# Bedrock controls on water and energy partitioning across the western contiguous United States

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#### Abstract

Across diverse biomes and climate types, plants use water stored in bedrock to sustain transpiration. Bedrock water storage ( $S_{bedrock}$ , mm), in addition to soil moisture, thus plays an important role in water cycling and should be accounted for in the context of surface energy balances and streamflow generation. Yet, the extent to which bedrock water storage impacts hydrologic partitioning and influences latent heat fluxes has yet to be quantified at large scales. This is particularly important in Mediterranean climates, where the majority of precipitation is offset from energy delivery and plants must rely on water retained from the wet season to support summer growth. Here we present a simple water balance approach and random forest model to quantify the role of  $S_{bedrock}$  on controlling hydrologic partitioning and land surface energy budgets. Specifically, we track evapotranspiration in excess of precipitation and mapped soil water storage capacity ( $S_{soil}$ , mm) across the western US in the context of Budyko's water partitioning framework. Our findings indicate that  $S_{bedrock}$  is necessary to sustain plant growth in forests in the Sierra Nevada — some of the most productive forests on Earth — as early as April every year, which is counter to the current conventional thought that bedrock is exclusively used late in the dry season under extremely dry conditions. We show that the average latent heat flux used in evapotranspiration of  $S_{bedrock}$  can exceed 100  $W/m^{2}$  and  $S_{bedrock}$ .































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# **Key Points:**

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11	•	Plant use of bedrock storage impacts water partitioning in the seasonally dry, west-
12		ern contiguous United States
13	•	Dry season latent heat flux due to bedrock-sourced evapotranspiration could mod-
14		erate summer high temperatures
		Dianta man subaust soil motor store as and require hadread meter as configured April

15 Plants may exhaust soil water storage and require bedrock water as early as April each year 16

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#### 17 Abstract

Across diverse biomes and climate types, plants use water stored in bedrock to sustain 18 transpiration. Bedrock water storage  $(S_{bedrock}, mm)$ , in addition to soil moisture, thus 19 plays an important role in water cycling and should be accounted for in the context of 20 surface energy balances and streamflow generation. Yet, the extent to which bedrock wa-21 ter storage impacts hydrologic partitioning and influences latent heat fluxes has vet to 22 be quantified at large scales. This is particularly important in Mediterranean climates, 23 where the majority of precipitation is offset from energy delivery and plants must rely 24 on water retained from the wet season to support summer growth. Here we present a 25 simple water balance approach and random forest model to quantify the role of  $S_{bedrock}$ 26 on controlling hydrologic partitioning and land surface energy budgets. Specifically, we 27 track evapotranspiration in excess of precipitation and mapped soil water storage capac-28 ity  $(S_{soil}, mm)$  across the western US in the context of Budyko's water partitioning frame-29 work. Our findings indicate that  $S_{bedrock}$  is necessary to sustain plant growth in forests 30 in the Sierra Nevada — some of the most productive forests on Earth — as early as April 31 every year, which is counter to the current conventional thought that bedrock is exclu-32 sively used late in the dry season under extremely dry conditions. We show that the av-33 erage latent heat flux used in evapotranspiration of  $S_{bedrock}$  can exceed 100  $W/m^2$  dur-34 ing the dry season and the proportion of water that returns to the atmosphere would de-35 crease dramatically without access to  $S_{bedrock}$ . 36

#### <sup>37</sup> Plain Language Summary

Plants frequently use water stored in bedrock  $(S_{bedrock})$  in order to grow. However, 38 the proportion of precipitation that returns to the atmosphere (evapotranspiration) vs. 39 to streams (runoff) as well as how much latent heat — the energy associated with evap-40 orating water — is used as a result of access to  $S_{bedrock}$  has not been measured. In Mediter-41 ranean climates, such as the western US, the majority of energy (sunlight) is received 42 during the dry season and plants must rely on water stored belowground during the wet 43 season to sustain summer growth. In this study, we present two methods for calculating how much  $S_{bedrock}$  influences the amount of water returning to the atmosphere vs. 45 streams and what that corresponds to in terms of latent heat energy at the surface. We 46 use gridded data to compare the amount of water entering (precipitation) and exiting 47 (evapotranspiration) the area and use a mapped soil water storage capacity product to 48 draw conclusions about the timing and magnitude of plant transpiration that is a result 49 of access to bedrock water. Our findings indicate that some of the Earth's most produc-50 tive forests use  $S_{bedrock}$  early in the growing season, consuming over 100  $W/m^2$  of la-51 tent heat energy in the summer. 52

#### 53 1 Introduction

Globally, a greater proportion of precipitation is returned to the atmosphere via 54 evapotranspiration (ET) compared to oceans via streamflow (Q) (Jasechko et al., 2013; 55 Trenberth et al., 2007). Locally, precipitation partitioning between streamflow and evap-56 otranspiration is mediated by local climate (Budyko, 1974). In asynchronous climates, 57 where the majority of precipitation is offset from energy delivery (Feng et al., 2019; Klos 58 et al., 2018), a substantial proportion of plant transpiration is sourced from bedrock wa-59 ter storage (S<sub>bedrock</sub>) (Hahm et al., 2020; Hubbert, Beyers, & Graham, 2001; McCormick 60 et al., 2021; Rempe & Dietrich, 2018; Rose et al., 2003; Witty et al., 2003). Yet, there 61 have been no attempts to quantify the extent to which bedrock water storage alters an-62 nual hydrologic partitioning in asynchronous climates. Moreover, global climate mod-63 els (GCMs) typically only consider soil moisture dynamics when modelling latent heat 64 flux — the transfer of heat between the terrestrial biosphere and atmosphere — which 65 may work well for humid regions but poorly accounts for climates where plants rely on 66

water stored deep in the subsurface to compensate for a lack of precipitation during the summer dry season. Soil moisture content has been shown to influence extreme daily temperatures (Durre et al., 2000), regulate the number of large fires (Jensen et al., 2018) and length of the wildfire season (Rakhmatulina et al., 2021), and was a contributing factor to the 2003 record-breaking heat wave in Europe (Fischer et al., 2007). It stands to reason that bedrock water storage, in addition to soil moisture, should be considered when evaluating land energy budgets and hydrologic partitioning.

