# Long-term trend in Black Carbon mass concentration over Central IGP location: Understanding the implied change in radiative forcing

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November 27, 2023

#### Abstract

Black carbon (BC) has several direct, indirect, semi-direct, and microphysical effects on the Earth's climate system. Analyses of the decade-long measurement of BC aerosols at Varanasi (from 2009 to 2021) was done to understand its impact on radiative balance. General studies suggest that the daily BC mass concentration (mean of  $9.18\pm6.53 \ \mu g \ m-3$ ) ranges from 0.07 to 46.23  $\ \mu g \ m-3$  and show a strong interannual and intra-annual variation over the 13-year study period. Trend analyses suggest that the interannual variability of BC shows significant decreasing trend (-0.47  $\ \mu g \ m-3 \ yr-1$ ) over the station. The decreasing trend is maximum during the post-monsoon (-1.86  $\ \mu g \ m-3 \ yr-1$ ) and minimum during the pre-monsoon season (-0.31  $\ \mu g \ m-3 \ yr-1$ ). The radiative forcing caused specifically by BC (BC-ARF) at the top of the atmosphere (TOA), surface (SUR), and within the atmosphere (ATM) is found to be  $10.3 \pm 6.4$ ,  $-30.1 \pm 18.9$ , and  $40.5 \pm 25.2 \ Wm-2$ , respectively. BC-ARF shows strong interannual variability with a decreasing trend at the TOA (-0.47  $\ Wm-2 \ yr-1$ ) and ATM ((-1.94  $\ Wm-2 \ yr-1$ ) forcing, while it showed an increasing trend at the SUR (1.33  $\ Wm-2 \ yr-1$ ). To identify the potential source sectors and the transport pathways of BC aerosols, concentrated weighted trajectories (CWT) and potential source contribution function (PSCF) analyses have been conducted over the station. These analyses revealed that the primary source of pollution at Varanasi originate from the upper IGP, lower IGP, and central India.

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## 11 Key Points:

- BC shows significant decreasing trend over central IGP since 2009: maximum in post monsoon and minimum in pre-monsoon season.
- The BC-ARF also shows decreasing trend over central IGP on yearly scale at the surface,
   at the top and within the atmosphere since 2009.
- Decreasing trend in BC-ARF within the atmosphere relates to decrease in BC mass
   concentration and suggests reduced atmospheric warming.

## 19 Abstract

- 20 Black carbon (BC) has several direct, indirect, semi-direct, and microphysical effects on the
- 21 Earth's climate system. Analyses of the decade-long measurement of BC aerosols at Varanasi
- 22 (from 2009 to 2021) was done to understand its impact on radiative balance. General studies
- suggest that the daily BC mass concentration (mean of  $9.18\pm6.53 \ \mu g \ m^{-3}$ ) ranges from 0.07 to
- $46.23 \ \mu g \ m^{-3}$  and show a strong interannual and intra-annual variation over the 13-year study
- 25 period. Trend analyses suggest that the interannual variability of BC shows significant
- decreasing trend (-0.47  $\mu$ g m<sup>-3</sup> yr<sup>-1</sup>) over the station. The decreasing trend is maximum during the post-monsoon (-1.86  $\mu$ g m<sup>-3</sup> yr<sup>-1</sup>) and minimum during the pre-monsoon season (-0.31  $\mu$ g m<sup>-1</sup>
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- (TOA), surface (SUR), and within the atmosphere (ATM) is found to be  $10.3 \pm 6.4$ ,  $-30.1 \pm 18.9$ ,
- and  $40.5 \pm 25.2 \text{ Wm}^{-2}$ , respectively. BC-ARF shows strong interannual variability with a
- decreasing trend at the TOA (-0.47 Wm<sup>-2</sup> yr<sup>-1</sup>) and ATM ((-1.94 Wm<sup>-2</sup> yr<sup>-1</sup>) forcing, while it
- 32 showed an increasing trend at the SUR ( $1.33 \text{ Wm}^{-2} \text{ yr}^{-1}$ ). To identify the potential source sectors
- and the transport pathways of BC aerosols, concentrated weighted trajectories (CWT) and
- 34 potential source contribution function (PSCF) analyses have been conducted over the station.
- 35 These analyses revealed that the primary source of pollution at Varanasi originate from the upper
- 36 IGP, lower IGP, and central India.

## 37 Plain Language Summary

- 38 Long-term measurement of black carbon (BC) mass concentration was done at a representative
- 39 Central Indo-Gangetic location, Varanasi, from 2009 to 2021. Analysis suggests significant inte-
- 40 annual and intra-annual variation of BC mass for the location. Important finding is the significant
- decreasing trend/year in BC mass: the highest for the post-monsoon season (Oct-Nov) and the
- 42 lowest for the pre-monsoon (Mar-Apr-May). BC aerosol radiative forcing at the top of the
- 43 atmosphere, at the surface and within the atmosphere was found to be  $10.3 \pm 6.4$ ,  $-30.1 \pm 18.9$ ,
- 44  $40.5 \pm 25.2 \text{ Wm}^{-2}$ , and forcing within the atmosphere shows a decreasing trend/year. The trend 45 suggests a reduction in the atmospheric heating rate due to black carbon. On the basis of the
- 4.3 suggests a reduction in the atmospheric heating rate due to black carbon. On the basis of the
   4.6 concentrated weighted trajectories (CWT) and potential source contribution function (PSCF)
- analyses, the highest contribution is sourced from the upper IGP, followed by lower IGP, and
- 48 Central India. Except for monsoon season (Jun-Jul-Aug-Sept), the major contributor is the upper
- 49 IGP, while, during monsoon, the major contributor is the lower IGP, followed by central IGP
- 50 region.

