Micromagnetic determination of the FORC response of paleomagnetically significant magnetite assemblages

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Abstract

Micromagnetic modelling allows the systematic study of the effects of particle size and shape on the first-order reversal curve (FORC) magnetic hysteresis response for magnetite particles in the single-domain (SD) and pseudo-single domain (PSD) particle size range. The interpretation of FORCs, though widely used, has been highly subjective. Here, we use micromagnetics to model randomly oriented distributions of particles to allow more physically meaningful interpretations. We show that one commonly found type of PSD particle - namely single vortex (SV) particles - has far more complex signals than SD particles, with multiple peaks and troughs in the FORC distribution, where the peaks have higher switching fields for larger SV particles. Particles in the SD to SV transition zone have the lowest switching fields. Symmetrical and prolate particles display similar behavior, with distinctive peaks forming near the vertical axis of the FORC diagram. In contrast, highly oblate particles produce 'butterfly' structures, suggesting that these are potentially diagnostic of particle morphology. We also consider FORC diagrams for distributions of particle sizes and shapes and produce an online application that users can use to build their own FORC distributions. There is good agreement between the model predictions for distributions of particle sizes and shapes, and the published experimental literature.

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Key Points: We have modeled FORC diagrams for single-domain and single-vortex sized magnetite for a range of particle sizes and morphologies Single-vortex particles display complex structures in the FORC distribution with multiple peaks and troughs The main peaks of the single-vortex FORC distributions have higher coercivities than the single-domain peak.

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³⁷ Plain Language Summary

In Earth, planetary and environmental sciences, magnetic methods are often used to rapidly 38 measure the properties of rocks, sediments, meteorites, and soils. These magnetic properties 39 can be proxies for environmental change or indicators of magnetic recording fidelity. The 40 magnetic properties are dependent on the magnetic mineralogy and particle size and shape 41 of the minerals within a sample. There is no single measurement that provides a unique 42 interpretation; instead, a range of methods are applied, one of which is magnetic hysteresis 43 analysis. First-order reversal curves (FORCs) are an advanced type of hysteresis analysis, 44 and the FORC response of a minerals depends on magnetic domain state, which is strongly 45 controlled by both the size and shape of a particle. We understand the FORC response of 46 very big (> 1000 nm) and very small particles (< 100 nm), but interpretation for particles 47 in between, the so called pseudo-single domain (PSD) state, has remained elusive. This 48 is a problem as the magnetic signature of many rocks is dominated by PSD signals. In 49 this paper we use numerical micromagnetic modeling to systematically study the effect of 50 particle size and shape on particles that support a particular type of PSD FORC response 51 - the single-vortex. 52

53 1 Introduction

In many geological and environmental studies it is important to be able to quantify the magnetic mineralogy including size and shape within samples. To do this, a range of methods are typically employed including first-order reversal curve (FORC) analysis. FORC diagrams are a type of detailed hysteresis measurement routinely used since their introduction to the rock and paleomagnetic community nearly 25 years ago (Pike et al., 1999; Roberts et al., 2000).

FORC diagrams have the advantage over standard major-hysteresis-loop analysis, since they map out numerous domain-state switching events/transitions that are characteristic of distributions of individual particles in the sample. FORC diagrams therefore provide an accumulation of hysteresis data from which to isolate and identify populations of magnetic domain states within typical natural samples (Egli, 2021; Roberts et al., 2022). For example, Rowan and Roberts (2005) used FORC analysis to identify greigite in paleomagnetically compromised New Zealand mudstones, which major-loop hysteresis failed to identify.

Many papers describe the construction of FORC diagrams (e.g., Pike & Fernandez, 1999; 67 Pike et al., 1999; Roberts et al., 2000), however, we briefly outline how they are created 68 and interpreted to give the background that we will draw on later in our discussion. FORC 69 diagrams are generated from a series of first-order transition (reversal) curves (Mayergoyz, 70 1986), whereby the magnetization of a sample is first saturated in a field $B_{\rm sat}$ and thereafter 71 the field is reduced to a reversal-field value $B_{\rm r}$ (Figure 1a). A first-order reversal curve is 72 produced by measuring the sample's magnetization as the field is swept (from say B_i^{r}) back 73 up to saturation (black arrow in Figure 1a (right), when i = 4). A series of such reversal 74 curves (from $i = 1, \dots, n$) is produced as reversal-field values are successively chosen so that 75 they approach negative saturation. We may then plot the magnetization against B and B_r 76 field values (Figure 1b) where the main diagonal of the resulting triangle corresponds to the 77 major hysteresis loop (blue curve in Figure 1a). It is common to plot values of magnetization 78 directly and FORC diagrams are distribution density plots of the mixed second-order partial 79 derivatives of the magnetization with respect to B and B_r , given by 80

$$\rho(B_{\rm r}, B) \equiv -\frac{\partial^2 M(B_{\rm r}, B)}{\partial B_{\rm r} \partial B}.$$
(1)