The relative magnitudes of the water balance components at a location are dictated 74 75 by the availability of water supply (precipitation) vs. demand (energy) (Budyko, 1974). Over long time frames, where change in storage ( $\Delta S$ ) can be considered negligible, the 76 ratio of evaportanspiration relative to precipitation (i.e. the evaporative index,  $\epsilon = ET/P =$ 77 1-Q/P can be estimated based on the ratio of potential evapotranspiration (*PET*) 78 relative to precipitation (the aridity index,  $\Phi = PET/P$ ; see Table 1 for a list of vari-79 ables and their definitions). In practice, most catchments fall near a single curve — the 80 Budyko curve — when plotted in ET/P versus PET/P space, with deviations from this 81 curve resulting from seasonality (Feng et al., 2012; Hickel & Zhang, 2006; Xing et al., 82 2018), vegetation cover (Chen et al., 2013; R. Donohue et al., 2007; M. Liu et al., 2022; 83 L. Zhang et al., 2001), subsurface storage dynamics (Milly, 1994a), and other catchment-84 specific characteristics (e.g. Lhomme & Moussa, 2016; H. Yang et al., 2014). Numerous 85 parametric extensions have been proposed to the Budyko equation (e.g. Choudhury, 1999; 86 Fu, 1981, etc.) and a general solution has been mathematically derived that captures the 87 catchment characteristics in a single parameter (H. Yang et al., 2008). The relationship 88 described by Budyko also emerges from process-based hydrological models (e.g. R. J. Dono-89 hue et al., 2012; Entekhabi & Rodriguez-Iturbe, 1994; Feng et al., 2015; Porporato et al., 90 2004, etc.). 91

Early approaches for estimating subsurface storage deficits, calculated by taking 92 the difference between precipitation and evaporation over time, date back to at least the 93 1960s (Grindley, 1960, 1968). In the literature, these methods were used mostly to es-94 timate groundwater recharge (e.g. Finch, 2001; Rushton & Ward, 1979; Rushton et al., 95 2006, etc.) and were limited by spatial and temporal data resolution. More recently, re-96 motely sensed water fluxes have been used to estimate root-zone storage capacities  $(S_R)$ 97 at large scales. For example, continental-scale  $S_R$  has been estimated using mass bal-98 ance approaches (e.g. de Boer-Euser et al., 2016; Gao et al., 2014; Stocker et al., 2023) aq and a methodology for estimating  $S_R$  at a global scale has been proposed by Wang-Erlandsson 100 et al. (2016), and extended to account for snow cover by Dralle et al. (2021), which has 101 been used to investigate ecosystem resilience (Singh et al., 2022), plant water-use sen-102 sitivity resulting from interannual rainfall variability (Dralle et al., 2020), and drought 103 coping mechanisms in rainforest-savanna transects (Singh et al., 2020). Existing field-104 scale measurements (e.g. Rempe & Dietrich, 2018), which cannot be extrapolated over 105 larger scales due to the spatial heterogeneity of plant rooting structures across different 106 climates soil types and bedrock weathering patterns (Gentine et al., 2012; Sivandran & 107 Bras, 2013), align well with satellite-derived  $S_R$  (McCormick et al., 2021). Root-zone stor-108 age capacities calculated via the deficit method influence the proportion of precipitation 109 that returns to the atmosphere, for a given aridity index, in Australian catchments (Cheng 110 et al., 2022). When combined with existing soil water storage capacity datasets (e.g. Grid-111 ded National Soil Survey Geography Database (gNATSGO); Soil Survey Staff, 2019), 112 satellite-derived  $S_R$  has been used to estimate  $S_{bedrock}$  for the contiguous United States 113 (McCormick et al., 2021). 114

In this study, we examine the extent to which the bedrock root-zone, which extends beneath the typically thin (< 1 m) soil profile, influences water and energy budgets in the western US. More specifically, we investigate how plant access to bedrock water controls water partitioning and latent heat fluxes. We use a simple water balance approach combined with a national soil coverage database (i.e. gNATSGO), gridded water flux data,

and a recent dataset of gridded subsurface water storage capacity to provide insights re-120 garding the transfer of water found exclusively in bedrock to the atmosphere. We quan-121 tify the total amount of annual evapotranspiration accessed from the bedrock root-zone, 122 and show that plant growth in many parts of the western US relies on bedrock water sur-123 prisingly early into the growing season, counter to conventional understandings that bedrock 124 is used only late in the dry season. Finally, we use a random forest model to corrobo-125 rate the mass-balance inferences of yearly evapotranspiration that is attributed to ac-126 cess to bedrock water reserves. 127

In providing a simple, reproducible framework for quantifying the impacts of  $S_{bedrock}$ on hydrologic and energy partitioning we look to answer three questions: (1) How early into the growing season do plants in asynchronous climates rely on  $S_{bedrock}$  to sustain summer growth?; (2) How does access to bedrock water impact the partitioning of precipitation into evapotranspiration versus streamflow?; and (3) What is the latent heat flux associated with plant use of bedrock water?

#### 134 2 Methods

To assess bedrock controls on water and energy partitioning, we apply two approaches: 135 (1) an annual water balance, which calculates the total inferred yearly evapotranspira-136 tion sourced from bedrock by tracking incoming and outgoing water fluxes; and (2) a ran-137 dom forest model, which estimates the total yearly evapotranspiration sourced from bedrock 138 using a selection of input variables considered to be predictors of evapotranspiration. The 139 water balance method provides conservative, lower-bound constraints on bedrock wa-140 ter use based on conservation of mass, while the random forest model represents a 'best 141 estimate' approach that relies on additional climate predictors beyond evapotranspira-142 tion and precipitation fluxes. 143

In both cases, gridded timeseries of water flux data, in combination with an exist-144 ing soil water capacity dataset (gNATSGO), are used to estimate the mean annual evap-145 otranspiration sourced from bedrock. However, the input variables of the models differ. 146 The water balance method tracks incoming (precipitation) and outgoing (evapotranspi-147 ration) fluxes, at a pixel scale, to determine the amount of evapotranspiration that can 148 be attributed to bedrock (i.e. ET in excess of soil water storage) in a typical water year. 149 The random forest approach trains a model that predicts the mean annual evapotran-150 spiration based on a set of variables describing climate and total observed subsurface stor-151 age capacity, then replaces the total observed subsurface storage capacity with mapped 152 soil water storage capacity to predict what total mean annual evapotranspiration would 153 be without access to bedrock water storage; the difference in mean annual ET predic-154 tions between the model trained on the total storage vs. soil-storage capacity only is used 155 to infer the amount of evapotranspiration attributed to bedrock water storage. 156

Using the water balance approach, we additionally explore which areas in the west-157 ern contiguous US are prone to periods when the subsurface deficit is unable to be re-158 plenished on an annual basis (Fig. 2). Using these areas, we re-purpose the original ran-159 dom forest model, replacing the average annual root-zone storage deficit  $(S_R)$  with max-160 imum root-zone storage deficit  $(S_{max})$ , to make inferences about the water partitioning 161 properties of regions where the deficit does not always reset annually. Finally, we inves-162 tigate the timing of bedrock water use in the growing season and calculate the latent heat 163 energy used to explore the role of plant use of bedrock water on land surface energy fluxes. 164

#### 2.1 Study Area

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We restricted our study area to winter-wet, summer-dry climate regions of the western contiguous US. To identify these climate regions, we use the asynchronicity index (ASI, (Feng et al., 2019)) calculated from monthly TerraClimate precipitation and po-



Figure 1. Conceptual diagram describing the root-zone storage characteristics of a typical water year (Oct. 1 - Sep. 30) in regions characterized by asynchronous climates. At the beginning of the wet season, the deficit accrued during the dry season begins to decrease as P > ET. Prior to the beginning of the following dry season, the deficit returns to zero and remains at, or near, zero until ET > P. When ET remains > P such that the deficit surpasses the soil water storage capacity ( $S_{soil}$ ), plant transpiration is inferred to be a result of access to water stored below the soil layer, i.e.  $S_{bedrock}$ . Figure is adapted from Lapides et al. (2022b) Fig. 1d.

