Near-Source Waveform Modeling to Estimate Shallow Crustal Attenuation and Radiated Energy of Mw 2.0-4.5 Earthquakes

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Abstract

Estimating the radiated energy of small-to-moderate (Mw < 5) events remains challenging because their waveforms are strongly distorted during wave propagation. Even when near-source records are available, seismic waves pass through the shallow crust with strong attenuation; consequently, high-frequency energy may be significantly dissipated. Here, we evaluated the degree of energy dissipation in the shallow crust by estimating the depth-dependent attenuation (Q-1) by modeling near-source (< 12 km) waveform data in northern Ibaraki Prefecture, Japan. High-quality waveforms recorded by a downhole sensor confined by granite with high seismic velocity helped to investigate this issue. We first estimated the moment tensors for M1–4 events and computed their synthetic waveforms, assuming a tentative one-dimensional -model. We then modified the -model in the 5–20 Hz range such that the frequency components of the synthetic and observed waveforms of small events (Mw < 1.7) matched. The results show that the Q-value is 55 at depths of < 4 km and shows no obvious frequency dependence. Using the derived -model, we estimated the moment-scaled energy (eR) of 3,884 events with Mw 2.0–4.5. The median eR is $3.6 \times 10-5$, similar to the values reported for Mw >6 events, with no obvious Mw dependence. If we use an empirically derived Q-model (~350), the median eR becomes a one-order underestimation ($3.1 \times 10-6$). These results indicate the importance of accurately assuming the Q-value in the shallow crust for energy estimation of small events, even when near-source high-quality waveforms are available.

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| 16 | Key Points (<140 characters) |
| 17 | 1. We estimated the shallow Q-structure using high-quality near-source (< 12 km) |
| 18 | seismic data by matching observed and synthetic waveforms. |
| 19 | 2. The shallow (< 4 km) crust has a low Q_s -value (~55), influencing the estimation of |
| 20 | the moment-scaled radiated energy (e_R) of small events. |
| 21 | 3. The e_R of 3,884 M_w 2.0-4.5 events based on the refined Q-model has a median value |
| 22 | of 3.6×10^{-5} and does not show an obvious scale dependence. |
| | |

24 Abstract (242 \leq 250 words)

Estimating the radiated energy of small-to-moderate $(M_w < 5)$ events remains 25 challenging because their waveforms are strongly distorted during wave propagation. 26 Even when near-source records are available, seismic waves pass through the shallow 27 crust with strong attenuation; consequently, high-frequency energy may be 28 29 significantly dissipated. Here, we evaluated the degree of energy dissipation in the shallow crust by estimating the depth-dependent attenuation (Q^{-1}) by modeling 30 near-source (< 12 km) waveform data in northern Ibaraki Prefecture, Japan. 31 32 High-quality waveforms recorded by a downhole sensor confined by granite with high seismic velocity helped to investigate this issue. We first estimated the moment tensors 33 for M_{1-4} events and computed their synthetic waveforms, assuming a tentative 34 one-dimensional Q^{-1} -model. We then modified the Q^{-1} -model in the 5-20 Hz range 35 such that the frequency components of the synthetic and observed waveforms of small 36 37 events ($M_w < 1.7$) matched. The results show that the Q_s -value is 55 at depths of < 4 kmand shows no obvious frequency dependence. Using the derived Q^{-1} -model, we 38 estimated the moment-scaled energy (e_R) of 3,884 events with M_w 2.0-4.5. The median 39 e_R is 3.6×10^{-5} , similar to the values reported for $M_w > 6$ events, with no obvious M_w 40 41 dependence. If we use an empirically derived Q_s -model (~350), the median e_R becomes a one-order underestimation (3.1×10^{-6}) . These results indicate the importance 42 43 of accurately assuming the Q-value in the shallow crust for energy estimation of small 44 events, even when near-source high-quality waveforms are available.

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47 Plain language summary (199 \leq 200 words)

A good understanding of the energy-radiation characteristics of small earthquakes is 48 49 the basis for understanding the differences in the generation processes between small and large events. However, estimating the radiated energy for small ($M_w < 5$) events is 50 51 still challenging because their waveforms are significantly distorted during wave 52 propagation. To evaluate the degree of energy dissipation in the shallow crust, we used high-quality near-source (< 12 km) waveform records from northern Ibaraki Prefecture, 53 54 Japan. We estimated the attenuation structure by matching the synthetic and observed 55 spectral amplitudes in multiple frequency bands. The results show that energy 56 dissipation is fairly large in the shallow (< 4 km) part. We used the derived attenuation structure to estimate the moment-scaled energy (e_R) of 3,884 small events $(M_w 2.0-4.5)$. 57 The median value was 3.6×10^{-5} , which is similar to the values reported for larger ($M_{\rm w}$ 58 59 > 6) events, with no obvious difference in magnitude. If we assume a standard attenuation value, the e_R erroneously becomes too small (median of 3.1×10^{-6}), 60 requiring a significant increasing trend with magnitude. These results indicate the 61 importance of accurately determining the attenuation of the shallow crust to estimate 62 63 the energy radiation of small events, even when near-source records are available.

64

66 1. Introduction

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The precise quantification of energy radiation from small earthquakes provides a 67 basis for understanding the differences and similarities between the physical processes 68 of small and large earthquakes. The most straightforward approach to estimating the 69 radiated energy (E_R) of an earthquake is to analyze seismic waveform records obtained 70 71 at good near-source stations, where wave propagation effects can be negligible (Abercrombie, 1995; Kanamori et al., 1993 and 2020). Kanamori et al. (2020) recently 72 73 employed this type of method to systematically estimate E_R for 29 $M_w > 5.6$ events in 74 the crust of Japan. However, estimating E_R for small ($M_w < 5$) events is generally more difficult than for large events $(M_w > 6)$. This is because high-frequency (f > 5 Hz)75 76 radiated energy, which is significantly dissipated during wave propagation, is critical 77 for evaluating small events.