FORC diagrams are typically plotted in field space (B_c, B_u) , which geometrically corresponds to a rotation of the FORC diagram by 45° counter-clockwise (Figure 1c). B_c and B_u are:

$$B_{\rm c} \equiv (B - B_{\rm r})/2,\tag{2}$$

84

$$B_{\rm u} \equiv (B + B_{\rm r})/2. \tag{3}$$

As a first approximation, B_c is often taken as an approximation for the samples' coercivity distribution, and B_u correspond to magnetic interaction field values (Muxworthy & Williams, 2005). Although only strictly true for single domain (SD) particles, it remains useful convention for describing FORC diagrams.

A clearer understanding of ρ is obtained by considering the mixed derivative in Equation 89 1 in two parts. The first is the derivative $\partial M/\partial B$, which yields a peak whenever the 90 magnetization changes rapidly, e.g., when a domain state changes from B^{i-1} to B^i for 91 a switching field B^i . As the applied field B is swept from B_r to saturation at B_{sat} , M 92 increases monotonically for SD and SV domain states if no thermal relaxation occurs, and 93 so $\partial M/\partial B$ is positive; however the steepness of this curve changes as FORCs are taken 94 from neighboring reversal-field values, i.e., when we consider the $\partial M/\partial B_{\rm r}$ component of ρ . 95 When we take the negative derivative of $\partial M/\partial B$ with respect to the reversal-field values, 96 we are looking at the rate of change of the tangents of the FORC curves within the same 97 neighborhood. 98

⁹⁹ Whenever neighboring FORC curves are far apart at lower fields and converge together at ¹⁰⁰ higher fields (Figure 1a inset i), the value for ρ is positive and plots as red within our color ¹⁰¹ scheme on the FORC diagram with larger changes in the local FORC gradients resulting in ¹⁰² a deeper shade of red. On the other hand, when FORCs diverge over a region, ρ is negative ¹⁰³ (Figure 1a inset iii) and plots blue on our FORC diagrams, again deeper shades of blue ¹⁰⁴ correspond to greater divergence in neighboring FORCs. Finally, if there is no change, i.e., ¹⁰⁵ FORCs are parallel, then $\rho = 0$ and plots white (Figure 1a inset ii).

Key to interpreting FORC diagrams is understanding the response of different magnetic 106 domain states; a magnetic domain-state depends on mineralogy and importantly on particle 107 size and morphology, as well as other parameters like temperature and pressure. There 108 are essentially four types of domain state, each with its own magnetic signature. Small 109 magnetic particles are uniformly magnetized and are termed single domain (SD), with sizes 110 $\lesssim 100$ nm for equant magnetite (Kittel, 1949); the exact threshold sizes depend on geometry, 111 temperature and stress levels in addition to size (Nagy et al., 2019a). Very small SD particles 112 are thermally unstable at room temperature (~ 30 nm for magnetite), and are said to be 113 superparamagnetic (SP) (Bean & Livingston, 1959), because they maintain equilibrium 114 with the external magnetic field. The magnetization in large magnetic particles (≥ 10000) 115 nm for magnetite) breaks up into areas of uniform magnetization separated by domain walls 116 (multidomain, MD) (Nagy et al., 2019b). The intermediate particle size, i.e., ~100-10000 117 nm for equant magnetite, experimentally displays behavior in some ways similar to that 118 of SD particles, even though the particles are magnetically non-uniform and may even, at 119 the upper end of this size range, have domain walls (MD). Particles in this region are often 120



Figure 1. Construction of a FORC diagram. (a) In the experimental process shown, a sample is placed in a saturating field B_{sat} . The field is then reduced to a value of B_r^i $(i = 1, \dots, n)$ and measurements of the magnetization, M, are made as the field is swept back up to saturation. This process produces a single first-order reversal curve (FORC). A series of FORCs are produced as B_r^i is reduced toward negative saturation. (b) The negative second-order partial derivative of the magnetization with respect to B_r and B (Equation 1) is plotted over the triangular domain of the reversal field (B_r) and the applied field (B), where the blue dots and red lines shown in the hysteresis loop stack in (a) corresponds to the blue dots in (b) and (c).

termed pseudo-SD (PSD) (Stacey, 1962). Despite decades of effort, beginning with the work
 of Enkin and Williams (1994), the controls on the stability (or instability) of so-called PSD
 particles have remained elusive.

