Rethinking the susceptibility-based strategy for marine cloud brightening climate intervention: experiment with CESM2 and its implications

Chih-Chieh Chen¹, Jadwiga H. Richter², Walker Lee³, Douglas G MacMartin⁴, and Ben Kravitz⁵

¹NCAR CGD
²National Center for Atmospheric Research (UCAR)
³UCAR
⁴Cornell University
⁵Indiana University

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Abstract

Previous modeling studies indicate that even though marine cloud brightening under a susceptibility-based strategy is effective in reducing the global average surface temperature, it triggers a La Niña-like sea-surface temperature response with cooling mostly confined within lower latitudes. Here we explore a different cloud seeding strategy involving seeding of regions with low susceptibility. Simulations with the Community Earth System Model, version 2 (CESM2) reveal that because the regional forcing is weaker and more widespread, cooling is more evenly distributed over the globe. This new strategy also does not result in the La Niña-like state seen in the other strategies.

	Rethinking the susceptibility-based strategy for marine cloud brightening climate intervention:
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	Chih-Chieh Chen ¹ , Jadwiga H. Richter ¹ , Walker R. Lee ¹ , Douglas G. MacMartin ² , Ben Kravitz ^{3,4}
	¹ Climate and Global Dynamics Division, NSF National Center for Atmospheric Research, Boulder, CO
	² Cornell University
	³ Department of Earth and Atmospheric Sciences, Indiana University, Bloomington, IN
	⁴ Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland,
	WA
TZ.	
K	y Points:
	• We explore the idea of deploying marine cloud brightening over broader regions with low
	susceptibility to cloud seeding
	• This approach induces fairly uniform cooling over the globe unlike marine cloud brightening that
	targets the most susceptible regions
	 This new seeding strategy has fewer climatic side effects and does not trigger a La Nina-like
	response
	response
Pl	ain Language Summary:
М	ost previous marine cloud brightening simulations have focused on the regions more likely to induce
str	rong cooling. A common response in these simulations across different climate models is a La Nina-lik
se	a surface temperature pattern which could disrupt the El Nino Southern Oscillation. We explored
sir	nulations in which marine cloud brightening is deployed over the least susceptible regions rather than
the	e most susceptible. This new seeding strategy cools the globe more evenly and no longer triggers a La
Ni	na-like response
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31 Abstract

32 Previous modeling studies indicate that even though marine cloud brightening under a 33 susceptibility-based strategy is effective in reducing the global average surface temperature, it 34 triggers a La Niña-like sea-surface temperature response with cooling mostly confined within 35 lower latitudes. Here we explore a different cloud seeding strategy involving seeding of regions 36 with low susceptibility. Simulations with the Community Earth System Model, version 2 37 (CESM2) reveal that because the regional forcing is weaker and more widespread, cooling is 38 more evenly distributed over the globe. This new strategy also does not result in the La Niña-like 39 state seen in the other strategies.

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1. Introduction

42 Carbon emission reduction to mitigate anthropogenic global warming is proceeding 43 slower than is necessary to prevent dangerous anthropogenic interference in the climate system 44 [Friedlingstein et al., 2023]. As such, more attention has been drawn toward research on climate 45 interventions in recent years. Solar climate intervention, one class of climate interventions, aims 46 at enhancing the Earth's albedo and hence increases the reflection of incoming solar radiation. 47 Two proposals for solar climate interventions more widely simulated by climate models are 48 stratospheric aerosol injection (SAI) and marine cloud brightening (MCB). Through climate 49 model simulations, both have been demonstrated to be effective in reducing the global average 50 surface temperature. Nevertheless, the regional climate response under these two climate 51 interventions appears to be significantly different. For SAI [Tilmes et al., 2018; MacMartin et al., 52 2017; MacMartin et al., 2019; MacMartin et al., 2022; Richter et al., 2022], the injected aerosols 53 become widespread due to the transport processes through atmospheric circulations and thus the 54 induced cooling in general is much more evenly distributed over the globe. With MCB [Rasch et al., 2009; Jones et al., 2009; Hill and Ming, 2012; Hirasawa et al., 2023; Haywood et al., 2023] a 55 56 global reduction of temperature can also be achieved, however the induced cooling is much more 57 localized around regions where MCB is deployed.

58To date, most MCB simulations have assumed deployment of cloud seeding over regions59more likely to induce strong cooling. Latham et al. [2008] and Rasch et al. [2009] described a60methodology in which regions most susceptible to cloud seeding were first identified and MCB61deployment was then prioritized solely based on susceptibility. In other MCB studies [Jones et62al., 2009; Hill and Ming, 2012; Hirasawa et al., 2023; Haywood et al., 2023], deployment of63cloud seeding was frequently assumed to be over the stratocumulus regions, e.g. the Southeast64Pacific, Northeast Pacific, and Southeast Atlantic. With the cloud decks constantly present over

65 these regions, deployment of MCB is likely to induce strong cooling. Indeed, both strategies have 66 been demonstrated to be viable but the induced cooling was mainly confined to lower latitudes. 67 Furthermore, they tend to trigger a La Nina-like sea surface temperature pattern. This is 68 worrisome as it could disrupt the El Nino Southern Oscillation (ENSO). These attributes could be 69 problematic outcomes for MCB climate intervention.

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In this study, we explore cloud seeding strategies over a broader area extent with low susceptibility to cloud seeding. This will exert a weaker, but more globally uniform, forcing on the climate system which might eliminate the undesirable outcomes commonly found in previous MCB simulations.

2. Model description

We use the Community Earth System Model version 2 (CESM2) [Danabasoglu et al., 76 2020] for all simulations in this study. This version was employed for the Coupled Model Intercomparison Project Phase 6 (CMIP6) [Eyring et al., 2016] in which CESM2 was shown to 78 perform very well in simulating large-scale circulations and tropospheric climate over the 79 historical time period among CMIP6 models [Simpson et al., 2020; Duviver et al., 2020; Coburn and Pruor, 2021].

