The effect of coupling between CLUBB turbulence scheme and surface momentum flux on global wind simulations

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Abstract

The higher-order turbulence scheme, Cloud Layers Unified by Binormals (CLUBB), is known for effectively simulating the transition from cumulus to stratocumulus clouds within leading atmospheric climate models. This study investigates an underexplored aspect of CLUBB: its capacity to simulate near-surface winds and the Planetary Boundary Layer (PBL), with a particular focus on its coupling with surface momentum flux. Using the GFDL atmospheric climate model (AM4), we examine two distinct coupling strategies, distinguished by their handling of surface momentum flux during the CLUBB's stability-driven substepping performed at each atmospheric time step. The static coupling maintains a constant surface momentum flux, while the dynamic coupling adjusts the surface momentum flux at each CLUBB substep based on the CLUBB-computed zonal and meridional wind speed tendencies. Our 30-year present-day climate simulations (1980-2010) show that static coupling overestimates 10-m wind speeds compared to both control AM4 simulations and reanalysis, particularly over the Southern Ocean (SO) and other midlatitude ocean regions. Conversely, dynamic coupling corrects the static coupling 10-m winds biases in the midlatitude regions, resulting in CLUBB simulations achieving there an excellent agreement with AM4 simulations. Furthermore, analysis of PBL vertical profiles over the SO reveals that dynamic coupling reduces downward momentum transport, consistent with the found wind-speed reductions. Instead, near the tropics, dynamic coupling results in minimal changes in near-surface wind speeds and associated turbulent momentum transport structure. Notably, the wind turning angle serves as a valuable qualitative metric for assessing the impact of changes in surface momentum flux representation on global circulation patterns.

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| 15 | Key Points: |
|----|---|
| 16 | • Dynamic coupling between CLUBB and surface momentum flux enhances global wind |
| 17 | climate simulations bringing CLUBB in line with control AM4. |
| 18 | • In midlatitude regions, the dynamic coupling enhances the boundary-layer momentum |
| 19 | transport compared to the static coupling. |
| 20 | • The wind turning angle turns out a useful qualitative metric, linking changes in |
| 21 | surface momentum flux to the changes in global circulation. |

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22 Abstract

The higher-order turbulence scheme, Cloud Layers Unified by Binormals (CLUBB), is known 23 for effectively simulating the transition from cumulus to stratocumulus clouds within leading 24 atmospheric climate models. This study investigates an underexplored aspect of CLUBB: 25 its capacity to simulate near-surface winds and the Planetary Boundary Layer (PBL), with 26 a particular focus on its coupling with surface momentum flux. Using the GFDL atmo-27 spheric climate model (AM4), we examine two distinct coupling strategies, distinguished by 28 their handling of surface momentum flux during the CLUBB's stability-driven substepping 29 performed at each atmospheric time step. The static coupling maintains a constant surface 30 momentum flux, while the dynamic coupling adjusts the surface momentum flux at each 31 CLUBB substep based on the CLUBB-computed zonal and meridional wind speed tenden-32 cies. Our 30-year present-day climate simulations (1980-2010) show that static coupling 33 overestimates 10-m wind speeds compared to both control AM4 simulations and reanalysis, 34 particularly over the Southern Ocean (SO) and other midlatitude ocean regions. Conversely, 35 dynamic coupling corrects the static coupling 10-m winds biases in the midlatitude regions, 36 resulting in CLUBB simulations achieving there an excellent agreement with AM4 simu-37 lations. Furthermore, analysis of PBL vertical profiles over the SO reveals that dynamic 38 coupling reduces downward momentum transport, consistent with the found wind-speed 39 reductions. Instead, near the tropics, dynamic coupling results in minimal changes in near-40 41 surface wind speeds and associated turbulent momentum transport structure. Notably, the wind turning angle serves as a valuable qualitative metric for assessing the impact of changes 42 in surface momentum flux representation on global circulation patterns. 43

44 Plain Language Summary

The Cloud Layers Unified by Binormals (CLUBB) scheme offers a promising way to 45 model the complexities of cloud behaviour, but its impact on winds and global circulation 46 has been less explored. In our study, we investigate how different ways of representing the 47 complex coupling between surface drag and the lowest kilometre of the Earth's atmosphere 48 affect global wind speeds and circulation. We specifically examine two distinct approaches: 49 a static approach, which feeds a constant surface drag to CLUBB, and a dynamic approach, 50 which adjusts the surface drag based on the winds updates computed by CLUBB. Over a 51 present-day climate, we find that static coupling tends to produce excessively large wind 52 speeds in certain regions, like the Southern Ocean and parts of the North Atlantic and North 53 Pacific. Instead, dynamic coupling produces excellent near-surface wind speeds in these 54 regions, and also over the rest of the globe. Moreover, we discover that dynamic coupling 55 reduces the downward turbulent transport of momentum, highlighting the enhancements 56 in near-surface wind speeds found with this approach are physically consistent. Lastly, we 57 use the change in wind direction with height to qualitatively evaluate how the two coupling 58 methods affect global circulation patterns. 59

60 1 Introduction

General circulation models (GCMs) are pivotal in climate science but continue to present significant uncertainties when simulating clouds and turbulent transport within the Planetary Boundary Layer (PBL). These uncertainties hinder the representation of various fundamental atmospheric processes, affecting our understanding and ability to predict the Earth's climate, including the energy and hydrological cycles (Palmer, 2014; Slingo et al., 2022), PBL momentum transport, and surface wind speeds (Edwards et al., 2020).

Leading GCMs currently employ various regime-dependent schemes to represent deep convection, shallow convection, cloud processes, and PBL turbulence (Bush et al., 2020; Danabasoglu & et al., 2020; Zhao & et al, 2018). While these schemes have substantially advanced our comprehension (and modeling ability) of atmospheric dynamics, they exhibit limitations in representing atmospheric phenomena that inherently manifest as a gradual

rather than an abrupt transition between different regimes (Guo et al., 2015), such as from 72 coastal stratocumuli to shallow cumuli clouds (Wyant et al., 1997). To address this gap, 73 several regime-independent approaches have been introduced, such as super-parameterized 74 GCMs (Khairoutdinov & Randall, 2001; Randall et al., 2003), Eddy Mass Flux Schemes, 75 (Siebesma et al., 2007; Han et al., 2016; Tan et al., 2018) and km-scale global storm-resolving 76 climate models (GSRMs) (Stevens et al., 2019; Slingo et al., 2022; Bolot et al., 2023). De-77 spite their capacity to explicitly represent deep convection, the practical application of both 78 super-parameterized GCMs and km-scale GSRMs remains often constrained by their sig-79 nificant computational demands (Harris et al., 2023), posing challenges for climate studies. 80 Furthermore, km-scale GSRMs still rely on regime-dependent schemes to parameterize the 81 PBL turbulent transport of heat, moisture, and momentum (Schär et al., 2020). 82

In light of these challenges, a new methodology has emerged (Randall et al., 1992; 83 Lappen & Randall, 2001; Lappen et al., 2010) that aims to accurately model the subgrid 84 variances of turbulent fluxes within clouds and the PBL, across diverse dynamic regimes, by 85 using a joint probability density function (PDF) to prognose multiple higher-order moments 86 encompassing subgrid variations in vertical velocity, temperature, and moisture. Among 87 the higher-order parametrizations derived from this approach, three schemes stand out: 88 CLUBB (Cloud Layers Unified By Binormals) (Golaz et al., 2002a, 2002b; Larson & Golaz, 89 2005; Larson et al., 2012, 2019), IPHOC (Intermediately Prognostic Higher-Order Closure) 90 (Cheng & Xu, 2008), and Simplified Higher-Order Closure (Bogenschutz & Krueger, 2013). 91 Despite a shared parametrization philosophy, both IPHOC and SHOC present limitations 92 and drawbacks compared to CLUBB: IPHOC is characterized by its explicit numerics which 93 necessitates a timestep tightly constrained to 30 s or less, posing computational speed chal-94 lenges. On the other hand, SHOC, though faster than CLUBB, does not include certain 95 terms crucial for adequately deepening shallow cumulus layers, thereby limiting its applica-96 bility in representing these specific cloud dynamics. 97

The higher-order CLUBB scheme, representing two decades of substantial development 98 efforts, has been successfully integrated in two prominent GCM families: NCAR CAM5 99 (Bogenschutz et al., 2013; Wang et al., 2015) and GFDL AM3 (Guo et al., 2014, 2015). To 100 align with the atmospheric timestep of these GCMs, CLUBB is substepped within the larger 101 atmospheric timestep, ensuring detailed and accurate representation of sub-grid scale cloud 102 processes. Specifically over the Eastern subtropical oceans where the low cloud regime 103 transitions from stratocumulus to trade wind cumulus phenomena, CAM5–CLUBB has 104 demonstrated a more gradual and realistic transition between these two cloud regimes, 105 leading to a more close agreement with Clouds and the Earth's Radiant Energy System 106 (CERES) satellite observations (Bogenschutz et al., 2013). Similarly, AM3-CLUBB has 107 shown enhanced capabilities, compared to AM3, in simulating the transition not only from 108 stratocumulus to cumulus clouds, but also from shallow to deep cumulus clouds. However, 109 despite the advances, challenges persist, particularly in accurately representing mixed-phase 110 clouds and ice microphysics (Guo et al., 2015; Zhao & et al, 2018). 111

While the CLUBB model's ability to represent cloud processes and feedbacks has been 112 extensively documented in literature, its effectiveness in simulating near-surface wind speeds 113 and associated PBL turbulent momentum transport has received less attention, with a few 114 exceptions (Nardi et al., 2022). Particularly, the representation of surface drag within 115 CLUBB and its consequent impact on near-surface wind speeds remain relatively unex-116 plored. This knowledge gap persists despite numerous studies underscoring the importance 117 of surface drag representation in PBL schemes for influencing midlatitude atmospheric dy-118 namical processes; more specifically controlling the latitude of near-surface westerlies, the 119 associated eddy-driven midlatitude jet (Gang et al., 2007), as well as the angle of wind 120 turning and the cross-isobaric flow, which in turn impacts the formation and evolution of 121 midlatitude cyclones (Svensson & Holtslag, 2009; Lindvall & Svensson, 2019). Indeed, the 122 angle of wind turning has emerged as a useful metric to understand how changes in surface 123

drag and PBL turbulence representation in different CMIP6 models may contribute to the observed discrepancies in model global circulation outputs (Pyykkö & Svensson, 2023).

In this study, we investigate the impact of an accurate representation of surface mo-126 mentum flux (or surface drag) on the simulation of near-surface winds and PBL structure 127 when parametrizing PBL turbulence and clouds with the higher-order scheme CLUBB. To-128 wards this aim we set up two distinct coupling strategies between surface momentum flux 129 and the CLUBB higher-order closure scheme when integrated within the leading GFDL 130 climate model AM4 (Zhao & et al, 2018). These strategies are differentiated by their ap-131 132 proach of handling surface momentum flux during CLUBB's stability driven substepping performed at each atmospheric timestep. The first strategy, implemented in the AM4-133 CLUBB_1 configuration, hereafter referred to as "static coupling", maintains a constant 134 surface momentum flux throughout CLUBB's sub-stepping. In contrast, the second strat-135 egy, implemented in the AM4-CLUBB_2 configuration, hereafter referred as "dynamic cou-136 pling", updates the surface momentum flux at each CLUBB substep, aligning it with the 137 corresponding CLUBB-computed zonal and meridional wind speed tendencies. We hypoth-138 esize that dynamic coupling can more accurately capture the nonlinear interactions between 139 the surface momentum flux, near-surface winds, and the associated PBL structure, since it 140 allows CLUBB-simulated sub-grid turbulence to dynamically respond to changes in surface 141 drag. To test our hypothesis, we conduct a present-day 30-year climate integration from 142 1980 to 2010 using both AM4-CLUBB_1 and AM4-CLUBB_2 configurations, and we sys-143 tematically compare and analyze the near-surface wind speeds and PBL structure simulated 144 by these two model configurations against the operational configuration of AM4 (Zhao & et 145 al, 2018). Moreover, we qualitatively evaluate how changes in the representation of surface 146 momentum flux (or surface drag) between AM4-CLUBB_1 and AM4-CLUBB_2 impact the 147 global circulation using the wind turning angle metric. 148

The remainder of this article is organised as follows. Section 2 discusses the GFDL Atmospheric Climate Model AM4, detailing the two different coupling strategies, static and dynamic, between surface momentum flux and CLUBB, and introduces the physical formulation of the wind turning angle. Section 3 analyzes the impact of the two coupling strategies on near-surface wind speeds and associated PBL structure is analyzed, along with its broader implications for the global circulation. Finally, conclusions are drawn Section 4.

155 2 Methods

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2.1 Overview of the GFDL AM4 model

Our investigation of CLUBB ability to simulate near-surface wind speeds employs as a framework the GFDL AM4 model, the most advanced iteration of the GFDL series of atmospheric climate models (Zhao & et al, 2018). The model features a cubed-sphere topology within its atmospheric dynamical core, with a refined horizontal grid consisting of 96×96 grid cells per cube face, resulting in an approximate resolution of ≈ 100 km. This refinement marks a significant enhancement over its predecessors, AM2 and AM3, which utilized a coarser ≈ 200 km horizontal grid spacing.

Structured with 33 vertical levels and reaching up to 1 hPa, the GFDL AM4 model 164 includes a sponge layer extending down to 8 hPa. The vertical stratification mirrors that 165 of AM3 in the troposphere but incorporates an additional layer near the surface to more 166 accurately represent the Earth's surface. The model employs the hydrostatic version of the 167 FV3 finite-volume cubed-sphere dynamical core, with minor modifications from the version 168 used in AM3. The AM4 model parameterizations of the PBL surface and cloud macrophysics 169 are akin to AM3. The model parametrizes PBL turbulence using a first-order eddy diffusion 170 closure Lock scheme (Lock et al., 2000), while cloud macrophysics follows a prognostic 171 scheme for stratiform and convective clouds (Tiedtke, 1993), where cloud dynamics are 172 governed by large-scale budget equations for cloud water content and cloud air. Within 173

the surface layer, AM4 employs Monin-Obukhov bulk transfer formulations and executes 174 central differencing in the outer layer, determining diffusion coefficients based on flux levels 175 between model levels (Lock et al., 2000). The interaction between the atmosphere and land 176 is modeled through an implicit coupling, akin to AM3. More specifically, the PBL scheme 177 implicitly updates the zonal and meridional turbulent surface momentum fluxes and winds 178 at each atmospheric timestep, resolving a tridiagonal matrix system that arises from the 179 numerical discretization of the advection-diffusion equation for momentum (and similarly 180 for heat and moisture). This system encompasses both atmospheric levels and the top layer 181 of the land surface scheme, including the tiles (Polcher & et al, 1998; Best et al., 2004). 182

Furthermore, the GFDL AM4 model incorporates significant advancements in radiation treatment, moist convection, orographic gravity wave drag, aerosol module structure, and cloud microphysics (Zhao & et al, 2018). Notably, the GFDL AM4 model has participated in the CMIP6 High-Resolution Model Intercomparison Project (Haarsma & et al, 2016; Zhao & et al, 2018), highlighting its prominence and suitability for state-of-the-art climate research.