The contributions of SP, SD and MD particles to FORC diagrams are well understood 124 (Roberts et al., 2000, 2014, 2022), however, the contribution of PSD particles is less so. 125 This is a problem, as PSD particles commonly dominate the magnetic signal of natural 126 systems both in terms of abundance and paleomagnetic recording (Roberts et al., 2017; 127 Nagy et al., 2019a). Historically, the reason for this poor understanding is twofold: (1) 128 PSD particles display highly non-linear magnetic structures and behavior, and (2) they 129 are also small and experimentally challenging to work with. However, our understanding 130 of PSD particles has improved in the last ~ 25 years through magnetic imaging techniques 131 (Dunin-Borkowski et al., 1998; Almeida et al., 2014) and numerical micromagnetic modeling 132 (Williams & Dunlop, 1989), which have shown that smaller PSD particles ($\lesssim 1000$ nm for 133 equant magnetite) typically display single-vortex (SV) structures. SV domain states have 134 particular paleomagnetic importance because of their high remanence values and stabilities 135 (Nagy et al., 2017). In the rest of this paper, we refer to SV particles since this is the 136 primary type of PSD domain state observed in our micromagnetic models. 137

Even with our modern understanding of SV particles, the question remains: how do SV 138 structures contribute to a FORC diagram? There have been several attempts to address 139 this over the years both experimentally and theoretically. Experimental approaches have 140 been hampered by the difficulty in producing measurable samples of near-identical non-141 interacting magnetic particles in this size range, and in the difficulty in producing sufficient 142 samples that systematically describe a wide range of particle morphologies and sizes (e.g., 143 Pike & Fernandez, 1999; Muxworthy et al., 2006; Dumas et al., 2007; Krása et al., 2011b; 144 Chiba et al., 2020). There have been both phenomenological (Pike & Fernandez, 1999) 145 and numerical (e.g., Carvallo et al., 2003; Lascu et al., 2018; Valdez-Grijalva et al., 2018) 146 attempts to understand the FORC signature of SV particles. The FORC simulations of 147 Carvallo et al. (2003) were limited computationally, whilst the models of Lascu et al. (2018) 148 were only for very complex particle morphologies found in obsidian, and those of Valdez-149 Grijalva et al. (2018) for symmetric truncated-octahedral particles. There are still clear 150 gaps in our understanding of the FORC response of SV particles. 151

In this paper we present a comprehensive and systematic suite of numerical models for monodispertions as well as distributions of randomly orientated prolate and oblate particles, with particle sizes between 40 to 195 nm (equivalent spherical-volume diameter, ESVD). We consider aspect ratios (AR) for the prolate (AR > 1.0) and oblate (AR < 1.0) magnetite particles at room temperature. Initially we consider populations of identical randomly orientated particles, then later in the paper we consider distributions of particles with varying sizes and aspect ratio. We provide and describe a python code package (Synth-FORC), available at: https://synth-forc.earthref.org/, which can be used online or downloaded, for users to forward model their own FORC responses of distributions of SD and SV magnetite particles.

162 2 Methods

We have modeled FORCs for truncated-octahedral magnetite particles at room tempera-163 ture for a range of sizes between 40 and 195 nm ESVD and for a range of aspect ratios 164 (AR) for both prolate and oblate particles (AR between 0.125 and 6.0) using the SIMPLE-165 FORC function of the micromagnetic algorithm MERRILL, version 1.4.6 (O Conbhuí et al., 166 2018). MERRILL uses tetrahedral-element meshes, which were generated using the meshing 167 package Coreform Cubit (Coreform LLC, 2017). In the models, it is desirable to have the 168 maximum mesh size no greater than the material's exchange length, which for magnetite is 169 9 nm (all our model geometries were meshed at 8 nm). 170