## 51 **1 Introduction**

- 52 Black Carbon (BC) is a prominent absorbing component of carbonaceous aerosols mainly
- 53 produced due to the incomplete combustion of fossil fuels, biofuels, and biomass burning, etc.
- 54 (Bond et al., 2013). Despite having a short atmospheric lifetime, it has several direct, indirect,
- semi-direct, and microphysical effects on Earth's climates (Ackerman et al., 2000; Bond et al.,
- 2013). BC has been reported to have the second-highest global warming potential after CO<sub>2</sub>
- 57 (Bond et al., 2013; Jacobson, 2001). Due to its strong absorbing nature, it warms the atmosphere
- and adds to global warming (Bond and Bergstrom, 2006; Haywood and Shine, 1995). BC aerosol
- <sup>59</sup> impacts the cryosphere (Kang et al., 2020) by depositing on snow surface and hence reducing
- snow albedo thereby accelerating the snowpack/glaciers melting (Clarke and Noone, 1985; Element et al. 2007, 2009; Hanson and Nazaranko, 2004). It also imposts atmospheric demonstration
- 61 Flanner et al., 2007, 2009; Hansen and Nazarenko, 2004). It also impacts atmospheric dynamics
- 62 in different ways depending upon the level it is present in the vertical column (Talukdar et al.,

2019). In the higher altitudes, it stabilizes the atmosphere by changing atmospheric temperature 63 profile; whereas in the lower troposphere destabilizes planetary boundary layer, enhancing 64 convective processes and cloud formation (Ding et al., 2016; Li et al., 2017). BC is responsible 65 for the reduction of surface latent heat due to surface cooling and decreasing atmospheric 66 humidity. Differential spatial distribution of BC causes perturbations of regional heating and 67 temperature gradient, which alter the atmospheric circulation and monsoon system (Meehl et al., 68 2008; Soni et al., 2018). Furthermore, as the time progresses in the atmosphere, BC 'ages', as 69 70 hydrophobic particles to become hydrophilic and serve as cloud condensation nuclei (CCN) for cloud formation (Conant et al., 2002; Nenes et al., 2002). The cloud droplet formed due to BC 71 72 particles further heat the cloud droplets and air around it and potentially alter cloud microphysical properties and cloud activation (Conant et al., 2002). Numerous studies have been 73 carried out in recent past due to the unique nature of BC and its impact on the climate system 74 using limited period of data at different locations (Zhu et al., 2021; Dumka et al., 2019; Kalluri et 75 al., 2017; Raju et al., 2015; Singh et al., 2015; Rattigan et al., 2013; Srivastava et al., 2012; 76

77 Wang et al., 2012.

Understanding the source contribution of BC aerosols is important because they impact 78 air quality, human health, and climate system in several ways. It is furthermore important as they 79 have a widespread global presence. Recently, some studies have highlighted the Indo-Gangatic 80 Planes (IGP) in north India as a hotspot for BC aerosols, and reported BC concentrations as high 81 as 20 - 30  $\mu$ g m<sup>-3</sup>, or even higher (Dumka et al., 2018; Singh et al., 2018). Atmospheric BC 82 observations on temporal and spatial scales are, however, sparse across the IGP, that sometimes 83 necessitates the usage of regional and global chemical transport models for BC concentrations 84 estimates (Verma et al., 2022) and associated atmospheric radiative forcing (ARF). The forcing 85 due to BC is highly dependent on its concentration, wind vectors, as well as mixing with other 86 87 components released in the atmosphere. The Intergovernmental Panel on Climate Change (IPCC) in its Fifth Assessment Report has estimated that the global mean clear-sky radiative forcing due 88 to BC is +0.4 (+0.05 to +0.8) Wm<sup>-2</sup> (IPCC, 2013). 89

In view of above, continuous long term BC measurement is done at Varanasi (25.30° N, 90 83.03° E, 83 m amsl), a representative central IGP location) for a period of 13 years from 2009 91 to 2021 as part of ISRO's ARFI program. The present study discusses the climatology of BC 92 93 mass concentration and reports, for the first time, the long-term trend in surface BC mass concentration from any central IGP location. Major source regions and transport pathways of BC 94 95 were studied on the basis of the potential source contribution function (PSCF) and concentration weight trajectory (CWT) analyses. In addition, BC aerosol optical properties were estimated 96 97 using the Optical Properties of Aerosols and Clouds (OPAC) model (Hess et al., 1998) and 98 associated radiative forcing were estimated using the Santa Barbara DISORT atmospheric 99 radiative transfer (SBDART) model (Ricchiazzi et al., 1998). The climatic impacts of BC mass concentration on the basis of its radiative characteristics were analyzed and have also been 100 101 discussed.

## 102 2 Site description and surface meteorology

Continuous real-time ambient BC mass concentration was measured using a seven-channel
aethalometer AE42 (Magee Scientific, USA) from January 2009 to November 2021, at Varanasi.
The study area, Varanasi (25.30° N, 83.03° E, ~ 83 m amsl), popularly known as the spiritual
capital of India, is in the south-eastern part of Uttar Pradesh state in India. Varanasi is a

107 representative site in the central IGP. The semi-urban city covers area of around 1535 sq km

108 (Urban 163.78 sq. km.) with a high population density of 2395 resident per square kilometer

109 (Census of India, 2011). Varanasi is among one of the most heavily polluted cities in IGP

110 (Murari et al., 2020; Tiwari et al., 2016a, 2015) and was reported as one of the most toxic cities

in the country in the year 2015 (Singh et al., 2021). Large-scale urbanization, small scale

industries, extensive commercial activity, heavy vehicular emission due to congestion, road resuspended dusts, agricultural burning activities, emission from domestic cooking in outskirts of

the city, and wood/ waste burning are the major local aerosol source (Singh et al., 2015).

115 The study site has a humid subtropical climate which is often influenced by a wide range of

synoptic weather events, with ocean and Himalayan influences being the modest (Kumar et al.,

117 2017). The region experiences strong seasonal patterns with four seasons: cold and chilly winter

118 (December to February), hot and dry summer or Pre-Monsoon (March to May), strong rainfall

119 during monsoon (June to September), and the post-monsoon season (October and November).

120 The monitoring location experiences westerly wind during summer and winter while easterly

121 wind during monsoon (Murari et al., 2016). Averaged monthly variation in weather parameter

122 (temperature, relative humidity, rainfall including atmospheric boundary layer altitude) over the

study site is shown in the figure 1, and their annual variations are shown in figure 1. The data for

the weather parameters was obtained from the co-located India Meteorological Department

125 station. The atmospheric boundary layer altitude data was obtained from MERRA-2 data

126 (M2TMNXFLX). The variability in the weather parameters shown in the figure 1 are based on 127 the daily observation averaged on the monthly scale. From thirteen years of observations (2009-

2021), weather parameters over Varanasi shows sharp temporal pattern. The maximum values of

surface temperature were observed in the month of June  $(34.1 \pm 3.2 \text{ °C})$  and minimum were

observed in January (15.9  $\pm$  2.8 °C), whereas annual variation in surface temperature is very

131 much consistent over the years (Figure 1-e). Maximum annual average temperature was

observed in 2009 (28.2  $\pm$  6.2 °C) and minimum was observed in 2011 (25.2  $\pm$  6.6 °C). Relative

humidity (RH) varies opposite to the temperature with minimum values in April (40.7  $\pm$  13.3 %)

and maximum during the August months ( $83.5 \pm 7.5$  %). Notably, April month is a

representative summer month, and August is a representative monsoon month for the regions.

