## Controls on the strength and structure of the Atlantic meridional overturning circulation in climate models

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### Abstract

State-of-the-art climate models simulate a large spread in the mean-state Atlantic meridional overturning circulation (AMOC), with strengths varying between 12 and 25 Sv. Here, we introduce a framework for understanding this spread by assessing the balance between the thermal-wind expression and surface water mass transformation in the North Atlantic. The intermodel spread in the mean-state AMOC strength is shown to be related to the overturning scale depth: climate models with a larger scale depth tend to also have a stronger AMOC. Intermodel variations in the overturning scale depth are also related to intermodel variations in North Atlantic surface buoyancy loss and stratification. We present a physically-motivated scaling relationship that links the scale-depth variations to buoyancy forcing and stratification in the North Atlantic, and thus connects North Atlantic surface processes to the interior ocean circulation. These results offer a framework for reducing mean-state AMOC biases in climate models.

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### 9 Key Points:

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10	•	The thermal-wind expression captures the intermodel spread in mean-state AMOC
11		strength across GCMs.
12	•	Intermodel variations in the AMOC strength are related to intermodel variations in
13		the overturning scale depth.
14		GCMs with a larger scale depth exhibit larger surface buoyancy loss and weaker

GCMs with a larger scale depth exhibit larger surface buoyancy loss and weaker
 stratification in the North Atlantic, and a stronger AMOC.

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### 16 Abstract

State-of-the-art climate models simulate a large spread in the mean-state Atlantic meridional 17 overturning circulation (AMOC), with strengths varying between 12 and 25 Sv. Here, 18 we introduce a framework for understanding this spread by assessing the balance between 19 the thermal-wind expression and surface water mass transformation in the North Atlantic. 20 The intermodel spread in the mean-state AMOC strength is shown to be related to the 21 overturning scale depth: climate models with a larger scale depth tend to also have a 22 stronger AMOC. Intermodel variations in the overturning scale depth are also related to 23 intermodel variations in North Atlantic surface buoyancy loss and stratification. We present 24 a physically-motivated scaling relationship that links the scale-depth variations to buoyancy 25 forcing and stratification in the North Atlantic, and thus connects North Atlantic surface 26 processes to the interior ocean circulation. These results offer a framework for reducing 27 mean-state AMOC biases in climate models. 28

### <sup>29</sup> Plain Language Summary

The Atlantic meridional overturning circulation – a branch of ocean currents confined to the 30 Atlantic basin – strongly influences regional climate by redistributing heat, freshwater and 31 carbon throughout the ocean. Understanding the processes that control the strength of this 32 circulation feature, particularly in climate models, remains an active area of research. In 33 this study, we introduce a conceptual framework to understand the dynamics that produce 34 a large spread in the strength of the Atlantic meridional overturning circulation across 35 climate models. We find that climate models that exhibit stronger circulation also have a 36 deeper circulation. We introduce another expression to show that models with a deeper 37 circulation also have stronger surface buoyancy loss and weaker stratification in the North 38 Atlantic, which allows for more formation of dense waters that supply the southward flowing 39 component of the Atlantic meridional overturning circulation. This conceptual framework 40 provides a pathway to reduce climate model biases in simulating the present-day Atlantic 41 meridional overturning circulation. 42

### 43 **1 Introduction**

The ocean's global overturning circulation (GOC) is a complex system of currents that 44 connects different ocean basins (Gordon, 1986; Broecker, 1991; Lumpkin & Speer, 2007; 45 Talley, 2013). The branch of the GOC that is localized to the Atlantic basin, often referred 46 to as the Atlantic meridional overturning circulation (AMOC), is a unique feature of the 47 GOC because it transports heat northward at all latitudes (Ganachaud & Wunsch, 2003) 48 and ventilates the upper 2000 m of the ocean (Buckley & Marshall, 2016). The AMOC plays 49 a central role in modulating regional and global climate by impacting Atlantic sea-surface 50 51 temperatures, which cause changes to the African and Indian monsoon, the summer climate over North America and Western Europe, and Arctic sea ice (Zhang & Delworth, 2006; 52 Mahajan et al., 2011; Zhang et al., 2019). The AMOC is also thought to play a leading 53 order role in setting the peak of tropical rainfall in the Northern Hemisphere (Frierson et al., 54 2013; Marshall et al., 2014). For these reasons, understanding what controls the strength 55 and structure of the AMOC remains a central goal of climate science. 56

Despite decades of research on the AMOC, the intermodel spread in the mean-state AMOC 57 strength across state-of-the-art global climate models (GCMs) remains large (e.g., Schmit-58 tner et al., 2005; Cheng et al., 2013; Reintges et al., 2017; Weijer et al., 2020; Jackson 59 & Petit, 2023). For example, in pre-industrial control (piControl) simulations from GCMs 60 participating in Phase 6 of the Coupled Model Intercomparison Project (CMIP6), the mean-61 state AMOC strength, which is calculated as the maximum of the meridional overturning 62 circulation in the Atlantic basin, varies between 12 and 25 Sv (1 Sv  $\equiv 10^6$  m<sup>3</sup> s<sup>-1</sup>; Figure 63 1). GCMs also simulate a large intermodel spread in the AMOC strength at all depths. 64 GCMs with a weaker maximum AMOC (e.g., IPSL-CM6A-LR) tend to exhibit a weaker 65 AMOC throughout the upper cell, whereas those with a stronger maximum AMOC (e.g., 66 NorESM2-MM) tend to exhibit a stronger AMOC throughout the upper cell (Figure 1). 67 There is also a close relationship between the strength and depth of the AMOC in GCMs: 68 the depth of the maximum AMOC strength tends to be greater in GCMs with a stronger 69 AMOC (compare circles in Fig. 1). The large intermodel spread in both the strength and 70 structure of the mean-state AMOC leads to a key question: What causes the intermodel 71 spread in the mean-state AMOC strength across GCMs? 72

Historically, variations in the AMOC strength have been attributed to processes affecting 73 surface buoyancy fluxes in the North Atlantic, as this is where North Atlantic Deep Water 74 (NADW) forms (e.g., Klinger & Marotzke, 1999; Marotzke & Klinger, 2000; Samelson, 2009; 75 Wolfe & Cessi, 2011; Radko & Kamenkovich, 2011; Sévellec & Fedorov, 2016; Wang et al., 76 2010; Heuzé, 2021; Lin et al., 2023; Jackson & Petit, 2023). For example, Lin et al. (2023) 77 found that GCMs with a stronger mean-state AMOC strength tend to have a less stratified 78 North Atlantic, which permits deeper open-ocean convection and thus stronger NADW for-79 mation. Studies have also related the AMOC strength to the meridional density difference 80 between the low- and high-latitude regions of the Atlantic basin (Stommel, 1961; Hughes & 81 Weaver, 1994; Thorpe et al., 2001). However, subsequent work found that meridional den-82 sity gradients do not control the AMOC strength (De Boer et al., 2010). Other work has 83 argued that the Southern Ocean plays a primary role in setting the strength and structure 84 of the AMOC through a combination of wind-driven Ekman transport and eddy trans-85 port (Toggweiler & Samuels, 1998; Gnanadesikan, 1999; Vallis, 2000; Wolfe & Cessi, 2010; 86 De Boer et al., 2010; Sévellec & Fedorov, 2011; Wolfe & Cessi, 2011; Nikurashin & Vallis, 87 2012; Marshall et al., 2017; Saenko et al., 2018), and surface buoyancy forcing (Shakespeare 88 & Hogg, 2012; Ferrari et al., 2014; Jansen & Nadeau, 2016; Baker et al., 2020). Yet, the 89 equilibrium AMOC strength in coupled GCMs has been shown to be relatively unchanged 90 with strengthened winds over the Southern Ocean (Jochum & Eden, 2015; Gent, 2016), 91 potentially due to compensating effects from eddy transport (Abernathey et al., 2011). Col-92 lectively, these results do not point to a clear mechanism for the large intermodel spread in 93 the mean-state AMOC strength across coupled GCMs. 94