SIMPLEFORC first computes and saves the domain states along the upper branch of a 171 hysteresis loop, which are subsequently used as the initial states for each reversal curve to 172 saturation, forming a FORC dataset at regular field steps (Roberts et al., 2022). We made 173 both 'low-resolution' FORC simulations with a field step size of 4 mT, and 'high-resolution' 174 simulations with a step size of 1 mT. In our solutions no qualitative difference could be 175 seen between the FORC diagrams computed at the different resolutions. We simulated the 176 FORCs using a maximum field of 200 mT, and processed the FORC diagrams using the 177 'relaxed fit' algorithm (Roberts et al., 2014) written by Valdez-Grijalva et al. (2018). All 178 models we present use a smoothing factor of three. 179

¹⁸⁰ 3 Simulated FORCs diagrams for random distributions

We outline the micromagnetic solutions for equant, prolate and oblate truncated-octahedral 181 particles of magnetite. To simulate random distributions for each particle size and AR, we 182 simulated FORC diagrams for 29 points evenly distributed over an octant of a sphere. Over 183 all particle geometries, a total of 737 FORC diagrams were simulated, computed over several 184 months. In the following analysis of domain state changes during FORC measurements, we 185 attempt to identify the principle changes associated with features on the FORC diagrams. 186 However, in any random distribution of particles, even for a mono-dispersion, more than 187 one type of domain state change may contribute to a particular positive or negative peak 188 in the FORC diagram. This is caused by differences in relative orientation between ap-189 plied field and particle shape or magnetocrystalline anisotropy axes. To illustrate this we 190

have produced a series of videos (supplementary files equidimensional.mp4, prolate.mp4 and oblate.mp4) that follow the changing domain states in 20 identical particles, but with different orientations to the applied field. At each measurement point the domain states are shown, along with their corresponding point on the FORC diagrams. These videos provide a more comprehensive illustration of the main domain state changes described below.

¹⁹⁶ 3.1 Equant truncated-octahedral particles of magnetite

For equant particles, simulated FORC diagrams display a progression in behavior as the particle size increases from 45 nm ESVD through to 195 nm ESVD (Figure 2). The 45 nm particles are SD, switch coherently, and yield isolated contours as expected (Figure 2a). The FORC diagrams consist of a positive peak at $B_c \sim 15$ mT, with a negative peak just beneath it along the $-B_u$ axis. This is similar to the predictions of truncated-octahedral particles of SD greigite (Valdez-Grijalva et al., 2018), although the peak B_c is lower for magnetite.

As the particle size increases there is almost no change in FORC distribution until 85 nm 203 ESVD (Figure 2b), where a SV nucleates in the zero-field state with the vortex core aligned 204 along the hard-axis like particles in the Low-Stability Zone (LSZ) observed by Nagy et al. 205 (2017). This leads to a drop in the coercivity, and the main positive peak in the FORC 206 distribution plots closer to the origin. On increasing the particle size to 105 nm ESVD, the 207 FORC diagram changes to a more complex structure (Figure 2c). This corresponds to the 208 remanence-state SV core aligning along one of the magnetocrystalline easy-axis of magnetite 209 (Nagy et al., 2017). The FORC distribution now consists of a main positive peak at $B_c \sim 40$ 210 mT, with a negative peak just below it, along with four positive peaks that plot along the 211 $B_{\rm u}$ axis. There are two peaks in the $+B_{\rm u}$ pane, mirrored by two peaks in the $-B_{\rm u}$ pane; 212 we term these four peaks the 'vertical near-axis peaks' (VNAP). VNAPs correspond to the 213 behavior reported by Valdez-Grijalva et al. (2018); however, their diagrams do not extend 214 far enough in the $+B_u$ direction, hiding some of the peaks on the B_u axis. On increasing the 215 particle size to 195 nm ESVD (Figure 2d), all the peaks observed on the 105 nm simulation 216 (Figure 2c) 'spread out' from the origin, i.e., the main peak moves from to ~ 40 mT to ~ 80 217 mT. In many of the figures, mottled textures due to minor negative peaks, are observed 218 that spread downward and to the right from the minimum positive peak. These noisy tails 219 are often seen in experimental FORCs and appear also in our models. The cause in both 220 cases is the same, and are due to slightly different switching fields observed across multiple 221 reversal curves (Moreno-Ortega et al., 2022). 222