- tential evapotranspiration values (Abatzoglou et al., 2018). We limited the study domain to pixels with an asynchronicity index greater than or equal to 0.40, which is a slightly stricter threshold (0.36) than proposed by (Feng et al., 2019) to designate Mediterranean climates. The masked coverage of the contiguous US, as well as computed asynchronicity index values, are shown in Fig. S1.
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We additionally masked out pixels where:

- long-term evapotranspiration exceeds precipitation, e.g. due to irrigated agricultural lands or data error;
- 2. land cover is classified as urban or water body; or
- 3. soil water storage datasets (i.e. gNATSGO) do not have spatial coverage.

For this process, we use a gridded climate product from point observations (Parameterelevation Regressions on Independent Slopes Model (PRISM); C. Daly et al., 2015), the Penman-Monteith-Leuning ET product (Y. Zhang et al., 2019), the United States Geological Survey (USGS) National Land Cover Database (NLCD) land cover classification (L. Yang et al., 2018), and the Gridded National Soil Survey Geographic Database (Soil Survey Staff, 2019). The pixel masking process follows the methodology defined by McCormick et al. (2021).

All gridded timeseries data, including the products described above, are taken for the 2003 to 2017 water years (Oct. 1 - Sep. 30) and analyzed with the Google Earth Engine Python application programming interface (API) (Gorelick et al., 2017).

## <sup>189</sup> 2.2 Evaluating Storage via Water Balances

Following McCormick et al. (2021), we estimate a lower-bound on the maximum 190 annual root-zone storage deficit  $(S_R)$  using the mass balance approached outlined by Wang-191 Erlandsson et al. (2016) and expanded to account for snow cover by Dralle et al. (2021) 192 (500 m pixel scale). The technique takes the running integrated difference of land-atmosphere 193 water fluxes exiting  $(F_{out} [L/T] = ET)$  and entering  $(F_{in} [L/T] = P)$  at a pixel. ET is 194 sourced from PML V2 (500 m pixel scale; Y. Zhang et al., 2019) to represent  $F_{out}$  and 195 P is extracted from PRISM (4638.3 m pixel scale; C. Daly et al., 2015), to represent  $F_{in}$ . 196 197 Input data was converted from native resolution (shown in parentheses above) to 1000 m and re-projected to the World Geodetic System 1984 (EPGS:4326) for analysis. 198

First, the accumulated difference between  $F_{out}$  and  $F_{in}$  is taken for timeframe  $t_n$  to  $t_{n+1}$  and corrected for the presence of snow based on a snow cover threshold:

$$A_{t_n \to t_{n+1}} = \int_{t_n}^{t_{n+1}} (1 - \lceil C - C_0 \rceil) \cdot F_{out} - F_{in} \mathrm{d}t \tag{1}$$

where  $C_0$  is a pre-defined threshold percentage of snow cover, C is snow cover, and  $\lceil \cdot \rceil$ is the ceiling operator. When  $C > C_0$ ,  $F_{out}$  is unaltered; when  $C \leq C_0$ ,  $F_{out}$  is set to 0, thereby ignoring ET when snowmelt may be present. This effectively avoids erroneously accumulating a storage deficit from ET during snowmelt when water may be infiltrating into the root zone (without the need to run a full snowmelt model). We use the Normalized Difference Snow Index (NDSI) snow cover band (Hall et al., 2016) to compute snow cover and set the snow cover threshold to 10%.

Second, the instantaneous root-zone storage deficit can be determined iteratively via the following equation:

$$D_{t_{n+1}} = \max(0, D_{t_n} + A_{t_n \to t_{n+1}}) \tag{2}$$

where  $D_{t_{n+1}}$  is the deficit at time  $t_{n+1}$ . If the deficit falls below zero, the cumulative volume resets to zero as the subsurface has been replenished with water.

At each pixel, we compute the mean annual maximum deficit  $(D_{max})$ , evaluated Oct. 208  $1 \rightarrow \text{Sep. 30}$ ) and infer it to be a lower-bound on annual root-zone storage capacity  $(S_R)$ . 209 Crucially, this assumes the root-zone storage deficit is replenished on a year-to-year ba-210 sis which, in many parts of western US, has been shown to not be the case (e.g. Fig. 2; 211 Cui et al., 2022; Goulden & Bales, 2019; Hahm et al., 2022). We then calculate the max-212 imum root-zone storage capacity over the entire study period without the assumption 213 of annual replenishment ( $S_{max}$ , evaluated Oct. 1 2002  $\rightarrow$  Sep. 30 2017) to investigate 214 multi-year deficit accrual using the random forest model outlined in Sec. 2.5. We use Eq. 215 2 to calculate D over the entire study period and take the maximum of those values to 216 represent the lower-bound maximum root-zone storage capacity between Oct. 1 2002 (start) 217 and Sep. 30 2017 (end): 218

$$S_{max}_{t_{start} \to t_{end}} = \max(D_{t_{start}}, D_{t_{start+1}}, \dots, D_{t_{end}})$$
(3)

 $ET_{bedrock}, \text{ the minimum annual amount of evapotranspiration sourced from bedrock}$ water storage, is inferred to be the difference between the average maximum annual rootzone storage deficit and the soil water storage capacity reported by the Gridded National Soil Survey Geographic Database (Soil Survey Staff, 2019). If the mean annual maximum root-zone storage deficit does not exceed the reported value by gNATGSO, we take this to mean that  $S_{bedrock}$  is not needed to explain annual evapotranspiration and set  $S_{bedrock} = 0$ . This does not necessarily mean that bedrock water storage was not used to support evapotranspiration, but rather that the deficit tracking approach is unable to detect it.