Seminal work by Gnanadesikan (1999) showed that the strength of NADW formation (and 95 thus the strength of the AMOC) can be related to the meridional pressure gradient of the 96 Atlantic basin. De Boer et al. (2010) took a similar approach and showed that an expression 97 based on thermal-wind balance accurately emulates the strength of the AMOC in ocean-98 only simulations. And more recently, Jansen et al. (2018) and Bonan et al. (2022) showed 99 that variations in the AMOC strength across more sophisticated ocean-only and coupled 100 GCMs could be described by a simple thermal-wind expression. These studies suggest that 101 the thermal-wind expression, which links meridional density gradients to meridional vol-102 ume transport under an assumption of mass conservation between zonal and meridional 103 volume transport, provides a physically-motivated framework for understanding the inter-104 model spread in the mean-state AMOC strength. Yet, in coupled GCMs, it is unclear 105 which aspect of the thermal-wind balance contributes to the intermodel spread in AMOC 106 strength. Does the meridional density difference or overturning scale depth contribute more 107 to the intermodel spread in AMOC strength? Furthermore, it is unclear how to relate the 108 circulation implied by the thermal-wind expression to the circulation implied by surface 109 water mass transformation, which must be equivalent in steady state. Our understanding of 110 how surface and interior ocean processes contribute to the intermodel spread in mean-state 111 AMOC strength remains unclear. 112

In this study, we introduce a framework for understanding the intermodel spread in the 113 mean-state AMOC strength in coupled GCMs by linking the thermal-wind expression to 114 surface water mass transformation in the North Atlantic. In what follows, we first describe 115 the CMIP6 output and the thermal-wind expression. We then show that the thermal-wind 116 expression accurately emulates the strength of the AMOC in coupled GCMs. We find that 117 the intermodel spread in the mean-state AMOC strength is dominated by the intermodel 118 spread in the overturning scale depth. We further find that the overturning scale depth 119 can be related to North Atlantic surface buoyancy fluxes and stratification. GCMs with a 120 deeper scale depth tend to have stronger North Atlantic surface buoyancy loss and weaker 121 North Atlantic stratification. These results provide a pathway for reducing biases in the 122 mean-state AMOC across GCMs. 123

### <sup>124</sup> 2 Data and Methods

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### 2.1 CMIP6 output

This study uses monthly output from 22 piControl r1i1p1f1 simulations for GCMs participating in CMIP6 (see Figure 1 for model names). The model output is averaged over the last 200 years of the piControl simulations.

The AMOC strength is identified from the meridional overturning streamfunction (msftmz and msftmy) and is defined as the maximum value of msftmz or msftmy in the Atlantic basin poleward of 30°N and below 500 m. The choice of 500 m avoids volume flux contributions associated with the subtropical ocean gyres. The surface buoyancy flux (discussed in detail below), is computed using the net surface heat flux (hfds) and net surface freshwater flux (wfo). Finally, ocean potential density referenced to 1000 dbar is calculated from ocean potential temperature (thetao) and ocean absolute salinity (so).

<sup>136</sup> 2.2 Surface buoyancy flux

The surface buoyancy flux  $F_b$  (units of m<sup>2</sup> s<sup>-3</sup>) is calculated using a linear equation of state:

$$F_b = \underbrace{\frac{g\alpha}{\rho_0 c_p} Q_s + g\beta S_0 F_s,}_{\text{thermal}},$$
(1)

where g is the gravitational acceleration (9.81 m s<sup>-2</sup>),  $\rho_0$  is a reference density of seawater (1027.5 kg m<sup>-3</sup>),  $c_p$  is the heat capacity of seawater (4000 J kg<sup>-1</sup> K<sup>-1</sup>),  $\alpha$  is the thermal expansion coefficient  $(-1.5 \times 10^{-4} \text{ K}^{-1})$ ,  $\beta$  is the haline contraction coefficient  $(7.6 \times 10^{-4} \text{ kg g}^{-1})$ , and  $S_0$  is reference salinity (35 g kg<sup>-1</sup>). Here,  $Q_s$  is the net surface heat flux (in W m<sup>-2</sup>) and represents the thermal component, and  $F_s$  is the net surface freshwater flux (in m s<sup>-1</sup>) and represents the haline component. Both are defined as positive downwards meaning positive for ocean heat gain and ocean freshwater gain. Note that this linear equation of state, which assumes constant values of  $\alpha$  and  $\beta$ , does not diverge significantly from the general case where the coefficients are spatially variable.

### <sup>146</sup> 3 Controls on the AMOC in CMIP6

We begin by applying the thermal-wind expression to each individual CMIP6 piControl simulation. Previous studies have shown that the thermal-wind expression, which links the strength of the overturning circulation to the density contrast between the northern sinking region and more southern latitudes, accurately approximates the AMOC strength in GCMs (De Boer et al., 2010; Jansen et al., 2018; Johnson et al., 2019; Sigmond et al., 2020; Bonan et al., 2022). The interior overturning circulation  $\psi_i$  diagnosed by the thermal-wind expression is given by

$$\psi_i = \frac{g}{2\rho_0 f_0} \Delta_y \rho H^2,\tag{2}$$

where  $f_0$  is the Coriolis parameter  $(1 \times 10^{-4} \text{ s}^{-1})$ ,  $\Delta_y \rho$  is the meridional density difference between the North Atlantic and low-latitude Atlantic (kg m<sup>-3</sup>), and *H* is the scale depth (m).

Following De Boer et al. (2010),  $\Delta_y \rho$  is calculated as the difference in potential density (referenced to 1000 dbar) between the North Atlantic (area-averaged from 40°N to 60°N) and the low-latitude Atlantic (area-averaged from 30°S to 30°N) over the upper 1000 meters of the Atlantic basin. This accounts for density variations in the upper cell. *H* is calculated as the depth where the depth-integrated  $\Delta_y \rho(z)$  (for the same regional domains) equals the vertical mean of the depth-integrated  $\Delta_y \rho(z)$ . In other words, *H* is calculated as

$$\int_{-H}^{0} \Delta_{y} \rho(z) \, dz = \frac{1}{D} \int_{-D}^{0} \Delta_{y} \rho(z) z \, dz, \tag{3}$$

where D is the depth of the entire water column. This estimate of H is approximately the depth of maximum zonal volume transport (De Boer et al., 2010).

The thermal-wind expression (Eq. 2) accurately emulates the AMOC strength in each GCM, accounting for approximately 84% of the intermodel variance and having a root-meansquare error of approximately 2 Sv (Fig. 2a). The strong agreement between the AMOC strength and thermal-wind expression in each GCM suggests that intermodel differences in the AMOC strength can be attributed to intermodel differences in  $\Delta_y \rho$  and H (Fig. 2b).