2.2 Brief description of CLUBB and its integration in the GFDL AM4 model

CLUBB is a higher-order parametrization scheme, unifying the modeling of cloud dy-191 namics and PBL turbulence. It directly prognoses mean vertical upward wind speed \overline{w} , 192 total water mixing ratio $\overline{r_t}$, mean liquid water potential temperature $\overline{\theta_l}$, turbulent heat and 193 moisture fluxes $\overline{w'\theta'_l}$ and $\overline{w'r'_t}$, covariance of first order moments of total water mixing ratio 194 and potential temperature $\overline{r'_t \theta'_l}$, liquid water potential temperature and total water mixing ratio variances $\overline{\theta'_l}^2$ and $\overline{r'_t}^2$, vertical upwind variance $\overline{w'^2}$, and third-order moment of upward 195 196 wind $\overline{w'^3}$. A key aspect of CLUBB's approach is the employment of a joint probability den-197 sity function (PDF) of vertical velocity, temperature, and moisture, selected from a family 198 of PDFs to achieve closure of higher-order turbulent moments and buoyancy terms. The 199 preferred PDF assumes the form of a double normal-lognormal Gaussian distribution (Golaz 200 et al., 2002a, 2002b). 201

In this study, CLUBB has been integrated into AM4, with the CLUBB parametrization 202 invoked within the sequence of moist processes parameterizations. Specifically, it operates 203 after the deep convection scheme (Donner et al., 2001) and before the microphysics scheme 204 (Rotstavn, 1997), in place of the cloud macrophysics scheme, aligning with the previous 205 implementation of CLUBB in the GFDL AM3 model as CLUBB-AM3 (Guo et al., 2015). 206 A critical aspect of CLUBB integration involves the management of different timesteps. As 207 detailed in the context of AM3-CLUBB (Guo et al., 2015), CLUBB's timestep is set to 208 $\Delta t_{CLUBB} = 120$ s, due to stability requirements. This is significantly shorter than AM4's 209 atmospheric timestep, $\Delta t_{atmos} = 1800$ s. Thus, CLUBB operates with substepping within 210 the atmospheric loop of AM4. 211

In our integration of CLUBB into AM4, turbulent heat and moisture fluxes are directly prognosed by CLUBB, while the vertical zonal and meridional momentum fluxes, $\overline{u'w'}$ and $\overline{v'w'}$ respectively, are diagnosed in this version of CLUBB integrated in AM4, assuming a simple downgradient flux closure as follows:

$$\overline{u'w'} = -K_m \frac{\partial \overline{u}}{\partial z}
\overline{v'w'} = -K_m \frac{\partial \overline{v}}{\partial z}$$
(1)

Here, u and v represent the grid-box mean zonal and meridional wind speeds, respectively, z is the vertical coordinate and K_m denotes the eddy diffusivity coefficient. Specifically, CLUBB calculates K_m based as:

$$K_m = c_k L \bar{e}^{1/2} \tag{2}$$

where \overline{e} represents the turbulent kinetic energy, c_k is a constant (set to 0.5 here), and L 219 denotes the turbulent length scale, a key factor in CLUBB indicating the extent to which 220 a parcel can move vertically due to buoyancy effects (Golaz et al., 2002a, 2002b). It is 221 crucial to note that the K_m computed by CLUBB with Eq. 2 is distinct from the K_m 222 computed in the AM4 PBL parametrization by the Lock scheme (Lock et al., 2000). Given 223 the discrepancy between CLUBB and Lock K_m formulations, to diagnose and quantify the 224 vertical mixing of momentum we use the following effective eddy diffusivity formulation 225 (Bryan et al., 2017): 226

$$K_m = \frac{\sqrt{(\overline{u'w'})^2 + (\overline{v'w'})^2}}{\sqrt{(\frac{\partial u}{\partial z})^2 + (\frac{\partial v}{\partial z})^2}}$$
(3)

2.3 Coupling strategies between CLUBB and surface momentum flux

The inherent architecture of CLUBB necessitates explicit coupling between the sur-228 face (over land/ice/ocean) momentum flux and the PBL. The most straightforward ap-229 proach, offering computational efficiency when CLUBB is integrated into the AM4 frame-230 work, provides surface fluxes directly to CLUBB and keeps these values constant through-231 out CLUBB's substepping performed at each atmospheric timestep. This approach has 232 been widely adopted in configurations like CAM5-CLUBB and AM3-CLUBB (Guo et al., 233 2015; Bogenschutz et al., 2013). However, we hypothesize, in this study, that dynamically 234 updating the surface momentum flux (surface drag) at each CLUBB substep, could more 235 accurately capture the intricate non-linear interactions between the surface momentum flux, 236 near-surface winds, and the PBL structure. 237

To effectively integrate CLUBB into AM4 and assess the impact of different coupling 238 strategies between CLUBB and surface momentum flux on the performance of AM4 in 239 simulating near-surface winds and the PBL, we established two distinct configurations of 240 AM4-CLUBB, named AM4-CLUBB_1 and AM4-CLUBB_2, each implementing a unique 241 coupling strategy between CLUBB and surface momentum flux. The first configuration, 242 AM4-CLUBB_1, embodies a static coupling approach, similar to AM3-CLUBB, that main-243 tains a consistent surface momentum flux throughout CLUBB's substepping at each at-244 mospheric timestep $\Delta t_{atmos} = 1800$ s, as visualized in Fig 2a. In contrast, the second 245 configuration, AM4-CLUBB_2, employs a dynamic coupling approach that recalculates the 246 surface momentum flux at each CLUBB substep $\Delta t_{CLUBB} = 120$ s based on the evolving 247 CLUBB-computed zonal and meridional wind speed tendencies, as illustrated in Fig 2b. 248 More specifically, the surface momentum flux is dynamically updated at each CLUBB sub-249 step Δt_{CLUBB} according to the following equations: 250

$$\frac{u'w'}{v'w'} = \tau_x/\rho = -C_d u_1 |\mathbf{V}_1|$$

$$\frac{v'w'}{v'w'} = \tau_y/\rho = -C_d v_1 |\mathbf{V}_1|$$
(4)

where τ_x and τ_y denote the zonal and meridional surface stresses, C_d is the drag coefficient, ρ is the surface air density, and u_1 and v_1 represent the lowest-model atmospheric level wind speeds. These wind speeds are recalculated at each substep $\Delta t_{CLUBB} = 120$ s, based on the updated CLUBB tendencies, with $|\mathbf{V}_1| = \sqrt{u_1^2 + v_1^2}$ indicating the magnitude of the total wind vector at the lowest atmospheric level, while C_d is held constant within CLUBB substepping to maintain consistency with other model components.

2.4 Summary of experimental set up

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To assess the impact of the coupling between surface momentum flux and CLUBB on the global simulation of near-surface winds and PBL momentum transport, we utilized the GFDL-AM4 configuration as a baseline, referred to AM4 for sake of simplicity, which aligns with the model specifications detailed in Sect 2.1. In addition, we used the two model configurations (with CLUBB integrated in AM4) based on the coupling strategies



Figure 1. Illustration of coupling strategies in AM4-CLUBB. (a) Static coupling (AM4-CLUBB_1): the surface momentum flux is maintained constant throughout CLUBB's sub-stepping covering the atmospheric timestep $\Delta t_{atmos} = 1800$ s. (b) Dynamic coupling (AM4-CLUBB_2): the surface momentum flux is dynamically updated at each CLUBB substep, $\Delta t_{CLUBB} = 120$ s, using the CLUBB-computed wind speed tendencies.

described in Sect 2.2: AM4-CLUBB_1, which employs the static surface momentum flux 263 coupling strategy, and AM4-CLUBB_2, which incorporates the dynamic coupling strategy 264 as per Eq. 4. For this study, the three configurations—AM4, AM4-CLUBB_1, and AM4-265 CLUBB_2— were utilized and compared across climatological runs spanning the presentday 30-year period 1980-2010. Throughout this period, radiative forcing agents were held 267 constant at 2010 levels, while sea surface temperatures (SSTs) and sea-ice concentrations 268 were averaged based on the data from 1981 to 2014, adhering to CMIP6 protocols (Haarsma 269 & et al, 2016). Notably, the AM4 model's simulation of present day climatology, using 270 these specified SSTs, sea-ice concentrations, and fixed radiative forcings, demonstrates close 271 alignment with the corresponding AMIP simulation outcomes (Zhao & et al. 2018). This 272 alignment makes the three configurations —AM4, AM4-CLUBB 1, and AM4-CLUBB 2– 273 particularly apt for a qualitative assessment of how the dynamic coupling between CLUBB 274 and the surface momentum flux impact on model's accuracy in simulating 10-meter wind 275 speed when compared against reanalysis data. Specifically, for the evaluation of 10-m wind 276 speed skill of the AM4 configurations, our study references the European Centre for Medium-277 range Weather Forecasts (ECMWF) fifth generation hourly reanalysis, ERA5 (Hersbach et 278 al., 2020), as the standard for comparison. This approach allows a comprehensive analysis 279 of the effectiveness and implications of different CLUBB coupling strategies on the fidelity 280 of 10-m wind speed predictions in the AM4 model. 281

2.5 Wind turning angle metric

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Recent literature has recognized the angle of wind turning as an important metric for linking changes in surface drag and their subsequent effects on PBL stratification, and by extension, to PBL height, latitudinal variations, the Rossby number, and even the magnitude of wind speed itself (Lindvall & Svensson, 2019; Pyykkö & Svensson, 2023). In this section, we discuss further the wind turning angle metric.

The angle of wind turning is quantified as the shift in wind direction from the surface level to the first level just above the PBL top. This angle is considered positive for a clockwise turn with increasing altitude. To ensure uniformity in representation, wind turning angles are standardized to lie within the -180° to 180° range, adjusting through the addition or subtraction of 360° as needed.

From a theoretical standpoint, the wind turning angle aligns closely with the surface cross-isobaric angle. This assumption holds particularly when the wind near the PBL top approximates geostrophic behavior and exhibits negligible directional change with altitude. Consequently, the wind's vertical veering within the PBL emerges as an useful metric for investigating how changes in surface drag representation across various model configurations influence cross-isobaric flow. These changes bear implications for the formation of cyclones and the dynamics of large-scale atmospheric circulation.

An analytical expression for the angle of wind turning, denoted as α , can be derived 300 under certain assumptions (Svensson & Holtslag, 2009). These assumptions include distin-301 guishing between the mean and turbulent components of the flow, negligible divergence of 302 horizontal turbulent flux, omission of molecular viscosity, and the momentum flux being 303 negligible at the top of the PBL, denoted as 'h'. Following these approximations, an analyt-304 ical expression for α is developed, linking the angle of wind turning with key atmospheric 305 variables. This expression correlates the cross-isobaric flow, represented by the averaged 306 ageostrophic wind $\langle \overline{v} \rangle$, with the boundary layer height 'h', the surface momentum flux 307 u_*^2 (where $u_*^2 = \sqrt{(-\overline{u'w_0'})^2 + (-\overline{v'w_0'})^2}$), and the wind turning angle α , as described by the 308 equation: 309

$$fh < \overline{v} >= u_*^2 \cos(\alpha) \tag{5}$$

where f represents the Coriolis parameter. The significance of Eq. 5 lies in its ability to relate the cross-isobaric mass flux to the angle of wind turning, given known values of turbulent surface momentum flux and PBL height. This allows for a deeper understanding of how the representation of surface drag, particularly the turbulent surface momentum flux, influences
 large-scale atmospheric circulation patterns.

The impact of coupling CLUBB with surface momentum flux on global winds, surface stress, and boundary-layer height in AM4

In this analysis, we start by directly comparing the 10-m wind speeds predicted by the 317 AM4 model against the reference ERA5 reanalysis data (Hersbach et al., 2020). This estab-318 lishes a baseline for performance assessment. Next, we perform a comparison between the 319 AM4's model configurations AM4-CLUBB_1, featuring static coupling, and AM4-CLUBB_2. 320 featuring dynamic coupling, against the baseline control AM4 configuration. Although these 321 two CLUBB-based configurations are not directly compared with the ERA5 data, their indi-322 rect comparison through AM4 allows us to assess their relative behavior against an accurate 323 reanalysis benchmark. 324

The control AM4 model configuration's annual mean 10-m wind speed for the 1980-2010 325 period visualized in Fig. 2a shows an overall good agreement with ERA5's corresponding 326 10-m wind speeds illustrated in Fig. 2b. Over the oceans, the AM4 model's bias remains 327 confined within $\pm 2 \text{ m s}^{-1}$ compared to ERA5 data, underestimating 10-m wind speeds in 328 the North Atlantic and North Pacific Ocean, while overestimating them in the Southern 329 Ocean and the tropics. Over land, the bias narrows to within ± 0.5 m s⁻¹, except in regions 330 characterized by high orography, such as Greenland, the Rocky Mountains, the Himalayan 331 Mountains, and the coasts of Antarctica. Although ERA5 does not assimilate 10-m hourly 332 winds over land (Molina et al., 2021), a plausible explanation for the underestimation of 333 AM4 extreme wind speeds - compared to ERA5 data - over these mountains terrains lies 334 in the AM4 model's coarser resolution (≈ 100 km) compared to the ERA5 finer resolution 335 $(\approx 30 \text{ km})$, combined with an excessive orographic gravity wave drag within AM4 model. 336

Figure 2c-d illustrate that static coupling strategy between surface momentum flux 337 and CLUBB (AM4-CLUBB 1) tends to generate more intense 10-m wind speeds than AM4 338 model, a discrepancy that is particularly marked in the midlatitudes over the oceanic re-339 gions. The Southern Ocean stands out as the region most significantly impacted by this 340 overestimation, with AM4-CLUBB_1 simulating 10-m wind speeds exceeding 4 m s⁻¹, fol-341 lowed by the North Pacific and North Atlantic Oceans, where the overestimation reaches 342 up to 2 m s⁻¹. In the tropics, the AM4-CLUBB₋₁ overestimation does not exceed 1 m s⁻¹, 343 with the exception of the Southern Indian Ocean and the stretch of the Atlantic Ocean from 344 Mexico to West Africa, (near 30°N), where AM4-CLUBB_1 forecasts 10-m wind speeds 1 345 to 2 m s⁻¹ higher than AM4. Interestingly, in very few high-orography places, such as the 346 coastlines of Greenland and Antarctica, the AM4-CLUBB_1 model's simulated increases in 347 10-m wind speeds compensate for AM4's underestimations in these regions when compared 348 to ERA5, as can be inferred from Fig. 2b-d. 349

When employing the dynamic coupling strategy between surface momentum flux and 350 CLUBB (AM4-CLUBB_2), a marked reduction in the 10-m wind speed bias relative to 351 AM4 is observed, as demonstrated by the comparison of Fig. 2e and Fig. 2f. Moreover, a 352 meticoulous examination reveals that the AM4-CLUBB_2 configuration not only mitigates 353 the biases relative to ERA5 noted in AM4-CLUBB_1, but also enhances the simulated 10-m 354 wind speeds in comparison to the control AM4 simulation across several key geographical 355 regions. For instance, in the Southern Ocean where AM4 overestimates 10-m wind speeds 356 by up 1 m s⁻¹ compared to ERA5, AM4-CLUBB₂ decreases AM4 winds by up to 1 m s⁻¹, 357 effectively reducing the control AM4 bias (with respect to ERA5). However, in a few 358 localized regions, such as near 30° N (tropics) in the Atlantic Ocean and certain areas in 359 the Indian Ocean and the Pacific Oceans where AM4 already exhibits a positive bias, AM4-360 CLUBB_2 further amplifies AM4 winds by up to 1 m s^{-1} , consequently further diminishing 361 the accuracy of the original AM4 control simulation skill in these specific locations. 362



Figure 2. Comparative visualization of AM4, AM4-CLUBB_1, and AM4-CLUBB_2 10-m wind speeds. The left column illustrates the spatial distribution of annual mean 10-m wind speeds simulated by the (a) AM4 (c) AM4-CLUBB_1 (e) AM4-CLUBB_2 model configurations. The right column illustrates the spatial distribution of the annual mean 10-m wind speed difference between (b) AM4 (d) AM4-CLUBB_1 (f) AM4-CLUBB_2 and ERA5 corresponding values for the period 1980-2010.