Finally, we compute the average first month of year  $(MOY_{bedrock})$  when bedrock 228 must be used to explain observed evapotranspiration, by determining the observed month 229 (for the 2003 - 2017 water years) when mean annual root-zone deficit exceeds the total 230 amount of available storage in the soil, implying any evapotranspiration sourced from 231 the subsurface beyond this date must include water sourced from bedrock storage. This 232 does not mean that bedrock storage was not accessed in prior months but rather that 233 it cannot be tracked using the deficit approach. Therefore, this is the latest possible month 234 that bedrock water is used, because it assumes that i) ET is first sourced from  $S_{soil}$  un-235 til it is completely depleted, and ii) that deficits are replenished annually, which may not 236 be the case. 237

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# 2.3 Water Partitioning

Within the Budyko (1974) framework, the long-term partitioning of P into ET and 239 Q is a function of the long-term ratio of PET to P. Under these conditions, Q is assumed 240 to include both overland runoff and lateral subsurface flow resulting from infiltration (hence 241  $\Delta S \approx 0$ ). We took observed evaporative indices ( $\epsilon_{obs} = ET/P$ ) by dividing the mean 242 annual evapotranspiration by precipitation for the 2003 - 2017 water years using data 243 collected from the gridded products described above. We also infer what the evapora-244 tive index would be if plants did not have access to bedrock water ( $\epsilon_{w/o \ bedrock}$ ) by re-245 moving  $S_{bedrock} = S_R - S_{soil}$  (the minimum amount of bedrock water used in an av-246 erage year) from the observed evaporative index. If  $S_R$  does not exceed  $S_{soil}$ , then our 247 method cannot detect the influence of bedrock on the evaporative index: 248

$$\epsilon_{w/o \ bedrock} = \begin{cases} ET_{obs} \ / \ P & \text{if } S_R \le S_{soil} \\ [ET_{obs} - (S_R - S_{soil})] \ / \ P & \text{if } S_R > S_{soil} \end{cases}$$
(4)

Following this, the relative change (expressed as a percentage) in evaporative index without access to bedrock water is the difference between  $\epsilon_{w/o \ bedrock}$  and  $\epsilon_{obs}$  relative to  $\epsilon_{obs}$ :

$$\Delta \epsilon = \left(\frac{\epsilon_{w/o \ bedrock} - \epsilon_{obs}}{\epsilon_{obs}}\right) * 100\tag{5}$$

Streamflow data from 128 minimally impacted USGS watershed gauges in the western US are in agreement (Nash-Sutcliffe efficiency of 0.93) with the precipitation (PRISM) and evapotranspiration (PML) data used in our analysis (Fig. S2). Therefore, we find it reasonable to estimate Q from the water balance (i.e. Q = P - ET) as, over long time frames, the net groundwater flow out of a catchment is negligible (i.e.  $\Delta S \approx 0$ ). We calculated the runoff ratio (RR) as the difference between one and the observed evaporative index ( $RR = 1 - \epsilon$ ).

#### 2.4 Energy Partitioning

We infer the monthly total latent heat flux associated with evapotranspiration sourced from bedrock. The latent heat, i.e. the energy required to change from the liquid to vapor phase, is equal to the the energy required to evaporate the accrued monthly deficit (in mm of water) beyond that provided by soil. We report this value in units of power per unit of area  $(W/m^2)$ . First, we take the total bedrock water storage extracted for evapotranspiration between two months:

$$ET_{bedrock, month} = max(0, min(D_{i+1} - D_i, D_{i+1} - S_{soil}))$$
(6)

where  $D_i$  is the deficit at the beginning of month *i*. To account for the deficit sourced 263 from  $S_{soil}$ , the difference between months i and i+1 is compared against the difference 264 between month i+1 and  $S_{soil}$ , returning the lesser of the two values. If this value is be-265 low zero, bedrock was not needed to account for evapotranspiration during the month 266 and  $ET_{bedrock, month}$  is set to zero. This calculation assumes that plants first exhaust any 267 available soil water and subsequently use bedrock water. If plants exhaust soil water and 268 bedrock water simultaneously throughout the dry season, the method used here to quan-269 tify the total latent heat flux associated with bedrock water during the dry season is not 270 erroneous but rather would shift the bedrock-associated latent heat flux patterns ear-271 lier into the dry season. 272

Secondly,  $ET_{bedrock, month}$  (mm) is converted to power per unit area metric  $(E_e)$  based on the enthalpy of vaporization of a known mass of water:

$$E_e = (ET_{bedrock, month}) * (\rho_w) * (\Delta H_v) * (1/t)$$
(7)

where  $\rho_w$  is the density of water (1000 g/L),  $\Delta H_v$  is the latent heat of vaporization of water (2257 J/g), which we do not adjust for local variations in temperature or pressure, and t is the total seconds between the *ith* and *i*+1th month (1 mm of liquid water per square meter is one liter). The resulting value is an average latent heat flux per second (i.e. power, W) per  $m^2$  (unit area) for a given time frame.

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# 2.5 Random Forest Model

The random forest regression model represents an alternative approach to calculating  $ET_{bedrock}$ , and is employed here as a means of corroborating the lower-bound, water mass balance inferences described above. The approach uses climatic (ASI and  $\Phi$ ) and subsurface storage ( $S_R$ ) characteristics to train a model to predict observed mean annual evapotranspiration, and then feeds  $S_{soil}$  in place of  $S_R$  into the trained model to determine what mean annual ET would be without access to bedrock water storage.

Random forest regression is a predictive machine learning algorithm that consists 285 of a collection of decision trees, which are randomly populated with samples, where the 286 final output is the average of the results of each individual tree (Breiman, 2001). Each 287 individual model (tree) is uncorrelated, producing many unrelated errors which, when 288 combined into a single collective model, will increase prediction accuracy. We use three 289 input variables:  $S_R$ , ASI, and  $\Phi$  to predict annual evapotranspiration. All random for-290 est regression models were implemented using the Random Forest module provided by 291 Scikit-learn, an open-source Python machine learning package (Pedregosa et al., 2011). 292 The random forest model was trained by randomly selecting 70% of the data as a train-293 ing set and setting 30% aside for validation purposes. Hyperparameters were set to de-294 fault (scikit-learn v1.3.0) with the exception of minimum leaf samples and maximum fea-295 tures, which were set to 5 (default is 1) and the square root of the number of features 296 (1.0), respectively. Hyperparameters were chosen to best optimize computing time as tweak-297 ing the hyperparameters did not significantly improve model performance. The model 298 was run using 20, 40, 80, 120, and 200 trees with improvements in the models perfor-299 mance beyond 40 trees being negligible. Therefore, we chose to run the final product us-300 ing 40 trees to minimize computing time. 301

ASI values are calculated using the method outlined by Feng et al. (2019). Follow-302 ing Eq. 2,  $S_R$  values can be quantified by taking the mean of the maximum deficit ob-303 served each water year, resetting the deficit annually.  $\Phi$  is measured by taking annual 304 cumulative PET ( $\Sigma PET$ ) relative to P ( $\Sigma P$ ) and averaging across all water years. P and 305 *PET* are summed monthly totals taken from TerraClimate (Abatzoglou et al., 2018). 306 We then replace  $S_R$  with  $S_{soil}$  and re-run the analysis using the predictive model pro-307 duced using  $S_R$ . Framed another way, we forced the model to assume there is no longer 308 access to  $S_{bedrock}$  to infer changes in annual evapotranspiration without access to bedrock 309 reserves. 310

