Hall Thruster Plume Effects on Spacecraft Charging from 0.067 AU to 1 AU

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Abstract

The backflow plume from an electric thruster can lead to the accumulation of electrostatic charge on the spacecraft, leading to a negative charge. Interactions between the plume and solar wind can further influence the charge distribution, resulting in variations in spacecraft potential and interference with sensitive instruments. A 3D Particle-In-Cell (PIC) simulation tool within the Spacecraft Plasma Interaction Software (SPIS) is used to compute spacecraft charging effects from 0.067 to 1 Astronomical unit (AU). The study examines the dynamic behaviour of ambient electrons and ions, thruster electrons, and CEX-ions, as well as photoemission and secondary electrons, and solar photon flux impact on the spacecraft potential. The main finding is the demonstration that the high dominance of CEX-ions and thruster electrons helps to achieve a low and stable negative potential ranging from -2 to -6 V from 0.067 AU to 1 AU when the thruster is on, as opposed to the varying range of -5 to +9 V with the thruster off. Other findings are that the elimination of photoelectrons or secondary electrons has a comparatively greater impact on spacecraft potential when the thruster is off, as opposed to when the thruster is on. Both CEX-ions and thruster electrons significantly contribute to the mitigation and regulation of spacecraft potential towards a less negative potential in situations where photoemission or secondary electrons are absent or relatively unimportant.

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12 Key Points:

- Hall thrusters achieve a stable negative spacecraft potential across diverse heliocentric conditions.
- Thruster reduces ion-wake potentials, minimizing spacecraft charging in near-sun environments, showcasing its vital role.
- SPIS software is used to analyse charging effects on spacecraft with and without a Hall thruster across various heliocentric distances.

19 Abstract

20 The backflow plume from an electric thruster can lead to the accumulation of electrostatic charge on the spacecraft, leading to a negative charge. Interactions between the plume and solar wind can further 21 influence the charge distribution, resulting in variations in spacecraft potential and interference with 22 sensitive instruments. A 3D Particle-In-Cell (PIC) simulation tool within the Spacecraft Plasma Interaction 23 Software (SPIS) is used to compute spacecraft charging effects from 0.067 to 1 Astronomical unit (AU). 24 The study examines the dynamic behaviour of ambient electrons and ions, thruster electrons, and CEX-25 ions, as well as photoemission and secondary electrons, and solar photon flux impact on the spacecraft 26 potential. The main finding is the demonstration that the high dominance of CEX-ions and thruster 27 electrons helps to achieve a low and stable negative potential ranging from -2 to -6 V from 0.067 AU to 1 28 AU when the thruster is on, as opposed to the varying range of -5 to +9 V with the thruster off. Other 29 findings are that the elimination of photoelectrons or secondary electrons has a comparatively greater 30 impact on spacecraft potential when the thruster is off, as opposed to when the thruster is on. Both CEX-31 ions and thruster electrons significantly contribute to the mitigation and regulation of spacecraft potential 32 33 towards a less negative potential in situations where photoemission or secondary electrons are absent or relatively unimportant. 34

35 Plain Language Summary

As a spacecraft travels through space, it encounters a mix of charged particles from its surroundings. These 36 particles strike the spacecraft's surface, causing it to accumulate an electrostatic charge. This charge can be 37 either positive or negative, depending on the density and temperature of incoming electrons and ions and 38 the solar photon flux. A current balance is achieved when the incoming and outgoing currents of charged 39 particles equalize. Additionally, external sources such as electric thrusters, which are crucial for propulsion, 40 emit various charged particles. The combined effects of the space environment and externally charged 41 particles create a complex interaction that results in spacecraft charging. In certain instances, spacecraft can 42 accumulate an excessive negative or positive charge, leading to significant anomalies and mission failures. 43 This paper demonstrates that the operation of a Hall thruster can strongly limit this charging and keep the 44 spacecraft at a low negative, stable, potential from close to the Sun to near Earth. The research will aid in 45 spacecraft design and the positioning of instruments to prevent operational issues arising from spacecraft 46 charging. 47

48 **1 Introduction**

In recent years, there has been a growing interest in electric propulsion systems for commercial, scientific, 49 50 and interplanetary missions, mainly due to their high specific impulse, thruster controllability, and proven reliability (e.g., in Geobel & Katz, 2008; Kuninaka et al., 2011; Garner & Liu et al., 2013). Electric 51 thrusters offer higher delta-V compared to chemical propulsion which allows additional payload capacity 52 (Geobel & Katz, 2008). However, it's important to note that electric propulsion systems have some 53 drawbacks. One significant drawback is the significantly longer time-to-destination compared to chemical 54 propulsion systems. The prolonged journey increases the risk of major changes in the spacecraft charging 55 56 while passing through the solar wind environment (e.g., in Geobel & Katz, 2008; Liu et al., 2013). The solar wind's particles can cause variations in the spacecraft's electrostatic potential, severely disturbing low-57 energy particle and electric field measurements shown by Guillemant (2013). Additionally, when an 58 59 electric thruster operates in different plasma environments, spacecraft surface charging variations are expected (e.g., in Wang (1997) and Feng et al. (2019). The effects caused by electric thruster operations 60 have long raised both scientific and operational concerns. The plume's backflow can contaminate and 61 interact with spacecraft payload systems, potentially leading to unfavourable consequences for the mission 62 lifetime (e.g., in Wang 1997; Tajmar 2002; Reissner et al., 2011; Feng et al., 2019, Filleul et al., 2021). 63

Backflow plumes from an electric thruster consist of low-velocity charge-exchange ions (CEX-ions) that result from collisions between fast-beam ions and propellant neutrals within the main ion beam and the

thruster electrons emitted from the cathode as discussed in Geobel & Katz (2008). These low-energy ions 66 67 and electrons flow back toward the spacecraft, giving rise to two primary effects. Firstly, the deposition of effluent causes contamination on optical sensors, solar arrays, thermal control systems, and other 68 communication devices. Secondly, the presence of CEX-ions and associated electrons modify the 69 properties of the solar wind surrounding the spacecraft, leading to an additional current that can influence 70 the spacecraft potential and plasma instrument observations as discussed in Wang (1997). Consequently, 71 studying thruster-induced plasma and solar wind effects on spacecraft charging in different environments is 72 73 crucial to avoid operational and measurement anomalies and failures. However, performing such complex interaction measurements in a vacuum chamber presents challenges due to the physical scale of the system 74 and the inability to fully replicate the solar wind environment, making it time-consuming, often inaccurate 75 and expensive. Therefore, numerical simulations play a vital role in predicting thruster-spacecraft 76 interactions and provide a more comprehensive way to gain valuable insights into charging characteristics 77 compared to ground testing. 78

79 Referring to solar wind effects on spacecraft charging at various heliocentric distances, Guillemant (2013) investigated variations in spacecraft potential, electrostatic sheath, and potential barrier with the distance to 80 the Sun using the Spacecraft Plasma Interaction Software (SPIS). The simulation result revealed that the 81 spacecraft charged positively by a few volts from 1 AU to around 0.3 AU. However, within 0.3 AU, the 82 potential barrier generated by photoelectrons and secondary electrons caused the potential to become 83 negative. These low-energy electrons tended to surround the spacecraft, generating a potential barrier that 84 significantly affected the plasma potential and led to the high recollection of low-energy electrons. 85 Consequently, these low-energy electrons could interfere with plasma sensors and particle detectors, 86 87 biasing the particle distribution functions measured by the instruments as shown in Guillemant (2013).

88 Numerical simulations conducted by several researchers explore the interaction between the spacecraft surface and the thruster plume. A consistent finding across simulations is the significant impact of the 89 backflow plume of charge-exchange (CEX) ions since their density is much higher compared to the 90 ambient density, as documented in various studies (Wang 1997; Tajmar et al., 2002; Reissner et al., 2011; 91 92 Feng et al., 2019; Filleul et al., 2021). Acting as a neutralizer, CEX ions and thruster electrons play a crucial role in mitigating the negative potential of the spacecraft. Feng et al. (2019), derived important 93 insights from real flight data collected from the SMART-1 spacecraft. Notably, it was observed that two 94 species of CEX-ions had energies of 35 and 65eV. The first one is dominant and due to single-charged 95 ions, while the second group is due to double-charged ions. The doubly charged CEX-ions can be neglected 96 since their density is much smaller than singly charged ions. In a separate flight, Torkar et al. (2001), noted 97 that the Cluster spacecraft acquired a high positive potential, due to substantial currents from 98 photoelectrons. The strong electrostatic sheath enveloping the spacecraft introduced disruptions in particle 99 and electric field measurements. To mitigate this challenge, the Cluster spacecraft integrated an indium ion 100 emitter, capable of producing ions within the 5 to 9 keV energy range, at currents ranging in the tens of 101 102 microamperes. The Active Spacecraft Potential Controller (ASPOC), an instrument aboard the Cluster spacecraft, showcased significant reductions in spacecraft potential, leading to enhancements in particle 103 measurements, see Torkar et al. (2001). Similar favourable outcomes were also observed in the case of the 104 Double Star TC-1 spacecraft by Torkar et al. (2005). The phenomenon demonstrates the benefits of a 105 positive ion beam's presence in lowering and stabilizing the potential, reducing potential variation, and 106 enhancing particle measurements. 107

Nevertheless, the understanding of these complex interactions and their implications for spacecraft potential remains elusive, particularly considering variations in solar wind conditions, the presence or absence of photoelectrons, and secondary electrons. Consequently, the aim of our research investigate ioninduced charging effects on spacecraft potential across various heliocentric distances using the Spacecraft Plasma Interaction Software (SPIS). The investigation will be based on factors involving ambient electrons and ions, secondary electrons and photoelectrons, CEX-ions and thruster electrons, and solar photon flux.

