# Reconciling the long-term growth of the Northeastern Tibetan Plateau and the upstream Yellow River profile

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#### Abstract

The growth history of the Northeastern Tibetan Plateau (NETP) is enigmatic, with debates on when and how the NETP significantly uplifted. Here, we use a numerical landscape evolution model to quantitatively investigate the ~20 Ma growth history of the NETP by studying the formation history of the upstream Yellow River (UYR). Compared to the observed river profiles, erosion rates, the trend of acceleration time of deformation, and paleo-elevation, our modeling results suggest that the long-term growth history of the NETP consists of an early block uplift (~20-12 Ma) and a late outward propagation uplift (~12-0 Ma). Before ~12 Ma (middle Miocene), the NETP was uplifted via a block growth, with major uplift in the south part. Subsequently, the high (~5 km) NETP has been uplifted via a northward propagation growth until the present-day time. We further suggest that pure headward erosion unlikely formed the observed river profile of the UYR over the past few million years. Our modeling thus reconciles the long-term outward growth of the NETP and the UYR profile, suggesting a downstream migration of high erosion rates, which is fundamentally different from the headward erosion of small mountain rivers. The downstream propagation of fluvial erosion may commonly occur in the outward-growing plateau on Earth.

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15	Key Points:
16	• The long-term growth of the Northeastern Tibetan Plateau is investigated by a landscape
17	evolution model with two growth scenarios.
18	• The Northeastern Tibetan Plateau experienced an early block uplift (~20-12 Ma) and a late
19	outward propagation uplift (~12-0 Ma).
20	• Our model results reconcile the long-term growth of the Northeastern Tibetan Plateau and the
21	upstream Yellow River profile.
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#### 23 Abstract

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### 40 Plain Language Summary

Mountain rivers with their river profiles can record the long-term growth history of orogen. The 41 Yellow River, the sixth longest river in the world, flows through the Northeastern Tibetan Plateau. 42 43 The upstream Yellow River profile provides an opportunity to quantitatively investigate the controversial growth history of the Northeastern Tibetan Plateau. We study the formation history 44 of the Northeastern Tibetan Plateau by a numerical landscape evolution model combining with 45 two possible growth scenarios. Reconciling the long-term growth of the Northeastern Tibetan 46 Plateau and the upstream Yellow River profile, our modeled results show that the Northeastern 47 Tibetan Plateau experienced an early block uplift (~20-12 Ma) and a late outward propagation 48 uplift (~12-0 Ma). Furthermore, the observed upstream Yellow River profile is unlikely formed 49 by pure headward erosion over the past few million years indicated by previous studies. This work 50 further suggests that the downstream migration pattern of high erosion rates commonly exists in 51 52 tectonically active outward-growing plateaus on Earth.

#### 53 **1 Introduction**

The Indian-Eurasian continent-continent collision (since ~55 Ma) controls the long-term 54 growth history of the Tibetan Plateau (Yin and Harrison, 2000), influencing Asian climate change 55 (An et al., 2001; Kutzbach et al., 1993), landscape evolution in Asia (Law and Allen, 2020; Shen 56 et al., 2022; Yuan et al., 2021), biodiversity (Klaus et al., 2016), and carbon cycle (Guo et al., 57 2021; Märki et al., 2021). The critical evidence for the above research is related to when and how 58 the Tibetan Plateau grew. However, the timing of reaching the present-day high elevations (Fang 59 et al., 2020; Rowley and Currie, 2006; Su et al., 2019) and the mechanism of the plateau growth 60 61 (Clark and Royden, 2000; England and Houseman, 1989; Royden et al., 1997; Tapponnier et al., 2001) remain highly debated. 62 With the growth of the Tibetan Plateau, the growth history of the NETP is still in dispute. 63 Some researchers suggest that the Indian-Eurasian collision (since ~55 Ma) influenced the NETP 64 soon after the collision (Clark et al., 2010; Dupont-Nivet et al., 2004), but the influences are 65 thought to be only an immediate response to the far-field effect of collision (Dayem et al., 2009). 66 67 Significant tectonic deformation and uplift of the NETP did not occur long time after the collision (Dai et al., 2006; Wang et al., 2017, 2022). For example, various sediment accumulation rates 68 indicate that the Xining basin (Figure 1b) initiated at 55-52.5 Ma, but most tectonic activities 69 occurred after 17 Ma (Dai et al., 2006). In the Qaidam Basin (Figure 1b), Cenozoic sediments 70 71 initiated at ~25.5 Ma based on magnetostratigraphy and mammalian biostratigraphy (Wang et al., 2017), and ~30 Ma based on magnetostratigraphies combined with detrital apatite fission-track 72 ages (Wang et al., 2022). Moreover, the initially significant activity ages in the NETP were near 73 the Miocene (~23-5.3 Ma) (Duvall et al., 2013; Lease et al., 2011; Li et al., 2019, 2022; Yuan et 74 al., 2011; Zhang et al., 1991; Zheng et al., 2006), and became younger to the northeast (Figure 1b, 75 c). Additionally, how the NETP uplifted is still unclear, with contrasting views including the 76

77 stepwise block extrusion and uplift to the north (Tapponnier et al., 2001) and the progressive 78 propagation uplift to the north via the lower crustal flow (Clark and Royden, 2000).

Mountain rivers form along with the growth of orogens, thus the longitudinal river profiles can record the long-term orogenic growth history (Allen, 2008; Goren et al., 2014; Kirby et al., 2003; Pritchard et al., 2009; Whipple and Tucker, 1999). Examples include the southeastern Tibetan Plateau (Yuan et al., 2022), the Andes Orogen (Fox et al., 2015), the Anatolian Plateau (Racano et al., 2021), and the Colorado Plateau (Roberts et al., 2012). The Yellow River, the sixth 84 longest river in the world, flows through the NETP (Figure 1), and the UYR likely recorded the 85 long-term growth history of the NETP. Although there are still some debates on the formation time 86 (Craddock et al., 2010; Lin et al., 2001) and the formation process (Craddock et al., 2010; Lin et 87 al., 2001) of the UYR, its longitudinal profile offers an opportunity to reconstruct the NETP growth 88 history by reproducing the UYR river profile.



Figure 1. Geological and topographical background of the study area. (a) Topography of the Tibetan Plateau. 91 (b) Closer view of the study area with the Yellow River in the Northeastern Tibetan Plateau. The trunk of 92 the Yellow River is colored with the channel steepness  $k_{sn} = SA^{m/n}$  with m/n = 0.42 (Harkins et al., 2007). 93 OL-HY Fault: Oilian-Haiyuan Fault; EKL Fault: East Kunlun Fault; OHL: Oinghai Lake; MT: Anyemagen 94 95 Shan. Basins (square with black frames) are in (b): (1) Hetao Basin, (2) Qaidam Basin, (3) Xining Basin, (4) Lanzhou Basin, (5) Gonghe Basin, (6) Guide Basin, (7) Linxia Basin, (8) Hoh Xil Basin, and (9) Zeku 96 97 Basin. The initially significant activity ages (Table S1) are from references (Duvall et al., 2013; Lease et al., 2011; Li et al., 2019, 2022; Yuan et al., 2011; Zhang et al., 1991; Zheng et al., 2006). (c) The swath 98 99 profile plots (maximum, average, and minimum elevations) are based on the blue shading area in (b) with initially significant activity ages. The modeled area is  $950 \times 600$  km<sup>2</sup>. The width of the swath profile is 400 100 km. The green dashed line at 720 km indicates the plateau margin. The black arrow shows the direction of 101 102 river flow.

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Figure 2. Thermochronometric ages and age-elevation relationships in the Northeastern Tibetan Plateau. AHe: Apatite (U-Th)/He; AFT: Apatite Fission Track. Thermochronometric ages (Table S2) are from references (Duvall et al., 2013; Lease et al., 2011; Wang et al., 2020; Zheng et al., 2006). The relief is calculated based on the difference between maximum and minimum elevations in the blue shading area.

This work aims to quantitatively study the  $\sim 20$  Ma NETP growth history and the UYR 110 formation history based on a numerical landscape evolution model (FastScape) (Braun and Willett, 111 2013; Yuan et al., 2019). In the NETP, we collected geomorphic data (e.g., river profile, swath 112 profile, and relief), erosion rates, and the trend of acceleration times of deformation (e.g., initially 113 significant activity ages and thermochronometric age-elevation relationships; Figures 1c and 2). 114 In section 2, we propose two potential growth scenarios for the NETP growth, and then modify 115 the numerical model to combine with two growth scenarios and several free parameters. In section 116 3, we test 2352 simulations with three free parameters to extract the modeled river profiles of each 117 model. Based on the match of the observed and modeled river profiles, we obtain one of the best-118 fit modeled results in each growth model. Section 4 shows the NETP growth model by comparing 119 the observed and modeled results, including the longitudinal river profiles, swath profiles, erosion 120

121 rates, and the trend of acceleration times of deformation (e.g., initially significant activity ages and

122 thermochronometric age-elevation relationships). Based on the modeled and observed results, we

discuss the NETP growth history together with the UYR formation history. Furthermore, we test our results against a possibly formed UYR with a retreat process and period of pure headward erosion.

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127 **2. Methods** 

128 **2.1 Landscape evolution model** 

The landscape evolution model FastScape (Braun and Willett, 2013; Yuan et al., 2019) is used to simulate the uplift, fluvial erosion, and sediment transport and deposition processes in the drainage basin of the UYR as

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$$\frac{\partial h}{\partial t} = U - K_f \tilde{p}^m A^m S^n + \frac{G}{\tilde{p}A} \int_A \left( U - \frac{\partial h}{\partial t} \right) dA , \qquad (1)$$

where h is the elevation, t is the time, U is the tectonic uplift rate,  $K_f$  is the erodibility, A is the 133 drainage area, S is the local slope in the steepest-descent direction of water flow, m is the area 134 exponent, and n is the slope exponent. Dimensionless  $\tilde{p}$  represents any spatial or temporal 135 variation in precipitation relative to the mean precipitation rate. Dimensionless G scales the 136 deposition coefficient. To simplify the model, the dimensionless  $\tilde{p}$  is assumed uniform ( $\tilde{p} = 1$ ), 137 and the dimensionless G is assumed uniform (G = 1; Guerit et al., 2019; Yuan et al., 2019). We 138 use the slope exponent n = 1 and m = 0.42 (i.e., m/n = 0.42) based on previous studies (e.g., 139 Harkins et al., 2007). Although Harkins et al. (2007) suggest the slope exponent n is less than 140 unity, for values of  $n \neq 1$ , there are some trade-offs between  $K_f$  and n (Goren et al., 2014), which 141 should not influence the main results of our modeling (Yuan et al., 2022). 142

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#### 144 **2.2 Two growth models for the Northeastern Tibetan Plateau**

We apply two double-stage growth models, including a two-block stage (block-block) growth model and a block-propagation growth model (Figure 3), to explore the ~20 Ma NETP growth history. The first model is consistent with the two-stage stepwise uplift in the NETP (Tapponnier et al., 2001). The latter model is inspired by various orogenic growth models, which suggest mountain belts growing to a certain height, and then expanding laterally in an outward growth sequence characterized by a more successive marginal uplift (Jammes and Huismans, 2012; Wolf

et al., 2022; Yuan et al., 2021), e.g., the outward growth of the Tibetan Plateau (Wang et al., 2014).

