# Absorption of solar radiation by noctilucent clouds in a changing climate

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## Abstract

The future increase of methane concentration leads to a raise in water vapor abundance in the middle atmosphere. This will enhance the brightness of noctilucent clouds (NLC). We use an atmospheric background model and a microphysical model to study the associated absorption of solar radiation in the period 1950 to 2100. At 69°N mean absorptions at  $\lambda$ =126nm will increase from ~3% to ~7% from 1950 to 2100, respectively. Locally, the absorption can increase to ~30% in the year 2100. In the visible we find an increase from 0.0030% (1950) to 0.020% (2100), i.e., by a factor of ~7, and local maxima up to 0.35% in 2100. The results are similar for polar latitudes (79°N) but are smaller at middle latitudes (58°N). Future mean absorptions are comparable to solar cycle variations, but much larger locally. The ice mass bound in NLC increases from 677 to 1871 tons in 1950 and 2100.

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# Key Points:

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10	•	The future concentration of water vapor at NLC heights will increase and more
11		and larger ice particles are expected.
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## <sup>25</sup> Plain Language Summary

Noctilucent clouds (NLC) consist of water ice particles and appear in the summer 26 season in the upper mesosphere at high/middle latitudes where temperatures are very 27 low. Methane is photochemically converted to water vapor in the middle atmosphere. 28 Therefore, the future increase of methane concentration will lead to a raise in water va-29 por, and to an enhancement of NLC occurrence and brightness. We apply an atmospheric 30 background model and a microphysical ice particle model to study the associated ab-31 32 sorption of solar radiation. At 69°N mean absorptions in the UV will increase from  $\sim 3\%$ to  $\sim 7\%$  from 1950 to 2100, respectively. Locally, the absorption can increase to  $\sim 30\%$ 33 in 2100. In the visible ( $\lambda$ =532 nm) the corresponding numbers are 0.0030% (1950) to 34 0,020% (2100), i. e., an increase by a factor of  $\sim 7$ , and local maxima up to 0.35% in 2100. 35 Mean absorptions are comparable to variations throughout a solar cycle, but may locally 36 be much larger. Effects on the photochemistry are therefore expected. The total amount 37 of ice mass bound in NLC also increases with time, namely from 677 tons in 1950 to 1871 38 tons in 2100. NLC will be easier to observe by naked eye, i.e., they will be more frequent 39 and brighter. 40

## 41 **1** Introduction

Noctilucent clouds (NLC) consist of water ice particles and appear in the summer 42 season in the upper mesosphere at high and middle latitudes where temperatures are very 43 low (e. g. Gadsden & Schröder, 1989, and references therein). There is a long standing 44 scientific dispute, if or not NLC are indicators of climate change, where an unequivocal 45 proof by observations is still pending (see, for example, Thomas, 2003; Pertsev et al., 2014; 46 Russell III et al., 2015; Berger & Lübken, 2015; Fiedler et al., 2017). Results on the fu-47 ture development of NLC have recently been published by Yu et al. (2023), but a 0-d 48 model was applied for NLC and no extinctions were calculated. It is generally assumed 49 that the optical thickness of these clouds is on the order of  $10^{-4}$  or less, i. e., too small 50 to cause a significant extinction of solar radiation (e.g., Kokhanovsky, 2005). It has been 51 shown in previous studies that the main reason for an increase of extinction by NLC is 52 given by an increase of water vapor which is expected to grow in the middle atmosphere 53 due to enhanced emissions of methane (in the troposphere) which is photochemically con-54 verted to water vapor in the middle atmosphere. In this paper we study the extinction 55 of solar radiation by NLC at various wavelengths in a future climate scenario with in-56 creasing methane. We use the atmospheric background model LIMA (Leibniz Institute 57 Middle Atmosphere Model) and a microphysical model of ice particle formation called 58 MIMAS (Mesospheric Ice Microphysics And transport model). Various results on the 59 historical NLC development based on LIMA/MIMAS are described in Lübken et al. (2021), 60 hereafter refered to as LBB21, and references therein. 61

## 62 **2** Model

For the background atmosphere we use the global model LIMA (0-150 km) which 63 is nudged to the real atmosphere at lower heights. More details are described elsewhere 64 (Berger & von Zahn, 2002; Berger, 2008; Berger & Lübken, 2011). For this study we use 65 background conditions from a representative year (1982) for all years, i. e., the dynam-66 ical forcing of the upper mesosphere/lower thermosphere is kept constant for all years. 67 In MIMAS the formation of ice particles is determined by investigating the fate of a to-68 tal of 40 million dust/ice particles. Note that we use the full size distribution of ice par-69 70 ticles to calculate extinction coefficients whereas assuming a single (mean) radius and a theoretical size distribution leads to significant uncertainties. 71