In our first conference paper, the impact of the SPT-100 Hall thruster on spacecraft potential at heliocentric 114 115 distances of 1 AU and 0.093 AU was examined by Shinde et al. (2022). The results revealed that both CEX-ions and thruster electrons dominate the satellite's plasma environment at both distances, resulting in 116 negative spacecraft potentials due to the higher thruster electron impact rate. Moreover, at 0.093 AU, the 117 118 effects of photoelectrons and secondary electrons become slightly more significant than at 1AU, leading to 119 more positive spacecraft potentials. Another study by Shinde et al. (2023) showed the ion-induced charging effects from 0.044 AU to 1 AU by comparing spacecraft potential variations and current assessments for 120 121 various sources with the thruster on and off. The study demonstrated that electric thrusters can effectively 122 maintain a low and negative potential over a wide range of heliocentric distances. Notably, when the thruster is active, a substantial reduction in the spatial size of the sheath and the potential barrier was 123 observed. However, it's important to note that the study by Shinde et al. (2023) did not account for the 124 inverse distance squared fall-off of the solar photon flux. 125

The present paper aims to investigate the effects of various factors on spacecraft charging in different solar 126 wind conditions when the SPT-100 Hall thruster is switched on and off. These factors include ambient 127 electrons and ions, thruster electrons and CEX-ions, photoemission and secondary electrons, and the solar 128 129 photon flux at different heliocentric distances. The spatial profiles of the ram, wake, and electrostatic sheath structure for all heliocentric distances will also be examined. To achieve this, the SPIS software will 130 be used for simulation setup and physical parameter modelling (Section 2). The paper will then show the 131 result in section 3. Section 3.1 shows the analysis of the spacecraft potential variation and derives a best-fit 132 equation for conditions with and without the thruster across the range from 0.067 AU to 1 AU. 133 Additionally, the collected and emitted currents on the satellite's surface will be studied under different 134 thruster conditions (section 3.2). The detailed potential profiles of the ram, wake, and sheath surrounding 135 the spacecraft will be presented in Section 3.3. Section 3.4 will focus on the role of secondary electrons at 136 0.067 AU and 1 AU, both with and without the thruster. The importance of photoelectrons in influencing 137 spacecraft potential will be discussed in Section 3.5 for these distances, with and without the thruster. 138 Finally, in Section 3.6, the combined effect of neglecting secondary electrons and photoelectrons on 139 spacecraft potential will be examined at 0.067 AU and 1 AU, with and without the thruster. By addressing 140 these aspects comprehensively, the paper aims to provide a thorough understanding of spacecraft charging 141 142 in different solar wind conditions and the influence of the SPT-100 Hall thruster on spacecraft potential.

143 2 Simulation setup

144 Spacecraft Plasma Interaction Software (SPIS) version 6.1.0 is an open-source simulation tool which solves the electrostatic potential and motion of charged and neutral particles in 3D, using an unstructured 145 mesh, around a spacecraft with complex and realistic geometries to compute spacecraft-plasma 146 interactions, variations in spacecarft potential, and detailed sheath structures around a spacecraft. SPIS 147 simulations are based on the Particle In Cell method (PIC) but it offers the possibility to switch between 148 different versions of the PIC method like full-PIC (where all charged species are modelled as particles) or 149 hybrid-PIC, where electrons are simulated as a fluid (e.g., in Wartelski et al., 2011 & Sarrailh et al., 2015). 150 The simulation tool is widely employed for spacecraft charging simulations in different environments such 151 as in LEO, GEO, and electric thruster operations, see (e.g., in Reissner 2011; Wartelski et al., 2011, 2013; 152 Thiebault et al., 2015; & Filleul et al., 2021). The spacecraft charging is determined through an equivalent 153 electric circuit, accounting for its interaction with the surrounding plasma, calculating the currents between 154 the plasma and spacecraft using a circuit solver. Within SPIS, the spacecraft potential is obtained by 155 solving a linear or non-linear Poisson equation for densities typical of most electric thrusters; the quasi-156 157 neutrality assumption (which also assumes the Boltzmann relation) yields the same outcome as solving the Poisson equation, offering a faster and more stable solution shown in (e.g., Bigioni et al., 2003 & Wartelski 158 et al., 2011). Therefore, it is possible to implement in SPIS the quasi-neutrality equations with constant or 159 160 variable electron temperature. Nevertheless, the user can switch between the Poisson solver and the quasineutrality approach from the SPIS Graphical User Interface (GUI). If the quasi-neutrality approach with a 161

constant electron temperature is selected, the plasma potential is calculated from Equation 1 given in
 Wartelski et al. (2011):

$$\Phi_p = \frac{k_b T_e}{e} \quad . \ln \frac{n_i}{n_{ref}} + \Phi_{ref} \quad , \tag{1}$$

165

164

where Φ_p is plasma potential [in V], k_b is Boltzmann's constant, T_e is the electron temperature [in eV], e is elementary charge, n_i is ion density, and n_{ref} and Φ_{ref} are respectively the plasma density and the plasma potential at a certain point. This Boltzmann relation only holds for isothermal, unmagnetized, and collisionless electrons.

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For full PIC modelling, the 3D mesh resolution in the simulation domain must be finer than that of the 171 172 local Debye length to resolve the sheath and electron dynamics, see Fileul et al., (2021). Given the extremely small Debye length downstream of the thruster it is computationally demanding to meet the 173 mesh resolution of the simulations. Hence an alternative method is chosen to treat the neutralizer electrons 174 as an isothermal fluid population (all electrons are assumed to have the same temperature throughout the 175 simulation). The electron density over the simulation mesh is then determined by the local plasma potential 176 according to Boltzmann's relation, thus simplifying the simulation, as explained in Filleul et al., (2021). 177 Further in order to simplify and accurately model the thruster plume and spacecarft interactions, 178 quasineutrality was assumed (where ne=ni), for a nonisothermal fluid electron population using a 179 polytropic law, as shown by Wartelski et al. (2011) & Filleul et al. (2021). The polytropic law describes 180 how the pressure and density of electrons vary despite the code limitation. 181

182

$$T_e n_e^{I-\gamma} = C, (2)$$

183 184

where γ is the polytropic constant (with $\gamma=1$ corresponding to the isothermal case and $\gamma=5/3$ for an adiabatic 185 plasma) and C is a temperature constant. However, this led to a major drawback as explained in. Filleul et 186 al., (2021), where the forced quasineutrality showed an inability to accurately describe the sheath near the 187 spacecraft. Hence the polytropic constant was varied between the isothermal case (γ =1) and the adiabatic 188 one (γ =5/3) to obtain the influence of spacecraft-plume interaction. Finally, the only available solution to 189 address the computational limitation was to combine Equation (2) and the Vlasov equation with the 190 quaineutrality hypothesis to obtain the electric potential as the following Equation 3 given in Wartelski et 191 al. (2011) & Filleul (2021): 192

193

194

$$\Phi_{p} = \frac{k_{b} \gamma T_{erref}}{e (\gamma - 1)} \left[\left(\frac{n_{i}}{n_{ref}} \right)^{\gamma - 1} \right] + \Phi.$$
(3)

195

198

The spacecarft model in our paper will calculate the spacecarft potential near the spacecarft in the presence
 of thruster CEX-ions and thruster electrons using Equation 3.

The Hall thruster model in SPIS utilizes a Monte-Carlo method (MCC) to simulate collisions between CEX-ions and various other species (neutral Xe, Xe+, and Xe++) within the computational regions. For thrusters using Xenon, only the following two charge-exchange collisions are simulated:

202 203

$$Xe_{fast}^{+} + Xe_{slow} \rightarrow Xe_{fast} + Xe_{slow}^{+}$$
 (4)

204 205

206

$$Xe_{fast}^{++} + Xe_{slow} \rightarrow Xe_{fast} + Xe_{slow}^{++}$$
 (5)

207 Xe_{fast} particles do not contribute significantly to charging and Xe_{slow} is assumed not to be modified by 208 collisions, since ion-ion and ion-neutral elastic collisions are ignored as justified in Wartelski et al. (2011). Earlier work of Wartelski et al. (2011, 2013) provides justifications for the accuracy of plume models for various electric thrusters in SPIS, demonstrating a strong correlation between experimental, in-flight, data and simulated outcomes. More detailed information about SPIS and its modelling capabilities can be found in the work of Sarrailh et al. (2015).

213

214 The setup for the simulation involves specifying the spacecraft's geometry, computational mesh, material properties, internal circuitry, and the simulated environment. In this paper, we adopt the same spacecraft 215 216 model employed in our prior research (Shinde et al., 2022, 2023) representing it as a cylinder with a 1m radius and a 2 m long, coated with indium tin oxide (ITO) material. The dimensions of the simulation 217 domain vary based on the Debye length, and accordingly, we apply mesh refinement. The domain 218 219 dimension must be smaller than three times the thermal electron's Debye length (3 x Debye length). Meanwhile, the mesh resolution within the domain is always less than one-third of the Debye length, 220 resulting in 50,000 to 100,000 mesh tetrahedrons. The spacecraft mesh size is based on the thermal and 221 222 photoelectron electron Debye lengths (one-third of the local plasma Debye length). The model for the satellite is created using Gmsh software, version 4.10.5 (which is free software to generate a mesh 223 geometry, and to perform post-processing, available online at https://gmsh.info/), as shown in Figure 1 and 224 Table 1. The physical parameters for simulating various heliocentric distances are adopted from Guillemant 225 (2013) as shown in Table 2. The simulation considers varying plasma properties, such as density, 226 temperature, and bulk velocity, varying photon flux, and timesteps for ions, thermal electrons, secondary 227 electrons, and photoelectrons. Additionally, the solar photon flux is taken into account. For simplification, 228 the satellite is assumed to be stationary relative to the Sun. Therefore, only the solar wind ion drift velocity, 229 directed along the x-axis, is simulated. The corresponding electron drift is neglected since it is small 230 compared with the electron thermal speed, which is not true for the ions. 231

231

233 During the simulations, the thruster is assumed to be electrically isolated from the spacecraft chassis, while the spacecraft surface floats with the plasma. The anode and cathode of the thruster are isolated from the 234 235 spacecraft using a resistor. To manage excessive charging, a 500-kilo Ohm resistor is introduced between the thruster and the spacecraft, providing a return path for leakage currents. The resistor ensures a high 236 degree of isolation between the thruster and the spacecraft surface, thereby limiting potential charging 237 238 issues (Liu et al. 2013). It is crucial to note that the ground of the spacecraft is distinct from the 239 ground of the electric thrusters. This configuration is adopted primarily to reduce spacecraft contamination caused by the thruster plumes as discussed in Liu et al. (2013). During the simulations, the magnetic field 240 and backscattered electrons are not considered. Figure 1 shows the centre of the cylinder representing the 241 spacecraft located at the origin of the Cartesian coordinate system (0, 0, 0). The orientation of the plane is 242 as follows: the x-axis points along the thruster axis (i.e., the length of the cylinder), the y-axis points 243 244 vertically upward along the plane of the paper, and the z-axis points outward from the plane.