To better understand the impact of static and dynamic coupling strategies on 10-m wind 363 speed simulations, we examine the PBL surface characteristics associated with the annual 364 mean 10-m wind speeds as simulated by AM4-CLUBB_1 and AM4-CLUBB_2. Analysis 365 of the spatial distribution of surface stress as simulated by AM4-CLUBB_1, and its bias 366 relative to AM4, as shown in Fig. 3a-b, reveals a positive correlation in areas where AM4-367 CLUBB_1 tends to overestimate surface wind speeds, particularly in the Southern Ocean 368 and the North Atlantic. However, this pattern is not always homogeneous, for instance, 369 between the Southern Ocean latitudes 30° and 50°S, the surface stress, τ , is reduced rather 370 than increased. According to studies conducted with idealised dry GCM (Gang et al., 2007; 371 Mbengue & Woollings, 2019), this reduction in effective surface drag coefficient (as indi-372 cated by the stronger increase in 10-m wind speed compared to τ), can lead to a circulation 373 response of more poleward and stronger westerly jet, which aligns with the findings reported 374 here. Moreover, over high-orography areas, such as the coasts of Antarctica and the Rocky 375



Figure 3. Spatial Analysis of Surface Stress. On the left column, spatial distribution of annual mean surface stress, τ , over the 1980-2010 period as simulated by (a) AM4-CLUBB_1 and (c) AM4-CLUBB_2. On the right column, the differences in τ , showing the deviation of (b) AM4-CLUBB_1 from the baseline AM4 model and (d) the changes when transitioning from AM4-CLUBB_1 to AM4-CLUBB_2.

Mountains, where AM4-CLUBB_1 overestimates surface wind speeds compared to AM4 (although not when compared to ERA5), the surface stress associated with these overestimations is lower than that observed in the AM4 model. However, when we transition to the dynamic coupling approach in AM4-CLUBB_2, as depicted in Fig. 3c-d, the bias in surface stress seen with AM4-CLUBB_1 is reversed across most regions, along with the poleward shift in surface stress, with the notable exception of equatorial Africa.

Mirroring the differences observed in surface stress between static coupling AM4-CLUBB_1 382 and control AM4, AM4-CLUBB_1 exhibits an increased PBL height h compared to AM4, 383 particularly over the Southern Ocean and the tropics, as illustrated in Fig. 4. In contrast, 384 regions such as Equatorial Africa, northern Australia, the Himalayas, and, to a lesser extent, 385 the Rockies, experience shallower PBL height, h. As illustrated in Fig. 4a-b, the PBL height 386 in AM4-CLUBB_1 can exhibit an overestimation of up to 300 m in the Southern Ocean when 387 compared to AM4, while conversely experiencing an underestimation of a similar magnitude 388 over the Himalayan mountain chain. However, the dynamic coupling, in AM4-CLUBB-2, 389 between CLUBB and surface momentum flux demonstrates a marked improvement in these 390 biases (these biases are effectively reversed, see Fig. 4c-d). In fact, dynamic coupling sim-391 ulates a PBL shallower by 250 m than AM4-CLUBB_1 over the Southern Ocean, though 392 changes in the PBL height on adopting a dynamic coupling strategy are negligible over the 393 tropics, suggesting different atmospheric sensitivity. 394

Overall, the findings illustrated in Fig. 2, 3, and 4 demonstrate how the coupling of surface momentum flux, whether static and dynamic, influences the simulation of wind, stress, and PBL height, h, across various regions. In subtropical oceanic regions, the simulations show no significant variations in wind, stress, and PBL height due to changes in



Figure 4. Comparative Analysis of Simulated PBL Heights. The left column presents the spatial distribution of PBL height as simulated by (a) AM4-CLUBB_1 and (c) AM4-CLUBB_2. The right column presents the spatial difference in PBL height between (b) AM4-CLUBB 1 and AM4 (d) AM4-CLUBB 2 and AM4-CLUBB 1. The PBL height is diagnosed using a "dynamic criterion" (Troen & Mahrt, 1986), whereby the boundary layer corresponds to the model-level height at which the Richardson number Ri exceeds the critical threshold of 0.25.

coupling strategy. However, a marked contrast is observed in midlatitude oceans, such as 399 the Southern Ocean and the North Atlantic, where these atmospheric fields are significantly 400 more responsive to the type of CLUBB-surface momentum flux coupling employed. In these 401 regions, static coupling AM4-CLUBB_1 exhibits significantly increased 10-m wind speeds, surface stress, and PBL height when compared to both the original AM4 model configu-403 ration and AM4-CLUBB_2. Hence, it is plausible to hypothesize that the PBL in static 404 coupling AM4-CLUBB_1 is more turbulent on an annual average basis than its counterparts 405 AM4 and dynamic coupling AM4-CLUBB_2, as suggested by the heightened surface stress 406 and PBL heights. Given that the Southern Ocean and the North Atlantic overlap with 407 the major midlatitude storm tracks (Catto & et al, 2019), these two regions may experience 408 intensified turbulence within the PBL in static coupling simulations. This heightened turbu-409 lence could correspond to a stronger eddy-diffusivity, potentially leading to a more efficient 410 downward transport of momentum to the surface from the prevalent fast-flowing low-level 411 jets (commonly associated with midlatitude cyclones in these regions) lying at the top of 412 the PBL. Although downward transport of momentum may be stronger in AM4-CLUBB_1 413 than AM4-CLUBB_2, its divergence, corresponding to the zonal wind speed tendency due to 414 PBL turbulent diffusion, may also be stronger, resulting in a more efficient damping of the 415 zonal wind. Finally, large-scale dynamics forcing, besides downward momentum transport 416 into the PBL and surface momentum flux (or drag) also affects the near-surface wind speed. 417 Therefore, a thorough investigation of the vertical structure of the simulated PBL at specific 418 locations as well as a cross-section analysis of the zonal winds over the Southern Ocean is 419 essential to gain further insights on the differences between static coupling AM4-CLUBB_1 420 and dynamic coupling AM4-CLUBB_2 near surface wind speeds. 421

422 4 The impact of coupling CLUBB with surface momentum flux on boundary-423 layer momentum diffusion and wind vertical structure

To gain a deeper insight into the impact of the coupling strategies between surface momentum flux and CLUBB on near-surface wind speeds, we conduct a detailed analysis focusing on changes in the vertical diffusion profiles within the PBL at two points chosen for their representativeness of distinct responses of the coupling strategy: one point in the Southern Ocean, where the near-surface wind speeds exhibit a strong response to the choice of coupling strategy, and the other one in the tropics, where the near-surface wind speeds response to the coupling strategy is substantially smaller (as explored in detail in Sect. 3.1).

Figure 5 visualizes the zonal wind speed tendencies $\frac{\partial u}{\partial t}$ attributed to a spectrum of 431 contributions: turbulent diffusion (labelled as "diff"), dynamics (labelled as "dyn"), and 432 topography (labelled as "topo"), along with the effective eddy diffusivity coefficients, K_m , at 433 the two representative locations in the Southern Ocean (Fig. 5a,c) and the tropics (Fig. 5b,d), 434 respectively. Our findings in Fig. 5a,b indicate that in both selected locations, the tendencies 435 $\frac{\partial u}{\partial t}$ due to turbulent diffusion ("diff") across AM4, AM4-CLUBB_1, and AM4-CLUBB_2 436 have a negative sign and thus are opposite to the wind direction. Conversely, the tendencies 437 $\frac{\partial u}{\partial t}$ due to the atmospheric dynamics, which include factors like the Coriolis effect and 438 pressure gradients, have a positive sign, and thus act in the same direction as that of the 439 wind. This implies that for the point in the Southern Ocean (dominated by westerlies) 440 the turbulent diffusion tendencies lead to a negative (downward) zonal momentum flux, 441 decelerating the winds, while for the point in the tropics (dominated by easterlies) the 442 turbulent diffusion tendencies lead to a positive (upward) zonal momentum flux. Since the 443 zonal wind speed tendencies oppose the turbulent diffusion tendencies, they act to accelerate 444 the zonal flow in the selected Southern Ocean region and decelerate the flow in the selected 445 tropics region. It is important to note that being both points over the sea, the topographic 446 tendencies of all model configurations are zero, but we have included them for consistency 447 in Fig. 5a-b. 448

In the Southern Ocean, static coupling AM4-CLUBB_1 demonstrates a marked increase 449 in the turbulent diffusion tendencies compared to the control AM4 model, with a large 450 maximum difference observed, reaching up to -15×10^{-5} m s⁻² (as depicted in Fig. 5a). 451 Instead, dynamic coupling AM4-CLUBB_2 and AM4 present a roughly similar peak at 452 -10×10^{-5} m s⁻², $\approx 33\%$ smaller than static coupling AM4-CLUBB_1. Comparing these 453 tendencies with the effective eddy diffusivity coefficient, K_m , offers valuable insights into the effects of different coupling strategies on the atmospheric dynamics at play, particulary 455 concerning turbulent momentum transport within the PBL. Figure 5c sheds light on the 456 beahviour of K_m across the models. Within the PBL, static coupling AM4-CLUBB_1's K_m 457 significantly exceeds that of both AM4 and AM4-CLUBB_2, mirroring the observed tenden-458 cies in the wind speed profiles. More in details, AM4-CLUBB_2 K_m peaks at 15 m² s⁻¹. 459 in agreement with the corresponding AM4 peak k_m value, while AM4-CLUBB_1 peaks at 460 $19 \text{ m}^2 \text{ s}^{-1}$, which is $\approx 25\%$ larger than the AM4 baseline. The fact that AM4-CLUBB_1 461 exhibits larger diffusive tendencies and increased effective eddy momentum diffusivity for 462 the selected Southern Ocean point is consistent with the larger surface momentum flux 463 previously found around 60°S latitude over the Southern Ocean (see Fig. 3). Indeed, the 464 vertical integral of diffusive momentum tendency should equal the surface momentum flux. 465 However, there are also locations in the Southern Ocean where the surface wind is slightly 466 stronger in AM4-CLUBB_1 compared to AM4-CLUBB_2 but the surface stress is weaker, 467 such as the point at 45° S, 60° E. At such locations the diffusion tendencies are weaker in 468 AM4-CLUBB_1 compared to AM4-CLUBB_2 (not shown). 469

To further understand the influence of coupling strategy on the turbulent momentum transport and associated PBL stability and structure, we also investigate the wind and potential temperature profiles at the selected Southern Ocean point. The analysis of the vertical profiles of potential temperature shown in Fig. 6c for the point in the Southern Ocean highlights that, static stability in the PBL remains largely unaffected by chang-



Figure 5. Zonal wind speed tendencies $(\frac{\partial u}{\partial t})$ and effective eddy momentum diffusivity coefficients (K_m) at specific locations in the Southern Ocean and the tropics. Panels (a) and (c) illustrate the vertical profiles of $\frac{\partial u}{\partial t}$ and K_m at a point in the Southern Ocean, specifically at 60°S latitude and 120°E longitude. Panels (b) and (d) display the corresponding profiles at a point in the tropics, located at 17°N latitude and 170°E longitude. The zonal wind speed tendency due to turbulent diffusion is labelled as "diff", the zonal wind speed tendency due to dynamics is labelled as "dyn", and the zonal wind speed tendency due to to topography is labelled as "topo". Because both points are over the ocean, the topography tendencies are zero for all configurations. The color scheme represents different simulations: blue for the control simulation AM4, orange for AM4-CLUBB_1, and green for AM4-CLUBB_2.

ing coupling strategy, as indicated by the unchanged potential temperature profile across 475 AM4-CLUBB_1 and AM4-CLUBB_2. Conversely, the dynamic stability undergoes a notable 476 increase in dynamic coupling AM4-CLUBB_2 compared to static coupling AM4-CLUBB_1, 477 as can be inferred from the reduction in wind shear, with dynamic coupling AM4-CLUBB_2 478 aligning with the AM4 control simulation results. Such a shift implies less effective down-479 ward momentum diffusion and, consequently, reduced wind speeds. Although it may appear 480 paradoxical that the enhanced diffusive damping can explain stronger winds, this may be 481 better understood examining the different larger-scale dynamic forcing induced by the static 482 and the dynamic coupling approaches, by comparing the maps of cross-section zonal wind 483 speeds simulated by static coupling AM4-CLUBB_1 and dynamic coupling AM4-CLUBB_2 484 shown in Fig. 7. Indeed, the comparison of Fig. 7b and Fig. 7d shows that static coupling 485 AM4-CLUBB_1 simulate a much more intense free-tropospheric zonal wind speed than dy-486 namic coupling AM4-CLUBB_2 and control AM4. The most significant increase in wind 487 speed occurs at the jet stream height (around 250 hPa), peaking at 5 m s⁻¹, resulting 488 in a stronger lower-tropospheric wind shear in static coupling AM4-CLUBB_1 compared 489 to AM4 (Fig. 7a-b). Instead, dynamic coupling reverses many of the changes introduced 490 by static coupling, as illustrated in Fig. 7c-d. Consequently, even if static coupling AM4-491 CLUBB_1 and dynamic coupling AM4-CLUBB_2 would simulate the same effective eddy 492 momentum diffusivity, the downward momentum transport remains stronger, under the 493 static coupling approach. Therefore, the surface wind difference between AM4-CLUBB_1 and AM4-CLUBB_2 are largely influenced by the free-tropospheric wind difference. This 495 implies that the decrease in PBL turbulent diffusion in AM4-CLUBB_2 relative to AM4-496 CLUBB_1 (discussed in Fig. 5,6), can be better attributed to diminished vertical wind shear 497 in the lower-troposphere. 498

Turning our attention from the Southern Ocean to the tropics, here, the effect of chang-499 ing the coupling strategy between surface momentum flux and CLUBB on $\frac{\partial u}{\partial t}$ and K_m 500 vertical profiles is less pronounced than in the Southern Ocean, as previously highlighted 501 by the analysis of the maps of near-surface wind speed changes (Fig. 2) and surface PBL 502 characteristics (Fig. 3, Fig. 4). Figure 5b shows that the diffusion (labelled as "diff") 503 and dynamics (labelled as "dyn") zonal wind speed tendencies for static coupling AM4-504 CLUBB_1 and dynamic coupling AM4-CLUBB_2 are nearly identical; additionally these 505 tendencies are both only modestly reduced by 2 m s^{-2} compared to the control AM4. In 506 Fig. 5d we see that this trend is mirrored in the profiles of the effective eddy diffusion co-507 efficient K_m , showing that the effective eddy momentum diffusivity is also little responsive 508 to changes in the coupling strategy. Analysis of the vertical profiles of zonal wind speed 509 and potential temperature of both AM4-CLUBB_2 and AM4-CLUBB_1, shown in Fig. 6b,d, 510 indicates a notably stronger agreement between them compared to the control simulation, 511 AM4. Basing on zonal wind speed and potential temperature profiles, the PBLs of both 512 AM4-CLUBB_2 and AM4-CLUBB_1 appear more unstable and thus more well mixed, with 513 reduced wind shear near the surface. This may account for the larger K_m values of both 514 AM4-CLUBB_1 and AM4-CLUBB_2 compared to AM4, resulting in the increased winds in 515 the tropics found in Fig. 2. Thus, unlike in the Southern Ocean, it is the change from the 516 Lock scheme in the control simulation (AM4) to the CLUBB scheme in the AM4-CLUBB_1 517 and AM4-CLUBB_2 simulations that primarily drives the variations found in the tropical 518 PBL structure. Finally, the PBL differences being predominantly driven by the change in 519 the PBL scheme rather than the coupling strategy with surface momentum flux could pos-520 sibly be attributed to the two distinct dominant mechanisms of turbulent production across 521 the tropics and the southern ocean as corroborated by the vertical profiles shown in Fig. 6: 522 mechanical generation of turbulence in the Southern Ocean, and buoyancy (convection) in 523 the tropics. 524



Figure 6. Vertical Profiles of Zonal Wind u and Potential Temperature θ at specific locations in the Southern Ocean and the Tropics. Panels (a) and (c) display the vertical profiles of zonal wind and potential temperature at a point in the Southern Ocean, specifically at 60°S latitude and 120°E longitude. Panels (b) and (d) present the corresponding profiles at a point in the tropics, located at 17°N latitude and 170°E longitude. The color coding represents different simulations: blue for the control simulation AM4, orange for AM4-CLUBB 1, and green for AM4-CLUBB 1 subcycle.