In MIMAS the interaction of ice particles with background water vapor is considered, 72 including freeze drying. In this study we consider in increase of methane only (leading 73 to an increase in water vapour as described in LBB21), i. e., keeping temperatures and 74 dynamics constant (note that changing  $H_2O$  causes a very small temperature change which 75 can be neglected in this context). We have shown in previous papers that the increase 76 of optical parameters such as brightness and extinction is nearly entirely given by an in-77 crease of  $H_2O$ , whereas a decrease of temperatures (caused by an increase of carbon diox-78 ide) plays a minor role (see, for example, Fig. 3 in Lübken et al., 2018). In previous stud-79 ies we have presented various comparisons of results from LIMA and MIMAS with ground 80 based and satellite borne observations and found excellent agreement (see, for example 81 Schmidt et al., 2018; Lübken et al., 2021; Vellalassery et al., 2023, and references therein). 82 In Figure 1 we show the temporal behaviour of methane concentration in the troposphere 83 used in MIMAS. The expected future development is based on IPCC AR4. More specif-84 ically, we use the RCP8.5 scenario as described in Riahi et al. (2011) which turned out 85

to be realistic so far.



**Figure 1.** Concentrations of methane in the troposphere (blue) as used in LIMA/MIMAS, including future projections taken from IPCC (red)

For computational reasons we use LIMA/MIMAS model results from selected years in the period 1950 to 2100. Furthermore, we consider the core of the NLC season only, namely the month of July. As in LBB21, we study three latitude bands, namely 58±3°N,

 $_{90}$  69±3°N, and 78±3°N, respectively. In total there are 89,280 columns per year in each

latitude band, since there are 6 latitudes, 120 longitudes, 31 days, and 4 timesteps per day. Note that the ice layer and related optical parameters may vary substantially from column to column. We have considered the large solar zenith angles at high latitudes in summer (we have used  $\chi=80^{\circ}$  as a representative value) by increasing the optical depths and related parameters by a factor of 5.76, i. e.,  $1/\cos \chi$  approximating the Chapman function. Furthermore, we have increased all radii by a factor of 1.35, following the results presented in Schmidt et al. (2018).

<sup>98</sup> The extinction coefficient ('cross section')  $\sigma(r, \lambda)$  (units: m<sup>2</sup>) is a function of par-<sup>99</sup> ticle radius r and wavelength  $\lambda$ . It is needed to calculate the optical depth  $d\tau$  for a given <sup>100</sup> wavelength  $\lambda$  traversing a layer at height z with a geometrical thickness dz:

 $d\tau(z, r, \lambda) = \sigma(r, \lambda) \cdot dz \cdot dN(z, r, dr) \quad [/] \tag{1}$ 

where dN(z, r, dr) is the number density of particles at height z with radius between 102 r and r + dr. The total optical depth  $\tau(\lambda)$  is determined by integrating over all radii. 103 The amount of solar light with wavelength  $\lambda$  passing the layer (relative to the incom-104 ing intensity) is  $\exp(-\tau)$ , and the relative attenuation is  $a = 100 \cdot (1 - e^{-\tau})$  (in %). 105 For a ground-based lidar the backscatter coefficient  $\beta$  determines the amount of laser light 106 being backscattered. In MIMAS, backscatter coefficients and optical depths are deter-107 mined for every box, i. e., at all altitude layers at all latitudes/longitudes and time steps. 108 The effect of several boxes is given by summation over all  $\tau$ . 109

In Figure 2 we show extinction coefficients as a function of wavelengths for mono-110 dispers particles with given radii. In a significant part of the spectrum in the visible and 111 infrared (i. e., between roughly 200-1000 nm) the extinction coefficient varies as  $\lambda^{-4}$  for 112 a given radius, and approximately as  $r^6$  for a given wavelength. This implies that i) the 113 size of the NLC particles is crucial for the total extinction, and ii) the absorption of so-114 lar radiation generally decreases rapidly with increasing wavelength. This is no longer 115 true for wavelengths larger than  $\sim 1000-2000$  nm, and for radii smaller than  $\sim 200$  nm. 116 Mie calculations were performed assuming mono-dispers spherical ice particles apply-117 ing the wavelength dependent refractive index values from Warren and Brandt (2008). 118 The resonances with a major peak at  $\sim 3000$  nm are due to vibrational excitation of OH. 119