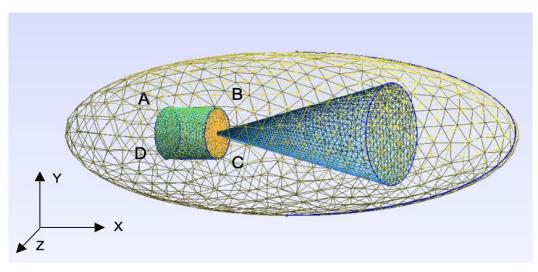


Figure 1. GMSH spacecraft model with a meshing grid (green/yellow), the void boundary is the simulation
boundary, and the blue conical shape represents the ion plume.

Table 1

248

249

 $N_{e}=N_{i}(m^{-3})$

 $T_e(eV)$

6.93e6

8.14

1.35e7

10.41

3.67e7

14.52

1.19e8

22.95

3.10e8

31.77

8.03e8

41.50

1.14e9

48.33

1.94e9

59.25

7.0e9

84.47

Gmsh Geometry Dimensions and Thruster Specifications. 250 251 Parameters Value 252 Geometry 1m radius, 2m long, cylinder 253 Surface Material Indium Tin Oxide 254 Photoelectron temperature 3eV 255 **Thruster Electron Temperature** 0.5-0.8eV 256 Ambient Ion type H+257 Ion modelling PIC 258 259 Electron modelling PIC 260 Magnetic Field Not considered 261 **Thruster Specification** 262 Ion Thruster SPT-100 Hall 263 0.035 N Thrust 264 Specific Impulse 1840s 265 Cathode Temperature 5 eV 266 $1.91 \times 10^{-6} \text{ Kg/s}$ Mass Flow rate (Xe) 267 1.43 A Current 268 Power 723 W 269 270 Table 2 Physical Parameters Used in SPIS To Simulate Various Environments Charging Effects from Guillemant, 271 272 (2013)273 Distance (AU) 1 0.42 0.72 0.25 0.162 0.11 0.093 0.067 0.044 Solar Flux 1 1.93 16.0 222.7 4.73 38.10 82.64 115.62 516.53

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T _i (eV)	8.00	11.21	17.00	30.76	39.90	49.00	55.82	67.00	87.25
V ram (km/s)	430.00	429.00	400.00	401.40	366.00	355.00	350.00	335.00	300.00
Debye length (m)	8.06	6.52	4.67	3.27	2.38	1.69	1.53	1.30	0.82
Debye length of photoelectrons (m)	0.98	0.71	0.45	0.25	0.16	0.11	0.09	0.07	0.04
Secondaries T _e (eV)	0.28	0.35	0.45	0.7	0.95	1.2	1.32	1.55	1.92

275 **3. Results**

276 3.1. Variations of the Potential from 0.067 AU to 1 AU

277 278 Figure 2 shows the variation in spacecraft potential for a wide range of heliocentric distances with and without the thruster. The dotted curve indicates the spacecraft potential without the solar photon flux while 279 the solid curves are with the solar photon flux. Consider a distance greater than 0.25 AU, without the 280 thruster, where the charging effects are less critical compared with a near- Sun environment. The region is 281 dominated by photoelectrons (without the thruster) due to their much higher density of 10^8 m^{-3} compared to 282 other number densities (ambient ions 10^7 m^{-3} , thermal electron 10^7 m^{-3} , secondaries 10^7 m^{-3}) which all 283 vary with the inverse squared distance from the Sun, see Shinde et al. (2022). The decreasing mean energy 284 of thermal electrons reduces the secondary emission rate. Moreover, the ambient ions become a source of 285 secondary electrons due to proton impact (SEEP) on the spacecraft surface and so increase the secondary 286 electron density. As shown in Figure 2, outside 0.25 AU the spacecraft potential (without the thruster) is 287 positive and decreases monotonically with increasing distance. The positive charging of the spacecraft can 288 be explained by the emission of photoelectrons in the thick sheath approximation, which holds validity in 289 290 this instance Guillemant (2013). This is also referred to as the Orbital Motion Limited model, where spacecraft charging is affected by the spacecraft's motion through the surrounding plasma as explained in 291 Guillemant (2013). When the Debye length of photoelectrons is greater than the spacecraft's size, the 292 potential barrier (shown in section 3.3) does not impede the escape of photoelectrons and secondary 293 294 particles, thereby resulting in the spacecraft's positive charging. The recollection of secondary electrons in this region is simply because of the positive potential of the spacecraft. 295

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Below 0.25 AU, close to the Sun, the spacecraft charging effects become more critical due to the hot and 297 dense ambient environment, despite higher photoemission and secondary emission rates from the surfaces 298 exposed to the plasma. As shown in Figure 2 without the thruster, the satellite potential decreases to -28.6 299 V at 0.044 AU. The negative charging can be explained by the fact that the high thermal electron density of 300 10^9 m⁻³ and temperature of about 100 eV dominate and charge the spacecraft negatively despite the high 301 photoemission - see e.g., Guillemant (2013) & Ergun et al. (2010). This result might appear contradictory 302 when considering photoemission, but it can be explained by the fact that the temperature of thermal 303 electrons is much higher compared to that of photoelectrons and secondary electrons. As a result, the local 304 potential barrier (detailed explanation in section 3.3) comes closer to the surface, restricting the escape of 305 photoelectrons and secondary electrons, and leading to a high level of re-collection. Guillemant's (2013) 306 study shows that the recollection of photoelectrons and secondary electrons is extremely efficient in 307 achieving a current balance with a negative spacecraft potential. This phenomenon corresponds to a thin 308 sheath, also known as the space-charge limited model, which holds true when the Debye length of 309 photoelectrons is smaller than the spacecraft size (for a detailed explanation see Guillemant (2013). In such 310

cases, spacecraft charging is primarily influenced by the space charge, which dominates plasma behaviour.
In the previously reported paper by Shinde et al. (2023), similar behaviour is observed; however, when an
accurate solar photon flux is included, a substantial shift in spacecraft potential values is evident in Figure
particularly, without the thruster. This difference is attributed to the accurate inclusion of the solar
photon flux and associated photoelectron emission.

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In contrast, when the thruster is operating a low and stable negative potential is obtained (from -2 V to -6 317 318 V) over a wide range of heliocentric distances from 0.067 AU to 1 AU. The primary reason is due to the CEX-ions and the electrons from the cathode dominating the surface and surrounding plasma since their 319 number densities are so much larger, 10¹⁰ m⁻³ and 10¹¹ m⁻³, respectively (as shown in Figure 3.) The CEX-320 ions create a dense cloud surrounding the spacecraft which interacts with the charged particles present near 321 the spacecraft. The thruster electrons follow the same path as CEX-ions but with a much higher thermal 322 speed and charging current that leads to a negative charge and potential on the spacecraft. The CEX-ions 323 act as a protective shield between the spacecraft and the surrounding plasma, thereby reducing the impact 324 of ambient electrons. As a result, the operation of an electric thruster provides additional current that 325 controls and stabilizes the spacecraft's potential to a low negative potential. For instance, at 0.067 AU, the 326 spacecraft potential converges to -1.74 V instead of -4.36 V without the thruster. A significant difference in 327 spacecraft potential with and without the thruster (from 0.067 AU to 1AU) is mainly due to the high 328 dominance CEX-ions and thruster electrons that reduce the potential barrier (as shown below in section 329 3.3) surrounding the spacecraft which no longer restrict the escape of photoelectron and secondaries thus 330 331 leading to a much less negative potential. 332

At a distance of 0.044 AU from the Sun, the spacecraft's potential with the thruster is uncertain due to slow 333 and fluctuating convergence. Multiple simulations using the PIC distribution have been performed to assess 334 the spacecraft's potential convergence, which appears to lie within the range of -1 to -3 V. However, as of 335 the present date, the simulations exhibit a significant fluctuation with time and have not yet reached 336 337 convergence. To check these results, a similar simulation was rerun using the assumption of Maxwell-Boltzmann distributions where thermal electrons are treated as fluid. In this case, the spacecraft's potential 338 lies within the same range of -1 to -3 V, but the convergence is slower, albeit more stable. The sluggish 339 340 convergence may be due to the high ambient electron and ion temperature and density, which are on the order of 100eV. These factors are likely impeding the attainment of a faster convergence in the PIC and 341 Maxwell-Boltzmann distribution simulations. 342

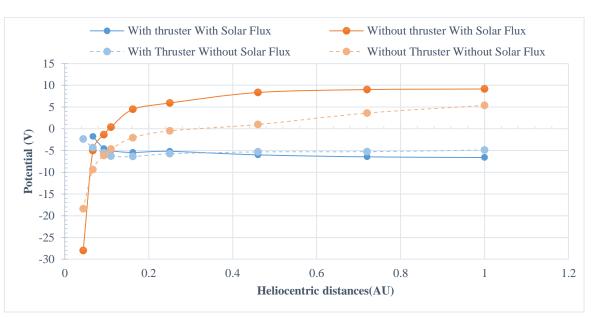


Figure 2. Spacecraft potential variation versus heliocentric distances, with and without thruster, considering Solar Photon Flux (solid orange and blue) and without solar photon flux (dotted Lines).

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In our previous paper (Shinde et al., 2023), we achieved qualitatively similar results. However, we 349 350 considered a constant solar photon flux, leading to an inaccurate spacecraft potential without the thruster, as shown in Figure 2 by the differences between the light orange dotted curve and points (without the correct 351 photon flux) and the solid dark orange lines and points (with the correct photon flux). Interestingly, there 352 353 were only very small variations in spacecraft potential with the thruster active in Figure 2 for the accurate (dark blue solid curve and points) and constant (light blue dotted curve and points) solar flux cases . 354 Therefore, our current study validates that the solar photon flux doesn't significantly impact spacecraft 355 charging when the thruster is on, in contrast to the scenario without the thruster. It also demonstrates that 356 electric thrusters consistently contribute to reducing and stabilising the spacecraft potential at small 357 negative values across various solar wind conditions, charging it to a low negative potential regardless of 358 359 solar photon flux.