The positive peak located on the B_c axis corresponds to vortex-core reversal (Figure 2d), which usually occurs as a rotation of vortex core though the hard anisotropy axis, towards the direction of the applied field (see supplementary material). This is a direct analogy

to SD domain state coherent rotation, and is a process we have previously described as 226 structure-coherent rotation (Nagy et al., 2019b). The two VNAPs further away from the 227 $B_{\rm c}$ axis correspond to the gradual transformation between a flower state (FS) and SD state; 228 the degree of flowering increases as the applied field is reduced from saturating values (Fig-229 ure 2d). Specifically, the $+B_{\rm u}$ VNAP furthest from the $B_{\rm c}$ axis is due to de-nucleation of a 230 SD state and formation of a flower state, while the mirrored $-B_u$ VNAP is due to nucleation 231 of a FS from SD. The other two VNAPs closer to the $B_{\rm c}$ axis are due to transformation 232 between a twisted flower state and an SV state (videos available at Nagy et al., 2023). The 233 VNAPs appear close to the $B_{\rm u}$ axis because they describe a process that is locally reversible. 234 235



Figure 2. Simulated FORC diagrams for random-distributions of equant (AR = 1.0) truncatedoctahedral magnetite particles of: a) 45 nm ESVD, b) 85 nm ESVD, c) 105 nm ESVD and d) 195 nm ESVD. In c) a VNAP is highlighted. In d) the origins of the peaks due to nucleation of a single domain (SD), twisted vortex (TF) domain states as well as SV core rotation toward and away from the applied field are labeled. The maximum applied field was 200 mT, and the step size in the simulation was 4 mT. For AR = 1.0, the truncated octahedral diameter is approximately equal to the ESVD.

²³⁶ 3.2 Prolate truncated-octahedral particles of magnetite

We consider the effect of increasing AR up to 6.0 for truncated-octahedral magnetite particles for a range of sizes between 45 and 195 nm ESVD (Figure 3). For small elongations, i.e., < 1.25, the cubic magnetocrystalline anisotropy dominates, and the behavior is close to that of the symmetrical particles, i.e., AR = 1.0 (Figure 2). As AR increases, e.g., AR 1.5, the smallest particles, which are SD, i.e., 45 nm ESVD (Figure 3a), display FORC

diagrams typical for uniaxial SD particles, i.e., a positive main peak on the $B_{\rm c}$ axis, with a 242 corresponding negative peak on the $B_{\rm u}$ axis (Muxworthy et al., 2004; Newell, 2005). This 243 corresponds to the SD domain state switching towards the positive and negative field direc-244 tions respectively at $B_c \sim 40 \text{ mT}$. The position of the main peak on the B_c axis is higher 245 than for the case in which AR = 1 (Figure 2a), reflecting the increased SD coercivity with 246 elongation. As the particle size increases, the trends follow that observed for AR = 1.0, that 247 is, the main peak decreases at 105 nm ESVD, i.e., the LSZ (Figure 3b), before increasing 248 to $B_{\rm c} \sim 55 \text{ mT}$ at 195 nm ESVD. 249

As AR increases, e.g., AR = 2.5 (Figures 3d-f), the position of the main peak on the B_c axis 250 increases for SD particles, e.g., for 45 nm $B_c \sim 70$ mT, as the relative absolute anisotropy 251 increases. Also as AR increases, the SD to SV transition size also increases (Muxworthy & 252 Williams, 2006). This causes the trends observed for the symmetric particles, to occur at 253 relatively larger particle sizes: the main peaks along the central ridge decrease to $B_{\rm c} \sim 20$ 254 mT at 145 nm, before increasing to $B_{\rm c} \sim 45$ mT at 195 nm ESVD. The FORC diagram for 255 the 195 nm ESVD particle (Figure 3f), displays a series of negative and positive peaks (a 256 'NPNP' structure) running at a 45° angle from the $B_{\rm u}$ axis. NPNP structures have previously 257 been suggested as being indicative of SV structures (Zhao et al., 2017; Valdez-Grijalva et 258 al., 2018). 259

3.3 Oblate truncated-octahedral particles of magnetite

Oblate particles show similar trends with particle size as the prolate particles (Figures 3 and 4). For the nearly equant oblate particles, the trends are very similar to those of the equant particles (Figure 2), i.e., as the particle size increases, SD particles transition to hard-axis aligned vortex structures, which decreases the main peak position on the B_c axis. On further increase of the particle size, the main peak shifts to the right along the B_c axis and four mirrored VNAP features appear (cf., Figure 4a-c).