## 120 3 Results

In Figure 3 the relative occurrence frequencies of maximum backscatter coefficients 121  $(\beta_{max})$  from LIMA/MIMAS are presented for a given year (2000) considering all columns 122 in the latitude band 69±3°N. Observational results of  $\beta_{max}$  from the ALOMAR Rayleigh-123 Mie-Raman (RMR) lidar at 69°N for the years 1997-2020 are shown for comparison (both 124 for the month of July only). A description of the NLC data set obtained from this li-125 dar is presented in Fiedler et al. (2017). Note, that lidar data were averaged over 15 min-126 utes which elimantes very bright NLC. The idea is to characterize the variability of NLC 127 brightness (expressed as  $\beta_{max}$ ) from spatial (LIMA/MIMAS) and temporal (ALOMAR) 128 coverage. As can be seen from Figure 3 the relative distribution of  $\beta_{max}$ -values from ob-129 servations and from the model are very similar which supports the idea that particle size 130 and number density distributions in LIMA/MIMAS are rather realistic, which is then 131 also true for extinction coefficients and attenuations. 132

In Figure 4 we present a frequency distribution of attenuations from various years at  $69\pm3^{\circ}$ N for a wavelength of  $\lambda=200$  nm where we have considered boxes only where radii are larger than 2 nm to avoid mixture with dust particles. We have chosen 200 nm since this allows a fairly easy extrapolation to larger wavelengths and the extinction is similar to the maximum around 3000 nm (see Figure 2). From Figure 4 we can identify how often (i. e., in how many columns) a given attenuation appears in a given year, relative to the total number of columns (=89,280). For example, in 2080 and  $\lambda=200$  nm Fig. 2

Fig. 3

Fig. 4



**Figure 2.** Extinction coefficients as a function of wavelength for (mono-dispers) particle radii as given in the insert (in nm, various colors). The vertical lines mark wavelengths where we have calculated extinctions by the NLC layer.

an attenuation of 2% appears in  $\sim 6\%$  of all columns. Or, the chance to have attenua-140 tions of 3% (at  $\lambda$ =200 nm) increases by a factor of roughly 300 from 2000 to 2040. As 141 expected, the distributions shown in Figure 4 drop off less rapidly for future years, i. e., 142 larger attenuations appear more frequently. Note that nearly all columns are filled with 143 ice particles which is consistent with the observation that PMSE (polar mesosphere sum-144 mer echoes) are present at polar and arctic latitudes in summer nearly all the time (Latteck 145 et al., 2021). Note that PMSE are much less sensitive to ice particle radii compared to 146 NLC. If we limit the occurrence frequency to larger than 1%, the maximum attenuations 147 are roughly 2%, 2.7%, and 4.2% in 2000, 2040, and 2080, respectively. In the visible ( $\lambda$ =500 nm, 148 for example) these values decrease by a factor of roughly  $(500/200)^4=39$ . For compar-149 ison, we also show in Figure 4 the frequency distribution for  $\lambda = 126$  nm in the year 2080, 150 again for  $69\pm3^{\circ}$ N. As expected, the distribution extends to much larger attenuations com-151 pared to  $\lambda$ =200 nm because the extinction coefficient is larger (see Figure 2). 152

In Figure 5 the long term evolution of mean optical thickness and attenuation is 153 shown for  $\lambda = 126$  nm at  $69 \pm 3^{\circ}$ N. The values are determined as follows: for a given year, 154 extinction coefficients  $\tau_{i,j,k}$  are available at all  $i_{max}=124$  times steps,  $j_{max}=6$  latitudes, 155 and  $k_{max}=120$  longitudes. First, the mean extinction coefficient  $\overline{\tau_i}$  at each time step i 156 is determined, averaging over all latitudes/longitudes but only where NLC are present. 157 Then the mean over all time steps  $\overline{\tau_i}$  in a given year is calculated, as well as the stan-158 dard deviation of the mean and the maximum and minimum values. Furthermore, the 159 maximum of all values in a given year  $\tau_{i,j,k}$  is shown, called 'grand maximum'. As can 160 be seen from Figure 5, mean absorptions at  $\lambda$ =126 nm increase from ~3% to ~7% from 161 1950 to 2100, respectively. The mean variability is on the order of a factor of two. Lo-162 cally, the absorption can increase up to 30% in the year 2100. In the visible (532 nm, 163 not shown) mean attenuations increase from 0.0030% (1950) to 0.020% (2100), i. e., by 164 a factor of  $\sim 7$ . Locally, maximum values can reach up to 0.35% in 2100. 165



Figure 3. Relative frequency of occurrence (in %) of i) maximum backscatter values ( $\beta_{max}$ , blue crosses) from LIMA/MIMAS in all columns in a given year (2000) in July in the latitude band 69±3°N, and ii)  $\beta_{max}$ -values from the ALOMAR RMR lidar at 69°N during 1-31 July from the years 1997 to 2020 (green dots). Both data sets are for a wavelength of 532 nm.

