Investigating zonal asymmetries in stratospheric ozone trends from satellite limb observations and a chemical transport model

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Abstract

This study investigates the origin of the zonal asymmetry in stratospheric ozone trends at northern high latitudes, identified in satellite limb observations over the past two decades. We use a merged dataset consisting of ozone profiles retrieved at the University of Bremen from SCIAMACHY and OMPS-LP measurements to derive ozone trends. We also use TOMCAT chemical transport model (CTM) simulations, forced by ERA5 reanalyses, to investigate the factors which determine the asymmetry observed in the long-term changes. By studying seasonally and longitudinally resolved observation-based ozone trends, we find, especially during spring, a well-pronounced asymmetry at polar latitudes, with values up to +6 % per decade over Greenland and -5 % per decade over western Russia. The control CTM simulation agrees well with these observed trends, whereas sensitivity simulations indicate that chemical mechanisms, involved in the production and removal of ozone, or their changes, are unlikely to explain the observed behaviour. The decomposition of TOMCAT ozone time series and of ERA5 geopotential height into the first two wavenumber components shows a clear correlation between the two variables in the middle stratosphere and demonstrates a weakening and a shift in the wavenumber-1 planetary wave activity over the past two decades. Finally, the analysis of the polar vortex position and strength points to a decadal oscillation with a reversal pattern at the beginning of the century, also found in the ozone trend asymmetry. This further stresses the link between changes in the polar vortex position and the identified ozone trend pattern.

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Key Points:

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11	• A longitudinal asymmetry in stratospheric ozone trends at northern high latitude
12	is found in satellite observations in the past two decades
13	• The asymmetry is particularly large in springtime and the TOMCAT chemistry
14	transport model well reproduces the pattern
15	• Changes in polar wave activity and in the position and strength of the polar vor-
16	tex are found to be relevant to explain this pattern

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17 Abstract

This study investigates the origin of the zonal asymmetry in stratospheric ozone trends 18 at northern high latitudes, identified in satellite limb observations over the past two decades. 19 We use a merged dataset consisting of ozone profiles retrieved at the University of Bre-20 men from SCIAMACHY and OMPS-LP measurements to derive ozone trends. We also 21 use TOMCAT chemical transport model (CTM) simulations, forced by ERA5 reanal-22 yses, to investigate the factors which determine the asymmetry observed in the long-term 23 changes. By studying seasonally and longitudinally resolved observation-based ozone trends, 24 we find, especially during spring, a well-pronounced asymmetry at polar latitudes, with 25 values up to +6 % per decade over Greenland and -5 % per decade over western Rus-26 sia. The control CTM simulation agrees well with these observed trends, whereas sen-27 sitivity simulations indicate that chemical mechanisms, involved in the production and 28 removal of ozone, or their changes, are unlikely to explain the observed behaviour. The 29 decomposition of TOMCAT ozone time series and of ERA5 geopotential height into the 30 first two wavenumber components shows a clear correlation between the two variables 31 in the middle stratosphere and demonstrates a weakening and a shift in the wavenumber-32 1 planetary wave activity over the past two decades. Finally, the analysis of the polar 33 vortex position and strength points to a decadal oscillation with a reversal pattern at 34 the beginning of the century, also found in the ozone trend asymmetry. This further stresses 35 the link between changes in the polar vortex position and the identified ozone trend pat-36 tern. 37

³⁸ Plain Language Summary

Monitoring long-term ozone changes in the stratosphere is important to assess the 39 evolution of the ozone layer in response to the Montreal Protocol and climate changes. 40 In this study, we investigate the origin of a zonal asymmetry in stratospheric ozone trends 41 over the past two decades, which was identified at northern polar latitudes by analyz-42 ing satellite observations. To this aim, we use a merged dataset consisting of ozone pro-43 files retrieved at the University of Bremen from SCIAMACHY and OMPS-LP measure-44 ments to derive ozone trends. We also use TOMCAT chemical transport model (CTM) 45 simulations to investigate the factors which determine the asymmetry observed in the 46 long-term ozone changes. The asymmetry is found to be largest in springtime, and the 47 CTM simulation agrees well with the observation-based trends. Sensitivity simulations 48 indicate that chemical mechanisms, involved in the production and removal of ozone, are 49 unlikely to explain the observed pattern. On the contrary, changes in atmospheric dy-50 namics are found to be relevant. In particular, the analysis of the polar vortex position 51 and strength points to a decadal oscillation with a reversal pattern at the beginning of 52 the century, which is also found in the ozone trend asymmetry. 53

54 **1** Introduction

The variations of the ozone concentration, as a function of time, altitude and lat-55 itude are explained by several dynamical, chemical and photochemical processes (e.g., 56 Seinfeld & Pandis, 2016; WMO, 2022). In the lower stratosphere, where the chemical 57 lifetime is relativity long (i.e. many years), except during polar spring, ozone is trans-58 ported from the tropics to high latitudes, and it is affected by changes in atmospheric 59 dynamics. In the upper stratosphere ozone has a relatively short photochemical lifetime, 60 implying that changes in the transport of long-lived chemical species and in tempera-61 ture play important roles in determining ozone concentrations at those levels. 62

The stratospheric circulation comprises an upper branch of the Brewer–Dobson circulation (BDC), involving upwelling in the tropics, meridional poleward transport, and then descent in the polar regions, and a lower branch, having a more rapid meridional poleward transport on isentropic surfaces (Butchart, 2014). This circulation is driven

by the wave breaking in the stratosphere and therefore is subject to strong inter-annual 67 variability. The wave breaking happens in the so called "surf zone" at the edge of the 68 polar vortex, so that its position and vertical structure has an indirect impact on the BDC, 69 as well as BDC impacts the vortex position and strength (McIntyre & Palmer, 1984). 70 An acceleration of the stratospheric mean mass transport has been predicted by several 71 model studies (e.g., Garcia & Randel, 2008), but strong inter-annual variations prevent 72 a robust detection of this trend from observations. In addition there may be decadal-73 scale oscillations. A large inter-annual variability also characterizes the polar vortex, with 74 climate models not agreeing on whether it will weaken or strengthen during the 21st cen-75 tury (Karpechko et al., 2022). Several studies have addressed decadal changes of the po-76 lar vortex position and strength (e.g., Zhang et al., 2016; Seviour, 2017), pointing out 77 a vortex weakening and shift of its mean position towards Eurasia, particularly at the 78 end of the last century. In contrast, Hu et al. (2018) presented a strengthening of the 79 stratospheric polar vortex over the last two decades, that could be related to a weaken-80 ing of the propagation of wavenumber-one wave flux, which was connected by the au-81 thors to sea-surface temperature warming over the north Pacific sector. 82

Among various anthropogenic influences on the stratospheric ozone, two most rel-83 evant are the release of halogen-containing ozone-depleting substances (ODSs) and of 84 greenhouse gases (GHGs). With the adoption of the Montreal Protocol and its amend-85 ments the industrial production of ODSs, e.g. chlorofluorocarbon compounds (CFCs), 86 was regulated. This reduced their emissions during the 1990s and is expected to lead to 87 a recovery of the ozone layer globally (e.g., WMO, 2018, 2022). On the other hand, the 88 increasing concentration of GHGs such as CO_2 and CH_4 in the troposphere, is causing 89 a cooling of the stratosphere, through radiative transfer feedback. This cooling affects 90 the ozone chemistry in the upper stratosphere, as the rate coefficients of reactions involved 91 in catalytic cycles removing ozone have a direct dependence on temperature (Waugh et 92 al., 2009). At the same time, the termolecular reaction $O_2 + O + M \rightarrow O_3 + M$ has a 93 rate inversely proportional to temperature so that the cooling also accelerates the ozone 94 production (Groves et al., 1978). 95

The coupling between the described chemical and dynamical processes controlling stratospheric ozone is expected to have a complex spatial structure, varying in altitude, latitude, longitude and time. Therefore, to study long-term variations of the ozone field, there is a need for consistent long-term time series with a good temporal and spatial coverage over the whole globe.

In order to study long-term changes in ozone vertical profiles and test our under-101 standing of the impact of natural phenomena and anthropogenic activities on atmospheric 102 ozone, single instrument time series are generally inadequate. Several studies have used 103 satellite merged datasets to investigate stratospheric ozone trends, but the majority of 104 them focused only on zonal mean changes (e.g., WMO, 2022). By exploiting the dense 105 spatial sampling provided by limb observations, recently, Arosio et al. (2019) and Sofieva 106 et al. (2021) looked at longitudinally resolved trends and highlighted the presence of zonal 107 asymmetries, especially at northern high latitudes. In particular, poleward of 60 °N, they 108 identified a bi-polar structure having positive values over the Atlantic/Greenland sec-109 tor and close to zero or negative changes over Siberia. 110

As discussed in the following paragraphs, some studies also showed zonal asymmetries in the BDC and its impact on the distribution of trace gases and ozone trends in winter-time at northern high latitudes, by using model simulations and satellite datasets. Most studies focused on total ozone column measurements.