### <sup>157</sup> 3.1 Controls on the AMOC strength

Based on the success of the thermal-wind expression in emulating the AMOC strength in GCMs, we perform a perturbation analysis of  $\Delta_y \rho$  and H to explore which term contributes most to the intermodel spread in the AMOC strength. Defining the multi-model mean as  $\overline{(\cdot)}$  and deviations from the multi-model mean (the intermodel spread) as  $(\cdot)'$ , the intermodel spread can be approximated as

$$\psi_i' = \frac{g}{2\rho_0 f_0} \left( \underbrace{\Delta_y \rho' \overline{H}^2}_{(1)} + \underbrace{\overline{\Delta_y \rho} 2\overline{H} H'}_{(2)} + \underbrace{\epsilon}_{(3)} \right), \tag{4}$$

where (1) represents intermodel variations in the AMOC strength due to intermodel variations in  $\Delta_y \rho$ ; (2) represents intermodel variations in the AMOC strength due to intermodel variations in H; and (3) represents higher order residual terms.

The intermodel spread in the AMOC strength is more strongly dependent on the intermodel 161 spread in H, with  $\Delta_{u}\rho$  playing a secondary role (compare green and orange bars in Fig. 162 2c). The residual terms contribute little to the intermodel spread of the AMOC strength 163 (see grey bars in Fig. 2c). Intermodel variations in H account for approximately 76% 164 of the intermodel variance in AMOC strength (green bars, Fig. 2c), whereas intermodel 165 variations in  $\Delta_y \rho$  account for approximately 31% of the intermodel variance (orange bars, 166 Fig. 2c). Note, however, that H and  $\Delta_y \rho$  are somewhat correlated (De Boer et al., 2010) and 167 therefore are not entirely independent of each other. Yet, variations in H have an outsized 168 importance, most evident in GCMs with extremely weak or strong AMOC strengths. For 169 example, GCMs which exhibit the weakest mean-state AMOC strength (IPSL-CM6A-LR. 170 CanESM5, UKESM1-0-LL) tend to have the smallest H, while GCMs which exhibit the 171 strongest mean-state AMOC strength (NorESM2-MM, NorESM2-LM, MPI-ESM1-2-LR) 172 tend to have the largest H. 173

Physically, these results show that a stronger AMOC is linked to a stronger meridional
density gradient. However, differences in the AMOC strength across GCMs are primarily
driven by differences in the overturning scale depth (Fig. 2c), which is related to the spatial
distribution of outcropping density classes in the North Atlantic, rather than the total
difference in density between low and high latitude water masses.

### <sup>179</sup> **3.2** Connection to North Atlantic processes

The strong control of H on the mean-state AMOC strength in GCMs suggests a fundamental relationship between H and surface processes in the North Atlantic. In steady-state, the interior overturning circulation  $\psi_i$  implied by the thermal-wind expression must balance the volume transport associated with the surface water mass transformation, assuming interior diabatic processes are relatively small. Building on earlier work by Speer and Tziperman (1992) and motivated by application of residual mean theory to the surface buoyancy budget in the Southern Ocean (Marshall & Radko, 2003), we expect the North Atlantic overturning transport in the surface mixed layer  $\psi_s$  to depend on the magnitude of the surface buoyancy flux  $F_b$  and the meridional surface buoyancy gradient  $\partial b/\partial y$ . However, because the region of surface water mass transformation in the North Atlantic varies widely across GCMs (e.g., Jackson & Petit, 2023), we modify this relationship to express  $\psi_s$  in terms of the vertical stratification  $N^2$  of the North Atlantic

$$N^2 \equiv -\frac{g}{\rho_0} \frac{\partial \rho}{\partial z},\tag{5}$$

and the isopy cnal slope  ${\cal S}$  of the North Atlantic

$$S \equiv -\frac{\partial b/\partial y}{\partial b/\partial z} \approx \frac{H}{L_y},\tag{6}$$

where  $L_y$  is a meridional length scale (3000 km) that represents the meridional distance over which interior isopycnals tilt up towards their surface outcrop location. In other words, an estimate of the surface meridional density gradient can be derived from a bulk average of interior ocean processes (i.e.,  $\partial b/\partial y \approx N^2 S$ ) to alleviate concerns about the exact distribution of  $\partial b/\partial y$  in each GCM. This results in the relationship

$$\psi_s = \frac{F_b}{N^2} \frac{L_x}{S},\tag{7}$$

where  $F_b$  is the North Atlantic surface buoyancy flux and  $L_x$  is the zonal width of the Atlantic basin at the latitude of maximum flow (10000 km). This relationship assumes that the interior isopycnals that outcrop in the North Atlantic are geometrically confined due to land masses, such that  $L_y$  is constant.

Assuming steady-state conditions and that interior diabatic processes in the AMOC density classes are negligible, Eqs. (2) and (7) can be combined to relate H in terms of North

Atlantic properties,

$$H = \left(\frac{F_b}{N^2} \frac{L_x L_y}{\Delta_y \rho} \frac{2\rho_0 f_0}{g}\right)^{1/3}.$$
(8)

Eq. (8) shares a similar form to other scalings for H (Gnanadesikan, 1999; Klinger & Marotzke, 1999; Marotzke & Klinger, 2000; Youngs et al., 2020). For example, Klinger and Marotzke (1999) found a power of 1/3 dependence on H but instead related H to the vertical diffusivity of the interior ocean. Eq. (8) describes the sensitivity of H to North Atlantic processes, specifically the magnitude of the North Atlantic stratification and surface buoyancy flux, rather than interior ocean or Southern Ocean processes. A stronger  $F_b$  or weaker  $N^2$  is associated with a deeper H.

The surface buoyancy flux  $F_b$  is area-averaged in the region of water mass transformation (40°N to 70°N in the Atlantic basin). The vertical stratification  $N^2$  is estimated as the area-averaged value for the same regional domain and further averaged over the upper 1000 m (excluding 0-100 m, which represents the ocean's surface mixed layer). This captures variations in stratification associated with outcropping isopycnals.

Figure 3a shows a comparison of H (black bars) diagnosed from GCMs and H (black hatched bars) predicted from Eq. (8). This expression accounts for approximately 65% of the intermodel variance in H and tends to accurately predict values of H for GCMs with a variety of AMOC strengths (Fig. 3a). Note that Eq. (8) generally under-predicts the magnitude of H in most GCMs.

Isolating the intermodel spread in  $F_b$ ,  $N^2$ , and  $\Delta_y \rho$  by fixing two variables as the multi-model mean and applying the intermodel spread of the other variable, allows us to understand how the intermodel spread in North Atlantic processes relate to the intermodel spread in H. Intermodel variations in  $F_b$  and  $N^2$  dominate the intermodel spread in H, accounting for approximately 40% and 60% of the intermodel variance.  $\Delta_y \rho$  contributes very little to the intermodel variance in H (Fig. 3b).

### <sup>207</sup> 4 Discussion and conclusions

Coupled GCMs exhibit a large intermodel spread in the mean-state AMOC, with strengths
 varying between 12 and 25 Sv (Fig. 1). In this study, we introduce a framework for
 understanding the intermodel spread in the AMOC strength across GCMs by assessing the
 thermal-wind expression and surface water mass transformation.