81 CESM2 is a fully coupled Earth system model with prognostic atmosphere, land, ocean, 82 sea-ice, and land-ice components. The Community Atmosphere Model version 6 (CAM6) is 83 utilized as the atmosphere component of CESM2, which uses a finite volume dynamical core 84 with a 1.25°x0.9° longitude-latitude mesh and 32 vertical levels with the model top at around 40 km. CAM6 uses the Zhang and McFarlane [1995] scheme for simulating deep convection, the 85 86 Cloud Layers Unified By Binormals (CLUBB) [Golaz et al., 2002; Larson, 2017] for shallow 87 convection, boundary layer, and an updated version of Morrison-Gettelman microphysics scheme 88 (MG2) [Gettelman and Morrison, 2015] for representing stratiform clouds and precipitation 89 processes.

90 The Parallel Ocean Program version 2 (POP2) [Smith et al., 2010; Danabasoglu et al., 91 2012] is the ocean component of CESM2, the same as in CESM1 but with several advances. 92 These include a new parameterization for mixing effects in estuaries, increased mesoscale eddy 93 (isopycnal) diffusivities at depth, use of prognostic chlorophyll for shortwave absorption, use of 94 salinity-dependent freezing-point together with sea-ice model, and a new Langmuir mixing 95 parameterization in conjunction with the new wave model component [Danabasoglu et al., 2020]. 96 POP2 operates on a mesh which is uniform in the zonal direction (1.125°) and varies significantly 97 in the meridional direction with the finest resolution of 0.27° at the equator. In the Northern 98 Hemisphere high latitudes, the finest and coarsest resolution is about 0.38° and 0.64° .

respectively, at the northwestern Atlantic Ocean/northwestern Pacific Ocean. In the Southern
Hemisphere, the resolution monotonically changes to 0.53° at 32°S and remains constant further
south. There are 60 vertical levels with a maximum depth of 5500 m with a uniform resolution of
10 m in the upper 160 m. CESM2 uses CICE version 5.1.2 (CICE5) [Hunke et al., 2015] as its
sea-ice component and uses the same horizontal grid as POP2.

104CESM2 uses the Community Land Model version 5 (CLM5) [Lawrence et al., 2019] with105many updates from CLM4. CLM5 improves the model's hydrological and ecological realism and106enhances the representation of anthropogenic land use activities on climate and carbon cycle107[Danabasoglu et al., 2020]. The River Transport Model (RTM) used in CESM1 has been replaced108with the Model for Scale Adaptive River transport (MOSART) [Li et al., 2013].

109 **3.** Cloud seeding masks

110 Following the methodology outlined in Latham et al. [2008] and Rasch et al. [2009], to 111 determine susceptibility to cloud seeding for each grid point, two CESM2 simulations between 112 2015 and 2034 under SSP2-4.5 were conducted. The first is a baseline simulation and the second 113 assumes that MCB is deployed over all grid points over the ocean where the cloud drop number 114 concentration of low clouds within the boundary layer (below 850 hPa) is artificially increased to 115 375/cm³. Differences in shortwave cloud forcing (SWCF) between the two simulations are the 116 sole indicator in determining susceptibility to cloud seeding. Grid points with the strongest 117 negative SWCF differences due to MCB are considered most susceptible to cloud seeding and all 118 grid points over the ocean are ranked based on their susceptibility to cloud seeding. Major 119 physical factors affecting susceptibility to cloud seeding for each grid include the amount of 120 incoming solar radiation and the persistence of low clouds. Thus, regions in lower latitudes with 121 persistent presence of low clouds are likely to rank higher in susceptibility to cloud seeding.

122Radiative forcing under the susceptibility rankings computed from these two simulations123is depicted in Figure 1. For grid points most susceptible to seeding, the radiative forcing is plotted124as the blue line in Figure 1. For the top (most susceptible) 10% ocean surface, the radiative forcing125is ~-5 W/m². For the top 20% ocean surface, the radiative forcing is only ~-7.6 W/m², well short126of doubling from the top 10% ocean surface.

As can be seen in Figure 1, when the area extent of cloud seeding reaches 80% of the
ocean surface, further area extent increase is ineffective in producing more cooling. Thus, the tail
portion in the susceptibility rankings is defined as least susceptible to cloud seeding. Thus, if
MCB is to be deployed over 10% of the ocean surface in the least susceptible approach, the

131 seeding mask will be equivalent to the difference between 80% of the ocean surface and 70% of132 the ocean surface most susceptible to cloud seeding.

133Radiative forcing based on the least susceptible approach can reach ~-5 W/m² when134MCB is deployed over 50% of the ocean surface, i.e., much broader than under the most135susceptible approach in which deployment of MCB over 10% ocean surface is capable of136achieving the same amount of cooling. The 50% ocean surface in the least susceptible approach137is, by definition, equal to the top 80% ocean surface in the most susceptible approach minus the138top 30% ocean surface.

139 The monthly seeding masks, established by the aforementioned CESM2 simulations, 140 based on the top (most susceptible) 5% ocean surface and the tail (least susceptible) 30% ocean 141 surface in the least susceptible approach are plotted in Figure 2. It is important to note that there 142 is zero overlap in the two seeding masks as all grid points are ranked based on SWCF differences. 143 As shown in red shading in Figure 2, the seeding mask under the top 5% ocean surface favors the 144 summer hemisphere where incoming solar radiation is more abundant. Further, it also indicates 145 that the stratocumulus regions over the eastern flank of the Pacific Ocean gyre are most 146 susceptible to cloud seeding.

However, the seeding mask under the tail 30% ocean surface (blue shading in Figure 2)
illustrates a very different pattern, and points primarily to open ocean regions, such as the
Southern Ocean during the boreal summer and the North Atlantic during the boreal winter. Under
this approach, deployment of MCB favors the winter hemisphere where less incoming solar
radiation is available to be reflected. Further, the most favorable sites for MCB are mainly found
in mid latitudes which is also a drastically different feature from the seeding mask under the top
5% ocean surface.