Figure 7. Vertical cross-section of zonal wind speed, u, at latitude 60°S for (a) AM4-CLUBB_1 and (c) AM4-CLUBB_2. Differences of vertical cross-section of zonal wind speed, u, between (b) AM4-CLUBB_1 and AM4 and (d) AM4-CLUBB_2 and AM4-CLUBB_1.

5 Evaluating the influence of coupling CLUBB with surface momentum flu on larger-scale circulation using the angle of wind turning metric

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The examination of the vertical cross-section of zonal wind speed in the Southern Ocean 527 revealed that modification in the coupling strategy between surface momentum flux and 528 CLUBB can appreciably influence the overall atmospheric circulation. To attain a deeper 529 understanding of such impacts, we evaluate the global spatial distribution of median wind 530 turning angles across the three configurations used in this study: AM4, AM4-CLUBB_1, 531 and AM4-CLUBB_2. The wind turning angle relates surface momentum flux, PBL turbu-532 lence, and cross-isobaric mass flux according to Eq. 5 (for more details, refer to Section 533 2.5). Our findings are visually presented in Fig. 8, which illustrates common patterns 534 in the global distribution of wind-turning angles across all configurations. Notably, each 535 model is characterized by clockwise (positive) turning in the Northern Hemisphere (NH) 536 and counterclockwise (negative) turning in the Southern Hemisphere (SH). Furthermore, all 537 configurations show a prevailing trend of increasing (in magnitude) wind turning angles with 538 latitude (due to the increasing Coriolis parameter towards the poles), and more pronounced 539 angles over land than over the ocean, corroborating previous studies (Lindvall & Svensson, 540 2019; Pyykkö & Svensson, 2023). However, a closer investigation of Fig. 8 reveals substan-541 tial differences among the control (AM4), static coupling (AM4-CLUBB_1), and dynamic 542 coupling (AM4-CLUBB_2) model configurations. Specifically, the static coupling strategy 543 between surface momentum flux and CLUBB employed in AM4-CLUBB_1 leads to large 544 decreases (in magnitude) in the wind turning angle, particularly noticeable in the midlati-545 tudes over the Southern Ocean, North Atlantic, and North Pacific Ocean, where reductions 546 in median wind turning angles range between 10° and 15° . Land regions such as Siberia, 547 North America, and Brazil experience even larger decreases in absolute value, with reduc-548 tions of up to 20° . In contrast, transitioning to dynamic coupling strategy employed in 549 AM4-CLUBB_2 substantially mitigates the underestimation observed with AM4-CLUBB_1. 550 Specifically, AM4-CLUBB_2 exhibits a reduction in wind turning angles by two to three 551 times in the mid-latitudes, aligning more closely with the AM4 control simulation's global 552



Figure 8. Maps of the median angle of wind turning from (a) AM4 (b) AM4-CLUBB_1 (d) AM4-CLUBB_2. Differences in the angle of wind turning between (b) AM4-CLUBB_1 and AM4 (e) AM4-CLUBB_2 and AM4.



Figure 9. Mean sea level pressure (MSLP) simulated by (a) AM4-CLUBB_1, (c) AM4-CLUBB_2. MSLP difference between (b) AM4-CLUBB_1 and AM4 (d) AM4-CLUBB_2 and AM4-CLUBB_1.

distribution. For instance, over the Southern Ocean, AM4-CLUBB_2 reduces the underestimation of wind turning angles from approximately 15° to 5°. Nevertheless, in regions closer to the tropics and subtropics, dynamic coupling (AM4-CLUBB_2) wind turning angles are approximately equivalent to those observed with static coupling (AM4-CLUBB_1).

To better understand the relationship between changes in wind turning angle and im-557 pacts on the global circulation, we examine spatial maps of mean sea level pressure (MSLP) 558 for both static (AM4-CLUBB_1) and dynamic coupling (AM4-CLUBB_2), comparing these 559 to the baseline AM4 model and between each other, as depicted in Fig. 9. A careful exami-560 nation of Fig. 9 reveals a strong correlation between the MSLP differences observed in static 561 coupling (AM4-CLUBB_1) relative to control (AM4) with those found in wind turning an-562 gles. Specifically, in the Southern Ocean, AM4-CLUBB_1's MSLP reduction of up to 12 hPa, accompanied by an overestimation of up to 5 hPa in the Arctic, mirrors the adjustments in 564 wind turning angles (refer to Fig. 9a-b). Meanwhile, in the tropics, where AM4-CLUBB_1's 565 impact on wind turning angle is ambiguous, the MSLP variations are modest, around 2 566 hPa. Utilizing the dynamic coupling strategy between surface momentum flux and CLUBB 567 (AM4-CLUBB_2) leads to distinct outcomes depending on the latitude of the regions under 568 consideration (as shown in Fig. 9c-d). Notably, in mid-latitudes, an almost complete rever-569 sal of the MSLP changes introduced by static coupling (AM4-CLUBB_1) relative to control 570 (AM4) occurs. For instance, in the Southern Ocean, dynamic coupling (AM4-CLUBB_2) 571 amplifies the MSLP compared to static coupling (AM4-CLUBB_1) by up to 10 hPa, and by 572 up to 4 hPa near the Arctic, effectively restoring the original MSLP distribution observed 573 in control AM4. However, closer to the tropics, dynamic coupling slightly reduces MSLP, 574 closely mirroring the MSLP values simulated by static coupling. These variations in MSLP 575 are consistent with the changes in SH westerlies location and strength. 576

The correlation between changes in MSLP and changes in wind turning angles arising from the adoption of different coupling strategies, is further illuminated through Eq. 5, which delineates the relationship between wind turning angles and cross-isobaric mass flux.

According to Eq. 5, whose validity has been substantiated in the literature (Lindvall & 580 Svensson, 2019; Pvykkö & Svensson, 2023), the reduced wind turning angles in the Southern 581 Ocean, as found with AM4-CLUBB_1 compared to AM4, suggests an enhanced cross-isobaric 582 mass flux. This increase in cross-isobaric mass flux, suggesting stronger convergence at the 583 surface, indicates the formation of deeper low-pressure areas where the cross-isobaric mass 584 flux is larger (and wind turning angles are smaller), especially in the midlatitudes which are 585 dominated by the passage of low-pressure systems. This could explain the found reduction in 586 both wind turning angle and MSLP within the static coupling (AM4-CLUBB_1) simulations, 587 especially noted in the Southern Ocean between 50 and 70° S, and other midlatitudes ocean 588 regions, near storm tracks. However, the relationship between reduced wind turning angles 589 and MSLP is not uniform across all latitudes: for example between 30° and 50° S static 590 coupling AM4-CLUBB_1 shows an increase in MSLP despite a decrease in wind turning 591 angles, possibly attributed to the influence of surface friction on wind turning angles, as 592 encapsulated in Eq. 5. 593

Further analysis into the dynamic coupling strategy of AM4-CLUBB_2 reveals that in 594 regions above 50°S, a positive correlation exists between wind turning angles and MSLP, 595 since increases in wind turning angles (relative to static coupling but still smaller than AM4) 596 are aligned with MSLP increases, suggesting a reduced mass flux. Conversely, between 30 597 and 50° S, we observe MSLP increases alongside decreases in wind turning angles, likely 598 due to heightened surface stress in dynamic coupling compared to static (between these 599 latitudes only). Extending the comparison of MSLP and wind turning changes to the 600 tropics, differences in wind turning angles between AM4-CLUBB_1 and AM4-CLUBB_2 are 601 negligible, thereby implying that alteration in mass flux are insignificant, thus maintaining 602 pressure patterns without appreciable deepening or weakening. 603

604 6 Conclusions

This study aimed to evaluate the impact of accurately coupling surface momentum 605 flux with the CLUBB turbulence scheme on the simulation of near-surface wind speeds, 606 associated momentum transport, and large-scale circulation patterns, utilizing the global 607 climate atmospheric model version 4, AM4, developed by the GFDL. Towards this aim, 608 three GFDL AM4 model configurations were used in this study, over 30 years from 1980 609 to 2010 to simulate present-day climate conditions: the control AM4 configuration (Zhao 610 & et al, 2018), the AM4-CLUBB_1 configuration, using a static surface momentum flux 611 coupling strategy which maintains a constant surface momentum flux throughout CLUBB's 612 sub-stepping, and the AM4-CLUBB_2 configuration, using a dynamic coupling strategy, 613 which updates the surface momentum flux at each CLUBB substep using the corresponding 614 CLUBB-computed zonal and meridional wind speed tendencies. 615

In the examined simulations, we found that the static coupling approach (AM4-CLUBB_1 616 configuration), generates excessively strong global 10-m wind speeds, overestimating the cor-617 responding values of both the ERA5 reanalysis dataset and the control AM4 simulations, 618 particularly over the Southern Ocean. Conversely, the dynamic coupling approach between 619 surface momentum flux and CLUBB (AM4-CLUBB_2) effectively corrects the pronounced 620 bias in 10-meter wind speeds introduced by static coupling (AM4-CLUBB_1) in this re-621 gion, but retains the same bias pattern of static coupling near the tropics. Comparison 622 of dynamic and diffusion zonal wind speed tendencies and effective eddy diffusivity pro-623 files at a selected location in the Southern Ocean where differences in 10-m wind speeds 624 and associated PBL surface characteristics between static (AM4-CLUBB_1) and dynamic 625 coupling (AM4-CLUBB_2) are most pronounced, notably demonstrates dynamic coupling 626 (AM4-CLUBB_2) simulates the same turbulent momentum transport structure as the con-627 trol AM4, while static coupling produces an excessively diffusive PBL. The investigation 628 of zonal mean wind speeds differences between static and dynamic coupling revealed that 629 much of the changes in PBL momentum diffusion can be attributed to larger-scale changes 630 in the lower-tropospheric wind shear, which in turn control the near-surface wind speed dif-631

ferences between static and dynamic coupling strategies. In tropical regions, changing from 632 a static to a dynamic coupling strategy between surface momentum flux and CLUBB yields 633 no appreciable changes in simulated 10-m wind speeds and the associated PBL momentum 634 transport structure. Therefore, specific details of the PBL parametrization scheme play a 635 more crucial role than the selected coupling strategy. This finding is consistent with ear-636 lier research indicating CLUBB potential to significantly modify the atmospheric structure 637 over tropical regions, leading to increased precipitation, albeit with the associated excessive 638 water vapor (Bogenschutz et al., 2013; Guo et al., 2015). 639

640 A plausible explanation for the distinct responses that we observed in the Southern Ocean and the tropics to the coupling strategies between CLUBB and surface momentum 641 flux lies in the different mechanisms of turbulent momentum transport predominant in 642 these regions. In the Southern Ocean, the mechanical generation of turbulence, often driven 643 by the frequent passage of midlatitude cyclones, is likely to play a more significant role. 644 Under this scenario, the reduction in surface momentum flux and near-surface wind speeds, 645 as demonstrated by AM4-CLUBB_2 in comparison to AM4, would directly influence PBL 646 vertical mixing by modifying wind shear dynamics. Conversely, in the tropics, buoyancy 647 (or convection) is expected to dominate the turbulent kinetic energy budget, making this 648 region more responsive to variations in surface heat and moisture fluxes than to changes in 649 surface momentum fluxes. 650

The different atmospheric responses to the two coupling strategies between surface 651 momentum flux and CLUBB were further investigated using the wind turning angle as a 652 metric. The AM4 control simulation's median wind turning angle, proven to outperform 653 other CMIP6 models and the ERA-Interim reanalysis according to radiosonde observations 654 (Pyykkö & Svensson, 2023), served as an optimal baseline for this analysis. Static coupling 655 globally reduces the wind turning angle compared to control AM4, while dynamic coupling 656 reverses these changes in the midlatitudes, in particular over the Southern Ocean, thus align-657 ing the median wind turning angles more closely with those of the control simulation. This 658 phenomenon may be attributed to dynamic coupling's reduction in downward momentum 659 flux compared to static coupling, promoting a more dynamically stable and stratified PBL 660 atmosphere. Literature suggests that changes in static stability correlate with correspond-661 ing changes in wind turning angle (Lindvall & Svensson, 2019). Notably, using an equation 662 that links the wind turning angle cosine to changes in cross-isobaric mass flux (Eq. 5), we 663 qualitatively inferred that the dynamic coupling's increased median wind turning angle over 664 the Southern Ocean leads to reductions in the cross-isobaric mass flux. Consequently, this 665 results in a shallower low-pressure pattern over that region compared to what is found by 666 the static coupling approach. In contrast, in the tropics, dynamic coupling strategy does not produce appreciable changes in the median wind turning angle compared to the static 668 coupling, with both approaches underestimating the AM4 wind turning angle values. This 669 suggests that variations in wind turning angles within this region are more closely related 670 to the choice of PBL parameterization scheme. Specifically, the employment of the CLUBB 671 scheme for cloud and PBL turbulence parametrization appears to diminish the angle of wind 672 turning, likely due to a decrease in PBL static stability as indicated by potential tempera-673 ture profile analyses. The similar distributions of the angle of wind turning and assosciated 674 PBL characteristics between static and dynamic coupling can then explain the similar MSLP 675 patterns in the tropics, given that the cross-isobaric mass flux should also remain unchanged 676 by the coupling strategy. Therefore the angle of wind turning turns out a useful qualitative 677 metric to link changes in representation of surface momentum flux coupling strategies to 678 changes the global circulation. 679

To summarize, the dynamic coupling strategy introduced in this study effectively brings CLUBB simulation of global near-surface wind speeds and associated momentum transport in line with the AM4 default configuration outcomes. Thus, it represents a robust framework to integrate more refined approaches into CLUBB to model turbulent momentum flux, such as directly prognosing turbulent momentum flux.

