GRACE satellite observations of Antarctic Bottom Water transport variability

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Abstract

Antarctic Bottom Water (AABW) formation and transport constitute a key component of the global ocean circulation. Direct observations suggest that AABW volumes and transport rates may be decreasing, but these observations are too temporally or spatially sparse to determine the cause. To address this problem, we develop a new method to reconstruct AABW transport variability using data from the GRACE (Gravity Recovery and Climate Experiment) satellite mission. We use an ocean general circulation model to investigate the relationship between ocean bottom pressure and AABW: we calculate both of these quantities in the model, and link them using a regularised linear regression. Our reconstruction from modelled ocean bottom pressure can capture 65-90% of modelled AABW transport variability, depending on the ocean basin. When realistic observational uncertainty values are added to the modelled ocean bottom pressure, the reconstruction can still capture 30-80% of AABW transport variability. Using the same regression values, the reconstruction skill is within the same range in a second, independent, general circulation model. We conclude that our reconstruction method is not unique to the model in which it was developed and can be applied to GRACE satellite observations of ocean bottom pressure. These advances allow us to create the first global reconstruction of AABW transport variability over the satellite era. Our reconstruction provides information on the interannual variability of AABW transport, but more accurate observations are needed to discern AABW transport trends.

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| 11 | Key Points: |
|----|--|
| 12 | • We use estimates of ocean bottom pressure from the GRACE satellites as a proxy |
| 13 | for Antarctic Bottom Water transport |
| 14 | • The largest source of uncertainty in our reconstruction is satellite measurement |
| 15 | uncertainty |
| 16 | • We reconstruct Antarctic Bottom Water transport anomalies, capturing an esti- |
| 17 | mated 50% of variance |

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18 Abstract

Antarctic Bottom Water (AABW) formation and transport constitute a key component 19 of the global ocean circulation. Direct observations suggest that AABW volumes and 20 transport rates may be decreasing, but these observations are too temporally or spatially 21 sparse to determine the cause. To address this problem, we develop a new method to 22 reconstruct AABW transport variability using data from the GRACE (Gravity Recov-23 ery and Climate Experiment) satellite mission. We use an ocean general circulation model 24 to investigate the relationship between ocean bottom pressure and AABW: we calculate 25 both of these quantities in the model, and link them using a regularised linear regres-26 sion. Our reconstruction from modelled ocean bottom pressure can capture 65-90% of 27 modelled AABW transport variability, depending on the ocean basin. When realistic ob-28 servational uncertainty values are added to the modelled ocean bottom pressure, the re-29 construction can still capture 30-80% of AABW transport variability. Using the same 30 regression values, the reconstruction skill is within the same range in a second, indepen-31 dent, general circulation model. We conclude that our reconstruction method is not unique 32 to the model in which it was developed and can be applied to GRACE satellite obser-33 vations of ocean bottom pressure. These advances allow us to create the first global re-34 construction of AABW transport variability over the satellite era. Our reconstruction 35 provides information on the interannual variability of AABW transport, but more ac-36 curate observations are needed to discern AABW transport trends. 37

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Plain Language Summary

Ocean circulation moves heat and carbon around the globe. Changes in the way this circulation moves heat and carbon influence future climate. One part of this ocean circulation is Antarctic Bottom Water, which forms around Antarctica and flows north along the ocean floor into the Pacific, Atlantic and Indian Oceans. Observations of Antarctic Bottom Water are sparse. Those which exist suggest that the volume of Antarctic Bottom Water is declining, but are insufficient to explain why this is happening.

We design a new method to try and measure Antarctic Bottom Water transport. The physical equations describing fluid flows suggest gravity signals measured by satellites might be useful. To establish how useful this data is, we simulate the observations of these satellites in an ocean model. We also calculate the transport of Antarctic Bottom Water in the model. This means we can investigate how effective the modelled satel-

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⁵⁰ lite data is at measuring modelled Antarctic Bottom Water. Our method of using the

satellite data skilfully measures Antarctic Bottom Water transport, so we use this method

⁵² to calculate Antarctic Bottom Water from the real-world satellite observations.

⁵³ 1 Introduction

The lower limb of the global meridional overturning circulation is composed of Antarctic Bottom Water (AABW). AABW is a dense watermass that forms near Antarctica and flows northwards along the ocean floor in the Pacific, Indian and Atlantic Oceans (Talley, 2013). AABW composes a third of the ocean volume, and covers more than half the ocean floor (Johnson, 2008).

Observations of AABW provide some information about the mean flow and char-59 acteristics of this water mass. Temperature and salinity profiles along research vessel tran-60 sects have been gathered by the WOCE and GOSHIP programs roughly once per decade. 61 These transects are temporally sparse compared to the timescales on which AABW trans-62 port varies (Purkey & Johnson, 2012; Stewart et al., 2021). Localised mooring arrays 63 have provided information with daily resolution, but only sample a subset of AABW path-64 ways (e.g. Fukamachi et al., 2010; Valla et al., 2019). More recently, deep Argo floats 65 have expanded knowledge of AABW in specific areas (e.g. Foppert et al., 2021; John-66 son, 2022). Although deep Argo floats will give more information in the future, their col-67 lected data currently comprises only several years, and over a relatively small fraction 68 of the Southern Ocean. As such, there is no source of AABW observations with suffi-69 cient spatial and temporal coverage to constrain the variability of AABW transport. 70

Higher resolution observations of AABW could improve understanding of its re-71 sponse to climate change. Recent modelling work suggests a halving of AABW produc-72 tion and transport by 2050 in response to projected Antarctic meltwater forcing (Li et 73 al., 2023). Observations also show that the volume of AABW has declined in recent decades 74 (Purkey & Johnson, 2012). Recent studies associate this reduction in Bottom Water vol-75 ume with declining production of the precursor Dense Shelf Water, but note that data 76 limitations prevent direct observations of this link (Abrahamsen et al., 2019; Zhou et al., 77 2023). Furthermore, natural variability in AABW can produce apparent trends with-78 out the aid of external forcing (Zhang et al., 2019). Further investigation into the tem-79

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poral variability of AABW would shed light on how AABW transport and other related 80 processes are changing. 81

One option to supplement *in-situ* observations of AABW is satellite data. Satel-82 lite measurements of horizontal ocean pressure gradients indirectly measure geostrophic 83 ocean transport. This link has been utilised to estimate ocean transport in the upper 84 1000m of the ocean from satellite altimetry of sea surface height gradients, with some 85 correction due to steric variability (e.g. Ivchenko et al., 2011; Kosempa & Chambers, 2014). 86 However, deep baroclinic flows are less directly related to surface pressure gradients, and 87 deep density observations are too sparse to correct for this. Deep geostrophic flows can 88 instead be inferred from ocean bottom pressure (Hughes et al., 2013), which is measured 89 by the GRACE (Gravity Recovery and Climate Experiment) satellites. In practice, in 90 the ECCO ocean state estimate, almost all (95%) AABW transport at any latitude in 91 the Southern Ocean can be reconstructed from ocean bottom pressure using a neural net-92 work (Solodoch et al., 2023), demonstrating that sufficiently accurate and high resolu-93 tion observations of ocean bottom pressure can be used alone to reconstruct AABW trans-94 port. 95

The GRACE satellites measure mass anomalies on Earth's surface. These mass anoma-96 lies correspond, via hydrostatic balance, to ocean bottom pressure anomalies, which sug-97 gests that the GRACE satellite observations could be used to infer AABW transport anoma-98 lies. However, both the resolution and accuracy of GRACE satellite observations of ocean qq bottom pressure limit their potential to reconstruct AABW transport. For example, the 100 standard error of GRACE satellite estimates of ocean bottom pressure is 10^{-2} dbar (Watkins 101 et al., 2015), around the same magnitude as ocean bottom pressure variability (Poropat 102 et al., 2018). The coarse spatial resolution of GRACE-derived outputs (\sim 300 km), com-103 bines ocean bottom pressure signals from different depths on the continental slope and 104 thus could conflate estimates of ocean transport at different depths (Hughes et al., 2018). 105 Bingham and Hughes (2008) suggested that that the depth-dependent part of ocean bot-106 tom pressure anomalies are key to estimating ocean transport. 107

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However, case studies of North Atlantic Deep Water (NADW; a similar water mass to AABW) suggest that satellite-derived ocean bottom pressure can reconstruct ocean 109 transport despite these barriers. Bentel et al. (2015) found that ocean bottom pressure 110 in a model, coarsened to the the same ~ 300 km grid as GRACE satellite observations, 111

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could reconstruct the model's NADW with a correlation coefficient of 0.7. Landerer et
al. (2015) later compared a reconstruction of NADW from GRACE satellite estimates
with estimates from an *in-situ* mooring array, finding a similar correlation coefficient.
Therefore, although GRACE satellite estimates of ocean bottom pressure are at lower
resolution and higher uncertainty than model output, they remain a viable proxy for deep
ocean transport in the North Atlantic.

In the Southern Hemisphere, only one study has used satellite estimates of ocean 118 bottom pressure to reconstruct AABW transport, and no study has done so comprehen-119 sively. Mazloff and Boening (2016) focused on a specific region of the Pacific Ocean, and 120 found that ocean bottom pressure can reconstruct 86% of AABW transport variance in 121 this region. They gave an estimate of how GRACE satellite estimates of ocean bottom 122 pressure might be used to reconstruct AABW. However, Mazloff and Boening (2016) only 123 looked at one region, and their uncertainty estimation hinged on a comparison with a 124 single *in-situ* location. No satellite-based basin-wide estimates of AABW transport ex-125 ist. Additionally, no previous work has considered together the impacts of resolution and 126 uncertainty when using GRACE observations to reconstruct AABW. 127

In this paper we quantify the accuracy that satellite observations of ocean bottom 128 pressure can provide for estimation of AABW transport variability. We develop a sim-129 ple empirical method to link modelled ocean bottom pressure with AABW transport (Sec-130 tion 2). This method is tested on AABW transport in a high-resolution ocean model, 131 where the ocean bottom pressure observations are degraded by coarsening resolution and 132 adding noise to emulate the characteristics of satellite observations (Section 3). We then 133 apply this method to GRACE satellite observations of ocean bottom pressure, to esti-134 mate the interannual variability in AABW transport (Section 4). 135

¹³⁶ 2 Reconstruction Method

We aim to develop a method to reconstruct AABW transport from GRACE satellite observations of ocean bottom pressure, and to quantify the performance of this method. There are insufficient *in-situ* AABW transport observations against which to test the accuracy of the reconstruction method, so we develop and test our method using output from an ocean general circulation model. We take both AABW transport and ocean bottom pressure from the ocean model output, and link these variables with a multivari-

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ate linear regression. This reconstruction method can then be applied to ocean bottom
pressure from another numerical model, to test the reconstruction method's generality,
and to satellite observations of ocean bottom pressure, to produce an estimate of AABW
transport variability.

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2.1 Ocean Model

We develop our reconstruction method using output from ACCESS-OM2-01, a cou-148 pled sea-ice/ocean model with prescribed atmospheric forcing. The model uses a 0.1° 149 Mercator grid; full model configuration is described in Kiss et al. (2020). ACCESS-OM2-150 01 is one of the few models which adequately represents AABW sourced from dense wa-151 ter formed on the Antarctic continental shelf, instead of in the open ocean (Solodoch et 152 al., 2022). Additionally, the high resolution allows ACCESS-OM2-01 to represent eddies 153 and other mesoscale structures over much of the globe without parameterisation. By ac-154 curately representing more ocean processes, ACCESS-OM2-01 is more likely to correctly 155 represent links between AABW transport and ocean bottom pressure. 156

We use model output from two model runs of ACCESS-OM2-01, with different prescribed atmospheric forcing. One model run uses atmospheric forcing from the JRA55do reanalysis dataset from January 1958 to December 2018 (Tsujino et al., 2018). We term this the historically forced model run. The other model run uses a continuous cycling of the May 1990 to April 1991 atmosphere from JRA55-do (Stewart et al., 2021), for which we have 230 years of monthly data. We term this the repeat year forced model run. These two model runs provide a combined total of 291 years of data.