4. MCB experiments

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155 Following the protocol described in Richter et al. [2022], MCB intervention is assumed 156 to be initiated in 2035 and the simulations are performed between 2035 and 2069 in this study. 157 The temperature target for MCB intervention is to restore the global average surface temperature 158 between 2050 and 2069 back to the 2020-2039 level. The simulations are conducted with CESM2 159 under the moderate Shared Socioeconomic Pathway scenario of SSP2-4.5 for this study [O'Neill 160 et al., 2016]. For MCB simulations, the cloud drop number concentration of low clouds within the 161 boundary layer (below 850 hPa) over designated cloud seeding regions is artificially increased to 162 375/cm³, the lower number concentration assumed in Latham et al. [2008] which is more realistic 163 than the higher number concentration (1000/cm³). Five MCB simulations are examined in this 164 study. Deployment of MCB is assumed to be over: 1) top 5% ocean surface (most susceptible), 2)

tail 10% ocean surface (least susceptible), 3) tail 20% ocean surface, 4) tail 30% ocean surface,
and 5) tail 40% ocean surface, as listed in Table 1.

167 As expected, without any intervention, the global mean surface temperature in the SSP2-168 4.5 simulation steadily increases throughout the simulation period (black line in Figure 3a) with 169 an average of 288.8 K between 2020 and 2039 which is the temperature target for MCB 170 intervention. The effect of global warming under SSP2-4.5 simulated by CESM2 is illustrated in 171 Figure 3b. In general, stronger warming is found over polar regions. Additionally, stronger 172 warming is also present over Eastern Canada, Eastern Siberia, Northeastern and Central China. 173 As also shown in Figure 3a (red line), the application of MCB over the top 5% ocean surface is 174 capable of meeting the temperature target, and the average global mean surface temperature 175 between 2050 and 2069 is 288.7 K, although it is lower than average early in the period, and over 176 the average after year 2057. For the least susceptible approach, MCB over 10% ocean surface is 177 insufficient to induce enough cooling, and MCB over 30% and 40% ocean surface over-cools the 178 Earth relative to the target. The global mean surface temperature in the simulation utilizing 20% 179 ocean surface seeding is right on the temperature target.

180 In order to examine the uniformity of surface temperature responses induced by MCB181 under various seeding strategies, we define

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under various seeding strategies, we define $\Delta T_1 = T_{control}(2050 \sim 2069) - T_{control}(2020 \sim 2039) = \Delta T_{SSP},$

 $\Delta T_{MCB} = \Delta T_2 - \Delta T_1,$

 $\gamma \cdot \Delta T_{MCB} + \Delta T_{SSP} = 0,$

$$\Delta T_2 = T_{MCB}(2050 \sim 2069) - T_{control}(2050 \sim 2069) = \Delta T_{MCB} + \Delta T_{SSP},$$

184 where ΔT_1 is the surface temperature response due to climate change (ΔT_{SSP}), and ΔT_2 is the 185 combined effects of climate change and MCB intervention (ΔT_{MCB}), and

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where γ is a hypothetical scaling factor for MCB intervention so the combined effects of climate
change and MCB intervention sum to zero.

Even though MCB deployment over the top 5% ocean surface is capable of meeting the 190 191 temperature target, its induced cooling is mainly confined to lower latitudes (Figure 3c) and this 192 does a poor job at offsetting the pattern of warming in the SSP2-4.5 scenario. The sea surface 193 temperature response over the Pacific Ocean resembles that of La Nina. This could pose a threat 194 to interfere with the ENSO. In addition, there are regions where surface temperature is 195 significantly warmer under such MCB intervention than under global warming (shown in Figure 196 3b), e.g. over the Northwest Pacific Ocean and the South Pacific convergence zone (SPCZ). All 197 of these features are considered as undesirable outcomes, especially when compared with SAI

studies which have shown that SAI in general induced cooling much more evenly distributed over 199 the globe [e.g. Tilmes et al. 2018; Richter et al. 2022].

200 MCB under the least susceptibility approach, however, is showing a very different 201 surface temperature response pattern. In the four experiments (Figures. 3d, e, f, g) conducted in 202 this study, they all show a much more uniform response compared with the high-susceptibility 203 approach (Figure 3c). MCB over the tail 20% ocean surface is the closest in meeting the 204 temperature target of the four experiments and the global surface temperature map (Figure 3e) 205 indicates that it is very close to restoring the surface temperature over the globe between 2050 206 and 2069 to the average between 2020 and 2039. Under this MCB intervention, enough cooling is 207 induced over the polar regions to counteract the warming effect by global warming. However, 208 surface temperature over Eastern Canada and US, and Northeastern and Central China is slightly 209 warmer, implying induced cooling is not sufficient to restore temperature over these regions to 210 the 2020-2039 level. Even though MCB over the tail 30% and 40% ocean surface (Figures 3 f, g) 211 overcools the Earth, they both show, with the hypothetical scaling, that cooling is much more 212 evenly distributed than MCB over the top 5% ocean surface (Figure 3c). These results suggest 213 that MCB under the least susceptible approach induces much more uniform cooling than the most 214 susceptible approach and is perhaps a more desirable strategy of climate intervention via MCB.

215 In the global mean, precipitation increases in the SSP2-4.5 simulation (Fig 4a, black 216 line). In all of the MCB simulations, global mean precipitation is reduced relative to SSP2-4.5 in 217 varying degrees. MCB applied over the top 5% (Figure 4c) induces strong precipitation 218 responses, e.g. decrease over the SPCZ and tropical Pacific, increase over Australia, and 219 northward shift for the Intertropical Convergence Zone (ITCZ). The induced changes are much 220 stronger in magnitude than the effect of global warming (Figure 4b) which indicates that the most 221 noticeable change is enhanced precipitation over the ITCZ. However, MCB under the least 222 susceptible approach induces much weaker regional precipitation responses (Figures 4 d, e, f, g), 223 similar to the surface temperature response.

224 5. Summary and conclusion

225 MCB has been demonstrated in various climate models as a viable option as a solar 226 climate intervention proposal. Even though not all the previous studies specifically assumed to 227 deploy MCB over regions most susceptible to cloud seeding, most of them considered regions 228 more likely to induce strong local cooling effects. While this makes sense, such an approach 229 might lead to exerting strong regional forcing on the climate system, leading to non-uniform 230 cooling, and triggering of unintended regional impacts to the mean climate state and its

variabilities. One common response in most MCB simulations is the triggering of a La Nina-like sea surface temperature pattern which might pose a threat to disrupt the ENSO.