⁶⁸⁵ Data Availability statement

The ERA5 data by the European Centre for Medium-Range Weather Forecast (ECMWF) are available at https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5 -single-levels. The GFDL AM4 model is available at https://github.com/NOAA-GFDL/ AM4.

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The effect of coupling between CLUBB turbulence scheme and surface momentum flux on global wind simulations

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| 15 | Key Points: |
|----|---|
| 16 | • Dynamic coupling between CLUBB and surface momentum flux enhances global wind |
| 17 | climate simulations bringing CLUBB in line with control AM4. |
| 18 | • In midlatitude regions, the dynamic coupling enhances the boundary-layer momentum |
| 19 | transport compared to the static coupling. |
| 20 | • The wind turning angle turns out a useful qualitative metric, linking changes in |
| 21 | surface momentum flux to the changes in global circulation. |

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22 Abstract

The higher-order turbulence scheme, Cloud Layers Unified by Binormals (CLUBB), is known 23 for effectively simulating the transition from cumulus to stratocumulus clouds within leading 24 atmospheric climate models. This study investigates an underexplored aspect of CLUBB: 25 its capacity to simulate near-surface winds and the Planetary Boundary Layer (PBL), with 26 a particular focus on its coupling with surface momentum flux. Using the GFDL atmo-27 spheric climate model (AM4), we examine two distinct coupling strategies, distinguished by 28 their handling of surface momentum flux during the CLUBB's stability-driven substepping 29 performed at each atmospheric time step. The static coupling maintains a constant surface 30 momentum flux, while the dynamic coupling adjusts the surface momentum flux at each 31 CLUBB substep based on the CLUBB-computed zonal and meridional wind speed tenden-32 cies. Our 30-year present-day climate simulations (1980-2010) show that static coupling 33 overestimates 10-m wind speeds compared to both control AM4 simulations and reanalysis, 34 particularly over the Southern Ocean (SO) and other midlatitude ocean regions. Conversely, 35 dynamic coupling corrects the static coupling 10-m winds biases in the midlatitude regions, 36 resulting in CLUBB simulations achieving there an excellent agreement with AM4 simu-37 lations. Furthermore, analysis of PBL vertical profiles over the SO reveals that dynamic 38 coupling reduces downward momentum transport, consistent with the found wind-speed 39 reductions. Instead, near the tropics, dynamic coupling results in minimal changes in near-40 41 surface wind speeds and associated turbulent momentum transport structure. Notably, the wind turning angle serves as a valuable qualitative metric for assessing the impact of changes 42 in surface momentum flux representation on global circulation patterns. 43

44 Plain Language Summary

The Cloud Layers Unified by Binormals (CLUBB) scheme offers a promising way to 45 model the complexities of cloud behaviour, but its impact on winds and global circulation 46 has been less explored. In our study, we investigate how different ways of representing the 47 complex coupling between surface drag and the lowest kilometre of the Earth's atmosphere 48 affect global wind speeds and circulation. We specifically examine two distinct approaches: 49 a static approach, which feeds a constant surface drag to CLUBB, and a dynamic approach, 50 which adjusts the surface drag based on the winds updates computed by CLUBB. Over a 51 present-day climate, we find that static coupling tends to produce excessively large wind 52 speeds in certain regions, like the Southern Ocean and parts of the North Atlantic and North 53 Pacific. Instead, dynamic coupling produces excellent near-surface wind speeds in these 54 regions, and also over the rest of the globe. Moreover, we discover that dynamic coupling 55 reduces the downward turbulent transport of momentum, highlighting the enhancements 56 in near-surface wind speeds found with this approach are physically consistent. Lastly, we 57 use the change in wind direction with height to qualitatively evaluate how the two coupling 58 methods affect global circulation patterns. 59

60 1 Introduction

General circulation models (GCMs) are pivotal in climate science but continue to present significant uncertainties when simulating clouds and turbulent transport within the Planetary Boundary Layer (PBL). These uncertainties hinder the representation of various fundamental atmospheric processes, affecting our understanding and ability to predict the Earth's climate, including the energy and hydrological cycles (Palmer, 2014; Slingo et al., 2022), PBL momentum transport, and surface wind speeds (Edwards et al., 2020).

Leading GCMs currently employ various regime-dependent schemes to represent deep convection, shallow convection, cloud processes, and PBL turbulence (Bush et al., 2020; Danabasoglu & et al., 2020; Zhao & et al, 2018). While these schemes have substantially advanced our comprehension (and modeling ability) of atmospheric dynamics, they exhibit limitations in representing atmospheric phenomena that inherently manifest as a gradual

rather than an abrupt transition between different regimes (Guo et al., 2015), such as from 72 coastal stratocumuli to shallow cumuli clouds (Wyant et al., 1997). To address this gap, 73 several regime-independent approaches have been introduced, such as super-parameterized 74 GCMs (Khairoutdinov & Randall, 2001; Randall et al., 2003), Eddy Mass Flux Schemes, 75 (Siebesma et al., 2007; Han et al., 2016; Tan et al., 2018) and km-scale global storm-resolving 76 climate models (GSRMs) (Stevens et al., 2019; Slingo et al., 2022; Bolot et al., 2023). De-77 spite their capacity to explicitly represent deep convection, the practical application of both 78 super-parameterized GCMs and km-scale GSRMs remains often constrained by their sig-79 nificant computational demands (Harris et al., 2023), posing challenges for climate studies. 80 Furthermore, km-scale GSRMs still rely on regime-dependent schemes to parameterize the 81 PBL turbulent transport of heat, moisture, and momentum (Schär et al., 2020). 82

In light of these challenges, a new methodology has emerged (Randall et al., 1992; 83 Lappen & Randall, 2001; Lappen et al., 2010) that aims to accurately model the subgrid 84 variances of turbulent fluxes within clouds and the PBL, across diverse dynamic regimes, by 85 using a joint probability density function (PDF) to prognose multiple higher-order moments 86 encompassing subgrid variations in vertical velocity, temperature, and moisture. Among 87 the higher-order parametrizations derived from this approach, three schemes stand out: 88 CLUBB (Cloud Layers Unified By Binormals) (Golaz et al., 2002a, 2002b; Larson & Golaz, 89 2005; Larson et al., 2012, 2019), IPHOC (Intermediately Prognostic Higher-Order Closure) 90 (Cheng & Xu, 2008), and Simplified Higher-Order Closure (Bogenschutz & Krueger, 2013). 91 Despite a shared parametrization philosophy, both IPHOC and SHOC present limitations 92 and drawbacks compared to CLUBB: IPHOC is characterized by its explicit numerics which 93 necessitates a timestep tightly constrained to 30 s or less, posing computational speed chal-94 lenges. On the other hand, SHOC, though faster than CLUBB, does not include certain 95 terms crucial for adequately deepening shallow cumulus layers, thereby limiting its applica-96 bility in representing these specific cloud dynamics. 97

The higher-order CLUBB scheme, representing two decades of substantial development 98 efforts, has been successfully integrated in two prominent GCM families: NCAR CAM5 99 (Bogenschutz et al., 2013; Wang et al., 2015) and GFDL AM3 (Guo et al., 2014, 2015). To 100 align with the atmospheric timestep of these GCMs, CLUBB is substepped within the larger 101 atmospheric timestep, ensuring detailed and accurate representation of sub-grid scale cloud 102 processes. Specifically over the Eastern subtropical oceans where the low cloud regime 103 transitions from stratocumulus to trade wind cumulus phenomena, CAM5–CLUBB has 104 demonstrated a more gradual and realistic transition between these two cloud regimes, 105 leading to a more close agreement with Clouds and the Earth's Radiant Energy System 106 (CERES) satellite observations (Bogenschutz et al., 2013). Similarly, AM3-CLUBB has 107 shown enhanced capabilities, compared to AM3, in simulating the transition not only from 108 stratocumulus to cumulus clouds, but also from shallow to deep cumulus clouds. However, 109 despite the advances, challenges persist, particularly in accurately representing mixed-phase 110 clouds and ice microphysics (Guo et al., 2015; Zhao & et al, 2018). 111

While the CLUBB model's ability to represent cloud processes and feedbacks has been 112 extensively documented in literature, its effectiveness in simulating near-surface wind speeds 113 and associated PBL turbulent momentum transport has received less attention, with a few 114 exceptions (Nardi et al., 2022). Particularly, the representation of surface drag within 115 CLUBB and its consequent impact on near-surface wind speeds remain relatively unex-116 plored. This knowledge gap persists despite numerous studies underscoring the importance 117 of surface drag representation in PBL schemes for influencing midlatitude atmospheric dy-118 namical processes; more specifically controlling the latitude of near-surface westerlies, the 119 associated eddy-driven midlatitude jet (Gang et al., 2007), as well as the angle of wind 120 turning and the cross-isobaric flow, which in turn impacts the formation and evolution of 121 midlatitude cyclones (Svensson & Holtslag, 2009; Lindvall & Svensson, 2019). Indeed, the 122 angle of wind turning has emerged as a useful metric to understand how changes in surface 123

drag and PBL turbulence representation in different CMIP6 models may contribute to the observed discrepancies in model global circulation outputs (Pyykkö & Svensson, 2023).

In this study, we investigate the impact of an accurate representation of surface mo-126 mentum flux (or surface drag) on the simulation of near-surface winds and PBL structure 127 when parametrizing PBL turbulence and clouds with the higher-order scheme CLUBB. To-128 wards this aim we set up two distinct coupling strategies between surface momentum flux 129 and the CLUBB higher-order closure scheme when integrated within the leading GFDL 130 climate model AM4 (Zhao & et al, 2018). These strategies are differentiated by their ap-131 132 proach of handling surface momentum flux during CLUBB's stability driven substepping performed at each atmospheric timestep. The first strategy, implemented in the AM4-133 CLUBB_1 configuration, hereafter referred to as "static coupling", maintains a constant 134 surface momentum flux throughout CLUBB's sub-stepping. In contrast, the second strat-135 egy, implemented in the AM4-CLUBB_2 configuration, hereafter referred as "dynamic cou-136 pling", updates the surface momentum flux at each CLUBB substep, aligning it with the 137 corresponding CLUBB-computed zonal and meridional wind speed tendencies. We hypoth-138 esize that dynamic coupling can more accurately capture the nonlinear interactions between 139 the surface momentum flux, near-surface winds, and the associated PBL structure, since it 140 allows CLUBB-simulated sub-grid turbulence to dynamically respond to changes in surface 141 drag. To test our hypothesis, we conduct a present-day 30-year climate integration from 142 1980 to 2010 using both AM4-CLUBB_1 and AM4-CLUBB_2 configurations, and we sys-143 tematically compare and analyze the near-surface wind speeds and PBL structure simulated 144 by these two model configurations against the operational configuration of AM4 (Zhao & et 145 al, 2018). Moreover, we qualitatively evaluate how changes in the representation of surface 146 momentum flux (or surface drag) between AM4-CLUBB_1 and AM4-CLUBB_2 impact the 147 global circulation using the wind turning angle metric. 148

The remainder of this article is organised as follows. Section 2 discusses the GFDL Atmospheric Climate Model AM4, detailing the two different coupling strategies, static and dynamic, between surface momentum flux and CLUBB, and introduces the physical formulation of the wind turning angle. Section 3 analyzes the impact of the two coupling strategies on near-surface wind speeds and associated PBL structure is analyzed, along with its broader implications for the global circulation. Finally, conclusions are drawn Section 4.

155 2 Methods

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2.1 Overview of the GFDL AM4 model

Our investigation of CLUBB ability to simulate near-surface wind speeds employs as a framework the GFDL AM4 model, the most advanced iteration of the GFDL series of atmospheric climate models (Zhao & et al, 2018). The model features a cubed-sphere topology within its atmospheric dynamical core, with a refined horizontal grid consisting of 96×96 grid cells per cube face, resulting in an approximate resolution of ≈ 100 km. This refinement marks a significant enhancement over its predecessors, AM2 and AM3, which utilized a coarser ≈ 200 km horizontal grid spacing.

Structured with 33 vertical levels and reaching up to 1 hPa, the GFDL AM4 model 164 includes a sponge layer extending down to 8 hPa. The vertical stratification mirrors that 165 of AM3 in the troposphere but incorporates an additional layer near the surface to more 166 accurately represent the Earth's surface. The model employs the hydrostatic version of the 167 FV3 finite-volume cubed-sphere dynamical core, with minor modifications from the version 168 used in AM3. The AM4 model parameterizations of the PBL surface and cloud macrophysics 169 are akin to AM3. The model parametrizes PBL turbulence using a first-order eddy diffusion 170 closure Lock scheme (Lock et al., 2000), while cloud macrophysics follows a prognostic 171 scheme for stratiform and convective clouds (Tiedtke, 1993), where cloud dynamics are 172 governed by large-scale budget equations for cloud water content and cloud air. Within 173

the surface layer, AM4 employs Monin-Obukhov bulk transfer formulations and executes 174 central differencing in the outer layer, determining diffusion coefficients based on flux levels 175 between model levels (Lock et al., 2000). The interaction between the atmosphere and land 176 is modeled through an implicit coupling, akin to AM3. More specifically, the PBL scheme 177 implicitly updates the zonal and meridional turbulent surface momentum fluxes and winds 178 at each atmospheric timestep, resolving a tridiagonal matrix system that arises from the 179 numerical discretization of the advection-diffusion equation for momentum (and similarly 180 for heat and moisture). This system encompasses both atmospheric levels and the top layer 181 of the land surface scheme, including the tiles (Polcher & et al, 1998; Best et al., 2004). 182

Furthermore, the GFDL AM4 model incorporates significant advancements in radiation treatment, moist convection, orographic gravity wave drag, aerosol module structure, and cloud microphysics (Zhao & et al, 2018). Notably, the GFDL AM4 model has participated in the CMIP6 High-Resolution Model Intercomparison Project (Haarsma & et al, 2016; Zhao & et al, 2018), highlighting its prominence and suitability for state-of-the-art climate research.