Longitudinally varying changes in total ozone were already pointed out in the study by Hood and Zaff (1995), who investigated total ozone at northern mid-latitudes during winter in the 1980s, using TOMS measurements. The authors identified the typical asymmetric ozone distribution related to quasi-stationary planetary waves, i.e. a pro-

nounced maximum over eastern Russia related to the Aleutian low and a secondary max-119 imum over eastern Canada associated with the Icelandic low. In addition, a distinct lon-120 gitudinal dependence of the mid-latitude ozone trends over this period was identified: 121 the largest negative trends (-40 DU per decade) occurred over Russia and western Pa-122 cific, whereas positive trends were found over the northern Atlantic sector. Another study 123 using TOMS data was performed by Peters and Entzian (1999) who investigated decadal 124 total ozone changes in the months December-February over the period 1979-1992 in the 125 northern hemisphere. They found a strong anti-correlation between the long-term to-126 tal ozone changes and the 300-hPa geopotential height (GPH) changes. This means that 127 decadal changes in the UTLS dynamics led to longitude-dependent changes in the to-128 tal ozone. 129

Asymmetries in the ozone climatology were investigated by Bari et al. (2013), us-130 ing models, reanalysis and satellite data, focusing on the northern mid-latitudes in win-131 ter. The authors stressed the importance of a 3-D approach in studying the BDC. They 132 found that the distribution of winds and trace gases is related to the zonal wavenumber-133 1 pattern in geopotential hight (GPH) observed in the northern hemispheric stratosphere 134 during winter at high and mid-latitudes. They showed that air masses are driven south-135 wards and upwards to the upper stratosphere over the Pacific ocean, whereas over Eu-136 rope and Asia the flow is northward and downward. 137

More recently, Kozubek et al. (2015) investigated the meridional component of strato-138 spheric winds as a function of altitude at northern mid-latitudes to study its longitudi-139 nal dependency. A well-defined two-core structure was identified at 10 hPa in the north-140 ern hemisphere, with opposite wind directions, related to the Aleutian pressure high at 141 10 hPa. They also computed meridional wind changes over two periods: 1970-1995 and 142 1996-2012. They found that meridional wind trends are negative in the first period and 143 positive in the second period, i.e. the two-core structure became stronger in the last 2 144 decades. As a follow up, Kozubek et al. (2017) investigated the long-term variations of 145 stratospheric winds over the whole globe at 10 hPa using four reanalysis datasets. The 146 trends were reported for winter months before and after the ozone trend turnaround point 147 at the end of the 1990s. They found hints of an acceleration of the BDC and change in 148 the ozone trend asymmetries before and after 1997. 149

Within this framework, and in light of the findings in Arosio et al. (2019) and Sofieva 150 et al. (2021), the present paper aims to analyze vertically and longitudinally resolved ozone 151 trends from satellite observations and to exploit simulations from the TOMCAT chem-152 istry transport model (CTM) to identify the mechanisms driving the observed zonal asym-153 metry in the ozone linear trends in the period 2004 to 2021. Sect. 2 introduces the satel-154 lite dataset used in this study and the TOMCAT CTM. Sect. 3 shows a comparison of 155 the measured and simulated ozone anomalies and of the respective zonally and longitu-156 dinally resolved trends, where the asymmetry at northern high latitudes is evident. Sect. 4 157 presents the results of TOMCAT runs, which were designed to assess the impact of chem-158 ical processes on the observed longitudinally asymmetric pattern in ozone trends. In Sect. 5 159 we explore in more detail the seasonally-resolved long-term changes in ozone and tem-160 perature, which leads to Sect. 6 where geopotential height and ozone fields are decom-161 posed into wavenumber-1 and -2 to assess similarities in their behaviour. Finally, in Sect. 7 162 we present some potential vorticity trends to further investigate changes in the polar vor-163 tex over the past two decades, followed by concluding remarks. 164

165 2 Datasets

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2.1 Satellite observations

The merged satellite dataset consisting of observations from the SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY (SCIAMACHY) and the

Ozone Mapper and Profiler Suite - Limb Profiler (OMPS-LP) has been produced at the 169 University of Bremen and is described in Arosio et al. (2019). Here, it will be referred 170 to as SCIA+OMPS. This dataset is longitudinally resolved with a grid size of 5° in lat-171 itude and 20° in longitude and has a vertical resolution of 3.3 km. The time series has 172 been recently updated after the re-processing of the OMPS-LP dataset by using improved 173 Level 1 gridded (L1G) data. In the new L1G data version (v2.6), the NASA team im-174 plemented some calibration corrections, a wavelength registration adjustment and an im-175 proved pointing correction. The main aim of the re-processing is the removal of the pos-176 itive drift identified in the previous OMPS-LP ozone product with respect to indepen-177 dent time series (Kramarova et al., 2018), e.g., from the Microwave Limb Sounder (MLS), 178 which has proven its long-term stability in previous studies, e.g., Hubert et al. (2016). 179 We define the drift as the linear trend of the relative difference between OMPS-LP and MLS. 180

The drift w.r.t. MLS time series is shown in Fig. 1. The left panel refers to the OMPS-181 LP time series retrieved using L1G v2.5 data, whereas the right panel refers to the up-182 dated time series, based on L1G v2.6 data. The comparison between the left and the right 183 panel shows that the strong positive drift w.r.t. MLS has been significantly reduced, par-184 ticularly above 35 km. The striped areas indicate values which are lower than the respec-185 tive 2σ uncertainty, i.e. they are not statistically significant at 95 % confidence level. Drift 186 values are still significant at some altitude-latitudes but generally with values half as large 187 as for the previous data version. This result provides improved confidence in the scien-188 tific value of the ozone trends derived from the SCIA+OMPS merged time series. 189



Figure 1. Drift of the OMPS-LP ozone product retrieved at the University of Bremen w.r.t. MLS during the period 2012-2021 in % per decade. Left panel: using L1G v2.5 data. Right panel: using L1G v2.6 data. Striped areas are non-significant at 2σ .

2.2 TOMCAT chemical transport model

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TOMCAT/SLIMCAT is a three-dimensional off-line chemical transport model (CTM) 191 (M. Chipperfield, 2006). The model is forced by winds and temperatures from meteo-192 rological analyses, which, in this study, are taken from the European Centre for Medium-193 Range Weather Forecasts (ECMWF) reanalysis v5 (ERA5). Once the atmospheric trans-194 port and temperatures are prescribed, the model calculates the abundances of chemi-195 cal species in the troposphere and stratosphere. A full-chemistry reference run was used 196 as baseline for this study and other dedicated runs were produced. The resolution of the 197 model was kept $2.8^{\circ} \times 2.8^{\circ}$ latitude and longitude, and about 1.5 km altitude in the strato-198

- sphere, and was interpolated to match the merged satellite dataset resolution. Monthly averaged values are considered.
- The TOMCAT CTM simulations were used in this study for three important reasons:
- The CTM provides a continuous time series without spatial or temporal gaps, so
 that it is possible, for example, to explore polar winter conditions, which are not
 sampled by limb scattering sounders;
- The possibility to study trends going back in time until 1979, when satellite limb observations were sparse;
- The possibility to investigate the mechanisms that determine the trend asymmetries by running dedicated simulations using different settings.

²¹⁰ 3 Comparison with TOMCAT: time series and trends

As a preliminary consistency check, we looked into the absolute bias between SCIA+OMPS 211 and TOMCAT time series, and noticed that the CTM underestimates ozone content in 212 the upper stratosphere and overestimates it in the lower stratosphere, which is a known 213 feature (Dhomse et al., 2021): further investigations on this issue are outside the scope 214 of this paper. For this reason and because we are interested in ozone trends, deseason-215 alized (relative) anomalies of the time series were calculated and found to be in good agree-216 ment with SCIA+OMPS, as shown in Fig. 2. In the lower tropical stratosphere, the am-217 plitude of the oscillations, probably due to the Quasi Biennial Oscillation (QBO), is more 218 pronounced in TOMCAT than in SCIA+OMPS. MLS time series is also included as a 219 reference in this plot.





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We applied to both TOMCAT and SCIA+OMPS time series a multivariate linear 221 regression model, based on the Long-term Ozone Trends and Uncertainties in the Strato-222 sphere (LOTUS) model and including several proxies. In particular, we included in the 223 regression model traditionally employed proxies (e.g., Petropavlovskikh et al., 2019), such 224 as the first two principal components of the QBO, the Multivariate El Nino Southern 225 Oscillation (ENSO) index (MEI) and the Mg II index for solar activity, but also dynam-226 ical proxies such as the yearly integrated eddy heat fluxes and the Atlantic/Antarctic 227 oscillation (AO/AAO). For a more detailed description of the used proxies, we refer to 228 Weber et al. (2022). 229

Due to the discrepancies in the SCIAMACHY time series w.r.t. other satellite products found in the first year of its lifetime (Sofieva et al., 2017), and because of the Hunga Tonga volcanic eruption, which occurred in January 2022, with its large stratospheric perturbation (Lu et al., 2023), we focused on the period 2004-2021 to study ozone trends.

The resulting zonal mean ozone trends are reported in Fig.3. Striped areas also in the following plots indicate values which are smaller than the 2σ uncertainty (non-significant).



Figure 3. Zonal mean ozone trends from SCIA+OMPS on the left and from TOMCAT on the right, computed over the 2004-2021 period. Striped areas are non-significant at 2σ .

Generally a good agreement between model and observations is found, with the ex-236 pected positive trends in the middle and upper stratosphere, related to the ongoing ozone 237 recovery. The most significant discrepancy is located below 25 km where TOMCAT shows 238 overall positive trends, whereas SCIA+OMPS shows negative values, though non-significant, 239 except for the inner tropics at 19 km. The detection of negative trends in the lower trop-240 ical and extra-tropical stratosphere has been extensively debated (Ball et al., 2018; M. P. Chip-241 perfield et al., 2018). A possible reason for the discrepancy between TOMCAT and SCIA+OMPS 242 in the lower stratosphere is related to ERA5 forcing, as pointed out by Li et al. (2022, 243 2023).244

In comparison with the long-term 2000-2020 ozone trends shown in Godin-Beekmann
et al. (2022), trend values in the lower stratosphere are not significant and closer to zero.
The negative values identified in SCIA+OMPS above 47 km are not shown by other merged datasets.

Longitudinally resolved trends from TOMCAT and SCIA+OMPS are compared in Fig. 4, at a specific altitude (38 km), in terms of stratospheric ozone column (SOC) and for a longitude-altitude cross section at 67.5° N. In the top row, we notice a pronounced longitudinal variability and some common patterns in CTM and in SCIA+OMPS

trends, especially the zonal asymmetry above 45° N. This asymmetry is more evident 253 in TOMCAT, with negative (though non-significant) values over the Siberian and East-254 Canadian sectors, and positive values over the Atlantic sector. Looking at the plot show-255 ing the trends in SOC and the longitude-altitude cross section at 67.5° N, we can see that 256 the asymmetry is vertically consistent. The positive SOC trend in the Atlantic sector 257 are significant at 2σ , especially for TOMCAT. A similar structure was identified also in 258 MLS time series and in the MEGRIDOP dataset (Sofieva et al., 2021). Above 35 km, 259 TOMCAT shows smaller positive values over the Atlantic sector as compared to the satel-260 lite observations. To further test the robustness of this pattern and its independence from 261 ERA5 forcing, in the Supplements, in Fig. S2 we compared trends from the MERRA 2 262 - Global Modeling Initiative (M2-GMI) CTM time series, which is forced with MERRA-263 2 meteorology, with TOMCAT, and found a bias in term of absolute trend values but 264 a good agreement in terms of asymmetric pattern. 265



Figure 4. Top row: longitudinally resolved trends for SCIA+OMPS (panel a) and TOMCAT (panel b) datasets at 38 km, in terms of stratospheric columns for both datasets in panels (c) and (d) resepctively. Bottom row: trends for a longitude-altitude cross section at 67.5° N. Striped areas denote non-significant values at 2σ level.