The two growth models for the NETP with several free parameters simulate various uplift cases that predict different topographic evolutions (Figure 3). The two double-stage growth models for the NETP are likely the simplest plausible scenarios to produce our modeled results, without taking into account the complexities of the NETP, such as the influences of the strike-slip faults on the rock uplift (Tapponnier et al., 2001).

We use a logistic function of elevation  $(h_f)$  from the previous study (Yuan et al., 2021) to match the present-day maximum topography of the NETP as

$$h_f(x,L) = \frac{h_0}{1 + e^{[(x-L)/W]}},$$
(2)

where  $h_0$  is the present-day maximum elevation, W is the characteristic width of the plateau margin, L is the total length of the plateau, and x is the distance to the plateau margin.

162 For the first stage of block uplift (Figure 3a, b), the uplift rate is

$$U_{1B} = (h_{1B}(x, L_{1B}) - h_i)/t_{1B}, \text{ with } h_{1B}(x, L_{1B}) = \frac{h_0}{1 + e^{(x - L_{1B})/W}}, \quad (3)$$

where  $h_i$  is the initial elevation before the block uplift,  $L_{1B}$  and  $t_{1B}$  are the length and duration of the first block uplift stage, respectively. For the second stage of block uplift (Figure 3a), the uplift rate is

$$U_{2B} = \left[ h_f(x, L) - h_{1B}(x, L_{1B}) \right] / t_{2B} , \qquad (4)$$

where  $t_{2B}$  is the duration of the second block uplift stage. For the second stage of propagating uplift (Figure 3b), the uplift rate modified from Yuan et al. (2021) is

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$$U_{2P} = \frac{h_0 v_0 e^{(x-x_0)/W}}{W[1+e^{(x-x_0)/W}]^2}, \text{ with } x_0 = L_{1B} + v_0 t, \qquad (5)$$

171 where  $x_0$  and  $v_0$  are the growth length and growth velocity ( $v_0 = (L - L_{1B})/t_{2B}$ ), respectively.

The uplift rate U in equation (1) is replaced by  $U_{1B}$ ,  $U_{2B}$ , and  $U_{2P}$  for the block growth and propagation growth model. According to the above equations, uplift rates ( $U_{1B}$ ,  $U_{2B}$ , and  $U_{2P}$ ) depend much on the growth duration ( $t_{1B}$ ) and distance ( $L_{1B}$ ). Thus, there are only three free parameters (i.e.,  $K_f$ ,  $x_{1B}$ , and  $t_{1B}$ ) for each growth model.

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Figure 3. Diagrams for two growth models. (a) Block-block growth model. (b) Block-propagation growth model. Grey curves are the observed maximum topography within the blue shading area in Figure 1b. The present-day topography can be matched by a function in equation (2) (dark solid curve).  $L_{1B}$  and  $t_{1B}$  are the distance and duration of the first block growth, respectively.  $L_{2B}$  and  $t_{2B}$  are the distance and duration of the second block growth, respectively.  $L_{2P}$  and  $t_{2P}$  are the distance and duration of the second propagation growth, respectively. The block growth uplifts vertically, and the propagation model grows horizontally.

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#### 186 **2.3 Model setup**

In the NETP, the initially significant activity ages are younger than  $\sim 20$  Ma (Figure 1b, c) 187 (Duvall et al., 2013; Lease et al., 2011; Li et al., 2019, 2022; Yuan et al., 2011; Zhang et al., 1991; 188 Zheng et al., 2006), and the modern high elevation was reached within ~23-11 Ma (Ding et al., 189 2022; Miao et al., 2022). Thus, we set the total growth duration of the two-stage uplift to 20 Myr 190  $(t = t_1 + t_2 = 20 \text{ Myr})$  cover the maximum growth age of the NETP. The total growth distance of 191 the two-stage uplift is set to 720 km ( $L = L_1 + L_2 = 720$  km) in our growth models, based on the 192 present-day topography from the plateau interior to the plateau margin (near the Haiyuan Fault, 193 Figure 1b, c). 194

To fit the present-day maximum topography of the NETP (equation 2), we set the maximum elevation to 5 km (i.e.,  $h_0 = 5$  km), and the characteristic width of propagation uplift to 100 km (i.e., W = 100 km). To model landscape evolution in the NETP, we define a rectangular domain

size of  $950 \times 600$  km (Figure 1b) with each node size of  $1 \times 1$  km, and run the model for 20 Myr. 198 To increase modeling efficiency, we use a time step length of 100,000 years to find one of the 199 best-fit models and a time step length of 10,000 years to extract information from the best-fit 200 model. The modeled river profiles are similar by testing different time steps from 10,000 to 201 100,000 years (Figure S1). In the NETP, initial elevations (0-2500 m) with maximum elevations 202 in the model south boundary (Figure 3) and random white noise ( $\leq 500$  m) are assumed, based on 203 the maximum elevations were  $\sim 2500 \pm 500$  m at  $\sim 20$  Ma near the south of the modeled area 204 (Polissar et al., 2009; Sun et al., 2015). 205

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# 207 2.4 Misfit function

The forward analyses of the landscape evolution model are used to explore the multidimensional space of free parameters (i.e.,  $K_f$ ,  $x_{1B}$ , and  $t_{1B}$ ). Optimum values are found when the modeled river profile best matches the observed river profile of the UYR in the NETP. A parameter of  $\chi$  was used to normalize the river profiles (Perron and Royden, 2013) at the point x:

$$\chi(x) = \int_{x_b}^x \left(\frac{A_0}{A(x)}\right)^{m/n} dx , \qquad (4)$$

where  $x_b$  is the base level (outlet: 750 km; Figure 1b),  $A_0$  (= 1 m<sup>2</sup>) is a reference drainage area, and A(x) is the drainage area of the point x. We first calculate the observed  $\chi_i^{obs}$  values of the UYR at each elevation bin  $h_i$  (i = 1, 2, ..., N). Then, at the final step of the model, we obtain the modeled trunks (i.e., the longest channel of each modeled basin), and calculate the modeled  $\chi_i^{mod}$ values at the corresponding elevation bin  $h_i$ .

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**Table 1**. Free parameters range in the forward analysis.

Growth model	$K_{f} (\times 10^{-7})$	<sup>7</sup> m <sup>0.16</sup> /yr)	$L_{1B}(\mathrm{km})$		$t_{1B}(Myr)$	
	Model ran	nge Interval	Model rai	nge Interval	Model r	ange Interval
Block-block growth model (Figure 3a)	15-41	2	0-700	100	0-20	1
Block-propagation growth model (Figure 3b)	15-41	2	0-700	100	0-20	1

An average misfit ( $\mu$ ) function compared the  $\chi$  of modeled and observed river profiles:

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$$\mu = \frac{1}{N_t} \sqrt{\frac{1}{N} \sum_{i=1}^{N} \frac{(\chi_i^{obs} - \chi_i^{mod})^2}{(\delta_x)^2}},$$
 (5)

where  $\delta_{\chi}$  (= 8 m) is the uncertainty for the  $\chi$  comparison set arbitrary,  $N_t$  is the total number of all modeled trunks in the final step. For each growth model, 2352 (14 × 8 × 21) forward process models are used to constrain the best-fit sets of three free parameters (Table 1).

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#### 228 **3 Results**

We plot the misfit values  $\mu$  ( $log_{10}(\mu) < 2.0$ ) of the two growth models for three free 229 parameters  $(K_f, x_{1B}, \text{ and } t_{1B})$  with different colors (Figures 4 and S2). The best-fit value of the 230 block-block growth model is higher than that of the block-propagation growth model. In the low 231  $log_{10}(\mu) < 0$  values (i.e., the best-fit models within uncertainty) of the block-block growth model, 232 the distances  $(L_{1B})$  and durations  $(t_{1B})$  are less than 500 km and 15 Myr, respectively. The smallest 233  $log_{10}(\mu)$  value is -0.335 marked by the black box in Figure 4a ( $K_f = 29 \times 10^{-7} \text{ m}^{0.16}/\text{yr}, L_{1B} =$ 234 100 km, and  $t_{1B} = 5$  Myr). There is no modeled river profile ( $\chi$ -elevation plots) matching well the 235 observed river profile for the block-block growth model, even the best-fit modeled results (Figure 236 5a). In the low  $log_{10}(\mu) < 0$  of the block-propagation growth model, the distances  $(L_{1B})$  and the 237 durations  $(t_{1B})$  are less than 500 km and 17 Myr, respectively. The smallest  $log_{10}(\mu)$  value is 238 -0.439 marked by the black box in Figure 4b ( $K_f = 21 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100 \text{ km}$ , and  $t_{1B} = 100 \text{ km}$ 239 240 8 Myr). Several best-fit modeled river profiles matched the observed river profile well for the 241 block-propagation growth model (Figure 5b). 242



Figure 4. Modeled misfits of two growth models with each model 2352 forward analyses. (a) Block-block growth model. A black box marks one of the best-fit parameter sets ( $K_f = 29 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100$ km, and  $t_{1B} = 5$  Myr), and the smallest  $log_{10}(\mu)$  value is -0.335. (b) Block-propagation growth model. A black box shows one of the best-fit parameter sets ( $K_f = 21 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100$  km, and  $t_{1B} = 8$ Myr), and the smallest  $log_{10}(\mu)$  value is -0.439.





Figure 5. Modeled (colored) and observed (black) river profiles are plotted by  $\chi$ . (a) In the block-block growth model, the modeled river profiles are less consistent with the observed river profile of the upstream Yellow River. (b) In the block-propagation growth model, several modeled river profiles with dark blue color matched well the observed river profile of the upstream Yellow River. The cooler the color, the lower the misfit values, and the better the modeling results.

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## 257 4 Discussion

#### **4.1 The best-fit growth model of the Northeastern Tibetan Plateau**

This section compares one of the best-fit modeled results in each growth model to the observed results.  $\chi$ -elevation plots are extracted from modeled trunks and the UYR. Although the modeled river profiles in each growth model are consistent with the upper reach of the observed river profile (with elevations >3500 m), only the modeled river profiles in the block-propagation growth model are consistent with the lower reach of the observed river profile (Figure 6b, e). In addition, the observed and modeled swath profiles of the block-propagation growth model are more consistent than that of the block-block growth model (Figure 6c, f).