2.2 Brief description of CLUBB and its integration in the GFDL AM4 model

CLUBB is a higher-order parametrization scheme, unifying the modeling of cloud dy-191 namics and PBL turbulence. It directly prognoses mean vertical upward wind speed \overline{w} , 192 total water mixing ratio $\overline{r_t}$, mean liquid water potential temperature $\overline{\theta_l}$, turbulent heat and 193 moisture fluxes $\overline{w'\theta'_l}$ and $\overline{w'r'_t}$, covariance of first order moments of total water mixing ratio 194 and potential temperature $\overline{r'_t \theta'_l}$, liquid water potential temperature and total water mixing ratio variances $\overline{\theta'_l}^2$ and $\overline{r'_t}^2$, vertical upwind variance $\overline{w'^2}$, and third-order moment of upward 195 196 wind $\overline{w'^3}$. A key aspect of CLUBB's approach is the employment of a joint probability den-197 sity function (PDF) of vertical velocity, temperature, and moisture, selected from a family 198 of PDFs to achieve closure of higher-order turbulent moments and buoyancy terms. The 199 preferred PDF assumes the form of a double normal-lognormal Gaussian distribution (Golaz 200 et al., 2002a, 2002b). 201

In this study, CLUBB has been integrated into AM4, with the CLUBB parametrization 202 invoked within the sequence of moist processes parameterizations. Specifically, it operates 203 after the deep convection scheme (Donner et al., 2001) and before the microphysics scheme 204 (Rotstavn, 1997), in place of the cloud macrophysics scheme, aligning with the previous 205 implementation of CLUBB in the GFDL AM3 model as CLUBB-AM3 (Guo et al., 2015). 206 A critical aspect of CLUBB integration involves the management of different timesteps. As 207 detailed in the context of AM3-CLUBB (Guo et al., 2015), CLUBB's timestep is set to 208 $\Delta t_{CLUBB} = 120$ s, due to stability requirements. This is significantly shorter than AM4's 209 atmospheric timestep, $\Delta t_{atmos} = 1800$ s. Thus, CLUBB operates with substepping within 210 the atmospheric loop of AM4. 211

In our integration of CLUBB into AM4, turbulent heat and moisture fluxes are directly prognosed by CLUBB, while the vertical zonal and meridional momentum fluxes, $\overline{u'w'}$ and $\overline{v'w'}$ respectively, are diagnosed in this version of CLUBB integrated in AM4, assuming a simple downgradient flux closure as follows:

$$\overline{u'w'} = -K_m \frac{\partial \overline{u}}{\partial z}
\overline{v'w'} = -K_m \frac{\partial \overline{v}}{\partial z}$$
(1)

Here, u and v represent the grid-box mean zonal and meridional wind speeds, respectively, z is the vertical coordinate and K_m denotes the eddy diffusivity coefficient. Specifically, CLUBB calculates K_m based as:

$$K_m = c_k L \bar{e}^{1/2} \tag{2}$$

where \overline{e} represents the turbulent kinetic energy, c_k is a constant (set to 0.5 here), and L 219 denotes the turbulent length scale, a key factor in CLUBB indicating the extent to which 220 a parcel can move vertically due to buoyancy effects (Golaz et al., 2002a, 2002b). It is 221 crucial to note that the K_m computed by CLUBB with Eq. 2 is distinct from the K_m 222 computed in the AM4 PBL parametrization by the Lock scheme (Lock et al., 2000). Given 223 the discrepancy between CLUBB and Lock K_m formulations, to diagnose and quantify the 224 vertical mixing of momentum we use the following effective eddy diffusivity formulation 225 (Bryan et al., 2017): 226

$$K_m = \frac{\sqrt{(\overline{u'w'})^2 + (\overline{v'w'})^2}}{\sqrt{(\frac{\partial u}{\partial z})^2 + (\frac{\partial v}{\partial z})^2}}$$
(3)

2.3 Coupling strategies between CLUBB and surface momentum flux

The inherent architecture of CLUBB necessitates explicit coupling between the sur-228 face (over land/ice/ocean) momentum flux and the PBL. The most straightforward ap-229 proach, offering computational efficiency when CLUBB is integrated into the AM4 frame-230 work, provides surface fluxes directly to CLUBB and keeps these values constant through-231 out CLUBB's substepping performed at each atmospheric timestep. This approach has 232 been widely adopted in configurations like CAM5-CLUBB and AM3-CLUBB (Guo et al., 233 2015; Bogenschutz et al., 2013). However, we hypothesize, in this study, that dynamically 234 updating the surface momentum flux (surface drag) at each CLUBB substep, could more 235 accurately capture the intricate non-linear interactions between the surface momentum flux, 236 near-surface winds, and the PBL structure. 237

To effectively integrate CLUBB into AM4 and assess the impact of different coupling 238 strategies between CLUBB and surface momentum flux on the performance of AM4 in 239 simulating near-surface winds and the PBL, we established two distinct configurations of 240 AM4-CLUBB, named AM4-CLUBB_1 and AM4-CLUBB_2, each implementing a unique 241 coupling strategy between CLUBB and surface momentum flux. The first configuration, 242 AM4-CLUBB_1, embodies a static coupling approach, similar to AM3-CLUBB, that main-243 tains a consistent surface momentum flux throughout CLUBB's substepping at each at-244 mospheric timestep $\Delta t_{atmos} = 1800$ s, as visualized in Fig 2a. In contrast, the second 245 configuration, AM4-CLUBB_2, employs a dynamic coupling approach that recalculates the 246 surface momentum flux at each CLUBB substep $\Delta t_{CLUBB} = 120$ s based on the evolving 247 CLUBB-computed zonal and meridional wind speed tendencies, as illustrated in Fig 2b. 248 More specifically, the surface momentum flux is dynamically updated at each CLUBB sub-249 step Δt_{CLUBB} according to the following equations: 250

$$\frac{u'w'}{v'w'} = \tau_x/\rho = -C_d u_1 |\mathbf{V}_1|$$

$$\frac{v'w'}{v'w'} = \tau_y/\rho = -C_d v_1 |\mathbf{V}_1|$$
(4)

where τ_x and τ_y denote the zonal and meridional surface stresses, C_d is the drag coefficient, ρ is the surface air density, and u_1 and v_1 represent the lowest-model atmospheric level wind speeds. These wind speeds are recalculated at each substep $\Delta t_{CLUBB} = 120$ s, based on the updated CLUBB tendencies, with $|\mathbf{V}_1| = \sqrt{u_1^2 + v_1^2}$ indicating the magnitude of the total wind vector at the lowest atmospheric level, while C_d is held constant within CLUBB substepping to maintain consistency with other model components.

2.4 Summary of experimental set up

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To assess the impact of the coupling between surface momentum flux and CLUBB on the global simulation of near-surface winds and PBL momentum transport, we utilized the GFDL-AM4 configuration as a baseline, referred to AM4 for sake of simplicity, which aligns with the model specifications detailed in Sect 2.1. In addition, we used the two model configurations (with CLUBB integrated in AM4) based on the coupling strategies



Figure 1. Illustration of coupling strategies in AM4-CLUBB. (a) Static coupling (AM4-CLUBB_1): the surface momentum flux is maintained constant throughout CLUBB's sub-stepping covering the atmospheric timestep $\Delta t_{atmos} = 1800$ s. (b) Dynamic coupling (AM4-CLUBB_2): the surface momentum flux is dynamically updated at each CLUBB substep, $\Delta t_{CLUBB} = 120$ s, using the CLUBB-computed wind speed tendencies.

described in Sect 2.2: AM4-CLUBB_1, which employs the static surface momentum flux 263 coupling strategy, and AM4-CLUBB₂, which incorporates the dynamic coupling strategy 264 as per Eq. 4. For this study, the three configurations—AM4, AM4-CLUBB_1, and AM4-265 CLUBB_2— were utilized and compared across climatological runs spanning the presentday 30-year period 1980-2010. Throughout this period, radiative forcing agents were held 267 constant at 2010 levels, while sea surface temperatures (SSTs) and sea-ice concentrations 268 were averaged based on the data from 1981 to 2014, adhering to CMIP6 protocols (Haarsma 269 & et al, 2016). Notably, the AM4 model's simulation of present day climatology, using 270 these specified SSTs, sea-ice concentrations, and fixed radiative forcings, demonstrates close 271 alignment with the corresponding AMIP simulation outcomes (Zhao & et al. 2018). This 272 alignment makes the three configurations —AM4, AM4-CLUBB 1, and AM4-CLUBB 2– 273 particularly apt for a qualitative assessment of how the dynamic coupling between CLUBB 274 and the surface momentum flux impact on model's accuracy in simulating 10-meter wind 275 speed when compared against reanalysis data. Specifically, for the evaluation of 10-m wind 276 speed skill of the AM4 configurations, our study references the European Centre for Medium-277 range Weather Forecasts (ECMWF) fifth generation hourly reanalysis, ERA5 (Hersbach et 278 al., 2020), as the standard for comparison. This approach allows a comprehensive analysis 279 of the effectiveness and implications of different CLUBB coupling strategies on the fidelity 280 of 10-m wind speed predictions in the AM4 model. 281

2.5 Wind turning angle metric

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Recent literature has recognized the angle of wind turning as an important metric for linking changes in surface drag and their subsequent effects on PBL stratification, and by extension, to PBL height, latitudinal variations, the Rossby number, and even the magnitude of wind speed itself (Lindvall & Svensson, 2019; Pyykkö & Svensson, 2023). In this section, we discuss further the wind turning angle metric.

The angle of wind turning is quantified as the shift in wind direction from the surface level to the first level just above the PBL top. This angle is considered positive for a clockwise turn with increasing altitude. To ensure uniformity in representation, wind turning angles are standardized to lie within the -180° to 180° range, adjusting through the addition or subtraction of 360° as needed.

From a theoretical standpoint, the wind turning angle aligns closely with the surface cross-isobaric angle. This assumption holds particularly when the wind near the PBL top approximates geostrophic behavior and exhibits negligible directional change with altitude. Consequently, the wind's vertical veering within the PBL emerges as an useful metric for investigating how changes in surface drag representation across various model configurations influence cross-isobaric flow. These changes bear implications for the formation of cyclones and the dynamics of large-scale atmospheric circulation.

An analytical expression for the angle of wind turning, denoted as α , can be derived 300 under certain assumptions (Svensson & Holtslag, 2009). These assumptions include distin-301 guishing between the mean and turbulent components of the flow, negligible divergence of 302 horizontal turbulent flux, omission of molecular viscosity, and the momentum flux being 303 negligible at the top of the PBL, denoted as 'h'. Following these approximations, an analyt-304 ical expression for α is developed, linking the angle of wind turning with key atmospheric 305 variables. This expression correlates the cross-isobaric flow, represented by the averaged 306 ageostrophic wind $\langle \overline{v} \rangle$, with the boundary layer height 'h', the surface momentum flux 307 u_*^2 (where $u_*^2 = \sqrt{(-\overline{u'w_0'})^2 + (-\overline{v'w_0'})^2}$), and the wind turning angle α , as described by the 308 equation: 309

$$fh < \overline{v} >= u_*^2 \cos(\alpha) \tag{5}$$

where f represents the Coriolis parameter. The significance of Eq. 5 lies in its ability to relate the cross-isobaric mass flux to the angle of wind turning, given known values of turbulent surface momentum flux and PBL height. This allows for a deeper understanding of how the representation of surface drag, particularly the turbulent surface momentum flux, influences
 large-scale atmospheric circulation patterns.

The impact of coupling CLUBB with surface momentum flux on global winds, surface stress, and boundary-layer height in AM4

In this analysis, we start by directly comparing the 10-m wind speeds predicted by the 317 AM4 model against the reference ERA5 reanalysis data (Hersbach et al., 2020). This estab-318 lishes a baseline for performance assessment. Next, we perform a comparison between the 319 AM4's model configurations AM4-CLUBB_1, featuring static coupling, and AM4-CLUBB_2. 320 featuring dynamic coupling, against the baseline control AM4 configuration. Although these 321 two CLUBB-based configurations are not directly compared with the ERA5 data, their indi-322 rect comparison through AM4 allows us to assess their relative behavior against an accurate 323 reanalysis benchmark. 324

The control AM4 model configuration's annual mean 10-m wind speed for the 1980-2010 325 period visualized in Fig. 2a shows an overall good agreement with ERA5's corresponding 326 10-m wind speeds illustrated in Fig. 2b. Over the oceans, the AM4 model's bias remains 327 confined within $\pm 2 \text{ m s}^{-1}$ compared to ERA5 data, underestimating 10-m wind speeds in 328 the North Atlantic and North Pacific Ocean, while overestimating them in the Southern 329 Ocean and the tropics. Over land, the bias narrows to within ± 0.5 m s⁻¹, except in regions 330 characterized by high orography, such as Greenland, the Rocky Mountains, the Himalayan 331 Mountains, and the coasts of Antarctica. Although ERA5 does not assimilate 10-m hourly 332 winds over land (Molina et al., 2021), a plausible explanation for the underestimation of 333 AM4 extreme wind speeds - compared to ERA5 data - over these mountains terrains lies 334 in the AM4 model's coarser resolution (≈ 100 km) compared to the ERA5 finer resolution 335 $(\approx 30 \text{ km})$, combined with an excessive orographic gravity wave drag within AM4 model. 336

Figure 2c-d illustrate that static coupling strategy between surface momentum flux 337 and CLUBB (AM4-CLUBB 1) tends to generate more intense 10-m wind speeds than AM4 338 model, a discrepancy that is particularly marked in the midlatitudes over the oceanic re-339 gions. The Southern Ocean stands out as the region most significantly impacted by this 340 overestimation, with AM4-CLUBB_1 simulating 10-m wind speeds exceeding 4 m s⁻¹, fol-341 lowed by the North Pacific and North Atlantic Oceans, where the overestimation reaches 342 up to 2 m s⁻¹. In the tropics, the AM4-CLUBB₋₁ overestimation does not exceed 1 m s⁻¹, 343 with the exception of the Southern Indian Ocean and the stretch of the Atlantic Ocean from 344 Mexico to West Africa, (near 30°N), where AM4-CLUBB_1 forecasts 10-m wind speeds 1 345 to 2 m s⁻¹ higher than AM4. Interestingly, in very few high-orography places, such as the 346 coastlines of Greenland and Antarctica, the AM4-CLUBB_1 model's simulated increases in 347 10-m wind speeds compensate for AM4's underestimations in these regions when compared 348 to ERA5, as can be inferred from Fig. 2b-d. 349

When employing the dynamic coupling strategy between surface momentum flux and 350 CLUBB (AM4-CLUBB_2), a marked reduction in the 10-m wind speed bias relative to 351 AM4 is observed, as demonstrated by the comparison of Fig. 2e and Fig. 2f. Moreover, a 352 meticoulous examination reveals that the AM4-CLUBB_2 configuration not only mitigates 353 the biases relative to ERA5 noted in AM4-CLUBB_1, but also enhances the simulated 10-m 354 wind speeds in comparison to the control AM4 simulation across several key geographical 355 regions. For instance, in the Southern Ocean where AM4 overestimates 10-m wind speeds 356 by up 1 m s⁻¹ compared to ERA5, AM4-CLUBB₂ decreases AM4 winds by up to 1 m s⁻¹, 357 effectively reducing the control AM4 bias (with respect to ERA5). However, in a few 358 localized regions, such as near 30° N (tropics) in the Atlantic Ocean and certain areas in 359 the Indian Ocean and the Pacific Oceans where AM4 already exhibits a positive bias, AM4-360 CLUBB_2 further amplifies AM4 winds by up to 1 m s^{-1} , consequently further diminishing 361 the accuracy of the original AM4 control simulation skill in these specific locations. 362



Figure 2. Comparative visualization of AM4, AM4-CLUBB_1, and AM4-CLUBB_2 10-m wind speeds. The left column illustrates the spatial distribution of annual mean 10-m wind speeds simulated by the (a) AM4 (c) AM4-CLUBB_1 (e) AM4-CLUBB_2 model configurations. The right column illustrates the spatial distribution of the annual mean 10-m wind speed difference between (b) AM4 (d) AM4-CLUBB_1 (f) AM4-CLUBB_2 and ERA5 corresponding values for the period 1980-2010.

