# Impacts of tidally driven internal mixing in the Early Eocene Ocean

Jean-Baptiste Ladant<sup>1</sup>, Jeanne Millot-Weil<sup>1</sup>, Casimir de Lavergne<sup>2</sup>, Mattias Green<sup>3</sup>, Sébastien Nguyen<sup>1</sup>, and Yannick Donnadieu<sup>4</sup>

<sup>1</sup>Laboratoire des Sciences du Climat et de l'Environnement <sup>2</sup>LOCEAN Laboratory, Sorbonne Université-CNRS-IRD-MNHN, <sup>3</sup>Bangor University <sup>4</sup>CEREGE (Centre Européen de Recherche et d'Enseignement des Géosciences de l'Environnement)

December 7, 2023

#### Abstract

Diapycnal mixing in the ocean interior is largely fueled by internal tides. Mixing schemes that represent the breaking of internal tides are now routinely included in ocean and earth system models applied to the modern and future. However, this is more rarely the case in climate simulations of deep-time intervals of the Earth, for which estimates of the energy dissipated by the tides are not always available. Here, we present and analyze two IPSL-CM5A2 earth system model simulations of the Early Eocene made under the framework of DeepMIP. One simulation includes mixing by locally dissipating internal tides, while the other does not. We show how the inclusion of tidal mixing alters the shape of the deep ocean circulation, and thereby of large-scale biogeochemical patterns, in particular dioxygen distributions. In our simulations, the absence of tidal mixing leads to a deep North Atlantic basin mostly disconnected from the global ocean circulation, which promotes the development of a basin-scale pool of oxygen-deficient waters, at the limit of complete anoxia. The absence of large-scale anoxic records in the deep ocean posterior to the Cretaceous anoxic events suggests that such an ocean state most likely did not occur at any time across the Paleogene. This highlights how crucial it is for climate models applied to the deep-time to integrate the spatial variability of tidally-driven mixing as well as the potential of using biogeochemical models to exclude aberrant dynamical model states for which direct proxies do not exist.

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5	Green <sup>3</sup> , Sébastien Nguyen <sup>1</sup> , Yannick Donnadieu <sup>4</sup>						
6							
7	<sup>1</sup> Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-						
8	UVSQ, Université Paris-Saclay, 91191, Gif-sur-Yvette, France.						
9	<sup>2</sup> Laboratoire d'Océanographie et du Climat : Expérimentations et Approches Numériques,						
10	IPSL, Sorbonne Université/IRD/CNRS/MNHN, Paris, France.						
11	<sup>3</sup> School of Ocean Sciences, Bangor University, Menai Bridge, UK.						
12	<sup>4</sup> Aix Marseille Université, CNRS, IRD, INRA, Coll France, CEREGE, Aix-en-Provence,						
13	France						
14							
15	Corresponding author: Jean-Baptiste Ladant (jean-baptiste.ladant@lsce.ipsl.fr)						
16	*Now at: School of Geographical Sciences, University of Bristol, Bristol, UK.						
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19	Key Points:						
20 21	• Inclusion of realistic near-field tidal mixing substantially modifies global deep ocean circulation in the Early Eocene.						
22 23	• These tidally-driven changes yield significantly different biogeochemical properties of water masses, in particular in the Atlantic.						
24	• The simulation that includes tidal mixing compares more favorably to inferences from						
25	the O <sub>2</sub> proxy record.						
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- 34 Abstract
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36 Diapycnal mixing in the ocean interior is largely fueled by internal tides. Mixing schemes that represent the breaking of internal tides are now routinely included in ocean and earth system 37 38 models applied to the modern and future. However, this is more rarely the case in climate simulations of deep-time intervals of the Earth, for which estimates of the energy dissipated by 39 40 the tides are not always available. Here, we present and analyze two IPSL-CM5A2 earth system 41 model simulations of the Early Eocene made under the framework of DeepMIP. One simulation 42 includes mixing by locally dissipating internal tides, while the other does not. We show how 43 the inclusion of tidal mixing alters the shape of the deep ocean circulation, and thereby of large-44 scale biogeochemical patterns, in particular dioxygen distributions. In our simulations, the absence of tidal mixing leads to a deep North Atlantic basin mostly disconnected from the 45 46 global ocean circulation, which promotes the development of a basin-scale pool of oxygendeficient waters, at the limit of complete anoxia. The absence of large-scale anoxic records in 47 48 the deep ocean posterior to the Cretaceous anoxic events suggests that such an ocean state most likely did not occur at any time across the Paleogene. This highlights how crucial it is for 49 50 climate models applied to the deep-time to integrate the spatial variability of tidally-driven mixing as well as the potential of using biogeochemical models to exclude aberrant dynamical 51 52 model states for which direct proxies do not exist.

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#### 55 1. Introduction

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57 Tides are the main supplier of diapycnal mixing in the ocean's interior, beneath the surface 58 boundary layers (e.g., Egbert and Ray, 2000; Vic et al., 2019; de Lavergne et al., 2020). 59 Barotropic tidal currents flowing over sloping bottom topography generate internal waves at 60 tidal frequency, called internal tides (Garrett and Kunze, 2007). The propagation, non-linear 61 interaction, and ultimate breaking of internal tides into three-dimensional turbulence constitutes 62 the primary contribution to diapycnal mixing (that is, mixing across isopycnals) and thus to 63 water mass transformation in the deep ocean (de Lavergne et al., 2022; Melet et al., 2022). 64 There are multiple pathways and processes leading to the dissipation of internal tide energy. 65 Small-scale internal tides tend to dissipate close to their generation site, whereas large-scale internal tides dissipate more remotely, sometimes thousands of kilometers away from the 66 generation site (Whalen et al., 2020). 67

The modern global overturning circulation is usually schematized as a two-loop system, 69 consisting of an adiabatic upper cell fed by deep convection in the North Atlantic (the NADW) 70 71 overlying a largely diabatic lower cell fed by Antarctic Bottom Water (AABW) formation in the Southern Ocean (Marshall and Speer, 2012; Talley, 2013; Melet et al., 2022). Diapycnal 72 73 mixing plays an important role in shaping this two-cell overturning circulation (Cimoli et al., 74 2023); in particular the tidally-driven, bottom-intensified, part of the mixing is instrumental in 75 reducing the density of northward-flowing AABW and in mixing AABW with NADW (de 76 Lavergne et al., 2022; Melet et al., 2022). It is the specific geometry of the modern Southern 77 Ocean, with its continent-free latitudinal band down to a depth of ~ 2000 m at the Drake 78 Passage, that favors the adiabatic upwelling of deep waters (NADW and Pacific/Indian Deep 79 Waters) in the surface Ekman divergence of the Southern Ocean (Toggweiler and Samuels, 80 1995, 1998). This prompts the possibility that, in periods of the deep-time past of the Earth when the Drake and/or Tasman gateways were closed or shallow, diapycnal (diabatic) mixing 81 82 may have played a greater role in setting the mode and intensity of the global overturning circulation (Green and Huber, 2013). 83

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Since the seminal work of Munk (1966), great efforts have been made to understand what 85 controls diapycnal mixing in the ocean interior (e.g., Munk and Wunsch, 1998; St. Laurent and 86 Garrett, 2002; MacKinnon et al., 2017) and to refine the parameterizations of vertical diffusivity 87 88 in ocean general circulation models (GCM) (e.g., Bryan and Lewis, 1979; Gargett, 1984; Simmons et al., 2004; Saenko and Merryfield, 2005; Jayne, 2009; Schmittner and Egbert, 2014; 89 Melet et al., 2016; de Lavergne et al., 2020; Song et al., 2023). Recent work has 90 comprehensively reviewed what is currently known about the role of ocean mixing in the 91 92 climate system (Whalen et al., 2020; de Lavergne et al., 2022; Melet et al., 2022) and, in 93 particular, the contribution of different internal wave processes (e.g., near-field and far-field 94 internal tide dissipation, lee wave dissipation and wind-induced near-inertial wave energy 95 dissipation) to the total mixing. The parameterization of all of these processes into global ocean models is a currently active area of research (MacKinnon et al., 2017) and, in climate models 96 97 applied to the deep-time past of the Earth, such processes are generally ignored. Instead, mixing in the ocean interior is parameterized either by a constant background diffusivity coefficient or 98 99 by simple schemes such as a horizontally uniform but depth varying diffusivity (Bryan and 100 Lewis, 1979, hereafter BL).

102 In recent years though, some models applied to paleoclimate studies have started to include to 103 contribution of local (near-field) internal tide dissipation (e.g., Schmittner et al., 2015; 104 Hutchinson et al., 2018; Wilmes et al., 2021), following the bottom-intensified mixing 105 parameterization of Simmons et al. (2004, hereafter S04). Wilmes et al. (2021) notably show 106 that using appropriate Last Glacial Maximum tidal dissipation, instead of modern dissipation 107 with otherwise glacial forcings, invigorates the circulation in the ocean interior and increases 108 the fit with carbon isotope measurements. Hutchinson et al. (2018) compare the S04 scheme 109 with the previously-implemented BL scheme in Late Eocene GFDL CM2.1 earth system model 110 simulations and essentially find very little differences in terms of ocean circulation structure 111 and intensity and of water mass age. This is somehow contradictory to the same exercise 112 performed by Jayne (2009) using modern simulations carried out with the NCAR POP 1.4.3 113 ocean model. In the latter work, the change from the BL parameterization to an explicit tidal mixing scheme leads to small impacts on the simulated ocean heat transport (OHT) and upper 114 ocean circulation (because of similar vertical diffusivity values there) but significantly 115 116 increases the intensity of the deep circulation (Jayne, 2009).

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Another approach has consisted in adding an explicit tidal contribution to the momentum equations rather than to the parameterization of vertical diffusivity (Weber and Thomas, 2017). Though limited to relatively short integration time (100 years in their 3° x 2° Early Eocene ECHAM5/MPIOM configuration) because the explicit tidal forcing requires high resolution simulations (Song et al., 2023), the simulations of Weber and Thomas (2017) report a weak impact of tidal forcing on OHT and large-scale ocean circulation shape but a more significant impact on the intensity of the overturning circulation, echoing the results of Jayne (2009).

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More recently, Zhang et al. (2022) have explored the variability in ocean circulation in models participating to the DeepMIP project on the Early Eocene (Lunt et al., 2017), in which the models were forced by a set of Early Eocene forcings, identical across the models but for the details of their implementation. The authors report large inter-model differences in simulated ocean circulation structure and intensity (Zhang et al., 2022, their Figure 2). Interestingly, the model simulating the most intense overturning circulation (IPSL-CM5A2) is one of the only two DeepMIP models explicitly including a tidal-mixing contribution to vertical diffusivity.

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Here, we investigate the impacts of the inclusion of near-field bottom-intensified tidal mixing(using the S04 parameterization) on the ocean circulation and biogeochemistry in the Early

Eocene. We demonstrate that failing to include abyssal turbulent mixing leads to a stagnant
ocean with large areas of anoxia, which does not match proxy data from the Equatorial and
North Atlantic.

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## 141 **2. Model and simulations**

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## 2.1. IPSL-CM5A2 Earth System Model

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The simulations presented in this work are performed with the IPSL-CM5A2 Earth System 145 146 Model (Sepulchre et al., 2020), itself composed of LMDZ for the atmosphere (Hourdin et al., 2013), ORCHIDEE for the land surface and vegetation (Krinner et al., 2005), and NEMO 147 148 version 3.6 for the ocean (Madec and the NEMO team, 2016). NEMOv3.6 consists of the OPA dynamic ocean model, the LIM2 sea-ice model (Fichefet and Maqueda, 1997) and the PISCES-149 150 v2 marine biogeochemistry model (Aumont et al., 2015). OASIS (Valcke, 2013) is used to couple the models, and XIOS (Meurdesoif et al., 2016) handles input/output processing. LMDZ 151 152 and ORCHIDEE shares the same horizontal resolution of 3.75° x 1.875° (longitude x latitude) and LMDZ is discretized into 39 uneven levels in the vertical. NEMO has a nominal horizontal 153 resolution of 2°, enhanced to 0.5° at the equator, and 31 vertical levels whose thickness varies 154 from 10 m at the surface to 500 m at the bottom. NEMO uses a tripolar grid to overcome the 155 North Pole singularity (Madec and Imbard, 1996). Previous deep-time paleoclimate modeling 156 with the IPSL-CM5A2 model (e.g., Laugié et al., 2021), including the IPSL-CM5A2 157 158 simulations carried out as part of the DeepMIP project (Zhang et al., 2020, 2022), used an 159 oceanic domain extending down to 78°S. Here the numerical ocean grid has been regenerated 160 and extended southward in latitude down to 85°S in order to better represent possible marine incursions at latitudes poleward of 78°S in intervals of the last 100 Ma. We note, however, that 161 162 this represents a negligible issue in the standard DeepMIP paleogeography based on a hotspot 163 reference frame that we use here, though this would not be the case in Early Eocene 164 paleogeographies constructed with a paleomagnetic reference frame (Lunt et al., 2017).

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166 2.2 Mixing in the ocean model

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In this version of NEMO, vertical mixing in the water column is implemented as a Turbulent
Kinetic Energy (TKE) closure model (Gaspar et al., 1990; Blanke and Delecluse, 1993). This

closure is complemented with a parameterization for convection, consisting of enhanced
vertical diffusion where stratification is unstable (Lazar et al., 1999), a parameterization for
double diffusive mixing (Merryfield et al., 1999), and a tidal mixing parameterization following
S04. The vertical eddy diffusivity coefficient K<sub>v</sub> is thus expressed as:

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$$K_v = \max(K_0, K_{TKE}) + K_{ddm} + K_{Tides}$$
 for N<sup>2</sup> > 0 (stable stratification)

- 176  $K_v = K_{EVD}$  otherwise
- 177

178 with N the Brunt-Väisälä frequency,  $K_0$  a background diffusivity effectively setting the 179 minimum vertical diffusivity,  $K_{TKE}$  the diffusivity computed from the TKE scheme,  $K_{ddm}$  the 180 diffusivity attributed to double diffusion,  $K_{Tides}$  the tidal diffusivity and  $K_{EVD}$  a prescribed 181 constant convective diffusivity. Rigorously, the tidal and double diffusion schemes contribute 182 to  $K_v$  even in regions of unstable stratification but the very large diffusivity value 183 parameterizing convective processes renders these contributions negligible. Here,  $K_0$  is set to 184 1.2 10<sup>-5</sup> m<sup>2</sup> s<sup>-1</sup>,  $K_{EVD} = 100$  m<sup>2</sup> s<sup>-1</sup> and  $K_{Tides}$  has the form:

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- $K_{\text{Tides}} = \frac{q\Gamma EF}{\rho N^2}$  (Eq. 1)
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188 where q is the tidal dissipation efficiency,  $\Gamma$  is the mixing efficiency, E is the tidal energy flux 189 from Green and Huber (2013),  $\rho$  is the water density, N is the buoyancy frequency along the 190 seafloor and F is a vertical structure function that decays exponentially with height above 191 bottom:

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$$F(z) = \frac{e^{-\frac{H+z}{h_0}}}{h_0 \left(1 - e^{-\frac{H}{h_0}}\right)}$$
(Eq. 2)

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- 195 with H the total depth of the water column and  $h_0$  the vertical decay scale for turbulence.