## <sup>166</sup> 4 Discussion and Conclusion

We have also studied future extinctions etc. at other latitudes and find similar results (compared to  $69\pm3^{\circ}$ N) at  $78\pm3^{\circ}$ N, but significantly smaller values at  $58\pm3^{\circ}$ N (not shown). The total amount of ice mass bound in NLC also increases with time, namely from 677 tons in 1950 to 1259 and 1871 tons in 2050 and 2100, respectively, where the largest fraction (typically 80-90%) stems from north of 60°N. Generally speaking, the ice mass increases with the concentration of methane, but the correlation is not linear. The ice water content, i. e., the ice mass in a given column, increases correspondingly.

Note that the relative increase of extinction and attenuation with time (see Fig-174 ure 5 for  $\lambda$ =126 nm) is significantly stronger at larger wavelengths ( $\lambda$ =532 nm, for ex-175 ample) where the extinction is roughly proportional to  $r^6$ , whereas the dependence on 176 radius is weaker at 126 nm because the Rayleigh scattering condition  $\lambda/2\pi r \gg 1$  is no 177 longer valid. We have checked the results for consistency. For example: the variation of 178 ice mass (~  $r^3$ ) and attenuation (~  $r^6$ ) are consistent, since (for the years 2100 and 1950) 179 we have  $(1871 \text{ tons}/677 \text{ tons})^{1/3} = 1.40$  and (for  $\lambda = 532 \text{ nm}$ ) we get (0.02056 %/0.00301)180 %)<sup>1/6</sup>=1.38, which are surprisingly similar when we consider that we have ignored var-181 ious factors complicating such a comparison. 182

In order to judge the importance of the solar radiation absorption by NLC we compare with the variability due to the solar cycle, all of which vary substantially with wavelengths. For example, in the visible the solar cycle variation is roughly 0.1% (see, for example, Figure 3 in Gray et al., 2010). We have repeated the trend calculations shown



Figure 4. The relative occurrence frequency of attenuations at  $69\pm3^{\circ}$ N from various years (see inlet) for a wavelength of  $\lambda=200$  nm (dots) and  $\lambda=126$  nm (crosses). The inlet also lists the mean attenuations (<...>) in %.

<sup>187</sup> in Figure 5 for  $\lambda$ =532 nm and find for the year 2100 mean absorptions of 0.02% and (grand) <sup>188</sup> maximum absorptions of ~ 0.35%. The latter implies that in certain areas the maximum <sup>189</sup> absorption by NLC in the visible as expected for 2100 is significantly larger compared <sup>190</sup> to the variation throughout a solar cycle. In the UV ( $\lambda$ =126 nm) the variations are sev-<sup>191</sup> eral tens percent, both during a solar cycle and for the maximum absorption by NLC <sup>192</sup> (see Figure 5).

The absorption of solar radiation by NLC will presumable affect photochemical processes at lower heights, in particular those related to odd oxygen. We realize that most of the involved reaction mechanisms are non-linear which means that a sophisticated analysis is required to make quantitative predictions. The same applies for positive feedback mechanisms, which are currently ignored: the absorption of solar UV radiation leads to less dissociation and higher concentrations of water vapor, which leads to more and larger ice particles, which in turn leads to more absorption of solar radiation.

Last but not least, for ground based visible observers at middle latitudes, the conditions to observe NLC become more favorable in the future, i. e., the occurrence frequency and the brightness of NLC will increase substantially.

## 203 Acknowledgments

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<sup>205</sup> Mie calculations were performed using information from http://atol.ucsd.edu/scatlib/index.htm.

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- research initiative ROMIC.



Figure 5. For each selected year the mean extinction coefficients (left axis) and attenuations (right axis) are shown at  $69\pm3$ °N. First, we average the extinction coefficients from all columns (only where NLC are present) at a given time step. Then we take the extinction coefficients from all time steps and determine the mean (dots), standard deviation (bars), and the maximum and minimum values (dashed lines). Furthermore, the maximum extinction coefficient from all columns (before averaging) is shown (red line, 'grand maximum'). See text for more details.