We find that the intermodel spread in the AMOC strength can be approximated by the 212 thermal-wind expression (Eq. 2). These results build on earlier work by De Boer et al. 213 (2010), which showed that the thermal-wind expression accurately approximates the AMOC 214 strength in ocean-only models. Here, we show that the thermal-wind expression accurately 215 approximates the AMOC strength in more comprehensive coupled GCMs. We further show 216 that intermodel variations in H contribute most to intermodel variations in the AMOC 217 strength (Fig. 2). GCMs with a deeper H tend to have a stronger AMOC. We further link 218 H to North Atlantic surface water mass transformation (Eq. 7 and Fig. 3) to relate H to 219 properties of the North Atlantic. We find that GCMs with a deeper H tend to also have 220 stronger surface buoyancy loss and weaker stratification in the North Atlantic. 221

Together the thermal wind and surface water mass transformation frameworks allow us 222 to summarize the AMOC strength in GCMs as a function of several key ocean features 223 (Figure 4). Specifically, we show that the intermodel spread in the Atlantic basin meridional 224 density difference  $\Delta_y \rho$  contributes little to the intermodel spread in AMOC strength across 225 GCMs. Thus, GCMs with strong  $\Delta_{y}\rho$  (Fig. 4a) or weak  $\Delta_{y}\rho$  (Fig. 4b), as indicated by the 226 gradient in color between each density class, exhibit little variation in the mean-state AMOC 227 strength. Instead, the intermodel spread in the AMOC strength across GCMs is related to 228 the intermodel spread in the overturning scale depth H. GCMs with a weak mean-state 229 AMOC generally exhibit a shallower H (Fig. 4c), while GCMs with a strong mean-state 230

AMOC generally exhibit a deeper H (Fig. 4d). We also show that GCMs with a deeper H231 exhibit more North Atlantic surface buoyancy loss (indicated by the blue arrows) and weaker 232 North Atlantic stratification (indicated by the grey lines). In fact, intermodel variations in 233 North Atlantic surface buoyancy loss and stratification account for approximately 40% and 234 60% of the intermodel variance in H, respectively. However, because we examined steady-235 state simulations, the causality is unclear. Future work should examine whether a deeper 236 H leads to a stronger AMOC and thus more surface buoyancy loss and weaker stratification 237 in the North Atlantic, or if stronger surface buoyancy loss leads to weaker stratification, a 238 deeper H, and a stronger AMOC. 239

A key implication of this work is that constraining the intermodel spread in H may ul-240 timately constrain the intermodel spread in the AMOC strength across GCMs. Here, we 241 introduced a perspective that details North Atlantic controls on the depth of H, by linking 242 North Atlantic surface buoyancy loss and stratification to H (Eq. 8). Our results imply that 243 reducing the intermodel spread in North Atlantic surface buoyancy loss could reduce the 244 intermodel spread in H and, therefore, the AMOC strength. For example, better represent-245 ing shortwave and longwave cloud radiative fluxes or surface winds over the North Atlantic 246 might improve modeled North Atlantic surface buoyancy loss and reduce the intermodel 247 spread in H and thus the AMOC strength. 248

However, other studies show that H depends strongly on interior ocean processes, such as 249 vertical diffusivity (Klinger & Marotzke, 1999; Marotzke & Klinger, 2000; Nikurashin & Val-250 lis, 2012), or on Southern Ocean processes, such as Ekman and eddy transport (Toggweiler 251 & Samuels, 1998; Gnanadesikan, 1999; Nikurashin & Vallis, 2012; Thompson et al., 2016; 252 Marshall et al., 2017), which implies other sources of intermodel spread in H. Additionally, 253 recent work has argued that low-latitude processes can also play an important role in setting 254 the Atlantic basin stratification and thus H (e.g., Newsom & Thompson, 2018; Cessi, 2019; 255 Newsom et al., 2021), which implies that H may also be controlled by inter-basin ocean 256 dynamics. However, it is thus far unclear how to reconcile the nonlocal perspective on H257 with the local, North Atlantic perspective introduced in this study. 258

Constraining the intermodel spread in H may also help to constrain the climate response 259 to greenhouse-gas forcing. Several studies have shown a clear link between the depth of the 260 AMOC and the depth of ocean heat storage under warming (Kostov et al., 2014; Saenko 261 et al., 2018; J. M. Gregory et al., 2023). While these studies largely attribute this link to 262 Southern Ocean processes (Kuhlbrodt & Gregory, 2012; Saenko et al., 2018; Newsom et al., 263 2023), it suggests that constraining H might constrain the the transient climate response. 264 Furthermore, because the mean-state AMOC strength is related to future AMOC changes 265 (J. Gregory et al., 2005; Weaver et al., 2012; Winton et al., 2014; Weijer et al., 2020; 266 Bonan et al., 2022), our work also implies that improving mean-state processes that impact 267 H, whether it be locally in the North Atlantic or non-locally in the Southern Ocean, will 268 ultimately lead to a better understanding of how the AMOC changes under warming. 269



Figure 1. The mean-state AMOC in CMIP6 climate models. Profile of the meridional overturning streamfunction in the Atlantic basin at the latitude of maximum AMOC strength (poleward of 30°N) for each CMIP6 piControl simulation. The circle markers denote the maximum AMOC strength for each GCM. The maximum AMOC strength is also listed next to each climate model name in the legend. Climate models are listed and color coded from weakest-to-strongest mean-state AMOC strength. The blue line is the multi-model mean AMOC.



Figure 2. Controls on the AMOC strength. (a) Scatter plot of the AMOC strength predicted by the thermal-wind expression (Eq. 2) versus the AMOC strength diagnosed from the climate models. (b) Bar plot showing the intermodel spread in the AMOC strength predicted by the thermal-wind expression (Eq. 2) and diagnosed from the climate models. (c) Bar plot showing the contribution of the three terms in Eq. (4) to the intermodel spread in the AMOC strength. Climate models are ordered from weakest-to-strongest mean-state AMOC strength for (b) and (c). The proportion of variance explained is in the legend of each sub-panel figure. Panel (a) contains a subset figure that shows how each term in Eq. (4) contributes to the intermodel spread in the AMOC strength.



Figure 3. Connection between the overturning scale depth H and the North Atlantic. (a) Bar plot showing (solid black) H diagnosed from the climate models and (hatch black) H predicted by Eq. (8). Climate models are ordered from weakest-to-strongest mean-state AMOC strength. (b) Bar plot showing the proportion of variance explained by the intermodel variance in (red) North Atlantic surface buoyancy loss  $F_b$ , (pruple) North Atlantic stratification  $N^2$ , and (brown) the meridional density difference in the Atlantic basin  $\Delta_y \rho$ . Climate models are ordered from weakest-to-strongest mean-state AMOC strength for (a).



Figure 4. Schematic describing controls on the AMOC in CMIP6. A schematic describing the processes in climate models that are associated with a weak mean-state AMOC and a strong mean-state AMOC. The dashed line denotes the overturning scale depth (*H*). The streamline denotes the meridonal overturning streamfunction or AMOC strength ( $\psi$ ). The blue arrows denote surface buoyancy loss in the North Atlantic ( $F_b$ ). The grey box denotes the magnitude of North Atlantic stratification ( $N^2$ ). The orange arrow and colors of each density layer denotes the meridional density difference ( $\Delta_y \rho$ ). Climate models with (a) stronger or (b) weaker  $\Delta_y \rho$  tend to have similar AMOC strengths. However, climate models with a (c) shallower or (d) deeper *H* tend to have a weaker or a stronger AMOC strength, weaker or stronger  $F_b$ , and stronger or weaker  $N^2$ , respectively.