196 We use the standard model values of  $q = \frac{1}{3}$ ,  $\Gamma = 0.2$  and  $h_0 = 500$  m (Madec and the NEMO 197 team, 2016), which are identical to those originally chosen by S04.

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- 1992.3 PISCES Marine Biogeochemistry Model
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201 The PISCES model (Pelagic Interactions Scheme for Carbon and Ecosystem Studies, Aumont 202 et al., 2015) simulates the lower trophic levels of marine ecosystems (nanophytoplankton, diatoms, microzooplankton and mesozooplankton), carbonate 203 chemistry and the 204 biogeochemical cycles of carbon, oxygen, and the main nutrients (phosphorus, nitrogen, iron 205 and silica). Dissolved oxygen is produced in the ocean by phytoplankton net primary production 206 and consumed by zooplankton heterotrophic respiration, oxic remineralization of organic 207 matter and nitrification. At the air-sea interface, dissolved oxygen is exchanged using the 208 parameterization of Wanninkhof (1992). The atmospheric concentration of dioxygen is set to a 209 fixed ratio of 0.21.

210

In the water column, PISCES explicitly represents two pools of organic matter particles that 211 212 differ in their average size (i.e., large and small particles) and respective sinking speed, as well 213 as a pool of semi-labile dissolved organic matter. The particle pools are degraded into the dissolved one as a function of temperature and oxygen concentrations. Dissolved organic matter 214 215 undergoes oxic remineralization or denitrification depending on local oxygen levels. The 216 remineralization and denitrification rates are function of temperature, oxygen and nitrate 217 concentrations, and of the bacterial activity and biomass (Aumont et al., 2015). When reaching the ocean floor in the form of particles, organic matter is permanently buried or degraded by 218 219 sedimentary denitrification or oxic remineralization. The proportion of buried carbon is dependent on the organic carbon flux at the bottom and is computed according to Dunne et al. 220 (2007). The fraction of sedimentary denitrification versus oxic remineralization is computed 221 using the meta-model of Middelburg et al. (1996). Degraded organic carbon is then released 222 223 into the ocean bottom level in the form of DIC. Ocean bottom concentrations of dissolved 224 oxygen and nitrate are also consumed to account for sedimentary oxic remineralization and 225 denitrification, respectively (Aumont et al., 2015). In the absence of an explicit sediment 226 module, the global inventories in phosphate, nitrate, silicate and alkalinity are restored to 227 modern values so that the global mean ocean concentrations in these elements do not drift away 228 from modern mean concentrations (Aumont et al., 2015). We also use an additional inert 229 artificial tracer representing the age of water masses. This age tracer value is restored to 0 in 230 the top 10 m of the model ocean and increases at a rate of one year per year deeper than 10 m 231 (Bopp et al., 2017).

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- 233 2.4 Experimental design
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235 We present two numerical simulations of the Early Eocene based on the DeepMIP protocol (Lunt et al., 2017). The boundary and initial conditions are essentially those of the 840 ppmv 236 simulations of Zhang et al. (2020), that is, we use the paleogeography of Herold et al. (2014) 237 with a prescribed atmospheric CO<sub>2</sub> concentration of 840 ppmv. The orbital parameters of the 238 239 Earth are those of present-day and other greenhouse gas concentrations are set to their preindustrial values. The simulations are therefore representative of a pre-Paleocene-Eocene 240 241 Thermal Maximum interval, following the terminology of Lunt et al. (2017). The simulations 242 are initialized with ocean temperature and salinity distributions as in Zhang et al. (2020) and 243 only differ by the absence ("EE-noM2") or presence ("EE-std") of the contribution of near-244 field internal tide energy dissipation (K<sub>Tides</sub>) to the vertical diffusivity coefficient. In the 245 following, we will refer to the absence or presence of tidal mixing, though this is somewhat a misnomer because the contribution of background diffusivity (i.e. K<sub>0</sub>) to vertical diffusivity is 246 247 included in the two simulations. As described in S04, this background diffusivity may account 248 for the far-field dissipation of large-scale internal tides as well as other sources of mixing that 249 are not explicitly modeled, such as lee waves or wind-induced radiating near-inertial waves 250 (e.g., Melet et al., 2022).

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In NEMOv3.6, the tidal energy flux E includes components for the M2, K1 and S2 tides whereas Green and Huber (2013) provides an estimate only for the M2 tide (see Fig. S1 for a map of the estimated M2 dissipation). Considering that 1) the M2 component dominates the tide, and 2) the S2 energy flux is simply taken to be  $\frac{1}{4}$  of the M2 energy flux in the NEMOv3.6 mixing scheme, we argue that using  $\frac{5}{4}$  of the M2 estimate of Green and Huber (2013) as forcing in the model (M2 + S2 contributions) is a reasonable first step, despite the missing K1 contribution.

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260 The two simulations are run for 5100 model years after which both have reached quasiequilibrium with small residual trends in mean deep ocean (2750 - 4250 m) temperatures < 261 0.02°C/century (Fig. S2). The last 100 years of each model run are used to build a climatological 262 263 average for the ocean dynamics. In order to improve the equilibration of biogeochemistry, we extend the simulations in an offline PISCES configuration for another 4000 model years. In this 264 setup, the monthly-mean climatological ocean dynamics is repeatedly read by PISCES to 265 calculate the evolution of the biogeochemical tracer fields. Again, we use the last 100 model 266 267 years to build a climatological average for the ocean biogeochemistry.

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270	3. Results						
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272	3.1 Energetic considerations						
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274	3.1.1 Available energy						
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276	The tidal model used by Green and Huber (2013) yields an estimate of 1.44 TW of energ						
277	dissipated in the Eocene ocean by the M2 barotropic tide, which, interpolated on the NEMO						
278	grid, amounts to 1.473 TW. Because the tidal mixing scheme in NEMO includes the S2 tidal						
279	contribution expressed as one-fourth of the M2 contribution, the total energy input from tides						
280	is 1.841 TW, of which only one-third, 0.614 TW, is assumed to dissipate locally and employed						
281	in the tidal mixing scheme (because $q = \frac{1}{3}$ in Equation (1) above).						
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283	The implementation of the tidal mixing scheme in the model is not fully consistent energetically						
284	for two reasons. First, a fraction of the energy input is lost in the lower half of the bottom cells,						
285	where stratification and diffusivity are not defined because of the no-flux boundary condition						
286	at the bottom. Second, the model parameterization imposes an upper bound of $3x10^{-2}$ m <sup>2</sup> .s <sup>-1</sup> on						
287	the tidal diffusivity (Madec and the NEMO team, 2016). Diagnosing the energy effectively						
288	used by the tidal mixing scheme gives 0.42 TW, that is, about 70 % of the expected power						
289	(0.614 TW).						
290							
291	We can compute the power consumption due to vertical mixing processes, expressed as in S04:						
292							
293	$P = \frac{1}{\Gamma} \int \rho K N^2 dV \qquad (Eq. 3)$						
294							
295	Table 1 shows the amount of power consumed at the global scale and in each basin (Atlantic,						

Pacific, Indian, Tethys and Arctic, represented on Fig. S3). At the global scale, the total power consumed by diapycnal mixing in the model is 1.45 TW in EE-std. This is weaker than the 1.84 TW of total M2 + S2 tidal dissipation estimated by the model of Green and Huber (2013). Two considerations shed light on this difference. First, the effective consumption by tidal vertical mixing is only about 70 % of what is expected from Equation (1). Second, the background

diffusivity is simply prescribed and does not depend on the tidal dissipation; hence power
 consumption by background mixing cannot be expected to match the unused two-thirds of
 barotropic tidal energy loss.

Overall, we calculate that tidal mixing represents about 29 % of the total power consumed by diapycnal mixing in the ocean interior. This ratio is only slightly lower to that simulated by S04, somewhat surprisingly given the very different paleogeography and stratification of our simulations. At the basin scale and excluding the Arctic basin, the contribution from tides varies from 14 % of the total power in the Tethys basin to 32 % in the Pacific basin. This is consistent with larger mean dissipation rates in the Pacific and Indian Oceans than in the Atlantic and Tethys Oceans (Fig. S1).

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3.1.2 Diapycnal diffusivity

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The inclusion of tidal mixing substantially changes the amount of energy available to mix the deep ocean. Diapycnal diffusivities are therefore considerably different both horizontally and vertically in EE-std compared to EE-noM2.

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In EE-noM2, the zonally averaged vertical diffusivity is generally close to the background value 318 319 except in the surface mixed-layer depth, in which mixing due to the winds generates elevated vertical diffusivity, and in the Southern Ocean where deep convection processes mix waters 320 down to the abyss (Fig. 1a, c, e). At mid depths (2000 - 3000 m), the zonal mean vertical 321 322 diffusivity is elevated throughout the low latitudes (Fig. 1a). This signal mostly originates from 323 a relatively isolated abyssal sub-basin in the eastern Pacific Ocean between the East Pacific 324 Rise and the American continent (Fig. 1e) in which the weak stratification elevates  $K_{TKE}$  and 325 stimulates episodic convective instabilities. At 600 m depth (Fig. 1c), away from turbulent 326 wind-driven mixing, vertical diffusivity is close to the background value K<sub>0</sub> except in deep 327 convection zones of the Southern Ocean. Because the 600 m geopotential surface is also 328 generally far from bottom topography, adding tidal mixing in EE-std does not significantly alter 329 vertical diffusivity at this depth (Fig. 1d), except in deep-water formation zones close to the 330 Antarctic margins. By contrast, diffusivity at 3000 m depth is enhanced by about 2 orders of 331 magnitude in broad regions of the Pacific and Indian Oceans in EE-std relative to EE-noM2 332 (Fig. 1f). Note that because tidal mixing is implemented here as a bottom-intensified energy dissipation, and because stratification generally decreases with depth, the maximum tidal 333 334 diffusivity in the vertical is found locally on the deepest ocean grid cell. The Atlantic basin in

the Eocene configuration exhibits a weaker tide than the Pacific (Green and Huber, 2013, see also Fig. S1) and, therefore, vertical diffusivity does not increase as much as in the Pacific Ocean in EE-std compared to EE-noM2. The zonally averaged vertical diffusivity essentially shows that diapycnal mixing is substantially enhanced in the ocean interior. As we will show in the next sections, the additional mixing energy available in the deep ocean has profound consequences on the intensity of the overturning circulation and the pathways of water masses.

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### 3.2 Surface changes

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344 The upper-ocean (0 - 100 m) annual mean temperatures in EE-noM2 are roughly close to  $10^{\circ}$ C 345 in the Southern Ocean and to 5°C in the quasi-enclosed Arctic Ocean (Fig. 2a). They increase equatorward to reach up to more than 37°C in the equatorial western Pacific. As expected from 346 347 similar simulations performed with the same model, this temperature distribution is really close to that presented on Figure 2a of Zhang et al. (2020) (see Fig. S4 for a more detailed 348 349 comparison). Tidally-driven mixing leads to large changes in the Southern Ocean surface layer. 350 The Atlantic and Indian sectors of the Southern Ocean are warmer (locally more than 4K) in 351 EE-std than in EE-noM2 (Fig. 2b), whereas the Pacific sector is cooler, although the change is smaller. Warmer (cooler) regions of the Southern Ocean in EE-std are also regions of increased 352 353 (decreased) upper ocean salinity (not shown).

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In EE-noM2, deep convective areas are exclusively found in the Southern Ocean, in the 355 Atlantic, Indian and Pacific sectors (Fig. 2c), and there is no deep-water formation in the 356 357 Northern Hemisphere. The upper-ocean temperature changes in EE-std are sustained by increased deep-water formation in the Atlantic and Indian sector of the Southern Ocean 358 359 compared to EE-noM2 as can be seen by the deepening of the winter mixed layer depth (MLD) 360 in these areas (Fig. 2d). In the South Atlantic, the MLD deepens by more than 1000 m and 361 enhances the temperature and salt advection feedback from the lower latitudes. In the Pacific 362 sector, the winter MLD instead slightly decreases, driving the opposite change in the advection feedback. Figure S5 further shows that the deepening/shoaling of MLD in EE-std relative to 363 364 EE-noM2 is robust across the simulations and not simply an artifact of the averaging period.

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366 3.3 Ocean circulation changes

368 The increase in available energy for mixing is reflected by a significant enhancement of the global meridional overturning circulation (MOC) (Fig. 3). The MOC in the two simulations has 369 370 a roughly comparable shape consisting of a single anticlockwise overturning cell in the 371 Southern Hemisphere fed by deep-water formation in the Southern Ocean. The intensity of the 372 MOC and the penetration of deep-water in the abyss is however greater in EE-std than in EEnoM2, although the maximum rate of overturning is similar in the two simulations (~ 35 Sv at 373 374 2000 m depth in EE-std and at 900 m depth in EE-noM2). Away from the Southern Ocean, the 375 additional tidal mixing energy sustains a stronger and deeper overturning cell extending up the 376 northern mid to high latitudes (8 Sv at 2000 m depth and 30°N in EE-std, Fig. 3b), effectively increasing the ventilation of the EE-std ocean compared to EE-noM2 and acting to reduce 377 378 vertical tracer gradients.

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This homogenization is evident from the global zonal mean distribution of temperature (Fig. S6), which shows a globally warmer deep ocean (below  $\sim 1000$  m) and a globally cooler upper and intermediate ocean in EE-std compared to EE-noM2 at all latitudes except those of the Southern Ocean (80°S – 40°S) where the ocean is globally warmer throughout the water column. The EE-std ocean is thus more vertically well mixed than the EE-noM2 ocean.

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386 The intensification of the global MOC has interesting consequences on the water mass pathways, in particular in the Atlantic. Figure 4 shows the ocean current velocity and direction 387 at different depths in the South Atlantic and Southern Ocean. At 500 m depth, the western 388 389 boundary current flowing southward off the coast of South America is substantially increased 390 in EE-std. This increase confines the westward-flowing water masses close to Antarctica to the 391 Southern Ocean, whereas in EE-noM2, these waters mix with those from the South Atlantic 392 western boundary current towards the Indian Ocean. Deeper in the water column (1400 - 1800 393 m depth), the water masses flowing from the Atlantic to the Indian sector of the Southern Ocean 394 in EE-noM2 consist of recirculated waters from the Southern Ocean and locally-formed deep 395 waters, as the southward-flowing Atlantic western boundary current is absent. In contrast, in 396 EE-std, the southward western-boundary current is still active and contributes to exporting 397 water masses from the low-latitude Atlantic toward the Indian Ocean. In the abyss (3250 - 3750)398 m), only a very small fraction of the Southern Ocean water masses flows northward in the 399 Atlantic in EE-noM2 while most are exported eastward to the Indian Ocean. In EE-std an 400 intense northward current advects water masses along the western side of the basin into the 401 Equatorial and North Atlantic.