In this study, we explored a different cloud seeding strategy to examine if we may be able to achieve more uniform global cooling and alleviate various undesirable outcomes frequently seen in previous MCB studies. It is hypothesized that MCB deployment over a much broader region with low susceptibility to cloud seeding might exert much weaker regional forcing on the climate system which in turn could alleviate the previously seen unintended consequences.

238 Our results suggest that deployment of MCB over regions with low susceptibility to 239 cloud seeding could lead to more uniform cooling over the globe and a La Nina-like response is 240 no longer triggered. Even though the globally-averaged radiative forcing from MCB utilizing the 241 top 5% ocean surface and the tail 40% ocean surface is quite similar (\sim -3 W/m2, Figure 1), the 242 resulting reduction in global average surface temperature is significantly different (see Figure 3a). 243 Deployment of MCB over the tail 40% ocean surface achieves much stronger reduction in global 244 averaged surface temperature than the top 5%. This suggests that MCB under the most 245 susceptible approach behaves drastically differently from the least susceptible approach. Finally, 246 it will be important to see if similar results could be reproduced in other climate models as this 247 will allow us to gain deeper confidence for the findings in this study.

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261 Data Availability Statement

262 CESM tag cesm2.1.4-rc.08 was used to carry out the simulations and is available at

263 https://doi.org/10.5281/zenodo.7271743 (CESM Team, 2022). CESM2 simulation output presented 264 in this paper is available at https://doi.org/10.5065/MRH9-B809. 265 266 References 267 Coburn, J. and Pryor, S. C.: Differential Credibility of Climate Modes in CMIP6, J. Climate, 34, 268 8145-8164, 2021. 269 Danabasoglu, G., Bates, S. C., Briegleb, B. P., Jayne, S. R., Jochum, M., Large, W. G., Peacock, 270 S., & Yeager, S. G. (2012). The CCSM4 ocean component. Journal of Climate, 25, 271 1361-1389. https://doi.org/10.1175/JCLI-D-11-00091.1 272 Danabasoglu, G., Lamarque, J.-F., Bacmeister, J., Bailey, D. A., DuVivier, A. K., Edwards, J., et 273 al. (2020). The Community Earth System Model Version 2 (CESM2). Journal of 274 Advances in Modeling Earth Systems, 12, e2019MS001916. 275 https://doi.org/10.1029/2019MS001916 276 DuVivier, A. K., Holland, M. M., Kay, J. E., Tilmes, S., Gettelman, A., and Bailey, D. A.: Arctic 277 and Antarctic sea ice mean state in the Community Earth System Model Version 2 and 278 the influence of atmospheric chemistry, J. Geophys. Res.-Oceans, 125, e2019JC015934, 279 https://doi.org/10.1029/2019JC015934, 2020. 280 Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., & Taylor, K. E. 281 (2016). Overview of the Coupled Model Intercomparison Project phase 6 (CMIP6) 282 experimental design and organization. Geoscientific Model Development, 9, 1937–1958. 283 https://doi.org/10.5194/gmd-9-1937-2016 284 Friedlingstein, P., O'Sullivan, M., Jones, M. W., Andrew, R. M., Bakker, D. C. E., Hauck, J., 285 Landschützer, P., Le Quéré, C., Luijkx, I. T., Peters, G. P., Peters, W., Pongratz, J., 286 Schwingshackl, C., Sitch, S., Canadell, J. G., Ciais, P., Jackson, R. B., Alin, S. R., Anthoni, 287 P., Barbero, L., Bates, N. R., Becker, M., Bellouin, N., Decharme, B., Bopp, L., Brasika, I. B. 288 M., Cadule, P., Chamberlain, M. A., Chandra, N., Chau, T.-T.-T., Chevallier, F., Chini, L. P., 289 Cronin, M., Dou, X., Enyo, K., Evans, W., Falk, S., Feely, R. A., Feng, L., Ford, D. J., 290 Gasser, T., Ghattas, J., Gkritzalis, T., Grassi, G., Gregor, L., Gruber, N., Gürses, Ö., Harris, 291 I., Hefner, M., Heinke, J., Houghton, R. A., Hurtt, G. C., Iida, Y., Ilyina, T., Jacobson, A. R., 292 Jain, A., Jarníková, T., Jersild, A., Jiang, F., Jin, Z., Joos, F., Kato, E., Keeling, R. F., 293 Kennedy, D., Klein Goldewijk, K., Knauer, J., Korsbakken, J. I., Körtzinger, A., Lan, X., 294 Lefèvre, N., Li, H., Liu, J., Liu, Z., Ma, L., Marland, G., Mayot, N., McGuire, P. C., 295 McKinley, G. A., Meyer, G., Morgan, E. J., Munro, D. R., Nakaoka, S.-I., Niwa, Y., O'Brien,

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	Ts (K) 2020- 2039	Ts (K) 2050- 2069	MCB scaling factor	pr (mm/day) 2020- 2039	pr (mm/day) 2050- 2069
control	288.8	289.8		2.98	3.05
5% most susceptible		288.7	0.88		2.96
10% least susceptible		289.4	2.34		3.02
20% least susceptible		288.8	0.98		2.97
30% least susceptible		288.3	0.65		2.93
40% least susceptible		287.6	0.44		2.88

Table 1: Global average surface temperature and precipitation under the control and 5 MCB simulations

examined in this study, and the hypothetical MCB scaling factor to allow MCB interventions to restore

400 surface temperature between 2050 and 2069 to the 2020-2039 level.



Figure 1: Radiative forcing based on susceptibility to cloud seeding. The blue line represents the most
susceptible approach and the red line represents the least susceptible approach. For the least susceptible
approach, 10% ocean surface seeding is taken as the difference between the top 80% most susceptible
regions and the top 70% most susceptible regions.



414 Figure 2: Monthly seeding masks: red shading represents the top 5% ocean surface most susceptible to

415 seeding, and blue shading represents the tail 30% ocean surface least susceptible to seeding.