## 208 Open Research

- <sup>209</sup> The datasets presented in the 5 Figures of this study are available (in ASCII for-
- <sup>210</sup> mat) under the following link:
- ${\tt https://www.radar-service.eu/radar/en/dataset/tHJPPEaVtViqLpBB?token=RKDdebTwfywRJIXmPEwS.}$
- The doi-number of the dataset is: 10.22000/1811. The context of each data file is de-
- scribed in detail in the header of each file.

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Noctilucent clouds (NLC) consist of water ice particles and appear in the summer 26 season in the upper mesosphere at high/middle latitudes where temperatures are very 27 low. Methane is photochemically converted to water vapor in the middle atmosphere. 28 Therefore, the future increase of methane concentration will lead to a raise in water va-29 por, and to an enhancement of NLC occurrence and brightness. We apply an atmospheric 30 background model and a microphysical ice particle model to study the associated ab-31 32 sorption of solar radiation. At 69°N mean absorptions in the UV will increase from  $\sim 3\%$ to  $\sim 7\%$  from 1950 to 2100, respectively. Locally, the absorption can increase to  $\sim 30\%$ 33 in 2100. In the visible ( $\lambda$ =532 nm) the corresponding numbers are 0.0030% (1950) to 34 0,020% (2100), i. e., an increase by a factor of  $\sim 7$ , and local maxima up to 0.35% in 2100. 35 Mean absorptions are comparable to variations throughout a solar cycle, but may locally 36 be much larger. Effects on the photochemistry are therefore expected. The total amount 37 of ice mass bound in NLC also increases with time, namely from 677 tons in 1950 to 1871 38 tons in 2100. NLC will be easier to observe by naked eye, i.e., they will be more frequent 39 and brighter. 40

## 41 **1** Introduction

Noctilucent clouds (NLC) consist of water ice particles and appear in the summer 42 season in the upper mesosphere at high and middle latitudes where temperatures are very 43 low (e. g. Gadsden & Schröder, 1989, and references therein). There is a long standing 44 scientific dispute, if or not NLC are indicators of climate change, where an unequivocal 45 proof by observations is still pending (see, for example, Thomas, 2003; Pertsev et al., 2014; 46 Russell III et al., 2015; Berger & Lübken, 2015; Fiedler et al., 2017). Results on the fu-47 ture development of NLC have recently been published by Yu et al. (2023), but a 0-d 48 model was applied for NLC and no extinctions were calculated. It is generally assumed 49 that the optical thickness of these clouds is on the order of  $10^{-4}$  or less, i. e., too small 50 to cause a significant extinction of solar radiation (e.g., Kokhanovsky, 2005). It has been 51 shown in previous studies that the main reason for an increase of extinction by NLC is 52 given by an increase of water vapor which is expected to grow in the middle atmosphere 53 due to enhanced emissions of methane (in the troposphere) which is photochemically con-54 verted to water vapor in the middle atmosphere. In this paper we study the extinction 55 of solar radiation by NLC at various wavelengths in a future climate scenario with in-56 creasing methane. We use the atmospheric background model LIMA (Leibniz Institute 57 Middle Atmosphere Model) and a microphysical model of ice particle formation called 58 MIMAS (Mesospheric Ice Microphysics And transport model). Various results on the 59 historical NLC development based on LIMA/MIMAS are described in Lübken et al. (2021), 60 hereafter refered to as LBB21, and references therein. 61

## 62 **2** Model

For the background atmosphere we use the global model LIMA (0-150 km) which 63 is nudged to the real atmosphere at lower heights. More details are described elsewhere 64 (Berger & von Zahn, 2002; Berger, 2008; Berger & Lübken, 2011). For this study we use 65 background conditions from a representative year (1982) for all years, i. e., the dynam-66 ical forcing of the upper mesosphere/lower thermosphere is kept constant for all years. 67 In MIMAS the formation of ice particles is determined by investigating the fate of a to-68 tal of 40 million dust/ice particles. Note that we use the full size distribution of ice par-69 70 ticles to calculate extinction coefficients whereas assuming a single (mean) radius and a theoretical size distribution leads to significant uncertainties. 71