136 Region received annual average rainfall of  $925\pm241$  mm during the study period out of which

around 85% of its total annual rainfall received during the monsoon months (June to September).

Over the years, the rainfall is showing the increasing trend with an increase of  $38.7 \text{ mm yr}^{-1}$ .

During the winter months, winds move eastward due to the presence of extratropical low-

140 pressure system (called westerly disturbances), and it brings occasional rain or frequent fog in

141 the region.



Figure 1. Monthly variability of weather parameters observed over Varanasi (a) Mean Temperature (b) Relative Humidity (c)
Rainfall and (d) atmospheric boundary layer (ABL) height during 2009-2021 and Interannual variability of weather parameters
observed over Varanasi (e) Mean Temperature (f) Relative Humidity (g) Rainfall and (h) atmospheric boundary layer (ABL)
height during 2009-2021.

## 148 3. Instrumentation and Methodology

### 149 3.1. Measurements of BC aerosols and Data analysis

Continuous and regular real-time ambient BC mass concentration was measured using seven-150 channel (370, 470, 520, 590, 660, 880, and 950 nm) Aethalometer AE42 (Magee Scientific, 151 USA). The instrument was kept at an altitude of 15m from the ground, away from any direct 152 source of BC, and operated at a sampling interval of 5 min with standard flow rate of 5.0 L/min. 153 154 The aethalometer was properly calibrated for the flow rate on regular basis. The aethalometer works on the principle of the attenuation of light (ATN) transmitted through the aerosols 155 deposited on filter tape at seven different wavelengths. The ATN is directly proportional to the 156 157 amount of BC mass loading on the filter tape (Hansen et al., 1984).

$$b_{ATN} = \frac{A}{V} \frac{\Delta ATN}{\Delta t}$$
(1)

158 Where  $b_{ATN}$  is the light attenuation coefficient,  $\Delta ATN$  is the change in attenuation during the

time period 't', A is the aerosol spot area, and V is the air-flow rate shown in equation (1). The

value of attenuation corresponding to 880nm was considered for the calculation of BC mass using a mass absorption cross section (MAC) of 16.6 m<sup>2</sup> g<sup>-1</sup>, provided by the manufacturer.

$$BC = \frac{b_{ATN}}{MAC}$$
(2)

162 Multiple light scattering inside the filter matrix causes increased absorption, resulting in

aethalometer measurements errors. Ideally, light attenuation should increase linearly with BC loading on filter paper. However, when the BC mass on the filter increases, the linearity between attenuation and BC loadings decreases owing to 'loading effects,' resulting in underestimation of BC mass concentration (Virkkula et al., 2007; Weingartner et al., 2003). To obtain spectral absorption coefficient ( $b_{abs}$ ), the corrections for the multiple light scattering in filter tape and loading effect were done using the methodology used by Dumka et al., (2019) and reference therein in their study.

$$b_{abs} = \frac{b_{ATN}}{CR(ATN)} \tag{3}$$

Here, C is the multiple light scattering inside the filter matrix and R(ATN) is the loading correction due to BC builds on the filter tape over time shown in equation (3).

### 172 3.2. Trend analysis of BC

Several studies have used non-parametric statistical analysis, such as Mann-Kendall
(MK) test, to estimate multi-year trends globally (Kumar et al., 2021; Collaud Coen et al., 2020;
Mohammad et al., 2022; Zhao et al., 2017). Due to the common issue of serial correlation for
time series data with the MK test, the modified Mann-Kendal test proposed by Yue and Wang
(2004) was used in the study. The detailed descriptions of MK and modified MK test are given
elsewhere (Kendall, 1957; Mann, 1945; Yue and Wang, 2004).

#### 179 3.3. Potential Source Contribution Function and Concentration-Weighted Trajectory

The air mass backward trajectories obtained from the Hybrid Single Particle Lagrangian 180 Trajectory (HYSPLIT) model (Stein et al., 2015) were used to analyze the potential source 181 sectors and transport pathway of measured black carbon at Varanasi. The Potential Source 182 Contribution Function (PSCF) and Concentration-Weighted Trajectory (CWT) analyses were 183 performed with 5-day backward air mass trajectories using MeteoInfo software(Wang, 2019, 184 2014). Five days back air mass trajectory was calculated for every 24hours at the 500m height 185 above the ground level using NCEP reanalysis data during the study period from January 2009 to 186 December 2021. The PSCF is wieldy used for the estimation of the probability map of the source 187 of air pollutants (Singh et al., 2021, 2022; Huang et al., 2012; Kant et al., 2020; Sen et al., 2017; 188 Singh et al., 2018). It combines measured pollutants mass concentration with air mass back 189 trajectory for the analysis. CWT analysis was used to determine the source strength of the grid 190 cell to the receptor site based on the weighted concentrations of the trajectories. The PSCF and 191 CWT is defined by Wang et al., (2009) as; 192

$$PSCF_{ij} = \frac{n_{ij}}{N_{ij}} \tag{4}$$

$$CWT_{ij} = \frac{\sum_{k=1}^{N} C_k T_{ijk}}{\sum_{k=1}^{N} T_{ijk}}$$
(5)

In PSCF,  $n_{ij}$  represents the frequency of trajectory passages through the specific cell (i,j), while N<sub>ij</sub> denotes the number of trajectory points in the cell (i,j), corresponding to trajectories with pollutant concentrations higher than a threshold value (Wang et al., 2009). In CWT, the weighted concentration of BC in trajectory in the cell (i,j), k and N denote the trajectory indices and total number of trajectories, respectively. C<sub>k</sub> is the concentration of BC observed at receptor site on arrival of trajectory k and T<sub>ijk</sub> is the residential time of trajectory k in the cell (i,j).