A TOMCAT simulation with a higher spatial resolution $(1.4^{\circ} \times 1.4^{\circ} \times 0.75 \text{ km})$ 266 grid) was run to investigate whether the discrepancies between the CTM and SCIA+OMPS 267 could be reduced. This simulation was sampled at the locations of the satellite obser-268 vations to make the CTM time series more consistent with the merged dataset, in terms 269 of temporal and spatial sampling. The resulting dataset was re-gridded and the two parts 270 of the time series, covering SCIAMACHY and OMPS-LP periods respectively, were de-271 biased to remove the discrepancy related to the different local time of the satellite ob-272 servations. The comparison of the resulting higher resolution data did not show any sig-273 nificant differences w.r.t. the standard run, neither in the trends nor in the time series 274 (here not shown). As a result, we use the standard run as reference in this study. 275

4 Investigation of the potential influence of chemical processes on the trend asymmetry

We performed two additional sensitivity (SEN) simulations to investigate the po-278 tential influence of chemical processes on the origin of the ozone trend asymmetry. The 279 first simulation 'SEN-fDyn' was forced using constant ERA5 data, corresponding to the 280 year from July 1999 to June 2000, which were repeated each year over the 2004-2021 pe-281 riod. The choice of the 1999/2000 year is arbitrary, as far as a winter with an average-282 strong polar vortex is considered; we tested the use of the July 2002 to June 2003 pe-283 riod for the repeating forcing without finding any significant difference. In the second simulation 'SEN-noPSC', polar stratospheric clouds (PSCs)-related heterogeneous chem-285 istry was inhibited, by not allowing temperature to drop below 200 K in the model chem-286 istry scheme to prevent PSC formation. The results are shown in Fig. 5. The longitude-287 altitude cross section of the ozone trends at 67.5° N over 2004-2021 from the reference 288 full-chemistry TOMCAT (control) run is shown, together with the trends for the 'SEN-289 noPSC' and 'SEN-fDyn' simulation. 290

Fig. 5 shows that the zonally asymmetrical trend pattern from the SEN-noPSC sim-291 ulation is almost identical to the one from the control simulation. As expected, the trends 292 over the Atlantic sector are smaller due to reduced ozone losses in the absence of PSCs. 293 This indicates that heterogeneous chemistry does not play a relevant role in producing 294 trends variable with longitude. To further test this hypothesis and the robustness of the 295 zonal asymmetry we computed the ozone trends for the 2004-2019 period, i.e. exclud-296 ing the cold 2019/2020 Arctic winter. As discussed in the Supplements, Fig. S1, we did 297 not find relevant differences, highlighting the robustness of the pattern. 298

Trend values in the 'repeating forcing' scenario show zonal symmetry and are overall smaller with respect to the reference run. In this case no long-term temperature trend is present in the forcing, which plays an important role for the ozone trend in the upper stratosphere. The fact that no zonal asymmetry is observed for this run indicates that gas-phase chemistry alone cannot directly explain either the asymmetry in trends. However, an indirect impact of atmospheric dynamics on gas-phase chemistry cannot be excluded (Galytska et al., 2019).

In addition, we compared the trend results computed for the TOMCAT reference 306 run and for ERA5 ozone data. As shown in Fig. 6, the zonal trends in ERA5 are signif-307 icantly different from Fig. 3, pointing out that ozone reanalysis data should not be used 308 to compute long-term ozone changes, unless a careful de-biasing of the time series is per-309 formed (e.g., Bernet et al., 2020). However, longitudinally resolved trends shown in Fig. 6 310 at 32 km have a remarkable similarity with the pattern found in TOMCAT. This pro-311 vides more evidence that atmospheric dynamics is mainly driving the observed asym-312 313 metric pattern, as TOMCAT is forced with ERA5 meteorology.

³¹⁴ 5 Seasonal ozone trends

To further investigate the longitudinal asymmetry at northern high latitudes, seasonal trends were analyzed. Two approaches to obtain seasonal time series for the SCIA+OMPS dataset are described in Appendix A. In the following, we show trend values obtained by merging the two seasonally averaged single-instrument time series.

In Fig. 7, seasonal ozone trends are shown for SCIA+OMPS (top row) and for the reference TOMCAT run (middle row) at 32 km altitude for spring (MA), summer (JJA) and autumn (SO). Only two months are used in spring and autumn to get a better coverage of the polar regions. The TOMCAT time series was masked to mirror the availability of satellite data. ERA5 temperature trends are displayed in the bottom row of Fig. 7 for the same three seasons.



Longitudinally resolved O3 trends TOMCAT, 200401 - 202112 (a) Control run

Figure 5. Longitudinally-resolved ozone trend cross section at 70° N, over 2004-2021 for three TOMCAT scenarios: (a) reference control run, (b) PSC-inhibited scenario and (c) repeating forcing.



Figure 6. Panel (a) shows zonal ozone trends for ERA5 time series over 2004-2021. Panels (b) and (c) show the longitudinally resolved trends at 32 km for ERA5 and TOMCAT, respectively.



Figure 7. Seasonally resolved trends at 32 km from SCIA+OMPS dataset (top row), TOM-CAT reference simulation (middle row) and ERA5 temperature (bottom row). The left column shows trends for spring (MA), the middle column for summer (JJA) and the right one for autumn (SO).

During summer (JJA, middle column) the trend fields are fairly homogeneous over 325 longitude, displaying significant positive values of about 1 % per decade for SCIA+OMPS 326 and close to zero for TOMCAT. In contrast, during spring (left column) and autumn (right 327 column) the asymmetry is well pronounced. In particular, we notice a strong zonal asym-328 metry in the spring-time trends in SCIA+OMPS that is very well captured by TOM-329 CAT, with the positive maximum located over the North Atlantic sector. The negative 330 values between Scandinavia and Siberia are also statistically significant (at 2σ level) for 331 both observations and model. A similar bi-polar pattern is also found in SO, but more 332 confined to polar latitudes and shifted in longitude. The good agreement of TOMCAT 333 with observations also holds in this case. 334

Regarding temperature, in summer we find a close-to-zero negative trend, whereas in spring and autumn the pattern is also zonally asymmetric, however no strong correlation with the patterns observed in the ozone trends was found. In conclusion, we find no strong evidence to relate the catalytic destruction of ozone in the polar vortex to the longitudinal asymmetry pattern observed in the Arctic.

A comparison between TOMCAT and SCIA+OMPS during winter months is more difficult, as limb scattering observations do not sample polar night conditions, as shown in Fig. 8, panel (a), where trends in DJF are shown at 32 km. SCIA+OMPS shows large
positive values with maxima over Canada and Scandinavia. The CTM, sampled in the
same manner as the SCIA+OMPS monthly time series, shows a comparable pattern (panel
(b)), with less pronounced positive values. The trends calculated using the full TOMCAT profiles (non-sampled, averaged over all the model time steps) give a better picture of the two positive (over Canada and Scandinavia) and two negative cores (over Siberia
and South of Greenland), although mostly not statistically significant.



Figure 8. Seasonally resolved trends in winter at 32 km from SCIA+OMPS (panel a) and TOMCAT: in panel (b) the CTM simulation was sampled as the satellite data whereas in panel (c) the non-sampled TOMCAT times series was used to compute the trends.

³⁴⁹ 6 Changes in GPH and atmospheric dynamics

To investigate changes in the wave activity at northern high latitudes during the 350 last two decades as a cause of the asymmetry in trends, we analyzed the time series of 351 geopotential height (GPH) from ERA5. In particular, we considered the longitudinally 352 resolved vertical structure of the GPH field and decomposed it in wavenumber one (wave-353 1) and wavenumber two (wave-2) components, using a fast Fourier transform. We focused 354 on the January-March period, where the largest asymmetric pattern in trends was found. 355 This analysis is based on the theory of linear interference of waves (Smith & Kushner, 356 2012), according to which a negative correlation exists between changes in the climato-357 logical stationary wavefield and the stratospheric jet strength. 358

First we obtained the 2004-2021 climatology of the wave-1 component, after av-359 eraging the GPH over the [45° N, 70° N] latitude band. Then, we computed the linear 360 trends of the wave-1 component over the same time period. Fig. 9a shows the wave-1 361 climatology in colors and the respective linear trends in m per decade in contours. The 362 position of the positive wave-1 GPH anomalies is approximately collocated with the re-363 gion showing a negative trend, and vice-versa, i.e. they are approximately in quadrature. 364 In particular, a $100/120^{\circ}$ eastward shift between the climatology and the wave-1 trend 365 maxima is visible, pointing out an eastward shift in the wave-1 forcing and a weaken-366 ing of the wavenumber-1 planetary wave, according to the linear wave theory (Matsuno, 367 1970), over the last two decades. 368

We then performed a similar analysis for the ozone field, choosing the TOMCAT time series with a complete coverage of the polar regions. We find a similar baroclinic pattern in the climatology of the wave-1 component of TOMCAT ozone particularly in the middle stratosphere, as shown in Fig. 9, panel (b). Above 5.0 hPa and below 50.0 hPa the correlation between the two panels breaks down. The trends of the ozone anomaly wave-1 component are superimposed in panel (b) in ppmv per decade and they are, sim-



Figure 9. Top row, JFM climatology of the wave-1 component of GPH (left) and TOMCAT ozone (right) averaged over [45° N, 70° N]. Superimposed the trends of the same quantities are shown as contours, with values in m per decade (left) and ppmv per decade (right). Bottom row, the wave-1 trend values at 10.0 hPa are shown (striped regions indicate no statistical significance at 2σ).

the GPH and ozone trends at 10.0 hPa, respectively, with the striped areas indicating 376

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values smaller than their 1σ uncertainty. The similarity is evident, although the shape of the two cores is more elongated for ozone trends. 378



Figure 10. Same as Fig. 9, but for the wave-2 components of GPH and ozone.