403 These results demonstrate that the deep Equatorial and North Atlantic Oceans are more isolated 404 from the global ocean circulation below  $\sim 1500$  m in EE-noM2 than in EE-std. In the Early 405 Eocene, the deepest connections of the Atlantic basin are with the Southern Ocean because the 406 Central American, Tethys (Gibraltar) and Atlantic-Arctic gateways are all shallow and/or 407 narrow. Since the bathymetric configuration does not change between the two simulations, the 408 increased isolation of the EE-noM2 Equatorial and North Atlantic Oceans is purely caused by 409 lower levels of deep turbulent mixing, leading to major differences in Atlantic stratification and 410 circulation. In EE-std, tidal mixing renders abyssal water masses increasingly more buoyant as 411 they flow away from deep-water formation areas in the Southern Ocean whereas the buoyancy 412 gain across the Atlantic is weaker in EE-noM2. The isopycnal located at approximately 3000 m depth at 45°S (the 40.08 and 39.98 kg.m<sup>-3</sup>  $\sigma_3$  contour in EE-noM2 and EE-std respectively, 413 Fig. 5) indeed deepens to about 3400 m depth in EE-noM2 and 4500 m depth in EE-std at 35°N. 414 415 In other words, isopycnals of similar depth in the deep South Atlantic exhibit depth difference in excess of 1 km upon reaching the deep North Atlantic. The larger northward deepening of 416 417 the isopycnals across the deep Atlantic generates a stronger meridional pressure gradient and, 418 thus, forces a more active deep northward circulation (e.g., Whitehead, 1998) in EE-std 419 compared to EE-noM2, leaving the latter more stagnant.

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## 3.4 Biogeochemical changes

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The more active deep circulation with tidal mixing also yields a significant reorganization of the marine biogeochemistry in the deep ocean, in particular in the Atlantic. At the global scale, though it is once again more evident in the Atlantic (Fig. 5c and d), the deep ocean ventilation is reduced in the absence of tidal mixing. Notably, deep North Atlantic water masses are almost 3 times older in EE-noM2 than in EE-std. These deep water masses therefore exhibit very different biogeochemical properties in EE-noM2 and EE-std, and this is particularly visible on the distribution of dissolved oxygen across the water column.

430

In EE-noM2, the deep North Atlantic water masses possess the biogeochemical signature of very old water masses: rich in nutrients and dissolved inorganic carbon (DIC) and poor in oxygen. In fact, the North Atlantic is spectacularly oxygen-depleted (Fig. 6a), with hypoxia (defined here as the 62.5 mmol.m<sup>-3</sup> level) reached over the whole water column in the low latitudes of the North Atlantic (0 – 20°N) and below 800 m northward of 30°N. Anoxic levels are reached northward of 20°N at depths between 1500 and 3000 m. The North Atlantic seafloor
is fully hypoxic and most of the coastal seafloor is anoxic (Fig. 6c). In contrast, deep North
Atlantic DIC and phosphate concentrations are high (Figs. S7 and S8) because falling organic
matter has been remineralized along the water mass journey and nutrients have therefore
accumulated in the deep ocean. Nitrate concentrations, however, rather decrease northward in
the deep Atlantic (Fig. S9) because the depletion in oxygen in this ocean basin triggers
denitrification to continue the remineralization process.

443

In EE-std, the younger water masses in the deep North Atlantic are relatively rich in oxygen (Fig. 6b) and the seafloor is well oxygenated with only very limited hypoxic coastal areas. The North Atlantic exhibits higher nitrate concentrations in EE-std than EE-noM2 in the deep (Fig. S9), because the oxygen levels are above those required to trigger denitrification, and we find lower DIC and phosphate concentrations (Figs. S7 and S8), as expected for better ventilated water masses.

450

451 There are three main processes controlling the oxygenation of water masses in the ocean: 452 surface atmosphere-ocean interaction controlling the degree of solubility of O<sub>2</sub> in the ocean, ocean circulation, and biological activity. Dissolved O2 concentrations in the ocean can be 453 454 decomposed into a thermal and a non-thermal component, referred to as the saturation component (O<sub>2sat</sub>) and the Apparent Oxygen Utilization (AOU) respectively. O<sub>2sat</sub> is the 455 concentration of O<sub>2</sub> that can be dissolved for a given temperature and salinity whereas AOU 456 457 integrates the contribution of ocean circulation and biology. These quantities are related as 458 such:

459

 $O_2 = O_{2sat} - AOU$ 

460

As shown on Figure 7 for EE-noM2, surface O<sub>2sat</sub> increases poleward because solubility 461 462 increases with decreasing temperatures (Fig. 7b). Surface O<sub>2</sub> concentrations are generally close 463 to O<sub>2sat</sub> because, besides interacting with the atmosphere, the upper ocean layers gain dissolved O<sub>2</sub> as the result of photosynthesis of marine phytoplankton. The AOU is therefore low (e.g., the 464 465 surface mid-latitudes on Fig. 7c). One notable exception is the equatorial subsurface ocean 466 because it is a region of upwelling that brings to the upper ocean water masses extremely rich 467 in nutrients allowing for intense phytoplanktonic activity. Consequently, large amounts of organic matter sink and consume oxygen at a rate faster than the one at which the ocean restores 468 469 its O<sub>2</sub> concentration by atmospheric exchange.

In the intermediate and deep ocean,  $O_2$  concentrations are close to  $O_{2sat}$  in the Southern Ocean where deep convection occurs (Fig. 7a and b). As water masses age in the ocean interior (Fig. 7d),  $O_2$  concentrations depart from  $O_{2sat}$  because of the increasing influence of remineralization processes that consume oxygen in the water column (reflected by the increasing AOU, Fig. 7c). In the deep North Atlantic, extremely old water masses that have not been in contact with the atmosphere for more than a millennium exhibit AOU values almost equal to  $O_{2sat}$ , indicating that almost all the available  $O_2$  has been consumed.

478

479 Any change in dissolved  $O_2$  concentrations between EE-noM2 and EE-std can therefore be 480 partitioned into the change in  $O_{2sat}$ , reflecting the change in temperature and, to a lesser extent, 481 salinity between EE-std and EE-noM2 and the change in AOU, which reflects circulation and 482 biological changes:

 $\Delta O_2 = \Delta O_{2sat} - \Delta AOU$ 

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- 484

In the Atlantic, the changes in dissolved  $O_2$  concentrations are almost fully explained by changes in AOU (Fig. 8). Interestingly, Figure 8 shows that contours of  $\Delta$ AOU and of the water age difference between EE-std and EE-noM2 are very well correlated, thereby strongly hinting that the primary driver of oxygen changes is the reorganization of the ocean circulation following the addition of tidally-driven mixing. This is also confirmed by the limited changes in export productivity to the intermediate and deep ocean (Fig. S10).

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492

#### 493 **4. Discussion**

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Our simulations compellingly demonstrate the crucial role played by tidally-driven abyssal turbulent mixing in shaping the circulation and the distribution of biogeochemical tracers in the ocean interior. However, apart from a few sensitivity simulations (Thomas et al., 2014; Weber and Thomas, 2017; Hutchinson et al., 2018), most earth system models applied to deep-time climates generally neglect tidally-driven mixing as a specific forcing and alter (or not) spatiallyconstant coefficients in the implemented vertical mixing parameterization (e.g., Bryan and Lewis, 1979) as a workaround (e.g., Zhang et al., 2022).

503 Hutchinson et al. (2018) find weak differences in terms of MOC shape and intensity and water mass age between the standard BL scheme used in their CM2.1 Late Eocene simulations and 504 505 the same S04 bottom intensified mixing scheme as used here, indicating that the simulated 506 ocean circulation is largely similar. This is somewhat contradictory to the large change in MOC 507 intensity (and water age) found in our simulations, and we suggest a couple of explanatory 508 avenues. First, the standard BL scheme in Hutchinson et al. (2018) makes diffusivity increase 509 with depth—although without spatial dependence—and, as such, it is not rigorously similar to 510 prescribing a uniform background diffusivity coefficient K<sub>0</sub>. We note however that, comparing 511 the BL and S04 schemes in a modern configuration, Jayne (2009) observes a large enhancement 512 of the intensity of the deep cell of the MOC in S04 with little change in MOC structure, much 513 as we observe in our simulations. Second, though Hutchinson et al. (2018) apply the same S04 514 scheme as we do, their input dissipation rate E is recomputed directly using equation (2) of S04 515 and a uniform seafloor roughness amplitude whereas we prescribe E based on the explicit tidal model of Green and Huber (2013). This results in guite different mean vertical diffusivities at 516 517 a basin-scale. In particular, their mean Atlantic diffusivity, when using the tidal scheme, is 518 much enhanced compared to their mean Pacific diffusivity, whereas we find the opposite ratio 519 in our simulations (Fig. S11 and Fig. 9 of Hutchinson et al., 2018). Our results are in agreement with the enhanced Pacific dissipation found in the tidal model results of Green and Huber 520 521 (2013). Because the specifics of the calculation of the dissipation rate E are missing in 522 Hutchinson et al. (2018), it still remains unclear at this stage whether these differences stem 523 from (i) the spatial variability of the tidal forcing (which may be absent in Hutchinson et al., 524 2018), (ii) a different model implementation of the S04 tidal dissipation scheme, (iii) the change 525 in tidal forcing across the 15 Myrs separating the Early and Late Eocene, or (iv) different levels of spurious numerical mixing in GFDL-CM2.1 and IPSL-CM5A2 (e.g., Holmes et al., 2021). 526 527 This nonetheless suggests that the spatial distribution of the tidal forcing significantly alters the 528 simulated ocean circulation (Saenko, 2006; Jayne, 2009).

529

Using CCSM3-forced MITgcm simulations of the Early Eocene, which includes a Bryan-Lewis diffusivity profile and an older reconstruction of the paleogeography, Thomas et al. (2014) found that increasing the diffusivity beyond the standard BL coefficients allow for a large increase in the intensity of the MOC and yield a circulation mode that compares better to compiled Pacific  $\varepsilon_{Nd}$  data, in particular in the case in which abyssal mixing is increased. In an additional sensitivity experiment, the authors increased the mixing approximately fivefold throughout the water column; doing this significantly enhanced the poleward OHT and reduced 537 the meridional surface temperature gradients, in agreement with inferred proxy data as well as previous investigations of increased upper ocean mixing effect on OHT (e.g., Jayne, 2009). In 538 our simulations, the meridional SST gradient is only weakly affected by the addition of tidal 539 540 mixing because vertical diffusivity in the upper 1000 m is similar in EE-noM2 and EE-std (Fig. 541 S11). Below 1000 m, diffusivity (and meridional transport) increases substantially in EE-std but the vertical temperature gradient does not (Fig. S6) and the change in heat transport is small. 542 543 Thomas et al. (2014) however note that sustaining such elevated diffusivity across the water 544 column would require more than 20 TW; an amount of energy that tides cannot account for 545 (Green and Huber, 2013) and whose source has yet to be found.

546

547 Using the ECHAM5/MPIOM model with the Early Eocene paleogeography of Heinemann et al. (2009), Weber and Thomas (2017) also investigated the response of the Eocene ocean 548 549 circulation to tides. They simulate the change in ocean circulation in a similar setup than the 550 one presented here, although the inclusion of tides in their model is represented by an additional, 551 explicit, tidal forcing on the momentum equations rather than the parameterization of the 552 contribution of tides to vertical diffusivity (Song et al., 2023). The simulated ocean circulation 553 of Weber and Thomas (2017) exhibits deep-water formation in the Southern Atlantic, as here, but also in the North Atlantic. As in our experiments, adding tidal influence does not 554 555 substantially modify the location of deep-water formation regions, in contrast to the penetration 556 depth of these deep waters, but the limited integration time of their tidal simulation (100 years) prompts the possibility that it might not have reached sufficient equilibrium. One possible 557 558 reason, among others (see, e.g., Zhang et al., 2022), explaining the different regions of deep 559 convective activity is the paleogeographic reconstruction, which, in Weber and Thomas (2017), possesses in particular closed Drake Passage and Tasman Gateway and a more widely opened 560 561 Central American Seaway compared to the Herold et al. (2014) reconstruction that we use. We indeed note that the recent DeepMIP study of Zhang et al. (2022) on Early Eocene ocean 562 563 circulation highlights that all of the models—with the Herold et al. (2014) paleogeography— 564 produce deep-water formation in the Southern Ocean (regardless of the sector) at the exception 565 of the GFDL model, which exhibits deep convective activity in the North Pacific, and the 566 NorESM model, which does not exhibit any deep-water formation, possibly because of 567 insufficient spinup. In contrast, the models do not produce deep-water formation in the North 568 Atlantic. Though the details of the ocean circulation differ between our simulations and those 569 of Weber and Thomas (2017), the addition of tidal mixing has similar effects on the simulated 570 circulation. Weber and Thomas (2017) also report an increase in the intensity of the MOC but

- 571 hardly any increase in ocean heat transport, in keeping with the notion that the impacts of the
- 572 Eocene tide are concentrated in the abyssal ocean (Green and Huber, 2013).
- 573

574 In a recent comparison of the S04 tidal mixing scheme vs. explicit tidal forcing, both approaches 575 were implemented in the FESOM2 ocean model (Song et al., 2023). The authors conclude that 576 while the parameterized tidal mixing may miss some potentially important effects, such as the 577 enhancement of bottom drag and continental shelf viscous dissipation, the explicit tidal forcing 578 typically requires resolution of the order of 0.1° to produce realistic impacts. As a result, lower 579 resolution simulations compare less favorably to observed hydrography with this scheme than 580 with the S04 parameterization. It also makes the inclusion of explicit tidal forcing currently 581 inapplicable to long-term deep-time climate simulations (Song et al., 2023).

582

583 Other studies have attempted to simulate the biogeochemical state of the Early Eocene (e.g., Heinze and Ilyina, 2015), generally in order to focus on the PETM perturbation (Winguth et 584 585 al., 2012; Meissner et al., 2014; Ilyina and Heinze, 2019). In particular, Winguth et al. (2012) 586 and Heinze and Ilvina (2015) have used modelling setups consisting of biogeochemical models 587 of resolution and complexity similar to PISCES and forced by or coupled to ocean-atmosphere general circulation models, but the prescribed paleogeography and atmospheric CO<sub>2</sub> bear no 588 589 consistency between the studies unlike more recent coordinated efforts such as DeepMIP (Lunt et al., 2017, 2021). Deep O<sub>2</sub> concentrations exhibit large differences between the simulations: 590 the 1120 ppmv CO<sub>2</sub> simulation of Winguth et al. (2012) generates a well oxygenated Pacific 591 592 Ocean and a more poorly oxygenated Atlantic Ocean whereas the 560 ppmv CO<sub>2</sub> simulation of 593 Heinze and Ilyina (2015) shows a better oxygenated Atlantic than Pacific Ocean. In our 594 simulations with tidal mixing at 840 ppmv, the deep Atlantic is better oxygenated than the 595 Pacific (Fig. S12) but the equatorial Atlantic oxygen minimum zone is more developed and has 596 lower O<sub>2</sub> concentrations. Interestingly, the primary production patterns in the upper ocean are 597 more similar, with for instance intense primary production in most of the equatorial Pacific, in 598 the eastern side of the Pacific and Atlantic Oceans as well as in the Southern Ocean. This 599 suggests that the diversity in O<sub>2</sub> distributions across the simulations largely reflects the 600 simulated ocean circulation, at least in the deep ocean.