As AR is further decreased to AR ~ 0.5 , compared to the more equant particles, the trends 267 are subtly different (Figure 4d-i): first, the transition from SD to SV is at larger particle 268 sizes, similar to the prolate particles, and second, the VNAP structures form gradually 269 over several particle sizes and are not initially on the axis. These differences are caused by 270 the stronger planar anisotropy of the oblate particles, which encourages the spontaneous 271 formation of SV states from the saturated SD states as the field is reduced. Similarly the 272 positive lobe below the B_c axis corresponds to nucleation of the SV state (Dumas et al., 2007; 273 Moreno-Ortega et al., 2022). However, nucleation of the SV state does not occur directly 274 from SD, but via an inhomogeneous magnetic structure where there is some flowering of 275 the surface magnetization together with planar rotation of the magnetization within the 276



Figure 3. Simulated FORC diagrams for random-distributions of prolate truncated-octahedral magnetite particles of: a) 45 nm ESVD, AR = 1.5, maximum particle length (MGL) ~ 59 nm b) 105 nm ESVD, AR = 1.5, MGL ~ 137 nm c) 195 nm ESVD, AR = 1.5, MGL ~ 255 nm d) 45 nm ESVD, AR = 2.5, MGL ~ 83 nm e) 145 nm ESVD, AR = 2.5, MGL ~ 267 nm, and f) 195 nm ESVD, AR = 2.5, MGL ~ 359 nm. The maximum applied field was 200 mT, and the step size in the simulation was 1 mT.

particles. Such structures are are termed twisted flower (TF) states (Hertel & Kronmüller, 277 2002). The SD to TF transition is marked by the negative peak near the $B_{\rm u}$ axis at -40 278 mT, and the TF to SV transition indicated by the weak negative peak along the B_c axis 279 (Figure 4e). The positive peaks collapse onto the $B_{\rm u}$ axis as the particle size increases to 280 form true VNAPs (Figure 4f), and suggests that the SD to SV transition becomes a more 281 reversible process at larger oblate particles sizes. These mirrored positive peaks either side 282 of the $B_{\rm c}$ axis are often referred to as the butterfly FORC structure, highly indicative of 283 SV states and commonly observed in high anisotropy monoclinic systems such as magnetite 284 at low temperatures (Kobayashi et al., 2023) or high basal plane shape anisotropies of iron 285 and cobalt platelets (Pike & Fernandez, 1999; Dumas et al., 2007; Chiba et al., 2020). For 286 AR ~ 0.25 (Figure 4i), the butterfly structures still exist, but for larger sizes, i.e., >185 nm, 287 these disappear and NPNP or NPN structures arise. An atlas of all FORC diagrams we 288 have modeled, as a function of particle size and shape is provided in the supplementary 289 material and Nagy et al. (2023). 290

²⁹¹ 4 Discussion

We have shown that FORC distributions display a strong dependency on both particle size, shape and dominant anisotropy of magnetic particles, in agreement with previous studies (e.g., Carvallo et al., 2003; Valdez-Grijalva et al., 2018). Though compared to these previous studies the work here is more comprehensive; here we have used full-resolution models, better approximations for random orientation distributions and many more particle morphologies.

As particle size increases, and domain state switching changes from coherent SD switching 297 to intermediate vortex states (Williams & Dunlop, 1995), the position of the peak coercivity 298 initially decreases on the B_c axis (Figure 2). As the particle size further increases, e.g., 105 299 nm ESVD (Figure 2c), the peak shifts to higher values, higher than those observed for the 300 smallest SD particles, e.g., 45 nm ESVD (Figure 2a). Increasing the particle size well above 301 the SD-SV critical size, causes VNAPs to form, which reflect the continuous nature of the 302 transformation from SD to SV. Increasing a particle's elongation in one direction (prolate) 303 has two effects: (1) it causes the SD to vortex state critical size to increase, and (2) it 304 causes the behavior to be controlled by a uniaxial-shape anisotropy (Butler & Banerjee, 305 1975; Muxworthy & Williams, 2006). The FORC diagrams reflect this, displaying behavior 306 associated with uniaxial anisotropy (Muxworthy et al., 2004; Newell, 2005), and the particle 307 size at which coherent switching stops is larger (Figure 3). However, the trends are the same 308 as for the equant particles, i.e., the peak initially decreases on the $B_{\rm c}$ axis, before increasing 309 for larger vortex particles with the formation of VNAPs. Our models demonstrate that for 310 SV states in prolate particles, the FORC central ridge is formed by coherent rotation of 311