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Figure 6. The comparison of geomorphic data between the best-fit model results of each growth model and the upstream Yellow River. (a, d) Modeled landscapes and trunks (blue lines). Blue arrows mark river directions. (b, e)  $\chi$ -elevation plots. (c, f) Modeled and observed swaths and relief extracted from the whole

The modeled erosion rates and the trend of acceleration times of deformation are also 275 compared to the observed results. Modeled erosion rates from the block-propagation growth model 276 can better match most erosion rates from the plateau interior to the plateau margin (Figure 7b), 277 278 which is similar to the downstream increase of incision in the Daxia River (Zhang et al., 2017a), a tributary of the UYR. Modeled age-elevation relationships show that only one synchronous 279 280 acceleration occurred in the block-block growth model (Figure 8b), which differs from the observed various acceleration times of deformation (Figure 2). Examples include the East Kunlun 281 around 8-5 Ma (Duvall et al., 2013), the Dulan Chaka Highland around 17-12 Ma (Duvall et al., 282 2013), the Laji Shan around 23-17 Ma (Lease et al., 2011), the Jishi Shan around 13-5 Ma (Lease 283 284 et al., 2011), the East Qilian Shan around 15-12 Ma (Wang et al., 2020), and the Liupan Shan around 8-7 Ma (Zheng et al., 2006). In contrast, a northward progressive acceleration occurred in 285 the block-propagation growth model (Figure 8c), more consistent with the initially significant 286 activity ages which became younger to the northeast in the NETP (Figure 1c). Moreover, the 287 significant increasing uplift rate occurred after ~5 Ma near the plateau margin (~720 km) (Figure 288 8c), consistent with previous studies (Zhang et al., 2023). Thus, based on the comparisons of river 289 profiles, swath profiles, erosion rates, and the trend of significant acceleration times (e.g., initially 290 significant activity ages and thermochronometric age-elevation relationships), the block-291 propagation growth model fits better with the NETP growth records. 292 293



Figure 7. Comparison of the observed and modeled erosion rates. (a) Erosion rates distribution. (b) Comparisons of modeled erosion rates along the river profile. Erosion rates (Table S3) are from references (Harkins et al., 2007; Kirby and Harkins, 2013; Lal et al., 2004; Li et al., 2014b; Zhang et al., 2017a). Grey dots are influenced by transient sediments (Zhang et al., 2017a).





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Figure 8. Modeled age-elevation relationships of two growth models. (a) Location of different distances.
(b) Block-block growth model. (c) Block-propagation growth model.

#### **4.2 Growth history of the Northeastern Tibetan Plateau**

Based on our forward analyses, the block-propagation growth model matches better the NETP growth records. The major range of block uplift only occurred in the south of the modeled area before ~12 Ma (middle Miocene), with broad low elevations in most of the modeled area (Figure 9). Then, a broad propagation uplift occurred in the modeled area from ~12 Ma to the present, forming the modern plateau margin (Figure 9). High elevations (~5 km) expand northward during the plateau growth (Figure 9g).







Figure 9. Typical modeled scenarios of the block-propagation growth model. Top panels are modeled landscapes and trunks (blue) at (a) 12 Ma, (b) 6 Ma, and (c) 0 Ma. Blue arrows mark river directions. Middle panels are observed (grey) and modeled (blue) swath profiles and relief (green) at (d) 12 Ma, (e) 6Ma, and (f) 0Ma. (g) The bottom panel shows the maximum elevations along modeled trunks during uplifting. Dashed lines are modeled maximum elevations from 20 Ma to 0 Ma. A grey continuous line is the observed maximum elevation at 0 Ma. The black dashed line at 720 km in (d), (e), (f), and (g) indicates the plateau margin.

Our modeled data are consistent with various observed data, including crustal deformations 319 (Fan et al., 2019; Royden et al., 2008; Yan et al., 2006; Yu et al., 2023) and sedimentary records 320 (Chang et al., 2015; Li et al., 2011). For example, most initial faulting ages in the NETP were after 321  $\sim$ 20 Ma, with a northward younging trend (Figure 1b, c). The initial propagation uplift time ( $\sim$ 12 322 Ma) is consistent with the widespread middle-Miocene crustal deformation in the NETP (Yu et 323 al., 2023), the formation time (Miocene) of basin-bounding faults in the NETP and the eastern 324 segment of the NETP (Fan et al., 2019). The propagation uplift time of ~12 Ma is also consistent 325 with most of the shortening after ~15 to 20 Ma in the NETP (Royden et al., 2008), and a clockwise 326 rotation during 11-17 Ma in the Guide Basin (Figure 1b) (Yan et al., 2006) based on the 327 magnetostratigraphy. The initial propagation uplift time is also close to the accumulation rate 328 abruptly increased near ~15 Ma in the Qaidam Basin (Figure 1b) based on a stratigraphic study 329 (Chang et al., 2015), and the progressive surface uplift since 15 Ma in the NETP based on the Nd 330 isotopic ratio of Asian dust (Li et al., 2011). 331

We show the major block uplift and higher elevations occurring in the south of the NETP 332 before  $\sim 12$  Ma, with broad low elevations to the north, consistent with the observed higher 333 334 elevations in the south (Polissar et al., 2009; Sun et al., 2015) and low elevations in the north of the East Kunlun fault (Hui et al., 2018). The Hoh-Xil Basin (upper part of the UYR, Figure 1b) 335 336 reached 1395-2931 m before 17 Ma based on the leaf fossils from an early Miocene barberry (Berberis) (Sun et al., 2015), or had uplifted at least 1700-2600 m before the middle Miocene 337 338 based on δD ratio of surface waters from freshwater limestones (Polissar et al., 2009). In contrast, the Zeku Basin (lower part of the UYR, Figure 1b) had 1200-1400 m elevations during the early 339 to middle Miocene based on palynological data (Hui et al., 2018). Our results are also consistent 340 with the observations of the south of the East Kunlun Fault (Hoh Xil Basin) reached 2-3 km before 341 the Miocene and the modern high elevation of ~4.6 km after ~17 Ma (Staisch et al., 2016). The 342 Hoh Xil Basin had probably reached its current elevation of ~4.6 km by ~12 Ma, considering 343 possible uncertainties (Li and Garzione, 2023). The north of the East Kunlun Fault reached the 344 modern high elevations after ~11 Ma (Miao et al., 2022), based on the pollen records of montane 345 conifers. The surface uplift is not synchronous but is progressive to the north, consistent with our 346 modeling (Figure 9g). 347



Figure 10. Schematic models illustrate different growth mechanisms on both sides of the East Kunlun Fault. (a) The scenario occurred after block growth (12 Ma) with higher elevations in the south of the East Kunlun Fault than in the north. (b) Propagation growth at 6 Ma. (c) Schematic present-day topography (0 Ma). The hypothetical elevations in the diagrams are based on the uplift process in Figure 9g. Initial significant activity ages are based on the data in Figure 1b. EKL Fault: East Kunlun Fault; WQL Fault: West Qinling Fault; HY Fault: Haiyuan Fault. Deep structures are modified from Tapponnier et al. (2001). Primary faults in the NETP are modified from references (Tapponnier et al., 2001; Yuan et al., 2013).

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Different uplift processes in the south and north of the East Kunlun Fault may be related to different uplift mechanisms (Chen et al., 2017; Lease et al., 2012; Staisch et al., 2016; Tapponnier et al., 1990) (Figure 10). The East Kunlun Fault is an important rheological boundary (Karplus et

al., 2011; Le Pape et al., 2012), based on a magnetotelluric profile crossing the Northern Tibetan 360 Plateau (Unsworth et al., 2004). Because of different growth processes in the south and north of 361 the East Kunlun fault, a Paleogene basin was partitioned into the Hoh Xil and Qaidam subbasins 362 by the East Kunlun fault before the middle Miocene (Chen et al., 2017; Yin et al., 2008). In the 363 south of the East Kunlun Fault, the upper crustal shortening within the Hoh Xil Basin ceased 364 between 33.5 and 27.3 Ma (Staisch et al., 2016), and the crust thickening driven by mantle removal 365 related to partial melting or mantle melting (Chen et al., 2017; Staisch et al., 2016) played an 366 important role in the plateau growth since the Miocene (Figure 10a). The surface has been raised 367 ~2 km by mantle melting since the early Miocene (25-20 Ma) (Chen et al., 2017). The magmatic 368 activities widely occurred in the south of the East Kunlun Fault, related to opposing north-directed 369 and south-directed continental subduction after 25 Ma (Guo and Wilson, 2019). In contrast, 370 371 magmatic activities were rare in the north of the East Kunlun Fault (Yin and Harrison, 2000).

In the north of the East Kunlun Fault, some researchers suggest that the partial melt 372 penetration probably characterizes the plateau growth (Karplus et al., 2011; Medvedev et al., 373 2006), but crust thickening driven by shortening played a critical role in forming the NETP through 374 375 fault thrusting and folding (Hu et al., 2015; Lease et al., 2012; Tapponnier et al., 1990) (Figure 10b, c). A transition of the tectonic regime, from extrusion to the distributed shortening in the 376 377 Northern Tibetan Plateau, was initiated at ~15 Ma (Lu et al., 2016). The distributed crustal shortening was one of the dominant processes in the Northern Tibetan Plateau construction (Zuza 378 379 et al., 2016). For example, the Jishi Shan, between the Kunlun and Haiyuan left-lateral faults, experienced accelerated exhumation due to thrust-induced rock uplift and erosion at ~13 Ma based 380 on thermochronological data (Lease et al., 2011). More than half of net Cenozoic crustal shortening 381 and thickening in this area has occurred since  $\sim 13$  Ma, based on cross-section reconstructions 382 (Lease et al., 2012). A reconstruction of crustal thickness around the Jishi Shan shows the crust 383 384 thickening from the middle Miocene thickness of  $50 \pm 4$  km to the modern thickness of  $56 \pm 4$  km (Lease et al., 2012). In addition, most of the present elevations were reached since the middle 385 Miocene in the NETP (Hui et al., 2018; Zhuang et al., 2014). In the Zeku basin (Figure 1b), the 386 present 60-70% elevations were mainly uplifted since the early-middle Miocene, based on 387 palynological data in the Caergen section (Hui et al., 2018). The Qaidam Basin, near the UYR, 388 whose present elevations of 70% were uplifted during 15-10.4 Ma, based on Leaf wax stable 389 isotopes in the Huaitoutala section (Zhuang et al., 2014). 390

In summary, consistent with the above studies, our modeled results suggest that most presentday high elevations (~5 km) have been reached since the middle Miocene in the north of the East Kunlun Fault, and then the high elevations expanded northward. The plateau uplift is mostly attributed to the crustal thickening, and the gradual propagation was related to the crustal shortening through fault thrusting and folding.