The energy dissipation during wave propagation is described by the inverse of the seismic quality factor, $Q^{-1} = -\frac{\Delta E}{2\pi E}$, where E is the energy of a seismic wave, and ΔE is the energy lost during one cycle. Assuming $Q^{-1} \ll 1$, amplitude attenuation over elapsed time t is related to Q^{-1} as follows:

$$\ln(A(f)) = -\pi f Q^{-1}(f)t - \gamma \ln(r) + \ln C(f), \tag{1}$$

83 where f is frequency; A(f) is the spectral amplitude of P- or S-waves at a certain 84 station; r is the wave-propagation distance; γ is the exponent of the geometric spreading factor depending on the ray path; and C(f) includes the source- and 85 site-effects on amplitude. Here, Q^{-1} is a combination of amplitude attenuation owing 86 87 to intrinsic absorption and scattering losses (summarized in Sato et al., 2012). If Q-value is constant, the attenuation (the first term on the right-hand side of Eq. 1) 88 increases exponentially as f or t increases. To avoid losing high-frequency 89 information, it is essential to use records with a small t (i.e., a small distance). 90

91 A severe problem in examining small events is the possible strong attenuation 92 (high Q^{-1} , i.e., low Q) of seismic waves in the shallow part of the crust (Anderson & 93 Hough, 1984; Hauksson et al., 1987; Aster & Shearer, 1991). If the Q-value of the 94 shallow crustal is very small, near-source observations can miss substantial 95 high-frequency energy, which is important for estimating the source parameters of

small events (Frankel, 1982; Anderson, 1986; Abercrombie, 1997, 2000; Ide et al., 96 97 2003). Abercrombie (1997) suggested that even granite rocks have a very small Q(<50) at shallow depths (< 3 km), which influences high-frequency waveforms. Such a 98 99 strong shallow attenuation was sometimes empirically estimated as a site effect, 100 represented by parameters such as κ (Anderson & Hough, 1984; Oth et al., 2011; Edwards et al., 2015; Hassani & Atkinson, 2018; Haendel et al., 2023) or f_{max} (Hanks, 101 1982). However, obtaining the depth profile of the Q^{-1} -structure is essential for 102 adequately understanding this effect. Previous studies have estimated Q-values that 103 104 vary with depth (Hough & Anderson, 1988; Lin and Jordan, 2018; Wang et al., 2023), 105 horizontally (Eulenfeld & Wegler, 2017; Prudencio et al., 2018), and in three dimensions (Eberhart-Phillips, 2005; Hauksson & Shearer, 2006; Nakajima & 106 107 Matsuzawa, 2017; Nakamura & Shiina, 2019), with various assumptions. However, few studies have examined the depth variation of the Q^{-1} -structure within the crust in 108 109 detail given that most available data are far from the sources (>15 km).

110 The National Research Institute for Earth Science and Disaster Resilience (NIED) Hi-net operates an excellent borehole seismic station (N.JUOH) in northern Ibaraki 111 112 Prefecture, Japan, which helps to investigate this issue. Site effects usually include 113 both site amplification and shallow attenuation, which makes them difficult to separate. However, the downhole sensor of this station is confined by granite rock with high 114 115 Vs=3.2velocity (Vp=5.4)km/s, km/s, and a depth of 100 m (https://www.kyoshin.bosai.go.jp/cgi-bin/kyoshin/db/siteimage.cgi?0+/IBRH14+kik+p 116 117 df), and the site amplification effect can be well taken into account. Intense seismicity 118 has occurred in this region since the 2011 M9 Tohoku earthquake (Fig. 1; Yoshida et al., 119 2019a), and this downhole sensor records many earthquake waveforms within ten 120 kilometers. Recently, Yoshida (2023) showed that the waveforms recorded at this 121 sensor are clean enough to directly examine the moment-rate function for $M_{\rm w} > 3.3$ 122 events from the P-wave shapes.

123



126 Figure 1. (a) Map showing the location of the study region represented by a red 127 rectangle. The black contour lines show the coseismic slip distribution of the 2011 M9 128 Tohoku earthquake by Iinuma et al. (2012). Crosses indicate the seismic stations used for hypocenter relocation. (b) Magnitude-time diagrams of events in the study region. 129 130 Blue circles with gray bars indicate the earthquake magnitudes in the Japan 131 Meteorological Agency (JMA) unified catalog. The black line denotes the number of 132 earthquakes with an amplitude-based magnitude reported by the JMA ($M_{IMA} \ge 2.0$). (c) The study region. The red cross denotes the station (N. JUOH) whose waveforms are 133 analyzed in this study. Gray circles show the hypocenters of shallow earthquakes (z < 134 135 40 km) with an $M_{IMA} \ge 2.0$ from January 1, 2003, to September 30, 2022. The circle sizes correspond to the diameters of Eshelby's (1957) circular fault with a stress drop of 136 3 MPa. Beach balls show the moment tensor solutions by the F-net catalog. 137

139 The use of clean waveforms at near-source (< 12 km) distances helps examine 140 shallow attenuation in detail because propagation path effects generally accumulate 141 with increasing distance, and multipath effects appear at distant stations. In this study, 142 we used the high-frequency waveforms (5-20 Hz) recorded by this sensor to estimate 143 the Q-structure in the shallow crust. In estimating Q-value, many previous studies used various assumptions, such as the ω^2 -model (Brune, 1970), negligible effects of rupture 144 145 directivity, and spatially uniform and/or frequency-independent Q-condition. However, we did not use such assumptions, taking advantage of the sufficient signal-to-noise 146 147 ratios of small ($M_w \leq 1.7$) events. We modeled the propagation effect using synthetic waveforms based on one-dimensional (1-D) structures of Q-value, seismic velocity, 148 149 and density. The use of synthetic waveforms can naturally incorporate the effects of near-field and intermediate terms, geometrical spreading, impedance contrast, and 150 151 surface reflections above the downhole sensor. Based on the estimated Q^{-1} -model, we systematically estimate the e_R for small (M_w 2.0-4.5) events. 152

Subsequent sections are organized as follows. We estimate the 1-D velocity model and moment tensor solutions of small events (Subsection 2) to compute synthetic waveforms. We then compare the observed waveforms for small ($M_w \leq 1.7$) events with the synthetic waveforms (Section 3). This comparison allowed the estimation of the depth-dependent Q^{-1} -structure. Finally, we use the obtained depth-dependent Q^{-1} -structure to estimate the radiated energies of small events (Section 4).

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160 2. Estimation of input parameters for synthetic waveform computation

161 We retrieved information to compute the synthetic waveforms of small events for comparison with the observed waveforms. We first estimate the 1-D velocity structure 162 and earthquake hypocenters (Subsection 2.1). We also derived the moment tensors for 163 164 events that were sufficiently small to avoid the finiteness effect on seismic waveforms 165 in the frequency band of interest (< 20 Hz) (Subsection 2.2). The waveform of an 166 earthquake is affected not only by path and site effects but also by the rupture process. Often, corrections are made using the ω^2 -model (Aki, 1967; Brune, 1970), but it has 167 become clear in recent years that complex events deviating from the ω^2 -model are not 168

uncommon even for small events (Uchide & Imanishi, 2016; Pennington et al., 2023;
Yoshida & Kanamori, 2023). Thus, we used the waveforms of very small events to
avoid the influence of their source processes in the used frequency band.

172

173 2.1. Hypocenters and depth-dependent velocity structure

Here, we refine the 1-D velocity model for detailed comparisons between the synthetic and observed waveforms. The joint determination method of Kissling et al. (1994) was used to determine the 1-D velocity model and earthquake hypocenters. Both for the 1-D velocity structure estimation and hypocenter relocation, the data were the arrival times of the P- and S-waves of events derived from the JMA-unified catalog. Figure 1(a) shows the station distribution; we included data within 30 km of the epicenter.