Figure 4. Simulated FORC diagrams for random-distributions of oblate truncated-octahedral magnetite particles of: a) 45 nm ESVD, AR = 0.67, MGL \sim 51 nm, b) 85 nm ESVD, AR = 0.67, MGL \sim 97 nm, c) 195 nm ESVD, AR = 0.67, MGL \sim 222 nm, d) 45 nm ESVD, AR = 0.5, MGL \sim 137 nm, e) 105 nm ESVD, AR = 0.5, MGL \sim 132 nm, and f) 145 nm ESVD, AR = 0.5, MGL \sim 182 nm, g) 45 nm ESVD, AR = 0.25, MGL \sim 71 nm, h) 125 nm ESVD, AR = 0.25, MGL \sim 198 nm, and i) 155 nm ESVD, AR =0.25, MGL \sim 310 nm. The maximum applied field was 200 mT, $^{-13-}$ and the step size in the simulation was 1 mT.

the vortex core, whose switching field is controlled by shape or crystalline anisotropy. In these particles, SD and SV states are therefore only distinguishable by the VNAPs peaks along the $B_{\rm u}$ axis caused by flower state and SV nucleation and denucleation. The oblate particles' FORC diagrams are more complex with more 'off-axis' features, e.g., the formation of butterfly structures, which are indicative of SV nucleation, but form only in the presence of high planar shape anisotropy in smaller SV particles. These SV signals collapse on to the vertical axis to form VNAPs in larger particle sizes for intermediate AR's (~ 0.5) (Figure 4).

For larger prolate and oblate particles with higher/lower ARs, NPN(P) structures form 319 (Figures 3f and 4i). Such NPNP structures have been reported before for numerical models 320 of FORC diagrams for vortex systems (e.g., Valdez-Grijalva et al., 2018), however, they 321 are usually associated with induced-FORC (iFORC) diagrams, which are determined from 322 transient and remanence FORC diagrams not modeled in this paper (Zhao et al., 2017; 323 Tauxe et al., 2021; Roberts et al., 2022). These NPNP structures form when there are 324 multiple metastable intermediate states during hysteresis, e.g., nucleation/de-nucleation of 325 vortices, or flower structures or other metastable inhomogeneous magnetic domain states, 326 switching between intermediate local energy minima (LEM; Carvallo et al., 2003; Valdez-327 Grijalva et al., 2018, 2020). 328

4.1 Comparison with experimental FORCs for mono-dispersions

There are only a few examples where FORC diagrams have been experimentally measured 330 for (near) mono-dispersions of (near) non-interacting magnetite (Muxworthy et al., 2006; 331 Krása et al., 2009, 2011b). To control the inter-particle spacing the samples are prepared 332 by electron-beam lithography (EBL) (King et al., 1996). For direct comparison only, the 333 studies of Krása et al. (2009, 2011b) overlap the particle size range calculated in this paper. 334 The majority of the samples studied by Krása et al. (2009, 2011b) are arrays of plate like 335 crystals, i.e., oblate. However, whilst most of these EBL samples are predicted to display 336 SV behavior due to their particle volumes, they display mostly SD behavior (Krása et 337 al., 2011a). A number of reasons have been suggested for this SD-like behavior: (1) EBL 338 samples typically display magnetic behavior indicative of high levels of internal stress, which 339 is thought to be due to the mismatch in crystal structure between the magnetite and the 340 substrate, (2) it is thought that many of the EBL samples are polycrystalline, and (3) there 341 is the possibility of surface oxidation of the samples, even though they are typically stored 342 under alcohol after reduction. All these three processes produce more SD-like hysteretic 343 behavior (Krása et al., 2009, 2011b, 2011a), as such we do not consider these EBL samples a 344 'good' comparison for this study. Nevertheless, for the near equidimensional sample DK0023 345 from (Krása et al., 2011b), our model predicts very similar results to their experimentally 346

- measured FORCs. The tell-tail VNAPs indicative of DV domain states unfortunately fall outside the maximum $B_{\rm u}$ value in the experimental results.
- Better examples of FORC diagrams measured using EBL samples are reported by Dumas et 349 al. (2007) for iron. These EBL samples cover both the SD and SV particle size range, and 350 consist of oblate particles with aspect ratios of ~ 0.4 . The general features observed for their 351 67 nm sample are replicated in our model in Figure 4f, i.e., the central positive peak on the 352 $B_{\rm c}$ axis shadowed by negative peaks on either side, plus the two positive butterfly peaks, 353 located symmetrically around the $B_{\rm c}$ axis. There is also the negative region on the $B_{\rm u}$ axis 354 in the lower half of the diagram. This suggests that our model is accurately capturing the 355 main features of SV behavior. 356

4.2 Distributions of particle-sizes and morphologies

In natural samples, mono-dispersions are rare, so we consider FORC diagrams for particlesize and morphology distributions of randomly oriented particles (Figure 5). This is similar to the approach of Valdez-Grijalva et al. (2018) for symmetric greigite. However, here we have systematically modeled a greater particle size range, plus we have included a wide range of aspect ratios; it is clear that particle morphology has a significant contribution to FORC distributions (*cf.* Figures 2–4).