421 422 Figure 3: Global average surface temperature trend (a) and global maps of adjusted surface temperature 423 differences of averages between 2050 and 2069 and the baseline average between 2020 and 2039 (b)-(g). 424 A baseline CESM2 simulation is compared against MCB over 5% ocean surface most susceptible to 425 seeding and 10%, 20%, 30% and 40% ocean surface least susceptible to seeding. The adjustment made in 426 the difference is by applying a scaling factor on the MCB response as listed in Table 1. In (a), black 427 dashed line represents the average between 2020 and 2039 as the MCB intervention temperature target, 428 other dashed lines represent the averages between 2050 and 2069. 429



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430 -4 -3 -2 -1
431 Figure 4: Similar as Fig. 3 but for precipitation rate.

	Rethinking the susceptibility-based strategy for marine cloud brightening climate intervention:
	experiment with CESM2 and its implications
	Chih-Chieh Chen ¹ , Jadwiga H. Richter ¹ , Walker R. Lee ¹ , Douglas G. MacMartin ² , Ben Kravitz ^{3,4}
	¹ Climate and Global Dynamics Division, NSF National Center for Atmospheric Research, Boulder, CO
	² Cornell University
	³ Department of Earth and Atmospheric Sciences, Indiana University, Bloomington, IN
	⁴ Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland,
	WA
TZ.	
K	y Points:
	• We explore the idea of deploying marine cloud brightening over broader regions with low
	susceptibility to cloud seeding
	• This approach induces fairly uniform cooling over the globe unlike marine cloud brightening that
	targets the most susceptible regions
	 This new seeding strategy has fewer climatic side effects and does not trigger a La Nina-like
	response
	response
Pl	ain Language Summary:
М	ost previous marine cloud brightening simulations have focused on the regions more likely to induce
str	rong cooling. A common response in these simulations across different climate models is a La Nina-lik
se	a surface temperature pattern which could disrupt the El Nino Southern Oscillation. We explored
sir	nulations in which marine cloud brightening is deployed over the least susceptible regions rather than
the	e most susceptible. This new seeding strategy cools the globe more evenly and no longer triggers a La
Ni	na-like response
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31 Abstract

32 Previous modeling studies indicate that even though marine cloud brightening under a 33 susceptibility-based strategy is effective in reducing the global average surface temperature, it 34 triggers a La Niña-like sea-surface temperature response with cooling mostly confined within 35 lower latitudes. Here we explore a different cloud seeding strategy involving seeding of regions 36 with low susceptibility. Simulations with the Community Earth System Model, version 2 37 (CESM2) reveal that because the regional forcing is weaker and more widespread, cooling is 38 more evenly distributed over the globe. This new strategy also does not result in the La Niña-like 39 state seen in the other strategies.

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1. Introduction

42 Carbon emission reduction to mitigate anthropogenic global warming is proceeding 43 slower than is necessary to prevent dangerous anthropogenic interference in the climate system 44 [Friedlingstein et al., 2023]. As such, more attention has been drawn toward research on climate 45 interventions in recent years. Solar climate intervention, one class of climate interventions, aims 46 at enhancing the Earth's albedo and hence increases the reflection of incoming solar radiation. 47 Two proposals for solar climate interventions more widely simulated by climate models are 48 stratospheric aerosol injection (SAI) and marine cloud brightening (MCB). Through climate 49 model simulations, both have been demonstrated to be effective in reducing the global average 50 surface temperature. Nevertheless, the regional climate response under these two climate 51 interventions appears to be significantly different. For SAI [Tilmes et al., 2018; MacMartin et al., 52 2017; MacMartin et al., 2019; MacMartin et al., 2022; Richter et al., 2022], the injected aerosols 53 become widespread due to the transport processes through atmospheric circulations and thus the 54 induced cooling in general is much more evenly distributed over the globe. With MCB [Rasch et al., 2009; Jones et al., 2009; Hill and Ming, 2012; Hirasawa et al., 2023; Haywood et al., 2023] a 55 56 global reduction of temperature can also be achieved, however the induced cooling is much more 57 localized around regions where MCB is deployed.

58To date, most MCB simulations have assumed deployment of cloud seeding over regions59more likely to induce strong cooling. Latham et al. [2008] and Rasch et al. [2009] described a60methodology in which regions most susceptible to cloud seeding were first identified and MCB61deployment was then prioritized solely based on susceptibility. In other MCB studies [Jones et62al., 2009; Hill and Ming, 2012; Hirasawa et al., 2023; Haywood et al., 2023], deployment of63cloud seeding was frequently assumed to be over the stratocumulus regions, e.g. the Southeast64Pacific, Northeast Pacific, and Southeast Atlantic. With the cloud decks constantly present over

65 these regions, deployment of MCB is likely to induce strong cooling. Indeed, both strategies have 66 been demonstrated to be viable but the induced cooling was mainly confined to lower latitudes. 67 Furthermore, they tend to trigger a La Nina-like sea surface temperature pattern. This is 68 worrisome as it could disrupt the El Nino Southern Oscillation (ENSO). These attributes could be 69 problematic outcomes for MCB climate intervention.

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In this study, we explore cloud seeding strategies over a broader area extent with low susceptibility to cloud seeding. This will exert a weaker, but more globally uniform, forcing on the climate system which might eliminate the undesirable outcomes commonly found in previous MCB simulations.

2. Model description

We use the Community Earth System Model version 2 (CESM2) [Danabasoglu et al., 76 2020] for all simulations in this study. This version was employed for the Coupled Model Intercomparison Project Phase 6 (CMIP6) [Eyring et al., 2016] in which CESM2 was shown to 78 perform very well in simulating large-scale circulations and tropospheric climate over the 79 historical time period among CMIP6 models [Simpson et al., 2020; Duviver et al., 2020; Coburn and Pruor, 2021].

81 CESM2 is a fully coupled Earth system model with prognostic atmosphere, land, ocean, 82 sea-ice, and land-ice components. The Community Atmosphere Model version 6 (CAM6) is 83 utilized as the atmosphere component of CESM2, which uses a finite volume dynamical core 84 with a 1.25°x0.9° longitude-latitude mesh and 32 vertical levels with the model top at around 40 km. CAM6 uses the Zhang and McFarlane [1995] scheme for simulating deep convection, the 85 86 Cloud Layers Unified By Binormals (CLUBB) [Golaz et al., 2002; Larson, 2017] for shallow 87 convection, boundary layer, and an updated version of Morrison-Gettelman microphysics scheme 88 (MG2) [Gettelman and Morrison, 2015] for representing stratiform clouds and precipitation 89 processes.