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### <sup>278</sup> Open Research

The authors thank the climate modeling groups for producing and making available their model output, which is accessible at the Earth System Grid Federation (ESGF) Portal (https://esgf-node.llnl.gov/search/cmip6/). A list of the CMIP6 models used in this study is provided in Figure 1 and described in Section 2.1.

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### Controls on the strength and structure of the Atlantic meridional overturning circulation in climate models

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### 9 Key Points:

3

10	•	The thermal-wind expression captures the intermodel spread in mean-state AMOC
11		strength across GCMs.
12	•	Intermodel variations in the AMOC strength are related to intermodel variations in
13		the overturning scale depth.
14		GCMs with a larger scale depth exhibit larger surface buoyancy loss and weaker

GCMs with a larger scale depth exhibit larger surface buoyancy loss and weaker
 stratification in the North Atlantic, and a stronger AMOC.

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### 16 Abstract

State-of-the-art climate models simulate a large spread in the mean-state Atlantic meridional 17 overturning circulation (AMOC), with strengths varying between 12 and 25 Sv. Here, 18 we introduce a framework for understanding this spread by assessing the balance between 19 the thermal-wind expression and surface water mass transformation in the North Atlantic. 20 The intermodel spread in the mean-state AMOC strength is shown to be related to the 21 overturning scale depth: climate models with a larger scale depth tend to also have a 22 stronger AMOC. Intermodel variations in the overturning scale depth are also related to 23 intermodel variations in North Atlantic surface buoyancy loss and stratification. We present 24 a physically-motivated scaling relationship that links the scale-depth variations to buoyancy 25 forcing and stratification in the North Atlantic, and thus connects North Atlantic surface 26 processes to the interior ocean circulation. These results offer a framework for reducing 27 mean-state AMOC biases in climate models. 28

### <sup>29</sup> Plain Language Summary

The Atlantic meridional overturning circulation – a branch of ocean currents confined to the 30 Atlantic basin – strongly influences regional climate by redistributing heat, freshwater and 31 carbon throughout the ocean. Understanding the processes that control the strength of this 32 circulation feature, particularly in climate models, remains an active area of research. In 33 this study, we introduce a conceptual framework to understand the dynamics that produce 34 a large spread in the strength of the Atlantic meridional overturning circulation across 35 climate models. We find that climate models that exhibit stronger circulation also have a 36 deeper circulation. We introduce another expression to show that models with a deeper 37 circulation also have stronger surface buoyancy loss and weaker stratification in the North 38 Atlantic, which allows for more formation of dense waters that supply the southward flowing 39 component of the Atlantic meridional overturning circulation. This conceptual framework 40 provides a pathway to reduce climate model biases in simulating the present-day Atlantic 41 meridional overturning circulation. 42

### 43 **1 Introduction**

The ocean's global overturning circulation (GOC) is a complex system of currents that 44 connects different ocean basins (Gordon, 1986; Broecker, 1991; Lumpkin & Speer, 2007; 45 Talley, 2013). The branch of the GOC that is localized to the Atlantic basin, often referred 46 to as the Atlantic meridional overturning circulation (AMOC), is a unique feature of the 47 GOC because it transports heat northward at all latitudes (Ganachaud & Wunsch, 2003) 48 and ventilates the upper 2000 m of the ocean (Buckley & Marshall, 2016). The AMOC plays 49 a central role in modulating regional and global climate by impacting Atlantic sea-surface 50 51 temperatures, which cause changes to the African and Indian monsoon, the summer climate over North America and Western Europe, and Arctic sea ice (Zhang & Delworth, 2006; 52 Mahajan et al., 2011; Zhang et al., 2019). The AMOC is also thought to play a leading 53 order role in setting the peak of tropical rainfall in the Northern Hemisphere (Frierson et al., 54 2013; Marshall et al., 2014). For these reasons, understanding what controls the strength 55 and structure of the AMOC remains a central goal of climate science. 56

Despite decades of research on the AMOC, the intermodel spread in the mean-state AMOC 57 strength across state-of-the-art global climate models (GCMs) remains large (e.g., Schmit-58 tner et al., 2005; Cheng et al., 2013; Reintges et al., 2017; Weijer et al., 2020; Jackson 59 & Petit, 2023). For example, in pre-industrial control (piControl) simulations from GCMs 60 participating in Phase 6 of the Coupled Model Intercomparison Project (CMIP6), the mean-61 state AMOC strength, which is calculated as the maximum of the meridional overturning 62 circulation in the Atlantic basin, varies between 12 and 25 Sv (1 Sv  $\equiv 10^6$  m<sup>3</sup> s<sup>-1</sup>; Figure 63 1). GCMs also simulate a large intermodel spread in the AMOC strength at all depths. 64 GCMs with a weaker maximum AMOC (e.g., IPSL-CM6A-LR) tend to exhibit a weaker 65 AMOC throughout the upper cell, whereas those with a stronger maximum AMOC (e.g., 66 NorESM2-MM) tend to exhibit a stronger AMOC throughout the upper cell (Figure 1). 67 There is also a close relationship between the strength and depth of the AMOC in GCMs: 68 the depth of the maximum AMOC strength tends to be greater in GCMs with a stronger 69 AMOC (compare circles in Fig. 1). The large intermodel spread in both the strength and 70 structure of the mean-state AMOC leads to a key question: What causes the intermodel 71 spread in the mean-state AMOC strength across GCMs? 72

Historically, variations in the AMOC strength have been attributed to processes affecting 73 surface buoyancy fluxes in the North Atlantic, as this is where North Atlantic Deep Water 74 (NADW) forms (e.g., Klinger & Marotzke, 1999; Marotzke & Klinger, 2000; Samelson, 2009; 75 Wolfe & Cessi, 2011; Radko & Kamenkovich, 2011; Sévellec & Fedorov, 2016; Wang et al., 76 2010; Heuzé, 2021; Lin et al., 2023; Jackson & Petit, 2023). For example, Lin et al. (2023) 77 found that GCMs with a stronger mean-state AMOC strength tend to have a less stratified 78 North Atlantic, which permits deeper open-ocean convection and thus stronger NADW for-79 mation. Studies have also related the AMOC strength to the meridional density difference 80 between the low- and high-latitude regions of the Atlantic basin (Stommel, 1961; Hughes & 81 Weaver, 1994; Thorpe et al., 2001). However, subsequent work found that meridional den-82 sity gradients do not control the AMOC strength (De Boer et al., 2010). Other work has 83 argued that the Southern Ocean plays a primary role in setting the strength and structure 84 of the AMOC through a combination of wind-driven Ekman transport and eddy trans-85 port (Toggweiler & Samuels, 1998; Gnanadesikan, 1999; Vallis, 2000; Wolfe & Cessi, 2010; 86 De Boer et al., 2010; Sévellec & Fedorov, 2011; Wolfe & Cessi, 2011; Nikurashin & Vallis, 87 2012; Marshall et al., 2017; Saenko et al., 2018), and surface buoyancy forcing (Shakespeare 88 & Hogg, 2012; Ferrari et al., 2014; Jansen & Nadeau, 2016; Baker et al., 2020). Yet, the 89 equilibrium AMOC strength in coupled GCMs has been shown to be relatively unchanged 90 with strengthened winds over the Southern Ocean (Jochum & Eden, 2015; Gent, 2016), 91 potentially due to compensating effects from eddy transport (Abernathey et al., 2011). Col-92 lectively, these results do not point to a clear mechanism for the large intermodel spread in 93 the mean-state AMOC strength across coupled GCMs. 94