Variable	Dimensions	Description
A	L	Accumulated difference; calculated as $F_{out}$ - $F_{in}$ over
		a given timeframe
ASI	(-)	Asynchronicity index
C	(-)	Snow cover
$C_0$	(-)	Snow cover threshold
$D_{max}$	$\mathbf{L}$	Maximum observed annual root-zone deficit
$D_{min}$	$\mathbf{L}$	Minimum observed root-zone storage deficit in a year
$D_{t_{n+1}}$	L	Root-zone storage deficit measured at time $t_{n+1}$
$E_e$	$MT^{-3}$	Latent heat flux associated with evapotranspiration sourced from bedrock water storage, expressed as nower per unit area
FT	TT-1	Franction
	$L_{I}$	Observed evenetrengeinstion
$EI_{obs}$	$L_{T}^{-1}$	Minimum annual even strangpiration sourced from
$E_{1  bedrock}$	L1	hadroals water store as
ET	т	Monthly (dwg googon) evenetrongnization gourged
$EI_{bedrock}, month$	L	from body season) evapotranspiration sourced
F	I m-1	Irom bedrock water storage
$\Gamma_{in}$	$L_{L}$	Outflow
$\Gamma_{out}$ MOV		Average month of year when bedreek is needed to
MOTbedrock	(-)	Average month of year when bedrock is needed to
10	()	Variable used to quantify differences in the evenera
n	(-)	tive index for a particular anidity index defined by
		(II. Verne et al. 2008)
ת	I m-1	(H. Yang et al., 2008)
P D	LL - TTT-1	Observed and initiation
$\Gamma_{obs}$	$L_{L}$	Detential even etranomination
PEI	$L_{I}$ I = -1	Pupeff (streamform)
Q DD		Runoff ratio: calculated as 1
KII S	(-) T	Minimum plant available water storage capacity in
Dedrock	Ľ	hodroak inforred from largest deficit in an average
		water year loss manped $S_{-1}$
S	т	The minimum root zone plant available storage $c_2$
$O_{max}$	Ľ	nacity inforred from maximum deficit observed over
		antire time period of analysis
Sp	L	Mean annual root-zone storage capacity inferred from
S.K	Б	maximum deficit observed over a water year
Sun	L	The maximum amount of plant-available water ca-
Sou	Б	hable of being stored in the soil profile from soils
		manning
<i>t</i>	Т	Time
$\Lambda H$	$ML^2T^{-2}$	Enthalpy of vaporization of water
$\Delta I_v$ $\Delta S$	$LT^{-1}$	Change in storage
$\Delta \epsilon$	(-)	Relative difference between $\epsilon_1$ and $\epsilon_2$ , $\epsilon_3$
<u> </u>	(-)	Evaporative index: calculated as $ET/P$
e e la	(-)	Observed evaporative index: calculated as $ET_{A}/P$ .
~00s	(-)	Observed evaporative index, calculated as $D1_{obs}/T_{obs}$
~w/o bearock		storage: calculated as $\epsilon_{-l_{\alpha}} (ET_{1, \beta} - S_{1, \beta_{\alpha}} / P_{\beta_{\alpha}})$
0	$L^{-3}M$	Density of water
$\Phi$	(-)	Aridity index: calculated as $PET/P$
-		many many concuration as I DI/I

 Table 1.
 Description of referenced variables

Finally, following the extended methodology of Sec. 2.2, which removes the assump-311 tion of a yearly resetting deficit, we isolate pixels that have observed multi-year deficit 312 accruals during the study period to investigate the influence of extended drought con-313 ditions on water partitioning. Between Oct. 1 2002 and Sep. 30 2017, we calculate the 314 number of years where the deficit was not replenished by taking the minimum root-zone 315 storage deficit  $(D_{min})$  observed each water year for all pixels. If  $D_{min} > 0$  in a given 316 water year, we take that to mean that the deficit was not replenished in that water year. 317 The resulting pixels were divided into three classes: 1) Deficit resets annually (all years), 318 2) Deficit resets most years (deficit resets >66% of the years), and 3) Deficit resets in-319 termittently (deficit resets < 66% of the years) (Fig. 2). For these pixels, we amend the 320 original random forest model to use  $S_{max}$ , as opposed to  $S_R$ , in order to better repre-321 sent the extent to which  $S_{bedrock}$  alters hydrologic partitioning in areas where multi-year 322 deficits occur. All other model characteristics (i.e. hyperparameters, input variables, etc.) 323 were retained from the original random forest model. 324



Figure 2. During the study period (Oct. 1 2002 to Sep. 30 2017), the annual deficit returned to zero every year for the regions shown in brown (map on the left). Regions shown in teal and orange, respectively, did not reset in some years (<33% of the study period) or did not reset frequently, often for multiple years in a row (>33%). For each category, a corresponding example time series of the study period is shown on the right with the relevant fluxes necessary to compute root-zone storage deficit. In forests covering over 26,500 km<sup>2</sup> (land covers 1-5 in Fig. S21), the root-zone storage deficit does not reset annually.

#### 325 **3 Results**

Our primary findings are that i) soil water storage capacity  $(S_{soil})$  does not explain 326 deviations from the Budyko-curve in asynchronous climates (Fig. 3), ii) the proportion 327 of terrestrial precipitation returned to the atmosphere (vs. streamflow) is strongly in-328 fluenced by plant use of bedrock water reserves (Fig. 4), iii)  $S_{bedrock}$  is needed to sus-329 tain dry season plant transpiration surprisingly early into the growing season (Fig. 5), 330 and iv) the summer latent heat flux associated with evapotranspiration of bedrock wa-331 ter is substantial (Fig. 7) and warrants further research with respect to land surface en-332 ergy interactions. Below, we expand on these findings and highlight particular regions 333

of interest where  $S_{bedrock}$  plays an important role in the local water and energy partitioning patterns.



Figure 3. More water is returned to the atmosphere for a given precipitation (higher evaporative index) in locations with more potential evapotranspiration relative to precipitation (aridity index), as shown in this Budyko-space density plot of individual pixels (1000m) with asynchronous climates ( $ASI \geq 0.40$ ) in contiguous United States. The evaporative index for a particular aridity index (expressed in terms of the catchment characteristic, n, where higher n denotes a higher evaporative index for a particular aridity index (see (H. Yang et al., 2008) for derivation) is not well explained by soil water storage ( $S_{soil}$ ), as shown by the density plot inset.