Our multivariate linear regression model is fitted to, or trained on, the repeat-year 164 forced ACCESS-OM2-01 data. We initially test our method, and empirically refine method-165 ology, on the historical run of ACCESS-OM2-01. In addition, we test the generalisabil-166 ity of our method, trained on ACCESS-OM2-01 output, with the output from two ad-167 ditional models: a historically forced run of ACCESS-OM2 at 0.25° resolution (ACCESS-168 OM2-025; Kiss et al., 2020) and a repeat year forced run of GFDL-OM4 at 0.25° res-169 olution (GFDL-OM4-025; Adcroft et al., 2019). Output from these models is arguably 170 more independent of the training data than output from a separate run of ACCESS-OM2-171 01, and so testing our method on output from these different models increases confidence 172 in the estimate of our method uncertainty. 173

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2.2 AABW transport definition

In this paper we define AABW, in each ocean basin, to be water denser than a particular density threshold. At a given latitude, the monthly AABW transport (ψ_t) below the isopycnal ρ_1 at time t is given by

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$$\psi_t(\rho_1) = \int_{x_0}^{x_1} \int_{z_0}^{z_t(\rho_1)} v_t dz dx \tag{1}$$

where x_0, x_1 are the longitudinal bounds of a transect, z_0 is the height of the ocean floor, 179 $z_t(\rho_1)$ is the height of the density threshold ρ_1 at time t, and v_t is the meridional veloc-180 ity at time t. Meridional transport in density coordinates is a supplied diagnostic in the 181 ACCESS-OM2-01 runs, but at insufficiently high resolution at AABW depths. Instead, 182 we bin meridional transport into density bins at 0.01 kgm^{-3} spacing over the range 1036.5– 183 1037.5 kgm^{-3} using monthly output. The use of monthly averaged density and veloc-184 ity may omit eddy contributions to transport magnitude; we find that this omission is 185 not significant at the latitudes tested here. The correlation between 12 months of AABW 186 transport calculated using monthly or daily data is ≥ 0.98 in each basin (not shown). The 187 mean ACCESS-OM2-01 AABW transport across 30°S from the historically forced model 188 run is 18.5 Sv $(10^6 m^3 s^{-1})$, the same order of magnitude as estimates from observations, 189 which range from 10 Sv to 50 Sv (Slovan & Rintoul, 2001; Lumpkin & Speer, 2007; Tal-190 ley, 2013). 191

For each model, at any given latitude, we define the AABW threshold to be the 192 isopycnal bounding northward flowing water at the ocean bottom. The one exception 193 to this definition is in GFDL-OM4 in the Pacific Ocean, where we overwrite the density 194 threshold with that from ACCESS-OM2-01, because our streamfunction definition pro-195 duces unrealistic AABW transport (details in Text S1 and Figure S1). We reconstruct 196 AABW at 30°S in the Atlantic and Indian Oceans, and 40°S in the Pacific Ocean, be-197 cause these latitudes maximise the skill of our AABW reconstruction (See Section 2.6 198 and Figure S5). The attributes of ACCESS-OM2-01 AABW calculated following this 199 method are shown in Table 1. 200

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2.3 Building a Linear Regression Model for AABW Transport

Ocean bottom pressure gradients along an ocean cross section are linearly related to large-scale ocean transport through that cross section, including AABW transport (Hughes et al., 2013). This physical link could be used to directly estimate AABW transport from

Table 1. AABW transport and the definition of AABW in each ocean basin, used to train and test the regression in ACCESS-OM2-01. The latitudes are chosen separately in each ocean basin in order to maximise reconstruction skill (See Section 2.6 and Figure S5).

| Ocean | Latitude | Potential density | Mean transport |
|----------|---------------|----------------------------|----------------|
| | | (σ_2) threshold | |
| Pacific | $40^{\circ}S$ | 1037.08 kgm^{-3} | 10.2 Sv |
| Atlantic | $30^{\circ}S$ | 1037.08 kgm^{-3} | 4.6 Sv |
| Indian | $30^{\circ}S$ | 1037.09 kgm^{-3} | 3.8 Sv |

ocean bottom pressure, in the same way that Landerer et al. (2015) reconstructed NADW.
However, such an approach limits the ocean bottom pressure to that along a single line
of latitude, even though ocean bottom pressure to the north and south of a zonal transect correlates with transport across the transect (Landerer et al., 2015). Solodoch et
al. (2023) found meridional averaging didn't affect reconstruction skill in a noise-free scenario. Thus, off-transect ocean bottom pressure could provide additional information for
reconstructing ocean transport.

Off-transect ocean bottom pressure is not directly physically linked to ocean transport in the same way that on-transect ocean bottom pressure is, without assuming that AABW transport is invariant with latitude. In order to include off-transect ocean bottom pressure in our reconstruction, we assume that AABW transport anomalies can be reconstructed from a weighted sum of ocean bottom pressure anomalies at different locations, where these weights can be positive or negative, and arbitrarily large:

$$\hat{\psi}_t = \sum_i w_i p_{i,t},\tag{2}$$

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where $\hat{\psi}_t$ is the predicted AABW transport at time t, w is the weight for a particular gridcell i, and p is the pressure for a gridcell i and time t. Note that i could cover any cell in the region of interest, and is not limited to a single dimension. This formulation is consistent with the linear relationship from physical theory in a one dimensional example, while also generalising to allow the incorporation of two dimensional ocean bottom pressure.

We use a least-squares linear regression with ridge regularisation to estimate weights for ocean bottom pressure: regularisation reduces overfitting to the training data by favour-

ing solutions with smaller weights, where noise contributes less to the final reconstruc-227

tion (see, for example, McDonald (2009)). We fit the linear regression on 230 years of 228

ACCESS-OM2-01 repeat year forced data, at monthly resolution, with the climatology 229

removed. This data has, in effect, constant atmospheric forcing and thus the linear re-230

gression captures the ocean bottom pressure signal of unforced internal variability of AABW 231 transport. 232

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2.4 Processing of model output ocean bottom pressure

Ocean bottom pressure is output by the ACCESS-OM2-01 model. However, the 234 output from ACCESS-OM2-01 is at 0.1° resolution, and estimating weights at this res-235 olution numerically destabilises the linear regression, resulting in noisy weights and poor 236 AABW transport reconstruction. To stabilise our weight calculation, we initially aver-237 age ocean bottom pressure to 1° resolution. One degree resolution has been used by pre-238 vious studies to evaluate the links between ocean transport and ocean bottom pressure 239 (e.g. Solodoch et al., 2023). 240

We aim to not only probe the link between ocean bottom pressure and AABW trans-241 port, but also to apply our calculated weights to satellite observations of ocean bottom 242 pressure and thereby estimate AABW transport. For this purpose, the ocean bottom 243 pressure grid for which we calculate weights must align with an observational grid. The 244 GRACE satellites observe temporal variations in ocean bottom pressure with a spatial 245 resolution of around 300km (3° at the equator), and temporal resolution of 1 month. We 246 use GRACE observations on a mascon (mass concentration) grid, where anomalous mass 247 is estimated as discrete homogeneous tiles of equivalent water height. These GRACE ob-248 servations show improved separation of the relevant ocean signals from land signals, com-249 pared to previous GRACE observations estimated as spherical harmonic coefficients (Watkins 250 et al., 2015). We base our AABW transport reconstruction on Jet Propulsion Labora-251 tory (JPL) GRACE mascon product RL06.1Mv03 (Watkins et al., 2015). We find this 252 product to have the lowest uncertainty of available GRACE mascon products when em-253 pirically validated against *in-situ* ocean bottom pressure (Section 2.5, Figure S2), though 254 in some cases the difference is negligible. 255

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To convert ACCESS-OM2-01 model output ocean bottom pressure to the same grid as satellite observations, we average the ACCESS-OM2-01 ocean bottom pressure to the 257

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Figure 1. An example part of the JPL RL06.1Mv03 GRACE mascon grid. Black outlines represent the circular mascons that compose the grid. Colours indicate which mascon/gridpoint an ACCESS-OM2-01 gridcell is assigned to.

| 258 | irregular ${\sim}300 \rm km$ grid used by the JPL RL06.1Mv03 GRACE mascon product (Figure |
|-----|--|
| 259 | 1). This allows us firstly to estimate the impact of resolution in the model, and further- |
| 260 | more to calculate weights for ocean bottom pressure on this grid, which we can then trans- |
| 261 | fer to satellite observations of ocean bottom pressure. This mascon grid is nominally com- |
| 262 | posed of circles, which do not entirely cover the surface of the Earth. Nonetheless, ocean |
| 263 | bottom pressure from the gaps between the circular mascons influences the calculated |
| 264 | ocean bottom pressure in the mascons (Watkins et al., 2015), and so we average all ocean |
| 265 | bottom pressure in the model onto the nearest circular mascon (Figure 1). |

We omit ocean bottom pressure at some locations, due to unresolved uncertainty 266 or signal contamination. The signals that the GRACE satellites measure on ice-free land 267 - mostly changes in soil moisture and groundwater - have greater magnitude than the 268 ocean bottom pressure signals. To prevent these land signals from contaminating our AABW 269 transport estimate, we discard any mascon with more than 1% land as a fraction of to-270 tal area. Glacial isostatic adjustment (GIA) is a substantial part of the mass change sig-271 nal measured by the GRACE satellites (Caron et al., 2018). As this adjustment results 272 from movement of mantle mass rather than ocean mass, it does not contribute to ocean 273 bottom pressure. We use the default ICE6G-D model to correct for GIA (Peltier et al., 274 2018). Error from this GIA model will contribute to error in our calculated AABW trends. 275 Our reconstructions only use mascons at a latitude north of $\sim 50^{\circ}$ S, which should min-276 imise this error (see Figure 3). We omit the impact of GIA in our error estimation, be-277 cause this error is poorly characterised. 278

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2.5 Uncertainty in satellite-based bottom pressure estimates

Measurement uncertainty in ocean bottom pressure leads to uncertainty in the re-280 construction of AABW. We estimate the uncertainty of GRACE satellite observations 281 of ocean bottom pressure by empirically comparing GRACE observations to *in-situ* ocean 282 bottom pressure records from the Permanent Service for Mean Sea Level database (Permanent 283 Service for Mean Sea Level, 2022). We limit *in-situ* ocean bottom pressure records to 284 those with a length of at least 12 months and no gaps greater than a month: a total of 285 1798 months of data from 88 ocean bottom pressure sensor deployments meet this cri-286 teria. All deployments included in this database are from earlier than 2016. We aver-287 age the *in-situ* records to the same monthly epochs as GRACE and compare each *in-*288 situ deployment to the GRACE mascon covering its location (Figure 2a). We do not find 289 any systematic link between GRACE error and any of latitude, ocean basin or ocean depth, 290 and therefore combine the error from all ocean bottom pressure sensor deployments. We 291 iteratively estimate a standard deviation, discarding outliers more than three standard 292 deviations from the mean until the estimate converges (difference in subsequent error 293 estimates is less than 10^{-3} dbar). Using this method, we estimate that the root mean 294 square error (RMSE) of JPL GRACE solutions is 0.015 dbar (Figure 2b). This error is 295 added to the AABW transport reconstruction error by Gaussian error propagation (Lo, 296 2005), adjusting the sum of squared error to be: 297

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$$\sum_{t} (\psi_t - \hat{\psi}_t)^2 + \sum_{t} \sum_{i} \sigma^2 w_i^2,$$
(3)

where σ represents the RMSE of GRACE observations. In applying this formula, we assume that GRACE error is neither spatially nor temporally correlated. (Note that this assumption is not strictly true: error in temporally adjacent mascon estimates has a weak correlation of r~0.3, and error in spatially adjacent mascons is known to be correlated, but is difficult to quantify.)

Ocean bottom pressure sensors, which we use as ground-truth, are known to drift with greater magnitude than ocean variability (Polster et al., 2009). This drift is best removed with an empirical exponential plus linear trend (Polster et al., 2009), and is already removed from the bottom pressure records we use. We elect not to detrend the GRACE observations, because this would remove one degree of freedom from already short timeseries (mean length of 20 months per *in-situ* record). This choice could lead



Figure 2. Empirical validation of JPL GRACE observations using *in-situ* ocean bottom pressure records. a) Histogram of Pearson's correlation coefficient between each deployment and the corresponding GRACE estimate of ocean bottom pressure. Bin width is 0.05. b) Histogram of GRACE error relative to *in-situ* ocean bottom pressure observations combined from all *in-situ* records. Bin width is 0.001. Black line shows estimated Gaussian error with a RMSE of 0.015 dbar.

to overestimating the error, but detrending the GRACE observations decreases error by less than 10%.

