Huang et al., 2021). Furthermore, the modeling bias for estimating NEE (Fig. 5) and SOC (Fig. 6) is significant, as reflected by the deviation of measured versus modeled values from the 1:1 line. This can lead to less accurate predictions, particularly at the lower and higher ends, pointing to the need for further model parameterization and evaluation using datasets covering a wider range.

There are several improvements that we believe can further increase the accuracy and 776 applicability of RCTM. First, more accurate model input and parameter estimates, such as 777 footprints calculated by Chu et al. (2021) and estimates of root:shoot ratio that are expressed as a 778 function of climate factors (e.g., temperature, precipitation) and vegetation types (Hui & 779 780 Jackson, 2006; Qi et al., 2019; Wang et al., 2021) for allocating modeled NPP into aboveground and belowground biomass, can be utilized. Parameterizing the RCTM for more detailed 781 vegetation types such as annual versus perennial grass (Milne & Haynes, 2004), C3 versus C4 782 grass (Zhang et al., 2007), tallgrass versus shortgrass (Pepper et al., 2005), and pastures with 783 different qualities (de Oliveira et al., 2022) that are known to have varying vegetation growth 784 and C dynamics (Guerschman et al., 2003; Otunga et al., 2019; Wang et al., 2014) may help 785 improve performance. Better capturing management (e.g., grazing and irrigation) effects on 786 787 vegetation growth (Hao & He, 2019; Su et al., 2022), litter quality (Gao et al., 2020), and SOC dynamics (Conant et al., 2017; McSherry & Ritchie, 2013; Sanderson et al., 2020) may also 788 improve the models performance and applicability to managed livestock operations. For 789 example, the DNDC-type algorithms (Li et al., 2012) can be incorporated to better describe the 790 conversion from animal ingested biomass into manure and the partition of manure into SOM 791 pools. The model calibration and validation can be further strengthened by taking advantage of 792 datasets reflecting long-term SOC stock changes associated with grassland management once 793 such datasets become available through long-term monitoring networks (Chang et al., 2015; 794 Moll-Mielewczik et al., 2023). Finally, the RCTM needs to be further evaluated for site-level 795 796 estimates of rangeland productivity and C dynamics in order to inform management decisions by utilizing finer resolution data such as downscaled soil moisture datasets (Garcia-Cardona et al., 797 2022; Xia et al., 2022) as model inputs, and strategically selected local field samples for 798 improved model calibration and validation. 799

800

801 **5 Conclusions**

The RCTM system is one of the first efforts to combine RS-driven LUE model outputs 802 with a process-based soil model for the estimation of C dynamics and SOC stocks in rangeland 803 systems. There is a potential to apply the system to estimate rangeland productivity and soil C 804 dynamics for other regions of the world, after the system is calibrated and validated with datasets 805 806 representing the application domain. The major advantages of RCTM include: (1) Applicability in situations where rangeland management datasets, such as grazing intensity and duration, are 807 unavailable; (2) Capability to estimate long-term (20 years or more) rangeland C dynamics 808 influenced by management and climate conditions; (3) Flexibility in the parameterization 809 procedure which would allow continuous model improvement as new flux tower and SOC data 810 become available; (4) Scalability in terms of its potential to be applied to different temporal 811 scales and local or regional extents at relatively high spatial resolution (30 m) that would be 812 relevant to management. The regional estimates of rangeland productivity and SOC sequestration 813

trends obtained from this work (e.g., increase in GPP and SOC tied to climate pattern) can be used to inform policy making and are suited to improve large scale rangeland C monitoring efforts, while it should also be possible to apply RCTM at the site level (individual operations) to improve management decisions, after parameterizing and verifying the system using site-level observations. High resolution, quality-controlled RS datasets and field observations capturing management effects on rangeland dynamics are essential to support the continuous improvement of RCTM and other RS-driven process-based modeling systems for rangeland C monitoring.

821

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836

837 **Open Research**

The codes used to develop the Rangeland Carbon Tracking and Monitoring system in the study

are made publicly available at Github: https://github.com/xiayushu/RCTM-soil-carbon.

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