# 3.1 Deviations From the Budyko-curve are Poorly Explained by Soil Water Storage Capacity

In our asynchronous climate  $(ASI \ge 0.40)$  study area, the aridity index explains 338 the primary trend in the evaporative index for individual pixels, consistent with the Budyko 339 (1974) findings for catchments (Fig. 3). However, for a given aridity index, there remain 340 deviations from the curve. It is a commonly hypothesized that, for a particular climate 341 (held constant here by the use of ASI), subsurface storage capacity may explain devi-342 ations from the Budyko-curve (Miller et al., 2012). Using the catchment characteristic 343 n to quantify differences in the evaporative index for a particular aridity index (H. Yang 344 et al., 2008), where higher n denotes higher ET/P for a given aridity index, we find that 345 soil water storage capacity  $(S_{soil})$  alone only explains 11%  $(R^2 = 0.11)$  of the variance 346 in n and, therefore, is a poor explanation for deviations from the Budyko-curve across 347 western US (Fig. 3 inset). Indeed,  $S_{soil}$  accounts for only a portion of the below-ground 348 storage capacity and, in many places, is comparatively small relative to  $S_{bedrock}$  (e.g. Mc-349 Cormick et al., 2021). Removing ET sourced from bedrock  $(ET_{bedrock})$  drastically shifts 350 the Budyko-curve (Fig. S4). In the following sections, we explore the extent to which 351  $S_{bedrock}$  may control water and energy partitioning. 352



Figure 4. (a) Average value of the largest annually (water year) observed root-zone storage deficit  $(S_R)$  in excess of  $S_{soil}$ . (b) The relative change in evaporative index when evapotranspiration inferred to be sourced from bedrock storage  $(ET_{bedrock})$  is removed, i.e.  $(ET - ET_{S_{bedrock}})/P$ . (a) inspired by Fig. 3 of McCormick et al. (2021). Across large areas of the western US, annual evapotranspiration would be hundreds of millimeters less and the proportion of precipitation returned to the atmosphere would decrease without access to bedrock water.

# 3.2 Large Proportions of the Precipitation Returned to the Atmosphere is Sourced from $S_{bedrock}$

In the following section, the spatial patterns of  $ET_{bedrock}$ , ET/P, and Q/P in the 355 western US, derived using the water balance and random forest methods, are presented. 356 Fig. 4 shows the spatial patterns of evapotranspiration inferred to be sourced from bedrock 357  $(ET_{bedrock})$  and relative change in evaporative index without access to bedrock  $(\Delta ET/P)$ 358 using the water balance method (see Fig. S5 and S6 for derivation). The correspond-359 ing figures using the random forest model can be found in the supplementary informa-360 tion Figs. S9 (ET), S10 (ET/P), and S11 (Q/P). In each case, areas shown in gray rep-361 resent pixels where bedrock-derived ET was unable to be identified by the proposed meth-362 ods. 363

Across the western US, the evaporative index is up to 91% higher (favoring ET) 364 as a result of plant access to bedrock water reserves as opposed to using soil water storage alone. Broadly, evapotranspiration inferred to be sourced from bedrock  $(ET_{bedrock})$ 366 increases and relative evaporative index decreases moving south from the USA-Canada 367 border (Fig. 4). In particular, the Northern California Coast Ranges, the southern Cas-368 cades, the Transverse Ranges and the Sierra Nevada are most reliant on  $S_{bedrock}$  for dry 369 season transpiration. The mean and median changes in relative evaporative index of all 370 pixels in the western US that detected  $S_{bedrock}$  use were -16.6 and -13.2%, respectively, 371 using the water balance method. Up to 782 mm of evapotranspiration is inferred to be 372 sourced from bedrock water with mean and median values of 75.8 and 47.9 mm across 373 all pixels, respectively. Areas highlighted in gray did not detect evapotranspiration sourced 374 from bedrock using the deficit approach (i.e.  $S_R < S_{soil}$ ). These areas are mostly lim-375

- ited to the coastal Pacific Northwest, where the aridity index tends to be lower than the
- rest of the region (Fig. S3), and account for roughly one quarter of all pixels in the study.



Figure 5. Typical month in which the annual root-zone storage deficit  $(S_R)$  exceeds the soil water storage capacity  $(S_{soil})$ , implying plant transpiration beyond this point must be using  $S_{bedrock}$  to sustain growth. Patterns suggest bedrock water is needed to sustain plant growth very early into the growing season for many parts of the western US.

The random forest model driven by mean annual maximum observed  $S_{bedrock}$  makes 378 qualitatively similar predictions ( $R^2 = 0.965$ ; Fig. S8) to the water balance approach based 379 on mean yearly values (Fig. 6). Areas with a non-resetting deficit are more reliant on 380  $S_{bedrock}$  to sustain mean annual evapotranspiration when  $S_{max}$  is substituted for  $S_R$ . In 381 these areas,  $S_{max}$  exceeds  $S_R$  by a median value of 82.4 mm (Fig. S20) and  $S_{soil}$  val-382 ues are low (Fig. S5). For transparency the original model informed by  $S_R$  was re-run 383 in non-resetting pixels as well (Figs. S12-S15). Using only non-resetting pixels (i.e. teal and orange in Fig. 2), predicted mean and median  $ET_{bedrock}$  increased from 87.1 and 385 54.4 mm to 100.1 and 70.4 mm, respectively, when  $S_R$  was substituted with  $S_{max}$  and 386 a new random forest model was run (Fig. S17). Similarly, mean (median) relative evap-387 orative index ( $\epsilon_{w/o \ bedrock}$ ) decreased from -19.0% (-14.8) to -23.5% (-22.6) when account-388 ing for a non-resetting deficit (Fig. S18-S19). Interestingly, when  $S_{max}$  is used as an pre-389 dictor instead of  $S_R$ , the relative importance of aridity index as a predictor increases sub-390 stantially (Fig. S16). 391

392 393 394

# 3.3 $S_{bedrock}$ is Needed to Sustain Plant Growth Early into the Growing Season and Contributes Substantial Latent Heat Flux as Summer Progresses

Regions of high  $ET_{bedrock}$  (Fig. 4, S9) also correspond to areas that require  $S_{bedrock}$ to sustain plant growth surprisingly early into the growing season (Fig. 5) and involve large bedrock-water associated latent heat fluxes in the hot summer months (Fig. 7). The average first day of the year when  $S_{bedrock}$  is needed to account for evapotranspiration (in other words when  $S_R > S_{soil}$ ) is 190 (July 9) and over 21% of the study area must use bedrock water to account for ET prior to the beginning of summer (June 21) (Fig.



Figure 6. On the left, binned  $(0.5^{\circ})$  relative change in evaporative index (ET/P) without access to  $S_{bedrock}$  using the water balance method (blue) and random forest model (red). On the right, the total area of pixels within each latitudinal band (gray) and the total area of pixels where ET sourced from bedrock water ( $ET_{bedrock}$ ) was not detected using the water balance method (black). Stars show to the latitudinal locations of relevant major cities in the western United States. Across all latitudes, the random forest model predictions align closely with the results of the annual water balance model.

S7). In June, the majority of the study area in California has a noticeable latent heat
flux associated with ET sourced from bedrock. By August, there is widespread latent
heat flux across the western US, with July and August having the highest average values.

#### $_{405}$ 4 Discussion

The findings presented in this study highlight the importance of  $S_{bedrock}$  on water and energy partitioning in the western US. Below we discuss the possible implications of these findings on land-atmosphere interactions. We begin by situating our study within the context of the Budyko framework and discuss how this influences hydrologic partitioning. We then discuss the role of factors like geology on controlling the amount of  $S_{bedrock}$  and, consequently, hydrologic and energy partitioning. Finally, we address limitations to our study and offer potential future opportunities to advance the topic.