In MIMAS the interaction of ice particles with background water vapor is considered, 72 including freeze drying. In this study we consider in increase of methane only (leading 73 to an increase in water vapour as described in LBB21), i. e., keeping temperatures and 74 dynamics constant (note that changing  $H_2O$  causes a very small temperature change which 75 can be neglected in this context). We have shown in previous papers that the increase 76 of optical parameters such as brightness and extinction is nearly entirely given by an in-77 crease of  $H_2O$ , whereas a decrease of temperatures (caused by an increase of carbon diox-78 ide) plays a minor role (see, for example, Fig. 3 in Lübken et al., 2018). In previous stud-79 ies we have presented various comparisons of results from LIMA and MIMAS with ground 80 based and satellite borne observations and found excellent agreement (see, for example 81 Schmidt et al., 2018; Lübken et al., 2021; Vellalassery et al., 2023, and references therein). 82 In Figure 1 we show the temporal behaviour of methane concentration in the troposphere 83 used in MIMAS. The expected future development is based on IPCC AR4. More specif-84 ically, we use the RCP8.5 scenario as described in Riahi et al. (2011) which turned out 85

to be realistic so far.



**Figure 1.** Concentrations of methane in the troposphere (blue) as used in LIMA/MIMAS, including future projections taken from IPCC (red)

For computational reasons we use LIMA/MIMAS model results from selected years in the period 1950 to 2100. Furthermore, we consider the core of the NLC season only, namely the month of July. As in LBB21, we study three latitude bands, namely 58±3°N,

 $_{90}$  69±3°N, and 78±3°N, respectively. In total there are 89,280 columns per year in each

Fig. 1

latitude band, since there are 6 latitudes, 120 longitudes, 31 days, and 4 timesteps per day. Note that the ice layer and related optical parameters may vary substantially from column to column. We have considered the large solar zenith angles at high latitudes in summer (we have used  $\chi=80^{\circ}$  as a representative value) by increasing the optical depths and related parameters by a factor of 5.76, i. e.,  $1/\cos \chi$  approximating the Chapman function. Furthermore, we have increased all radii by a factor of 1.35, following the results presented in Schmidt et al. (2018).

<sup>98</sup> The extinction coefficient ('cross section')  $\sigma(r, \lambda)$  (units: m<sup>2</sup>) is a function of par-<sup>99</sup> ticle radius r and wavelength  $\lambda$ . It is needed to calculate the optical depth  $d\tau$  for a given <sup>100</sup> wavelength  $\lambda$  traversing a layer at height z with a geometrical thickness dz:

 $d\tau(z, r, \lambda) = \sigma(r, \lambda) \cdot dz \cdot dN(z, r, dr) \quad [/] \tag{1}$ 

where dN(z, r, dr) is the number density of particles at height z with radius between 102 r and r + dr. The total optical depth  $\tau(\lambda)$  is determined by integrating over all radii. 103 The amount of solar light with wavelength  $\lambda$  passing the layer (relative to the incom-104 ing intensity) is  $\exp(-\tau)$ , and the relative attenuation is  $a = 100 \cdot (1 - e^{-\tau})$  (in %). 105 For a ground-based lidar the backscatter coefficient  $\beta$  determines the amount of laser light 106 being backscattered. In MIMAS, backscatter coefficients and optical depths are deter-107 mined for every box, i. e., at all altitude layers at all latitudes/longitudes and time steps. 108 The effect of several boxes is given by summation over all  $\tau$ . 109

In Figure 2 we show extinction coefficients as a function of wavelengths for mono-110 dispers particles with given radii. In a significant part of the spectrum in the visible and 111 infrared (i. e., between roughly 200-1000 nm) the extinction coefficient varies as  $\lambda^{-4}$  for 112 a given radius, and approximately as  $r^6$  for a given wavelength. This implies that i) the 113 size of the NLC particles is crucial for the total extinction, and ii) the absorption of so-114 lar radiation generally decreases rapidly with increasing wavelength. This is no longer 115 true for wavelengths larger than  $\sim 1000-2000$  nm, and for radii smaller than  $\sim 200$  nm. 116 Mie calculations were performed assuming mono-dispers spherical ice particles apply-117 ing the wavelength dependent refractive index values from Warren and Brandt (2008). 118 The resonances with a major peak at  $\sim 3000$  nm are due to vibrational excitation of OH. 119