To better understand the impact of static and dynamic coupling strategies on 10-m wind 363 speed simulations, we examine the PBL surface characteristics associated with the annual 364 mean 10-m wind speeds as simulated by AM4-CLUBB_1 and AM4-CLUBB_2. Analysis 365 of the spatial distribution of surface stress as simulated by AM4-CLUBB_1, and its bias 366 relative to AM4, as shown in Fig. 3a-b, reveals a positive correlation in areas where AM4-367 CLUBB_1 tends to overestimate surface wind speeds, particularly in the Southern Ocean 368 and the North Atlantic. However, this pattern is not always homogeneous, for instance, 369 between the Southern Ocean latitudes 30° and 50°S, the surface stress, τ , is reduced rather 370 than increased. According to studies conducted with idealised dry GCM (Gang et al., 2007; 371 Mbengue & Woollings, 2019), this reduction in effective surface drag coefficient (as indi-372 cated by the stronger increase in 10-m wind speed compared to τ), can lead to a circulation 373 response of more poleward and stronger westerly jet, which aligns with the findings reported 374 here. Moreover, over high-orography areas, such as the coasts of Antarctica and the Rocky 375



Figure 3. Spatial Analysis of Surface Stress. On the left column, spatial distribution of annual mean surface stress, τ , over the 1980-2010 period as simulated by (a) AM4-CLUBB_1 and (c) AM4-CLUBB_2. On the right column, the differences in τ , showing the deviation of (b) AM4-CLUBB_1 from the baseline AM4 model and (d) the changes when transitioning from AM4-CLUBB_1 to AM4-CLUBB_2.

Mountains, where AM4-CLUBB_1 overestimates surface wind speeds compared to AM4 (although not when compared to ERA5), the surface stress associated with these overestimations is lower than that observed in the AM4 model. However, when we transition to the dynamic coupling approach in AM4-CLUBB_2, as depicted in Fig. 3c-d, the bias in surface stress seen with AM4-CLUBB_1 is reversed across most regions, along with the poleward shift in surface stress, with the notable exception of equatorial Africa.

Mirroring the differences observed in surface stress between static coupling AM4-CLUBB_1 382 and control AM4, AM4-CLUBB_1 exhibits an increased PBL height h compared to AM4, 383 particularly over the Southern Ocean and the tropics, as illustrated in Fig. 4. In contrast, 384 regions such as Equatorial Africa, northern Australia, the Himalayas, and, to a lesser extent, 385 the Rockies, experience shallower PBL height, h. As illustrated in Fig. 4a-b, the PBL height 386 in AM4-CLUBB_1 can exhibit an overestimation of up to 300 m in the Southern Ocean when 387 compared to AM4, while conversely experiencing an underestimation of a similar magnitude 388 over the Himalayan mountain chain. However, the dynamic coupling, in AM4-CLUBB-2, 389 between CLUBB and surface momentum flux demonstrates a marked improvement in these 390 biases (these biases are effectively reversed, see Fig. 4c-d). In fact, dynamic coupling sim-391 ulates a PBL shallower by 250 m than AM4-CLUBB_1 over the Southern Ocean, though 392 changes in the PBL height on adopting a dynamic coupling strategy are negligible over the 393 tropics, suggesting different atmospheric sensitivity. 394

Overall, the findings illustrated in Fig. 2, 3, and 4 demonstrate how the coupling of surface momentum flux, whether static and dynamic, influences the simulation of wind, stress, and PBL height, h, across various regions. In subtropical oceanic regions, the simulations show no significant variations in wind, stress, and PBL height due to changes in



Figure 4. Comparative Analysis of Simulated PBL Heights. The left column presents the spatial distribution of PBL height as simulated by (a) AM4-CLUBB_1 and (c) AM4-CLUBB_2. The right column presents the spatial difference in PBL height between (b) AM4-CLUBB 1 and AM4 (d) AM4-CLUBB 2 and AM4-CLUBB 1. The PBL height is diagnosed using a "dynamic criterion" (Troen & Mahrt, 1986), whereby the boundary layer corresponds to the model-level height at which the Richardson number Ri exceeds the critical threshold of 0.25.

coupling strategy. However, a marked contrast is observed in midlatitude oceans, such as 399 the Southern Ocean and the North Atlantic, where these atmospheric fields are significantly 400 more responsive to the type of CLUBB-surface momentum flux coupling employed. In these 401 regions, static coupling AM4-CLUBB_1 exhibits significantly increased 10-m wind speeds, surface stress, and PBL height when compared to both the original AM4 model configu-403 ration and AM4-CLUBB_2. Hence, it is plausible to hypothesize that the PBL in static 404 coupling AM4-CLUBB_1 is more turbulent on an annual average basis than its counterparts 405 AM4 and dynamic coupling AM4-CLUBB_2, as suggested by the heightened surface stress 406 and PBL heights. Given that the Southern Ocean and the North Atlantic overlap with 407 the major midlatitude storm tracks (Catto & et al, 2019), these two regions may experience 408 intensified turbulence within the PBL in static coupling simulations. This heightened turbu-409 lence could correspond to a stronger eddy-diffusivity, potentially leading to a more efficient 410 downward transport of momentum to the surface from the prevalent fast-flowing low-level 411 jets (commonly associated with midlatitude cyclones in these regions) lying at the top of 412 the PBL. Although downward transport of momentum may be stronger in AM4-CLUBB_1 413 than AM4-CLUBB_2, its divergence, corresponding to the zonal wind speed tendency due to 414 PBL turbulent diffusion, may also be stronger, resulting in a more efficient damping of the 415 zonal wind. Finally, large-scale dynamics forcing, besides downward momentum transport 416 into the PBL and surface momentum flux (or drag) also affects the near-surface wind speed. 417 Therefore, a thorough investigation of the vertical structure of the simulated PBL at specific 418 locations as well as a cross-section analysis of the zonal winds over the Southern Ocean is 419 essential to gain further insights on the differences between static coupling AM4-CLUBB_1 420 and dynamic coupling AM4-CLUBB_2 near surface wind speeds. 421

422 4 The impact of coupling CLUBB with surface momentum flux on boundary-423 layer momentum diffusion and wind vertical structure

To gain a deeper insight into the impact of the coupling strategies between surface momentum flux and CLUBB on near-surface wind speeds, we conduct a detailed analysis focusing on changes in the vertical diffusion profiles within the PBL at two points chosen for their representativeness of distinct responses of the coupling strategy: one point in the Southern Ocean, where the near-surface wind speeds exhibit a strong response to the choice of coupling strategy, and the other one in the tropics, where the near-surface wind speeds response to the coupling strategy is substantially smaller (as explored in detail in Sect. 3.1).

Figure 5 visualizes the zonal wind speed tendencies $\frac{\partial u}{\partial t}$ attributed to a spectrum of 431 contributions: turbulent diffusion (labelled as "diff"), dynamics (labelled as "dyn"), and 432 topography (labelled as "topo"), along with the effective eddy diffusivity coefficients, K_m , at 433 the two representative locations in the Southern Ocean (Fig. 5a,c) and the tropics (Fig. 5b,d), 434 respectively. Our findings in Fig. 5a,b indicate that in both selected locations, the tendencies 435 $\frac{\partial u}{\partial t}$ due to turbulent diffusion ("diff") across AM4, AM4-CLUBB_1, and AM4-CLUBB_2 436 have a negative sign and thus are opposite to the wind direction. Conversely, the tendencies 437 $\frac{\partial u}{\partial t}$ due to the atmospheric dynamics, which include factors like the Coriolis effect and 438 pressure gradients, have a positive sign, and thus act in the same direction as that of the 439 wind. This implies that for the point in the Southern Ocean (dominated by westerlies) 440 the turbulent diffusion tendencies lead to a negative (downward) zonal momentum flux, 441 decelerating the winds, while for the point in the tropics (dominated by easterlies) the 442 turbulent diffusion tendencies lead to a positive (upward) zonal momentum flux. Since the 443 zonal wind speed tendencies oppose the turbulent diffusion tendencies, they act to accelerate 444 the zonal flow in the selected Southern Ocean region and decelerate the flow in the selected 445 tropics region. It is important to note that being both points over the sea, the topographic 446 tendencies of all model configurations are zero, but we have included them for consistency 447 in Fig. 5a-b. 448

In the Southern Ocean, static coupling AM4-CLUBB_1 demonstrates a marked increase 449 in the turbulent diffusion tendencies compared to the control AM4 model, with a large 450 maximum difference observed, reaching up to -15×10^{-5} m s⁻² (as depicted in Fig. 5a). 451 Instead, dynamic coupling AM4-CLUBB_2 and AM4 present a roughly similar peak at 452 -10×10^{-5} m s⁻², $\approx 33\%$ smaller than static coupling AM4-CLUBB_1. Comparing these 453 tendencies with the effective eddy diffusivity coefficient, K_m , offers valuable insights into the effects of different coupling strategies on the atmospheric dynamics at play, particulary 455 concerning turbulent momentum transport within the PBL. Figure 5c sheds light on the 456 beahviour of K_m across the models. Within the PBL, static coupling AM4-CLUBB_1's K_m 457 significantly exceeds that of both AM4 and AM4-CLUBB_2, mirroring the observed tenden-458 cies in the wind speed profiles. More in details, AM4-CLUBB_2 K_m peaks at 15 m² s⁻¹. 459 in agreement with the corresponding AM4 peak k_m value, while AM4-CLUBB_1 peaks at 460 $19 \text{ m}^2 \text{ s}^{-1}$, which is $\approx 25\%$ larger than the AM4 baseline. The fact that AM4-CLUBB_1 461 exhibits larger diffusive tendencies and increased effective eddy momentum diffusivity for 462 the selected Southern Ocean point is consistent with the larger surface momentum flux 463 previously found around 60°S latitude over the Southern Ocean (see Fig. 3). Indeed, the 464 vertical integral of diffusive momentum tendency should equal the surface momentum flux. 465 However, there are also locations in the Southern Ocean where the surface wind is slightly 466 stronger in AM4-CLUBB_1 compared to AM4-CLUBB_2 but the surface stress is weaker, 467 such as the point at 45° S, 60° E. At such locations the diffusion tendencies are weaker in 468 AM4-CLUBB_1 compared to AM4-CLUBB_2 (not shown). 469

To further understand the influence of coupling strategy on the turbulent momentum transport and associated PBL stability and structure, we also investigate the wind and potential temperature profiles at the selected Southern Ocean point. The analysis of the vertical profiles of potential temperature shown in Fig. 6c for the point in the Southern Ocean highlights that, static stability in the PBL remains largely unaffected by chang-



Figure 5. Zonal wind speed tendencies $(\frac{\partial u}{\partial t})$ and effective eddy momentum diffusivity coefficients (K_m) at specific locations in the Southern Ocean and the tropics. Panels (a) and (c) illustrate the vertical profiles of $\frac{\partial u}{\partial t}$ and K_m at a point in the Southern Ocean, specifically at 60°S latitude and 120°E longitude. Panels (b) and (d) display the corresponding profiles at a point in the tropics, located at 17°N latitude and 170°E longitude. The zonal wind speed tendency due to turbulent diffusion is labelled as "diff", the zonal wind speed tendency due to dynamics is labelled as "dyn", and the zonal wind speed tendency due to to topography is labelled as "topo". Because both points are over the ocean, the topography tendencies are zero for all configurations. The color scheme represents different simulations: blue for the control simulation AM4, orange for AM4-CLUBB_1, and green for AM4-CLUBB_2.

ing coupling strategy, as indicated by the unchanged potential temperature profile across 475 AM4-CLUBB_1 and AM4-CLUBB_2. Conversely, the dynamic stability undergoes a notable 476 increase in dynamic coupling AM4-CLUBB_2 compared to static coupling AM4-CLUBB_1, 477 as can be inferred from the reduction in wind shear, with dynamic coupling AM4-CLUBB_2 478 aligning with the AM4 control simulation results. Such a shift implies less effective down-479 ward momentum diffusion and, consequently, reduced wind speeds. Although it may appear 480 paradoxical that the enhanced diffusive damping can explain stronger winds, this may be 481 better understood examining the different larger-scale dynamic forcing induced by the static 482 and the dynamic coupling approaches, by comparing the maps of cross-section zonal wind 483 speeds simulated by static coupling AM4-CLUBB_1 and dynamic coupling AM4-CLUBB_2 484 shown in Fig. 7. Indeed, the comparison of Fig. 7b and Fig. 7d shows that static coupling 485 AM4-CLUBB_1 simulate a much more intense free-tropospheric zonal wind speed than dy-486 namic coupling AM4-CLUBB_2 and control AM4. The most significant increase in wind 487 speed occurs at the jet stream height (around 250 hPa), peaking at 5 m s⁻¹, resulting 488 in a stronger lower-tropospheric wind shear in static coupling AM4-CLUBB_1 compared 489 to AM4 (Fig. 7a-b). Instead, dynamic coupling reverses many of the changes introduced 490 by static coupling, as illustrated in Fig. 7c-d. Consequently, even if static coupling AM4-491 CLUBB_1 and dynamic coupling AM4-CLUBB_2 would simulate the same effective eddy 492 momentum diffusivity, the downward momentum transport remains stronger, under the 493 static coupling approach. Therefore, the surface wind difference between AM4-CLUBB_1 and AM4-CLUBB_2 are largely influenced by the free-tropospheric wind difference. This 495 implies that the decrease in PBL turbulent diffusion in AM4-CLUBB_2 relative to AM4-496 CLUBB_1 (discussed in Fig. 5,6), can be better attributed to diminished vertical wind shear 497 in the lower-troposphere. 498

Turning our attention from the Southern Ocean to the tropics, here, the effect of chang-499 ing the coupling strategy between surface momentum flux and CLUBB on $\frac{\partial u}{\partial t}$ and K_m 500 vertical profiles is less pronounced than in the Southern Ocean, as previously highlighted 501 by the analysis of the maps of near-surface wind speed changes (Fig. 2) and surface PBL 502 characteristics (Fig. 3, Fig. 4). Figure 5b shows that the diffusion (labelled as "diff") 503 and dynamics (labelled as "dyn") zonal wind speed tendencies for static coupling AM4-504 CLUBB_1 and dynamic coupling AM4-CLUBB_2 are nearly identical; additionally these 505 tendencies are both only modestly reduced by 2 m s^{-2} compared to the control AM4. In 506 Fig. 5d we see that this trend is mirrored in the profiles of the effective eddy diffusion co-507 efficient K_m , showing that the effective eddy momentum diffusivity is also little responsive 508 to changes in the coupling strategy. Analysis of the vertical profiles of zonal wind speed 509 and potential temperature of both AM4-CLUBB_2 and AM4-CLUBB_1, shown in Fig. 6b,d, 510 indicates a notably stronger agreement between them compared to the control simulation, 511 AM4. Basing on zonal wind speed and potential temperature profiles, the PBLs of both 512 AM4-CLUBB_2 and AM4-CLUBB_1 appear more unstable and thus more well mixed, with 513 reduced wind shear near the surface. This may account for the larger K_m values of both 514 AM4-CLUBB_1 and AM4-CLUBB_2 compared to AM4, resulting in the increased winds in 515 the tropics found in Fig. 2. Thus, unlike in the Southern Ocean, it is the change from the 516 Lock scheme in the control simulation (AM4) to the CLUBB scheme in the AM4-CLUBB_1 517 and AM4-CLUBB_2 simulations that primarily drives the variations found in the tropical 518 PBL structure. Finally, the PBL differences being predominantly driven by the change in 519 the PBL scheme rather than the coupling strategy with surface momentum flux could pos-520 sibly be attributed to the two distinct dominant mechanisms of turbulent production across 521 the tropics and the southern ocean as corroborated by the vertical profiles shown in Fig. 6: 522 mechanical generation of turbulence in the Southern Ocean, and buoyancy (convection) in 523 the tropics. 524



Figure 6. Vertical Profiles of Zonal Wind u and Potential Temperature θ at specific locations in the Southern Ocean and the Tropics. Panels (a) and (c) display the vertical profiles of zonal wind and potential temperature at a point in the Southern Ocean, specifically at 60°S latitude and 120°E longitude. Panels (b) and (d) present the corresponding profiles at a point in the tropics, located at 17°N latitude and 170°E longitude. The color coding represents different simulations: blue for the control simulation AM4, orange for AM4-CLUBB 1, and green for AM4-CLUBB 1 subcycle.