We also assume that any difference between the *in-situ* measurement and the GRACE 312 observation is exclusively due to GRACE measurement error. Additional deviation re-313 lated to the comparison of point measurements to 300km averages, or from error in the 314 *in-situ* records, would result in some overestimation of GRACE error. A comparison of 315 high resolution and spatially coarsened ocean bottom pressure anomalies in ACCESS-316 OM2-01 suggests that averaging imparts some error, possibly reducing the raw error by 317 30%, but this error is uncorrelated with the total error of any given *in-situ* record and 318 we do not attempt to remove it. This choice means that our uncertainty estimate is more 319 conservative than estimates used in previous work (e.g Mazloff & Boening, 2016). 320

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2.6 Reconstruction optimisation

To optimise our reconstruction method, we use the coefficient of determination (R^2) between the validation and reconstructed AABW transport timeseries as a metric of skill. There are, confusingly, multiple skill metrics termed R^2 (Kvalseth, 1985). Here, we cal-

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325 culate:

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$$R^{2} = 1 - \frac{\sum_{t} (\psi_{t} - \hat{\psi}_{t})^{2}}{\sum_{t} (\psi_{t} - \bar{\psi}_{t})^{2}},$$
(4)

where an overbar ($^{-}$) indicates a time-mean. This measure of skill is not generally equivalent to the square of Pearson's correlation coefficient (r^2) (Kvalseth, 1985). Addition of noise from measurement error adjusts R^2 to:

$$\mathbf{R}^{2} = 1 - \frac{\sum_{t} (\psi_{t} - \hat{\psi}_{t})^{2} + \sum_{t} \sum_{i} \sigma^{2} w_{i}^{2}}{\sum_{t} (\psi_{t} - \bar{\psi}_{t})^{2}},$$
(5)

The reconstruction method is primarily optimised in an empirical fashion. For each 331 component of the reconstruction method (e.g. data used, temporal smoothing, etc), we 332 test a range of values and select those which maximise the reconstruction skill in the his-333 torically forced run of ACCESS-OM2-01. The strength of ridge regularisation is empir-334 ically determined for each transect independently – stronger regularisation is required 335 for the reconstructions where noise makes up a greater part of the input data. Other op-336 timal architecture choices are used consistently across reconstructions, and are listed as 337 follows: 338

- The latitudinal range of ocean bottom pressure information is $\pm 10^{\circ}$ of the transect.
- The ocean bottom pressure and AABW transport are smoothed with a Gaussian
 filter with standard deviation of four months, after removing the seasonal cycle.
 Instead of fitting a single linear regression to each basin, we fit a regression to calculate the weights for each AABW pathway through a basin and later combine
 these to full basin transports. For example, we split the Atlantic into two sub-basins
 on each side of the mid-ocean ridge. The subbasins are defined following AABW

pathways in Figure 4 of Solodoch et al. (2022).

The reasoning for the first two optimisation choices producing highest skill is unclear; they were simply empirically chosen after evaluating a range of values (Figures S3, S4). We propose that the third may improve skill by decreasing the number of weights to be fitted for the same length of training data. The transects across which AABW transport is reconstructed and the ocean bottom pressure range used for this reconstruction is represented visually in Figure 3.

Historical changes in ocean bottom pressure are dominated by ocean mass increase from melting ice sheets and glaciers. Ocean mass gain produces an ocean bottom pres-

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Figure 3. A schematic of the data used for AABW transport reconstruction from ocean bottom pressure. In each ocean basin, the transects across which AABW transport is calculated are marked in black, as is the mean AABW transport across each of these transects. Colors show ocean bottom pressure from a single timestep in ACCESS-OM2-01 averaged onto the JPL mascon grid, and trimmed to the latitude ranges used to reconstruct AABW.

| 356 | sure signal of around 0.02 dbar per decade (Johnson & Chambers, 2013); ocean bottom |
|-----|--|
| 357 | pressure variations related to interannual variability in ocean transport are around 0.02 |
| 358 | dbar (Landerer et al., 2015). However, this long-term trend in ocean bottom pressure |
| 359 | is not incorporated into the ocean model simulations. The linear regression weights need |
| 360 | to be robust to ocean mass gain, otherwise mass gain will produce a spurious trend in |
| 361 | the final AABW transport estimate. To ensure this robustness, we duplicate the train- |
| 362 | ing data for the linear regression and add a basin-wide 1 dbar to ocean bottom pressure |
| 363 | in the second half of this training data, but leave the AABW transport unmodified. We |
| 364 | hereby fit a linear regression to a timeseries which is twice as long, and the same ocean |
| 365 | transport occurs twice in the timeseries, the second time with a basin-wide 1 dbar in- |
| 366 | crease in ocean bottom pressure. The linear regression is therefore forced to ignore basin- |
| 367 | wide ocean mass changes. We show that this method is effective at removing all depen- |
| 368 | dency on basin-wide sea level by adding a $0.5~\mathrm{dbar}$ gradual increase to ocean bottom press |
| 369 | sure and observing the impact (Figure 4). |

370 **3 Evaluation of method**

This section presents our method's skill at reconstructing AABW transport from ocean bottom pressure. We initially illustrate the physical mechanism behind reconstruction skill with one example transect. We then quantify reconstruction skill across all basins, and explore the impact of realistic bottom pressure measurement constraints – resolu-

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Figure 4. Reconstructions with and without accounting for basin-wide ocean mass gain. The black line shows AABW in ACCESS-OM2-01 validation data. Both reconstructions use the same model ocean bottom pressure output with a trend of 0.5 dbar increase over the full time period. This trend affects the original non-robust linear regression (green dotted line), but not the one which is trained to be robust against basin-wide sea level changes (red dashed line).

tion and noise – on reconstruction skill. Finally, we test our reconstruction method on
output from independent ocean models, to more robustly estimate reconstruction skill,
including the impact of model biases. These estimates of skill are interpreted to inform
future improvements in reconstruction.

379

3.1 Detailed examination of one specific reconstruction

To demonstrate the physical reasoning behind our AABW transport reconstruc-380 tion method, we initially examine the method in one sector of the Southern Ocean. For 381 this purpose we reconstruct AABW transport in the western Pacific, from model out-382 put ocean bottom pressure averaged onto a 1° grid. To reconstruct AABW, our method 383 uses a linear regression to estimate a set of weights by which to multiply ocean bottom 384 pressure (Section 2). The distribution of these weights can be displayed spatially, to in-385 dicate the patterns of ocean bottom pressure which the linear regression associates with 386 AABW transport: Figure 5a shows the weights used to reconstruct AABW transport 387 in the western Pacific. Positive weights are found on the western boundary below 3000 388 m, approximately the depth of the AABW layer in ACCESS-OM2-01. Conversely, neg-389 ative weights are found towards the mid-ocean ridge on the eastern side of the sub-basin. 390 This distribution of weights relates a decrease in pressure with increasing longitude to 391 northward AABW transport, in agreement with physical theory derived from geostrophic 392 flow (Hughes et al., 2013). 393

In addition to aligning with physical theory, the weights we calculate can be effec-394 tive at reconstructing AABW transport. The weights were derived from ACCESS-OM2-395 01 repeat year forced model output. We test these weights on the historically forced ACCESS-396 OM2-01 run, and generate a reconstruction from this independent ocean bottom pres-397 sure. The reconstruction (black line in Figure 5c) accounts for 88% of AABW transport 398 variance in the historically forced run (grey line in Figure 5c). Thus, our reconstruction 300 method can produce skilful reconstructions of AABW transport, at least when using ocean 400 bottom pressure at 1° resolution. 401

A more realistic demonstration of our reconstruction method would incorporate 402 the constraints imposed by satellite-observed ocean bottom pressure. These constraints 403 include two factors: the ~ 300 km spatial resolution of existing satellite ocean bottom 404 pressure observations, and their measurement uncertainty. We calculate weights for ocean 405 bottom pressure averaged onto a grid used operationally for satellite ocean bottom pres-406 sure estimates (see Figures 1 and 3), and with the linear regression regularisation tuned 407 to mitigate the effects of observational ocean bottom pressure uncertainty (standard de-408 viation 0.015 dbar; see methods and Figure 2). These new weights (Figure 5b) are con-409 sistent with the weights in Figure 5a, albeit at lower resolution. Therefore, the lower res-410 olution weights still agree with physical theory (Hughes et al., 2013). The coarser res-411 olution of ocean bottom pressure results in a wider band of positive weights on the west-412 ern boundary, which Hughes et al. (2018) argued limit the effectiveness of GRACE satel-413 lite observations. The decrease in resolution, combined with the addition of noise, re-414 duces reconstruction skill to capture 75% of AABW transport variance (see dashed line 415 in Figure 5c). 416

417

3.2 Quantifying AABW reconstruction skill

We now expand our analysis to encompass all three ocean basins, and to further test how skill is impacted by the resolution and uncertainty of satellite-measured ocean bottom pressure. We perform this analysis using two skill metrics: coefficient of determination (\mathbb{R}^2) and mean square error. \mathbb{R}^2 shows relative skill as a percentage of variance captured. Mean squared error, though less intuitive than root mean squared error, has the advantage that independent Gaussian sources of error sum linearly. Higher \mathbb{R}^2 and lower mean squared error each indicate greater skill. These skill metrics are evaluated

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Figure 5. AABW transport anomaly reconstruction at 40° S in the west Pacific Ocean from ACCESS-OM2-01 repeat year forced model output ocean bottom pressure. a) Spatial pattern of weights from the linear regression of ocean bottom pressure coarsened to 1° resolution upon AABW transport. b) Spatial pattern of weights from the linear regression for ocean bottom pressure which is coarsened onto the ~300km grid used in JPL GRACE processing. c) Validation AABW transport, along with reconstructions from the weights in a) and b). These weights reconstruct validation AABW transport with reasonable skill: R² is 0.88 and 0.75 respectively. The reconstruction from ocean bottom pressure coarsened to ~300km has noise added to mimic the uncertainty of GRACE satellite observations of ocean bottom pressure.

- ⁴²⁵ in Figure 6 as a function of ocean bottom pressure resolution and additional noise, in
- each ocean basin.



Figure 6. Skill of AABW reconstructions from each of ocean bottom pressure coarsened to 1 degree resolution (left bars), averaged onto JPL mascon shapes (approximately 3° resolution; centre bars), and averaged onto the JPL mascon shapes with noise added (right bars). a) shows the coefficient of determination, or \mathbb{R}^2 , which quantifies the fraction of variance captured by the reconstruction. b) shows mean square error which quantifies the absolute error of the reconstruction, against a total variance of 0.85, 0.92 and 0.26 Sv² for the Pacific, Atlantic and Indian Oceans respectively.

We start with ocean bottom pressure coarsened to 1° resolution, because this re-427 construction is not coarsened beyond what is needed numerically and is not inhibited 428 by noisy ocean bottom pressure. Therefore, any error indicates limitations of the recon-429 struction method itself. This reconstruction, using ocean bottom pressure at 1° resolu-430 tion with no noise, captures 65-90% of AABW transport variance, depending on the ocean 431 basin (Figure 6a, left bars). Reconstructions are generally most skilful in the Atlantic 432 Ocean, and least skilful in the Indian Ocean. Variation between basins is dependent on 433 the isopycnal used to define AABW (not shown) and the latitude at which AABW trans-434 port is calculated (Figure S5). These two changes would impact AABW variance or tran-435 sect bathymetry, as would changing ocean basins, so we suggest that AABW variance 436 or transect bathymetry contribute to changes in reconstruction skill. Our reconstruction 437 skill is similar to the reconstructions from Solodoch et al. (2023) that use a linear regres-438 sion with regularisation and we conclude that our method is a viable approach to recon-439 struct AABW transport from precise, high resolution measurements of ocean bottom pres-440 sure. 441

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To test the impact of ocean bottom pressure resolution on reconstruction skill, we 442 compare reconstructions from ocean bottom pressure coarsened to 1° and ~ 300 km. The 443 percentage of variance captured (R^2) by reconstructions using the two different resolu-444 tions of ocean bottom pressure are within 6% (compare left and centre groups of bars 445 in Figure 6a). Changes in mean squared error are generally small, with the exception 446 that mean squared error in the Pacific Ocean increases by 45% (Figure 6b). This increase 447 suggests that the lower resolution ocean bottom pressure doesn't resolve some relevant 448 feature of ocean bottom pressure anomalies in the Pacific Ocean. In the Indian Ocean, 449 the lower resolution ocean bottom pressure (~ 300 km) produces slightly ($\Delta R^2 \approx 5\%$) bet-450 ter reconstructions of AABW transport than the high resolution ocean bottom pressure 451 (1°) . We hypothesise that having fewer weights numerically stabilises the linear regres-452 sion or reduces overfitting, resulting in a minor increase in skill. In summary, ocean bot-453 tom pressure resolution has some, mostly small, impact on reconstruction skill, consis-454 tent with previous skilful reconstructions from ocean bottom pressure at ~ 300 km res-455 olution (Bentel et al., 2015; Landerer et al., 2015). In contrast to the suggestion of Hughes 456 et al. (2018), we find that ocean bottom pressure at lower resolution can be used to ef-457 fectively estimate AABW transport. 458

To test the impact of uncertainty on the reconstruction, we emulate the uncertainty of satellite ocean bottom pressure measurements with added noise. The inclusion of realistic uncertainty increases the mean squared error by up to a factor of 2 (compare centre and right groups of bars in Figure 6b), and lowers the R² by 10-35% (compare centre and right groups of bars in Figure 6a). We find that this uncertainty reduces reconstruction skill far more than the low spatial resolution does, in all three ocean basins.