## 120 3 Results

In Figure 3 the relative occurrence frequencies of maximum backscatter coefficients 121  $(\beta_{max})$  from LIMA/MIMAS are presented for a given year (2000) considering all columns 122 in the latitude band 69±3°N. Observational results of  $\beta_{max}$  from the ALOMAR Rayleigh-123 Mie-Raman (RMR) lidar at 69°N for the years 1997-2020 are shown for comparison (both 124 for the month of July only). A description of the NLC data set obtained from this li-125 dar is presented in Fiedler et al. (2017). Note, that lidar data were averaged over 15 min-126 utes which elimantes very bright NLC. The idea is to characterize the variability of NLC 127 brightness (expressed as  $\beta_{max}$ ) from spatial (LIMA/MIMAS) and temporal (ALOMAR) 128 coverage. As can be seen from Figure 3 the relative distribution of  $\beta_{max}$ -values from ob-129 servations and from the model are very similar which supports the idea that particle size 130 and number density distributions in LIMA/MIMAS are rather realistic, which is then 131 also true for extinction coefficients and attenuations. 132

In Figure 4 we present a frequency distribution of attenuations from various years at  $69\pm3^{\circ}$ N for a wavelength of  $\lambda=200$  nm where we have considered boxes only where radii are larger than 2 nm to avoid mixture with dust particles. We have chosen 200 nm since this allows a fairly easy extrapolation to larger wavelengths and the extinction is similar to the maximum around 3000 nm (see Figure 2). From Figure 4 we can identify how often (i. e., in how many columns) a given attenuation appears in a given year, relative to the total number of columns (=89,280). For example, in 2080 and  $\lambda=200$  nm Fig. 2

Fig. 3



**Figure 2.** Extinction coefficients as a function of wavelength for (mono-dispers) particle radii as given in the insert (in nm, various colors). The vertical lines mark wavelengths where we have calculated extinctions by the NLC layer.

an attenuation of 2% appears in  $\sim 6\%$  of all columns. Or, the chance to have attenua-140 tions of 3% (at  $\lambda$ =200 nm) increases by a factor of roughly 300 from 2000 to 2040. As 141 expected, the distributions shown in Figure 4 drop off less rapidly for future years, i. e., 142 larger attenuations appear more frequently. Note that nearly all columns are filled with 143 ice particles which is consistent with the observation that PMSE (polar mesosphere sum-144 mer echoes) are present at polar and arctic latitudes in summer nearly all the time (Latteck 145 et al., 2021). Note that PMSE are much less sensitive to ice particle radii compared to 146 NLC. If we limit the occurrence frequency to larger than 1%, the maximum attenuations 147 are roughly 2%, 2.7%, and 4.2% in 2000, 2040, and 2080, respectively. In the visible ( $\lambda$ =500 nm, 148 for example) these values decrease by a factor of roughly  $(500/200)^4=39$ . For compar-149 ison, we also show in Figure 4 the frequency distribution for  $\lambda = 126$  nm in the year 2080, 150 again for  $69\pm3^{\circ}$ N. As expected, the distribution extends to much larger attenuations com-151 pared to  $\lambda$ =200 nm because the extinction coefficient is larger (see Figure 2). 152

In Figure 5 the long term evolution of mean optical thickness and attenuation is 153 shown for  $\lambda = 126$  nm at  $69 \pm 3^{\circ}$ N. The values are determined as follows: for a given year, 154 extinction coefficients  $\tau_{i,j,k}$  are available at all  $i_{max}=124$  times steps,  $j_{max}=6$  latitudes, 155 and  $k_{max}=120$  longitudes. First, the mean extinction coefficient  $\overline{\tau_i}$  at each time step i 156 is determined, averaging over all latitudes/longitudes but only where NLC are present. 157 Then the mean over all time steps  $\overline{\tau_i}$  in a given year is calculated, as well as the stan-158 dard deviation of the mean and the maximum and minimum values. Furthermore, the 159 maximum of all values in a given year  $\tau_{i,j,k}$  is shown, called 'grand maximum'. As can 160 be seen from Figure 5, mean absorptions at  $\lambda$ =126 nm increase from ~3% to ~7% from 161 1950 to 2100, respectively. The mean variability is on the order of a factor of two. Lo-162 cally, the absorption can increase up to 30% in the year 2100. In the visible (532 nm, 163 not shown) mean attenuations increase from 0.0030% (1950) to 0.020% (2100), i. e., by 164 a factor of  $\sim 7$ . Locally, maximum values can reach up to 0.35% in 2100. 165



Figure 3. Relative frequency of occurrence (in %) of i) maximum backscatter values ( $\beta_{max}$ , blue crosses) from LIMA/MIMAS in all columns in a given year (2000) in July in the latitude band 69±3°N, and ii)  $\beta_{max}$ -values from the ALOMAR RMR lidar at 69°N during 1-31 July from the years 1997 to 2020 (green dots). Both data sets are for a wavelength of 532 nm.