199 3.4. Estimations of optical and radiative properties of BC

200 Different aerosol optical properties i.e., aerosol optical depth (AOD), single scattering albedo (SSA), and asymmetry parameter (AP) are required to perform the radiative forcing 201 calculation. Direct measurement of Black Carbon optical properties was not available, so Black 202 Carbon optical properties were estimated by using the Optical Properties of Aerosols and Clouds 203 (OPAC) model (Hess et al., 1998) following Tiwari et al. (2016a, 2016b) and Verma et al. 204 (2013). In this model, optical characteristics of atmospheric aerosol and cloud may be 205 determined for 61 spectral bands between 0.25 and 40  $\mu$ m. The OPAC model is frequently used 206 and well-accepted method to get the required optical properties. It uses Mie Scattering theory for 207 estimating the aerosol optical characteristics for aerosol mixtures. Further details about the 208 OPAC model are given elsewhere (Hess et al., 1998; Koepke et al., 2015; Singh et al., 2014; 209 Srivastava et al., 2020; Tiwari et al., 2016a). 210

The model-derived BC optical properties from OPAC, observed column ozone (obtained 211 from co-located Ozone Unit of India Meteorological Department), columnar water vapor 212 213 (MODIS- Aqua), and surface albedo (Aura OMI version 3) data are used as input in Santa Barbara DISORT atmospheric radiative transfer (SBDART) model (Ricchiazzi et al., 1998) to 214 compute shortwave (0.30–3.0 µm) direct BC aerosol radiative forcing (DARFBC) separately for 215 the top of atmosphere (TOA) and surface at each 1-hr time interval, with and without BC 216 aerosols. In the present study, standard tropical atmospheric profiles of pressure, temperature, 217 and relative humidity (RH) were used as input to the SBDART model, following the methods 218 219 suggested by previous studies (Kedia et al., 2010; Srivastava et al., 2020). The diurnally averaged direct aerosol radiative forcing (DARF) at the TOA and surface is then used for the net 220

221 flux calculation according to the following equation (6)

$$DARF_{\text{TOA,SUR}} = (F_{with}^{\downarrow} - F_{with}^{\uparrow})_{\text{TOA,SUR}} - (F_{w/O}^{\downarrow} - F_{w/O}^{\uparrow})_{\text{TOA,SUR}}$$
(6)

222 Where arrows indicate upward ( $\uparrow$ ) and downward ( $\downarrow$ ) direction of the radiation fluxes, Fwith and 223 Fw/o indicate the radiation fluxes 'with' and 'without' aerosol, respectively. Further, the DARF 224 within the atmosphere (DARF<sub>ATM</sub>) was calculated by subtracting the DARF<sub>surface</sub> from the 225 DARF<sub>TOA</sub>, as shown in equation (7). This is considered as the amount of energy trapped by 226 aerosol in the atmosphere.

$$DARF_{\rm ATM} = DARF_{\rm TOA,} - DARF_{\rm ,SUR}$$
<sup>(7)</sup>

The atmospheric heating rate which expresses the heating or cooling effect due to aerosol is computed from the first law of thermodynamics, considering the hydrostatic equilibrium of the atmosphere, as following,

$$\frac{\partial T}{\partial t} = \frac{g}{C_p} * \frac{DARF_{\text{ATM}}}{\Delta P} * 24(hr / day) \times 3600(sec / hr)$$
(8)

230 where  $\partial T/\partial t$  is the heating rate in Kelvin per day (K day<sup>-1</sup>), g/C\_p is the lapse rate, g is 231 the acceleration due to gravity, Cp is the specific heat capacity of air at constant pressure (i.e. 232 1006 Jkg<sup>-1</sup>K<sup>-1</sup>), and  $\Delta P$  is the atmospheric pressure difference (taken  $\Delta P$  as 300 hPa).

### 233 4 Results and Discussion

4.1 Time series and trend analysis of BC from 2009 to 2021

The primary goal of this work is to understand the long-term trend in surface BC and its 235 potential impacts on our climate system through radiative forcing over the study region. The 236 average black carbon concentration during the study was  $9.25 \pm 7.66 \ \mu g \ m^{-3}$ , while, daily mean 237 BC mass concentration varied from 0.07 to 46.23  $\mu$ g m<sup>-3</sup>. Minimum BC concentration were 238 239 observed during the COVID19 lockdown condition whereas the highest were observed during the 2012 winters. Singh et al. (2015, 2018) reported high values of BC during winter 2012 ( $6.3\pm$ 240 2.7  $\mu$ g m<sup>-3</sup>). and winter 2013 (6.5 ± 3.8  $\mu$ g m<sup>-3</sup>) for a not far location, Dhanbad city. Dumka et 241 al., (2013) reported 4.45  $\mu$ g m<sup>-3</sup> for Hyderabad during 2009-2010, and Sandeep et al., (2013) 242 reported 4.0  $\mu$ g m<sup>-3</sup> for Mumbai during 2009-2010. Tiwari et al., (2009) reported an annual 243 mean of  $14 \pm 12 \ \mu g \ m^{-3}$  for BC over New Delhi during 2007. The values at Varanasi were 244 greater than many cities in country but less than the BC mass concentration reported over New 245 Delhi. The box plot in Figure 2 shows the statistical details of the yearly variations of BC mass 246 concentration over Varanasi from 2009 to 2021 obtained from daily mean BC mass 247 concentration data. 248



*Figure 2. Yearly variability of BC Concentration* (μg/m3) at Varanasi during 2009 - 2021.

- Boxes in the box plot represent the 25th and 75th percentiles limits, respectively. Lower and
- upper whiskers represent the 5th and 95th percentiles, and lower and upper crosses represent the
- 1st and 99th percentiles, respectively. Maximum and minimum values are presented by a dash.
  The horizontal bar inside represents the median (50th percentile), the open circle represents the
- mean values. Figure suggest a decreasing trend of BC mass at  $-0.47 \ \mu g \ m^{-3} \ vr^{-1}$  over Varanasi
- during 2009 to 2021 (figure 2) shown with dark black line. This decreasing trend was, however,
- initially increasing for the period 2009 to 2012 (+2.33  $\mu$ g m<sup>-3</sup> yr<sup>-1</sup>), that later shows a decreasing
- trend from 2012 till 2021 ( $-0.72 \ \mu g \ m^{-3} \ yr^{-1}$ ). A salient decreasing trend is over the peninsular
- India also (Manoj et al., 2019). BC shows strong diurnal variation throughout the 13-year study
- 260 period across all the month/seasons, with a typical bimodal pattern having two isolated packs
- during the morning and evening hour, and a dip in the concentration of BC during noon hours, as
- shown in figure 3 and figure 4.