- 360
- 361 362

363 **3.1.1. Fitting Curve**

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Figures 3a & 3b illustrate the fitting curves for the spacecraft potential versus heliocentric distances. A nonlinear Mathematica model is used to fit the curve and estimate the equation for both cases. Equation 6 gives the potential in volts without the thruster on for the simulated spacecraft from 0.044 AU to 1 AU:

$$\Phi = 8.4 - \frac{0.00077}{x^4} + \frac{0.0293}{x^3} - \frac{0.362}{x^2} + \frac{0.542}{x} + 0.623x^2 \quad (V) \quad . \tag{6}$$

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Here the heliocentric distance x is in the unit of AU. Similarly, Equation 7 predicts the potential of the same spacecraft with the SPT-100 Hall thruster operating for the same geometry from 0.067 AU to 1 AU (0.044 AU is not considered due to the uncertain value of spacecraft potential):

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 $\Phi = -6.2 - \frac{0.00002}{x^5} + \frac{0.0009}{x^4} - \frac{0.012}{x^3} + \frac{0.0673}{x^2} - 0.495x^2 \text{ (V)} \quad . \tag{7}$

These equations can be used to predict the spacecraft potential at values of r that have not been simulated.

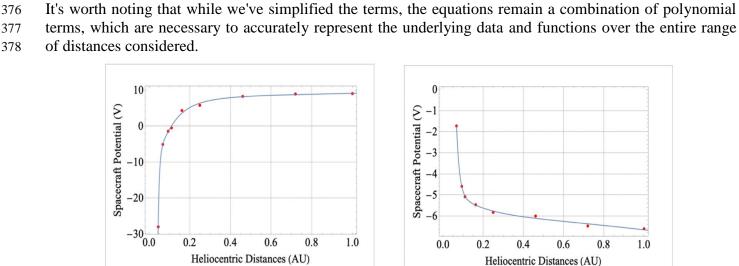


Figure 3. Best-fit curves without and with a thruster vs. heliocentric distance: (Left) 0.044 AU to 1 AU,
(right): 0.067 to 1 AU.

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383 **3.2. Collected and Emitted currents**

Figure 4 shows the collected and emitted currents for the ambient solar wind and thruster particles versus heliocentric distance. The photoelectron, secondary electron, thermal electron and thruster electron currents increase monotonically in magnitude with increasing distance from the Sun from 0.067 AU to 1 AU. On the other hand, it is apparent that the collected CEX-ion current is closely constant for all heliodistances considered. (Note that all currents are considered positive for simplicity). An orange-dotted curve indicates the spacecraft's potential for various heliocentric distances from 0.067 AU to 1 AU. Table 3 provides the main output current values with and without the thrusters for all the simulation cases.

392

393 Considering the region further away from the Sun, beyond 0.25 AU, a consistently low and negative potential is observed from 0.25 AU to 1 AU in Figure 4. In this region, the low mean energy of ambient 394 thermal electrons reduces the occurrence of secondary electron emission. The spacecraft surface is 395 primarily influenced by photoelectrons, resulting in positive charging when the thruster is off. However, 396 when the thruster is operated, the positively charged surface becomes enveloped by a dense cloud of CEX-397 ions, which are associated with higher-speed thruster electrons that are attracted to the positively charged 398 surface. The surface accumulates more thruster electrons to neutralize the positive charge. Since the 399 thermal speed of electrons is much higher than ions the surface collects more negative electrons per unit 400 time, so we often obtain a negative potential when the thruster is on. It is evident from Figure 4 that the 401 collected current of CEX-ion and thruster electrons at 1 AU is about 6.8 mA and 5.7 mA, respectively, 402 which is much higher than the thermal electron current of 0.004 mA. Thus, the thruster backflow plume 403 dominates the solar wind effects in this region. 404

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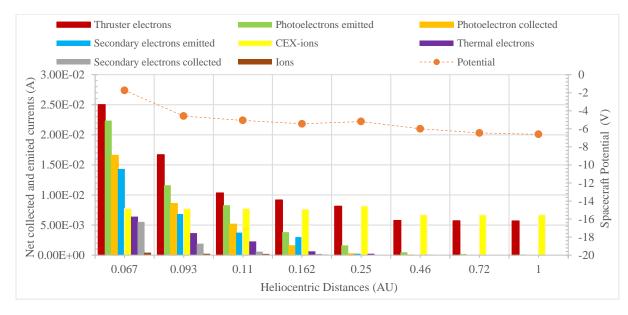
406 Between 0.162 AU and 0.093 AU, the dominance of CEX-ions and thruster electrons is much higher than that of thermal electrons. Concurrently, the photoelectron emission and secondary electron emission 407 significantly increase with a smaller distance to the Sun. At the distance of 0.067 AU, the collection current 408 409 of CEX-ions of about 7.7 mA is slightly higher than the collection current of thermal electrons, which stands at 6.4 mA. Nevertheless, the currents of photoemission and secondary electron emission far exceed 410 that of the thermal electrons. These factors collaboratively contribute to the mitigation of the spacecraft's 411 negative charge. For instance, at 0.067 AU, the spacecraft's negative potential diminishes to a lower value 412 of -1.70 V, as opposed to the value of -5.01 V when the thruster is off. However, it's important to note that 413 the CEX-ions and thruster electron neutralizing effects can lose their validity, if the CEX-ions are smaller 414 415 than that of the thermal electron collection current. In such a case, the high-energy ambient electrons will dominate the spacecraft, resulting in a substantial negative potential. Notably, the condition at 0.044 AU 416 and 0.025 AU is omitted due to its instability and longer duration to obtain the convergence. 417

418

Analysis of Figure 4 shows that from 0.11 AU to 0.067 AU, the thruster electron collection current 419 increases monotonically as the spacecraft approaches the Sun. One possible explanation for this 420 phenomenon is that prior to the thruster's operation, the spacecraft's surface carries a negative charge due to 421 422 the presence of a high thermal electron density. However, upon thruster activation, the dense cloud of CEX-ions plays a significant role in neutralizing the spacecraft's negative charge. The neutralization occurs 423 primarily by diminishing the strong electrostatic sheath that surrounds the spacecraft. Consequently, 424 photoelectrons and secondary electrons are more prone to escaping from the spacecraft's surface, 425 426 contributing to the reduction of the negative charge. As a result, the surface tends to attract more of the low-energy thruster electrons in order to attain equilibrium between the emitted and collected currents. The 427 recollection of secondary electrons at 0.067 AU is notably reduced from 12 mA to 5.5 mA, which may 428 result in diminished interference with low plasma measurements. Similarly, the recollection of 429

430 photoelectrons at 0.067 AU is reduced from 19 mA to 16 mA. Thus, the study indicates that near-Sun 431 environment, CEX-ions and thruster electrons emitted from the thruster play a crucial role in mitigating the 432 negative charging of the spacecraft. Furthermore, the finding suggests that the CEX-ions and thruster 433 electrons are an crucial parameter in determining the spacecraft's final potential when the thruster is on. 434

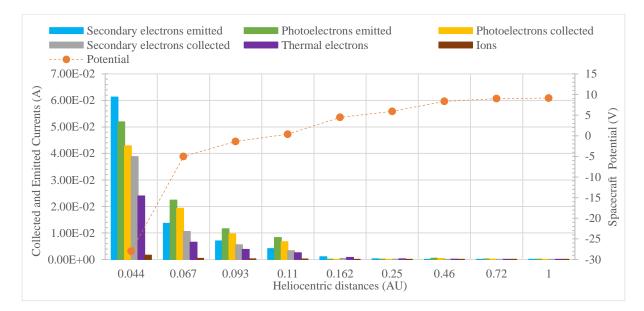
In contrast, in the absence of the thruster, when the spacecraft is far away from the Sun, (beyond 0.25 AU), 435 the main charging factor is photoelectrons, due to the low mean energy of thermal electrons that reduces 436 437 the rate of secondary electron emission. As shown in Figure 5, at 0.025 AU, the emitted current of photoelectrons is 0.1mA, which is significantly higher than the collected current of thermal electrons at 438 about 0.021 mA. The higher photoemission currents result in a positively charged surface. Moving closer to 439 the Sun, within 0.11 AU, the spacecraft's potential decreases sharply and becomes strongly negative 440 converging to approximately -28 V (at 0.044 AU), despite the presence of high photoelectron and 441 secondary electron emission. As explained in section 3.1 by Ergun et al. (2010) & Guillemant (2013), the 442 electrostatic sheath comes closer to the spacecraft and restricts the escape of photoelectrons and secondary 443 electrons. Due to their insufficient kinetic energy, photoelectrons and secondary electrons do not overcome 444 the strong potential barrier, resulting in high recollection and their return to the spacecraft's surface. The 445 secondary electrons, totalling 38 mA, are recollected back to the spacecraft, leading to the build-up of 446 negative charge. In this scenario, an equilibrium is reached when a significant amount of photoelectron 447 current and secondary electron is collected (Guillemant, 2013). However, the recollection of these low-448 energy electrons interferes with the measurement of low-energy plasma and contaminates the data. 449 450 Therefore, having an electric thruster can be beneficial as it provides a stabilizing effect on the spacecraft potential restricting it to small negative values in the range of -2V to -6V and enhances the accuracy of 451 low-plasma measurements, considering that the CEX-ion and thruster electron density is much larger than 452 453 the ambient density. 454



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Figure 4. With the thruster on collected and emitted currents (on the primary axis) and spacecraft potential (on the right-hand axis) versus heliocentric distance.

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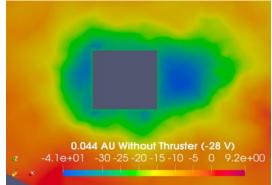
Figure 5. Without the thruster collected and emitted currents (on the primary axis) and spacecraft potential (on the right-hand axis) versus heliocentric distances.