Figure 7. Average latent heat flux (equivalent units to solar irradiance,  $W/m^2$ ) used in evapotranspiration that is sourced from  $S_{bedrock}$  during the growing season. In large parts of the western US, in particular northern California and the Sierra Nevada mountain ranges, a large latent heat flux is associated with evapotranspiration of bedrock water every summer.

# 4.1 S<sub>bedrock</sub> Controls on Water and Energy Partitioning

The catchment water balance in asynchronous climates often deviates substantially 414 from expectations set by the Budyko curve (Berghuijs et al., 2020; De Lavenne & Andréassian, 415 2018; Potter et al., 2005; Viola et al., 2017). We found that in the western US, asynchronic-416 ity and root-zone storage capacity are two of the strongest predictors for mean annual 417 evapotranspiration (Figs S8, S12, S16), consistent with previous studies focusing on soil 418 water storage that showed that ET is favored with increasing soil water storage capac-419 ity (Feng et al., 2012; Milly, 1994a, 1994b; Padrón et al., 2017; Porporato et al., 2004), 420 as well as studies highlighting the importance of seasonality (Feng et al., 2012; Gerrits 421 et al., 2009; Hickel & Zhang, 2006; Xing et al., 2018; Yokoo et al., 2008) and water stor-422 age capacity (Chen et al., 2013; Cheng et al., 2022; E. Daly et al., 2019; R. J. Donohue 423 et al., 2012; Gentine et al., 2012; Hickel & Zhang, 2006; Milly, 1994a, 1994b; Potter et 424 al., 2005; Rodriguez-Iturbe et al., 1999; Williams et al., 2012; Woods, 2003). We take 425 this analysis a step further, by differentiating soil from bedrock, to elucidate basic fea-426 tures of how root-zone water is divided between hydrogeologically distinct subsurface lay-427 ers. Our results suggest  $S_{soil}$  alone poorly explains deviations from the Budyko-curve 428

(Fig. 3), and indicate that  $S_{bedrock}$  plays a comparatively larger role on controlling hy-

drologic partitioning in the western US. This is confirmed by our findings in Figs. 4, S4-

431 S6, S9-11, and is in agreement with similar findings by McCormick et al. (2021), and hillslope-

scale observational studies (Dralle et al., 2018; Hahm et al., 2019b, 2022; Lapides et al.,

 $_{433}$  2022a; Rempe & Dietrich, 2018). Moreover, if  $S_{bedrock}$  influences near-surface climate

<sup>434</sup> properties in a similar manner to soil moisture (e.g. Brabson et al., 2005; Koster et al.,

<sup>435</sup> 2004; Haarsma et al., 2009), current GCMs may under-estimate the influence of subsur-

face storage on extreme temperatures and heat waves (e.g. Seneviratne et al., 2006; Dif-

fenbaugh et al., 2007), precipitation formation (e.g. Alfieri et al., 2008; Ek & Holtslag,

<sup>438</sup> 2004; Taylor, 2015), and changes in planetary boundary layer (PBL) circulation patterns

<sup>439</sup> (e.g. Sousa et al., 2020; Ookouchi et al., 1984).

440

# 4.2 $S_{bedrock}$ Influences on Runoff Generation

Some forms of runoff generation require unsaturated storage deficits to be replen-441 ished prior to significant runoff production (McDonnell et al., 2021; Sayama et al., 2011). 442 Recently, Lapides et al. (2022b) showed that the 'missing' snowmelt runoff during the 443 2021 spring melt period in California (California Department of Water Resources, 2021) 444 could be attributed to deep root-zone storage deficits caused by drought conditions. These 445 areas, and many other parts of the western US, have among the largest observed  $S_R$  in 446 the contiguous US and the fraction of  $S_R$  attributed to bedrock is substantial (McCormick 447 et al., 2021). Our results agree with these findings and highlight that  $S_{bedrock}$  has ma-448 jor implications for runoff generation in the mountainous West. Deficit-based approaches 449 represent a potential method for scaling up hillslope (e.g. S. P. Anderson et al., 1997; 450 Salve et al., 2012; Tromp-van Meerveld et al., 2007), catchment (e.g. Ajami et al., 2011), 451 and watershed-scale (e.g. Sayama et al., 2011) studies to explain and predict runoff production— 452 the "Holy Grail" of hydrology (Beven, 2006)—at large scales. While our findings sug-453 gest bedrock storage heavily influences runoff patterns, especially in southwest (Fig. S11), 454 there is a need for more studies investigating these dynamics and, in particular, field-455 scale studies to confirm the trends presented here. 456

457 458

# 4.3 Geological Influences on $S_{bedrock}$ as a Controlling Factor in Vegetation Structure

Evidence supporting the notion that forest ecosystems rely on moisture stored in 459 weathered bedrock to sustain dry season growth goes back several decades (e.g. Arkley, 460 1981; Jones & Graham, 1993; Rose et al., 2003; Witty et al., 2003). In many cases, bedrock 461 water constitutes a majority of the total subsurface water available to sustain transpi-462 ration (e.g. M. Anderson et al., 1995; Hubbert, Graham, & Anderson, 2001; Rose et al., 463 2003: McCormick et al., 2021). Here, we demonstrate that bedrock storage dynamics in-464 fluence water and energy partitioning at large scales and throughout many parts of the 465 western US. The extent of bedrock weathering impacts its pore size distribution with depth, 466 and therefore plant-available water storage properties (Klos et al., 2018; Dawson et al., 467 2020). These properties in turn depend on climate, tectonics, and geology. The mech-468 anisms responsible for the transformation of fresh to weathered bedrock, which in turn 469 increases subsurface moisture storage potential, are well established (see for overview, 470 471 e.g. S. L. Brantley, 2010; Graham et al., 2010) but remain difficult to investigate due to limitations in accessing deep bedrock samples (see for overview, e.g. Zanner & Graham, 472 2005). Recently, the Critical Zone (CZ) sciences community has proposed methods for 473 predicting weathered bedrock patterns (Riebe et al., 2017) based on advancements in 474 geophysics (e.g. Slim et al., 2015; St. Clair et al., 2015), geochemistry (e.g. S. Brantley 475 et al., 2013; Lebedeva et al., 2007; Lebedeva & Brantley, 2013), and geomorphology (e.g. 476 R. S. Anderson et al., 2013; Rempe & Dietrich, 2014). A reliable and testable method 477 for predicting weathered bedrock patterns would serve as an important stepping stone 478 in understanding the complex interactions between subsurface properties and aboveground 479

processes. For example, root-zone storage capacities and plant community composition 480 have been shown to differ drastically in two adjacent, climatically similar watersheds in 481 California due to contrasting geological substrates (Hahm et al., 2019b). More recently, 482 Hahm et al. (2023) highlighted areas where geologic substrates overlapped with lower 483 than 'climatically expected'  $S_R$  and argued that plant growth in these areas is inhibited 484 directly by porosity and/or permeability (e.g. Hahm et al., 2019b; Jiang et al., 2020; H. Liu 485 et al., 2021) or indirectly via nutrient limitation (e.g. Hahm et al., 2014) and toxicity 486 (e.g. Kruckeberg, 1992). However, extending these findings to include the influence of 487 bedrock structure and geology on hydrologic partitioning has not been investigated. The 488 present study underscores the necessity to further investigate bedrock weathering mech-489