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# 397 **4.3 Headward erosion cannot form the observed upstream Yellow River profile**

The NETP growth primarily controls the UYR formation. However, the UYR formation time 398 399 is debated, varying from >11.63 Ma to >0.03 Ma based on the initial incision timing ( $\sim 1.8-0.03$ Ma) of the upmost terraces (Craddock et al., 2010), the dating of basin sediments (~1.7 Ma) (Li et 400 al., 2014a), the similar provenance signals in the Linxia and Lanzhou Basins (Figure 1b) (~3.6 401 Ma) (Nie et al., 2015), the isolation time (1.2-0.5 Ma) of the Qinghai Lake (Figure 1b) (Zhang et 402 403 al., 2014), the divergence time (~0.19 Ma) of two species (Liang et al., 2018), the sediment in the Hetao Basin (Figure 1b) (~1.68 Ma) (Li et al., 2023), the termination time (~4.8 Ma) of 404 405 fluviolacustrine-dominated red beds in the Xining Basin (Figure 1b) (Zhang et al., 2017b), and the initial sedimentation (>11.63 Ma) of Eocene-Miocene red bed in the Lanzhou zone (Lin et al., 406 2001). Among these formation timings, some studies suggest that the UYR formed over the last 407 few million years (~2.58-0.03 Ma) since the UYR excavated basin sediments systematically 408 upstream through headward erosion (Craddock et al., 2010; Jia et al., 2017; Su et al., 2023), with 409 a rate of ~350 km Myr<sup>-1</sup> (Craddock et al., 2010). The block-block growth model experiences 410 headward erosion in our study because the modeled area only grows upward without horizontal 411 motion.  $\gamma$ -elevation plots from all block-block growth models, compared to the UYR river profile, 412 show that no appropriate modeled results can match the observed UYR river profile (Figure 5a). 413 In contrast, a few block-propagation growth model results match the observed UYR river profile 414 (Figure 5b). The high erosion rates mainly propagated downstream during the outward propagation 415 of the NETP growth, similar to the erosion pattern that occurred in the eastern Tibetan Plateau 416 (Yuan et al., 2023). 417

418 Next, we test whether the pure headward erosion (and retreat process) can form the UYR 419 river profile using the landscape evolution model with various erodibilities and model run 420 durations. To match the elevation of the observed base level of the NETP margin, we set the 421 modeled boundary at 820 km (Figure 11). The initial topography is set to the maximum topography

- 422 (Figure 3) based on equation 2, with the amplitude white noise (<100 m). The fluvial erodibilities
- 423 are set to  $(2, 4, 6, 8, 10, \text{ and } 12) \times 10^{-6} \text{ m}^{0.16}/\text{yr}$ , and the model run durations are set to (2, 4, 6, 8)
- 424 8, and 10) Myr for modeling the retreat process (Figure 11). Modeled river profiles (Figure 5a, 11)
- 425 indicate that the pure headward erosion suggested by previous studies (Craddock et al., 2010;
- 426 Harkins et al., 2007; Jia et al., 2017; Su et al., 2023) hardly formed the UYR river profile over a
- 427 few million years.
- 428



Figure 11. The observed maximum and minimum elevations (grey) and modeled (thick line) river profiles from different sets of erodibilities and model durations in each headward erosion and retreat process. We set the modeled boundary at 820 km to match the elevation of the observed and modeled base levels.

Most young formation times of the UYR are suggested based on the abandonment time (i.e., 434 initial incision time) of the upmost terraces (Craddock et al., 2010; Jia et al., 2017; Su et al., 2023; 435 Zhang et al., 2014). However, the divergent young ages for the inception of the Yellow River can 436 be related to periodic climate fluctuations, and may correspond only to different re-integration 437 events due to deglaciation or desiccation (Zhao et al., 2023). The re-integration events due to 438 439 desiccation have been reported in the Yellow River middle reach (Zhao et al., 2023). In addition, the formation, abandonment, and preservation of fluvial terraces are often related to changes in 440 tectonics and/or climate (Reusser et al., 2004; Wang et al., 2015). Modifying any external factors 441

can rework the previously formed fluvial terraces and erase the previous geomorphic and
stratigraphic records (Pan et al., 2009), and the hoarier records of fluvial terraces may be modified
more easily.

On the other hand, the thermochronometric age-elevation relationships (Figure 2), responding 445 to a long-term history of exhumation, suggest that the NETP experienced a long-term exhumation 446 history, rather than a short-term erosion history over a few million years. Moreover, there were 447 connections between the upstream mountain and the downstream basin before 20 Ma in the NETP 448 (Liu, 2015). Sediments from the Anyemagen Shan (Figure 1b) were deposited in the Guide Basin 449 during 53-33 Ma and in the Lanzhou Basin around 43 Ma (Liu, 2015). In summary, we suggest 450 that the UYR may have existed long accompanying the long-term NETP growth, based on our 451 modeled results, previous studies, and thermochronometric data. 452

453

#### 454 **5** Conclusions

Using a numerical landscape evolution model, we quantitatively study the  $\sim 20$  Ma growth 455 history of the NETP correlated with the formation of the UYR. The block-propagation growth 456 model, comprised of an early block uplift (~20-12 Ma) and a late propagation uplift (~12-0 Ma), 457 is consistent with the records of the NETP based on the comparisons of the observed and modeled 458 river profiles, swath profiles, erosion rates, the trend of acceleration times of deformation (e.g., 459 initially significant activity ages and thermochronometric age-elevation relationships), and paleo-460 elevation datasets. We show that a block growth primarily occurred in the south of the NETP 461 before  $\sim 12$  Ma (middle Miocene), and a propagation growth broadly occurred in the NETP after 462 ~12 Ma with high elevations (~5 km) expanding northward. We further suggest that pure headward 463 erosion unlikely formed the river profile of the UYR over a few million years. Our results show 464 that the long-term fluvial erosion in the NETP features mainly a downstream migration of high 465 erosion rates, which is significantly different from the headward erosion of small mountain rivers. 466 This erosion pattern in the NETP, similar to that occurred in the Eastern Tibetan Plateau (Yuan et 467 al., 2023), may represent a common erosion pattern of outward-growing plateaus in tectonically 468 active regions on Earth. 469

470

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#### 473 Data Availability Statement

474 The codes used for the simulations are available in Yuan et al. (2019) and

475 https://doi.org/10.5281/zenodo.3833983 website (Bovy & Braun, 2020). Figures were made using

- 476 ParaView, CorelDRAW, and Generic Mapping Tools.
- 477

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# Zuza, A. V., Cheng, X., & Yin, A. (2016), Testing models of Tibetan Plateau formation with Cenozoic shortening estimates across the Qilian Shan–Nan Shan thrust belt: *Geosphere*, 12 (2), 501-532. Doi: 10.1130/ges01254.1.

1	Reconciling the long-term growth of the Northeastern Tibetan Plateau and the
2	upstream Yellow River profile
3	
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14	
15	Key Points:
16	• The long-term growth of the Northeastern Tibetan Plateau is investigated by a landscape
17	evolution model with two growth scenarios.
18	• The Northeastern Tibetan Plateau experienced an early block uplift (~20-12 Ma) and a late
19	outward propagation uplift (~12-0 Ma).
20	• Our model results reconcile the long-term growth of the Northeastern Tibetan Plateau and the
21	upstream Yellow River profile.
22	

#### 23 Abstract

The growth history of the Northeastern Tibetan Plateau (NETP) is enigmatic, with debates on 24 when and how the NETP significantly uplifted. Here, we use a numerical landscape evolution 25 model to quantitatively investigate the ~20 Ma growth history of the NETP by studying the 26 formation history of the upstream Yellow River (UYR). Compared to the observed river profiles, 27 erosion rates, the trend of acceleration time of deformation, and paleo-elevation, our modeling 28 results suggest that the long-term growth history of the NETP consists of an early block uplift 29 (~20-12 Ma) and a late outward propagation uplift (~12-0 Ma). Before ~12 Ma (middle Miocene), 30 the NETP was uplifted via a block growth, with major uplift in the south part. Subsequently, the 31 high (~5 km) NETP has been uplifted via a northward propagation growth until the present-day 32 time. We further suggest that pure headward erosion unlikely formed the observed river profile of 33 the UYR over the past few million years. Our modeling thus reconciles the long-term outward 34 35 growth of the NETP and the UYR profile, suggesting a downstream migration of high erosion rates, which is fundamentally different from the headward erosion of small mountain rivers. The 36 37 downstream propagation of fluvial erosion may commonly occur in the outward-growing plateau on Earth. 38

39

### 40 Plain Language Summary

Mountain rivers with their river profiles can record the long-term growth history of orogen. The 41 Yellow River, the sixth longest river in the world, flows through the Northeastern Tibetan Plateau. 42 43 The upstream Yellow River profile provides an opportunity to quantitatively investigate the controversial growth history of the Northeastern Tibetan Plateau. We study the formation history 44 of the Northeastern Tibetan Plateau by a numerical landscape evolution model combining with 45 two possible growth scenarios. Reconciling the long-term growth of the Northeastern Tibetan 46 Plateau and the upstream Yellow River profile, our modeled results show that the Northeastern 47 Tibetan Plateau experienced an early block uplift (~20-12 Ma) and a late outward propagation 48 uplift (~12-0 Ma). Furthermore, the observed upstream Yellow River profile is unlikely formed 49 by pure headward erosion over the past few million years indicated by previous studies. This work 50 further suggests that the downstream migration pattern of high erosion rates commonly exists in 51 52 tectonically active outward-growing plateaus on Earth.

#### 53 **1 Introduction**

The Indian-Eurasian continent-continent collision (since ~55 Ma) controls the long-term 54 growth history of the Tibetan Plateau (Yin and Harrison, 2000), influencing Asian climate change 55 (An et al., 2001; Kutzbach et al., 1993), landscape evolution in Asia (Law and Allen, 2020; Shen 56 et al., 2022; Yuan et al., 2021), biodiversity (Klaus et al., 2016), and carbon cycle (Guo et al., 57 2021; Märki et al., 2021). The critical evidence for the above research is related to when and how 58 the Tibetan Plateau grew. However, the timing of reaching the present-day high elevations (Fang 59 et al., 2020; Rowley and Currie, 2006; Su et al., 2019) and the mechanism of the plateau growth 60 61 (Clark and Royden, 2000; England and Houseman, 1989; Royden et al., 1997; Tapponnier et al., 2001) remain highly debated. 62 With the growth of the Tibetan Plateau, the growth history of the NETP is still in dispute. 63 Some researchers suggest that the Indian-Eurasian collision (since ~55 Ma) influenced the NETP 64 soon after the collision (Clark et al., 2010; Dupont-Nivet et al., 2004), but the influences are 65 thought to be only an immediate response to the far-field effect of collision (Dayem et al., 2009). 66 67 Significant tectonic deformation and uplift of the NETP did not occur long time after the collision (Dai et al., 2006; Wang et al., 2017, 2022). For example, various sediment accumulation rates 68 indicate that the Xining basin (Figure 1b) initiated at 55-52.5 Ma, but most tectonic activities 69 occurred after 17 Ma (Dai et al., 2006). In the Qaidam Basin (Figure 1b), Cenozoic sediments 70 71 initiated at ~25.5 Ma based on magnetostratigraphy and mammalian biostratigraphy (Wang et al., 2017), and ~30 Ma based on magnetostratigraphies combined with detrital apatite fission-track 72 ages (Wang et al., 2022). Moreover, the initially significant activity ages in the NETP were near 73 the Miocene (~23-5.3 Ma) (Duvall et al., 2013; Lease et al., 2011; Li et al., 2019, 2022; Yuan et 74 al., 2011; Zhang et al., 1991; Zheng et al., 2006), and became younger to the northeast (Figure 1b, 75 c). Additionally, how the NETP uplifted is still unclear, with contrasting views including the 76

77 stepwise block extrusion and uplift to the north (Tapponnier et al., 2001) and the progressive 78 propagation uplift to the north via the lower crustal flow (Clark and Royden, 2000).