As shown in Fig. 10, a similar analysis was performed for the wave-2 components 379 of ERA5 GPH and TOMCAT ozone anomalies. The climatologies of wave-2 GPH and 380 ozone anomalies in the middle stratosphere show again a baroclinic structure, with val-381 ues that are approximately in phase with their respective trends (about 30° east-shift 382 between the two maxima or minima). This indicates that the wavenumber-2 wave forc-383 ing in the stratosphere has intensified in the last 2 decades. Panels (c) and (d) show the 384 wave-2 GPH and ozone trends at 10.0 hPa, respectively. The similarity is in this case 385 striking. 386

To quantify the correlation between climatology and trends, we calculated the pressure-387 weighted pattern correlation as in Fletcher and Kushner (2011) for the GPH pattern and 388 found a correlation of -0.75 for wave-1, i.e. out of phase, and of 0.77 for wave-2, i.e. close 389 to in-phase. This analysis of the wave-1 and -2 components points out the strong cor-390 relation between changes in ozone and in GPH, which are themselves related to changes 391 in wave activity. In our case, the pattern in GPH wave-1 and -2 components is consis-392 tent with a long-term shift and a strengthening of the polar vortex: the weakining and 393 shift of the wavenumber-1 planetary wave activity is leading to a strengthening of the 394 polar vortex, partially offset by the strengthening of the wavenumber-2 wave activity. 395 This seems to be the main driver of the asymmetry in the long-term ozone changes. 396

The identified GPH patterns are consistent with previous literature findings, e.g., Hu et al. (2018), which were related by the authors to a weakening of the Aleutian low and to warmer sea-surface temperature over the central North Pacific. However other authors, e.g., Zhang et al. (2016); Seviour (2017), pointed out a weakening of the polar vortex over the 1980-2010 period. In the next Sect. we directly investigate changes in the polar vortex over the last 4 decades to reconcile these findings.

⁴⁰³ 7 Potential vorticity trends and polar vortex changes

In this section we present the changes in the polar vortex over the last four decades, 404 following studies such as Zhang et al. (2016). We defined the polar vortex boundary us-405 ing the methodology described in Nash et al. (1996); in particular, we used ERA5 mod-406 ified potential vorticity at 700 K and wind on potential vorticity isolines. 700 K is con-407 sidered to be representative of the middle stratosphere, around 30 km. The determina-408 tion of the polar vortex boundary is based on the peak of the potential vorticity gradi-409 ent in the equivalent latitude space (Butchart & Remsberg, 1986), collocated with a hor-410 izontal wind peak. After determining the polar vortex boundary for the Februaries since 411 1980, we investigated the change in its position and strength. 412

We defined two relevant sectors where the ozone asymmetric pattern is relevant: 413 the first around Greenland and the second over Siberia, as shown in the Supplements 414 in Fig. S3. We computed the polar vortex relative occupancy of these two sectors in each 415 February to assess decadal oscillations in the position of the polar vortex. As shown in 416 Fig. 11, a change in the linear trends of the sector occupancy occurred at the beginning 417 of the century: as reported in Zhang et al. (2016) over the period 1980-2009 the polar 418 vortex underwent a shift to the Eurasian sector, however, from the beginning of the cen-419 tury, an opposite shift seems to have occurred. This tendency is not, however, as sound 420 as the shift in the previous period, as several years (1987, 2006, 2009, 2013 and 2019) 421 needs to be screened out, because of a weak polar vortex or major sudden stratospheric 422 warming (SSW) events in February. Due to the high interannual variability, trends are 423 mostly not significant, even at 1σ level as reported in the panels, and should be consid-424 ered as decadal oscillations rather than a long-term change of the polar vortex. 425

In panel (c) of Fig. 11 the mean potential vorticity inside the polar vortex is shown: in the first two decades a negative linear trend is found, i.e. a weakening of the polar vortex as reported by Zhang et al. (2016) and Seviour (2017). In the last two decades, in contrast, the trend becomes positive, indicating a strengthening of the polar vortex as reported by Hu et al. (2018). The strengthening of the polar vortex is consistent with a positive shift in the Arctic oscillation (Weber et al., 2022).

Finally, we investigate the trends of the modified potential vorticity in the middle 432 stratosphere (700 K) over the two periods 1980-2004 and 2000-2022. In Fig. 12 top pan-433 els, we clearly see a reversal of the pattern over the polar regions, with panel (a) show-434 ing similar results to the findings of Zhang et al. (2016), pointing out a shift of the po-435 lar vortex to Eurasia, whereas panel (b) indicates a shift of its mean position again to-436 wards North America over the last 20 years. Looking at the ozone trends on the 700 K 437 isoentropic surface from the TOMCAT time series in the respective periods, shown in 438 the bottom row of Fig. 12, we also notice a reversal of the pattern: the negative values 439 were largest over the Atlantic/Scandinavian sector during the first period, whereas dur-440 ing the last two decades the positive trends are largest in the same region. 441



Figure 11. Panels (a) and (b) show the relative occupancy of the Greenland sector and of the Siberian sector, respectively, by the polar vortex. Panel (c) shows the mean modified potential vorticity within the polar vortex at 700 K isentropic surface. Respective trends with 1σ uncertainties are reported in the panels.



Figure 12. Trends of the ERA5 modified potential vorticity (panels (a) and (b)) and of the TOMCAT ozone (panels (c) and (d)) at the 700 K isentropic surface are shown for the periods 1980-2005 and 2000-2022.

442 8 Conclusions

In this study we have presented a comparison between satellite limb observations 443 and simulations from the TOMCAT CTM to investigate the zonal asymmetry in ozone 444 trends identified at northern high latitudes. The OMPS-LP product has been recently 445 updated at the University of Bremen by using the improved L1G data provided by the 446 NASA team, leading to a better long-term stability of the ozone time series w.r.t. the 447 previous version. A preliminary comparison between SCIA+OMPS and TOMCAT time 448 series and zonal trends demonstrated the overall good agreement between the two, when 449 450 considering deseasonalized anomalies. We then presented the longitudinal asymmetry in trends observed at northern high latitudes over the period 2004-2021, which is well 451 captured not only by the CTM but also by the ERA5 time series, hinting at the dynam-452 ical origin of this feature. 453

By using dedicated TOMCAT runs, we further showed that the identified patterns are dynamically driven, as neither gas-phase chemistry nor heterogeneous chemistry was found to have a relevant direct role in the discussed asymmetry. By investigating the trends at a seasonal level, we found that the asymmetry shows the largest amplitude in late winter/early spring. In this season, we found positive values up to 6-7 % per decade over Greenland and negative values of 3-4 % per decade over Eurasia. This seasonal trend pattern observed in SCIA+OMPS is very well reproduced by TOMCAT.

We decomposed ERA5 geopotential height (GPH) and TOMCAT ozone fields in wave-1 and -2 components for months JFM, finding a strong similarity in the changes of the two quantities in the middle stratosphere. According to the linear wave interference, the findings are consistent with a long-term shift and a strengthening of the polar vortex, i.e. weakening of the wavenumber-1 planetary wave. In this way, it was possible to link the zonal asymmetric pattern in ozone trends to changes in the wave activity in the stratosphere.

The analysis of the polar vortex position and of the trends in potential vorticity 468 in the middle stratosphere in Sect. 7 qualitatively confirms the proposed relationships 469 between the shift in the mean polar vortex position and the ozone trend asymmetry. The 470 overall pattern underwent decadal changes over the last 40 years, with the last 2 decades 471 seeing a probable strengthening of the vortex and a shift towards North America. This 472 final section of the manuscript is related to the study of the long-term variations of the 473 polar vortex due to climate change and requires further investigations to understand its 474 causes. 475

In summary, this study has pointed out the role of decadal variations in atmospheric
dynamics in explaining ozone trends at northern high latitudes. The observed asymmetry of ozone trends during the past decades is a consequence of decadal climate variability originating in the troposphere. This asymmetric pattern shall be taken into account
when calculating ozone trends in the polar region in particular when using ground-based
observations, e.g., ozonesondes and Fourier transform infrared spectrometers.

482 Appendix A Methods to merge SCIAMACHY and OMPS-LP datasets

For the study of seasonal trends, two approaches have been employed. In the first case, we compute the seasonal averages of the merged monthly SCIA+OMPS dataset. In the second case, the merging is applied to seasonal averages of both dataset anomalies. A filtering is necessary to remove latitude bins for which not all months in the defined season are available or when the latitude coverage of the two instruments differs (at high latitudes). It was found that the second method provides better agreement with CTM simulations compared to the first approach.

490	This is illustrated using, as an example, the March-April (MA) trends at 32 km
491	displayed in Fig.A1. The "SCIA+OMPS post" indicates the computation of seasonal av-
492	erages using the merged monthly dataset (first method), whereas the case "SCIA+OMPS
493	pre" in the middle panel indicates the merging performed on seasonal averages (second
494	method). The comparison with TOMCAT significantly improves in the second case.



Figure A1. Comparison of seasonal ozone trends in MA at 32 km from SCIA+OMPS (leftmost two panels) and TOMCAT (right panel). In the left panel, the merging of the two satellite datasets is performed in terms of monthly time series ("post"); in the central panel, the merging is in terms of averaged seasonal values ("pre").