465

3.3 Evaluation using other ocean models

We further evaluate our reconstruction method with data from two additional ocean 466 models. Any empirical model, including our reconstruction method and weights, is de-467 pendent on the system upon which it is trained being representative of the real world. 468 Because we train and test our reconstruction method in ACCESS-OM2-01, any biases 469 or misrepresentations in ACCESS-OM2-01 are likely to also exist in our reconstruction 470 method. A simple way to test the magnitude of error from these biases is to evaluate the 471 method using output from a different ocean model, which we expect to have different 472 biases. We use both ACCESS-OM2-025, the same model as our training data but at a 473

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different resolution, and GFDL-OM4-025, which has different ocean and sea ice compo-474 nents. We apply the weights calculated from ACCESS-OM2-01 to bottom pressure from 475 the other models, and compare the resultant reconstruction to the AABW from these 476 models (Figure 7). \mathbb{R}^2 and MSE vary between reconstructions in different models and 477 in different basins. The spread in reconstruction error leads to a range of possible un-478 certainties in our final AABW reconstruction. With the caveat that only two different 479 numerical models are used to test generalisability here, we expect our error in reconstruc-480 tions from GRACE observational data to be in the range of errors from validation in dif-481 ferent models. To be conservative, we take the error from the model where reconstruc-482 tion error is largest for our uncertainty estimate in Section 4. Part of the variation in 483 error may be explained by changes in the variance of smoothed AABW transport (shown 484 explicitly in Figure S6, and implicitly in the way R^2 changes in conjunction with MSE). 485 Nonetheless, \mathbb{R}^2 and MSE are of similar magnitude in all models. This result lends con-486 fidence to our method, and to our final reconstructions from GRACE observational data 487 in Section 4. 488



Figure 7. Skill of AABW transport reconstructions when evaluated in different ocean models: two ACCESS-OM2 runs at different resolution, both with historical forcing, and GFDL-OM4 with a repeat year forcing. a) shows the coefficient of determination, or \mathbb{R}^2 , which quantifies the fraction of variance captured by the reconstruction. b) shows mean square error, which quantifies the absolute error of the reconstruction. In all three test cases, the weights come from a fit to ACCESS-OM2-01, and use ocean bottom pressure coarsened to ~300 km with added noise.

489 4 An AABW transport timeseries

We use our optimised reconstruction method, and observations from the GRACE 490 satellites, to create a timeseries of AABW transport anomalies in each ocean basin (Fig-491 ure 8). A two-sigma confidence interval is shaded, where the standard deviation is con-492 servatively taken as the square root of the mean square error in the model with worst 493 error (Figure 7b) for each basin. The error in the reconstruction is substantial, such that 494 the two-sigma confidence intervals of the reconstructions (shown in red shading) almost 495 always encompass the 0 Sv anomaly. Both the two-sigma interval and \mathbb{R}^2 around 0.5 should 496 be considered when interpreting these reconstructions: although the error in our recon-497 struction is substantial, we assume based on the models' R^2 metric of skill (Figure 7) 498 that the fraction of ocean MOC variance that our reconstruction captures is around 50%. 499



Figure 8. Reconstructed AABW transport anomaly from satellite observations of ocean bottom pressure. Gaps in data indicate times when no GRACE data is available – specifically when less than three quarters of the data needed for the temporally smoothed average is available. The data has been smoothed with a Gaussian filter with a standard deviation of four months. Errorbars show the two-sigma range – approximately the 95% confidence interval – and include both observational and methodological uncertainty.

We can also calculate a trend of our AABW transport reconstruction, from the un-500 smoothed timeseries (Table 2). These trends are, for the most part, not significantly dif-501 ferent from zero, because the estimated error is large. Therefore, they are more useful 502 for establishing an upper bound on changes than to actually quantify trends. We do find 503 a trend in Atlantic AABW transport outside our quoted uncertainty. However, trends 504 in GRACE satellite observations of ocean bottom pressure are impacted by the choice 505 of GIA model (Caron et al., 2018), used to correct the GRACE observations for solid 506 Earth changes. The GIA uncertainty is not included in our uncertainty estimate. As such, 507 our presented trends may be subject to higher error than quoted. 508

Our estimates of AABW transport trends are consistent with previous estimates. 509 Kouketsu et al. (2011) found trends in AABW volume of -0.71, -0.43 and 0.17 Sv/decade 510 changes in AABW transport at 35°S in the Pacific, Atlantic and Indian Oceans respec-511 tively. These trends are within the uncertainty of our AABW transport trends in the 512 Atlantic and Indian Oceans, and of similar magnitude to our uncertainty estimate in the 513 Pacific. Consistent with our results in the Atlantic Ocean, Johnson (2022) found a loss 514 of 0.9 Sv of geostrophic AABW transport in the Argentine basin over a period of 20-40 515 years. The epochs used to calculate trends could impact the trend, given the internal 516 variability in AABW transport (Figure 8). The uncertainty in our estimates of AABW 517 transport trends encompasses both previous trend estimates and no trend, and so we do 518 not provide new information on this particular question. Given our error, we would be 519 able to observe a change of ~ 1 Sv (after the low-pass filter we employ), which would mean 520 the projected AABW response to climate change in Li et al. (2023) would be measur-521 able in the 2040s. 522

Table 2. Trends in AABW transport, reconstructed from GRACE satellite observations of ocean bottom pressure. Uncertainty indicates a 2σ value.

| Ocean | Trend |
|----------|--------------------------|
| Pacific | -0.08 ± 0.35 Sv/decade |
| Atlantic | -0.55 ± 0.20 Sv/decade |
| Indian | 0.05 ± 0.20 Sv/decade |

523 5 Conclusions

We explore how best to use observations of ocean bottom pressure from the GRACE 524 satellites as a proxy to reconstruct AABW, using a linear regression framework. We es-525 timate sources of error in these reconstructions by quantifying reconstruction skill in sce-526 narios of increasing realism, starting with a simple reconstruction of ocean model AABW 527 transport from ocean model bottom pressure, and sequentially including error from res-528 olution, measurement uncertainty and ocean model biases. At the end of this process, 529 we use our reconstruction method and our combined uncertainty estimate to present a 530 timeseries of AABW transport from satellite observations of ocean bottom pressure. 531

The sensitivity of the framework to the quality of ocean bottom pressure data high-532 lights several important aspects of this method. First, ocean bottom pressure with lower 533 spatial resolution does not unduly affect the output. Conversely, additional noise inserted 534 to mimic satellite observation quality degrades the reconstruction skill of AABW trans-535 port. The reconstruction skill in each of the three ocean basins responds similarly to changes 536 in the structure of ocean bottom pressure data: in each case the resolution of ocean bot-537 tom pressure has minimal impact on skill, while the addition of noise consistently de-538 creases skill. Finally, we show that the reconstruction method broadly generalises to other 539 ocean models – error is the same order of magnitude – but the variability suggests that 540 evaluation in additional models or improved dynamical understanding of variations in 541 error could improve error estimates. 542

AABW transport reconstructions could be most improved by addressing ocean bot-543 tom pressure measurement uncertainty, as we find that the addition of measurement-like 544 noise causes the largest reduction in simulated reconstruction skill. Newer releases of GRACE 545 products are generally more accurate than older releases, and thus future improvements 546 in the accuracy of GRACE satellite observations are likely (Macrander et al., 2010; Cham-547 bers & Bonin, 2012). Mass change is a designated observable by the National Aeronau-548 tics and Space Administration (NASA), and there are plans for future mass change satel-549 lite missions (Wiese et al., 2022). More accurate ocean bottom pressure observations could 550 be used for improved estimates of ocean transport. Given the large impact of adding noise 551 to the ocean bottom pressure, future work investigating ocean transport reconstruction 552 from ocean bottom pressure should incorporate the uncertainty of GRACE observations. 553

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We further suggest including additional observables to improve the accuracy of AABW 554 transport reconstructions. Some error remains in reconstructions from 1° noise-free ocean 555 bottom pressure, suggesting that alternate sources of data or reconstruction methods may 556 improve AABW transport reconstructions. Stewart et al. (2021) suggest that zonal wind 557 stress would be skilful at reconstructing AABW transport, and Solodoch et al. (2023) 558 find that combining zonal wind stress with ocean bottom pressure offers slight improve-559 ments in reconstructions over using solely ocean bottom pressure. Given the reduction 560 in accuracy from GRACE noise, further exploration of including wind stress measure-561 ments is justified. In-situ observations, such as data from more recent deep Argo data 562 or mooring arrays, could be combined with our estimate to constrain AABW interan-563 nual variations. 564

This work provides a first estimate of interannual AABW transport variability from 565 the currently available GRACE satellite data. Variations in AABW transport of mag-566 nitude >1 Sv over several years (around 1-10% of total AABW transport) would be de-567 tectable with our method. Additionally, we demonstrate a method of using satellite-measured 568 ocean bottom pressure to infer AABW transport anomalies, and a method of including 569 the impacts of both satellite resolution and measurement uncertainty in our error esti-570 mate. Satellite measurement uncertainty is the largest contributor to uncertainty in our 571 AABW transport reconstruction: future work to refine estimates of AABW transport 572 from satellite observations of ocean bottom pressure will need to develop methods to min-573 imise the impact of measurement uncertainty. 574

575 6 Open Research

Code used to perform the analyses and processed model output will be uploaded to zenodo once the manuscript is accepted. (Code is currently here: https://github.com/jemmajeffree/graceaabw)

⁵⁷⁹ The JPL GRACE/GRACE-FO Mascon data are available at http://grace.jpl.nasa.gov

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In situ ocean bottom pressure records are available at https://www.psmsl.org/data/bottom_pressure/

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GRACE satellite observations of Antarctic Bottom Water transport variability

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| 11 | Key Points: |
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| 12 | • We use estimates of ocean bottom pressure from the GRACE satellites as a proxy |
| 13 | for Antarctic Bottom Water transport |
| 14 | • The largest source of uncertainty in our reconstruction is satellite measurement |
| 15 | uncertainty |
| 16 | • We reconstruct Antarctic Bottom Water transport anomalies, capturing an esti- |
| 17 | mated 50% of variance |

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18 Abstract

Antarctic Bottom Water (AABW) formation and transport constitute a key component 19 of the global ocean circulation. Direct observations suggest that AABW volumes and 20 transport rates may be decreasing, but these observations are too temporally or spatially 21 sparse to determine the cause. To address this problem, we develop a new method to 22 reconstruct AABW transport variability using data from the GRACE (Gravity Recov-23 ery and Climate Experiment) satellite mission. We use an ocean general circulation model 24 to investigate the relationship between ocean bottom pressure and AABW: we calculate 25 both of these quantities in the model, and link them using a regularised linear regres-26 sion. Our reconstruction from modelled ocean bottom pressure can capture 65-90% of 27 modelled AABW transport variability, depending on the ocean basin. When realistic ob-28 servational uncertainty values are added to the modelled ocean bottom pressure, the re-29 construction can still capture 30-80% of AABW transport variability. Using the same 30 regression values, the reconstruction skill is within the same range in a second, indepen-31 dent, general circulation model. We conclude that our reconstruction method is not unique 32 to the model in which it was developed and can be applied to GRACE satellite obser-33 vations of ocean bottom pressure. These advances allow us to create the first global re-34 construction of AABW transport variability over the satellite era. Our reconstruction 35 provides information on the interannual variability of AABW transport, but more ac-36 curate observations are needed to discern AABW transport trends. 37

38

Plain Language Summary

Ocean circulation moves heat and carbon around the globe. Changes in the way this circulation moves heat and carbon influence future climate. One part of this ocean circulation is Antarctic Bottom Water, which forms around Antarctica and flows north along the ocean floor into the Pacific, Atlantic and Indian Oceans. Observations of Antarctic Bottom Water are sparse. Those which exist suggest that the volume of Antarctic Bottom Water is declining, but are insufficient to explain why this is happening.