Figure 3. Annual and sessional diurnal variation of BC mass concentration during 2009 to 2021.



Figure 4. Monthly diurnal variation of BC Concentration (μg/m3) at Varanasi during 2009 - 2021.

- 267 Maximum concentration is observed during the winter months, followed by post-monsoon, pre-
- 268 monsoon and monsoon season. During the winter and post-monsoon seasons, the morning peak
- was found to be at 07:00 hr local time, whereas during the pre-monsoon and monsoon seasons,
  the morning peak shifted by an hour to be at 08:00 hr. The evening peak was found at around
- 271 22:00 hr during all the seasons. In the monthly color contour diurnal behavior (Figure 4)
- monsoon months was found to witness maximum dip during noon hours compared to any other
- season. The seasonal variation of the BC mass concentration is shown in Figure 5 as a box plot,
- where the statistics shown are arrived at by considering the data from corresponding days falling
- in the particular seasons: DJF: winter; MAM: pre-monsoon; JJAS: monsoon; and ON: post-
- 276 monsoon.



278 Figure 5. Statistics shown are achieved by considering the data from corresponding days falling in the various seasons. Boxes in

279 the box plot shown here represents the 25th and 75th percentile, respectively. Lower and upper whiskers represent the 5th and 280 95th percentile, and lower and upper cross represent 1<sup>st</sup> and 99<sup>th</sup> percentile, respectively. Maximum and minimum is represented

281 by dash. While the horizontal bar inside represents the median (50th percentile) and open square represent the mean values

282 Table 1. Monthly, seasonal and annual means of BC mass concentration (BC), BC aerosol optical depth at 500nm (BC-

AOD500), BC aerosol radiative forcing at top of the atmosphere (BC-ARF-TOA), at the surface (BC-ARF-SUR) and within the atmosphere (BC-ARF-ATM).

Month	BC (µg/m³)	BC- AOD500	BC-ARF-TOA (W/m <sup>2</sup> )	BC-ARF- SUR (W/m <sup>2</sup> )	BC-ARF-ATM (W/m <sup>2</sup> )
Jan	15.0±12.9	0.122±0.063	7.34±2.93	-26.19±11.29	33.53±14.23
Feb	13.3±10.9	0.152±0.044	10.44±2.36	-34.98±8.58	45.42±10.94
Mar	10.7±10.3	0.189±0.082	13.99±4.49	-45.71±16.3	59.71±20.79
Apr	8.2±8.6	0.193±0.098	17.07±6.2	-47.6±19.72	64.68±25.91
May	7.6±10	0.184±0.164	17.31±10.43	-43.98±30.95	61.29±41.35
Jun	4.7±6.4	0.099±0.076	11.21±6.73	-26.16±17.22	37.37±23.95
Jul	3.1±3.9	0.052±0.022	5.77±2.23	-14.39±5.72	20.16±7.96
Aug	4.0±4.0	0.051±0.02	5.01±1.85	-13.88±5.24	18.89±7.08
Sep	5.8±6.2	0.067±0.032	6.08±2.74	-17.38±8.05	23.46±10.79
Oct	10.3±9.2	0.122±0.084	9.29±5.28	-28.28±17.28	37.56±22.55
Nov	13.8±10.9	0.136±0.066	9.24±3.67	-29.43±12.43	38.67±16.10
Dec	15.5±10.6	0.109±0.046	7.69±3.64	-25.41±12.53	33.1±16.16
Winters	15.3±5.9	0.135±0.05	8.8±2.7	-30.1±9.9	38.9±12.5
Pre-Monsoon	8.1±5	0.178±0.102	15.4±6.3	-43.7±19.9	59±26.2
Monsoon	4.1±2.4	0.068±0.031	7.3±2.8	-18.2±7.5	25.4±10.3
Post-Monsoon	12.9±5.5	0.129±0.069	9.3±4.1	-28.9±13.7	38.1±17.9
Annual	9.3±6.6	0.126±0.09	10.3±6.4	-30.1±18.9	40.5±25.2

285

Overall, seasonal mean BC concentration during the study period (Fig. 6), showed a high value 286 during the winter season (14.67  $\pm$  5.33 µg m<sup>-3</sup>), followed by the post-monsoon season (12.60  $\pm$ 287 4.87  $\mu$ g m<sup>-3</sup>), pre-monsoon season (9.01 ± 4.18  $\mu$ g m<sup>-3</sup>) and the monsoon season (4.40 ± 1.70  $\mu$ g 288  $m^{-3}$ ). On the monthly scale (Table 1), maximum average monthly values belong to December 289  $(15.5\pm10.6 \text{ µg m}^{-3})$  and minimum, to the July month  $(3.1\pm3.9 \text{ µg m}^{-3})$ . The increased use of 290 biomass for heating during winter nights in the surrounding area, the use of fossil fuel, and the 291 unfavorable meteorological conditions for dispersion favors not only BC but also the other 292 aerosol concentrations high during the winter and post-monsoon periods (Kanawade et al., 2020; 293 Kumar et al., 2017; Rajput et al., 2013). On a regular basis, during the post-monsoon season, the 294 main contribution to BC comes from paddy residual burning mostly from the northwest part of 295 India, and during the pre-monsoon season, BC comes from wheat residue burning over the IGP 296 297 region and Pakistan (Latha et al., 2017; Raiput et al., 2014).

The modified Mann-Kendal test has been applied for all the seasons and results are shown in Figure 6. A decreasing trend in BC mass concentration was observed over the study region, with the highest rate of decrease in post-monsoon ( $-1.86 \ \mu g \ m^{-3} \ yr^{-1}$ ) and the least decrease during the pre-monsoon season ( $-0.31 \ \mu g \ m^{-3} \ yr^{-1}$ ). In another study over Varanasi,

302 Singh et al., (2015) described the variability of BC mass concentration and its impact on

columnar aerosol optical properties, and crucial surface meteorology and found major sources of
 BC were form fossil fuel for the year 2009.



305
306 Figure 6. Trend of BC mass Concentration (μg/m3) at Varanasi between 2009 and 2021.