Mountain rivers form along with the growth of orogens, thus the longitudinal river profiles can record the long-term orogenic growth history (Allen, 2008; Goren et al., 2014; Kirby et al., 2003; Pritchard et al., 2009; Whipple and Tucker, 1999). Examples include the southeastern Tibetan Plateau (Yuan et al., 2022), the Andes Orogen (Fox et al., 2015), the Anatolian Plateau (Racano et al., 2021), and the Colorado Plateau (Roberts et al., 2012). The Yellow River, the sixth 84 longest river in the world, flows through the NETP (Figure 1), and the UYR likely recorded the 85 long-term growth history of the NETP. Although there are still some debates on the formation time 86 (Craddock et al., 2010; Lin et al., 2001) and the formation process (Craddock et al., 2010; Lin et 87 al., 2001) of the UYR, its longitudinal profile offers an opportunity to reconstruct the NETP growth 88 history by reproducing the UYR river profile.



Figure 1. Geological and topographical background of the study area. (a) Topography of the Tibetan Plateau. 91 (b) Closer view of the study area with the Yellow River in the Northeastern Tibetan Plateau. The trunk of 92 the Yellow River is colored with the channel steepness  $k_{sn} = SA^{m/n}$  with m/n = 0.42 (Harkins et al., 2007). 93 OL-HY Fault: Oilian-Haiyuan Fault; EKL Fault: East Kunlun Fault; OHL: Oinghai Lake; MT: Anyemagen 94 95 Shan. Basins (square with black frames) are in (b): (1) Hetao Basin, (2) Qaidam Basin, (3) Xining Basin, (4) Lanzhou Basin, (5) Gonghe Basin, (6) Guide Basin, (7) Linxia Basin, (8) Hoh Xil Basin, and (9) Zeku 96 97 Basin. The initially significant activity ages (Table S1) are from references (Duvall et al., 2013; Lease et al., 2011; Li et al., 2019, 2022; Yuan et al., 2011; Zhang et al., 1991; Zheng et al., 2006). (c) The swath 98 99 profile plots (maximum, average, and minimum elevations) are based on the blue shading area in (b) with initially significant activity ages. The modeled area is  $950 \times 600$  km<sup>2</sup>. The width of the swath profile is 400 100 km. The green dashed line at 720 km indicates the plateau margin. The black arrow shows the direction of 101 102 river flow.

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Figure 2. Thermochronometric ages and age-elevation relationships in the Northeastern Tibetan Plateau. AHe: Apatite (U-Th)/He; AFT: Apatite Fission Track. Thermochronometric ages (Table S2) are from references (Duvall et al., 2013; Lease et al., 2011; Wang et al., 2020; Zheng et al., 2006). The relief is calculated based on the difference between maximum and minimum elevations in the blue shading area.

This work aims to quantitatively study the  $\sim 20$  Ma NETP growth history and the UYR 110 formation history based on a numerical landscape evolution model (FastScape) (Braun and Willett, 111 2013; Yuan et al., 2019). In the NETP, we collected geomorphic data (e.g., river profile, swath 112 profile, and relief), erosion rates, and the trend of acceleration times of deformation (e.g., initially 113 significant activity ages and thermochronometric age-elevation relationships; Figures 1c and 2). 114 In section 2, we propose two potential growth scenarios for the NETP growth, and then modify 115 the numerical model to combine with two growth scenarios and several free parameters. In section 116 3, we test 2352 simulations with three free parameters to extract the modeled river profiles of each 117 model. Based on the match of the observed and modeled river profiles, we obtain one of the best-118 fit modeled results in each growth model. Section 4 shows the NETP growth model by comparing 119 the observed and modeled results, including the longitudinal river profiles, swath profiles, erosion 120

121 rates, and the trend of acceleration times of deformation (e.g., initially significant activity ages and

122 thermochronometric age-elevation relationships). Based on the modeled and observed results, we

discuss the NETP growth history together with the UYR formation history. Furthermore, we test our results against a possibly formed UYR with a retreat process and period of pure headward erosion.

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127 **2. Methods** 

128 **2.1 Landscape evolution model** 

The landscape evolution model FastScape (Braun and Willett, 2013; Yuan et al., 2019) is used to simulate the uplift, fluvial erosion, and sediment transport and deposition processes in the drainage basin of the UYR as

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$$\frac{\partial h}{\partial t} = U - K_f \tilde{p}^m A^m S^n + \frac{G}{\tilde{p}A} \int_A \left( U - \frac{\partial h}{\partial t} \right) dA , \qquad (1)$$

where h is the elevation, t is the time, U is the tectonic uplift rate,  $K_f$  is the erodibility, A is the 133 drainage area, S is the local slope in the steepest-descent direction of water flow, m is the area 134 exponent, and n is the slope exponent. Dimensionless  $\tilde{p}$  represents any spatial or temporal 135 variation in precipitation relative to the mean precipitation rate. Dimensionless G scales the 136 deposition coefficient. To simplify the model, the dimensionless  $\tilde{p}$  is assumed uniform ( $\tilde{p} = 1$ ), 137 and the dimensionless G is assumed uniform (G = 1; Guerit et al., 2019; Yuan et al., 2019). We 138 use the slope exponent n = 1 and m = 0.42 (i.e., m/n = 0.42) based on previous studies (e.g., 139 Harkins et al., 2007). Although Harkins et al. (2007) suggest the slope exponent n is less than 140 unity, for values of  $n \neq 1$ , there are some trade-offs between  $K_f$  and n (Goren et al., 2014), which 141 should not influence the main results of our modeling (Yuan et al., 2022). 142

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#### 144 **2.2 Two growth models for the Northeastern Tibetan Plateau**

We apply two double-stage growth models, including a two-block stage (block-block) growth model and a block-propagation growth model (Figure 3), to explore the ~20 Ma NETP growth history. The first model is consistent with the two-stage stepwise uplift in the NETP (Tapponnier et al., 2001). The latter model is inspired by various orogenic growth models, which suggest mountain belts growing to a certain height, and then expanding laterally in an outward growth sequence characterized by a more successive marginal uplift (Jammes and Huismans, 2012; Wolf

et al., 2022; Yuan et al., 2021), e.g., the outward growth of the Tibetan Plateau (Wang et al., 2014).

The two growth models for the NETP with several free parameters simulate various uplift cases that predict different topographic evolutions (Figure 3). The two double-stage growth models for the NETP are likely the simplest plausible scenarios to produce our modeled results, without taking into account the complexities of the NETP, such as the influences of the strike-slip faults on the rock uplift (Tapponnier et al., 2001).

We use a logistic function of elevation  $(h_f)$  from the previous study (Yuan et al., 2021) to match the present-day maximum topography of the NETP as

$$h_f(x,L) = \frac{h_0}{1 + e^{[(x-L)/W]}},$$
(2)

where  $h_0$  is the present-day maximum elevation, W is the characteristic width of the plateau margin, L is the total length of the plateau, and x is the distance to the plateau margin.

162 For the first stage of block uplift (Figure 3a, b), the uplift rate is

$$U_{1B} = (h_{1B}(x, L_{1B}) - h_i)/t_{1B}, \text{ with } h_{1B}(x, L_{1B}) = \frac{h_0}{1 + e^{(x - L_{1B})/W}}, \quad (3)$$

where  $h_i$  is the initial elevation before the block uplift,  $L_{1B}$  and  $t_{1B}$  are the length and duration of the first block uplift stage, respectively. For the second stage of block uplift (Figure 3a), the uplift rate is

$$U_{2B} = \left[ h_f(x, L) - h_{1B}(x, L_{1B}) \right] / t_{2B} , \qquad (4)$$

where  $t_{2B}$  is the duration of the second block uplift stage. For the second stage of propagating uplift (Figure 3b), the uplift rate modified from Yuan et al. (2021) is

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$$U_{2P} = \frac{h_0 v_0 e^{(x-x_0)/W}}{W[1+e^{(x-x_0)/W}]^2}, \text{ with } x_0 = L_{1B} + v_0 t, \qquad (5)$$

171 where  $x_0$  and  $v_0$  are the growth length and growth velocity ( $v_0 = (L - L_{1B})/t_{2B}$ ), respectively.

The uplift rate U in equation (1) is replaced by  $U_{1B}$ ,  $U_{2B}$ , and  $U_{2P}$  for the block growth and propagation growth model. According to the above equations, uplift rates ( $U_{1B}$ ,  $U_{2B}$ , and  $U_{2P}$ ) depend much on the growth duration ( $t_{1B}$ ) and distance ( $L_{1B}$ ). Thus, there are only three free parameters (i.e.,  $K_f$ ,  $x_{1B}$ , and  $t_{1B}$ ) for each growth model.

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Figure 3. Diagrams for two growth models. (a) Block-block growth model. (b) Block-propagation growth model. Grey curves are the observed maximum topography within the blue shading area in Figure 1b. The present-day topography can be matched by a function in equation (2) (dark solid curve).  $L_{1B}$  and  $t_{1B}$  are the distance and duration of the first block growth, respectively.  $L_{2B}$  and  $t_{2B}$  are the distance and duration of the second block growth, respectively.  $L_{2P}$  and  $t_{2P}$  are the distance and duration of the second propagation growth, respectively. The block growth uplifts vertically, and the propagation model grows horizontally.