Seminal work by Gnanadesikan (1999) showed that the strength of NADW formation (and 95 thus the strength of the AMOC) can be related to the meridional pressure gradient of the 96 Atlantic basin. De Boer et al. (2010) took a similar approach and showed that an expression 97 based on thermal-wind balance accurately emulates the strength of the AMOC in ocean-98 only simulations. And more recently, Jansen et al. (2018) and Bonan et al. (2022) showed 99 that variations in the AMOC strength across more sophisticated ocean-only and coupled 100 GCMs could be described by a simple thermal-wind expression. These studies suggest that 101 the thermal-wind expression, which links meridional density gradients to meridional vol-102 ume transport under an assumption of mass conservation between zonal and meridional 103 volume transport, provides a physically-motivated framework for understanding the inter-104 model spread in the mean-state AMOC strength. Yet, in coupled GCMs, it is unclear 105 which aspect of the thermal-wind balance contributes to the intermodel spread in AMOC 106 strength. Does the meridional density difference or overturning scale depth contribute more 107 to the intermodel spread in AMOC strength? Furthermore, it is unclear how to relate the 108 circulation implied by the thermal-wind expression to the circulation implied by surface 109 water mass transformation, which must be equivalent in steady state. Our understanding of 110 how surface and interior ocean processes contribute to the intermodel spread in mean-state 111 AMOC strength remains unclear. 112

In this study, we introduce a framework for understanding the intermodel spread in the 113 mean-state AMOC strength in coupled GCMs by linking the thermal-wind expression to 114 surface water mass transformation in the North Atlantic. In what follows, we first describe 115 the CMIP6 output and the thermal-wind expression. We then show that the thermal-wind 116 expression accurately emulates the strength of the AMOC in coupled GCMs. We find that 117 the intermodel spread in the mean-state AMOC strength is dominated by the intermodel 118 spread in the overturning scale depth. We further find that the overturning scale depth 119 can be related to North Atlantic surface buoyancy fluxes and stratification. GCMs with a 120 deeper scale depth tend to have stronger North Atlantic surface buoyancy loss and weaker 121 North Atlantic stratification. These results provide a pathway for reducing biases in the 122 mean-state AMOC across GCMs. 123

### <sup>124</sup> 2 Data and Methods

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### 2.1 CMIP6 output

This study uses monthly output from 22 piControl r1i1p1f1 simulations for GCMs participating in CMIP6 (see Figure 1 for model names). The model output is averaged over the last 200 years of the piControl simulations.

The AMOC strength is identified from the meridional overturning streamfunction (msftmz and msftmy) and is defined as the maximum value of msftmz or msftmy in the Atlantic basin poleward of 30°N and below 500 m. The choice of 500 m avoids volume flux contributions associated with the subtropical ocean gyres. The surface buoyancy flux (discussed in detail below), is computed using the net surface heat flux (hfds) and net surface freshwater flux (wfo). Finally, ocean potential density referenced to 1000 dbar is calculated from ocean potential temperature (thetao) and ocean absolute salinity (so).

<sup>136</sup> 2.2 Surface buoyancy flux

The surface buoyancy flux  $F_b$  (units of m<sup>2</sup> s<sup>-3</sup>) is calculated using a linear equation of state:

$$F_b = \underbrace{\frac{g\alpha}{\rho_0 c_p} Q_s + g\beta S_0 F_s,}_{\text{thermal}},$$
(1)

where g is the gravitational acceleration (9.81 m s<sup>-2</sup>),  $\rho_0$  is a reference density of seawater (1027.5 kg m<sup>-3</sup>),  $c_p$  is the heat capacity of seawater (4000 J kg<sup>-1</sup> K<sup>-1</sup>),  $\alpha$  is the thermal expansion coefficient  $(-1.5 \times 10^{-4} \text{ K}^{-1})$ ,  $\beta$  is the haline contraction coefficient  $(7.6 \times 10^{-4} \text{ kg g}^{-1})$ , and  $S_0$  is reference salinity (35 g kg<sup>-1</sup>). Here,  $Q_s$  is the net surface heat flux (in W m<sup>-2</sup>) and represents the thermal component, and  $F_s$  is the net surface freshwater flux (in m s<sup>-1</sup>) and represents the haline component. Both are defined as positive downwards meaning positive for ocean heat gain and ocean freshwater gain. Note that this linear equation of state, which assumes constant values of  $\alpha$  and  $\beta$ , does not diverge significantly from the general case where the coefficients are spatially variable.

### <sup>146</sup> 3 Controls on the AMOC in CMIP6

We begin by applying the thermal-wind expression to each individual CMIP6 piControl simulation. Previous studies have shown that the thermal-wind expression, which links the strength of the overturning circulation to the density contrast between the northern sinking region and more southern latitudes, accurately approximates the AMOC strength in GCMs (De Boer et al., 2010; Jansen et al., 2018; Johnson et al., 2019; Sigmond et al., 2020; Bonan et al., 2022). The interior overturning circulation  $\psi_i$  diagnosed by the thermal-wind expression is given by

$$\psi_i = \frac{g}{2\rho_0 f_0} \Delta_y \rho H^2,\tag{2}$$

where  $f_0$  is the Coriolis parameter  $(1 \times 10^{-4} \text{ s}^{-1})$ ,  $\Delta_y \rho$  is the meridional density difference between the North Atlantic and low-latitude Atlantic (kg m<sup>-3</sup>), and *H* is the scale depth (m).

Following De Boer et al. (2010),  $\Delta_y \rho$  is calculated as the difference in potential density (referenced to 1000 dbar) between the North Atlantic (area-averaged from 40°N to 60°N) and the low-latitude Atlantic (area-averaged from 30°S to 30°N) over the upper 1000 meters of the Atlantic basin. This accounts for density variations in the upper cell. *H* is calculated as the depth where the depth-integrated  $\Delta_y \rho(z)$  (for the same regional domains) equals the vertical mean of the depth-integrated  $\Delta_y \rho(z)$ . In other words, *H* is calculated as

$$\int_{-H}^{0} \Delta_{y} \rho(z) \, dz = \frac{1}{D} \int_{-D}^{0} \Delta_{y} \rho(z) z \, dz, \tag{3}$$

where D is the depth of the entire water column. This estimate of H is approximately the depth of maximum zonal volume transport (De Boer et al., 2010).

The thermal-wind expression (Eq. 2) accurately emulates the AMOC strength in each GCM, accounting for approximately 84% of the intermodel variance and having a root-meansquare error of approximately 2 Sv (Fig. 2a). The strong agreement between the AMOC strength and thermal-wind expression in each GCM suggests that intermodel differences in the AMOC strength can be attributed to intermodel differences in  $\Delta_y \rho$  and H (Fig. 2b).