181 We first use 1,256 $M_{IMA} \ge 3$ events to estimate 1-D seismic velocities and station 182 corrections. We adopted the hypocenters listed in the JMA-unified catalog as the initial 183 locations. For the initial velocity model, we adopted the model proposed by Hasegawa 184 et al. (1978) that was used for routine processing at Tohoku University (broken line in Fig. 2b). The JMA2001 model (Ueno et al., 2002) was also tested as the initial velocity 185 186 model. However, this model exhibits an abrupt velocity change at a depth of 3 km. This 187 change in velocity generated reflected waves that did not appear in the observed 188 waveforms. Therefore, we chose the model of Hasegawa et al. (1978) because it has no 189 abrupt velocity changes in the shallow part. We added a low-velocity layer at depths < 190 10 m, according to that reported by the NIED. The depth variation in seismic velocity 191 was approximated using 16 layers.



193

Figure 2. Epicenter distribution and the one-dimensional (1-D) models of seismic velocity, Q-value and density. (a): Epicenter distribution. The size of the circle represents the diameter of the circular fault in Eshelby (1957), assuming a stress drop of 3 MPa. The lines from A to I represent the locations of the cross sections in Fig. S1. (b), (c), and (d): 1-D models of seismic velocity, Q-value, and density, respectively. The broken line in (b) shows the initial model.

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202 We set station N.JUOH (red cross in Fig. 1c and 2a), which is closest to the 203 epicenters and has a high seismic velocity at the sensor depth, as the reference station 204 for which the station correction of the P-wave arrival time is assumed to be zero. The 205 velocity structure obtained is shown in Fig. 2(b). The obtained model is similar to the 206 initial model. However, the change in velocity structure and the introduction of the 207 station corrections reduced the mean arrival time residual from 0.10 s to 0.057 s. The 208 station corrections obtained are shown in Table S1, where the correction value for the 209 S-wave at N. JUOH was 0.01 s.

Using the obtained velocity model and station corrections, we relocate the hypocenters of 35,336 events with $M_{\text{JMA}} \ge 1$ in the JMA catalog. Figure 2(a) and S1 show the relocated hypocenters. The mean standard errors of the hypocenters are 0.19 km, 0.12 km, and 0.24 km in longitude, latitude, and depth, respectively. Even though we did not use the relative relocation method based on precise waveform correlation data, we obtained a detailed fault structure (Fig. S1) owing to the stations directly above the earthquakes.

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- 218

8 2.2. Moment tensors of M_{JMA} 1–4 events

We determined the moment tensors for events whose source durations were sufficiently small to be negligible in the frequency band of interest (< 20 Hz). The source corner frequency of an M_w 1.7 event is approximately 32 Hz, according to Brune's (1970) source model, with a typical stress parameter of 3 MPa in the crust of Japan (Yoshida & Kanamori, 2023) and a focal depth of 10 km. Thus, we attempt to estimate the moment tensors for events with M_w 1.7 or less.

We estimated the moment tensors of small events from March 2003 to July 2022 using the amplitudes of direct P- and S-waves. To avoid path and site effects, we corrected the amplitudes using those of a reference event with a known focal mechanism. We used the procedure of Yoshida et al. (2019b), which follows Dahm (1996), and utilized the amplitude ratios of the waveforms among different events.

Figure 1(a) shows the distribution of the seismic stations used. Our data represent 230 the amplitude ratios of the P, SH, and SV waves of each target event to those of the 231 reference events. By limiting the distance between the target and reference events to 232 less than 3 km, we first computed the cross-correlation coefficient of each phase 233 234 between each pair of target and reference events. If the coefficient was > 0.8, the 235 amplitude ratio was derived. If amplitude ratio data were obtained from more than eight 236 stations, the moment tensor of the target event was determined by solving the linear 237 equation of Dahm (1996). When there were multiple reference events for each target 238 event, we used the amplitude ratio data from all events. We compute 2,000 focal mechanisms for each target event based on bootstrap resampling of the amplitude ratio 239

data. The difference between the focal mechanisms and the best solution was measured
using the 3-D rotation angle (Kagan, 1991). We discarded the results if the 95%
confidence interval was greater than 30°.

243 The analyzed frequency range must be smaller than the source corner frequencies of both the target and reference events. We first used a frequency range of 0.8-2.0 Hz 244 245 to estimate the moment tensors for $M_{\rm JMA}$ 2-4 events. We used the F-net moment tensor catalog (Kubo et al., 2002) to select the reference events. We adopted 217 events with 246 247 $3.5 < M_w < 4.0$ and values of variance reduction greater than 70% (Figs. 3a and d). Using 248 these events as references at the relocated locations, we obtained moment tensor 249 solutions for 7,952 $M_{\rm JMA}$ 2-4 events, including 6,683 events with an $M_{\rm w}$ of 3 or less 250 (Figs. 3b and e).

Next, to derive the moment tensors for smaller events, we used $M_w < 3$ events, for which the moment tensors were estimated (Fig. 3b) as reference events. Only events with a 95% confidence range smaller than 25° are adopted as reference events. We selected a frequency band of 2–5 Hz, which provided sufficient S/N for events in this magnitude range. As a result, we newly derived moment tensors for 23,811 events with a 95% confidence range of less than 30° (Figs. 4c and f). Of these, 2,340 events have an M_w of 1.7 or less, which are used in the following analysis.

Figures 3(b) and (c) show the spatial distribution of the moment tensors in the first and second processes, respectively. The results show that most events in this region have normal fault-type focal mechanisms, which is consistent with the typical fault type in this region (Fig. 1a; Kato et al., 2011; Yoshida et al., 2015).

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266 Figure 3. Moment tensor solutions. (a): reference events with $3.5 < M_w < 4.0$ and variance reduction greater than 70% from the F-net catalog (n=217). Locations of the 267 268 F-net focal mechanism are shifted in the relocation results. (b): Events with $M_{\rm JMA}$ 2.0-4.0 whose moment tensors were determined by the first processing (n=7,952). (c): 269 270 Events with $M_{\rm JMA}$ 1.0-2.0 whose moment tensors were determined by the second processing (n=23,811). (d), (e), and (f): histograms of M_w for the datasets in (a), (b), 271 272 (c), respectively.

274 3. Comparison between observed and synthetic waveforms

275 **Computation of synthetic waveforms** 3.1.