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#### 186 **2.3 Model setup**

In the NETP, the initially significant activity ages are younger than  $\sim 20$  Ma (Figure 1b, c) 187 (Duvall et al., 2013; Lease et al., 2011; Li et al., 2019, 2022; Yuan et al., 2011; Zhang et al., 1991; 188 Zheng et al., 2006), and the modern high elevation was reached within ~23-11 Ma (Ding et al., 189 2022; Miao et al., 2022). Thus, we set the total growth duration of the two-stage uplift to 20 Myr 190  $(t = t_1 + t_2 = 20 \text{ Myr})$  cover the maximum growth age of the NETP. The total growth distance of 191 the two-stage uplift is set to 720 km ( $L = L_1 + L_2 = 720$  km) in our growth models, based on the 192 present-day topography from the plateau interior to the plateau margin (near the Haiyuan Fault, 193 Figure 1b, c). 194

To fit the present-day maximum topography of the NETP (equation 2), we set the maximum elevation to 5 km (i.e.,  $h_0 = 5$  km), and the characteristic width of propagation uplift to 100 km (i.e., W = 100 km). To model landscape evolution in the NETP, we define a rectangular domain

size of  $950 \times 600$  km (Figure 1b) with each node size of  $1 \times 1$  km, and run the model for 20 Myr. 198 To increase modeling efficiency, we use a time step length of 100,000 years to find one of the 199 best-fit models and a time step length of 10,000 years to extract information from the best-fit 200 model. The modeled river profiles are similar by testing different time steps from 10,000 to 201 100,000 years (Figure S1). In the NETP, initial elevations (0-2500 m) with maximum elevations 202 in the model south boundary (Figure 3) and random white noise ( $\leq 500$  m) are assumed, based on 203 the maximum elevations were  $\sim 2500 \pm 500$  m at  $\sim 20$  Ma near the south of the modeled area 204 (Polissar et al., 2009; Sun et al., 2015). 205

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# 207 2.4 Misfit function

The forward analyses of the landscape evolution model are used to explore the multidimensional space of free parameters (i.e.,  $K_f$ ,  $x_{1B}$ , and  $t_{1B}$ ). Optimum values are found when the modeled river profile best matches the observed river profile of the UYR in the NETP. A parameter of  $\chi$  was used to normalize the river profiles (Perron and Royden, 2013) at the point x:

$$\chi(x) = \int_{x_b}^x \left(\frac{A_0}{A(x)}\right)^{m/n} dx , \qquad (4)$$

where  $x_b$  is the base level (outlet: 750 km; Figure 1b),  $A_0$  (= 1 m<sup>2</sup>) is a reference drainage area, and A(x) is the drainage area of the point x. We first calculate the observed  $\chi_i^{obs}$  values of the UYR at each elevation bin  $h_i$  (i = 1, 2, ..., N). Then, at the final step of the model, we obtain the modeled trunks (i.e., the longest channel of each modeled basin), and calculate the modeled  $\chi_i^{mod}$ values at the corresponding elevation bin  $h_i$ .

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**Table 1**. Free parameters range in the forward analysis.

Growth model	$K_{f} (\times 10^{-7})$	<sup>7</sup> m <sup>0.16</sup> /yr)	$L_{1B}(\mathrm{km})$		$t_{1B}(Myr)$	
	Model ran	nge Interval	Model rai	nge Interval	Model r	ange Interval
Block-block growth model (Figure 3a)	15-41	2	0-700	100	0-20	1
Block-propagation growth model (Figure 3b)	15-41	2	0-700	100	0-20	1

An average misfit ( $\mu$ ) function compared the  $\chi$  of modeled and observed river profiles:

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$$\mu = \frac{1}{N_t} \sqrt{\frac{1}{N} \sum_{i=1}^{N} \frac{(\chi_i^{obs} - \chi_i^{mod})^2}{(\delta_x)^2}},$$
 (5)

where  $\delta_{\chi}$  (= 8 m) is the uncertainty for the  $\chi$  comparison set arbitrary,  $N_t$  is the total number of all modeled trunks in the final step. For each growth model, 2352 (14 × 8 × 21) forward process models are used to constrain the best-fit sets of three free parameters (Table 1).

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#### 228 **3 Results**

We plot the misfit values  $\mu$  ( $log_{10}(\mu) < 2.0$ ) of the two growth models for three free 229 parameters  $(K_f, x_{1B}, \text{ and } t_{1B})$  with different colors (Figures 4 and S2). The best-fit value of the 230 block-block growth model is higher than that of the block-propagation growth model. In the low 231  $log_{10}(\mu) < 0$  values (i.e., the best-fit models within uncertainty) of the block-block growth model, 232 the distances  $(L_{1B})$  and durations  $(t_{1B})$  are less than 500 km and 15 Myr, respectively. The smallest 233  $log_{10}(\mu)$  value is -0.335 marked by the black box in Figure 4a ( $K_f = 29 \times 10^{-7} \text{ m}^{0.16}/\text{yr}, L_{1B} =$ 234 100 km, and  $t_{1B} = 5$  Myr). There is no modeled river profile ( $\chi$ -elevation plots) matching well the 235 observed river profile for the block-block growth model, even the best-fit modeled results (Figure 236 5a). In the low  $log_{10}(\mu) < 0$  of the block-propagation growth model, the distances  $(L_{1B})$  and the 237 durations  $(t_{1B})$  are less than 500 km and 17 Myr, respectively. The smallest  $log_{10}(\mu)$  value is 238 -0.439 marked by the black box in Figure 4b ( $K_f = 21 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100 \text{ km}$ , and  $t_{1B} = 100 \text{ km}$ 239 240 8 Myr). Several best-fit modeled river profiles matched the observed river profile well for the 241 block-propagation growth model (Figure 5b). 242



Figure 4. Modeled misfits of two growth models with each model 2352 forward analyses. (a) Block-block growth model. A black box marks one of the best-fit parameter sets ( $K_f = 29 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100$ km, and  $t_{1B} = 5$  Myr), and the smallest  $log_{10}(\mu)$  value is -0.335. (b) Block-propagation growth model. A black box shows one of the best-fit parameter sets ( $K_f = 21 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100$  km, and  $t_{1B} = 8$ Myr), and the smallest  $log_{10}(\mu)$  value is -0.439.





Figure 5. Modeled (colored) and observed (black) river profiles are plotted by  $\chi$ . (a) In the block-block growth model, the modeled river profiles are less consistent with the observed river profile of the upstream Yellow River. (b) In the block-propagation growth model, several modeled river profiles with dark blue color matched well the observed river profile of the upstream Yellow River. The cooler the color, the lower the misfit values, and the better the modeling results.

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## 257 4 Discussion

#### **4.1 The best-fit growth model of the Northeastern Tibetan Plateau**

This section compares one of the best-fit modeled results in each growth model to the observed results.  $\chi$ -elevation plots are extracted from modeled trunks and the UYR. Although the modeled river profiles in each growth model are consistent with the upper reach of the observed river profile (with elevations >3500 m), only the modeled river profiles in the block-propagation growth model are consistent with the lower reach of the observed river profile (Figure 6b, e). In addition, the observed and modeled swath profiles of the block-propagation growth model are more consistent than that of the block-block growth model (Figure 6c, f).





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Figure 6. The comparison of geomorphic data between the best-fit model results of each growth model and the upstream Yellow River. (a, d) Modeled landscapes and trunks (blue lines). Blue arrows mark river directions. (b, e)  $\chi$ -elevation plots. (c, f) Modeled and observed swaths and relief extracted from the whole

The modeled erosion rates and the trend of acceleration times of deformation are also 275 compared to the observed results. Modeled erosion rates from the block-propagation growth model 276 can better match most erosion rates from the plateau interior to the plateau margin (Figure 7b), 277 278 which is similar to the downstream increase of incision in the Daxia River (Zhang et al., 2017a), a tributary of the UYR. Modeled age-elevation relationships show that only one synchronous 279 280 acceleration occurred in the block-block growth model (Figure 8b), which differs from the observed various acceleration times of deformation (Figure 2). Examples include the East Kunlun 281 around 8-5 Ma (Duvall et al., 2013), the Dulan Chaka Highland around 17-12 Ma (Duvall et al., 282 2013), the Laji Shan around 23-17 Ma (Lease et al., 2011), the Jishi Shan around 13-5 Ma (Lease 283 284 et al., 2011), the East Qilian Shan around 15-12 Ma (Wang et al., 2020), and the Liupan Shan around 8-7 Ma (Zheng et al., 2006). In contrast, a northward progressive acceleration occurred in 285 the block-propagation growth model (Figure 8c), more consistent with the initially significant 286 activity ages which became younger to the northeast in the NETP (Figure 1c). Moreover, the 287 significant increasing uplift rate occurred after ~5 Ma near the plateau margin (~720 km) (Figure 288 8c), consistent with previous studies (Zhang et al., 2023). Thus, based on the comparisons of river 289 profiles, swath profiles, erosion rates, and the trend of significant acceleration times (e.g., initially 290 significant activity ages and thermochronometric age-elevation relationships), the block-291 propagation growth model fits better with the NETP growth records. 292 293



Figure 7. Comparison of the observed and modeled erosion rates. (a) Erosion rates distribution. (b) Comparisons of modeled erosion rates along the river profile. Erosion rates (Table S3) are from references (Harkins et al., 2007; Kirby and Harkins, 2013; Lal et al., 2004; Li et al., 2014b; Zhang et al., 2017a). Grey dots are influenced by transient sediments (Zhang et al., 2017a).





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Figure 8. Modeled age-elevation relationships of two growth models. (a) Location of different distances.
(b) Block-block growth model. (c) Block-propagation growth model.

#### **4.2 Growth history of the Northeastern Tibetan Plateau**

Based on our forward analyses, the block-propagation growth model matches better the NETP growth records. The major range of block uplift only occurred in the south of the modeled area before ~12 Ma (middle Miocene), with broad low elevations in most of the modeled area (Figure 9). Then, a broad propagation uplift occurred in the modeled area from ~12 Ma to the present, forming the modern plateau margin (Figure 9). High elevations (~5 km) expand northward during the plateau growth (Figure 9g).







Figure 9. Typical modeled scenarios of the block-propagation growth model. Top panels are modeled landscapes and trunks (blue) at (a) 12 Ma, (b) 6 Ma, and (c) 0 Ma. Blue arrows mark river directions. Middle panels are observed (grey) and modeled (blue) swath profiles and relief (green) at (d) 12 Ma, (e) 6Ma, and (f) 0Ma. (g) The bottom panel shows the maximum elevations along modeled trunks during uplifting. Dashed lines are modeled maximum elevations from 20 Ma to 0 Ma. A grey continuous line is the observed maximum elevation at 0 Ma. The black dashed line at 720 km in (d), (e), (f), and (g) indicates the plateau margin.