We have used lognormal particle-size and morphology distributions to combine our FORC 364 models (Figure 5). We consider two scenarios: a mean of 150 nm and one of 200 nm, both 365 with particle morphology distributions with a mean AR of one (Figure 5). We truncate the 366 distributions when we have no models to populate the distribution, e.g., a mean of 200 nm 367 (Figure 5b) contains no models for particles > 195 nm in size. The 150 nm case has a ridge 368 from the origin along the B_c axis, whilst the 200 nm sample does not contain a ridge, it just 369 has a peak at $B_{\rm c} \sim 110$ mT. The 200 nm distribution is similar to the 'true' SV structure 370 (Figures 3c or 4c). 371

On initial inspection, it might appear that neither of these FORC diagrams match the 372 typical measured 'PSD' FORC diagram (e.g., Roberts et al., 2014), however, this maybe 373 because most natural systems have wider distributions of particle size than considered in 374 our models. If we look at natural samples with quantified narrow particle size distributions, 375 there is a strong similarity in behavior; for example, Lappe et al. (2011) studied dusty-376 olivine samples using off-axis electron holography to demonstrate that the iron particles 377 in the samples were dominated by SV particles. The measured FORC diagram for these 378 samples (Lappe et al., 2011), displays a strong similarity to Figure 5a, suggesting that our 379 models accurately capture the main features of our true SV behavior. 380



Figure 5. Simulated FORC diagrams for random-distributions of magnetite truncatedoctahedral particles with lognormal distributions of both particle size and AR: a) mean particle size = 150 nm (variance, $\sigma^2 = 0.3$), mean AR = 1.2 with $\sigma^2 = 0.3$, and b) mean particle size = 200 nm ($\sigma^2 = 0.3$), mean AR = 1.0 ($\sigma^2 = 0.3$).

381 4.3 Synth-FORC

As part of the paper we have written the Synth-FORC (https://synth-forc.earthref 382 .org/), which allows the user to forward model log-normal distributions of particles con-383 structed from combining our results from particle mono-dispersions. The user can plot 384 FORCs for their own particle distributions to produce diagrams similar to those in Fig-385 ure 5. Currently the range of AR must lie between 0.125 and 6.0, and the particle sizes 386 between 40 nm and 195 nm. Over time Synth-FORC will be updated with larger particle 387 sizes, with the aim of eventually accounting for the complete SD and PSD particle size 388 range. 389

5 Conclusions

We have made a systematic numerical study of the effect of particle size and shape on the FORC diagram response of SD and SV magnetite particles. We have shown that peak coercivities are higher for SV particles than SD particles, and vertical near-axis peaks (VNAPs)

are an indicator of SV behavior (Figure 2). FORC signals from SV particles can be elusive. 394 SV particles contribute to the positive $B_{\rm u}$ region of the FORC diagram; we recommend 395 that experimentalists routinely measure to high positive $B_{\rm u}$ values as this is currently not 396 routine. Butterfly structures, which have been associated with SV behavior only appear in 397 models for oblate particles just above the SD threshold (Figure 4) have also experimentally 398 been observed for magnetite shells (Chiba et al., 2020). For larger SV particles, i.e., close to 399 195 nm, NPNP structures occur. These NPNP structures are indicative of multiple vortex 400 nucleation/de-nucleation events. In samples with a distribution of particle sizes and shapes 401 the FORCs become more complex still, although these complex FORCs have a distinctively 402 different character from the simple SD or prolate SV patterns. 403

There is some experimental evidence from the literature to support these model findings (e.g., Dumas et al., 2007; Lappe et al., 2011). However, the number of studies on natural samples with an SV-only signal is thought to be limited.

407 6 Open Research

All results reported here were generated using the open source micromagnetic modeling code of O Conbhuí et al. (2018). Supplementary videos, input scripts and geometries used to construct the models in the paper are available at Nagy et al. (2023). Source code for MERRILL is available at https://bitbucket.org/wynwilliams/merrill/ and is provided under a CC-BY-SA 4.0 International license.

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