276 We estimated the Q-structure by comparing the observed waveforms obtained at the downhole sensor of the N.JUOH station with those of its synthetic counterparts. We 277 278 must assume a tentative Q-structure to compute the synthetic Green's functions. We use 279 Brocher's (2008) empirical relationship to assume the Q-value, following previous studies (e.g., Yamaya et al., 2022). Density was determined from the P-wave velocity 280

281 (Ludwig et al., 1970) as follows:

282
$$\rho(g/cm^3) = 1.6612Vp - 0.4721Vp^2 + 0.0671Vp^3 - 0.0043Vp^4 + 0.000106Vp^5$$
. (2)

283 The Q_p (Q of the P-wave) and Q_s (Q of the S-wave) were determined from the S-wave 284 velocity as follows:

285
$$Q_s = -16 + 104.13V_s - 25.225V_s^2 + 8.2184V_s^3$$
(3)

286

$$Q_s = 13 \text{ for } V_s < 0.3 \text{ km/s}$$
 (4)

287

 $Q_p = 2Q_s \tag{5}$

288 The depth profiles of the density, Q_p , and Q_s are shown in Fig. 2(c) and (d).

289 We computed Green's functions using the code of Zhu and Rivera (2002) based on the wavenumber integration method. We chose a frequency range of 5-20 Hz. We only 290 use events with $M_{\rm w} \leq 1.7$ and set the source duration for the synthetic waveform 291 292 calculation to 0.01 s (triangle), which is short enough for the analysis bandwidth. Note 293 that the actual source durations of these events are diverse, but the low-pass filter 294 removed that information. We use the moment tensor solutions obtained in Section 2. 295 However, synthetic waveforms sometimes do not explain the polarities of the observed waveforms well because of errors in the moment tensors. We modified the focal 296 297 mechanisms slightly to better explain the observed three-component waveforms at the 298 N.JUOH station. In particular, we conducted a grid search for the double-couple moment tensor (Fig. S2), within 35 °of the 3-D rotation angle (Kagan, 1991) of the 299 300 previous solution. A low frequency of 1.2-3.0 Hz is used to avoid the attenuation 301 effects. We removed results with poor agreement between the synthetic and observed 302 waveforms (variance reduction < 50%), leaving 1,006 events (Fig. S3). M_w was, on 303 average, 0.4 larger than $M_{\rm JMA}$ for these events (Fig. S4). The tendency of $M_{\rm w}$ to be larger than the $M_{\rm JMA}$ for small ($M_{\rm w} < 3$) events has been reported in previous studies in 304 305 this region (Uchide & Imanishi, 2016) and other regions of Japan (Edwards and Rietbrock, 2009; Yoshida et al., 2017) as well. 306

Figure 4 shows the synthetic waveforms (displacements; f < 25 Hz), the patterns of which agree well with the observed waveforms. We computed the cross-correlation coefficient between each pair of observed and synthetic waveforms for the 2–10 Hz frequency interval. The median values for the vertical, radial, and transverse 311 components were 0.75, 0.73, and 0.75, respectively (Fig. S5). In the observed 312 transverse component waveforms, direct waves were sometimes followed by 313 large-amplitude waves that were not observed in the synthetic waveforms, which may 314 have been affected by unmodeled factors. Hereafter, we refer to the vertical component. 315



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Figure 4. Comparison of observed and synthetic waveforms (displacement waveform). (a) Observed (above) and synthetic waveform (below) for vertical (left), radial (center), and transverse (right) components. (b), and (c): comparison of observed and synthetic spectra for the vertical components of P- and S-waves, respectively. (d) Residuals between observed and synthetic spectra. The blue curve shows the P-wave, and the red curve shows the S-wave. The dashed lines represent the fitted lines.

324

The Q-model assumed above is based on an empirical relationship with seismic wave velocities. The Q-model was refined based on the spectral discrepancies. We cut the P- and S-wave windows from the observed and synthetic waveforms. Their spectra were computed using the FFT algorithm, as shown in Figs 4(b) and (c). The P-wave window is cut from 0.1 s before the arrival to 0.5 s after, and the S-wave window is cut from 0.1 s before the arrival to 1.0 s after. We computed the logarithmic residuals of the observed spectra $O_i(f)$ and synthetic spectra $S_i(f)$ of the i-th event as follows:

332
$$\ln R_i(f) = \ln O_i(f) - \ln S_i(f).$$
 (6)

Figure 4(d) shows an example. We used the residual spectrum $R_i(f)$ to refine our 1-D Q-model. If the assumed Q-model is appropriate, $\ln R_i(f)$ should be close to 0; however, if the assumed Q-model is inappropriate, $\ln R_i(f)$ can differ substantially from 0.

337

338 **3.2.** Frequency dependence of residual spectra

We aim to estimate $\Delta(Q^{-1}(z)) = Q_{\text{mod}}^{-1}(z) - Q_{\text{org}}^{-1}(z)$, the difference between the 339 assumed Q^{-1} -model $(Q_{\text{org}}^{-1}(z))$ and the optimal one $(Q_{\text{mod}}^{-1}(z))$, where z is depth. First, we 340 examined the frequency-dependent trend of $R_i(f)$. If $\Delta(Q^{-1}(z))$ does not depend on 341 frequency and depth, $\ln R_i(f)$ changes with f in proportion to $-\pi\Delta(Q^{-1})t_0f$ (Eq. 1), 342 where t_0 is travel time. We measure the slope, a, of $\ln R_i(f)$ in the range of 2-20 Hz 343 (Fig. 4d), and obtain $\Delta t^* = -a/\pi$, which represents $\Delta(Q^{-1})t_0$, for each event. Figures 344 345 5(a) and (c) show the histograms of Δt^* for the P-wave (Δt_p^*) and S-wave (Δt_s^*). Δt_s^* is 346 positive in almost all cases (99%), with a median value of 0.0123. The median 95% confidence range of Δt_s^* estimated by bootstrapping is 0.0118 to 0.0127. The 347 348 significantly positive value suggests that the actual attenuation is stronger at higher frequencies than predicted by the model (i.e., $\Delta(Q^{-1})$ is positive). In the case of 349 350 P-waves, the median of Δt_p^* is approximately 0.0023, with the median 95% confidence 351 interval ranging from 0.0117 to 0.0127. There are many events with negative Δt_n^* 352 (33%). Still, in both P- and S-waves, Δt^* tends to increase as the horizontal distance 353 increases (Figs. 5b and d).



Figure 5. Characteristics of Δt^* . (a) and (b): Δt^*_s and Δt^*_p , respectively. (c) and (d): 357 358 Δt_s^* and Δt_p^* , respectively, versus epicentral distance.

359

We computed that $\Delta q^{-1} = \Delta t^*/t_0$. Δq^{-1} coincides with $\Delta(Q^{-1})$ when $\Delta(Q^{-1})$ is 360 constant in frequency and has no spatial variation. Figures 6(a) and (c) show the 361 histograms of $\Delta q^{-1} =$ for P-wave (Δq_p^{-1}) and S-wave (Δq_s^{-1}) . The median value of Δq_s^{-1} 362 363 is approximately 0.0039 and shows no clear horizontal distance dependence (Fig. 6b). This suggests that the horizontal distance dependence observed in Δt_s^* is essentially 364 explained by the increasing total attenuation due to increasing propagation distance. If 365 we use $Q_{\rm org} = 350$ for the depth-average initial $Q_{\rm org}^{-1}$ -value (Fig. 3c), we obtain 366

 $Q_{\rm s} = 1/(Q_{\rm org,s}^{-1} + \Delta q_{\rm s}^{-1}) = 148$. For the P-wave, $\Delta q_{\rm p}^{-1}$ was distributed around 0 (Fig. 6c), but the median value is positive (0.0013). If we use $Q_{\text{org}} = 700$ for the depth-average initial $Q_{\text{org-value}}$ (Fig. 3c), we obtain $Q_p = 1/(Q_{\text{org-p}}^{-1} + \Delta q_p^{-1}) = 366$.