Our modeled data are consistent with various observed data, including crustal deformations 319 (Fan et al., 2019; Royden et al., 2008; Yan et al., 2006; Yu et al., 2023) and sedimentary records 320 (Chang et al., 2015; Li et al., 2011). For example, most initial faulting ages in the NETP were after 321  $\sim$ 20 Ma, with a northward younging trend (Figure 1b, c). The initial propagation uplift time ( $\sim$ 12 322 Ma) is consistent with the widespread middle-Miocene crustal deformation in the NETP (Yu et 323 al., 2023), the formation time (Miocene) of basin-bounding faults in the NETP and the eastern 324 segment of the NETP (Fan et al., 2019). The propagation uplift time of ~12 Ma is also consistent 325 with most of the shortening after ~15 to 20 Ma in the NETP (Royden et al., 2008), and a clockwise 326 rotation during 11-17 Ma in the Guide Basin (Figure 1b) (Yan et al., 2006) based on the 327 magnetostratigraphy. The initial propagation uplift time is also close to the accumulation rate 328 abruptly increased near ~15 Ma in the Qaidam Basin (Figure 1b) based on a stratigraphic study 329 (Chang et al., 2015), and the progressive surface uplift since 15 Ma in the NETP based on the Nd 330 isotopic ratio of Asian dust (Li et al., 2011). 331

We show the major block uplift and higher elevations occurring in the south of the NETP 332 before  $\sim 12$  Ma, with broad low elevations to the north, consistent with the observed higher 333 334 elevations in the south (Polissar et al., 2009; Sun et al., 2015) and low elevations in the north of the East Kunlun fault (Hui et al., 2018). The Hoh-Xil Basin (upper part of the UYR, Figure 1b) 335 336 reached 1395-2931 m before 17 Ma based on the leaf fossils from an early Miocene barberry (Berberis) (Sun et al., 2015), or had uplifted at least 1700-2600 m before the middle Miocene 337 338 based on δD ratio of surface waters from freshwater limestones (Polissar et al., 2009). In contrast, the Zeku Basin (lower part of the UYR, Figure 1b) had 1200-1400 m elevations during the early 339 to middle Miocene based on palynological data (Hui et al., 2018). Our results are also consistent 340 with the observations of the south of the East Kunlun Fault (Hoh Xil Basin) reached 2-3 km before 341 the Miocene and the modern high elevation of ~4.6 km after ~17 Ma (Staisch et al., 2016). The 342 Hoh Xil Basin had probably reached its current elevation of ~4.6 km by ~12 Ma, considering 343 possible uncertainties (Li and Garzione, 2023). The north of the East Kunlun Fault reached the 344 modern high elevations after ~11 Ma (Miao et al., 2022), based on the pollen records of montane 345 conifers. The surface uplift is not synchronous but is progressive to the north, consistent with our 346 modeling (Figure 9g). 347



Figure 10. Schematic models illustrate different growth mechanisms on both sides of the East Kunlun Fault. (a) The scenario occurred after block growth (12 Ma) with higher elevations in the south of the East Kunlun Fault than in the north. (b) Propagation growth at 6 Ma. (c) Schematic present-day topography (0 Ma). The hypothetical elevations in the diagrams are based on the uplift process in Figure 9g. Initial significant activity ages are based on the data in Figure 1b. EKL Fault: East Kunlun Fault; WQL Fault: West Qinling Fault; HY Fault: Haiyuan Fault. Deep structures are modified from Tapponnier et al. (2001). Primary faults in the NETP are modified from references (Tapponnier et al., 2001; Yuan et al., 2013).

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Different uplift processes in the south and north of the East Kunlun Fault may be related to different uplift mechanisms (Chen et al., 2017; Lease et al., 2012; Staisch et al., 2016; Tapponnier et al., 1990) (Figure 10). The East Kunlun Fault is an important rheological boundary (Karplus et

al., 2011; Le Pape et al., 2012), based on a magnetotelluric profile crossing the Northern Tibetan 360 Plateau (Unsworth et al., 2004). Because of different growth processes in the south and north of 361 the East Kunlun fault, a Paleogene basin was partitioned into the Hoh Xil and Qaidam subbasins 362 by the East Kunlun fault before the middle Miocene (Chen et al., 2017; Yin et al., 2008). In the 363 south of the East Kunlun Fault, the upper crustal shortening within the Hoh Xil Basin ceased 364 between 33.5 and 27.3 Ma (Staisch et al., 2016), and the crust thickening driven by mantle removal 365 related to partial melting or mantle melting (Chen et al., 2017; Staisch et al., 2016) played an 366 important role in the plateau growth since the Miocene (Figure 10a). The surface has been raised 367 ~2 km by mantle melting since the early Miocene (25-20 Ma) (Chen et al., 2017). The magmatic 368 activities widely occurred in the south of the East Kunlun Fault, related to opposing north-directed 369 and south-directed continental subduction after 25 Ma (Guo and Wilson, 2019). In contrast, 370 371 magmatic activities were rare in the north of the East Kunlun Fault (Yin and Harrison, 2000).

In the north of the East Kunlun Fault, some researchers suggest that the partial melt 372 penetration probably characterizes the plateau growth (Karplus et al., 2011; Medvedev et al., 373 2006), but crust thickening driven by shortening played a critical role in forming the NETP through 374 375 fault thrusting and folding (Hu et al., 2015; Lease et al., 2012; Tapponnier et al., 1990) (Figure 10b, c). A transition of the tectonic regime, from extrusion to the distributed shortening in the 376 377 Northern Tibetan Plateau, was initiated at ~15 Ma (Lu et al., 2016). The distributed crustal shortening was one of the dominant processes in the Northern Tibetan Plateau construction (Zuza 378 379 et al., 2016). For example, the Jishi Shan, between the Kunlun and Haiyuan left-lateral faults, experienced accelerated exhumation due to thrust-induced rock uplift and erosion at ~13 Ma based 380 on thermochronological data (Lease et al., 2011). More than half of net Cenozoic crustal shortening 381 and thickening in this area has occurred since  $\sim 13$  Ma, based on cross-section reconstructions 382 (Lease et al., 2012). A reconstruction of crustal thickness around the Jishi Shan shows the crust 383 384 thickening from the middle Miocene thickness of  $50 \pm 4$  km to the modern thickness of  $56 \pm 4$  km (Lease et al., 2012). In addition, most of the present elevations were reached since the middle 385 Miocene in the NETP (Hui et al., 2018; Zhuang et al., 2014). In the Zeku basin (Figure 1b), the 386 present 60-70% elevations were mainly uplifted since the early-middle Miocene, based on 387 palynological data in the Caergen section (Hui et al., 2018). The Qaidam Basin, near the UYR, 388 whose present elevations of 70% were uplifted during 15-10.4 Ma, based on Leaf wax stable 389 isotopes in the Huaitoutala section (Zhuang et al., 2014). 390

In summary, consistent with the above studies, our modeled results suggest that most presentday high elevations (~5 km) have been reached since the middle Miocene in the north of the East Kunlun Fault, and then the high elevations expanded northward. The plateau uplift is mostly attributed to the crustal thickening, and the gradual propagation was related to the crustal shortening through fault thrusting and folding.

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# 397 **4.3 Headward erosion cannot form the observed upstream Yellow River profile**

The NETP growth primarily controls the UYR formation. However, the UYR formation time 398 399 is debated, varying from >11.63 Ma to >0.03 Ma based on the initial incision timing ( $\sim 1.8-0.03$ Ma) of the upmost terraces (Craddock et al., 2010), the dating of basin sediments (~1.7 Ma) (Li et 400 al., 2014a), the similar provenance signals in the Linxia and Lanzhou Basins (Figure 1b) (~3.6 401 Ma) (Nie et al., 2015), the isolation time (1.2-0.5 Ma) of the Qinghai Lake (Figure 1b) (Zhang et 402 403 al., 2014), the divergence time (~0.19 Ma) of two species (Liang et al., 2018), the sediment in the Hetao Basin (Figure 1b) (~1.68 Ma) (Li et al., 2023), the termination time (~4.8 Ma) of 404 405 fluviolacustrine-dominated red beds in the Xining Basin (Figure 1b) (Zhang et al., 2017b), and the initial sedimentation (>11.63 Ma) of Eocene-Miocene red bed in the Lanzhou zone (Lin et al., 406 2001). Among these formation timings, some studies suggest that the UYR formed over the last 407 few million years (~2.58-0.03 Ma) since the UYR excavated basin sediments systematically 408 upstream through headward erosion (Craddock et al., 2010; Jia et al., 2017; Su et al., 2023), with 409 a rate of ~350 km Myr<sup>-1</sup> (Craddock et al., 2010). The block-block growth model experiences 410 headward erosion in our study because the modeled area only grows upward without horizontal 411 motion.  $\gamma$ -elevation plots from all block-block growth models, compared to the UYR river profile, 412 show that no appropriate modeled results can match the observed UYR river profile (Figure 5a). 413 In contrast, a few block-propagation growth model results match the observed UYR river profile 414 (Figure 5b). The high erosion rates mainly propagated downstream during the outward propagation 415 of the NETP growth, similar to the erosion pattern that occurred in the eastern Tibetan Plateau 416 (Yuan et al., 2023). 417

418 Next, we test whether the pure headward erosion (and retreat process) can form the UYR 419 river profile using the landscape evolution model with various erodibilities and model run 420 durations. To match the elevation of the observed base level of the NETP margin, we set the 421 modeled boundary at 820 km (Figure 11). The initial topography is set to the maximum topography

- 422 (Figure 3) based on equation 2, with the amplitude white noise (<100 m). The fluvial erodibilities
- 423 are set to  $(2, 4, 6, 8, 10, \text{ and } 12) \times 10^{-6} \text{ m}^{0.16}/\text{yr}$ , and the model run durations are set to (2, 4, 6, 8)
- 424 8, and 10) Myr for modeling the retreat process (Figure 11). Modeled river profiles (Figure 5a, 11)
- 425 indicate that the pure headward erosion suggested by previous studies (Craddock et al., 2010;
- 426 Harkins et al., 2007; Jia et al., 2017; Su et al., 2023) hardly formed the UYR river profile over a
- 427 few million years.
- 428



Figure 11. The observed maximum and minimum elevations (grey) and modeled (thick line) river profiles from different sets of erodibilities and model durations in each headward erosion and retreat process. We set the modeled boundary at 820 km to match the elevation of the observed and modeled base levels.

Most young formation times of the UYR are suggested based on the abandonment time (i.e., 434 initial incision time) of the upmost terraces (Craddock et al., 2010; Jia et al., 2017; Su et al., 2023; 435 Zhang et al., 2014). However, the divergent young ages for the inception of the Yellow River can 436 be related to periodic climate fluctuations, and may correspond only to different re-integration 437 events due to deglaciation or desiccation (Zhao et al., 2023). The re-integration events due to 438 439 desiccation have been reported in the Yellow River middle reach (Zhao et al., 2023). In addition, the formation, abandonment, and preservation of fluvial terraces are often related to changes in 440 tectonics and/or climate (Reusser et al., 2004; Wang et al., 2015). Modifying any external factors 441

can rework the previously formed fluvial terraces and erase the previous geomorphic and
stratigraphic records (Pan et al., 2009), and the hoarier records of fluvial terraces may be modified
more easily.

On the other hand, the thermochronometric age-elevation relationships (Figure 2), responding 445 to a long-term history of exhumation, suggest that the NETP experienced a long-term exhumation 446 history, rather than a short-term erosion history over a few million years. Moreover, there were 447 connections between the upstream mountain and the downstream basin before 20 Ma in the NETP 448 (Liu, 2015). Sediments from the Anyemagen Shan (Figure 1b) were deposited in the Guide Basin 449 during 53-33 Ma and in the Lanzhou Basin around 43 Ma (Liu, 2015). In summary, we suggest 450 that the UYR may have existed long accompanying the long-term NETP growth, based on our 451 modeled results, previous studies, and thermochronometric data. 452

453

#### 454 **5** Conclusions

Using a numerical landscape evolution model, we quantitatively study the  $\sim 20$  Ma growth 455 history of the NETP correlated with the formation of the UYR. The block-propagation growth 456 model, comprised of an early block uplift (~20-12 Ma) and a late propagation uplift (~12-0 Ma), 457 is consistent with the records of the NETP based on the comparisons of the observed and modeled 458 river profiles, swath profiles, erosion rates, the trend of acceleration times of deformation (e.g., 459 initially significant activity ages and thermochronometric age-elevation relationships), and paleo-460 elevation datasets. We show that a block growth primarily occurred in the south of the NETP 461 before  $\sim 12$  Ma (middle Miocene), and a propagation growth broadly occurred in the NETP after 462 ~12 Ma with high elevations (~5 km) expanding northward. We further suggest that pure headward 463 erosion unlikely formed the river profile of the UYR over a few million years. Our results show 464 that the long-term fluvial erosion in the NETP features mainly a downstream migration of high 465 erosion rates, which is significantly different from the headward erosion of small mountain rivers. 466 This erosion pattern in the NETP, similar to that occurred in the Eastern Tibetan Plateau (Yuan et 467 al., 2023), may represent a common erosion pattern of outward-growing plateaus in tectonically 468 active regions on Earth. 469

470

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#### 473 Data Availability Statement

474 The codes used for the simulations are available in Yuan et al. (2019) and

475 https://doi.org/10.5281/zenodo.3833983 website (Bovy & Braun, 2020). Figures were made using

- 476 ParaView, CorelDRAW, and Generic Mapping Tools.
- 477

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1	Journal of Geophysical Research: Solid Earth
2	Supporting Information for
3	
4	Reconciling the long-term growth of the Northeastern Tibetan Plateau and the
5	upstream Yellow River profile
6	
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17	
18	Contents of this file
19	Figures S1 to S2
20	Tables S1 to S3
21	
22	Introduction
23	This Supplementary Information contains two figures and three tables, supporting the
<b>.</b> .	