495 Open Research Section

The Merged SCIA+OMPS dataset produced at the University of Bremen and used for this study is available at the following link: https://doi.org/10.5281/zenodo.10033299. TOMCAT simulations and the extracted PV and GPH values used for this study can be found, respectively, at: https://zenodo.org/doi/10.5281/zenodo.10054832 and https://doi.org/10.5281/zenodo.10054575

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Investigating zonal asymmetries in stratospheric ozone trends from satellite limb observations and a chemical transport model

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Key Points:

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11	• A longitudinal asymmetry in stratospheric ozone trends at northern high latitude
12	is found in satellite observations in the past two decades
13	• The asymmetry is particularly large in springtime and the TOMCAT chemistry
14	transport model well reproduces the pattern
15	• Changes in polar wave activity and in the position and strength of the polar vor-
16	tex are found to be relevant to explain this pattern

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17 Abstract

This study investigates the origin of the zonal asymmetry in stratospheric ozone trends 18 at northern high latitudes, identified in satellite limb observations over the past two decades. 19 We use a merged dataset consisting of ozone profiles retrieved at the University of Bre-20 men from SCIAMACHY and OMPS-LP measurements to derive ozone trends. We also 21 use TOMCAT chemical transport model (CTM) simulations, forced by ERA5 reanal-22 yses, to investigate the factors which determine the asymmetry observed in the long-term 23 changes. By studying seasonally and longitudinally resolved observation-based ozone trends, 24 we find, especially during spring, a well-pronounced asymmetry at polar latitudes, with 25 values up to +6 % per decade over Greenland and -5 % per decade over western Rus-26 sia. The control CTM simulation agrees well with these observed trends, whereas sen-27 sitivity simulations indicate that chemical mechanisms, involved in the production and 28 removal of ozone, or their changes, are unlikely to explain the observed behaviour. The 29 decomposition of TOMCAT ozone time series and of ERA5 geopotential height into the 30 first two wavenumber components shows a clear correlation between the two variables 31 in the middle stratosphere and demonstrates a weakening and a shift in the wavenumber-32 1 planetary wave activity over the past two decades. Finally, the analysis of the polar 33 vortex position and strength points to a decadal oscillation with a reversal pattern at 34 the beginning of the century, also found in the ozone trend asymmetry. This further stresses 35 the link between changes in the polar vortex position and the identified ozone trend pat-36 tern. 37

³⁸ Plain Language Summary

Monitoring long-term ozone changes in the stratosphere is important to assess the 39 evolution of the ozone layer in response to the Montreal Protocol and climate changes. 40 In this study, we investigate the origin of a zonal asymmetry in stratospheric ozone trends 41 over the past two decades, which was identified at northern polar latitudes by analyz-42 ing satellite observations. To this aim, we use a merged dataset consisting of ozone pro-43 files retrieved at the University of Bremen from SCIAMACHY and OMPS-LP measure-44 ments to derive ozone trends. We also use TOMCAT chemical transport model (CTM) 45 simulations to investigate the factors which determine the asymmetry observed in the 46 long-term ozone changes. The asymmetry is found to be largest in springtime, and the 47 CTM simulation agrees well with the observation-based trends. Sensitivity simulations 48 indicate that chemical mechanisms, involved in the production and removal of ozone, are 49 unlikely to explain the observed pattern. On the contrary, changes in atmospheric dy-50 namics are found to be relevant. In particular, the analysis of the polar vortex position 51 and strength points to a decadal oscillation with a reversal pattern at the beginning of 52 the century, which is also found in the ozone trend asymmetry. 53

54 **1** Introduction

The variations of the ozone concentration, as a function of time, altitude and lat-55 itude are explained by several dynamical, chemical and photochemical processes (e.g., 56 Seinfeld & Pandis, 2016; WMO, 2022). In the lower stratosphere, where the chemical 57 lifetime is relativity long (i.e. many years), except during polar spring, ozone is trans-58 ported from the tropics to high latitudes, and it is affected by changes in atmospheric 59 dynamics. In the upper stratosphere ozone has a relatively short photochemical lifetime, 60 implying that changes in the transport of long-lived chemical species and in tempera-61 ture play important roles in determining ozone concentrations at those levels. 62

The stratospheric circulation comprises an upper branch of the Brewer–Dobson circulation (BDC), involving upwelling in the tropics, meridional poleward transport, and then descent in the polar regions, and a lower branch, having a more rapid meridional poleward transport on isentropic surfaces (Butchart, 2014). This circulation is driven

by the wave breaking in the stratosphere and therefore is subject to strong inter-annual 67 variability. The wave breaking happens in the so called "surf zone" at the edge of the 68 polar vortex, so that its position and vertical structure has an indirect impact on the BDC, 69 as well as BDC impacts the vortex position and strength (McIntyre & Palmer, 1984). 70 An acceleration of the stratospheric mean mass transport has been predicted by several 71 model studies (e.g., Garcia & Randel, 2008), but strong inter-annual variations prevent 72 a robust detection of this trend from observations. In addition there may be decadal-73 scale oscillations. A large inter-annual variability also characterizes the polar vortex, with 74 climate models not agreeing on whether it will weaken or strengthen during the 21st cen-75 tury (Karpechko et al., 2022). Several studies have addressed decadal changes of the po-76 lar vortex position and strength (e.g., Zhang et al., 2016; Seviour, 2017), pointing out 77 a vortex weakening and shift of its mean position towards Eurasia, particularly at the 78 end of the last century. In contrast, Hu et al. (2018) presented a strengthening of the 79 stratospheric polar vortex over the last two decades, that could be related to a weaken-80 ing of the propagation of wavenumber-one wave flux, which was connected by the au-81 thors to sea-surface temperature warming over the north Pacific sector. 82

Among various anthropogenic influences on the stratospheric ozone, two most rel-83 evant are the release of halogen-containing ozone-depleting substances (ODSs) and of 84 greenhouse gases (GHGs). With the adoption of the Montreal Protocol and its amend-85 ments the industrial production of ODSs, e.g. chlorofluorocarbon compounds (CFCs), 86 was regulated. This reduced their emissions during the 1990s and is expected to lead to 87 a recovery of the ozone layer globally (e.g., WMO, 2018, 2022). On the other hand, the 88 increasing concentration of GHGs such as CO_2 and CH_4 in the troposphere, is causing 89 a cooling of the stratosphere, through radiative transfer feedback. This cooling affects 90 the ozone chemistry in the upper stratosphere, as the rate coefficients of reactions involved 91 in catalytic cycles removing ozone have a direct dependence on temperature (Waugh et 92 al., 2009). At the same time, the termolecular reaction $O_2 + O + M \rightarrow O_3 + M$ has a 93 rate inversely proportional to temperature so that the cooling also accelerates the ozone 94 production (Groves et al., 1978). 95

The coupling between the described chemical and dynamical processes controlling stratospheric ozone is expected to have a complex spatial structure, varying in altitude, latitude, longitude and time. Therefore, to study long-term variations of the ozone field, there is a need for consistent long-term time series with a good temporal and spatial coverage over the whole globe.

In order to study long-term changes in ozone vertical profiles and test our under-101 standing of the impact of natural phenomena and anthropogenic activities on atmospheric 102 ozone, single instrument time series are generally inadequate. Several studies have used 103 satellite merged datasets to investigate stratospheric ozone trends, but the majority of 104 them focused only on zonal mean changes (e.g., WMO, 2022). By exploiting the dense 105 spatial sampling provided by limb observations, recently, Arosio et al. (2019) and Sofieva 106 et al. (2021) looked at longitudinally resolved trends and highlighted the presence of zonal 107 asymmetries, especially at northern high latitudes. In particular, poleward of 60 °N, they 108 identified a bi-polar structure having positive values over the Atlantic/Greenland sec-109 tor and close to zero or negative changes over Siberia. 110

As discussed in the following paragraphs, some studies also showed zonal asymmetries in the BDC and its impact on the distribution of trace gases and ozone trends in winter-time at northern high latitudes, by using model simulations and satellite datasets. Most studies focused on total ozone column measurements.

Longitudinally varying changes in total ozone were already pointed out in the study by Hood and Zaff (1995), who investigated total ozone at northern mid-latitudes during winter in the 1980s, using TOMS measurements. The authors identified the typical asymmetric ozone distribution related to quasi-stationary planetary waves, i.e. a pro-

nounced maximum over eastern Russia related to the Aleutian low and a secondary max-119 imum over eastern Canada associated with the Icelandic low. In addition, a distinct lon-120 gitudinal dependence of the mid-latitude ozone trends over this period was identified: 121 the largest negative trends (-40 DU per decade) occurred over Russia and western Pa-122 cific, whereas positive trends were found over the northern Atlantic sector. Another study 123 using TOMS data was performed by Peters and Entzian (1999) who investigated decadal 124 total ozone changes in the months December-February over the period 1979-1992 in the 125 northern hemisphere. They found a strong anti-correlation between the long-term to-126 tal ozone changes and the 300-hPa geopotential height (GPH) changes. This means that 127 decadal changes in the UTLS dynamics led to longitude-dependent changes in the to-128 tal ozone. 129

Asymmetries in the ozone climatology were investigated by Bari et al. (2013), us-130 ing models, reanalysis and satellite data, focusing on the northern mid-latitudes in win-131 ter. The authors stressed the importance of a 3-D approach in studying the BDC. They 132 found that the distribution of winds and trace gases is related to the zonal wavenumber-133 1 pattern in geopotential hight (GPH) observed in the northern hemispheric stratosphere 134 during winter at high and mid-latitudes. They showed that air masses are driven south-135 wards and upwards to the upper stratosphere over the Pacific ocean, whereas over Eu-136 rope and Asia the flow is northward and downward. 137

More recently, Kozubek et al. (2015) investigated the meridional component of strato-138 spheric winds as a function of altitude at northern mid-latitudes to study its longitudi-139 nal dependency. A well-defined two-core structure was identified at 10 hPa in the north-140 ern hemisphere, with opposite wind directions, related to the Aleutian pressure high at 141 10 hPa. They also computed meridional wind changes over two periods: 1970-1995 and 142 1996-2012. They found that meridional wind trends are negative in the first period and 143 positive in the second period, i.e. the two-core structure became stronger in the last 2 144 decades. As a follow up, Kozubek et al. (2017) investigated the long-term variations of 145 stratospheric winds over the whole globe at 10 hPa using four reanalysis datasets. The 146 trends were reported for winter months before and after the ozone trend turnaround point 147 at the end of the 1990s. They found hints of an acceleration of the BDC and change in 148 the ozone trend asymmetries before and after 1997. 149