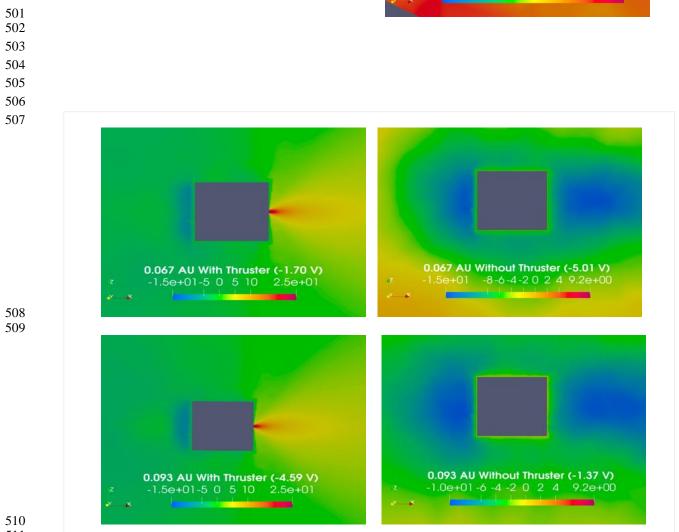
468 **3.3. Ram, Wake, and Side Potential Profiles**

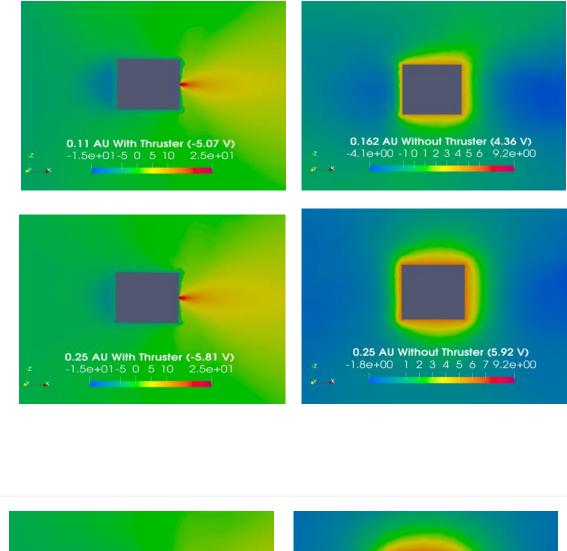
Figure 6 demonstrates the intrinsically 2D (rotationally symmetric) nature of the potential structure of the 470 spacecraft which is obtained by cutting Figure 1 along the y=1 plane for varying heliocentric distances. The 471 ram direction is in the negative x-axis direction, and the wake direction is along the positive x-axis. The left 472 473 images depict the potential with the thruster on from 0.067 AU to 1 AU, while the right-side images show the potential without the thruster activated, for distances from 0.044 AU to 1 AU. The negative potential 474 wells due to photoelectrons and secondary electrons are visible in the ram direction (left side) for all helio 475 distances when the thruster is on and for distances less than 0.25 AU when the thruster is off. In the wake 476 direction the thruster makes the potential positive at all distances, but when the thruster is off a negative 477 well in the potential is visible within about 0.25 AU. This is the standard potential well caused by the 478 479 exclusion of ambient ions streaming from the Sun by the spacecraft body, whereas the ambient electrons can easily access this region due to their large thermal speeds, making the net charge and potential 480 negative. On the sides (e.g., the +/-z direction) the potential shows a relatively standard sheath. Figure 7 481 illustrates the evolution of the potential profiles in the ram, wake and -z direction with and without the 482 thruster at various heliocentric distances. 483

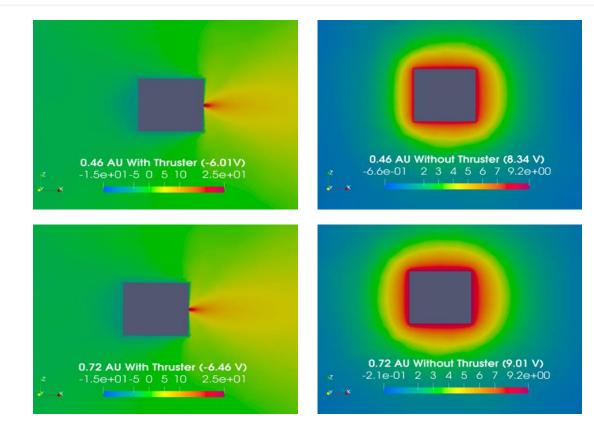
Figures 7a and 7b depict the ram potential, with and without the thruster, in the top left and top right panels, 485 respectively. With the thruster on, as the spacecraft moves from 1 AU to 0.46 AU, it experiences a 486 minimum ram potential near -4 to -5 V, likely due to the accumulation of thruster electrons (Figure 7a). A 487 localized minimum in potential ranging from -7 to -11 V is observed interior to 0.25 AU. The localized 488 minimum can act as a potential barrier or a trap, depending on the particle charge. However, the CEX-ions 489 play a crucial role in neutralizing this potential barrier, maintaining the spacecraft's potential at a more 490 positive value. It is evident from Figure 7a at 0.067 AU, where the potential barrier is reduced from -14 V 491 to -8 V, mainly due to the presence of CEX-ions that help neutralize the charge surrounding the spacecraft. 492 On the other hand, without the thruster, a positive ram potential in the range of 0.6 to 9 V is observed from 493 1 AU to 0.25 AU (as shown in Figure 7 b). Interior to 0.11 AU, a similar minimum in potential occurs even 494

when the thruster is inactive, although the potential is much more negative than when the thruster is on. For 495 496 instance, at 0.067 AU, the potential barrier reaches -14.1 V without the thruster, while it is only -8.3 V with the thruster on. Furthermore, at 0.044 AU, the ram barrier reaches -34 V when the thruster is off, leading to 497 a significant recollection of secondary electrons emitted from the spacecraft. Therefore, the ram potential 498 barriers are substantially reduced when the electric thruster operates near the Sun. 499









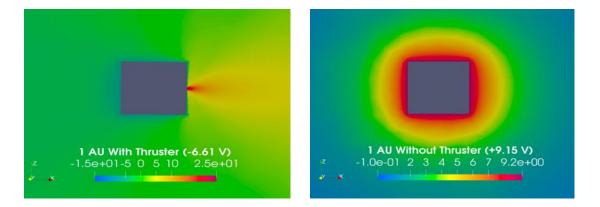


Figure 6. Cross comparisons of 2D potential maps with and without the SPT-100 Hall thruster on at varying heliocentric distances, corresponding to Figure 1 and the 3D potential cut in the y = 1 plane. Sunlight and the solar wind come from the left (the -x direction).

Figures 7c and 7d show the wake potential structure with and without the thruster in the middle left and 533 middle right panels, respectively. A stable positive potential of approximately +25 V is observed at the 534 satellite when the thruster is on, with the potential decreasing monotonically with increasing distances from 535 the spacecraft (Figure 7c). Note that the potential profiles are essentially independent of helio distance 536 when the thruster is on, consistent with the thruster plume dominating all other charging influences. Taken 537 together, the wake region behind the spacecraft is filled with ions and electrons emitted from the thruster. 538 As a result, it develops a plasma sheath which acts as a barrier to ambient electrons directly impacting the 539 spacecraft's surface. The sheath's reduction of potential with increasing distance into the plasma is 540 primarily due to larger electron densities. Overall, the presence of positive ions in the wake of an electric 541 thruster can play a significant role in reducing spacecraft charging and managing potential barriers, 542 contributing to the safe and stable operation of the spacecraft in the space environment. On the other hand, 543 without the thruster on (Figure 7d), the wake potentials at and above 0.25AU decrease monotonically with 544 increasing distance from the spacecraft. However, interior to 0.162 AU the profile develops a localized 545 minimum near x = 1 m with a magnitude that increases as the heliocentric distance decreases. For instance, 546 at 0.067 AU this wake barrier reaches -14 V when the thruster is off. As we go further towards the Sun at 547 0.044 AU, the wake barrier reaches -40 V which may result in the return of low-energy electrons back to 548 the surface and enhancing spacecraft charging dynamics. Thus, when the thruster is off the spatial profiles 549 of the potential in the ram and wake directions are surprisingly similar, presumably because of increased 550 551 electron densities due to increased photoelectron effects (ram direction) and hotter, denser, ambient electrons in the wake cavity, respectively. 552

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Figures 7e and 7f show the sheath profiles (perpendicular to the ram and wake axis) with and without the 554 thruster in the bottom left and bottom right panels, respectively. Figure 7e shows a low, negative potential 555 in a small range of -2 to -6 V from 0.067 AU to 1 AU with the thruster on compared to Figure 7f having a 556 large range of -40 to +9 V with the thruster off from 0.044 AU to 1 AU, respectively. With the thruster on 557 the spatial profiles are reminiscent of a standard sheath for cold dense electrons (small Debye length) about 558 559 a negative potential object for R > 0.093 AU. Similarly, for R > 0.093 AU and the thruster off the monotonic potential profile appears appropriate for a standard sheath about a positively charged object for 560 warm dilute electrons (large Debye length). Only for R < 0.093 AU do the perpendicular sheaths change 561 character and evolve towards having a negative potential well when the thruster is off. Note that the 562 satellite potentials are the same in the ram and z directions (Figures 7a, 7b, 7e and 7f), as expected for a 563 conducting spacecraft surface, and that the potential in the wake direction (Figure 7c) is different due to the 564 565 thruster and satellite surface being isolated by a resistor.

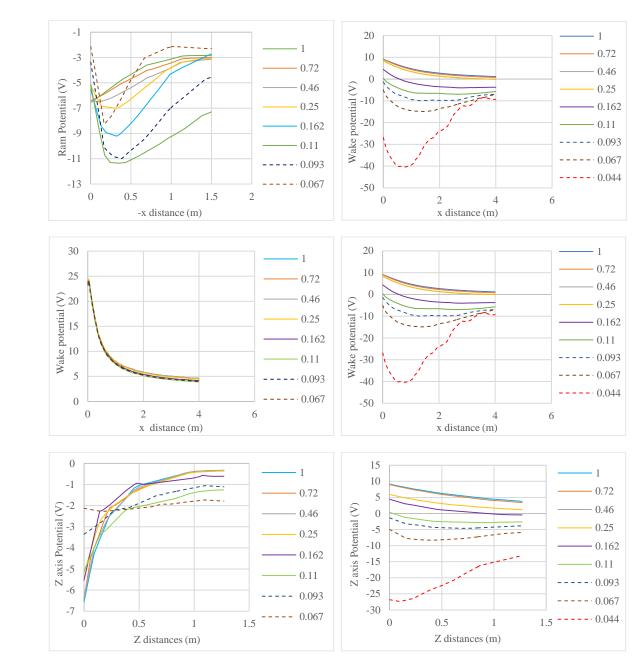


Figure 7. The potential profiles along the ram direction (-x axis), wake direction (x-axis) and the sheath direction (z-axis), obtained from Figure 6.