24 analysis in the main text.



25

Figure S1. Comparison of  $\chi$  from different time steps. (a) Block-block growth model. (b) Block-propagation growth model. The grey line is an observed river profile. The dashed lines are 100,000 years of a time step. The blue solid lines are 10,000 years of a time step.  $L_{1B}$  and  $t_{1B}$  are the distance and duration of the first block growth, respectively.  $L_{2B}$  and  $t_{2B}$  are the distance and duration of the second block growth, respectively.  $L_{2P}$  and  $t_{2P}$  are the distance and duration of the second propagation growth, respectively. River profiles resulting from different time steps are similar.





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Figure S2. The detailed distribution of misfit  $log_{10}(\mu)$  for three free parameters. In the blockblock growth model. A black box marks one of the best-fit parameter sets ( $K_f = 29 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100 \text{ km}$ ,  $t_{1B} = 5 \text{ Myr}$ ), and the smallest  $log_{10}(\mu)$  value is -0.335. In the block-propagation growth model. A black box marks one of the best-fit parameter sets ( $K_f = 21 \times 10^{-7} \text{ m}^{0.16}/\text{yr}$ ,  $L_{1B} = 100 \text{ km}$ ,  $t_{1B} = 8 \text{ Myr}$ ), and the smallest  $log_{10}(\mu)$  value is -0.439.

			Б		D.C.
Lat ("N)	Lon ("E)	Age (Ma)	Error	Data type	Reference
34./1/	99.079	15.00	0.00		
34.609	99.814	20.00	0.00		
34.094	101.508	6.50	1.50		
34.095	101.505	6.50	1.50		
34.096	101.502	6.50	1.50		
34.098	101.499	6.50	1.50		
34.103	101.497	6.50	1.50		
36.073	98.405	14.50	2.50		
36.071	98.407	14.50	2.50		
36.069	98.406	14.50	2.50		
36.066	98.406	14.50	2.50		
36.064	98.409	14.50	2.50		
36.256	98.472	14.50	2.50	Thermochronometric ages	Duvall et al. $(2013)$
36.258	98.467	14.50	2.50	Thermoeniononicute ages	Duvan et al. (2015)
36.254	98.460	14.50	2.50		
36.250	98.454	14.50	2.50		
36.248	98.450	14.50	2.50		
36.280	98.719	14.50	2.50		
36.277	98.712	14.50	2.50		
36.270	98.708	14.50	2.50		
36.267	98.711	14.50	2.50		
36.263	98.705	14.50	2.50		
35.856	98.769	14.50	2.50		
36.015	98.751	14.50	2.50		
35.992	98.566	14.50	2.50		
36.067	98.513	14.50	2.50		
37.401	101.902	12.41	1.50		
37.580	101.839	11.68	1.94		
37.580	101.828	11.64	2.12	Thermochronometric ages	Li et al. (2019)
37.611	101.832	10.96	2.07		
37.889	102.173	11.89	1.98		
35.666	106.229	8.06	1.02		
35.670	106.230	8.16	0.90		
35.668	106.233	7.90	0.90	Thermochronometric ages	Zheng et al. (2006)
35.670	106.235	7.30	1.10		
35.669	106.239	7.40	0.90		
36.300	105.200	~3.96	/		
36.800	106.100	~3.96	/	Stratigraphic relations	Zhang et al. (1991)
36.184	102.620	~22.00	/		
35.751	102.736	~13.00	/	Thermochronometric ages	Lease et al. (2011)
36.649	98.898	9.00	0.00		
36.641	98.903	9.00	0.00		
36.629	98.962	9.00	0.00	Fault signatures	Yuan et al. (2011)
37.103	100.686	10.00	3.00		()
36.941	100.817	10.00	3.00		
37.100	98.267	12.50	2.50	Thermochronometric ages and fault signatures	Li et al. (2022)

**Table S1.** A compilation of initial faulting ages.

Lat	Lon	Distance	Height	AHe	error	AFT	error	Acceleration	Reference
(°N)	(°N)	(km)	(km)	(Age)	•	(Age)	•1101	time	
102.611	36.178	561.76	3.45	21.80	1.90				
102.620	36.184	562.80	3.27	22.70	2.40				
102.627	36.184	563.32	3.03	21.90	1.90			23-17 Ma	Laji Shan (Lease
102.631	36.184	563.62	2.92	22.60	1.90			23-17 Ivia	et al., 2011)
102.630	36.171	562.74	2.74	18.90	1.60				
102.633	36.171	562.96	2.58	17.60	1.30	37.80	3.80		
102.730	35.768	545.16	2.93	8.10	1.10	23.40	2.00		
102.736	35.769	545.67	2.74	9.10	1.20				
102.733	35.746	544.02	2.65	8.00	1.00				
102.738	35.767	545.70	2.60	7.90	1.00	14.00	2.60		
102.736	35.751	544.56	2.59	10.30	1.30				
102.739	35.766	545.71	2.55	8.30	1.10				T 1 C1 /T
102.741	35.753	545.06	2.49	8.60	1.10			13-5 Ma	Jishi Shan (Lease
102.744	35.757	545.53	2.40	7.20	0.90	18.10	1.20		et al., 2011)
102.741	35.765	545.80	2.43	5.40	0.70	18.10	3.40		
102.743	35.763	545.83	2.39	5.40	0.80	18.30	3.40		
102.688	36.028	558.18	3.22	21.50	4.00				
102.709	36.028	559.75	3.03	18.90	2.70				
102.711	36.030	560.02	2.94	10.60	2.00				
101.508	34.094	348.01	4.43			15.80	3.40		
101.505	34.095	347.85	4.26			20.40	4.00		East Kunlun
101.502	34.096	347.68	4.09			9.60	2.30	8-5 Ma	(Duvall et al.,
101.499	34.098	347.58	3.84			6.40	0.70		2013)
101.497	34.103	347.75	3.66			8.70	2.60		,
98.405	36.073	246.51	4.30	45.49	19.10				
98.407	36.071	246.50	4.20	37.15	11.72				
98.406	36.069	246.29	4.09	15.20	2.96				
98.406	36.066	246.07	3.99	16.18	0.78				
98.409	36.064	246.13	3.87	12.70	1.09				
98.472	36.256	264.69	4.60	35.26	2.41				
98 467	36 258	264 52	4 47	26.81	5.95				Dulan Chaka
98 460	36 254	263.75	4 28	21.02	2 19			17-12 Ma	Highland (Duvall
98 4 5 4	36 250	263.06	4 10	13.88	2.17				et al., 2013)
98 450	36 248	263.00	3.92	12.55	1 99				
98 719	36 280	282.01	4 81	45 22	8.95				
98 712	36 277	282.21	4 55	18 70	5.85				
98 711	36 267	281.42	4 33	14 16	4 11				
98 705	36 263	280.72	4 16	28.09	7.86				
101 908	37 714	605.84	3.01	40.90	7.60				
101.900	37 711	605.04	2.86	12.90	1.00				Fast Oilian Shan
101.909	37 714	605.75	2.00	14 60	3.60			15-12 Ma	(Wang et al 2020)
101.900	37 712	606 52	2.62	38.60	13.00				(wang et al., 2020)
106 211	36.664	861.02	_1 Q02	50.00	13.40	33 20	4 50		
106.217	35 664	802.21	- <del>-</del> .00 _5 60ª			27 10	9.70 9.70		
106.21/	25 666	804 25	6 102			27.10 8.06	9.40 1.00		Linner Sher
106.229	35.000	004.33 804.66	-0.10" 6 11a			0.00 8 14	0.00	8 7Ma	(Theng at al
100.230	35.670	804.00	-0.11" 6 20a			0.10 7.00	0.90	0-/1vla	(Zneng et al., 2006)
100.233	35.008	805 0 <i>4</i>	-0.30" 6 40a			7.20	0.90		2000)
106.233	35.670	805.04	-0.40°			7.50	0.00		
11/11/19	1 1 1119	()() ) / 7	-0.007			/ 41/	11 711		

43 **Table S2.** A compilation of thermochronometric ages (since 50 Ma).

44 Note: AHe: Apatite (U-Th)/He; AFT: Apatite Fission Track. <sup>a</sup>Relative depth.

Lat (°N)	Lon (°E)	Rate (mm/vr)	Error	Reference
36.700	99.700	0.01	0.00	Lal et al. (2004)
34.884	98.171	0.01	0.00	· · · · · ·
33.820	97.149	0.02	0.00	Li et al. (2014)
35.891	99.694	0.11	0.01	
34.100	100.761	0.06	0.00	
34.898	100.885	0.06	0.01	
34.799	100.811	0.08	0.01	
34.797	100.811	0.08	0.01	$\mathbf{U}_{\mathbf{r}}$
34.777	100.813	0.08	0.01	Harkins et al. (2007)
34.526	100.394	0.09	0.02	
34.752	99.693	0.11	0.01	
33.693	101.388	0.07	0.01	
34.598	101.341	0.06	0.01	
33.765	101.226	0.08	0.01	
33.724	101.271	0.07	0.01	Kinhy and Harling (2012)
34.557	99.481	0.06	0.01	KIDy and Harkins (2013)
34.479	99.778	0.11	0.01	
34.689	100.623	0.23	0.02	
35.070	102.990	0.11	0.02	
35.120	102.130	0.08	0.01	
35.000	103.150	0.03	0.01	$Z_{\text{barg stal}}$ (2017)
35.220	102.240	0.15	0.01	Zhang et al. (2017)
35.310	102.790	0.32 <sup>a</sup>	0.03	
35.400	102.870	0.33 <sup>a</sup>	0.03	

45 **Table S3.** A compilation of erosion rates.

46 Note: <sup>a</sup>Two high erosion rates are influenced by transient sediments.

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