### <sup>157</sup> 3.1 Controls on the AMOC strength

Based on the success of the thermal-wind expression in emulating the AMOC strength in GCMs, we perform a perturbation analysis of  $\Delta_y \rho$  and H to explore which term contributes most to the intermodel spread in the AMOC strength. Defining the multi-model mean as  $\overline{(\cdot)}$  and deviations from the multi-model mean (the intermodel spread) as  $(\cdot)'$ , the intermodel spread can be approximated as

$$\psi_i' = \frac{g}{2\rho_0 f_0} \left( \underbrace{\Delta_y \rho' \overline{H}^2}_{(1)} + \underbrace{\overline{\Delta_y \rho} 2\overline{H} H'}_{(2)} + \underbrace{\epsilon}_{(3)} \right), \tag{4}$$

where (1) represents intermodel variations in the AMOC strength due to intermodel variations in  $\Delta_y \rho$ ; (2) represents intermodel variations in the AMOC strength due to intermodel variations in H; and (3) represents higher order residual terms.

The intermodel spread in the AMOC strength is more strongly dependent on the intermodel 161 spread in H, with  $\Delta_{u}\rho$  playing a secondary role (compare green and orange bars in Fig. 162 2c). The residual terms contribute little to the intermodel spread of the AMOC strength 163 (see grey bars in Fig. 2c). Intermodel variations in H account for approximately 76% 164 of the intermodel variance in AMOC strength (green bars, Fig. 2c), whereas intermodel 165 variations in  $\Delta_y \rho$  account for approximately 31% of the intermodel variance (orange bars, 166 Fig. 2c). Note, however, that H and  $\Delta_y \rho$  are somewhat correlated (De Boer et al., 2010) and 167 therefore are not entirely independent of each other. Yet, variations in H have an outsized 168 importance, most evident in GCMs with extremely weak or strong AMOC strengths. For 169 example, GCMs which exhibit the weakest mean-state AMOC strength (IPSL-CM6A-LR. 170 CanESM5, UKESM1-0-LL) tend to have the smallest H, while GCMs which exhibit the 171 strongest mean-state AMOC strength (NorESM2-MM, NorESM2-LM, MPI-ESM1-2-LR) 172 tend to have the largest H. 173

Physically, these results show that a stronger AMOC is linked to a stronger meridional
density gradient. However, differences in the AMOC strength across GCMs are primarily
driven by differences in the overturning scale depth (Fig. 2c), which is related to the spatial
distribution of outcropping density classes in the North Atlantic, rather than the total
difference in density between low and high latitude water masses.

### <sup>179</sup> **3.2** Connection to North Atlantic processes

The strong control of H on the mean-state AMOC strength in GCMs suggests a fundamental relationship between H and surface processes in the North Atlantic. In steady-state, the interior overturning circulation  $\psi_i$  implied by the thermal-wind expression must balance the volume transport associated with the surface water mass transformation, assuming interior diabatic processes are relatively small. Building on earlier work by Speer and Tziperman (1992) and motivated by application of residual mean theory to the surface buoyancy budget in the Southern Ocean (Marshall & Radko, 2003), we expect the North Atlantic overturning transport in the surface mixed layer  $\psi_s$  to depend on the magnitude of the surface buoyancy flux  $F_b$  and the meridional surface buoyancy gradient  $\partial b/\partial y$ . However, because the region of surface water mass transformation in the North Atlantic varies widely across GCMs (e.g., Jackson & Petit, 2023), we modify this relationship to express  $\psi_s$  in terms of the vertical stratification  $N^2$  of the North Atlantic

$$N^2 \equiv -\frac{g}{\rho_0} \frac{\partial \rho}{\partial z},\tag{5}$$

and the isopy cnal slope  ${\cal S}$  of the North Atlantic

$$S \equiv -\frac{\partial b/\partial y}{\partial b/\partial z} \approx \frac{H}{L_y},\tag{6}$$

where  $L_y$  is a meridional length scale (3000 km) that represents the meridional distance over which interior isopycnals tilt up towards their surface outcrop location. In other words, an estimate of the surface meridional density gradient can be derived from a bulk average of interior ocean processes (i.e.,  $\partial b/\partial y \approx N^2 S$ ) to alleviate concerns about the exact distribution of  $\partial b/\partial y$  in each GCM. This results in the relationship

$$\psi_s = \frac{F_b}{N^2} \frac{L_x}{S},\tag{7}$$

where  $F_b$  is the North Atlantic surface buoyancy flux and  $L_x$  is the zonal width of the Atlantic basin at the latitude of maximum flow (10000 km). This relationship assumes that the interior isopycnals that outcrop in the North Atlantic are geometrically confined due to land masses, such that  $L_y$  is constant.

Assuming steady-state conditions and that interior diabatic processes in the AMOC density classes are negligible, Eqs. (2) and (7) can be combined to relate H in terms of North

Atlantic properties,

$$H = \left(\frac{F_b}{N^2} \frac{L_x L_y}{\Delta_y \rho} \frac{2\rho_0 f_0}{g}\right)^{1/3}.$$
(8)

Eq. (8) shares a similar form to other scalings for H (Gnanadesikan, 1999; Klinger & Marotzke, 1999; Marotzke & Klinger, 2000; Youngs et al., 2020). For example, Klinger and Marotzke (1999) found a power of 1/3 dependence on H but instead related H to the vertical diffusivity of the interior ocean. Eq. (8) describes the sensitivity of H to North Atlantic processes, specifically the magnitude of the North Atlantic stratification and surface buoyancy flux, rather than interior ocean or Southern Ocean processes. A stronger  $F_b$  or weaker  $N^2$  is associated with a deeper H.

The surface buoyancy flux  $F_b$  is area-averaged in the region of water mass transformation (40°N to 70°N in the Atlantic basin). The vertical stratification  $N^2$  is estimated as the area-averaged value for the same regional domain and further averaged over the upper 1000 m (excluding 0-100 m, which represents the ocean's surface mixed layer). This captures variations in stratification associated with outcropping isopycnals.

Figure 3a shows a comparison of H (black bars) diagnosed from GCMs and H (black hatched bars) predicted from Eq. (8). This expression accounts for approximately 65% of the intermodel variance in H and tends to accurately predict values of H for GCMs with a variety of AMOC strengths (Fig. 3a). Note that Eq. (8) generally under-predicts the magnitude of H in most GCMs.

Isolating the intermodel spread in  $F_b$ ,  $N^2$ , and  $\Delta_y \rho$  by fixing two variables as the multi-model mean and applying the intermodel spread of the other variable, allows us to understand how the intermodel spread in North Atlantic processes relate to the intermodel spread in H. Intermodel variations in  $F_b$  and  $N^2$  dominate the intermodel spread in H, accounting for approximately 40% and 60% of the intermodel variance.  $\Delta_y \rho$  contributes very little to the intermodel variance in H (Fig. 3b).

### <sup>207</sup> 4 Discussion and conclusions

Coupled GCMs exhibit a large intermodel spread in the mean-state AMOC, with strengths
 varying between 12 and 25 Sv (Fig. 1). In this study, we introduce a framework for
 understanding the intermodel spread in the AMOC strength across GCMs by assessing the
 thermal-wind expression and surface water mass transformation.