Figure 6. Characteristics of Δq^{-1} . (a) and (c): Histograms of Δq^{-1} of S- and P-waves, respectively. (b) and (d): Δq^{-1} versus lateral distance for the S- and P-waves, respectively.

4. Estimation of Q-structure and radiated energies of small events

379 4.1. Refinement of the Q-model

380 In the previous section, we made a rough estimate of the Q-value, assuming that the $\Delta(Q^{-1})$ is constant along the path and independent of frequency. We here eliminate 381 382 the above assumptions and estimate depth-dependent $\Delta(Q^{-1}(f))$ and Q-value. We divided the frequency range into five bands: (1) 5-8, (2) 8-11, (3) 11-14, (4) 14-17, 383 384 and (5) 17-20 Hz. To avoid the influence of subtle errors in the focal mechanisms on 385 the spectral amplitudes, we multiplied the amplitudes of the synthetic spectra by a 386 constant, such that the mean amplitudes of the synthetic and observed spectra matched 387 at low frequencies (1.5-3 Hz). We compute the mean value of $\ln R_i(f)$ in the k-th frequency band for each event r_{ik} . 388

Figures 7(a), (c), (e), (g), (i), (k), (m), (p), (q), and (s) compare the r_{ik} of the 389 1,006 events with epicentral distances for the P- and S-waves. The red line represents 390 the average value of r_{ik} of different events. r_{ik} is scattered but tends to significantly 391 392 decrease with increasing distance at high (> 14 Hz) frequencies, especially for the S-wave. At f > 14 Hz (Figs. 7a and e), r_{ik} is as small as -2 for the S-wave, even at short 393 394 distances. The same tendency is observed for the P-wave, although not as pronounced 395 as that for the S-wave. These trends suggest that the observed spectra $O_i(f)$ are subject 396 to stronger attenuation than the modeled spectra $S_i(f)$.

397



Figure 7. Relationship of the spectral residuals $\ln R_i(f)$ with the epicentral distance. (a), (c), (e), (g), (i), (k), (m), (o), (q), and (s) show the observed $\ln R_i(f)$ for different frequency windows and wave types. The red line represents the average value. (b), (d), (f), (h), (j), (l), (n), (p), (r), and (t) show the relationship between the residuals and the distance expected for the estimated Q-structure shown in Fig. 8.

407 If the $M_w \leq 1.7$ events used included those with corner frequencies smaller than 408 the respective frequency bands, $\ln R_i(f)$ should be smaller than 0 for them due to the 409 source finiteness effects. However, the high-frequency residuals are larger not only in 410 some of those outliers but, on average, than would be inferred from the ω^2 -model. 411 Moreover, the distance attenuation observed in our results cannot be explained by the 412 source finiteness of small events, which indicates that the obtained residual trends are 413 due to the attenuation effects during wave propagation.

We modify the Q-value of each layer for each frequency band such that the synthetic spectral amplitudes agreed better with their observed counterparts. We refer to the original Q-value at the j-th layer as $Q_{\text{org},j}^{-1}(f)$ and the modified Q-structure as $Q_{\text{mod},j}^{-1}(f)$, and attempt to estimate $\Delta(Q_j^{-1}(f)) = Q_{\text{mod},j}^{-1}(f) - Q_{\text{org},j}^{-1}(f)$. Using the time Δt_{ij} required for the direct wave of the i-th event to pass through the j-th layer, r_{ik} can be related to $\Delta(Q_j^{-1}(f))$ as follows:

420

$$r_{ik} = -\pi f \sum_{j=1}^{n_{lay}} \Delta(Q_j^{-1}(f_k)) \Delta t_{ij},$$
(6)

421 where f_k is the mean frequency of the kth frequency band, and $n_{lay} = 16$ is the number 422 of layers. Using equations from multiple events (number: $n_{eve} = 1006$), we write this 423 equation system in vector form for each frequency band (i.e., k fixed) as follows:

424

$$\boldsymbol{r}_{\mathrm{k}} = -\pi f T \boldsymbol{m}_{\mathrm{k}},\tag{7}$$

where r_k is a vector of n_{eve} -raw, containing r_{ik} (i = 1,..., n_{eve}), m_k is a vector of 425 n_{lay} -raw containing $\Delta Q_j^{-1}(f_k)$ $(j = 1, \dots, n_{lay})$, and $T = (\Delta t_{ij})$. We calculate Δt_{ij} based on 426 the hypocenters and velocity structure shown in Fig. 3(b). m_k was solved using the 427 428 damped least-squares method, with the damping parameter determined by the tradeoff 429 curve with the residuals. Through trial and error, we found that an adjustment of the Q-values in the four shallow (z < 4.2 km) layers was almost sufficient to explain our 430 431 data. Therefore, to avoid instability, we increased the damping factor of the deeper 432 layers by a factor of five over that of the shallower layers and focused on the changes in 433 the shallow layers.

Figure 8 shows the obtained 1-D Q-model $(Q_{\text{mod}_j}^{-1}(f_k))$ for 17-20 Hz (k = 5) for the 435 P- and S-waves. The mean values of Q_s and Q_p at depths < 4 km were approximately 436 55 and 105, respectively $(Q_p/Q_s = 1.9)$, which were much smaller than the original 437 values at depths shallower than 4 km ($Q_s = 350$, $Q_p = 700$). The modification of the 438 Q-model reduces the RMS residual from 1.65 to 0.68 for the S-wave and 0.88 to 0.70 for the P-wave. In the second layer, at a depth of 10-110 m, the obtained Q-value was 439 440 high ($Q_s = 250$, $Q_p = 509$), but the time elapsed through this layer was so short that it 441 had little effect on the spectral amplitudes. This high value is apparent because of the damping to the initial value. Therefore, we changed the Q_s - and Q_p -values for the 442 443 second layer to the same values as those for the 0-10 m depth ($Q_s = 34$, $Q_p = 69$). These 444 changes in residuals were less than 0.01%, and the residuals were rather reduced.

445 Figures 7(b), (d), (f), (h), (j), (l), (n), (p), (r), and (t) show the distance dependence of the calculated r_{ik} when the actual Q-structures are as shown in Fig. 8 446 (17-20 Hz). The refined Q-model reproduces the decreasing trend with observed 447 distance well due to this low Q-value. The result that the actual Q-value is much 448 449 smaller than the assumed initial model is consistent with the results based on the 450 frequency-dependent amplitude decay in Subsection 3.2. However, the specific Q-values obtained here are lower than the estimates in Subsection 3.2 from the 451 frequency-dependent amplitude decay ($Q_s = 148, Q_p = 366$) at shallow depths. The 452 results obtained in this study, which do not pre-suppose a specific frequency 453 454 dependence of Q, are deemed more reasonable than those assuming a constant Q-value 455 in both frequency and space.