601

602 There is currently no quantitative proxy for  $O_2$  concentrations in the past, although semi-603 quantitative multi-proxy approaches can provide estimates of poorly oxygenated bottom water 604 conditions ( $\leq$  50 µmol/kg) (Lu et al., 2020). Most studies therefore report qualitative estimates

605 of the local oxygenation state of the ocean relative to a baseline value, using redox-sensitive proxies such as the I/Ca ratio (e.g., Zhou et al., 2014, 2016), trace elements like molybdenum 606 or manganese (Dickson et al., 2012, 2014; Pälike et al., 2014) or magnetofossils (Xue et al., 607 608 2022, 2023). Anoxic bottom water masses are perhaps more easily identifiable because the 609 sedimentary abundance of trace elements is strongly redox dependent and sedimentary enrichment above average crustal values via complexification with sulfide elements is 610 611 interpreted as reflecting high dissolved sulfide concentrations and thus anoxic/euxinic 612 conditions (Dickson et al., 2012, 2014). If the distribution of Early Eocene redox archives is 613 relatively global (though concentrated in the peri-Tethys area, see Figure 6 of Carmichael et 614 al., 2017), the information conveyed by these estimates remains potentially strongly influenced 615 by local settings (Clarkson et al., 2021). A complementary approach therefore consists in estimating the global area or volume occupied by anoxic or euxinic waters, using the isotopic 616 617 ratio of molybdenum (Dickson et al., 2012), sulfur (Yao et al., 2018) or uranium (Clarkson et 618 al., 2021), rather than reporting local estimates of bottom water oxygenation. For instance, 619 combining uranium isotope measurements from ODP Site 865 (Allison Guyot, equatorial Pacific Ocean), DSDP Site 401 (Bay of Biscay, northeast Atlantic Ocean) and ODP Site 690 620 621 (Maud Rise, Atlantic sector of Southern Ocean) with box modelling, Clarkson et al. (2021) propose a maximal extent of seafloor anoxia of 0.25 % prior to the PETM perturbation and 2 622 623 % at the PETM.

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625 In the following, we compare the simulated oxygen concentrations in EE-noM2 and EE-std 626 with available data across a transect in the Atlantic using reported oxygen conditions at each 627 site (Fig. 9) and compute the extent of anoxic seafloor simulated by the model. Three main 628 observations can be made. First, the qualitative nature of the proxy leaves room for various 629 interpretations. At the exception of Site 1262 and 1266 at Walvis Ridge for whose oxygen-rich 630 conditions have been reported by the different proxies (Pälike et al., 2014; Xue et al., 2022), 631 low oxygen content is estimated at every site but the degree of oxygen deficiency is unclear 632 because anywhere between the anoxic (0  $\mu$ mol/L) and hypoxic threshold (~ 60 - 70  $\mu$ mol/L, Lu et al., 2020; Laugié et al., 2021). Second, at face value, the simulated O<sub>2</sub> concentrations are 633 634 probably too low in EE-noM2, in particular in the North Atlantic, and too high in EE-std. Third, 635 the fact that reported qualitative oxygen conditions in the data on Figure 9 reflect pre-PETM 636 conditions and that most of these proxies suggest decreasing oxygen concentrations across the PETM perturbation but without extensive anoxia leads to the conservative assumption that the 637 638 pre-PETM ocean did not exhibit large-scale conditions too close to anoxia. In this regard, the

simulated oxygen concentrations suggest that the ocean biogeochemical state in EE-noM2 is
probably aberrant. This is confirmed by our calculation of the extent of anoxic seafloor,
respectively 2.3 % in EE-noM2 and 0.1 % in EE-std, which also suggests an excess in oxygen
depletion in EE-noM2 compared to pre-PETM estimates (Clarkson et al., 2021).

643

644 In addition, here, we do not *stricto sensu* model the biogeochemical conditions of the pre-PETM 645 as, for instance, the global mean nutrient concentrations in phosphate, nitrate, alkalinity and 646 silicate in the ocean are identical to the modern. Recent statistical box-modelling instead 647 suggests that the marine phosphate concentrations reached a peak in the Paleogene, thus promoting higher primary productivity and lower deep-ocean O<sub>2</sub> concentrations (Sharoni and 648 649 Halevy, 2023). All else being equal, prescribing a higher marine nutrient content in our 650 simulations would decrease oxygen concentrations in both EE-noM2 and EE-std but with 651 opposite effect on the model-data comparison. In EE-std, this would reduce the model-data mismatch because simulated O<sub>2</sub> concentrations are likely too high whereas in EE-noM2, it 652 653 would increase the proportion of anoxic waters and thereby increase the mismatch with estimates from the geological record. The model-data mismatch in EE-std could be even further 654 655 reduced with a better representation of the smaller meridional temperature gradients that are inferred from proxy data (e.g., Huber and Caballero, 2011; Evans et al., 2018) because this 656 657 would act to reduce the amount of oxygen stored in surface waters, and therefore decrease O<sub>2</sub> concentrations throughout the water column. This also implies that the simulated O<sub>2</sub> 658 concentrations in EE-noM2 are likely a conservatively "high-concentration" estimate and thus 659 660 that the aberrant biogeochemical state likely reflects an aberrant Early Eocene dynamical ocean 661 in EE-noM2.

662

663 Finally, we note that the input energy dissipation from the M2 tide that was used here is not exactly appropriate because the tidal model of Green and Huber (2013) was run with the ocean 664 665 stratification obtained from the low-resolution equilibrated CCSM3 simulations discussed in 666 Liu et al. (2009) instead of having been run with the IPSL-CM5A2 stratification. However, these simulations use a bathymetry close to that used in Green and Huber (2013) and the abyssal 667 668 tidal dissipation is relatively insensitive to moderate changes in stratification. In addition, both 669 our simulations and those of Liu et al. (2009) exhibit deep-water formation in the Southern 670 Ocean. We thus argue that our results would not be significantly affected if the stratification 671 from our simulations had been used in the tidal model simulations. In contrast, a larger impact 672 is likely to be expected by the use of a higher-resolution bathymetric dataset in an improved version of the tidal inversion model of Green and Huber (2013), such as that proposed in Green et al. (2023), and we ambition to investigate this possibility in a near future. Alternatively, a promising way lies in the use of comprehensive tidal mixing schemes accounting for both nearfield and far-field dissipation of internal tides (de Lavergne et al., 2020), rather than schemes fixing vertical diffusivity, such as the BL scheme, or including only near-field mixing, such as the S04 scheme used here.

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## 681 Conclusion

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683 Using Early Eocene IPSL-CM5A2 earth system model simulations, we demonstrate the 684 critically-overlooked impact of including a realistic estimate of the abyssal mixing driven by 685 the near-field dissipation of internal tides in deep-time paleoclimate simulations. In our 686 simulations, the global deep ocean circulation is substantially altered by the inclusion of abyssal 687 tidal mixing, in particular in the Atlantic basin, and the global meridional overturning circulation is more intense and penetrates deeper in the ocean interior. This consequently drives 688 689 large changes in the biogeochemical properties of deep water masses. In particular, we show that failing to include this abyssal turbulent mixing leads to a stagnant deep North Atlantic 690 691 ocean with large anoxic areas that compares less favorably to qualitative reconstruction of paleo-oxygenation for this period than the more vigorous deep Atlantic ocean simulated in the 692 experiment with realistic tidal mixing. Our results therefore stress the importance of routinely 693 694 including abyssal turbulent mixing in upcoming deep-time paleoclimate studies and underline 695 how the use of an adjunct biogeochemical model can help disentangle dynamical ocean modes 696 for which proxies are lacking.

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## 699 Acknowledgment

This work used computing hours on the HPC resources of the TGCC under GENCI allocations A0110102212 and A0130102212. We are grateful to Olivier Marti, Laurent Bopp, Olivier Aumont and Christian Ethé for discussions and help with the simulation setup. NCL (<u>https://www.ncl.ucar.edu</u>) was used to make figures and most analyses, in addition to Fortran. Figures use color maps developed by Fabio Crameri (Crameri et al., 2020). JAMG acknowledges funding from the UK Natural Environment Research Council (grants NE/F014821/1 and NE/S009566/1). YD acknowledges funding from the National Agency for Research (ANR) project OXYMORE (grant 18-CE31-0020). The authors declare no conflictof interest.

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## 711 Data availability

- 712 Code availability. LMDZ, NEMO (including PISCES), ORCHIDEE and XIOS are released
- vunder the terms of the CeCILL license. OASIS-MCT is released under the terms of the Lesser
- 714 GNU General Public License (LGPL). IPSL-CM5A2 source code is available via modipsl with
- 715 the command lines:
- svn co -r 6039 https://forge.ipsl.jussieu.fr/igcmg/svn/modipsl/trunk modipsl;
- 717 cd modipsl/util; ./model IPSLCM5A2.2
- 718 The model revision numbers used in this work can be found in the modipsl/util/mod.def file:
- 719 NEMOGCM branch nemo\_v3\_6\_STABLE revision 6665
- 720 XIOS2 branchs/xios-2.5 revision 1903
- 721 IOIPSL/src svn tags/v2\_2\_2
- 722 LMDZ5 branches/IPSLCM5A2.1 rev 3907
- 723 ORCHIDEE branches/ORCHIDEE-IPSLCM5A2.1 rev 7376
- OASIS3-MCT 2.0\_branch (rev 4775 IPSL server)
- We recommend to refer to the project website for a proper installation and compilation of the
- 726 environment:
- 727 https://forge.ipsl.jussieu.fr/igcmg\_doc/wiki/Doc/Config/IPSLCM5A2, last access: 21/11/2023.
- 728
- Model outputs. NetCDF outputs and scripts to produce the figures used in this study are stored
  at https://doi.org/10.5281/zenodo.10246071.
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# 741 Tables and figures

## 742

		Power consumption used for diapycnal			Fraction of power
		mixing (TW)			consumption due to
		$K_0$	Tides	Total	tides
Global	EE-std	1.03	0.422	1.45	0.29
	EE-noM2	1.02	0	1.02	0
Pacific	EE-std	0.590	0.282	0.872	0.32
	EE-noM2	0.584	0	0.584	0
Atlantic	EE-std	0.162	0.0560	0.218	0.26
	EE-noM2	0.160	0	0.160	0
Indian	EE-std	0.145	0.0661	0.211	0.31
	EE-noM2	0.140	0	0.140	0
Tethys	EE-std	0.109	0.0175	0.127	0.14
	EE-noM2	0.108	0	0.108	0
Arctic	EE-std	0.0278	5 10-4	0.0283	0.02
	EE-noM2	0.0255	0	0.0255	0

**Table 1.** Power consumed by diapycnal mixing and fraction of power consumption due to tides calculated at the global-scale and for individual basins shown on Figure S3.

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**Figure 1.** Stratification-weighted global zonal average of vertical diffusivity for EE-noM2 (a) and EE-std (b)  $(\log_{10}(m^2 s^{-1}))$ . Diffusivity at 600 m and 3000 m for EE-noM2 (c, e) and EE-std (d, f)  $(\log_{10}(m^2 s^{-1}))$ .



**Figure 2.** (a) EE-std mean annual upper ocean (0-100 m) temperatures (°C). (b) Mean annual upper ocean temperature (0-100 m) difference (°C) between EE-noM2 and EE-std. (c) Mean winter MLD (m) in EE-noM2. (d) Mean winter MLD difference (m) between EE-std and EE-noM2.



**Figure 3.** Global meridional overturning streamfunction (Sv) in EE-std (a) and EE-noM2 (b). Note that the MOC has been computed in density coordinates and reprojected to a pseudo-depth, following de Lavergne et al. (2017).



**Figure 4.** Ocean velocity (cm s<sup>-1</sup>) at 500 m depth (a,b), averaged between 1400 and 1800 m (c, d) and averaged between 3250 and 3750 m (e, f) in EE-noM2 (a, c, e) and EE-std (b, d, f).



**Figure 5.** Zonally-averaged s<sub>3</sub> isopycnal profiles (kg m<sup>-3</sup>) across the deep Atlantic in EE-noM2 (a) and EE-std (b) computed in density coordinates and reprojected to a pseudodepth. The 40.08 and 39.98 kg m<sup>-3</sup> s<sub>3</sub> contours are highlighted in red in (a) and (b) respectively, for easier visualization. Zonally averaged water age profile across the Atlantic in EE-noM2 (c) and EE-std (d). Note the different vertical axes between the two columns.



**Figure 6.** Zonally-averaged dissolved oxygen concentrations (mmol m<sup>-3</sup>) across the Atlantic in EE-noM2 (a) and EE-std (b). Dissolved oxygen concentrations (mmol m<sup>-3</sup>) at the seafloor in EE-noM2 (c) and EE-std (d). The hypoxic (62.5 mmol m<sup>-3</sup>) and anoxic (6.5 mmol m<sup>-3</sup>) thresholds (Laugié et al., 2021) are contoured in white and red, respectively.





**Figure 7.** Zonally averaged (a) dissolved oxygen concentrations (mmol  $m^{-3}$ ), (b) O<sub>2sat</sub> (mmol  $m^{-3}$ ), (c) AOU (mmol  $m^{-3}$ ) and (d) water age (years) across the Atlantic in EE-noM2. Note the different scale in panel (b) relative to (a) and (c).





**Figure 8.** Zonally averaged (a) dissolved oxygen concentration difference (mmol m<sup>-3</sup>) and (b) AOU difference (mmol m<sup>-3</sup>) across the Atlantic between EE-std and EE-noM2 (shading and black contours). White contours denote the difference in water age between EE-std and EE-noM2 (positive solid and negative dashed).



**Figure 9.** Dissolved oxygen concentration (mmol  $m^{-3}$ ) transect across the Atlantic for EEnoM2 (a) and EE-std (b). The transect followed is shown on Fig. S3. At the exception of Sites 1262 and 1266 (blue color), for which oxygen-rich conditions have been reported, other Atlantic sites (brownish color) exhibit low oxygen conditions, according to proxy data.