We design a new method to try and measure Antarctic Bottom Water transport. The physical equations describing fluid flows suggest gravity signals measured by satellites might be useful. To establish how useful this data is, we simulate the observations of these satellites in an ocean model. We also calculate the transport of Antarctic Bottom Water in the model. This means we can investigate how effective the modelled satel-

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⁵⁰ lite data is at measuring modelled Antarctic Bottom Water. Our method of using the

satellite data skilfully measures Antarctic Bottom Water transport, so we use this method

⁵² to calculate Antarctic Bottom Water from the real-world satellite observations.

⁵³ 1 Introduction

The lower limb of the global meridional overturning circulation is composed of Antarctic Bottom Water (AABW). AABW is a dense watermass that forms near Antarctica and flows northwards along the ocean floor in the Pacific, Indian and Atlantic Oceans (Talley, 2013). AABW composes a third of the ocean volume, and covers more than half the ocean floor (Johnson, 2008).

Observations of AABW provide some information about the mean flow and char-59 acteristics of this water mass. Temperature and salinity profiles along research vessel tran-60 sects have been gathered by the WOCE and GOSHIP programs roughly once per decade. 61 These transects are temporally sparse compared to the timescales on which AABW trans-62 port varies (Purkey & Johnson, 2012; Stewart et al., 2021). Localised mooring arrays 63 have provided information with daily resolution, but only sample a subset of AABW path-64 ways (e.g. Fukamachi et al., 2010; Valla et al., 2019). More recently, deep Argo floats 65 have expanded knowledge of AABW in specific areas (e.g. Foppert et al., 2021; John-66 son, 2022). Although deep Argo floats will give more information in the future, their col-67 lected data currently comprises only several years, and over a relatively small fraction 68 of the Southern Ocean. As such, there is no source of AABW observations with suffi-69 cient spatial and temporal coverage to constrain the variability of AABW transport. 70

Higher resolution observations of AABW could improve understanding of its re-71 sponse to climate change. Recent modelling work suggests a halving of AABW produc-72 tion and transport by 2050 in response to projected Antarctic meltwater forcing (Li et 73 al., 2023). Observations also show that the volume of AABW has declined in recent decades 74 (Purkey & Johnson, 2012). Recent studies associate this reduction in Bottom Water vol-75 ume with declining production of the precursor Dense Shelf Water, but note that data 76 limitations prevent direct observations of this link (Abrahamsen et al., 2019; Zhou et al., 77 2023). Furthermore, natural variability in AABW can produce apparent trends with-78 out the aid of external forcing (Zhang et al., 2019). Further investigation into the tem-79

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poral variability of AABW would shed light on how AABW transport and other related 80 processes are changing. 81

One option to supplement *in-situ* observations of AABW is satellite data. Satel-82 lite measurements of horizontal ocean pressure gradients indirectly measure geostrophic 83 ocean transport. This link has been utilised to estimate ocean transport in the upper 84 1000m of the ocean from satellite altimetry of sea surface height gradients, with some 85 correction due to steric variability (e.g. Ivchenko et al., 2011; Kosempa & Chambers, 2014). 86 However, deep baroclinic flows are less directly related to surface pressure gradients, and 87 deep density observations are too sparse to correct for this. Deep geostrophic flows can 88 instead be inferred from ocean bottom pressure (Hughes et al., 2013), which is measured 89 by the GRACE (Gravity Recovery and Climate Experiment) satellites. In practice, in 90 the ECCO ocean state estimate, almost all (95%) AABW transport at any latitude in 91 the Southern Ocean can be reconstructed from ocean bottom pressure using a neural net-92 work (Solodoch et al., 2023), demonstrating that sufficiently accurate and high resolu-93 tion observations of ocean bottom pressure can be used alone to reconstruct AABW trans-94 port. 95

The GRACE satellites measure mass anomalies on Earth's surface. These mass anoma-96 lies correspond, via hydrostatic balance, to ocean bottom pressure anomalies, which sug-97 gests that the GRACE satellite observations could be used to infer AABW transport anoma-98 lies. However, both the resolution and accuracy of GRACE satellite observations of ocean qq bottom pressure limit their potential to reconstruct AABW transport. For example, the 100 standard error of GRACE satellite estimates of ocean bottom pressure is 10^{-2} dbar (Watkins 101 et al., 2015), around the same magnitude as ocean bottom pressure variability (Poropat 102 et al., 2018). The coarse spatial resolution of GRACE-derived outputs (\sim 300 km), com-103 bines ocean bottom pressure signals from different depths on the continental slope and 104 thus could conflate estimates of ocean transport at different depths (Hughes et al., 2018). 105 Bingham and Hughes (2008) suggested that that the depth-dependent part of ocean bot-106 tom pressure anomalies are key to estimating ocean transport. 107

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However, case studies of North Atlantic Deep Water (NADW; a similar water mass to AABW) suggest that satellite-derived ocean bottom pressure can reconstruct ocean 109 transport despite these barriers. Bentel et al. (2015) found that ocean bottom pressure 110 in a model, coarsened to the the same ~ 300 km grid as GRACE satellite observations, 111

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could reconstruct the model's NADW with a correlation coefficient of 0.7. Landerer et
al. (2015) later compared a reconstruction of NADW from GRACE satellite estimates
with estimates from an *in-situ* mooring array, finding a similar correlation coefficient.
Therefore, although GRACE satellite estimates of ocean bottom pressure are at lower
resolution and higher uncertainty than model output, they remain a viable proxy for deep
ocean transport in the North Atlantic.

In the Southern Hemisphere, only one study has used satellite estimates of ocean 118 bottom pressure to reconstruct AABW transport, and no study has done so comprehen-119 sively. Mazloff and Boening (2016) focused on a specific region of the Pacific Ocean, and 120 found that ocean bottom pressure can reconstruct 86% of AABW transport variance in 121 this region. They gave an estimate of how GRACE satellite estimates of ocean bottom 122 pressure might be used to reconstruct AABW. However, Mazloff and Boening (2016) only 123 looked at one region, and their uncertainty estimation hinged on a comparison with a 124 single *in-situ* location. No satellite-based basin-wide estimates of AABW transport ex-125 ist. Additionally, no previous work has considered together the impacts of resolution and 126 uncertainty when using GRACE observations to reconstruct AABW. 127

In this paper we quantify the accuracy that satellite observations of ocean bottom 128 pressure can provide for estimation of AABW transport variability. We develop a sim-129 ple empirical method to link modelled ocean bottom pressure with AABW transport (Sec-130 tion 2). This method is tested on AABW transport in a high-resolution ocean model, 131 where the ocean bottom pressure observations are degraded by coarsening resolution and 132 adding noise to emulate the characteristics of satellite observations (Section 3). We then 133 apply this method to GRACE satellite observations of ocean bottom pressure, to esti-134 mate the interannual variability in AABW transport (Section 4). 135

¹³⁶ 2 Reconstruction Method

We aim to develop a method to reconstruct AABW transport from GRACE satellite observations of ocean bottom pressure, and to quantify the performance of this method. There are insufficient *in-situ* AABW transport observations against which to test the accuracy of the reconstruction method, so we develop and test our method using output from an ocean general circulation model. We take both AABW transport and ocean bottom pressure from the ocean model output, and link these variables with a multivari-

-5-

ate linear regression. This reconstruction method can then be applied to ocean bottom
pressure from another numerical model, to test the reconstruction method's generality,
and to satellite observations of ocean bottom pressure, to produce an estimate of AABW
transport variability.

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2.1 Ocean Model

We develop our reconstruction method using output from ACCESS-OM2-01, a cou-148 pled sea-ice/ocean model with prescribed atmospheric forcing. The model uses a 0.1° 149 Mercator grid; full model configuration is described in Kiss et al. (2020). ACCESS-OM2-150 01 is one of the few models which adequately represents AABW sourced from dense wa-151 ter formed on the Antarctic continental shelf, instead of in the open ocean (Solodoch et 152 al., 2022). Additionally, the high resolution allows ACCESS-OM2-01 to represent eddies 153 and other mesoscale structures over much of the globe without parameterisation. By ac-154 curately representing more ocean processes, ACCESS-OM2-01 is more likely to correctly 155 represent links between AABW transport and ocean bottom pressure. 156

We use model output from two model runs of ACCESS-OM2-01, with different prescribed atmospheric forcing. One model run uses atmospheric forcing from the JRA55do reanalysis dataset from January 1958 to December 2018 (Tsujino et al., 2018). We term this the historically forced model run. The other model run uses a continuous cycling of the May 1990 to April 1991 atmosphere from JRA55-do (Stewart et al., 2021), for which we have 230 years of monthly data. We term this the repeat year forced model run. These two model runs provide a combined total of 291 years of data.

Our multivariate linear regression model is fitted to, or trained on, the repeat-year 164 forced ACCESS-OM2-01 data. We initially test our method, and empirically refine method-165 ology, on the historical run of ACCESS-OM2-01. In addition, we test the generalisabil-166 ity of our method, trained on ACCESS-OM2-01 output, with the output from two ad-167 ditional models: a historically forced run of ACCESS-OM2 at 0.25° resolution (ACCESS-168 OM2-025; Kiss et al., 2020) and a repeat year forced run of GFDL-OM4 at 0.25° res-169 olution (GFDL-OM4-025; Adcroft et al., 2019). Output from these models is arguably 170 more independent of the training data than output from a separate run of ACCESS-OM2-171 01, and so testing our method on output from these different models increases confidence 172 in the estimate of our method uncertainty. 173

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2.2 AABW transport definition

In this paper we define AABW, in each ocean basin, to be water denser than a particular density threshold. At a given latitude, the monthly AABW transport (ψ_t) below the isopycnal ρ_1 at time t is given by

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$$\psi_t(\rho_1) = \int_{x_0}^{x_1} \int_{z_0}^{z_t(\rho_1)} v_t dz dx \tag{1}$$

where x_0, x_1 are the longitudinal bounds of a transect, z_0 is the height of the ocean floor, 179 $z_t(\rho_1)$ is the height of the density threshold ρ_1 at time t, and v_t is the meridional veloc-180 ity at time t. Meridional transport in density coordinates is a supplied diagnostic in the 181 ACCESS-OM2-01 runs, but at insufficiently high resolution at AABW depths. Instead, 182 we bin meridional transport into density bins at 0.01 kgm^{-3} spacing over the range 1036.5– 183 1037.5 kgm^{-3} using monthly output. The use of monthly averaged density and veloc-184 ity may omit eddy contributions to transport magnitude; we find that this omission is 185 not significant at the latitudes tested here. The correlation between 12 months of AABW 186 transport calculated using monthly or daily data is ≥ 0.98 in each basin (not shown). The 187 mean ACCESS-OM2-01 AABW transport across 30°S from the historically forced model 188 run is 18.5 Sv $(10^6 m^3 s^{-1})$, the same order of magnitude as estimates from observations, 189 which range from 10 Sv to 50 Sv (Slovan & Rintoul, 2001; Lumpkin & Speer, 2007; Tal-190 ley, 2013). 191

For each model, at any given latitude, we define the AABW threshold to be the 192 isopycnal bounding northward flowing water at the ocean bottom. The one exception 193 to this definition is in GFDL-OM4 in the Pacific Ocean, where we overwrite the density 194 threshold with that from ACCESS-OM2-01, because our streamfunction definition pro-195 duces unrealistic AABW transport (details in Text S1 and Figure S1). We reconstruct 196 AABW at 30°S in the Atlantic and Indian Oceans, and 40°S in the Pacific Ocean, be-197 cause these latitudes maximise the skill of our AABW reconstruction (See Section 2.6 198 and Figure S5). The attributes of ACCESS-OM2-01 AABW calculated following this 199 method are shown in Table 1. 200

201

2.3 Building a Linear Regression Model for AABW Transport

Ocean bottom pressure gradients along an ocean cross section are linearly related to large-scale ocean transport through that cross section, including AABW transport (Hughes et al., 2013). This physical link could be used to directly estimate AABW transport from

Table 1. AABW transport and the definition of AABW in each ocean basin, used to train and test the regression in ACCESS-OM2-01. The latitudes are chosen separately in each ocean basin in order to maximise reconstruction skill (See Section 2.6 and Figure S5).

| Ocean | Latitude | Potential density | Mean transport |
|----------|---------------|----------------------------|----------------|
| | | (σ_2) threshold | |
| Pacific | $40^{\circ}S$ | 1037.08 kgm^{-3} | 10.2 Sv |
| Atlantic | $30^{\circ}S$ | 1037.08 kgm^{-3} | 4.6 Sv |
| Indian | $30^{\circ}S$ | 1037.09 kgm^{-3} | 3.8 Sv |

ocean bottom pressure, in the same way that Landerer et al. (2015) reconstructed NADW.
However, such an approach limits the ocean bottom pressure to that along a single line
of latitude, even though ocean bottom pressure to the north and south of a zonal transect correlates with transport across the transect (Landerer et al., 2015). Solodoch et
al. (2023) found meridional averaging didn't affect reconstruction skill in a noise-free scenario. Thus, off-transect ocean bottom pressure could provide additional information for
reconstructing ocean transport.