3.4. With and Without the Thruster: Role of Secondary Electrons

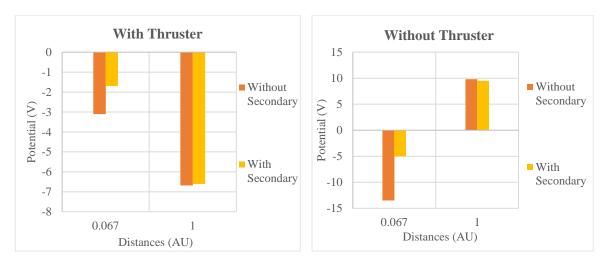
Figure 8 illustrates the impact of secondary electrons on the spacecraft potential at distances of 0.067 AU and 1 AU with and without the thruster. It is apparent from Figure 8a that at 1 AU, the influence of secondary electrons on the spacecraft potential is negligible, while at 0.067 AU, there is a significant variation when the thruster is on. As expected, the inclusion of secondary electrons leads to the satellite potential becoming more positive. On the other hand, without the thruster from Figure 8b, it is evident that secondary electrons have a greater influence on spacecraft potential at 0.067 AU than at 1 AU. Figures 9a and 9b display the collected and emitted current with the thruster at 0.067 AU in the top left and 1 AU in the top right, respectively. Meanwhile, Figures 9c and 9d depict the collected and emitted current without the thruster at 1 AU in the bottom left and 1 AU in the bottom right, respectively.

588 The emission of secondary electrons during thruster operation depends on both ambient and thruster electrons impacting the spacecraft. In Figure 8a (right) at 0.067 AU when the thruster is on, the spacecraft 589 charges to -3.5 V instead of -1.74 V. A detailed analysis, as shown in Figure 9a, reveals that the collection 590 of thruster electrons greatly increases from 25 mA to 200 mA when the secondaries are eliminated, while 591 the thermal electron collection current decreases from 6.9 mA to 2.1 mA, reducing the return of 592 photoelectrons current from 18 mA to 3.8 mA. The sudden increase in thruster electron current is likely 593 594 attributed to the reduction in spacecraft potential and secondary electrons, leading to a reduction in thermal electron current. The negatively charged surface attracts CEX-ions, causing a slight rise in their current 595 from 7 mA to 10 mA. With the combined effects of CEX-ions and photoelectron emission, the negative 596 spacecraft potential diminishes, resulting in a greater accumulation of thruster electrons. Consequently, the 597 spacecraft becomes charged to a slightly higher negative potential, approximately -3.1 V instead of -1.7 V. 598 It's important to note that despite the substantial current collection of the thruster electron the spacecraft 599 does not excessively charge the spacecraft to a highly negative potential due relatively low temperature of 600 thruster electrons (0.5 eV) in comparison to ambient electrons or any other currents. In conclusion, in the 601 near-Sun environment, the emission of secondary electrons has a significant influence on the spacecraft and 602 surrounding plasma potentials during thruster operation. 603

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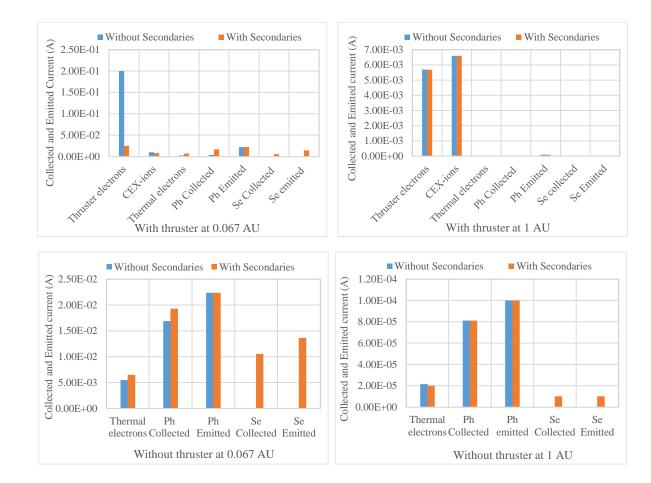
On the other hand, at 1 AU, when the thruster is active, there is a negligible difference in spacecraft 605 potential with or without secondaries. The spacecraft charges to -4.9 V (without secondaries) instead of -606 4.8 V (with secondary electrons), as shown in Figure 8a (right). In this case, the low-density thermal 607 electrons from the ambient plasma are dominated by the CEX-ions and thruster electrons (Figure 9b). The 608 low mean energy of thermal electrons decreases the rate of secondary electron emission, allowing the CEX-609 ions and thruster electrons to dominate the spacecraft and the surrounding plasma. Since the solar photon 610 flux is reduced at 1 AU, the effect of photoelectrons on spacecraft charging is negligible, as will be 611 explained in detail in the next section 3.5. From Figure 9b, it is evident that the collected current of thermal 612 electrons and photoelectrons is much lower than the currents of thruster electrons and CEX-ions, both with 613 and without secondaries. Hence, secondary electrons are less important and do not significantly affect the 614 spacecraft's potential when far away from the Sun. 615





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Figure 8. Spacecraft potential with and without secondary electrons at 1 AU and 0.067 AU, from left to right: a.) with the thruster and b.) without the thruster.



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Figure 9. Collected and emitted currents with and without the thruster at 0.067 AU and 1 AU. From top left to bottom right, respectively: a.) With the thruster at 0.067 AU, b.) With the thruster at 1AU, c.) Without the thruster at 0.067 AU, and.) Without the thruster at 1AU.

In Figure 9c, at 0.067 AU without the thruster, minor variations in the collection of thermal electron and 630 photoelectron currents are noticeable. However, at 1 AU (Figure 9d), the currents remain consistent 631 whether secondaries are present or not. When the thruster is off, at 0.067 AU, the spacecraft potential has a 632 significantly more negative value of -13.1 V instead of -5.01 V due to the high dominance of thermal 633 electrons (as shown in Figure 8b). Since secondary electrons are not present, there is no potential barrier 634 which results in a much higher ram potential of -16.3 V and wake potential of -23.6 V. The absence of 635 secondary electrons reduces the collected current of thermal electrons from 7 mA to 5.5 mA and 636 photoelectron current from 18 mA to 13 mA (as shown in Figure 9 c). The emitted photoemission current is 637 constant regardless of the presence or absence of secondaries. In such cases, the surface accumulates high-638 temperature ambient electrons with zero emission leading to a large negative potential. Thus, in the vicinity 639 of the Sun at 0.067 AU, secondary electrons play a crucial role in reducing the negative potential of the 640 satellite when the thruster is off. In contrast, at 1 AU, without the thruster, the elimination of secondary 641 electrons has a negligible impact on spacecraft potential due to the low mean energy of thermal electrons 642 (as shown in Figure 8b). From Figure 9d, it is evident that the collected and emitted currents at 1 AU are 643 644 constant with or without secondary electrons.

In summary, the findings suggest that the secondary electrons significantly help to mitigate the spacecraft's negative charge in close proximity to the Sun, irrespective of whether the thruster is on or off. Indeed, the absence of secondary electrons makes the spacecraft's potential more negative when the thruster is on, albeit to a significantly lesser degree than in the absence of the thruster and secondary electrons. In contrast, the impact of secondary electrons on the spacecraft potential is negligible near the Earth (relatively far away from the Sun) irrespective of the thruster being on or off.

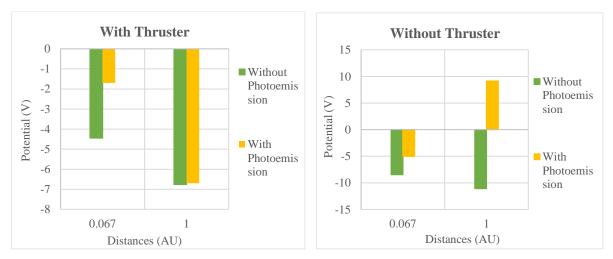
652 **3.5. With and Without the Thruster: Role of Photoelectrons**

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Figure 10 shows the effect of photoelectrons on the spacecraft potential at distances of 0.067 AU and 1 AU, 654 both in the presence and absence of the thruster. As shown in Figure 10a, when the thruster is on, the 655 influence of photoelectrons on spacecraft potential at 1 AU is negligible. However, at 0.067 AU, Figure 656 10a demonstrates a noticeable factor of 2 variations in the spacecraft potential. The spacecraft at 0.067 AU 657 charges to -4.45 V instead of -1.70 V. The possible explanation is similar to the case without secondary 658 electrons discussed in Section 3.4, where the high-density CEX-ions and thruster electrons dominate the 659 plasma and the spacecraft environment, diminishing the importance of photoelectrons. Figure 10b when the 660 thruster is off, further highlights the significant impact of photoemission on spacecraft potential at both the 661 location at 1 AU and 0.067 AU. As expected, the inclusion of photoelectrons leads to a more positive 662 spacecraft potential at both distances and when the thruster is on or off. Figures 11a and 11b display the 663 collected and emitted current with the thruster at 0.067 AU in the top left and 1 AU in the top right, 664 respectively. Meanwhile, Figures 11c and 11d depict the collected and emitted current without the thruster 665 at 1 AU in the bottom left and 1 AU in the bottom right, respectively. 666