90 The Parallel Ocean Program version 2 (POP2) [Smith et al., 2010; Danabasoglu et al., 91 2012] is the ocean component of CESM2, the same as in CESM1 but with several advances. 92 These include a new parameterization for mixing effects in estuaries, increased mesoscale eddy 93 (isopycnal) diffusivities at depth, use of prognostic chlorophyll for shortwave absorption, use of 94 salinity-dependent freezing-point together with sea-ice model, and a new Langmuir mixing 95 parameterization in conjunction with the new wave model component [Danabasoglu et al., 2020]. 96 POP2 operates on a mesh which is uniform in the zonal direction (1.125°) and varies significantly 97 in the meridional direction with the finest resolution of 0.27° at the equator. In the Northern 98 Hemisphere high latitudes, the finest and coarsest resolution is about 0.38° and 0.64° .

respectively, at the northwestern Atlantic Ocean/northwestern Pacific Ocean. In the Southern
Hemisphere, the resolution monotonically changes to 0.53° at 32°S and remains constant further
south. There are 60 vertical levels with a maximum depth of 5500 m with a uniform resolution of
10 m in the upper 160 m. CESM2 uses CICE version 5.1.2 (CICE5) [Hunke et al., 2015] as its
sea-ice component and uses the same horizontal grid as POP2.

104CESM2 uses the Community Land Model version 5 (CLM5) [Lawrence et al., 2019] with105many updates from CLM4. CLM5 improves the model's hydrological and ecological realism and106enhances the representation of anthropogenic land use activities on climate and carbon cycle107[Danabasoglu et al., 2020]. The River Transport Model (RTM) used in CESM1 has been replaced108with the Model for Scale Adaptive River transport (MOSART) [Li et al., 2013].

109 **3.** Cloud seeding masks

110 Following the methodology outlined in Latham et al. [2008] and Rasch et al. [2009], to 111 determine susceptibility to cloud seeding for each grid point, two CESM2 simulations between 112 2015 and 2034 under SSP2-4.5 were conducted. The first is a baseline simulation and the second 113 assumes that MCB is deployed over all grid points over the ocean where the cloud drop number 114 concentration of low clouds within the boundary layer (below 850 hPa) is artificially increased to 115 375/cm³. Differences in shortwave cloud forcing (SWCF) between the two simulations are the 116 sole indicator in determining susceptibility to cloud seeding. Grid points with the strongest 117 negative SWCF differences due to MCB are considered most susceptible to cloud seeding and all 118 grid points over the ocean are ranked based on their susceptibility to cloud seeding. Major 119 physical factors affecting susceptibility to cloud seeding for each grid include the amount of 120 incoming solar radiation and the persistence of low clouds. Thus, regions in lower latitudes with 121 persistent presence of low clouds are likely to rank higher in susceptibility to cloud seeding.

122Radiative forcing under the susceptibility rankings computed from these two simulations123is depicted in Figure 1. For grid points most susceptible to seeding, the radiative forcing is plotted124as the blue line in Figure 1. For the top (most susceptible) 10% ocean surface, the radiative forcing125is ~-5 W/m². For the top 20% ocean surface, the radiative forcing is only ~-7.6 W/m², well short126of doubling from the top 10% ocean surface.

As can be seen in Figure 1, when the area extent of cloud seeding reaches 80% of the
ocean surface, further area extent increase is ineffective in producing more cooling. Thus, the tail
portion in the susceptibility rankings is defined as least susceptible to cloud seeding. Thus, if
MCB is to be deployed over 10% of the ocean surface in the least susceptible approach, the

131 seeding mask will be equivalent to the difference between 80% of the ocean surface and 70% of132 the ocean surface most susceptible to cloud seeding.

133Radiative forcing based on the least susceptible approach can reach ~-5 W/m² when134MCB is deployed over 50% of the ocean surface, i.e., much broader than under the most135susceptible approach in which deployment of MCB over 10% ocean surface is capable of136achieving the same amount of cooling. The 50% ocean surface in the least susceptible approach137is, by definition, equal to the top 80% ocean surface in the most susceptible approach minus the138top 30% ocean surface.

139 The monthly seeding masks, established by the aforementioned CESM2 simulations, 140 based on the top (most susceptible) 5% ocean surface and the tail (least susceptible) 30% ocean 141 surface in the least susceptible approach are plotted in Figure 2. It is important to note that there 142 is zero overlap in the two seeding masks as all grid points are ranked based on SWCF differences. 143 As shown in red shading in Figure 2, the seeding mask under the top 5% ocean surface favors the 144 summer hemisphere where incoming solar radiation is more abundant. Further, it also indicates 145 that the stratocumulus regions over the eastern flank of the Pacific Ocean gyre are most 146 susceptible to cloud seeding.

However, the seeding mask under the tail 30% ocean surface (blue shading in Figure 2)
illustrates a very different pattern, and points primarily to open ocean regions, such as the
Southern Ocean during the boreal summer and the North Atlantic during the boreal winter. Under
this approach, deployment of MCB favors the winter hemisphere where less incoming solar
radiation is available to be reflected. Further, the most favorable sites for MCB are mainly found
in mid latitudes which is also a drastically different feature from the seeding mask under the top
5% ocean surface.

4. MCB experiments

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155 Following the protocol described in Richter et al. [2022], MCB intervention is assumed 156 to be initiated in 2035 and the simulations are performed between 2035 and 2069 in this study. 157 The temperature target for MCB intervention is to restore the global average surface temperature 158 between 2050 and 2069 back to the 2020-2039 level. The simulations are conducted with CESM2 159 under the moderate Shared Socioeconomic Pathway scenario of SSP2-4.5 for this study [O'Neill 160 et al., 2016]. For MCB simulations, the cloud drop number concentration of low clouds within the 161 boundary layer (below 850 hPa) over designated cloud seeding regions is artificially increased to 162 375/cm³, the lower number concentration assumed in Latham et al. [2008] which is more realistic 163 than the higher number concentration (1000/cm³). Five MCB simulations are examined in this 164 study. Deployment of MCB is assumed to be over: 1) top 5% ocean surface (most susceptible), 2)

tail 10% ocean surface (least susceptible), 3) tail 20% ocean surface, 4) tail 30% ocean surface,
and 5) tail 40% ocean surface, as listed in Table 1.