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- 763 **References**

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1	Impacts of tidally driven internal mixing in the Early Eocene Ocean						
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4	Jean-Baptiste Ladant <sup>1</sup> , Jeanne Millot-Weil <sup>1,*</sup> , Casimir de Lavergne <sup>2</sup> , J. A. Mattias						
5	Green <sup>3</sup> , Sébastien Nguyen <sup>1</sup> , Yannick Donnadieu <sup>4</sup>						
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7	<sup>1</sup> Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-						
8	UVSQ, Université Paris-Saclay, 91191, Gif-sur-Yvette, France.						
9	<sup>2</sup> Laboratoire d'Océanographie et du Climat : Expérimentations et Approches Numériques,						
10	IPSL, Sorbonne Université/IRD/CNRS/MNHN, Paris, France.						
11	<sup>3</sup> School of Ocean Sciences, Bangor University, Menai Bridge, UK.						
12	<sup>4</sup> Aix Marseille Université, CNRS, IRD, INRA, Coll France, CEREGE, Aix-en-Provence,						
13	France						
14							
15	Corresponding author: Jean-Baptiste Ladant (jean-baptiste.ladant@lsce.ipsl.fr)						
16	*Now at: School of Geographical Sciences, University of Bristol, Bristol, UK.						
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19	Key Points:						
20 21	• Inclusion of realistic near-field tidal mixing substantially modifies global deep ocean circulation in the Early Eocene.						
22 23	• These tidally-driven changes yield significantly different biogeochemical properties of water masses, in particular in the Atlantic.						
24	• The simulation that includes tidal mixing compares more favorably to inferences from						
25	the O <sub>2</sub> proxy record.						
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- 34 Abstract
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36 Diapycnal mixing in the ocean interior is largely fueled by internal tides. Mixing schemes that represent the breaking of internal tides are now routinely included in ocean and earth system 37 38 models applied to the modern and future. However, this is more rarely the case in climate simulations of deep-time intervals of the Earth, for which estimates of the energy dissipated by 39 40 the tides are not always available. Here, we present and analyze two IPSL-CM5A2 earth system 41 model simulations of the Early Eocene made under the framework of DeepMIP. One simulation 42 includes mixing by locally dissipating internal tides, while the other does not. We show how 43 the inclusion of tidal mixing alters the shape of the deep ocean circulation, and thereby of large-44 scale biogeochemical patterns, in particular dioxygen distributions. In our simulations, the absence of tidal mixing leads to a deep North Atlantic basin mostly disconnected from the 45 46 global ocean circulation, which promotes the development of a basin-scale pool of oxygendeficient waters, at the limit of complete anoxia. The absence of large-scale anoxic records in 47 48 the deep ocean posterior to the Cretaceous anoxic events suggests that such an ocean state most likely did not occur at any time across the Paleogene. This highlights how crucial it is for 49 50 climate models applied to the deep-time to integrate the spatial variability of tidally-driven mixing as well as the potential of using biogeochemical models to exclude aberrant dynamical 51 52 model states for which direct proxies do not exist.

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#### 55 1. Introduction

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57 Tides are the main supplier of diapycnal mixing in the ocean's interior, beneath the surface 58 boundary layers (e.g., Egbert and Ray, 2000; Vic et al., 2019; de Lavergne et al., 2020). 59 Barotropic tidal currents flowing over sloping bottom topography generate internal waves at 60 tidal frequency, called internal tides (Garrett and Kunze, 2007). The propagation, non-linear 61 interaction, and ultimate breaking of internal tides into three-dimensional turbulence constitutes 62 the primary contribution to diapycnal mixing (that is, mixing across isopycnals) and thus to 63 water mass transformation in the deep ocean (de Lavergne et al., 2022; Melet et al., 2022). 64 There are multiple pathways and processes leading to the dissipation of internal tide energy. 65 Small-scale internal tides tend to dissipate close to their generation site, whereas large-scale internal tides dissipate more remotely, sometimes thousands of kilometers away from the 66 generation site (Whalen et al., 2020). 67

The modern global overturning circulation is usually schematized as a two-loop system, 69 consisting of an adiabatic upper cell fed by deep convection in the North Atlantic (the NADW) 70 71 overlying a largely diabatic lower cell fed by Antarctic Bottom Water (AABW) formation in the Southern Ocean (Marshall and Speer, 2012; Talley, 2013; Melet et al., 2022). Diapycnal 72 73 mixing plays an important role in shaping this two-cell overturning circulation (Cimoli et al., 74 2023); in particular the tidally-driven, bottom-intensified, part of the mixing is instrumental in 75 reducing the density of northward-flowing AABW and in mixing AABW with NADW (de 76 Lavergne et al., 2022; Melet et al., 2022). It is the specific geometry of the modern Southern 77 Ocean, with its continent-free latitudinal band down to a depth of ~ 2000 m at the Drake 78 Passage, that favors the adiabatic upwelling of deep waters (NADW and Pacific/Indian Deep 79 Waters) in the surface Ekman divergence of the Southern Ocean (Toggweiler and Samuels, 80 1995, 1998). This prompts the possibility that, in periods of the deep-time past of the Earth when the Drake and/or Tasman gateways were closed or shallow, diapycnal (diabatic) mixing 81 82 may have played a greater role in setting the mode and intensity of the global overturning circulation (Green and Huber, 2013). 83

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Since the seminal work of Munk (1966), great efforts have been made to understand what 85 controls diapycnal mixing in the ocean interior (e.g., Munk and Wunsch, 1998; St. Laurent and 86 Garrett, 2002; MacKinnon et al., 2017) and to refine the parameterizations of vertical diffusivity 87 88 in ocean general circulation models (GCM) (e.g., Bryan and Lewis, 1979; Gargett, 1984; Simmons et al., 2004; Saenko and Merryfield, 2005; Jayne, 2009; Schmittner and Egbert, 2014; 89 Melet et al., 2016; de Lavergne et al., 2020; Song et al., 2023). Recent work has 90 comprehensively reviewed what is currently known about the role of ocean mixing in the 91 92 climate system (Whalen et al., 2020; de Lavergne et al., 2022; Melet et al., 2022) and, in 93 particular, the contribution of different internal wave processes (e.g., near-field and far-field 94 internal tide dissipation, lee wave dissipation and wind-induced near-inertial wave energy 95 dissipation) to the total mixing. The parameterization of all of these processes into global ocean models is a currently active area of research (MacKinnon et al., 2017) and, in climate models 96 97 applied to the deep-time past of the Earth, such processes are generally ignored. Instead, mixing in the ocean interior is parameterized either by a constant background diffusivity coefficient or 98 99 by simple schemes such as a horizontally uniform but depth varying diffusivity (Bryan and 100 Lewis, 1979, hereafter BL).

102 In recent years though, some models applied to paleoclimate studies have started to include to 103 contribution of local (near-field) internal tide dissipation (e.g., Schmittner et al., 2015; 104 Hutchinson et al., 2018; Wilmes et al., 2021), following the bottom-intensified mixing 105 parameterization of Simmons et al. (2004, hereafter S04). Wilmes et al. (2021) notably show 106 that using appropriate Last Glacial Maximum tidal dissipation, instead of modern dissipation 107 with otherwise glacial forcings, invigorates the circulation in the ocean interior and increases 108 the fit with carbon isotope measurements. Hutchinson et al. (2018) compare the S04 scheme 109 with the previously-implemented BL scheme in Late Eocene GFDL CM2.1 earth system model 110 simulations and essentially find very little differences in terms of ocean circulation structure 111 and intensity and of water mass age. This is somehow contradictory to the same exercise 112 performed by Jayne (2009) using modern simulations carried out with the NCAR POP 1.4.3 113 ocean model. In the latter work, the change from the BL parameterization to an explicit tidal mixing scheme leads to small impacts on the simulated ocean heat transport (OHT) and upper 114 ocean circulation (because of similar vertical diffusivity values there) but significantly 115 116 increases the intensity of the deep circulation (Jayne, 2009).

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Another approach has consisted in adding an explicit tidal contribution to the momentum equations rather than to the parameterization of vertical diffusivity (Weber and Thomas, 2017). Though limited to relatively short integration time (100 years in their 3° x 2° Early Eocene ECHAM5/MPIOM configuration) because the explicit tidal forcing requires high resolution simulations (Song et al., 2023), the simulations of Weber and Thomas (2017) report a weak impact of tidal forcing on OHT and large-scale ocean circulation shape but a more significant impact on the intensity of the overturning circulation, echoing the results of Jayne (2009).

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More recently, Zhang et al. (2022) have explored the variability in ocean circulation in models participating to the DeepMIP project on the Early Eocene (Lunt et al., 2017), in which the models were forced by a set of Early Eocene forcings, identical across the models but for the details of their implementation. The authors report large inter-model differences in simulated ocean circulation structure and intensity (Zhang et al., 2022, their Figure 2). Interestingly, the model simulating the most intense overturning circulation (IPSL-CM5A2) is one of the only two DeepMIP models explicitly including a tidal-mixing contribution to vertical diffusivity.

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Here, we investigate the impacts of the inclusion of near-field bottom-intensified tidal mixing(using the S04 parameterization) on the ocean circulation and biogeochemistry in the Early

Eocene. We demonstrate that failing to include abyssal turbulent mixing leads to a stagnant
ocean with large areas of anoxia, which does not match proxy data from the Equatorial and
North Atlantic.

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### 141 **2. Model and simulations**

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#### 2.1. IPSL-CM5A2 Earth System Model

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The simulations presented in this work are performed with the IPSL-CM5A2 Earth System 145 146 Model (Sepulchre et al., 2020), itself composed of LMDZ for the atmosphere (Hourdin et al., 2013), ORCHIDEE for the land surface and vegetation (Krinner et al., 2005), and NEMO 147 148 version 3.6 for the ocean (Madec and the NEMO team, 2016). NEMOv3.6 consists of the OPA dynamic ocean model, the LIM2 sea-ice model (Fichefet and Maqueda, 1997) and the PISCES-149 150 v2 marine biogeochemistry model (Aumont et al., 2015). OASIS (Valcke, 2013) is used to couple the models, and XIOS (Meurdesoif et al., 2016) handles input/output processing. LMDZ 151 152 and ORCHIDEE shares the same horizontal resolution of 3.75° x 1.875° (longitude x latitude) and LMDZ is discretized into 39 uneven levels in the vertical. NEMO has a nominal horizontal 153 resolution of 2°, enhanced to 0.5° at the equator, and 31 vertical levels whose thickness varies 154 from 10 m at the surface to 500 m at the bottom. NEMO uses a tripolar grid to overcome the 155 North Pole singularity (Madec and Imbard, 1996). Previous deep-time paleoclimate modeling 156 with the IPSL-CM5A2 model (e.g., Laugié et al., 2021), including the IPSL-CM5A2 157 158 simulations carried out as part of the DeepMIP project (Zhang et al., 2020, 2022), used an 159 oceanic domain extending down to 78°S. Here the numerical ocean grid has been regenerated 160 and extended southward in latitude down to 85°S in order to better represent possible marine incursions at latitudes poleward of 78°S in intervals of the last 100 Ma. We note, however, that 161 162 this represents a negligible issue in the standard DeepMIP paleogeography based on a hotspot 163 reference frame that we use here, though this would not be the case in Early Eocene 164 paleogeographies constructed with a paleomagnetic reference frame (Lunt et al., 2017).

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166 2.2 Mixing in the ocean model

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In this version of NEMO, vertical mixing in the water column is implemented as a Turbulent
Kinetic Energy (TKE) closure model (Gaspar et al., 1990; Blanke and Delecluse, 1993). This

closure is complemented with a parameterization for convection, consisting of enhanced
vertical diffusion where stratification is unstable (Lazar et al., 1999), a parameterization for
double diffusive mixing (Merryfield et al., 1999), and a tidal mixing parameterization following
S04. The vertical eddy diffusivity coefficient K<sub>v</sub> is thus expressed as:

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$$K_v = \max(K_0, K_{TKE}) + K_{ddm} + K_{Tides}$$
 for N<sup>2</sup> > 0 (stable stratification)

- 176  $K_v = K_{EVD}$  otherwise
- 177

178 with N the Brunt-Väisälä frequency,  $K_0$  a background diffusivity effectively setting the 179 minimum vertical diffusivity,  $K_{TKE}$  the diffusivity computed from the TKE scheme,  $K_{ddm}$  the 180 diffusivity attributed to double diffusion,  $K_{Tides}$  the tidal diffusivity and  $K_{EVD}$  a prescribed 181 constant convective diffusivity. Rigorously, the tidal and double diffusion schemes contribute 182 to  $K_v$  even in regions of unstable stratification but the very large diffusivity value 183 parameterizing convective processes renders these contributions negligible. Here,  $K_0$  is set to 184 1.2 10<sup>-5</sup> m<sup>2</sup> s<sup>-1</sup>,  $K_{EVD} = 100$  m<sup>2</sup> s<sup>-1</sup> and  $K_{Tides}$  has the form:

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- $K_{\text{Tides}} = \frac{q\Gamma EF}{\rho N^2}$  (Eq. 1)
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188 where q is the tidal dissipation efficiency,  $\Gamma$  is the mixing efficiency, E is the tidal energy flux 189 from Green and Huber (2013),  $\rho$  is the water density, N is the buoyancy frequency along the 190 seafloor and F is a vertical structure function that decays exponentially with height above 191 bottom:

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$$F(z) = \frac{e^{-\frac{H+z}{h_0}}}{h_0 \left(1 - e^{-\frac{H}{h_0}}\right)}$$
(Eq. 2)

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- 195 with H the total depth of the water column and  $h_0$  the vertical decay scale for turbulence.

196 We use the standard model values of  $q = \frac{1}{3}$ ,  $\Gamma = 0.2$  and  $h_0 = 500$  m (Madec and the NEMO 197 team, 2016), which are identical to those originally chosen by S04.

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- 1992.3 PISCES Marine Biogeochemistry Model
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201 The PISCES model (Pelagic Interactions Scheme for Carbon and Ecosystem Studies, Aumont 202 et al., 2015) simulates the lower trophic levels of marine ecosystems (nanophytoplankton, diatoms, microzooplankton and mesozooplankton), carbonate 203 chemistry and the 204 biogeochemical cycles of carbon, oxygen, and the main nutrients (phosphorus, nitrogen, iron 205 and silica). Dissolved oxygen is produced in the ocean by phytoplankton net primary production 206 and consumed by zooplankton heterotrophic respiration, oxic remineralization of organic 207 matter and nitrification. At the air-sea interface, dissolved oxygen is exchanged using the 208 parameterization of Wanninkhof (1992). The atmospheric concentration of dioxygen is set to a 209 fixed ratio of 0.21.

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In the water column, PISCES explicitly represents two pools of organic matter particles that 211 212 differ in their average size (i.e., large and small particles) and respective sinking speed, as well 213 as a pool of semi-labile dissolved organic matter. The particle pools are degraded into the dissolved one as a function of temperature and oxygen concentrations. Dissolved organic matter 214 215 undergoes oxic remineralization or denitrification depending on local oxygen levels. The 216 remineralization and denitrification rates are function of temperature, oxygen and nitrate 217 concentrations, and of the bacterial activity and biomass (Aumont et al., 2015). When reaching the ocean floor in the form of particles, organic matter is permanently buried or degraded by 218 219 sedimentary denitrification or oxic remineralization. The proportion of buried carbon is dependent on the organic carbon flux at the bottom and is computed according to Dunne et al. 220 (2007). The fraction of sedimentary denitrification versus oxic remineralization is computed 221 using the meta-model of Middelburg et al. (1996). Degraded organic carbon is then released 222 223 into the ocean bottom level in the form of DIC. Ocean bottom concentrations of dissolved 224 oxygen and nitrate are also consumed to account for sedimentary oxic remineralization and 225 denitrification, respectively (Aumont et al., 2015). In the absence of an explicit sediment 226 module, the global inventories in phosphate, nitrate, silicate and alkalinity are restored to 227 modern values so that the global mean ocean concentrations in these elements do not drift away 228 from modern mean concentrations (Aumont et al., 2015). We also use an additional inert 229 artificial tracer representing the age of water masses. This age tracer value is restored to 0 in 230 the top 10 m of the model ocean and increases at a rate of one year per year deeper than 10 m 231 (Bopp et al., 2017).