Off-transect ocean bottom pressure is not directly physically linked to ocean transport in the same way that on-transect ocean bottom pressure is, without assuming that AABW transport is invariant with latitude. In order to include off-transect ocean bottom pressure in our reconstruction, we assume that AABW transport anomalies can be reconstructed from a weighted sum of ocean bottom pressure anomalies at different locations, where these weights can be positive or negative, and arbitrarily large:

$$\hat{\psi}_t = \sum_i w_i p_{i,t},\tag{2}$$

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where $\hat{\psi}_t$ is the predicted AABW transport at time t, w is the weight for a particular gridcell i, and p is the pressure for a gridcell i and time t. Note that i could cover any cell in the region of interest, and is not limited to a single dimension. This formulation is consistent with the linear relationship from physical theory in a one dimensional example, while also generalising to allow the incorporation of two dimensional ocean bottom pressure.

We use a least-squares linear regression with ridge regularisation to estimate weights for ocean bottom pressure: regularisation reduces overfitting to the training data by favour-

ing solutions with smaller weights, where noise contributes less to the final reconstruc-227

tion (see, for example, McDonald (2009)). We fit the linear regression on 230 years of 228

ACCESS-OM2-01 repeat year forced data, at monthly resolution, with the climatology 229

removed. This data has, in effect, constant atmospheric forcing and thus the linear re-230

gression captures the ocean bottom pressure signal of unforced internal variability of AABW 231 transport. 232

233

2.4 Processing of model output ocean bottom pressure

Ocean bottom pressure is output by the ACCESS-OM2-01 model. However, the 234 output from ACCESS-OM2-01 is at 0.1° resolution, and estimating weights at this res-235 olution numerically destabilises the linear regression, resulting in noisy weights and poor 236 AABW transport reconstruction. To stabilise our weight calculation, we initially aver-237 age ocean bottom pressure to 1° resolution. One degree resolution has been used by pre-238 vious studies to evaluate the links between ocean transport and ocean bottom pressure 239 (e.g. Solodoch et al., 2023). 240

We aim to not only probe the link between ocean bottom pressure and AABW trans-241 port, but also to apply our calculated weights to satellite observations of ocean bottom 242 pressure and thereby estimate AABW transport. For this purpose, the ocean bottom 243 pressure grid for which we calculate weights must align with an observational grid. The 244 GRACE satellites observe temporal variations in ocean bottom pressure with a spatial 245 resolution of around 300km (3° at the equator), and temporal resolution of 1 month. We 246 use GRACE observations on a mascon (mass concentration) grid, where anomalous mass 247 is estimated as discrete homogeneous tiles of equivalent water height. These GRACE ob-248 servations show improved separation of the relevant ocean signals from land signals, com-249 pared to previous GRACE observations estimated as spherical harmonic coefficients (Watkins 250 et al., 2015). We base our AABW transport reconstruction on Jet Propulsion Labora-251 tory (JPL) GRACE mascon product RL06.1Mv03 (Watkins et al., 2015). We find this 252 product to have the lowest uncertainty of available GRACE mascon products when em-253 pirically validated against *in-situ* ocean bottom pressure (Section 2.5, Figure S2), though 254 in some cases the difference is negligible. 255

256

To convert ACCESS-OM2-01 model output ocean bottom pressure to the same grid as satellite observations, we average the ACCESS-OM2-01 ocean bottom pressure to the 257

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Figure 1. An example part of the JPL RL06.1Mv03 GRACE mascon grid. Black outlines represent the circular mascons that compose the grid. Colours indicate which mascon/gridpoint an ACCESS-OM2-01 gridcell is assigned to.

| 258 | irregular ${\sim}300 \rm km$ grid used by the JPL RL06.1Mv03 GRACE mascon product (Figure |
|-----|--|
| 259 | 1). This allows us firstly to estimate the impact of resolution in the model, and further- |
| 260 | more to calculate weights for ocean bottom pressure on this grid, which we can then trans- |
| 261 | fer to satellite observations of ocean bottom pressure. This mascon grid is nominally com- |
| 262 | posed of circles, which do not entirely cover the surface of the Earth. Nonetheless, ocean |
| 263 | bottom pressure from the gaps between the circular mascons influences the calculated |
| 264 | ocean bottom pressure in the mascons (Watkins et al., 2015), and so we average all ocean |
| 265 | bottom pressure in the model onto the nearest circular mascon (Figure 1). |

We omit ocean bottom pressure at some locations, due to unresolved uncertainty 266 or signal contamination. The signals that the GRACE satellites measure on ice-free land 267 - mostly changes in soil moisture and groundwater - have greater magnitude than the 268 ocean bottom pressure signals. To prevent these land signals from contaminating our AABW 269 transport estimate, we discard any mascon with more than 1% land as a fraction of to-270 tal area. Glacial isostatic adjustment (GIA) is a substantial part of the mass change sig-271 nal measured by the GRACE satellites (Caron et al., 2018). As this adjustment results 272 from movement of mantle mass rather than ocean mass, it does not contribute to ocean 273 bottom pressure. We use the default ICE6G-D model to correct for GIA (Peltier et al., 274 2018). Error from this GIA model will contribute to error in our calculated AABW trends. 275 Our reconstructions only use mascons at a latitude north of $\sim 50^{\circ}$ S, which should min-276 imise this error (see Figure 3). We omit the impact of GIA in our error estimation, be-277 cause this error is poorly characterised. 278

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2.5 Uncertainty in satellite-based bottom pressure estimates

Measurement uncertainty in ocean bottom pressure leads to uncertainty in the re-280 construction of AABW. We estimate the uncertainty of GRACE satellite observations 281 of ocean bottom pressure by empirically comparing GRACE observations to *in-situ* ocean 282 bottom pressure records from the Permanent Service for Mean Sea Level database (Permanent 283 Service for Mean Sea Level, 2022). We limit *in-situ* ocean bottom pressure records to 284 those with a length of at least 12 months and no gaps greater than a month: a total of 285 1798 months of data from 88 ocean bottom pressure sensor deployments meet this cri-286 teria. All deployments included in this database are from earlier than 2016. We aver-287 age the *in-situ* records to the same monthly epochs as GRACE and compare each *in-*288 situ deployment to the GRACE mascon covering its location (Figure 2a). We do not find 289 any systematic link between GRACE error and any of latitude, ocean basin or ocean depth, 290 and therefore combine the error from all ocean bottom pressure sensor deployments. We 291 iteratively estimate a standard deviation, discarding outliers more than three standard 292 deviations from the mean until the estimate converges (difference in subsequent error 293 estimates is less than 10^{-3} dbar). Using this method, we estimate that the root mean 294 square error (RMSE) of JPL GRACE solutions is 0.015 dbar (Figure 2b). This error is 295 added to the AABW transport reconstruction error by Gaussian error propagation (Lo, 296 2005), adjusting the sum of squared error to be: 297

298

$$\sum_{t} (\psi_t - \hat{\psi}_t)^2 + \sum_{t} \sum_{i} \sigma^2 w_i^2,$$
(3)

where σ represents the RMSE of GRACE observations. In applying this formula, we assume that GRACE error is neither spatially nor temporally correlated. (Note that this assumption is not strictly true: error in temporally adjacent mascon estimates has a weak correlation of r~0.3, and error in spatially adjacent mascons is known to be correlated, but is difficult to quantify.)

Ocean bottom pressure sensors, which we use as ground-truth, are known to drift with greater magnitude than ocean variability (Polster et al., 2009). This drift is best removed with an empirical exponential plus linear trend (Polster et al., 2009), and is already removed from the bottom pressure records we use. We elect not to detrend the GRACE observations, because this would remove one degree of freedom from already short timeseries (mean length of 20 months per *in-situ* record). This choice could lead



Figure 2. Empirical validation of JPL GRACE observations using *in-situ* ocean bottom pressure records. a) Histogram of Pearson's correlation coefficient between each deployment and the corresponding GRACE estimate of ocean bottom pressure. Bin width is 0.05. b) Histogram of GRACE error relative to *in-situ* ocean bottom pressure observations combined from all *in-situ* records. Bin width is 0.001. Black line shows estimated Gaussian error with a RMSE of 0.015 dbar.

to overestimating the error, but detrending the GRACE observations decreases error by less than 10%.

We also assume that any difference between the *in-situ* measurement and the GRACE 312 observation is exclusively due to GRACE measurement error. Additional deviation re-313 lated to the comparison of point measurements to 300km averages, or from error in the 314 *in-situ* records, would result in some overestimation of GRACE error. A comparison of 315 high resolution and spatially coarsened ocean bottom pressure anomalies in ACCESS-316 OM2-01 suggests that averaging imparts some error, possibly reducing the raw error by 317 30%, but this error is uncorrelated with the total error of any given *in-situ* record and 318 we do not attempt to remove it. This choice means that our uncertainty estimate is more 319 conservative than estimates used in previous work (e.g Mazloff & Boening, 2016). 320

321

2.6 Reconstruction optimisation

To optimise our reconstruction method, we use the coefficient of determination (R^2) between the validation and reconstructed AABW transport timeseries as a metric of skill. There are, confusingly, multiple skill metrics termed R^2 (Kvalseth, 1985). Here, we cal-

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325 culate:

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$$R^{2} = 1 - \frac{\sum_{t} (\psi_{t} - \hat{\psi}_{t})^{2}}{\sum_{t} (\psi_{t} - \bar{\psi}_{t})^{2}},$$
(4)

where an overbar ($^{-}$) indicates a time-mean. This measure of skill is not generally equivalent to the square of Pearson's correlation coefficient (r^2) (Kvalseth, 1985). Addition of noise from measurement error adjusts R^2 to:

$$\mathbf{R}^{2} = 1 - \frac{\sum_{t} (\psi_{t} - \hat{\psi}_{t})^{2} + \sum_{t} \sum_{i} \sigma^{2} w_{i}^{2}}{\sum_{t} (\psi_{t} - \bar{\psi}_{t})^{2}},$$
(5)

The reconstruction method is primarily optimised in an empirical fashion. For each 331 component of the reconstruction method (e.g. data used, temporal smoothing, etc), we 332 test a range of values and select those which maximise the reconstruction skill in the his-333 torically forced run of ACCESS-OM2-01. The strength of ridge regularisation is empir-334 ically determined for each transect independently – stronger regularisation is required 335 for the reconstructions where noise makes up a greater part of the input data. Other op-336 timal architecture choices are used consistently across reconstructions, and are listed as 337 follows: 338

- The latitudinal range of ocean bottom pressure information is $\pm 10^{\circ}$ of the transect.
- The ocean bottom pressure and AABW transport are smoothed with a Gaussian
 filter with standard deviation of four months, after removing the seasonal cycle.
 Instead of fitting a single linear regression to each basin, we fit a regression to calculate the weights for each AABW pathway through a basin and later combine
 these to full basin transports. For example, we split the Atlantic into two sub-basins
 on each side of the mid-ocean ridge. The subbasins are defined following AABW

pathways in Figure 4 of Solodoch et al. (2022).