167 As expected, without any intervention, the global mean surface temperature in the SSP2-168 4.5 simulation steadily increases throughout the simulation period (black line in Figure 3a) with 169 an average of 288.8 K between 2020 and 2039 which is the temperature target for MCB 170 intervention. The effect of global warming under SSP2-4.5 simulated by CESM2 is illustrated in 171 Figure 3b. In general, stronger warming is found over polar regions. Additionally, stronger 172 warming is also present over Eastern Canada, Eastern Siberia, Northeastern and Central China. 173 As also shown in Figure 3a (red line), the application of MCB over the top 5% ocean surface is 174 capable of meeting the temperature target, and the average global mean surface temperature 175 between 2050 and 2069 is 288.7 K, although it is lower than average early in the period, and over 176 the average after year 2057. For the least susceptible approach, MCB over 10% ocean surface is 177 insufficient to induce enough cooling, and MCB over 30% and 40% ocean surface over-cools the 178 Earth relative to the target. The global mean surface temperature in the simulation utilizing 20% 179 ocean surface seeding is right on the temperature target.

180 In order to examine the uniformity of surface temperature responses induced by MCB181 under various seeding strategies, we define

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under various seeding strategies, we define $\Delta T_1 = T_{control}(2050 \sim 2069) - T_{control}(2020 \sim 2039) = \Delta T_{SSP},$

 $\Delta T_{MCB} = \Delta T_2 - \Delta T_1,$

 $\gamma \cdot \Delta T_{MCB} + \Delta T_{SSP} = 0,$

$$\Delta T_2 = T_{MCB}(2050 \sim 2069) - T_{control}(2050 \sim 2069) = \Delta T_{MCB} + \Delta T_{SSP},$$

184 where ΔT_1 is the surface temperature response due to climate change (ΔT_{SSP}), and ΔT_2 is the 185 combined effects of climate change and MCB intervention (ΔT_{MCB}), and

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where γ is a hypothetical scaling factor for MCB intervention so the combined effects of climate
change and MCB intervention sum to zero.

Even though MCB deployment over the top 5% ocean surface is capable of meeting the 190 191 temperature target, its induced cooling is mainly confined to lower latitudes (Figure 3c) and this 192 does a poor job at offsetting the pattern of warming in the SSP2-4.5 scenario. The sea surface 193 temperature response over the Pacific Ocean resembles that of La Nina. This could pose a threat 194 to interfere with the ENSO. In addition, there are regions where surface temperature is 195 significantly warmer under such MCB intervention than under global warming (shown in Figure 196 3b), e.g. over the Northwest Pacific Ocean and the South Pacific convergence zone (SPCZ). All 197 of these features are considered as undesirable outcomes, especially when compared with SAI

studies which have shown that SAI in general induced cooling much more evenly distributed over 199 the globe [e.g. Tilmes et al. 2018; Richter et al. 2022].

200 MCB under the least susceptibility approach, however, is showing a very different 201 surface temperature response pattern. In the four experiments (Figures. 3d, e, f, g) conducted in 202 this study, they all show a much more uniform response compared with the high-susceptibility 203 approach (Figure 3c). MCB over the tail 20% ocean surface is the closest in meeting the 204 temperature target of the four experiments and the global surface temperature map (Figure 3e) 205 indicates that it is very close to restoring the surface temperature over the globe between 2050 206 and 2069 to the average between 2020 and 2039. Under this MCB intervention, enough cooling is 207 induced over the polar regions to counteract the warming effect by global warming. However, 208 surface temperature over Eastern Canada and US, and Northeastern and Central China is slightly 209 warmer, implying induced cooling is not sufficient to restore temperature over these regions to 210 the 2020-2039 level. Even though MCB over the tail 30% and 40% ocean surface (Figures 3 f, g) 211 overcools the Earth, they both show, with the hypothetical scaling, that cooling is much more 212 evenly distributed than MCB over the top 5% ocean surface (Figure 3c). These results suggest 213 that MCB under the least susceptible approach induces much more uniform cooling than the most 214 susceptible approach and is perhaps a more desirable strategy of climate intervention via MCB.

215 In the global mean, precipitation increases in the SSP2-4.5 simulation (Fig 4a, black 216 line). In all of the MCB simulations, global mean precipitation is reduced relative to SSP2-4.5 in 217 varying degrees. MCB applied over the top 5% (Figure 4c) induces strong precipitation 218 responses, e.g. decrease over the SPCZ and tropical Pacific, increase over Australia, and 219 northward shift for the Intertropical Convergence Zone (ITCZ). The induced changes are much 220 stronger in magnitude than the effect of global warming (Figure 4b) which indicates that the most 221 noticeable change is enhanced precipitation over the ITCZ. However, MCB under the least 222 susceptible approach induces much weaker regional precipitation responses (Figures 4 d, e, f, g), 223 similar to the surface temperature response.

224 5. Summary and conclusion

225 MCB has been demonstrated in various climate models as a viable option as a solar 226 climate intervention proposal. Even though not all the previous studies specifically assumed to 227 deploy MCB over regions most susceptible to cloud seeding, most of them considered regions 228 more likely to induce strong local cooling effects. While this makes sense, such an approach 229 might lead to exerting strong regional forcing on the climate system, leading to non-uniform 230 cooling, and triggering of unintended regional impacts to the mean climate state and its

variabilities. One common response in most MCB simulations is the triggering of a La Nina-like sea surface temperature pattern which might pose a threat to disrupt the ENSO.

In this study, we explored a different cloud seeding strategy to examine if we may be able to achieve more uniform global cooling and alleviate various undesirable outcomes frequently seen in previous MCB studies. It is hypothesized that MCB deployment over a much broader region with low susceptibility to cloud seeding might exert much weaker regional forcing on the climate system which in turn could alleviate the previously seen unintended consequences.