### 307 4.2 Source identification of BC mass concentration in different Seasons

308 An attempt has been made to understand the possible emission sources and the transport

- 309 pathways of BC aerosols over the study station using potential source contribution function
- 310 (PSCF) and concentration weight trajectory (CWT) analyses, using the backward air mass
- trajectories as obtained from HySPLIT model. Figure 7 shows the Potential Source Contribution
- Function (PSCF) maps during each season for 2009 to 2021. The maps indicate the probabilities
- of the potential source areas that could be contributing to enhance the BC mass at the study
- location. PSCF maps are plotted using color codes from light yellow to dark brown, grids having
- light yellow and yellow shades (PSCF< $\sim$ 0.2 0.3) indicates the presence of weak or
- insignificant sources at the receptor site, grids having yellowish and light brown shades
- 317 (PSCF $<\sim$ 0.4 0.5) indicates probability of presence moderate probability of sources in those 318 cells, whereas cells with brown to dark brown shades (PSCF $>\sim$ 0.7 -0.8) indicates higher
- probability of potential sources of BC mass concentration at the Varanasi.



320

321 Figure 7. Weighted Potential Source Contribution Function (PSCF) maps of BC over Varanasi during 2009 to 2021.

The transported pollutants play important roles in the BC concentration at the Varanasi throughout the study period. During pre-monsoon and post -monsoon periods winds coming from north, northwest and northeast carry particulates and BC in abundance. Potential reason for the abundance of pollution is agricultural burning and forest fires (Latha et al., 2017). Many
studies done over the IGP region indicates existence of natural and man-made sources of
aerosols throughout the region (Lodhi et al., 2013; Srivastava et al., 2020; Tiwari et al., 2009).

PSCF analysis shows the potential source sector, that can be categorized as the BC 328 329 reception from the upper IGP, Bay of Bengal, Arabian Sea, central highlands, southern peninsular region, Pakistan, and even the Central East Asia region. During the winter months, 330 when the atmosphere is relatively stable compared to other seasons, most of the long-range BC 331 reaches to the site from the upper IGP (Punjab, Haryana, New Delhi, and Rajasthan) and further 332 west. During pre-monsoon or summer period, long range BC sources do not show any regular 333 pattern, rather show potential sources are from multiple source regions, including the upper IGP, 334 the western dry region of India, the semi-arid region of Pakistan and also from the lower IGP 335 region situated in the east of the Varanasi (Bihar, West Bengal, and Jharkhand), as well as from 336 Bangladesh, and the Bay of Bengal region. During the monsoon months, potential source regions 337 are from oceanic region (from the Bay of Bengal and the Arabian Sea), central India, the Deccan 338 Plateau, and the southern Indian region. In the monsoon season, winds are relatively low in 339 aerosols loading as compared to winds coming to Varanasi during other seasons. During this 340 season, the entire north India experiences rain, which leads to wet scavenging of the particles to 341 ultimately show low mass concentrations. During the post-monsoon months, however, the 342 potential sources of BC aerosols are form the upper IGP region (Punjab, Haryana and Western 343 Uttar Pradesh). 344

To further understand the source CWT analyses of BC mass concentration for Varanasi during 345 each season during 2009 to 2021 was done and shown in Figure 8. These maps indicate spatial 346 distribution of the weighted trajectory concentrations around the receptor site and help to 347 understand the relative contribution of potential source of BC mass concentration. CWT maps 348 are plotted using color scale from blue to pink. For the winter months, grids having green and 349 blue shades indicates the presence of weak sources (CWT<10) and represent a lower probability 350 of potential sources in those cells, whereas cells with yellowish and reddish shades indicate the 351 presence of strong BC mass sources (CWT>10). During the winter months, the BC reaches the 352 receptor site from the upper IGP region with the dominance of source and high CWT values 353 for, Western Uttar Pradesh, Punjab, Haryana, eastern Rajasthan, and regions in the west, 354 including Pakistan. During Pre-monsoon or summer months, grids having violet and blue shades 355 indicate the presence of weak sources (CWT<6) and represent a lower probability of potential 356 sources in those cells, whereas cells with greenish and yellowish shades indicates the presence of 357 strong BC mass sources (CWT>6). Strong sources are from the upper IGP, the dry region of 358 western India, the semi-arid region of Pakistan and weak sources are from the lower IGP region 359 situated in the east of the Varanasi (Bihar, West Bengal, and Jharkhand), Bangladesh, and the 360 Bay of Bengal region. During the monsoon months, the grids having violet and blue shades 361 indicates the presence of weak sources (CWT<4) and represent a lower probability of potential 362 sources in those cells, whereas cells with greenish shades indicates the presence of strong BC 363 mass sources (CWT>4). Overall, during the winter months, long range sources are weak due to 364 wet deposition of BC aerosol due to winter rains, associated with western disturbances. 365 Throughout the monsoon months, winds are coming from the oceanic region (from the Bay of 366 Bengal and the Arabian Sea), central India, the Deccan Plateau, and the southern Indian region, 367 but carry relatively low BC aerosols but the local sources are strong in these months. During the 368 post-monsoon months, the grids having green and blue shades indicates the presence of weak 369

- sources (CWT<10) and represent a lower probability of potential sources in those cells, whereas
- cells with yellowish and reddish shades indicates the presence of strong BC mass sources
- 372 (CWT>10). BC reaches the receptor site from the upper IGP region with the dominance of
- source and high CWT values for the Punjab, Haryana, Western Uttar Pradesh and local sources.
- 374 CWT map for the post-monsoon also depicts presence of sources from Pakistan region.



Figure 8. Weighted Concentration Weighted Trajectory (WCWT) maps of BC over Varanasi during 2009 to 2021

### 377 4.3 Radiative Impact of Black Carbon

In order to calculate BC aerosol radiative forcing, different BC optical properties are 378 obtained from the OPAC model, following Verma et al. (2013). The single scattering albedo 379 (SSA) and asymmetry parameter of BC at 0.5 µm obtained from the OPAC model were 0.22 and 380 0.35, respectively, which are found to be similar to the values are reported for the Brahmaputra 381 River Valley region during 2013–14 (Tiwari et al., 2016b) and Kolkata during 2009–10 (Verma 382 et al., 2013). The AOD caused due to BC (i.e., AOD<sub>BC</sub>) shows strong seasonality and spectral 383 dependency, as shown in Figure 9.  $AOD_{BC}$  is higher for the shorter wavelengths and gradually 384 decreases with increasing wavelength. During pre-monsoon, AOD<sub>BC</sub> shows the highest value and 385 the highest standard deviation for all the wavelengths, compared to other seasons. The seasonal 386 variation of AOD<sub>BC</sub> at 0.5µm was highest during pre-monsoon season (0.178±0.102), followed 387

by winter  $(0.135\pm0.050)$ , post-monsoon  $(0.129\pm0.069)$ , and it was the least for the monsoon season  $(0.068\pm0.031)$  due to possible wet scavenging.