456

457



460

461 Figure 8. Estimated Q-structure (17-20 Hz). (a) Q_p and (b) Q_s . The solid and dashed lines represent the derived and initial models, respectively. The light-colored lines 462 463 indicate the 1,000 results based on bootstrap resampling.

465 We performed 1,000 bootstrap samplings of the events to estimate the uncertainty 466 in the Q-values and obtained 1,000 results (Fig. 8). Uncertainties in the Q-values were 467 small at depths shallower than 4 km. Most waves pass through a layer shallower than 10 468 km (Fig. S6), and the results for the deeper layers were not reliable. The Q-values 469 estimated at different frequencies and predicted residuals are shown in Figs. S7 and S8. 470 The Q-values varied with the frequency band. However, in the lower-frequency bands, the influence of the assumed Q-structure is small, owing to the short distances. Note 471 472 that even at a constant Q-value, the attenuation is stronger at higher frequencies (Eq.

473 1). Even when using the Q-values obtained for the 17–20 Hz range, the features on the
474 lower-frequency side appear to be roughly explained (Fig. 7). The mean RMS residuals
475 increase only from 0.62 to 0.71 for the S-wave (1.08 for the initial model) and from
476 0.61 to 0.73 for the P-wave (0.83 for the initial model) even if we use the Q-values
477 obtained for the 17–20 Hz range in the entire frequency band.

478

479 4.2. Radiated energy of small events

Based on the refined Q-model, we estimated the radiated energies of the $M_{\rm w} 2.0$ -480 481 4.5 events for which we obtained moment tensor solutions (Figs. 3a and b). We used the Q-model obtained at 17-20 Hz for the entire frequency band because this model 482 generally explains the trend for all frequencies (Fig. 8). We only included events within 483 an epicentral distance of 12 km and used waveforms obtained at a single near-source 484 485 station (N.JUOH). Although the radiation patterns of earthquakes become obscure with 486 increasing frequency and distance (Takemura et al., 2009), at near-source distances, the 487 radiation patterns are preserved even at high frequencies (Trugman et al., 2021). The 488 data are the vertical components of the S-wave at the N.JUOH station because of the synthetic fitness (see Fig. 4). We remove the instrumental response and use the 489 490 frequency range of $f < f_{\rm h} = 25$ Hz.

To account for the wave propagation effects, we used synthetic waveforms. We recomputed the synthetic waveform based on the refined *Q*-model for each target event with a source duration of 0.01 s, unit seismic moment, and the same focal mechanism as the target event. The duration is sufficiently short from the upper limit of the analyzed frequency band, in which the synthetic waveforms have only information on wave propagations and focal mechanisms.

497 We estimated the moment rate spectrum $\dot{M}(f)$, by dividing the spectral 498 amplitudes of each observed waveform by those of the synthetic waveform. We 499 estimated the radiated energy from $\dot{M}(f)$ based on Vassiliou and Kanamori (1982):

500
$$E_R = 8\pi \left(\frac{1}{15\rho\alpha^5} + \frac{1}{10\rho\beta^5}\right) \int f^2 \dot{M}^2(f) df$$
(3),

501 where ρ is the density, α is the P-wave velocity, and β is the S-wave velocity at the

502 source. High-frequency amplitudes above high-cut frequency $f_h=25$ Hz are corrected 503 based on the method of Snoke (1987). This method assumes that the amplitude 504 decreases in proportion to f^{-2} at higher frequencies than f_h .

505 We obtained E_R for 3,884 events with M_w 2.0-4.5. As the estimates are based on 506 a single station, individual estimates are strongly affected by rupture directivity 507 (Venkataraman & Kanamori, 2006; Yoshida, 2019). Therefore, we focused on the statistical characteristics. Figure 9(a) shows the $e_R = E_R/M_0$ for the 3,884 events. The 508 median e_R for the events is 3.6×10^{-5} , which is similar to the median values (3×10^{-5}) 509 510 obtained in the crust of Japan by Kanamori et al. (2020) and Yoshida and Kanamori 511 (2023) for larger events ($M_w > 5.6$ and $M_w > 3.0$, respectively). This value is similar to 512 those estimated for earthquakes worldwide (e.g., Ide & Beroza, 2001).

Figure 9(b) shows the relationship between e_R and M_w . We divided the dataset 513 into 20 bins according to M_w and computed the median values for each bin with the 514 515 same number of e_R data and the 95% significance intervals using 1,000 bootstrap 516 resamplings. The results do not show a clear scale-dependent trend in the $M_w 2.0-4.5$ range. Upon closer inspection, it appears that the e_R increases slightly with M_w 517 between the $M_w 2.5-3.5$ events. However, the frequency range we were able to use is up 518 519 to $f_h=25$ Hz, beyond which we extrapolated the source spectra using the ω^2 -model 520 (Snoke, 1987). The effect of correction is greater for smaller events as they have higher dominant frequencies, making it difficult to discuss this slight difference (< 30 %). The 521 522 depth dependence of the source parameters is controversial (Abercrombie et al., 2021), 523 but our estimated e_R is almost depth-independent at depths from 3 to 10 km (Fig. 9c).

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Figure 9. Results of scaled energy e_R . (a): Histograms of e_R . (b) and (c): e_R compared 529 530 with moment magnitude M_w , and depth, respectively. Small gray circles represent 531 individual results; large circles represent the median of each $M_{\rm w}$ range. The vertical line represents the 95% confidence range of the median value. The horizontal line 532 533 represents the $M_{\rm w}$ range.

5. Discussion 535

We obtained small Q_s -values for the shallow (< 4 km) crust, averaging ~55, 536 537 based on a comparison of observed and synthetic seismic waveforms of events with M_w < 1.7. The use of near-source waveforms at a hard rock site allowed us to confirm that 538 539 the shapes of the observed and synthetic waveforms were similar and did not include 540 distinct reflected or converted waves, which were not considered in the model. In 541 estimating the Q-value, many previous studies used various assumptions about the source spectra, such as the ω^2 -model and negligible effects of rupture directivity, and 542 543 Q-condition, such as spatially uniform and/or constant regardless of frequency. 544 However, we did not make these assumptions. Our only assumption is that at f < 20Hz, the duration of the moment rate function for $M_{\rm w} < 1.7$ events is small enough to be 545

546 considered a delta function (i.e., flat spectral amplitude). Using the obtained Q_s -model, 547 we estimated the moment-scaled seismic radiated energy, $e_R = E_R/M_0$, for more than 548 3,884 events with M_w 2.0–4.5 and obtained a median value of 3.6×10^{-5} , similar to that 549 estimated for $M_w > 6$ earthquakes in Japan (Kanamori et al., 2020), without obvious 550 scale dependence.