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- 233 2.4 Experimental design
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235 We present two numerical simulations of the Early Eocene based on the DeepMIP protocol (Lunt et al., 2017). The boundary and initial conditions are essentially those of the 840 ppmv 236 simulations of Zhang et al. (2020), that is, we use the paleogeography of Herold et al. (2014) 237 with a prescribed atmospheric CO<sub>2</sub> concentration of 840 ppmv. The orbital parameters of the 238 239 Earth are those of present-day and other greenhouse gas concentrations are set to their preindustrial values. The simulations are therefore representative of a pre-Paleocene-Eocene 240 241 Thermal Maximum interval, following the terminology of Lunt et al. (2017). The simulations 242 are initialized with ocean temperature and salinity distributions as in Zhang et al. (2020) and 243 only differ by the absence ("EE-noM2") or presence ("EE-std") of the contribution of near-244 field internal tide energy dissipation (K<sub>Tides</sub>) to the vertical diffusivity coefficient. In the 245 following, we will refer to the absence or presence of tidal mixing, though this is somewhat a misnomer because the contribution of background diffusivity (i.e. K<sub>0</sub>) to vertical diffusivity is 246 247 included in the two simulations. As described in S04, this background diffusivity may account 248 for the far-field dissipation of large-scale internal tides as well as other sources of mixing that 249 are not explicitly modeled, such as lee waves or wind-induced radiating near-inertial waves 250 (e.g., Melet et al., 2022).

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In NEMOv3.6, the tidal energy flux E includes components for the M2, K1 and S2 tides whereas Green and Huber (2013) provides an estimate only for the M2 tide (see Fig. S1 for a map of the estimated M2 dissipation). Considering that 1) the M2 component dominates the tide, and 2) the S2 energy flux is simply taken to be  $\frac{1}{4}$  of the M2 energy flux in the NEMOv3.6 mixing scheme, we argue that using  $\frac{5}{4}$  of the M2 estimate of Green and Huber (2013) as forcing in the model (M2 + S2 contributions) is a reasonable first step, despite the missing K1 contribution.

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260 The two simulations are run for 5100 model years after which both have reached quasiequilibrium with small residual trends in mean deep ocean (2750 - 4250 m) temperatures < 261 0.02°C/century (Fig. S2). The last 100 years of each model run are used to build a climatological 262 263 average for the ocean dynamics. In order to improve the equilibration of biogeochemistry, we extend the simulations in an offline PISCES configuration for another 4000 model years. In this 264 setup, the monthly-mean climatological ocean dynamics is repeatedly read by PISCES to 265 calculate the evolution of the biogeochemical tracer fields. Again, we use the last 100 model 266 267 years to build a climatological average for the ocean biogeochemistry.

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270	3. Results						
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272	3.1 Energetic considerations						
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274	3.1.1 Available energy						
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276	The tidal model used by Green and Huber (2013) yields an estimate of 1.44 TW of energ						
277	dissipated in the Eocene ocean by the M2 barotropic tide, which, interpolated on the NEMO						
278	grid, amounts to 1.473 TW. Because the tidal mixing scheme in NEMO includes the S2 tidal						
279	contribution expressed as one-fourth of the M2 contribution, the total energy input from tides						
280	is 1.841 TW, of which only one-third, 0.614 TW, is assumed to dissipate locally and employed						
281	in the tidal mixing scheme (because $q = \frac{1}{3}$ in Equation (1) above).						
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283	The implementation of the tidal mixing scheme in the model is not fully consistent energetically						
284	for two reasons. First, a fraction of the energy input is lost in the lower half of the bottom cells,						
285	where stratification and diffusivity are not defined because of the no-flux boundary condition						
286	at the bottom. Second, the model parameterization imposes an upper bound of $3x10^{-2}$ m <sup>2</sup> .s <sup>-1</sup> on						
287	the tidal diffusivity (Madec and the NEMO team, 2016). Diagnosing the energy effectively						
288	used by the tidal mixing scheme gives 0.42 TW, that is, about 70 % of the expected power						
289	(0.614 TW).						
290							
291	We can compute the power consumption due to vertical mixing processes, expressed as in S04:						
292							
293	$P = \frac{1}{\Gamma} \int \rho K N^2 dV \qquad (Eq. 3)$						
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295	Table 1 shows the amount of power consumed at the global scale and in each basin (Atlantic,						

Pacific, Indian, Tethys and Arctic, represented on Fig. S3). At the global scale, the total power consumed by diapycnal mixing in the model is 1.45 TW in EE-std. This is weaker than the 1.84 TW of total M2 + S2 tidal dissipation estimated by the model of Green and Huber (2013). Two considerations shed light on this difference. First, the effective consumption by tidal vertical mixing is only about 70 % of what is expected from Equation (1). Second, the background

diffusivity is simply prescribed and does not depend on the tidal dissipation; hence power
 consumption by background mixing cannot be expected to match the unused two-thirds of
 barotropic tidal energy loss.

Overall, we calculate that tidal mixing represents about 29 % of the total power consumed by diapycnal mixing in the ocean interior. This ratio is only slightly lower to that simulated by S04, somewhat surprisingly given the very different paleogeography and stratification of our simulations. At the basin scale and excluding the Arctic basin, the contribution from tides varies from 14 % of the total power in the Tethys basin to 32 % in the Pacific basin. This is consistent with larger mean dissipation rates in the Pacific and Indian Oceans than in the Atlantic and Tethys Oceans (Fig. S1).

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3.1.2 Diapycnal diffusivity

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The inclusion of tidal mixing substantially changes the amount of energy available to mix the deep ocean. Diapycnal diffusivities are therefore considerably different both horizontally and vertically in EE-std compared to EE-noM2.

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In EE-noM2, the zonally averaged vertical diffusivity is generally close to the background value 318 319 except in the surface mixed-layer depth, in which mixing due to the winds generates elevated vertical diffusivity, and in the Southern Ocean where deep convection processes mix waters 320 down to the abyss (Fig. 1a, c, e). At mid depths (2000 - 3000 m), the zonal mean vertical 321 322 diffusivity is elevated throughout the low latitudes (Fig. 1a). This signal mostly originates from 323 a relatively isolated abyssal sub-basin in the eastern Pacific Ocean between the East Pacific 324 Rise and the American continent (Fig. 1e) in which the weak stratification elevates  $K_{TKE}$  and 325 stimulates episodic convective instabilities. At 600 m depth (Fig. 1c), away from turbulent 326 wind-driven mixing, vertical diffusivity is close to the background value K<sub>0</sub> except in deep 327 convection zones of the Southern Ocean. Because the 600 m geopotential surface is also 328 generally far from bottom topography, adding tidal mixing in EE-std does not significantly alter 329 vertical diffusivity at this depth (Fig. 1d), except in deep-water formation zones close to the 330 Antarctic margins. By contrast, diffusivity at 3000 m depth is enhanced by about 2 orders of 331 magnitude in broad regions of the Pacific and Indian Oceans in EE-std relative to EE-noM2 332 (Fig. 1f). Note that because tidal mixing is implemented here as a bottom-intensified energy dissipation, and because stratification generally decreases with depth, the maximum tidal 333 334 diffusivity in the vertical is found locally on the deepest ocean grid cell. The Atlantic basin in

the Eocene configuration exhibits a weaker tide than the Pacific (Green and Huber, 2013, see also Fig. S1) and, therefore, vertical diffusivity does not increase as much as in the Pacific Ocean in EE-std compared to EE-noM2. The zonally averaged vertical diffusivity essentially shows that diapycnal mixing is substantially enhanced in the ocean interior. As we will show in the next sections, the additional mixing energy available in the deep ocean has profound consequences on the intensity of the overturning circulation and the pathways of water masses.

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#### 3.2 Surface changes

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344 The upper-ocean (0 - 100 m) annual mean temperatures in EE-noM2 are roughly close to  $10^{\circ}$ C 345 in the Southern Ocean and to 5°C in the quasi-enclosed Arctic Ocean (Fig. 2a). They increase equatorward to reach up to more than 37°C in the equatorial western Pacific. As expected from 346 347 similar simulations performed with the same model, this temperature distribution is really close to that presented on Figure 2a of Zhang et al. (2020) (see Fig. S4 for a more detailed 348 349 comparison). Tidally-driven mixing leads to large changes in the Southern Ocean surface layer. 350 The Atlantic and Indian sectors of the Southern Ocean are warmer (locally more than 4K) in 351 EE-std than in EE-noM2 (Fig. 2b), whereas the Pacific sector is cooler, although the change is smaller. Warmer (cooler) regions of the Southern Ocean in EE-std are also regions of increased 352 353 (decreased) upper ocean salinity (not shown).

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In EE-noM2, deep convective areas are exclusively found in the Southern Ocean, in the 355 Atlantic, Indian and Pacific sectors (Fig. 2c), and there is no deep-water formation in the 356 357 Northern Hemisphere. The upper-ocean temperature changes in EE-std are sustained by increased deep-water formation in the Atlantic and Indian sector of the Southern Ocean 358 359 compared to EE-noM2 as can be seen by the deepening of the winter mixed layer depth (MLD) 360 in these areas (Fig. 2d). In the South Atlantic, the MLD deepens by more than 1000 m and 361 enhances the temperature and salt advection feedback from the lower latitudes. In the Pacific 362 sector, the winter MLD instead slightly decreases, driving the opposite change in the advection feedback. Figure S5 further shows that the deepening/shoaling of MLD in EE-std relative to 363 364 EE-noM2 is robust across the simulations and not simply an artifact of the averaging period.

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366 3.3 Ocean circulation changes

368 The increase in available energy for mixing is reflected by a significant enhancement of the global meridional overturning circulation (MOC) (Fig. 3). The MOC in the two simulations has 369 370 a roughly comparable shape consisting of a single anticlockwise overturning cell in the 371 Southern Hemisphere fed by deep-water formation in the Southern Ocean. The intensity of the 372 MOC and the penetration of deep-water in the abyss is however greater in EE-std than in EEnoM2, although the maximum rate of overturning is similar in the two simulations (~ 35 Sv at 373 374 2000 m depth in EE-std and at 900 m depth in EE-noM2). Away from the Southern Ocean, the 375 additional tidal mixing energy sustains a stronger and deeper overturning cell extending up the 376 northern mid to high latitudes (8 Sv at 2000 m depth and 30°N in EE-std, Fig. 3b), effectively increasing the ventilation of the EE-std ocean compared to EE-noM2 and acting to reduce 377 378 vertical tracer gradients.

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This homogenization is evident from the global zonal mean distribution of temperature (Fig. S6), which shows a globally warmer deep ocean (below  $\sim 1000$  m) and a globally cooler upper and intermediate ocean in EE-std compared to EE-noM2 at all latitudes except those of the Southern Ocean (80°S – 40°S) where the ocean is globally warmer throughout the water column. The EE-std ocean is thus more vertically well mixed than the EE-noM2 ocean.

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386 The intensification of the global MOC has interesting consequences on the water mass pathways, in particular in the Atlantic. Figure 4 shows the ocean current velocity and direction 387 at different depths in the South Atlantic and Southern Ocean. At 500 m depth, the western 388 389 boundary current flowing southward off the coast of South America is substantially increased 390 in EE-std. This increase confines the westward-flowing water masses close to Antarctica to the 391 Southern Ocean, whereas in EE-noM2, these waters mix with those from the South Atlantic 392 western boundary current towards the Indian Ocean. Deeper in the water column (1400 - 1800 393 m depth), the water masses flowing from the Atlantic to the Indian sector of the Southern Ocean 394 in EE-noM2 consist of recirculated waters from the Southern Ocean and locally-formed deep 395 waters, as the southward-flowing Atlantic western boundary current is absent. In contrast, in 396 EE-std, the southward western-boundary current is still active and contributes to exporting 397 water masses from the low-latitude Atlantic toward the Indian Ocean. In the abyss (3250 - 3750)398 m), only a very small fraction of the Southern Ocean water masses flows northward in the 399 Atlantic in EE-noM2 while most are exported eastward to the Indian Ocean. In EE-std an 400 intense northward current advects water masses along the western side of the basin into the 401 Equatorial and North Atlantic.

403 These results demonstrate that the deep Equatorial and North Atlantic Oceans are more isolated 404 from the global ocean circulation below  $\sim 1500$  m in EE-noM2 than in EE-std. In the Early 405 Eocene, the deepest connections of the Atlantic basin are with the Southern Ocean because the 406 Central American, Tethys (Gibraltar) and Atlantic-Arctic gateways are all shallow and/or 407 narrow. Since the bathymetric configuration does not change between the two simulations, the 408 increased isolation of the EE-noM2 Equatorial and North Atlantic Oceans is purely caused by 409 lower levels of deep turbulent mixing, leading to major differences in Atlantic stratification and 410 circulation. In EE-std, tidal mixing renders abyssal water masses increasingly more buoyant as 411 they flow away from deep-water formation areas in the Southern Ocean whereas the buoyancy 412 gain across the Atlantic is weaker in EE-noM2. The isopycnal located at approximately 3000 m depth at 45°S (the 40.08 and 39.98 kg.m<sup>-3</sup>  $\sigma_3$  contour in EE-noM2 and EE-std respectively, 413 Fig. 5) indeed deepens to about 3400 m depth in EE-noM2 and 4500 m depth in EE-std at 35°N. 414 415 In other words, isopycnals of similar depth in the deep South Atlantic exhibit depth difference in excess of 1 km upon reaching the deep North Atlantic. The larger northward deepening of 416 417 the isopycnals across the deep Atlantic generates a stronger meridional pressure gradient and, 418 thus, forces a more active deep northward circulation (e.g., Whitehead, 1998) in EE-std 419 compared to EE-noM2, leaving the latter more stagnant.

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## 3.4 Biogeochemical changes

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The more active deep circulation with tidal mixing also yields a significant reorganization of the marine biogeochemistry in the deep ocean, in particular in the Atlantic. At the global scale, though it is once again more evident in the Atlantic (Fig. 5c and d), the deep ocean ventilation is reduced in the absence of tidal mixing. Notably, deep North Atlantic water masses are almost 3 times older in EE-noM2 than in EE-std. These deep water masses therefore exhibit very different biogeochemical properties in EE-noM2 and EE-std, and this is particularly visible on the distribution of dissolved oxygen across the water column.