391 Figure9. Seasonal variation of OPAC model derived BC-AOD during 2009 to 2021

The SBDART model (Ricchiazzi et al., 1998) is used to compute shortwave (0.30–3.0 392 393  $\mu$ m) direct BC aerosol radiative forcing (DARF<sub>BC</sub>), separately for the top of the atmosphere (TOA) and surface (SUR). The estimated monthly BC aerosol radiative forcing is in the range of 394 -7.0 to -125.3 W m<sup>-2</sup> (mean:  $-30.1 \pm 18.9$  W m<sup>-2</sup>) at the surface (ARF SUR) and +2.6 to +43.4395 W m<sup>-2</sup> (mean:  $10.3 \pm 6.4$  W m<sup>-2</sup>) at the top of the atmosphere (ARF TOA). However, the 396 resultant BC radiative forcing in the atmosphere (ARF ATM) is found in the range of +9.7 to 397 +168.7 W m<sup>-2</sup> (mean:  $40.5 \pm 25.2$  W m<sup>-2</sup>). The negative BCARF-SUR value suggests a cooling 398 399 effect at the surface, while a positive value of BC ARF-TOA over the study region shows a warming effect at the top of the atmosphere. The resultant atmospheric forcing is consequently 400 positive, indicating a net warming effect throughout the study period. Interannual variation in 401 BC-ARF is shown in Figure 10 (c), whereas, monthly mean variation in the BC-ARF is shown in 402 Figure 10 (a). Similar to BC mass concentration trend shown in figure 2, an overall decreasing 403 trend in BC atmospheric forcing  $(-1.94 \text{ W m}^{-2} \text{ yr}^{-1})$  is also observed over the station, with a slight 404

405 increasing trend till 2012 and decreasing trend thereafter.



Figure 10. (a) Monthly variation in BC aerosol radiative forcing over Varanasi. (b) Seasonal variation of BC Aerosol Radiative
 forcing over Varanasi during 2009 – 2021. (c) Annual variation of BC Aerosol Radiative forcing over Varanasi during 2009 to
 2021.

- 410 Lowest value of BC-ARF is during the monsoon months is caused due to less BC mass
- 411 concentration over the region, whereas high values of BC-ARF are observed during the summer

months. Low BC concentration during 2020 reflects the prevailing COVID-19 situations and due 412 to the governmental restrictions across the country that ultimately resulted in the lowest BC 413 ARF-ATM during 2020. On the contrary, high BC concentration and BC ARF-ATM during 414 415 2012 is possibly due to less rainfall, accompanied with unusually high fire events in the IGP region, and favorable meteorological conditions. The seasonal variation of the BC ARF is shown 416 in Figure 10 (b). Large variability in the BC radiative forcing is observed between different 417 seasons at TOA, SUR, and ATM. Across all the seasons, the highest BC-ARF is observed during 418 419 the pre-monsoon season at Varanasi despite of low BC concentration during pre-monsoon season, as compared to winter and post-monsoon seasons. The results indicate important role of 420 boundary layer dynamics in modulating the surface mass concentration of pollutants, and hence 421 the ARF. High boundary layer height (Figure 1) during the pre-monsoon season resulted in 422 highest BC-AOD (Figure 9) and ultimately highest ARF for that season (Figure 10 (b)). Similar 423 behavior in seasonal BC-ARF were found in a study for the Brahmaputra River Valley region 424 over Guwahati during 2014 with the highest BC-ARF in the atmosphere during the pre-monsoon 425 season, followed by the winter season than post-monsoon and monsoon season (Tiwari et al., 426 2016b). Maximum BC-ARF in the atmosphere was found during the winter season in a study 427 over Dhanbad (28.0 W m<sup>-2</sup>) during 2013 (Singh et al., 2018), over Hyderabad (33.5 Wm<sup>-2</sup>) 428 during 2009–2010 (Dumka et al., 2013), and over New Delhi (65.8 W m<sup>-2</sup>) during 2010 429 (Surendran et al., 2013). Bhat et al., (2017) reported maximum BC-ARF values at TOA (5.8 W 430 m<sup>-2</sup>), SUR (-52.3 W m<sup>-2</sup>), and ATM (58.2 W m<sup>-2</sup>) during the post-monsoon period and minimum 431 BC-ARFs values at TOA (2.3 W m<sup>-2</sup>), SUR (-26.6 W m<sup>-2</sup>), and ATM (28.0 W m<sup>-2</sup>) during the 432 pre-monsoon period over Srinagar during 2013. The average BC-ARFs values at TOA, SUR, and 433 ATM were +9.5 W m<sup>-2</sup>, -21.1 W m<sup>-2</sup> and +30.6 W m<sup>-2</sup>, +8.1, -20.8 W m<sup>-2</sup>, and +28.0 W m<sup>-2</sup>, 434 for Guwahati during 2014 (Tiwari et al., 2016b) and Dhanbad during 2013 (Singh et al., 2018), 435 respectively. The BC-ARF in the atmosphere over Hyderabad during 2009-2010 were reported 436 to be  $33.5 \pm 7.0$  W m<sup>-2</sup> in winter,  $31.8 \pm 12.9$  W m<sup>-2</sup> in pre-monsoon,  $18.9 \pm 6.7$  W m<sup>-2</sup> in post-437 monsoon, and  $13.2\pm 1.7$  W m<sup>-2</sup> in monsoon seasons (Dumka et al., 2013), which is less as 438 compared to the values reported over Varanasi in the present study. Bisht et al. (2016) reported 439 high values of BC ARF at TOA, SUR, and ATM over New Delhi during winter 2015. Seasonal 440 mean BC-ARF values at TOA, SUR, and ATM over New Delhi were  $20.9 \pm 1.9$  W m<sup>-2</sup>, -75.5 ± 441 10.5 W m<sup>-2</sup>, and 96.5  $\pm$  12.3 W m<sup>-2</sup> which was relatively higher than that the values reported 442 over Varanasi during the same period. 443