Within this framework, and in light of the findings in Arosio et al. (2019) and Sofieva 150 et al. (2021), the present paper aims to analyze vertically and longitudinally resolved ozone 151 trends from satellite observations and to exploit simulations from the TOMCAT chem-152 istry transport model (CTM) to identify the mechanisms driving the observed zonal asym-153 metry in the ozone linear trends in the period 2004 to 2021. Sect. 2 introduces the satel-154 lite dataset used in this study and the TOMCAT CTM. Sect. 3 shows a comparison of 155 the measured and simulated ozone anomalies and of the respective zonally and longitu-156 dinally resolved trends, where the asymmetry at northern high latitudes is evident. Sect. 4 157 presents the results of TOMCAT runs, which were designed to assess the impact of chem-158 ical processes on the observed longitudinally asymmetric pattern in ozone trends. In Sect. 5 159 we explore in more detail the seasonally-resolved long-term changes in ozone and tem-160 perature, which leads to Sect. 6 where geopotential height and ozone fields are decom-161 posed into wavenumber-1 and -2 to assess similarities in their behaviour. Finally, in Sect. 7 162 we present some potential vorticity trends to further investigate changes in the polar vor-163 tex over the past two decades, followed by concluding remarks. 164

165 2 Datasets

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2.1 Satellite observations

The merged satellite dataset consisting of observations from the SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY (SCIAMACHY) and the

Ozone Mapper and Profiler Suite - Limb Profiler (OMPS-LP) has been produced at the 169 University of Bremen and is described in Arosio et al. (2019). Here, it will be referred 170 to as SCIA+OMPS. This dataset is longitudinally resolved with a grid size of 5° in lat-171 itude and 20° in longitude and has a vertical resolution of 3.3 km. The time series has 172 been recently updated after the re-processing of the OMPS-LP dataset by using improved 173 Level 1 gridded (L1G) data. In the new L1G data version (v2.6), the NASA team im-174 plemented some calibration corrections, a wavelength registration adjustment and an im-175 proved pointing correction. The main aim of the re-processing is the removal of the pos-176 itive drift identified in the previous OMPS-LP ozone product with respect to indepen-177 dent time series (Kramarova et al., 2018), e.g., from the Microwave Limb Sounder (MLS), 178 which has proven its long-term stability in previous studies, e.g., Hubert et al. (2016). 179 We define the drift as the linear trend of the relative difference between OMPS-LP and MLS. 180

The drift w.r.t. MLS time series is shown in Fig. 1. The left panel refers to the OMPS-181 LP time series retrieved using L1G v2.5 data, whereas the right panel refers to the up-182 dated time series, based on L1G v2.6 data. The comparison between the left and the right 183 panel shows that the strong positive drift w.r.t. MLS has been significantly reduced, par-184 ticularly above 35 km. The striped areas indicate values which are lower than the respec-185 tive 2σ uncertainty, i.e. they are not statistically significant at 95 % confidence level. Drift 186 values are still significant at some altitude-latitudes but generally with values half as large 187 as for the previous data version. This result provides improved confidence in the scien-188 tific value of the ozone trends derived from the SCIA+OMPS merged time series. 189



Figure 1. Drift of the OMPS-LP ozone product retrieved at the University of Bremen w.r.t. MLS during the period 2012-2021 in % per decade. Left panel: using L1G v2.5 data. Right panel: using L1G v2.6 data. Striped areas are non-significant at 2σ .

2.2 TOMCAT chemical transport model

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TOMCAT/SLIMCAT is a three-dimensional off-line chemical transport model (CTM) 191 (M. Chipperfield, 2006). The model is forced by winds and temperatures from meteo-192 rological analyses, which, in this study, are taken from the European Centre for Medium-193 Range Weather Forecasts (ECMWF) reanalysis v5 (ERA5). Once the atmospheric trans-194 port and temperatures are prescribed, the model calculates the abundances of chemi-195 cal species in the troposphere and stratosphere. A full-chemistry reference run was used 196 as baseline for this study and other dedicated runs were produced. The resolution of the 197 model was kept $2.8^{\circ} \times 2.8^{\circ}$ latitude and longitude, and about 1.5 km altitude in the strato-198

- sphere, and was interpolated to match the merged satellite dataset resolution. Monthly averaged values are considered.
- The TOMCAT CTM simulations were used in this study for three important reasons:
- The CTM provides a continuous time series without spatial or temporal gaps, so
 that it is possible, for example, to explore polar winter conditions, which are not
 sampled by limb scattering sounders;
- The possibility to study trends going back in time until 1979, when satellite limb observations were sparse;
- The possibility to investigate the mechanisms that determine the trend asymmetries by running dedicated simulations using different settings.

²¹⁰ 3 Comparison with TOMCAT: time series and trends

As a preliminary consistency check, we looked into the absolute bias between SCIA+OMPS 211 and TOMCAT time series, and noticed that the CTM underestimates ozone content in 212 the upper stratosphere and overestimates it in the lower stratosphere, which is a known 213 feature (Dhomse et al., 2021): further investigations on this issue are outside the scope 214 of this paper. For this reason and because we are interested in ozone trends, deseason-215 alized (relative) anomalies of the time series were calculated and found to be in good agree-216 ment with SCIA+OMPS, as shown in Fig. 2. In the lower tropical stratosphere, the am-217 plitude of the oscillations, probably due to the Quasi Biennial Oscillation (QBO), is more 218 pronounced in TOMCAT than in SCIA+OMPS. MLS time series is also included as a 219 reference in this plot.





220

We applied to both TOMCAT and SCIA+OMPS time series a multivariate linear 221 regression model, based on the Long-term Ozone Trends and Uncertainties in the Strato-222 sphere (LOTUS) model and including several proxies. In particular, we included in the 223 regression model traditionally employed proxies (e.g., Petropavlovskikh et al., 2019), such 224 as the first two principal components of the QBO, the Multivariate El Nino Southern 225 Oscillation (ENSO) index (MEI) and the Mg II index for solar activity, but also dynam-226 ical proxies such as the yearly integrated eddy heat fluxes and the Atlantic/Antarctic 227 oscillation (AO/AAO). For a more detailed description of the used proxies, we refer to 228 Weber et al. (2022). 229

Due to the discrepancies in the SCIAMACHY time series w.r.t. other satellite products found in the first year of its lifetime (Sofieva et al., 2017), and because of the Hunga Tonga volcanic eruption, which occurred in January 2022, with its large stratospheric perturbation (Lu et al., 2023), we focused on the period 2004-2021 to study ozone trends.

The resulting zonal mean ozone trends are reported in Fig.3. Striped areas also in the following plots indicate values which are smaller than the 2σ uncertainty (non-significant).



Figure 3. Zonal mean ozone trends from SCIA+OMPS on the left and from TOMCAT on the right, computed over the 2004-2021 period. Striped areas are non-significant at 2σ .

Generally a good agreement between model and observations is found, with the ex-236 pected positive trends in the middle and upper stratosphere, related to the ongoing ozone 237 recovery. The most significant discrepancy is located below 25 km where TOMCAT shows 238 overall positive trends, whereas SCIA+OMPS shows negative values, though non-significant, 239 except for the inner tropics at 19 km. The detection of negative trends in the lower trop-240 ical and extra-tropical stratosphere has been extensively debated (Ball et al., 2018; M. P. Chip-241 perfield et al., 2018). A possible reason for the discrepancy between TOMCAT and SCIA+OMPS 242 in the lower stratosphere is related to ERA5 forcing, as pointed out by Li et al. (2022, 243 2023).244

In comparison with the long-term 2000-2020 ozone trends shown in Godin-Beekmann
et al. (2022), trend values in the lower stratosphere are not significant and closer to zero.
The negative values identified in SCIA+OMPS above 47 km are not shown by other merged datasets.

Longitudinally resolved trends from TOMCAT and SCIA+OMPS are compared in Fig. 4, at a specific altitude (38 km), in terms of stratospheric ozone column (SOC) and for a longitude-altitude cross section at 67.5° N. In the top row, we notice a pronounced longitudinal variability and some common patterns in CTM and in SCIA+OMPS

trends, especially the zonal asymmetry above 45° N. This asymmetry is more evident 253 in TOMCAT, with negative (though non-significant) values over the Siberian and East-254 Canadian sectors, and positive values over the Atlantic sector. Looking at the plot show-255 ing the trends in SOC and the longitude-altitude cross section at 67.5° N, we can see that 256 the asymmetry is vertically consistent. The positive SOC trend in the Atlantic sector 257 are significant at 2σ , especially for TOMCAT. A similar structure was identified also in 258 MLS time series and in the MEGRIDOP dataset (Sofieva et al., 2021). Above 35 km, 259 TOMCAT shows smaller positive values over the Atlantic sector as compared to the satel-260 lite observations. To further test the robustness of this pattern and its independence from 261 ERA5 forcing, in the Supplements, in Fig. S2 we compared trends from the MERRA 2 262 - Global Modeling Initiative (M2-GMI) CTM time series, which is forced with MERRA-263 2 meteorology, with TOMCAT, and found a bias in term of absolute trend values but 264 a good agreement in terms of asymmetric pattern. 265



Figure 4. Top row: longitudinally resolved trends for SCIA+OMPS (panel a) and TOMCAT (panel b) datasets at 38 km, in terms of stratospheric columns for both datasets in panels (c) and (d) resepctively. Bottom row: trends for a longitude-altitude cross section at 67.5° N. Striped areas denote non-significant values at 2σ level.