430

In EE-noM2, the deep North Atlantic water masses possess the biogeochemical signature of very old water masses: rich in nutrients and dissolved inorganic carbon (DIC) and poor in oxygen. In fact, the North Atlantic is spectacularly oxygen-depleted (Fig. 6a), with hypoxia (defined here as the 62.5 mmol.m<sup>-3</sup> level) reached over the whole water column in the low latitudes of the North Atlantic (0 – 20°N) and below 800 m northward of 30°N. Anoxic levels are reached northward of 20°N at depths between 1500 and 3000 m. The North Atlantic seafloor
is fully hypoxic and most of the coastal seafloor is anoxic (Fig. 6c). In contrast, deep North
Atlantic DIC and phosphate concentrations are high (Figs. S7 and S8) because falling organic
matter has been remineralized along the water mass journey and nutrients have therefore
accumulated in the deep ocean. Nitrate concentrations, however, rather decrease northward in
the deep Atlantic (Fig. S9) because the depletion in oxygen in this ocean basin triggers
denitrification to continue the remineralization process.

443

In EE-std, the younger water masses in the deep North Atlantic are relatively rich in oxygen (Fig. 6b) and the seafloor is well oxygenated with only very limited hypoxic coastal areas. The North Atlantic exhibits higher nitrate concentrations in EE-std than EE-noM2 in the deep (Fig. S9), because the oxygen levels are above those required to trigger denitrification, and we find lower DIC and phosphate concentrations (Figs. S7 and S8), as expected for better ventilated water masses.

450

451 There are three main processes controlling the oxygenation of water masses in the ocean: 452 surface atmosphere-ocean interaction controlling the degree of solubility of O<sub>2</sub> in the ocean, ocean circulation, and biological activity. Dissolved O2 concentrations in the ocean can be 453 454 decomposed into a thermal and a non-thermal component, referred to as the saturation component (O<sub>2sat</sub>) and the Apparent Oxygen Utilization (AOU) respectively. O<sub>2sat</sub> is the 455 concentration of O<sub>2</sub> that can be dissolved for a given temperature and salinity whereas AOU 456 457 integrates the contribution of ocean circulation and biology. These quantities are related as 458 such:

459

 $O_2 = O_{2sat} - AOU$ 

460

As shown on Figure 7 for EE-noM2, surface O<sub>2sat</sub> increases poleward because solubility 461 462 increases with decreasing temperatures (Fig. 7b). Surface O<sub>2</sub> concentrations are generally close 463 to O<sub>2sat</sub> because, besides interacting with the atmosphere, the upper ocean layers gain dissolved O<sub>2</sub> as the result of photosynthesis of marine phytoplankton. The AOU is therefore low (e.g., the 464 465 surface mid-latitudes on Fig. 7c). One notable exception is the equatorial subsurface ocean 466 because it is a region of upwelling that brings to the upper ocean water masses extremely rich 467 in nutrients allowing for intense phytoplanktonic activity. Consequently, large amounts of organic matter sink and consume oxygen at a rate faster than the one at which the ocean restores 468 469 its O<sub>2</sub> concentration by atmospheric exchange.

In the intermediate and deep ocean,  $O_2$  concentrations are close to  $O_{2sat}$  in the Southern Ocean where deep convection occurs (Fig. 7a and b). As water masses age in the ocean interior (Fig. 7d),  $O_2$  concentrations depart from  $O_{2sat}$  because of the increasing influence of remineralization processes that consume oxygen in the water column (reflected by the increasing AOU, Fig. 7c). In the deep North Atlantic, extremely old water masses that have not been in contact with the atmosphere for more than a millennium exhibit AOU values almost equal to  $O_{2sat}$ , indicating that almost all the available  $O_2$  has been consumed.

478

479 Any change in dissolved  $O_2$  concentrations between EE-noM2 and EE-std can therefore be 480 partitioned into the change in  $O_{2sat}$ , reflecting the change in temperature and, to a lesser extent, 481 salinity between EE-std and EE-noM2 and the change in AOU, which reflects circulation and 482 biological changes:

 $\Delta O_2 = \Delta O_{2sat} - \Delta AOU$ 

- 483
- 484

In the Atlantic, the changes in dissolved  $O_2$  concentrations are almost fully explained by changes in AOU (Fig. 8). Interestingly, Figure 8 shows that contours of  $\Delta$ AOU and of the water age difference between EE-std and EE-noM2 are very well correlated, thereby strongly hinting that the primary driver of oxygen changes is the reorganization of the ocean circulation following the addition of tidally-driven mixing. This is also confirmed by the limited changes in export productivity to the intermediate and deep ocean (Fig. S10).

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492

#### 493 **4. Discussion**

494

Our simulations compellingly demonstrate the crucial role played by tidally-driven abyssal turbulent mixing in shaping the circulation and the distribution of biogeochemical tracers in the ocean interior. However, apart from a few sensitivity simulations (Thomas et al., 2014; Weber and Thomas, 2017; Hutchinson et al., 2018), most earth system models applied to deep-time climates generally neglect tidally-driven mixing as a specific forcing and alter (or not) spatiallyconstant coefficients in the implemented vertical mixing parameterization (e.g., Bryan and Lewis, 1979) as a workaround (e.g., Zhang et al., 2022).

503 Hutchinson et al. (2018) find weak differences in terms of MOC shape and intensity and water mass age between the standard BL scheme used in their CM2.1 Late Eocene simulations and 504 505 the same S04 bottom intensified mixing scheme as used here, indicating that the simulated 506 ocean circulation is largely similar. This is somewhat contradictory to the large change in MOC 507 intensity (and water age) found in our simulations, and we suggest a couple of explanatory 508 avenues. First, the standard BL scheme in Hutchinson et al. (2018) makes diffusivity increase 509 with depth—although without spatial dependence—and, as such, it is not rigorously similar to 510 prescribing a uniform background diffusivity coefficient K<sub>0</sub>. We note however that, comparing 511 the BL and S04 schemes in a modern configuration, Jayne (2009) observes a large enhancement 512 of the intensity of the deep cell of the MOC in S04 with little change in MOC structure, much 513 as we observe in our simulations. Second, though Hutchinson et al. (2018) apply the same S04 514 scheme as we do, their input dissipation rate E is recomputed directly using equation (2) of S04 515 and a uniform seafloor roughness amplitude whereas we prescribe E based on the explicit tidal model of Green and Huber (2013). This results in guite different mean vertical diffusivities at 516 517 a basin-scale. In particular, their mean Atlantic diffusivity, when using the tidal scheme, is 518 much enhanced compared to their mean Pacific diffusivity, whereas we find the opposite ratio 519 in our simulations (Fig. S11 and Fig. 9 of Hutchinson et al., 2018). Our results are in agreement with the enhanced Pacific dissipation found in the tidal model results of Green and Huber 520 521 (2013). Because the specifics of the calculation of the dissipation rate E are missing in 522 Hutchinson et al. (2018), it still remains unclear at this stage whether these differences stem 523 from (i) the spatial variability of the tidal forcing (which may be absent in Hutchinson et al., 524 2018), (ii) a different model implementation of the S04 tidal dissipation scheme, (iii) the change 525 in tidal forcing across the 15 Myrs separating the Early and Late Eocene, or (iv) different levels of spurious numerical mixing in GFDL-CM2.1 and IPSL-CM5A2 (e.g., Holmes et al., 2021). 526 527 This nonetheless suggests that the spatial distribution of the tidal forcing significantly alters the 528 simulated ocean circulation (Saenko, 2006; Jayne, 2009).

529

Using CCSM3-forced MITgcm simulations of the Early Eocene, which includes a Bryan-Lewis diffusivity profile and an older reconstruction of the paleogeography, Thomas et al. (2014) found that increasing the diffusivity beyond the standard BL coefficients allow for a large increase in the intensity of the MOC and yield a circulation mode that compares better to compiled Pacific  $\varepsilon_{Nd}$  data, in particular in the case in which abyssal mixing is increased. In an additional sensitivity experiment, the authors increased the mixing approximately fivefold throughout the water column; doing this significantly enhanced the poleward OHT and reduced 537 the meridional surface temperature gradients, in agreement with inferred proxy data as well as previous investigations of increased upper ocean mixing effect on OHT (e.g., Jayne, 2009). In 538 our simulations, the meridional SST gradient is only weakly affected by the addition of tidal 539 540 mixing because vertical diffusivity in the upper 1000 m is similar in EE-noM2 and EE-std (Fig. 541 S11). Below 1000 m, diffusivity (and meridional transport) increases substantially in EE-std but the vertical temperature gradient does not (Fig. S6) and the change in heat transport is small. 542 543 Thomas et al. (2014) however note that sustaining such elevated diffusivity across the water 544 column would require more than 20 TW; an amount of energy that tides cannot account for 545 (Green and Huber, 2013) and whose source has yet to be found.

546

547 Using the ECHAM5/MPIOM model with the Early Eocene paleogeography of Heinemann et al. (2009), Weber and Thomas (2017) also investigated the response of the Eocene ocean 548 549 circulation to tides. They simulate the change in ocean circulation in a similar setup than the 550 one presented here, although the inclusion of tides in their model is represented by an additional, 551 explicit, tidal forcing on the momentum equations rather than the parameterization of the 552 contribution of tides to vertical diffusivity (Song et al., 2023). The simulated ocean circulation 553 of Weber and Thomas (2017) exhibits deep-water formation in the Southern Atlantic, as here, but also in the North Atlantic. As in our experiments, adding tidal influence does not 554 555 substantially modify the location of deep-water formation regions, in contrast to the penetration 556 depth of these deep waters, but the limited integration time of their tidal simulation (100 years) prompts the possibility that it might not have reached sufficient equilibrium. One possible 557 558 reason, among others (see, e.g., Zhang et al., 2022), explaining the different regions of deep 559 convective activity is the paleogeographic reconstruction, which, in Weber and Thomas (2017), possesses in particular closed Drake Passage and Tasman Gateway and a more widely opened 560 561 Central American Seaway compared to the Herold et al. (2014) reconstruction that we use. We indeed note that the recent DeepMIP study of Zhang et al. (2022) on Early Eocene ocean 562 563 circulation highlights that all of the models—with the Herold et al. (2014) paleogeography— 564 produce deep-water formation in the Southern Ocean (regardless of the sector) at the exception 565 of the GFDL model, which exhibits deep convective activity in the North Pacific, and the 566 NorESM model, which does not exhibit any deep-water formation, possibly because of 567 insufficient spinup. In contrast, the models do not produce deep-water formation in the North 568 Atlantic. Though the details of the ocean circulation differ between our simulations and those 569 of Weber and Thomas (2017), the addition of tidal mixing has similar effects on the simulated 570 circulation. Weber and Thomas (2017) also report an increase in the intensity of the MOC but

- 571 hardly any increase in ocean heat transport, in keeping with the notion that the impacts of the
- 572 Eocene tide are concentrated in the abyssal ocean (Green and Huber, 2013).
- 573

574 In a recent comparison of the S04 tidal mixing scheme vs. explicit tidal forcing, both approaches 575 were implemented in the FESOM2 ocean model (Song et al., 2023). The authors conclude that 576 while the parameterized tidal mixing may miss some potentially important effects, such as the 577 enhancement of bottom drag and continental shelf viscous dissipation, the explicit tidal forcing 578 typically requires resolution of the order of 0.1° to produce realistic impacts. As a result, lower 579 resolution simulations compare less favorably to observed hydrography with this scheme than 580 with the S04 parameterization. It also makes the inclusion of explicit tidal forcing currently 581 inapplicable to long-term deep-time climate simulations (Song et al., 2023).

582

583 Other studies have attempted to simulate the biogeochemical state of the Early Eocene (e.g., Heinze and Ilyina, 2015), generally in order to focus on the PETM perturbation (Winguth et 584 585 al., 2012; Meissner et al., 2014; Ilyina and Heinze, 2019). In particular, Winguth et al. (2012) 586 and Heinze and Ilvina (2015) have used modelling setups consisting of biogeochemical models 587 of resolution and complexity similar to PISCES and forced by or coupled to ocean-atmosphere general circulation models, but the prescribed paleogeography and atmospheric CO<sub>2</sub> bear no 588 589 consistency between the studies unlike more recent coordinated efforts such as DeepMIP (Lunt et al., 2017, 2021). Deep O<sub>2</sub> concentrations exhibit large differences between the simulations: 590 the 1120 ppmv CO<sub>2</sub> simulation of Winguth et al. (2012) generates a well oxygenated Pacific 591 592 Ocean and a more poorly oxygenated Atlantic Ocean whereas the 560 ppmv CO<sub>2</sub> simulation of 593 Heinze and Ilyina (2015) shows a better oxygenated Atlantic than Pacific Ocean. In our 594 simulations with tidal mixing at 840 ppmv, the deep Atlantic is better oxygenated than the 595 Pacific (Fig. S12) but the equatorial Atlantic oxygen minimum zone is more developed and has 596 lower O<sub>2</sub> concentrations. Interestingly, the primary production patterns in the upper ocean are 597 more similar, with for instance intense primary production in most of the equatorial Pacific, in 598 the eastern side of the Pacific and Atlantic Oceans as well as in the Southern Ocean. This 599 suggests that the diversity in O<sub>2</sub> distributions across the simulations largely reflects the 600 simulated ocean circulation, at least in the deep ocean.

601

602 There is currently no quantitative proxy for  $O_2$  concentrations in the past, although semi-603 quantitative multi-proxy approaches can provide estimates of poorly oxygenated bottom water 604 conditions ( $\leq$  50 µmol/kg) (Lu et al., 2020). Most studies therefore report qualitative estimates

605 of the local oxygenation state of the ocean relative to a baseline value, using redox-sensitive proxies such as the I/Ca ratio (e.g., Zhou et al., 2014, 2016), trace elements like molybdenum 606 or manganese (Dickson et al., 2012, 2014; Pälike et al., 2014) or magnetofossils (Xue et al., 607 608 2022, 2023). Anoxic bottom water masses are perhaps more easily identifiable because the 609 sedimentary abundance of trace elements is strongly redox dependent and sedimentary enrichment above average crustal values via complexification with sulfide elements is 610 611 interpreted as reflecting high dissolved sulfide concentrations and thus anoxic/euxinic 612 conditions (Dickson et al., 2012, 2014). If the distribution of Early Eocene redox archives is 613 relatively global (though concentrated in the peri-Tethys area, see Figure 6 of Carmichael et 614 al., 2017), the information conveyed by these estimates remains potentially strongly influenced 615 by local settings (Clarkson et al., 2021). A complementary approach therefore consists in estimating the global area or volume occupied by anoxic or euxinic waters, using the isotopic 616 617 ratio of molybdenum (Dickson et al., 2012), sulfur (Yao et al., 2018) or uranium (Clarkson et 618 al., 2021), rather than reporting local estimates of bottom water oxygenation. For instance, 619 combining uranium isotope measurements from ODP Site 865 (Allison Guyot, equatorial Pacific Ocean), DSDP Site 401 (Bay of Biscay, northeast Atlantic Ocean) and ODP Site 690 620 621 (Maud Rise, Atlantic sector of Southern Ocean) with box modelling, Clarkson et al. (2021) propose a maximal extent of seafloor anoxia of 0.25 % prior to the PETM perturbation and 2 622 623 % at the PETM.