# <sup>490</sup> anisms as we move towards a holistic approach in CZ sciences.

# 491 5 Limitations

Limiting our study to distributed, remotely sensed, or spatially interpolated datasets 492 may introduce substantial uncertainty in the results. Although the prevalence of system-493 atic errors (e.g. cloud filtering, sensors, etc.) is a known limitation to using remotely sensed 494 data, we found that precipitation (PRISM) in excess of evapotranspiration (PML) aligned 495 well with USGS streamflow data in 128 minimally impacted catchments in our study area 496 (Fig. S2 and Rempe et al. (2022)). There are limited field data to validate our inferences; 497 however, McCormick et al. (2021) synthesised existing datasets and found the observa-498 tions that were consistent with deficit-based methods. The accuracy of satellite-based 499 data has improved dramatically in recent decades (Dubovik et al., 2021) and, when cou-500 pled with finer-scale field studies (i.e. watershed to hillslope), allows for macro-scale as-501 similation of topics that underpin important hydrologic problems. While we are confi-502 dent in the data presented here we emphasize the need to further implement field-based 503 studies. 504

To calculate the annual water balance, we first explored the scenario in which the 505 subsurface storage deficit returned to zero annually. This is not always the case. There 506 is ample evidence suggesting that many western forests have prolonged, multi-year deficits 507 (e.g. Cui et al., 2022; Goulden & Bales, 2019; Hahm et al., 2022; P.-W. Liu et al., 2022). 508 During our analysis we calculated the number of instances per pixel where the subsur-509 face storage deficit did not return to zero in a given year and concluded that, in many 510 cases, the deficit either resets intermittently or very infrequently. When isolating for ar-511 eas where the deficit has been shown to not reset, our findings suggest that  $S_{bedrock}$  plays 512 an even bigger role in hydrologic and energy partitioning than previously suggested by 513 our annual water balance and corroborative random forest model. Despite being limited 514 by some of the lowest soil water storage capacities in the contiguous US (see McCormick 515 et al. (2021) Extended Data Fig. 2b), these areas boast many of the largest maximum 516 root-zone storage  $(S_{max})$  values computed between 2003 - 2017 and, consequently, the 517 largest  $S_{bedrock}$ . The importance of  $S_{bedrock}$  to dry season plant transpiration in asyn-518 chronous climates is not a new idea (e.g. McCormick et al., 2021; Milly, 1994a); how-519 ever, research underpinned by these ideas rarely accounts for the possibility of multi-year 520 deficits. We posit that  $S_{bedrock}$  is likely underestimated in areas with non-resetting deficits 521 and that, in regions that are currently transitioning towards Mediterranean climates as 522 a result of warming trends (e.g. British Columbia), the magnitude of available  $S_{bedrock}$ 523 may be a limiting factor of future plant growth. In the results section, we reported the 524 typical day (and month) of year when evapotranspiration begins using  $S_{bedrock}$  based on 525 the proposed water balance model and argued that  $S_{bedrock}$  is necessary to sustain growth 526 early into the dry season for many parts of the western US. We did not recalculate this 527 value using a multi-year deficit for regions where the deficit does not return to zero an-528 nually. However, assuming wet season precipitation fully percolates into  $S_{bedrock}$  prior 529 to the dry season, we expect many areas are permanently using  $S_{bedrock}$  to sustain sum-530 mer growth. 531

# 532 6 Conclusion

In this study, we introduce a simple and reproducible annual water balance frame-533 work for assessing the role of  $S_{bedrock}$  on water partitioning within the context of the Budyko 534 framework. We employ this framework to investigate the timing of evapotranspiration 535 inferred to be sourced from  $S_{bedrock}$  and the magnitude of summer latent heat flux pro-536 duced as a result of access to  $S_{bedrock}$ . Finally, we use a random forest regression algo-537 rithm to corroborate our findings and then re-purpose the random forest model to ex-538 plore further areas where the root-zone storage deficit does not reset annually. Our find-539 ings suggest that, in the western contiguous US: 1)  $S_{bedrock}$  is necessary to explain plant 540 transpiration very early into the growing season; 2) the proportion of precipitation re-541 turning to atmosphere would drastically decrease without access to  $S_{bedrock}$ ; 3) the amount 542 of latent heat flux produced as a result evapotranspiration sourced from bedrock is sub-543 stantial during the summer; and 4) in regions where the root-zone storage deficit frequently 544 does not reset, the magnitude of evapotranspiration sourced from  $S_{bedrock}$  is greater, thereby 545 further influencing the water and energy partitioning properties. These results confirm 546 that  $S_{bedrock}$  plays a key role in the local hydrologic cycle and potentially influences the 547 severity and frequency of wildfire and mass die-off events. Further research contribut-548 ing to the role of  $S_{bedrock}$  on the land surface energy balance — e.g. extreme temper-549 atures, heat waves, wind patterns, etc. — would prove beneficial in understanding the 550 factors governing tree death and wildfire, an issue that is prevalent across the western 551 US. 552

# 553 7 Open Research

Flux data (ET, P, PET, and Q) were obtained from Penman-Monteith-Leuning Evap-554 otranspiration (L. Zhang et al., 2001), Parameter-elevation Regressions on Independent 555 Slopes Model (https://prism.oregonstate.edu), TerraClimate (https://www.climatologylab 556 .org/terraclimate.html), and Catchment Attributes and Meteorology for Large-sample 557 Studies (https://ral.ucar.edu/solutions/products/camels), respectively. Land cover, 558 soil water storage, and snow cover were obtained from USGS National Land Cover Database 559 (https://www.mrlc.gov/data), Gridded National Soil Survey Geographic Database (https:// 560 www.nrcs.usda.gov/resources/data-and-reports/gridded-national-soil-survey 561 -geographic-database-gnatsgo#download), and the National Snow and Ice Data Center (https://nsidc.org/data/mod10a1/versions/61). All data products were ana-563 lyzed using the Google Earth Engine Python API (Gorelick et al., 2017). Data, figures, 564 and code associated with this manuscript are available publicly at the following repos-565 itory on Hydroshare: (Ehlert et al., 2023; Bedrock controls on water and energy parti-566 tioning across the western contiguous United States, HydroShare, https://doi.org/ 567 10.4211/hs.191353753cc44de891ee392b95aae22b). 568

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