A TOMCAT simulation with a higher spatial resolution $(1.4^{\circ} \times 1.4^{\circ} \times 0.75 \text{ km})$ 266 grid) was run to investigate whether the discrepancies between the CTM and SCIA+OMPS 267 could be reduced. This simulation was sampled at the locations of the satellite obser-268 vations to make the CTM time series more consistent with the merged dataset, in terms 269 of temporal and spatial sampling. The resulting dataset was re-gridded and the two parts 270 of the time series, covering SCIAMACHY and OMPS-LP periods respectively, were de-271 biased to remove the discrepancy related to the different local time of the satellite ob-272 servations. The comparison of the resulting higher resolution data did not show any sig-273 nificant differences w.r.t. the standard run, neither in the trends nor in the time series 274 (here not shown). As a result, we use the standard run as reference in this study. 275

4 Investigation of the potential influence of chemical processes on the trend asymmetry

We performed two additional sensitivity (SEN) simulations to investigate the po-278 tential influence of chemical processes on the origin of the ozone trend asymmetry. The 279 first simulation 'SEN-fDyn' was forced using constant ERA5 data, corresponding to the 280 year from July 1999 to June 2000, which were repeated each year over the 2004-2021 pe-281 riod. The choice of the 1999/2000 year is arbitrary, as far as a winter with an average-282 strong polar vortex is considered; we tested the use of the July 2002 to June 2003 pe-283 riod for the repeating forcing without finding any significant difference. In the second simulation 'SEN-noPSC', polar stratospheric clouds (PSCs)-related heterogeneous chem-285 istry was inhibited, by not allowing temperature to drop below 200 K in the model chem-286 istry scheme to prevent PSC formation. The results are shown in Fig. 5. The longitude-287 altitude cross section of the ozone trends at 67.5° N over 2004-2021 from the reference 288 full-chemistry TOMCAT (control) run is shown, together with the trends for the 'SEN-289 noPSC' and 'SEN-fDyn' simulation. 290

Fig. 5 shows that the zonally asymmetrical trend pattern from the SEN-noPSC sim-291 ulation is almost identical to the one from the control simulation. As expected, the trends 292 over the Atlantic sector are smaller due to reduced ozone losses in the absence of PSCs. 293 This indicates that heterogeneous chemistry does not play a relevant role in producing 294 trends variable with longitude. To further test this hypothesis and the robustness of the 295 zonal asymmetry we computed the ozone trends for the 2004-2019 period, i.e. exclud-296 ing the cold 2019/2020 Arctic winter. As discussed in the Supplements, Fig. S1, we did 297 not find relevant differences, highlighting the robustness of the pattern. 298

Trend values in the 'repeating forcing' scenario show zonal symmetry and are overall smaller with respect to the reference run. In this case no long-term temperature trend is present in the forcing, which plays an important role for the ozone trend in the upper stratosphere. The fact that no zonal asymmetry is observed for this run indicates that gas-phase chemistry alone cannot directly explain either the asymmetry in trends. However, an indirect impact of atmospheric dynamics on gas-phase chemistry cannot be excluded (Galytska et al., 2019).

In addition, we compared the trend results computed for the TOMCAT reference 306 run and for ERA5 ozone data. As shown in Fig. 6, the zonal trends in ERA5 are signif-307 icantly different from Fig. 3, pointing out that ozone reanalysis data should not be used 308 to compute long-term ozone changes, unless a careful de-biasing of the time series is per-309 formed (e.g., Bernet et al., 2020). However, longitudinally resolved trends shown in Fig. 6 310 at 32 km have a remarkable similarity with the pattern found in TOMCAT. This pro-311 vides more evidence that atmospheric dynamics is mainly driving the observed asym-312 313 metric pattern, as TOMCAT is forced with ERA5 meteorology.

³¹⁴ 5 Seasonal ozone trends

To further investigate the longitudinal asymmetry at northern high latitudes, seasonal trends were analyzed. Two approaches to obtain seasonal time series for the SCIA+OMPS dataset are described in Appendix A. In the following, we show trend values obtained by merging the two seasonally averaged single-instrument time series.

In Fig. 7, seasonal ozone trends are shown for SCIA+OMPS (top row) and for the reference TOMCAT run (middle row) at 32 km altitude for spring (MA), summer (JJA) and autumn (SO). Only two months are used in spring and autumn to get a better coverage of the polar regions. The TOMCAT time series was masked to mirror the availability of satellite data. ERA5 temperature trends are displayed in the bottom row of Fig. 7 for the same three seasons.



Longitudinally resolved O3 trends TOMCAT, 200401 - 202112 (a) Control run

Figure 5. Longitudinally-resolved ozone trend cross section at 70° N, over 2004-2021 for three TOMCAT scenarios: (a) reference control run, (b) PSC-inhibited scenario and (c) repeating forcing.



Figure 6. Panel (a) shows zonal ozone trends for ERA5 time series over 2004-2021. Panels (b) and (c) show the longitudinally resolved trends at 32 km for ERA5 and TOMCAT, respectively.



Figure 7. Seasonally resolved trends at 32 km from SCIA+OMPS dataset (top row), TOM-CAT reference simulation (middle row) and ERA5 temperature (bottom row). The left column shows trends for spring (MA), the middle column for summer (JJA) and the right one for autumn (SO).

During summer (JJA, middle column) the trend fields are fairly homogeneous over 325 longitude, displaying significant positive values of about 1 % per decade for SCIA+OMPS 326 and close to zero for TOMCAT. In contrast, during spring (left column) and autumn (right 327 column) the asymmetry is well pronounced. In particular, we notice a strong zonal asym-328 metry in the spring-time trends in SCIA+OMPS that is very well captured by TOM-329 CAT, with the positive maximum located over the North Atlantic sector. The negative 330 values between Scandinavia and Siberia are also statistically significant (at 2σ level) for 331 both observations and model. A similar bi-polar pattern is also found in SO, but more 332 confined to polar latitudes and shifted in longitude. The good agreement of TOMCAT 333 with observations also holds in this case. 334

Regarding temperature, in summer we find a close-to-zero negative trend, whereas in spring and autumn the pattern is also zonally asymmetric, however no strong correlation with the patterns observed in the ozone trends was found. In conclusion, we find no strong evidence to relate the catalytic destruction of ozone in the polar vortex to the longitudinal asymmetry pattern observed in the Arctic.

A comparison between TOMCAT and SCIA+OMPS during winter months is more difficult, as limb scattering observations do not sample polar night conditions, as shown in Fig. 8, panel (a), where trends in DJF are shown at 32 km. SCIA+OMPS shows large
positive values with maxima over Canada and Scandinavia. The CTM, sampled in the
same manner as the SCIA+OMPS monthly time series, shows a comparable pattern (panel
(b)), with less pronounced positive values. The trends calculated using the full TOMCAT profiles (non-sampled, averaged over all the model time steps) give a better picture of the two positive (over Canada and Scandinavia) and two negative cores (over Siberia
and South of Greenland), although mostly not statistically significant.



Figure 8. Seasonally resolved trends in winter at 32 km from SCIA+OMPS (panel a) and TOMCAT: in panel (b) the CTM simulation was sampled as the satellite data whereas in panel (c) the non-sampled TOMCAT times series was used to compute the trends.

³⁴⁹ 6 Changes in GPH and atmospheric dynamics

To investigate changes in the wave activity at northern high latitudes during the 350 last two decades as a cause of the asymmetry in trends, we analyzed the time series of 351 geopotential height (GPH) from ERA5. In particular, we considered the longitudinally 352 resolved vertical structure of the GPH field and decomposed it in wavenumber one (wave-353 1) and wavenumber two (wave-2) components, using a fast Fourier transform. We focused 354 on the January-March period, where the largest asymmetric pattern in trends was found. 355 This analysis is based on the theory of linear interference of waves (Smith & Kushner, 356 2012), according to which a negative correlation exists between changes in the climato-357 logical stationary wavefield and the stratospheric jet strength. 358

First we obtained the 2004-2021 climatology of the wave-1 component, after av-359 eraging the GPH over the [45° N, 70° N] latitude band. Then, we computed the linear 360 trends of the wave-1 component over the same time period. Fig. 9a shows the wave-1 361 climatology in colors and the respective linear trends in m per decade in contours. The 362 position of the positive wave-1 GPH anomalies is approximately collocated with the re-363 gion showing a negative trend, and vice-versa, i.e. they are approximately in quadrature. 364 In particular, a $100/120^{\circ}$ eastward shift between the climatology and the wave-1 trend 365 maxima is visible, pointing out an eastward shift in the wave-1 forcing and a weaken-366 ing of the wavenumber-1 planetary wave, according to the linear wave theory (Matsuno, 367 1970), over the last two decades. 368

We then performed a similar analysis for the ozone field, choosing the TOMCAT time series with a complete coverage of the polar regions. We find a similar baroclinic pattern in the climatology of the wave-1 component of TOMCAT ozone particularly in the middle stratosphere, as shown in Fig. 9, panel (b). Above 5.0 hPa and below 50.0 hPa the correlation between the two panels breaks down. The trends of the ozone anomaly wave-1 component are superimposed in panel (b) in ppmv per decade and they are, sim-



Figure 9. Top row, JFM climatology of the wave-1 component of GPH (left) and TOMCAT ozone (right) averaged over [45° N, 70° N]. Superimposed the trends of the same quantities are shown as contours, with values in m per decade (left) and ppmv per decade (right). Bottom row, the wave-1 trend values at 10.0 hPa are shown (striped regions indicate no statistical significance at 2σ).

the GPH and ozone trends at 10.0 hPa, respectively, with the striped areas indicating 376

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values smaller than their 1σ uncertainty. The similarity is evident, although the shape of the two cores is more elongated for ozone trends. 378



Figure 10. Same as Fig. 9, but for the wave-2 components of GPH and ozone.

As shown in Fig. 10, a similar analysis was performed for the wave-2 components 379 of ERA5 GPH and TOMCAT ozone anomalies. The climatologies of wave-2 GPH and 380 ozone anomalies in the middle stratosphere show again a baroclinic structure, with val-381 ues that are approximately in phase with their respective trends (about 30° east-shift 382 between the two maxima or minima). This indicates that the wavenumber-2 wave forc-383 ing in the stratosphere has intensified in the last 2 decades. Panels (c) and (d) show the 384 wave-2 GPH and ozone trends at 10.0 hPa, respectively. The similarity is in this case 385 striking. 386

To quantify the correlation between climatology and trends, we calculated the pressure-387 weighted pattern correlation as in Fletcher and Kushner (2011) for the GPH pattern and 388 found a correlation of -0.75 for wave-1, i.e. out of phase, and of 0.77 for wave-2, i.e. close 389 to in-phase. This analysis of the wave-1 and -2 components points out the strong cor-390 relation between changes in ozone and in GPH, which are themselves related to changes 391 in wave activity. In our case, the pattern in GPH wave-1 and -2 components is consis-392 tent with a long-term shift and a strengthening of the polar vortex: the weakining and 393 shift of the wavenumber-1 planetary wave activity is leading to a strengthening of the 394 polar vortex, partially offset by the strengthening of the wavenumber-2 wave activity. 395 This seems to be the main driver of the asymmetry in the long-term ozone changes. 396

The identified GPH patterns are consistent with previous literature findings, e.g., Hu et al. (2018), which were related by the authors to a weakening of the Aleutian low and to warmer sea-surface temperature over the central North Pacific. However other authors, e.g., Zhang et al. (2016); Seviour (2017), pointed out a weakening of the polar vortex over the 1980-2010 period. In the next Sect. we directly investigate changes in the polar vortex over the last 4 decades to reconcile these findings.