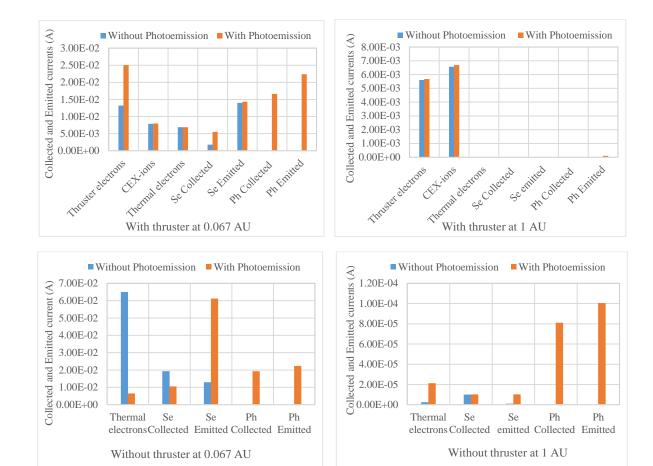
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From Figure 11a, it is evident that at 0.067 AU with the thruster, the collected current of thermal electrons 668 and CEX-ions remains constant with or without photoemission. However, a decrease in thruster electron 669 current is observed from 25 mA to 13 mA when photoelectrons are neglected. And potential becomes more 670 negative. One possible reason could be that the spacecraft is exposed to a hot and dense environment, 671 resulting in the penetration of the surface by high-temperature thermal electrons (few 10 to 100 eV). 672 Consequently, it leads to a high secondary electron emission that helps to take away the negative charge. 673 Similarly, the CEX-ions contribute significantly to reducing the negative potential of the spacecraft. 674 However, due to the relatively high temperature of the thermal electrons compared with other charged 675 particles, the spacecraft tends to settle at a negative potential and thus repels the thruster electrons. As a 676 677 result, thruster electron accumulation on the surface is dependent on photoelectron and secondary electron emission. From Figure 11b it is clear that at 1 AU, the thruster electrons and CEX-ions dominate the 678 photoelectron effect and have negligible impact on spacecraft potential irrespective of the presence or 679 680 absence of photoemission.



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Figure 10. Spacecraft potential with and without photoelectrons at 1 AU and 0.067 AU, from left to right:
a.) with the thruster on and b.) without the thruster



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Figure 11. Collected and emitted currents with and without the thruster at 0.067 AU and 1 AU. From top left to bottom right, respectively: With the thruster at (a) 0.067 AU, and (b) 1AU and without the thruster at (c) 0.067 AU and (d) 1AU.

Moving to the scenario without the thruster, from Figure 11c it is clear that eliminating photoemission at 695 0.067 AU and 1 AU leads to a significant variation in spacecraft potential. In both cases, the photoelectric 696 effects are important and make the spacecraft significantly positive. Indeed at 1 AU a significant change in 697 spacecraft potential is seen from -11 V to +9V when photoelectrons are included. While at 0.067 AU, the 698 spacecraft potential without photoemission is -8.4 V instead of -5.01 V (as shown in Figure 10b). Close to 699 the Sun, photoemission is quite strong, but it has a minor effect on making the spacecraft positive. The 700 seemingly contradictory result is explained in section 3.1, in terms of the high density of thermal electrons 701 generating a strong potential barrier that hinders the escape of photoelectrons and secondary electrons, 702 leading to a significant accumulation of electrons on the spacecraft's surface. The same can be observed in 703 Figure 11c, where the thermal electron collected current on the surface increases from 6.5 mA to 65 mA. In 704 such a situation, the return of the secondary electron increases from 10 mA to 19 mA, and the emission rate 705 is significantly lowered from 61 mA to 13 mA without photoemission. Thus, close to the Sun at 0.067 AU, 706 despite its high solar photon flux, the spacecraft charges to a negative potential, and in the absence of 707 photoemission, its potential becomes more negative. 708

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Conversely, at 1 AU without the thruster, the spacecraft potential in the absence of photoemission converges to -11.1 V instead of +9 V (as shown in Figure 10b). At this distance, photoemission is the primary charging factor that causes the spacecraft to become positively charged, due to the low density of thermal electrons. However, in the absence of sunlight the thermal electrons tend to accumulate on the spacecraft, leading to a large negative potential. From Figure 11d, it is evident that the lack of photoelectrons results in a substantial decrease in the collected current of thermal electrons, due to a strong build-up of the negative charge that repels the incoming electrons. On the other hand, in the presence of photoemission, the current of photoelectrons dominates the thermal electron current by a factor of 10, making the spacecraft charge positively and leading to the attraction of thermal electrons.

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In summary, the simulations show that photoemission plays a pivotal role in mitigating the negative charge 720 of the spacecraft close to the Sun, irrespective of whether the thruster is on or off. Indeed, the absence of 721 722 photoemission impacts the spacecraft's potential when the thruster is on, albeit to a significantly lesser degree than in the absence of the thruster and photoemission. For example, at a distance of 0.067 AU, with 723 the thruster but without photoemission, the spacecraft's charges at -4.45 V, while without both the thruster 724 and photoemission, the spacecraft's potential converges to a higher negative value of -8.47 V. Similarly, 725 when situated far from the Sun at 1 AU, the spacecraft's potential without the thruster and without 726 photoemission reaches a substantial negative value of -11.1 V. In contrast, with the thruster but without 727 728 photoemission, the potential stabilizes at -6.7 V. As such, the roles of CEX-ions and thruster electrons are essential in maintaining a low negative potential in the absence of photoemission, both at the location of 729 0.067 AU and 1 AU. 730

732 **3.6 With the Thruster: Without Secondaries and Photoemission**

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Figure 12 illustrates the impact of eliminating simultaneously secondaries and photoelectrons on spacecraft 734 potential at 0.067 AU and at 1 AU. The results specifically focus on the thruster's operation and do not 735 include a scenario without the thruster, as the primary objective is to understand spacecraft charging during 736 thruster operation. In this scenario, the photoelectrons and secondary electrons are both eliminated at 0.067 737 AU, and the spacecraft charges to a significantly higher negative potential of -11.1 V instead of -1.7 V, 738 despite the presence of high-density CEX-ions and thruster electrons. This is in contrast to -3.1 V without 739 secondaries only and -4.5 V without photoemission only (from sections 3.5 and 3.6). Thus, the combined 740 741 absence of photoelectrons and secondary electrons can lead to high negative charging of the spacecarft. The large negative charging can be explained by the fact that the spacecraft, being exposed to a hot and dense 742 environment, experiences significant charging by high-temperature thermal electrons (few 10 to 100 eV). 743 744 The absence of photoemission and secondary electrons prevents the removal of electrons from the surface, resulting in a large negative potential. Since the thermal electron temperature is so high compared to the 745 energies of any other particles it controls the spacecraft potential. The combined elimination of secondary 746 electrons and photoemission causes the spacecraft to charge to a large negative potential. The CEX-ions 747 and thruster electrons compensate if either of them is not present and charges the spacecraft to a much less 748 negative potential (as shown in section 3.4 and 3.6). Hence in order to achieve equilibrium, the incoming 749 750 ambient electron current must be equal to that for the net photoelectrons, secondary electrons, CEX-ions and thruster electrons. Figure 12a clearly demonstrates that the collected current of thruster electrons is 751 significantly reduced from 25 mA to 1.8 mA due to the repulsion caused by the strongly negative surface 752 potential when secondaries and photoemission are not included. Thus, the presence of photoelectrons and 753 secondary electrons is crucial in maintaining a smaller magnitude of negative potential when the thruster is 754 active. The absence of either one may not have a significant impact, but their combined elimination can 755 result in excessive negative charging of the spacecraft. 756

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On the other hand, Figure 12b shows that the simultaneous elimination of secondary and photoelectrons at 1 AU has a negligible effect on spacecraft potential, owing to the decreased energy and density of thermal electrons. The final spacecraft potential at 1 AU converges to -6.75 V, only very slightly different from the potential of -6.72 V. In summary, at 0.067 AU, photoelectrons and secondary electrons play a vital role in preventing excessive negative potential, while the absence of either one may have a significant but smaller impact on the spacecraft potential. However, at 1 AU, the simultaneous elimination of photoelectrons and secondary electrons shows negligible effects on spacecraft potential when the thruster is active.

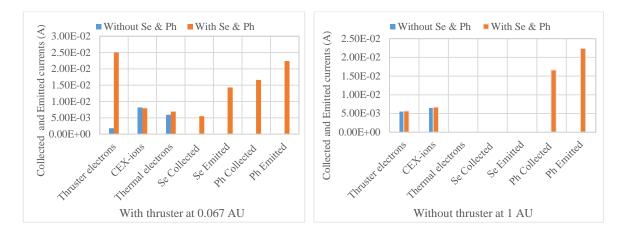


Figure 12. Collected and emitted currents with the thruster on but no photoemission and secondary electrons at (a.) 0.067 AU and b.) 1 AU.

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Table 3
 SPIS Simulation Main Output Values With And Without Thruster For Various Heliocentric
 Distances

Heliocentric Distances (AU)	1	0.72	0.46	0.25	0.162	0.11	0.093	0.067	0.044
WITH THRUSTER									
Current (A)									
Thermal electrons	-4.0e-6	-1.0e-5	9.4e-6	-2.4e-4	-6.2e-04	-2.2e-3	-3.6e-3	-6.9e-3	-
Ions	1.8e-6	3.5e-6	-4.4e-5	3.2e-5	7.6e-5	1.97e-4	2.4e-4	4.1e-4	-
Photoelectrons									
Collected	-1e-8	-1e-8	-2.0e-7	-2.4e-4	-1.5e-3	-5.16e-3	-8.5e-3	-1.8e-2	-
Emitted	1e-4	1.9e-4	4.7e-4	1.6e-3	3.8e-3	8.3e-3	1.1e-2	2.2e-2	
Net	<u>9.9e-5</u>	<u>1.8e-4</u>	<u>4.6e-4</u>	<u>1.36e-3</u>	<u>2.3e-3</u>	<u>3.14e-3</u>	<u>2.5e-3</u>	<u>4e-3</u>	
Secondaries									
Collected	-1.9e-8	-8.0e-9	-1.7e-9	-1.9e-5	-2.2e-4	-5.7e-4	-1.9e-03	-5.5e-3	-
Emitted	1.4e-6	4.6e-6	3.1e-5	2.4e-4	8.4e-4	3.7e-3	6.8e-3	1.4e-2	
Net	<u>1.4e-6</u>	<u>4.5e-6</u>	<u>3.0e-5</u>	<u>2.21e-4</u>	<u>6.2e-4</u>	3.16e-3	<u>4.9e-3</u>	<u>8.5e-3</u>	
Thruster	-5.6e-3	-5.7e-3	-5.7e-3	-8.1e-03	-9.1e-03	-1.0e-2	-1.6e-02	-2.5e-02	-
electrons									
CEX-ions	6.6e-3	6.6e-3	6.7e-3	8.1e-03	7.6e-03	7.7e-3	7.7e-03	7.9e-03	-
All Populations									