## <sup>166</sup> 4 Discussion and Conclusion

We have also studied future extinctions etc. at other latitudes and find similar results (compared to  $69\pm3^{\circ}$ N) at  $78\pm3^{\circ}$ N, but significantly smaller values at  $58\pm3^{\circ}$ N (not shown). The total amount of ice mass bound in NLC also increases with time, namely from 677 tons in 1950 to 1259 and 1871 tons in 2050 and 2100, respectively, where the largest fraction (typically 80-90%) stems from north of 60°N. Generally speaking, the ice mass increases with the concentration of methane, but the correlation is not linear. The ice water content, i. e., the ice mass in a given column, increases correspondingly.

Note that the relative increase of extinction and attenuation with time (see Fig-174 ure 5 for  $\lambda$ =126 nm) is significantly stronger at larger wavelengths ( $\lambda$ =532 nm, for ex-175 ample) where the extinction is roughly proportional to  $r^6$ , whereas the dependence on 176 radius is weaker at 126 nm because the Rayleigh scattering condition  $\lambda/2\pi r \gg 1$  is no 177 longer valid. We have checked the results for consistency. For example: the variation of 178 ice mass (~  $r^3$ ) and attenuation (~  $r^6$ ) are consistent, since (for the years 2100 and 1950) 179 we have  $(1871 \text{ tons}/677 \text{ tons})^{1/3} = 1.40$  and (for  $\lambda = 532 \text{ nm}$ ) we get (0.02056 %/0.00301)180 %)<sup>1/6</sup>=1.38, which are surprisingly similar when we consider that we have ignored var-181 ious factors complicating such a comparison. 182

In order to judge the importance of the solar radiation absorption by NLC we compare with the variability due to the solar cycle, all of which vary substantially with wavelengths. For example, in the visible the solar cycle variation is roughly 0.1% (see, for example, Figure 3 in Gray et al., 2010). We have repeated the trend calculations shown



Figure 4. The relative occurrence frequency of attenuations at  $69\pm3^{\circ}$ N from various years (see inlet) for a wavelength of  $\lambda=200$  nm (dots) and  $\lambda=126$  nm (crosses). The inlet also lists the mean attenuations (<...>) in %.

<sup>187</sup> in Figure 5 for  $\lambda$ =532 nm and find for the year 2100 mean absorptions of 0.02% and (grand) <sup>188</sup> maximum absorptions of ~ 0.35%. The latter implies that in certain areas the maximum <sup>189</sup> absorption by NLC in the visible as expected for 2100 is significantly larger compared <sup>190</sup> to the variation throughout a solar cycle. In the UV ( $\lambda$ =126 nm) the variations are sev-<sup>191</sup> eral tens percent, both during a solar cycle and for the maximum absorption by NLC <sup>192</sup> (see Figure 5).

The absorption of solar radiation by NLC will presumable affect photochemical processes at lower heights, in particular those related to odd oxygen. We realize that most of the involved reaction mechanisms are non-linear which means that a sophisticated analysis is required to make quantitative predictions. The same applies for positive feedback mechanisms, which are currently ignored: the absorption of solar UV radiation leads to less dissociation and higher concentrations of water vapor, which leads to more and larger ice particles, which in turn leads to more absorption of solar radiation.

Last but not least, for ground based visible observers at middle latitudes, the conditions to observe NLC become more favorable in the future, i. e., the occurrence frequency and the brightness of NLC will increase substantially.

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Figure 5. For each selected year the mean extinction coefficients (left axis) and attenuations (right axis) are shown at  $69\pm3$ °N. First, we average the extinction coefficients from all columns (only where NLC are present) at a given time step. Then we take the extinction coefficients from all time steps and determine the mean (dots), standard deviation (bars), and the maximum and minimum values (dashed lines). Furthermore, the maximum extinction coefficient from all columns (before averaging) is shown (red line, 'grand maximum'). See text for more details.

## 208 Open Research

- <sup>209</sup> The datasets presented in the 5 Figures of this study are available (in ASCII for-
- <sup>210</sup> mat) under the following link:
- ${\tt https://www.radar-service.eu/radar/en/dataset/tHJPPEaVtViqLpBB?token=RKDdebTwfywRJIXmPEwS.}$
- The doi-number of the dataset is: 10.22000/1811. The context of each data file is de-
- scribed in detail in the header of each file.

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