The reasoning for the first two optimisation choices producing highest skill is unclear; they were simply empirically chosen after evaluating a range of values (Figures S3, S4). We propose that the third may improve skill by decreasing the number of weights to be fitted for the same length of training data. The transects across which AABW transport is reconstructed and the ocean bottom pressure range used for this reconstruction is represented visually in Figure 3.

Historical changes in ocean bottom pressure are dominated by ocean mass increase from melting ice sheets and glaciers. Ocean mass gain produces an ocean bottom pres-

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Figure 3. A schematic of the data used for AABW transport reconstruction from ocean bottom pressure. In each ocean basin, the transects across which AABW transport is calculated are marked in black, as is the mean AABW transport across each of these transects. Colors show ocean bottom pressure from a single timestep in ACCESS-OM2-01 averaged onto the JPL mascon grid, and trimmed to the latitude ranges used to reconstruct AABW.

| 356 | sure signal of around 0.02 dbar per decade (Johnson & Chambers, 2013); ocean bottom |
|-----|--|
| 357 | pressure variations related to interannual variability in ocean transport are around 0.02 |
| 358 | dbar (Landerer et al., 2015). However, this long-term trend in ocean bottom pressure |
| 359 | is not incorporated into the ocean model simulations. The linear regression weights need |
| 360 | to be robust to ocean mass gain, otherwise mass gain will produce a spurious trend in |
| 361 | the final AABW transport estimate. To ensure this robustness, we duplicate the train- |
| 362 | ing data for the linear regression and add a basin-wide 1 dbar to ocean bottom pressure |
| 363 | in the second half of this training data, but leave the AABW transport unmodified. We |
| 364 | hereby fit a linear regression to a timeseries which is twice as long, and the same ocean |
| 365 | transport occurs twice in the timeseries, the second time with a basin-wide 1 dbar in- |
| 366 | crease in ocean bottom pressure. The linear regression is therefore forced to ignore basin- |
| 367 | wide ocean mass changes. We show that this method is effective at removing all depen- |
| 368 | dency on basin-wide sea level by adding a $0.5~\mathrm{dbar}$ gradual increase to ocean bottom press |
| 369 | sure and observing the impact (Figure 4). |

370 **3 Evaluation of method**

This section presents our method's skill at reconstructing AABW transport from ocean bottom pressure. We initially illustrate the physical mechanism behind reconstruction skill with one example transect. We then quantify reconstruction skill across all basins, and explore the impact of realistic bottom pressure measurement constraints – resolu-

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Figure 4. Reconstructions with and without accounting for basin-wide ocean mass gain. The black line shows AABW in ACCESS-OM2-01 validation data. Both reconstructions use the same model ocean bottom pressure output with a trend of 0.5 dbar increase over the full time period. This trend affects the original non-robust linear regression (green dotted line), but not the one which is trained to be robust against basin-wide sea level changes (red dashed line).

tion and noise – on reconstruction skill. Finally, we test our reconstruction method on
output from independent ocean models, to more robustly estimate reconstruction skill,
including the impact of model biases. These estimates of skill are interpreted to inform
future improvements in reconstruction.

379

3.1 Detailed examination of one specific reconstruction

To demonstrate the physical reasoning behind our AABW transport reconstruc-380 tion method, we initially examine the method in one sector of the Southern Ocean. For 381 this purpose we reconstruct AABW transport in the western Pacific, from model out-382 put ocean bottom pressure averaged onto a 1° grid. To reconstruct AABW, our method 383 uses a linear regression to estimate a set of weights by which to multiply ocean bottom 384 pressure (Section 2). The distribution of these weights can be displayed spatially, to in-385 dicate the patterns of ocean bottom pressure which the linear regression associates with 386 AABW transport: Figure 5a shows the weights used to reconstruct AABW transport 387 in the western Pacific. Positive weights are found on the western boundary below 3000 388 m, approximately the depth of the AABW layer in ACCESS-OM2-01. Conversely, neg-389 ative weights are found towards the mid-ocean ridge on the eastern side of the sub-basin. 390 This distribution of weights relates a decrease in pressure with increasing longitude to 391 northward AABW transport, in agreement with physical theory derived from geostrophic 392 flow (Hughes et al., 2013). 393

In addition to aligning with physical theory, the weights we calculate can be effec-394 tive at reconstructing AABW transport. The weights were derived from ACCESS-OM2-395 01 repeat year forced model output. We test these weights on the historically forced ACCESS-396 OM2-01 run, and generate a reconstruction from this independent ocean bottom pres-397 sure. The reconstruction (black line in Figure 5c) accounts for 88% of AABW transport 398 variance in the historically forced run (grey line in Figure 5c). Thus, our reconstruction 300 method can produce skilful reconstructions of AABW transport, at least when using ocean 400 bottom pressure at 1° resolution. 401

A more realistic demonstration of our reconstruction method would incorporate 402 the constraints imposed by satellite-observed ocean bottom pressure. These constraints 403 include two factors: the ~ 300 km spatial resolution of existing satellite ocean bottom 404 pressure observations, and their measurement uncertainty. We calculate weights for ocean 405 bottom pressure averaged onto a grid used operationally for satellite ocean bottom pres-406 sure estimates (see Figures 1 and 3), and with the linear regression regularisation tuned 407 to mitigate the effects of observational ocean bottom pressure uncertainty (standard de-408 viation 0.015 dbar; see methods and Figure 2). These new weights (Figure 5b) are con-409 sistent with the weights in Figure 5a, albeit at lower resolution. Therefore, the lower res-410 olution weights still agree with physical theory (Hughes et al., 2013). The coarser res-411 olution of ocean bottom pressure results in a wider band of positive weights on the west-412 ern boundary, which Hughes et al. (2018) argued limit the effectiveness of GRACE satel-413 lite observations. The decrease in resolution, combined with the addition of noise, re-414 duces reconstruction skill to capture 75% of AABW transport variance (see dashed line 415 in Figure 5c). 416

417

3.2 Quantifying AABW reconstruction skill

We now expand our analysis to encompass all three ocean basins, and to further test how skill is impacted by the resolution and uncertainty of satellite-measured ocean bottom pressure. We perform this analysis using two skill metrics: coefficient of determination (\mathbb{R}^2) and mean square error. \mathbb{R}^2 shows relative skill as a percentage of variance captured. Mean squared error, though less intuitive than root mean squared error, has the advantage that independent Gaussian sources of error sum linearly. Higher \mathbb{R}^2 and lower mean squared error each indicate greater skill. These skill metrics are evaluated

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Figure 5. AABW transport anomaly reconstruction at 40° S in the west Pacific Ocean from ACCESS-OM2-01 repeat year forced model output ocean bottom pressure. a) Spatial pattern of weights from the linear regression of ocean bottom pressure coarsened to 1° resolution upon AABW transport. b) Spatial pattern of weights from the linear regression for ocean bottom pressure which is coarsened onto the ~300km grid used in JPL GRACE processing. c) Validation AABW transport, along with reconstructions from the weights in a) and b). These weights reconstruct validation AABW transport with reasonable skill: R² is 0.88 and 0.75 respectively. The reconstruction from ocean bottom pressure coarsened to ~300km has noise added to mimic the uncertainty of GRACE satellite observations of ocean bottom pressure.

- ⁴²⁵ in Figure 6 as a function of ocean bottom pressure resolution and additional noise, in
- each ocean basin.



Figure 6. Skill of AABW reconstructions from each of ocean bottom pressure coarsened to 1 degree resolution (left bars), averaged onto JPL mascon shapes (approximately 3° resolution; centre bars), and averaged onto the JPL mascon shapes with noise added (right bars). a) shows the coefficient of determination, or \mathbb{R}^2 , which quantifies the fraction of variance captured by the reconstruction. b) shows mean square error which quantifies the absolute error of the reconstruction, against a total variance of 0.85, 0.92 and 0.26 Sv² for the Pacific, Atlantic and Indian Oceans respectively.

We start with ocean bottom pressure coarsened to 1° resolution, because this re-427 construction is not coarsened beyond what is needed numerically and is not inhibited 428 by noisy ocean bottom pressure. Therefore, any error indicates limitations of the recon-429 struction method itself. This reconstruction, using ocean bottom pressure at 1° resolu-430 tion with no noise, captures 65-90% of AABW transport variance, depending on the ocean 431 basin (Figure 6a, left bars). Reconstructions are generally most skilful in the Atlantic 432 Ocean, and least skilful in the Indian Ocean. Variation between basins is dependent on 433 the isopycnal used to define AABW (not shown) and the latitude at which AABW trans-434 port is calculated (Figure S5). These two changes would impact AABW variance or tran-435 sect bathymetry, as would changing ocean basins, so we suggest that AABW variance 436 or transect bathymetry contribute to changes in reconstruction skill. Our reconstruction 437 skill is similar to the reconstructions from Solodoch et al. (2023) that use a linear regres-438 sion with regularisation and we conclude that our method is a viable approach to recon-439 struct AABW transport from precise, high resolution measurements of ocean bottom pres-440 sure. 441

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To test the impact of ocean bottom pressure resolution on reconstruction skill, we 442 compare reconstructions from ocean bottom pressure coarsened to 1° and ~ 300 km. The 443 percentage of variance captured (R^2) by reconstructions using the two different resolu-444 tions of ocean bottom pressure are within 6% (compare left and centre groups of bars 445 in Figure 6a). Changes in mean squared error are generally small, with the exception 446 that mean squared error in the Pacific Ocean increases by 45% (Figure 6b). This increase 447 suggests that the lower resolution ocean bottom pressure doesn't resolve some relevant 448 feature of ocean bottom pressure anomalies in the Pacific Ocean. In the Indian Ocean, 449 the lower resolution ocean bottom pressure (~ 300 km) produces slightly ($\Delta R^2 \approx 5\%$) bet-450 ter reconstructions of AABW transport than the high resolution ocean bottom pressure 451 (1°) . We hypothesise that having fewer weights numerically stabilises the linear regres-452 sion or reduces overfitting, resulting in a minor increase in skill. In summary, ocean bot-453 tom pressure resolution has some, mostly small, impact on reconstruction skill, consis-454 tent with previous skilful reconstructions from ocean bottom pressure at ~ 300 km res-455 olution (Bentel et al., 2015; Landerer et al., 2015). In contrast to the suggestion of Hughes 456 et al. (2018), we find that ocean bottom pressure at lower resolution can be used to ef-457 fectively estimate AABW transport. 458

To test the impact of uncertainty on the reconstruction, we emulate the uncertainty of satellite ocean bottom pressure measurements with added noise. The inclusion of realistic uncertainty increases the mean squared error by up to a factor of 2 (compare centre and right groups of bars in Figure 6b), and lowers the R² by 10-35% (compare centre and right groups of bars in Figure 6a). We find that this uncertainty reduces reconstruction skill far more than the low spatial resolution does, in all three ocean basins.