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625 In the following, we compare the simulated oxygen concentrations in EE-noM2 and EE-std 626 with available data across a transect in the Atlantic using reported oxygen conditions at each 627 site (Fig. 9) and compute the extent of anoxic seafloor simulated by the model. Three main 628 observations can be made. First, the qualitative nature of the proxy leaves room for various 629 interpretations. At the exception of Site 1262 and 1266 at Walvis Ridge for whose oxygen-rich 630 conditions have been reported by the different proxies (Pälike et al., 2014; Xue et al., 2022), 631 low oxygen content is estimated at every site but the degree of oxygen deficiency is unclear 632 because anywhere between the anoxic (0  $\mu$ mol/L) and hypoxic threshold (~ 60 - 70  $\mu$ mol/L, Lu et al., 2020; Laugié et al., 2021). Second, at face value, the simulated O<sub>2</sub> concentrations are 633 634 probably too low in EE-noM2, in particular in the North Atlantic, and too high in EE-std. Third, 635 the fact that reported qualitative oxygen conditions in the data on Figure 9 reflect pre-PETM 636 conditions and that most of these proxies suggest decreasing oxygen concentrations across the PETM perturbation but without extensive anoxia leads to the conservative assumption that the 637 638 pre-PETM ocean did not exhibit large-scale conditions too close to anoxia. In this regard, the

simulated oxygen concentrations suggest that the ocean biogeochemical state in EE-noM2 is
probably aberrant. This is confirmed by our calculation of the extent of anoxic seafloor,
respectively 2.3 % in EE-noM2 and 0.1 % in EE-std, which also suggests an excess in oxygen
depletion in EE-noM2 compared to pre-PETM estimates (Clarkson et al., 2021).

643

644 In addition, here, we do not *stricto sensu* model the biogeochemical conditions of the pre-PETM 645 as, for instance, the global mean nutrient concentrations in phosphate, nitrate, alkalinity and 646 silicate in the ocean are identical to the modern. Recent statistical box-modelling instead 647 suggests that the marine phosphate concentrations reached a peak in the Paleogene, thus promoting higher primary productivity and lower deep-ocean O<sub>2</sub> concentrations (Sharoni and 648 649 Halevy, 2023). All else being equal, prescribing a higher marine nutrient content in our 650 simulations would decrease oxygen concentrations in both EE-noM2 and EE-std but with 651 opposite effect on the model-data comparison. In EE-std, this would reduce the model-data mismatch because simulated O<sub>2</sub> concentrations are likely too high whereas in EE-noM2, it 652 653 would increase the proportion of anoxic waters and thereby increase the mismatch with estimates from the geological record. The model-data mismatch in EE-std could be even further 654 655 reduced with a better representation of the smaller meridional temperature gradients that are inferred from proxy data (e.g., Huber and Caballero, 2011; Evans et al., 2018) because this 656 657 would act to reduce the amount of oxygen stored in surface waters, and therefore decrease O<sub>2</sub> concentrations throughout the water column. This also implies that the simulated O<sub>2</sub> 658 concentrations in EE-noM2 are likely a conservatively "high-concentration" estimate and thus 659 660 that the aberrant biogeochemical state likely reflects an aberrant Early Eocene dynamical ocean 661 in EE-noM2.

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663 Finally, we note that the input energy dissipation from the M2 tide that was used here is not exactly appropriate because the tidal model of Green and Huber (2013) was run with the ocean 664 665 stratification obtained from the low-resolution equilibrated CCSM3 simulations discussed in 666 Liu et al. (2009) instead of having been run with the IPSL-CM5A2 stratification. However, these simulations use a bathymetry close to that used in Green and Huber (2013) and the abyssal 667 668 tidal dissipation is relatively insensitive to moderate changes in stratification. In addition, both 669 our simulations and those of Liu et al. (2009) exhibit deep-water formation in the Southern 670 Ocean. We thus argue that our results would not be significantly affected if the stratification 671 from our simulations had been used in the tidal model simulations. In contrast, a larger impact 672 is likely to be expected by the use of a higher-resolution bathymetric dataset in an improved version of the tidal inversion model of Green and Huber (2013), such as that proposed in Green et al. (2023), and we ambition to investigate this possibility in a near future. Alternatively, a promising way lies in the use of comprehensive tidal mixing schemes accounting for both nearfield and far-field dissipation of internal tides (de Lavergne et al., 2020), rather than schemes fixing vertical diffusivity, such as the BL scheme, or including only near-field mixing, such as the S04 scheme used here.

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#### 681 Conclusion

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683 Using Early Eocene IPSL-CM5A2 earth system model simulations, we demonstrate the 684 critically-overlooked impact of including a realistic estimate of the abyssal mixing driven by 685 the near-field dissipation of internal tides in deep-time paleoclimate simulations. In our 686 simulations, the global deep ocean circulation is substantially altered by the inclusion of abyssal 687 tidal mixing, in particular in the Atlantic basin, and the global meridional overturning circulation is more intense and penetrates deeper in the ocean interior. This consequently drives 688 689 large changes in the biogeochemical properties of deep water masses. In particular, we show that failing to include this abyssal turbulent mixing leads to a stagnant deep North Atlantic 690 691 ocean with large anoxic areas that compares less favorably to qualitative reconstruction of paleo-oxygenation for this period than the more vigorous deep Atlantic ocean simulated in the 692 experiment with realistic tidal mixing. Our results therefore stress the importance of routinely 693 694 including abyssal turbulent mixing in upcoming deep-time paleoclimate studies and underline 695 how the use of an adjunct biogeochemical model can help disentangle dynamical ocean modes 696 for which proxies are lacking.

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## 699 Acknowledgment

This work used computing hours on the HPC resources of the TGCC under GENCI allocations A0110102212 and A0130102212. We are grateful to Olivier Marti, Laurent Bopp, Olivier Aumont and Christian Ethé for discussions and help with the simulation setup. NCL (<u>https://www.ncl.ucar.edu</u>) was used to make figures and most analyses, in addition to Fortran. Figures use color maps developed by Fabio Crameri (Crameri et al., 2020). JAMG acknowledges funding from the UK Natural Environment Research Council (grants NE/F014821/1 and NE/S009566/1). YD acknowledges funding from the National Agency for Research (ANR) project OXYMORE (grant 18-CE31-0020). The authors declare no conflictof interest.

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## 711 Data availability

- 712 Code availability. LMDZ, NEMO (including PISCES), ORCHIDEE and XIOS are released
- vunder the terms of the CeCILL license. OASIS-MCT is released under the terms of the Lesser
- 714 GNU General Public License (LGPL). IPSL-CM5A2 source code is available via modipsl with
- 715 the command lines:
- 716 svn co -r 6039 https://forge.ipsl.jussieu.fr/igcmg/svn/modipsl/trunk modipsl;
- 717 cd modipsl/util; ./model IPSLCM5A2.2
- 718 The model revision numbers used in this work can be found in the modipsl/util/mod.def file:
- 719 NEMOGCM branch nemo\_v3\_6\_STABLE revision 6665
- 720 XIOS2 branchs/xios-2.5 revision 1903
- 721 IOIPSL/src svn tags/v2\_2\_2
- 722 LMDZ5 branches/IPSLCM5A2.1 rev 3907
- 723 ORCHIDEE branches/ORCHIDEE-IPSLCM5A2.1 rev 7376
- OASIS3-MCT 2.0\_branch (rev 4775 IPSL server)
- We recommend to refer to the project website for a proper installation and compilation of the
- 726 environment:
- 727 https://forge.ipsl.jussieu.fr/igcmg\_doc/wiki/Doc/Config/IPSLCM5A2, last access: 21/11/2023.
- 728
- Model outputs. NetCDF outputs and scripts to produce the figures used in this study are stored
  at https://doi.org/10.5281/zenodo.10246071.
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# 741 Tables and figures

# 742

		Power consumption used for diapycnal			Fraction of power
		mixing (TW)			consumption due to
		$K_0$	Tides	Total	tides
Global	EE-std	1.03	0.422	1.45	0.29
	EE-noM2	1.02	0	1.02	0
Pacific	EE-std	0.590	0.282	0.872	0.32
	EE-noM2	0.584	0	0.584	0
Atlantic	EE-std	0.162	0.0560	0.218	0.26
	EE-noM2	0.160	0	0.160	0
Indian	EE-std	0.145	0.0661	0.211	0.31
	EE-noM2	0.140	0	0.140	0
Tethys	EE-std	0.109	0.0175	0.127	0.14
	EE-noM2	0.108	0	0.108	0
Arctic	EE-std	0.0278	5 10-4	0.0283	0.02
	EE-noM2	0.0255	0	0.0255	0

**Table 1.** Power consumed by diapycnal mixing and fraction of power consumption due to tides calculated at the global-scale and for individual basins shown on Figure S3.

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**Figure 1.** Stratification-weighted global zonal average of vertical diffusivity for EE-noM2 (a) and EE-std (b)  $(\log_{10}(m^2 s^{-1}))$ . Diffusivity at 600 m and 3000 m for EE-noM2 (c, e) and EE-std (d, f)  $(\log_{10}(m^2 s^{-1}))$ .



**Figure 2.** (a) EE-std mean annual upper ocean (0-100 m) temperatures (°C). (b) Mean annual upper ocean temperature (0-100 m) difference (°C) between EE-noM2 and EE-std. (c) Mean winter MLD (m) in EE-noM2. (d) Mean winter MLD difference (m) between EE-std and EE-noM2.



**Figure 3.** Global meridional overturning streamfunction (Sv) in EE-std (a) and EE-noM2 (b). Note that the MOC has been computed in density coordinates and reprojected to a pseudo-depth, following de Lavergne et al. (2017).



**Figure 4.** Ocean velocity (cm s<sup>-1</sup>) at 500 m depth (a,b), averaged between 1400 and 1800 m (c, d) and averaged between 3250 and 3750 m (e, f) in EE-noM2 (a, c, e) and EE-std (b, d, f).



**Figure 5.** Zonally-averaged s<sub>3</sub> isopycnal profiles (kg m<sup>-3</sup>) across the deep Atlantic in EE-noM2 (a) and EE-std (b) computed in density coordinates and reprojected to a pseudodepth. The 40.08 and 39.98 kg m<sup>-3</sup> s<sub>3</sub> contours are highlighted in red in (a) and (b) respectively, for easier visualization. Zonally averaged water age profile across the Atlantic in EE-noM2 (c) and EE-std (d). Note the different vertical axes between the two columns.



**Figure 6.** Zonally-averaged dissolved oxygen concentrations (mmol m<sup>-3</sup>) across the Atlantic in EE-noM2 (a) and EE-std (b). Dissolved oxygen concentrations (mmol m<sup>-3</sup>) at the seafloor in EE-noM2 (c) and EE-std (d). The hypoxic (62.5 mmol m<sup>-3</sup>) and anoxic (6.5 mmol m<sup>-3</sup>) thresholds (Laugié et al., 2021) are contoured in white and red, respectively.





**Figure 7.** Zonally averaged (a) dissolved oxygen concentrations (mmol  $m^{-3}$ ), (b) O<sub>2sat</sub> (mmol  $m^{-3}$ ), (c) AOU (mmol  $m^{-3}$ ) and (d) water age (years) across the Atlantic in EE-noM2. Note the different scale in panel (b) relative to (a) and (c).





**Figure 8.** Zonally averaged (a) dissolved oxygen concentration difference (mmol m<sup>-3</sup>) and (b) AOU difference (mmol m<sup>-3</sup>) across the Atlantic between EE-std and EE-noM2 (shading and black contours). White contours denote the difference in water age between EE-std and EE-noM2 (positive solid and negative dashed).



**Figure 9.** Dissolved oxygen concentration (mmol  $m^{-3}$ ) transect across the Atlantic for EEnoM2 (a) and EE-std (b). The transect followed is shown on Fig. S3. At the exception of Sites 1262 and 1266 (blue color), for which oxygen-rich conditions have been reported, other Atlantic sites (brownish color) exhibit low oxygen conditions, according to proxy data.

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## Supplementary Figures for Biogeochemical impacts of tidally driven internal mixing in the Early Eocene

Jean-Baptiste Ladant<sup>1</sup>, Jeanne Millot-Weil<sup>1,\*</sup>, Casimir de Lavergne<sup>2</sup>, Mattias Green<sup>3</sup>, Sébastien Nguyen<sup>1</sup>, Yannick Donnadieu<sup>4</sup>

<sup>1</sup>LSCE <sup>2</sup>LOCEAN <sup>3</sup>Bangor University <sup>4</sup>CEREGE

Figures S1 – S12. References.



**Figure S1.** Early Eocene M2 tide dissipation rates from Green and Huber (2013) at model resolution.



Figure S2. Timeseries of deep ocean temperatures for EE-noM2 (black) and EE-std (red).



**Figure S3.** Ocean basins in the Early Eocene. The red contour is the Atlantic transect used in Figure 9. The locations of relevant sites are shown as well: ODP Site 690 (Zhou et al. 2014), ODP Sites 1262, 1263 and 1266 (Pälike et al. 2014, Zhou et al. 2014, Xue et al. 2022), ODP Site 1258 (Pälike et al. 2014), IODP Site 1403 and 1409 (Xue et al. 2023) and DSDP Site 401 (Pälike et al. 2014).



**Figure S4.** Summer sea surface temperature (°C) in (a) EE-std and (b) the 55 Ma-3x simulation of Zhang et al. (2020). We show summer SST, rather than annual mean, because this is what is presented on Fig. 2a of Zhang et al. (2020)(in contrast to what the legend of the figure reads in Zhang et al. (2020).



**Figure S5.** Timeseries of maximum winter mixed-layer depths (m) in the Atlantic and Pacific basin (Fig. S3). Black and red lines represent Southern Hemisphere MLD in EE-noM2 and EE-std respectively. Blue and green lines represent Northern Hemisphere MLD in EE-noM2 and EE-std respectively.



**Figure S6.** (a) Global zonal mean ocean temperature (°C) in EE-noM2. (b) Global zonal mean ocean temperature difference (°C) in EE-std relative to EE-noM2.



**Figure S7.** Zonally-averaged dissolved inorganic carbon concentrations (mmol.m<sup>-3</sup>) across the Atlantic in EE-noM2 (a) and EE-std (b).



**Figure S8.** Zonally-averaged phosphate concentrations (mmol.m<sup>-3</sup>) across the Atlantic in EE-noM2 (a) and EE-std (b).



**Figure S9.** Zonally-averaged nitrate concentrations (mmol.m<sup>-3</sup>) across the Atlantic in EE-noM2 (a) and EE-std (b).



**Figure S10.** Export production (gC m<sup>-2</sup> yr<sup>-1</sup>) at 100 m (a) and 1000 m (c) in EE-noM2. Export production difference (gC m<sup>-2</sup> yr<sup>-1</sup>) at 100 m (b) and 1000 m (d) between EE-std and EE-noM2.



**Figure S11.** (a) Global, (b) Pacific and (c) Atlantic mean stratification-weighted vertical diffusivity  $(\log(m^2 s^{-1}))$  in EE-noM2 (black) and EE-std (red).



**Figure S12.** Zonally-averaged (a) Pacific and (b) Atlantic dissolved oxygen concentrations (mmol.m<sup>-3</sup>) in EE-std. Panel (b) is identical to Fig. 6b.

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