238 Our results suggest that deployment of MCB over regions with low susceptibility to 239 cloud seeding could lead to more uniform cooling over the globe and a La Nina-like response is 240 no longer triggered. Even though the globally-averaged radiative forcing from MCB utilizing the 241 top 5% ocean surface and the tail 40% ocean surface is quite similar (\sim -3 W/m2, Figure 1), the 242 resulting reduction in global average surface temperature is significantly different (see Figure 3a). 243 Deployment of MCB over the tail 40% ocean surface achieves much stronger reduction in global 244 averaged surface temperature than the top 5%. This suggests that MCB under the most 245 susceptible approach behaves drastically differently from the least susceptible approach. Finally, 246 it will be important to see if similar results could be reproduced in other climate models as this 247 will allow us to gain deeper confidence for the findings in this study.

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261 Data Availability Statement

262 CESM tag cesm2.1.4-rc.08 was used to carry out the simulations and is available at

263 https://doi.org/10.5281/zenodo.7271743 (CESM Team, 2022). CESM2 simulation output presented 264 in this paper is available at https://doi.org/10.5065/MRH9-B809. 265 266 References 267 Coburn, J. and Pryor, S. C.: Differential Credibility of Climate Modes in CMIP6, J. Climate, 34, 268 8145-8164, 2021. 269 Danabasoglu, G., Bates, S. C., Briegleb, B. P., Jayne, S. R., Jochum, M., Large, W. G., Peacock, 270 S., & Yeager, S. G. (2012). The CCSM4 ocean component. Journal of Climate, 25, 271 1361-1389. https://doi.org/10.1175/JCLI-D-11-00091.1 272 Danabasoglu, G., Lamarque, J.-F., Bacmeister, J., Bailey, D. A., DuVivier, A. K., Edwards, J., et 273 al. (2020). The Community Earth System Model Version 2 (CESM2). Journal of 274 Advances in Modeling Earth Systems, 12, e2019MS001916. 275 https://doi.org/10.1029/2019MS001916 276 DuVivier, A. K., Holland, M. M., Kay, J. E., Tilmes, S., Gettelman, A., and Bailey, D. A.: Arctic 277 and Antarctic sea ice mean state in the Community Earth System Model Version 2 and 278 the influence of atmospheric chemistry, J. Geophys. Res.-Oceans, 125, e2019JC015934, 279 https://doi.org/10.1029/2019JC015934, 2020. 280 Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., & Taylor, K. E. 281 (2016). Overview of the Coupled Model Intercomparison Project phase 6 (CMIP6) 282 experimental design and organization. Geoscientific Model Development, 9, 1937–1958. 283 https://doi.org/10.5194/gmd-9-1937-2016 284 Friedlingstein, P., O'Sullivan, M., Jones, M. W., Andrew, R. M., Bakker, D. C. E., Hauck, J., 285 Landschützer, P., Le Quéré, C., Luijkx, I. T., Peters, G. P., Peters, W., Pongratz, J., 286 Schwingshackl, C., Sitch, S., Canadell, J. G., Ciais, P., Jackson, R. B., Alin, S. R., Anthoni, 287 P., Barbero, L., Bates, N. R., Becker, M., Bellouin, N., Decharme, B., Bopp, L., Brasika, I. B. 288 M., Cadule, P., Chamberlain, M. A., Chandra, N., Chau, T.-T.-T., Chevallier, F., Chini, L. P., 289 Cronin, M., Dou, X., Enyo, K., Evans, W., Falk, S., Feely, R. A., Feng, L., Ford, D. J., 290 Gasser, T., Ghattas, J., Gkritzalis, T., Grassi, G., Gregor, L., Gruber, N., Gürses, Ö., Harris, 291 I., Hefner, M., Heinke, J., Houghton, R. A., Hurtt, G. C., Iida, Y., Ilyina, T., Jacobson, A. R., 292 Jain, A., Jarníková, T., Jersild, A., Jiang, F., Jin, Z., Joos, F., Kato, E., Keeling, R. F., 293 Kennedy, D., Klein Goldewijk, K., Knauer, J., Korsbakken, J. I., Körtzinger, A., Lan, X., 294 Lefèvre, N., Li, H., Liu, J., Liu, Z., Ma, L., Marland, G., Mayot, N., McGuire, P. C., 295 McKinley, G. A., Meyer, G., Morgan, E. J., Munro, D. R., Nakaoka, S.-I., Niwa, Y., O'Brien,

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	Ts (K) 2020- 2039	Ts (K) 2050- 2069	MCB scaling factor	pr (mm/day) 2020- 2039	pr (mm/day) 2050- 2069
control	288.8	289.8		2.98	3.05
5% most susceptible		288.7	0.88		2.96
10% least susceptible		289.4	2.34		3.02
20% least susceptible		288.8	0.98		2.97
30% least susceptible		288.3	0.65		2.93
40% least susceptible		287.6	0.44		2.88

Table 1: Global average surface temperature and precipitation under the control and 5 MCB simulations

examined in this study, and the hypothetical MCB scaling factor to allow MCB interventions to restore

400 surface temperature between 2050 and 2069 to the 2020-2039 level.



Figure 1: Radiative forcing based on susceptibility to cloud seeding. The blue line represents the most
susceptible approach and the red line represents the least susceptible approach. For the least susceptible
approach, 10% ocean surface seeding is taken as the difference between the top 80% most susceptible
regions and the top 70% most susceptible regions.



414 Figure 2: Monthly seeding masks: red shading represents the top 5% ocean surface most susceptible to

415 seeding, and blue shading represents the tail 30% ocean surface least susceptible to seeding.



421 422 Figure 3: Global average surface temperature trend (a) and global maps of adjusted surface temperature 423 differences of averages between 2050 and 2069 and the baseline average between 2020 and 2039 (b)-(g). 424 A baseline CESM2 simulation is compared against MCB over 5% ocean surface most susceptible to 425 seeding and 10%, 20%, 30% and 40% ocean surface least susceptible to seeding. The adjustment made in 426 the difference is by applying a scaling factor on the MCB response as listed in Table 1. In (a), black 427 dashed line represents the average between 2020 and 2039 as the MCB intervention temperature target, 428 other dashed lines represent the averages between 2050 and 2069. 429



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430 -4 -3 -2 -1
431 Figure 4: Similar as Fig. 3 but for precipitation rate.