The average BC-ARF over Varanasi at TOA, SUR, and ATM is  $10.3 \pm 6.4$  W m<sup>-2</sup>,  $-30.1 \pm$ 444 18.9 W m<sup>-2</sup>, and  $40.5 \pm 25.2$  W m<sup>-2</sup> during the entire study period indicates strong climatic 445 impact of BC over the observation site. Modified Mann-Kendal and Sen's test show significant 446 trend for the intra-annual and seasonal variability in BC-ARF at TOA, SUR, and ATM. Sen's 447 slop estimator shows seasonal trend is maximum for post-monsoon and minimum for monsoon 448 season (Figure 11). Inter-annual decreasing trend is observed for BC-ARF TOA and BC-ARF 449 450 ATM while it showed increasing trend for BC-ARF SUR with slope value -0.47, -1.94 and 1.33W m<sup>-2</sup> yr<sup>-1</sup>, respectively, indicating that the heating effect due to BC is decreasing within the 451 atmosphere and also that the cooling at the top of the atmosphere is decreasing over the region. 452 The observed decreasing trend in BC-ARF ATM is found to be in association with the observed 453 decreasing trend in BC mass concentration (as shown in Figure 2) over Varanasi, which indicates 454 a slight reduction in the atmospheric warming due to BC aerosols. The average heating rate due 455 to BC was 1.14±0.71 K day<sup>-1</sup> with maximum heating observed during May 2012 (4.73 K day<sup>-1</sup>) 456 and minimum during August 2020 ( $0.27 \text{ K day}^{-1}$ ) 457



459 Figure 11. Each year seasonal BC-ARF with in the atmosphere (W/m2) during 2009 to 2021 over Varanasi.

## 460 **5. Conclusions**

458

The long-term (13 years from 2009-2021) observation of surface BC mass concentration (mean:  $9.25 \pm 7.66 \ \mu g \ m-3$ ) at a centrally located middle IGP station, Varanasi, a representative site in middle IGP, was used for the first time to investigate its diurnal and seasonal variability, long-term seasonal and annual trends, along with the associated BC optical and radiative implications. The main conclusions of the present study are listed below:

BC mass concentration shows a sharp diurnal pattern with two peaks in all the season
 across the study period, one peak in morning hours and other in late evening hours.

468 2. BC levels exhibited strong seasonal patterns, peaking during winter  $(14.67 \pm 5.33 \ \mu g)$ 469 m<sup>-3</sup>), followed by post-monsoon  $(12.60 \pm 4.87 \ \mu g)$  m<sup>-3</sup>), while reaching a low during the 470 monsoon  $(4.40 \pm 1.70 \ \mu g)$  m<sup>-3</sup>). Winter period BC increase was driven by local factors like 471 biomass burning, fossil fuel usage, and poor dispersion conditions. In contrast, BC during the 472 pre-monsoon and post-monsoon periods was linked to crop residue burning in and around the 473 regions. Monsoon rainfall effectively removed BC aerosols, causing its minimal levels during the 474 monsoon season. 475 3. All the seasons show a significant decreasing trend in BC mass concentration over the 476 study region, with the highest rate of decrease in post-monsoon  $(-1.86 \ \mu g \ m^{-3} yr^{-1})$  and the least 477 decrease during the pre-monsoon season  $(-0.31 \ \mu g \ m^{-3} yr^{-1})$ . On the annual basis, BC shows a 478 decreasing trend of  $-0.47 \ \mu g \ m^{-3} yr^{-1}$  over Varanasi during 2009 to 2021.

479 4. The AOD caused due to BC  $(AOD_{BC})$  obtained from the OPAC model shows strong 480 seasonality and spectral dependency. During pre-monsoon,  $AOD_{BC}$  shows the highest values for 481 all the wavelengths, compared to other seasons. The seasonal variation of  $AOD_{BC}$  at 0.5µm was 482 highest during pre-monsoon (0.178±0.1), followed by winter (0.135±0.05), post-monsoon 483 seasons (0.129±0.07), and it was the least for the monsoon season (0.068±0.03) due to low BC 484 concentrations from the possible wet scavenging process.

485 5. The variation of estimated monthly BC aerosol radiative forcing is in the range of -7.0 to 486  $-125.3 \text{ W m}^{-2} (-30.1 \pm 18.9 \text{ W m}^{-2})$  at the surface,  $+2.6 \text{ to } +43.4 \text{ W m}^{-2} (10.3 \pm 6.4 \text{ W m}^{-2})$  at 487 the top of the atmosphere, and  $+9.7 \text{ to } +168.7 \text{ W m}^{-2} (40.5 \pm 25.2 \text{ W m}^{-2})$  in the atmosphere.

6. Across all the seasons, the highest BC-ARF is observed during the pre-monsoon ( $59\pm26.2 \text{ W m}^{-2}$ ) at Varanasi, despite of low BC concentration as compared to winter ( $38.9\pm12.5 \text{ W m}^{-2}$ ) and post-monsoon ( $38.1\pm17.9 \text{ W m}^{-2}$ ) seasons. The results indicate important role of boundary layer dynamics in modulating the ARF. High boundary layer height during the premonsoon season resulted in highest AOD<sub>BC</sub> for the pre-monsoon and ultimately highest ARF during the season.

494 7. There is a significant trend for the intra-annual and seasonal variability in BC-ARF at 495 TOA, SUR, and ATM. A decreasing trend in BC-ARF in the atmosphere (1.94 W m<sup>-2</sup> yr<sup>-1</sup>) is 496 found to be associated with the observed decreasing trend in BC mass concentration, which 497 indicates a slight reduction in the atmospheric warming due to BC aerosols.

## 498 Acknowledgments

The study is funded by the ISRO-ARFI. Authors BJM is thankful to ISRO-ARFI for the research

fellowship, Authors MKS and RSS is thankful to ISRO-ARFI. Authors MKS and NM are

thankful to BHU-IoE for the support. We acknowledge the Indian Metrological Department for

the provision of the Metrological data, the NOAA Air resource Laboratory (ARL) for the

provision of HYSPLIT model (<u>https://www.ready.noaa.gov</u>). The BC data used in the paper can

be obtained from PI, ISRO-ARFI of ISRO-Geosphere Biosphere Programme

- 505 (https://arfinet.vssc.gov.in/arfinet/index.html).
- 506

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