Collected Emitted Net	-7.5e-6 -7.0e-6 -2.5e-6	-1.40e-5 -1.0e-5 -3.9e-6	-2.9e-5 -2.5e-5 -3.8e-6	-9.7e-5 -9.4e-5 -2.4e-6	-2.5e-4 -2.5e-4 -1.5e-6	-6.09e-4 -6.03e-4 -6.31e-6	-2.8e-3 -2.6e-3 -1.5e-4	-2.8e-4 -1.9e-4 -4.7e-4	-	
Potential (V) Spacecraft potential	-6.61	-6.46	-6.0	-5.19	-5.45	-5.07	-4.59	-1.74	-	
	WITHOUT THRUSTER									
Thermal	-2.1e-5	-4.0e-5	-1.0e-4	-2.7e-4	-7.9e-4	-2.5e-3	-3.7e-3	-6.5e-3	-2.4e-2	
Ions	1.6e-6	3.2e-6	8.5e-6	2.9e-5	7.34e-5	1.8e-4	2.5e-4	4.50e-4	1.67e-3	
Photoelectrons Collected Emitted Net Secondaries Collected Emitted Net	-8.1e-5 1e-4 <u>2e-5</u> -1.1e-5 1.4e-5 <u>3e-6</u>	-1.5e-4 1.9e-4 <u>4e-5</u> -2.5e-5 3.1e-5 <u>6e-6</u>	-3.7e-4 4.7e-4 <u>1e-4</u> -7.5e-5 7.8e-5 <u>3e-6</u>	-1.6e-5 1e-4 <u>8.4e-5</u> -1.2e-5 2.7e-4 <u>2.5e-4</u>	-1.2e-5 1e-4 <u>8.8e-5</u> -4.2e-4 1e-3 <u>5.8e-4</u>	-6.7e-3 8.3e-3 <u>1.6e-3</u> -3.3e-3 4.1e-3 <u>8e-4</u>	-9.6e-3 1.1e-2 <u>1.4e-3</u> -5.5e-3 7.0e-3 <u>1.5e-3</u>	-1.9e-2 2.2e-2 <u>3e-3</u> -1e-2 1.3e-2 <u>3e-3</u>	-4.2e-2 5.1e-2 <u>9e-3</u> -3.8e-2 6.1e-2 <u>2.30e-2</u>	
All Populations Collected Emitted Net	-9.9e-5 -9.9e-5 -2.5e-7	-1.93e-4 -194e-4 4.93e-7	-4.95e-4 -4.96e-4 1.28e-6	-7.46e-4 -7.46e-4 4.83e-6	-7.86e-4 -7.85e-4 -6.07e-7	-1.12e-2 -1.13e-2 1.77e-5	-9.28e-3 -9.22e-3 -5.93e-5	-8.88e-3 -8.92e-3 4.16e-5	-9.91e-2 -1.0e-1 9.30e-3	
Potential (V) Spacecraft potential	9.15	9.01	8.34	5.92	4.46	0.37	-1.37	-5.01	-28	

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784 **4. Conclusion**

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The study investigated the effects of spacecraft charging induced by the operation of the SPT-786 100 Hall thruster in various space environments, ranging from 0.067 AU to 1 AU. The analysis 787 considered factors such as ambient electrons and ions, CEX-ions and thruster electrons, 788 photoelectrons, secondary electrons, and solar photon flux. The results revealed significant 789 variations in space charge and potential barriers when comparing thruster-on and thruster-off 790 scenarios. During thruster operation, the spacecraft potential tends to settle at a low, stable and 791 negative potential, ranging from -2 V to -6 V from 0.067 AU to 1 AU, respectively. The low 792 793 negative potential is primarily attributed to the presence of thruster electrons and high-density CEX-ions, which dominate the in situ plasma. In contrast, without the thruster, the spacecraft 794 potential exhibited a wide range from 0.044 A to 1 AU spanning from -28 V to +9V, 795 796 respectively. The spacecraft potential without the thruster is primarily influenced by the increasing energy and number density of thermal electrons and increasing roles of photoelectrons 797 and secondary electrons as the spacecraft approaches closer to the Sun. It's crucial to emphasize 798 that the stabilizing effect of the thruster, particularly in relation to maintaining a low negative 799 800 potential, relies on factors such as the density of charge-exchange ions (CEX-ions), thruster electron, the ambient electron density, photoelectrons, secondary electrons and the spacecraft's 801 geometry. Above all, the finding of this paper suggests that under various conditions, the SPT-802 100 Hall thruster can effectively maintain and stabilize the spacecraft potential, mainly due to the 803 804 influence of high-density CEX-ions and thruster electrons. The presence of CEX-ions and

805 thruster electrons emerged as a critical factor in determining the spacecraft's final potential when 806 the thruster is active.

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808 The findings for distances ranging from 0.067 AU to 1 AU can be summarized as follows: Firstly, when the SPT-100 hall thruster is operational, the spacecraft maintains a low, stable, and 809 negative potential from -2V to -6V across a wide range of heliocentric distances. The variation in 810 spacecraft potential is reduced significantly compared to when the thruster is off. Without the 811 thruster, the spacecraft exhibits a large variation in potential, ranging from +9 V to -28 V. The 812 negative potentials when the thruster is on are primarily due to the dominance of the CEX ions 813 and thruster electrons over the ambient plasma and the effects of both photoelectrons and 814 secondary electrons. The negative potentials result primarily from the collection of thruster 815 electrons. Secondly, the potential structures of the spacecraft are intrinsically 3D (in detail, 816 rotationally symmetric 2D) with different ram, wake, and side (perpendicular to the ram-wake 817 axis) potential structures due to the importance of the thruster CEX ions and electrons, 818 photoelectric and secondary electron emission (and collection), and the wake void region. These 819 effects often lead to potential hills/wells (depending on particle charge) and associated reflection 820 and trapping effects on particles. In general, these effects appear to combine nonlinearly, and all 821 are quantitatively important, albeit less so when the thruster particles dominate the ambient 822 plasma. Thirdly, during thruster operation, the magnitudes of the changes in the sheath potential 823 and the potential barrier are significantly reduced due to the high dominance of CEX-ions over 824 the ambient plasma. This leads to a less restrictive escape of secondary electrons and 825 photoelectrons, thereby minimizing their recollection. Consequently, low recollection of 826 secondary electrons may reduce the disturbances and enhance the accuracy of low-energy plasma 827 measurements. In contrast, without the thruster, close to the Sun the plasma near the spacecraft 828 experiences a strong potential barrier, which limits the escape of photoelectrons and secondary 829 electrons, resulting in large recollection. In such cases, the spacecraft charges to a large negative 830 potential, and recollection of the low-energy electrons has a high chance of interfering with 831 spacecraft instruments. 832

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Moreover, in close proximity to the Sun, both photoelectrons and secondary electrons play a 834 significant role in reducing the negative potential of the spacecraft (making the potential more 835 positive), regardless of whether the thruster is on or off. Indeed, the absence of photoemission or 836 secondary electrons makes the spacecraft more negatively charged when the thruster is on, albeit 837 to a significantly lesser degree than without the thruster in the absence of photoemission or 838 secondary electrons. Far away from the Sun, near 1 AU, the influence of photoelectrons and 839 secondary electrons is negligible when the thruster is on. However, when the thruster is off, the 840 absence of photoelectrons can lead to high negative charging. In this situation, the operation of 841 the thruster helps maintain and stabilize the spacecraft's potential to a low negative potential. For 842 example, at 1 AU, the spacecraft's potential without the thruster and without photoemission 843 reaches a substantial negative value of -11.1 V. In contrast, with the thruster but without 844 photoemission, the potential stabilizes at -6.7 V. Without the thruster far away from the Sun, 845 secondary electrons have negligible impact on spacecraft potential due to the low mean energy of 846 thermal electrons. 847

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Furthermore, when the thruster is on, the elimination of either photoemission or secondary electrons can lead to higher negative charging of the spacecraft, albeit to a lesser extent when compared to the combined elimination of both photoelectrons and secondary electrons. On combined elimination, the spacecraft can acquire a considerably high negative potential when the thruster is on, corresponding to these processes combining nonlinearly rather than linearly. For instance, at 0.067 AU from the Sun, when secondary electrons and photoemission are both eliminated simultaneously, the spacecraft becomes charged to a significantly substantial negative potential of approximately -11.1 volts, as opposed to -1.70 volts (when photoelectrons and secondaries are considered).

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Lastly, the solar photon flux has a relatively small effect on the spacecraft's potential when the thruster is turned on compared to when it is off, resulting in a significant shift in spacecraft potential. Photoelectric effects always make the spacecraft potential more positive in our simulations, as does secondary electron emission. The accumulation of thruster electrons on the spacecraft's surface depends on the presence and absence of photoemission and secondary emission and the magnitude and sign of the spacecraft's potential.

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Overall, these findings have significant implications for how the thruster's operation can assist in maintaining and controlling spacecraft charging under various solar wind conditions. This newfound understanding should aid in enhancing the pre-flight design assessment of electric thruster-induced charging and ensure the safety of sensitive instruments on board. Future studies will evaluate the effects of different electric thruster plumes on the spacecraft potential in the solar wind, magnetospheric environments, and terrestrial LEO orbits.

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887 Software Availability Statement

The spacecraft charging effects were simulated using the SPIS software (version 6.1.0). SPIS can be downloaded from the official website: <u>https://www.spis.org/software/spis/get/</u>. The spacecraft model is created using the Gmsh software (version 4.10.5) available at: <u>https://gmsh.info/.</u> The original files of spacecarft geometry and meshing file (.geo and .msh), the simulation file (.spis), and the raw data utilised for the analysis in this article are taken from the simulation output folders which are made available at <u>https://hdl.handle.net/2123/31951</u> [doi: 10.25910/vv3gzr19].

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