⁴⁰³ 7 Potential vorticity trends and polar vortex changes

In this section we present the changes in the polar vortex over the last four decades, 404 following studies such as Zhang et al. (2016). We defined the polar vortex boundary us-405 ing the methodology described in Nash et al. (1996); in particular, we used ERA5 mod-406 ified potential vorticity at 700 K and wind on potential vorticity isolines. 700 K is con-407 sidered to be representative of the middle stratosphere, around 30 km. The determina-408 tion of the polar vortex boundary is based on the peak of the potential vorticity gradi-409 ent in the equivalent latitude space (Butchart & Remsberg, 1986), collocated with a hor-410 izontal wind peak. After determining the polar vortex boundary for the Februaries since 411 1980, we investigated the change in its position and strength. 412

We defined two relevant sectors where the ozone asymmetric pattern is relevant: 413 the first around Greenland and the second over Siberia, as shown in the Supplements 414 in Fig. S3. We computed the polar vortex relative occupancy of these two sectors in each 415 February to assess decadal oscillations in the position of the polar vortex. As shown in 416 Fig. 11, a change in the linear trends of the sector occupancy occurred at the beginning 417 of the century: as reported in Zhang et al. (2016) over the period 1980-2009 the polar 418 vortex underwent a shift to the Eurasian sector, however, from the beginning of the cen-419 tury, an opposite shift seems to have occurred. This tendency is not, however, as sound 420 as the shift in the previous period, as several years (1987, 2006, 2009, 2013 and 2019) 421 needs to be screened out, because of a weak polar vortex or major sudden stratospheric 422 warming (SSW) events in February. Due to the high interannual variability, trends are 423 mostly not significant, even at 1σ level as reported in the panels, and should be consid-424 ered as decadal oscillations rather than a long-term change of the polar vortex. 425

In panel (c) of Fig. 11 the mean potential vorticity inside the polar vortex is shown: in the first two decades a negative linear trend is found, i.e. a weakening of the polar vortex as reported by Zhang et al. (2016) and Seviour (2017). In the last two decades, in contrast, the trend becomes positive, indicating a strengthening of the polar vortex as reported by Hu et al. (2018). The strengthening of the polar vortex is consistent with a positive shift in the Arctic oscillation (Weber et al., 2022).

Finally, we investigate the trends of the modified potential vorticity in the middle 432 stratosphere (700 K) over the two periods 1980-2004 and 2000-2022. In Fig. 12 top pan-433 els, we clearly see a reversal of the pattern over the polar regions, with panel (a) show-434 ing similar results to the findings of Zhang et al. (2016), pointing out a shift of the po-435 lar vortex to Eurasia, whereas panel (b) indicates a shift of its mean position again to-436 wards North America over the last 20 years. Looking at the ozone trends on the 700 K 437 isoentropic surface from the TOMCAT time series in the respective periods, shown in 438 the bottom row of Fig. 12, we also notice a reversal of the pattern: the negative values 439 were largest over the Atlantic/Scandinavian sector during the first period, whereas dur-440 ing the last two decades the positive trends are largest in the same region. 441



Figure 11. Panels (a) and (b) show the relative occupancy of the Greenland sector and of the Siberian sector, respectively, by the polar vortex. Panel (c) shows the mean modified potential vorticity within the polar vortex at 700 K isentropic surface. Respective trends with 1σ uncertainties are reported in the panels.



Figure 12. Trends of the ERA5 modified potential vorticity (panels (a) and (b)) and of the TOMCAT ozone (panels (c) and (d)) at the 700 K isentropic surface are shown for the periods 1980-2005 and 2000-2022.

442 8 Conclusions

In this study we have presented a comparison between satellite limb observations 443 and simulations from the TOMCAT CTM to investigate the zonal asymmetry in ozone 444 trends identified at northern high latitudes. The OMPS-LP product has been recently 445 updated at the University of Bremen by using the improved L1G data provided by the 446 NASA team, leading to a better long-term stability of the ozone time series w.r.t. the 447 previous version. A preliminary comparison between SCIA+OMPS and TOMCAT time 448 series and zonal trends demonstrated the overall good agreement between the two, when 449 450 considering deseasonalized anomalies. We then presented the longitudinal asymmetry in trends observed at northern high latitudes over the period 2004-2021, which is well 451 captured not only by the CTM but also by the ERA5 time series, hinting at the dynam-452 ical origin of this feature. 453

By using dedicated TOMCAT runs, we further showed that the identified patterns are dynamically driven, as neither gas-phase chemistry nor heterogeneous chemistry was found to have a relevant direct role in the discussed asymmetry. By investigating the trends at a seasonal level, we found that the asymmetry shows the largest amplitude in late winter/early spring. In this season, we found positive values up to 6-7 % per decade over Greenland and negative values of 3-4 % per decade over Eurasia. This seasonal trend pattern observed in SCIA+OMPS is very well reproduced by TOMCAT.

We decomposed ERA5 geopotential height (GPH) and TOMCAT ozone fields in wave-1 and -2 components for months JFM, finding a strong similarity in the changes of the two quantities in the middle stratosphere. According to the linear wave interference, the findings are consistent with a long-term shift and a strengthening of the polar vortex, i.e. weakening of the wavenumber-1 planetary wave. In this way, it was possible to link the zonal asymmetric pattern in ozone trends to changes in the wave activity in the stratosphere.

The analysis of the polar vortex position and of the trends in potential vorticity 468 in the middle stratosphere in Sect. 7 qualitatively confirms the proposed relationships 469 between the shift in the mean polar vortex position and the ozone trend asymmetry. The 470 overall pattern underwent decadal changes over the last 40 years, with the last 2 decades 471 seeing a probable strengthening of the vortex and a shift towards North America. This 472 final section of the manuscript is related to the study of the long-term variations of the 473 polar vortex due to climate change and requires further investigations to understand its 474 causes. 475

In summary, this study has pointed out the role of decadal variations in atmospheric
dynamics in explaining ozone trends at northern high latitudes. The observed asymmetry of ozone trends during the past decades is a consequence of decadal climate variability originating in the troposphere. This asymmetric pattern shall be taken into account
when calculating ozone trends in the polar region in particular when using ground-based
observations, e.g., ozonesondes and Fourier transform infrared spectrometers.

482 Appendix A Methods to merge SCIAMACHY and OMPS-LP datasets

For the study of seasonal trends, two approaches have been employed. In the first case, we compute the seasonal averages of the merged monthly SCIA+OMPS dataset. In the second case, the merging is applied to seasonal averages of both dataset anomalies. A filtering is necessary to remove latitude bins for which not all months in the defined season are available or when the latitude coverage of the two instruments differs (at high latitudes). It was found that the second method provides better agreement with CTM simulations compared to the first approach.

490	This is illustrated using, as an example, the March-April (MA) trends at 32 km
491	displayed in Fig.A1. The "SCIA+OMPS post" indicates the computation of seasonal av-
492	erages using the merged monthly dataset (first method), whereas the case "SCIA+OMPS
493	pre" in the middle panel indicates the merging performed on seasonal averages (second
494	method). The comparison with TOMCAT significantly improves in the second case.



Figure A1. Comparison of seasonal ozone trends in MA at 32 km from SCIA+OMPS (leftmost two panels) and TOMCAT (right panel). In the left panel, the merging of the two satellite datasets is performed in terms of monthly time series ("post"); in the central panel, the merging is in terms of averaged seasonal values ("pre").

495 Open Research Section

The Merged SCIA+OMPS dataset produced at the University of Bremen and used for this study is available at the following link: https://doi.org/10.5281/zenodo.10033299. TOMCAT simulations and the extracted PV and GPH values used for this study can be found, respectively, at: https://zenodo.org/doi/10.5281/zenodo.10054832 and https://doi.org/10.5281/zenodo.10054575

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Supporting Information for "Investigating zonal asymmetries in stratospheric ozone trends from satellite limb observations and a chemical transport model"

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Contents of this file

- 1. Comparison trends including/excluding the 2020 cold winter;
- 2. Comparison of ozone trends with M2-GMI;
- 3. Definition of sectors for polar vortex occupancy.

Introduction This document provides further analysis and figures that were not possible to report in the paper.

Comparison trends including/excluding the 2020 cold winter

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To further rule out the possible influence of the cold winter 2020 on the identified zonal asymmetric pattern in trends, we show here ozone long-term changes for February-March (FM) computed using the TOMCAT dataset (to have a complete latitude coverage) over the periods 2004-2022, but excluding in panel (b) FM 2020. Ozone trends for these two time series at 35 km are shown in Fig. S1.

The trends including/excluding the cold 2019/2020 winter are very similar, pointing out the negligible influence of this event on the zonal asymmetry in the middle stratosphere. We also checked the trends at 21 km, here not shown, and found larger changes between the two considered periods, but retaining the same overall pattern.

Comparison of ozone trends with M2-GMI

Figure S2 shows longitudinally resolved ozone trends from M2-GMI and TOMCAT simulations, at 35 km over the period 2005-2019, which corresponds to the M2-GMI available data span. Despite overall larger positive values in M2-GMI, the pattern at northern high latitudes is very similar in both panels. In addition, seasonal trends from M2-GMI, especially for March-April (MA), as displayed in the bottom panels, show a very high degree of similarity with the results from TOMCAT.

Definition of sectors for polar vortex occupancy

Figure S3 shows on a polar map the Siberian and North American sectors used to calculate the relative occupancy of the polar vortex shown in Fig. 11 of the manuscript.

TOMCAT, FM trend, 2004-2022 complete, 35 km

TOMCAT, FM trend, 2004-2022 w/o 2020, 35 km



Figure S1. Ozone trends at 35 km for the TOMCAT time series; on the left for the complete period 2004-2022, on the right excluding 2020.



Figure S2. Top row: the left panel shows ozone trends for the M2-GMI time series over 2005-2019 at 35 km, the right panel the same for TOMCAT. Bottom row, MA ozone trends for the same period.



Figure S3. Definition of the Siberian and North American sectors.