We find that the intermodel spread in the AMOC strength can be approximated by the 212 thermal-wind expression (Eq. 2). These results build on earlier work by De Boer et al. 213 (2010), which showed that the thermal-wind expression accurately approximates the AMOC 214 strength in ocean-only models. Here, we show that the thermal-wind expression accurately 215 approximates the AMOC strength in more comprehensive coupled GCMs. We further show 216 that intermodel variations in H contribute most to intermodel variations in the AMOC 217 strength (Fig. 2). GCMs with a deeper H tend to have a stronger AMOC. We further link 218 H to North Atlantic surface water mass transformation (Eq. 7 and Fig. 3) to relate H to 219 properties of the North Atlantic. We find that GCMs with a deeper H tend to also have 220 stronger surface buoyancy loss and weaker stratification in the North Atlantic. 221

Together the thermal wind and surface water mass transformation frameworks allow us 222 to summarize the AMOC strength in GCMs as a function of several key ocean features 223 (Figure 4). Specifically, we show that the intermodel spread in the Atlantic basin meridional 224 density difference  $\Delta_y \rho$  contributes little to the intermodel spread in AMOC strength across 225 GCMs. Thus, GCMs with strong  $\Delta_{y}\rho$  (Fig. 4a) or weak  $\Delta_{y}\rho$  (Fig. 4b), as indicated by the 226 gradient in color between each density class, exhibit little variation in the mean-state AMOC 227 strength. Instead, the intermodel spread in the AMOC strength across GCMs is related to 228 the intermodel spread in the overturning scale depth H. GCMs with a weak mean-state 229 AMOC generally exhibit a shallower H (Fig. 4c), while GCMs with a strong mean-state 230

AMOC generally exhibit a deeper H (Fig. 4d). We also show that GCMs with a deeper H231 exhibit more North Atlantic surface buoyancy loss (indicated by the blue arrows) and weaker 232 North Atlantic stratification (indicated by the grey lines). In fact, intermodel variations in 233 North Atlantic surface buoyancy loss and stratification account for approximately 40% and 234 60% of the intermodel variance in H, respectively. However, because we examined steady-235 state simulations, the causality is unclear. Future work should examine whether a deeper 236 H leads to a stronger AMOC and thus more surface buoyancy loss and weaker stratification 237 in the North Atlantic, or if stronger surface buoyancy loss leads to weaker stratification, a 238 deeper H, and a stronger AMOC. 239

A key implication of this work is that constraining the intermodel spread in H may ul-240 timately constrain the intermodel spread in the AMOC strength across GCMs. Here, we 241 introduced a perspective that details North Atlantic controls on the depth of H, by linking 242 North Atlantic surface buoyancy loss and stratification to H (Eq. 8). Our results imply that 243 reducing the intermodel spread in North Atlantic surface buoyancy loss could reduce the 244 intermodel spread in H and, therefore, the AMOC strength. For example, better represent-245 ing shortwave and longwave cloud radiative fluxes or surface winds over the North Atlantic 246 might improve modeled North Atlantic surface buoyancy loss and reduce the intermodel 247 spread in H and thus the AMOC strength. 248

However, other studies show that H depends strongly on interior ocean processes, such as 249 vertical diffusivity (Klinger & Marotzke, 1999; Marotzke & Klinger, 2000; Nikurashin & Val-250 lis, 2012), or on Southern Ocean processes, such as Ekman and eddy transport (Toggweiler 251 & Samuels, 1998; Gnanadesikan, 1999; Nikurashin & Vallis, 2012; Thompson et al., 2016; 252 Marshall et al., 2017), which implies other sources of intermodel spread in H. Additionally, 253 recent work has argued that low-latitude processes can also play an important role in setting 254 the Atlantic basin stratification and thus H (e.g., Newsom & Thompson, 2018; Cessi, 2019; 255 Newsom et al., 2021), which implies that H may also be controlled by inter-basin ocean 256 dynamics. However, it is thus far unclear how to reconcile the nonlocal perspective on H257 with the local, North Atlantic perspective introduced in this study. 258

Constraining the intermodel spread in H may also help to constrain the climate response 259 to greenhouse-gas forcing. Several studies have shown a clear link between the depth of the 260 AMOC and the depth of ocean heat storage under warming (Kostov et al., 2014; Saenko 261 et al., 2018; J. M. Gregory et al., 2023). While these studies largely attribute this link to 262 Southern Ocean processes (Kuhlbrodt & Gregory, 2012; Saenko et al., 2018; Newsom et al., 263 2023), it suggests that constraining H might constrain the the transient climate response. 264 Furthermore, because the mean-state AMOC strength is related to future AMOC changes 265 (J. Gregory et al., 2005; Weaver et al., 2012; Winton et al., 2014; Weijer et al., 2020; 266 Bonan et al., 2022), our work also implies that improving mean-state processes that impact 267 H, whether it be locally in the North Atlantic or non-locally in the Southern Ocean, will 268 ultimately lead to a better understanding of how the AMOC changes under warming. 269



Figure 1. The mean-state AMOC in CMIP6 climate models. Profile of the meridional overturning streamfunction in the Atlantic basin at the latitude of maximum AMOC strength (poleward of 30°N) for each CMIP6 piControl simulation. The circle markers denote the maximum AMOC strength for each GCM. The maximum AMOC strength is also listed next to each climate model name in the legend. Climate models are listed and color coded from weakest-to-strongest mean-state AMOC strength. The blue line is the multi-model mean AMOC.



Figure 2. Controls on the AMOC strength. (a) Scatter plot of the AMOC strength predicted by the thermal-wind expression (Eq. 2) versus the AMOC strength diagnosed from the climate models. (b) Bar plot showing the intermodel spread in the AMOC strength predicted by the thermal-wind expression (Eq. 2) and diagnosed from the climate models. (c) Bar plot showing the contribution of the three terms in Eq. (4) to the intermodel spread in the AMOC strength. Climate models are ordered from weakest-to-strongest mean-state AMOC strength for (b) and (c). The proportion of variance explained is in the legend of each sub-panel figure. Panel (a) contains a subset figure that shows how each term in Eq. (4) contributes to the intermodel spread in the AMOC strength.



Figure 3. Connection between the overturning scale depth H and the North Atlantic. (a) Bar plot showing (solid black) H diagnosed from the climate models and (hatch black) H predicted by Eq. (8). Climate models are ordered from weakest-to-strongest mean-state AMOC strength. (b) Bar plot showing the proportion of variance explained by the intermodel variance in (red) North Atlantic surface buoyancy loss  $F_b$ , (pruple) North Atlantic stratification  $N^2$ , and (brown) the meridional density difference in the Atlantic basin  $\Delta_y \rho$ . Climate models are ordered from weakest-to-strongest mean-state AMOC strength for (a).



Figure 4. Schematic describing controls on the AMOC in CMIP6. A schematic describing the processes in climate models that are associated with a weak mean-state AMOC and a strong mean-state AMOC. The dashed line denotes the overturning scale depth (*H*). The streamline denotes the meridonal overturning streamfunction or AMOC strength ( $\psi$ ). The blue arrows denote surface buoyancy loss in the North Atlantic ( $F_b$ ). The grey box denotes the magnitude of North Atlantic stratification ( $N^2$ ). The orange arrow and colors of each density layer denotes the meridional density difference ( $\Delta_y \rho$ ). Climate models with (a) stronger or (b) weaker  $\Delta_y \rho$  tend to have similar AMOC strengths. However, climate models with a (c) shallower or (d) deeper *H* tend to have a weaker or a stronger AMOC strength, weaker or stronger  $F_b$ , and stronger or weaker  $N^2$ , respectively.

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### <sup>278</sup> Open Research

The authors thank the climate modeling groups for producing and making available their model output, which is accessible at the Earth System Grid Federation (ESGF) Portal (https://esgf-node.llnl.gov/search/cmip6/). A list of the CMIP6 models used in this study is provided in Figure 1 and described in Section 2.1.

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