551 Previous studies examined the frequency dependence of the Q_s -value by assuming a spatially uniform Q_s condition. It has been suggested that the Q_s -value 552 increases proportionally with frequency $(Q \propto f^n, n = 1)$ in the upper lithosphere (e.g., 553 554 Sato et al., 2012). In such situations, the spectral amplitudes of the high- and low-frequency components decrease by the same amount during wave propagation (Eq. 555 1). Therefore, the high-frequency amplitude did not decay faster than the 556 low-frequency amplitude. However, our results show stronger attenuation at higher 557 558 frequencies. Some studies obtained smaller values of n = 0.6 - 0.9 (Aki, 1980; 559 Kinoshita, 1994; Moya & Irikura, 2003; Oth et al., 2010), in which case the attenuation 560 effect becomes slightly stronger at higher frequencies. However, our observations are essentially explained even when a constant Q_s -value of ~55 is assumed at 1–20 Hz (Fig. 561 562 7). If Q_s -values in the study region significantly increase with frequency, the Q_s -value 563 at low frequencies needs to be unrealistically small (~12 at 1 Hz for n = 0.6 and ~4 for 564 n = 1). This suggests that, in the shallow crust of this region, Q_s increases much more slowly than f. 565

Owing to the various assumptions in estimating Q-value in different studies, it is 566 567 not straightforward to directly compare the results. In several regions of the upper lithosphere of Japan, Q_s -values have been estimated using different methods and 568 assumptions; Yoshimoto et al. (1993), Takahashi et al. (2005), and Yoshida et al. 569 570 (2017) used the coda normalization method of Aki (1980) and obtained Q_s -values of > 300 at 20 Hz. These studies essentially assumed spatially uniform Q_s -values and 571 572 examined the distance decay of seismic wave amplitudes at relatively long distances (> 20 km) outside particular source regions. Their Q_s -estimates were much larger than 573 those of the shallow crust in this study ($Q_s = 55$), possibly because of the different 574 575 depth sensitivities between their analysis and ours. The seismic waves used in their 576 analyses mainly propagated at depths > 10 km because of large epicentral distances, 577 and the distance decays were more strongly affected by attenuation at deep levels. In 578 contrast, the present study only used records with epicentral distances less than 12 km 579 from shallow (<10 km) events, and the results essentially represented shallow 580 structures. The difference in these estimates may indicate that the shallow Q_s -value is 581 much smaller than the deep Q_s -value. Yoshida et al. (2017) reported that their 582 Q_s^{-1} -model based on the distance decay of waveform amplitudes, was insufficient to 583 explain the observed high-frequency energy loss of earthquake spectra event at a 584 hard-rock site. They suggested that stronger attenuation exists in the shallow crust.

To observe the effect of strong depth variations in Q-values on the distance 585 decay of seismic wave amplitudes, we examined synthetic waveforms based on our 586 refined model. We assume a normal-fault event (strike of 90°, dip of 45°, and rake of 587 -90°) with M_w of 4 and source duration of 0.01 s (triangle) as the source. Synthetic 588 589 waveforms were computed at epicentral distances ranging from 2 km to 100 km, with 590 station azimuths of 15°, 30°, and 45°, given the symmetry of the radiation pattern. We 591 calculated the S-wave spectra 0.3 s before the arrival to 4.0 s after and obtained the 592 median amplitude, A, in the 17-20 Hz band, and multiplied them by the propagation 593 distances, r, to remove the effects of geometrical spreading by assuming $\gamma = 1$ in Eq. 594 (1). Figure 10(a) compares travel time t with Ar for the vertical component, showing a weak decreasing trend owing to Q. For comparison, we also calculated the amplitude 595 attenuation for two uniform Q-values (Q_s =400 and Q_s =55) based on Eq. (1). The 596 597 overall distance decay pattern of the synthetic waveform amplitudes appeared to follow 598 the prediction from a uniform value of Q_s =400, corresponding to the average value on 599 the deeper side (> 8 km). If we fit Eq. (1) to the synthetic amplitudes by assuming a uniform Q_s -value, we obtain Q_s =443, although Q_s is approximately 55 on the shallow 600 601 (< 4 km) side of the model used. Figure 10(b) shows the S-wave amplitudes based on 602 the reference model (Q_s -value of approximately 350 for depths < 4 km), whose decay 603 pattern is similar to that of the revised model (Fig. 10a). If we fit Eq. (1), we obtained Q_s =516. The results for the radial and transverse components show a similar trend (Fig. 604 S9). These results indicate that the distance decay of the seismic amplitude at far 605

606 distances (> 30 km) is greatly affected by the deep Q-value and does not provide much 607 information on the shallow Q-value. However, such a small Q-value at a shallow level 608 strongly affects the estimation of the source parameters for small events.

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612 **Figure 10**. Amplitude decay of the synthetic waveforms against travel time. (a) Results 613 based on the refined model (Fig. 8a), and (b) results based on the original model (Fig. 614 2c) for three different station azimuths. Circles show the median spectral amplitudes of 615 the synthetic waveforms in the 17–20 Hz band. Black solid and broken lines show the 616 distance decay pattern predicted from models with $Q_s = 400$ and $Q_s = 55$, respectively, 617 independent of depth. The blue line shows the result obtained by fitting Eq. (1) to the 618 observed data.

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A possible reason for the low Q_s -value in the shallow crust of the study region is that it is seismically active. Faults and fracture zones exist (Kato et al., 2013; Yoshida et al., 2015), and seismic waves may attenuate as they pass through them. Abercrombie (2000) showed that Q-varies greatly with rock type and that the Q-value in fault zones may be small (Q_p =50, Q_s =80). Estimations using trapped waves in the fault zone also
indicated that the Q-value in the fault zone can be very small (Qiu et al., 2017). Additionally, previous studies have suggested that fluids exist in fault zones in this region and affect the intense seismicity (Kato et al., 2013; Umeda et al., 2015; Yoshida et al., 2015; Zhao et al., 2015; Usuda et al., 2021). Yoshida (2021) examined the seismic waves in a fluid-driven earthquake swarm in Japan and suggested that the near-source (< a few kilometers) Q_s -value was very small (< 50) during intense fluid migration. The presence of fluid may have resulted in small Q_s -values in this region.