465

3.3 Evaluation using other ocean models

We further evaluate our reconstruction method with data from two additional ocean 466 models. Any empirical model, including our reconstruction method and weights, is de-467 pendent on the system upon which it is trained being representative of the real world. 468 Because we train and test our reconstruction method in ACCESS-OM2-01, any biases 469 or misrepresentations in ACCESS-OM2-01 are likely to also exist in our reconstruction 470 method. A simple way to test the magnitude of error from these biases is to evaluate the 471 method using output from a different ocean model, which we expect to have different 472 biases. We use both ACCESS-OM2-025, the same model as our training data but at a 473

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different resolution, and GFDL-OM4-025, which has different ocean and sea ice compo-474 nents. We apply the weights calculated from ACCESS-OM2-01 to bottom pressure from 475 the other models, and compare the resultant reconstruction to the AABW from these 476 models (Figure 7). \mathbb{R}^2 and MSE vary between reconstructions in different models and 477 in different basins. The spread in reconstruction error leads to a range of possible un-478 certainties in our final AABW reconstruction. With the caveat that only two different 479 numerical models are used to test generalisability here, we expect our error in reconstruc-480 tions from GRACE observational data to be in the range of errors from validation in dif-481 ferent models. To be conservative, we take the error from the model where reconstruc-482 tion error is largest for our uncertainty estimate in Section 4. Part of the variation in 483 error may be explained by changes in the variance of smoothed AABW transport (shown 484 explicitly in Figure S6, and implicitly in the way R^2 changes in conjunction with MSE). 485 Nonetheless, \mathbb{R}^2 and MSE are of similar magnitude in all models. This result lends con-486 fidence to our method, and to our final reconstructions from GRACE observational data 487 in Section 4. 488



Figure 7. Skill of AABW transport reconstructions when evaluated in different ocean models: two ACCESS-OM2 runs at different resolution, both with historical forcing, and GFDL-OM4 with a repeat year forcing. a) shows the coefficient of determination, or \mathbb{R}^2 , which quantifies the fraction of variance captured by the reconstruction. b) shows mean square error, which quantifies the absolute error of the reconstruction. In all three test cases, the weights come from a fit to ACCESS-OM2-01, and use ocean bottom pressure coarsened to ~300 km with added noise.

489 4 An AABW transport timeseries

We use our optimised reconstruction method, and observations from the GRACE 490 satellites, to create a timeseries of AABW transport anomalies in each ocean basin (Fig-491 ure 8). A two-sigma confidence interval is shaded, where the standard deviation is con-492 servatively taken as the square root of the mean square error in the model with worst 493 error (Figure 7b) for each basin. The error in the reconstruction is substantial, such that 494 the two-sigma confidence intervals of the reconstructions (shown in red shading) almost 495 always encompass the 0 Sv anomaly. Both the two-sigma interval and \mathbb{R}^2 around 0.5 should 496 be considered when interpreting these reconstructions: although the error in our recon-497 struction is substantial, we assume based on the models' \mathbb{R}^2 metric of skill (Figure 7) 498 that the fraction of ocean MOC variance that our reconstruction captures is around 50%. 499



Figure 8. Reconstructed AABW transport anomaly from satellite observations of ocean bottom pressure. Gaps in data indicate times when no GRACE data is available – specifically when less than three quarters of the data needed for the temporally smoothed average is available. The data has been smoothed with a Gaussian filter with a standard deviation of four months. Errorbars show the two-sigma range – approximately the 95% confidence interval – and include both observational and methodological uncertainty.

We can also calculate a trend of our AABW transport reconstruction, from the un-500 smoothed timeseries (Table 2). These trends are, for the most part, not significantly dif-501 ferent from zero, because the estimated error is large. Therefore, they are more useful 502 for establishing an upper bound on changes than to actually quantify trends. We do find 503 a trend in Atlantic AABW transport outside our quoted uncertainty. However, trends 504 in GRACE satellite observations of ocean bottom pressure are impacted by the choice 505 of GIA model (Caron et al., 2018), used to correct the GRACE observations for solid 506 Earth changes. The GIA uncertainty is not included in our uncertainty estimate. As such, 507 our presented trends may be subject to higher error than quoted. 508

Our estimates of AABW transport trends are consistent with previous estimates. 509 Kouketsu et al. (2011) found trends in AABW volume of -0.71, -0.43 and 0.17 Sv/decade 510 changes in AABW transport at 35°S in the Pacific, Atlantic and Indian Oceans respec-511 tively. These trends are within the uncertainty of our AABW transport trends in the 512 Atlantic and Indian Oceans, and of similar magnitude to our uncertainty estimate in the 513 Pacific. Consistent with our results in the Atlantic Ocean, Johnson (2022) found a loss 514 of 0.9 Sv of geostrophic AABW transport in the Argentine basin over a period of 20-40 515 years. The epochs used to calculate trends could impact the trend, given the internal 516 variability in AABW transport (Figure 8). The uncertainty in our estimates of AABW 517 transport trends encompasses both previous trend estimates and no trend, and so we do 518 not provide new information on this particular question. Given our error, we would be 519 able to observe a change of ~ 1 Sv (after the low-pass filter we employ), which would mean 520 the projected AABW response to climate change in Li et al. (2023) would be measur-521 able in the 2040s. 522

Table 2. Trends in AABW transport, reconstructed from GRACE satellite observations of ocean bottom pressure. Uncertainty indicates a 2σ value.

| Ocean | Trend |
|----------|--------------------------|
| Pacific | -0.08 ± 0.35 Sv/decade |
| Atlantic | -0.55 ± 0.20 Sv/decade |
| Indian | 0.05 ± 0.20 Sv/decade |

523 5 Conclusions

We explore how best to use observations of ocean bottom pressure from the GRACE 524 satellites as a proxy to reconstruct AABW, using a linear regression framework. We es-525 timate sources of error in these reconstructions by quantifying reconstruction skill in sce-526 narios of increasing realism, starting with a simple reconstruction of ocean model AABW 527 transport from ocean model bottom pressure, and sequentially including error from res-528 olution, measurement uncertainty and ocean model biases. At the end of this process, 529 we use our reconstruction method and our combined uncertainty estimate to present a 530 timeseries of AABW transport from satellite observations of ocean bottom pressure. 531

The sensitivity of the framework to the quality of ocean bottom pressure data high-532 lights several important aspects of this method. First, ocean bottom pressure with lower 533 spatial resolution does not unduly affect the output. Conversely, additional noise inserted 534 to mimic satellite observation quality degrades the reconstruction skill of AABW trans-535 port. The reconstruction skill in each of the three ocean basins responds similarly to changes 536 in the structure of ocean bottom pressure data: in each case the resolution of ocean bot-537 tom pressure has minimal impact on skill, while the addition of noise consistently de-538 creases skill. Finally, we show that the reconstruction method broadly generalises to other 539 ocean models – error is the same order of magnitude – but the variability suggests that 540 evaluation in additional models or improved dynamical understanding of variations in 541 error could improve error estimates. 542

AABW transport reconstructions could be most improved by addressing ocean bot-543 tom pressure measurement uncertainty, as we find that the addition of measurement-like 544 noise causes the largest reduction in simulated reconstruction skill. Newer releases of GRACE 545 products are generally more accurate than older releases, and thus future improvements 546 in the accuracy of GRACE satellite observations are likely (Macrander et al., 2010; Cham-547 bers & Bonin, 2012). Mass change is a designated observable by the National Aeronau-548 tics and Space Administration (NASA), and there are plans for future mass change satel-549 lite missions (Wiese et al., 2022). More accurate ocean bottom pressure observations could 550 be used for improved estimates of ocean transport. Given the large impact of adding noise 551 to the ocean bottom pressure, future work investigating ocean transport reconstruction 552 from ocean bottom pressure should incorporate the uncertainty of GRACE observations. 553

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We further suggest including additional observables to improve the accuracy of AABW 554 transport reconstructions. Some error remains in reconstructions from 1° noise-free ocean 555 bottom pressure, suggesting that alternate sources of data or reconstruction methods may 556 improve AABW transport reconstructions. Stewart et al. (2021) suggest that zonal wind 557 stress would be skilful at reconstructing AABW transport, and Solodoch et al. (2023) 558 find that combining zonal wind stress with ocean bottom pressure offers slight improve-559 ments in reconstructions over using solely ocean bottom pressure. Given the reduction 560 in accuracy from GRACE noise, further exploration of including wind stress measure-561 ments is justified. In-situ observations, such as data from more recent deep Argo data 562 or mooring arrays, could be combined with our estimate to constrain AABW interan-563 nual variations. 564

This work provides a first estimate of interannual AABW transport variability from 565 the currently available GRACE satellite data. Variations in AABW transport of mag-566 nitude >1 Sv over several years (around 1-10% of total AABW transport) would be de-567 tectable with our method. Additionally, we demonstrate a method of using satellite-measured 568 ocean bottom pressure to infer AABW transport anomalies, and a method of including 569 the impacts of both satellite resolution and measurement uncertainty in our error esti-570 mate. Satellite measurement uncertainty is the largest contributor to uncertainty in our 571 AABW transport reconstruction: future work to refine estimates of AABW transport 572 from satellite observations of ocean bottom pressure will need to develop methods to min-573 imise the impact of measurement uncertainty. 574

575 6 Open Research

Code used to perform the analyses and processed model output will be uploaded to zenodo once the manuscript is accepted. (Code is currently here: https://github.com/jemmajeffree/graceaabw)

⁵⁷⁹ The JPL GRACE/GRACE-FO Mascon data are available at http://grace.jpl.nasa.gov

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In situ ocean bottom pressure records are available at https://www.psmsl.org/data/bottom_pressure/

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Supporting Information for "GRACE satellite observations of Antarctic Bottom Water transport variability"

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- 5. Figure S5 Influence of AABW transport and basin on skill
- 6. Figure S6 As per Figure 7b, combined with AABW variance for reference

1. Modification of density threshold in GFDL-OM4

We found that defining AABW according to maximum overturning in the Pacific Ocean in GFDL-OM4-025 lead to an unrealistic representation of AABW location and correspondingly poor reconstruction skill. The density threshold that maximises mean AABW transport in GFDL-OM4-025 is 1037.02 kg/m³, but this shifts the AABW to include shallower depths than in ACCESS-OM2-01, and to include water in the eastern Pacific (Figures S1 a and b). Using the ACCESS-OM2-01 definition of AABW in GFDL-OM4-025 produces an estimate of AABW which is of similar volume and location to AABW in ACCESS-OM2-01. Observations suggest there is minimal net AABW transport in the east Pacific (Cimoli et al., 2023, Fig 5), supporting the use of a higher density threshold. The higher density threshold also improves the skill of AABW transport reconstructions (Figures S1 c and d).

0 1037 1000 potential density 1036 2000 depth (m) (kgm⁻ 3000 1035 4000 5000 1034 6000 -160 -140 -100 -80 -180 -120 longitude b) AABW location (GFDL-OM4-025) 0 1037 1000 potential density 2000 depth (m) 1036 (kgm⁻³) 3000 4000 1035 5000 1034 6000 -180-160 -140 -120 -100 -80 longitude c) GFDL-OM4-025 skill d) GFDL-OM4-025 error 0.8 1.00 Mean squared error (Sv²) 0.75 0.6 0.50 \mathbb{R}^2 0.4 0.25 0.2 0.00 -0.25 0.0 1037.02 1037.08 1037.02 1037.08 AABW density threshold (kgm⁻³) AABW density threshold (kgm⁻³)

Figure S1. The influence of AABW density threshold in the Pacific Ocean on the physical location of AABW and reconstruction skill of AABW transport. a) ρ_2 potential density (colors) and the defining contour (in black) of of AABW in ACCESS-OM2-01 historically forced run, for reference. b) ρ_2 potential density (colors) and the defining contour (grey/black) of AABW in GFDL-OM4-025, defined using both the maximum overturning (1037.02 kg/m³, black) and ACCESS-OM2-01 definition (1037.08 kg/m³, grey). c) and d) show the R² and RMSE respectively for AABW transport reconstructions in GFDL-OM4-025, using each AABW definition.

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a) AABW location (ACCESS-OM2-01)



Figure S2. Empirical error estimation of four different GRACE products. The Jet Propulsion Laboratory (JPL) GRACE mascon product RL06mv3 (a,b) has lower RMSE than other products tested. c,d) Centre for Space Research (CSR) mascon product RL06.2 (Save et al., 2016; Save, 2020) e,f) Goddard Space Flight Centre (GSFC) mascon product RL06v2.0(Loomis et al., 2019) g,h) Australian National University solutions (ideally we'll have a ref sometime soon). All except the ANU solutions are taken from a regridded product.

Error (dbar)

Correlation coefficient

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Figure S3. Influence of different latitude widths of ocean bottom pressure data used to reconstruct AABW transport on a) reconstruction skill and b) mean squared error. Grey shading indicates the width of latitude used in the rest of this work.



Figure S4. Influence of different temporal filtering length on a) reconstruction skill and b) mean squared error. Grey shading indicates the temporal filter used in the rest of this work.

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a)



Figure S5. Influence of location at which transport is calculated on a) reconstruction skill and b) mean squared error. Black outlines indicate the transects used in the rest of this work.



Figure S6. As per Figure 7b: MSE of AABW transport reconstruction in different models, but with grey shading indicating the total AABW variance after temporal smoothing, for comparison.

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