Figure 7. Vertical cross-section of zonal wind speed, u, at latitude 60°S for (a) AM4-CLUBB_1 and (c) AM4-CLUBB_2. Differences of vertical cross-section of zonal wind speed, u, between (b) AM4-CLUBB_1 and AM4 and (d) AM4-CLUBB_2 and AM4-CLUBB_1.

5 Evaluating the influence of coupling CLUBB with surface momentum flu on larger-scale circulation using the angle of wind turning metric

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The examination of the vertical cross-section of zonal wind speed in the Southern Ocean 527 revealed that modification in the coupling strategy between surface momentum flux and 528 CLUBB can appreciably influence the overall atmospheric circulation. To attain a deeper 529 understanding of such impacts, we evaluate the global spatial distribution of median wind 530 turning angles across the three configurations used in this study: AM4, AM4-CLUBB_1, 531 and AM4-CLUBB_2. The wind turning angle relates surface momentum flux, PBL turbu-532 lence, and cross-isobaric mass flux according to Eq. 5 (for more details, refer to Section 533 2.5). Our findings are visually presented in Fig. 8, which illustrates common patterns 534 in the global distribution of wind-turning angles across all configurations. Notably, each 535 model is characterized by clockwise (positive) turning in the Northern Hemisphere (NH) 536 and counterclockwise (negative) turning in the Southern Hemisphere (SH). Furthermore, all 537 configurations show a prevailing trend of increasing (in magnitude) wind turning angles with 538 latitude (due to the increasing Coriolis parameter towards the poles), and more pronounced 539 angles over land than over the ocean, corroborating previous studies (Lindvall & Svensson, 540 2019; Pyykkö & Svensson, 2023). However, a closer investigation of Fig. 8 reveals substan-541 tial differences among the control (AM4), static coupling (AM4-CLUBB_1), and dynamic 542 coupling (AM4-CLUBB_2) model configurations. Specifically, the static coupling strategy 543 between surface momentum flux and CLUBB employed in AM4-CLUBB_1 leads to large 544 decreases (in magnitude) in the wind turning angle, particularly noticeable in the midlati-545 tudes over the Southern Ocean, North Atlantic, and North Pacific Ocean, where reductions 546 in median wind turning angles range between 10° and 15° . Land regions such as Siberia, 547 North America, and Brazil experience even larger decreases in absolute value, with reduc-548 tions of up to 20° . In contrast, transitioning to dynamic coupling strategy employed in 549 AM4-CLUBB_2 substantially mitigates the underestimation observed with AM4-CLUBB_1. 550 Specifically, AM4-CLUBB_2 exhibits a reduction in wind turning angles by two to three 551 times in the mid-latitudes, aligning more closely with the AM4 control simulation's global 552



Figure 8. Maps of the median angle of wind turning from (a) AM4 (b) AM4-CLUBB_1 (d) AM4-CLUBB_2. Differences in the angle of wind turning between (b) AM4-CLUBB_1 and AM4 (e) AM4-CLUBB_2 and AM4.



Figure 9. Mean sea level pressure (MSLP) simulated by (a) AM4-CLUBB_1, (c) AM4-CLUBB_2. MSLP difference between (b) AM4-CLUBB_1 and AM4 (d) AM4-CLUBB_2 and AM4-CLUBB_1.

distribution. For instance, over the Southern Ocean, AM4-CLUBB_2 reduces the underestimation of wind turning angles from approximately 15° to 5°. Nevertheless, in regions closer to the tropics and subtropics, dynamic coupling (AM4-CLUBB_2) wind turning angles are approximately equivalent to those observed with static coupling (AM4-CLUBB_1).

To better understand the relationship between changes in wind turning angle and im-557 pacts on the global circulation, we examine spatial maps of mean sea level pressure (MSLP) 558 for both static (AM4-CLUBB_1) and dynamic coupling (AM4-CLUBB_2), comparing these 559 to the baseline AM4 model and between each other, as depicted in Fig. 9. A careful exami-560 nation of Fig. 9 reveals a strong correlation between the MSLP differences observed in static 561 coupling (AM4-CLUBB_1) relative to control (AM4) with those found in wind turning an-562 gles. Specifically, in the Southern Ocean, AM4-CLUBB_1's MSLP reduction of up to 12 hPa, accompanied by an overestimation of up to 5 hPa in the Arctic, mirrors the adjustments in 564 wind turning angles (refer to Fig. 9a-b). Meanwhile, in the tropics, where AM4-CLUBB_1's 565 impact on wind turning angle is ambiguous, the MSLP variations are modest, around 2 566 hPa. Utilizing the dynamic coupling strategy between surface momentum flux and CLUBB 567 (AM4-CLUBB_2) leads to distinct outcomes depending on the latitude of the regions under 568 consideration (as shown in Fig. 9c-d). Notably, in mid-latitudes, an almost complete rever-569 sal of the MSLP changes introduced by static coupling (AM4-CLUBB_1) relative to control 570 (AM4) occurs. For instance, in the Southern Ocean, dynamic coupling (AM4-CLUBB_2) 571 amplifies the MSLP compared to static coupling (AM4-CLUBB_1) by up to 10 hPa, and by 572 up to 4 hPa near the Arctic, effectively restoring the original MSLP distribution observed 573 in control AM4. However, closer to the tropics, dynamic coupling slightly reduces MSLP, 574 closely mirroring the MSLP values simulated by static coupling. These variations in MSLP 575 are consistent with the changes in SH westerlies location and strength. 576

The correlation between changes in MSLP and changes in wind turning angles arising from the adoption of different coupling strategies, is further illuminated through Eq. 5, which delineates the relationship between wind turning angles and cross-isobaric mass flux.

According to Eq. 5, whose validity has been substantiated in the literature (Lindvall & 580 Svensson, 2019; Pvykkö & Svensson, 2023), the reduced wind turning angles in the Southern 581 Ocean, as found with AM4-CLUBB_1 compared to AM4, suggests an enhanced cross-isobaric 582 mass flux. This increase in cross-isobaric mass flux, suggesting stronger convergence at the 583 surface, indicates the formation of deeper low-pressure areas where the cross-isobaric mass 584 flux is larger (and wind turning angles are smaller), especially in the midlatitudes which are 585 dominated by the passage of low-pressure systems. This could explain the found reduction in 586 both wind turning angle and MSLP within the static coupling (AM4-CLUBB_1) simulations, 587 especially noted in the Southern Ocean between 50 and 70° S, and other midlatitudes ocean 588 regions, near storm tracks. However, the relationship between reduced wind turning angles 589 and MSLP is not uniform across all latitudes: for example between 30° and 50° S static 590 coupling AM4-CLUBB_1 shows an increase in MSLP despite a decrease in wind turning 591 angles, possibly attributed to the influence of surface friction on wind turning angles, as 592 encapsulated in Eq. 5. 593

Further analysis into the dynamic coupling strategy of AM4-CLUBB_2 reveals that in 594 regions above 50°S, a positive correlation exists between wind turning angles and MSLP, 595 since increases in wind turning angles (relative to static coupling but still smaller than AM4) 596 are aligned with MSLP increases, suggesting a reduced mass flux. Conversely, between 30 597 and 50° S, we observe MSLP increases alongside decreases in wind turning angles, likely 598 due to heightened surface stress in dynamic coupling compared to static (between these 599 latitudes only). Extending the comparison of MSLP and wind turning changes to the 600 tropics, differences in wind turning angles between AM4-CLUBB_1 and AM4-CLUBB_2 are 601 negligible, thereby implying that alteration in mass flux are insignificant, thus maintaining 602 pressure patterns without appreciable deepening or weakening. 603

604 6 Conclusions

This study aimed to evaluate the impact of accurately coupling surface momentum 605 flux with the CLUBB turbulence scheme on the simulation of near-surface wind speeds, 606 associated momentum transport, and large-scale circulation patterns, utilizing the global 607 climate atmospheric model version 4, AM4, developed by the GFDL. Towards this aim, 608 three GFDL AM4 model configurations were used in this study, over 30 years from 1980 609 to 2010 to simulate present-day climate conditions: the control AM4 configuration (Zhao 610 & et al, 2018), the AM4-CLUBB_1 configuration, using a static surface momentum flux 611 coupling strategy which maintains a constant surface momentum flux throughout CLUBB's 612 sub-stepping, and the AM4-CLUBB_2 configuration, using a dynamic coupling strategy, 613 which updates the surface momentum flux at each CLUBB substep using the corresponding 614 CLUBB-computed zonal and meridional wind speed tendencies. 615

In the examined simulations, we found that the static coupling approach (AM4-CLUBB_1 616 configuration), generates excessively strong global 10-m wind speeds, overestimating the cor-617 responding values of both the ERA5 reanalysis dataset and the control AM4 simulations, 618 particularly over the Southern Ocean. Conversely, the dynamic coupling approach between 619 surface momentum flux and CLUBB (AM4-CLUBB_2) effectively corrects the pronounced 620 bias in 10-meter wind speeds introduced by static coupling (AM4-CLUBB_1) in this re-621 gion, but retains the same bias pattern of static coupling near the tropics. Comparison 622 of dynamic and diffusion zonal wind speed tendencies and effective eddy diffusivity pro-623 files at a selected location in the Southern Ocean where differences in 10-m wind speeds 624 and associated PBL surface characteristics between static (AM4-CLUBB_1) and dynamic 625 coupling (AM4-CLUBB_2) are most pronounced, notably demonstrates dynamic coupling 626 (AM4-CLUBB_2) simulates the same turbulent momentum transport structure as the con-627 trol AM4, while static coupling produces an excessively diffusive PBL. The investigation 628 of zonal mean wind speeds differences between static and dynamic coupling revealed that 629 much of the changes in PBL momentum diffusion can be attributed to larger-scale changes 630 in the lower-tropospheric wind shear, which in turn control the near-surface wind speed dif-631

ferences between static and dynamic coupling strategies. In tropical regions, changing from 632 a static to a dynamic coupling strategy between surface momentum flux and CLUBB yields 633 no appreciable changes in simulated 10-m wind speeds and the associated PBL momentum 634 transport structure. Therefore, specific details of the PBL parametrization scheme play a 635 more crucial role than the selected coupling strategy. This finding is consistent with ear-636 lier research indicating CLUBB potential to significantly modify the atmospheric structure 637 over tropical regions, leading to increased precipitation, albeit with the associated excessive 638 water vapor (Bogenschutz et al., 2013; Guo et al., 2015). 639

640 A plausible explanation for the distinct responses that we observed in the Southern Ocean and the tropics to the coupling strategies between CLUBB and surface momentum 641 flux lies in the different mechanisms of turbulent momentum transport predominant in 642 these regions. In the Southern Ocean, the mechanical generation of turbulence, often driven 643 by the frequent passage of midlatitude cyclones, is likely to play a more significant role. 644 Under this scenario, the reduction in surface momentum flux and near-surface wind speeds, 645 as demonstrated by AM4-CLUBB_2 in comparison to AM4, would directly influence PBL 646 vertical mixing by modifying wind shear dynamics. Conversely, in the tropics, buoyancy 647 (or convection) is expected to dominate the turbulent kinetic energy budget, making this 648 region more responsive to variations in surface heat and moisture fluxes than to changes in 649 surface momentum fluxes. 650

The different atmospheric responses to the two coupling strategies between surface 651 momentum flux and CLUBB were further investigated using the wind turning angle as a 652 metric. The AM4 control simulation's median wind turning angle, proven to outperform 653 other CMIP6 models and the ERA-Interim reanalysis according to radiosonde observations 654 (Pyykkö & Svensson, 2023), served as an optimal baseline for this analysis. Static coupling 655 globally reduces the wind turning angle compared to control AM4, while dynamic coupling 656 reverses these changes in the midlatitudes, in particular over the Southern Ocean, thus align-657 ing the median wind turning angles more closely with those of the control simulation. This 658 phenomenon may be attributed to dynamic coupling's reduction in downward momentum 659 flux compared to static coupling, promoting a more dynamically stable and stratified PBL 660 atmosphere. Literature suggests that changes in static stability correlate with correspond-661 ing changes in wind turning angle (Lindvall & Svensson, 2019). Notably, using an equation 662 that links the wind turning angle cosine to changes in cross-isobaric mass flux (Eq. 5), we 663 qualitatively inferred that the dynamic coupling's increased median wind turning angle over 664 the Southern Ocean leads to reductions in the cross-isobaric mass flux. Consequently, this 665 results in a shallower low-pressure pattern over that region compared to what is found by 666 the static coupling approach. In contrast, in the tropics, dynamic coupling strategy does not produce appreciable changes in the median wind turning angle compared to the static 668 coupling, with both approaches underestimating the AM4 wind turning angle values. This 669 suggests that variations in wind turning angles within this region are more closely related 670 to the choice of PBL parameterization scheme. Specifically, the employment of the CLUBB 671 scheme for cloud and PBL turbulence parametrization appears to diminish the angle of wind 672 turning, likely due to a decrease in PBL static stability as indicated by potential tempera-673 ture profile analyses. The similar distributions of the angle of wind turning and assosciated 674 PBL characteristics between static and dynamic coupling can then explain the similar MSLP 675 patterns in the tropics, given that the cross-isobaric mass flux should also remain unchanged 676 by the coupling strategy. Therefore the angle of wind turning turns out a useful qualitative 677 metric to link changes in representation of surface momentum flux coupling strategies to 678 changes the global circulation. 679

To summarize, the dynamic coupling strategy introduced in this study effectively brings CLUBB simulation of global near-surface wind speeds and associated momentum transport in line with the AM4 default configuration outcomes. Thus, it represents a robust framework to integrate more refined approaches into CLUBB to model turbulent momentum flux, such as directly prognosing turbulent momentum flux.

⁶⁸⁵ Data Availability statement

The ERA5 data by the European Centre for Medium-Range Weather Forecast (ECMWF) are available at https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5 -single-levels. The GFDL AM4 model is available at https://github.com/NOAA-GFDL/ AM4.

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