632 Alternatively, such a low Q-value may not be uncommon in shallow crust. Hauksson et al. (1987), using deep borehole records, derived a similar value of $Q_s = 30$ 633 at a depth of 0.4-1.5 km in the Newport-Inglewood fault zone, Los Angeles basin. 634 635 Similarly, many previous studies have shown that Q-value is very small at shallow 636 depths (Anderson, 1986; Frankel, 1992; Kinoshita & Ohike, 2002; Fukushima et al., 2016). Many of the aforementioned studies assumed that Q-value is constant in 637 638 frequency, with a small value indicating that the observed amplitudes are strongly attenuated at higher frequencies. Such strong shallow attenuation is sometimes treated 639 separately from the average Q, and referred to as κ (Anderson & Hough, 1984; 640 641 Edwards et al., 2015; Hassani & Atkinson, 2018). Although such reports are often made 642 for sedimentary layers, Abercrombie (1995) obtained a small value (~20) at a depth of 643 < 3 km from the Cajon Pass borehole stations in southern California. Hauksson and 644 Shearer (2006) obtained Q_p - and Q_s -values of approximately 100 in the crust of 645 Southern California at depths < 5 km. Thus, the high attenuation in the shallow crust 646 obtained in this study may not be unique, but rather common. Because the depths of the events were > 4 km (Figs. S1 and S3), the depth variations in the Q_s values shallower 647 than 4 km were not resolved well in our analysis. A value of approximately 55 at a 648 depth of < 4 km represents the average value for layers in this depth range. The trend of 649 650 very few earthquakes at depths < 4 km in this region (Figs. S1 and S3) may be related to the small Q-values at this depth. 651

652 Radiated energy (E_R) is an essential parameter for summarizing earthquake 653 radiation processes and reflecting earthquake rupture dynamics. The combination of E_R 654 with seismic moment (M_0) , which represents the static size of an earthquake, aids in 655 understanding the physical processes of earthquakes of different magnitudes (Kanamori 656 & Heaton, 2000); moment-scaled radiated energy ($e_R = E_R/M_0$) allows comparison of 657 earthquakes with different sizes. Not only that, source parameters for small events may 658 be a rare source of information about processes in the seismogenic region (e.g., fluid 659 movement that may influence fault strength). Some previous studies showed that e_R increases with M_w (e.g., Abercrombie 1995; Mayeda & Walter 1996; Izutani & 660 661 Kanamori 2001; Prejean & Ellsworth 2001; Mori et al. 2003; Takahashi et al. 2005; 662 Mayeda et al. 2005; Malagnini et al. 2014), while others suggested that the e_R is 663 independent of M_w (e.g., Ide & Beroza 2001; Pérez-Campos & Beroza 2001; Baltay et al. 664 2014; Zollo et al. 2014; Denolle & Shearer 2016; Ye et al. 2016; Chounet and Vallée, 665 2018). Many recent results show that e_R of M_w 2-5 events are not so different from that of larger events $(M_w > 6)$ (e.g., Ide & Beroza, 2001). Still, the estimation of E_R , 666 and hence e_R , includes an estimation error of a few times of magnitude even using 667 668 modern, high-quality data even for $M_w > 6$ events (Kanamori et al., 2020). It is still 669 important to investigate the scale dependence of e_R with greater accuracy and fewer assumptions. Our results show that e_R of the M_w 2.0-4.5 event is approximately 670 constant regardless of $M_{\rm w}$, with a similar median value of 3.6×10^{-5} to those estimated 671 672 for larger events (Kanamori et al., 2020).

Many studies have used the empirical Green's function (EGF), which is the 673 waveform of a nearby smaller earthquake (EGF event) (Mueller, 1985; Hough et al., 674 1997), to account for the propagation effect. Previous studies have shown that this 675 676 empirical approach is often more effective at accounting for the propagation effect than other approaches assuminge Q-structure and site effects (Ide et al., 2003). However, 677 there are practical difficulties with this empirical approach. The results tend to be 678 679 unstable owing to noise in EGFs and subtle differences in locations and focal 680 mechanisms between the target and EGF events. The available frequencies are narrowed 681 because of the source finiteness of EGF events. In addition, source parameters cannot 682 be estimated for events that do not have appropriate eGFs. These place some limitations on the estimation of e_R , and an approach that does not rely on EGFs is desirable for 683 684 analyzing high-frequency waveforms.

685 The low Q_s -values in the shallow crust obtained in this study indicate the 686 importance of correctly understanding shallow structures when estimating source 687 parameters for small events. In general, the smaller the magnitude of the event, the 688 more important the higher-frequency information becomes because information near 689 and above the source corner frequency is crucial for the accurate estimation of radiated energy (e.g., Ross et al., 2018). Figure 11 shows the results for e_R if we assume the 690 691 empirically derived Q-model (Fig. 2c). In this case, the median estimate of e_R becomes approximately 3.0×10^{-6} (Fig. 11a), an order of magnitude smaller than the 692 693 value obtained for $M_w > 6$ events. The effect of neglecting the shallow attenuation was 694 greater for smaller events (Fig. 11c). The validity of our Q-model (Fig. 9a and b) is 695 also supported by the previous results showing that a typical e_R for M_w 2.0-4.5 events 696 is not an order of magnitude smaller than that of larger events $(M_w > 6)$ (e.g., Ide & 697 Beroza, 2001). The large difference in the obtained results clearly shows the strong influence of the shallow low Q layer on the estimation of E_R of small events, even if 698 699 high-quality near-source data are available.

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Figure. 11. Estimated scaled energy $(e_R^{no_mod})$ without the modification of the Q-model. (a): Histograms of $e_R^{no_mod}$. (b): $e_R^{no_mod}$ compared with moment magnitude M_w . (c): $e_R^{no_mod}/e_R$ compared with moment magnitude M_w . Small gray circles represent individual results; large circles represent the median of each M_w range. The vertical line represents the 95% confidence range of the median value. The horizontal line represents the M_w range.

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711 6. Conclusions

We detected high attenuation $(Q_s^{-1} = 55)$ in the shallow (< 4 km) crust in the 712 northern Ibaraki Prefecture, Japan, based on waveform modeling of high-quality 713 714 near-source seismic data. Our estimates of the moment-scaled energies (e_R) based on 715 the derived Q_s -model for 3,884 M_w 2.0-4.5 events vary around the median value of $e_R = 3.6 \times 10^{-5}$, which is similar to values reported for larger ($M_w > 6$) events. However, 716 if we use an empirically derived Q_s -model (~350 at depths < 4 km), e_R erroneously 717 becomes much smaller (median of 3.1×10^{-6}), requiring a significant increasing trend 718 with $M_{\rm w}$. Thus, the precise evaluation of the Q^{-1} -value in the shallow crust is crucial 719 720 for the precise energy estimation of small events, even when high-quality near-source data are available. One possible reason for the low Q_s -value in this study may be that 721 722 this region is seismically active. Faults, fracture zones, and fluids exist, and seismic waves may be attenuated as they pass through them. Alternatively, it may not be 723 724 unusual for shallow Q_s -values to be extremely small, even for granite bodies.

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730

731 **Open Research**

732 Data Availability Statement

This study used hypocenter and arrival time data from the JMA-Unified Catalog
(https://www.data.jma.go.jp/svd/eqev/data/bulletin/hypo.html). Waveforms were
obtained from the NIED Hi-net website (https://www.hinet.bosai.go.jp/?LANG=en).
They were collected and stored by NIED Hi-net (2019). The figures were created using
GMT (Wessel and Smith, 1998).

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Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.





Figure 6.





Figure 7.



Figure 8.





Figure 9.



Mom (Nm)

Depth (km)

Figure 10.


Figure 11.



10¹³



Mom (Nm) **10**¹⁴

Mom (Nm) **1**0¹⁴ **1**0¹⁵

10¹⁵

